

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND NINETY-FIFTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, July 11, 2003

The Board of Trustees met at its regular monthly meeting on Friday, July 11, 2003, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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July 11, 2003 meeting, Board of Trustees

The Chairman, Mr. Sofia, called the meeting of the Board of Trustees to order on July 11, 2003, at 10:30 a.m. He requested the Secretary to call the roll.

Present: Zuheir Sofia, Chairman, Tami Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Douglas G. Borrer, Walden W. O'Dell, Paula A. Habib, and Emily M. Quick.

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CHAIRMAN'S REPORT

Mr. Sofia:

We have a full agenda this morning and afterwards we will be having a wonderful lunch for our provost, so we're going to move fairly fast here today. I'll keep the Chairman's Report very short, however there are matters that deserve some commentary and I'm sure our president will comment on those further.

The decisions of the Supreme Court regarding Affirmative Action, the passage of the biennial budget by the state, and a number of important personnel matters and other issues are in front of the University Board, the president and administration. We will also be acting on them and going forward.

Across the country, states are struggling with difficult fiscal circumstances, and universities in every part of the country are having to make smart and difficult choices. Ohio State is no exception to those circumstances. As I stated at our May Board meeting, we continue to build a contingency plan to deal with this tough situation.

We shall be discussing recommendations about tuition later this morning, and I want to assure all parties involved – but especially our students – that we are mindful of the additional burdens that they are being asked to carry, and we are especially mindful of the ever-increasing need for financial aid. We still think Ohio State is a bargain, relative to any other university not only in Ohio, but other public universities across the land.

We are also committed to sustaining our faculty and staff to the best of our ability by ensuring adequate compensation for those who conduct research, teach, and serve our students, the community, and state in so many ways. Once again, I want you to know that as a Board we recognize simple principles: students are our primary customers, world-class faculty are our invaluable resource, and able administrators and staff are our critical partners. As we do this, I want to assure you that we are committed to examining all of our practices to ascertain that we are being the best possible stewards of our precious resources.

There are no easy answers to the issues before us, but I know that we will all – the Board, the president, administration, faculty, students, and staff – work together to forge an even stronger, more vibrant university. We are as committed as ever to becoming one of the nation's premier teaching, learning, and research institutions.

Finally on behalf of all members of the Board of Trustees and everyone who is with us today, we extend our heartfelt thanks to our colleague Provost Ed Ray for his 33 years of service to The Ohio State University. While we certainly acknowledge Dr. Ray is an excellent professor and outstanding researcher, as a Board we came to know Ed as an able administrator. Those of us who have had the opportunity of working with Ed through the years know well that he is an intelligent and strategic thinker who makes principled decisions – and follows through once those decisions are made.

CHAIRMAN'S REPORT (contd)

Mr. Sofia: (contd)

Ed has an incredible memory about any given detail. I imagine that many people in this room have been quite surprised when Ed quoted – usually, verbatim – something that they said years earlier. So be careful today.

Among his many contributions throughout his 33-year tenure at Ohio State, Ed helped frame Ohio State's mission and vision statement, the Academic Plan, and the Diversity Action Plan. As an economist, he has both the depth of understanding and the vision that were needed to help lead Ohio State across the rocky terrain of an often difficult budgetary landscape.

Ed approaches every challenge with enthusiasm and hard work. Ed, for your steadfast dedication to excellence at The Ohio State University, we thank you and extend every good wish for success and happiness as you embark on your new journey as the president of Oregon State University.

Please join me in thanking Dr. Ray.

I would also like to take this opportunity to welcome our Interim Provost Barbara Snyder. She is an able and experienced administrator with exceptional interpersonal skills and is dedicated and loyal to The Ohio State University. Indeed, very few people can match her energy and enthusiasm. Again, Barbara, welcome.

That concludes the Chairman's Report. I'd like to call now on President Holbrook for her report.

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PRESIDENT'S REPORT

President Karen A. Holbrook:

Thank you, Mr. Chairman. I'm going to start in the same way to echo what Chairman Sofia has said and also thank Ed Ray and acknowledge his 33 years as a chairperson of Economics, as an outstanding professor, as an associate provost, as a senior vice provost, and as an executive vice president and provost of this great University.

Zuheir has said all of the wonderful things about Ed that we all know are true but I, having been here not that long, can only add how much I have thoroughly enjoyed knowing him and working with him over my tenure at this University. Ed has just been marvelous. I think the Oregon State University is getting an outstanding leader. They are extremely lucky to have recruited you and I think the community is very fortunate to be welcoming both you and Beth to join them soon.

We're going to have many chances to say goodbye to Ed and to again acknowledge all of his major contributions, but I too want to add on to what the chairman said and assure you that all of your friends on the cabinet feel exactly the same way. We're very sorry that you're moving on but delighted – it was a matter of time, and this is a great move. So, we're pleased, too.

I also want to acknowledge the service of two members of the University Senate. Dr. Stan Ahalt, a faculty member in the Department of Electrical Engineering, has served the Senate Steering Committee very ably over this past year. He also has been named, as you will hear today or you may have noted in the Personnel

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

Actions, the new executive director of the Ohio Supercomputer Center. And I think that's an outstanding appointment as well.

I also want to recognize Dr. Gene Mummy, a faculty member in the Department of Economics, who has completed his service as chair of the Faculty Council, and to note that he is being replaced by Dr. Grady Chism from the College of Food, Agricultural, and Environmental Sciences. Grady is here today, and if you would stand we can welcome you. Thank you.

We also recognize and welcome today Doug Lance, the new chair of the University Staff Advisory Committee. Doug joins us from the Lima campus and I know he is going to provide excellent service for USAC over the next year. And, Doug, would you please stand so we can thank and recognize you.

And again, I'm going to echo a little bit of what Chairman Sofia has said and tell you how absolutely delighted I am that Barbara Snyder has agreed to become the executive vice president and provost on an interim position beginning the end of July, in a very few days. I don't know anyone on this campus I've worked with who is more dynamic, more connected, more aware of what's going on, and has served so ably as Barbara. You notice that she's just moving from one chair to the next.

She is a law professor who became an associate provost, and who was an associate dean in law. She's currently the interim vice president for University Relations and we say, jokingly, we'll probably find something she can finally get right and stay in, eventually. But the point is, Barbara gets everything right that she does. I think having her move into this role is going to make certain that there's great continuity, and I'm just delighted that she's going to be joining us.

At the same time, as Barbara moves out of one position we have that one to fill and I am equally pleased that Elizabeth Conlisk is going to be our acting vice president for University Relations until Dr. William Murphy joins us from the University of Illinois. I know that Elizabeth will serve in that role very ably and I look forward to that appointment as well.

So we look forward to new changes but good things on the horizon.

I'm going to do one thing that's a little bit different than what I usually do. As you know, I typically pick a topic to mention. Today I want to interject something that has come across my e-mail this morning very early from Interim Vice President Rosol on research awards that I thought were very worthwhile mentioning.

The Ohio State University is the lead university in a research consortia that has just received \$8 million in funding for five grants from the Hayes Investment Fund and I thought that was significant enough to bring it up as a special topic. James Lee, in Chemical Engineering, is being funded in the Consortium for Affordable Manufacturing of Polymers at the Nanoscale Level in collaboration with the University of Akron and the University of Dayton. Paul Berger is being funded for the Ohio Nanoscale Patterning Consortium, again in collaboration with other universities, specifically Ohio University, Wright Patterson Air Force Base, NASA, and Battelle. Hamish Fraser, in Materials Science, has received funding for the Center for the Accelerated Maturation of Materials in collaboration with the University of Cincinnati, Wright Patterson Air Force Base, Wright State, GE, Honda, Timet, and Timken. Prabir Dutta, in Chemistry, has received funding for Hydrogen Production and Storage Consortium with Kent State, the University of Akron, the University of Cincinnati, and Nextec. And Art Epstein, in Physics and

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

Chemistry, has received support for the Ohio Organic Semiconductor Consortium with Kent State, Case Western, and BTG.

This is extremely exciting that we have gotten all of these extraordinary awards and I think what's really also exciting is that these are collaborative projects, not just one institution alone. It shows that we do work very well across the state, both with our colleagues in academia and with our colleagues in industry. So, I'm very pleased to let you know about those recent awards.

I want to very briefly talk about a topic that I think is of enormous importance to the nation, to higher education and, specifically, to The Ohio State University. As you know, the U.S. Supreme Court recently issued the opinions in the two affirmative action cases involving the University of Michigan. Although the court came to opposite conclusions in the two cases – affirming the admissions process used by Michigan for its law school and rejecting the admissions process used for undergraduate admissions – the two decisions together are a resounding endorsement of principles that are at the very core of university life and academic freedom and that have guided Ohio State in its pursuit of diversity and excellence.

The majority opinions in both cases conclude that the 1978 Bakke opinion of Justice Powell set the correct constitutional standard. Diversity within a student body is a compelling governmental interest sufficient to justify an appropriately calibrated consideration of race. To quote Lani Guinier, law professor at Harvard and co-author of the book, *The Miner's Canary: Enlisting Race, Resisting Power, Transforming Democracy*; her quote comes from a recent article in *The Chronicle of Higher Education*. She stated that, "The court repudiated the argument that racial classifications are always odious; the court rejected the claim that race no longer matters."

Importantly, the court recognized that colleges and universities are entitled to make their own mission-sensitive judgments about the composition of their student bodies and to consider a multitude of objective and subjective factors in evaluating individual applicants.

What does this mean for Ohio State? First and foremost, it means that we can and will continue to pursue diversity goals with passion, energy, and commitment. It means we're entitled to continue to consider race and ethnicity in selecting among the most qualified applicants. And that in doing so, we will continue in our successful preparation of students and leaders, who will have obtained their education in classrooms, seminars, laboratories, and through student activity centers where a range of experiences, opinions, value systems, and ideas are exchanged, questioned, challenged, and respected.

The court's rulings also mean that we – and virtually all colleges and universities – will have to review our past practices and develop admissions programs using criteria that are narrowly focused to meet the constitutionally permissible objective of educating a diverse community of students. The point is that only when each applicant has his or her file read and assessed individually is the applicant eligible to have race considered by the University in making a determination about admission or, as the court framed it, and I quote, "...individualized consideration in the context of a race-conscious admissions program."

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

The Michigan law school admissions program was upheld because it involved individualized full-file review of all applicants. That is, and I quote again, "...hand picked rather than machine sorted..." The Michigan undergraduate admissions program failed because it assigned additional points to students from underrepresented racial and ethnic groups in a manner the court found to be overly decisive and "tantamount to a quota." Our challenge is to modify our very successful admissions processes to assure that all elements of diversity that we deem critical to our educational mission are given consideration in the evaluation of all applicants.

As with many important challenges, the devil is still in the details and with over 25,000 applicants each year for only 6,200 places in the entering class, there is much thoughtful work to be done in the days ahead. I believe we should all be energized by the clarity the Supreme Court has provided in a matter of such critical importance, and be committed to the utmost in teamwork as we clarify our intentions and adapt our processes within the letter as well as the spirit of the court's guidance.

Justice Sandra Day O'Connor, who visited our campus and shared her time so generously with our students earlier this year, wrote the majority decision in the Michigan law school case. In closing, I'll share just one of her observations with you:

"In order to cultivate a set of leaders with legitimacy in the eyes of the citizenry, it is necessary that the path to leadership be visibly open to talented and qualified individuals of every race and ethnicity. All members of our heterogeneous society must have confidence in the openness and integrity of the educational institutions that provide this training."

Thank you very much.

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UPDATE ON ARTS AND SCIENCES

Mr. Sofia:

At Ohio State, we are blessed with a very strong Arts and Sciences core. As you know, many of our highest ranked programs, Selective Investment faculty awards, and a large percentage of our honors students are in the Arts and Sciences.

The recent White Paper initiative forming the Federation of the Colleges of the Arts and Sciences, under the leadership of Dean Michael Hogan, is a great step in our march to be among the nation's top ten public universities. Dean Hogan is with us this morning to give us an update on the Arts and Sciences.

Dean Michael J. Hogan:

Thank you, Mr. Chairman. Good morning to you, members of the Board, President Holbrook, and others. As you know, I'm appearing here today as the executive dean of the Colleges of the Arts and Sciences. I've held this position for exactly 11 days. So it may seem a little premature to be giving a progress report, but it just so happens that I do have some progress to report.

UPDATE ON ARTS AND SCIENCES (contd)

Dean Hogan: (contd)

As you know, and as Chairman Sofia has said before, at most major universities the academic core of the institution is organized in a single arts and sciences college and there is good reason for this. After all, despite the differences among the disciplines represented, the faculty across the arts and sciences do share a common research and teaching mission.

Recognizing these facts, Ohio State itself had a single Arts and Sciences College for almost all of its history until 1968 when it was broken into five separate and autonomous colleges for reasons no one apparently can remember. So my job as executive dean is to help put Humpty Dumpty back together again; not into a single college, but into a new Federation that recognizes, once more, the joint and shared teaching and research mission that unites the faculty and students in this Arts and Sciences complex.

As you know, it is also hoped that the new Federation will help us to eliminate some administrative redundancies, promote interdisciplinary collaboration and cross-college cooperation, and give the Arts and Sciences more visibility and presence on campus than had previously been the case. These were some of the goals announced in the White Paper issued by President Holbrook and Provost Ray, and approved by you at your last Board meeting.

Since that time, my colleagues and I have been doing some very basic work to get the new Federation off the ground. To begin with, I'm pleased to report that we've created the rudiments of a new office. In addition to myself, the Office of Executive Dean includes two part-time associate deans. Professor Ed Adelson, from the School of Music, is beginning as associate dean for Instruction and Curriculum and is on an 80 percent appointment in the Arts and Sciences. And Professor Robert Perry, from the Physics Department, is starting as associate executive dean for Research and Faculty Affairs and is on a 50 percent appointment. So the three of us, which is really 2.3 FTE, constitute the entire executive staff of the new office, which is also being served by a fiscal officer and two assistant deans, all three of them A&P rather than faculty appointments.

Because the goal is to build the new office without adding to the overall administrative bureaucracy in the Arts and Sciences, virtually the whole staff has been assembled by moving them and their base salaries from one or more of the existing colleges. I've moved over from the College of Humanities, as have the two assistant deans and the fiscal officer. Robert Perry came with his salary from the College of Mathematical and Physical Sciences and Ed Adelson came with his salary from the College of the Arts. So it is not likely that the executive staff of the new office will ever be very large. But to the extent that it grows at all, it will continue to grow in the same fashion. That is to say by bringing administrative personnel from the current colleges, together with their salaries, over to the Office of the Executive Dean, so that the growth in that office does not result in an overall growth in the administrative size of the Arts and Sciences as a whole. In fact, the White Paper requires a general reduction in the size of college administration to something more like our benchmark institutions.

At Ohio State, academic administration in the Arts and Sciences exceeds the benchmark average not just because we have five separate colleges, but also because we have five separate colleges in five different locations. So in effect, the geography of academic administration as well as the structure of academic administration has encouraged or even required a certain degree of redundancy that you don't necessarily find elsewhere. This is the problem that must be addressed so that these resources can be freed up and reinvested in the

UPDATE ON ARTS AND SCIENCES (contd)

Dean Hogan: (contd)

academic enterprise, faculty salaries, research support, new teaching initiatives, and so on. I'm glad to say we've made a little bit of progress in this direction in the short time that we've been on the job.

First and most important, several of the colleges have already agreed to share common quarters. Over the next 18 months, the College of Humanities and the Office of Executive Dean will share space in University Hall. In addition, Dean Karen Bell, dean of the College of the Arts, and Rick Freeman, the new dean of Mathematical and Physical Sciences, have both agreed to relocate their college offices to University Hall, and the provost has committed to an appropriate renovation of that space. In other words, 18 months from now, when the renovation is finished, the Office of Executive Dean, the College of the Arts, the College of Humanities, and the College of Mathematical and Physical Sciences will all be sharing space and resources in University Hall. This will facilitate the kind of collaboration envisioned in the White Paper and will also help us to eliminate some other redundancies I spoke of earlier and produce some savings, largely by enabling us to begin centralizing certain common services.

Let me give you just a couple of quick examples. As you know, the five colleges have already consolidated their development operations. They've created a single Arts and Sciences development operation and a single team that's co-located and reports to the executive dean of the Arts and Sciences and to the vice president for Development. This, in effect, becomes the model for the much larger consolidation of central services envisioned in the White Paper.

First, the five colleges have already agreed in principle to consolidate their communications operations. Over the next few months, we'll begin to develop a plan for turning this agreement into a practical reality. The thinking at this point looks toward consolidating this aspect of our work around the communications operations that already exist in the College of the Arts, which has the largest and best such operation among all of the Arts and Sciences colleges.

Second, Rick Freeman, the new dean of Mathematical and Physical Sciences, has agreed to lead a collective effort to consolidate the human resources and fiscal services or operations in the five colleges, thereby creating a single operation that can serve the needs of the Arts and Sciences as a whole. In this case, too, my hope is to have a concrete plan that can be approved sometime in the next academic year.

Something similar should be possible when it comes to computing and instructional technology. This is another area that I want to address this year. I've asked Joan Herbers, dean of Biological Sciences, to lead a team of people – mostly our IT and computer experts from all five colleges – to develop a plan that will bring new synergies across the Arts and Sciences, which should also eliminate some redundancies and produce some substantial savings.

As this suggests, along the way I'm asking each of the college deans to take on a particular task to assume a kind of "cross-college" responsibility that will help make them citizens of the new Federation, as well as deans of their respective colleges. In the end, the result should be a new community of colleges, better services for our faculty and staff, and some savings in costs.

When all is said and done, no one really wants a new organization for its own sake, but because of the value that it will add to our basic academic mission, teaching, and research mission. With that in mind, I expect to assemble a

UPDATE ON ARTS AND SCIENCES (contd)

Dean Hogan: (contd)

committee of very distinguished faculty from across the Arts and Sciences to draft a strategic plan for the Arts and Sciences as a whole.

Over the last several months, I've had the Arts and Sciences curriculum committee working on an inventory of interdisciplinary programs at Ohio State and at benchmark institutions. I expect to complete that inventory very quickly and ask our strategic planners to identify new directions for the Arts and Sciences as a whole.

Assembling these interdisciplinary programs and building new ones will be the first claim on the common funds available to the executive dean. And, in fact, we met yesterday. The Arts and Sciences deans have already begun to talk about a cluster hiring initiative in the area of ethnic studies that will advance two of the goals laid out in the White Paper -- to advance the cause of diversity and interdisciplinary studies. I'm glad to say that initiative has the support of the Provost's Office as well.

To sum up and give you an idea of a timetable for progress, I hope to have completed the plans for the consolidation of central services in the early Winter Quarter. By that I mean consolidation of common communications operations in the Arts and Sciences, a centralized HR and fiscal operation, and a coordinated operation in computing and instructional technology, all to go along with our consolidated development plan already in place. These plans should include a schedule for implementation over the months that follow.

I also hope to have a new and improved process for managing curricular change in the Arts and Sciences and for promoting interdisciplinary work, a new pattern of administration for the Federation as a whole, and a very good start on a strategic plan that will guide all of our common efforts in the months and years ahead.

This is an ambitious agenda with a fast timetable. Our motto will be, "Make No Small Plans." Nevertheless, as you know, the Trustees have supported the Federation from the beginning, as have the president and the provost. You're expecting results, I expect you to hold me accountable for reasonable progress and good results. In fact, I hope you'll invite me back from time to time, so that I can give you regular updates on our progress and on all of the goals that I've announced today.

Thank you, again, for this opportunity to spend some time with you this morning. I'd be glad to try to respond to any questions or comments that you might have. Thank you, Mr. Chairman.

Mr. Sofia:

Questions? Jo Ann --

Mrs. Davidson:

This is more of a statement than a question. I see that you've met the 11-day accountability test very well. But on a serious note, we are very pleased that you are willing to take on this new challenge and responsibility. I think you're doing some of the things that we believe need to be done, when they're appropriate to be done, and that is the consolidation of some of the administrative functions. So I just wanted to acknowledge that.

UPDATE ON ARTS AND SCIENCES (contd)

Dean Hogan:

Thank you, Madam Speaker.

Mr. Slane:

Dean Hogan, with all of these difficult financial pressures that have been placed upon us, this is so important what you're doing. We need to do our part in trying to operate more efficiently and we really salute you for everything you've done. I know how difficult this must have been.

Dean Hogan:

Thank you, sir.

Mr. McFerson:

Dean Hogan, remind us all again of the scope of what we're talking about here – the number of students, the number of classes that are being taught, the number of faculty, and so forth.

Dean Hogan:

It's big. The Arts and Sciences consist of five colleges, 41 departments, numerous centers and interdisciplinary programs, about 1,004 faculty members, which is substantial, and, I think, probably a consolidated, combined budget of close to a quarter of a billion dollars.

It produces 50 percent of all the Ph.D.s produced at the University and about the same number of M.A.s. It produces 50 percent of the undergraduates who receive degrees at the University, year in and year out, and is responsible for about 70 percent of the honors instruction and the honors students in the University. So it really is the academic core of the institution.

Ms. Hendricks:

I just have one question regarding student counseling or the advising function. Is that going to be consolidated as well or is that going to be maintained in the various colleges?

Dean Hogan:

Well, it is consolidated in USAS which is supervised by Vice Provost Martha Garland. The White Paper calls for even closer collaboration now between the Office of the Executive Dean and Martha's operation, particularly when it comes to the academic side of advising students. Luckily, Martha Garland was on the search committee that hired me at Ohio State many years ago, so we have a long and close working relationship, and I think that will work out just fine.

Mr. Sofia:

Dean Hogan, the last time we had a briefing on the Arts and Sciences we noticed that in the development area, especially in the number of endowed chairs, that the Arts and Sciences have the fewest and some departments only have one or two endowed chairs, or maybe none. With the consolidation of development, will the effort to get in and try to get donors for those chairs be accelerating?

UPDATE ON ARTS AND SCIENCES (contd)

Dean Hogan:

Absolutely. We consolidated the Arts and Sciences development operation about a year ago and I think that's working very well. I'm glad to say that the Provost's Office and University Development have invested more resources, we have a somewhat larger staff, and we have all of the staff together in a single place so that we do get some economies on staffing and in other ways. They've developed a comradery and team spirit, and we have less turnover in that operation than used to be the case.

I must say that we have a lot of work to be done. It is one area that has been unevenly developed, if not inadequately developed, across the Arts and Sciences. We have 110,000 living alums of the Arts and Sciences, with close to 60,000 alums residing in Franklin County. Most of them we don't know who they are nor do we know of their resources. We haven't maintained close contact with them over the years. So we could have a development operation that is three times the size that we have now. And that would be about right if you look at Michigan or other institutions that have much larger operations.

We're not ready to be that big yet, because we'd have to have something for those development officers to do. There is no sense in wasting the resources hiring development officers when we don't have the basic information yet on our client base, but we are in the process of doing that now. We've contributed some of our common funds to help the University Development operation do a screening of all of that and John Meyers has been very helpful in that regard. Once we've done an electronic screening, we'll have a much better database that will enable us to begin focusing and targeting our development operations on the graduates of the institution who have resources and loyalty and want to help us out.

Mr. Sofia:

Thank you. Any other questions? Doug --

Mr. Borrer:

I just wanted to say as an alumnus of your college, I think it's really great what you're doing. You've got to keep focused. I know change is very difficult sometimes, and I want you to know that the Board of Trustees is very strongly behind what you're doing. Thank you, Dean Hogan.

Dean Hogan:

Thank you.

Mr. Sofia:

Thank you. Again, you heard from the Trustees and we're all supportive of Dr. Holbrook's initiative. I know she'll see to it, with Dean Hogan at the helm, that it will be successful. Thank you, Michael.

Dean Hogan:

Thank you.

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STUDENT RECOGNITION AWARD

Ms. Habib:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of the student's achievement in his or her area of study, service to the University and/or area or community, and/or research achievements that have been a credit to the college and to the University.

This month's recipient is Matt Miesner, who was nominated by Dean Glen Hoffsis in the College of Veterinary Medicine. Dr. Miesner is currently pursuing his master's in veterinary medicine at The Ohio State University. He received his B.S. degree from New Mexico State University and his D.V.M. degree from Washington State University. Prior to receiving his D.V.M. degree, Matt worked as a veterinary assistant, a cowboy, and in a beef cattle ranch management position. He maintained work, study, and research assistantships relating to livestock and horses.

After receiving his D.V.M. degree, Dr. Miesner entered a private mixed animal practice in south central Washington State. After one year, he obtained a resident position at OSU in the Department of Veterinary Clinical Sciences, Section of Food Animal Medicine and Surgery. Matt has spent the last three years performing clinical and teaching duties, while working toward a master's degree and board certification in large animal internal medicine.

He will be staying at the Veterinary Teaching Hospital as a clinical instructor and finishing his master's research in June 2003. He has received the SCAVMA Outstanding Teacher Award for Resident/Graduate Teaching Associates. Eventually Dr. Miesner plans to return to rural private practice with his specialty training. He hopes to benefit clients, patients, and other veterinarians as an accessible generalist and specialist in the private sector. He is also enthusiastic about instructing veterinary students.

Being a student myself, it is a great honor for me to be able to present a student like Matt to the Board of Trustees. The Board appreciates the chance to meet students, especially ones who exemplify exactly how bright and committed the students at Ohio State really are.

Congratulations, Matt.

Mr. Matt D. Miesner:

I do appreciate this award. I'd like to thank the Board and thank all of those who nominated me for this award.

Where I come from – a really small town in “Nowhere America,” New Mexico – we're brought up as being judged more harshly on how you treat other people and your work ethic than you are on your individual achievements. How you're doing is directly reflected by the respect you get from others. So I really do appreciate this award. Everybody back home will be taking credit for this as well, and they rightly should.

Coming from Washington and being in Ohio for a few years, I've learned a few things. When you say you're from Washington, you follow that with State. I really am entertained by folks' expressions when I say I'm from New Mexico and they can't believe how fluent I am in the English language.

Again, thank you all very much for this award it is an honor.

STUDENT RECOGNITION AWARD (contd)

Mr. Sofia:

Congratulations.

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BUDGET UPDATE

Mr. William J. Shkurti:

Mr. Chairman, let me start first with a personal note. This will be the last time that Ed will accompany me in providing a budget update. Over the years, we've probably had to unfortunately roll out more bad news than good and this year is no exception. But I just want to say for myself, and for the University, how much I've appreciated Ed's candor and his dedication to the long-term academic interests of this institution. The institution will go on as it always does, but Ed will be sorely missed.

Our budget update today deals primarily with tuition. Let me start by saying how much we appreciate the work that Governor Taft and the Legislature did to pass a balanced budget on time, which, if you've watched what has been going on in other states, is something we should not take for granted. It was quite an achievement. In addition, their efforts to minimize reductions to higher education in this difficult financial environment are appreciated and the recognition of the need for differential tuition caps at OSU's Columbus campus, because of our unique mission and financial circumstances, is appreciated as well.

However, the changes made late in the budget by the Conference Committee -- not because they wanted to, but because they had to due to the deteriorating economic environment -- will require a reworking of the University's budget for Fiscal Year 2004, which is already underway, and Fiscal Year 2005.

Among other things, this will mean higher tuition for our students for two reasons. First of all, state support is significantly less than originally proposed by the governor in February and by the Senate in May. In fact, the reduction is \$11 million less than what the governor proposed in February and \$5 million less than what the Senate approved in May. In fact, it is still lower today than it was four years ago.

The bottom line is not hard to determine. If you take the state share of instruction -- this is for the OSU Columbus campus -- and include the Success Challenge -- which I think is fair, because its intent is to support instruction -- the two together at the end of the current biennium, Fiscal Year 2005, will be about \$313 million. That is still less than what it was in Fiscal Year 2000, when it was \$316.8 million, and this is even though our enrollment has increased in that period.

We realize the state has been through difficult economic times in that period, so we are not alone in suffering a loss of state support. But given that the general way we've operated is that state support has provided about half the cost of what it takes to educate a student and the student provides the other half, if this amount is not growing, it puts more pressure on tuition which brings us to the situation we are in today. In fact, the Legislature recognized that the tuition caps that were adopted by the Conference Committee, and eventually in the budget, provide for a greater increase than in the earlier versions of the budget that I referred to.

BUDGET UPDATE (contd)

Mr. Shkurti: (contd)

Consequently, the University will need to make tuition adjustments for Autumn Quarter that differ from those that were initially recommended to this Board and which you approved on May 2, in order to advance the goals of the Academic Plan. For all our undergraduate students on the Columbus campus, this will mean an increase of 12.9 percent or between \$684 and \$756, depending on when the students enrolled in the University. Again, as I mentioned, for the 2003-2004 academic year this will be effective Autumn Quarter. For all undergraduate students at the Regional Campuses, this will mean an increase of 9.9 percent, or about \$456 for an academic year, before applying the Access Challenge credit. This will also take effect Autumn Quarter.

Now, Provost Ray will explain the academic impact of this and how we will use the money that this generates.

Provost Edward J. Ray:

It's important to note that the increases above 9 percent are designated for two specific uses. They're limited to financial aid for low income and low middle-income students and for improved technology for all students.

The preliminary plans that we have for the Columbus campus are to provide 50 percent of the additional increase above 9 percent for student financial aid and 15 percent for technology purposes, including more technology in the classroom, online registration, and improved support services for students. We'll provide details of those recommendations to the Board at its September 5, 2003 meeting, including the distribution of those funds on the Regional Campuses.

Both new and continuing students should also expect, as a result of the circumstances in which we find ourselves, that tuition increases will be used to the full extent permitted by the tuition caps in Fiscal Year 2005, in order to make up, in part at least, for the continued lack of growth in state support. In addition, a mandatory recreation fee will be implemented in support of the new recreation center when it opens in Fiscal Year 2005.

It's important to note in these circumstances that the University will continue to increase University-funded financial aid in order to offset the impact of these tuition increases on lower and lower middle-income students. This University has made a commitment that we want to provide access to extraordinary educational opportunities for students who are qualified to take advantage of them, regardless of their economic circumstances, and we continue to adhere to that commitment.

The University will continue to strive to increase operating efficiencies and generate cost savings to improve services to our students. You heard a very impressive 11-day-into-it report from Executive Dean Hogan about cost efficiencies that he and his colleagues hope to accomplish in the Arts and Sciences. We do understand that the balancing of our needs has to come not simply in terms of finding alternative revenues when the state can't do as much perhaps as it would like to help us. We're responsible for managing our existing resources as effectively as possible, as well, and will continue to look hard for ways in which we can better manage the resources that we have available to us. The Federation of the Colleges of the Arts and Sciences is a good example of a continuing effort in that regard.

BUDGET UPDATE (contd)

Provost Ray: (contd)

As I mentioned earlier, the University will present more detailed budget recommendations in all aspects of the budget for approval at the September 5 Board of Trustees meeting.

Finally, The Ohio State University, despite the tuition increases that we're talking about today, still will represent an extraordinary investment of high value to our students and to the citizens of Ohio. Even under these recommendations, tuition for new students at the OSU Columbus campus will be seventh among Ohio's 13 public universities.

Let me stop there. Bill and I would be happy to respond to any questions or comments from the Board.

Mr. Sofia:

Dimon --

Mr. McFerson:

Just a few short years ago, we crossed that 50 percent threshold; we're now below 50 percent of the operating income coming from the state. What is that number today, that trend line? Where was it 10 years ago and where is it today?

Mr. Shkurti:

Mr. Chairman and Mr. McFerson, 20 years ago the state provided about 60 percent of what it cost to educate a student and the student provided about 30 percent, the rest being made up by other kinds of income. About 10 years ago, that dropped closer to 55 percent that the state provided and the rest by the students. Two years ago -- Fiscal Year 2001 budget -- for the first time ever, tuition income exceeded state support here on the Columbus campus. At the time that ratio was that the tuition provided 45 percent of the operating budget for the general fund, which is where instructional activities are funded, and the state provided 43 percent. The difference was things like interest earnings and so forth.

Last year the share provided by the students jumped to 50 percent, because if you will recall there were state cuts. Although the budget is still being finalized, and will be presented in September, it looks like the students' shares will go up to at least 55 percent this fiscal year. It will probably grow again in Fiscal Year 2005, because state support is flat.

I remember when I worked in the state budget office in the early 1980s we had Medicaid cost increases. As a joke, we used to straight-line the increases out and predict that by the year 2023, the entire state budget would be devoted to Medicaid. Fortunately that hasn't happened, although the costs have increased. But if you project out this level of increase of tuition and no increase in state support, within 10 years we will virtually be a private institution. I doubt that will happen. It certainly indicates though that if something isn't done to arrest the current trend, the University will become more like a private institution and less like a public one.

Mr. Sofia:

Dan and then Wally --

BUDGET UPDATE (contd)

Mr. Slane:

Actually, Bill, when you look at it in proportion to our total budget we're getting roughly 15 percent.

Mr. Shkurti:

Mr. Slane, that is correct. The figures I used were the instructional budget, which is the general fund; it doesn't include places like Athletics and the Medical Center. But if you look at the University all as one, of which those are definitely part of, it used to be that state support was maybe 20 or 25 percent of the total, and now it's dropped below 20 percent and it will probably reach 15 percent next year.

Mr. O'Dell:

Bill, given that the tuition caps are in place and you're doing the maximum, it must mean that tuition is lower than you'd like it to be or need it to be to fulfill the Academic Plan and the mission to be in the top ten of public institutions. Where do you see the tuition going or where would you put it if the caps were not effected?

Mr. Shkurti:

Mr. O'Dell, I think there are two ways to answer that question. Although we are recommending an increase in tuition, we wouldn't like it to be here. We would rather have more state support, but, unfortunately, we don't have a choice in these circumstances.

Having said that, the place I would start is if you take a look at the combination of tuition and state support that Ohio State gets and you compare us to: other universities in Ohio, which are really not comparable in some ways; other Big Ten institutions, which are more comparable; and with our benchmark institutions, which are even more comparable in terms of their mission and so forth -- and these are all public institutions -- one of the glaring differences you see is that the resources that come from the combination of tuition and state support, on average, consistently come out about \$1,000 less per student at Ohio State's Columbus campus than it does to the other places I've talked about.

We recognize that even if the caps were off that we would not be here advocating a \$1,000 increase on top of normal increases for our students. But to get where we need to go over time, we will have to -- between tuition, state resources, and other resources we can find -- generate \$1,000 per student more than what the inflationary increase is to get where we need to be in terms of the services and academic programs our students expect.

Provost Ray:

If I could just pick up on Bill's point. If you go back to the Academic Plan we adopted in 2000, we talked then about what we needed to do at least over the next five years.

Part of the calculation of what could be done was that we would continue to do tuition increases on the order of 9 percent a year on the presumption that among the other things that would be happening would be that state share of instruction would continue to grow at 3-3.5 percent as it has historically. We're already looking at going backwards, zero growth over the last five years, and are thinking about the 3.5 percent that hasn't occurred in the state's share of instruction.

BUDGET UPDATE (contd)

Provost Ray: (contd)

One could think about putting that on top of anticipated tuition increases. I know the president, Bill, and others are looking at every source of revenue we have. Thinking about not doing a simple trade between state share of instruction and tuition, but how can we be even more effective in externally funded research, in our development efforts, and in all of the levers that we have to use to grow the revenue side. We need to be even more diligent than we understood that we had to be then about what we can do to be efficient and cost effective.

This notion of the Federation was not on the drawing boards in the Autumn 2002, but as we've moved into thinking about are we serious about this Academic Plan and our aspirations for this institution, we've come to better understand some of the harsh realities in the world in which we have to operate financially. We've continued to dig deeper to think about on the revenue side and on the management and cost side. How do we continue to make progress in realizing the goals of that plan even if some piece of it isn't what we would have hoped it would have been – the state share of instruction?

Mr. Sofia:

Thank you. Bill, help me out here in actual dollars -- we're talking about \$700 plus increase in tuition. Relative to other public universities in Ohio, do we rank higher? Even with this dollar increase, where will that place us?

Provost Ray:

We would go from eighth to seventh.

Mr. Sofia:

I'm just trying to emphasize the point, again, that we really haven't made much progress. Not that that's the goal; we're trying to meet the goals of the Academic Plan. As far as really being a good educator and a bargain, Ohio State is still a great value. I know it's not easy to do, but it seems still a great value for the dollar increase we're proposing, relative to not just here, but outside Ohio.

Provost Ray:

Mr. Chairman, the way we'd say it is if all the other state universities face the same circumstances, in terms of state support, that we do and one thinks about the quality, and the kinds of programs, the faculty, and so forth that we have at Ohio State relative to other state institutions -- and it's not to be unkind to them – the fact that we provide that quality and those kinds of opportunities, and that we're priced seventh in the state facing the same state support circumstances that they do, suggests, as we said at the very beginning, that this really is an extraordinary value we are providing to our students and to the people of Ohio.

Mr. Sofia:

Tami --

Ms. Longaberger:

As a Board working with the president, we try to measure ourselves against those institutions that we want to be like and advance toward, and that is what the Academic Plan is all about. One of the things you told me years ago is that you not only talk about the issues here in the state, but as a percentage or

BUDGET UPDATE (contd)

Ms. Longaberger: (contd)

ranking of state support for higher education as a whole when we compare ourselves to our benchmark public institutions across the country, as I recall, Ohio ranked something like 44th out of 50 states years ago. I wonder if you have any sense of where Ohio ranks in its support to higher education compared to other states generally?

Mr. Shkurti:

Ms. Longaberger, depending upon who you ask, you sometimes get a different answer to that question, but, consistently, Ohio ranks in the bottom ten of the 50 states.

Ms. Longaberger:

For total support for higher education?

Mr. Shkurti:

For total support, per capita, for higher education. So it's anywhere between 41st and 49th.

Ms. Longaberger:

So we're already starting in a tough position.

Mr. Shkurti:

Yes, we're starting from behind. You may recall we have had discussions and the Board of Regents has had those discussions with the Legislature. If you take a look at Ohio's per capita personal income compared to the rest of the country, it's been going down since 1950 at least. Our investment in higher education and the overall educational attainment of our population has gone down as well. In fact, we're not generating the high-paying jobs other states are and so we're in a vicious cycle. That is why the governor has made such an emphasis on the Third Frontier plan, but for that to be successful, it needs a strong system of public higher education to support it.

Mr. Sofia:

Dimon --

Mr. McFerson:

In this day and age, when inflation is very low and the average rate of pay increases across the state and so forth are from zero to 3 or 4 percent, 12.9 percent sounds just horrible. But is it fair to say that for every student that we admit to this wonderful University there will be scholarship funds or opportunities for that student to be educated, notwithstanding this 12.9 percent increase? Because it sounds like we're putting more and more dollars into scholarship opportunities.

Provost Ray:

We've actually had a policy for many years -- and certainly this legislation will permit us to continue it -- to do our very best to make certain that students who in

BUDGET UPDATE (contd)

Provost Ray: (contd)

every other respect could be here, should be here, and would be successful here but for their economic circumstances, that we provide the economic resources to make certain that they're able to come here.

I think, under these circumstances, with this legislation we will continue to be able to put enough resources into student financial aid to make sure that the most economically disadvantaged students will not be shut out. On average, we typically put 20 percent or 20 cents on the dollar, of new tuition dollars, into student financial aid. That's not something many universities do, but it's something we've made a commitment to try to do.

Mr. Shkurti:

Mr. McFerson, I have had the opportunity to talk to my counterparts both here in Ohio, through IUC, and also through the Big Ten business officers. And we were talking about this issue at our last round of meetings. Although more and more schools are doing what we're doing – which is adding to student financial aid when they increase tuition – we've been doing that for 10 years. We were one of the first and we're the most aggressive.

This is not making more loans available, these are grants that discount tuition based on the student and the family's ability to pay. So far in this decade as our tuition has moved up, we've been able to make an entering freshman class that's better prepared and more diverse economically as well as racially and otherwise. As Ed mentioned, we hope to continue that commitment.

Mr. McFerson:

I think that's a wonderful story that needs to be told at the same time this increase will be publicized maybe in negative ways. We've got a wonderful story to tell with regard to our students.

Mr. Sofia:

Bill, this may be a challenging question for you. I realize we need to do this to provide quality education to make up the difference from the shortfall of state funding and so forth. But in your opinion, what is the downside risk of doing what we have done?

Mr. Shkurti:

Well, Mr. Chairman, I think there are three risks. One is that students will look at the headlines which will say "X Percent Increase" and think that they can't afford to come here because they don't understand what we've done with financial aid. So we want to make sure we communicate that, and I think our students who are here understand it. But the students who we would recruit need to understand it as well, so that we don't suffer a drop in enrollments overall or in the economic diversity and racial diversity of our class. So that's risk number one, but it's a risk we can manage.

The second risk is by doing this in the fall, it is going to put a lot of stress on the student financial aid staff. They know this is coming. They said that they will do whatever it takes to make this work in terms of reworking financial aid packages, so that the students who are currently on financial aid will have their financial aid automatically increased. So that will be good and we'll be doing it, but I suspect

BUDGET UPDATE (contd)

Mr. Shkurti: (contd)

those offices are going to be getting a lot of phone calls from students wondering about their status. We're working to help them and other offices in the University will be helping them with person power and so forth.

I think the third risk is a political one. Generically, you may have read that Congress now is getting interested in why higher education costs so much and the possibility is always there of some sort of federal legislation. The Legislature sometimes speaks to us with two voices. On the one hand, they say to us when money is tight that, "We realize we can't help you, but we're allowing you more flexibility under the tuition caps," so we raise tuition. Then there's an outcry and the Legislature says, "Why are you raising tuition?" In that case they tend to treat us like a public utility and it seems in some ways the less state money we get, the more interest we get from the state in helping us run our affairs.

So that's part of what it takes, I think, in being a public institution, particularly in the state capital. I think the fact that we are a public institution is part of what makes us special and I wouldn't trade it for the world, but I think we're going through a change. The important thing is to do it in a way that is intelligent, thoughtful, strategic, fair to our students, and fair to the taxpayers and the people who support us.

Mr. Sofia:

President Holbrook, do you have any comments?

President Holbrook:

No. That was a good discussion, thank you.

2003-04 UNDERGRADUATE TUITION

Resolution No. 2004-1

Synopsis: Instructional and general fees for undergraduate students enrolled at all campuses for Fiscal Year 2003-04 are proposed, effective Autumn Quarter 2003.

WHEREAS the Board of Trustees reaffirms the University's commitment to the Academic Plan and the continued priority to fund those initiatives to meet the needs of Ohio State students; and

WHEREAS Am. Sub. H.B. 95 as enacted reestablishes fee caps for undergraduate instructional and general fees above the 2003 academic year of 9% for the University's Columbus Campus and 6% for the regional campuses and the Agricultural Technical Institute and allows each State university to increase instructional and general fees an additional 3.9%, directing that the additional increase be used only for scholarships for low-income students or for improved technology services for students; and

WHEREAS Am. Sub. H.B. 95 also provides that the Board of Trustees cannot increase undergraduate instructional and general fees by more than 9% at the Columbus Campus or by more than 6% at the regional campuses and ATI in a single vote; and

WHEREAS the Board of Trustees increased undergraduate instructional and general fees at the May 2 meeting, effective Summer Quarter 2003, and now it is necessary for a second vote to increase all undergraduate instructional and general fees above the 2003 academic year by 12.9% at the Columbus Campus and by 9.9% at the regional campuses and ATI; and

2003-04 UNDERGRADUATE TUITION (contd)

WHEREAS the tuition rates to be adopted are specifically based on the level of State support provided in Am. Sub H.B. 95:

NOW THEREFORE

BE IT RESOLVED, That effective Autumn Quarter 2003 instructional and general fees for all undergraduates enrolled at the Columbus Campus be increased to 12.9% above the 2003 academic year, for a total quarterly fee of \$1,997 for full-time students first enrolled prior to Summer Quarter 2002 (tier 1), \$2,180 for full-time students first enrolled after Spring Quarter 2002 and prior to Summer Quarter 2003 (tier 2), and \$2,208 for full-time students who first enrolled after Spring Quarter 2003 (tier 3); and

BE IT FURTHER RESOLVED, That effective Autumn Quarter 2003 instructional and general fees for all undergraduates enrolled at the regional campuses and ATI be increased 9.9% above the 2003 academic year before adjustments for Access Challenge offsets.

Upon motion of Mr. McFerson, seconded by Mr. Sofia, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Sofia, Slane, McFerson, Borrer, O'Dell, and Judge Duncan, Mses. Longaberger and Hendricks.

(See Appendix I for background information, page 93.)

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CONSENT AGENDA

President Karen A. Holbrook:

We have twenty-two resolutions on the Consent Agenda today and I would like to ask that # 16 – the Appointment and Reappointment of Investment Managers -- #17 – the Authorization, Issuance and Sale of General Receipts Series 2003 B Bonds – and #18 – the Authorization, Issuance and Sale of Variable Rate Demand General Receipts Series 2003 C Bonds – be considered separately. We are seeking your approval for the following:

AMENDMENTS TO COMMITTEE APPOINTMENTS FOR 2003-2004

Resolution No. 2004-2

BE IT RESOLVED, That the appointments to Committees and representatives to various Boards for 2003-2004 be amended as follows:

Academic and Student Affairs Committee:*

Tami Longaberger, Chair
Daniel M. Slane, Vice Chair
Douglas G. Borrer
Walden W. O'Dell
Paula A. Habib

Facility Planning (AdHoc)*

(Subcommittee of Fiscal Affairs)
Robert M. Duncan, Chair
Daniel M. Slane
Karen L. Hendricks
Douglas G. Borrer

Fiscal Affairs Committee:*

Robert M. Duncan, Chair
Jo Ann Davidson, Vice Chair
Dimon R. McFerson
Karen L. Hendricks
Emily M. Quick

INVESTMENTS COMMITTEE:

ZUHEIR SOFIA, CHAIR
KAREN L. HENDRICKS, VICE CHAIR
JO ANN DAVIDSON
WALDEN W. O'DELL
JOHN GERLACH, JR. (Foundation Board) Ex Officio
WILLIAM INGRAM III (Foundation Board) Ex Officio

AMENDMENTS TO COMMITTEE APPOINTMENTS FOR 2003-2004 (contd)

Audit Committee:*

Dimon R. McFerson, Chair
Tami Longaberger, Vice Chair
Jo Ann Davidson
Karen L. Hendricks

Agricultural Affairs Committee:*

Daniel M. Slane, Chair
Fred L. Dailey, Vice Chair, Ex Officio
Robert M. Duncan
Karen L. Hendricks
Walden W. O'Dell
Emily M. Quick

Governance Committee:

Zuheir Sofia, Chair
Tami Longaberger, Vice Chair
Daniel M. Slane

Government Relations Advisory Council:*

Jo Ann Davidson, Chair
Douglas G. Borrer, Vice Chair
Daniel M. Slane
Dimon R. McFerson
Paula A. Habib
Walden W. O'Dell
David L. Brennan
Michael F. Colley
William Blair
Stan Aronoff
Thomas Hoaglin

The Arthur G. James Cancer Hospital & Richard J. Solove Research Inst. Bd:

Robert M. Duncan (3 years), Chair
Jo Ann Davidson (3 years)

University Hospitals Board:

Robert M. Duncan (3 years)
Jo Ann Davidson (3 years)

Campus Partners Board:

Douglas G. Borrer (3 years)

Research Foundation Board of Directors:

Karen L. Hendricks (2 years)

University Foundation Board Ex Officio:

Class of Directors:

Tami Longaberger (1 year)
Dimon R. McFerson (2 years)
Douglas G. Borrer (3 years)

Wexner Center Foundation Board:

Leslie H. Wexner (2005)
Jo Ann Davidson+

Ohio State University Affiliates, Inc.:

Affiliated Entities Committee:

Zuheir Sofia (1 year)
Tami Longaberger (2 years)
Daniel M. Slane (3 years)

SCIENCE AND TECHNOLOGY CAMPUS BOARD OF DIRECTORS:

WALDEN W. O'DELL

Regional Campus Trustee Liaisons:

Jo Ann Davidson, Mansfield
Tami Longaberger, Newark
Robert M. Duncan, Marion
Walden W. O'Dell, Lima

Self-Insurance Trust Board:

Dimon R. McFerson (3 years)

Richard M. Ross Heart Hospital:

Dimon R. McFerson

+President's Appointee

***Board Chair, Ex Officio member of all committees**

APPOINTMENTS TO THE ADRIA KRAVINSKY FOUNDATION BOARD OF DIRECTORS

Resolution No. 2004-3

Synopsis: Appointments to the Adria Kravinsky Foundation Board of Directors are recommended for approval.

WHEREAS on March 31, 2003, the Adria Kravinsky Foundation (the "Foundation") was established by Drs. Zell and Emily Kravinsky of Philadelphia, Pennsylvania; and

**APPOINTMENTS TO THE ADRIA KRAVINSKY
FOUNDATION BOARD OF DIRECTORS (contd)**

WHEREAS the Foundation shall operate exclusively to support, solely through its charitable and educational activities, The Ohio State University and the University's School of Public Health; and

WHEREAS the Foundation shall be operated, supervised and controlled by The Ohio State University; and

WHEREAS the Foundation shall be characterized as a supporting organization under Section 509(a) of the Internal Revenue Code due to its operation and supervision; and

WHEREAS the Foundation's Articles of Incorporation and Bylaws call for the appointment of three representatives from the University to the five-member Board of Directors of the Foundation:

NOW THEREFORE

BE IT RESOLVED, That Fred Sanfilippo, Caroline Whitacre, and Joseph Bull are hereby authorized to serve on the Board of Directors of the Adria Kravinsky Foundation and in their capacity as a director of the Foundation are hereby authorized and instructed to represent the interest of the University as part of their official responsibilities to the University, entitling them to any immunity, insurance, or indemnity protection to which officers and employees of the University are, or hereafter may become, entitled.

**APPOINTMENTS TO THE UNIVERSITY HOSPITALS BOARD AND
UNIVERSITY HOSPITALS EAST BOARD**

Resolution No. 2004-4

Synopsis: Approval of appointments to the University Hospitals Board and University Hospitals East Board is proposed.

WHEREAS the membership of the Hospitals Board was approved on April 4, 1980; and

WHEREAS the Board of Trustees on December 6, 2001, approved the establishment of a University Hospitals East Board:

NOW THEREFORE

BE IT RESOLVED, That Pamela Farber and George A. Skestos be appointed as citizen members of the University Hospitals East Board for the terms July 1, 2003, through May 30, 2006, and that George A. Skestos be appointed as chairperson; and

BE IT FURTHER RESOLVED, That George A. Skestos be appointed as vice chairperson of The Ohio State University Hospitals Board.

**AMENDMENTS TO THE MEDICAL STAFF BYLAWS AND RULES AND
REGULATIONS OF THE OHIO STATE UNIVERSITY HOSPITALS EAST**

Resolution No. 2004-5

Synopsis: The amendments to the *Medical Staff Bylaws and Rules and Regulations* of The Ohio State University Hospitals East are recommended for approval.

AMENDMENTS TO THE *MEDICAL STAFF BYLAWS AND RULES AND REGULATIONS* OF THE OHIO STATE UNIVERSITY HOSPITALS EAST (contd)

WHEREAS The Ohio State University Hospitals Board pursuant to bylaw 3335-101-04 of the Hospitals Board Bylaws is authorized to recommend to the Board of Trustees the adoption of amendments to the *Medical Staff Bylaws and Rules and Regulations* of The Ohio State University Hospitals East; and

WHEREAS the proposed amendments to the *Medical Staff Bylaws and Rules and Regulations* of The Ohio State University Hospitals East were approved by the University Hospitals Board on May 22, 2003, as attached.

NOW THEREFORE

BE IT RESOLVED, That the attached amendments to the *Medical Staff Bylaws and Rules and Regulations* of The Ohio State University Hospitals East be adopted as recommended by the University Hospitals Board.

(See Appendix II for background information, page 97.)

REGIONAL CAMPUS BOARD APPOINTMENT/REAPPOINTMENT

Resolution No. 2004-6

Synopsis: Approval of an appointment/reappointment to The Ohio State University Lima Campus Board is proposed.

WHEREAS the Board of Trustees in 1994 approved the establishment of The Ohio State University Regional Campus Boards; and

WHEREAS it has been previously stipulated that "the board shall be composed of ten members appointed by The Ohio State University Board of Trustees in consultation with the president of the university;" and

WHEREAS the following named persons have been nominated and selected for appointment/reappointment to the Lima Campus Board for the terms as specified:

Lima Board Appointment

Robert C. Young, 3-year term

Lima Board Reappointment

Dow Wagner, 3-year term (3rd term)

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominees be approved as members of The Ohio State University-Lima Board, effective July 1, 2003.

AMENDMENTS TO THE REGIONAL CAMPUS BOARD OF TRUSTEES BYLAWS

Resolution No. 2004-7

Synopsis: Approval of amendments to the *Bylaws* of each of the Regional Campuses Boards (Lima, Mansfield, Marion, and Newark) is recommended.

WHEREAS pursuant to bylaw 3335-1-09 of the *Bylaws of the Board of Trustees*, the *Bylaws* of each of the Regional Campuses Boards may be amended by The Ohio State University Board of Trustees; and

WHEREAS the following changes to the *Bylaws* of each of the Regional Campuses Boards reflect the recommendations made by the Commission on Regional Campuses; and

WHEREAS the proposed amendments to the *Bylaws* of each of the Regional Campuses Boards are recommended as attached:

NOW THEREFORE

BE IT RESOLVED, That the attached amendments to the *Bylaws* of each of the Regional Campuses Boards be adopted, effective immediately.

(See Appendix III for Newark Board *Bylaws*, page 131. Identical changes have been made to the Lima, Mansfield, and Marion Board *Bylaws*.)

**RENAMING OF THE DEPARTMENT AND UNDERGRADUATE PROGRAM
OF HUMAN NUTRITION AND FOOD MANAGEMENT**

Resolution No. 2004-8

Synopsis: Renaming of the Department of Human Nutrition and Food Management and the undergraduate program of Human Nutrition and Food Management is proposed.

WHEREAS in 2001 the undergraduate program in Hospitality Management and three faculty who teach and do research in this area were transferred from the Department of Human Nutrition and Food Management to the Department of Consumer and Textile Sciences; and

WHEREAS the remaining faculty and professional staff in the Department of Human Nutrition and Food Management are all specialists in the area of human nutrition and the department plans to enhance the quality of its academic programs and its scholarly activities in nutrition sciences; and

WHEREAS the department faculty and professional staff voted unanimously to change the name of the department and the undergraduate program and the faculty of the College of Human Ecology unanimously supported the changes; and

WHEREAS academic units with related interests – animal sciences, food science and technology, and medical dietetics – supported the proposals; and

WHEREAS the proposal was discussed and approved unanimously by the Council on Academic Affairs, and was approved by the University Senate at its June 5, 2003 meeting:

NOW THEREFORE

BE IT RESOLVED, That the Department of Human Nutrition and Food Management and the program name be changed to the Department of Human Nutrition and the undergraduate program in Human Nutrition, effectively immediately.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 2004-9

Synopsis: Approval of the following amendments to the *Rules of the University Faculty* are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the *Rules of the University Faculty* as approved by the University Senate; and

WHEREAS the proposed changes in the *Rules of the University Faculty* were approved by the University Senate on June 5, 2003:

New Rule

3335-11-10 Recreational Sports Committee.

(A) Membership.

The recreational sports committee shall consist of twenty-one members:

- (1) Two faculty members appointed by the faculty council. The term of service is two years.
- (2) Nine students:
 - (a) Two graduate students selected by the council of graduate students. The term of service is two years.
 - (b) One professional student selected by the inter-professional council. The term of service is one year.
 - (c) Six undergraduate students selected by the undergraduate student government. The term of service is two years.
- (3) Two staff members selected by the university staff advisory committee. The term of service is two years.
- (4) Seven administrators, ex officio, non-voting, or their designees:
 - (a) The vice president for student affairs.
 - (b) The senior vice president for business and finance.
 - (c) The director of the department of recreational sports.
 - (d) The director of the department of physical facilities.
 - (e) The director of the department of athletics.
 - (f) The director of the school of physical activity and educational services.
 - (g) The director of the student wellness center.
- (5) One non-voting staff member from the department of recreational sports to act as the secretary for the committee. The term of service is one year.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

New Rule (contd)

(B) Duties and responsibilities.

- (1) Initiate recommendations and review proposals with regard to policies that may affect the recreational sports facilities and programs.
- (2) Make recommendations to the director of recreational sports regarding the usage priority for recreational sports facilities space, co-operative recreational sports ventures, and adjustments to agreements specified in the 1998 Larkins hall "Project Memorandum of Understanding."
- (3) Collect feedback on recreational sports issues in order to evaluate the quality of recreational sports facilities maintenance, daily operations, and programs, recommending changes as appropriate.
- (4) Annually review and revise the long-term maintenance plan for recreational sports facilities.
- (5) Reviewing all budgets and expenditures of the department of recreational sports and the appropriate portions of budgets and expenditures of all general funds, student affairs funds, college of education funds, and department of athletics funds that contribute to recreational facilities operations and programs.
- (6) Deciding the future of the Larkins hall construction debt service portion of the student recreation fee once the debt service has been retired.
- (7) Recommending the disbursement of windfall dollars such as donor gifts, corporate sponsorship and advertising revenue upon review of established recreational sports facilities funding proformas.
- (8) Recommending intrer-departmental fees for non-recreational sports use of space designed and funded for recreational sports.
- (9) Serve as a channel of communication for information regarding recreational sports among the office of business and finance, the department of physical facilities, the college of education, the school of physical activity and educational services, the department of athletics, the council on student affairs, the athletic council, the undergraduate student government, the council of graduate students, the inter-professional council, faculty council, and the university staff advisory committee.
- (10) Report annually to the council on student affairs.

(C) Organization.

- (1) The chair shall be elected from among the student membership of the committee.
- (2) The committee shall establish operating procedures to conduct, in an orderly fashion, the functions of the committee.

Amended Rules

3335-5-33 Membership.

There shall be a council on research and graduate studies constituted as follows:

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

- (A) Unchanged.
- (B) Chair. The vice provost for graduate studies and dean of the graduate school shall chair the council on research and graduate studies.
- (C) ~~Elected faculty~~ Faculty members. ~~Forty~~ Forty-four members of the graduate faculty, ~~four~~ elected ~~by~~ from the regular members of the graduate faculty ~~from~~ of each of the ~~ten~~ seventeen colleges with graduate areas programs and the group of cross-college interdisciplinary graduate programs so designated by the dean of the graduate school: business (3), social work (1), food, agricultural, and environmental sciences (3), human ecology (1), the arts (4), biological sciences (4), business, education (4), engineering sciences (4), humanities (4), mathematical and physical sciences and mathematics (4), professional biological sciences medicine and public health (1), nursing (1), dentistry (1), optometry (1), veterinary medicine (1), pharmacy (1), and social and behavioral sciences (4), and interdisiplinary (2).
- (D) Alternate faculty members. Each faculty member of the council is expected to attend its meetings regularly, ~~but alternate~~ Alternate representatives shall be elected ~~for~~ from each ~~area~~ college and cross-college interdisciplinary group in order to assure full attendance at meetings when ~~elected~~ regular faculty members are justifiably absent. When ~~an elected~~ a regular member is off duty or unable to attend a meeting of the council, the member shall notify the secretary of the graduate school in advance of the meeting, giving the name of the alternate who will attend. The alternate shall then have the general powers and privileges in the council of the member represented.
- Service as an alternate does not make the alternate ineligible for ~~election to~~ membership in the council the following year.
- (E) Postdoctoral scholar. Two postdoctoral scholars appointed by the dean of the graduate school. The term of service is one year, and a member may be reappointed for a maximum of three years.
- ~~(E)~~(F) Student members. Twelve graduate students, ~~one from each of the ten graduate areas, and two at large,~~ shall be elected by the council of graduate students. These members shall serve terms of one year and shall be eligible for at most three consecutive terms.
- ~~(F)~~(G) Alternate student members. Each student member of the council is expected to attend its meetings regularly but an alternate representative shall be appointed for each member in order to assure full attendance at regular meetings when elected student members are justifiably absent. When a student member is unable to attend a meeting of the council, the member shall notify the secretary of the graduate school in advance of the meeting, giving the name of the alternate who will attend. The alternate shall then have the general powers and privileges in the council of the member represented.
- ~~(G)~~(H) The vice president for research is an ex officio member of the council.
- ~~(H)~~(I) The chair of the research committee is an ex officio member of the council if not already a member. (B/T 4/4/97, B/T 12/4/98, B/T 7/11/2003)

3335-5-34 Election of faculty members.

- (A) Faculty members shall be elected from each of the ~~ten~~ seventeen graduate ~~areas~~ colleges and the group of cross-college interdisciplinary programs defined in rule 3335-5-33 of the Administrative Code, for ~~staggered~~ terms of four years and shall be ineligible ~~for reelection~~ to serve for one year thereafter.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

- (B) ~~Elections~~ The election process shall be administered and supervised by the graduate school. ~~There shall be a council on research and graduate studies elections committee which shall be composed each year of the ten area members then retiring election process in each college having graduate programs and in the cross-college interdisciplinary program group. This committee shall have power to make final rules on all matters not specifically covered by these rules. Elections shall be held~~ The process shall be conducted by the college dean or designee in the spring quarter of each year for terms beginning with. Names of the persons selected through this process shall be reported to the graduate school. Faculty identified through this process will serve four year terms beginning in the following autumn quarter.

~~There shall be a council nominating committee in each area. The chair shall be the retiring member of the council representing that area. Members shall be the continuing members representing that area and the chair of the graduate studies committee for each graduate program in that area. In the absence of a graduate studies committee, the department chair or designee shall serve. The committee shall make a minimum of four nominations, including nominations received by petition from any six or more members of the graduate faculty of the area concerned. The elections committee shall prepare and mail the election ballot to each member of the graduate faculty. The election ballots shall be counted by that committee. The person or persons receiving the highest number of votes shall be elected to the vacancy or vacancies existing at the time of election. The person receiving the next highest number of votes shall be an alternate member for a term of two years. (B/T 7/11/2003)~~

3335-5-35 Powers and functions.

The council on research and graduate studies shall be the principal legislative body of the graduate school and, subject to modification or reversal by the graduate faculty, shall have the following powers and functions:

- (A) and (B) unchanged.
- (C) To submit to the council on academic affairs recommendations about proposals for adopting new courses and curricula involving graduate credit or for altering or abolishing existing ones ~~to the council on academic affairs~~.
- (D) To submit to the council on academic affairs recommendations about adopting or abolishing academic degrees administered by the graduate school ~~to the council on academic affairs~~.
- (E) through (G) unchanged.
- (H) To oversee the graduate area program representation plan established by rule 3335-5-33 of the Administrative Code.
- (I) Unchanged.

3335-5-485 Athletic council.

- (A) Unchanged.
- (B) Duties and responsibilities.
- (1) Develop, subject to the general authority of the president and the board of trustees, policies governing intercollegiate ~~and intramural~~ athletics, as the agent of the senate. The senate may hold these policies in review.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

(a) through (c) unchanged.

(d) ~~The athletic council shall appoint one of its members to the recreation-intramural sports advisory council. The athletic council shall keep informed of activities, needs, and plans for recreation and intramural sports programs through the director of university recreation and intramural sports.~~

Balance unchanged.

3335-5-488 Committee on honorary degrees.

(A) Membership.

The committee on honorary degrees shall consist of ~~five~~ seven members of the regular faculty selected by the faculty council. There shall be no more than two faculty members from the same college. The term of service is five years.

Balance unchanged.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Rules of the University Faculty* be adopted as recommended by the University Senate.

AMENDMENTS TO THE CODE OF STUDENT CONDUCT

Resolution No. 2004-10

Synopsis: Approval of the following amendments to the *Code of Student Conduct* are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the *Code of Student Conduct* as approved by the University Senate; and

WHEREAS the Council on Student Affairs has reviewed and approved said revisions to the *Code of Student Conduct*, and

WHEREAS the proposed changes in the *Code of Student Conduct* were approved by the University Senate at its June 5, 2003 meeting as follows:

Amended Rules

3335-23-04 Prohibited conduct.

Any student found to have engaged in the following conduct while within the university's jurisdiction, as set forth in rule 3335-23-02 of the Administrative Code, will be subject to disciplinary action by the university.

(A) through (G) unchanged.

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

(H) Failure to comply with university or civil authority.

Failure to comply with legitimate directives of authorized university officials, law enforcement or emergency personnel, identified as such, in the performance of their duties, including failure to identify oneself when so requested; or violation of the terms of a disciplinary sanction.

(I) through (O) unchanged.

(P) Riotous behavior.

(1) Participation in a disturbance with the purpose to commit or incite any action that presents a clear and present danger to others, causes physical harm to others, or damages property.

(2) Proscribed behavior in the context of a riot includes, but is not limited to:

(a) Knowingly engaging in conduct designed to incite another to engage in riotous behavior; and

(b) Actual or threatened damage to or destruction of university property or property of others, whether done intentionally or with reckless disregard; and

(c) Failing to comply with a directive to disperse by university officials, law enforcement or emergency personnel; and

(d) Intimidating, impeding, hindering or obstructing a university official, law enforcement or emergency personnel in the performance of their duties.

(3) This rule shall not be interpreted as proscribing peaceful demonstrations, peaceful picketing, a call for a peaceful boycott, or other forms of peaceful dissent.

3335-23-10 Hearing procedures.

Although the procedural requirements are not as formal as those existing in criminal or civil courts of law, to ensure fairness, the following procedures will apply and, unless already provided to the student, be included within the hearing notice:

(A) through (E) unchanged.

(F) Students are entitled to a presumption of innocence. Therefore, a student will not be found in violation unless:

(1) and (2) unchanged.

(3) In the event of a tie, the panel will continue to deliberate. If after the panel determines that exhaustive deliberations have occurred and a majority decision is not reached the student will be found not in violation.

3335-23-20 Interim suspension.

When the vice president for student affairs or designee has reasonable cause to believe that the student's presence on university premises or at a university-related or registered student organization activity poses a significant risk of substantial harm to the health or safety of others or to property, the student may be immediately suspended from all or any portion of university

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

premises, university-related activities or registered student organization activities, and is not permitted to participate in, or complete academic coursework. This temporary suspension will be confirmed by a written statement and shall remain in effect until the conclusion of a full hearing or administrative decision, without undue delay, in accordance with the rules of the Ohio state university. The student may, within three working days of the imposition of the suspension, petition the vice president for student affairs for reinstatement. The petition must be in writing, and must include supporting documentation or evidence that the student does not pose, or no longer poses, a significant risk of substantial harm to the health or safety of others or to property. A hearing on such petition will be conducted without undue delay by the vice president for student affairs or designee.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Code of Student Conduct* be adopted as recommended by the University Senate.

DEGREES AND CERTIFICATES - SUMMER QUARTER COMMENCEMENT

Resolution No. 2004-11

Synopsis: Approval of Degrees and Certificates for Summer Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on August 29, 2003, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 2004-12

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the May 30, 2003 meeting of the Board, including the following Appointments/Reappointments, Appointment/Reappointments of Chairpersons and Directors, Leaves of Absence Without Salary, Professional Improvement Leaves, and Emeritus Titles, and salary rolls with promotion and tenure, as detailed in the University Budget be approved.

PERSONNEL ACTIONS (contd)

Appointments

Name: STANLEY C. AHALT
Title: Executive Director
Center: Ohio Supercomputer Center
Term: July 1, 2003, through June 30, 2008
Concurrent Position: Professor, Department of Electrical Engineering

Name: ANIL ARYA
Title: Professor (The John J. Gerlach Chair)
College: The Max M. Fisher College of Business
Term: July 1, 2003, through June 30, 2008

Name: JANET BOX-STEFFENSMEIER
Title: Associate Professor (The Vernal G. Riffe Professorship in Government and Politics)
College: Social and Behavioral Sciences
Term: July 1, 2003, through June 30, 2008

Name: ROBERT A. BORNSTEIN
Title: Associate Vice President for Health Sciences
College: Medicine and Public Health
Effective: July 1, 2003
Present Position: Associate Dean for Academic Affairs, College of Medicine and Public Health

Name: MICHAEL A. CALIGIURI
Titles: Associate Vice President for Health Sciences (Cancer Programs)/
Director
Office/Center: Health Sciences/Comprehensive Cancer Center
Effective: July 1, 2003
Concurrent Position: Director and Professor, Division of Hematology/Oncology

Name: CHING-SHIH CHEN
Title: Professor (Charles H. Kimberly Professorship in Pharmacy)
College: Pharmacy
Term: October 1, 2003, through September 30, 2006

Name: ELIZABETH A. CONLISK
Title: Acting Vice President for University Relations
Office: University Relations
Term: July 31, 2003, through September 7, 2003
Present Position: Director, Media Relations

Name: LINDA L. HARLOW
Title: Associate Provost for Honors and Scholars
Office: Academic Affairs
Term: July 1, 2003, through June 30, 2007
Present Position: Interim Associate Provost for Honors and Scholars

Name: JACQUELINE J. ROYSTER
Title: Interim Dean
College: Humanities
Effective: July 1, 2003
Present Position: Associate Dean, College of Humanities

PERSONNEL ACTIONS (contd)

Appointments (contd)

Name: BARBARA Z. KIEFER
Title: Professor (The Charlotte S. Huck Professorship in Children's Literature)
School: Teaching and Learning
Term: October 1, 2003, through September 30, 2008
Present Position: Associate Professor (Robinson Professor of Children's Literature),
Teachers College, Columbia University, New York, NY

Name: ROBERT E. MICHLER
Title: Professor (The John G. and Jeanne Bonnet McCoy Chair in The Ohio
State University Heart Center)
College: Medicine and Public Health
Term: July 1, 2003, through June 30, 2007
Concurrent Position: Director, Division of Cardiothoracic Surgery, Department of Surgery

Name: WILLIAM M. MURPHY
Title: Vice President for University Relations
Office: University Relations
Effective: September 8, 2003
Present Position: Associate Chancellor for Public Affairs, University of Illinois at
Urbana-Champaign, Urbana, IL

Name: MICHAEL F. PARA
Title: Professor (Frank E. and Mary W. Pomerene Professorship in the
Prevention and Treatment of Infectious Diseases)
College: Medicine and Public Health
Term: July 1, 2003, through June 30, 2007

Name: DAVID E. SCHULLER
Title: Associate Vice President for Health Sciences (The Arthur G. James
Cancer Hospital and Richard J. Solove Research Institute)
Office: Health Sciences
Effective: July 1, 2003
Concurrent Position: Director of The James Cancer Hospital, and Chairperson, Department of
Otolaryngology

Name: BARBARA R. SNYDER
Title: Interim Executive Vice President and Provost
Office: Academic Affairs
Effective: July 31, 2003
Present Position: Interim Vice President for University Relations

Reappointments

Name: SUSAN W. FISHER
Title: Secretary of the University Senate
Office: Academic Affairs
Term: October 1, 2003, through September 30, 2006
Concurrent Position: Professor, Departments of Entomology and Veterinary Biosciences

Name: DEV S. PATHAK
Title: Interim Dean for Public Health
College/School: Medicine and Public Health/School of Public Health
Term: June 1, 2003 through September 30, 2003

PERSONNEL ACTIONS (contd)

Appointment of Chairpersons

July 1, 2003, through June 30, 2007

Dance
Near Eastern Languages and Cultures

L. Scott Marsh
Richard Davis

Reappointment of Chairpersons and Directors

July 1, 2003, through September 30, 2003

Family Medicine
James Cancer Hospital
Nisonger Center
Pediatrics

Mary Jo Welker
David E. Schuller
Steven Reiss
Thomas N. Hansen

Reappointment of Men's Head Football Coach

JAMES P. TRESSEL, new 6-year contract. Term of the contract: February 1, 2003, through January 31, 2009, with possible 3-year extension.

Leaves of Absence Without Salary

GREGORY A. CALDEIRA, Distinguished University Professor, Department of Political Science, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to serve as the Jack N. Pritzker Distinguished Professor of Law in the School of Law at Northwestern University.

JON A. KROSNICK, Professor, Department of Psychology, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to accept a visiting professorship in the Department of Communication at Stanford University.

MOHAMED F. YOUSIF, Professor, Department of Mathematics (Lima Campus), effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to carry out research collaborations at the Al-Azhar University, the Cairo University, and The American University in Cairo, Cairo, Egypt.

SUSAN DALLAS-SWANN, Associate Professor, Department of Art, effective Autumn Quarter 2003, for personal reasons.

LAURA N. LISBON, Associate Professor, Department of Art, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to except a position at the Maine College of Art.

NATHAN S. ROSENSTEIN, Associate Professor, Department of History, effective Autumn Quarter 2003, to accept a visiting appointment at the University of California at Berkeley.

PHILIP A. ARMSTRONG, Assistant Professor, Department of Comparative Studies, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to work on a book manuscript.

Leave of Absence Without Salary – Cancellation

SYLVIA A. BERRYMAN, Assistant Professor, Department of Philosophy, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004.

Leave of Absence Without Salary--Change in Dates

CATHERINE A. HEANEY, Associate Professor, School of Public Health, change leave from Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to Winter Quarter and Spring Quarter 2004.

PERSONNEL ACTIONS (contd)

Professional Improvement Leaves

WOLFGANG D. BAUER, Professor, Department of Horticulture and Crop Science, effective Summer Quarter and Autumn Quarter 2003, and Winter Quarter and Spring Quarter 2004.

JEFFREY J. DANIELS, Professor, Department of Geological Sciences, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004.

PATRICIA B. REAGAN, Professor, Department of Economics, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004.

MOHAMED F. YOUSIF, Professor, Department of Mathematics (Lima Campus), effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

CRICHTON L. OGLE, Associate Professor, Department of Mathematics, effective Winter Quarter and Spring Quarter 2004.

MARIO PERUGGIA, Associate Professor, Department of Statistics, effective Winter Quarter 2004.

Professional Improvement Leaves—Change in Dates

STUART H. ZWEBEN, Professor and Chair, Department of Computer and Information Science, change leave from Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to Autumn Quarter 2004, Winter and Spring Quarter 2005.

ELIZABETH B. DAVIS, Associate Professor, Department of Spanish and Portuguese, change leave from Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

Emeritus Titles

EDWARD J. RAY, Office of Academic Affairs and Department of Economics, with the title Executive Vice President and Provost and Professor Emeritus, effective August 1, 2003.

KENNETH J. BREEDING, Department of Electrical Engineering, with the title Professor Emeritus, effective July 1, 2003.

DAVID M. BUTLER, School of Music, with the title Professor Emeritus, effective July 1, 2003.

JAMES L. GINTER, Department of Marketing and Logistics, with the title Professor Emeritus, effective July 1, 2003.

LOUIS A. JACOBS, College of Law, with the title Professor Emeritus, effective July 1, 2003.

DANIEL L. JENSEN, Department of Accounting and Management Information Systems, with the title Professor Emeritus, effective July 1, 2003.

JAMES S. KING, Department of Neuroscience, with the title Professor Emeritus, effective July 1, 2003.

PAPPACHAN E. KOLATTUKUDY, Department of Molecular and Cellular Biochemistry, with the title Professor Emeritus, effective July 1, 2003.

JOEL L. MORRISON, Department of Geography, with the title Professor Emeritus, effective July 1, 2003.

PERSONNEL ACTIONS (contd)

Emeritus Titles (contd)

WILLIAM R. FENNER, Department of Veterinary Clinical Sciences, with the title Associate Professor Emeritus, effective July 1, 2003.

RUTH A. FOOTE, Ohio State University Extension, with the title Associate Professor Emeritus, effective July 1, 2003.

ANGELIKA R. GERBES, Department of Dance, with the title Associate Professor Emeritus, effective July 1, 2003.

SHARON K. HOUSEKNECHT, Department of Sociology, with the title Associate Professor Emeritus, effective July 1, 2003.

DENNIS A. PARKER, Department of Theatre, with the title Associate Professor Emeritus, effective July 1, 2003.

JOHN O. RIEDL, Department of Mathematics (Mansfield Campus), with the title Associate Professor Emeritus, effective July 1, 2003.

WILLIAM J. SULLIVAN, Department of English (Lima Campus), with the title Associate Professor Emeritus, effective October 1, 2003.

NORMAN L. MOLL, Ohio State University Extension, with the title Assistant Professor Emeritus, effective July 1, 2003.

Promotions, Tenure, and Reappointments

COLLEGE OF THE ARTS

PROMOTION TO PROFESSOR

Bole, Mary Jo S., Art - effective 10/01/2003

Brandesky, Jr., Joseph E., Theatre, Lima - effective 10/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Hong, Caroline J., School of Music - effective 10/01/2003

Modrak, Rebekah, Art - effective 10/01/2003

Stanton, Nicole L., Dance - effective 10/01/2003

Valentine, Jr., Edward E., Art, Lima - effective 10/01/2003

COLLEGE OF BIOLOGICAL SCIENCES

PROMOTION TO PROFESSOR

Simcox, Amanda A., Molecular Genetics - effective 10/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Foster, Mark P., Biochemistry - effective 10/01/2003

Gopalan, Venkat, Biochemistry - effective 10/01/2003

Herman, Paul K., Molecular Genetics - effective 10/01/2003

Lanno, Roman P., Entomology - effective 10/01/2003

Meier, Iris, Plant Biology - effective 10/01/2003

Waite, Thomas A., Evolution, Ecology & Organismal Biology - effective 10/01/2003

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF DENTISTRY

PROMOTION TO PROFESSOR

Griffen, Ann L. - effective 07/01/2003
Herness, M. Scott - effective 07/01/2003
Mallery, Susan R. - effective 07/01/2003
Mariotti, Angelo J. - effective 07/01/2003
Travers, Susan P. - effective 07/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Dhabhar, Firdaus S. - effective 07/01/2003
Leys, Eugene J. - effective 07/01/2003
Moursi, Amr M. - effective 07/01/2003
Padgett, David A. - effective 07/01/2003

TENURE [at rank of Associate Professor]

Firestone, Allen R. - effective 07/01/2003

**COLLEGE OF DENTISTRY
REGULAR CLINICAL**

PROMOTION TO PROFESSOR-CLINICAL

Weaver II, Joel M. - effective 07/01/2003

PROMOTION TO PROFESSOR-CLINICAL AND REAPPOINTMENT

Ness, Gregory M. - effective 07/01/2003 and 07/01/2004, respectively

REAPPOINTMENT

Dillard, Kristi A. - effective 07/01/2004
Hall, David L. - effective 07/01/2004
Jolly, Daniel E. - effective 07/01/2004
McClure, Beverly A. - effective 07/01/2004
Rashid, Robert G. - effective 07/01/2004
Reed, Daniel N. - effective 07/01/2004

COLLEGE OF EDUCATION

PROMOTION TO PROFESSOR

Scharer, Patricia L., School of Teaching & Learning - effective 10/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Armstrong, Ketra L., School of Physical Activity & Educational Services - effective 10/01/2003
Granello, Paul F., School of Physical Activity & Educational Services - effective 10/01/2003
Seidl, Barbara L., School of Teaching & Learning - effective 10/01/2003
Sweetland, Scott R., School of Educational Policy & Leadership - effective 10/01/2003
Tyson, Cynthia A., School of Teaching & Learning - effective 10/01/2003
Wong, Shelley, School of Teaching & Learning - effective 10/01/2003

COLLEGE OF ENGINEERING

PROMOTION TO PROFESSOR

Berger, Paul R., Electrical Engineering - effective 10/01/2003
Buchheit, Rudolph G., Materials Science & Engineering - effective 10/01/2003
Castro, Jose M., Industrial, Welding & Systems Engineering - effective 10/01/2003
Roblin, Patrick, Electrical Engineering - effective 10/01/2003

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF ENGINEERING (contd)

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Machiraju, Raghu, Computer & Information Science - effective 10/01/2003
Mishalani, Rabi G., Civil & Environmental Engineering & Geodetic Science - effective 10/01/2003
Walter, Mark E., Mechanical Engineering - effective 10/01/2003
Weavers, Linda K., Civil & Environmental Engineering & Geodetic Science - effective 10/01/2003

COLLEGE OF FOOD, AGRICULTURAL & ENVIRONMENTAL SCIENCES

PROMOTION TO PROFESSOR

Graham, Terrence L., Plant Pathology - effective 07/01/2003
Miller, Sally A., Plant Pathology - effective 07/01/2003
Zhang, Howard Q., Food Science & Technology - effective 07/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Courtney, Polly D., Food Science & Technology - effective 07/01/2003
Dorrance, Anne E., Plant Pathology - effective 07/01/2003
Francis, David M., Horticulture & Crop Science - effective 07/01/2003
Haab, Timothy C., Agricultural, Environmental & Development Economics - effective 10/01/2003
Jang, Jyan-Chyun, Horticulture & Crop Science - effective 07/01/2003
Roe, Brian E. Agricultural, Environmental & Development Economics - effective 07/01/2003
Soboyejo, Alfred B. O., Food, Agricultural & Biological Engineering - effective 10/01/2003

EXTENSION

PROMOTION TO ASSOCIATE PROFESSOR

Nolan, Jill E. - effective 07/01/2003
Penrose, Christopher D. - effective 07/01/2003
Samples, David H. - effective 07/01/2003
Schwartz, Vicki J. - effective 07/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Bowling, Chester J. - effective 07/01/2003
Conglose, John B. - effective 07/01/2003

COLLEGE OF HUMANITIES

PROMOTION TO PROFESSOR

Davis, Robert C., History – effective 10/01/2003
Sikainga, Ahmad A., History – effective 10/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Adams, David D., English, Lima - effective 10/01/2003
Allen, Chadwick, English - effective 10/01/2003
Alwes, Derek B., English, Newark - effective 10/01/2003
Bender, Mark A., East Asian Languages & Literatures - effective 10/01/2003
Burks, Deborah G., English, Lima - effective 10/01/2003
Jones, Christopher A., English - effective 10/01/2003
Murphy, Lucy E., History, Newark - effective 10/01/2003
Phelps, Christopher B., History, Mansfield - effective 10/01/2003
Reed, Christopher A., History - effective 10/01/2003
Schwenter, Scott A., Spanish & Portuguese - effective 10/01/2003
Sieber, Patricia A., East Asian Languages & Literatures - effective 10/01/2003
Urban, Hugh B., Comparative Studies in the Humanities - effective 10/01/2003
Wilson, Ara A., Women's Studies - effective 10/01/2003

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF HUMAN ECOLOGY

PROMOTION TO PROFESSOR

Gavazzi, Stephen M., Human Development & Family Science - effective 10/01/2003

Serovich, Julianne M., Human Development & Family Science - effective 07/01/2003

COLLEGE OF LAW

PROMOTION TO PROFESSOR

Cole, Sarah R. - effective 08/16/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Johnson, Creola - effective 08/16/2003

LIBRARIES

PROMOTION TO PROFESSOR

Couch, Nena L. - effective 07/01/2003

Walden, G. R. - effective 07/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Courtney, Nancy D. - effective 07/01/2003

Rodman, Ruey L. - effective 07/01/2003

COLLEGE OF MATHEMATICAL & PHYSICAL SCIENCES

PROMOTION TO PROFESSOR

Babcock, Loren E., Geological Sciences - effective 10/01/2003

Chin, Yu-Ping, Geological Sciences - effective 10/01/2003

Pogge, Richard W., Astronomy - effective 10/01/2003

Singer, Sherwin J., Chemistry - effective 10/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Kohler, Bern, Chemistry - effective 10/01/2003

Leibman, Alexander, Mathematics - effective 10/01/2003

Lou, Yuan, Mathematics - effective 10/01/2003

Miller, Christopher L., Mathematics - effective 10/01/2003

Miralda, Jordi, Astronomy - effective 10/01/2003

COLLEGE OF MEDICINE & PUBLIC HEALTH

PROMOTION TO PROFESSOR

Besner, Gail E., Surgery - effective 07/01/2003

Jhiang, Sissy M., Physiology & Cell Biology - effective 07/01/2003

Pease, William S., Physical Medicine & Rehabilitation - effective 07/01/2003

Rammohan, Kottail W., Neurology - effective 07/01/2003

Wolf, Randall K., Surgery - effective 07/01/2003

Yu, Joseph P., Radiology - effective 07/01/2003

PROMOTION TO ASSOCIATE PROFESSOR [has tenure]

Bennett, William F., Radiology - effective 07/01/2003

PROMOTION TO ASSOCIATE PROFESSOR [without tenure]

Bourekas, Eric C., Radiology - effective 07/01/2003

Vitellas, Kenneth M., Radiology - effective 07/01/2003

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF MEDICINE & PUBLIC HEALTH (contd)

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Christoforidis, Gregory, Radiology - effective 07/01/2003
Frankel, Wendy L., Pathology - effective 07/01/2003
Henion, Paul D., Neuroscience - effective 10/01/2003
Leone, Gustavo W., Molecular Virology, Immunology & Medical Genetics - effective 10/01/2003
Mansky, Louis M., Molecular Virology, Immunology & Medical Genetics - effective 10/01/2003
Sen, Chandan K., Surgery - effective 07/01/2003
Zheng, Pan, Pathology - effective 07/01/2003

**COLLEGE OF MEDICINE & PUBLIC HEALTH
REGULAR CLINICAL**

PROMOTION TO PROFESSOR-CLINICAL

Murray, Robert D., Pediatrics - effective 07/01/2003
Tsao, Chang-Yong, Internal Medicine - effective 07/01/2003
Werman, Howard A., Emergency Medicine - effective 07/01/2003

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

French, Gina M., Pediatrics - effective 07/01/2003
Olshefski, Randal S., Pediatrics - effective 07/01/2003
Paolicchi, Juliann M., Pediatrics - effective 07/01/2003
Slone, Hasel W., Radiology - effective 07/01/2003
Trout, Wayne C., Obstetrics & Gynecology - effective 07/01/2003

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Ross, Jr., Patrick, Surgery - effective 07/01/2003 and 07/01/2004, respectively

REAPPOINTMENT

Batsky, Donald L, Pediatrics - effective 07/01/04
Batley, Rosalind J., Physical Medicine & Rehabilitation - effective 07/01/04
Bay, William H., Internal Medicine - effective 07/01/04
Blumenfeld, Michael L., Obstetrics & Gynecology - effective 07/01/04
Bowyer, Brian L., Physical Medicine & Rehabilitation - effective 07/01/04
Castellano, David, Ophthalmology - effective 07/01/04
Cronau, Holly R., Family Medicine - effective 07/01/04
Dietrich, Ann M., Pediatrics - effective 07/01/04
El-Shammaa, Emile N., Emergency Medicine - effective 07/01/04
Falkenhain, Michael E., Internal Medicine - effective 07/01/04
Galloway, Gloria M., Pediatrics - effective 07/01/04
Gavin, Thomas J., Emergency Medicine - effective 07/01/04
Gray, Linda S., Internal Medicine - effective 07/01/04
Gupta, Bhagwandas, Anesthesiology - effective 07/01/04
Hewitt, Geri D., Obstetrics & Gynecology- effective 07/01/04
Hitchcock, Charles L., Pathology - effective 07/01/04
Kaide, Colin G., Emergency Medicine - effective 07/01/04
Khabiri, Hooman, Radiology - effective 07/01/04
Kloos, Richard T., Internal Medicine - effective 07/01/04
Koranyi, Katalin I., Pediatrics - effective 07/01/04
McEntyre, Wanda L., Physical Medicine & Rehabilitation - effective 07/01/04
Medow, Mitchell A., Internal Medicine - effective 07/01/04
Nag, Subir, Radiology - effective 07/01/04
Nelson, Richard N., Emergency Medicine - effective 07/01/04
Pope-Harman, Amy L., Internal Medicine - effective 07/01/04
Pozderac, Rodney V., Radiology - effective 07/01/04

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

**COLLEGE OF MEDICINE & PUBLIC HEALTH
REGULAR CLINICAL (contd)**

REAPPOINTMENT (contd)

Ragosin, Robert J., Radiology - effective 07/01/04
Termuhlen, Amanda M., Pediatrics - effective 07/01/04
Tolbert, Herman A., Psychiatry - effective 07/01/04
Weed, Harrison G., Internal Medicine - effective 07/01/04
Weiland, Jeffrey E., Internal Medicine - effective 07/01/04
Wenger, Gail D., Pathology - effective 07/01/2004

**COLLEGE OF OPTOMETRY
REGULAR CLINICAL**

REAPPOINTMENT

Bristow-Jenkins, LeVelle R. - effective 07/01/2004
Flom, Roanne E. - effective 07/01/2004
Good, Gregory W. - effective 07/01/2004
Green, Cynthia H. - effective 07/01/2004

COLLEGE OF PHARMACY

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Hoyt, Dale G. - effective 10/01/2003
Pedersen, Craig A. - effective 07/01/2003

COLLEGE OF SOCIAL & BEHAVIORAL SCIENCES

PROMOTION TO PROFESSOR

Bromwich, David H., Geography - effective 10/01/2003
Krivo, Lauren J., Sociology - effective 10/01/2003
Meyer, Katherine, Sociology - effective 10/01/2003
Pitt, Mark A., Psychology - effective 10/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Frye, Timothy M., Political Science - effective 10/01/2003
McCorriston, Joy, Anthropology - effective 10/01/2003
McGraw, William S., Anthropology - effective 10/01/2003

COLLEGE OF SOCIAL WORK

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Early, Theresa J. - effective 10/01/2003

COLLEGE OF VETERINARY MEDICINE

PROMOTION TO PROFESSOR

Skarda, Sr., Roman T., Veterinary Clinical Sciences - effective 07/01/2003

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Dyce, Jonathan, Veterinary Clinical Sciences - effective 07/01/2003
Saville, William J., Veterinary Preventive Medicine - effective 07/01/2003
Stich, Roger W., Veterinary Preventive Medicine - effective 10/01/2003

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

**COLLEGE OF VETERINARY MEDICINE
REGULAR CLINICAL**

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Bergdall-Costell, Valerie K., Veterinary Preventive Medicine - effective 07/01/2003

REAPPOINTMENT

Masterson, Margaret A., Veterinary Preventive Medicine - effective 07/01/2004

RESOLUTIONS IN MEMORIAM

Resolution No. 2004-13

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

Robert E. Campbell

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 12, 2003, of Robert E. Campbell, Professor Emeritus in the Department of Psychology.

Professor Campbell held a Bachelor of Arts degree from the University of Cincinnati, and Master of Science and Doctor of Philosophy degrees from The Ohio State University. He was a psychologist in the Division of Counseling at Pennsylvania State University before joining the faculty of Psychology and the staff of the Center for Vocational Education at The Ohio State University in 1965. His teaching and scholarship focused on occupational psychology. Professor Campbell was a dedicated teacher and scholar.

Professor Campbell served as a consultant to the Veterans Administration and as an expert witness for the Social Security Administration. He was very committed to the application of occupational psychology to the international scene. His international activities included a Fulbright Professorship in Thailand, active membership in the International Association for Educational and Vocational Guidance, and leadership in projects conducted in Germany, The Netherlands, Poland, Sri Lanka, Tanzania, India, England, and Venezuela.

Among his notable scholarly achievements was his leadership in a project to develop a diagnostic taxonomy of adult career problems. He was an active member of his professional community, serving on the editorial boards of *The Journal of Vocational Behavior*, *The Personnel and Guidance Journal*, and *The Vocational Guidance Quarterly*. His activities also included membership on several professional committees, including the Scientific Affairs Committee of the Division of Counseling Psychology of the American Psychological Association, the Committee on Curriculum Development of the National Vocational Guidance Association, and the Committee on Counselor Renewal and Development of the American Personnel and Guidance Association.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Robert E. Campbell its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

RESOLUTIONS IN MEMORIAM (contd)

Gwendolyn B. Carson

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 11, 2003, of Gwendolyn B. Carson, Clinical Associate Professor Emeritus in the Department of Veterinary Clinical Sciences.

Professor Carson held a Bachelor of Science degree in bacteriology and a Master of Arts degree in physiological chemistry from The Ohio State University. She earned a doctoral degree in physiological chemistry from The Ohio State University in 1954 after completing a dissertation entitled "The Barbiturates in Forensic Chemistry." Professor Carson was a toxicology assistant in the Department of Physiological Chemistry and Pharmacology from 1944-50 and a toxicologist in the Franklin County Coroner's office from 1945-65. Professor Carson was an instructor in the Department of Physiological Chemistry and Pharmacology from 1950-63 and an instructor in the Department of Pharmacology from 1963-65. She was the director of the Toxicology Laboratory at OSU from 1957-65 when she was hired by the College of Veterinary Medicine to direct the Equine Drug Testing Laboratory. Professor Carson was appointed assistant professor in 1965 and clinical associate professor in 1973. She retired from The Ohio State University in 1980.

Her scholarship interest focused on developing and using methods to detect and identify various drugs, drug metabolites, and poisons in biological fluids collected from humans and animals, especially horses. Professor Carson established the Toxicology Laboratory at The Ohio State University in 1950 and was responsible for preparation of all toxicology reports, records, and chain of custody documentation. Professor Carson was the author of the *Analytical Toxicology Manual* that was used in the Toxicology Laboratory at The Ohio State University as well as that used at the University of California.

She was a member of the American Chemical Society, the American Association for the Advancement of Science, American Academy of Forensic Scientists, Sigma Xi, the American Association of Clinical Chemists, and a charter member of the International Association of Forensic Toxicologists. Professor Carson was widely admired for her dedication and integrity. Her knowledge and expertise in the field of forensic chemistry were recognized locally and nationally and she was frequently called as an expert witness by prosecuting attorneys to testify on cases involving drugs and poisons.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Gwendolyn Carson its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

Eldred B. Heisel

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 27, 2003, of Eldred B. Heisel, M.D., Professor Emeritus in the Department of Internal Medicine.

Dr. Heisel received his undergraduate degree from Akron University in 1933, and received his Doctor of Medicine degree from The Ohio State University in 1939. He conducted his internship at the Akron City Hospital from 1939-40, and completed his residency at Cleveland City Hospital from 1940-43.

Eldred Heisel returned to The Ohio State University in 1944 where he was appointed as a clinical instructor. In 1948 he was promoted to the rank of assistant clinical professor, and was promoted, again, in 1952 to the rank of associate clinical professor. Dr. Heisel subsequently became director of the Division of Dermatology, and in 1958 was promoted to the rank of professor.

Dr. Heisel held together an active and vigorous teaching program in the field of dermatology for many years. He was recognized as an outstanding senior dermatologist in the Columbus community and his contributions to The Ohio State University were many.

RESOLUTIONS IN MEMORIAM (contd)

Eldred B. Heisel (contd)

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Eldred B. Heisel its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

G. Deming Seymour

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 3, 2003, of G. Deming Seymour, Assistant Professor Emeritus in the Ohio State University Extension.

Mr. Seymour was born in 1919 in Rootstown, Ohio. He received his Bachelor of Science degree in 1946 in agriculture education and a Master of Science degree in agriculture in 1951, both from The Ohio State University.

Professor Seymour began his Extension career in Ohio in 1956 as the associate agent in Richland County. He became the 4-H agent there in 1958 and held this position until his retirement in 1979.

His contributions in providing excellent extension educational programs during his career earned him the respect and admiration of co-workers and associates throughout the state and nation. Under his leadership, 4-H enrollment increased to the highest number in the county history. He was also instrumental in recruiting numerous volunteer adults to serve as 4-H advisors. He worked with the Mansfield Police Department in setting up a bicycle safety, inspection, and identification program through local schools. He conducted Junior Leadership Training programs for older 4-H youth as well as educational training sessions for the Junior Fair Board members.

Because of his demonstrated abilities, many young people from Richland County received state and national awards and scholarships. Mr. Seymour received the Service to Youth Award from the National Association of 4-H Agents in 1970.

On behalf of the University community, the Board of Trustees expresses to the family of Mr. G. Deming Seymour its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Robert E. Taylor

The Board of Trustees at The Ohio State University expresses its sorrow upon the death on May 28, 2003, of Robert E. Taylor, Executive Director Emeritus in the Center on Education and Training for Employment and Professor Emeritus in the Department of Human and Community Resource Development.

Dr. Taylor was born in 1927 in Grants Pass, Oregon, to Harold and Edith Grace Taylor. He received his B.S. degree, cum laude, from the University of Arizona in 1952. He was named a Paul Steer Burgess Fellow and received his M.S. degree in 1953. He served as state supervisor of Agricultural Education and state advisor to the Future Farmers of America in the Arizona Department of Education until 1959, when he began work on his doctorate at The Ohio State University. He completed his doctorate in 1961 and was subsequently appointed to the faculty of Agricultural Education as an associate professor. He advanced to full professor three years later.

Dr. Taylor was an internationally recognized scholar and leader in the field of workforce preparation. He founded and served as executive director of the National Center for Research in Vocational Education at The Ohio State University from 1965 until he elected early retirement in 1986 to establish a consulting firm with his wife, Dr. Barbara Kline Taylor, in Silver City, New Mexico.

RESOLUTIONS IN MEMORIAM (contd)

Robert E. Taylor (contd)

During his 25-year tenure at The Ohio State University, he held professorial appointments in the Colleges of Education and Agriculture, and served as associate dean of both colleges from 1974 until leaving the University in 1986. Dr. Taylor served as a consultant to a wide variety of groups including: educational and business organizations, state boards and departments of education, universities, research and development agencies and institutions, community colleges, local school districts, private career schools, and colleges.

He chaired the Council for Educational Research and Development, the organization of national research centers and regional educational laboratories, and was a member of the organizing Board of Directors of the Career College Association. Dr. Taylor received numerous awards and recognitions throughout his career.

Upon his retirement, The Ohio State University Board of Trustees established The Robert E. Taylor Graduate Fellowship Fund. The University of Arizona gave him its Alumni Achievement Award at commencement in December 1987. In 1997, the Association for Career and Technical Education bestowed upon him its highest award, the prestigious Carl Perkins Humanitarian Award. That same year, the National Association of State Directors of Vocational-Technical Education conferred upon him its Distinguished Service Award; previously he had been made an honorary life member of that association.

After relocating to New Mexico in 1986, the governor appointed him to a four-year term as a member of the Commission on Higher Education. In 1998 Governor Gary Johnson appointed him to a five-year term as a University Regent at the New Mexico Institute of Mining and Technology, one of the West's premier research universities. It is nationally and internationally recognized for its science and engineering programs. He received the Professional Achievement Award from the Ohio State Alumni Association in 1999.

Dr. Taylor was an active member of Rotary, having served as president. He was also designated as a Paul Harris Fellow, Rotary's highest award. Most recently he became a member of the Western New Mexico University Foundation and was chairing its annual fund-raising committee.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Robert E. Taylor its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Harold E. Voss

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 2, 2003, of Dr. Harold E. Voss, Assistant Professor Emeritus in the College of Dentistry.

Dr. Voss, a native of Fremont, Ohio, graduated from the College of Dentistry in 1939, cum laude, and was inducted into Omicron Kappa Upsilon dental honorary. He was a member of The Ohio State University Marching Band and Concert Band and was one of the ceremonial herald trumpets who played taps on the Oval.

Upon graduation from the College of Dentistry, he entered a practice in Columbus that he maintained for 40 years with the exception of his active service as a dental officer in the U.S. Army Dental Corps from 1942-46. Following his honorable discharge, he returned to his practice while maintaining his commission in the Army Reserve from which he retired with the rank of major in 1952. In 1966 he joined the faculty of the College of Dentistry as a part-time clinical instructor rising to the rank of part-time assistant professor in 1970. Dr. Voss became a full-time member of the faculty in 1976 and retired from the College in 1984 with the rank of assistant professor emeritus.

RESOLUTIONS IN MEMORIAM (contd)

Harold E. Voss (contd)

A life member of the College of Dentistry Alumni Society and The Ohio State University Alumni Association, he was also a life member of the Ohio Dental Association and the American Dental Association and was a past officer of Delta Sigma Delta Dental Fraternity. He was also an active member of several Masonic and civic organizations.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Harold E. Voss its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2004-14

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for May 2003 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of May 2003 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2004-15

Synopsis: The report on the receipt of gifts and the summary for May 2003 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Endowed Chair for Director of The Ohio State University Marching Band, The Ford Motor Company Chair in Global Business Management, The Dr. Edward E. and Sylvia Hagenlocker Chair in Physics, The Alice Louise Ridenour Wood Chair in Mathematics, and The University Pathology Services Anatomic Pathology Professorship; and

WHEREAS this report includes the establishment of twenty-two (22) new named endowed funds, the amendment of two (2) named endowed funds, and a deletion of one (1) named endowed fund:

REPORT ON UNIVERSITY DEVELOPMENT (contd)

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of May 2003 be approved.

TOTAL UNIVERSITY PRIVATE SUPPORT

July through May
2002-03 Compared to 2001-02

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through May		
	<u>2002-03</u>	<u>2001-02</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$31,972,969	\$30,404,491	5
Alumni (From Bequests)	<u>3,724,150</u>	<u>5,382,380</u>	(31)
Alumni Total	\$35,697,119	\$35,786,871	0
Non-Alumni (Current Giving)	\$16,629,275	\$14,588,779	14
Non-Alumni (From Bequests)	<u>5,514,401</u>	<u>3,572,731</u>	54
Non-Alumni Total	\$22,143,676	\$18,161,510	22
Individual Total	\$57,840,795	\$53,948,381	7 ^A
Corporations/Corp/Foundations	\$36,335,223	\$39,130,941	(7) ^B
Private Foundations	\$17,005,153	\$18,838,877	(10) ^C
Associations and Other Organizations	<u>\$6,714,519</u>	<u>\$4,417,380</u>	<u>52^D</u>
Total	\$117,895,690	\$116,335,579	1

NOTES

- A Individual giving is up 7% largely due to the fact that gifts of \$10,000 or more are up 12% (497 gifts for \$35.1 million last year; 531 gifts for \$39.3 million this year).
- B Gifts of \$10,000 or more from corporations are down 7% compared with last year (\$29.2 million from 618 gifts this year; \$31.5 million from 641 gifts last year).
- C Foundation giving at the \$10,000 or more level is down 10% (\$18.0 million from 187 gifts last year; \$16.2 million from 207 gifts this year)
- D Giving from associations and other organizations at the \$10,000 or more level is up 79% for July- May of the fiscal year (\$5.0 million from 94 gifts this year; \$2.8 million from 97 gifts last year).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July from May
2002-03 Compared to 2001-02

GIFT RECEIPTS BY PURPOSE

	Dollars July through May		
	<u>2002-03</u>	<u>2001-02</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$21,901,905	\$19,369,448	13
Faculty Support	\$10,180,081	\$11,633,557	(12)
Program Support	\$61,972,712	\$64,336,265	(4)
Student Financial Aid	\$16,689,097	\$12,184,735	37
Annual Funds-Colleges/Departments	\$6,115,889	\$7,617,111	(20)
Annual Funds-University	<u>\$1,036,006</u>	<u>\$1,194,463</u>	<u>(13)</u>
Total	\$117,895,690	\$116,335,579	1

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through May

<u>2002-03</u>	<u>2001-02</u>	<u>% Change</u>
\$35,843,141	\$35,469,956	1

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Chair</u>			
The Endowed Chair for Director of The Ohio State University Marching Band (Used to support a chair position in the School of Music for the director of The Ohio State University Marching Band; provided by gifts from the estate of Dayton Eldridge)		\$1,532,163.00	\$1,532,163.00
<u>Establishment of Named Endowed Professorship</u>			
The University Pathology Services Anatomic Pathology Professorship (Used to support a professorship in the field of pathology research; provided by gifts from University Pathology Services and other friends of the Department of Pathology)		\$750,050.00	\$750,050.00
<u>Establishment of Named Endowed Fund</u>			
The Schooley Architecture Library Fund \$101,124.00 (Used to support the collection, staff and technology needs of the Knowlton School Library; provided by a gift from the Schooley family in honor of John P. Schooley, Sr., John P. Schooley, Jr., and Palmer B. Schooley)			\$101,124.00

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Chairs</u>			
The Ford Motor Company Chair in Global Business Management (Used to promote and support a chair position in the Fisher College of Business; provided by gifts from the Ford Motor Company Fund)	\$1,581,281.00		\$1,581,281.00
The Dr. Edward E. and Sylvia Hagenlocker Chair in Physics (Used to support a senior faculty position in the Department of Physics; provided by gifts from Dr. Edward E. Hagenlocker and Sylvia Hagenlocker)		\$1,578,460.00	\$1,578,460.00
The Alice Louise Ridenour Wood Chair in Mathematics (Used to support the work of faculty members in the Department of Mathematics; provided by gifts from numerous donors) (grandfathered)	\$846,415.00		\$846,415.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Leo Yassenoff Foundation Endowment Fund (Used for projects at the University; provided by gifts from the Leo Yassenoff Foundation)		\$635,648.79	\$635,648.79
The Longaberger Student Diversity Leadership Fund (Used to support the vice president for Student Affairs' diversity retreats; provided by a gift from The Longaberger Foundation)		\$500,000.00	\$500,000.00
The Major General Raymond E. Mason, Jr. Scholarship Fund (Used to provide scholarship support for three "John Glenn Fellows" participating in the Washington Academic Internship Program; provided by a gift from Raymond E. Mason, Jr.)		\$150,000.00	\$150,000.00
The Angela Marie and Mary Francis Valentino Physics Academic Achievement Scholarship Fund (Used to provide scholarships for prospective/ current undergraduate physics majors; provided by a gift from Michael L. Valentino)		\$100,000.00	\$100,000.00
The Herschel T. Meredith Athletic Scholarship Fund (Used to provide scholarships to student athletes who are members of the varsity football team; provided by gifts from Herschel T. Meredith)		\$84,268.00	\$84,268.00
The Suzanne G. Meredith Memorial Athletic Scholarship Fund (Used to provide scholarships to student athletes who are members of the varsity women's tennis team; provided by gifts from Herschel T. Meredith)		\$84,268.00	\$84,268.00
Dr. Elizabeth Wagner Scholarship Fund (Used to provide scholarships in the Department of Plant Biology; provided by gifts from the family of Elizabeth Wagner)		\$79,000.00	\$79,000.00
The Marjorie Weaver Heart Research Fund (Used to support the cardiovascular research activities in The Dorothy M. Davis Heart and Lung Research Institute; provided by gifts from the estate of Marjorie Weaver)	\$52,315.00		\$52,315.00
The Stephen J. Kovacik, Jr. and Mary B. Kovacik Endowed Scholarship Fund (Used to provide scholarship support for one "John Glenn Fellow" participating in the Washington Academic Internship Program; provided by gifts from the family and friends of the late Stephen Kovacik and his mother Mary Kovacik)		\$40,000.00	\$40,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Nelson E. Lyttle Scholarship Fund (Used to fund scholarships for students based on merit and need; provided by gifts from the estate of Nelson E. Lyttle)		\$36,000.00	\$36,000.00
The Jack and Carol Evans Scholarship Fund in Music (Used to provide scholarships to music majors; provided by gifts from Carol Evans and friends in memory of Jack O. Evans)		\$31,225.00	\$31,225.00
The Eric Kohring – PFLAG Scholarship Fund (Used for the marketing and award of scholarships for gay, lesbian, and bisexual students; provided by gifts from members and friends of The Ohio State University Gay, Lesbian, Bisexual, and Transgender Alumni Society; Parents and Friends of Lesbians and Gays – Columbus Chapter; and the estate of Eric Kohring)		\$30,446.00	\$30,446.00
The Robert M. Hoge Scholars Fund (Used to provide scholarships for academically talented students; provided by gifts through the Nanette N. and Robert M. Hoge Fund at the Columbus Foundation)		\$28,565.00	\$28,565.00
The William Copeland, Jr., M.D., Endowment Fund for Pancreatic Cancer Research (Used to support pancreatic cancer research; provided by a gift from family and friends of William Copeland)		\$25,382.00	\$25,382.00
The Laurence and Molly Ruben Endowment Fund for Cancer Genetics Research (Used to support cancer genetics research; provided by a gift from Laurence Ruben of Plaza Properties, Inc.)		\$25,230.00	\$25,230.00
The Susan Salopek Endowed Nursing Scholarship Fund for Neonatal Nurse Practitioner Program (Used to support nursing scholarships for masters candidates in the College of Nursing; provided by gifts from Steven Salopek)		\$25,179.55	\$25,179.55
The Ardith K. Nolte Food, Agricultural, and Biological Engineering Scholarship Fund (Used to provide scholarships to incoming freshmen; provided by gifts from Byron H. Nolte)		\$25,080.00	\$25,080.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Professor Milton Ain Fund for Practicum Support (Used to provide awards to graduate students enrolled in the College of Social Work pursuing field practica; provided by gifts from Shirley Ain in memory of her husband Professor Milton Ain)		\$25,000.00	\$25,000.00
Rebecca Lucile Cornetet Endowed Scholarship Fund (Used to support undergraduate merit-based scholarships in the Department of Greek and Latin; provided by a gift from the estate of Rebecca Lucile Cornetet)		\$25,000.00	\$25,000.00
The Darrell K. Root Scholarship Fund (Used to fund scholarships in the College of Education; provided by gifts from Darrell K. Root)		\$25,000.00	\$25,000.00
Jack Root and Helen Root Entomology Travel Endowment Fund (Used to provide travel grants for entomology students; provided by gifts from Jack Dallas Root and Helen Root)		\$25,000.00	\$25,000.00
<u>Change in Name and Description of Named Endowed Funds</u>			
From: The Anna Sborowitz Scholarship Fund			
To: The Jan and Anna Sborowitz Endowment Fund			
From: The Thomas A. Spieth Endowment Fund for Lymphoma Research			
To: The Thomas A. Spieth Endowment Fund for Hematology Oncology Research			
<u>Deletion of Named Endowed Fund</u>			
The Eleanor L. Craig Scholarship Fund (Erroneously established the second time - December 6, 2002)			
Total	\$2,480,011.00	\$5,962,089.34	\$8,442,100.34

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Chair

The Endowed Chair for Director of The Ohio State University Marching Band

The Endowed Chair Fund for Director of The Ohio State University Marching Band was established April 3, 1996, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the estate of the late Dayton Eldridge (B.S.Bus.Adm., 1936; OSU Marching Band member, 1932-35) and friends of the marching band. The required funding level has been reached and the chair was established on July 11, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

Appointment of The Endowed Chair for Director of The Ohio State University Marching Band will be made by the director of the School of Music with the approval of the dean of the College of the Arts. When the appointment is made, income from the fund will be distributed to the School of Music for support of the director's position.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of the Arts or program administrative officer in order to carry out the desire of the donors.

\$1,532,163.00

Establishment of Named Endowed Professorship

The University Pathology Services Anatomic Pathology Professorship

The University Pathology Services Anatomic Pathology Professorship Fund was established March 1, 2002, by the Board of Trustees of The Ohio State University with gifts from University Pathology Services, Columbus, Ohio, and from other friends of the Department of Pathology. The required funding level has been reached and the professorship was established on July 11, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The professorship shall support the advancement of medical knowledge in the field of pathology research, both basic and clinical, and shall be held by an individual who is or shall be a member of the Department of Pathology in the College of Medicine and Public Health. The position shall be held by a nationally eminent faculty member who has distinguished himself/herself in the performance of pathology research, who maintains an active productive research program, and who is an effective educator-teacher. Selection shall be made as recommended by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in consultation with the chairperson of the Department of Pathology. The activities of the endowed professorship holder shall be reviewed no less than every five years by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health to determine compliance with the intent of the donor as well as the academic and research standards of the University.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Professorship (contd)

The University Pathology Services Anatomic Pathology Professorship (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health and the chairperson of the Department of Pathology in order to carry out the desire of the donors.

\$750,050.00

Establishment of Named Endowed Fund

The Schooley Architecture Library Fund

The Schooley Architecture Library Fund was established July 11, 2003, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University from the Schooley family in honor of John P. Schooley, Sr. (B.Arch.E., 1923), John P. Schooley, Jr. (B.Arch., 1951), and Palmer B. Schooley (B.S.Arch., 1982).

John P. Schooley, Sr., AIA, served as architect for the State of Ohio from 1932-41 and was a founding partner of Sims Cornelius and Schooley Architects in 1944.

John P. Schooley, Jr., FAIA, joined the firm in 1955 and was managing partner from 1966-98. Under his leadership the firm developed an international practice, receiving numerous design awards and the AIA Ohio Gold Medal Firm Award in 1997. During his career John was the president of AIA Columbus, AIA Ohio, the Columbus Architectural Foundation, and the AIA Ohio Foundation. For his contributions to the profession, he received the AIA Ohio Gold Medal, the OSU Alumni Association Professional Achievement Award, and the College of Engineering Distinguished Alumnus Award.

Palmer B. Schooley, AIA, has distinguished himself as an architect in Houston, Texas, where he has received numerous design awards.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used at the discretion of the Austin E. Knowlton School of Architecture librarian to support the collection, staff, and technology needs of the Knowlton School Library.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of the Knowlton School of Architecture in order to carry out the desire of the donor.

\$101,124.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Chairs

The Ford Motor Company Chair in Global Business Management

The Ford Motor Company Chair in Global Business Management Fund was established February 6, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of The Max M. Fisher College of Business from contributions from The Ford Motor Company Fund of Dearborn, Michigan. The required funding level has been reached and the chair was established on July 11, 2003.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to promote and support the teaching, research, and service activities of The Ford Motor Company Chair in Global Business Management. Appointment to the chair shall be recommended by the dean of the Fisher College of Business to the provost and approved by The Ohio State University Board of Trustees. The chair holders will report annually to The Ford Motor Company on the goals, objectives, and plans for the chair in the upcoming academic year. These yearly reports will also describe the use of fund income over the same period. The chair holders will also participate in five-year reviews of their activities which will be led by the dean.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer and The Ford Motor Company in order to carry out the desire of the donors.

\$1,581,281.00

The Dr. Edward E. and Sylvia Hagenlocker Chair in Physics

The Dr. Edward E. and Sylvia Hagenlocker Chair Fund in Physics was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Edward E. Hagenlocker (B.S. Physics, cum laude, 1962; M.S., 1962; Ph.D., 1964) and Sylvia Hagenlocker, both of Bloomfield Hills, Michigan. The required funding level has been reached and the chair was established on July 11, 2003.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall provide support for a distinguished senior faculty position in the Department of Physics. The Hagenlocker Chair's teaching and research will be expected to focus on atomic, molecular, and optical physics. Appointment will be for a five-year term and will be made by the chairperson of the Department of Physics with the approval of the Board of Trustees, president, provost, and the dean of the College of Mathematical and Physical Sciences.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$1,578,460.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Chairs (contd)

The Alice Louise Ridenour Wood Chair in Mathematics

The Alice Louise Ridenour Wood Chair Fund in Mathematics was established September 6, 1991, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Department of Mathematics. The required funding level has been reached and the chair was established on July 11, 2003.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the work of the distinguished faculty members in the Department of Mathematics who shall hold The Alice Louise Ridenour Wood Chair in Mathematics.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$846,415.00 (grandfathered)

Establishment of Named Endowed Funds

The Leo Yassenoff Foundation Endowment Fund

The Leo Yassenoff Foundation Endowment Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the Leo Yassenoff Foundation.

All gifts are to be invested by the OSU Foundation, under the rules and regulations adopted by the OSU Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for projects at the University as designated by the board of directors of the Yassenoff Foundation. From time to time, the Yassenoff Foundation current board of directors may designate a portion of this endowment's principal for University projects.

This endowment will be established for the lesser of 25 years or upon the death of the last remaining member of the Yassenoff Foundation's current board of directors. At the end of this term, the income of the fund shall be used to provide scholarships in the University's Department of Athletics.

\$635,648.79

The Longaberger Student Diversity Leadership Fund

The Longaberger Student Diversity Leadership Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from The Longaberger Foundation.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Longaberger Student Diversity Leadership Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income from this fund shall be used to support the vice president for Student Affairs' diversity retreats, particularly multi-day workshops, in an effort to connect student leaders of multi-cultural backgrounds, in which they engage in in-depth exploration of the challenges and opportunities presented by a diverse society as well as explore their own attitudes and assumptions.

It is the desire of The Longaberger Foundation that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Student Affairs in order to carry out the desire of The Longaberger Foundation.

\$500,000.00

The Major General Raymond E. Mason, Jr. Scholarship Fund

The Major General Raymond E. Mason, Jr. Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Raymond E. Mason, Jr. (B.S.Bus.Adm., 1941), of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship support for three "John Glenn Fellows" participating in the Washington Academic Internship Program through the John Glenn Institute for Public Service and Public Policy. It is the desire of the donor that the scholarships shall be awarded with preference to, but not limited to, ROTC students. The recipients shall be selected by the director of the Washington Academic Internship Program in consultation with the University Committee on Student Financial Aid and the ROTC Tri-Service Coordinator.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of the John Glenn Institute for Public Service and Public Policy in order to carry out the desire of the donor.

\$150,000.00

The Angela Marie and Mary Francis Valentino
Physics Academic Achievement Scholarship Fund

The Angela Marie and Mary Francis Valentino Physics Academic Achievement Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael L. Valentino (B.E. Physics, 1934) of Lakeland, Florida.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Angela Marie and Mary Francis Valentino
Physics Academic Achievement Scholarship Fund (contd)

This scholarship honors the memories of Michael's mother, Mary Francis Valentino (1891-1986), and his loving wife Angela Marie (1908-2002). Mary Francis Valentino is honored for her guidance, constant effort, and work for her son Michael while he attended The Ohio State University. Michael's wife Angela is honored for being the anchor of their family, an angel in disguise.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships for highly talented prospective and/or current undergraduate physics majors. The scholarships will be renewable for a total of four years, provided that the students maintain satisfactory progress towards their degrees in physics or engineering physics. The recipients will be selected by the vice chairperson for Undergraduate Studies in the Department of Physics, with the approval of the chairperson, and in consultation with the University Committee on Student Financial Aid.

In any given year that the income is not fully expended, the unused portion should be reinvested in the principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the chairperson of the Department of Physics and the dean of the College of Mathematical and Physical Sciences in order to carry out the desire of the donor.

\$100,000.00

The Herschel T. Meredith Athletic Scholarship Fund

The Herschel T. Meredith Athletic Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Herschel T. Meredith, Los Altos, California.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship costs of student athletes who are members of the varsity football team pursuing undergraduate degrees at The Ohio State University. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$84,268.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Suzanne G. Meredith Memorial Athletic Scholarship Fund

The Suzanne G. Meredith Memorial Athletic Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Herschel T. Meredith, Los Altos, California.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship costs of student athletes who are members of the varsity women's tennis team pursuing undergraduate degrees at The Ohio State University. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$84,268.00

Dr. Elizabeth Wagner Scholarship Fund

The Dr. Elizabeth Wagner Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from her family, in the name of Elizabeth Wagner (B.A., 1933; M.A., 1934; Ph.D., 1936).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund scholarships in the Department of Plant Biology in the College of Biological Sciences, with preference given to women undergraduate students. Recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$79,000.00

The Marjorie Weaver Heart Research Fund

The Marjorie Weaver Heart Research Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from the estate of Mrs. Marjorie Weaver of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Marjorie Weaver Heart Research Fund (contd)

The annual income shall be used to support the cardiovascular research activities in The Dorothy M. Davis Heart and Lung Research Institute at The Ohio State University. The allocation of these funds will be determined by the director of The Dorothy M. Davis Heart and Lung Research Institute with the approval of the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$52,315.00

The Stephen J. Kovacik, Jr. and Mary B. Kovacik Endowed Scholarship Fund

The Stephen J. Kovacik, Jr. and Mary B. Kovacik Endowed Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the family and friends and in memory of the late Stephen Kovacik and his mother, Mary Kovacik.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship support for one "John Glenn Fellow" participating in the Washington Academic Internship Program of the John Glenn Institute for Public Service and Public Policy. The scholarship shall be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of the Glenn Institute and the donor, in order to carry out the desire of the donor.

\$40,000.00

The Nelson E. Lyttle Scholarship Fund

The Nelson E. Lyttle Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Nelson E. Lyttle (B.S.Ed., 1939; M.S., 1941) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Nelson E. Lyttle Scholarship Fund (contd)

The annual income shall be used to fund scholarships for students based on merit and need. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donor.

\$36,000.00

The Jack and Carol Evans Scholarship Fund in Music

The Jack and Carol Evans Scholarship Fund in Music was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Carol Evans and friends in memory of Jack O. Evans, former director of the OSU Marching Band and professor emeritus in the School of Music.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

Eighty percent (80%) of the annual income shall provide renewable undergraduate scholarships to music majors, preferably music education students. The remaining income shall be reinvested to the principal. If no candidates are eligible, the entire income shall be reinvested to the principal. Recipients shall be chosen by the director of the School of Music or an individual appointed by the director and in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of the School of Music in order to carry out the desire of the donors.

\$31,225.00

The Eric Kohring – PFLAG Scholarship Fund

The Eric Kohring – PFLAG Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from members and friends of The Ohio State University Gay, Lesbian, Bisexual, and Transgender Alumni Society; Parents and Friends of Lesbians and Gays – Columbus Chapter, and the estate of Eric Kohring.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Eric Kohring – PFLAG Scholarship Fund (contd)

The annual income will be used for the marketing and award of scholarships for gay, lesbian, and bisexual students at The Ohio State University. The Gay, Lesbian, Bisexual, and Transgender Alumni Society (part of the Alumni Association) will be the administrator for these funds and will coordinate distribution of the scholarship. A minimum of one scholarship will be awarded each year based on guidelines established by the Gay, Lesbian, Bisexual, and Transgender Alumni Society. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

Any funds remaining at the end of the fiscal year should be reinvested to the principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by The Ohio State University GLBT Alumni Society in order to carry out the desire of the donor.

\$30,446.00

The Robert M. Hoge Scholars Fund

The Robert M. Hoge Scholars Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts through the Nanette N. and Robert M. Hoge Fund at the Columbus Foundation.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships for academically talented students. The recipients will be selected through the University's program to recruit high ability students and each student will continue through the senior year so long as he or she maintains eligibility. This fund shall be administered by the University Honors Center in cooperation with the University Committee on Student Financial Aid.

This fund will continue to grow in perpetuity through annual additions. Each year, recipients should be selected in the following priority order:

1. Distinguished Scholars enrolled in the College of Engineering and whose Distinguished Scholarships are not supported through other endowed funds.
2. Distinguished Scholars enrolled in The Max M. Fisher College of Business and whose Distinguished Scholarships are not supported through other endowed funds.
3. Distinguished Scholars in the College of Mathematical and Physical Sciences or the College of Biological Sciences and not supported through other endowed funds, including The Nanette N. Hoge Scholars Fund.
4. University Scholars enrolled in the College of Engineering and whose University Scholarships are not supported through other endowed funds.
5. University Scholars enrolled in The Max M. Fisher College of Business and whose University Scholarships are not supported by other endowed funds.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Robert M. Hoge Scholars Fund (contd)

6. University Scholars in the College of Mathematical and Physical Sciences or the College of Biological Sciences not supported through other endowed funds including the Nanette N. Hoge Scholars Fund.
7. University, Distinguished, Medalist, or Presidential Scholars or other high ability students.

Each year, any unused income should be reinvested to the principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$28,565.00

The William Copeland, Jr., M.D.,
Endowment Fund for Pancreatic Cancer Research

The William Copeland, Jr., M.D., Endowment Fund for Pancreatic Cancer Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift from family and friends.

All gifts to this fund are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support pancreatic cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Directors in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$25,382.00

The Laurence and Molly Ruben Endowment Fund for Cancer Genetics Research

The Laurence and Molly Ruben Endowment Fund for Cancer Genetics Research was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Laurence Ruben of Plaza Properties, Inc. in Columbus, Ohio.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Laurence and Molly Ruben Endowment Fund for Cancer Genetics Research (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any unused income shall be returned to the principal of this fund.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$25,230.00

The Susan Salopek Endowed Nursing Scholarship Fund
for Neonatal Nurse Practitioner Program

The Susan Salopek Endowed Nursing Scholarship Fund for Neonatal Nurse Practitioner Program at the College of Nursing at The Ohio State University was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Steven Salopek (B.A., 1986; M.B.A., 1988), of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support nursing scholarships for graduate students pursuing a masters at the College of Nursing. Preference shall be given to students planning to practice, specialize, or conduct research in the area of neonatal nursing. The scholarship may be awarded to students in the area of adult health and illness in the event there is not an eligible neonatal nurse practitioner student.

All scholarships are to be awarded based on merit and can be used for recruiting purposes. The recipients shall be selected by the dean of the College of Nursing, the assistant dean and the associate deans, and faculty at the College who teach neonatal nursing. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with Steven Salopek or his designee, the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$25,179.55

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Ardith K. Nolte Food, Agricultural, and Biological Engineering Scholarship Fund

The Ardith K. Nolte Food, Agricultural, and Biological Engineering Scholarship Fund was established July 11, 2003, by the Board of Directors of The Ohio State University Foundation. The scholarship was established in honor of Ardith Knapheide Nolte (B.S.Nurs. cum laude, 1971; M.A., 1981) with gifts to The Ohio State University Foundation from Byron H. Nolte (Ph.D., 1971) of Columbus, Ohio.

All gifts are to be invested in the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support scholarships to support incoming freshmen based primarily on scholastic record. Need may also be considered in the selection. The student recipients are expected to join and participate in one of the departmental clubs, and attend at least two-thirds of regular club meetings. The student recipients shall be announced at the annual spring awards banquet. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the donor or honoree if living. If neither the donor nor the honoree are living the use shall be designated by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, in consultation with the chairperson of the Department of Food, Agricultural, and Biological Engineering, or their successor, or program administrative officer in order to carry out the desire of the donor.

\$25,080.00

The Professor Milton Ain Fund for Practicum Support

The Professor Milton Ain Fund for Practicum Support was established July 11, 2003, by the Board of Directors of The Ohio State University Foundation with gifts from Mrs. Shirley Ain of Columbus, Ohio, in memory of her husband, Professor Milton Ain (M.S.W., 1967). The fund honors Professor Ain's 17 years of teaching in the College of Social Work, his leadership of the Field Placement program, and his dedication to the fields of Jewish services and child welfare.

All gifts are to be invested in the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide competitive stipend awards to qualified students who are pursuing field practica while enrolled in the graduate degree program in the College of Social Work at The Ohio State University. Preference will be given to students who are conducting field work in Jewish community services and/or child welfare or who can demonstrate that they plan a career in one of these fields.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Social Work in order to carry out the desire of the donor.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Rebecca Lucile Cornetet Endowed Scholarship Fund

The Rebecca Lucile Cornetet Endowed Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Rebecca Lucile Cornetet (B.S.Ed., 1929; B.A., 1929; M.A., 1932) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support undergraduate merit-based scholarships for the study of Greek and Latin, with a preference for Latin. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the chairperson of the Department of Greek and Latin in order to carry out the desire of the donor.

\$25,000.00

The Darrell K. Root Scholarship Fund

The Darrell K. Root Scholarship Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Darrell K. Root (M.Ed., 1957; Ph.D., 1971).

Dr. Root's career began as a high school mathematics instructor and coach in Ohio's public schools. Other positions included that of a high school principal, assistant superintendent for evaluation and curriculum development, and district superintendent. During his career, he was deputy director of The Ohio State University Evaluation Center and managed several large projects for the center in research and evaluation. He also was an assistant professor at the University of Dayton after his retirement from public schools. Throughout his career in education he was a consultant with the Western Michigan University Evaluation Center and assisted or directed several nationwide studies. He is proud to be one of the members of the Wall of Honor at the National Center for Evaluation at Western Michigan University which honors those who have made major contributions to the development and implementation of educational evaluation.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund The Darrell K. Root Scholarship Fund in the College of Education. Recipients shall be students in the area of Educational Policy and Leadership who are pursuing an advanced degree and are preparing for a career as practitioner in school administration. The dean of the College of Education or designee shall select the scholarship recipients in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Education in order to carry out the desire of the donor.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Jack Root and Helen Root Entomology Travel Endowment Fund

The Jack Root and Helen Root Entomology Travel Endowment Fund was established July 11, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Jack Dallas Root (B.S., 1965) and Helen Root of Aztec, New Mexico.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide travel grants for deserving students to attend a professional society meeting or equivalent event. The travel grants should alternate annually between students studying agricultural entomology and students studying urban entomology. Preference should be given to a student who is hard working, but might only have a moderate grade point average and might not otherwise qualify for stipends reserved for honor students or have any other source of revenue to fund such travel. With all other qualities being equal and unless prohibited by federal or state law, the travel grants will be awarded with preference to, but not limited to, a student who is a United States citizen.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer to carry out the desire of the donors.

\$25,000.00

Change in Name and Description of Named Endowed Funds

The Jan and Anna Sborowitz Endowment Fund

The Anna Sborowitz Scholarship Fund was established October 6, 1995, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Paul K. Sorren (B.S.Bus.Adm., 1946) of Miami Beach, Florida. The name and description were revised on July 11, 2003.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more student scholarships for undergraduate mobility-impaired students with severe limitations in movements or use of one or more of their extremities. Recipients must demonstrate financial need and satisfactory progress in their academic studies. They must be enrolled with seven or more hours of course work showing substantial progress toward a degree at The Ohio State University. Students may use the scholarship to support tuition, room, board, special equipment, and miscellaneous expenses such as books and supplies. The scholarships will be administered by the Office for Disability Services in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate program administrative officer in order to carry out the desire of the donor.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Name and Description of Named Endowed Funds (contd)

The Thomas A. Spieth Endowment Fund for Hematology Oncology Research

The Thomas A. Spieth Endowment Fund for Lymphoma Research was established on July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift from Thomas A. Spieth (M.B.A., 1967) of Fort Lauderdale, Florida. The name and description were revised on July 11, 2003, at the donor's request.

All gifts to this fund are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support hematology oncology research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Directors in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

REVISION OF INVESTMENTS POLICIES

Resolution No. 2004-16

Synopsis: Revision of the University's Investments Policies is proposed.

WHEREAS the Board of Trustees, from time to time, has adopted specific policies governing various aspects of the management of the investment portfolios; and

WHEREAS there is a need to modify these comprehensive policies to provide for the overall management of the investment portfolios:

NOW THEREFORE

BE IT RESOLVED, That the attached amendments to the Investments Policies for The Ohio State University be adopted by the Board of Trustees: Endowment Fund Investments Policy and Non-Endowment Fund Investments Policy; and

BE IT FURTHER RESOLVED, That, in consultation with the Senior Vice President for Business and Finance, the Treasurer be authorized and directed to implement and administer these policies and manage the investment portfolios in accordance with these policies.

(See Appendix IV for amendments to the Investments Policies, page 137.)

**REQUEST FOR DESIGN AUTHORIZATION AND
REQUEST FOR CONSTRUCTION AUTHORIZATION**

Resolution No. 2004-17

**REQUEST FOR DESIGN AUTHORIZATION
BYRD POLAR RESEARCH CENTER – COLD ROOM UPGRADES**

**REQUEST FOR CONSTRUCTION AUTHORIZATION
GRADUATE AND PROFESSIONAL STUDENT HOUSING
GRADUATE AND PROFESSIONAL STUDENT HOUSING – RETAIL SPACE
LABORATORY ANIMAL FACILITY EXPANSION
MARION CAMPUS-STUDENT SERVICES BUILDING/PARKING LOT RENOVATION
MEILING HALL LOBBY EXPANSION**

Synopsis: Authorization to enter into design contracts for Byrd Polar Research Center – Cold Room Upgrades and construction contracts for Graduate and Professional Student Housing, Graduate and Professional Student Housing – Retail Space, Laboratory Animal Facility Expansion, Marion Campus-Student Services Building/Parking Lot Renovation, and Meiling Hall Lobby Expansion is requested.

WHEREAS the University desires to renovate the cold rooms in Scott Hall which house ice cores for the Byrd Polar Research Center; and

WHEREAS the project estimate is \$203,742 with funding to be provided by HB 675; and

WHEREAS the University desires to construct graduate and professional student housing on Neil Avenue between 9th and 10th Avenues; and

WHEREAS the project cost has increased from \$29,172,100 (approved by the Board of Trustees in March, 2002 for construction) to \$32,300,490 (an increase of \$3,128,390) due schedule acceleration and site delays; and

WHEREAS the project cost increase is to be funded by future University bond proceeds, with debt service to be paid by Student Affairs; and

WHEREAS the University desires to construct a retail space on the first floor of the Graduate and Professional Student Housing facility; and

WHEREAS the construction document project cost is \$2,500,000 to be funded by future University bond proceeds, with debt service to be paid by Student Affairs; and

WHEREAS the University desires to construct a modular storage facility to properly house animals at the Laboratory Animal Facility on Godown Road; and

WHEREAS the expected total project cost has increased from \$500,000 to \$883,000 due to changes in project HVAC specifications necessary to meet environmental conditions, with additional funding to be provided by the Office of Research, Academic Affairs, Social and Behavioral Sciences and Business and Finance; and

WHEREAS the University desires to construct a student services facility and parking lot relocation on the Marion Campus; and

WHEREAS the construction document project cost is \$6,107,756 with funding to be provided by HB 640 (\$1,451,235), HB 675 (\$116,271), Marion general funds (\$3,440,250), and a loan from the Treasurer's debt service pool (\$1,100,000), with debt service to be paid from Marion Campus funds; and

**REQUEST FOR DESIGN AUTHORIZATION AND
REQUEST FOR CONSTRUCTION AUTHORIZATION (contd)**

WHEREAS the University desires to renovate the first floor lobby area of Meiling Hall, to include the installation of fire sprinklers required by building code throughout the renovation area as well as additional work in the basement and second floor; and

WHEREAS the construction document project cost is \$910,125, with funding to be provided by the College of Medicine and Public Health:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for Byrd Polar Research Center – Cold Room Upgrades; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to request construction bids for Graduate and Professional Student Housing, Graduate and Professional Student Housing – Retail Space, Laboratory Animal Facility Expansion, Marion Campus-Student Services Building/Parking Lot Renovation, and Meiling Hall Lobby Expansion are hereby accepted.

(See Appendix V for background information and maps, page 151.)

PURCHASE OF REAL PROPERTY

Resolution No. 2004-18

237 EAST SEVENTEENTH AVENUE, COLUMBUS, OHIO

Synopsis: Authorization to purchase improved real property located at 237 East Seventeenth Avenue in Columbus, Ohio, is requested.

WHEREAS the Board of Trustees of The Ohio State University is presented with an opportunity to purchase the improved real property located at 237 East Seventeenth Avenue in Columbus, Ohio, owned by David W. Pettit, at a price of \$360,000.00; and

WHEREAS the acquisition of this property is critical for the preservation of the traditional Greek community east of High Street, and the appropriate University offices have determined that the purchase of this property is in the best interest of the University; and

WHEREAS funding for the acquisition and use of this property will be provided by Student Affairs:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized, in the name of the State of Ohio for the use of The Ohio State University, to purchase from David W. Pettit the improved real property located at 237 East Seventeenth Avenue in Columbus, Ohio, at a purchase price of \$360,000.00, plus fees and closing costs, upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State Controlling Board.

(See Appendix VI for background information and map, page 165.)

PURCHASE OF IMPROVED REAL PROPERTY

Resolution No. 2004-19

960 KINNEAR ROAD
CLINTON TOWNSHIP, OHIO

Synopsis: Authorization for the purchase of the improved real property at 960 Kinnear Road from G.O.A.T. Real Estate, LLC, is proposed.

WHEREAS the Board of Trustees of The Ohio State University is presented with the opportunity to purchase approximately 2.43 acres of improved real property located at 960 Kinnear Road; and

WHEREAS the seller has agreed to sell the property to the University at a price of \$1,975,000; and

WHEREAS this property is located within the West Campus acquisition area and the appropriate University offices have determined that the purchase of this property is in the best interest of the University; and

WHEREAS funding for acquisition and operating costs will be provided by building occupants:

NOW THEREFORE

BE IT RESOLVED, That the President and/or the Senior Vice President for Business and Finance be authorized to purchase the improved real property at 960 Kinnear Road in the name of the State of Ohio for the use of The Ohio State University at a price not to exceed \$1,975,000 plus associated acquisition costs, upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State of Ohio Controlling Board.

(See Appendix VII for background information and map, page 167.)

**CAMPUS PARTNERS
AUTHORIZATION FOR CONTINUED FUNDING**

Resolution No. 2004-20

Synopsis: Continued funding and support for Campus Partners' initiatives is recommended.

WHEREAS since 1995 the Board of Trustees has recognized the critical need for revitalization of the High Street and residential areas adjacent to the Columbus Campus and the importance of the initiatives of Campus Partners for Community Urban Redevelopment, Inc., in this effort; and

WHEREAS in May 1997 the Board of Trustees accepted Campus Partners' "University Neighborhoods Revitalization Plan: Concept Document" and since then, subject to a memorandum of understanding of May 1, 1997, has authorized the release of \$25 million in endowment funds for various real estate investments, including the South Campus/High Street redevelopment area and authorized the release of approximately \$5 million to provide operating support for Campus Partners and to fund various initiatives through the end of FY 2003; and

WHEREAS Campus Partners has made significant progress towards the implementation of its priority initiatives, including the South Campus Gateway project; the restructuring of the Broad Street Housing portfolio; the faculty and staff home ownership incentive program; the establishment of a mandatory development and design review process for the High Street

**CAMPUS PARTNERS
AUTHORIZATION FOR CONTINUED FUNDING (contd)**

corridor; improvements to public safety, refuse collection, code enforcement, and other public services; the establishment of a special improvement district; and numerous other neighborhood improvement initiatives; and

WHEREAS Campus Partners has successfully secured commitments for third party investments in excess of \$100 million for housing rehabilitation, commercial redevelopment, and infrastructure improvements from a variety of public and private investors which will help provide significant impetus for the revitalization of distressed neighborhoods near The Ohio State University; and

WHEREAS Campus Partners has acquired all of the property needed for the South Campus Gateway, the initial redevelopment project for the South Campus/High Street redevelopment, and this important project is under construction, with completion anticipated in the Summer of 2005; and

WHEREAS there is a need to provide continuing funding support for Campus Partners' operations through the opening year of the Gateway Center:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby authorizes, from current operating funds set aside for this purpose, the release of up to \$650,000 per year for 2003-04 through 2005-06 to Campus Partners for continued support of its operations to carry out existing initiatives, including the development of the University Gateway Center, subject to the provisions of the 1997 memorandum of understanding, as amended from time to time.

Upon motion of Ms. Longaberger, seconded by Mr. Borrer, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Sofia, Slane, McFerson, Borrer, O'Dell, and Judge Duncan, Meses. Longaberger and Hendricks.

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APPOINTMENT AND REAPPOINTMENT OF INVESTMENT MANAGERS

Resolution No. 2004-21

Synopsis: The Investments Committee recommended the approval of the Appointment and Reappointment of Investment Managers.

WHEREAS it is the policy of The Ohio State University to utilize the service of external Investment Managers to assist in the management of the University's Endowment Fund; and

WHEREAS the Investments Committee of the Board of Trustees periodically reviews the results obtained by the external Investment Managers and the amount of funds assigned to each of them; and

WHEREAS it is prudent investment policy to adjust the mix and amounts of money assigned to external Investment Managers as economic conditions and performance change; and

WHEREAS the number of external Investment Managers and the amount of funds assigned to them shall be determined by the Board of Trustees:

NOW THEREFORE

APPOINTMENT AND REAPPOINTMENT OF INVESTMENT MANAGERS (contd)

BE IT RESOLVED, That upon the recommendation of the Investments Committee of the Board of Trustees the following named external Investment Managers and the Office of the Treasurer shall be approved to manage Endowment Funds as follows:

	<u>Market Value</u> <u>As of 5/31/03</u>	<u>Changes</u>	<u>Revised</u> <u>Allocation</u>
<u>Large Cap Equity Managers</u>			
ABN AMRO	\$33,212,136	\$0	\$33,212,136
Barclays S&P 500	\$127,514,428	(\$127,514,428)	\$0
Fifth Third Bank Investment Advisors	\$25,492,588	\$0	\$25,492,588
Huntington Trust	\$25,372,007	\$0	\$25,372,007
Nicholas Applegate Mid Cap	\$70,717,932	\$0	\$70,717,932
State Street S&P 500	\$0	\$127,514,428	\$127,514,428
University Students	\$15,290,108	\$0	\$15,290,108
<u>Small Cap Equity Managers</u>			
Barclays Value Index	\$22,450,699	(\$22,450,699)	\$0
Barclays Extended	\$138,047,368	(\$138,047,368)	\$0
Meeder Financial	\$87,723,844	\$0	\$87,723,844
Nicholas Applegate Small Cap	\$24,917,193	\$0	\$24,917,193
State Street Extended	\$0	\$138,047,368	\$138,047,368
State Street Value	\$0	\$22,450,699	\$22,450,699
<u>International Equity Managers</u>			
Barclays International	\$35,936,965	(\$35,936,965)	\$0
Invesco Global Asset	\$17,694,846	\$0	\$17,694,846
Sit International	\$10,892,435	\$0	\$10,892,435
State Street International	\$0	\$35,936,965	\$35,936,965
<u>Fixed Income Managers</u>			
Banc One	28,028,736	(10,000,000)	18,028,736
Commonfund High Yield Bonds	\$15,503,127	\$0	\$15,503,127
Cypress Asset Management	\$26,593,400	\$0	\$26,593,400
Hughes Capital Management	\$16,714,757	\$0	\$16,714,757
Huntington Trust	\$34,290,679	\$0	\$34,290,679
MDL Capital Management	\$82,198,089	\$0	\$82,198,089
<u>Alternative Managers</u>			
CID Seed Fund	\$1,000,000	\$0	\$1,000,000
Commonfund Hedged Investors	\$15,000,000	\$0	\$15,000,000
Commonfund New Leaders	\$10,000,000	\$0	\$10,000,000
Commonfund Capital Partners	\$7,067,000	\$0	\$7,067,000
EDF Ventures Seed Fund	\$1,000,000	\$0	\$1,000,000
Mesirov Partnership Fund I	\$10,000,000	\$0	\$10,000,000
Ramius Fund	\$15,000,000	\$0	\$15,000,000
Reservoir Venture Partners	\$2,625,000	\$0	\$2,625,000

**AUTHORIZATION, ISSUANCE AND SALE OF GENERAL RECEIPTS
SERIES 2003 B BONDS**

Resolution No. 2004-22

Synopsis: Providing for the authorization, issuance and sale of General Receipts Bonds (the "Series 2003 B Bonds"), in a principal amount determined as provided herein, for the purposes of (A) the acquisition, construction and installation of the Series 2003 Project, as defined herein; (B) currently refunding the outstanding principal amount of certain Obligations of the University (the "Refunded Obligations"); and (C) paying costs and expenses associated with the issuance of the 2003 B Bonds is requested.

WHEREAS pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code (the "Act"), as enacted under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, The Ohio State University (the "University"), a state university of the State of Ohio (the "State"), created and existing under Chapter 3335 of the Ohio Revised Code, is authorized and empowered, among other things, (a) to issue, as provided herein, Obligations (as defined in the Indenture (as hereinafter defined)) of the University to pay the costs of certain capital facilities defined as "facilities" in the Act (and herein called "University Facilities") and to refund, fund or retire bonds and other obligations previously issued for such purpose; (b) to pledge to the payment of the Obligations all or a specified part of the gross amount of the General Receipts of the University (as defined in the Original Indenture) in priority to all other expenses, claims or payments; (c) to covenant, as herein provided, that the University will make, fix, adjust and collect the fees, rates, rentals, charges and other items comprising General Receipts to produce General Receipts sufficient at all times to meet Debt Service Charges (as defined in the Amended and Restated Trust Indenture described below) on the Obligations, to establish and to maintain the required reserves and meet other requirements herein provided; and (d) to provide for a trust indenture and make further provisions for securing the payment of the Debt Service Charges; and

WHEREAS the University, by resolution adopted by its Board of Trustees (the "Board") on November 1, 1985 (the "Series 1985 A Bond Resolution") and by a Trust Indenture dated as of November 15, 1985 (the "Original Indenture") between the University and The Huntington National Bank, as Trustee (the "Trustee"), provided for the issuance from time to time of General Receipts Bonds of the University; and

WHEREAS the University, pursuant to the terms of a resolution adopted by the Board on October 1, 1999 (the "1999 General Bond Resolution") amended and restated the Original Indenture, as supplemented in accordance with the terms thereof by entering into the Amended and Restated Trust Indenture dated as of December 1, 1999 (the "Amended and Restated Trust Indenture") with the Trustee; and

WHEREAS the Amended and Restated Indenture provides that Obligations, as defined therein, may be issued pursuant to the terms thereof, with each such issue to be authorized by a Series Resolution, as defined therein, adopted by the Board and secured pursuant to the terms of a Supplemental Indenture, as defined in the Amended and Restated Indenture with respect to such issue (the Amended and Restated Indenture and all Supplemental Indentures thereto being collectively referred to herein as the "Indenture"); and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 A General Receipts Bond Resolution"), the Indenture and the First Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "First Supplement") between the University and the Trustee, \$83,585,000 The Ohio State University General Receipts Bonds, Series 1999 A (the "Series 1999 A Bonds") of which authorized amount \$75,190,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 B General Receipts Bond Resolution"), the Indenture and the Second Supplement to Amended and

**AUTHORIZATION, ISSUANCE AND SALE OF GENERAL RECEIPTS
SERIES 2003 B BONDS (contd)**

Restated Trust Indenture, dated as of December 1, 1999 (the "Second Supplement") between the University and the Trustee, \$108,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 1999 B (the "Series 1999 B Bonds") of which authorized amount \$84,900,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on November 2, 2001 (the "Series 2001 General Receipts Bond Resolution"), the Indenture and the Series 2001 Supplement to Amended and Restated Trust Indenture, dated as of November 1, 2001 (the "Series 2001 Supplement") between the University and the Trustee, \$79,950,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 2001 (the "Series 2001 Bonds") of which amount \$76,950,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on November 2, 2001 (the "Series D Commercial Paper Resolution") the Indenture and Series D Supplement to the Amended and Restated Trust Indenture, dated as of December 1, 2001 (the "Series D Supplement") between the University and the Trustee, \$175,000,000 The Ohio State University General Receipts Commercial Paper Notes, Series D (the "Series D Notes"); and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on November 2, 2001 (the "Series 2002 A General Receipts Bond Resolution"), the Indenture and the Series 2002 A Supplement to Amended and Restated Trust Indenture, dated as of January 1, 2002 (the "Series 2002 A Supplement") between the University and the Trustee, \$150,515,000 The Ohio State University General Receipts Bonds, Series 2002 A (the "Series 2002 A Bonds"), of which amount \$145,075,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on February 7, 2003 (the "Series 2003 A General Receipts Bond Resolution"), the Indenture and the Series 2003 A Supplement to Amended and Restated Trust Indenture, dated as of February 1, 2003 (the "Series 2003 A Supplement") between the University and the Trustee, \$53,000,000 The Ohio State University General Receipts Bonds, Series 2002 A (the "Series 2002 A Bonds"), of which amount \$50,965,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on May 30, 2003 (the "Series E Commercial Paper Resolution"), the Indenture and the Series E Supplement to Amended and Restated Trust Indenture, dated as of June 1, 2003 (the "Series E Supplement") between the University and the Trustee, of up to \$430,000,000 in principal amount of The Ohio State University General Receipts Bonds, Series 2002 A (the "Series E Notes"); and

WHEREAS the Board has determined that it is in the best interests of the University to authorize the issuance of The Ohio State University General Receipts Bonds, Series 2003 B (the "Series 2003 B Bonds") in the principal amount not to exceed \$245,000,000 for the purpose of (A) the acquisition, construction and installation of the Series 2003 Project, as defined herein; (B) currently refunding certain of outstanding obligations (the "Refunded Obligations"), and (C) paying costs and expenses associated with the issuance of the Series 2003 B Bonds; and

WHEREAS the University desires to make provisions for the issuance of the Series 2003 B Bonds and for the payment of the Debt Service Charges thereon and the securing thereof by this Resolution and a 2003 B Supplement to the Amended and Restated Trust Indenture (the "2003 B Supplement" and together with the Amended and Restated Trust Indenture, the "Indenture") herein authorized.

NOW THEREFORE

**AUTHORIZATION, ISSUANCE AND SALE OF GENERAL RECEIPTS
SERIES 2003 B BONDS (contd)**

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY as follows:

Section 1. Definitions and Interpretations. All words and terms defined in the Amended and Restated Indenture and all interpretations therein provided shall have in this Resolution the same meanings, respectively, and be subject to the same interpretations as therein provided or used, unless the context or use clearly indicates another or different meaning or intent. Terms not appearing in the Amended and Restated Indenture but used herein and not previously defined herein are defined as follows:

"Certificate of Award" means the Certificate of Award authorized pursuant to Section 3 of this Resolution.

"Code" means the Internal Revenue Code of 1986, as amended, the Treasury Regulations (whether proposed, temporary or final) under that Code or the statutory predecessor of that Code, and any amendments of, or successor provisions to, the foregoing and any official rulings, announcements, notices, procedures and judicial determinations regarding any of the foregoing, all as and to the extent applicable. Unless otherwise indicated, reference to a Section of the Code means that Section, including any applicable successor section or provision and such applicable Treasury Regulations, rulings, announcements, notices, procedures and determinations pertinent to that Section.

"Contract of Purchase" means the Contract of Purchase between the Original Purchaser and the University, authorized pursuant to Section 3 hereof.

"Official Statement" means, as to the Series 2003 B Bonds, the Official Statement relating to the original issuance of the Series 2003 B Bonds, authorized pursuant to Section 3 hereof.

"Original Purchasers" means, as to the Series 2003 B Bonds, SBK-Brooks Investments Corp, Fifth Third Securities, Apex Pryor Securities, McDonald Investments, Inc. NatCity Investments, Inc. and UBS Paine Webber Financial Services, Inc.

"Paying Agent" means the Trustee.

"Refunded Obligations" means the outstanding principal amount of the Obligations of the University identified in Section 2 hereof.

"Series 2003 B Bond Resolution" or "this Resolution" as used herein, means this Resolution and the Certificate of Award, as the same may be amended from time to time.

"Series 2003 Project" means the Series 2003 Project as described on Exhibit A hereto, which exhibit is incorporated by reference herein with the same force and effect as if fully set forth herein.

Any reference herein to the University, the Board, or to any members or officers thereof or to other public boards, commissions, departments, institutions, agencies, bodies, entities or officers, shall include those which succeed to their functions, duties or responsibilities pursuant to or by operation of law or who are lawfully performing their functions. Any reference to a section or provision of the Ohio Revised Code or to the laws of Ohio shall include such section or provision and such laws as from time to time amended, modified, revised, supplemented, or superseded, provided that no such amendment, modification, revision, supplementation, or supersession shall alter the obligation to pay the Debt Service Charges in the amount and manner, at the times, and from the sources provided in this Resolution, the Amended and Restated Trust Indenture and the 2003 B Supplement, except as otherwise herein permitted.

**AUTHORIZATION, ISSUANCE AND SALE OF GENERAL RECEIPTS
SERIES 2003 B BONDS (contd)**

Unless the context shall otherwise indicate, words importing the singular number shall include the plural number, and vice versa, and the terms "hereof," "herein," "hereby," "hereto," "hereunder," and similar terms, mean this Resolution.

Section 2. Authorization; Terms; Pledge; and Covenant.

(a) Authorization. The Series 2003 B Bonds shall be designated and known as "The Ohio State University General Receipts Bonds, Series 2003 B" and shall be issued pursuant to and as authorized by the Act, Section 2i of Article VIII of the Ohio Constitution, this Resolution, the Amended and Restated Trust Indenture and the 2003 B Supplement. The Series 2003 B Bonds shall be issued for the purposes of financing a portion of the costs of the University Facilities comprising the Series 2003 Project, currently refunding the outstanding principal amount of the Refunded Obligations and paying costs and expenses incidental to the issuance of the Series 2003 B Bonds. The Refunded Obligations shall consist of not more than \$60,000,000 in aggregate principal amount of Series E Notes and \$50,965,000 in principal amount of the Series 2002 A Bonds; provided, however, the determination of whether and what principal amounts, if any, of such obligations are to be refunded by the proceeds of the Series 2003 B Bonds shall be made by the Treasurer of the University in the exercise of his reasonable discretion, and the Treasurer of the University is hereby directed and authorized to make such determination. Upon such determination by the Treasurer of the University, the Refunded Obligations shall consist of such Obligations of the University as are actually refunded. The written direction of the Treasurer to the Trustee as to deposit of proceeds of the Series 2003 Bonds and the execution of the Federal Income Tax Compliance Agreement describing the use of the proceeds of the Series 2003 B Bonds shall be conclusive evidence that the determination of the Treasurer with respect to which Obligations are to constitute Refunded Obligations is authorized.

(b) Form and Numbering. The Series 2003 B Bonds shall be issued only as fully registered bonds. The Series 2003 B Bonds shall be numbered as determined by the Trustee which will distinguish each Series 2003 B Bond from each other Series 2003 B Bond.

(c) Denominations and Dates. The Series 2003 B Bonds shall be issuable in denominations of \$5,000 and integral multiples thereof and shall be dated as of as provided in the Certificate of Award.

(d) Principal Amount. The Series 2003 B Bonds shall be issued in the principal amount specified in the Certificate of Award, which amount shall not exceed \$245,000,000.

(e) Delivery and Execution. The Treasurer is hereby authorized to make the necessary arrangements with the Original Purchaser to establish the date, location, procedures and conditions for the delivery of the Series 2003 B Bonds to the Original Purchaser and to take all steps as necessary to effect due execution, authentication, sale and delivery of the Series 2003 B Bonds to the Original Purchaser under the terms of this Resolution. The Series 2003 B Bonds shall be signed by the Treasurer in his official capacity (provided such signature may be a facsimile) and may bear the corporate seal of the University or a facsimile thereof.

(f) Interest and Maturities.

(i) The Series 2003 B Bonds shall bear interest on the unpaid principal amount thereof from the most recent date to which interest has been paid or duly provided for or, if no interest has been paid or provided for, from their date, with interest payable at their maturity at the percentage rate or rates per annum set forth in the Certificate of Award. Interest shall be calculated on the basis of a 360-day year consisting of twelve 30-day months. Such rate or rates shall in no event produce an interest rate for the Series 2003 B Bonds in excess of eight percent (8.00%) per annum.

(ii) The Series 2003 B Bonds shall mature not later than December 1, 2033 as provided for in the Certificate of Award.

**AUTHORIZATION, ISSUANCE AND SALE OF GENERAL RECEIPTS
SERIES 2003 B BONDS (contd)**

(iii) The Series 2003 B Bonds, if so provided for in the Certificate of Award, shall be subject to optional redemption at the option of the University prior to stated maturity, in whole or in part, but if in part, in installments of \$5,000 principal amount of such Series 2003 B Bond or integral multiples thereof, in accordance with the terms, conditions, redemption prices and on the dates set forth in the Certificate of Award. Notice of call for and other terms and provisions governing redemption of the Series 2003 B Bonds shall be given in the manner provided in the Indenture. At no time shall the redemption price on any Series 2003 B Bonds, exclusive of accrued interest, exceed one hundred percent (100%) of the principal amount of such Bond to be redeemed.

(g) Security. As provided in the Amended and Restated Trust Indenture as supplemented by the 2003 B Supplement, there is hereby pledged to the security of the Series 2003 B Bonds and for the payment of the Debt Service Charges on the Series 2003 B Bonds, (i) the gross amount of General Receipts of the University (subject to the provisions for the partial release of a pledge of General Receipts contained in Section 4.11 of the Original Indenture) and (ii) the monies contained in the Special Funds, but excluding the Bond Reserve Fund. Anything else to the contrary in the Indenture or this Resolution notwithstanding, the Series 2003 B Bonds shall not have access to, any claim upon or be secured by the Bond Reserve Fund or the Note Program Fund.

The pledge of General Receipts in the immediately preceding paragraph shall be on a parity with expenses, claims and payments relating to other Parity Obligations and in priority to all other expenses, claims and payments of the University. In accordance with the Act, all General Receipts or portions thereof are immediately subject to the lien of the pledge upon receipt thereof by the University. Furthermore, the Pledge contained herein and the Amended and Restated Indenture is not in derogation of the pledge contained in the Original Indenture, any supplement thereto Indenture, any Series Resolution adopted pursuant to the Original Resolution or any Bond proceedings with respect to any Obligations currently outstanding, it being the intention of the University that all such Obligations outstanding upon the execution and delivery of the Series 2003 B Supplement shall be Parity Obligations within the meaning of the Amended and Restated Trust Indenture, equally and ratably secured by the pledge of General Receipts of the University herein and therein contained.

For the further security of the Series 2003 B Bonds, and any other Obligations, the University hereby covenants with the bondholders and the Trustee that so long as any Series 2003 B Bonds or Obligations are outstanding, the University shall fix, make, adjust and collect fees, rates, rentals, charges, and other items of General Receipts, as will produce at all times General Receipts sufficient (i) to pay Debt Service Charges when due, (ii) together with other monies lawfully available therefor, to pay all costs and expenses required to be paid under the Bond proceedings, and (iii) together with other monies lawfully available therefor, to pay all other costs and expenses necessary for the proper maintenance and successful and continuous operation of the University.

(h) Payment, Places of Payment and Paying Agents. The principal of and any redemption premium on any Series 2003 B Bond when due shall be payable to the registered holder upon presentation and surrender thereof at the principal corporate trust office of the Trustee. Interest on Series 2003 B Bonds shall be payable by check or draft mailed by the Trustee as provided in the Indenture; provided, however, that interest on Series 2003 B Bonds aggregating \$1,000,000 or more in principal amount registered in the name of a single holder shall be paid by wire transfer in immediately available funds to such account, if any, as such holder directs in writing to the Trustee at least ten (10) days prior to any Interest Payment Date, such payment by wire transfer pursuant to that direction continuing in effect as to subsequent Interest Payment Dates until such time as the holder notifies the Trustee to the contrary or until such time as such holder ceases to be a holder of the requisite principal amount of Series 2003 B Bonds.

**AUTHORIZATION, ISSUANCE AND SALE OF GENERAL RECEIPTS
SERIES 2003 B BONDS (contd)**

(i) Book Entry. Subject to the provisions of the immediately following paragraph, the Series 2003 B Bonds shall be issued only to a Depository for holding in a Book Entry System. Those Series 2003 B Bonds shall be registered in the name of the Depository or its nominee, as registered owner, and immobilized in the custody of the Depository; and shall not be transferable or exchangeable, except for transfer to another Depository or to another nominee of a Depository, without further action by the University. Each maturity of the Series 2003 B Bonds shall be evidenced by a single certificate in the aggregate principal amount of the Series 2003 B Bonds maturing on each such maturity date.

If any Depository determines not to continue to act as a Depository for the Series 2003 B Bonds for holding in a Book Entry System, the University may attempt to have established a securities depository/Book Entry System relationship with another qualified Depository. If the University does not or is unable to do so, the University, after making provision for notification of the owners of book entry interests by appropriate notice to the then Depository and any other arrangements it deems necessary, shall permit the withdrawal of the Series 2003 B Bonds from the Depository, and authenticate and deliver the Series 2003 B Bond certificates, in fully registered form to the assigns of the Depository or its nominee (if such Bond was held by a nominee), all at the cost and expense (including costs of printing or otherwise preparing and delivering replacement Series 2003 B Bonds) of the University. Series 2003 B Bond certificates authenticated and delivered pursuant to this paragraph shall be in the denomination of \$5,000 or any integral multiple thereof.

Section 3. 2003 B Supplement; Contract of Purchase; Certificate of Award; Official Statement; Continuing Disclosure Agreement. To secure the pledge of General Receipts for the payment of Debt Service Charges on all Obligations, the execution, delivery and performance of the 2003 B Supplement in substantially the form on file with the Secretary of the Board is hereby approved and authorized. The Chairman, the President of the University, the Secretary of the Board and the Treasurer, or any one or more of them, are authorized and directed to execute and deliver to the Trustee, in the name and on behalf of the University such Amended and Restated Trust Indenture, with such changes therein as are not substantially adverse to the University and as may be permitted by the Act and approved by the officers executing the same on behalf of the University. Execution by the officer or officers of the University shall be conclusive evidence that any such changes are not substantially adverse to the University.

The Series 2003 B Bonds are sold and awarded to the Original Purchaser in accordance with the Contract of Purchase at the purchase price provided in the Certificate of Award. The form of Contract of Purchase now on file with the Secretary of the Board is hereby approved, together with any changes therein and completions thereof which are not inconsistent with this Resolution and not substantially adverse to the University, and as are permitted by the Act and approved on behalf of the University by the Chairman or the Treasurer. The approval on behalf of the University of those changes and completions by the Chairman or the Treasurer, and the status of those changes as not substantially adverse to the University, shall be conclusively evidenced by the execution of the Contract of Purchase on behalf of the University by the Chairman or the Treasurer. It is determined hereby that the purchase price and the manner of sale and the terms of the Series 2003 B Bonds, as provided in this Resolution and the Contract of Purchase are consistent with all legal requirements and will carry out the public purposes of the Act.

The sale and award of the Series 2003 B Bonds shall be further evidenced by the Certificate of Award. The Certificate of Award is hereby authorized and shall be executed by the Chairman or the Treasurer. The Certificate of Award shall state or confirm the aggregate principal amount of the Series 2003 B Bonds, the purchase price of such Bonds, the interest rate or rates with respect to each maturity of such Bonds, and the optional redemption provisions, all as determined in accordance with the provisions of this Resolution and the Contract of Purchase, and all of which shall be conclusively evidenced by the execution of the Certificate of Award. The Certificate of Award shall also contain such other dates or provisions as the Chairman or the Treasurer determines are appropriate or necessary, and as are consistent with this Resolution, the Indenture, the 2003 B Supplement and the Contract of Purchase.

**AUTHORIZATION, ISSUANCE AND SALE OF GENERAL RECEIPTS
SERIES 2003 B BONDS (contd)**

The use and distribution of the Preliminary Official Statement and the Official Statement relating to the Series 2001 Bonds by the Original Purchasers is hereby approved, authorized and ratified. The Preliminary Official Statement is deemed final by the University for purposes of Securities Exchange Commission Rule 15c2-1 2 except for such omissions therefrom as may be permitted by such Rule. The Official Statement, substantially in the form of the Preliminary Official Statement now on file with the Secretary of the Board, shall be executed by the Chairman and the Treasurer or either of them, each in his official capacity on behalf of the University with any modifications, changes and supplements necessary or desirable for the purposes thereof which the Chairman or the Treasurer shall approve. The Board has not confirmed, and assumes no responsibility for, the accuracy, sufficiency or fairness of the statements in the Preliminary Official Statement or the final Official Statement under the caption "UNDERWRITING."

The execution, delivery and performance of the Continuing Disclosure Agreement (the "Continuing Disclosure Agreement") between the University and the Trustee in the form now on file with the Secretary of the Board is hereby authorized and approved, together with any changes therein which are not substantially adverse to the University. The Chairman and the Treasurer, or either of them is each authorized to execute the Continuing Disclosure Agreement and such execution by either or both of them shall be conclusive evidence that such changes are not substantially adverse to the University.

Section 4. Allocation of Proceeds. The proceeds from the sale of the Series 2003 B Bonds, including any accrued interest, shall be allocated, deposited and applied as follows:

- (i) To the Debt Service Fund, accrued interest, if any, received on the sale of the Series 2003 B Bonds and the amount of proceeds of the Series 2003 B Bonds as specified by the Treasurer to currently refund the Refunded Bonds; and
- (ii) To the Series 2003 Project Account, created pursuant to Section 5 of this Resolution, the amount of the proceeds of the Series 2003 B Bonds as specified in the Certificate of Award, to be applied to the purposes of that Fund, including transfers from that Fund authorized by the Act and to pay costs and expenses associated with the issuance of the Series 2003 B Bonds;
- (iii) To the Series 2003 B Project Account, created pursuant to Section 5 of this Resolution, the amount of the proceeds of the Series 2003 B Bonds as specified in the Certificate of Award, and to pay costs and expenses associated with the issuance of the Series 2003 B Bonds.

Section 5. Series 2003 Project Account. There is hereby created by the University an account within the Facilities Fund to be maintained in the custody of the Treasurer and designated the "Series 2003 Project Account." The Series 2003 Project Account shall be funded in part from the proceeds of the sale of the Series 2003 B Bonds. In addition to the costs of the Series 2003 Project paid from such proceeds, such proceeds shall be used for the payment of the costs and expenses associated with the issuance of the Series 2003 B Bonds. The Series 2003 Project Account shall not constitute a Special Fund and shall not be pledged to the payment of Debt Service Charges.

Moneys held in the Series 2003 Project Account, including all investment earnings thereon, pending disbursement from the Series 2003 Project Account shall be invested in Authorized Investments specified in Section VIII of the Non-Endowment Investments Policy of the University attached hereto as Exhibit A, as the same may be amended from time to time. If the proceeds of the Series 2003 B Bonds remain unspent upon the issuance of any Obligations to fund costs of the Series 2003 Project, the University shall establish separate accounts and subaccounts, for accounting purposes, for the deposit of the proceeds of such Obligations in accordance with the provisions of this Section 5.

**AUTHORIZATION, ISSUANCE AND SALE OF GENERAL RECEIPTS
SERIES 2003 B BONDS (contd)**

The Treasurer shall maintain such books and records with respect to disbursements from the Series 2003 Project Account so as to enable the Treasurer to determine the name of any payee of any such disbursement, the date on which such disbursement occurred, the amount of such disbursement and the purpose for which such disbursement was made. Investment earnings on the funds on deposit in the Series 2003 Project Account may, at the discretion of the University, be paid to the Trustee for deposit in the Bond Service Account in the Debt Service Fund to be used to pay Debt Service Charges on the Series 2003 B Bonds.

Upon the determination by the Treasurer that the costs incurred in connection with any item listed in Section 1 hereof in the definition of Series 2003 Project (each a "Component") to be paid from the Series 2003 Project Account have been paid in full or provision for such payment has been made, the University may use any remaining moneys on deposit in the Series 2003 Project Account with respect to such Component to fund the costs of other Components or to fund the costs of additional projects constituting University Facilities (each, an "Additional Project"). Monies remaining on deposit in the Series 2003 Project Account after the completion of the Series 2003 Project and any Additional Projects shall be used by the University in any manner which, in the opinion of Bond Counsel, shall be permissible under the Act and the Code.

Section 6. Application of Bond Proceeds. The University covenants that the use of the proceeds of the Series 2003 B Bonds will be restricted in such manner and to such extent, if any, as may be necessary, after taking into account reasonable expectations at the time of delivery of and payment for the Series 2003 B Bonds, so that the Series 2003 B Bonds will not constitute arbitrage bonds under Sections 103(c) and 148 of the Code. The Treasurer of the University, or any other officer having responsibility with respect to the issuance of the Series 2003 B Bonds, alone or in conjunction with any other officer or employee of or consultant to the University, will give an appropriate certificate of the University for inclusion in the transcript of proceedings for the Series 2003 B Bonds setting forth the reasonable expectations of the University regarding the amount and use of all those proceeds and the facts and estimates on which they are based, all as of the date of original delivery of and payment for the Series 2003 B Bonds.

The University further covenants that it will take all actions required to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Series 2003 B Bonds, and will not take, nor permit to be taken, any actions which would adversely affect such exclusion under the provisions of the Code that apply to the Series 2003 B Bonds; and the Chairman or the Treasurer and other appropriate officers are hereby authorized to take such actions and give such certifications as may be appropriate to assure such exclusion from gross income of interest on the Series 2003 B Bonds.

Section 7. Call for Redemption of Refunded Bonds. The University hereby determines that the Refunded Bonds shall be called for optional redemption at the earliest date on which each of them may be redeemed pursuant to the Indenture and that the execution and delivery by the University of the 2003 B Supplement shall constitute the irrevocable direction to the Trustee to send such notices as are required by the Indenture to effect such call for redemption. The execution of the 2003 B Supplement and the acceptance of the estate conveyed thereby by the Trustee shall constitute the receipt by the Trustee of such direction and the agreement by the Trustee to give the aforementioned notices.

Section 8. Open Meeting Determination. It is found and determined that all formal actions of the Board concerning and relating to the adoption of this Resolution were adopted in an open meeting of the Board, and that all deliberations of the Board and of any of its committees that resulted in such formal action were in meetings open to the public, In compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section 9. Effective Date. This Resolution shall take effect and be in force immediately upon its adoption.

**AUTHORIZATION, ISSUANCE AND SALE OF VARIABLE RATE DEMAND
GENERAL RECEIPTS SERIES 2003 C BONDS**

Resolution No. 2004-23

Synopsis: Providing for the authorization, issuance and sale of Variable Rate Demand General Receipts Bonds (the "Series 2003 C Bonds"), in a principal amount determined as provided herein, for the purposes of (A) the acquisition, construction and installation of the Series 2003 Project, as defined herein; (B) currently refunding the outstanding principal amount of certain Obligations of the University (the "Refunded Obligations"); and (C) paying costs and expenses associated with the issuance of the 2003 C Bonds is requested.

WHEREAS pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code (the "Act"), as enacted under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, The Ohio State University (the "University"), a state university of the State of Ohio (the "State"), created and existing under Chapter 3335 of the Ohio Revised Code, is authorized and empowered, among other things, (a) to issue, as provided herein, Obligations (as defined in the Indenture (as hereinafter defined)) of the University to pay the costs of certain capital facilities defined as "facilities" in the Act (and herein called "University Facilities") and to refund, fund or retire bonds and other obligations previously issued for such purpose; (b) to pledge to the payment of the Obligations all or a specified part of the gross amount of the General Receipts of the University (as defined in the Original Indenture) in priority to all other expenses, claims or payments; (c) to covenant, as herein provided, that the University will make, fix, adjust and collect the fees, rates, rentals, charges and other items comprising General Receipts to produce General Receipts sufficient at all times to meet Debt Service Charges (as defined in the Amended and Restated Trust Indenture described below) on the Obligations, to establish and to maintain the required reserves and meet other requirements herein provided; and (d) to provide for a trust indenture and make further provisions for securing the payment of the Debt Service Charges; and

WHEREAS the University, by resolution adopted by its Board of Trustees (the "Board") on November 1, 1985 (the "Series 1985 A Bond Resolution") and by a Trust Indenture dated as of November 15, 1985 (the "Original Indenture") between the University and The Huntington National Bank, as Trustee (the "Trustee"), provided for the issuance from time to time of General Receipts Bonds of the University; and

WHEREAS the University, pursuant to the terms of a resolution adopted by the Board on October 1, 1999 (the "1999 General Bond Resolution") amended and restated the Original Indenture, as supplemented in accordance with the terms thereof by entering into the Amended and Restated Trust Indenture dated as of December 1, 1999 (the "Amended and Restated Trust Indenture") with the Trustee; and

WHEREAS the Amended and Restated Indenture provides that Obligations, as defined therein, may be issued pursuant to the terms thereof, with each such issue to be authorized by a Series Resolution, as defined therein, adopted by the Board and secured pursuant to the terms of a Supplemental Indenture, as defined in the Amended and Restated Indenture with respect to such issue (the Amended and Restated Indenture and all Supplemental Indentures thereto being collectively referred to herein as the "Indenture"); and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 A General Receipts Bond Resolution"), the Indenture and the First Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "First Supplement") between the University and the Trustee, \$83,585,000 The Ohio State University General Receipts Bonds, Series 1999 A (the "Series 1999 A Bonds") of which authorized amount \$75,190,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 B General Receipts Bond Resolution"), the Indenture and the Second Supplement to Amended and

**AUTHORIZATION, ISSUANCE AND SALE OF VARIABLE RATE DEMAND
GENERAL RECEIPTS SERIES 2003 C BONDS (contd)**

Restated Trust Indenture, dated as of December 1, 1999 (the "Second Supplement") between the University and the Trustee, \$108,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 1999 B (the "Series 1999 B Bonds") of which authorized amount \$84,900,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on November 2, 2001 (the "Series 2001 General Receipts Bond Resolution"), the Indenture and the Series 2001 Supplement to Amended and Restated Trust Indenture, dated as of November 1, 2001 (the "Series 2001 Supplement") between the University and the Trustee, \$79,950,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 2001 (the "Series 2001 Bonds") of which amount \$76,950,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on November 2, 2001 (the "Series D Commercial Paper Resolution") the Indenture and Series D Supplement to the Amended and Restated Trust Indenture, dated as of December 1, 2001 (the "Series D Supplement") between the University and the Trustee, \$175,000,000 The Ohio State University General Receipts Commercial Paper Notes, Series D (the "Series D Notes") of which amount \$47,000,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on November 2, 2001 (the "Series 2002 A General Receipts Bond Resolution"), the Indenture and the Series 2002 A Supplement to Amended and Restated Trust Indenture, dated as of January 1, 2002 (the "Series 2002 A Supplement") between the University and the Trustee, \$150,515,000 The Ohio State University General Receipts Bonds, Series 2002 A (the "Series 2002 A Bonds"), of which amount \$145,075,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on February 7, 2003 (the "Series 2003 A General Receipts Bond Resolution"), the Indenture and the Series 2003 A Supplement to Amended and Restated Trust Indenture, dated as of February 1, 2003 (the "Series 2003 A Supplement") between the University and the Trustee, \$53,000,000 The Ohio State University General Receipts Bonds, Series 2002 A (the "Series 2002 A Bonds"), of which amount \$50,965,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on May 30, 2003 (the "Series E Commercial Paper Resolution"), the Indenture and the Series E Supplement to Amended and Restated Trust Indenture, dated as of June 1, 2003 (the "Series E Supplement") between the University and the Trustee, of up to \$430,000,000 in principal amount of The Ohio State University General Receipts Commercial Paper Notes, Series E (the "Series E Notes"); and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on July 11, 2003 (the "Series 2003 B General Receipts Bond Resolution"), the Indenture and the Series 2003 B Supplement to Amended and Restated Trust Indenture (the "Series 2003 B Supplement") between the University and the Trustee, of not to exceed \$245,000,000 The Ohio State University General Receipts Bonds, Series 2003 B (the "Series 2003 B Bonds"); and

WHEREAS the Board has determined that it is in the best interests of the University to authorize the issuance of The Ohio State University Variable Rate Demand General Receipts Bonds, Series 2003 C (the "Series 2003 C Bonds") in the principal amount not to exceed \$130,000,000 for the purpose of (A) the acquisition, construction and installation of the Series 2003 Project, as defined herein; (B) currently refunding a portion of certain of outstanding Obligations (the

**AUTHORIZATION, ISSUANCE AND SALE OF VARIABLE RATE DEMAND
GENERAL RECEIPTS SERIES 2003 C BONDS (contd)**

"Refunded Obligations"), and (C) paying costs and expenses associated with the issuance of the Series 2003 C Bonds; and

WHEREAS the University desires to make provisions for the issuance of the Series 2003 C Bonds and for the payment of the Debt Service Charges thereon and the securing thereof by this Resolution and a 2003 C Supplement to the Amended and Restated Trust Indenture (the "2003 C Supplement" and together with the Amended and Restated Trust Indenture, the "Indenture") herein authorized:

NOW THEREFORE

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY as follows:

Section 1. Definitions and Interpretations. All words and terms defined in the Amended and Restated Indenture and all interpretations therein provided shall have in this Resolution the same meanings, respectively, and be subject to the same interpretations as therein provided or used, unless the context or use clearly indicates another or different meaning or intent. Terms not appearing in the Amended and Restated Indenture but used herein and not previously defined herein are defined as follows:

"Certificate of Award" means the Certificate of Award authorized pursuant to Section 3 of this Resolution.

"Code" means the Internal Revenue Code of 1986, as amended, the Treasury Regulations (whether proposed, temporary or final) under that Code or the statutory predecessor of that Code, and any amendments of, or successor provisions to, the foregoing and any official rulings, announcements, notices, procedures and judicial determinations regarding any of the foregoing, all as and to the extent applicable. Unless otherwise indicated, reference to a Section of the Code means that Section, including any applicable successor section or provision and such applicable Treasury Regulations, rulings, announcements, notices, procedures and determinations pertinent to that Section.

"Contract of Purchase" means the Contract of Purchase between the Original Purchasers and the University, authorized pursuant to Section 3 hereof.

"Official Statement" means, as to the Series 2003 C Bonds, the Official Statement relating to the original issuance of the Series 2003 C Bonds, authorized pursuant to Section 3 hereof.

"Original Purchasers" means, as to the Series 2003 C Bonds, Morgan Stanley & Company, Inc. and Apex Pryor Securities.

"Paying Agent" means the Trustee.

"Refunded Obligations" means the portion of the Series E Commercial Paper Notes of the University to be currently refunded with a portion of the proceeds of the Series 2003 C Bonds.

"Series 2003 C Bond Resolution" or "this Resolution" as used herein, means this Resolution and the Certificate of Award, as the same may be amended from time to time.

"Series 2003 Project" means the Series 2003 Project as described on Exhibit A hereto, which exhibit is incorporated by reference herein with the same force and effect as if fully set forth herein.

**AUTHORIZATION, ISSUANCE AND SALE OF VARIABLE RATE DEMAND
GENERAL RECEIPTS SERIES 2003 C BONDS (contd)**

"Remarketing Agent" means Morgan Stanley & Co. Incorporated or any other investment banking firm which may at any time be substituted in place of either of them as provided in Section 5.20 of the Indenture.

Any reference herein to the University, the Board, or to any members or officers thereof or to other public boards, commissions, departments, institutions, agencies, bodies, entities or officers, shall include those which succeed to their functions, duties or responsibilities pursuant to or by operation of law or who are lawfully performing their functions. Any reference to a section or provision of the Ohio Revised Code or to the laws of Ohio shall include such section or provision and such laws as from time to time amended, modified, revised, supplemented, or superseded, provided that no such amendment, modification, revision, supplementation, or supersession shall alter the obligation to pay the Debt Service Charges in the amount and manner, at the times, and from the sources provided in this Resolution, the Amended and Restated Trust Indenture and the 2003 C Supplement, except as otherwise herein permitted.

Unless the context shall otherwise indicate, words importing the singular number shall include the plural number, and vice versa, and the terms "hereof," "herein," "hereby," "hereto," "hereunder," and similar terms, mean this Resolution.

Section 2. Authorization; Terms; Pledge; and Covenant.

(a) Authorization. The Series 2003 C Bonds shall be designated and known as "The Ohio State University Variable Rate Demand General Receipts Bonds, Series 2003 C" and shall be issued pursuant to and as authorized by the Act, Section 2i of Article VIII of the Ohio Constitution, this Resolution, the Amended and Restated Trust Indenture and the 2003 C Supplement. The Series 2003 C Bonds shall be issued for the purposes of financing a portion of the costs of the University Facilities comprising the Series 2003 Project, currently refunding the outstanding principal amount of the Refunded Obligations and paying costs and expenses incidental to the issuance of the Series 2003 C Bonds.

(b) Form and Numbering. The Series 2003 C Bonds shall be issued only as fully registered bonds. The Series 2003 C Bonds shall be numbered as determined by the Trustee which will distinguish each Series 2003 C Bond from each other Series 2003 C Bond.

(c) Denominations and Dates. The Series 2003 C Bonds shall be issuable in denominations of \$100,000 and integral multiples thereof and shall be dated as of as provided in the Certificate of Award.

(d) Principal Amount. The Series 2003 C Bonds shall be issued in the principal amount specified in the Certificate of Award, which amount shall not exceed \$130,000,000.

(e) Delivery and Execution. The Treasurer is hereby authorized to make the necessary arrangements with the Original Purchasers to establish the date, location, procedures and conditions for the delivery of the Series 2003 C Bonds to the Original Purchasers and to take all steps as necessary to effect due execution, authentication, sale and delivery of the Series 2003 C Bonds to the Original Purchasers under the terms of this Resolution. The Series 2003 C Bonds shall be signed by the Treasurer in his official capacity (provided such signature may be a facsimile) and may bear the corporate seal of the University or a facsimile thereof.

(f) Interest and Maturities.

(i) The Series 2003 C Bonds shall bear interest at a variable rate in any number of Modes determined pursuant to the 2001 Supplement. The University may also convert the interest rate on all or a portion of the Series 2001 Bonds to Fixed Rates. The interest rate on the Series 2001 Bonds shall at no time exceed the Maximum Interest Rate. Interest shall be payable on each Interest Payment Date.

**AUTHORIZATION, ISSUANCE AND SALE OF VARIABLE RATE DEMAND
GENERAL RECEIPTS SERIES 2003 C BONDS (contd)**

(ii) The Series 2003 C Bonds shall mature not later than December 1, 2033 as provided for in the Certificate of Award.

(iii) The Series 2003 C Bonds, if so provided for in the Certificate of Award, shall be subject to optional redemption at the option of the University prior to stated maturity, in whole or in part, but if in part, in installments of \$5,000 principal amount of such Series 2003 C Bond or integral multiples thereof, in accordance with the terms, conditions, redemption prices and on the dates set forth in the Certificate of Award. Notice of call for and other terms and provisions governing redemption of the Series 2003 C Bonds shall be given in the manner provided in the Indenture. At no time shall the redemption price on any Series 2003 C Bonds, exclusive of accrued interest, exceed one hundred percent (100%) of the principal amount of such Bond to be redeemed.

(g) Security. As provided in the Amended and Restated Trust Indenture as supplemented by the 2003 C Supplement, there is hereby pledged to the security of the Series 2003 C Bonds and for the payment of the Debt Service Charges on the Series 2003 C Bonds, (i) the gross amount of General Receipts of the University (subject to the provisions for the partial release of a pledge of General Receipts contained in Section 4.11 of the Original Indenture) and (ii) the monies contained in the Special Funds, but excluding the Bond Reserve Fund. Anything else to the contrary in the Indenture or this Resolution notwithstanding, the Series 2003 C Bonds shall not have access to, any claim upon or be secured by the Bond Reserve Fund or the Note Program Fund.

The pledge of General Receipts in the immediately preceding paragraph shall be on a parity with expenses, claims and payments relating to other Parity Obligations and in priority to all other expenses, claims and payments of the University. In accordance with the Act, all General Receipts or portions thereof are immediately subject to the lien of the pledge upon receipt thereof by the University. Furthermore, the Pledge contained herein and the Amended and Restated Indenture is not in derogation of the pledge contained in the Original Indenture, any supplement thereto Indenture, any Series Resolution adopted pursuant to the Original Resolution or any Bond proceedings with respect to any Obligations currently outstanding, it being the intention of the University that all such Obligations outstanding upon the execution and delivery of the Series 2003 C Supplement shall be Parity Obligations within the meaning of the Amended and Restated Trust Indenture, equally and ratably secured by the pledge of General Receipts of the University herein and therein contained.

For the further security of the Series 2003 C Bonds, and any other Obligations, the University hereby covenants with the bondholders and the Trustee that so long as any Series 2003 C Bonds or Obligations are outstanding, the University shall fix, make, adjust and collect fees, rates, rentals, charges, and other items of General Receipts, as will produce at all times General Receipts sufficient (i) to pay Debt Service Charges when due, (ii) together with other monies lawfully available therefor, to pay all costs and expenses required to be paid under the Bond proceedings, and (iii) together with other monies lawfully available therefor, to pay all other costs and expenses necessary for the proper maintenance and successful and continuous operation of the University.

(h) Payment, Places of Payment and Paying Agents. The principal of and any redemption premium on any Series 2003 C Bond when due shall be payable to the registered holder upon presentation and surrender thereof at the principal corporate trust office of the Trustee. Interest on Series 2003 C Bonds shall be payable by check or draft mailed by the Trustee as provided in the Indenture; provided, however, that interest on Series 2003 C Bonds aggregating \$1,000,000 or more in principal amount registered in the name of a single holder shall be paid by wire transfer in immediately available funds to such account, if any, as such holder directs in writing to the Trustee at least ten (10) days prior to any Interest Payment Date, such payment by wire transfer pursuant to that direction continuing in effect as to subsequent Interest Payment Dates until such time as the holder notifies the Trustee to the contrary or until such time as such holder ceases to be a holder of the requisite principal amount of Series 2003 C Bonds.

**AUTHORIZATION, ISSUANCE AND SALE OF VARIABLE RATE DEMAND
GENERAL RECEIPTS SERIES 2003 C BONDS (contd)**

(i) Book Entry. Subject to the provisions of the immediately following paragraph, the Series 2003 C Bonds shall be issued only to a Depository for holding in a Book Entry System. Those Series 2003 C Bonds shall be registered in the name of the Depository or its nominee, as registered owner, and immobilized in the custody of the Depository; and shall not be transferable or exchangeable, except for transfer to another Depository or to another nominee of a Depository, without further action by the University. Each maturity of the Series 2003 C Bonds shall be evidenced by a single certificate in the aggregate principal amount of the Series 2003 C Bonds maturing on each such maturity date.

If any Depository determines not to continue to act as a Depository for the Series 2003 C Bonds for holding in a Book Entry System, the University may attempt to have established a securities depository/Book Entry System relationship with another qualified Depository. If the University does not or is unable to do so, the University, after making provision for notification of the owners of book entry interests by appropriate notice to the then Depository and any other arrangements it deems necessary, shall permit the withdrawal of the Series 2003 C Bonds from the Depository, and authenticate and deliver the Series 2003 C Bond certificates, in fully registered form to the assigns of the Depository or its nominee (if such Bond was held by a nominee), all at the cost and expense (including costs of printing or otherwise preparing and delivering replacement Series 2003 C Bonds) of the University. Series 2003 C Bond certificates authenticated and delivered pursuant to this paragraph shall be in the denomination of \$5,000 or any integral multiple thereof.

(j) Tender and Purchase. The Series 2003 C Bonds will be subject to tender by the Holders thereof and also subject to purchase, including mandatory purchase, by the University in accordance with the terms of the 2003 C Supplement.

(k) Appointment of Remarketing Agent. The Board hereby appoints Morgan Stanley & Co. Incorporated, as Remarketing Agent.

Section 3. 2003 C Supplement; Contract of Purchase; Certificate of Award; Official Statement; Continuing Disclosure Agreement and Remarketing Agreement. To secure the pledge of General Receipts for the payment of Debt Service Charges on all Obligations, the execution, delivery and performance of the 2003 C Supplement in substantially the form on file with the Secretary of the Board is hereby approved and authorized. The Chairman, the President of the University, the Secretary of the Board and the Treasurer, or any one or more of them, are authorized and directed to execute and deliver to the Trustee, in the name and on behalf of the University such Amended and Restated Trust Indenture, with such changes therein as are not substantially adverse to the University and as may be permitted by the Act and approved by the officers executing the same on behalf of the University. Execution by the officer or officers of the University shall be conclusive evidence that any such changes are not substantially adverse to the University.

The Series 2003 C Bonds are sold and awarded to the Original Purchaser in accordance with the Contract of Purchase at the purchase price provided in the Certificate of Award. The form of Contract of Purchase now on file with the Secretary of the Board is hereby approved, together with any changes therein and completions thereof which are not inconsistent with this Resolution and not substantially adverse to the University, and as are permitted by the Act and approved on behalf of the University by the Chairman or the Treasurer. The approval on behalf of the University of those changes and completions by the Chairman or the Treasurer, and the status of those changes as not substantially adverse to the University, shall be conclusively evidenced by the execution of the Contract of Purchase on behalf of the University by the Chairman or the Treasurer. It is determined hereby that the purchase price and the manner of sale and the terms of the Series 2003 C Bonds, as provided in this Resolution and the Contract of Purchase are consistent with all legal requirements and will carry out the public purposes of the Act.

**AUTHORIZATION, ISSUANCE AND SALE OF VARIABLE RATE DEMAND
GENERAL RECEIPTS SERIES 2003 C BONDS (contd)**

The sale and award of the Series 2003 C Bonds shall be further evidenced by the Certificate of Award. The Certificate of Award is hereby authorized and shall be executed by the Chairman or the Treasurer. The Certificate of Award shall state or confirm the aggregate principal amount of the Series 2003 C Bonds, the purchase price of such Bonds, the interest rate or rates with respect to each maturity of such Bonds, the annual principal amount or amounts of such Bonds becoming due, the maturity dates and the optional redemption provisions, all as determined in accordance with the provisions of this Resolution and the Contract of Purchase, and all of which shall be conclusively evidenced by the execution of the Certificate of Award. The Certificate of Award shall also contain such other dates or provisions as the Chairman or the Treasurer determines are appropriate or necessary, and as are consistent with this Resolution, the Indenture, the 2003 C Supplement and the Contract of Purchase.

The use and distribution of the Preliminary Official Statement and the Official Statement relating to the Series 2003 C Bonds by the Original Purchasers is hereby approved, authorized and ratified. The Preliminary Official Statement is deemed final by the University for purposes of Securities Exchange Commission Rule 15c2-1 2 except for such omissions therefrom as may be permitted by such Rule. The Official Statement, substantially in the form of the Preliminary Official Statement now on file with the Secretary of the Board, shall be executed by the Chairman and the Treasurer or either of them, each in his official capacity on behalf of the University with any modifications, changes and supplements necessary or desirable for the purposes thereof which the Chairman or the Treasurer shall approve. The Board has not confirmed, and assumes no responsibility for, the accuracy, sufficiency or fairness of the statements in the Preliminary Official Statement or the final Official Statement under the caption "UNDERWRITING."

The execution, delivery and performance of the Continuing Disclosure Agreement (the "Continuing Disclosure Agreement") between the University and the Trustee in the form now on file with the Secretary of the Board is hereby authorized and approved, together with any changes therein which are not substantially adverse to the University. The Chairman and the Treasurer, or either of them is each authorized to execute the Continuing Disclosure Agreement and such execution by either or both of them shall be conclusive evidence that such changes are not substantially adverse to the University.

The execution, delivery and performance of the Remarketing Agreement dated as of July 1, 2003 (a "Remarketing Agreement") between the University and the Remarketing Agent in the form now on file with the Secretary of the Board is hereby authorized and approved, together with any changes therein which are not substantially adverse to the University. The Chairman and the Treasurer, or either of them is each authorized to execute the Remarketing Agreement and such execution by either or both of them shall be conclusive evidence that such changes are not substantially adverse to the University.

Section 4. Allocation of Proceeds. The proceeds from the sale of the Series 2003 C Bonds, shall be allocated, deposited and applied as follows:

- (i) To the Redemption Account in the Note Program Fund, an amount sufficient to currently refund that portion of the Refunded Obligations to be redeemed with the proceeds of the Series 2003 C Bonds; and
- (ii) To the Series 2003 Project Account, created pursuant to Section 5 of this Resolution, the amount of the proceeds of the Series 2003 C Bonds as specified in the Certificate of Award, to be applied to the purposes of that Fund, including transfers from that Fund authorized by the Act and to pay costs and expenses associated with the issuance of the Series 2003 C Bonds;
- (iii) To the Series 2003 C Project Account, created pursuant to Section 5 of this Resolution, the amount of the proceeds of the Series 2003 C Bonds as specified in the Certificate of Award, and to pay costs and expenses associated with the issuance of the Series 2003 C Bonds.

**AUTHORIZATION, ISSUANCE AND SALE OF VARIABLE RATE DEMAND
GENERAL RECEIPTS SERIES 2003 C BONDS (contd)**

Section 5. Series 2003 Project Account. There is hereby created by the University an account within the Facilities Fund to be maintained in the custody of the Treasurer and designated the "Series 2003 Project Account." The Series 2003 Project Account shall be funded in part from the proceeds of the sale of the Series 2003 C Bonds. In addition to the costs of the Series 2003 Project paid from such proceeds, such proceeds shall be used for the payment of the costs and expenses associated with the issuance of the Series 2003 C Bonds. The Series 2003 Project Account shall not constitute a Special Fund and shall not be pledged to the payment of Debt Service Charges.

Moneys held in the Series 2003 Project Account, including all investment earnings thereon, pending disbursement from the Series 2003 Project Account shall be invested in Authorized Investments specified in Section VIII of the Non-Endowment Investments Policy of the University attached hereto as Exhibit A, as the same may be amended from time to time. If the proceeds of the Series 2003 C Bonds remain unspent upon the issuance of any Obligations to fund costs of the Series 2003 Project, the University shall establish separate accounts and subaccounts, for accounting purposes, for the deposit of the proceeds of such Obligations in accordance with the provisions of this Section 5.

The Treasurer shall maintain such books and records with respect to disbursements from the Series 2003 Project Account so as to enable the Treasurer to determine the name of any payee of any such disbursement, the date on which such disbursement occurred, the amount of such disbursement and the purpose for which such disbursement was made. Investment earnings on the funds on deposit in the Series 2003 Project Account may, at the discretion of the University, be paid to the Trustee for deposit in the Bond Service Account in the Debt Service Fund to be used to pay Debt Service Charges on the Series 2003 C Bonds.

Upon the determination by the Treasurer that the costs incurred in connection with any item listed in Section 1 hereof in the definition of Series 2003 Project (each a "Component") to be paid from the Series 2003 Project Account have been paid in full or provision for such payment has been made, the University may use any remaining moneys on deposit in the Series 2003 Project Account with respect to such Component to fund the costs of other Components or to fund the costs of additional projects constituting University Facilities (each, an "Additional Project"). Monies remaining on deposit in the Series 2003 Project Account after the completion of the Series 2003 Project and any Additional Projects shall be used by the University in any manner which, in the opinion of Bond Counsel, shall be permissible under the Act and the Code.

Section 6. Application of Bond Proceeds. The University covenants that the use of the proceeds of the Series 2003 C Bonds will be restricted in such manner and to such extent, if any, as may be necessary, after taking into account reasonable expectations at the time of delivery of and payment for the Series 2003 C Bonds, so that the Series 2003 C Bonds will not constitute arbitrage bonds under Sections 103(c) and 148 of the Code. The Treasurer of the University, or any other officer having responsibility with respect to the issuance of the Series 2003 C Bonds, alone or in conjunction with any other officer or employee of or consultant to the University, will give an appropriate certificate of the University for inclusion in the transcript of proceedings for the Series 2003 C Bonds setting forth the reasonable expectations of the University regarding the amount and use of all those proceeds and the facts and estimates on which they are based, all as of the date of original delivery of and payment for the Series 2003 C Bonds.

The University further covenants that it will take all actions required to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Series 2003 C Bonds, and will not take, nor permit to be taken, any actions which would adversely affect such exclusion under the provisions of the Code that apply to the Series 2003 C Bonds; and the Chairman or the Treasurer and other appropriate officers are hereby authorized to take such actions and give such certifications as may be appropriate to assure such exclusion from gross income of interest on the Series 2003 C Bonds.

**AUTHORIZATION, ISSUANCE AND SALE OF VARIABLE RATE DEMAND
GENERAL RECEIPTS SERIES 2003 C BONDS (contd)**

Section 7. Call for Redemption of Refunded Obligations. The University hereby determines that the Refunded Obligations shall be called for optional redemption at the earliest date on which each of them may be redeemed pursuant to the Indenture and that the execution and delivery by the University of the 2003 C Supplement shall constitute the irrevocable direction to the Trustee to send such notices as are required by the Indenture to effect such call for redemption. The execution of the 2003 C Supplement and the acceptance of the estate conveyed thereby by the Trustee shall constitute the receipt by the Trustee of such direction and the agreement by the Trustee to give the aforementioned notices.

Section 8. Open Meeting Determination. It is found and determined that all formal actions of the Board concerning and relating to the adoption of this Resolution were adopted in an open meeting of the Board, and that all deliberations of the Board and of any of its committees that resulted in such formal action were in meetings open to the public, In compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section 9. Effective Date. This Resolution shall take effect and be in force immediately upon its adoption.

Upon motion of Mr. Slane, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolutions with seven affirmative votes, cast by Messrs. Sofia, Slane, McFerson, O'Dell, and Judge Duncan, Mses. Longaberger and Hendricks, and one abstention cast by Mr. Borrer.

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Thereupon the Board adjourned to meet Friday, September 5, 2003, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

David O. Frantz
Secretary

Zuheir Sofia
Chairman

(APPENDIX I)

The Ohio State University
Board of Trustees
July 11, 2003

Tuition Recommendations

1. We appreciate the work the Governor and the legislature did to pass a balanced budget on time, their efforts to minimize reductions to higher education in this difficult financial environment, and their recognition of the need for differential tuition caps at OSU's Columbus Campus.
2. However, changes made late in the budget process by the Conference Committee will require a re-working of the University's budget for FY 2004 and FY 2005, which will mean higher tuition for our students.
 - a. State Support is significantly less than that originally proposed by the Governor in February and the Senate in May, and still lower than four years ago (see attachment).
 - b. The tuition cap language provides for a greater increase than in earlier versions of the budget.
3. Consequently, the University will have to make tuition adjustments for Fall Quarter that differ from those initially approved by the Board of Trustees on May 2 in order to advance the goals of the Academic Plan.
 - a. For all undergraduate students on the Columbus Campus this will mean an increase of 12.9% or between \$684 and \$756 for the 2003-2004 academic year.
 - b. For all undergraduate students at the regional campuses, this will mean an increase of 9.9% or about \$456 for an academic year, before applying the Access Challenge credit.
 - c. By state law, the additional revenues generated by increases above 9% are limited to financial aid for low income students and improved technology for all students.
 - d. Preliminary plans for the Columbus Campus are to provide 50% of the additional increase above 9% for student financial aid and 50% for technology, including more technology in the classroom, on-line registration and improved support services. Details will be presented at the September 5, 2003 Board meeting, including distribution of these funds on the regional campuses.

Office of Academic Affairs
Office of Business and Finance
July 11, 2003

4. Both new and continuing students should also expect tuition increases to the full extent permitted by the tuition caps in FY 2005 in order to make up for continued lack of growth in state support. In addition, a mandatory Recreation Fee will be implemented to support the new Recreation Center when it opens in FY 2005.
5. The University will continue to increase University funded financial aid in order to offset the impact of these tuition increases on lower and lower middle income students.
6. The University will continue to strive to increase operating efficiencies and generate cost savings to improve services to our students.
7. The University will present more detailed budget recommendations for approval at the September 5 Board of Trustees meeting.
8. The Ohio State University will continue to be an investment of high value to our students and to the citizens of Ohio. Under these recommendations, tuition for new students at the OSU Columbus Campus will increase to seventh among Ohio's 13 public universities.

History of State Support – OSU Columbus Campus (in millions)

Year	State Share of Instruction	Success Challenges	Total
FY 2000	\$312.7	\$4.1	\$316.8
FY 2001	317.7	10.7	328.4
FY 2002	305.4	9.2	314.6
FY 2003	300.1	9.3	309.4
FY 2004	300.1	11.0	311.1
FY 2005	300.6	12.6	313.2

FY 2004 Resident Undergraduate Tuition and Fees for Three Quarters

OSU Columbus Campus

Category	Current Base	Recommended	% Change
Tier One	\$5,307	\$5,991	12.9
Tier Two	\$5,793	\$6,540	12.9
Tier Three (new)	\$5,868	\$6,624	12.9

Note: Current base equals the average quarterly tuition for Autumn 2002 through Summer 2003 spread over a three-quarter academic year, beginning Autumn 2003.

IMPACT STATEMENT

1. Formally adopted the definitions used in the *Medical Staff Bylaws, Rules and Regulations*.
2. Modified the Rules and Regulations as follows:
 - A. Made uniform terminology such as “will/shall” and the corrected name of “limited staff.”
 - B. Added ethical pledge.
 - C. Revised admission procedures, including requirements for pre-admission testing and pre-operative assessment requirements.
 - D. Specified requirements for history and physical examinations prior to admission and timing and content of procedure notes after medical / surgical procedures.
 - E. Delineated medical record content.
 - F. Specified responsibility for entries and authentication in the medical record.
 - G. Revised policies on patient care orders, including telephone and verbal orders.
 - H. Classified consult requests.
 - I. Revised tissue disposition and autopsy procedures.
 - J. Updated disaster plan policies.

Many of the reported changes are designed to make OSUHE Rules and Regulations comparable to the terms of the OSUH Rules and Regulations.

**THE OHIO STATE UNIVERSITY HOSPITALS EAST
MEDICAL STAFF BYLAWS, RULES AND REGULATIONS**

New Bylaw

3335-45-21 Definitions.

The following terms shall have the meanings defined herein when used in these rules unless otherwise specified.

- (A) The term “the hospital” refers to the Ohio state university hospitals east.
- (B) The term “medical staff” means all practitioners who are authorized to admit and/or attend patients at the hospital.
- (C) The term “board” means the governing body of the hospital, a special purpose committee of the Ohio state university hospitals board.
- (D) The term “executive committee” means the interim executive committee of the medical staff appointed by the resolution of the Ohio state university hospitals board and any successor executive committee of the medical staff authorized by these rules.
- (E) The term “chief executive officer” refers to the individual appointed by the Ohio state university hospitals board to act on its behalf in the overall management of the hospital. Whenever the term “chief executive officer” is used in these rules, it shall include persons designated by the chief executive officer to act on his or her behalf.
- (F) The terms “practitioner” or “practitioners” means duly licensed graduates of schools of medicine, osteopathic medicine, podiatry, dentistry or psychology.
- (G) The term “member” means a member of the medical staff of the hospital.
- (H) The term “governing documents” means the bylaws and rules and regulations of the board, the Ohio state university hospitals board, and the board of trustees of the Ohio state university.
- (I) The term “days” means calendar days.
- (J) The terms “clinical privileges” or “privileges” means the permission granted to a practitioner by the board to render specific diagnostic, therapeutic or investigative services of a medical, dental, surgical, podiatric or psychological nature.
- (K) The term “majority vote” means a vote of fifty-one per cent or more of those voting members present at any meeting where a quorum is present.
- (L) The term “member in good standing” means a member of the medical staff who is in compliance with the provisions of these bylaws and the rules and regulations of the medical staff, is current in the payment of medical staff dues, is not under suspension, is in compliance with all requirements for the timely completion of medical records, and is in compliance with all attendance requirements.
- (M) The term “licensed healthcare professional” means a person who is not a licensed practitioner, but who, by virtue of certification or license, is qualified to render direct or indirect patient care in the hospital under the privileges as may be permitted by these rules. Licensed healthcare professionals must be members of the faculty of the Ohio state university, employees of the hospital or employees of a member of the medical staff. Employees of the hospital with a detailed job description need not be credentialed by the medical staff.
- (N) The term “medical staff year” means the period from July first through June thirtieth.

New Bylaw (contd)

- (O) The term “emergency” means a condition in which there is a danger of serious or permanent harm to a patient’s health or the patient’s life is in immediate danger and any delay in administering treatment would add to that danger.
- (P) The term “professional standards” as used in these rules in reference to patient care means the patient care that is in conformance with generally accepted medical, dental or other professional care practiced by similarly qualified practitioners in academic medical centers.
- (Q) The term “voting members” means:
- (1) At clinical department meetings, members of the active medical staff and members of the executive committee who are members of the clinical department; and
 - (2) At general medical staff meetings, members of the active medical staff and members of the executive committee.
- (R) The term “ex officio” means a person serving on a committee of the medical staff by virtue of his or her position as an officer of the medical staff or as a member of the administration of the hospital. Unless otherwise provided in these rules, an ex officio member of a committee may actively participate in the committee’s deliberations, but shall not vote or be counted for quorum purposes.
- (S) The term “medical treatment” means diagnostic, therapeutic or investigative services rendered by a practitioner to a patient.
- (T) The term “quorum” means the number of voting members of the medical staff, or a committee or clinical department thereof, that must be present at a meeting to transact business. Those medical staff members that are eligible to vote at a duly called and open meeting of the medical staff or of a committee or clinical department thereof, shall constitute a quorum. Whenever these medical staff bylaws or rules and regulations are being adopted or amended, approval shall require a majority of voting members present. It will be the decision of the chair of the committee or department to table an issue if they feel there is an inadequate number of voting members present.
- (U) The term “oral and maxillofacial surgeon” means a practitioner of dentistry whom the board of trustees has determined to have the necessary education and training to be currently competent to conduct a complete history and physical examination to determine the patient’s ability to undergo the oral surgical procedure to be performed.
- (V) The term “limited staff member” means a practitioner who is receiving professional postgraduate medical education training in the hospital under a training program approved by a recognized professional organization and who participates in patient care under the direction of practitioners who have clinical privileges and are members of the medical staff.
- (W) The term “chair of the board” means (regardless of the specific title used in the governing documents) the member elected by the board to preside at meetings of the board and to perform other functions as set forth in the governing documents of the hospital.
- (X) The term “major diagnostic or therapeutic intervention” is defined as any diagnostic or therapeutic intervention, which requires history and physical as required by the medical staff rules and regulations.
- (Y) The term “professional liability insurance coverage” is defined as having insurance coverage by an insurance company licensed in the United States or having coverage by a company who has an underwriting agreement with a licensed U.S. insurance company to assure adequate reserves for payment of claims.

New Bylaw (contd)

- (Z) The term “the clearinghouse” refers to the national practitioner data bank, or any successor national, state or local organization, designated by the secretary of health and human services or other appropriate governmental authority from which information on a practitioner may be obtained at the time of appointment or reappointment.
- (AA) The term “professional review action” means an action or recommendation of a professional review body which is taken or made in the course of a professional review activity, which is based on the competence or professional conduct of a practitioner (which conduct affects or could have an adverse affect on patient health), and which adversely affects (or may affect) the clinical privileges or membership of such practitioner on the medical staff.
- (BB) The term “professional review activity” means an activity or function undertaken by a professional review body with respect to a practitioner to evaluate the quality of health care rendered by the practitioner, to determine that health services were professionally indicated, to determine that health services were rendered or performed in compliance with applicable standards of care, to determine whether the practitioner may have clinical privileges with respect to, or membership in the hospital’s medical staff, to determine the scope or conditions of such privileges or membership, or to change or modify such privileges or membership.
- (CC) A “professional review body” means the Ohio state university hospitals board and the board of trustees of the Ohio state university, or any committee of the hospital or its medical staff including all ad hoc, standing or special medical staff committees, or any other body, committee, or entity conducting or participating in a professional review activity.
- (DD) The term “clinical department” means the hospital clinical service department as identified in these rules.
- (EE) The term “joint conference committee” means an ad hoc committee appointed by the Ohio state university hospitals board to address disputes between the board and the executive committee as set forth in these rules.
- (FF) The term “medical director” shall mean the chief medical officer of the Ohio state university hospitals east and shall be appointed as provided in these rules. The medical director shall fulfill the responsibilities of the chief executive officer or designee for purposes of these medical staff bylaws. The appointment, scope of authority, and responsibilities of the medical director shall be as outlined in these medical staff bylaws and the Ohio state university hospitals board bylaws.
- (GG) The term “dean” means the dean of the Ohio state university college of medicine and public health.

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86-01 Ethical Pledge.

Each member of the medical staff shall pledge adherence to standard medical ethics, including:

- (A) Providing for continuity of patient care;
- (B) Refraining from delegating the responsibility for diagnosis or care of hospitalized patients to a medical or dental practitioner who is not qualified to undertake this responsibility and who is not adequately supervised;
- (C) Seeking consultation whenever necessary;

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- (D) Refraining from providing "ghost" surgical or medical services; and
- (E) Refraining from inducements relating to patient referral (i.e., fee splitting).

~~86-01~~

86-02 Admissions and discharges.

- (A) Admissions. Patients can only be admitted to the hospital:
 - (1) By members of the medical staff who have been granted admitting privileges by the hospital board.
 - (2) Unchanged.
- (B) Admission procedures.

Except in an emergency, no patient shall be admitted to the hospital until after a provisional diagnosis has been provided by the patient's attending physician or by a member of the attending staff or a designee, in the interest of assignment to the appropriate service area. The request for admission shall also include the following information:

- (1) Any facts essential for the protection of the general hospital population against unnecessary exposure to infectious and other communicable diseases.
- (2) Any information which shall warn responsible hospital personnel of any tendency of any patient to try to commit suicide or to injure others because of mental disturbance.
- (3) Any information concerning physical condition or personality idiosyncrasy which might be objectionable to other patients who might be occupying the same or adjoining rooms.
- (4) A general consent form, signed by the patient or legally authorized person on behalf of the patient, must be obtained at the time of admission. Admitting office personnel should notify the admitting practitioner whenever such consent has not been obtained. When so notified, except in an emergency, the practitioner shall have the obligation to obtain proper general consent before the patient is treated in the hospital. This consent does not obviate the practitioner's responsibility for informing the patient of, and obtaining consent for, special treatment or surgical procedures to be performed by the practitioner.

- (C) Admission through emergency room.
 - (1) Every patient in the emergency room shall be cared for at the discretion of the emergency room physician. If hospitalization is indicated, the patient shall be admitted under the care of the patient's attending practitioner or physician designee, if the attending practitioner or physician designee is a member of the hospital medical staff.
 - (2) Patients who have no attending practitioner, or whose personal practitioner is not a member of the medical staff shall be admitted to the care of the appropriate clinical departmental practitioner on call or member of the medical staff selected by the patient or the patient's attending physician, pending notification and acceptance by such appropriate on-call practitioner.
 - (3) For purposes of this paragraph, a practitioner who has been designated as an alternate by the attending practitioner or the clinical departmental practitioner on-

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call may admit the patient to the hospital pursuant to paragraph (B) of rule 86-08 of these rules and regulations.

~~(B)~~(D) Psychiatric patients.

- (1) The hospital is not a licensed psychiatric facility. In the event that a patient is ~~presented~~ presents to the hospital with an illness or emotional problem, or condition which is the result of alcoholism or drug abuse and which that substantially impairs the patient's capacity to use self-control, judgment and discretion in the conduct of the patient's affairs and social relationships (e.g., attempted suicide, ~~suicidal gestures~~) ~~the emergency department policies and procedures will be followed~~ it is the responsibility of the attending physician to provide for a proper comprehensive plan of care, including emergency care.
- (2) If a patient with a mental disorder is treated in the hospital for a medical condition, it shall be the responsibility of the attending practitioner to notify hospital or medical staff personnel of the existence of the mental disorder, or substance abuse disorder and to order such precautionary measures as may be necessary ~~under paragraph (C) of this rule~~ to assure protection of the patient and the protection of others.
- (3) It shall also be the attending physician's responsibility to address the underlying mental health or substance abuse problem and when indicated, refer the patient to an appropriate or dedicated facility dealing with alcoholism/drug abuse or mental health problems.

~~(C)~~ Protection of patients and others.

~~The admitting practitioner shall be responsible for giving such information as may be necessary to assure the protection of the patient from self harm and to assure the protection of others whenever a patient might be a source of danger.~~

~~(D)~~ Consent.

~~A general consent form, signed by the patient or legally authorized person on behalf of the patient, must be obtained at the time of admission. Admitting office personnel should notify the admitting practitioner whenever such consent has not been obtained. When so notified, except in an emergency, the practitioner shall have the obligation to obtain proper general consent before the patient is treated in the hospital. This consent does not obviate the practitioner's responsibility for informing the patient of, and receiving a consent to, special treatment or surgical procedures to be performed by the practitioner.~~

~~(E)~~ Dentists, oral and maxillofacial surgeons and podiatrists.

~~Patient~~ Patients admitted for dental, oral or podiatric surgery may be admitted to the service of the dentist, oral and maxillofacial surgeon, or podiatrist, in accordance with the medical staff bylaws.

~~(F)~~ Admission through emergency room.

~~Every patient in the emergency room will be cared for by the emergency room physician, or the patient's attending practitioner. If hospitalization is indicated, the patient will be admitted under the care of the patient's attending practitioner, if the attending practitioner is a member of the hospital medical staff.~~

~~Patients who have no attending practitioner, or whose personal practitioner is not a member of the medical staff will be admitted to the care of the appropriate clinical departmental practitioner on call or member of the medical staff selected by the patient or~~

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~~the patient's attending physician, pending notification and acceptance by such appropriate on-call practitioner.~~

~~For purpose of this paragraph, a practitioner who has been designated as an alternate by the attending practitioner or the clinical departmental practitioner on call may admit the patient to the hospital pursuant to paragraph (A) of rule 86.07 of these rules and regulations.~~

(F) Pre-admission testing.

All practitioners are encouraged to utilize the hospital's pre-admission testing procedures.

(G) Patient discharge.

Patients shall be discharged only upon written or verbal order of the attending practitioner, or another member of the medical staff that is authorized by the attending practitioner, provided the attending practitioner has had the opportunity to evaluate the patient and has made the determination for the appropriate patient discharge, unless the patient requests discharge against medical advice. A member of the limited ~~medical~~ staff can discharge a patient only with the express authorization and knowledge of the attending practitioner, and shall document in the discharge note that the discharge was discussed with the attending practitioner. A patient who requests discharge against medical advice shall be requested to sign a form provided by the hospital indicating that the patient's discharge is at the patient's request and against medical advice. At the time of discharge the attending practitioner shall see that the record is as complete as possible. The attending practitioner is responsible for verifying the principal diagnosis, secondary diagnoses, principal procedure, and other significant invasive procedures in the medical record by the time of discharge. If a principal diagnosis cannot be determined in the absence of outstanding test results, the attending practitioner must record a "provisional" principal diagnosis ~~by~~ at the time of discharge.

(H) ~~Pre-admission testing.~~

~~All practitioners are encouraged to utilize the medical center's pre-admission testing procedures.~~

~~86-02~~

86-03 Outpatient/same day medical procedure/surgery.

(A) Definitions.

An outpatient ~~surgery~~ medical/surgical procedure patient is a patient that undergoes a medical/surgical procedure without being admitted as an inpatient to the ~~medical center hospital.~~ A same day ~~surgery~~ medical/surgical procedure patient is a patient that undergoes a medical/surgical procedure on the day of ~~admissions~~ admission as an inpatient to the hospital.

(B) Scheduling.

Outpatient/same day ~~surgery~~ medical/surgical procedures are scheduled through the ~~appropriate person~~ surgery scheduling office of the department of perioperative services or centralized scheduling for medical procedures. Patients who have undergone pre-admission testing ~~will~~ shall be given priority scheduling for early morning ~~surgery~~ medical/surgical procedures.

(C) Pre-admission testing.

Pre-admission testing is recommended for all outpatient/same day ~~surgery~~ medical/surgical procedure patients. The attending practitioner should notify the hospital

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of the patient's name, diagnosis, proposed procedure, date and time of ~~surgery~~ the medical/surgical procedure, type of anesthesia and the pre-admission testing required. ~~The nurse shall instruct the patient on any preparation necessary prior to the day of surgery, and the time and place to report on the day of surgery. It is the responsibility of the attending practitioner to ensure that the patient is instructed as to any medical/surgical procedure preparation and the place and time to report on the day of the medical/surgical procedure. Patients having pre-admission testing the same day of the medical/surgical procedure must be instructed to be at the hospital two hours prior to the scheduled time of the medical/surgical procedure.~~

(D) Pre-admission testing time limits.

For outpatient/same-day ~~surgery~~ medical/surgical procedure patients, pre-admission testing must be done within twenty-one days prior to the date of the procedure/surgery; ~~except for. Exceptions to this timeframe include an electrocardiogram and chest x-ray. For a listing of all minimal pre-operative testing requirements, please refer to the department of anesthesiology intranet web-site or call the department of anesthesiology at 614-293-8487 for a copy of the listing to be faxed to your office. Exceptions include an ekg electrocardiogram with interpretation and the report of a chest x-ray of acceptable quality made within ninety days prior to the date of surgery may be utilized and the report of a chest x-ray of acceptable quality made within six months prior to the date of surgery.~~

~~(E)~~ Patients requiring preoperative testing.

~~It is the responsibility of the attending practitioner to ensure that an outpatient/same-day surgery patient who needs preoperative testing the day of the procedure (e.g., patient over forty years of age for general anesthesia) is instructed as to any surgical preparation and the place and time to report on the day of surgery. Such patients must be instructed to be at the hospital two hours prior to the scheduled time of surgery.~~

~~(F)~~(E) Patients not requiring preoperative testing.

Patients for local anesthesia not requiring preoperative testing must be at the hospital one hour prior to ~~surgery~~ the medical/surgical procedure.

~~(G)~~(F) Registration.

Outpatient/same-day ~~surgery~~ medical/surgical procedure patients are to be instructed to register ~~with the appropriate person~~ upon arrival at the hospital.

~~(H)~~(G) Procedures permitted to be performed on outpatient/same-day surgery basis.

(1) Unless otherwise approved by the attending practitioner or anesthesiologist, only patients whose ~~physician~~ physical status falls within the American society of anesthesiology ("ASA") classifications of P1, P2 and P3 may undergo outpatient/same-day surgery if they are to receive general anesthesia, regional anesthesia or monitored anesthesia care. Prior consultation and approval of the medical director or anesthesiologist must be obtained before scheduling patients who fall outside of the above ASA classifications. In all cases the final decision to proceed ~~will~~ shall rest with the medical director or anesthesiologist.

- P1 - a normal healthy patient
- P2 - a patient with mild systemic disease
- P3 - a patient with severe systemic disease
- P4 - a patient with severe systemic disease that is a constant threat to his life
- P5 - a moribund patient who is not expected to survive without the operation

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P6 - a declared brain-dead patient whose organs are being removed
for donor purposes

- (2) Unless otherwise contraindicated, all local anesthesia procedures may be performed on an outpatient/same-day surgery medical/surgical procedure basis.

~~(H)~~(H) ~~History and physical~~ Pre-operative/pre-medical procedure requirements.

(1) Pre-operative requirements.

(a) A history and physical completed or co-signed by the attending practitioner.

- ~~(4)~~ (b) ~~The initial~~ Initial history and physical must be performed within thirty days prior to surgery.

- ~~(2)~~ (c) The history and physical must be updated within seven days prior to surgery.

- ~~(3)~~ (d) Consent shall be signed by the patient or legally authorized person on behalf of the patient and witnessed.

(e) Minimal pre-operative testing requirements are available at the Osumc department of anesthesiology intranet web-site or by calling the department of anesthesia at 614-293-8487.

- ~~(4)~~ All females require a hemoglobin.

- ~~(5)~~ All males ~~sixty and over~~ require a hemoglobin.

- ~~(6)~~ All patients ~~sixty and over~~ require an electrocardiogram.

- ~~(7)~~ Patients with a medical history of heart disease require:

(a) ~~EKG within ninety days.~~

(b) ~~Hemoglobin, hematocrit and electrolytes (within twenty one days).~~

(c) ~~Chest x-ray within six months.~~

(d) ~~Potassium within three days if on digoxin.~~

- ~~(8)~~ Patients with a history of kidney disease:

(a) ~~Hemoglobin, hematocrit and electrolytes and blood sugar.~~

(b) ~~EKG within ninety days.~~

- ~~(9)~~ Dialysis patients or end-stage kidney disease:

~~Hemoglobin, hematocrit and electrolytes and blood sugar within three days of surgery or after dialysis.~~

- ~~(10)~~ Diabetic or endocrine disorder patients:

(a) ~~Fasting glucose day of surgery.~~

(b) ~~EKG within three months.~~

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- (11) ~~Patients with history of lung disease:~~
 - (a) ~~Chest x-ray within six months.~~
 - (b) ~~EKG within three months.~~
- (12) ~~Smokers greater than twenty packs per year:~~

~~Chest x-ray~~
- (13) ~~Patients with missed or late menstruation:~~

~~Serum pregnancy test.~~
- (14) ~~All labs good for twenty-one days; EKGs for three months; chest x-rays for six months.~~

(2) Medical pre-procedure requirements

- (a) Pre-procedure assessment (for sedation patients, refer to university hospitals east policy 03-06).
- (b) Informed consent.

~~(J)~~(I) Documentation required.

- (1) The attending practitioner or his/her designated member of the limited staff is required to complete, at a minimum, the following on all outpatient/same-day surgery patients:
 - (1) ~~Face sheet;~~
 - (2) (a) Complete or co-sign the history and physical;
 - (3) (b) Operative note written at the termination of the procedure;
 - (4) (c) ~~Dictate the~~ Dictated operative report the day of surgery;
 - (5) (d) Discharge note; and
 - (6) (e) Discharge instructions.
- (2) The attending practitioner or his/her designated member of the limited staff is required to complete, at a minimum, on all outpatient/same-day medical procedure patients:
 - (a) Pre-procedure assessment (for sedation patients, refer to university hospitals east policy 03-06);
 - (b) Procedure note written immediately upon completion of the procedure;
 - (c) Discharge order; and
 - (d) Home-going instructions.

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86-03

86-04 Medical records.

(A) Ownership.

All original medical records are the property of the hospital and shall not be removed from the hospital's jurisdiction and safekeeping, except in accordance with court order, subpoena, or statute. Copies of the patient's medical record may be furnished to the patient or the patient's designee upon the patient's written request and at the patient's expense.

(B) Confidentiality of patient records.

A practitioner's access to patient records is limited to necessary use in the treatment of patients, scientific study, or peer review activities. All practitioners are required to maintain the confidentiality of patient records. Improper use or disclosure of patient information may be grounds for corrective action.

(A)(C) Time of completion.

The attending practitioner shall complete and sign the patient's medical record within twenty-one days of discharge. A procedure note shall be entered in the record by the responsible attending medical practitioner or designee immediately upon completion of an invasive procedure. Procedure notes must be written for any surgical or medical procedures, irrespective of their repetitive nature, which involve material risk to the patient. The note shall include the preoperative diagnosis, procedure, surgeon(s), resident(s), anesthesiologist(s), surgical service, type of anesthesia (general or local), complications, invasive lines either added or deleted, estimated blood loss, any pertinent information not included on the operating room/anesthesia record, and preliminary surgical findings. An operative/procedure report must be dictated immediately following the procedure. Any operative/procedure report not dictated by ten a.m. the day following the procedure shall be deemed delinquent and the attending practitioner responsible will shall lose operating/procedure room scheduling and elective admitting privileges the following day pursuant to paragraph (F) of rule 3335-45-07 of the Administrative Code.

(D) Deadlines and sanctions.

- (1) A history and physical examination may be completed prior to admission, surgery or the patient's initial visit, and accepted as part of the medical record if completed within thirty days preceding the admission, surgery or visit. If the history and physical examination is completed greater than seven days, but within thirty days of admission, a notation shall be made in the history and physical examination to indicate review and any updates.
- (2) The complete history and physical examination for an inpatient shall be dictated or written no later than twenty-four hours after admission of the patient. If the history and physical examination is dictated, a summary of pertinent findings must be recorded in the patient's chart within twenty-four hours after admission.
- (3) When the history and physical examination, including the results of indicated laboratory and x-ray studies, are not recorded in the chart before the time stated for a procedure, the procedure cannot proceed until the results are entered into the chart. In cases where such a delay would likely cause harm to the patient, this condition shall be entered into the chart by the attending medical staff member or designee and the procedure may begin. When there is a disagreement concerning the urgency of the procedure, it shall be adjudicated by the medical director or the medical director's designee.

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- (4) A staff admission progress note must be compiled by the responsible attending medical staff member or his/her designee no later than seventy-two hours after admission of the patient. The staff admission progress note must be signed by the responsible attending medical staff member.
 - (5) A procedure note shall be entered in the record by the responsible attending medical staff member or designee immediately upon completion of an invasive procedure. An operative/procedure report must be dictated immediately following the procedure. Procedure notes must be written for any surgical or medical procedure, irrespective of its repetitive nature, which involves material risk to the patient. For any formal operative procedure, a note shall include the preoperative diagnosis, procedure, surgeon(s), anesthesiologist(s), type of anesthesia (general or local), complications, invasive lines either added or deleted, estimated blood loss, any pertinent information not included on operative/anesthesia record, and preliminary surgical findings. Where a formal operative/procedure report must be dictated immediately following the procedure, the transcribed operative/procedure report must be signed by the attending medical staff member. Any operative/procedure report not dictated by ten a.m. the day following the procedure shall be deemed delinquent and the medical staff member responsible shall lose operating/procedure and medical staff privileges the following day. Affected medical staff members shall receive telephone calls from the medical information management administrator indicating the delinquent operative/procedure report(s).
 - (6) For all procedures which require submission of a specimen, the pathologist shall make such examination as necessary to arrive at a satisfactory diagnosis(es). The pathologist's report shall then be included in the patient's record.
 - (7) Progress notes must provide a pertinent chronological report of the patient's course in the hospital, reflect any change in condition and results of treatment. In the event that the patient's condition has not changed and no diagnostic studies have been done, a progress note must be completed by the attending medical staff member at least every three days. Each progress note in the medical record must be signed or countersigned by a member of the attending, courtesy or limited staff.
- (E) Discharges.
- (1) Patients shall be discharged only on written order of the responsible medical staff member. At the time of ordering the patient's discharge or at the time of the medical staff member's next visit to the hospital (if the attending medical staff member has authorized a member of the limited staff to sign the order of discharge), the attending medical staff member shall see that the record is complete. The attending medical staff member is responsible for verifying the principal diagnosis, secondary diagnosis(es), principal procedure, and other significant procedures in the medical record by the time of discharge. If a principal diagnosis cannot be determined in the absence of outstanding test results, the attending medical staff member must record a "provisional" principal diagnosis by the time of discharge.
 - (2) The discharge summary for each patient must be dictated by the responsible medical staff member, or the medical staff member's designee, within three days of discharge for any patient stay of more than forty-eight hours. A handwritten or dictated discharge summary must be completed within seven days of discharge for any patient stay of forty-eight hours or less. A dictated summary is required on all patients who expire, regardless of length of stay. The discharge summary must be signed by the responsible attending practitioner.
 - (3) All medical records must be completed by the attending medical staff member or, when applicable, by the attending medical staff member's designee within twenty-one days of discharge of the patient. The attending medical staff member shall be

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notified of the intent to suspend for all incomplete records that are available. The attending staff member shall be suspended from medical staff and operative privileges until all records are completed. A list of delinquent, incomplete records by attending medical staff members shall be prepared and distributed by the medical information management administrator once each week. If an attempt is made by the attending medical staff member, or the attending medical staff member's designee, to complete the record and the record is not available, the record is not counted against the attending medical staff member.

- (4) Records which are incomplete, greater than twenty-one days from discharge, are defined as delinquent.

(F) Suspension for failure to complete medical records.

A request for corrective action shall automatically be filed against a practitioner who has been suspended pursuant to paragraph (B)(1) of rule 3335-45-07 of the Administrative Code, due to incomplete medical records for an accumulative total of ninety days or more within a twelve-month period.

~~(B)~~(G) Contents.

It is the responsibility of the attending practitioner to assure that a complete medical record is prepared for each patient. The medical record shall include the following:

- (1) Identification and related data;
- (2) Statement of chief complaint;
- (3) History of present illness;
- (4) Previous personal history;
- (5) Family history; ~~history of present illness;~~
- (6) Physical examination; ~~clinical observations including progress notes; nurses notes and consultation reports; diagnostic and therapeutic reports and orders; medical or surgical treatment; pathological finding; provisional diagnosis; evidence of informed consent; final autopsy report when available.~~
- (7) Special reports, such as those from:
 - (a) The clinical laboratory including examination of tissues and autopsy findings and, when applicable;
 - (b) The radiology department;
 - (c) Consultants, as verified by the attending medical staff member's signature.
- (8) Provisional diagnosis;
- (9) Medical and surgical treatments;
- (10) All physician orders;
- (11) Indication for surgery;
- (12) Progress notes that provide a permanent chronological report of the patient's course in the hospital and reflect any change in condition, and results of treatment. In the event that the patient's condition has not changed, and no diagnostic studies

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have been done, a progress note must be completed by the attending medical practitioner at least every three days.

- (13) Discharge disposition, condition of patient at discharge, including instructions given at that time;
- (14) Summary and final diagnosis(es) as verified by the attending medical staff member's signature;
- (15) Documentation of informed consent;
- (16) Pre-sedation or pre-anesthesia assessment and plans of care for patients receiving anesthesia;
- (17) Legal status of patients receiving mental health services;
- (18) Emergency care provided to the patient prior to arrival, if any;
- (19) Evidence of known advance directives;
- (20) All reassessments and any revisions of the treatment plan;
- (21) Every medication ordered or prescribed on an inpatient;
- (22) Every medication dispensed to an inpatient at discharge;
- (23) Every dose of medication administered and any adverse drug reaction;
- (24) Any referrals and communications made to external or internal providers and to community agencies;
- (25) Postoperative documentation records, the patient's vital signs and level of consciousness; medications, including IV fluids, blood and blood components; any unusual events or postoperative complications; and management of such events;
- (26) Postoperative documentation of the patient's discharge from the post-sedation or post-anesthesia care area by the responsible licensed independent practitioner or according to discharge criteria;
- (27) An intra-operative anesthesia record:
 - (a) Post-anesthesia follow-up report written within forty-eight hours after surgery by the individual who administers the anesthesia; and
 - (b) Signed and dated reports of nuclear medicine interpretations, consultations and procedures.

No medical record is to be filed until it is complete except on order of the medical executive committee.

(H) Documentation by dentists and podiatrists.

In addition to the requirements of paragraph (E) of rule 86-02, the medical record of patients admitted for dental reasons shall contain the dentist's detailed examination of the oral cavity, as well as the discharge instructions given to the patient regarding further care. The same applies to the podiatrist in regard to the location and description of the ailment for which the patient was admitted, together with discharge instructions.

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(I) Informed consent documentation.

- (1) Where informed consent is required for a special procedure (such as surgical operation), documentation that such consent has been obtained must be made in the hospital record prior to the initiation of the procedure. Such documentation shall be in compliance with the hospital's policy and procedure manual.
- (2) In the case of limb amputation, a limb disposition form, in duplicate, must be signed prior to the operation.

(J) Sterilization consent.

Prior to the performance of an operative procedure for the expressed purpose of sterilization of a (male or female) patient, the attending medical staff member shall be responsible for the completion of the legal forms provided by the hospital and signed by the patient. Patients who are enrolled in the Medicaid program must have their forms signed at least thirty days prior to the procedure. Informed consent must also be obtained from one of the parents or the guardian of an unmarried minor.

~~(G)~~(K) Authentication Entries and authentication.

- (1) Entries in the medical record can only be made by staff authorized by the medical information management committee.
- (2) All entries must be legible and complete and must be authenticated and dated promptly by the person, identified by name and discipline, who is responsible for ordering, providing, or evaluating the service furnished.
- (3) All entries in the medical record must be signed by the person making the entry. When house officers or other specified professional personnel are involved in patient care, sufficient evidence must be documented in the medical record to substantiate the active participation in, and supervision of, the patient's care by the responsible attending practitioner.
- (4) Each progress note in the medical record must be authenticated by a member of the active, courtesy, consulting, honorary or limited staff.
- (5) The electronic signature of medical record documents requires a signing password. At the time the password is issued, the practitioner is required to sign a statement that he/she shall be the only person using the password. This statement shall be maintained in the department responsible for electronic signature system.
- (6) Signature stamps may be used by medical staff members only when there is a statement on file with the medical information management department stating that the medical staff member maintains possession of the stamp and will be the only person who will use the stamp in the medical records. The use of the stamp may not be delegated by the medical staff member to any other person for the purpose of affixing a signature to an entry in the medical record. Signature stamps may not be used on physician orders.

~~(D)~~ Electronic signature.

~~The electronic signature of medical record documents requires a signing password. At the time the password is issued, the practitioner is required to sign a statement that he/she will be the only person using the password. This statement will be maintained in the department responsible for electronic signature system.~~

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(E) ~~Dentists and podiatrists.~~

~~In addition to the requirements of paragraph (B) of rule 86-03 of the rules and regulations, the medical record of patients admitted for dental reasons shall contain the dentist's detailed examination of the oral cavity, as well as the discharge instructions given to the patient regarding further care. The same applies to the podiatrist in regard to the location and description of the ailment for which the patient was admitted, together with discharge instructions.~~

(F)(L) History and physical.

(1) A history and physical is performed on all patients, both inpatient and outpatient, regardless of whether the medical treatment or procedure is high or low risk. The history and physical shall be signed by, or counter-signed by, the attending practitioner within the time frame required by these rules. A pre-admission history and physical examination shall be accepted only if initially performed within thirty days prior to admission or twenty-four hours after admission.

~~(1)~~(2) The complete history and physical examination shall be recorded on ~~the patient's chart~~ all inpatient charts no later than twenty-four hours after admission of the patient. The history and physical examination must be performed by a member of the medical staff or his/her designee and be signed by the responsible attending medical staff member authorized to perform the history and physical examination.

~~(2)~~(3) A staff admission progress note must be compiled by the responsible attending medical practitioner or designee no later than twenty-four hours after admission of the patient. The staff admission progress note must be signed by the responsible attending medical practitioner. Every admitting practitioner is obligated to write a staff note and sign it within twenty-four hours of patient admission.

~~(3)~~(4) When the history and physical examination, including the results of indicated laboratory studies and x-rays and the staff admission progress notes, are not recorded in the chart before the time stated for a procedure, the procedure cannot proceed until the history, physical and laboratory results are entered into the chart. In cases where such a delay would likely cause harm to the patient, this condition shall be entered into the chart by the attending medical practitioner or designee and the procedure may begin. When there is a disagreement concerning the urgency of the procedure, it ~~will~~ shall be adjudicated by the medical director or designee.

(5) The history and physical examination shall include:

- (a) Date of admission;
- (b) Chief complaint;
- (c) History of present illness;
- (d) Past medical history;
- (e) Relevant past social and family history;
- (f) Medications;
- (g) Review of systems;
- (h) Physical examination;

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- (i) Test results;
- (j) Impression; and
- (k) Plan of care.

(6) A history and physical examination appropriate to the procedure, and the patient, shall be documented in the medical record of all ambulatory surgery patients, and patients undergoing outpatient procedures.

(7) A history and physical examination appropriate to the patient and patient's chief complaint shall be documented in the medical record of all ambulatory patients.

~~(G)~~ Pre-admission history and physical.

~~A history and physical is performed on all patients, both inpatient and outpatient, regardless of whether the medical treatment or procedure is high or low risk. The history and physical shall be signed by, or counter signed by, the attending practitioner within the time frame required by these rules. A pre-admission history and physical examination will be accepted only if initially performed within thirty days prior to admission or twenty-four hours after admission.~~

~~(H)~~ Ownership.

~~All original medical records are the property of the hospital and shall not be removed from the hospital's jurisdiction and safekeeping, except in accordance with court order, subpoena, or statute. Copies of the patient's medical record may be furnished to the patient's designate upon the patient's written request and at the patient's expense.~~

~~(I)~~(M) Symbols.

~~Only symbols and abbreviations approved by the medical staff will~~ shall be used in the medical record. Each abbreviation or symbol has only one meaning.

~~(J)~~ Confidentiality of patient records.

~~A practitioner's access to patient records is limited to necessary use in the treatment of patients, scientific study, or to peer review activities. All practitioners are required to maintain the confidentiality of patient records and improper use or disclosure of patient information may be grounds for corrective action.~~

~~(K)~~ Suspension for failure to complete medical records.

~~A request for corrective action will automatically be filed against a practitioner who has been suspended pursuant to paragraph (B)(1) of rule 3335-45-07 of the Administrative Code due to incomplete medical records for an accumulative total of ninety days or more within a calendar year.~~

~~(L)~~(N) Error correction.

~~The correction of an error should be made by drawing a single line through the entry and marking it "error". The entry in error must still be legible. The practitioner's initials and date correcting the error should be added. Erasing is not permitted nor is the use of correction fluid (i.e., white out or stickers).~~

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(M)(O) Late entry.

Additions to the medical record can be made. The words "late entry," date and time need to be made at the beginning of the late entry. The practitioner must sign the late entry. Pencils should never be used for chart documentation. ~~Erasing is not permitted nor is the use of correction fluid (i.e., white out or stickers).~~

(P) Records storage and security

All patient's records, pathological examinations, slides, radiologic images, photographic records, cardiographic records, laboratory reports, statistical evaluations, etc., are the property of the hospital and shall not be taken from the hospital except on court order, duly filed with the medical record administrator or hospital administration. The hospital administration may, under certain conditions, arrange for copies or reproductions of any element of the patient's records to be made. Such copies may be removed from the hospital after the medical record administrator or the proper administrative authority has received a written receipt thereof. In the case of readmission of the patient, all previous records or copies thereof shall be available for the use of the attending medical staff member or other health care providers. Hospital medical records, pathological examinations, slides, radiologic images, etc., shall be maintained by the hospitals. Microfilms, paper, electronic tape recordings, magnetic media, optical disks, and such other acceptable storage techniques shall be used to permanently maintain patient records.

86-04

86-05 Patient care orders.

(A) Orders in writing. Definition of "patient orders."

~~All orders for treatment shall be in writing, dated and signed personally or by electronic signature by the attending practitioner provided such copy has been filed with the medical information management department of the hospital. A patient order(s) is a prescription for care or treatment of patients. An order can be given verbally, electronically, or in writing to qualified personnel identified by category in paragraph (D) of this rule, and shall be authenticated by the licensed medical practitioner. Patient orders may be given initially, renewed, discontinued or cancelled. Throughout these rules and regulations, the word "written" and its grammatical derivatives, are used to describe a non-verbal order.~~

(B) Electronic orders.

Electronic orders are equivalent and have the same force as written orders. Electronic orders have been expressly structured to mirror these rules and regulations and all policy guidelines adopted by the medical staff and hospital administration.

(C) Responsible medical practitioner.

The licensed physician, dentist, podiatrist (under medical doctor supervision), or psychologist (under medical doctor supervision) member of the medical staff responsible for the care and treatment of the patient is responsible for all orders for the patient.

Attending, courtesy, and honorary medical staff may designate members of the limited staff to write or electronically enter orders under their direction. The attending staff member may also designate members of the pre-M.D. medical student group to write or electronically enter orders, but in all cases the orders shall be authenticated by the physician, dentist, podiatrist, psychologist, or designated limited staff member who has the right to practice medicine, dentistry, psychology, or podiatry, and who is responsible for that patient's care. All non-verbal orders must be authenticated by the medical practitioner prior to the execution of the order(s) by the hospital or outpatient nursing staff or other professional groups.

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Patient orders written or electronically entered by “off-service” limited staff or consultant staff must be authenticated by the responsible practitioner or the practitioner’s designee. Exception may be made in the event of preoperative orders or in the instance of acknowledged co-management; e.g., the intensive care unit or post-anesthesia care unit.

~~(B)~~(D) Verbal Telephone and verbal orders: person authorized to receive.

Telephone and verbal orders may be given by the responsible attending physician, dentist, podiatrist, psychologist, or member of the limited staff only to health care providers who have been approved in writing by title or category by the medical director, the executive director of the hospitals, and each chief of the clinical service where they shall exercise clinical privileges, and only where said health care provider is exercising clinical privileges which have been approved and delineated by job description for employees of the hospitals, or by the customary medical staff credentialing process when the provider is not an employee of the hospitals. Lists of the approved titles or categories of providers shall be maintained by the medical director.

All telephone or verbal orders from practitioners for patient treatment shall be put in writing. An order shall be considered to be in writing if dictated to an authorized person and signed authenticated by the responsible practitioner. ~~Orders dictated over the telephone shall be signed by the responsible practitioner. Orders dictated over the telephone shall also be signed by the person to whom the order was dictated with the name of the practitioner per his/her own name. Verbal orders which have been transmitted to an authorized person shall be authenticated, and dated by the completion of the medical record, not to exceed twenty-one days. The job description or delineated privileges for each provider must indicate each provider’s authority to receive telephone or verbal orders, including but not limited to the authority to receive orders for medications. When a verbal order is necessary, the hospital staff receiving the verbal order will immediately document the order in the patient’s medical record, and read the order back to the prescriber exactly as documented. The order is to be recorded and authenticated by the approved health care provider to whom it is given as “verbal order by Dr.” or “V.O. or T.O. by Dr.,” recording the medical practitioner’s name and the time of the order. All telephone and verbal orders for D.E.A. schedule II controlled substances, patient seclusion, or patient restraint must be authenticated within one day by the licensed physician, dentist, podiatrist, psychologist or limited staff member. All other verbal and telephone orders must be authenticated within twenty-one days of discharge or visit by a licensed physician, dentist, podiatrist, psychologist or limited staff member.~~

Persons authorized to receive verbal orders are:

- (1) Registered nurse.
- (2) Advanced practice nurse.
- ~~(2)~~(3) Registered pharmacist.
- ~~(3)~~(4) Registered pharmacy intern, only under the direct supervision of a registered pharmacist.
- ~~(4)~~(5) Licensed physical therapist.
- ~~(5)~~(6) Licensed occupational therapist.
- ~~(6)~~(7) Licensed speech therapist.
- ~~(7)~~(8) Registered dietitian.
- ~~(8)~~(9) Licensed respiratory care practitioner.

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~~(9)~~(10) ~~Registered radiology~~ Licensed radiation technologist.

~~(10)~~(11) Registered laboratory technologist.

~~(11)~~(12) Certified registered nurse anesthetists.

(13) Physician assistant.

The above persons are authorized to accept verbal orders within their departments.

~~(G)~~(E) Orders by limited ~~medical~~ staff.

Limited ~~medical~~ staff may write orders on patients within the scope of their qualifications and responsibility. Limited ~~medical~~ staff members are eligible to write any patient care order and to make any entry in the medical record, unless it is expressly reserved by these rules to another category of medical staff membership, or is restricted by law or rule of the state medical board.

~~(D)~~ Automatic cancellation of orders.

~~All previous orders, including standing orders, are automatically canceled for patients at the time of surgery.~~

~~(E)~~(F) Orders by medical students.

Medical students who are under the direct supervision of a member of the medical staff may write orders. Such orders will not be effective until co-signed by the supervising practitioner.

~~(F)~~ Progress notes.

~~Progress notes must provide a permanent chronological report of the patient's course in the hospital and reflect any change in condition, and results of treatment. In the event that the patient's condition has not changed, and no diagnostic studies have been done, a progress note must be completed by the attending medical practitioner at least every three days.~~

~~Each progress note in the medical record must be signed or counter signed by a member of the active, courtesy, consulting, honorary or limited staff.~~

(G) Investigational drug orders.

Evidence of informed patient consent must be available to a nurse or pharmacist before an investigational agent is ordered and administered. Investigational drugs may be ordered only upon authorization of the principal or co-investigator or other delegated physician, dentist, psychologist, or podiatrist named in FDA forms 1572 or 1573. Registered nurses or pharmacists who are knowledgeable about the investigational agents may administer the drugs to patients.

(H) Change of nursing service.

"Change of nursing service" means official and physical movement (transfer) of a patient from any permanent care unit to another with or without change in attending physician, dentist, psychologist, or podiatrist or clinical service. Orders effective before transfer must be renewed, rewritten or reentered upon transfer by the responsible medical practitioner. The new or renewed orders may be written or electronically entered before or when the patient arrives on the receiving unit and may become effective immediately.

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In each case of “change of nursing service,” it is the responsibility of the receiving nurse to establish the availability of renewed or new written or electronically entered orders. Prior orders shall remain in effect until new orders are available. This should be done within eight hours of transfer.

(I) Transfer of clinical service.

Transfer of clinical service means transfer of full patient responsibility from one attending physician, dentist, psychologist, or podiatrist to another; the patient may remain on the same unit or a “change of nursing service” may also occur. Admission of a patient from an emergency service to the hospital as an inpatient involves “transfer of clinical service.”

For the purposes of writing or electronically entering orders, two essentials of “transfer of clinical service” are necessary:

- (1) The initial transfer order must indicate the release of responsibility and control of the patient, pending acceptance by the receiving service. The order may read – “transfer (or admit) to Dr., family medicine service.”
- (2) Transfer of service may be completed only by the receiving service writing or electronically entering an order to the effect –“accept in transfer (or admission) to Dr., cardiology service.”

Orders effective before the transfer must be renewed, rewritten or reentered upon transfer by responsible medical practitioner. The new or renewed orders may be written or electronically entered before or at the time of transfer, and may become effective immediately. It is the responsibility of the receiving nurse to establish the availability of new or renewed orders. If new orders are unavailable, then the nurse may continue previous orders and immediately notify the responsible medical practitioner.

- (3) “Coverage” of patient responsibilities for another physician, dentist, psychologist, or podiatrist for a brief period of time does not constitute or require “transfer of clinical service” unless so desired and agreed upon by the physician, dentist, psychologist or podiatrist and patient.

(J) Preprinted orders.

Preprinted order forms for patients must be reviewed, dated and signed by a responsible medical practitioner before becoming effective.

(K) Hospital discharge/readmission orders.

Hospital discharge from standard inpatient units or day care units to outpatient status requires appropriate discharge orders. Readmission to any inpatient unit requires new, rewritten/reentered or renewed orders by the responsible medical practitioner.

(L) Do not resuscitate order.

Do not resuscitate orders must be written or electronically entered in strict compliance with the comprehensive policy guidelines published by the medical executive committee and hospital administration. See hospital procedure manual section 03-24.

(M) Automatic cancellation of orders.

All previous orders, including standing orders, are automatically canceled for patients at the time of surgery.

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86-05

86-06 Pharmaceutical.

(A) and (B) unchanged.

~~(C)~~ Telephone and verbal orders.

~~Telephone and verbal orders may be given by the responsible attending physician, dentist, podiatrist, psychologist or member of the limited medical staff only to health care providers who have been approved in writing by title or category by the medical director, the chief executive officer of the hospitals, and each chief of the clinical department where they will exercise clinical privileges, and only where said health care provider is exercising clinical privileges which have been approved and delineated by job description for employees of the hospitals, or by the customary medical staff credentialing process when the provider is not an employee of the hospitals. Lists of the approved titles or categories of providers shall be maintained by the medical director. The job description or delineated privileges for each provider must indicate each provider's authority to receive telephone or verbal orders, including but not limited to the authority to receive orders for medications. The order is to be written and signed by approved health care provider to whom it is dictated or given as "verbal order by DR.", or "V.O. by DR.", giving the practitioner's name and hour, followed by the approved health care provider's signature. All telephone and verbal orders for DEA schedule ii controlled substances, patient seclusion, or patient restraint must be authenticated within one day by signature of a licensed physician, dentist, podiatrist, psychologist, or designated limited staff member. All other inpatient verbal and telephone orders must be authenticated prior to, or at the time of, the next outpatient visit by signature of a licensed physician, dentist, podiatrist, or limited staff member.~~

~~(D)~~(C) Patient medications.

Unless otherwise ordered or authorized by the attending practitioner, no medications shall be allowed in the hospital except those dispensed by the medical center pharmacy.

~~(E)~~(D) Administration of drugs.

Drugs may be administered by practitioners, nurses, pharmacists, respiratory therapists, paramedics, physical therapists and radiology technicians, according to their field and with the order of the practitioner.

86-06

86-07 Consultations.

(A) Consultation requirements.

When the attending practitioner identifies in the course of treating the patient, a patient care problem that requires intervention during the hospital stay that is outside the practitioner's area of training and experience, it is the responsibility of the attending practitioner to obtain consultation by the appropriate specialist.

(1) The request for consult will state whether the attending practitioner is requesting an opinion only or opinion plus care within the consultant's specialty.

(2) When a consultant requests ancillary testing, they shall be responsible for follow-up of testing results and opinion for treatment.

(B) Unchanged.

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(C) Consultation contents.

A satisfactory consultation shall be rendered within twenty-four hours of the request and includes examination of the patient and of the medical record; and a written opinion signed by the consultant that is made a part of such record. If operative procedures are involved, the consultation note, except in emergency, shall be recorded prior to the operation.

~~86-07~~

~~86-08~~ Patient care.

(A) Attending assignment.

All patients entering university hospitals east who have not requested the services of a member of the medical staff of university hospitals east to be responsible for their care and treatment while a patient therein shall be considered an unassigned patient and shall be assigned and admitted to an attending member of the university hospital east medical staff according to a call schedule approved by the medical director. This shall also apply to the transfer of patients within the clinical divisions or services of the university hospitals east.

(A)(B) Alternate practitioner coverage.

Each member of the medical staff shall ~~name a member of the~~ designate on his or her medical staff application one or more members of the attending or courtesy medical staff who will have accepted this responsibility and who shall be called to attend his or her patients if the responsible attending ~~practitioner~~ medical staff member is not available. The chief of the practitioner's clinical department, the medical director or his designee shall have authority to call any member of the medical staff should the attending practitioner and the alternate be unavailable. If the chief of the practitioner's department, the medical director or his designee is unavailable, ~~the emergency department physician~~ a hospitalist on duty is shall be contacted and shall be responsible for arranging appropriate medical coverage until the attending practitioner is available to care for the patient.

(B) ~~Tissue and specimen removal.~~

(1) ~~The practitioner performing surgery is responsible for seeing that tissue and specimens removed during the surgical procedures are sent to the pathologist for evaluation and disposition, exclusive of clothes and contents. Exceptions to sending removed tissue and specimens to the pathologist should be made only when the quality of care is not compromised by the exception. The responsible practitioner shall document on the surgical procedure and tissue record form: (a) any tissue or specimen not sent; and (b) the disposition of the exempt tissue or specimen. Exempted tissue and specimens may include, but are not limited to:~~

- (a) ~~Tissues or specimens that by their nature or condition do not permit fruitful examination such as a cataract, orthopedic appliance, foreign body, or portion of rib removed only to enhance operative exposure;~~
- (b) ~~Therapeutic radioactive sources, the removal of which shall be guided by radiation safety monitoring requirements;~~
- (c) ~~Traumatically injured parts of the body that have been amputated and for which examination for either medical or legal reasons is not deemed necessary;~~
- (d) ~~Foreign bodies, (for example, bullets) that for legal reasons are given in the chain of custody directly to law enforcement representatives after~~

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~~such foreign bodies have been properly identified and, acknowledged with a receipt;~~

- ~~(e) Tissue or specimens known to rarely, if ever, show pathological changes, and removal of which is highly visible postoperatively, such as the foreskin from the circumcision of a newborn infant;~~
 - ~~(f) Placentas that are grossly normal and have been removed in the course of operative and non-operative obstetrics; and~~
 - ~~(g) Teeth, provided the number, including fragments, is recorded in the medical record.~~
- (2) ~~The practitioner performing the surgery is responsible to see that tissue and specimens shall be properly labeled, packaged in preservative as designated, and identified as to patient and source in the operating room at the time of removal. Each tissue and specimen must be accompanied by pertinent clinical information and, to the degree known, the preoperative and postoperative diagnosis.~~
- (3) ~~The pathologist will prepare and sign a report of the examination of the tissue or specimen, and such report will be made a part of the patient's medical record.~~
- (4) ~~The pathologist will refer the pathologist's report to the appropriate committee in cases wherein it appears, in the pathologist's judgment, that normal organs were unnecessarily removed.~~

(C) Emergency care.

(1) Level of services.

Emergency medical services are provided to any patient requiring appropriate care in the university hospitals east emergency department. No patient shall be arbitrarily transferred to another hospital if university hospitals east has the capability of proceeding with the necessary care.

(2) Organization.

The emergency department shall be directed by a physician member of the attending medical staff, known as the medical director. An alternate shall be designated and authorized to perform the functions of the director when the director is not available. Both shall be board certified or eligible in emergency medicine.

(3) Coverage.

All patient care is the responsibility of attending, courtesy or honorary staff. Medical coverage may be provided by limited staff under supervision by the attending or courtesy staff. When a consultation or arrangement for admission is made, the member of the attending medical staff to whom the consult or admission is directed shall be notified.

(4) Policies.

Written policies concerning operation of emergency services shall be developed by the medical director of the emergency department in consultation with appropriate services. These shall be revised as needed and dated at time of last review.

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(5) Records.

Records shall be maintained on all patients in accordance with university hospitals east medical information management policies and procedures.

~~(C)~~(D) Informed consent.

It is the responsibility of the practitioner performing the procedure to ~~assure~~ ensure that a written, signed and informed consent is obtained prior to any operation except in those situations where the patient's life is in jeopardy and suitable signatures cannot be obtained due to the condition of the patient. For emergencies involving a minor, an unconscious patient or an incompetent patient in which consent for surgery cannot be immediately obtained from parents, guardian, or next of kin, these circumstances should be fully explained in the patient's medical record.

Should a second operation be required during the patient's stay in the hospital, a second informed consent specifically worded shall be obtained. If two or more specific procedures are to be carried out at the same time and this is known in advance, they may all be described and consented to on the same form. It is the practitioner's responsibility to ensure that a written and signed consent is obtained and made a part of the patient's medical record. ~~Limited medical staff and non-physician practitioners shall comply fully with the above provisions when obtaining an informed consent from the patient.~~ Documentation of informed consent shall be in compliance with hospital policy and procedure number 03-27.

~~(D)~~(E) Patient visits by practitioners.

The admitting practitioner or the practitioner's physician designee must visit the patient within twenty-four hours of the patient's admission to the hospital. Thereafter, the admitting practitioner or the practitioner's physician designee must visit the patient at least daily, and more frequently if warranted by the patient's condition. Policies of the ~~sieu and mieu~~ intensive care unit(s) relating to patient visits by practitioners shall supersede the requirements of this rule.

(F) Tissue disposition.

(1) All tissue and foreign bodies removed during a surgical procedure shall be sent to the pathology laboratory for examination except for the following categories. These exceptions may be invoked by the attending surgeon only when the quality of care is not compromised by the exception, when another suitable means of verification of the removal is routinely employed, and when there is an authenticated operative or other official report that documents the removal. The categories of specimens that may be exempted from pathological examination are the following:

- (a) Tissues or specimens that by their nature or condition do not permit fruitful examination such as a cataract, orthopedic appliance, foreign body, or portion of rib removed only to enhance operative exposure;
- (b) Therapeutic radioactive sources, the removal of which shall be guided by radiation safety monitoring requirements;
- (c) Traumatically injured parts of the body that have been amputated and for which examination for either medical or legal reasons is not deemed necessary;
- (d) Foreign bodies (for example, bullets) that for legal reasons are given in the chain of custody directly to law enforcement representatives after such

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foreign bodies have been properly identified and acknowledged with a receipt;

- (e) Tissue or specimens known to rarely, if ever, show pathological changes, and removal of which is highly visible postoperatively, such as the foreskin from the circumcision of a newborn infant;
 - (f) Placentas that are grossly normal and have been removed in the course of operative or non-operative obstetrics; and
 - (g) Teeth, provided the number, including fragments, is recorded in the medical record.
- (2) The practitioner performing the surgery is responsible to see that tissue and specimens shall be properly labeled, packaged in preservative as designated, and identified as to patient and source in the operating room at the time of removal. Each tissue and specimen must be accompanied by pertinent clinical information to the degree known as well as the preoperative and postoperative diagnosis.
 - (3) The pathologist shall prepare and sign a report of the examination of the tissue or specimen, and such report shall be made a part of the patient's medical record.
 - (4) The pathologist shall refer the pathologist's report to the appropriate committee in cases wherein it appears, in the pathologist's judgment, that normal organs were unnecessarily removed.

~~(E)(G)~~ Autopsies Death and autopsy procedures.

- (1) Every member of the medical staff shall be actively interested in securing autopsies whenever possible. No autopsy shall be performed without written consent, permission, or direction as prescribed by the laws of Ohio.
- (2) The death of a patient in the hospital within twenty-four hours of admission must be reported to the proper legal authorities under the laws of Ohio.
- (3) All deaths shall be reported to the attending or covering physician by the nursing supervisor of the unit where the patient expired, as soon as is reasonably possible. The nursing supervisor shall discuss the permission for the autopsy with the attending or covering practitioner at the time of notification of death, and shall make an entry, timed and dated, in the progress notes concerning that discussion and the approval or disapproval for autopsy.
- (4) It shall be the duty of all practitioners to secure autopsies whenever appropriate. All autopsies shall be performed by an attending pathologist with hospital privileges or other attending practitioner who is qualified to perform autopsies. All deaths shall be reported to the attending or covering physician by the nursing supervisor of the unit where the patient expired, as soon as is reasonably possible. The nursing supervisor shall discuss the permission for the autopsy with the attending or covering practitioner at the time of notification of death, and shall make an entry, timed and dated, in the progress notes concerning that discussion and the approval or disapproval for autopsy. The attending pathologist or his or her designee shall have the responsibility of informing the patient's attending physician or designee that a proper consent for the performance of an autopsy has been obtained. The anticipated time for the autopsy shall also be reported at this time.

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- (5) Criteria for autopsy requests include the following:
- (4) (a) Coroner's cases when the coroner elects not to perform an autopsy. (The county coroner has jurisdiction for performing an autopsy when death is the result of violence, casualty, or suicide, or occurs suddenly in a suspicious or unusual manner. Deaths occurring during surgery or within twenty-four hours of admission to the hospital are also coroner's cases, and the decision whether to perform an autopsy or not is the coroner's responsibility.) When the coroner elects not to perform an autopsy, a request for an autopsy shall be made pursuant to paragraph (G)(1) of this rule.
 - (2) (b) Unexpected or unexplained deaths, where apparently due to natural causes or due to those occurring during or following any surgical, medical, or dental diagnostic procedures or therapies.
 - (3) (c) Undiagnosed infectious disease where results may be of value in treating close contacts.
 - (4) (d) All deaths in which the cause of death is not known with certainty on clinical grounds.
 - (5) (e) Cases where there is question of disease related to occupational exposure.
 - (6) (f) Organ donors (to rule out neoplastic or infectious disease).
 - (7) (g) Cases in which an autopsy may help to allay the concerns of the family or public regarding the death and to provide assurance to them regarding the same.
 - (8) (h) Deaths in which an autopsy may help to explain unknown or unanticipated medical complications to the attending.
 - (9) (i) Deaths of patients who have participated in investigational therapy protocols.
 - (10) (j) Deaths in which there is a need to enhance the education and knowledge of the medical staff and ~~house~~ limited staff. The attending practitioner ~~will~~ shall be notified of the autopsies performed by the pathology department.
- (6) When an autopsy is performed, provisional anatomic diagnosis should be recorded in the medical record within three days and the complete protocol should be made a part of the medical record within sixty days.

86-09 Surgical case review.

Surgical case review shall be performed as part of the hospital's peer review and quality assurance activity on an ongoing basis, at least monthly, by each department/division (as appropriate) regularly doing surgical procedures. The review shall include indications for surgery and all cases in which there is a major discrepancy between preoperative and postoperative (including pathologic) diagnoses. Discrepancies between the clinical impression and tissue removed during a surgical procedure are identified by pathology and then referred to the appropriate department performing surgical procedures for review. A screening mechanism based on predetermined criteria may be established for cases involving no specimens. Written records of the evaluations and any action taken shall be maintained in the quality and operations improvement division, available to the medical director or the director's designee and the clinical department chairperson or their designee.

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86-10 Disaster plan

A civil, military, natural emergency or disaster may be declared by the medical director and the executive director of university hospitals east. The comprehensive planning for triage and treatments of patients presenting for urgent or emergency care shall be the responsibility of the medical director. The department of emergency medicine and the department of surgery shall be charged with the primary responsibility for trauma patient care.

Upon order of the medical director, patients may be discharged, transferred to another hospital or moved to other health care facilities in order to make more room for critically ill or injured patients. The medical director, the executive director of university hospitals east, and the executive director of university hospitals may participate in local or regional emergency or disaster plans as may be appropriate to save lives and provide adequate medical care and treatment.

~~(F)~~
86-11 Committees and policy groups.

~~In addition to the medical staff committees, the medical staff shall participate in the following hospital monitoring functions:~~

- ~~(1) Infection control,~~
- ~~(2) Clinical quality management,~~
- ~~(3) Safety and disaster planning, and~~
- ~~(4) Other leadership council advisory policy groups.~~

~~(G)~~(A) Medical information management committee.

- (1) The medical information management committee shall have representation from medical staff, nursing, medical information management and hospital administration. The committee shall meet at least quarterly and carry out the following duties:

(a) through (d) unchanged.

- (e) Submit recommendations to assure the maintenance of complete, accurate medical information for compliance with applicable policies and regulations of the clinical quality management committee, computerized health system clinical information committee, governmental agencies, accrediting bodies, and purchasers of care.

(f) and (g) unchanged.

- (h) Maintain written records of conclusions, recommendations, actions taken and results of the actions taken, and report regularly to the clinical quality management committee or any other relevant committees that have a role in implementing policies adopted by the medical information management committee.

- (2) Each member of the medical staff shall conform to the policies established by the medical information management committee, including ~~the following:~~ chart contents, history and physical examination, deadlines and sanctions, discharges, confidentiality, ownership of medical records, informed consent documentation, sterilization consent, criteria changes, and electronic signature. (See rule 86-03, medical records).

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- (a) ~~Chart contents. The attending medical staff member shall be responsible for the preparation of a complete medical record of each patient. This record shall include the following:~~
- ~~(i) identification and related data~~
 - ~~(ii) statement of present complaint~~
 - ~~(iii) history of present illness~~
 - ~~(iv) previous personal history~~
 - ~~(v) family history~~
 - ~~(vi) physical examination~~
 - ~~(vii) special reports, such as those from the clinical laboratory including examination of tissues and autopsy findings and, when applicable, the x-ray department, as well as from consultants, as verified by the attending medical staff member's signature~~
 - ~~(viii) provisional diagnosis~~
 - ~~(ix) medical and surgical treatments~~
 - ~~(x) progress notes~~
 - ~~(xi) memorandum copy of the death certificate, when applicable~~
 - ~~(xii) condition of patient at discharge, including instructions given at that time~~
 - ~~(xiii) summary and final diagnosis(es) as verified by the attending medical staff member's signature~~
 - ~~(xiv) documentation of informed consent~~
- (3) ~~History and physical examination~~ Criteria changes.
- (a) ~~A complete history and physical examination shall be documented on all inpatient records. The history and physical examination shall include: The medical information management committee shall define the criteria for record completion with approval of the medical staff.~~
- ~~(i) date of admission~~
 - ~~(ii) chief complaint~~
 - ~~(iii) history of present illness~~
 - ~~(iv) past medical history~~
 - ~~(v) relevant social and family history~~
 - ~~(vi) medications~~
 - ~~(vii) review of systems~~

Amended Medical Staff Rules and Regulations
of The Ohio State University Hospitals East (contd)

- ~~(viii) physical examination~~
- ~~(ix) test results~~
- ~~(x) impression~~
- ~~(xi) plan of care~~
- ~~(b) A history and physical examination appropriate to the procedure, and the patient, shall be documented in the medical record of all ambulatory surgery patients, and patients undergoing outpatient procedures.~~
- ~~(c) A history and physical examination appropriate to the patient and patient's chief complaint shall be documented in the medical record of all ambulatory patients.~~
- ~~(4) Deadlines and sanctions.~~
 - ~~(a) A history and physical examination may be completed prior to admission, surgery or the patient's initial visit, and accepted as part of the medical record if completed within thirty days preceding the admission, surgery or visit. If the history and physical examination is completed greater than seven days, but within thirty days of admission, a notation shall be made in the history and physical examination to indicate review and any updates.~~
 - ~~(b) The complete history and physical examination shall be dictated or written no later than twenty-four hours after admission of the patient. If the history and physical examination is dictated, a summary of pertinent findings must be recorded in the patient's chart within twenty-four hours after admission.~~

~~The history and physical examination must be performed by a member of the medical staff or his/her designee, and be signed and dated. In the event the history and physical examination is performed by a physician extender, it shall be countersigned by the responsible medical staff member.~~
 - ~~(c) When the history and physical examination, including the results of indicated laboratory and x-ray studies, are not recorded in the chart before the time stated for a procedure, the procedure cannot proceed until the results are entered into the chart. In cases where such a delay would likely cause harm to the patient, this condition shall be entered into the chart by the attending medical staff member or designee and the procedure may begin. When there is a disagreement concerning the urgency of the procedure, it will be adjudicated by the medical director or the medical director's designee.~~
 - ~~(d) A procedure note shall be entered in the record by the responsible attending medical staff member or designee immediately upon completion of an invasive procedure. An operative/procedure report must be dictated immediately following the procedure. Procedure notes must be written for any surgical or medical procedure, irrespective of its repetitive nature, which involves material risk to the patient. For any formal operative procedure, a note shall include the preoperative diagnosis, procedure, surgeon(s), anesthesiologist(s), type of anesthesia (general or local), complications, invasive lines either added or deleted, estimated blood loss, any pertinent information not included on operative/anesthesia record, and preliminary surgical findings. Where a formal operative/procedure report must be dictated immediately following the procedure, the transcribed operative/procedure report must be signed by the attending medical staff~~

Amended Medical Staff Rules and Regulations
of The Ohio State University Hospitals East (contd)

~~member. Any operative/procedure report not dictated by 10:00 a.m. the day following the procedure shall be deemed delinquent and the medical staff member responsible will lose operating/procedure and medical staff privileges the following day. Affected medical staff members will receive telephone calls from the medical information management administrator indicating the delinquent operative/procedure report(s).~~

- ~~(e) For all procedures which require submission of a specimen, the pathologist shall make such examination as necessary to arrive at a satisfactory diagnosis(es). The pathologist's report shall then be included in the patient's record.~~
- ~~(f) Progress notes must provide a pertinent chronological report of the patient's course in the hospital, reflect any change in condition and results of treatment. In the event that the patient's condition has not changed and no diagnostic studies have been done, a progress note must be completed by the attending medical staff member at least every three days. Each progress note in the medical record must be signed or countersigned by a member of the attending, courtesy or limited staff.~~

~~(5) Discharges.~~

- ~~(a) Patients shall be discharged only on written order of the responsible medical staff member. At the time of ordering the patient's discharge or at the time of the medical staff member's next visit to the hospital, the attending medical staff member shall see that the record is complete. The attending medical staff member is responsible for verifying the principal diagnosis, secondary diagnosis(es), principal procedure, and other significant procedures in the medical record by the time of discharge. If a principal diagnosis cannot be determined in the absence of outstanding test results, the attending medical staff member must record a "provisional" principal diagnosis by the time of discharge.~~
- ~~(b) The discharge summary for each patient must be dictated by the responsible medical staff member, or the medical staff member's designee, within three days of discharge for any patient stay of more than forty-eight hours. A handwritten or dictated discharge summary must be completed within seven days of discharge for any patient stay of forty-eight hours or less. A dictated summary is required on all patients who expire, regardless of length of stay. The discharge summary must be signed by the responsible attending practitioner.~~
- ~~(c) All medical records must be completed by the attending medical staff member or, when applicable, by the attending medical staff member's designee within twenty-one days of discharge of the patient. The attending medical staff member will be notified of the intent to suspend for all incomplete records that are available. The attending staff member will be suspended from medical staff and operative privileges until all records are completed. A list of delinquent, incomplete records by attending medical staff members will be prepared and distributed by the medical information management administrator once each week. If an attempt is made by the attending medical staff member, or the attending medical staff member's designee, to complete the record and the record is not available, the record is not counted against the attending medical staff member.~~
- ~~(d) Records which are incomplete, greater than twenty-one days from discharge, are defined as delinquent.~~

Amended Medical Staff Rules and Regulations
of The Ohio State University Hospitals East (contd)

(6) ~~Confidentiality.~~

~~Access to medical records is limited to use in the treatment of patients, research and teaching. All medical staff members are required to maintain the confidentiality of medical records. Improper use or disclosure of patient information is subject to disciplinary action.~~

(7) ~~Ownership of medical records.~~

~~Medical records of hospital-sponsored care are the property of the hospital and shall not be removed from the hospital's jurisdiction and safekeeping except in accordance with a court order, subpoena or statute.~~

(8) ~~Informed consent documentation.~~

(a) ~~Where informed consent is required for a special procedure (such as surgical operation), documentation that such consent has been obtained must be made in the hospital record prior to the initiation of the procedure. Such documentation shall be in compliance with the hospital's policy and procedure manual.~~

(b) ~~In the case of limb amputation, a limb disposition form, in duplicate, must be signed prior to the operation.~~

(9) ~~Sterilization consent.~~

~~Prior to the performance of an operative procedure for the expressed purpose of sterilization of a (male or female) patient, the attending medical staff member will be responsible for the completion of the legal forms provided by the hospital and signed by the patient. Patients who are enrolled in the Medicaid program must have their forms signed at least thirty days prior to the procedure. Informed consent must also be obtained from one of the parents or the guardian of an unmarried minor.~~

(10) ~~Criteria changes.~~

~~The medical information management committee shall define the criteria for record completion with approval of the medical staff.~~

(11) ~~Electronic signature.~~

~~The electronic signature of the medical record document requires a signing password. At the time the password is issued, the practitioner is required to sign a statement that he/she will be the only person using the password. This statement will be maintained in the department responsible for the electronic signature system.~~

(H)(B) Operating room committee.

- (1) The operating room committee shall have representation from clinical departments using the operating room, including the medical director of the operating room, representatives from all surgical specialties, the chief of the department of surgery, the chief of the department of anesthesiology, the director of nursing (or designee), chief of laboratory services, director of the operating room, and a representative from hospital administration (or designee). The committee is appointed by the medical director in consultation with the executive director of the Ohio state university hospitals east. The committee shall meet at least quarterly and carry out the following duties:

Amended Medical Staff Rules and Regulations
of The Ohio State University Hospitals East (contd)

(a) Insure that surgical privileges have been delineated for each member of the medical staff who uses the operating rooms, as well as assist with the development of the delineation of privileges for each member of the medical staff ~~that~~ who uses the operating room;

(b) through (f) unchanged.

~~(2) The operating room committee shall be a hospital committee and be appointed in accordance with policies and procedures of the hospital.~~

~~(3)~~(2) Each member of the medical staff shall conform to the policies established by the operating room committee, including the following:

A member of the attending surgical staff shall be present in person during surgical procedures and a member of the attending anesthesiology staff shall be present in person during anesthetization, and shall be familiar with the progress of the procedure, being available at all times during the procedure.

~~(4)~~(C) Pharmacy, therapeutics and drug utilization committee.

The pharmacy, therapeutics and drug utilization committee shall be appointed in conformity with the medical staff bylaws of the Ohio state university hospitals and have representation from the medical staff, nursing, pharmacy department and college, and hospital administration. The majority of members shall be members of the medical staff. University hospitals east shall have two representatives on this Osumc committee. The committee shall meet at least quarterly and carry out the following duties:

(1) through (9) unchanged.

(D) Transfusion and immunization committee.

(1) The transfusion and immunization committee shall be appointed pursuant to these bylaws and include representation from physicians of the clinical departments frequently using blood products, nursing, transfusion service, and hospital administration. The majority of members shall be members of the medical staff. University hospitals east shall have two representatives on this Osumc committee. The committee shall meet at least quarterly and carry out the following duties:

(a) Evaluate the appropriateness of all transfusions, including the use of whole blood and blood components.

(b) Evaluate all confirmed or suspected transfusion reactions.

(c) Develop and recommend to the medical staff administrative committee/medical executive committee policies and procedures relating to the distribution, use, handling, and administration of blood and blood components.

(d) Review the adequacy of transfusion services to meet the needs of patients.

(e) Review ordering practices for blood and blood products.

(f) Provide a liaison between the clinical departments, nursing services, hospital administration, and the transfusion service.

Amended Medical Staff Rules and Regulations
of The Ohio State University Hospitals East (contd)

- (g) Use clinically valid criteria for screening and more intensive evaluation of known or suspected problems in blood usage.
- (h) Keep written records of meetings, conclusions, recommendations, and actions taken, and the results of actions taken, and make these available to each committee member and to the medical executive committee.
- (2) Each member of the medical staff shall conform to the policies established by the transfusion and immunization committee, including the following:
 - (a) All pregnant patients admitted for delivery or abortion shall be tested for Rh antigen.
 - (b) No medication may be added to blood or blood products.
- (E) In addition to the medical staff committees, the medical staff shall participate in the following hospital monitoring functions:
 - (1) Infection control;
 - (2) Clinical quality management;
 - (3) Safety and disaster planning; and
 - (4) Other leadership council advisory policy groups.

86-12 Mechanism for amending or adopting rules and regulations.

These rules and regulations shall be adopted by the medical executive committee and forwarded for approval in the same fashion as provided in paragraph (B) of rule 3335-45-17 of the Administrative Code.

86-13 Sanctions.

Each member of the medical staff shall abide by policies approved by the medical executive committee and by the Ohio state university hospitals east. Failure to abide may result in suspension of some or all hospital privileges. (B/T 4/7/2000, B/T 7/11/2003)

**THE OHIO STATE UNIVERSITY-
NEWARK BOARD OF TRUSTEES**

BYLAWS

3335-113-01 Composition and administration of the board.

- (A) The Ohio state university board of trustees ("the university board") hereby establishes a body to be known as the Ohio state university - Newark board of trustees ("the Newark board").
- (1) The Newark board shall be composed of ~~eleven~~ ten members appointed by the ~~Ohio state university board of trustees~~ in consultation with the president of the university.
 - (2) ~~One member of the Newark board shall be a member of the university board of trustees (the "trustee member").~~ Nine members shall be private citizens who ~~have an interest in and knowledge of higher education and who~~ reside within the area served by the institution. ~~No employee of the university and no employee or member of the board of the Newark technical college shall be eligible to serve as a citizen member. The selection process for the Newark board members shall incorporate the affirmative action policies of the university.~~
 - ~~(3)~~ One member of the Newark board shall be a student who is currently enrolled and in good standing at the Newark regional campus. The student member shall have no voting power on the board, ~~shall not be considered as a member of the board in determining whether a quorum is present, and shall not be entitled to attend executive sessions. The student member shall serve a term of one year.~~
 - ~~(4)~~ (3) Initial appointments of three citizen Citizen members shall be appointed for terms of three years. ~~Three citizen members shall initially be appointed for terms of two years. Three citizen members shall initially be appointed for terms of one year. Thereafter, citizen members shall serve three-year terms. Terms will be staggered so that three terms end each year. In case a vacancy develops, the university board may appoint a citizen member to fill the remaining part of the unexpired term. No citizen member shall serve more than three terms, consecutive full terms or otherwise. (In determining eligibility for reappointment, an initial appointment of two years, or more, shall be construed as a term.)~~
 - (4) The student member shall serve a term of one year, and is eligible for reappointment as long as he or she remains a student in good standing at the Newark campus.
 - ~~(5)~~ ~~The trustee member shall serve a term of three years and may be reappointed so long as he or she remains a member of the university board of trustees.~~
 - ~~(6)~~(5) Terms of the appointed members shall begin on July 4 first.
 - ~~(7)~~(6) Members of the Newark board shall serve without compensation but may be reimbursed for expenses incurred in the performance of their duties. Members of the Newark board shall be provided immunities or indemnification against any claims or liabilities which may arise from the performance of their duties to the full extent permitted by law.
 - ~~(8)~~(7) The dean/director of the Newark regional campus shall assist the chair of the Newark board in establishing board agendas and priorities and shall provide such staff services and other assistance as the board may require. The dean/director may attend all meetings of the board.
 - ~~(9)~~(8) The provost or the provost's designee, in cooperation with the dean/director, shall serve as the liaison between the Newark board and the various colleges and departments of the university, and may attend all meetings of the Newark board.

BYLAWS (contd)

~~(40)(9)~~The chair of the Newark campus faculty assembly shall serve as a resource person for the Newark board and, in order to serve that function, may attend all public meetings of the Newark board.

~~3335-113-02 Powers and duties.~~

- ~~(A) The Newark board shall advise and assist the dean/director in the administration of the Newark regional campus. Subject to the authority and periodic review of the university board of trustees, the Newark board shall:~~
- ~~(1) Develop support for the Newark regional campus in its service area;~~
 - ~~(2) Advise the dean/director concerning local educational needs, and perceptions of university programs;~~
 - ~~(3) Serve as an advocate for the needs of the Newark regional campus in local and state government agencies, and in the legislature;~~
 - ~~(4) Participate in the strategic planning process for the regional campus;~~
 - ~~(5) Review and provide a recommendation with respect to current funds and capital budgets prior to their submission to the university board of trustees by the president;~~
 - ~~(6) Coordinate with the board of the Newark technical college in all areas of common interest.~~
- ~~(B) The hiring, evaluation, promotion, tenure status, duties and responsibilities, and compensation of faculty shall be conducted in accordance with established university rules and the Newark board shall have no jurisdiction with respect to these matters.~~
- ~~(C) To ensure that the university board of trustees meets its governance obligations under Ohio law, the Newark board will be accountable, through the president, to the university board of trustees.~~
- ~~(1) The appointment of the dean/director will be approved by the university board of trustees in accordance with rule 3335-3-291 of the Administrative Code, following consultation with the Newark board.~~
 - ~~(2) Recommendations and reports of the Newark board that require the university board of trustees review or action will be communicated to the university board of trustees through the president.~~
 - ~~(3) The university board of trustees will continue to hold the president responsible for the conduct of academic programs and for ensuring that the regional campus is operated in a manner consistent with university policy, as well as state and federal law.~~

3335-113-02 Nominations.

The objective of regional campus board member selection is to continually ensure a strong, independent group of dedicated members with a diverse background, representing a range of professions, experiences, and geographical regions that the campus serves.

- (A) All members of the Newark board will be appointed by the Ohio state university board of trustees, in consultation with the president of the university, Newark board, and the campus dean/director.

BYLAWS (contd)

- (B) The following criteria shall guide the Newark board in its nominations of community members:
- (1) Resides in the primary service area of the campus and is well acquainted with the region;
 - (2) Has a strong interest in the Newark campus and in the Ohio state university;
 - (3) Has a record of community service;
 - (4) Consideration should be given to nominating individuals from diverse parts of the service district with diverse professional expertise and perspectives; and
 - (5) Employees of the university, employees of the central Ohio technical college, and members of the board of the central Ohio technical college are ineligible to serve as citizen members.
- (C) The student member should be a student in good standing, with an active interest in improving the campus, and who is willing to inform him or herself about the needs, interests, and concerns of other students. However, in the capacity as a board member, the role is as that of any other board member – to balance the needs and issues of all constituencies in their deliberations – not to represent a single constituency.
- (D) Nominations will be communicated to the university board through the president no later than April twentieth of each year so that the university board may make appointments at its May meeting.

~~3335-113-03 Meetings.~~

- (A) ~~Regular meetings of the Newark board shall be held each month, or on such other schedule as may be established by the board, at times which shall be set and publicly announced by the chair.~~
- (B) ~~Special meetings may be called at the direction of the chair, or shall be called by the chair at the request of three members of the Newark board, provided that notice to all board members shall be given not less than five days prior to the meeting.~~
- (C) ~~Except as otherwise specified in these bylaws, all meetings of the Newark board and its committees shall be conducted in accordance with the latest revision of "Robert's Rules of Order."~~
- (D) ~~Meetings of the Newark board shall be open to the public, except for executive sessions called for reasons permissible under Ohio law.~~
- (E) ~~A majority of the current membership of the Newark board shall be necessary for a quorum.~~
- (F) ~~Motions may be passed by a majority of those present.~~

~~3335-113-04~~ 3335-113-03 Vacancies and removal.

- (A) Vacancies shall be filled by the university board of trustees in the same manner and subject to the same qualifications as appointments for full terms.
- (B) Members of the Newark board serve at the pleasure of the university board of trustees.
- (C) ~~Any Newark board member who has three unexcused absences for three successive meetings, or five unexcused absences in any calendar year, shall be automatically removed.~~

BYLAWS (contd)

3335-113-04 Powers and duties.

The Newark board shall serve in an advisory capacity in oversight of the Newark campus. Subject to the authority and periodic review of the university board, the Newark board shall:

- (A) Ensure maintenance of key relationships with external constituencies:
 - (1) Develop support for the Newark regional campus in its service area;
 - (2) Advise the dean/director concerning Newark's local service area educational needs and the perception of university programs;
 - (3) Be knowledgeable about the Ohio state university, in general, and, in particular, at its interface with the Newark campus;
 - (4) Serve as a knowledgeable and effective advocate with the state legislature and state and local agencies for the Newark campus and for the Ohio state university, in general, when appropriate; and
 - (5) Ensure effective coordination with the board of the central Ohio technical college in all areas of common interest.
- (B) Ensure proper direction for the Newark campus, e.g., through input and review, where appropriate, of the strategic plan, master plan, student affairs plan, safety and security plans, etc., and to ensure performance and progress monitoring. The Newark board shall have no jurisdiction with respect to faculty. The hiring, evaluation, promotion, tenure status, duties and responsibilities, and compensation of faculty shall be conducted in accordance with established university rules.
- (C) To ensure that the university board of trustees meets its governance obligations under Ohio law, the Newark board will advise the dean and director on matters relating to annual budgets, capital projects, and tuition and fees proposals.
 - (1) The appointment of the dean/director will be approved by the university board of trustees in accordance with rule 3335-3-291 of the Administrative Code.
 - (2) The university board of trustees will continue to hold the Ohio state university president responsible for the conduct of academic programs and for ensuring that the regional campus is operated in a manner consistent with university policy and procedures, as well as state and federal law.

3335-113-05 Officers.

- (A) ~~The Newark board shall select a chair, a vice chair, and such other officers as the board may deem advisable. The chair and other officers shall be elected annually by the board. No officer may serve more than two consecutive one-year terms.~~
- (B) ~~The chair shall preside at all meetings of the board, shall appoint members of any committees created by the board, shall serve as an ex-officio member of all standing and special committees, shall approve the agenda for all board meetings, and shall make an annual report to~~
~~The university board of trustees and such other reports as the university board of trustees may require.~~

BYLAWS (contd)

- (G) ~~The vice chair shall perform the duties and exercise the powers of the chair during the absence of the chair or in the event of the chair's inability to act.~~

3335-113-05 Meetings.

- (A) Regular meetings of the Newark board shall be held on such schedule as may be established by the board, at times which shall be set and publicly announced.
- (B) Special meetings may be called at the direction of the chair, or shall be called by the chair at the request of three members of the Newark board, provided that notice to all board members shall be given not less than five days prior to the meeting and publicly announced.
- (C) Except as otherwise specified in these bylaws, all meetings of the Newark board and its committees shall be conducted in accordance with the latest revision of "Robert's Rules of Order."
- (D) Meetings of the Newark board shall be open to the public, except for executive sessions called for reasons permissible under Ohio law.
- (E) A majority of the current membership of the Newark board shall be necessary for a quorum.
- (F) Conflict of interest. No trustee shall participate in deliberations on a university contract, action or transaction when the trustee has a financial or personal or fiduciary interest in any person or entity affected by such contract, action or transaction. The trustee having the prohibited interest shall make full disclosure thereof and shall abstain from any deliberations on any such matter. Trustees shall file with the board office on or about August first of each year, on a form prepared by the secretary of the board, a full disclosure of any financial or fiduciary interest the trustee, a member of the trustee's family, or any business associate of the trustee may have in any service provider who may be qualified to do business with the university.
- (G) Motions may be passed by a majority of the voting members present.

3335-113-06 Officers.

- (A) The executive committee shall consist of the chair, vice chair, and a recording secretary of the board. These officers shall be elected annually, by July first, by the Newark board. No officer may serve more than two consecutive, one-year terms in the same office.
- (B) The chair shall preside at all meetings of the board, shall appoint members of any committees created by the board, shall serve as an ex-officio member of all standing and special committees, and shall approve the agenda for all board meetings.
- (C) The vice chair shall perform the duties and exercise the powers of the chair during the absence of the chair or in the event of the chair's inability to act.
- (D) The recording secretary shall be responsible for ensuring minutes of board meetings are produced and maintained; for ensuring trustee members are kept informed about board activities and campus issues; for ensuring correspondence of the board is properly conducted; and for forwarding board minutes to the Ohio state board office in a timely fashion.

BYLAWS (contd)

~~3335-113-06~~ 3335-113-07 Committees.

- (A) ~~The Newark board shall establish an executive~~ academic affairs and student life committee, a finance committee, a campus facilities and planning committee, and ~~such other committees as it may from time to time deem advisable~~ an external relations committee. Committees work on behalf of the board. As a result, any action or recommendation of a committee should have full board approval.
- (A) (1) ~~The executive committee shall have the power to transact such business of the board between regular meetings of the board as the board may hereinafter authorize. All actions of the executive committee shall be reported to the full board at its next regular meeting.~~
- ~~The executive committee of the board shall consist of: the chair, who will serve as chair of the committee; the vice chair; and the chairs of all standing committees of the board. Any standing committee chair may designate a member of that committee who is also a board member to represent the chair at any meeting of the executive committee. The academic affairs and student life committee shall consist of three Newark board members, appointed annually by the chair of the board. It will monitor all services provided to students to ensure they are in line with established priorities and strategies and consistent with Ohio state university guidelines in general. This committee shall be responsible for advising the Newark board on student life matters.~~
- (B) (2) ~~The finance committee shall consist of three board members appointed annually by the chair of the board. The finance committee shall be responsible for advising the Newark board on campus financial matters.~~
- ~~The finance committee shall consist of four board members appointed annually by the chair of the board.~~
- (C) (3) ~~The campus facilities and planning committee shall consist of three Newark board members, appointed annually by the chair of the board. This committee will monitor the condition of the campus facilities and shall have the responsibility for long-range campus planning, and for recommending additions, alterations, repair, and maintenance progress on the campus master plan to ensure it is in line with established priorities and planned strategies. This committee shall be responsible for advising the Newark board on campus planning and capital projects.~~
- ~~The committee shall consist of four Newark board members, appointed annually by the chair of the board.~~
- (D) The external relations committee shall consist of three Newark board members, appointed annually by the chair of the board. This committee will serve as the main link between the university and the community and will be most actively engaged coordinating the board's duties as outlined in rule 3335-113-04 of the Administrative Code: developing support for the Newark regional campus in this service area, serving as knowledgeable and effective advocates with the state legislature and state and local agencies for the Newark campus, and for the university in general when appropriate, bringing the concerns of the community to the university.
- (E) The Newark board may, by majority vote, establish ad hoc committees for particular defined purposes and particular defined durations. (B/T 4/8/94, B/T 7/11/2003)

***PLEASE NOTE:** Identical changes have been made to the Lima, Mansfield, and Marion Board Bylaws.

MODIFICATION OF INVESTMENTS POLICIES

Summary

Endowment Fund Investments Policy

Sections I. (Goal) and II. (Investment Philosophy) have been expanded to include language describing diversification, acceptable return, and prudence of management.

Section III. (Definitions) have been expanded to include a discussion of long-term financial assets, including Quasi-Endowments.

A new Section IV. (Management of Investments) has been added incorporating relevant provisions of the Ohio Revised Code.

Section VI. (Gains/Losses) has been expanded to discuss treatment of gains and losses of Quasi-Endowment funds.

Section XI. (Authorized Investments) contains adjustments for high yield bonds, venture capital, and private equity investments.

Non-Endowment Investments Policy

The Non-Endowment Investments Policy contains only one revision. Section IX. (Authorized Investments) has been revised to allow for the purchase of corporate bonds and notes with terms up to five years.

Office of Business and Finance
Office of the Treasurer
July 3, 2003

ENDOWMENT FUND INVESTMENTS POLICY

I. Goal

It shall be the policy of The Ohio State University to manage the Endowment portfolio for the use and benefit of the University in a diversified portfolio that will produce a return which when compared to the current marketplace, would be described as acceptable by conservative prudent investment managers.

It shall **also** be the policy to manage the Endowment Fund in a manner that will preserve and maintain the real purchasing power of the principal while allowing for an annual distribution of income according to this policy.

II. Investment Philosophy

The Endowment fund shall be managed with the intention of obtaining the highest possible "total return" (i.e., current income plus net realized and unrealized appreciation) of the investment portfolio, balancing reasonable growth with maintenance of prudently acceptable risk. **The portfolio shall be managed with the care, skill, prudence, and diligence under the circumstances then prevailing that a prudent person acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of a like character and with like aims.**

III. Definitions

For the purposes of this policy, the Endowment shall include the total of all long-term financial assets of the University, including those held for the benefit of others. It also includes all other assets likely to be converted into financial assets that are intended for long-term support. Quasi-Endowments shall include investments in which the principal can be spent at the discretion of the university's Board of Trustees. Title to these quasi-endowment funds shall be held in trust by the University Board of Trustees and may include a portion of all registration fees, non resident tuition fees, academic fees for the support of off-campus instruction, laboratory and course fees when so assessed and collected, student health fees for the support of a student health service, all other fees, deposits, charges, receipts, and income from all or part of the students, all subsidy or other payments from state appropriations, and all other fees, deposits, charges, receipts, and income received by the University, the Ohio State University hospitals and their ancillary facilities, the Ohio agricultural research and development center, and the Ohio State University cooperative extension service.

IV. Management of Investments

The Investments Committee shall meet at least quarterly. The committee shall review and recommend revisions to this Policy and shall advise the Board of Trustees of the investments made under this policy in an effort to assist it in meeting its obligation as a fiduciary.

Except as provided herein, the Treasurer shall be authorized to implement and administer this Policy on behalf of the Board of Trustees and manage the Endowment Portfolio in accordance with this Policy.

The Investments Committee of the Board of Trustees may retain the services of an investment advisor(s) who meets both of the following qualifications:

- A. The advisor(s) is either:
 - 1. Licensed by the division of securities under section 1701.141 of the Ohio Revised Code.
 - 2. Registered with the Securities and Exchange Commission.
- B. The advisor(s) either:
 - 1. Has experience in the management of investments of public funds, especially in the investment of state-government investment portfolios.
 - 2. Is an eligible institution referenced in section 135.03 of the Revised Code.

V. Limitations

A. The Endowment Fund will have an asset allocation range and a target allocation as follows:

	<u>RANGE</u>	<u>TARGET ALLOCATION</u>
U.S. LARGE STOCKS	30% TO 50%	40%
U.S. SMALL CAP STOCKS	15% TO 35%	25%
INTERNATIONAL STOCKS	5% TO 10%	7%
ALTERNATIVE INVESTMENTS	5% TO 20%	13%
TOTAL FIXED INCOME	10% TO 25%	15%
CASH	0% TO 10%	0%
TOTAL ENDOWMENT		100%

- B. For purposes of these limitations, the amounts recommended to be maintained in short-term Investments by the investment advisors shall be considered as invested in their asset class.
- C. New money received by the Endowment may be placed by the Treasurer in Index funds in amounts to maintain the Investment Limitations aforementioned until such time as the Board of Trustees reallocates such funds.
- D. The Treasurer may specify terms and conditions that are more restrictive than those of this Policy but shall not permit a less restrictive policy without specific authorization from The Board of Trustees.
- E. The quality rating guidelines which are to be used shall be the ratings as of the date of the purchase of the security. If a rating change occurs which disqualifies a security that is already present in the portfolio, the security must be sold within ninety days from the discovery of the rating change.

VI. Gains/Losses

- A. It shall be permissible for the Treasurer to realize gains and losses in the portfolio if such an action would be consistent with the University's investment goals.
- B. In order to maintain the purchasing power of the endowment as well as maintain an orderly distribution of income under the Endowment Fund's spending policy, a

stabilization reserve account shall be maintained. The stabilization reserve account shall be used to account for any excess (deficit) of income earned versus monies distributed to the endowment funds.

C. Quasi endowment gains and losses shall be charged against current income.

VII. Income Distribution Policy

Income will be distributed to Endowment Funds at the beginning of each fiscal year according to a formula based upon a distribution of 5.0% of the average market value of the portfolio over the most recent three years. New monies placed in the Endowment Fund will receive an income distribution prorated by the month of the fiscal year received.

VIII. Advisors

The University may utilize the services of external investment advisors to assist in the management of the endowment. The responsibilities and types of investment services provided by investment advisors shall be specified in written agreements. The investment advisors appointed by the Board of Trustees will invest the assets in accordance with this policy, their written agreement and their judgements concerning relative investment values. The Board may appoint an advisor with specific authority to invest in assets not otherwise addressed in this policy. The investment advisors are accorded full discretion, within policy limits, to select individual securities, and diversify the assets. The number of advisors and the amount of funds under each advisors aegis shall be determined by the Board of Trustees.

Advisors performance will be measured against an appropriate benchmark. Passive managers are expected to equal the benchmark while active managers are expected to exceed the benchmark net of fees.

IX. Marketability

Securities purchased by the endowment shall be listed on the New York, American or other exchange or be unlisted and traded over-the-counter provided that all securities purchased shall be readily marketable and meet the quality guidelines of this Policy. Real estate purchases will be based on investment merit. Real Estate and Private Equities must undergo periodic appraisals by a qualified third party appraiser. Investments that have no ready market that are gifts to the University shall only be accepted if, as determined by the Treasurer, acceptance is in the best interest of the University.

X. Proxies

The Treasurer has the authority to vote proxies in accordance with the proxy policy approved by the Investments Committee of the Board of Trustees. The Treasurer shall make a written report of proxy votes to the Investments Committee at each regular meeting.

XI. Authorized Investments

The following categories of investments shall be authorized for the endowment:

A. Commercial Paper

1. Rated A-1 (Standard and Poor's) and P-1 (Moody's), not more than \$5,000,000 in any corporation or financial institution.
2. Commercial paper must have a term less than 270 days.

B. Banker's Acceptances

1. An amount not to exceed \$5,000,000 in any bank, or subsidiaries of that bank whose long-term debt is rated A or better.
2. Banker's acceptances must have a term less than 270 days.

C. Eurodollar CD and time deposits

1. An amount not to exceed \$5,000,000 in any bank, or subsidiaries of that bank with a long-term debt rating of BBB or better.
2. Eurodollars shall have a term less than one year.

D. Corporate Bonds and Notes

1. No bond or note shall be purchased in an amount greater than \$5,000,000 par value of any corporation.
2. Bonds and notes shall be rated BBB or better, **except for investment managers in the high yield category.**
3. Corporate bonds or notes shall have a term less than 40 years.
4. Foreign Bonds may be purchased provided they comply with items 1 thru 3 of this section and are denominated in U.S. dollars.

E. Common Stock and Preferred Stock

An amount not to exceed 3% of the outstanding shares of a company may be purchased. All stock shall be of investment grade. **This provision does not apply to venture capital or private equity investments.**

F. Mutual and Com-mingled Funds

Investment in any fund shall not exceed 10% of the total funds of the mutual or commingled fund. All mutual or com-mingled funds shall comply with the Authorized Investment provisions of this policy (see Section IX). Money market mutual funds shall not apply to this section (see Section **M**).

G. Municipal or State Bonds

1. An amount not to exceed \$5,000,000 in any one municipality or state (except that there shall be no limit on Ohio State University or Ohio State University-related bonds).
2. Bonds shall be rated BBB or better (except that there shall be no rating requirement on Ohio State University or Ohio State University-related bonds).
3. No bonds shall be purchased with a term greater than 40 years.

H. Certificates of Deposit

1. Collateralized at market value

Not to exceed \$5,000,000 in any Ohio bank or savings and loan whose long-term debt is rated A or better provided the amount not federally insured is

collateralized as provided in Section 135.18 of the Ohio Revised Code with securities eligible under Section 135.18 (B).

2. Certificate of deposit shall have a term not exceeding one year.

I. U.S. Treasury Bills, Notes and Bonds

No limitations on amount invested or term.

J. U.S. Government Agencies

No limitations on amount invested or term.

K. Repurchase Agreements

Not to exceed \$10,000,000 with any dealer or broker recognized by the Federal Reserve as a primary dealer or any bank whose long-term debt is rated A or better and only provided a written repurchase agreement is in place. The term of any agreement shall not exceed 15 days. Collateral must be U.S. government or agency securities at 102% or more of market value. Collateral must be segregated in an account bearing the University's name, except when there already exists a demand deposit account.

L. Reverse Repurchase Agreements

Not to exceed \$10,000,000 with any dealer or broker recognized by the federal reserve as a primary dealer or any bank whose long term debt is rated A or better or only provided a written agreement is in place. The term of any agreement shall not exceed 15 days.

M. Money Market Mutual Funds

1. Government collateralized

There shall be no limitations on the amount invested provided it is 100% collateralized at market value by U.S. government or agency securities.

2. Other collateral

There shall be no limitations on the amount invested provided it is 100% collateralized by marketable securities that comply with all other provisions set forth in this policy.

N. Guaranteed Investment Contracts (GIC)

1. An amount not to exceed \$3,000,000 can be placed with any insurance company with assets in excess of \$5 billion and a long-term debt rating of A or better.

2. GIC's must be 100% collateralized with U.S. treasuries, agencies or corporate bonds rated A or better.

3. No GIC shall be purchased with an expected life greater than 5 years.

O. Collateralized Mortgage Obligations (CMO)

1. An amount not to exceed \$5,000,000 may be invested in any one issuer.
2. CMO's must be rated AAA, **except for investment managers in the high yield category.**
3. No CMO shall be purchased with an expected life greater than 30 years.

P. Asset Backed Securities (ABS)

1. An amount not to exceed \$3,000,000 may be invested in any one issuer.
2. ABS's must be rated AAA, **except for investment managers in the high yield category.**
3. No ABS shall be purchased with an expected life greater than 5 years.

Q. Securities Lending

Equity and Fixed Income securities not to exceed 30% of the Endowment may be Loaned on condition the loan is secured. Collateral must be cash or U.S. Government Agency securities at 102% or more market value. Lending may be done with any dealer or broker recognized by the Federal Reserve of a primary dealer or any bank whose long term debt rating is A or better and only provided a written agreement is in place.

R. Alternative

Alternative investments may be made only by a professional investment advisor (See Article VI.) unless specifically authorized by the Board of Trustees.

S. Other Investments

Between meetings of the Board of Trustees, if deemed advisable, other investments not specifically authorized by this Policy may be made if approved by the Investments Committee of the Board of Trustees. Any such action shall be taken to the Board of Trustees for approval at its next meeting.

XII. Management of Investments

Except as provided herein, the Treasurer shall be authorized to implement and administer this Policy on behalf of the Board of Trustees and manage the endowment in accordance with this Policy.

XIII. Exceptions

Modifications and exceptions to this Policy shall be authorized only by resolution of the Board of Trustees or as provided herein. The terms and conditions of this Policy as to the endowment portfolio may be waived if specified by the terms of a gift and approved by the Board of Trustees.

XIV. Reporting and Accountability

The Treasurer shall be accountable to the Board of Trustees for implementing this Policy. The Treasurer shall make a written report to the Investments Committee at each regular meeting on the status of the Endowment Fund.

XV. Support Level Required to Establish an Endowment Fund

- A. A *Named Endowed Chair* will be established in the Endowment with support of \$1,500,000 or more and the distributed income will be used to provide supplemental compensation and academic support funds for chair holder.
- B. A *Named Endowed Professorship* will be established in the Endowment with support of \$750,000 or more and the distributed income will be used to provide supplemental compensation and academic support for faculty member.
- C. A *Named Endowed Distinguished Visitor* will be established in the Endowment with support of \$500,000 or more and the distributed income will be used to provide funds to bring a distinguished visitor to the University for a limited time, generally from one week to one year. This category of endowed faculty funds may be used for distinguished scholars, teachers, lecturers, research investigators, artists, writers, executives-in-residence, or others in association with activities that advance the academic, interdisciplinary, diversity, and other educational goals of the University, its colleges and programs.
- D. A *Named Endowed Faculty Award* will be established in the Endowment with Support of \$250,000 or more and the distributed income will be used to provide funds to recruit or retain outstanding faculty and provide them with academic support funds to enhance their work. Also designed to help meet the University's diversity goals.
- E. A *Named Dean's Leadership Fund* will be established in the Endowment with support of \$100,000 or more and the distributed income will be used to provide funds to allow deans to support existing or new faculty endeavors, promote promising initiatives across or among colleges, support lecturer series, and generally promote the goals of the college.
- F. A *Named Scholarship* will be established in the Endowment with support of \$100,000 or more and the distributed income will be used to provide general University scholarships for a diverse group of students according to financial need as determined by federal guidelines, or provides scholarship assistance for students based on performance (i.e., musicians, artists, dancers, athletes, etc.), and for minority students.
- G. A *Named Graduate Fellowship* will be established in the Endowment with support of \$250,000 or more and the distributed income will be used to provide funds for a diverse group of outstanding graduate and professional students including minority students based on criteria established by colleges and departments.
- H. A *University Scholar Merit Scholarship* will be established in the Endowment with support of \$25,000 or more and the distributed income will be used to provide \$1,000 to incoming students who graduated at the top of their high school class and who have high test scores.
- I. A *Medalist Scholar Merit Scholarship* will be established in the Endowment with support of \$75,000 or more and the distributed income will be used to provide in-state tuition awards for 30 incoming students who score among the best in and on campus competition.
- J. A *Distinguished Scholar Merit Scholarship* will be established in the Endowment with support of \$150,000 or more and the distributed income will be used to provide awards of in-state tuition and a stipend toward room and board for qualifying National Merit and National Achievement Scholars.

- K. A *Presidential Scholar Merit Scholarship* will be established in the Endowment with support of \$250,000 or more and the distributed income will be used to provide an in-state full-ride award for ten incoming students who score at the very top in and on-campus competition.

- L. A *Named Endowed Fund* will be established in the Endowment with support of \$25,000 or more and the distributed income will be used to provide an enduring memorial to the donor and bear the donor's name or an appropriate name of the donor's designation. The fund will be invested and the income used to provide support for a program designated by the donor and approved by the University.

NON-ENDOWMENT INVESTMENTS POLICY

I. Goals

It shall be the policy of The Ohio State University to manage the Non-Endowment portfolio for the use and benefit of the University in a diversified portfolio that will produce a return which when compared to the current marketplace, would be described as acceptable by conservative prudent investment managers.

II. Investment Philosophy

The Non-Endowment portfolio shall be managed with the intention of obtaining a reasonable yield, balanced with a component invested for long-term appreciation, while adhering to a prudent level of risk, and retaining sufficient liquidity to meet cash flow requirements. The portfolio shall be managed with the care, skill, prudence, and diligence under the circumstances then prevailing that a prudent person acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of a like character and with like aims.

III. Definitions

For the purposes of this Policy, the Non-Endowment portfolio shall include: all registration fees, non resident tuition fees, academic fees for the support of off-campus instruction, laboratory and course fees when so assessed and collected, student health fees for the support of a student health service, all other fees, deposits, charges, receipts, and income from all or part of the students, all subsidy or other payments from state appropriations, and all other fees, deposits, charges, receipts, and income received by the University, the Ohio State University hospitals and their ancillary facilities, the Ohio agricultural research and development center, and the Ohio State University cooperative extension service. Title to these funds shall be held in trust by the Board of Trustees.

IV. Management of Investments

The Investments Committee shall meet at least quarterly. The committee shall review and recommend revisions to this Policy and shall advise the Board of Trustees of the investments made under this policy in an effort to assist it in meeting its obligation as a fiduciary.

Except as provided herein, the Treasurer shall be authorized to implement and administer this Policy on behalf of the Board of Trustees and manage the Non-Endowment Portfolio in accordance with this Policy.

The Investments Committee of the Board of Trustees may retain the services of an investment advisor who meets both of the following qualifications:

- A. The advisor is either:
 - 1. Licensed by the division of securities under section 1701.141 of the Ohio Revised Code.
 - 2. Registered with the Securities and Exchange Commission.

- B. The advisor either:
 - 1. Has experience in the management of investments of public funds, especially in the investment of state-government investment portfolios.
 - 2. Is an eligible institution referenced in section 135.03 of the Revised Code.

V. Reporting and Accountability

The Treasurer shall be accountable to the Board of Trustees for implementing this Policy. The Treasurer shall make a quarterly written report to the Investments Committee and the Senior Vice President for Business and Finance on the status of the Non-Endowment Portfolio.

VI. Gains/Losses

It shall be permissible for the Treasurer to realize gains and losses if such an action would be consistent with the University's investment goals. Losses and gains realized on the Non-Endowment portfolio shall be charged against current income.

VII. Limitations

- A. The Portfolio shall maintain a reserve of only publicly traded securities averaging at least 25 percent of the average amount of the non-endowment investment portfolio over the course of the previous fiscal year invested in securities of the United States Government or of its agencies or instrumentalities, the treasurer of state's pooled investment program, obligations of this state or any political subdivision of this state, certificates of deposit of any national bank located in this state, written repurchase agreements with any eligible Ohio financial institution that is a member of the Federal Reserve System or Federal Home Loan Bank, money market funds or bankers acceptances maturing in 270 days or less which are eligible for purchase by the Federal Reserve System.
- B. Within the parameters otherwise allowed by Ohio law, the Treasurer may specify terms and conditions that are more restrictive than those of this Policy but shall not permit a less restrictive policy without specific authorization from the Board of Trustees.
- C. The quality rating guidelines, which are to be used, shall be the ratings as of the date of the purchase of the security. If a rating change occurs which disqualifies a security that is already present in the Non-Endowment Portfolio, the security must be sold within ninety days from the discovery of the rating change.

VIII. Marketability

All securities purchased shall be readily marketable and meet the quality guidelines of this Policy. Investments that have no ready market that are gifts to the University shall only be accepted if it is in the best interest of the University.

IX. Authorized Investments

The following categories of investments shall be authorized for the Non-Endowment portfolio as indicated.

- A. U.S. Treasury Bills, Notes and Bonds.
No limitations on amount invested or term.
- B. U.S. Government Agencies
No limitations on amount invested or term.

C. Repurchase Agreements

Not to exceed \$20,000,000 with any dealer or broker recognized by the Federal Reserve as a primary dealer or any bank with assets in excess of \$5 billion and a long-term debt rating of A or better and only provided a written repo agreement is in place.

The term of any agreement shall not exceed 30 days. Collateral must be U.S. government or agency securities at 102% or more of market value of any agreement. Collateral must be retained in an account bearing the University's name, except when there already exists a demand deposit account.

D. Reverse Repurchase Agreements

Not to exceed \$20,000,000 with a dealer or broker recognized by the Federal Reserve as a primary dealer or any bank whose long-term debt is Rated A or better and only provided a written agreement is in place. The term of any agreement shall not exceed 30 days.

E. Money Market Mutual Funds

1. Government Collateralized

There shall be no limitations on the amount invested provided it is 100% collateralized at market value by U.S. government or agency securities.

2. Other Collateral

There shall be no limitations on the amount invested provided it is 100% collateralized by marketable securities that comply with all other provisions set forth in this policy.

3. Star Ohio

There shall be no limitation on the amount invested in the treasurer of Ohio's pooled investment program.

F. Certificates of Deposit

Not to exceed \$10,000,000 in any national bank located in Ohio with assets in excess of \$5 billion and a long-term debt rating of A or better.

G. Mutual Funds, Index Funds and Separately Invested Accounts

Investment in any fund shall not exceed 10% of the total funds of the mutual or index fund. All mutual funds, index funds, and separately invested accounts shall be of investment grade, shall invest only in publicly traded securities, and shall comply with all other provisions set forth in this policy. Money market mutual funds shall not apply to this section. (See Section E).

H. Municipal or State Bonds

1. An amount not to exceed \$5,000,000 in any one municipality or state (except there shall be no limit on Ohio State University or Ohio State University-related bonds or obligations of the State of Ohio).

2. Bonds shall be rated A or better (except that there shall be no limitation on Ohio State University or Ohio State University-related bonds).

3. Bonds shall be purchased with a term less than 30 years.

I. Securities Lending

Fixed income securities not to exceed 20% of the Non-Endowment Portfolio may be loaned on condition the loan is secured. Collateral must be cash or U.S. Government or agency securities at 102% or more of market value. Lending may be done with any dealer or broker recognized by the Federal Reserve as a primary dealer or any bank whose long-term debt is Rated A or better and only provided a written agreement is in place.

J. Asset Backed Securities (ABS)

1. An amount not to exceed \$5,000,000 may be invested in any one issue unless issued directly by the Government agency where there would be no limit.
2. ABS's must be rated AAA.
3. The underlying assets must comply with all other provisions set forth in this policy.
4. ABS shall be purchased with a maturity less than 10 years and an average life less than 5 years. For maturity measurement purposes, the average life will be used.

K. Collateralized Mortgage Obligations (CMO)

1. An amount not to exceed \$5,000,000 may be invested in any one issuer except that CMO's issued directly by U.S. Government Agencies will have no limitations.
2. CMO's must be rated AAA.
3. CMO's shall be purchased with a term less than 30 years.
4. For maturity measurement purposes, the average life will be used.

L. Commercial Paper

1. Rated A-1 by Standard & Poor's and P-1 by Moody's.
2. An amount not to exceed \$5,000,000 in any corporation.
3. Commercial paper may be purchased with a term less than 270 days.

M. Banker's Acceptances

1. An amount not to exceed \$5,000,000 in any bank, or subsidiaries of that bank whose long-term debt is rated A or better.
2. Banker's acceptances shall be purchased with a term less than 270 days.

N. Corporate Bonds and Notes

1. An amount not to exceed \$5,000,000 in any corporation.
2. Bonds and Notes shall be rated BBB or better
3. Corporate bonds and notes shall have a term less than **five years**.

4. Foreign bonds may be purchased provided they comply with items 1 through 3 of this section and are denominated in U.S. dollars.

O. Other Investments

Between meetings of the Board of Trustees, if deemed advisable, other investments not specifically authorized by this Policy may be made if approved by the Investments Committee. Any such actions shall be taken to the Board of Trustees for approval at its next meeting.

July 11, 2003

AUTHORIZATION FOR CAPITAL PROJECTS

Project	Approved Amount	Funding Source	Requested Action
Byrd Polar Research Center - Cold Room Upgrades	\$0.204M	State: \$0.204M	Enter into design contracts
Graduate and Professional Student Housing	\$32.3M	Dept: \$32.3M	Enter into construction contracts
Graduate and Professional Student Housing - Retail Space	\$2.5M	Dept: \$2.5M	Enter into construction contracts
Laboratory Animal Facility Expansion	\$0.883M	Dept: \$0.883M	Enter into construction contracts
Marion Campus-Student Services Building/Parking Lot Renovation	\$6.108M	State: \$1.568M Dept: \$4.540M	Enter into construction contracts
Meiling Hall Lobby Expansion	\$0.91M	Dept: \$0.91M	Enter into construction contracts
Total by Source:	\$42.905M	State: \$ 1.772M Dept: \$41.133M	
Total		\$42.905M	



Byrd Polar Research Center - Cold Room Upgrades

315-2003-944

Requesting Agency(s): RESEARCH, OFFICE OF

Location(s): SCOTT HALL, WILLIAM H

Gross Sq. Ft. 58,109 Age: 1972

Description: This project involves the renovation of the three cold rooms along with the roof over the corridor of Scott Hall; replaces worn compressors, doors and seals that service the cold room, and provide a 5-year maintenance agreement for servicing the new compressors.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Ruth Miller
(miller.2495@osu.edu)

Project Assistant: Is Unassigned

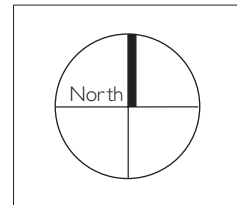
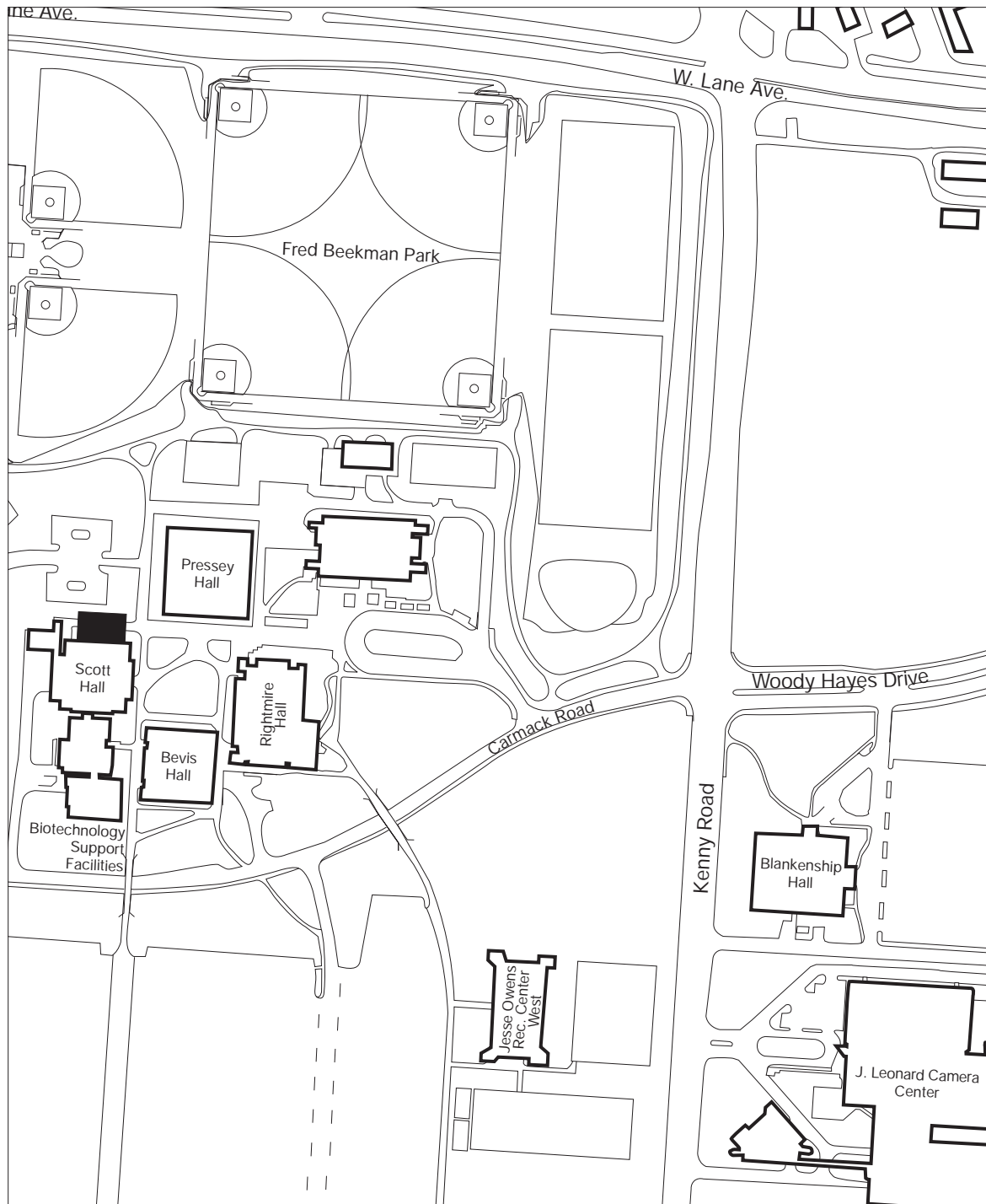
Field Coordinator: Is Unassigned

Project Information:

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic	\$203,742.00	\$203,742.00	Design	\$16,612.00		\$0.00
Renovation			Contingency	\$18,522.00		\$0.00
Total:	\$203,742.00	\$203,742.00	Construction	\$166,116.00		\$0.00
			Local Administration	\$2,492.00		\$0.00
			Total:	\$203,742.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	07/11/2003		
Bidding Approved B/T	03/05/2004		
Design			
Schematic Design Approval	12/30/2003		
Design Dev Document Approval	12/30/2003		
Construction Document Approval	02/28/2004		
Construction			
Construction Start	07/30/2004	04/30/2004	
Completion	07/30/2004		

Byrd Polar Research Center - Cold Room Upgrades



Office of Business and Finance
Office of Facilities Planning and Development

June 12, 2003



Requesting Agency(s): STUDENT AFFAIRS, OFFICE OF

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: This is the first phase of what is expected to be a multiple phased construction project. The project is all new construction of graduate and professional student apartments to be located in the South Campus area. The buildings will include a mix of one, two and three bedroom apartments. A limited amount of off street parking will be included.

Project Team:

Facility Planner: Cheryl Christie
(christie.2@osu.edu)

Project Captain: Marjory Spangler
(spangler.40@osu.edu)

Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)

Field Coordinator: Marjory Spangler
(spangler.40@osu.edu)

Project Information:

Site - Neil between 9th and 10th Avenue and along 10th Avenue between Neil and Worthington.

Board of Trustees approved construction bidding originally in April, 1999. Authorization to bid at the higher, revised project budget received February, 2001.

Project scope has evolved from housing for graduate and professional students, to include housing for undergraduates and undergraduate scholar students as well as a limited amount of retail space. Retail space is budgeted under a separate project. The total project budget was revised and approved in April, 2002 to \$29,172,099.95 to support the increased project scope, based upon the pro-forma figures developed by the using agency.

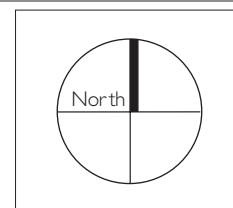
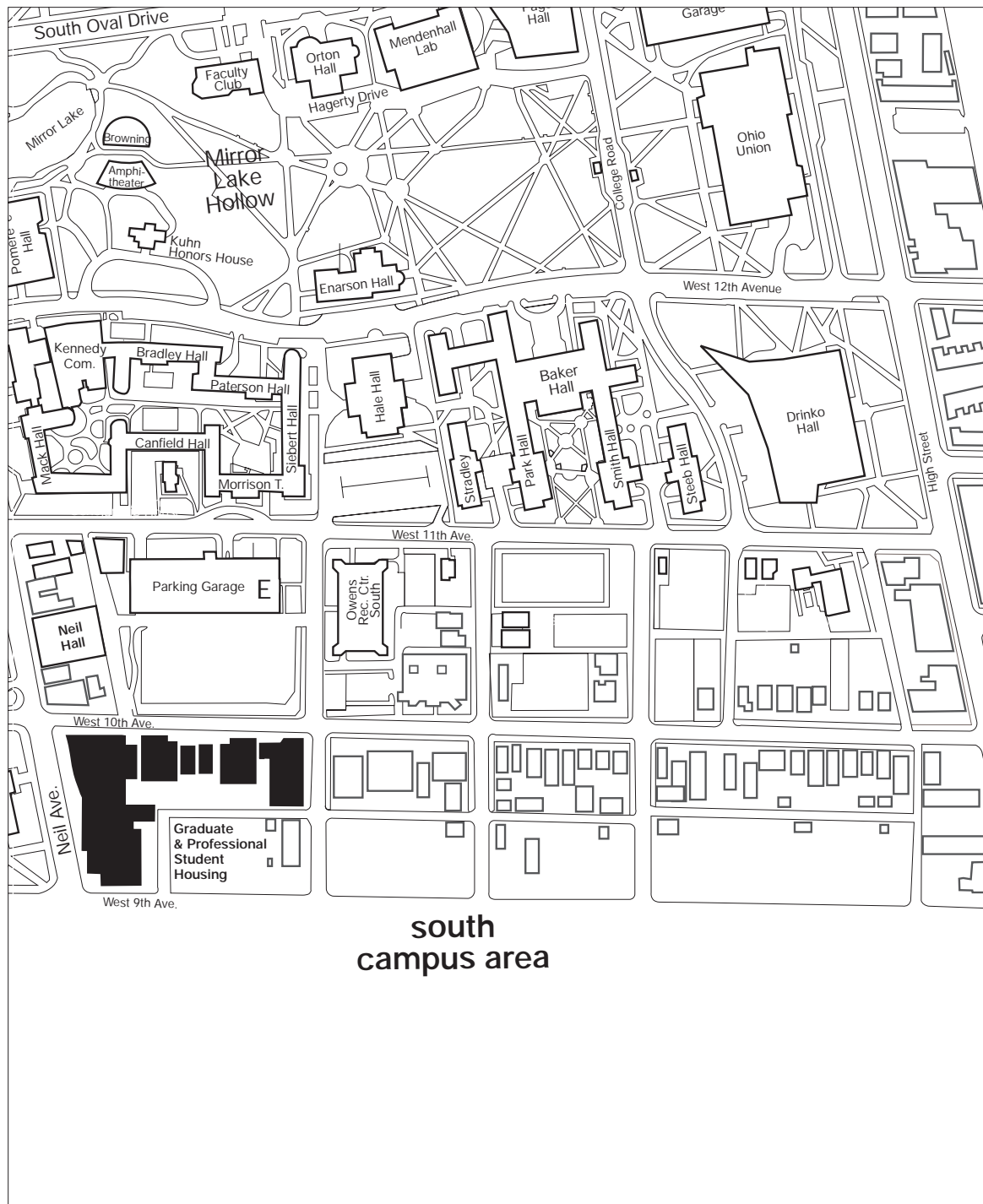
Budget increase requested 7/11/03 (\$3,128,390) reflects an increase in costs to accelerate the schedule so project can be completed by Fall of 2003.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Future Univ. Bond	\$17,421,177.00	\$32,194,343.03	Design	\$1,660,671.35	\$1,660,671.35	\$0.00
Proceeds			Contingency	\$1,215,650.00	\$1,295,265.00	\$0.00
Leaseback	\$0.00	\$0.00	Construction	\$24,313,000.00	\$25,905,300.00	\$0.00
Auxiliaries-UNITS	\$98,558.00	\$106,146.97	Local Administration	\$291,756.00	\$310,863.60	\$0.00
Total:	\$17,519,735.00	\$32,300,490.00	Total:	\$27,481,077.35	\$29,172,099.95	\$0.00



Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$17,421,177 Project)	04/02/1999		04/06/1999
Bidding Approved B/T (\$17,421,177 Project)			04/06/1999
Program Approved by the University	01/03/2000	04/13/2000	04/19/2000
Bidding Approved B/T (\$27,222,480 Project)	04/02/1999		02/02/2001
Design			
Schematic Design Approval	04/03/2000	08/29/2000	08/29/2000
Design Dev Document Approval	08/04/2000	04/16/2001	04/16/2001
Construction Document Approval	11/03/2000	10/15/2001	11/26/2001
Bidding			
Bid Opening	01/05/2001	12/21/2001	01/08/2002
Construction			
Award of Contracts	02/25/2001	02/13/2002	02/20/2002
Construction Start	03/02/2001	02/14/2002	02/20/2002
Report of Award to B/T (\$29,172,100 Project)	04/01/2001	04/05/2002	04/05/2002
Completion	12/14/2001	07/18/2003	

Graduate and Professional Student Housing



Office of Business and Finance
Office of Facilities Planning and Development

March 13, 2002



Graduate and Professional Student Housing - Retail Space

315-1996-976-2

Requesting Agency(s): STUDENT AFFAIRS, OFFICE OF

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: Buildout of first floor retail space. Facility will include coffee bar, hot food servery, cold deli, and grab and go selections. Space is provided for patrons to eat in or carry out.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Marjory Spangler
(spangler.40@osu.edu)

Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)

Field Coordinator: Is Unassigned

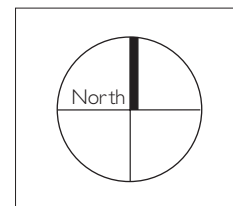
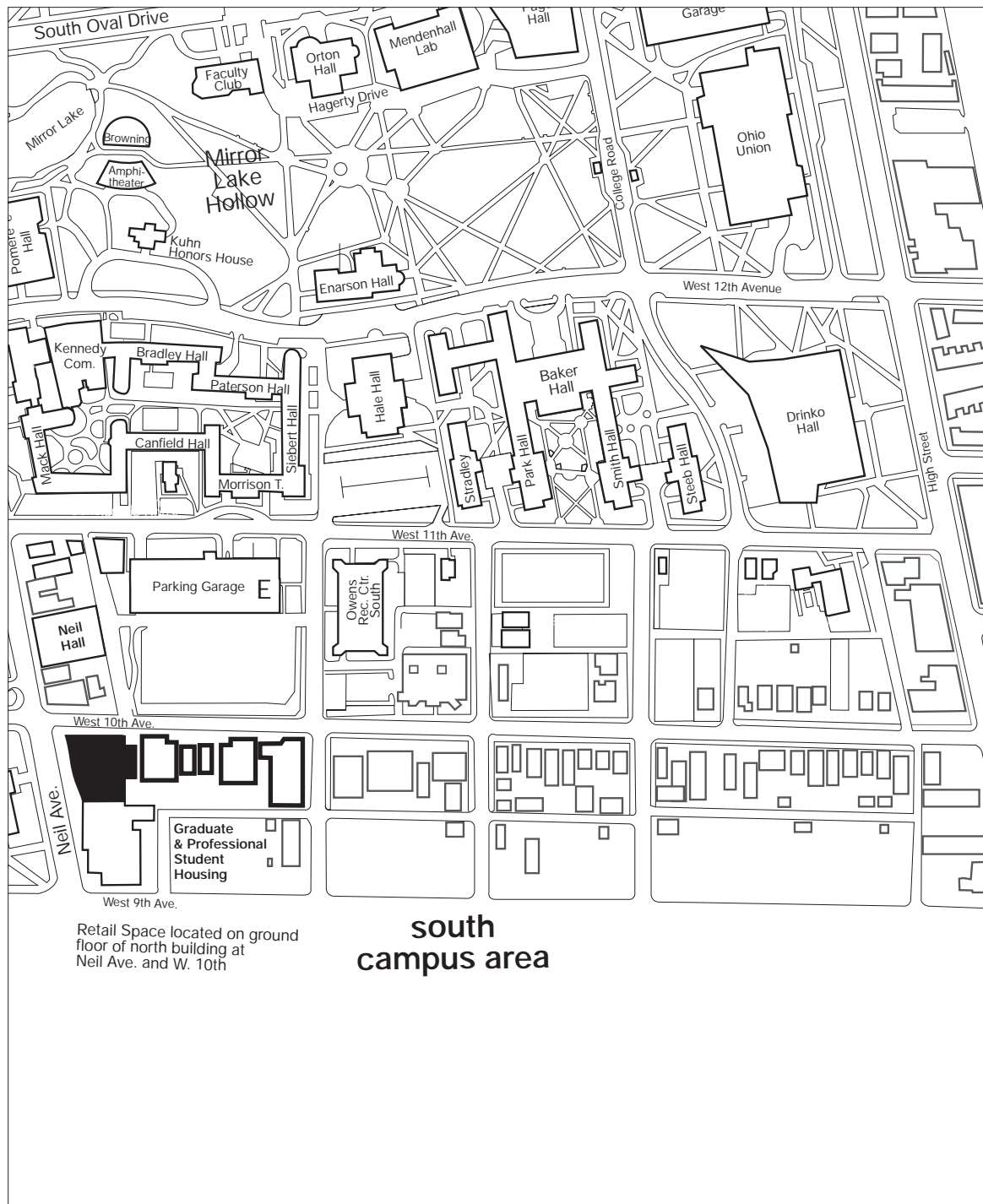
Project Information:

Site - Neil between 9th and 10th Avenue and along 10th Avenue between Neil and Worthington

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Future Univ. Bond	\$2,500,000.00	\$2,500,000.00	Design	\$191,500.00		\$0.00
Proceeds			Equipment	\$105,000.00		\$0.00
Total:	\$2,500,000.00	\$2,500,000.00	Contingency	\$154,878.00		\$0.00
			Construction	\$2,024,330.00		\$0.00
			Local Administration	\$24,292.00		\$0.00
			Total:	\$2,500,000.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	05/02/2003		05/02/2003
Bidding Approved B/T	06/06/2003	07/11/2003	
Bidding			
Bid Opening	07/08/2003		
Construction			
Award of Contracts	08/04/2003		
Construction Start	08/04/2003	08/28/2003	
Completion	12/19/2003	12/30/2003	

Graduate and Professional Student Housing - Retail Space



Office of Business and Finance
Office of Facilities Planning and Development

June 12, 2003



Marion Campus-Student Services Building/Parking Lot Relocation

315-2001-930

Requesting Agency(s): MARION CAMPUS

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: Construct a two story, concrete and masonry, administrative structure of approximately 17,500 square feet that will house the expanded student services of the Marion Campus. These will include admissions, advising, financial aid, registrar services, fee payment, career services, disability services, Master of Social Work Program and Nursing Program. Provide 220 parking spaces in an asphalt paved lot with safety lighting.

Project Team:

Facility Planner: Anne Pensyl
(pensyl.3@osu.edu)

Project Captain: Marty Bricker

Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)

Field Coordinator: Is Unassigned

Project Information:

Selected site for the new center is on the current parking lot between Alber Student Center and Morrill Hall.

Board of Trustees approved \$3,730,169 on December, 2001 with an increase to \$5,552,056 in February, 2003 for the Student Center project to enter into design contracts.

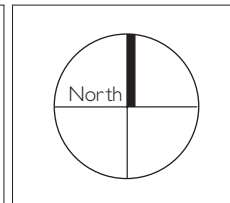
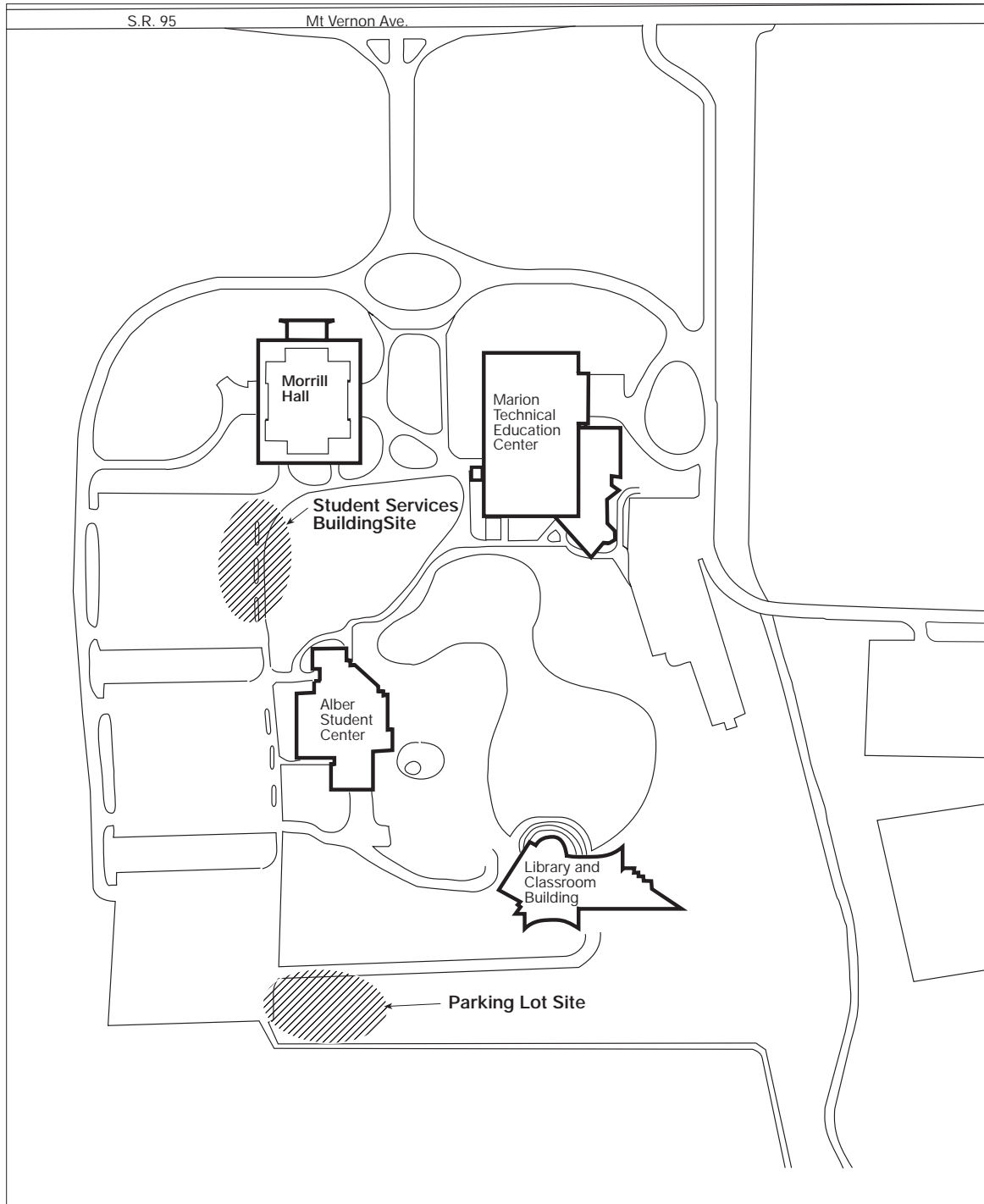
The project budget increase reflected the scope change to approximately 30,000 square feet due to increased enrollment on the Marion Campus and the inclusion of the Alber Center (currently housed in lease space) and security services not currently on the Marion Campus.

In May, 2003, the Board of Trustees approved \$359,310 for the relocation of the related parking lot project . These two project budgets have now been consolidated into this project, for a consolidated approval to date of \$5,911,366.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Marion	\$1,266,119.00	\$3,440,249.50	Design	\$417,500.00		\$0.00
Treasurer's Debt Service	\$1,100,000.00	\$1,100,000.00	Equipment	\$472,556.00		\$0.00
Pool Loan			Contingency	\$381,200.00		\$0.00
HB640 Line Item	\$1,364,050.00	\$1,364,050.00	Construction	\$4,765,000.00		\$0.00
Appropriation			Local Administration	\$71,500.00		\$0.00
HB640 Marion Basic	\$87,185.50	\$87,185.50	Total:	\$6,107,756.00		\$0.00
Renovations						
HB675 Regional Basic	\$116,271.00	\$116,271.00				
Renovation						
Total:	\$3,933,625.50	\$6,107,756.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$3,730,169 Project)	12/06/2001		12/06/2001
Bidding Approved B/T (\$3,730,169 Project)	12/06/2001	03/30/2003	12/06/2001
Arch/Engr Approved by B/T (\$5,552,056 Project)	02/07/2003		02/07/2003
Arch/Engr Approved by B/T (\$359,310 pkg lot project)			05/02/2003
Bidding Approved B/T	06/06/2003	07/11/2003	
Design			
Schematic Design Approval	04/01/2002	07/30/2002	09/19/2002
Arch/Engr Contract	01/30/2002	11/25/2002	11/26/2002
Design Dev Document Approval	10/01/2002	11/25/2002	12/26/2002

Marion Campus - Student Services Building / Parking Lot Renovation



Office of Business and Finance
Office of the University Architect and Physical Planning

June 12, 2003



Requesting Agency(s): HEALTH SCIENCES ADMINISTRATION

Location(s): MEILING HALL, RICHARD LEWIS

Gross Sq. Ft. 76,489 Age: 1970

Description: Renovate lobby area on the first floor of Meiling Hall to create additional offices.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Christine Lawson
(lawson.132@osu.edu)

Project Assistant: Leeanne Chandler
(chandler.63@osu.edu)

Field Coordinator: Is Unassigned

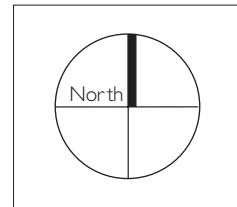
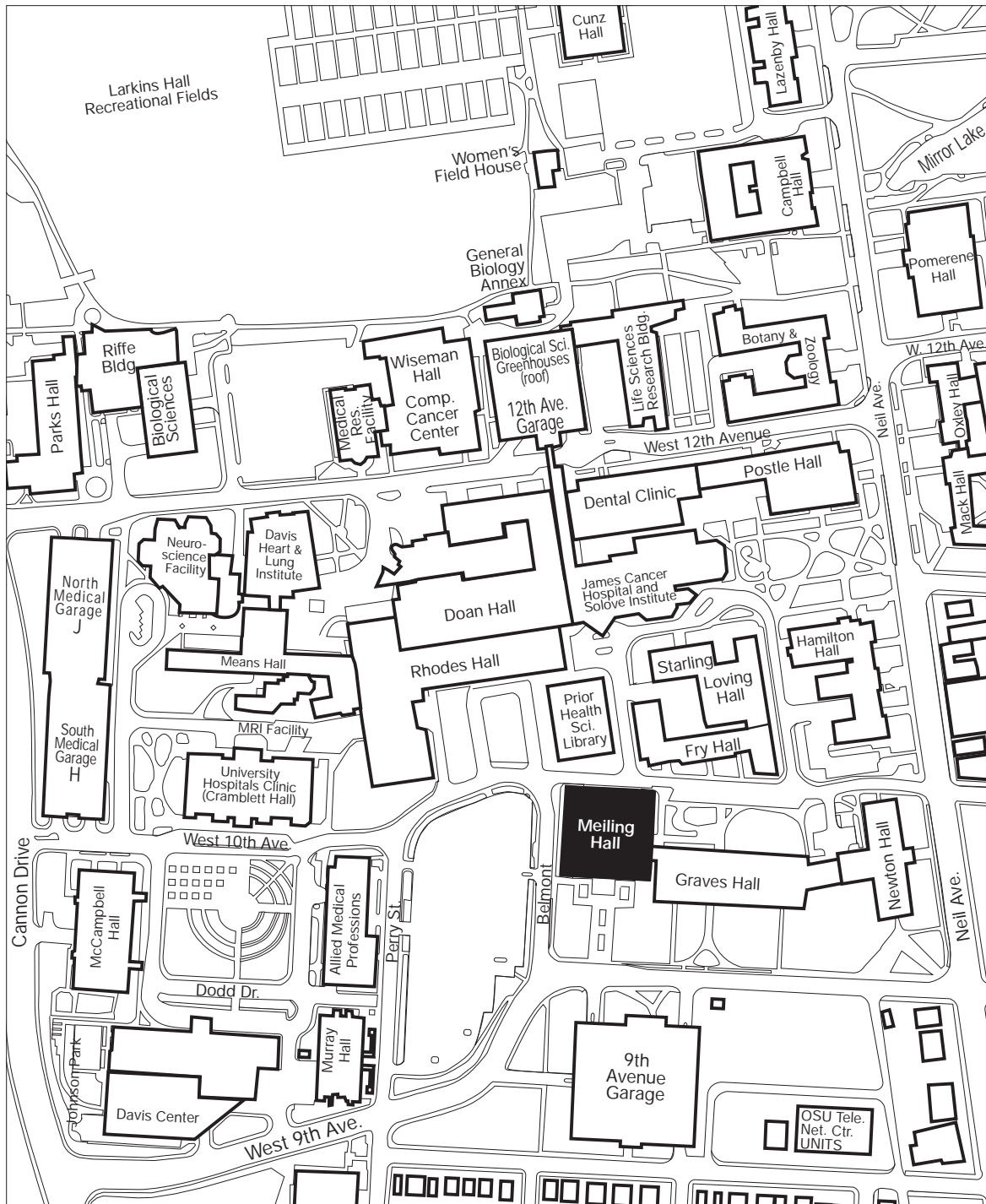
Project Information:

Board of Trustees approved the project for \$750,000 in construction June, 2002. Requested increase due to required fire sprinklers per Building Code throughout the renovation areas as well as additional work in the basement and the second floor.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Medicine	\$750,000.00	\$910,125.00	Design	\$101,750.00		\$0.00
			Contingency	\$72,500.00		\$0.00
Total:	\$750,000.00	\$910,125.00	Construction	\$725,000.00		\$0.00
			Local Administration	\$10,875.00		\$0.00
			Total:	\$910,125.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
6540 Received by OFP			05/17/2002
Request for Estimate Received - OA			05/17/2002
Arch/Engr Approved by B/T (\$750,000 Project)	06/07/2002		06/07/2002
Bidding Approved B/T (\$750,000 Project)	06/07/2002		06/07/2002

Meiling Hall - Lobby Expansion



Office of Business and Finance
Office of Facilities Planning and Development

May 15, 2002



Requesting Agency(s): RESEARCH, OFFICE OF

Location(s): LABORATORY ANIMAL CENTER BUILDING

Gross Sq. Ft. 23,823 Age: 1971

1

Description: Building #1 at the Lab Animal Facility, Godown Road was built in 1972. The building is not adequate to house animals requiring closely monitored HVAC and light requirements. The ULAR is proposing that three modular buildings be used to house animals of the LAC. Electric, water, and sewage hookups, a concrete pad, outside lighting, security hookups and a covered pathway to Building.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Steve Middleton
(middleton.52@osu.edu)

Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Stephen Angelo
(angelo.6@osu.edu)

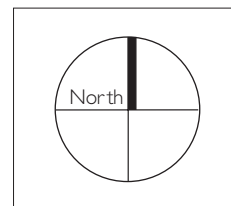
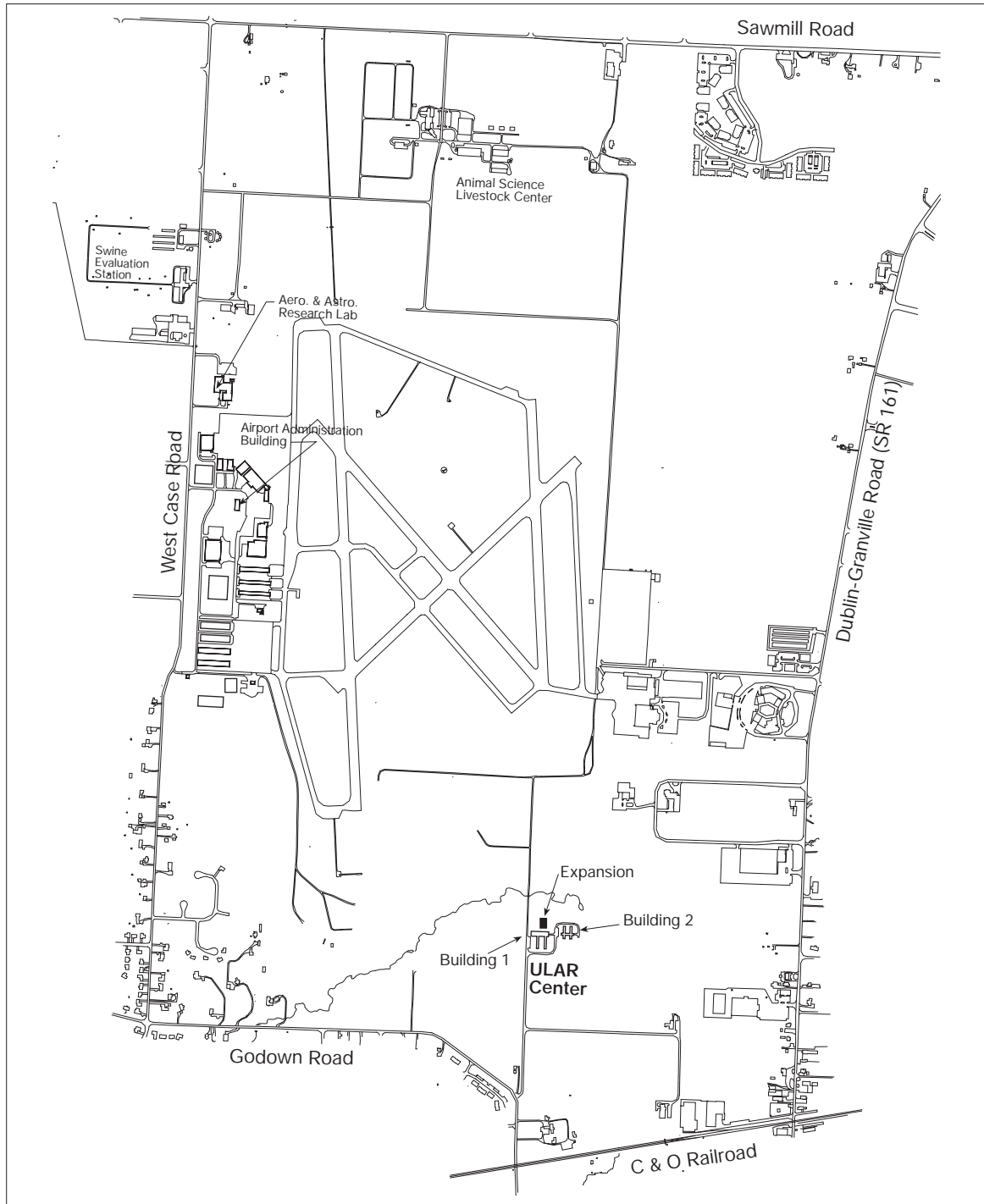
Project Information:

This project was approved by the Board of Trustees in March 2002 for \$500,000. Project budget has increased to \$883,000 due to Heating, Ventilating and Air Conditioning retrofit to include design and new mechanical system upgrade and accelerating the construction completion date.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Social & Behav Sci	\$95,750.00	\$95,750.00	Total:			
General Funds-Research	\$500,000.00	\$595,750.00				
General Funds-Academic Affairs	\$95,750.00	\$95,750.00				
General Funds-Business & Admin	\$95,750.00	\$95,750.00				
Treasurer's Debt Service	\$0.00	\$0.00				
Pool Loan						
Total:	\$787,250.00	\$883,000.00				

Schedule:	Projected	Revised	Actual
Planning			
6540 Received by OFP			08/22/2001
6540 Received by Phys Fac			09/05/2001
Request for Estimate Received - OA			09/07/2001
Request for Estimate Returned			09/12/2001
Bidding Approved B/T (\$500,000 Project)	03/01/2002		03/01/2002
Design			
Construction Document Approval	03/18/2002		03/18/2002
Bidding			
Bid Opening	03/01/2003		04/11/2003
Construction			
Construction Start	03/29/2002		03/29/2002
Completion	04/29/2002	05/22/2002	07/22/2002
Award of Contracts	06/01/2003	06/11/2003	06/11/2003
Construction Start (Phase 2)	09/14/2003		06/16/2003
Completion (Phase 2)	09/09/2003	09/14/2003	

Laboratory Animal Facility Expansion



Office of Business and Finance
Office of Facilities Planning and Development

June 12, 2003

PURCHASE OF REAL PROPERTY

237 EAST SEVENTEENTH AVENUE, COLUMBUS, OHIO

Background

Location and Description

The property to be purchased is located at 237 East Seventeenth Avenue in Columbus, Ohio. It is outside the University's acquisition area. The property, owned by David W. Pettit, consists of a two and one-half story frame house formerly used as a fraternity house, situated on a city lot of 0.22 acre.

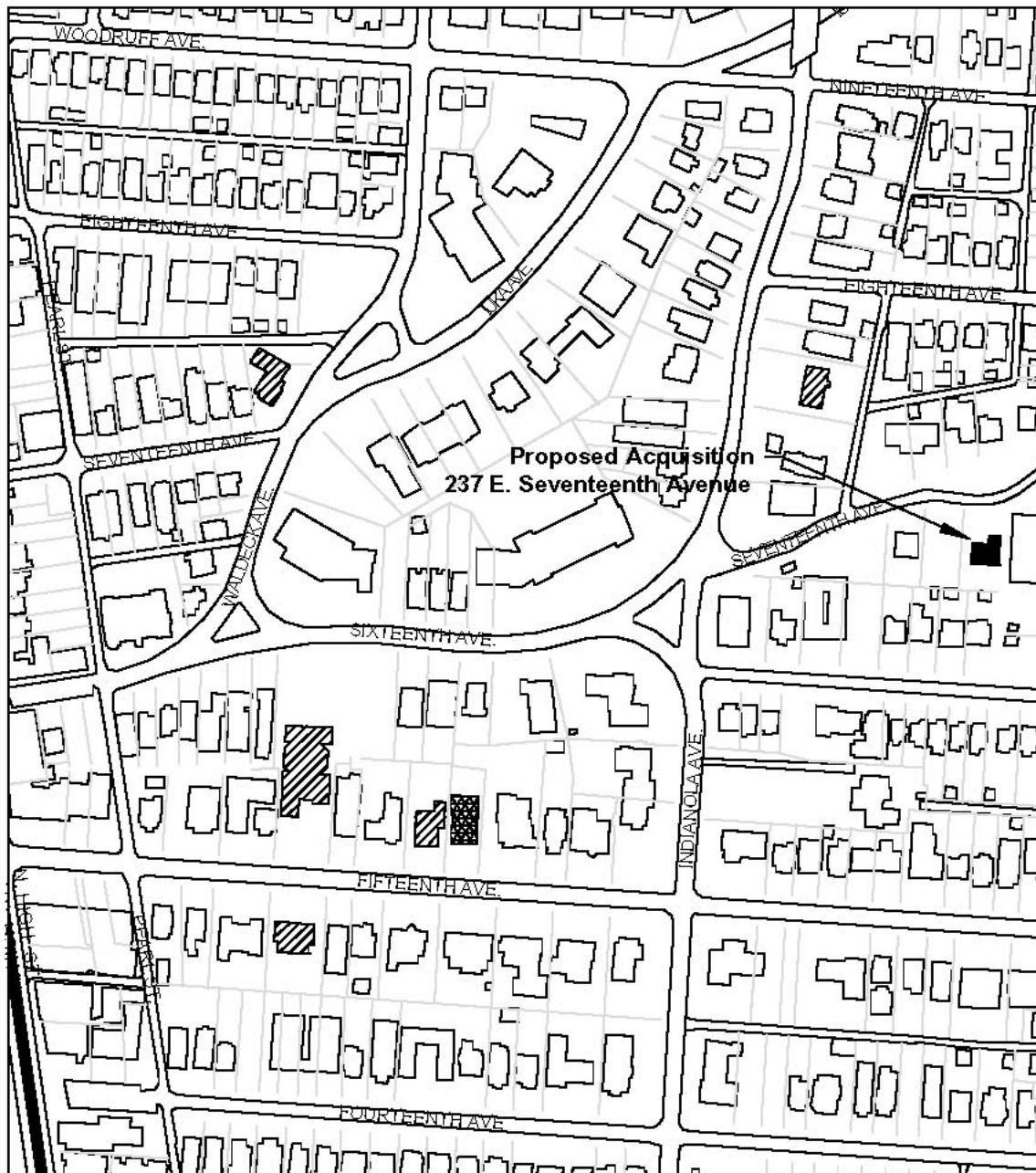
Appraisals and Consideration

Two MAI appraisals conducted in March 2003 by Reith Real Estate Services and R.F. Berger and Associates valued the property at \$385,000 and \$300,000, respectively. The purchase price is \$360,000.00. All acquisition and operating expenses will be funded by the Office of Student Affairs.

Use of the Property

Title to the property will be taken in the name of the State of Ohio for the use of The Ohio State University. Although the property is outside the University's acquisition area, the acquisition of this property is consistent with Student Affairs' goal to revitalize and preserve properties for use by the Greek community. Preservation of the footprint of the Greek district is consistent with the goals established in the Greek Life Task Force Report and with the planning principles for the area east of High established by Campus Partners. The acquisition of this property is necessary for the preservation of the traditional Greek community east of High Street. Student Affairs will use this property to house an approved fraternity or sorority or for compatible student housing.

Proposed Purchase of Real Estate 237 East Seventeenth Avenue







No True Scale



Map Provided by University Engineer's Office

Office of Business and Finance

Board of Trustees Meeting - July 11, 2003

-  OSU Owned
-  OSU Leased Property
-  Subject Property
-  Acquisition Boundary

PURCHASE OF IMPROVED REAL PROPERTY

960 KINNEAR ROAD
CLINTON TOWNSHIP, OHIO

Background

Location and Description

The property to be purchased is owned by G.O.A.T. Real Estate, LLC, whose partners are James V. Hanson, Gene P. Yosick, and Michael J. Stasko. The property consists of a building containing approximately 42,400 square feet of high-bay warehouse and office space situated on about 2.43 acres located on the north side of Kinnear Road just east of Kenny Road. The building, approximately 50 years old, is in good condition. There is a cell tower on the property that is under long-term lease.

This property is within the West Campus District Plan acquisition area and is surrounded by property owned by the University.

Appraisals and Purchase Price

MAI appraisals completed in April 2003 by Ohio Real Estate Consultants and Integra Realty Resources, Inc., valued the property at \$1,950,000 and \$1,850,000, respectively. The seller has agreed to sell this property to the University for \$1,975,000. Acquisition costs are estimated to be an additional \$70,000.

Use of the Property

Title will be taken in the name of the State of Ohio for the use of The Ohio State University. The property will be assigned to Student Affairs, which will use the property for storage, ultimately consolidating rented space now at two separate locations. Student Affairs will be responsible for all acquisition and operating costs and for current storage costs until the space consolidation can occur. The property is in within Clinton Township. Upon acquisition, the University will seek annexation to Columbus and zoning within the University's zoning classification.

Proposed Purchase of Improved Real Estate

960 Kinnear Road



No True Scale



Map Provided by University Engineer's Office

Office of Business and Finance
Board of Trustees Meeting - July 11, 2003

- Proposed Purchase of Property
- Property Owned or Controlled by OSU
- Acquisition Zone Line

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND NINETY-SIXTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, September 5, 2003

The Board of Trustees met at its regular monthly meeting on Friday, September 5, 2003, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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September 5, 2003 meeting, Board of Trustees

The Chairman, Mr. Sofia, called the meeting of the Board of Trustees to order on September 5, 2003, at 10:15 a.m. He requested the Secretary to call the roll.

Present: Zuheir Sofia, Chairman, Tami Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Douglas G. Borrer, Walden W. O'Dell, and Paula A. Habib.

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CHAIRMAN'S REPORT

Mr. Sofia:

I would like to welcome everyone back from what I hope was some time away from this monsoon season here in Central Ohio. Since we did not meet in August we have a very full agenda today, so I'll try to keep my remarks brief. In a few minutes we will hear a wonderful report from President Holbrook.

First, I want to begin with a recognition of one of our Board members. The Longaberger Company has a great tradition of compassion and giving, and it gives me great pleasure to acknowledge the ongoing generosity of Vice Chair Tami Longaberger.

Tami represents the highest Ohio State tradition of caring, self-worth, compassion, friendship, diversity, community involvement, and academic excellence. She is someone special and someone I am proud to have as my friend.

As I am sure many of you know, the Longaberger family has been exceptionally generous to this University. Their gifts have been well-thought-through, focusing attention on academic and research excellence, and inspiring others to follow their wonderful example.

Their gifts have reached across the campus from The Dave Longaberger Endowed Chair in Urology, to the Ohio Union Campaign, to diversity programs for student leaders, and to this magnificent building in which we have our meetings. The Longaberger family has enriched the University in a very purposeful and telling fashion.

Most recently, the thoughtfulness and generosity of the Longabergers has played out in an especially rewarding fashion for the University. Some of you may not know that The Longaberger Company's Ohio's Horizon of Hope Campaign supports major research projects of the American Cancer Society. This past August, Professor Barbara Andersen, from the Department of Psychology, received a highly competitive Research Scholar Grant of \$1.8 million in support of her study of "Biobehavioral Aspects of Recurrence." And, of course, this came from Tami's company.

I wish to extend my congratulations to Professor Andersen for her success in garnering this research award. On behalf of the Board of Trustees, I would like to express, once again, our deep appreciation to Tami and The Longaberger Company for such ongoing commitment, support, and generosity. You and your company have made significant contributions in making Ohio State a great university. After all, "Gratitude is the memory of the heart." Thank you, Tami.

September is often seen as an ending of summer and the vacations that are a part of that season, but for those of us fortunate enough to be connected to universities, autumn is a time of renewals and beginnings. In the academic world we are about to start a new year here at Ohio State, and I know that our

CHAIRMAN'S REPORT (contd)

Mr. Sofia: (contd)

faculty and students are looking forward to starting this new year in several weeks.

The summer has not been without its difficulties in our community, but I want to emphasize something I said here before: our students are our primary customers. They are at the center of our mission here at Ohio State. I want to begin the year by reassuring our students and their parents that this Board is firmly committed to keeping the University's focus on our students.

We will do all that we can to support the President, her staff, and the community at large to provide a safe, healthy, and fun environment for our students so they can go about the task of pursuing their education.

I know many of you have some concerns about the rise in tuition at the University. Decisions to raise tuition have certainly not been taken lightly by our Board, but I want to note that the University has worked diligently to address this critical matter through an increase in financial aid. As you will hear in a report later this morning, the University has managed a 17.3 percent average annual increase in financial aid since FY 2000. We have gone from a financial aid budget of \$25 million in 2000 to \$46 million in 2004. This represents a substantial increase in a critical area for the University; indeed, one of our great challenges is to sustain this trend in the future.

We have many other challenges as well as opportunities this year. My fellow Trustees and I look forward to renewals and beginnings with great anticipation as we enter the 2003-2004 academic year.

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PRESIDENT'S REPORT

President Karen A. Holbrook:

I'm going to do something today that I said I wouldn't do, but I'm going to do it anyway. Usually, I focus on one issue and I am going to focus on one primary issue, but there are a number of pieces that I want to pull together for this meeting at this time for a number of reasons.

The first thing I want to do, and it gives me great pleasure to do this, is announce a new arrival to our administrative team. This is our new vice president for University Relations. I think everyone knows, particularly this University, this year, how valuable University Relations is to getting the word out about all of the good things that happen and to communicating all of the things that happen, period. It is absolutely an essential part of this institution.

We have a wonderful team that's been working very effectively since Lee Tashjian left last fall, first under the leadership of Barbara Snyder and then under the leadership of Elizabeth Conlisk. But today, I have the real privilege of introducing to you Dr. William Murphy who comes to us from the University of Illinois. He will join us Monday, and is busy settling into his new home. He spent the last 20 years in university relations leadership at the University of Illinois and at the University of Chicago, two great institutions where he is well known for all of the good things that he has done.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

He, as I said, is Dr. Murphy – he has a Ph.D. degree in modern Irish history. I'm not sure how that prepared him for this job, but I think if you look at any of our jobs, we're not sure what we did that prepared us to do what we do. But I do know he had a wonderful vacation this summer in Ireland, so there's a connection there somewhere, and, of course, with his name as well.

He is very well qualified and was recruited through a national search as the top candidate. Bill is here with us today and I'd like to have him stand and I am so pleased to introduce him to you. Please welcome Bill Murphy. Bill, if you want to say anything --

Dr. William M. Murphy:

I'd just like to say that I'm very glad to be here.

President Holbrook: [PowerPoint Presentation]

Good. We're very glad to have you. Thank you.

I also want to take this time to thank Elizabeth Conlisk. Elizabeth fit in beautifully when we shuffled Barbara from one job to the next -- as she's now the interim provost. And we knew we could do that because we had such strength in the office. Elizabeth has just done a wonderful job for us in the last six weeks covering a very difficult time for Ohio State, but doing it with great style, great grace, and a lot of intelligence, common sense, and good connections. So, Elizabeth, thank you so much for what you've done. The good news about this is that Elizabeth is not leaving. We still have Elizabeth's talents with us in this period of time where she's gained new experiences and is going to strongly support all that we do. I know she'll be a great asset to Dr. Murphy. So thank you, too, for what you've done for us.

The remarks that I decided I would focus on this time are related to our federal agenda. Since the arrival of Ellyn Perrone from Texas A&M last year, we spent a lot of time with our state agenda, which she walked right into the middle of. But part of what we need to do is also be very successful at the federal level. I wanted to take a little time this morning to talk about government relations and particularly as it relates to our federal agenda.

So I've put together the information I have in a series of slides. What it tells you is that when I came last fall, we were in the middle of a search for a government relations vice president, and the job description wasn't as broad as I felt was necessary for a campus of this size, this dimension, and this importance nationally and internationally. So we reconfigured the responsibilities of the position so that it covered all of the things that we need to do in government relations.

I think you can see from this slide what the responsibilities are of that office. They're very important responsibilities on campus. We have many very talented and committed government affairs people, but we wanted them together working as a team. And Ellyn has brought them together as a team to benefit not just their individual units, where they are primarily located, but the University overall.

We needed help in the city. We have many interactions that are ongoing with City Council – with the governor, too, but that's a state issue – and the mayor. Many of the things that go on on this campus are obviously embedded in what happens in Columbus. So that's an important area to cover.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

The state areas are very important to cover, as are federal relations -- all of the things that we do with the Executive branch, with our own delegation, with the agencies, and with our national organizations. Now, we have a very small team doing this. It's bigger if you count campus-wide, but centrally our team is rather small and Ellyn is leading that right now.

I want to focus my remarks next on our federal agenda because this has not been an area of focus that we have talked about to this point. I'm going to talk about three specific areas and why we are focusing on those areas. They all relate to the success of what we do at Ohio State. And all of them have to do, again, with our resources and how we enhance our resources, particularly in these times of tight budgets where enhancement from any mechanism is absolutely critical. Having a strong government relations program at this time is important for that, as well as for policy decisions.

I'm going to mention three areas we are specifically focusing on. The first slide tells you about our role in promoting or increasing federal aid and federal support to our students. Mr. Sofia told you how we invest our own money in students; we also use a lot of federal aid. In fact, Ohio State invests more federal dollars -- we have the largest federal loan program of any university in the nation. You can see the dimension of those numbers: \$251 million for this past year, supporting over 29,000 students -- very important resources. It's very important that we lobby. It's very important that we keep these numbers very high. So that's one of the areas where we play a role in trying to influence what we do at the federal level.

Seventy-five percent of Ohio State's federal awards came for R&D in the past year. You can look at the number -- the \$221 million of federal dollars that come into Ohio State. It's very important that our delegation is on board, understands what we're doing in the research arena, and that we connect and work with them not only for direct appropriations, but also for the money that we get through agency support. So that's very important.

The next slide shows the second area where we are working and, again, it's to promote different kinds of policy issues and federal regulations where we can make a difference. As you know, Higher Education Reauthorization is up this year. There's a lot of bashing of universities and how we do not use our resources wisely, we are raising tuition to exorbitant levels, etc. It's very important that we weigh in on these issues and talk about the different programs that do make a difference to our students.

Increasing Pell Grants is very important for Ohio State. We have 10,000 recipients who receive a total of \$20 million through Pell Grants that help them support their education to be at Ohio State. It's important for us to lobby increasing the loan limits to our Stafford Subsidies Loan. These are loans that come to Ohio State's most needy students, many of whom are minorities. So this is very important.

There are other regulations that we need to lobby for and be diligent about and keep the campus informed about on a regular basis. One of them that you're all aware of is the SEVIS regulations, which right now are hurting all universities in terms of getting our international students here, keeping them here, and, when they go home, getting them to come back again. So that's something we have to watch as well.

Two other things I'll mention quickly: the use of information technology to impact the way technologies can be used for teaching and learning at universities. Some

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

of the regulations there would be onerous and would really interfere with the kinds of things that we do in distance education, the kind of databases that we need to have available for us for educational purposes.

We are supporting Bayh-Dole legislation that was put in place back in the early 1980s that allowed us to do commercialization and technology transfer in universities and opposing the "State Sovereign Immunity" legislation, which would be devastating to us. It would damage our ability to protect our intellectual property, which would assure that the intellectual property we do try to protect for the economic good of the state and the nation would no longer be in place. So these are things that we work on, that we need to work on, and are working on right now.

Another part of our agenda is to develop a database of our people. There are so many organizations in Washington where our faculty and our administrators can make a very sincere difference in planning legislation, in helping set policy, and in testifying, and many other ways to really promote decision-making at the government level. We need to know who does that on a regular basis, what talents they have, and how we can fit them in and put them on different boards that would use our faculty. We have not been aggressive in pursuing this and it's very important that we do that. Through Ellyn's office we are starting to develop that intelligence for Ohio State to make a difference for the nation, for our state, of course, and for our own institution.

The next area is to also focus on our federal appropriations agenda, and I'll go through this also rather quickly. It hasn't been very long that Ohio State has been trying to put earmarks in place -- now called "plus-ups" by working in that domain with our delegation. One of the issues that we hear regularly from our own delegation is, "What is it you want? What do you want for Ohio State?" We hear this from this faculty member and that faculty member, "We need a consistent plan from The Ohio State University of what are its priorities and we'll work for you." We need to take advantage of that, we need to have our delegation on our side, and we need to develop a strong federal agenda that we market. We've been doing it since the year 2000, but we are behind most institutions in being a very strong force in working through that kind of an agenda.

We have a document out now at the vice presidential-level that describes the process for developing themes, ideas, and programs from the campus that we will put together in a federal agenda. Once that comes back from the vice presidents, it will then go on to the deans as a process. We will have a process, a plan, and a timetable to do that. That will be happening within the next few weeks, so that we do not miss another cycle.

Let me mention this quickly and then I'll go through the 2003 and 2004 agenda. We have not had a permanent presence in Washington, DC. Most major research universities have somebody on the ground, either sharing space with another university or building a cohort of government affairs people who are there in Washington. Ohio State has not. It's been incumbent on us to go back and forth, and we have people who have done that. But the plan is that we will put somebody on the ground, as do many other members of the Big Ten.

The University of California, as a whole, has 11 people in place, and that's a pretty big number of government relations folks, but remember it's a pretty big system. They also use it as a student training area, as an educational program site. One of our great assets is that the John Glenn Institute does have its academic internship program in Washington, DC, and has space. We can take

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

advantage of that and, again, use it for our person on the ground as well as for our students. So I think that's an exciting opportunity that we can work toward and that's a goal for this year as well.

So let's just look quickly at how well we have done recently, considering that this has been only on Ohio State's agenda since the year 2000. You can see the colleges that have benefited – those are in yellow – and the programs that have benefited from direct appropriations and the amount of money.

I think the next slide will summarize what the bottom line is over that period of time, and include at the bottom the agriculture special grants. Agriculture has always had its act together very well in lobbying the federal government and Ohio State is no exception to that -- that's not a bad return. I specifically wanted the Trustees and all of you to see that in spite of an incipient program, we do have a program that's effective, it has been working, and the dollars that it brought in – \$17 million – is quite a credible figure to add to our support of the institution from direct appropriations.

The last slide talks about the year FY 2004, which is not yet completed. What we know about the agenda that is there right now for decision is that the House has passed 11/13 appropriations bills, the Senate has passed 4/13, and Congress has now returned to complete its work and, hopefully, will be adjourning late in October. At that point, we will know which of these actually has been approved. So I put them here not as far as the specific initiatives, but rather in the categories of the initiatives that we have set forth for the FY 2004 budget.

Where are we going to be in the next two years to come? While we're going to get our act together in a more strong and forceful manner, we have a harder road to travel. As you know, the budget is already in deficit by \$420 billion for the FY 2005 budget, looking toward a \$480 billion deficit for the FY 2006 budget, and that's before the costs of the war are taken into account for the upcoming year.

So not to put a pessimistic spin on our potential outcome, but to let you know it's going to get harder and harder for these "plus-ups" to be funded every year. As you know, we hear every year from Congress that they don't particularly like to see these kinds of things happen, but for the institutions that sit back and say they don't like to see these things happen, somebody else is in there getting our money. It is important to stay the course on this one and, I think, we're doing a good job. I believe that we're going to keep ramping up our federal agenda. We'll keep you in touch and in tune with our successes as we go along; we'll know fairly soon.

I also wanted to add a couple of extra things about government affairs' issues that have affected our campus directly and have not been involved necessarily through Ellyn's shop, but through Bill Shkurti's shop. But it does have to do with our relationship to the federal government.

One of the things that is very important for us is our indirect cost rate on our federal grants and contracts that come through. We have just recently negotiated a new rate with the Department of Health and Human Services that brings our on-campus rate for indirect cost from 47.5 percent to 49.5 percent. This is very important because, based on our current research expenditures -- now remember, our research expenditures have gone up 80 percent over the last five years, so as you keep ramping that up, your indirect costs go right along with it. This will bring in an extra \$2.5 million in indirect cost, which is very significant. As our research goes up, so will our indirect cost. So that is very important.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

The biggest factor contributing to the change in the indirect cost rate was the effect of our equipment inventory that was completed in 2002 and our enhanced space survey. Space and equipment make a big difference in the negotiations. I want to give particular credit to two people for having done an extraordinary job in helping negotiate this new rate: Greta Russell, University controller, and her staff for their very hard work in successfully completing this latest negotiation; and Frank DiSanto, executive director of the Research Foundation, and his staff as well for their valuable assistance. Greta and Frank, if you'd stand so we can thank you. You have made a huge difference.

Since we're talking about federal things, let me bring in one more person I wanted to invite to say some remarks, and this is Todd Guttman, from the Office of Research. Todd has been with an NIH compliant review that we undertook voluntarily to look at our compliance regulations. This has just been concluded and it was very successful, and Todd wants to say a little bit to you about this.

Mr. Todd G. Guttman:

Thank you, President Holbrook. Mr. Sofia and members of the Board, as President Holbrook noted, the University just underwent a proactive compliance review from the National Institutes of Health, Division of Grants Compliance and Oversight. The NIH has conducted these reviews at major research institutions for the past three years and earlier this summer we were asked if we'd like to participate. Generally when the government calls you and says that we're here to help, you have concerns.

The NIH came with eight team members last month and the areas that they looked at was an in-depth analysis of our policies, procedures, rules, and academic and educational outreach programs. This involved how we conduct clinical research here at the University, how we financially manage sponsored projects from the NIH, how we manage investigators' financial conflicts of interest that may occur during the research projects, and how we manage the Bayh-Dole regulations that President Holbrook mentioned earlier in commercializing our inventions and getting our technology out in the marketplace.

Before the NIH arrived we actually spent a number of months assembling all of our rules, policies, and procedures. Before they came, we actually FedExed them a nearly six-pound document of all our written rules and procedures in all of these areas, which were quite extensive, in addition to supplying them with the electronic versions of all of these documents. So they came quite prepared.

When they arrived last month, the site visit team met with key administrators in the Office of Research, at the Research Foundation, the deans, and key personnel in the various colleges and units that conduct NIH-funded research. They met with investigators and the research staff at the college-level. Specifically, we had teams from the Colleges of Medicine and Public Health and Social and Behavioral Sciences meet with the NIH team, and it was a very complex and comprehensive review.

These reviews are proactive; they're not in response to any problems of which the NIH was notified, so there's no formal report. However at the end of the day, the NIH did have a plenary closing session, where they discussed their observations and their remarks. I'd like to share with you some of the results that they told us.

PRESIDENT'S REPORT (contd)

Mr. Guttman: (contd)

Overall, they were "...very favorably impressed with the strong commitment the institution has made with compliance..." They particularly praised OSURF for its innovative use of technology and managing sponsored projects and training its staff members and Ohio State researchers. They also praised how we conduct clinical research here at the colleges such as Medicine and Public Health and Social and Behavioral Sciences, and the effort that we put in investigative training. The Office of Responsible Research Practices was also pointed out as being an exceptional office.

They also praised our technology management programs and our "...strong commitment to technology commercialization..." including our innovative management of technology start-up companies and processes for managing those. They also praised our financial conflict of interest programs and our training and outreach efforts, and noted that those could be models used by other institutions across the country.

The NIH also provided us with very helpful suggestions on how to continuously improve our programs. Those suggestions included increasing our training and educational outreach programs at even greater levels than we do now. They also suggested that we revise and update our policies to even more carefully and more precisely define the different roles and responsibilities of everyone who's involved in the research enterprise here. Finally, they urged the University to continue to provide the resources necessary so we can continue our high levels of compliance here at the institution.

Again, we were relieved and very gratified that the NIH were very impressed with our programs. Tom Rosol, interim vice president for Research, will be giving the Board a full report to the Academic and Student Affairs Committee in the next few months.

If you have any questions, I'm available to answer them.

President Holbrook:

Any questions? Todd, thank you very much. This is very important for the institution. It doesn't get the kind of PR that some of the other things do, but it underpins everything we do to do it with quality. Thank you and thanks to everybody who played such a big role in this review. I know it was a huge undertaking. Thanks very much.

I'm going to now call on Barbara Snyder and then close with a few personal remarks.

Interim Provost Barbara R. Snyder:

We want to share with you some really good news. That is, Ohio State's ranking in *U.S. News and World Report*, the "America's Best Colleges for 2004" came out. Maybe many of you have seen it. We moved up two places. That might not sound like a lot, but it actually is very significant because you have to knock somebody else out to get there, as President Holbrook likes to remind us. So it is a challenge because the other institutions are also working very hard.

We, among publics, went up from 24th to 22nd this year. Seven of our benchmark institutions also ranked higher, making it even more challenging to get to that next level. In the rankings, they look at information in seven categories: academic reputation, graduation and retention, faculty resources, student

PRESIDENT'S REPORT (contd)

Interim Provost Snyder: (contd)

selectivity, financial resources, alumni giving, and graduation rates. In all categories, Ohio State either maintained or improved the previous year's ranks.

One of our brightest spots in the category rankings was the category of student selectivity. Last year we were ranked seventy-fourth; this time we're at an all time high of sixty-ninth. To give you some perspective six years ago we ranked 106th in that category, so we are really moving up. That's obviously a result of our selective admissions program. Another is the graduation rate performance rank where we moved from being ranked sixtieth now to forty-seventh, and that is a very significant increase as well.

So we are very excited about the new rankings and what that means for recruiting and retaining both students and faculty. It's a great start to the new year.

President Holbrook:

Thanks, Barbara. I will remind you again of what we always say – we don't go out and strive to move up in the rankings. We continue to do what we think is the right thing to do and move ahead in the Academic Plan and, as a result, the rankings will follow. We remain very committed to the Academic Plan.

I'm going to close with a few personal remarks. I won't belabor them very long, but this is finishing my first year. It's very hard to believe that it is about three weeks short of a full year, but I thought I would share some observations.

I was talking with one of my colleagues on the Board of Trustees, who suggested I might do this and his comment was, "Well, do you think about what your first year was like?" And I said, "I not only think about it, I write it down." Because there will be other first years for presidents and there will be other people that might take advantage from the kinds of things that I have written down -- either humorously or seriously and a little bit of both -- over the year that could be shared and could be helpful for somebody coming in.

Also, I have done this in the past as a means of therapy. Sometimes when things are difficult and things are new, if you put it down on paper and write out your own thoughts, it becomes funnier than it is sad or worrisome. So that's something I've done. The suggestion was made that I share some of those things and I thought maybe I would at the end of a first year. Some I would share, some I won't share with a public audience as a whole. But I do think I have learned a great deal this year. I'm sure that I will learn a great deal more next year and every year that I'm here, as we all do, because this a place where that's what we're all about -- learning.

I thought some of the things might be of interest to you. One of the things that's very clear and this will always be true, is that we are constantly challenged by stereotypes. Everywhere we go, somebody thinks they know how the University runs and how it runs badly. The kinds of things we hear, as you well know: "pedantic and esoteric research, poor teaching, indifference to students, inability to curb excess, trim budgets, bloated bureaucracy," and I could go on and on. Those are expectations, those are challenges to us, and I think it behooves us to think how we answer them seriously. They're not by people who don't take them seriously; they believe what they say. So that's something I think about, how do I address those in a way that doesn't say they're wrong, but says let's hear the data that suggests there's another answer to it.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

I have learned to adapt to and enjoy a just-in-time life. I can't do the long-range planning I used to like to do and feel good about. I've learned to expect the unexpected. I think all of you appreciate these. I've learned that it's very good to have a lot of highs because you're going to need them to weather the lows. I think looking across the year at Ohio State, we've had an enormous number of highs that one can always come back to and they usually relate to the people. I think that the longer one is here, those highs and lows will level out as you know more people and more ways to do things.

I have written down how to say "no." Learning how to say no is one of the things I've always done very badly. I'm learning how to do it better and I think that's something that's very important to learn in the first year.

Something else I've learned is to look for and capture what I've called "hidden and not so hidden gems" in people, in programs, procedures, and ideas. Any one of us that leaves a university to come to another university means that we love universities and we love where we were. You come with the idea that what we did was wonderful and where we're going probably can be improved. What I have learned everywhere I've gone is that the gems are where you're going. You can set aside what was in the past, because you'll find it's really wonderful what you're doing.

I have learned the importance of having a strong partner and how valuable that is. I've learned how important it is to have people around that you trust and value. I have learned that nothing is confidential and if anybody says to you, "this is strictly confidential," it's not unless you tell nobody. Nothing is confidential. A piece of advice that was given to me by Shirley Westwater, and I will always remember this. She said, "Discouragement is a luxury you can't afford." I think that's a wonderful piece of advice; I like it very much.

I have written a lot of things and I won't go on longer, but I want to read something that I have found that I thought about, and it is if I were giving this advice to somebody else, and there's a lot more here. "Why would anyone want these jobs? The requirements are vast; the work is demanding, unrelenting; the criticism is abundant, freely shared; the loss of privacy is significant; personal finances, behavior, and appearance are scrutinized and assessed publicly." I am quoting Rita Bornstein, who said, "On some days, it takes on the power of a spiritual calling; on others, it remains strictly a corporate chief executive role. It may be one of the hardest jobs in America, and presidents sometimes make mistakes. But for those who serve, the presidency is a great honor and we gladly devote our lives to it."

I would add that I think it's a calling. We're driven by a mission and not by profit at the University. It is a privilege to lead a very special organization where the world's brightest and most engaged people spend their lives and have spent at least part of their lives and never lose their love for an institution. That is so much the case here. It is much more than a place to work; it's a cornerstone in people's lives, it's a touchstone for their lives. It's a place for memories, it's a place where dreams emerge, it's a grounding, and it's a connection forever with people with a place that's timeless, and that will always be here.

Thank you for the opportunity to be with you.

PRESIDENT'S REPORT (contd)

Mr. Sofia:

Thank you, Karen, for a wonderful report, and we're glad to have shared with you this first year.

President Holbrook:

Thank you.

(See Appendix IX for President Holbrook's PowerPoint Presentation, page 267.)

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STUDENT RECOGNITION AWARD

Ms. Habib:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of the student's achievement in his or her area of study, service to the University and community, and research achievements that have been a credit to the college and the University.

This month's Student Recognition Award recipient is Kenneth F. May, Jr., who was nominated by Dean Fred Sanfilippo, from the College of Medicine and Public Health. Ken is a student in the combined M.D./Ph.D. program in the College of Medicine and Public Health, has completed two years of medical school, and is currently in his third year of study and research towards the Ph.D. degree.

He has a long list of accomplishments in academics and leadership, which I will briefly summarize for you. Ken graduated from Oakwood High School in Dayton, Ohio, in 1993, as the class valedictorian. He then attended Davidson College in Davidson, North Carolina, in a Bachelor of Science program focusing on medical humanities. For two summers he was involved in research at the Hipple Cancer Research Center in Dayton, and attended the University of Edinburgh in Scotland, as part of a study abroad program.

He graduated from Davidson College, magna cum laude, with an overall grade point average of 3.8 and a perfect biology grade point average of 4.0. During his undergraduate years, Ken received several academic awards including the following: Phi Beta Kappa National Honor Society, Alpha Epsilon Delta Premedical Honor Society, and Beta Beta Beta Biology Honor Society.

After graduation, Ken obtained an additional year of research experience with Drs. Edward Martin and Emilio Barbera-Guillem working on the immunology of cancer. Based on this work, he was co-author on two publications in prestigious scientific journals.

Ken then entered the M.D/Ph.D. degree program at OSU. Based on his outstanding undergraduate record, research experience, and test scores on the Medical College Admission Test, Ken was the recipient of three prestigious academic awards: a Medical Scientist (M.D./Ph.D.) Fellowship, a Distinguished University Fellowship, and the Molecular Life Sciences Fellowship.

During his first two years of medical school, Ken was recognized for his outstanding academic performance including honors in medical humanities, honors in Med 1 basic sciences, and a letter of commendation in Med 2 basic sciences.

STUDENT RECOGNITION AWARD (contd)

Ms. Habib: (contd)

Knowing this, it is perhaps not surprising that on the national examination given to test competency on the material studied during the first two years of medical school, the U.S. Medical Licensing Exam, Ken scored in the top one percent of all medical students in the entire country who took this test.

During his first two years of medical school, Ken's interest in tumor immunology continued to grow and, in 2000, he chose Dr. Yang Liu as his Ph.D. advisor in the pathology graduate program, with whom he is currently conducting research on tumor immunology for his Ph.D. dissertation. His work in Dr. Liu's laboratory has already resulted in two scientific papers that have been published and a third one that is in press. In parallel with these publications, he presented his research at three national scientific meetings.

During his years as a graduate student, Ken became a member of the Association of Pathology Chairs (APC), Pathology Honor Society, and the Phi Kappa Phi Honor Society.

However, Ken's activities have not been limited to studying and research. He has made several significant contributions to important extracurricular events in the College of Medicine and Public Health. For one year, he was the secretary of the Medical Scientist Student Organization and for the past year he served as this organization's president. He played a major role in organizing and fundraising for the first two OSU Medical Center Graduate and Postgraduate Research Days, at which trainees in the College of Medicine and Public Health present their research projects.

This spring he was the judging chair for the Future Physician-Scientist Award. This is an event sponsored by the Ohio Academy of Science at their State Science Day, at which high school students interested in biomedical research present their research projects.

As you can see, Ken is an outstanding student who has accomplished a great deal as an undergraduate student, a medical student, and as a graduate student. He is the kind of student who has brought considerable recognition and honor to OSU already, and I'm sure that he will continue to do so in the future.

Being a student myself, it is a great honor for me to be able to present a student like Ken to the Board of Trustees. The Board appreciates the chance to meet students, especially ones who exemplify exactly how bright and committed the students at Ohio State really are.

Congratulations, Ken.

Mr. Kenneth F. May, Jr.:

I'd just like to say a few words of thanks. Anybody who has known an M.D./Ph.D. student knows that it's a very long road and without a lot of strong supporters and role models, it's even harder. I'm very grateful to The Ohio State University and Dean Sanfilippo for their financial support of my education; that's something that has been a wonderful blessing.

I have a number of great role models: Dr. Allan J. Yates, for his tireless leadership of the M.D./Ph.D. program; Dr. Yang Liu, for his exceptional research mentorship; and Dr. Edward Martin, for his clinical mentorship and his inspiration.

STUDENT RECOGNITION AWARD (contd)

Mr. May, Jr.: (contd)

Most importantly I'd like to thank my family, who has given me unconditional love and support through this whole process and they are here today. My younger brother Stephen would be here, but he's a first-year medical student and taking his first anatomy examination as we speak. So I wish him luck and, hopefully, he can have future success here, too.

I'm very happy to represent Ohio State and, hopefully, through hard work, I can make this University proud. Thank you.

Mr. Sofia:

Questions?

Mr. McFerson:

Congratulations. A combination M.D./Ph.D. degree program just boggles my mind! What are your plans when you finish with all of this? Are you hopefully going to be on our faculty?

Mr. May:

I'd like to. I would really like to follow in Dean Sanfilippo's footsteps with the intention to be -- what we call a triple threat -- an educator, a researcher, and a clinician. It's a difficult model to follow and attain.

Mr. McFerson:

Good luck to you.

Mr. Sofia:

Good luck, Ken. Congratulations, again, on behalf of the Board.

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PRESENTATION ON FY 2004 BUDGET

Interim Provost Barbara R. Snyder: [PowerPoint Presentation]

This year we organized our budget presentation around what we believe is the primary guiding principle for our University budgeting. That is that our budget should be, and we think is, aligned with our academic priorities. So you're going to be hearing a little bit about the Academic Plan.

To set the framework, we've reminded you here of the six major strategies of the Academic Plan. Bill --

Mr. William J. Shkurti:

We were starting off with the budget environment for FY 2004 that has some challenges of its own. Our core state funding for the Columbus campus -- which is the state share of instruction's Challenge Grants and we appreciate the fact that we weren't cut from where we were last year -- is still below the level of three years ago by 5.6 percent. For the third year in a row, revenue from student fees exceeds state support and the gap is widening.

PRESENTATION ON FY 2004 BUDGET (contd)

Mr. Shkurti: (contd)

Let me show you the chart that demonstrates that. Some of you who were here in 2001 -- in fact, I think it was in this very room -- when we talked about the first time we hit the crossover point in the history of the University, where student fee income exceeded state support. As you can see, that gap has widened dramatically since then. There's no evidence that that's going to change in the immediate future, and we'll talk more about the implications of that in a minute.

In addition, part of what we've tried to deal with -- which has really been a two or three year period of budget constraint -- is trying to do what we do more efficiently and with fewer people. So we've eliminated approximately 600 jobs over the last two years. About 100 of those were layoffs of filled positions that had real people in them. The other 500 jobs were real jobs that were budgeted and the funding was taken away. I know a lot of you get questions from people at the Statehouse and elsewhere saying the University never cuts anything. Well, we have and it was very painful, and that's the only way we could get where we needed to go.

At the same time, we have increasing competitive pressures on salaries for the best faculty and staff, and on student financial aid, and we're addressing those. We've always been able to turn to our endowment to help supplement this, but the endowment has suffered as have investments across the country because of the collapse of the stock market.

Finally, we have a period where the salary for most of our faculty and staff is still below market. So in trying to compete, attract, and retain the best faculty and staff, we've had to address that issue as well. Barbara will talk about that in a minute.

As a result, we've had to target our investments. We can't do everything we want, so we've set some priorities and Barbara will elaborate on those.

Interim Provost Snyder:

We are targeting our investments for FY 2004 in the four critical areas you see and I want to say something specifically about each one.

We are obviously interested in continuing to build a world-class faculty and staff. As we have the last few years, we are focusing on recruitment and retention of the best faculty and staff. As Bill mentioned, related to that is competitive compensation. Our average salary is still behind the market in almost every discipline and we are working hard to continue to make progress in reaching market levels in salary for both our faculty and staff.

We also recruited four new Ohio Eminent Scholars this year. As you know, we get money from the state and we have to match that money for those positions. The four Eminent Scholars have joined us this year: one in soil ecology, one in cosmology, one in biochemistry, and one in geodynamics. We are now recruiting to fill four new Ohio Eminent Scholar positions: two of those are in engineering, one in English, and one in psychology.

We continue to see the dividends from our investments in the Selective Investment units. You heard some reports this past spring from some of those units and know what they're doing. This year, we are making a significant new investment in our Comprehensive Cancer Center. It has already been very successful in cutting-edge interdisciplinary research and we hope with this new investment to build on that success and make it the very best in the country.

PRESENTATION ON FY 2004 BUDGET (contd)

Interim Provost Snyder: (contd)

We are continuing to invest in research support with Dr. Holbrook's call for renewed energy around our research mission. The new investments will be in interdisciplinary research and in research in the arts and humanities.

As Mr. Sofia reminded us at the beginning of the meeting, fundamentally we are here to serve students and we are targeting some of our investments to enhance and better serve the student body. This year, again, we've increased financial aid at a rate greater than the increase in tuition. We are providing technology support in the classroom. We have restructured our Morrill Scholarship Program to reflect our goal of educational diversity and we've had great leadership in that from Vice Provost for Minority Affairs Mac Stewart.

We've improved our enrollment services and we hope our students will see some of this improvement this year, especially in student financial aid and in admissions as we move to online admissions. These are under the leadership of Vice Provost and Dean for Undergraduate Studies Martha Garland.

We have implemented a new student activity fee, something that our student groups had been asking for. They had a very articulate and persuasive voice in the form of Vice President for Student Affairs Bill Hall. That got through the budget process this year, and they will see the benefits of that in increased availability of funds for student group programming.

We've added freshman seminars. This is part of a two-year pilot program. The effort is being led by Executive Dean for the Colleges of the Arts and Sciences Mike Hogan, working with the Office of Academic Affairs. We hope to have 40 of these seminars online Winter Quarter for our new freshmen.

As you know, we have also enhanced the mission of our regional campuses. We are working in collaboration with the Office of Student Affairs and the regional campus deans to offer more student services for students on the regional campuses.

We are also using some of the money, as the state has mandated, from our tuition increase this year to improve technology in the classroom. Students will be seeing the impact of that. We are providing more support for the Office of Information Technology that is being led by our CIO Ilee Rhimes. We're working hard to bring that instructional technology that makes student learning and teaching for faculty easier, better, and more efficient.

As you all know, we've been concerned about safety in off-campus neighborhoods, and Dr. Holbrook and Mayor Coleman have been working collaboratively on how we might do some things together to improve safety in our campus neighborhoods. We have set aside some money to do that.

We continue to work on improving our campus appearance, believing that it makes a difference how our campus looks when we're trying to attract great students and great faculty.

This slide just gives you an example -- we've chosen two sets of colleges. In the top half you'll see three colleges and how they fared under budget restructuring. The top half shows you three colleges that are heavily dependent on general funds. The bottom half gives you three colleges and how they fared, and they are not dependent significantly on general funds.

PRESENTATION ON FY 2004 BUDGET (contd)

Interim Provost Snyder: (contd)

As you can see, whether you are or are not dependent on general funds does not correlate to how well you do under budget restructuring. You can do well no matter whether you're heavily dependent on general funds or not. We're trying to make sure that the resources provide the proper incentive so that the colleges doing really well are the ones that are both teaching a lot of students and doing a lot of research.

Mr. Shkurti:

Now, I'll talk about the financial implications of the programmatic decisions that Barbara mentioned. First, it's belaboring the obvious in a way, but our long-term financial goals have to support our long-term academic goals. We think the recommendations we've given you today do that. Despite an uncertain financial environment, we'll meet both our long-term academic goals and our long-term financial goals, and I discussed that in greater detail in the Fiscal Affairs Committee meeting.

As a part of this, the University will continue to become less dependent on state funds – and that was the chart that I showed you earlier. As funding sources diversify, new risk management tools will have to be developed so we can keep the ship on an even keel. I talked about that a little bit in the Fiscal Affairs Committee meeting as well.

As painful as it may seem, planning has already started for FY 2005, so that we'll be ready, hopefully, in July, to present the budget for next year.

One of the things we've talked about is less dependence on state funds and where the money's coming from. What this shows are the projected revenue increases for FY 2004 on the Columbus campus. You can see student fees and charges are a big part of that; they're going up 12.2 percent.

The health system is generating an additional 7.6 percent in revenues, and research overhead -- which was what President Holbrook was talking about when she recognized Greta Russell and Frank DiSanto today -- is projected to go up 6.7 percent. You can see our state support is virtually flat; it's going up 0.2 percent. We appreciate even that small percentage amount because it still translates to additional dollars, but that's still way below the level where we were three or four years ago.

The other thing that's not on here because we don't budget it -- it's harder to predict -- is private giving. As John Meyer reported in the Fiscal Affairs Committee, that's up nine percent in FY 2003. As the economy turns around and with the hard work of our development people, we hope that will be another area that increases. As you can see, this year continues the trend of less and less reliance on state support.

Even though our tuition has gone up, it's important to keep that in context. What this chart compares is Ohio's 13 public universities. You can see we're smack in the middle even after what seems to be a large percentage increase this year. We're in the middle even though what we offer is more comprehensive and the standing of our faculty and academic programs is certainly the highest among Ohio's publics. It's not to belittle the other schools, those are good schools as well, but there is a disconnect between resources and aspirations that we're continuing to struggle with.

PRESENTATION ON FY 2004 BUDGET (contd)

Mr. Shkurti: (contd)

I might add if we did this same comparison with the Big Ten, you would find a similar positioning. Ohio State is sixth out of the Big Ten public institutions, which is just about where we were a year before. So we still continue to be affordable and a tremendous bargain as a result.

So the strategic implications of the recommendations we're bringing forward to you today are that the University will continue to make progress in its strategic goals despite a difficult financial environment. That despite tuition increases, our undergraduate tuition remains well below Ohio's other selective admission public universities and by reallocating existing resources, our compensation increases on the average are one percent above market in 2004. However, we're still below market if you take the last three years together.

Of the reallocations in the budget reduction, the most visible strain will be on support services, because we've tried to protect the academic core and I think we've succeeded. But this means office support in terms of clerical support and so forth, facilities, maintenance, and equipment and supply budgets will continue to be under stress.

The University will have to continue to adopt more of the characteristics of a private university and I think the pace is actually accelerating as time is going on. Finally, the University will have to continue to examine everything it does to determine what we need to do better and what we no longer need to do at all.

That concludes our presentation. Barbara and I will be glad to answer any questions you might have.

Mr. Sofia:

Thank you. Any questions of Barbara and Bill? Wally --

Mr. O'Dell:

The amount we're behind in faculty compensation -- how many million dollars a year, in fact, is that?

Mr. Shkurti:

Mr. O'Dell, that's about three percent the last time we checked. Each percent of faculty and staff salaries -- if you assume the staff is about an equal gap -- is about \$4.5 million, so that's a \$12-13 million gap.

Mr. Sofia:

Any other questions? You've done very well. Thank you.

Mr. Shkurti:

Thank you, Mr. Chairman.

(See Appendix X for the PowerPoint Presentation on the FY 2004 Budget Summary, page 273.)

APPROVAL OF FISCAL YEAR 2004 CURRENT FUNDS BUDGET

Resolution No. 2004-24

Synopsis: Approval of the Current Funds Budget for Fiscal Year 2004 is proposed.

WHEREAS the State budget for Fiscal Years 2004 and 2005, including funding levels for State institutions of higher education, has been enacted; and

WHEREAS fee increases for the Columbus and Regional Campuses for FY 2004 have been approved at previous meetings of the Board of Trustees; and

WHEREAS other levels of resources and expenditures, including compensation, have been identified for the 2004 fiscal year; and

WHEREAS appropriate planning and consultation within the University has been accomplished, and the President now recommends approval of the Fiscal Year 2004 budget:

NOW THEREFORE

BE IT RESOLVED, That the University's Current Funds Budget for Fiscal Year 2004, as described in the accompanying text and tables, be approved, with authorization for the President to make expenditures within the projected income levels.

Upon motion of Ms. Longaberger, seconded by Mrs. Davidson, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Sofia, Slane, McFerson, Borrer, O'Dell, and Judge Duncan, Mses. Longaberger, Hendricks, and Davidson.

(See Appendix XI for background information, page 281.)

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CONSENT AGENDA

President Karen A. Holbrook:

We have fifteen resolutions on the Consent Agenda today and unless there are any objections, I would like to recommend them to the Board:

APPOINTMENT TO THE UNIVERSITY HOSPITALS EAST BOARD

Resolution No. 2004-25

Synopsis: Approval of an appointment to the University Hospitals East Board is proposed.

WHEREAS the Board of Trustees on December 6, 2001, approved the establishment of a University Hospitals East Board; and

WHEREAS all members of a specialized board shall be appointed by the Ohio state university board of trustees in consultation with the vice president for health services, the senior vice president for health sciences, and the president of the university; and

WHEREAS the executive director and medical director of the facility or service shall be respectively appointed by the vice president for health services and the senior vice president for health sciences as ex-officio members of specialized boards:

NOW THEREFORE

APPOINTMENT TO THE UNIVERSITY HOSPITALS EAST BOARD (contd)

BE IT RESOLVED, That Dr. Hagop S. Mekhjian be appointed to the University Hospitals Board East as an ex-officio member in the capacity of chief medical officer of the OSU Health System, effective immediately.

**AMENDMENTS TO THE BYLAWS OF THE ARTHUR G. JAMES CANCER HOSPITAL
AND RICHARD J. SOLOVE RESEARCH INSTITUTE BOARD**

Resolution No. 2004-26

Synopsis: The amendments to the *Bylaws of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board* are recommended for approval.

WHEREAS The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board pursuant to bylaw 3335-109-02 of the James Cancer Hospital Board Bylaws is authorized to recommend to the Board of Trustees the adoption of amendments to their *Bylaws of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute*; and

WHEREAS the proposed amendments to the *James Board Bylaws* were previously endorsed and ratified by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board on July 15, 2003, as followed:

Amended Bylaw

3335-109-01 General.

The body with ultimate responsibility to the Ohio state university board of trustees (hereinafter referred to as "university board") for establishing policy, maintaining quality patient care, and providing for organization management and planning and oversight of the financial performance of and within the Arthur G. James cancer hospital and Richard J. Solove research institute (hereinafter referred to as "CHRI") shall be the Arthur G. James cancer hospital and Richard J. Solove research institute board (hereinafter referred to as "board").

(A) The board shall be composed of:

(1) through (6) unchanged.

(7) One member of the OSU cancer scholars program appointed by the university board in consultation with the university president for a one-year term (commencing May 14 and ending May 13);

~~(7)~~(8) Unchanged.

~~(8)~~(9) Unchanged.

~~(9)~~(10) Unchanged.

~~(10)~~(11) Unchanged.

Balance unchanged.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Bylaws of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board* be adopted as recommended.

CANCER HOSPITAL BOARD APPOINTMENT

Resolution No. 2004-27

Synopsis: Approval of an appointment to The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board is proposed.

WHEREAS the Board of Trustees on September 1, 1993, approved the establishment of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board; and

WHEREAS the membership of The James Cancer Hospital and Research Institute Board was approved on November 5, 1993, and subsequently amended to include representation from the newly established Cancer Scholars Program; and

WHEREAS the following named individual has been selected for immediate appointment to the Cancer Hospital and Research Institute Board:

Clara D. Bloomfield, M.D.

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominee be confirmed and approved, effective immediately, as a "Cancer Scholars" member of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board for a term ending May 13, 2004.

WAIVER AND NAMING OF A PARCEL OF LAND

Resolution No. 2004-28

Synopsis: Approval of the Waiver of Paragraph (F) of Bylaw 3335-1-08 of the Administrative Code and Naming of a parcel of land at the Ohio Agricultural Research and Development Center (OARDC) and the Agricultural Technical Institute (ATI) in Wooster, Ohio, in honor of Grace L. Drake, State Senator of the 22nd Ohio District, is proposed.

WHEREAS the Board of Trustees of The Ohio State University, did on January 9, 1976, amend paragraph (F) of bylaw 3335-1-08 of the Administrative Code to state that "buildings and structures shall not be named for any person who is an officer or employee of the University or the State of Ohio, but may be named for a person who has been retired or has otherwise left such a position for a minimum of three years"; and

WHEREAS the Board of Trustees has authority in naming of all buildings and structures at The Ohio State University, as set forth in paragraph (F) of rule 3335-1-08 of the Administrative Code; and

WHEREAS Grace L. Drake was a strong proponent and ardent friend of agricultural education and research during her seventeen years, 1983-2000, as State Senator of the 22nd Ohio District; and

WHEREAS Senator Drake supported projects of The Ohio State University Wooster Campus within the legislature during her terms which provided over \$45 million in additional operating and construction funds; and

WHEREAS through her legislative action important new facilities such as the Arden Shisler Center for Education and Economic Development, a feed mill, and greenhouses were built; and

WAIVER AND NAMING OF A PARCEL OF LAND (contd)

WHEREAS Grace Drake negotiated and helped pass legislation which permanently transferred over 1,900 acres to OARDC which is used for research, education, and OSU Extension facilities to better serve the State of Ohio and the nation:

NOW THEREFORE

BE IT RESOLVED, That the applicable requirements of paragraph (F) of bylaw 3335-1-08 of the Administrative Code be waived so that the aforementioned parcel of land, at the Ohio Agricultural Research and Development Center and the Agricultural Technical Institute in Wooster, Ohio, may be named the "Grace L. Drake Agricultural Research, Educational, and Extension Laboratory."

RENAMING OF THE NEUROBIOTECHNOLOGY CENTER

Resolution No. 2004-29

Synopsis: Renaming of the Neurobiotechnology Center to the Center for Molecular Neurobiology is proposed.

WHEREAS the Biotechnology Center was established in 1986, with faculty being members of one of three programs: Molecular Neurobiology, Plant Molecular Biology, or Structural Biology; and

WHEREAS the Biotechnology Center was reorganized administratively in 1994, and at that time the Molecular Neurobiology program continued to report to the vice president for Health Sciences; and

WHEREAS at the time of reorganization it was determined that the Molecular Neurobiology program was the "rightful heir" to the Biotechnology Center name and the program should continue as the Neurobiotechnology Center; and

WHEREAS the Neurobiotechnology Center does not accurately frame the research interests and efforts of the faculty; and

WHEREAS the Center faculty are developing research programs at an interface between the disciplines of Molecular Biology and Neuroscience, and much of the research being performed is exploiting molecular genetic model systems; and

WHEREAS the proposed name change would more accurately reflect the research conducted at the Center, would identify the faculty as "Molecular Neurobiologists," there by enhancing their national and international visibility, and would facilitate the continued programmatic development of the Center; and

WHEREAS the proposed name change was reviewed, discussed, and approved by the Council on Academic Affairs at its April 23, 2003 meeting:

NOW THEREFORE

BE IT RESOLVED, That the renaming of the Neurobiotechnology Center to the "Center for Molecular Neurobiology" is hereby approved, effective immediately.

**APPOINTMENT TO THE ENGINEERING EXPERIMENT
STATION ADVISORY COMMITTEE**

Resolution No. 2004-30

WHEREAS the Engineering Experiment Station is under the control of the Board of Trustees of The Ohio State University; and

WHEREAS according to statute 3335.27 of the Ohio Revised Code, there shall be an advisory committee made up of the director of the Engineering Experiment Station and six members appointed by the Board, chosen from the faculty of the College of Engineering; and

WHEREAS this Engineering Experiment Station Advisory Committee "shall select suitable subjects for investigation, apportion the available funds, and with the consent of the Board may provide for the dissemination of the results to the people of the state:"

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of President Karen Holbrook, pursuant to the request of James C. Williams, Dean of the College of Engineering and Director of the Engineering Experiment Station, The Ohio State University Board of Trustees, acting in accordance with the requirements of the Ohio Revised Code Section 3335.27, hereby appoint the following individual to serve on the Engineering Experiment Station Advisory Committee:

<u>Name</u>	<u>Title</u>	<u>Proposed Term</u>
Umit S. Ozkan	Associate Dean for Research/ Professor College of Engineering	3 years (8/1/2003 - 6/30/2006)

HONORARY DEGREES

Resolution No. 2004-31

Synopsis: The awarding of honorary degrees to Murray Gell-Mann and Leon M. Lederman is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of honorary degrees as listed below:

Murray Gell-Mann	Doctor of Science
Leon M. Lederman	Doctor of Science Education

NOW THEREFORE

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

PERSONNEL ACTIONS

Resolution No. 2004-32

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the July 11, 2003 meeting of the Board, including the following Appointments/Reappointments, Appointment/Reappointments of Chairpersons and Directors, Leaves of Absence Without Salary, Professional Improvement Leaves, and Emeritus Titles, and promotion and tenure, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments/Reappointments approved July 15, 2003, by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board, be ratified; and

BE IT FURTHER RESOLVED, That Resolution 77-92 and the provisions of the February 6, 1959 Report of the Committee on Retirement and Insurance, be waived to allow for the reappointment of Martha M. Garland as Vice Provost and Dean for Undergraduate Studies.

Appointments

Name: EVELYN B. FREEMAN
Title: Executive Dean of the Regional Campuses
Office: Academic Affairs
Term: August 1, 2003, through June 30, 2006
Concurrent Appointment: Dean/Director, OSU-Mansfield

Name: GLEN F. HOFFSIS
Title: Ruth Stanton Chair in Veterinary Medicine
College: Veterinary Medicine
Term: September 1, 2003, through June 30, 2005
Concurrent Appointment: Dean, College of Veterinary Medicine

Name: CHRISTOPHER S. KOCHANEK
Titles: Ohio Eminent Scholar in Cosmology and Professor with tenure
Department: Astronomy
Effective: October 1, 2003
Present Position: Astrophysicist, Smithsonian Astrophysical Observatory,
Cambridge, MA

Name: SUSAN M. KROLL
Title: Associate Vice President for Health Sciences (Center for
Knowledge Management)
Office: Health Sciences
Effective: September 1, 2003
Present Position: Director, John A. Prior Health Sciences Library

Name: STANLEY A. LEMESHOW
Title: Dean for Public Health
School/College: School of Public Health/Medicine and Public Health
Term: August 9, 2003, through June 30, 2008
Present Position: Professor, Departments of Epidemiology and Statistics, and Director,
Center for Biostatistics

Name: W. JERRY MYSIW
Title: Associate Professor (The Bert C. Wiley, M.D., Chair in Physical
Medicine and Rehabilitation)
College: Medicine and Public Health
Term: September 5, 2003, through June 30, 2007

PERSONNEL ACTIONS (contd)

Appointments (contd)

Name: HERBERT B. NEWTON
Title: Associate Professor (The Esther Dardinger Endowed Chair in Neuro-Oncology)
Center/Hospital: Comprehensive Cancer Center/The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute
Term: September 5, 2003, through June 30, 2007

Name: JEFFREY B. REEVES
Titles: Associate Vice President for Health Sciences and Chief Organizational Effectiveness and Human Resources
Officer for The Ohio State University Medical Center
College: Medicine and Public Health
Effective: August 1, 2003
Present Position: Senior Vice President and Chief Human Resource Officer, Sam's Club, Bentonville, Arkansas

Reappointments

Name: JUDITH B. FOUNTAIN
Title: Director, The Women's Place
Office: Academic Affairs
Term: July 1, 2003, through June 30, 2007
Concurrent Appointment: Assistant Vice Provost for Women's Policy Initiatives

Name: MARTHA M. GARLAND
Title: Vice Provost and Dean for Undergraduate Studies
Office: Academic Affairs
Term: September 1, 2003, through August 31, 2008

Name: DEBORAH JONES MERRITT
Title: Director
Institute: The John Glenn Institute for Public Service and Public Policy
Term: July 1, 2003, through June 30, 2007
Concurrent Appointment: Professor (The John Deaver Drinko – Baker & Hostetler Chair In Law), Moritz College of Law

Appointment of Chairperson/Directors

July 1, 2003, through June 30, 2004

Center for Mapping

Carolyn J. Merry*

August 1, 2003, through July 31, 2005

School of Biomedical Sciences

Wolfgang Sadee

August 1, 2003, through June 30, 2007

School of Music

Mellassenah Y. Morris

August 1, 2003, through September 30, 2007

School of Teaching and Learning

Peter V. Paul

*Interim

PERSONNEL ACTIONS (contd)

Appointment of Chairperson/Directors (contd)

September 1, 2003, through June 30, 2007

Center for Molecular Neurobiology

Anthony P. Young

October 1, 2003, through September 30, 2007

Materials Science and Engineering

John E. Morral

Reappointment of Chairperson/Director

September 1, 2003, through June 30, 2004

Veterinary Hospital

Richard M. Bednarski

September 1, 2003, through December 31, 2003

Chemical Engineering

Liang-Shih Fan

Leaves of Absence Without Salary

SHARON L. DAVIES, Professor (The John C. Elam/Vorys Sater Designated Professorship in Law), Moritz College of Law, effective Autumn Semester 2003, to accept a visiting professorship at the University of Michigan Law School.

ALAN C. MICHAELS, Associate Dean and Professor (The Edwin M. Cooperman Designated Professorship in Law), Moritz College of Law, effective Autumn Semester 2003, to accept a visiting professorship at the University of Michigan Law School.

ERNEST P. FOKOUE, Assistant Professor, Department of Statistics (Newark Campus), effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to accept a Postdoctoral Fellow at the Statistical and Applied Mathematical Sciences Institute, Research Triangle Park, North Carolina.

Professional Improvement Leaves

DANIEL C. K. CHOW, Professor (The Robert J. Nordstrom Designated Professorship in Law), Moritz College of Law, effective Autumn Semester 2003.

L. CAMILLE HEBERT, Professor (The Carter C. Kissell Professorship in Law), Moritz College of Law, effective Spring Semester 2004.

ALAN C. MICHAELS, Associate Dean and Professor (The Edwin M. Cooperman Designated Professorship in Law), Moritz College of Law, effective Spring Semester 2004.

ALLAN J. SAMANSKY, Professor (The Robert J. Watkins/Procter & Gamble Professorship), Moritz College of Law, effective Spring Semester 2004.

CHARLES A. BUFFINGTON, Professor, Department of Veterinary Clinical Sciences, effective Autumn Quarter 2003, Winter Quarter, Spring Quarter, and Summer Quarter 2004.

DAVID S. KRAYBILL, Professor, Department of Agricultural, Environmental, and Development Economics, effective Autumn Quarter 2003, Winter Quarter, Spring Quarter, and Summer Quarter 2004.

STANLEY K. LAUGHLIN, JR., Professor, Moritz College of Law, effective Spring Semester 2004.

PERSONNEL ACTIONS (contd)

Professional Improvement Leaves (contd)

KATHY S. NORTHERN, Associate Dean and Associate Professor, Moritz College of Law, effective Autumn Semester 2003.

BRENT L. SOHNGEN, Associate Professor, Department of Agricultural, Environmental, and Development Economics, effective Autumn Quarter 2003, Winter Quarter, Spring Quarter, and Summer Quarter 2004.

Professional Improvement Leave—Change in Dates

L. S. FAN, Distinguished University Professor and Chair, Department of Chemical Engineering, change leave from August Quarter 2003, Winter Quarter and Spring Quarter 2004, to Winter Quarter, Spring Quarter and Autumn Quarter 2004.

Emeritus Titles

J. RICHARD SISSON, Office of Academic Affairs and Department of Political Science, with the title Senior Vice President and Provost and Professor Emeritus, effective September 1, 2003.

WAYNE L. BACON, Department of Animal Sciences, with the title Professor Emeritus, effective September 1, 2003.

DONALD R. HOUSER, Department of Mechanical Engineering, with the title Professor Emeritus, effective September 1, 2003.

CAROL W. KENNEDY, College of Nursing, with the title Professor Emeritus, effective September 1, 2003.

JOHN P. SCHOESSLER, College of Optometry, with the title Professor Emeritus, effective January 1, 2004.

STEPHEN A. SEBO, Department of Electrical Engineering, with the title Professor Emeritus, effective October 1, 2003.

LI-SHENG W. FU, Department of Mechanical Engineering, with the title Associate Professor Emeritus, effective September 1, 2003.

Promotions and Tenure

COLLEGE OF SOCIAL & BEHAVIORAL SCIENCES

PROMOTION TO PROFESSOR

Steffensmeier, Janet M., Political Science - effective 10/01/2003

CORRECTIONS

COLLEGE OF FOOD, AGRICULTURAL & ENVIRONMENTAL SCIENCES

TENURE [at rank of Associate Professor]

Soboyejo, Alfred B. O., Food, Agricultural & Biological Engineering - effective 10/01/2003

PERSONNEL ACTIONS (contd)

Promotions and Tenure (contd)

CORRECTIONS (contd)

COLLEGE OF MEDICINE & PUBLIC HEALTH

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Leone, Gustavo W., Molecular Virology, Immunology & Medical Genetics - *effective [on hold]*
[Final approval is postponed because candidate is a foreign national lacking permanent residency status]

Medical Staff--Initial Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Naeem A. Ali, M.D., James Associate Attending, James Internal Medicine, Pulmonary, 7/1/03 – 12/1/03

Daria G. Arbogast, C.N.P., James Allied Health, James Internal Medicine, Hematology/Oncology, 5/9/03 – 11/3/03

David P. Bahner, M.D., James Associate Attending, James Emergency Med, 5/9/03 – 11/3/03

Sergio D. Bergese, M.D., James Associate Attending, James Anesthesiology, 7/1/03 – 12/1/03

Michelle L. Brooker, C.N.P., James Allied Health, James Internal Medicine, Hematology/Oncology, 7/1/03 – 12/1/03

Nabil J. Farhan, M.D., James Associate Attending, James Internal Medicine, Nephrology, 6/13/03 – 12/1/03

Jeffrey W. Hazey, M.D., James Associate Attending, James Surgery, General Surgery, 7/15/03 – 1/5/04

Quazi M.A. Hossain, M.D., James Associate Attending, James Internal Medicine, General Medicine, 7/1/03 – 12/1/03

Billy Ray Hunter, Sr., M.D., James Associate Attending, James Psychiatry, 7/1/03 – 12/1/03

Andrei V. Manilchuk, M.D., James Associate Attending, James Surgery, General Surgery, 7/1/03 – 12/1/03

Dean J. Mikami, M.D., James Associate Attending, James Surgery, General Surgery, 7/1/03 – 12/1/03

Matthew E. Newlin, M.D., James Associate Attending, James Surgery, General Surgery, 7/1/03 – 12/1/03

Ali A. Rikabi, M.D., James Associate Attending, James Radiology, Radiology, 7/15/03 – 1/5/04

Tom E. Rodgers, P.A.-C, James Allied Health, James Surgery, Thoracic/Cardio Surgery, 6/13/03 – 12/1/03

Chittoor Sai-Sudhakar, M.D., James Associate Attending, James Surgery, Thoracic/Cardio Surgery, 7/15/03 – 1/5/04

Dwight Scarborough, M.D., James Clinical Attending, James Internal Medicine, Dermatology, 6/13/03 – 12/1/03

Larry S. Schlesinger, M.D., James Associate Attending, James Internal Medicine, Infectious Disease, 6/13/03 – 12/1/03

Bassel F. Shneker, M.D., James Associate Attending, James Neurology, 7/1/03 – 12/1/03

Adam C. Spiess, M.D., James Associate Attending, James Otolaryngology, 7/1/03 – 12/1/03

Rajive Tandon, M.D., James Associate Attending, James Internal Medicine, Pulmonary, 7/15/03 – 1/5/04

Medical Staff—Provisional to Full Appointments (The Arthur G. James Cancer Hospital and Richard Solove Research Institute)

May/June/July 2003

Jeffrey G. Bell, M.D., James Community Associate, James OB/GYN

Jennifer L. Brown, P.A.-C, James Allied Health, James Surgery, Thoracic/Cardio Surgery

Yiu-Chung Chan, M.D., James Associate Attending, James Psychiatry

Maria H. Estrada, D.O., James Associate Attending, James Pediatrics, Peds/Critical Care

PERSONNEL ACTIONS (contd)

Medical Staff—Provisional to Full Appointments (The Arthur G. James Cancer Hospital and Richard Solove Research Institute) (contd)

May/June/July 2003 (contd)

Stephen L. Houff, M.D., James Associate Attending, James Internal Medicine, General Medicine
Ruthann Kennedy, C.N.P., James Allied Health, James Internal Medicine, Pulmonary
Nadeem Khan, M.D., James Associate Attending, James Pediatrics, Peds/Critical Care
Lin Li, M.D., James Associate Attending, James Anesthesiology,
Joel D. Macy, M.D., James Community Associate, James Family Medicine
Mary J. McCafferty, M.D., James Associate Attending, James Psychiatry
Autumn O'Brien, M.D., James Associate Attending, James Internal Medicine, General Medicine
Osita E. Okpalaoka, M.D., James Associate Attending, James Internal Medicine, General
Medicine
Crystl C. Osborn, M.D., James Community Associate, James Psychiatry
Howard A. Paskett, P.A.-C, James Allied Health, James Surgery, Orthopedic Surgery
Kamal S. Pohar, M.D., James Attending, James Surgery, Urologic Surgery
Gary C. Reid, M.D., James Community Associate, James OB/GYN
Radu V. Saveanu, M.D., James Associate Attending, James Psychiatry
Benjamin C. Sun, M.D., James Associate Attending, James Surgery, Thoracic/Cardio Surgery
Karen M. Thomas, D.O., James Associate Attending, James Neurology
Joel M. Weaver, D.D.S., Ph.D., James Associate Attending, James Anesthesiology
Bruce E. Woodworth, M.D., James Clinical Attending, James Surgery, Urologic Surgery

Medical Staff—Request for Additional Privileges (The Arthur G. James Cancer Hospital and Richard Solove Research Institute)

Anil Agarwal, M.D., James Associate Attending, Internal Medicine, Moderate Sedation, 7/15/03 – 6/30/05
Talal Attar, M.D., James Associate Attending, Internal Medicine, Moderate Sedation, 7/15/03 – 6/30/05
Michelle Brooker, C.N.P., James Allied Health, Internal Medicine, Prescriptive Authority, 7/15/03 – 6/30/05
Sandeep Chopra, M.D., James Community Assoc., Internal Medicine, Moderate Sedation, 7/15/03 – 6/30/05
Susan Ezzone, C.N.P., James Allied Health, Internal Medicine, Diagnostic lumbar puncture; Lumbar puncture w/intrathecal chemo; Diagnostic tap of omya reservoir; Omya reservoir tap w/instillation of intrathecal chemotherapy; and Temporary apheresis catheter removal, 7/15/03 – 6/30/05
Julia Garrett, C.N.P., James Allied Health, Internal Medicine, Prescriptive Authority, 7/15/03 – 6/30/05
Robert Kloos, D.O., James Associate Attending, Surgery, Moderate Sedation, 7/15/03 – 6/30/05
Andrei Manilchuk, M.D., James Associate Attending, Surgery, Moderate Sedation, 7/15/03 – 12/1/03
Dean Mikami, M.D., James Associate Attending, Surgery, Moderate Sedation, 7/15/03 – 12/1/03
Lisa Rader, C.N.P., James Allied Health, Internal Medicine, Prescriptive Authority, 7/15/03 – 6/30/04
Kelly Slavens, C.N.P., James Allied Health, Internal Medicine, Prescriptive Authority, 7/15/03 – 6/30/04
G. Todd Schulte, M.D., James Associate Attending, Anesthesiology, IDET, 7/15/03 – 6/30/04

Medical Staff--Reappointments (The Arthur G. James Cancer Hospital and Richard Solove Research Institute)

7/1/2003 – 6/30/2005

Sally Abbott, M.D., James Community Associate, James Family Medicine
Kamel S. Abraham, M.D., James Community Associate, James Anesthesiology

PERSONNEL ACTIONS (contd)

Medical Staff--Reappointments (The Arthur G. James Cancer Hospital and Richard Solove Research Institute) (contd)

7/1/2003 – 6/30/2005 (contd)

William T. Abraham, M.D., James Associate Attending, James Internal Medicine, Cardiology
Anil K. Agarwal, M.D., James Associate Attending, James Internal Medicine, Nephrology
Amit Agrawal, M.D., James Attending, James Otolaryngology
Faiq Akhter, M.D., James Community Associate, James Internal Medicine, Cardiology
James N. Allen, Jr., M.D., James Associate Attending, James Internal Medicine, Pulmonary
Ananth Annamraju, M.D., James Community Associate, James Surgery, Urologic Surgery
Marjorie S. Anderson, C.N.S., James Allied Health, James Department of Nursing, James
Psychiatry
Daria G. Arbogast, C.N.P., James Allied Health, James Internal Medicine, Hematology/Oncology
Talat T. Attar, M.D., James Associate Attending, James Internal Medicine, Cardiology
Glen F. Aukerman, M.D., James Associate Attending, James Family Medicine
Belinda R. Avalos, M.D., James Attending, James Internal Medicine, Hematology/Oncology
Onsy S. Ayad, M.D., James Associate Attending, James Pediatrics, Peds/Critical Care
Robert R. Bahnson, M.D., James Attending, James Surgery, Urologic Surgery
Michael A. Baird, M.D., James Associate Attending, James Internal Medicine, Gastroenterology
Krish Balakrishnan, M.D., James Community Associate, James Surgery, General Surgery
Constance J. Bauer, M.D., James Attending, James Radiology, Radiation Oncology
William H. Bay, M.D., James Associate Attending, James Internal Medicine, Nephrology
William J. Becker, D.O., M.P.H., James Associate Attending, James Pathology
Elaine A. Beed, M.D., James Community Oncology, James Internal Medicine, Hematology/
Oncology
Tanios S. Bekaii-Saab, M.D., James Attending, James Internal Medicine, Hematology/Oncology
David C. Bell, M.D., James Clinical Attending, James OB/GYN
Jeffrey G. Bell, M.D., James Community Associate, James OB/GYN
Susan D. Bell, C.N.P., James Allied Health, James Surgery, Neurologic Surgery
Costantino Benedetti, M.D., James Attending, James Anesthesiology
William F. Bennett, M.D., James Associate Attending, James Radiology
Gail E. Besner, M.D., James Associate Attending, James Surgery, Pediatric Surgery
Nitin Y. Bhatt, M.D., James Associate Attending, James Internal Medicine, Pulmonary
Udayan Y. Bhatt, M.D., James Associate Attending, James Internal Medicine, Nephrology
Brian P. Biernat, M.D., James Clinical Attending, James Internal Medicine, Dermatology
David R. Billing, M.D., James Community Associate, James OB/GYN
Clara D. Bloomfield, M.D., James Attending, James Internal Medicine, Hematology/Oncology
Michael L. Blumenfeld, M.D., James Associate Attending, James OB/GYN
Gary D. Bos, M.D., James Attending, James Orthopaedics, James Orthopaedic Surgery
Eric C. Bourekas, M.D., James Associate Attending, James Radiology
James G. Bova, D.O., James Associate Attending, James Radiology
Jennifer L. Brown, P.A.-C, James Allied Health, James Surgery, Thoracic/Cardio Surgery
Kristine K. Browning, C.N.P., James Allied Health, James Department of Nursing, Hematology/
Oncology
Robert A. Bruce, Jr., M.D., James Associate Attending, James Ophthalmology
James R. Bruno, D.M.D., M.D., James Associate Attending, James Surgery, Plastic Surgery
Donald K. Bryan, M.D., James Clinical Attending, James OB/GYN
Brentley A. Buchele, M.D., James Associate Attending, James Surgery, Plastic Surgery
Pamela S. Bucklew, M.D., James Community Associate, James Surgery, General Surgery
Maureen M. Buckner, C.N.P., James Allied Health, James Internal Medicine,
Hematology/Oncology
Michael A. Burgin, M.D., James Associate Attending, James Internal Medicine, General Medicine
Stephen J. Burgun, M.D., James Associate Attending, James Internal Medicine, Endocrinology,
Diab/ Metabolism
Charles A. Bush, M.D., James Associate Attending, James Internal Medicine, Cardiology

PERSONNEL ACTIONS (contd)

Medical Staff--Reappointments (The Arthur G. James Cancer Hospital and Richard Solove Research Institute) (contd)

7/1/2003 – 6/30/2005 (contd)

John C. Byrd, M.D., James Attending James Internal Medicine, Hematology/Oncology
James H. Caldwell, M.D., James Associate Attending, James Internal Medicine, Gastroenterology
Michael A. Caligiuri, M.D., James Attending, James Internal Medicine Hematology/Oncology
Donna A. Caniano, M.D., James Associate Attending, James Surgery Pediatric Surgery
Renee M. Caputo, M.D., James Associate Attending, James OB/GYN James OB/GYN
Samuel Cataland, M.D., James Associate Attending, James Internal Medicine, Endocrinology,
Diab/ Metabolism
Spero R. Cataland, M.D., James Attending, James Internal Medicine, Hematology/Oncology
Guillermo E. Chacon, D.D.S., James Associate Attending, James Dentistry
Amrit L. Chadha, M.D., James Community Associate, James Neurology
Donald W. Chakeres, M.D., James Associate Attending, James Radiology
Robert B. Chambers, D.O., James Associate Attending, James Ophthalmology
Yiu-Chung Chan, M.D., James Associate Attending, James Psychiatry
Dongwoo J. Chang, M.D., James Associate Attending, James Surgery, Neurologic Surgery
Sandeep Chopra, M.D., James Community Associate, James Internal Medicine, Cardiology
Anthimos J. Christoforidis, M.D., James Associate Attending, James Radiology
Greg A. Christoforidis, M.D., James Associate Attending, James Radiology
Paul P. Chu, M.D., James Community Associate, James Anesthesiology
Daniel M. Clinchot, M.D., James Associate Attending, James Phys Med/Rehab
Steven K. Clinton, M.D., Ph.D., James Attending, James Internal Medicine, Hematology/
Oncology
David E. Cohn, M.D., James Attending, James OB/GYN, Gynecologic Oncology
A. Neil Cole, M.D., James Community Associate, James Surgery, Neurologic Surgery
David F. Colombo, M.D., James Associate Attending, James OB/GYN
Edward A. Copelan, M.D., James Attending, James Internal Medicine, Hematology/Oncology
Christopher M. Copeland, M.D., James Clinical Attending, James OB/GYN
Larry J. Copeland, M.D., James Attending, James OB/GYN, Gynecologic Oncology
Elliott D. Crouser, M.D., James Associate Attending, James Internal Medicine, Pulmonary
Robert R. Crowell, M.D., James Associate Attending, James Orthopaedics, James Orthopaedic
Surgery
Michael F. Cunningham, M.D., James Associate Attending, James Surgery, Urologic Surgery
Matthew E. Dangel, M.D., James Associate Attending, James Ophthalmology
Curt J. Daniels, M.D., James Associate Attending, James Internal Medicine, Cardiology
Marcella Dardani, D.O., James Associate Attending, James Radiology
Elillian J. Daugherty, M.D., James Community Associate, James Pathology
Noshir E. Deboo, M.D., James Community Associate, James Orthopaedics, James Orthopaedic
Surgery
Lisa M. DeLong, M.D., James Community Associate, James OB/GYN
Joseph G. Demeter, M.D., James Community Associate, James Surgery, General Surgery
Philip T. Diaz, M.D., James Associate Attending, James Internal Medicine, Pulmonary
Kiran K. Devulapally, M.D., James Community Associate, James Internal Medicine, General
Medicine
Galina T. Dimitrova, M.D., James Associate Attending, James Anesthesiology
Edward E. Dodson, M.D., James Associate Attending, James Otolaryngology
John W. Dobson, M.D., James Community Associate, James Orthopaedics, James Orthopaedic
Surgery
Jerald S. Dudley, M.D., James Community Associate, James Internal Medicine, General
Medicine
Lisa R. Dunn- Albanese, M.D., James Associate Attending, James OB/GYN
Lynne A. Eaton, M.D., James Attending, James OB/GYN, Gynecologic Oncology
M. Farid Edwards, M.D., James Associate Attending, James Anesthesiology
Charles F. Eisenbeis, II, M.D., Ph.D., James Attending, James Internal Medicine, Hematology/
Oncology

PERSONNEL ACTIONS (contd)

Medical Staff--Reappointments (The Arthur G. James Cancer Hospital and Richard Solove Research Institute) (contd)

7/1/2003 – 6/30/2005 (contd)

James P. Ellis, D.D.S., James Associate Attending, James Dentistry
E. Christopher Ellison, M.D., James Associate Attending, James Surgery, General Surgery
Hamdy M. Elsayed-Awad, M.D., James Associate Attending, James Anesthesiology
Charis E. Eng, M.D., Ph.D., James Attending, James Internal Medicine, Clinical Cancer Genetics
Avrom D. Epstein, M.D., James Associate Attending, James Ophthalmology
Thomas W. Ericksen, M.D., James Community Associate, James Internal Medicine, General
Medicine
Garth F. Essig, M.D., James Associate Attending, James OB/GYN
Maria H. Estrada, D.O., James Associate Attending, James Pediatrics, Peds/Critical Care
Cynthia B. Evans, M.D., James Associate Attending, James OB/GYN James OB/GYN
Susan A. Ezzone, C.N.P., James Allied Health, James Department of Nursing, James Medical
Oncology
Patrick J. Fahey, M.D., James Associate Attending, James Family Medicine
Ruairi J. Fahy, M.D., James Associate Attending, James Internal Medicine, Pulmonary
Nabil J. Farhan, M.D., James Associate Attending, James Internal Medicine, Nephrology
Sherif S. Farag, M.D., Ph.D., James Attending, James Internal Medicine, Hematology/Oncology
Howard P. Fischbach, III, M.D., James Community Associate, James Anesthesiology
Jill A. Fitch, M.D., James Associate Attending, James Pediatrics, Peds/Critical Care
Mary E. Fontana-Wise, M.D., James Associate Attending, James Internal Medicine, Cardiology
L. Arick Forrest, M.D., James Associate Attending, James Otolaryngology
Jeffrey M. Fowler, M.D., James Attending, James OB/GYN, Gynecologic Oncology
Miriam L. Freimer, M.D., James Associate Attending, James Neurology
Barry M. Friedman, M.D., James Associate Attending, James Internal Medicine, General
Medicine
Chad I. Friedman, M.D., James Associate Attending, James OB/GYN
John J. Fromkes, M.D., James Associate Attending, James Internal Medicine, Gastroenterology
Richard W. Furay, M.D., James Community Associate, James Surgery, General Surgery
Alan L. Gabbard, M.D., James Community Associate, James Internal Medicine, Gastroenterology
Reinhard A. Gahbauer, M.D., James Attending, James Radiology, Radiation Oncology
Pablo A. Gamboa, M.D., James Associate Attending, James Radiology
Julia A. Garrett, C.N.P., James Allied Health, James Department of Nursing, Hematology/
Oncology
William A. Garringer, M.D., James Community Associate, James Emergency Medicine
Ahmed M. Ghany, M.D., James Clinical Attending, James Internal Medicine, Hematology/
Oncology
Rupa Ghosh-Berkebile, C.N.P., James Allied Health, James Internal Medicine, Hematology/
Oncology
John B. Gillen, III, M.D., James Community Associate, James Otolaryngology
Ernesto Goldman, M.D., James Associate Attending, James Anesthesiology
Michael C. Gong, M.D., Ph.D., James Attending, James Surgery, Urologic Surgery
Gayle M. Gordillo, M.D., James Associate Attending, James Surgery, Plastic Surgery
Richard E. Gordon, Jr., M.D., James Community Associate, James Family Medicine
Iain L. Grant, M.D., James Associate Attending, James Otolaryngology
Linda S. Gray, M.D., James Associate Attending, James Internal Medicine, Rheumatology
John C. Grecula, M.D., James Attending, James Radiology, Radiation Oncology
Michael R. Grever, M.D., James Attending, James Internal Medicine, Hematology/Oncology
Bhagwandas Gupta, M.D., James Associate Attending, James Anesthesiology
Robert M. Guthrie, M.D., James Associate Attending, James Emergency Medicine
Rebecca M. Gutmann, M.D., James Associate Attending, James Anesthesiology
Gregory E. Guy, M.D., James Associate Attending, James Radiology
Kevin V. Hackshaw, M.D., James Associate Attending, James Internal Medicine, Rheumatology
Djavid Hadian, M.D., James Associate Attending, James Internal Medicine, Cardiology
Mona Y. Halim-Armanios, M.D., James Associate Attending, James Anesthesiology

PERSONNEL ACTIONS (contd)

Medical Staff--Reappointments (The Arthur G. James Cancer Hospital and Richard Solove Research Institute) (contd)

7/1/2003 – 6/30/2005 (contd)

Dean W. Hearne, M.D., James Associate Attending, James Internal Medicine, Dermatology
Lee A. Hebert, M.D., James Associate Attending, James Internal Medicine, Nephrology
Adam B. Hessel, M.D., James Associate Attending, James Internal Medicine, Dermatology
Timothy W. Hickerson, M.D., James Community Associate, James Internal Medicine
William J. Hicks, M.D., James Attending, James Internal Medicine, Hematology/Oncology
Stephen P. Hoffmann, M.D., James Associate Attending, James Internal Medicine, Pulmonary
John E. Hohmann, M.D., James Clinical Attending, James Anesthesiology
Tracy Hollar Ruegg, C.N.P., James Allied Health, James Internal Medicine, Hematology/
Oncology
Scott A. Holliday, M.D., James Associate Attending, James Internal Medicine, General Medicine
G. Anthony Holt, M.D., James Community Associate, James Internal Medicine, General Medicine
Stephen L. Houff, M.D., James Associate Attending, James Internal Medicine, General Medicine
Michael B. Howie, M.D., James Associate Attending, James Anesthesiology
Yiqun Hu, M.D., Ph.D., James Associate Attending, James Neurology
Jay D. Iams, M.D., James Associate Attending, James OB/GYN
Y. Charley Imamura, M.D., James Community Associate, James Internal Medicine, General
Medicine
Rebecca D. Jackson, M.D., James Associate Attending, James Internal Medicine, Endocrinology,
Diab/Metabolism
Kenneth L. Jacobs, D.O., James Community Associate, James Emergency Medicine
M. Husain Jawadi, M.D., James Community Associate, James Internal Medicine, Endocrinology,
Diab/Metabolism
V. Rama Jayanthi, M.D., James Associate Attending, James Surgery, Urologic Surgery
Michael G. Johanson, D.O., James Associate Attending, James Anesthesiology
Ernest W. Johnson, M.D., James Associate Attending, James Phys Med/Rehab
Daniel E. Jolly, D.D.S., James Associate Attending, James Dentistry
Gerard S. Kakos, M.D., James Associate Attending, James Surgery, Thoracic/Cardio Surgery
John R. Kalmar, D.M.D., Ph.D., James Associate Attending, James Dentistry
Loree K. Kalliainen, M.D., James Associate Attending, James Surgery, Plastic Surgery
Sherman A. Katz, M.D., James Clinical Attending, James Surgery, Thoracic/Cardio Surgery
Steven E. Katz, M.D., James Associate Attending, James Ophthalmology
Jeffrey L. Kearfott, M.D., James Community Associate, James Ophthalmology
Lisa M. Keder, M.D., James Clinical Attending, James OB/GYN
Thomas C. Keeling, M.D., James Community Associate, James Internal Medicine, Infectious
Diseases
Scott K. Kellogg, D.O., James Community Associate, James Family Medicine
Scott K. Kellogg, D.O., James Community Associate, James Emergency Medicine, James
Emergency Medicine
David R. Kelly, M.D., James Associate Attending, James Otolaryngology
Garrett T. Kelly, M.D., James Associate Attending, James Anesthesiology
Lisa C. Kemp, C.N.P., James Allied Health, James Department of Nursing, Surgical Oncology
Kari L. Kendra, M.D., Ph.D., James Attending, James Internal Medicine, Hematology/Oncology
Elizabeth A. Kennard, M.D., James Associate Attending, James OB/GYN
Ruthann Kennedy, C.N.P., James Allied Health, James Internal Medicine, Pulmonary
Rohn T. Kennington, M.D., James Community Associate, James Emergency Medicine
Sedigheh Keyhani-Rofagha, M.D., James Associate Attending, James Pathology
Hooman Khabiri, M.D., James Associate Attending, James Radiology
Nadeem Khan, M.D., James Associate Attending, James Pediatrics, Peds/Critical Care
Denis R. King, M.D., James Clinical Attending, James Surgery, Pediatric Surgery
Mark A. King, M.D., James Associate Attending, James Radiology
Pauline G. King, C.N.S., James Allied Health, James Department of Nursing, James Psychiatry
Robert B. Kirkpatrick, III, M.D., James Associate Attending, James Internal Medicine,
Gastroenterology

PERSONNEL ACTIONS (contd)

Medical Staff--Reappointments (The Arthur G. James Cancer Hospital and Richard Solove Research Institute) (contd)

7/1/2003 – 6/30/2005 (contd)

Lawrence S. Kirschner, M.D., Ph.D., James Associate Attending, James Internal Medicine, Endocrinology, Diab/ Metabolism

John T. Kissel, M.D., James Associate Attending, James Neurology

Richard T. Kloos, M.D., James Associate Attending, James Internal Medicine, Endocrinology, Diab/Metabolism

Robert E. Kneisley, M.D., James Community Associate, James Family Medicine

Albert J. Kolibash, Jr., M.D., James Associate Attending, James Internal Medicine, Cardiology

Alan J. Kover, M.D., James Associate Attending, James Anesthesiology

Eric H. Kraut, M.D., James Attending, James Internal Medicine, Hematology/Oncology

Rick A. Kukulka, M.D., James Community Associate, James Radiology

Pius Kurian, M.D., James Community Associate, James Internal Medicine, Nephrology

Stephanie E. Ladson-Wofford, M.D., James Associate Attending, James Internal Medicine, Nephrology

James F. Lamb, M.D., James Associate Attending, James Internal Medicine, General Medicine

Sumant Lamba, M.D., James Associate Attending, James Internal Medicine, Cardiology

David R. Lambert, M.D., James Associate Attending, James Internal Medicine, Dermatology

Mark B. Landon, M.D., James Associate Attending, James OB/GYN

John A. Larry, M.D., James Associate Attending, James Internal Medicine, Cardiology

Peter E. Larsen, D.D.S., James Associate Attending, James Dentistry

Carl V. Leier, M.D., James Associate Attending, James Internal Medicine, Cardiology

Robert M. Lemming, M.D., James Community Associate, James Radiology

Joanne L. Lester, C.N.P., James Allied Health, James Department of Nursing, Surgical Oncology

Alan D. Letson, M.D., James Clinical Attending, James Ophthalmology

Edward J. Levine, M.D., James Associate Attending, James Internal Medicine, Gastroenterology

Richard P. Lewis, M.D., James Associate Attending, James Internal Medicine, Cardiology

Lin Li, M.D., James Associate Attending, James Anesthesiology

Thomas S. Lin, M.D., Ph.D., James Attending, James Internal Medicine, Hematology/Oncology

Gwyn R. Londeree, M.D., James Clinical Attending, James Internal Medicine, Dermatology

Luis A. Lopez, M.D., James Associate Attending, James Anesthesiology

Holly B. Loughlin, C.N.P., James Allied Health, James Internal Medicine, Hematology/Oncology

Charles J. Love, M.D., James Associate Attending, James Internal Medicine, Cardiology

MaryBeth Luca, D.O., James Associate Attending, James Internal Medicine, Dermatology

Catherine R. Lucey, M.D., James Associate Attending, James Internal Medicine, General Medicine

D. Joanne Lynn, M.D., James Associate Attending, James Neurology

RESOLUTIONS IN MEMORIAM

Resolution No. 2004-33

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

RESOLUTIONS IN MEMORIAM (contd)

Don M. Hosier

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 7, 2003, of Don M. Hosier, Professor Emeritus in the Department of Pediatrics and former director of the Cardiac Laboratory and Clinic at Columbus Children's Hospital.

Don M. "Bud" Hosier, M.D., a native of Piqua, Ohio, received his B.S. degree from Capital University in 1945 and was awarded his M.D. degree from The Ohio State University in 1947. He then completed a surgery internship at Jersey City Medical Center and a pediatric residency at Columbus Children's Hospital. He spent academic year 1949-1950 on a fellowship in pediatric cardiology at the Johns Hopkins University Hospital in Baltimore, Maryland. From 1950-1952 he served as a lieutenant in the U.S. Navy before completing a pediatric residency at Columbus Children's Hospital in 1952-1953. For academic year 1953-1954, he returned to the Johns Hopkins University Hospital as a fellow in pediatric cardiology. In 1954, he was appointed an assistant professor in the Department of Pediatrics at The Ohio State University College of Medicine, where he advanced to the rank of associate professor in 1959 and that of professor in 1965, a rank which he held until his retirement in 1988. In 1965, he was awarded board certification by the American Board of Pediatrics and the American Board of Pediatric Cardiology. He also served as the director of the Cardiac Laboratory and Clinic at Columbus Children's Hospital from 1954-1988.

Dr. Hosier was awarded the Ohio State Medical Association Teaching Award in 1961; the American College of Surgeons Surgical Teaching Exhibit Award in 1970; the Pediatrician of the Year Award from the house staff at Columbus Children's Hospital in 1981; the Alumni Achievement Award from Capital University in 1972; and the Alumni Achievement Award from The Ohio State University College of Medicine in 1997. From 1961-1962, he served as the president of the Central Ohio Pediatric Society and was the cofounder, in 1973, of the Ohio Doctors Interested in Children's Hearts (ODICH). He also initiated medical service to children with cardiac diseases in southern and southeastern Ohio. He authored 41 papers in medical journals.

Dr. Hosier was a fellow of the American Academy of Pediatrics in Pediatric Cardiology and a fellow of the American College of Cardiology. He held memberships in the American Federation for Clinical Research, the Central Ohio Heart Association, the Central Ohio Pediatric Society, the Midwestern Society for Pediatric Research, and the Ohio State Heart Association. He also served on the American Heart Association Committee for Rheumatic Fever and Congenital Heart Disease and the Advisory Board for Crippled Children for the State of Ohio.

He was a charter member of the Upper Arlington Lutheran Church, where over the years he served as a Sunday School teacher; a Bethel Bible teacher; a Crossways teacher; and as chairman of various boards and committees. He also served as the chairman of the board of trustees for the Building Committee for Lutheran Senior City in Columbus. He was named a Paul Harris Fellow by the Rotary Foundation of Rotary International.

Dr. Hosier had many outside interests; he was an avid fly-fisherman, golfer, and banjo player. He was also truly devoted to his wife and family. Bud will be dearly missed by those who knew and loved him.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Hosier its deepest sympathy. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

RESOLUTIONS IN MEMORIAM (contd)

William M. Johnson

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 4, 2003, of William M. Johnson, Assistant Dean Emeritus in the College of Veterinary Medicine.

Colonel Johnson was employed at the University for 11 years, 1968 until his retirement in 1979. His responsibilities included serving as the project officer for the College's planning and construction of a new 220,000 square foot veterinary teaching hospital. He served as chair of the University Energy Conservation Committee for four years and as consultant to the Pan American Health Organization.

Prior to his appointment at the University, Colonel Johnson had a distinguished 30-year career in the Medical Service Corp of the United States Air Force. He rose through the ranks and was made colonel in 1957. Colonel Johnson received numerous awards including the Legion of Merit. His tours of duty included service in the Mariana Islands (during WWII), Germany, and Hawaii. During the war in Vietnam, Colonel Johnson designed and organized the airlift of wounded troops from the battlefield to hospitals in the U.S.

On behalf of the University community, the Board of Trustees expresses to the family of William M. Johnson its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Jeffrey L. Molyet

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 1, 2003, of Jeffrey L. Molyet, former member of The Ohio State University Mansfield Regional Campus Board of Trustees.

Mr. Molyet received his Bachelor's degree in English from Ohio State in 1974. In 1981 he received his law degree from the University of Cincinnati. For 22 years he practiced law with Brown, Bemiller, Murray and McIntyre, L.L.P., in Mansfield. An avid Buckeye booster, he served for 15 years with the OSU Alumni Club of Richland County and as its president during 1995 and 1996. He was instrumental in helping to establish the "Richland County Ohio State University Alumni Club The Ohio State University at Mansfield Scholarship Endowment Fund" and his efforts helped add thousands of dollars to the cause. In 1997 he won the Best Buckeye award from the OSU Alumni Association.

Mr. Molyet began serving on the OSU Mansfield Citizen's Council in 1990, serving as chair from 1991-1993. When the Citizens Council became the Mansfield Campus Board of Trustees in 1994, he became a charter member and continued as a member until his term ended on June 30, 2003. As a board member, he worked tirelessly to preserve the Ohio State presence in Mansfield when some persons throughout the state called for changing university branch campuses into community colleges. He also helped spearhead campaigns to bring critical additions to OSU Mansfield, including the general business program, child care, and student housing.

In many other ways Mr. Molyet served his profession and his community faithfully. He was secretary of the Richland County Bar Association for 10 years and was also trustee and president of the Law Library Association. For two years he was president of the Children's Theatre Foundation board of trustees. He chaired three Mansfield City School levy campaigns. For more than a decade he served on the First Lutheran Day School board. He served and was president of the Richland Academy of the Arts board of trustees.

Mr. Molyet was a model of the kind of enlightened citizenship that the University wishes all of its graduates to emulate.

RESOLUTIONS IN MEMORIAM (contd)

Jeffrey L. Molyet (contd)

On behalf of the University community, the Board of Trustees expresses to the family of Jeffrey L. Molyet its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

M. Louise Augenstein Moses

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on August 4, 2003, of M. Louise Augenstein Moses, Associate Professor Emeritus of the Ohio State University Extension.

Ms. Moses was born March 21, 1922, in Marion, Ohio. She received her Bachelor of Science degree in 1946 in home economics education and a Master of Science degree in home economics in 1962 from The Ohio State University.

Louise began her Extension career in Ohio in November 1950, as the home demonstration agent in Crawford County. In April 1959, she was appointed as the home economics agent in Preble County. She held this position until her retirement in May 1978.

Ms. Moses' contributions in providing excellent Extension educational programs during her career earned her the respect and admiration of co-workers and associates throughout the state and nation. She received the Distinguished Service Award from the National Association of Extension Home Economists. Louise also served on the Ohio Cooperative Extension Agents Association Board of Directors and the Ohio 4-H Foundation Board, and was vice president of the Ohio Cooperative Extension Agents Association. She chaired the nominating committee for the National Association of Extension Home Economists and was elected chairperson of the State Presidents Group of this association as well.

The outstanding continuing educational programs in home economics for the citizens in Preble County and the county home economics council was recognized as one of the most active in the entire state. She was instrumental in initiating a "Listen and Learn" series of mini courses in Preble County. In addition to teaching home economics subject matter to the Preble County citizens, she was actively involved with the 4-H program and served as a teacher for advisor workshops and conducted numerous in-service training sessions.

On behalf of the University community, the Board of Trustees expresses to the family of Professor M. Louise Augenstein Moses its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Ralf G. Rahwan

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 5, 2003, of Ralf G. Rahwan, Professor Emeritus in the College of Pharmacy, Division of Pharmacology.

Professor Rahwan received a Bachelor of Science degree in pharmacy and pharmaceutical chemistry from Cairo University in Egypt in 1961; a Master of Science degree in pharmacology from Butler University in Indianapolis, IN, in 1970; and a Ph.D. degree in pharmacology and toxicology from Purdue University in 1972. He held positions at Hoechst Pharmaceutical Company and the Dow Chemical Company before joining the College of Pharmacy at The Ohio State University in 1972 as an assistant professor. He was promoted to associate professor in 1976 and to full professor in 1982.

RESOLUTIONS IN MEMORIAM (contd)

Ralf G. Rahwan (contd)

Dr. Rahwan was a member of several professional and honor societies, including the American Society for Pharmacology and Experimental Therapeutics, The Society of Toxicology, the American Society of Clinical Pharmacology and Therapeutics, the Society of Sigma Xi, the Phi Kappa Phi Honor Society, and the Rho Chi Pharmaceutical Honor Society.

Professor Rahwan was a master teacher who played a key role in the education of students in the professional pharmacy program. He taught several required courses in pharmacology as well as a very popular elective course in toxicology. Professor Rahwan had a lively, animated, enthusiastic teaching style, which engaged students and stimulated their thinking about the subject matter. He also had the ability to present complex information in a clear, concise manner. He was an excellent role model for students, as they not only learned about the actions of therapeutic agents but, by the example that he set in class, also learned to communicate better with patients and with each other. Students consistently indicated that Professor Rahwan was their favorite professor because of the energy he put forth in his teaching and the excitement that he brought to the subject matter. In recognition of his teaching skills, Professor Rahwan was selected by students of the College of Pharmacy to receive the Miriam R. Balshone Memorial Award for Distinguished Teaching six times. In addition, he received The Ohio State University Distinguished Teaching Award in 2001, which is the highest teaching award in the University.

Professor Rahwan made major contributions to basic research in pharmacology and toxicology. He authored or co-authored approximately 100 research and professional publications, encompassing a wide range of areas including endocrine and reproductive pharmacology, cardiovascular pharmacology, gastrointestinal pharmacology, neuropharmacology, toxicology, and teratology. Professor Rahwan had many publications in professional journals on the clinical use of drugs in therapy, including pharmacological approaches to birth control, mechanisms of abnormal fetal development, medical treatment for insomnia, the irritable bowel syndrome, the pharmacology of androgenic hormones and anabolic steroids, and aspirin and Reye's syndrome. He was particularly proud of the Reye's syndrome article, which was co-authored with his son. Professor Rahwan's clinical articles were so well-written and received that he was continually asked by the editors of pharmacy journals to write professional reviews of medical subjects.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Rahwan its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed in the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Trent W. Smith

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 9, 2003, of Trent W. Smith, M.D., Professor Emeritus in the Department of Otolaryngology.

Professor Smith was both an undergraduate and medical graduate of The Ohio State University. He was a member of the "Varsity O" in polo while an undergraduate student. Dr. Smith served as a flight surgeon in the Army Air Force during World War II. After the war, he returned to Columbus to practice otolaryngology and became one of the first facial plastic surgeons in the United States.

He published extensively in the national medical literature about his personal innovations in facial plastic surgical techniques and was nationally renowned, especially for his work on nasal reconstructive surgery. He served as president of the American Academy of Facial Plastic and Reconstructive Surgery in 1974-1975.

In addition to his professional career, Dr. Smith maintained an active interest in polo throughout his life. He continued to play competitive polo with the Columbus Polo Club until he was 82 years

RESOLUTIONS IN MEMORIAM (contd)

Trent W. Smith (contd)

old. In addition, he was totally devoted to his family. His son, T. Wynn Smith, M.D., has served as a faculty member in The Ohio State University Department of Otolaryngology since 1981 and also maintains a sub-specialty interest in facial plastic surgery.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Trent W. Smith its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2004-34

Synopsis: The reports on research and other sponsored program contracts and grants and the summaries for June and July 2003 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the months of June and July 2003 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2004-35

Synopsis: The report on the receipt of gifts and the summary for June 2003 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Bert C. Wiley, M.D., Chair in Physical Medicine and Rehabilitation, The Esther Dardinger Endowed Chair in Neuro-Oncology, The Dardinger Family Endowed Chair in Oncological Neurosurgery, and the David A. Rismiller Professorship in Management; and

WHEREAS this report includes the establishment of twenty-two (22) new named endowed funds and the amendment of five (5) named endowed funds:

NOW THEREFORE

REPORT ON UNIVERSITY DEVELOPMENT (contd)

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of June 2003 be approved.

TOTAL UNIVERSITY PRIVATE SUPPORT

July through June
2002-03 Compared to 2001-02

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through June		
	<u>2002-03</u>	<u>2001-02</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$36,334,075	\$33,260,106	9
Alumni (From Bequests)	<u>7,374,703</u>	<u>5,811,342</u>	27
Alumni Total	\$43,708,778	\$39,071,448	12
Non-Alumni (Current Giving)	\$19,114,479	\$16,706,727	14
Non-Alumni (From Bequests)	<u>5,614,625</u>	<u>3,619,011</u>	55
Non-Alumni Total	\$24,729,104	\$20,325,738	22
Individual Total	\$68,437,882	\$59,397,186	15 ^A
Corporations/Corp/Foundations	\$68,128,282	\$68,970,090	(1)
Private Foundations	\$24,977,996	\$30,227,818	(17) ^B
Associations and Other Organizations	<u>\$34,215,254*</u>	<u>\$20,897,592</u>	64 ^C
Total	\$195,759,414	\$179,492,686	9

* Includes a charitable distribution of \$14,289,734 as a result of a legal settlement

NOTES

- A Individual giving is up 15% largely due to the fact that gifts of \$10,000 or more are up 21% (642 gifts for \$38.3 million last year; 730 gifts for \$46.4 million this year).
- B Foundation giving at the \$10,000 or more level is down 30% (\$25.8 million from 230 gifts last year; \$18.1 million from 233 gifts this year).
- C Giving from associations and other organizations is up 64% for the fiscal year because a charitable distribution from a legal settlement (\$14.2 million) was received.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July from June
2002-03 Compared to 2001-02

GIFT RECEIPTS BY PURPOSE

	Dollars July through June		
	<u>2002-03</u>	<u>2001-02</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$23,976,110	\$26,751,606	(10)
Faculty Support	\$10,548,069	\$12,391,800	(15)
Program Support	\$132,618,195	\$117,916,174	12
Student Financial Aid	\$20,982,395	\$12,932,221	62
Annual Funds-Colleges/Departments	\$6,528,856	\$8,229,446	(21)
Annual Funds-University	<u>\$1,105,789</u>	<u>\$1,271,439</u>	(13)
Total	\$195,759,414	\$179,492,686	9

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through June

<u>2002-03</u>	<u>2001-02</u>	<u>% Change</u>
\$40,653,204	\$38,035,369	7

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Chair</u>			
The Bert C. Wiley, M.D., Chair in Physical Medicine and Rehabilitation (Used to support a faculty chair position in the Department of Physical Medicine and Rehabilitation; provided by gifts from an anonymous donor) (grandfathered)	\$891,336.00		\$891,336.00
<u>Establishment of Named Endowed Funds</u>			
The John M. Frank Distinguished Scholarship Fund (Used to provide scholarships to qualified National Merit/National Achievement Scholars; provided by gifts from John M. Frank)		\$1,150,000.00	\$1,150,000.00
The Dr. Robert H. Bremner Memorial Scholarship Fund (Used to support graduate student education in U.S. history in the Department of History; provided by gifts from family, friends, and colleagues of Professor Emeritus Robert Bremner)		\$36,790.00	\$36,790.00
The Francis and Virginia Hazard Scholarship Fund (Used to provide scholarships for qualified students attending OSU-Marion; provided by a gift from Dean F. Dominic Dottavio)		\$25,000.00	\$25,000.00
The Ohio State University String Education Scholarship Fund (Used to fund a scholarship to an undergraduate music education string major; provided by gifts from an annual OSU Midwest Summer String Teacher Conference)		\$25,000.00	\$25,000.00
The Triplett-VanDoren No-Tillage Experimental Plots Endowment Fund (Used to support activities at the long-term tillage and rotation plots; provided by gifts from Dr. David VanDoren, Dr. Glover Triplett, and other supporters of no-tillage) (grandfathered)		\$15,251.14	\$15,251.14
Donald E. and Lillian Sims Scholarship Fund (Used to provide academic merit scholarships for undergraduates with preference for those from Marion County; provided by gifts from Donald E. Sims and Dean F. Dominic Dottavio) (grandfathered)		\$15,000.00	\$15,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Description of Named Endowed Chair

The Julius F. Stone Chair in Cancer Research

Change in Description of Named Endowed Fund

The Thomas and Evelyn Stephens Scholarship
Fund in Special Education

Change in Name of Named Endowed Fund

From: James E. Pfeifer II K-L Row i-Dot Scholarship Fund
To: Pfeifer Family K-L Row "i-Dot" Scholarship Fund

Change in Name and Description of Named Endowed Funds

From: The Alumni Association Building Endowment Fund
To: The Alumni Association Endowment Fund

From: The Robert A. Barnes Award for Exemplary Teaching
To: The Robert A. Barnes Award Fund for Exemplary Teaching

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Chairs</u>			
The Esther Dardinger Endowed Chair in Neuro-Oncology (Used to support the salary and cancer research of a faculty member in the area of neuro-oncology; provided by gifts in memory of Esther Dardinger)		\$1,500,000.00	\$1,500,000.00
The Dardinger Family Endowed Chair in Oncological Neurosurgery (Used to support the salary and cancer research of a faculty member who is a neurosurgeon in the area of neuro-oncology; provided by gifts in memory of Esther and Robert Dardinger)		\$1,500,000.00	\$1,500,000.00
<u>Establishment of Named Endowed Professorship</u>			
David A. Rismiller Professorship in Management (Used to support a professorship in the Fisher College of Business; provided by gifts from David A. Rismiller)		\$750,000.00	\$750,000.00
<u>Establishment of Named Endowed Funds</u>			
The Laughlin-Craig Medical Scholarship Fund (Used to fund scholarships for medical students; provided by gifts from Eleanor Laughlin Craig Bowsher in honor of Curtis Laughlin and William C. Craig)		\$500,000.00	\$500,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Jim and Karen Davidson Family Athletic Scholarship Fund (Used to supplement the student-athlete grant-in-aid scholarship costs of an undergraduate varsity football team member; provided by gifts from Jim and Karen Davidson, Jim and Wendy Davidson, Jeff and Judi Davidson, and Doug and Jill Davidson)		\$100,000.00	\$100,000.00
The Martha L. King Center Endowed Fund (Used to support the activities of the Martha L. King Center in the College of Education; provided by a gift from Martha L. King)		\$91,183.00	\$91,183.00
The Tressel Family Fund for Cancer Prevention Research (Used to support cancer research; provided by gifts from the Tressel Family and the generous community in which they live, in memory of Elouise and Lee Tressel)		\$62,064.00	\$62,064.00
The Dr. R. Wayne and A. June Masters Electroscience Lab Fund (Used to commemorate Dr. R. Wayne Masters and his desire to advance the Electroscience Laboratory and research electromagnetics; provided by a gift from A. June Masters and Dr. R. Wayne Masters)		\$50,000.00	\$50,000.00
The Barbara Wagner Genteline Endowment Fund for Cancer Research (Used to support research programs at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by a gift from Helen H. Wagner)	\$41,968.00		\$41,968.00
The Richard O. Hecker Football Scholarship Fund (Used to provide scholarship costs of undergraduate student athletes participating on the varsity football team; provided by a gift from Dr. Richard O. Hecker)		\$35,000.00	\$35,000.00
The Juanita Kathryn Melsop Scholarship Fund (Used to provide scholarships for undergraduate architecture students; provided by a gift from J. William and Sandra Melsop)		\$30,000.00	\$30,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
Verlin W. and LaVerne Krill Scholarship Fund (Used to support scholarships for undergraduate students majoring in mechanical engineering who are graduates of any public high school in Ohio; provided by gifts from the charitable trust of Verlin W. and LaVerne Krill)		\$29,691.00	\$29,691.00
The Jean and Allen Patrick Family Endowment Fund for Cancer Research (Used to support cancer genetics research; provided by a gift from Jean and Allen Patrick)		\$26,100.00	\$26,100.00
Ann Hoying Ahrns and Thomas Ahrns 4-H Scholarship Fund (Used to provide scholarships for students in the College of Food, Agricultural, and Environmental Sciences; provided by gifts from Ann Hoying Ahrns and Thomas Ahrns)	\$25,177.00		\$25,177.00
The Michael D. Winfield Chemical Engineering Endowment Fund (Used to help cover emerging priorities within the Department of Chemical Engineering; provided by a gift from Michael D. Winfield and UOP LLC)		\$25,058.00	\$25,058.00
The Keith and Brenda Carpenter Athletics Scholarship Fund (Used to supplement the student grant-in-aid scholarship costs of a student-athlete pursuing an undergraduate degree; provided by gifts from Keith and Brenda Carpenter)		\$25,000.00	\$25,000.00
The Kenneth and Robin Carpenter Athletics Scholarship Fund (Used to supplement the student grant-in-aid scholarship costs of a student-athlete pursuing an undergraduate degree; provided by gifts from Kenneth and Robin Carpenter)		\$25,000.00	\$25,000.00
The E. Ivor Jones Endowed Scholarship Fund (Used to support students in the Department of Human and Community Resource Development; provided by gifts from Ferne Jaynes Jones, family and friends who wish to honor E. Ivor Jones)		\$25,000.00	\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Beatrice I. and Alan R. Weiler Cancer Research Endowment Fund (Used to support human cancer genetics research; provided by a gift from Beatrice I. and Alan R. Weiler)		\$25,000.00	\$25,000.00
Total	\$958,481.00	\$6,066,137.14	\$7,024,618.14

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Chair

The Bert C. Wiley, M.D., Chair in Physical Medicine and Rehabilitation

The Bert C. Wiley, M.D., Chair Fund in Physical Medicine and Rehabilitation was established May 7, 1993, by the Board of Trustees of The Ohio State University with gifts from an anonymous donor in honor of Bert C. Wiley, M.D., a graduate of The Ohio State University College of Medicine, Class of 1943. The fund has been combined with The Bert C. Wiley, M.D., Endowed Professorship in Physical Medicine and Rehabilitation, and the chair was established on September 5, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a faculty chair position in the Department of Physical Medicine and Rehabilitation as recommended by the chairperson of the Department of Physical Medicine and Rehabilitation and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health to the executive vice president and provost and approved by the Board of Trustees.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health or program administrative officer in order to carry out the desire of the donor.

\$891,336.00
(grandfathered)

Establishment of Named Endowed Funds

The John M. Frank Distinguished Scholarship Fund

The John M. Frank Distinguished Scholarship Fund was established on September 5, 2003, by the Board of Trustees of The Ohio State University with gifts from John M. Frank (B.S.Bus.Adm., 1962) of Columbus, Ohio.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The John M. Frank Distinguished Scholarship Fund (contd)

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide Frank Distinguished Scholarships to qualified National Merit/National Achievement Scholars at The Ohio State University. The selection of recipients is to be made upon the recommendation of the University Honors Center in cooperation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$1,150,000.00

The Dr. Robert H. Bremner Memorial Scholarship Fund

The Dr. Robert H. Bremner Memorial Scholarship Fund was established on September 5, 2003, by the Board of Trustees of The Ohio State University with gifts from family, friends, and colleagues of Professor Emeritus Robert Bremner (M.A., 1939; Ph.D., 1943).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support graduate student education in U.S. history in the Department of History within the College of Humanities. Scholarships shall be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the chairperson of the Department of History and the dean of the College of Humanities in order to carry out the desire of the donor.

\$36,790.00

The Francis and Virginia Hazard Scholarship Fund

The Francis and Virginia Hazard Scholarship Fund was established on September 5, 2003, by the Board of Trustees of The Ohio State University with a gift from Dean F. Dominic Dottavio (B.S.Nat.Res., 1973) from the Marion Enrichment Fund and the Marion Campus Dean's Fund.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Francis and Virginia Hazard Scholarship Fund (contd)

The annual income shall be used to provide scholarships for any qualified students attending The Ohio State University at Marion with preference for those students who have identified disabilities or handicaps. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean and director of the Marion campus and the Marion campus scholarship committee in order to carry out the desire of the donor.

\$25,000.00

The Ohio State University String Education Scholarship Fund

The Ohio State University String Education Scholarship Fund was established on September 5, 2003, by the Board of Trustees of The Ohio State University with gifts from the annual OSU Midwest Summer String Teacher Conference.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund a scholarship to an outstanding incoming undergraduate music education string major based on academic and performance achievement, and potential for becoming an outstanding string/orchestra teacher in the schools following graduation. The individual shall be selected by the director of the School of Music in partnership with a music education string faculty member and in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the director of the School of Music in order to carry out the desire of the donor.

\$25,000.00

The Triplett-VanDoren No-Tillage Experimental Plots Endowment Fund

The Triplett-VanDoren No-Tillage Experimental Plots Endowment Fund was established on September 5, 2003, by the Board of Trustees of The Ohio State University with gifts from Dr. David VanDoren, OARDC professor from 1958-1985, Dr. Glover Triplett, OARDC professor from 1959-1982, and other supporters of no-tillage.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Triplett-VanDoren No-Tillage Experimental Plots Endowment Fund (contd)

The annual income shall be used to support activities at the long-term tillage and rotation plots located at the Wooster, Northwestern, and Western Branches of The Ohio State University Ohio Agricultural Research and Development Center. Income shall be used for but not limited to maintenance and improvements, support of agronomic practices, and research on the long-term plots. Income may also be used for no-tillage research elsewhere in the State of Ohio. Unused funds shall be reinvested into the principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of the Ohio Agricultural Research and Development Center and the director of The Ohio State University School of Natural Resources in order to carry out the desire of the donors.

\$15,251.14
(grandfathered)

Donald E. and Lillian Sims Scholarship Fund

The Donald E. and Lillian Sims Scholarship Fund was established on September 5, 2003, by the Board of Trustees of The Ohio State University, with gifts from Donald E. Sims and Dean F. Dominic Dottavio (B.S.Nat.Res., 1973) from the Marion Campus Enrichment Fund.

All gifts are to be invested in the University's permanent endowment fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest or reinvest as occasion dictates.

The annual income shall be used to provide academic merit scholarships for undergraduates with preference for those from Marion County attending either the Columbus or the Marion campus. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean and director of the Marion campus and the Marion campus scholarship committee in order to carry out the desire of the donors.

\$15,000.00
(grandfathered)

Change in Description of Named Endowed Chair

The Julius F. Stone Chair in Cancer Research

The Julius F. Stone Chair Fund in Cancer Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established August 30, 2000, by the Board of Trustees of The Ohio State University with gifts from Dr. and Mrs. Franz T. Stone II of Buffalo, New York, and Gulf Stream, Florida. The minimum funding level was reached, and the chair was established on May 30, 2003. The description was revised on September 5, 2003.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Description of Named Endowed Chair (contd)

The Julius F. Stone Chair in Cancer Research (contd)

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the salary and cancer research of an eminent faculty member in the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as recommended by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center to the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. The activities of the chair holder shall be reviewed no less than every five years by the director of the Comprehensive Cancer Center and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health to determine compliance with the intent of the donors as well as the academic and research standards of the University.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

Change in Description of Named Endowed Fund

The Thomas and Evelyn Stephens Scholarship Fund in Special Education

The Thomas and Evelyn Stephens Scholarship Fund in Special Education was established September 2, 1992, by the Board of Trustees of The Ohio State University with gifts from Thomas and Evelyn Stephens, and from their friends on the occasion of Dr. Thomas Stephens' retirement as professor and associate dean in The Ohio State University College of Education. The fund was established to recognize the Stephens' long-term commitment to the College, to students, and to special needs populations. The description was revised on September 5, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship support for one graduate student in the College of Education who plans a career working with special needs students and/or programs. Selection of the scholarship recipient shall be made by the dean of the College of Education in consultation with the University Committee on Student Financial Aid, Dr. Stephens to the extent possible, and the appropriate faculty members in the College of Education.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Education in order to carry out the desire of the donors.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name of Named Endowed Fund

Pfeifer Family K-L Row "i Dot" Scholarship Fund

The James E. Pfeifer II K-L Row I-Dot Scholarship Fund was established May 2, 1997, by the Board of Trustees of The Ohio State University with a gift from James E. (B.S.Bus.Adm., 1961) and Nancy G. (B.S. Physical Therapy, 1960) Pfeifer in celebration of the participation of their son, James E. Pfeifer II, in The Ohio State University Marching Band (1987-1991). The name was changed to the James E. Pfeifer II K-L Row i-Dot Scholarship Fund on June 6, 1997. The name was revised again on September 5, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall provide one scholarship each year for a senior sousaphone player in The Ohio State University Marching Band. The recipient will be selected by the director of the School of Music in consultation with the director of the marching band and the University Committee on Student Financial Aid. The scholarship is not renewable and is not restricted to music majors. If there are no awards in any given year, the fund's earnings shall be added to the principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then the income shall be used for purposes similar to those specified by the donor, as directed by the Board of Trustees of The Ohio State University in order to carry out the desire of the donors.

Change in Name and Description of Named Endowed Funds

The Alumni Association Endowment Fund

The Alumni Association Building Endowment Fund was established February 7, 1992, by the Board of Trustees of The Ohio State University, with gifts to The Ohio State University Development Fund from members and friends of The Ohio State University Alumni Association, Inc. The Alumni Endowment Fund, established February 14, 1944, is being transferred in whole to The Alumni Association Building Endowment Fund, and the name and description were revised on September 5, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University with the right to invest and reinvest as occasion dictates.

The annual income from this fund is to be transferred to The Ohio State University Alumni Association, Inc. for the purpose of maintaining the campus building they occupy or for the purpose of advancing the mission of the Alumni Association as recommended by the Board of Directors of The Ohio State University Alumni Association, Inc. The principal, as well as income, may be used for planning, constructing, maintenance, enhancements, and/or upgrades to the campus building; however, the principal cannot go below the minimum funding level as established by the Board of Trustees.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees, with preference being given to recommendations from the Board of Directors of The Ohio State University Alumni Association, Inc.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Funds (contd)

The Robert A. Barnes Award Fund for Exemplary Teaching

The Robert A. Barnes Award for Exemplary Teaching was established August 23, 1989 by the Board of Trustees of The Ohio State University with gifts from Robert A. Barnes (M.S., 1959; Ph.D., 1963), of Newark, Ohio. The name and description were revised on September 5, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide an award for exemplary teaching to be presented not more often than once a year to an Ohio State University faculty member with an annual full-time teaching assignment at the University's campus at Newark, Ohio.

The recipient's name is to be engraved on an appropriate plaque to be presented to the individual and on another plaque to be permanently and prominently displayed at the Newark campus. Both plaques shall bear the full name of the award.

At the option of the recipient, the award shall consist of one of the following:

- A cash prize;
- Equivalent of the cash prize for teaching-related travel provided by the University;
- Equivalent of the cash prize for teaching-related publications or equipment provided by the University for the recipient's teaching area – such to remain property of the University.

The criteria and procedures for annual recipient selection, the award amount, and presentation shall be determined by the chief administrator of The Ohio State University at Newark, in consultation with the Newark campus faculty.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the chief administrator of the University's campus at Newark, Ohio, or if such should no longer exist, the most appropriate University administrator in order to carry out the desire of the donor.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Chairs

The Esther Dardinger Endowed Chair in Neuro-Oncology

The Esther Dardinger Endowed Chair in Neuro-Oncology at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation. This chair was established by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute in memory of the late Esther Dardinger of Johnstown, Ohio.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Chairs (contd)

The Esther Dardinger Endowed Chair in Neuro-Oncology (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the salary and cancer research of a faculty member dedicated to research and treatment in the area of neuro-oncology in the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$1,500,000.00

The Dardinger Family Endowed Chair in Oncological Neurosurgery

The Dardinger Family Endowed Chair in Oncological Neurosurgery at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation. This chair was established by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute in honor of the family of Esther and Robert Dardinger of Johnstown, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the salary and cancer research of a faculty member who is a neurosurgeon dedicated to research and treatment in the area of neuro-oncology in the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$1,500,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Professorship

David A. Rismiller Professorship in Management

The David A. Rismiller Faculty Fellowship Fund was established October 3, 1997, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of The Max M. Fisher College of Business from contributions from David A. Rismiller (B.S.Bus.Adm., 1958). The required funding level has been reached, and the professorship was established on September 5, 2003.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the David A. Rismiller Professorship in Management. Awards from the fund shall be made by the dean of the Fisher College of Business.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$750,000.00

Establishment of Named Endowed Funds

The Laughlin-Craig Medical Scholarship Fund

The Laughlin-Craig Medical Scholarship Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Eleanor Laughlin Craig Bowsher (B.S.Bus.Adm., 1934) in honor of Curtis Laughlin (M.D., 1902) and William C. Craig (M.D., 1935).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund scholarships for two or more medical students in their second, third, or fourth years of study who are in the top 10 percent of their class and who demonstrate financial need. Selection shall be made by the University's Office of Student Financial Aid, with formal approval by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$500,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Jim and Karen Davidson Family Athletic Scholarship Fund

The Jim and Karen Davidson Family Athletic Scholarship Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Jim (B.S.Bus.Adm., 1966) and Karen (B.S.Ed., 1965) Davidson, of Dayton, Ohio; along with their sons and daughters-in-law, Jim (B.S., 1988) and Wendy (B.S.Nurs., 1988) Davidson, of Findlay, Ohio; Jeff and Judi Davidson, of Franklin, Massachusetts; and Doug and Jill Davidson of Beavercreek, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student-athlete grant-in-aid scholarship costs of an undergraduate varsity football team member at The Ohio State University. The recipient must be a graduate of an Ohio high school. Recipient will be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$100,000.00

The Martha L. King Center Endowed Fund

The Martha L. King Center Endowed Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Martha L. King (Ph.D., 1957), in recognition of her long and distinguished career as a professor in the College of Education.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the activities of the Martha L. King Center in the College of Education. Use shall be limited to activities that further teaching and learning in the area of language and literacy. First preference shall be given to early and middle childhood education.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, the principal of the fund shall be transferred to the Martha L. King Scholarship Fund. If the fund no longer exists, then the Foundation Board shall designate another use in consultation with the dean of the College of Education in order to carry out the desire of the donor.

\$91,183.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Tressel Family Fund for Cancer Prevention Research

The Tressel Family Fund for Cancer Prevention Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Tressel family and the generous community in which they live, in memory of Elouise and Lee Tressel.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer research, with preference given to prevention and education, at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$62,064.00

The Dr. R. Wayne and A. June Masters Electrosience Lab Fund

The Dr. R. Wayne and A. June Masters Electrosience Lab Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from A. June Masters (B.A., 1940, B.S.Ed., 1940) and Dr. R. Wayne Masters (M.S., 1941) of Woodbridge, Virginia.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to commemorate Dr. R. Wayne Masters and his desire to advance the Electrosience Laboratory and research in electromagnetics. The director of the Electrosience Laboratory will be responsible for allocating these discretionary earnings. Should the laboratory cease to exist, then the chairperson of the Department of Electrical Engineering will assume this responsibility.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Engineering in order to carry out the desire of the donors.

\$50,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Barbara Wagner Genteline Endowment Fund for Cancer Research

The Barbara Wagner Genteline Endowment Fund for Cancer Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Helen H. Wagner (B.S.Ed., 1937).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support research programs at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$41,968.00

The Richard O. Hecker Football Scholarship Fund

The Richard O. Hecker Football Scholarship Fund was established on September 5, 2003, in accordance with guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift from Dr. Richard O. Hecker (D.D.S., 1957) of Columbia, South Carolina.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship costs of student athletes who are pursuing undergraduate degrees at The Ohio State University and participating on the varsity football team. The donor reserves the right to add to the fund above the initial commitment. Should the amount in the fund reach the endowed scholarship threshold of \$100,000, the Department of Athletics will name a member of the varsity football team as "recipient" of The Richard O. Hecker Football Scholarship. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$35,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Juanita Kathryn Melsop Scholarship Fund

The Juanita Kathryn Melsop Scholarship Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from J. William (B.Arch., 1964) and Sandra Melsop of Pepper Pike, Ohio.

The scholarship is in memory of Juanita Kathryn Melsop. Juanita encouraged her son Bill in his architectural studies and felt pride that he studied at and graduated from The Ohio State University School of Architecture. She was also proud of her granddaughter Susan Melsop Bonn (B.S.Arch., 1987) who graduated from The Ohio State University School of Architecture.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for a scholarship to be given to an undergraduate architecture student who has achieved academic excellence in the study of architecture and has financial need. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of the Austin E. Knowlton School of Architecture in order to carry out the desire of the donors.

\$30,000.00

Verlin W. and LaVerne Krill Scholarship Fund

The Verlin W. and LaVerne Krill Scholarship Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the charitable trust of Verlin W. (B.M.E., 1933; M.S., 1934) and LaVerne Krill from Edgerton, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be added to the principal until gifts and additions reach \$50,000 and then used to support renewable scholarships for one or more worthy undergraduate students who are graduates of any public high school in Ohio majoring in mechanical engineering at the Columbus campus. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Engineering and the chairperson of the Department of Mechanical Engineering in order to carry out the desire of the donors.

\$29,691.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Jean and Allen Patrick Family Endowment Fund for Cancer Research

The Jean and Allen Patrick Family Endowment Fund for Cancer Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Jean and Allen Patrick of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any unused income shall be returned to the principal of this fund.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$26,100.00

Ann Hoying Ahrns and Thomas Ahrns 4-H Scholarship Fund

The Ann Hoying Ahrns and Thomas Ahrns 4-H Scholarship Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Ann Hoying Ahrns (B.S. Food Tech., 1984) and Thomas Ahrns (B.S.M.E., 1986) of Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more scholarships for students majoring in the College of Food, Agricultural, and Environmental Sciences. First preference should be given to a graduate of Fort Loramie High School, who is a 4-H member. Second preference should be given to a graduate of any Shelby County High School, who is a 4-H member. The scholarship shall be awarded in consultation with the University Committee on Student Financial Aid. In any given year that the income is not fully expended, the unused portion should be reinvested in the endowment principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach and executive dean for Food, Agricultural, and Environmental Sciences.

\$25,177.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Michael D. Winfield Chemical Engineering Endowment Fund

The Michael D. Winfield Chemical Engineering Endowment Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael D. Winfield (B.Ch.E., 1962) and one in his honor from his employer UOP LLC of Des Plaines, Illinois.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to help cover emerging priorities within the Department of Chemical Engineering including undergraduate merit scholarships and improved teaching and research facilities. The income may be used for facility construction costs including the servicing of bonds used to meet those costs. Michael Winfield will be appropriately recognized with each use of the income. The chairperson of the Department of Chemical Engineering will be responsible for all expenditures. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate department chairperson, or college dean in order to carry out the desire of the donor.

\$25,058.00

The Keith and Brenda Carpenter Athletics Scholarship Fund

The Keith and Brenda Carpenter Athletics Scholarship Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Keith and Brenda Carpenter, in honor of Elmer Carpenter and in memory of Janice Carpenter.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student grant-in-aid scholarship costs of a student-athlete pursuing an undergraduate degree from The Ohio State University. As a first preference, the recipient should be a graduate of the Northwest Ohio Athletic League, an Ohio High School Athletics Association conference in Northwest Ohio, participating in football. Second preference will be a student-athlete from the Northwest Ohio area participating in football. The recipient will be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Kenneth and Robin Carpenter Athletics Scholarship Fund

The Kenneth and Robin Carpenter Athletics Scholarship Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Kenneth and Robin Carpenter, in honor of Elmer Carpenter and in memory of Janice Carpenter.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student grant-in-aid scholarship costs of a student-athlete pursuing an undergraduate degree from The Ohio State University. As a first preference, the recipient should be a graduate of the Northwest Ohio Athletic League, an Ohio High School Athletics Association conference in Northwest Ohio, participating in football. Second preference will be a student-athlete from the Northwest Ohio area participating in football. The recipient will be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$25,000.00

The E. Ivor Jones Endowed Scholarship Fund

The E. Ivor Jones Endowed Scholarship Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from Ferne Jaynes Jones, family, and friends who wish to honor E. Ivor Jones (B.S.Agr., 1951; M.S., 1960), Washington Court House Extension area supervisor.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support an undergraduate or graduate student(s) and will be restricted to qualified students who have maintained at least a 3.0 grade point average. Students shall be enrolled in the Department of Human and Community Resource Development and engaged in the study and/or research of agricultural education.

The Scholarship Selection Committee of the Department of Human and Community Resource Development shall select the student recipient(s) in consultation with the department chairperson and the Office of Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach and executive dean for Food, Agricultural, and Environmental Sciences, and the chairperson of the Department of Human and Community Resource Development or their successor in order to carry out the desire of the donors.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Beatrice I. and Alan R. Weiler Cancer Research Endowment Fund

The Beatrice I. and Alan R. Weiler Cancer Research Endowment Fund at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Beatrice I. and Alan R. Weiler of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support human cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$25,000.00

FUNDING FOR UNIVERSITY DEVELOPMENT

Resolution No. 2004-36

Synopsis: Modification of the funding plan for University Development is proposed.

WHEREAS the Board of Trustees adopted a funding plan for University Development in July 2000, with revision in September 2002, to support fund raising without General Funds support; and

WHEREAS continued investment is needed to protect and enhance the University's ability to acquire private gift support; and

WHEREAS appropriate consultation with Deans and other University officials has resulted in agreement of modification to the funding plan:

NOW THEREFORE

BE IT RESOLVED, That effective July 1, 2003,

1. The 1.33% charge against all Endowment accounts will continue, using a base of a five-year rolling average of the Endowment's market value;

FUNDING FOR UNIVERSITY DEVELOPMENT

2. The current funding component consisting of the interest income earned from holding current use gifts for 90 days is to be extended to 180 days;
3. The first six months of distributed income on new Endowment accounts will be allocated to University Development; and
4. All other components of the funding plan originally established in July 2000 not modified herein shall remain in effect.

(See Appendix XII for background information, page 355.)

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 2004-37

April – June 2003

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, November 7, 1997, and March 1, 2002 provides that the President and/or the Senior Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, the Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Senior Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of April - June 2003; and

WHEREAS during the period covered, the Senior Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 98 waivers of competitive bidding requirements for annual purchases totaling approximately \$20,161,100 as shown on the enclosed exhibit:

WHEREAS during the period covered, the Vice President for Health Services, at the requests of the Hospitals of the University and their related facilities making purchases, granted 40 waivers of competitive bidding requirements for annual purchases totaling approximately \$7,940,800 as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of April-June 2003, is hereby accepted.

(See Appendix XIII for background information, page 357.)

REQUEST FOR DESIGN AND CONSTRUCTION AUTHORIZATION

Resolution No. 2004-38

REQUEST FOR DESIGN AUTHORIZATION

DOAN HALL - TRASH COMPACTOR AREA
NEIL AVENUE CORRIDOR/TWELFTH AVENUE IMPROVEMENTS
NORTH HOT WATER HEATING LOOP
SCHOOL OF MUSIC - FEASIBILITY STUDY

REQUEST FOR CONSTRUCTION AUTHORIZATION

AIRPORT PAVEMENT REHABILITATION - PHASE I
DRAKE PERFORMANCE & EVENT CENTER PEDESTRIAN BRIDGE
FACULTY CLUB – WINDOW REPLACEMENT
FOOTBALL PRACTICE FIELD #4 - TURF INSTALLATION
OARDC - BOILER REPLACEMENT
Psychology Building

Synopsis: Authorization to enter into design contracts for Doan Hall - Trash Compactor Area, Neil Avenue Corridor/Twelfth Avenue Improvements, North Hot Water Heating Loop, and School of Music - Feasibility Study, and construction contracts for Airport Pavement Rehabilitation - Phase I, Drake Performance & Event Center Pedestrian Bridge, Faculty Club – Window Replacement, Football Practice Field #4 - Turf Installation, OARDC - Boiler Replacement, and Psychology Building is requested.

WHEREAS the University desires to construct a one-story addition to Doan Hall to house a trash compactor and sterilizer; and

WHEREAS the conceptual project estimate is \$400,000 - \$500,000 with funding to be provided by the University Hospital; and

WHEREAS the University desires to improve street and sidewalk upgrades to improve traffic and pedestrian flow in the Neil Avenue Corridor and Twelfth Avenue areas; and

WHEREAS the Board of Trustees previously authorized design for the Neil Avenue Corridor as a stand-alone project on May 2, 2003; and

WHEREAS this project now has been expanded to encompass improvements in the 12th Avenue area; and

WHEREAS the conceptual project estimate for the combined project is now \$2,500,000 - \$2,725,000, with funding to be provided by House Bill 675 and by future University bond proceeds; and

WHEREAS the University desires to replace the hot water heating loop and upgrade 24 campus buildings to local heating hot water production; and

WHEREAS the conceptual project estimate is \$2,500,000 with funding to be provided by future University bond proceeds, with debt service to be paid by an increase in the central utility assessment; and

WHEREAS the University desires to perform a feasibility study to determine the renovation and/or construction possibilities for the School of Music; and

WHEREAS the feasibility study is projected to cost \$250,000, with funding to be provided by HB 675; and

REQUEST FOR DESIGN AND CONSTRUCTION AUTHORIZATION (contd)

WHEREAS the University desires to rehabilitate existing University Airport runways, taxiways and aprons; and

WHEREAS the total project cost for Phase I is expected to be \$1,700,000, with funding to be provided by an FAA grant (\$1,581,275) and development funds from the College of Engineering (\$118,725); and

WHEREAS the University desires to renovate the walkways and bridge in the area of Lincoln and Morrill Towers and Drake Performance & Event Center; and

WHEREAS the construction document project cost is \$435,000 with funding to be provided by HB 748 and HB 675; and

WHEREAS the University desires to replace a number of windows in the Faculty Club; and

WHEREAS the construction document project cost is \$241,042 with funding to be provided by donor gift monies; and

WHEREAS the University desires to install new turf at the football practice field #4; and

WHEREAS the total project cost has increased from \$540,000 to \$595,177 due to tighter specifications required for the quality of the turf to be installed, with funding to be provided by the Athletic Department; and

WHEREAS the University desires to replace boilers at the OARDC facilities in Wooster; and

WHEREAS the construction document project cost has increased from \$1,320,000 to \$1,835,000 due to escalation in material costs of \$365,000 and the addition of one extra boiler to expand capacity, with funding to be provided by HB 640 (\$1,320,000), OARDC (\$365,000) and HB 675 (\$150,000); and

WHEREAS the University desires to construct a new facility for the Psychology Department; and

WHEREAS the construction document project cost is \$35,000,000, with funding to be provided by HB 640 (\$3,000,000), HB 675 (\$15,000,000) and future capital appropriations (\$17,000,000):

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for Doan Hall - Trash Compactor Area, Neil Avenue Corridor/Twelfth Avenue Improvements, North Hot Water Heating Loop, and School of Music - Feasibility Study; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to request construction bids for Airport Pavement Rehabilitation - Phase I, Drake Performance & Event Center Pedestrian Bridge, Faculty Club – Window Replacement, Football Practice Field #4 - Turf Installation, OARDC - Boiler Replacement, and Psychology Building are hereby accepted.

(See Appendix XIV for background information and maps, page 359.)

**HOME HEALTH CARE PROGRAM
AGREEMENT WITH MED OHIO HEALTH, INC.**

Resolution No. 2004-39

Synopsis: Authorization of additional funding for the continued implementation of the home health care program is proposed.

**HOME HEALTH CARE PROGRAM
AGREEMENT WITH MED OHIO HEALTH, INC. (contd)**

WHEREAS in February 1995, the Board of Trustees authorized The Ohio State University Health System to enter into an agreement with MedOhio Health, Inc., for the provision of a home health care program for patients served by the Health System; and

WHEREAS pursuant to authorization by the Board of Trustees, the University, on behalf of the Health System, has loaned \$3,200,000 to MedOhio Health for the purpose of developing and operating the home health care program; and

WHEREAS MedOhio Health has developed and implemented a home health program for the patients served by the Health System; and

WHEREAS this home health program provides a valuable and needed service to the patients, ensures continuity of care, and offers teaching and research opportunities to The Ohio State University; and

WHEREAS MedOhio Health has requested an additional line of credit to support its growth and to meet its variable cash flow needs; and

WHEREAS the University Hospitals Board has recommended that the University establish a \$1,000,000 line of credit to be made available to MedOhio Health for use in the home health care program:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees authorizes the University to establish a line of credit to MedOhio Health, Inc., up to \$1,000,000 as additional funding for the continued implementation of the home health care program, to be released as directed by the Chief Financial Officer of the Health System.

Upon motion of Mrs. Davidson, seconded by Mr. McFerson, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Sofia, Slane, McFerson, Borrer, O'Dell, and Judge Duncan, Mses. Longaberger, Hendricks, and Davidson.

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REPORT ON FY 2005 AND FY 2006 CAPITAL REQUEST

Interim Provost Barbara R. Snyder:

I want to say a couple of general things about this. Like the budget, the capital requests represent an alignment between the dollars and our academic priorities. These projects go through a very intensive process for prioritizing. We don't have as many dollars as we would like to have, but these do represent our academic priorities. So I'm going to let Bill talk about the specifics.

Mr. William J. Shkurti:

Mr. Chairman, what we're recommending to the Board today for approval is a total request of \$71 million in state funds for the next biennium, and it's targeted at seven projects that are of high academic priority. Those include:

- The continued progress on the design of the renovation of the Main Library;
- The completion of the renovation and replacement of new space for Mechanical Engineering, which is currently in Robinson Lab;
- A new replacement building for the Department of Psychology and also the completion of that project; and
- The completion of the credit instruction portion of the student rec center.

REPORT ON FY 2005 AND FY 2006 CAPITAL REQUEST (contd)

Mr. Shkurti: (contd)

In addition, we're recommending three new projects move forward:

- The planning money to begin the Cancer Center expansion that Barbara referred to in our earlier presentation;
- The renovation of Smith Lab for swing space so we can make some other changes including, at long last, the demolition of Lord Hall, which probably should have been taken down 20 years ago, but we finally have now somewhere to put the current inhabitants who are suffering in that facility; and
- Planning money to start the renovation of Brown Hall, which is one of our deferred maintenance priorities.

So this adds up to \$71 million. As Barbara mentioned, we could use more but we're appreciative to the state for that. I think we're using it well, to emphasize replacement and renovation of buildings that are needed for academic purposes.

Mr. Sofia:

Any questions for Bill on this? Thank you Barbara and Bill.

FY 2005 AND FY 2006 CAPITAL REQUEST

Resolution No. 2004-40

WHEREAS the University has made its recommendations regarding the capital project funding request for State funds for the FY 2005 and FY 2006 biennium; and

WHEREAS these recommendations have been reviewed through the University's governance structure:

NOW THEREFORE

BE IT RESOLVED, That the attached recommendations for State capital funds be approved for submission to the Ohio Board of Regents; and

BE IT FURTHER RESOLVED, That any request for authorization to proceed with any project contained in these recommendations or for University funds for any such project must be submitted individually by the administration for approval by the Board of Trustees.

Upon motion of Mr. Borrer, seconded by Ms. Longaberger, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Sofia, Slane, McFerson, Borrer, O'Dell, and Judge Duncan, Meses. Longaberger, Hendricks, and Davidson.

(See Appendix XV for background information, page 383.)

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September 5, 2003 meeting, Board of Trustees

Thereupon the Board adjourned to meet Friday, October 3, 2003, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

David O. Frantz
Secretary

Zuheir Sofia
Chairman

Government Relations and the Federal Agenda at the Ohio State University

**Board of Trustees
September, 2003**

**Karen A. Holbrook, President
The Ohio State University**



Campus

- Campus-wide Government Relations “team”
- Trustees, faculty, staff and student organizations
- Alumni Association Advocates Program

City

- Columbus (Mayor) and the County
- Chamber of Commerce, Ohio Business Roundtable, etc.

State

- Governor, State Agencies
- House and Senate; committees and subcommittees
- Board of Regents

268

Federal

- Executive Branch
- Ohio’s delegation
- Higher Education National Associations
- Federal Agencies

Ohio State's Federal Agenda: Areas of Focus

A. Increasing Federal support vital to Ohio State

- **Student Aid** – Ohio State has the largest volume of loans under the Federal Direct Student Loan Program among all universities

	<u>2001-02</u>	<u>No. of Recipients</u>	<u>2002-03</u>	<u>No. of Recipients</u>
Title IV Federal Aid Total	231,116,667	28,420	251,150,105	29,626
Title VII Federal Aid3,	3,169,692	564	2,490,652	571 Total

- **R&D** = 75% of Ohio State's federal awards in FY'03

	<u>2001-02</u>	<u>No. of Awards</u>	<u>2002-03</u>	<u>No. of Awards</u>
Total Federal Funding	219,909,352	1017	221,065,352	1060

- **Agencies**
- **Special projects**

B. Influencing Federal Regulations that Impact Ohio State

- **Higher Education Reauthorization: Includes**
 - maintaining Direct Loan Program
 - increasing Pell Grants – 10,000 recipients @ \$20M total
 - increasing loan limits for Stafford Subsidies Loan – limit has been constant since 1998
 - removing provisions not related to financing college education from the federal student aid process



Ohio State's Federal Agenda: Areas of Focus (contd)

- Other:

- Regulations related to the SEVIS system and admission of international students
- The use of information technology to impact the way technologies can be used for learning and research at universities
- Support Bayh-Dole legislation and oppose "State Sovereign Immunity" legislation that threatens the ability of public universities to engage in successful technology transfer and curtails the universities role in economic development

C. Developing a Database of faculty, staff, administrators and students:

- allows us to nominate faculty, staff and administrators to federal boards and commissions - become partners in setting national publish policy and research agendas.
- provide a list of those who can testify before congressional committees, federal agency panels and other venues in D.C. – advantages: the testimony provided and visibility to expertise and to the institution itself.

Ohio State's Federal Appropriations Agenda

- Plan for Developing Federal Initiatives - Process and Timetable
- Increasing Ohio State's presence in Washington, D.C.
 - OSU staff member in Washington 24/7
 - Other Big Ten representation in Washington; California System support
 - John Glenn Institute Washington Academic Internship Program

107th Congress - Funding to Ohio State via the Appropriations Process (FY '03)

\$5 M Eisenhower National Clearing House - Education
\$2.3M Center for Aviation Research & Aerospace Technology - Engineering
\$2.1M DOD Soldier Systems Center (joint w/ Rutgers and WSU - FAES
\$1 M Biomedical Research Tower - **COMPH**
\$1M Center for Automotive Research - Engineering
\$750K Netwellness (joint with Case and UC) - **COMPH**
\$567K Plant and Agro-Security Facility(PAAR) - **OARDC**
\$450 Campus Partners - Broad Street Portfolio - Univ.

107th Congress - Funding to Ohio State via the Appropriations Process

\$250K Food-Based cancer prevention – FAES & COMPH
\$200K Heart Disparities in cancer Studies – COMPH
Total = \$13,817,000

Agriculture Special Grants

\$1.38 M Family Farm Beef Initiative Network
\$765K Center for Innovative Food Technology
\$500K Agriculture Science (PAAR Programming)
\$450K Aquaculture
\$241K ABE Center
\$100K Hydroponics Tomato Production
Total \$3,442,000 **Total = \$17,259, 000**

108th Congress - Progress Toward the Funding of Ohio State Appropriations (FY'04)

- House has passed 11/13 appropriations bills
- Senate has passed 4/13 appropriations bills
- Congress has returned to complete its work and intends to adjourn late in October

108th Congress - Requests for Funding of Ohio State Initiatives (FY'04)

- DEFENSE
- ENVIRONMENT
- HEALTH
- OUTREACH AND ENGAGEMENT
- OTHER
- AGENCY INITIATIVES
- CONTINUING AGRICULTURE PROJECTS
- NEW OPPORTUNITIES

**The Ohio State University
Board of Trustees
September 5, 2003
FY 2004 Budget Summary**

Barbara Snyder
Interim Vice President and Provost

William J. Shkurti
Senior Vice President for Business and Finance

9/03/03

Budget Allocations Must Support The Goals Of The Academic Plan

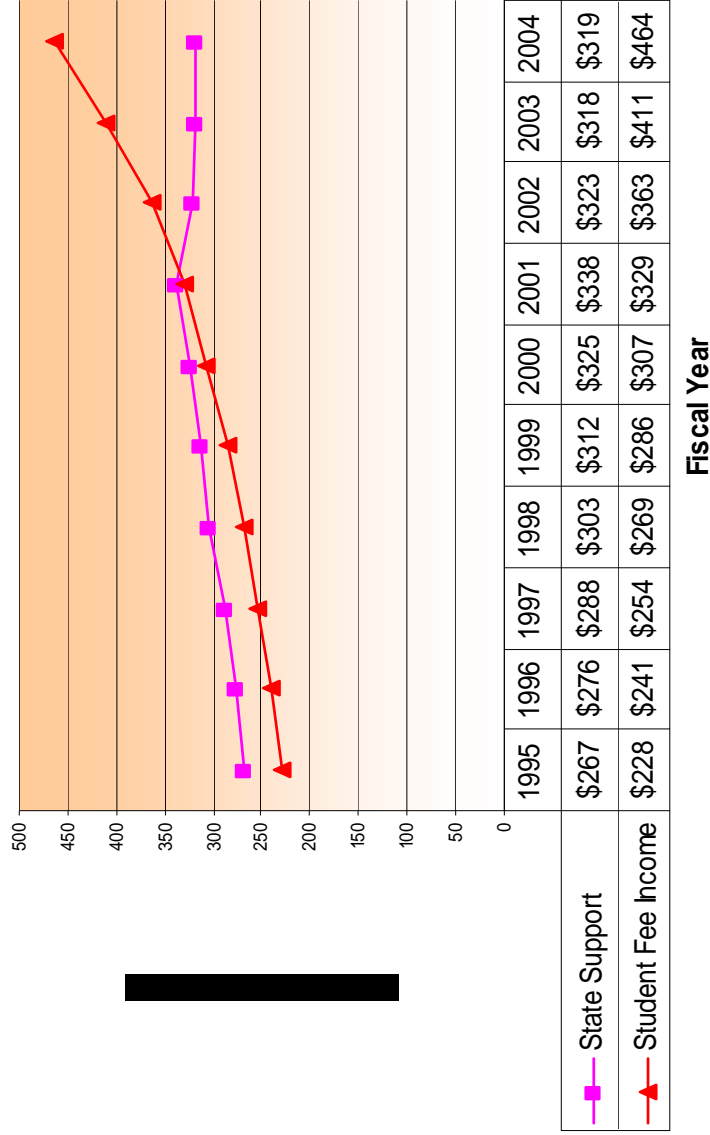
- Build a world-class faculty
- Develop academic programs that define Ohio State as the nation's leading public land grant university
- Enhance the quality of the teaching and learning environment
- Enhance and better serve the student body
- Create a diverse university community
- Help build Ohio's future

The Budget Environment For FY 2004

- SSI plus Challenge Grants for the Columbus Campus are still below the level of three years ago by 5.6%
- For the third year in a row, revenue from student fees exceeds state support and the gap is widening
- Approximately 600 jobs eliminated throughout the University over last two years
- Increasing competitive pressures on salaries and student financial aid
- Adverse impact of stock market collapse on endowment funds
- Salary for most faculty and staff is still below market

State Support As A Share Of Revenues Has Declined Steadily

**Comparison of State Support to Student Fee Income
Columbus Campus from FY 1995 to FY 2004
(in millions)**



Targeted Investment In Four Critical Academic Plan Initiatives In FY 04

- Build a world class faculty
- Develop Strong Academic Programs
- Enhance Teaching and Learning
- Enhance and Serve Student Body

Build a World Class Faculty & Staff

- Recruitment and Retention
- Compensation & Benefits
- Eminent Scholars
- Strong Programs

Develop Strong Academic Programs

- Budget Rebasing Transfers/Selective Investment
- Comprehensive Cancer Center Support
- Research Support
- Seed Funding

Enhance Teaching and Learning Environment

- Technology in the Classroom
- Support for Office of Instructional Technology
- Safety in Off-Campus Neighborhoods
- Campus Appearance

Enhance and Serve the Student Body

- Increased Financial Aid
- Restructured Morrill Scholarship Program
- Improved Enrollment Services
- Implemented Student Activity Fee
- Added Freshman Seminars
- Enhanced Mission of Regional Campuses

New Budget System Increases Incentives for Colleges to Generate Resources

College	Increases In General Funds	General Funds as a % of Total
Arts	-0.3%	86%
Humanities	+6.6%	89%
Law	+7.6%	72%
Engineering	+3.2%	29%
Medicine & PH	+12.4%	25%
FAES	+2.0%	14%

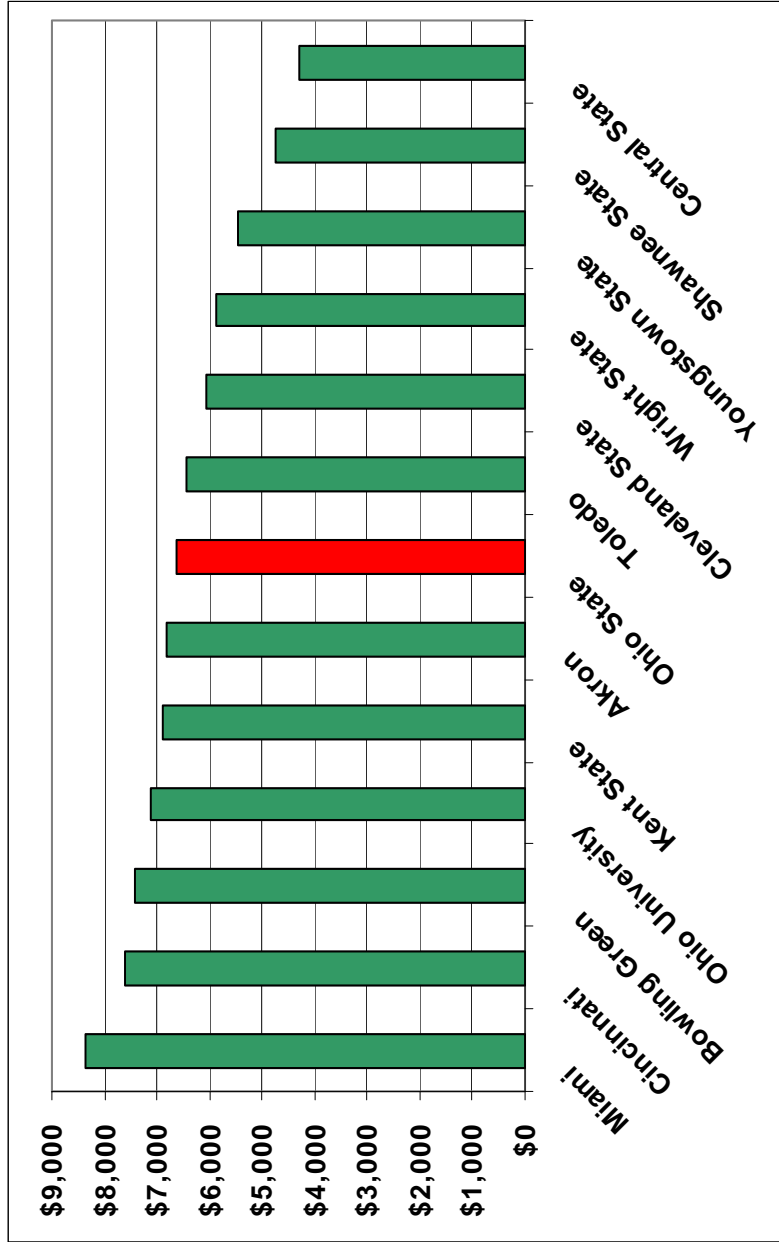
Financial Implications

- Longer-term financial goals support long-term academic goals
- Despite an uncertain financial environment, financial goals will be met in FY 2004
- The University continues to become less dependent on state funds
- As funding sources diversify, new risk management tools must be developed
- Planning is already underway for FY 2005

Projected Revenues Increases for FY 2004 Include:

Student Fees & Charges	12.2%
Health System Revenues	7.6%
Research Overhead	6.7%
State Support	0.2%

Resident Undergraduate Tuition and Fees at OSU Columbus
 Campus are Seventh Among Ohio's 13 Public Universities
 (Academic Year 2003-2004 full-time students entering in Fall 2003)



Strategic Implications

1. The University will continue to make progress on its strategic goals despite a difficult financial environment.
2. OSU's undergraduate tuition remains well below Ohio's other selective admission public universities.
3. By reallocating existing resources, compensation increases were on average 1% above market for FY 2004, but still below market for the last three years.
4. Most visible strain will be in academic support services (e.g., office support, facilities maintenance, equipment and supplies).
5. The University will continue to adopt more of the characteristics of a private university and the pace is accelerating.
6. The University will continue to examine everything it does to determine what it needs to do better and what it no longer needs to do at all.

2003-2004 UNDERGRADUATE TUITION

Synopsis: Instructional, general and nonresident fees for undergraduate students enrolled at all campuses for Fiscal Year 2003-04 are proposed effective Summer Quarter 2003.

WHEREAS the Board of Trustees of The Ohio State University supports the University's continued implementation of the Academic Plan and its initiatives to meet the needs of Ohio State students; and

WHEREAS in recognition of the concerns raised about the economic difficulty many Ohio families now face, the Board supports the continued phase in of additional fees for new entering students at the Columbus Campus; and

WHEREAS the tuition rates to be adopted are specifically based on the level of State support provided in the Governor's Executive Budget and could be modified if State support for higher education is either increased or decreased; and

WHEREAS consultations continue to take place with regard to professional college and graduate fees and those fees will be determined as a part of the regular 2003-04 budget process:

NOW THEREFORE

BE IT RESOLVED, That Instructional and General Fees for all undergraduates enrolled at the Columbus Campus be increased by 9.0% (an additional \$156 per quarter for full time students enrolled prior to Summer Quarter 2002 (tier 1) and \$170 per quarter for full time students enrolled after Spring Quarter 2002 and prior to Summer Quarter 2003 (tier 2)); and

BE IT FURTHER RESOLVED, That Instructional and General Fees for all new undergraduate full time students enrolled at the Columbus Campus beginning Summer Quarter 2003 (tier 3) be increased by an additional \$100 per quarter above the tier 2 fees beginning Summer Quarter 2003; and

BE IT FURTHER RESOLVED, That the Instructional and General Fees be increased 6.0% for all undergraduate students at the Agricultural Technical Institute (\$90 per quarter for a full time student) and the regional campuses (\$91 per quarter for a full time student), and that the Access Challenge offset at ATI and all regional campuses not change for Summer Quarter 2003 but is subject to change for the academic year starting Autumn Quarter 2003 pending the level of funding provided in the State budget; and

BE IT FURTHER RESOLVED, That the undergraduate nonresident surcharge at Columbus and regional campuses be increased by 6.0% (\$188 per quarter for a full time student) beginning Summer Quarter 2003.

**2003-2004 GRADUATE AND PROFESSIONAL TUITION, GENERAL AND STUDENT
ACTIVITY FEES, AND OTHER SELECTED FEE INCREASES**

Synopsis: Instructional and Nonresident fees for Graduate and Professional students, the General and Student Activity Fees for all students at the Columbus Campus, and other selected fees for Fiscal Year 2003-2004 are proposed effective Autumn Quarter 2003.

WHEREAS the Board of Trustees of The Ohio State University approved the Undergraduate Instructional, General and Nonresident fees for all campuses to be effective Summer Quarter 2003 at their May 2, 2003 meeting; and

WHEREAS the professional colleges have now completed consultations with their students in regards to the level of their instructional fees above a 6% base to be used by those colleges to improve services to their students; and

WHEREAS consultations have taken place concerning the inclusion of a Student Activity Fee component within the General Fee at the Columbus Campus and those fees need to be approved for FY 2003-2004; and

WHEREAS other selected fees need to be approved for FY 2003-2004:

NOW THEREFORE

BE IT RESOLVED, That the Graduate Resident fees (Instructional and General) for the Columbus Campus be increased 9.0% (\$198 per quarter for a full time student) effective Autumn Quarter 2003 except those specific programs that have opted for a higher differential fee; and

BE IT FURTHER RESOLVED, That the Graduate Resident fees (Instructional and General) for the Regional Campuses be increased 9.0% (\$194 per quarter for a full time student) effective Autumn Quarter 2003; and

BE IT FURTHER RESOLVED, That Differential (Instructional) Fees for the Professional Colleges and specific graduate programs at the Columbus Campus be increased in accordance with the attached budget materials effective Autumn Quarter 2003, including one new Masters differential fee in the College of Medicine and Public Health for Occupational Therapy; and

BE IT FURTHER RESOLVED, That the Nonresident Surcharges for all graduate and professional students at all Campuses will increase 6.0% in accordance with the attached budget materials effective Autumn Quarter 2003; and

BE IT FURTHER RESOLVED, That effective Autumn Quarter 2003 at the Columbus Campus the General Fee for all continuing undergraduate students will be \$117 per quarter (same as Summer Quarter 2003); and for all new undergraduate students will be \$132 per quarter coupled with a corresponding \$15 per quarter decrease in the new undergraduate (Tier 3) instructional fee from Summer Quarter; and with the additional general fees for new students earmarked for student activities which will replace the current voluntary \$2 Student Government Contribution; and

**2003-2004 GRADUATE AND PROFESSIONAL TUITION, GENERAL AND STUDENT
ACTIVITY FEES, AND OTHER SELECTED FEE INCREASES (contd)**

BE IT FURTHER RESOLVED, That effective Autumn Quarter 2003 at the Columbus Campus the General Fee for all continuing graduate and professional students will be \$117 per quarter (\$176 per semester, the same as Summer Quarter 2003); and there will be a separate Student Activity Fee of \$15 per quarter (\$22 per semester) for all new graduate and professional students with these additional funds earmarked for student activities and will replace the current voluntary \$2 Student Government Contribution; and

BE IT FURTHER RESOLVED, That other selected fees at the Columbus Campus will increase for FY 2004 in accordance with the attached budget material

INTERIM BUDGET FOR FISCAL YEAR 2003-04

Synopsis: Authorization to make expenditures in 2003-2004 is proposed.

WHEREAS the Ohio General Assembly has not yet passed the 2003-2005 Biennial Budget including the level of funding for Higher Education for Fiscal Year 2003-04; and

WHEREAS having approved student fee increases for Fiscal Year 2003-04, the University has not yet finalized its operating budget for Fiscal Year 2003-04; and

WHEREAS it is necessary to continue University expenditures, including payment of faculty and staff, prior to the time that the Current Funds Budget for 2003-04 is developed and adopted:

NOW THEREFORE

BE IT RESOLVED, That the University be authorized to make expenditures consistent with the level of resources approved for Fiscal Year 2002-03, pending the adoption of the Current Funds Budget for 2003-04 at the September Board meeting.

2003-04 UNDERGRADUATE TUITION

Synopsis: Instructional and general fees for undergraduate students enrolled at all campuses for Fiscal Year 2003-04 are proposed, effective Autumn Quarter 2003.

WHEREAS the Board of Trustees reaffirms the University's commitment to the Academic Plan and the continued priority to fund those initiatives to meet the needs of Ohio State students; and

WHEREAS Am. Sub. H.B. 95 as enacted reestablishes fee caps for undergraduate instructional and general fees above the 2003 academic year of 9% for the University's Columbus Campus and 6% for the regional campuses and the Agricultural Technical Institute and allows each State university to increase instructional and general fees an additional 3.9%, directing that the additional increase be used only for scholarships for low-income students or for improved technology services for students; and

WHEREAS Am. Sub. H.B. 95 also provides that the Board of Trustees cannot increase undergraduate instructional and general fees by more than 9% at the Columbus Campus or by more than 6% at the regional campuses and ATI in a single vote; and

WHEREAS the Board of Trustees increased undergraduate instructional and general fees at the May 2 meeting, effective Summer Quarter 2003, and now it is necessary for a second vote to increase all undergraduate instructional and general fees above the 2003 academic year by 12.9% at the Columbus Campus and by 9.9% at the regional campuses and ATI; and

WHEREAS the tuition rates to be adopted are specifically based on the level of State support provided in Am. Sub H.B. 95:

NOW THEREFORE

BE IT RESOLVED, That effective Autumn Quarter 2003 instructional and general fees for all undergraduates enrolled at the Columbus Campus be increased to 12.9% above the 2003 academic year, for a total quarterly fee of \$1,997 for full-time students first enrolled prior to Summer Quarter 2002 (tier 1), \$2,180 for full-time students first enrolled after Spring Quarter 2002 and prior to Summer Quarter 2003 (tier 2), and \$2,208 for full-time students who first enrolled after Spring Quarter 2003 (tier 3); and

BE IT FURTHER RESOLVED, That effective Autumn Quarter 2003 instructional and general fees for all undergraduates enrolled at the regional campuses and ATI be increased 9.9% above the 2003 academic year before adjustments for Access Challenge offsets.

APPROVAL OF FISCAL YEAR 2004 CURRENT FUNDS BUDGET

Synopsis: Approval of the Current Funds Budget for Fiscal Year 2004 is proposed.

WHEREAS the State budget for Fiscal Years 2004 and 2005, including funding levels for State institutions of higher education, has been enacted; and

WHEREAS fee increases for the Columbus and Regional Campuses for FY 2004 have been approved at previous meetings of the Board of Trustees; and

WHEREAS other levels of resources and expenditures, including compensation, have been identified for the 2004 fiscal year; and

WHEREAS appropriate planning and consultation within the University has been accomplished, and the President now recommends approval of the Fiscal Year 2004 budget:

NOW THEREFORE

BE IT RESOLVED, That the University's Current Funds Budget for Fiscal Year 2004, as described in the accompanying text and tables, be approved, with authorization for the President to make expenditures within the projected income levels.

I. Setting Budget Priorities

Academic Plan

Two and a half years ago the Board of Trustees approved the Academic Plan. This document defines six strategies and related initiatives that are necessary for The Ohio State University to become a truly great teaching and research university. Pursuing such an ambitious goal is important because top-tier research universities are essential to today's knowledge economy to ensure economic growth and social progress for the people and the regions they serve. Ohio lacks such a top-tier institution, but The Ohio State University is poised to fill that role.

The Six Core Strategies

- Build a World-Class Faculty.
- Develop Academic Programs that Define Ohio State as the Nation's Leading Public Land-Grant University.
- Enhance the Quality of the Teaching and Learning Environment.
- Enhance and Better Serve the Student Body.
- Create a Diverse University Community.
- Help Build Ohio's Future.

These strategies and their related initiatives serve as a roadmap for the University. However, almost since its inception, the Academic Plan had to be adapted to fiscal constraints brought about by reductions in state funding. In late Spring 2001, the Governor of Ohio reduced FY 2001 operating appropriations for higher education by 1%. The total cut to OSU was \$4.5 million. In FY 2002, the continued decline in the growth of state revenues resulted in the smallest increase in the University's state support in nine years. Progress on the plan was further constrained by a 32% increase in the University share of employee health benefit costs and the largest increase in energy costs in two decades. Before the close of FY 2002, the University's state support was reduced by an additional \$28 million; \$20 million from the Columbus campus state share of instruction, and over \$8 million from specific line-item appropriations and the regional campuses – reducing state share of instruction to 1999 levels.

Recognizing these resource limitations, the University identified four priorities determined to be most critical to the Academic Plan. These priorities were chosen based upon their broadly beneficial effects, the contributions they would make across the University and the ability to fund them – whether by reallocating existing resources, improving efficiency or raising external revenues.

- Retain and attract outstanding faculty and staff by bringing salaries up to the level of benchmark institutions;

- Strengthen significantly the quality of the academic experience for undergraduates;
- Establish Ohio State as a leader in biomedical research; and
- Create a state and national resource for understanding and resolving the issues of race and ethnicity that continue to divide the nation.

In order to continue to make progress on the Academic Plan priorities in FY 2003, the University reallocated previously committed funds. The University implemented reallocations for the colleges of up to 5% and for academic support units of up to 7% and reduced central university-wide initiatives by \$4 million.

In January, 2003, as part of a plan to balance the FY 2003 State of Ohio budget in the face of continued declining tax revenues, the Governor reduced most state-funded University line items by 2.5%, exempting state share of instruction. However, following the legislature's rejection of tax and revenue initiatives proposed by the Governor to close the remaining budget shortfall, the state share of instruction was also reduced by 2.5%. The combined cut in state funds reduced Ohio State's state support by another \$11.1 million, absorbed by cash balances in FY 2003 and to be allocated as permanent reductions in the college and academic support unit budgets in FY 2004.

Budget Context

At the beginning of the FY 2004 budget planning process, the University presented a financial benchmark report to its Board of Trustees. The report compares OSU's financial trends with nine benchmark institutions in a number of key areas.

The nine benchmark institutions include, in order of their relative rank: Michigan, UCLA, Wisconsin-Madison, Illinois-Urbana/Champaign, Penn State, Texas-Austin, Washington, Minnesota-Twin Cities, and Arizona.

These institutions represent public higher-education institutions of the highest quality that most closely resemble Ohio State in organization and missions. They represent Ohio State's aspirational peers. Using the most-recent audited financial data available (FY 2001) the financial comparisons follow:

Current Funds Revenues per FTE student at Ohio State are significantly (16%) below the average of our benchmark institutions. However, this represents a 7-percentage point improvement over FY 1996.

State support per student FTE at Ohio State (\$9,543) in FY 2001 is also less (10.2%) than benchmark institutions. State appropriations are the slowest growing revenue source: the average annual growth rate from 1992 to 2001 is 0.7% in real terms (2001 constant dollars).

While instructional expenditures per student FTE at Ohio State are 15% higher than the benchmark average, expenditures on academic support outside the classroom are 41% below the benchmark average.

The comparison of fees and tuition based on FY 2003 data shows:

- The combination of differential tuition caps, decreased reliance on state support and increased university funded financial aid means different students will pay varying levels of tuition based on when they entered the University and on the type of program in which they are enrolled. Continuing resident undergraduate tuition and fees at Ohio State remained 4.8% below the benchmark average, while new student tuition and fees were 3.9% above the benchmark average in FY 2003.
- Ohio State is ranked higher than any other Ohio public university in academic reputation. Yet, Ohio State's resident continuing undergraduate tuition and fees are 8.2% below the state average and new student fees are only slightly above at 0.1%. This makes Ohio State an excellent value for students and taxpayers, and it also means Ohio State does not have the resources to match our competition in key academic and support areas.

The University plans to continue developing benchmarking of key academic, demographic and administrative issues to inform decisions on budget prioritization.

FY 2004 Priorities

The FY 2004 budget process continues to be guided by the Academic Plan. The combination of a slowing state economy and increasing demand for other state services means state support to higher education will grow very little in FY 2004 and FY 2005. In FY 2004 the state is providing \$311 million in state support. State Share of Instruction and Research Challenge in FY 2004 are flat compared to FY 2003 levels after the reductions in state support to balance the FY 2003 state budget. Success Challenge is increasing \$1.7 million. However, the total state support is still lower than in FY 2000. Recognizing the difficult financial challenges facing Ohio State, the governor and legislature allowed Ohio State to raise tuition above the 9.9% tuition cap placed on most state institutions – up to a maximum of the 12.9% above the prior academic year.

Based on the Governor's and Senate's funding recommendations earlier this year, tuition was set in May anticipating a higher level of state support than eventually realized. Undergraduate student tuition increases established in May and effective Summer Quarter were 9% for continuing students and for new students 9% plus an additional \$100 per quarter. After the enactment of the state budget and with the realization that state support was below expectations, tuition was increased by an additional 3.9% above the prior academic year tuition effective Fall Quarter for continuing students. New students' tuition was also raised to the state permitted limit of 12.9% effective Fall Quarter or an additional \$50 per quarter above the Summer Quarter rate.

Additional student tuition dollars totaling \$9.9 million are earmarked for undergraduate student financial aid to assure continued access for the students in most need.

Thus, defining an “average” tuition increase becomes more complicated. In a sense, OSU is becoming more like a private institution. For example, undergraduate resident students who first enrolled last fall and attended school three quarters and returned this fall and who receive no financial aid will pay an increase of 15.5%.

Undergraduate resident students who enrolled in the fall and attended this summer and received no financial aid realized a 9% increase in summer tuition and will pay an additional 5.4% in tuition in the fall.

However, for the over 56% of the undergraduate resident students who receive some form of university financial aid the increase will be less and in some cases zero.

Increased revenue generated from the tuition increase as well as increased enrollments will allow the University to focus resources on the following parts of the Academic Plan:

- Increase University funded financial aid in order to offset the impact of tuition increases on low and lower middle income students;
- Expand programming of out-of-classroom student activities through a new Student Activity Fee, even though the fee is phased in over three years;
- Provide average pay increases for faculty and staff in the range of 3.5% (roughly equal to 1% above the market) and an estimated 8.5% increase in the University share of employee benefit costs;
- Enhance of undergraduate programs in the classroom, especially technology;
- Support of multidisciplinary research initiatives including the expansion of the comprehensive cancer program.

Other FY 2004 funding priorities include strategic investments in academic excellence, revenue enhancement programs, student recruitment and enhanced student technology. In addition, the FY 2004 budget continues to support initiatives designed to increase operating efficiencies including improvement of core academic support processes for capital project approval, design, construction and commissioning and purchasing goods and services; energy cost management; health care benefits management; university borrowing costs; and the university's return on its investment in major systems projects. The University will continue to diversify its revenue sources through increased private fund raising and sponsored research. Although the University will make progress on its strategic goals during the coming year, implementation of the Academic Plan will be accomplished at a slower pace than originally anticipated.

Budget Restructuring

Over the past six years the University has moved toward a budget methodology that more directly aligns financial incentives for the colleges with the academic goals of the University. The new budget process aligns the allocation of new revenues with the Academic Plan and reconciles college base budgets with academic goals. Beginning in FY 2003, annual increases in revenues and expenditures were shared with the colleges based on the following principles of the new budget system:

- The allocation of resources should be mission driven.
- In a large, complex organization, decentralized decision-making works best.
- Any budget system, but particularly one that is decentralized, depends on the creation and maintenance of a timely and user-friendly information system.
- A significant portion of revenues should be explicitly linked to the generating units, specifically the colleges.
- A portion of all revenues should be dedicated to the support of university-wide goals.
- Costs should also be explicitly linked to the generating college or vice presidential area.
- Although the system should be mission driven, predictability and stability are also important characteristics.
- Appropriate oversight and accountability should be provided by the University's governance and administrative structure.
- A carefully thought out transition is essential to the ultimate success of any changes in the budget system.

While budget restructuring is not a panacea for the University's low level of financial support from the state, it will provide greater incentives for units to generate resources consistent with the goals of the Academic Plan.

II. FY 2004 Revenue Summary

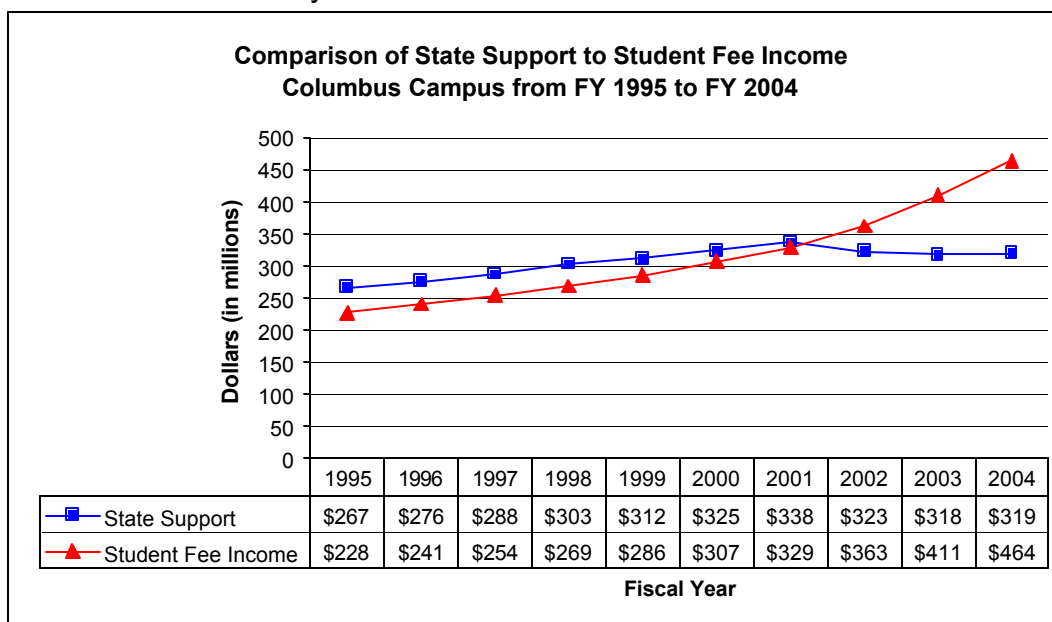
Overview

Total University's current funds revenues (including General Funds, Earnings and Restricted revenue) are projected to increase by 6.2% from \$2.55 billion to \$2.72 billion between FY 2003 and FY 2004.

General Fund revenues for the Columbus Campus consist primarily of State Support (the state share of instruction, challenge funding and state line-item appropriations), student tuition and fees. General Fund revenues are projected to increase by \$63.3 million. Of that amount, \$17.5 million is earmarked for increased graduate and undergraduate financial aid. That equates to a 13.9% increase above FY 2003 in funds available for financial aid.

In the FY 2002 Current Funds Budget, for the first time revenue from student tuition exceeded state instructional support at the Columbus Campus. This phenomenon was not the result of a single lean budget year or biennium, but was an ongoing trend over the previous fifteen years, a time when both the U.S. and Ohio had undergone sustained economic growth. This trend continues with state support in FY 2004 falling below FY 2000 levels.

Ohio has gone from a position sixteen years ago, when Ohio State's share of instructional support was nearly double its student fee income, to a position today where the state provides a minority share of the instructional funding for Ohio State. And, the forecast for the foreseeable future is that state support will continue to fall further behind student fee income. This will put a greater financial burden on students and the University.



State Support

Beginning in FY 2000, the definition of state support expanded to include the state share of instruction and five new line-item appropriations considered challenge funding. Challenge funding for each university or college is dependent upon that institution's performance in meeting the following statewide goals:

Success Challenge – rewards successful completion of baccalaureate programs by at-risk students (OIG-eligible undergraduates); and successful completion of a baccalaureate degree in a timely manner (4 years).

Research Challenge - rewards success in securing sponsored research from external sources.

Priorities in Graduate Education – supports improvements in graduate programs in computer science.

Access Challenge - reduces financial barriers to entry-level higher education (two-year schools only). The reduction in access challenge will make it more difficult for the regional campuses to keep their tuition low.

Jobs Challenge - rewards successful efforts at meeting community needs for non-credit job training (two-year schools only).

Student Fees

Student Enrollments

Undergraduate student retention rates and an increase in the average number of credit hours undergraduates are taking per person, along with a slightly larger freshmen class have increased undergraduate enrollment levels 1.1% above original FY 2003 projections.

Graduate enrollments began to stabilize in FY 2003 after several years of decline and are projected to remain stable and grow slightly. Professional student enrollments are projected to continue growing. Total undergraduate, graduate and professional Columbus campus enrollments for FY 2004 are projected to be 50,207, the highest projected enrollment levels since fall of 1994.

Undergraduate Instructional Fees

Columbus Campus - The Governor, in recognition of Ohio State's historically low tuition, recommended, and the legislature concurred, an exception to the tuition caps established in the state budget. The exception allows Ohio State to increase tuition at 12.9% above the prior academic year's average tuition costs. The 12.9% tuition cap requires that 3.9% of the increase be earmarked for financial aid and/or student related technology. Ohio State's cap is 3% higher than other state-supported institutions' tuition cap. The three undergraduate tuition tiers effective Fall Quarter reflect a 12.9% increase for each tier:

- Tier 1 - \$5,991 for full-time continuing undergraduates who were first enrolled at Ohio State prior to Summer Quarter 2002.
- Tier 2 - \$6,540 for full-time continuing undergraduates who were first enrolled at Ohio State between Summer Quarter 2002 and Spring Quarter 2003.
- Tier 3 - \$6,624 for new full-time students who will first enroll at Ohio State for Summer Quarter 2003 or beyond.

These tuition increases will provide the University with the resources necessary to continue to make progress toward the goals of the Academic Plan, meet the needs of its students and continue to be an investment of high value to the citizens of Ohio. In 2004 new students at the OSU campus will pay less tuition than new students at six other Ohio public universities.

Ohio Public 4-Year Universities Undergraduate Resident Tuition and Fees Rates (new students)

Universities	Credit Hours (Range)	Academic Year 2003-04 Fees ¹
Miami ²	12+	\$8,353
Cincinnati	12-19	\$7,623
Bowling Green	11-18	\$7,408
Ohio University	11-20	\$7,128
Kent State	11+	\$6,882
Akron	12-15	\$6,809
Ohio State-Main Campus ³	12+	\$6,624
Toledo ⁴	12	\$6,428
Cleveland State	12-16	\$6,072
Wright State	11-18	\$5,892
Youngstown State	12-16	\$5,448
Shawnee State	12-18	\$4,734
Central State	12-18	\$4,287
Ohio Average		\$6,438

Data Source: Institutional research campus representatives and web sites.

General Fees

The general fee for all continuing undergraduate students and all graduate and professional students effective Autumn Quarter 2003 will be \$117 per quarter (\$176 per semester). Also effective Autumn Quarter 2003, the general fee for all new undergraduate students will increase an additional \$15 per quarter (with a corresponding decrease in their instructional fees) with the additional funds earmarked for student activities. There will be a separate student activity fee of \$15 per quarter (\$22 per semester) for new graduate and professional students with the additional funds earmarked for student activities. In three years, all undergraduate students will pay the higher general fee and all graduate and professional students will pay the student activity fee, generating approximately \$2 million for student learning outside the classroom activities. In FY 2004 the university will provide one-time funds equal to the amount that would be generated if the student activity fee were charged to all students.

¹ Fees for full-time resident students entering in Fall 2003.

² Miami's annualized fall tuition and fees rate is \$18,103. The tuition and fees rate shown above is net of the \$5,000 Ohio Resident and \$4,750 Ohio Leadership Scholarships.

³ OSU's number excludes COTA fee.

⁴ An additional \$97.00 is added for each credit hour over 12.

Graduate and Professional Fees

Masters and PhD instructional and general fees will increase \$594 per year (9.0%), or \$198 per quarter. New Masters & PhD students will also pay the \$15 per quarter student activity fee. The non-resident surcharge for all graduate students will increase \$636 per year (6.0%), or \$212 per quarter.

Some graduate and professional students pay a higher or differential fee. Revenue generated from FY 2004 differential fee increases is earmarked to support the graduate and professional programs that generate the fee income.

Fifteen graduate and professional programs will have differential fees in FY 2004. The Masters in Occupational Therapy is a new program and a new differential fee in FY 2004. Eleven programs will have differential fee increases in excess of a base fee increase of 6.0% in FY 2004. The following table specifies the various graduate and professional programs' differential fee increases for full-time graduate and professional students effective in Autumn 2003. Programs with differential fees not increasing above 6% include the College of Business MBA, Masters of Accounting and MLHR and the College of Medicine's Master's of Health Administration programs.

Fiscal Year 2004 Graduate and Professional Differential Fee Increases

College/Program	Resident Instructional Fee	
	% Chg	\$ Chg
College of Business EMBA	8.5%	\$2,457
Dentistry	12.0%	1,680
Law (semester)	10.0%	1,152
Medicine ⁵	11.0%	1,875
Occupational Therapy ⁶	10.0%	624
Physical Therapy	10.0%	684
Public Health MPH	11.0%	720
Public Health PEP	9.0%	600
Optometry	10.0%	1,092
Pharmacy	12.0%	990
Vet Medicine	11.0%	1,410

Non-Resident Surcharges

Effective summer quarter 2003, the undergraduate non-resident surcharge at all campuses will increase 6% to \$3,141 per quarter for a full-time student. Effective autumn quarter 2003, the non-resident surcharge increased 6% for all graduate and professional programs at each campus.

⁵ Represents the gross instructional fees for all ranks (0,1,2 & 3). The higher ranks will receive Medicine Tuition Credits to lower their effective rates to a 10% increase for rank 1, a 9.2% for rank 2 and an 8.4% increase for rank 3.

⁶ New differential fee in FY 2004. Fee represents increase over the FY 2003 Graduate fees.

Regional Campuses and ATI

The instructional and general fees for undergraduates attending the regional campuses and ATI, before applying Access Challenge credits, are increasing 9.9% (or about \$152 per quarter) above the 2003 academic year average.

Technology Fees

Several colleges and programs have established Learning Technology Fees in the past in order to fund the additional technology needed to remain competitive within their fields. Only selected fees are proposed to increase in FY 2004.

Fiscal Year 2004 Learning Technology Fees

College/Program	FY 2003		FY 2004	
	Undergrad	Grad	Undergrad	Grad
College of Arts	\$43.00	\$43.00	\$50.00	\$50.00
College of Business	85.00	120.00	97.00	138.00
College of Engineering	110.00	120.00	110.00	120.00
College of Nursing	43.00	43.00	50.00	50.00
Dept of CIS in MAPS	73.00	80.00	73.00	80.00
School of Public Pol & Mgt	NA	120.00	NA	120.00

Residence Halls and Other Charges

The total cost for a resident undergraduate to attend Ohio State's Columbus Campus and live in University housing in FY 2004 will increase approximately \$1,279 (9.4%) for a three quarter academic year for a Tier 1 continuing student and \$1,438 (10.2%) for a three quarter academic year for a new student.

However, for the over 56% of the undergraduate resident students who receive some form of university financial aid the increase will be less and in some cases zero.

Residence Halls and Other Charges

Fees and Charges	Tier 1 Students ⁷		New Students	
	Percent Increase	Dollar Increase	Percent Increase	Dollar Increase
Tuition ⁸	15.4%	\$801	16.2%	\$915
Room & Board ⁹	3.7%	231	3.7%	231
Books & Supplies	3.0%	29	3.0%	29
Health Insurance ¹⁰	23.7%	204	23.7%	204
Park & OSU Bus ¹¹	5.2%	9	5.2%	9
COTA Bus Pass	0.0%	0	0.0%	0
Football Tickets	4.8%	5	4.8%	5
Basketball Tickets	0.0%	0	0.0%	0
Total	9.4%	\$1,279	10.2%	\$1,438

⁷ Students enrolled for the first time before summer 02.

⁸ Tuition increase over prior year's Autumn quarter fee.

⁹ Represents the average undergraduate room rates plus the 10-meal plan.

¹⁰ Based on the single comprehensive rate.

¹¹ Rates are for the Columbus campus. West campus rates are increasing \$20 (47%).

Other Fee Increases and New Fees

In order to enhance student services and programs, three fees were increased and a new orientation fee established. The perspective student application fees increased \$10, undergraduate acceptance fees increased \$30 and transcript fees increased \$2. A new undergraduate Orientation Fee of \$50 was established for students attending the summer orientation in preparation for a fall quarter admission and \$25 for students attending orientation in the fall, winter and spring.

Indirect Cost Recoveries

Over the last two years, indirect cost recoveries for sponsored research were the fastest-growing component of University's General Funds budget. Indirect cost recoveries grow as a function of the level of external research grants awarded to the University. The negotiated indirect cost recovery reimbursement rate is a function of the University's actual expenditures (subject to certain established ceilings) for general administrative and library support services, and research facility and equipment costs. After several years of moderate growth, indirect cost recoveries grew by 13.3% in FY 2002 and by 13.1% in FY 2003. In FY 2004, the University is currently projecting the growth in indirect cost recoveries will level off, growing by 4.7% over FY 2003 recoveries.

Other Income

Additional sources of General Funds income include: internal overhead paid by the University's auxiliary and earnings operations; interest income; unrestricted endowment and designated income; and miscellaneous administrative fees and charges income. As a category, other income is projected to increase 4.5% over FY 2003 levels.

III. FY 2004 Expenditure Summary

Resource Allocation

FY 2004 is the second year of Ohio State's new budget process. The new budget process aligns the allocation of resources with the Academic Plan and decentralizes much of the decision making about expenditure priorities to the college and vice-presidential level.

In FY 2004, Ohio State's current funds budgeted expenditures for all campuses are \$2.710 billion including \$937 million in general funds, \$1.171 billion in funds generated from earnings and auxiliary operations and \$601 million in estimated restricted expenditures.

At the Columbus Campus, support of a continuing level of services in FY 2004 requires \$877 million, including \$36 million to support FY 2003 levels of undergraduate student financial aid, \$537 million to support existing faculty and staff levels and \$56 million to support existing facilities operations costs. An additional \$9.9 million in new revenues has been earmarked for undergraduate financial aid to ensure that otherwise qualified needy students are not denied access as a result of tuition increases. The total FY 2004 financial aid budget including graduate fee authorizations is \$144 million or 15.9% of the University's general funds budget.

The new budget allocation methodology for subsidy, tuition and indirect cost recoveries has, as anticipated, resulted in differential net marginal revenue growth among the colleges. The colleges teaching a growing number of credit hours or expanding their research are realizing more growth in general funds revenues than the colleges with flat or declining credit hours or research initiatives. In addition, some professional colleges and programs charge differential fees. Differential tuition revenues are earmarked for the support of the programs in which the students paying the tuition are enrolled.

It is important to recognize that some colleges rely more heavily on general fund revenue than others and therefore are more directly affected by the new allocation methodologies.

Sources of Funds by College - FY 2002
(In Order of Percent of General Funds)

College	General Funds % of Total¹²	Earnings % of Total¹³	Restricted % of Total¹⁴
Social Work	92.6%	1.2%	6.2%
Humanities	88.7%	0.6%	10.7%
Arts	86.0%	2.1%	11.9%
Law	72.4%	1.8%	25.8%
Nursing	69.0%	0.3%	30.7%
Fisher College Of Business	67.8%	5.3%	26.9%
Human Ecology	67.7%	2.8%	29.5%
Math & Physical Sciences	66.5%	0.3%	33.3%
Social & Behavioral Sciences	62.1%	9.0%	28.9%
Biological Sciences	54.9%	1.8%	43.3%
Dentistry	52.1%	24.2%	23.7%
Education	42.0%	14.4%	43.5%
Veterinary Medicine	41.4%	29.7%	29.0%
Engineering	39.3%	9.9%	50.8%
Pharmacy	37.6%	20.4%	42.0%
Optometry	29.6%	17.0%	53.4%
Medicine	25.5%	1.5%	72.9%
Food, Agricultural & Env Sci ¹⁵	13.8%	4.8%	81.4%
Total	42.7%	7.2%	50.1%

Due to Interfund transfers, sources may be slightly overstated in certain situations.

With the implementation of Budget Restructuring, \$24 million, or over 40% of the FY 2004 planned increases in continuing funds will be directly allocated to the college budgets, \$17.5 million or 30% will be applied to financial aid, \$8.3 million or 14% invested selectively in University-wide initiatives identified in the Academic Plan; and \$9.5 million, or 16%, will be allocated to the academic support unit budgets (as shown on the following tables).

¹² General Funds are unrestricted resources available for allocation in support of instruction; instructional instructional support and related general administrative and physical plant expenditures.

¹³ Earnings Operations are unrestricted with resources generated from the sales and services of the earnings units. These resources are generally designated to the unit generating the revenue. Included are Veterinary Hospital, Airport, Reading Recovery, Engineering Experiment Station, Dental Clinic, Optometry Clinic, University Press, The Lantern, etc.

¹⁴ Restricted Funds are funds whose use has been designated by an external agency or individual and limited to support of a specific purpose or Unit. Includes Federally Sponsored Research and state line item appropriations.

¹⁵ Includes the College of Food, Agricultural & Environmental Sci. as well as ATI, OSUE and OARDC.

**Summary of Annual General Funds Budget Changes
(Columbus Campus General Funds Budget - In Millions)¹⁶**

College	May 31 st PBA	Total Increase from FY03 to FY04	Percent Increase
Arts	\$21.8	\$(0.1)	-0.3%
Biological Sciences	20.3	0.4	1.9%
Humanities	46.8	3.1	6.6%
Mathematicl & Physical Sciences (MAPS)	56.0	1.6	2.9%
Social & Behavioral Sciences (SBS)	44.8	4.6	10.3%
Business	27.6	1.6	5.9%
Food,Agricultrl & Env Sci (FAES)	16.8	0.3	2.1%
Education	28.4	0.4	1.6%
Engineering	55.8	1.8	3.1%
Human Ecology	6.2	1.0	16.7%
Nursing	5.3	0.2	4.4%
Pharmacy	9.1	1.2	13.8%
Social Work	4.0	(0.2)	-3.9%
Dentistry ¹⁷	17.3	0.7	3.9%
Law	10.1	0.8	7.8%
Medicine & Public Health ¹⁸	46.7	6.3	13.4%
Optometry ¹⁶	3.9	0.3	8.3%
Veterinary Medicine ¹⁶	17.9	1.1	6.3%
<i>Anticipated Increase in Fee Revenues¹⁹</i>		1.1	N/A
ALL COLLEGES	\$438.6	\$26.4	6.0%

Academic Support Unit			
Ag Admin (Continuing Education)	\$1.0	\$0.0	2.2%
Ag Admin. (OARDC)	0.7	(0.0)	-0.6%
Board of Trustees	0.6	0.0	2.4%
Business and Finance ²⁰	64.8	2.4	3.7%
Executive Dean of Arts and Science ²¹	0.2	0.0	0.5%
Graduate School	6.7	0.2	2.8%
Health Sciences	6.6	0.2	3.6%
Legal Affairs	1.3	0.0	2.7%
University Libraries	23.4	0.5	2.0%
OAA ²²	41.9	1.6	3.9%
Office of the President ²³	3.0	0.2	5.0%
Office of Research ²³	9.9	1.8	18.0%
Office of Student Affairs	15.5	0.9	6.1%
Undergraduate Studies	28.2	1.2	4.3%
University Relations	4.2	0.1	2.4%
OSURF ²⁴	6.8	0.3	4.1%
ALL SUPPORT UNITS	\$215.0	\$9.5²⁵	4.4%

¹⁶ Increase/Decrease of less than \$500,000 shows as 0.0/(0.0)

¹⁷ Includes Med II SSI allocation based on preliminary data will be updated once end of year data are available.

¹⁸ College of Medicine & Public Health includes rebasing resources of \$1.5M, \$500K for hospital rent expense to be distributed in FY 2004 in continuing funds and \$2.4M in one-time funds.

¹⁹ Includes \$1.09M in anticipated fees to be distributed later in FY 2004.

²⁰ B&F increase includes reduction in FY 2004 physical plant costs as a result of a reduction in B&F assignable space.

²¹ Formerly included in Undergraduate Studies.

²² Includes the following: CIO, Human Resources, International Affairs, John Glenn Institute, ROTC, OAA Admin, Office of Minority Affairs, and the Wexner Center for the Arts.

²³ Will be divided into Office of the President and Office of the VP of Governmental Relations in FY 2004.

²⁴ OSURF 1% reallocation taken through the Office of Research.

²⁵ Includes \$4M in Service Improvements and Mandates not distributed through budget process.

Marginal increases in revenues are budgeted according to the priorities of the Academic Plan and include:

- Increased student financial aid
- Competitive faculty and staff salaries
- New student activity fee to support out-of-classroom student experiences
- Enhanced student services
- Research support
- Technological support

Increased Student Financial Aid – A significant portion of the FY 2004 growth in revenues, 30%, was allocated to student financial aid. Financial aid funds are increased at a rate that ensures students who are otherwise qualified will not be denied admission for financial reasons. As required by state law and mandated by the Ohio State Board of Trustees in the resolution approving the 12.9% increase in undergraduate tuition, the revenues generated by 3.9% of the undergraduate tuition increase is set aside for financial aid for low-income students and enhancements in student technology. \$3.7 million (50%) of the revenues generated by the 3.9% portion of the increase are set aside for undergraduate student financial aid specifically for low-income students. This raises the amount budgeted for undergraduate student financial aid in FY 2004 by \$9.9 million above FY 2003 levels. An additional \$7.6 million is budgeted to support the growth in graduate fee authorizations.

Competitive faculty and staff salaries – College average pay increases for faculty range between 2.5% and 4.4%. Some colleges reallocated current budget to reach the desired pay raise level; others were able to fund pay increases from revenue growth. The variance in the average pay increases is a reflection of the competitiveness of the faculty salaries with each department's benchmark institutions.

University staff pay increases in FY 2004 averaged 3.5% across the university. Staff pay increases are partially funded by a reallocation of existing budget. In some instances, this was accomplished by not filling vacant positions, in other cases by using other funding sources or by reducing operating costs.

Student Activity Fee – In FY 2004, all full-time first year undergraduate and graduate students will pay the new \$15 per quarter fee. Part-time students will pay a prorated portion of the fee. The fee will be phased in over three years and is anticipated to generate approximately \$2.2 million in FY 2006 when all students will pay the fee. The new fee will support undergraduate, graduate and professional out-of-the-classroom student experiences including student governments, clubs and student-sponsored activities.

Programs supported by the new student activity fee will be fully implemented by supplementing the FY 2004 and 2005 student activity fee revenue with one-time funds.

Enhanced Student Services – Permanent funding provided by increases in the student application, acceptance and transcript fees is earmarked for the undergraduate experience and the student escort service, as well as increased funding to support the new on-line student application process, commencement and the federally-mandated SEVIS program.

Research Support – Two million dollars is budgeted to increase research capacity at the Comprehensive Cancer Center. Permanent funding and additional one-time funds are set aside to assist the Office of Research in meeting federal research risk protection guidelines. In addition, \$2 million in one-time cash is identified including \$1.2 million to fund expansion of lab animal research facilities and another \$245,000 to assist the University in preparing for indirect cost negotiations with the federal government.

Technology – Fifty percent of the revenues generated by 3.9% of the undergraduate tuition increase or \$3.7 million is set aside for enhancements in student technology. In addition, continued support is provided for the on-going operations of the Office of Information Technology as well as one-time funds to develop comprehensive plans for the future of the University's learning technology, data warehouse, and mission-continuity initiatives.

Other significant budget allocations include:

President's Reserve and Provost's Strategic Investment – The President's Reserve provides discretionary funds to the President to invest in academic priorities. The Provost's Strategic Investment funds support long-term commitments such as selective investment in top academic units, rebasing college budgets, recruitment and retention of diverse faculty and top undergraduate students, and enhanced outreach and engagement programs.

Success Challenge – An increase of \$1.7M in state Success Challenge funds awarded to Ohio State based on timely graduation by Ohio resident students and graduation of at-risk students has not yet been allocated. Success funds will be distributed to strengthen initiatives promoting timely student graduation and degree completion by at-risk students.

Other Service Improvements and Mandates and Insurance – An additional \$.5 million is budgeted for a number of new initiatives including: improving the University’s internal audit capacity, expanding University program reviews, enhancing campus beautification efforts, beginning a new work life initiative. An additional \$.5 million is budgeted to cover increased costs associated with the University’s property and casualty insurance premiums and the rising costs associated with disposal of hazardous waste.

Support of Facilities

In addition to funding salary and benefit increases, the colleges and support units funded from their net marginal resources an estimated \$4 million inflationary increase in budgeted facility costs including utilities, rent, repair and renovations. Included in the increased University facility costs is the second year of a three-year phased in allocation of facility costs associated with the academic use of University Hospital facilities.

FY 2004 Columbus Campus New General Funds Allocations (in Millions) (totals may not add due to rounding)		
Category of Funding	Continuing Funds	Additional One-Time Funds
Student Financial Aid and Graduate Fee Authorizations	\$17.5	
College Net Marginal Revenue Increases, including Differential Fees, Rebasing Transfers and Indirect Cost Recoveries ²⁶	24.0	2.4
Net Marginal Revenue to Fund Academic Support Units	5.3	
Provost’s and President’s Strategic Investment	2.5	N/A ²⁷
Student Activity Fee	0.5	2.9 ²⁸
Enhanced Student Services	1.0	
Enhanced Research Infrastructure	1.5	6.6 ²⁹
Technology	4.2	1.5
Success Challenge	1.7	
Other Service Improvements and Mandates, and Insurance	1.1	6.1 ³⁰
Increase in FY 2004 General Funds Expenditures	\$59.3	\$19.5³¹

²⁶ Includes \$1.09M in anticipated fees to be distributed later in FY 2004.

²⁷ A total of \$5.08M of one time funds has been allocated from this source, and shows up in other categories of one-time funds.

²⁸ Cash to fully fund student activities in FY 04 and 05 while phasing in the student activity fee over 3 years

²⁹ Includes \$2.33 m funded through the President’s Strategic Reserve and \$1.5 m to complete mandated fume hood repairs, and \$.5M funded from President’s Strategic Reserve for Technology Transfer Innovations.

³⁰ Includes \$.750M funded from President’s Strategic Reserve for Freshman Seminars, Campus Beautification and a Regional Campus Incentive Program

³¹ Does not include \$100,000 unrestricted gifts earmarked for development support

IV. Expenditure Trends And Multi-Year Commitments

Expenditure Trends

(All figures in millions of \$)

Following are a series of tables showing a five-year trend of budget amounts for selected priorities. They include only general fund sources unless otherwise indicated and many increases will be zero due to budget constraints.

Compensation - Over the last five years, the University has increased salaries and wages an average of 3.3% per year while benefits costs per individual have increased an average of 6.4% per year.

Compensation					
	FY 00	FY 01	FY 02	FY 03	FY 04
Salaries & Wages ³²	\$400.10	\$424.60	\$425.50	\$429.80	\$445.40
Benefits	77.50	77.90	85.40	94.60	102.60
Total	\$477.60	\$502.50	\$510.90	\$524.40	\$548.00

Provost's Strategic Investments - The Academic Plan and Diversity Action Plan continue to guide the University's investment into quality academic, research and outreach programs. By the end of FY 2004 over \$14 million in continuing funds will have been invested in academic programs since the inception of the Provost's Strategic Investment Fund. FY 2004 initiatives that will be funded include reconfiguration of the arts and sciences colleges, Selective Investment programs, world class faculty, the Kirwan Institute and quality enhancements in the student experience.

Provost's Strategic Investments					
	FY 00	FY 01	FY 02	FY 03	FY 04
Provost's Strategic Inv.	\$7.50	\$9.50	\$10.30	\$12.30	\$14.30
Library Support	10.40	11.10	11.70 ³³	11.10	11.00
Board of Trustees Chair	0.34	0.34	0.34	0.35	0.10
Total	\$18.24	\$20.94	\$22.34	\$23.75	\$25.40

Research Support - Research continues to be a high priority of the University. Resources must continually be invested in order for the University to maintain its competitive advantage and to increase its research output. In FY 2004, total research support continues to increase even though Research Challenge funding declined in FY 2003. The Academic Plan and the Research Commission report continue to focus university efforts to further advance its research efforts. As a

³² Includes Personnel, Market Adj. & Other Gen Funds. Year end budget except for current year.

³³ The increase in Library Support in FY 2002 is in one-time funds.

result of increased indirect cost recoveries over original FY 2003 budget projections, an additional \$1.48 million is being distributed in FY 2004 to the colleges generating the indirect costs on a proportional basis. An additional \$0.5M in continuing funding and \$2.0M in one time funding will be assessed to the colleges in FY 2004 for Risk Protection, OSURF Research Foundation, University Lab Animal Resources and Indirect Cost negotiations.

Research Support					
	FY 00	FY 01	FY 02	FY 03	FY 04
OSURF Admin	\$6.80	\$6.40	\$6.50	\$6.80	\$7.10
Spec Resch./CDRS/DDRS	4.20	4.40	4.40	4.40 ³⁴	4.40
Research Fee Auth	17.70	18.60	19.50	20.50	22.10 ³⁵
Central Research Spt ³⁶				3.00	3.52
Distribution of Indirect Cost ³⁷				3.00	4.48
Research Challenge	7.90	9.30	8.10	8.10	7.80
Research Debt Service ³⁸	3.15	3.15	2.83	2.83	2.83
One-time Research Funds					1.97
Total	\$39.75	\$41.85	\$41.33	\$48.63	\$54.10

Diversity - The University must continue to invest in those areas that will have the greatest potential for a positive impact on its commitment to diversity. Additional funds to offset inflation are provided for Morrill scholarships. Funding is provided for a variety of new diversity initiatives listed below.

Diversity					
	FY 00	FY 01	FY 02	FY 03	FY 04
Morrill Scholarships ³⁹	\$8.70	\$9.70	\$11.00	\$12.80	\$15.30
Faculty Assistance	5.30	5.50	5.50	5.50	5.50
Retention & Other		0.70	0.70	0.70	0.70
Academic Prog Endow ⁴⁰		1.00			
Initiative Seed Funds ⁹		0.30			
Multicultural Center			0.15	0.25	0.25
Women's Place			0.10	0.20	0.20
Total	\$14.00	\$17.20	\$17.45	\$19.45	\$21.95

³⁴ A portion was decentralized to colleges for CDRS/DDRS in FY 2003 and beyond.

³⁵ In FY2003-2004 resident fee authorizations were distributed to the colleges and support units.

³⁶ Half of the additional indirect cost recoveries collected in FY 2002 were used to support OSURF computing, Cancer research, Lab Animal Facilities and risk protection.

³⁷ Half of the additional indirect cost recoveries collected in FY 2002 were returned to the colleges permanently in FY 2003 and all of the additional IDCs recovered after that are being returned.

³⁸ Prior to FY 2004 Consisted of Research Rent and Research Debt Service. In FY 2004 Research Rent was distributed to the colleges and support units.

³⁹ The restructured minority scholarship program including Young Scholars financial aid.

⁴⁰ Funded from Exclusive Beverage Contract.

Student Financial Aid - In FY 2004, an estimated 25,000 undergraduate students (56%) will receive some form of financial aid, making this one of the most critical student services. The University will allocate additional funds 20% of new undergraduate fee revenue on the first 9% increase plus half of the additional fee revenue from the last 3.9%. The University will also set aside nearly all of the increase in graduate fee revenue to fund graduate fee authorizations.

Student Financial Aid⁴¹					
	FY 00	FY 01	FY 02	FY 03	FY 04
Scholarships	\$6.70	\$6.30	\$7.60	\$9.70	\$12.70
Buckeye Scholarships	4.60	5.40	6.20	7.00	10.40
High Ability Scholars	7.00	7.90	8.80	10.20	11.50
Fee Authorizations	59.00	59.80	67.80	90.20	97.90
Total	\$77.30	\$79.40	\$90.40	\$117.10	\$132.50

Physical Environment - The Ohio State University faces a backlog of over \$100 million in identified maintenance needs for campus facilities. While this backlog cannot be eliminated overnight, the University continues to invest significant resources to maintain the campus physical environment.

Physical Environment					
	FY 00	FY 01	FY 02	FY 03	FY 04
Renovations (State)	\$7.70	\$7.70	\$7.70	\$9.70	\$9.70
University (Cont.) ⁴²	6.90	7.00	7.20	7.40	7.40
University (1-time)	1.00	0.50	1.30	0	3.25
Total	\$15.60	\$15.20	\$16.20	\$17.10	\$20.30

Instructional Technology - The University has embarked on a multi-year effort to increase support of learning technology. It is critical to the University's mission that faculty, staff and students have access to the most advanced technologies.

Instructional Technology					
	FY 00	FY 01	FY 02	FY 03	FY 04
Academic Computing (Cont)	\$9.18	\$8.72	\$10.37	\$10.30	\$10.20
Academic Computing (1-Time)	0.80	1.00	0	0	0
Student Technology					3.70 ⁴³
College & Program Computer Fees					
Engineering/CIS	1.30	1.30	1.30	1.40	1.47
Business	0.80	0.80	0.80	0.95	1.13
Public Policy	0.03	0.03	0.03	0.03	0.03
Arts	0	0.20	0.20	0.25	0.29
Nursing	0	0.10	0.10	0.06	0.07
Total	\$12.11	\$12.15	\$12.80	\$12.99	\$16.89

⁴¹ In addition to General Funds student financial aid includes \$3.5M of designated funds.

⁴² Repair & Renovation and the Space Facilities Committee Reserve.

⁴³ Half of the additional income from the 3.9% increase in the undergraduate fee in FY 2004 will be earmarked for improving student technology.

Multi-Year Commitments

(All figures in millions of \$)

The documentation of multi-year commitments has been part of the annual budget document every year since FY 1996. The purpose of this review is to share with the campus a sense of what these commitments are and how they change from year to year. In order to plan effectively, the University needs to be able to make commitments across fiscal years, but do so in a way that does not jeopardize future financial flexibility.

Multi-year commitments are divided into five categories to reflect the various sources of the funds to address these commitments. These categories are:

- Central Continuing General Funds
- Central One-time General Funds
- Central Commitments of Non-General Funds
- College and Support Unit Commitments of Funds
- Capital Funds

Central Continuing General Funds

The following table lists the explicit multi-year commitments against continuing General Funds. The Provost's Strategic Investment Fund is a continuation of existing commitments. Campus Partners continuing General Fund commitments include projected increased rental costs for the move of Human Resources and other units into Gateway in FY 2004-2005.

Multi-Year Continuing General Funds					
Commitment	Initial FY	Total Thru FY03	FY04 New	FY 05 Est.	FY 06 Est.
Provost Strategic Invest	1995	\$12.3	\$2.0	\$2.0	\$2.0
Campus Partners	1995	0.2	0.0	0.5-1.0	0.0
Budget Rebasing	2002	3.1	5.5	2.0	2.0

In accordance with the Academic Plan and the principles of Budget Restructuring, it was determined that some colleges' base budgets were not in alignment with the goals of the Academic Plan. The table below documents the rebasing transfers to and from these colleges over a five-year period beginning in FY 2002. Through FY 2004, 56% of the budget rebasing targets have been funded.

Budget Rebasing Transfers (in millions)			
College	Total Thru FY03	FY04	5 Year Goal
Transfers to Colleges			
Humanities	\$1.00	\$0.75	\$2.50-\$4.40
Medicine ⁴⁴	1.00	4.40	6.70
Social & Behavioral Sciences	0.48	0.29	1.10
Biological Sciences	0.30	0.15	0.60
Optometry	0.25	0.12	0.50
Social Work	0.15	0.08	0.30
Human Ecology	0.18	0.02	0.20
Subtotal	3.36	5.81	11.90-13.80
Transfers from Colleges			
Nursing	(0.02)	(0.02)	(0.10-0.30)
Pharmacy	(0.02)	(0.02)	(0.10-0.60)
Dentistry	(0.25)	(0.24)	(1.20-2.10)
Subtotal	(0.29)	(0.28)	(1.40-3.00)
Net Transfers	\$3.07	\$5.53	\$8.90-\$12.40

The desired ceiling for multi-year commitments is that commitments in the succeeding three years not exceed 1% of the current year's budget. This guideline was established in the mid 1990's in order to preserve future financial flexibility. One percent of the FY 2004 Columbus Campus General Funds Budget is \$9.0 million. The total of new FY 2004 multi-year commitments plus future obligations in FY 2005 and FY 2006 ranges between \$12.8 and \$16.3 million primarily as a result of adding Budget Rebasing commitments. Therefore, caution needs to be exercised in making additional future multi-year commitments until the University's financial picture improves.

In addition to the specific multi-year commitments listed above, the University will continue to need to fund increases in a number of areas of the General Funds budget. These include:

- Competitive annual compensation increases for faculty, staff and student employees
- Continuing needs for increases in supplies
- Implementation of the G-QUE and I-QUE recommendations
- Support of research
- Deferred maintenance and other capital needs
- Academic and administrative computing needs
- Student Financial Aid
- Unfunded legal mandates
- Diversity and Outreach
- Other Academic Plan initiatives

⁴⁴ Rebasing resources equal \$2.0M in continuing funds and \$2.4M in one-time funds. A total of \$6.7M in continuing rebasing funds to be transferred through FY 2006.

Central One-Time General Funds

The commitment for the Trustees' Chair is the fifth and final year of a five-year commitment. Responsibility for the operation and maintenance of the GL, Procurement and Human Resources Systems has been integrated into the University's existing OIT organization. One time funding is needed to support this operation until permanent funding is secured.

Central One-Time General Funds					
Commitment	Initial FY	FY 03 Inc	FY 04 Est.	FY 05 Est.	FY 06 Est.
Trustees' Chair	1999	\$0.34	\$0.10	0	0
OIT Support	2002	1.00	0.50	0	0

In October 2000, the University Board of Trustees approved the creation of the President's Strategic Investment Fund. The purpose of this fund is to allow the President to direct one-time resources into areas of strategic investment supportive of the Academic Plan.

Resources for the President's Strategic Investment Fund come from both general funds freed up by moving Development off the General Fund and from Research Challenge. These are one-time funds only. The Board resolution prohibits use of these resources for continuing commitments. Use of these funds is at the President's discretion and must be reported annually to the Board of Trustees. Commitments to date total \$30.27 million are distributed as identified in the following table.

President's Strategic Investment Fund						
	Base	FY04	FY05	FY06	FY07	Total
Micro MD	\$5.70					\$5.70
Medical Informatics	2.75	1.25				4.00
P-12 Initiative	.40	.20	.20			.80
World Class Faculty	.38					.38
Library Acquisitions	.71					.71
Graduate Recruitment	.36					.36
Math-Bioscience Inst.	.20					.20
COMPH Pharmacology			.93	.92		1.85
Library Renovation	.30					.30
Biomed Research Tower		2.40	1.80	.80		5.00
Outreach & Engagement	.34	.15				.49
Technology Transfer	.80	.80	.80			2.40
Undergrad Experience	.60	.60	.60	.60	.60	3.00
Comp Cance Ctr Base Spt		1.00				1.00
Interdisciplinary Research		.50				.50
Humanities & Arts Seed Grants		.50				.50
Freshman Seminars		.25				.25
Campus Beautification		.25				.25
Tech Transfer Innovations		.50				.50
Regional Camps Incentive Prog		.25				.25
Research Support		.33				.33
Student Activity Fee Phase-in		1.00	.50			1.50
Total	\$12.54	\$9.98	\$4.83	\$2.32	\$0.60	\$30.27

Central Commitments of Non-General Funds

As the University moves to diversify its funding sources, the commitment of non-General Fund sources is becoming much more significant. The following table lists ongoing commitments of non-General Fund sources that are primarily endowment and unrestricted gift money.

Central Commitments Non-General Funds			
Project	Total	Source	Time Frame
Campus Partners	\$5.8	Unrestricted Gift	95-05
Science & Tech Campus Oper.	2.7	Office of Research	03-12
Science & Tech Campus Loan	21.0	Endowment	97-22

College and Support Unit Commitments of Funds

As the University moves to a more decentralized structure, colleges and other administrative units are taking on greater financial responsibility. The section below lists specific initiatives where colleges and large administrative units have been given or have given loans to accomplish certain objectives. See page 26 for a complete listing of projects.

New commitments include:

- 1) Larkins Replacement – The University, with the support of the students, will replace its over-crowded and out-dated student recreation center with a completely new state-of-the-art facility to be supported with a new student recreation fee.
- 2) Graduate & Professional Housing – The first new dorms since the 1970s are being built on south campus primarily to house graduate and professional students.
- 3) Regional Housing – Residence halls are being built at both the Lima and Marion campuses to support a growing resident student body.
- 4) Comprehensive Cancer Center – The continued growth of the Comprehensive Cancer Center is directly related to the goal of the Academic Plan. This project will expand its facilities to address both research and clinical needs.
- 5) 650 Ackerman Rd. – The University purchased the ABB complex on Ackerman Rd. and will lease it out to various university operations.
- 6) Weinland Park Child Care – The College of Human Ecology will establish an off-campus early childhood development center to provide state-of-the-art training for students and conduct innovative research in the field.

In addition to these specific multi-year commitments by the colleges and support units, we want to highlight some of the financial issues facing The Ohio State University Medical Center and the Department of Athletics.

The Ohio State University Medical Center is the most comprehensive in the country. It currently is facing two financial challenges: 1) the competitive challenges presented by managed care, and 2) the competitive challenge for research dollars. Recent hires in the area of medical research will provide an enormous competitive advantage in cancer, heart and lung, and biomedical research. However, these new hires will also require a corresponding significant investment of financial support.

Initial support has been made possible by the transfer of funds from past successful operations of University Hospitals, the James Cancer Hospital and Solove Research Institute, and now the President's Strategic Reserve among other sources.

The pressures of responding to managed-care competition are likely to continue. The Ohio State University Medical Center accounts for nearly 33% of the entire OSU budget from all sources. While The Ohio State University Medical Center is currently in a positive financial position, the ability to remain strong academically and financially depends on the ability of the University and the Medical Center to balance internal demands for more resources in support of teaching and research against external market forces centered primarily on cost.

The Ohio State University's Athletics' program is the largest in the country and one of only a handful that contributes resources back to the University. The program has aggressively embarked on an effort to correct a number of chronic problems that have needed attention for quite some time, including inadequate facilities, equity issues in coaches' pay and Title IX compliance. Facility investments alone resulted in a quarter of a billion dollar commitment over the last few years. While the program is still financially sound, future revenue growth is not guaranteed. It is essential that new commitments be carefully balanced against future resources so that the program remains financially viable.

Capital

Capital commitments were addressed as part of the preparation for the Biennial Capital Request, and thus will not be dealt with in great detail here. However, we would like to emphasize the following:

- Most of the University's capital needs for replacement and renewal of existing academic building space must be met through the State capital funding process.
- Even if state support remains constant or grows slightly, the University will need to make a commitment in additional continuing funds to address deferred maintenance problems (\$250,000-\$500,000 per year for the next 3-5 years).
- Capital needs in Athletics and the Medical Center should be balanced against operating needs and expected income sources, as discussed previously.
- Although adequate funds are available to meet the needs described above, existing funding sources are not sufficient to embark on additional major university financed construction projects without additional funding sources.
- Although issuing tax-exempt bonds has been a popular funding source over the years, the University has only a finite capacity to issue bonds before negatively impacting its strong credit rating. Maintaining a high rating will keep us from paying higher interest rates. Thus, we need to carefully manage the amount of bonds issued in the future.

Conclusions

Financial projections show that if present trends continue, the University will have sufficient funds to cover its commitments, but will not have discretionary funds available to embark on other significant new initiatives. Thus, if any new initiatives are desired or existing initiatives expanded, the University needs to reduce other commitments or secure additional funds.

College and Other Unit Commitments (Over \$1.0 Million)				
Project	College/Unit	Total	Source	Time Frame
Mrd. Ohio Health, Inc.	Health System	\$5.6	Patient Revenues	98-06
MRI	Medicine	1.5	IDC Reciveries	99-05
Ohio Stadium	Athletics	195.0	Tickets, Club Seats, Boxes	99-29
Schottenstein Center	Student Affrs.	55.2	Ticket Sales	99-29
Heart Hospital	Health System	82.6	Patient Revenues	01-21
Micro MD Bio Mems	Central	4.5	Strategic Invest.	01-02
	Research	1.5	Various	01-21
	Medicine	1.5	Various	01-21
	Engineering	1.5	Various	01-21
Executive Residence	Business	31.0	Rental Revenues	02-33
Bio-Med Resch Tower	Medicine	9.2	IDC Recoveries	05-25
Other Athletic	Athletics	52.0	Capital Campaign	03-?
Wiseman-Lab Animal	ULAR	9.0	IDC Recoveries	TBD
Larkins	Student Affrs.	84.0	Fees	TBD
	Athletics	17.0	Fundraising	TBD
Grad & Prof Housing	Student Affrs.	35.0	Rental Revenues	02-33
Regional Housing				
Lima	Student Affrs.	16.6	Rental Revenues	TBD
Marion	Student Affrs.	16.6	Rental Revenues	TBD
Mansfield	Student Affrs.	TBD	Rental Revenues	TBD
Newark	Student Affrs.	TBD	Rental Revenues	TBD
Comp Cancer Ctr.	TBD	TBD	TBD	TBD
650 Ackerman	REPM	16.3	Rental Revenues	03-23
Weinland Park Child Care	Human Ecology	TBD	Grants, Fundraising	TBD

SELECTED DEFINITIONS

Current Funds are those funds that are earned and expended in the current fiscal year. They include the General Fund, Earnings Operations and Restricted Funds. Excluded entirely from this report are Non-Current Funds such as Plant Funds, Loan Funds and Endowment Principal.

General Funds are unrestricted resources available for allocation in support of core instruction; instructional support and related general administrative and physical plant expenditures.

Earnings Operations are also unrestricted with resources generated from the sales and services of the earnings units. While not a requirement, these resources are generally designated to the unit generating the revenue. Included are the Hospitals & CHRI, Auxiliaries and departmental earnings units.

Auxiliaries are specifically identified by the State as the following earnings operations: Residence & Dining Halls, Intercollegiate Athletics, Student Unions, Bookstores, Traffic & Parking, Fawcett Center, University Airport and Property Management.

Unrestricted refers to the sum of general funds plus earnings operations.

Restricted Funds are funds whose use has been designated by an external agency or individual and limited to support a specific purpose and/or unit. Included is Sponsored Programs.

Instruction and Departmental Research includes all direct and applicable allocated expenditures for all activities that are part of the University's instructional program. It includes expenditures for departmental research and public service that are not separately budgeted.

Academic Support includes all funds expended for activities carried out primarily to provide support services that are an integral part of the operations of one of the three primary missions - instruction, research and public service. Included in this category are Academic Affairs Administration, Libraries, Museums & Galleries and the Deans' offices,

Student Services includes funds expended for those activities whose primary purpose is to contribute to students' emotional and physical well being, as well as their cultural and social development outside the context of the formal instructional program. Included in this category are Admissions and Registration, Counseling, Student Health Service, Recreation & Intramural Sports, Student Financial Aid and the Student unions.

Institutional Support contains expenditures for operations that provide support services to the total University. Included in this category are Executive Management, Business and Finance, Human Resources, University Relations and Development.

Plant, Operations and Maintenance includes all expenditures of current funds for the operation and maintenance of the physical plant, net of amounts charged to auxiliary operations and hospitals. Included in this category are utilities, repair and renovations, custodial services, grounds maintenance, space rental and property insurance.

Separately Budgeted Research includes all expenditures for activities specifically organized to produce research outcomes, whether commissioned by an external agency to the University (restricted) or the University (unrestricted) and includes matching funds applicable to the conditions set forth by the grant or contract. It does not include training grants or equipment grants.

Public Service includes all funds expended for activities that are established primarily to provide non-credit designated course offerings and services beneficial to individuals and groups external to the University. Included in this category are Continuing Education and Cooperative Extension Services.

Scholarships and Fellowships include expenditures in the form of outright grants and trainee stipends to individuals enrolled in formal coursework, either for credit or non-credit.

One-Time Funds are cash payments made to colleges and departments on a discretionary basis. The source of the funds is the cash balance carried forward from the previous year.

**SUMMARY OF BUDGETED
RESOURCES AND EXPENDITURES
TOTAL UNIVERSITY
(IN THOUSANDS)**

2002-03 Revised Budget	2003-04 Budget	Dollar Change	Percent Change
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RESOURCES

Government Support

State	469,978	470,723	745	0.2%
Federal	236,577	258,585	22,008	9.3%
Local	24,585	27,206	2,621	10.7%
Subtotal Government Support	731,140	756,514	25,374	3.5%

Student Fees

Instructional, General & Tuition	436,836	494,423	57,587	13.2%
Other	22,487	20,965	(1,522)	-6.8%
Subtotal Student Fees	459,323	515,388	56,065	12.2%

Other Resources

Health System	828,517	891,381	62,864	7.6%
Auxiliaries	188,217	204,112	15,895	8.4%
Departmental Sales & Services	80,907	80,615	(292)	-0.4%
Private Grants & Contracts	224,739	233,488	8,749	3.9%
Other	38,607	39,518	911	2.4%
Subtotal Other Resources	1,360,987	1,449,114	88,127	6.5%

Total Resources

2,551,450	2,721,016	169,566	6.6%
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EXPENDITURES

Instructional & General	989,846	1,036,406	46,560	4.7%
Separately Budgeted Research	313,340	324,596	11,256	3.6%
Public Service	110,652	119,260	8,608	7.8%
Scholarships & Fellowships	110,149	128,682	18,533	16.8%
Auxiliaries	196,539	212,646	16,107	8.2%
Health System	831,626	888,346	56,720	6.8%

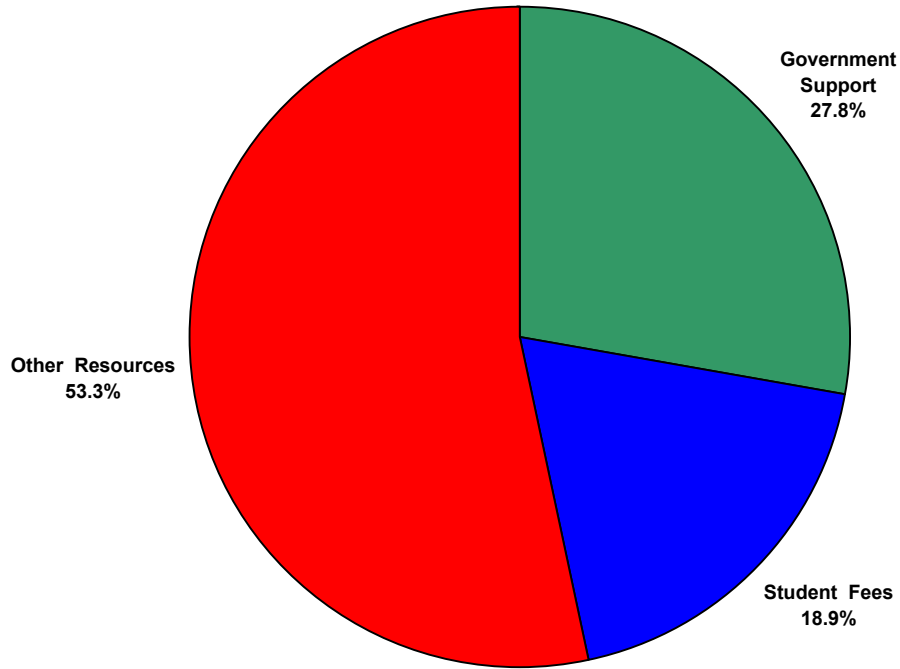
Total Expenditures

2,552,152	2,709,936	157,784	6.2%
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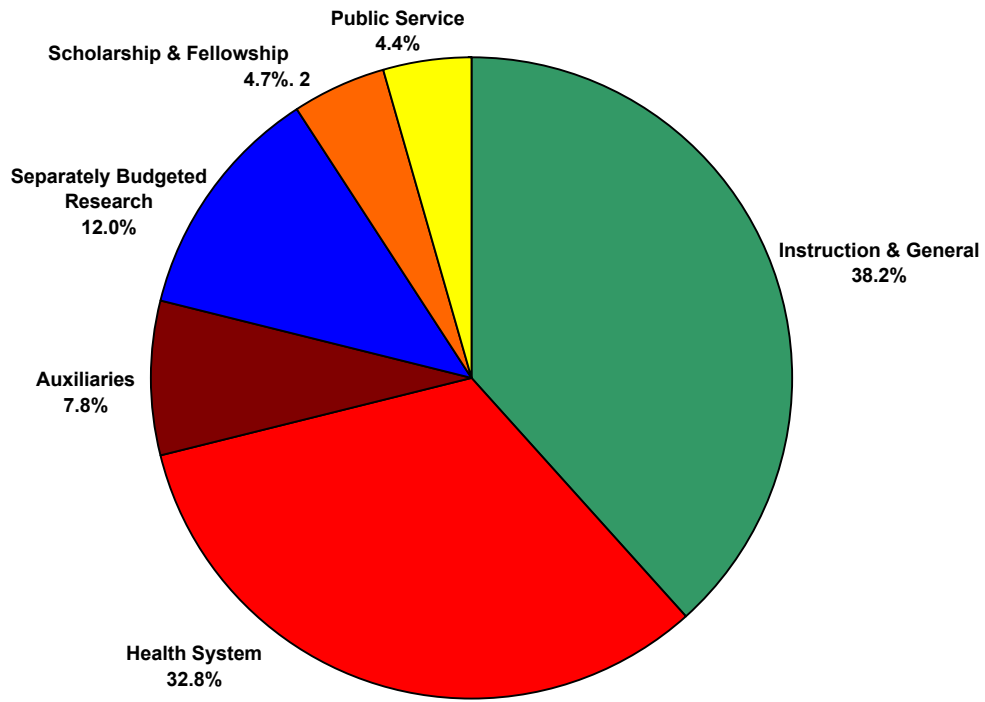
(1) Health Systems budget includes University Hospital, Hospital East, CHRI, Harding and Networks.
The FY 2002 budget was revised down \$52.6 million to eliminate inter hospital transfers.

SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES TOTAL UNIVERSITY - FY 2004

RESOURCES BY SOURCE



EXPENDITURES BY FUNCTION



SUMMARY OF ANNUAL STUDENT FEES COLUMBUS CAMPUS

INSTRUCTIONAL & GENERAL FEES				NONRESIDENT SURCHARGE			
FY 2003 Fees	FY 2004 Fees	Dollar Change	Percent Change	FY 2003 Fees	FY 2004 Fees	Dollar Change	Percent Change
Undergraduate:				(1)			
Tier 1	5,190	5,991	801 15.4%	9,423	9,987	564 6.0%	
Tier 2	5,664	6,540	876 15.5%	9,423	9,987	564 6.0%	
Tier 3	5,664	6,624	960 16.9%	9,423	9,987	564 6.0%	
Graduate Programs:							
Graduate	6,612	7,206	594 9.0%	10,575	11,211	636 6.0%	
MLHR	7,176	7,575	399 5.6%	10,575	11,211	636 6.0%	
MBA	12,891	13,635	744 5.8%	10,575	11,211	636 6.0%	
EMBA	29,331	31,749	2,418 8.2%	10,575	11,211	636 6.0%	
Master of Accounting	16,590	17,556	966 5.8%	10,575	11,211	636 6.0%	
Health Administration	8,100	8,556	456 5.6%	10,575	11,211	636 6.0%	
Public Health MPH	6,909	7,620	711 10.3%	10,575	11,211	636 6.0%	
Public Health PEP	7,029	7,620	591 8.4%	10,575	11,211	636 6.0%	
MPT	7,191	7,866	675 9.4%	10,575	11,211	636 6.0%	
MOT	NA	7,227	NA NA	NA	11,211	NA NA	
Professional:							
Pharmacy	8,610	9,591	981 11.4%	11,250	11,925	675 6.0%	
Dentistry	14,349	16,020	1,671 11.6%	21,720	23,022	1,302 6.0%	
Optometry	11,286	12,369	1,083 9.6%	21,720	23,022	1,302 6.0%	
Veterinary Medicine	13,188	14,589	1,401 10.6%	22,449	23,796	1,347 6.0%	
Law	11,880	13,024	1,144 9.6%	11,420	12,106	686 6.0%	
Medicine	17,412	19,278	1,866 10.7%	23,169	24,558	1,389 6.0%	(3)

Notes:
 Full time fees for Undergraduate Students are for 12+ credit hours.
 Full time fees for Graduate and Professional Students are for 10+ credit hours.

- (1) Tier 1 are students who were first enrolled prior to Summer 2002.
 Tier 2 are students who were first enrolled between Summer 2002 and Spring 2003.
 Tier 3 are students who were first enrolled after Spring 2003.
- (2) This is a new program that will have differential fees greater than the graduate fee for the first time.
- (3) Medicine Level 1 fees. Medicine will offer tuition credits to students in levels 2, 3 & 4 bringing their effective instructional fee lower than Level 1 by 0.9% for Level 2, 4.5% for Level 3 and 5.2% for Level 4.

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES

TOTAL UNIVERSITY BY FUND

(IN THOUSANDS)

2002-03 Revised Budget	2003-2004				Percent Change
	General	Earnings	Restricted	Total Budget	

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

	321,016	321,016	0	0	321,016
	111,581	22,726	0	89,384	112,110
	37,381	1,390	0	36,207	37,597
	469,978	345,132	0	125,591	470,723
	236,577	44,625	0	213,960	258,585
	24,585	4,185	0	23,021	27,206
	731,140	393,942	0	362,572	756,514
					3.5%
	436,836	494,403	0	20	494,423
	22,487	15,792	5,173	0	20,965
	459,323	510,195	5,173	20	515,388
					12.2%
	828,517	0	891,381	0	891,381
	188,217	0	204,305	0	204,305
	80,907	0	80,615	0	80,615
	224,739	10,758	0	222,730	233,488
	20,967	5,250	0	15,800	21,050
	11,707	11,247	0	0	11,247
	5,933	6,656	565	0	7,221
	1,360,987	33,911	1,176,866	238,530	1,449,307
					6.5%
	2,551,450	938,048	1,182,039	601,122	2,721,209
					6.7%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

Total Expenditures

	612,205	512,297	49,337	98,375	660,009
	119,781	102,741	2,416	9,128	114,285
	64,514	68,371	5,648	1,630	75,649
	112,532	63,464	7,335	30,028	100,827
	80,814	77,727	154	7,755	85,636
	989,846	824,600	64,890	146,916	1,036,406
					4.7%
	313,340	32,832	8,893	282,871	324,596
	110,652	10,784	12,626	95,850	119,260
	110,149	67,214	68	61,400	128,682
	196,539	1,929	202,667	8,050	212,646
	831,626	0	882,311	6,035	888,346
					6.8%
	2,552,152	937,359	1,171,455	601,122	2,709,936
					6.2%

(1) Health Systems budget includes University Hospital, Hospital East, CHRI, Harding and Networks. The FY 2002 budget was revised down \$52.6 million to eliminate inter hospital transfers.

(2) Restricted Endowment reflects reclassification of some revenue to Private Grants & Contracts.

(3) General funds expenditures reflects a new budgeting methodology whereby the budget more closely tracks expenditures in accordance with the financial statements.

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES COLUMBUS CAMPUS BY FUND (IN THOUSANDS)

2002-03 Revised Budget	2003-2004			Total Budget	Percent Change
	General	Earnings Restricted			

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

	300,064	300,064		300,064	0.0%
	72,045	19,113	52,780	71,893	-0.2%
	36,470	1,389	35,000	36,389	-0.2%
	408,579	320,566	0	87,780	408,346
	223,805	44,625	200,000	244,625	9.3%
	24,535	4,185	23,000	27,185	10.8%
	656,919	369,376	0	310,780	680,156
	410,645	463,855		463,855	13.0%
	20,990	15,032	5,000	20,032	-4.6%
	431,635	478,887	5,000	0	483,887
	828,517	891,381		891,381	7.6%
	188,117	204,220		204,220	8.6%
	77,000	77,000		77,000	0.0%
	219,844	10,758	220,000	230,758	5.0%
	20,300	5,250	15,500	20,750	2.2%
	10,600	10,350		10,350	-2.4%
	5,295	5,964		5,964	12.6%
	1,349,673	32,322	1,172,601	235,500	1,440,423
	2,438,227	880,585	1,177,601	546,280	2,604,466

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

Total Expenditures

	583,023	482,750	47,900	97,000	627,650
	108,635	95,519	1,102	7,000	103,621
	57,660	61,539	5,633	1,550	68,722
	105,474	56,567	7,324	29,645	93,536
	70,965	72,617	149	3,000	75,766
	925,757	768,992	62,108	138,195	969,295
	272,314	32,787	8,893	244,000	285,680
	108,186	10,651	10,931	95,000	116,582
	103,983	66,226	68	55,000	121,294
	196,441	1,929	202,583	8,050	212,562
	831,626	882,311	6,035		888,346
				0	
	2,438,307	880,585	1,166,894	546,280	2,593,759

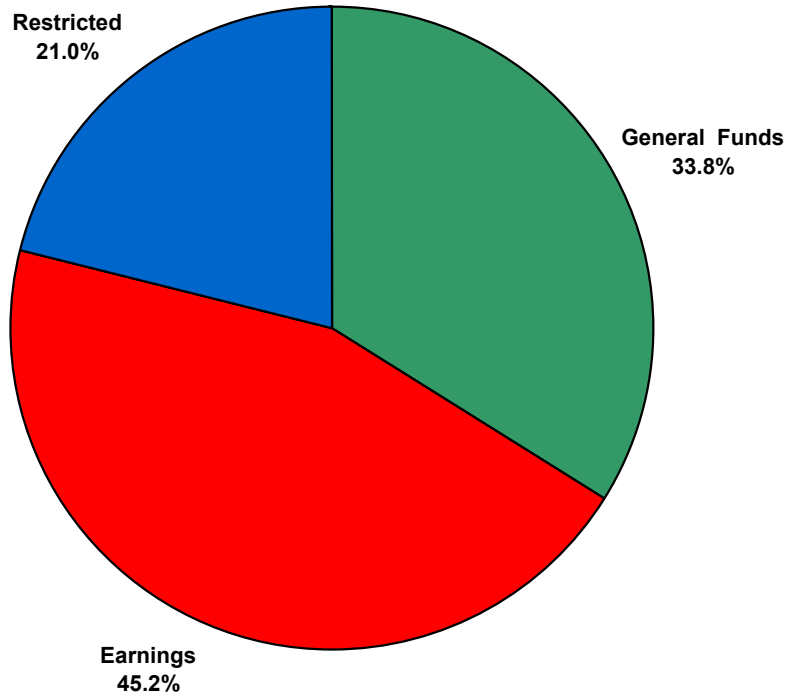
(1) Health Systems budget includes University Hospital, Hospital East, CHRI, Harding and Networks. The FY 2002 budget was revised down \$52.6 million to eliminate inter hospital transfers.

(2) Restricted Endowment reflects reclassification of some revenue to Private Grants & Contracts.

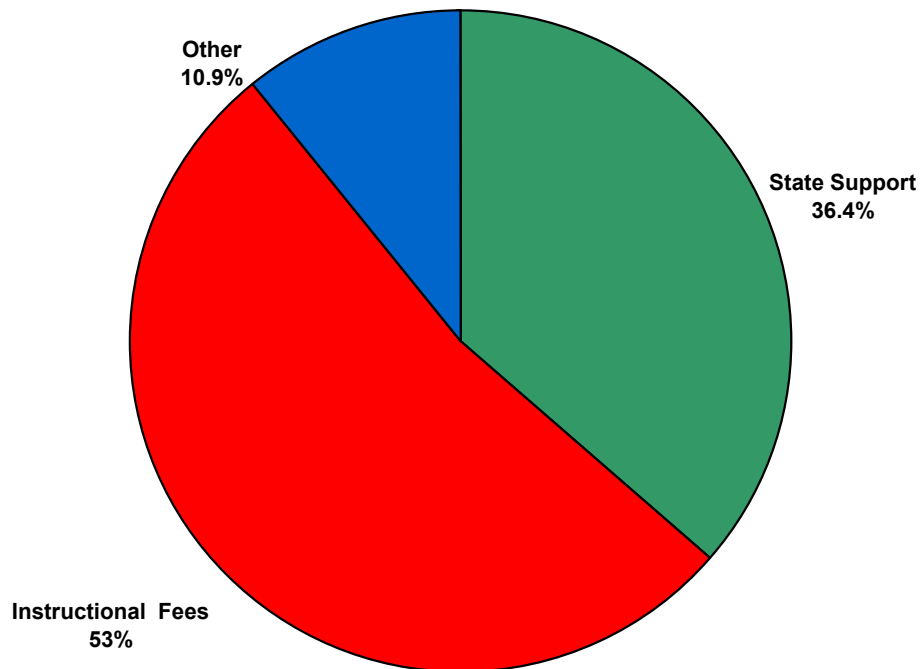
(3) General funds expenditures reflects a new budgeting methodology whereby the budget more closely tracks expenditures in accordance with the financial statements.

BUDGETED RESOURCES TOTAL UNIVERSITY - FY 2004

RESOURCES BY FUND



GENERAL FUNDS BY SOURCE



DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
LIMA CAMPUS BY FUND
(IN THOUSANDS)

2002-03 Revised Budget	2003-2004			Percent Change
	General	Earnings Restricted	Total Budget	

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

(1)

3,979	3,979			3,979	0.0%
841	687	0		687	-18.3%
109		140		140	28.4%
4,929	4,666	0	140	4,806	-2.5%
800			1,220	1,220	52.5%
				0	
5,729	4,666	0	1,360	6,026	5.2%
5,170	5,490			5,490	6.2%
1,159	340	173		513	-55.7%
6,329	5,830	173	0	6,003	-5.2%
0				0	
2				0	-100.0%
192				0	-100.0%
40				0	-100.0%
60				0	-100.0%
150	110			110	-26.7%
257	115	358		473	84.0%
701	225	358	0	583	-16.8%
12,759	10,721	531	1,360	12,612	-1.2%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

Total Expenditures

5,620	6,376	160		6,536	16.3%
1,393	1,236	35		1,271	-8.8%
1,443	988	25		1,013	-29.8%
1,421	1,167	30		1,197	-15.8%
749	867			867	15.8%
10,626	10,634	0	250	10,884	2.4%
34		35		35	2.9%
1,104	63	531	25	619	-43.9%
908			1,050	1,050	15.6%
2				0	-100.0%
				0	
				0	
12,674	10,697	531	1,360	12,588	-0.7%

(1) Decrease in resources due to change in earnings budget to more accurately reflect activity in Continuing Education. If FY 2002-03 budget was adjusted to actual, total resources would have increased by 3%.

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
MANSFIELD CAMPUS BY FUND
(IN THOUSANDS)**

2002-03 Revised Budget	2003-2004			Percent Change
	General	Earnings Restricted	Total Budget	

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

4,026	4,026			4,026	0.0%
982	787	391		1,178	20.0%
263	0	265		265	0.8%
5,271	4,813	0	656	5,469	3.8%
1,072		1,340		1,340	25.0%
				0	
6,343	4,813	0	1,996	6,809	7.3%
5,215	6,422			6,422	23.1%
80	118			118	47.5%
5,295	6,540	0	0	6,540	23.5%
				0	
45		32		32	-28.9%
456		396		396	-13.2%
119			30	30	-74.8%
95				0	-100.0%
336	291			291	-13.4%
	124	42		166	
1,051	415	470	30	915	-12.9%
12,689	11,768	470	2,026	14,264	12.4%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

Total Expenditures

5,542	5,494	145		5,639	1.8%
1,337	1,460	40		1,500	12.2%
1,329	1,433	25		1,458	9.7%
1,221	1,674	1	50	1,725	41.3%
891	873		200	1,073	20.4%
10,320	10,934	1	460	11,395	10.4%
80	45		66	111	38.8%
455		414		414	-9.0%
1,895	776		1,500	2,276	20.1%
45		32		32	-28.9%
				0	
				0	
12,795	11,755	447	2,026	14,228	11.2%

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
MARION CAMPUS BY FUND
(IN THOUSANDS)**

2002-03 Revised Budget	2003-2004			Total Budget	Percent Change
	General	Earnings	Restricted		

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

3,497	3,497			3,497	0.0%
544	657	6		663	21.9%
19			200	200	952.6%
4,060	4,154	0	206	4,360	7.4%
700			1,500	1,500	114.3%
				0	
4,760	4,154	0	1,706	5,860	23.1%
5,494	6,596			6,596	20.1%
68	100			100	47.1%
5,562	6,696	0	0	6,696	20.4%
				0	
				0	
604		423		423	-30.0%
646			200	200	-69.0%
205				0	-100.0%
311	216			216	-30.5%
66	68	165		233	253.0%
1,832	284	588	200	1,072	-41.5%
12,154	11,134	588	1,906	13,628	12.1%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

Total Expenditures

5,134	5,063	560	90	5,713	11.3%
1,691	2,038	15	35	2,088	23.5%
1,461	1,552	15	25	1,592	9.0%
1,295	1,229	3	128	1,360	5.0%
778	897	5	3	905	16.3%
10,359	10,779	598	281	11,658	12.5%
				0	
147			225	225	53.1%
1,493	212		1,400	1,612	8.0%
		1		1	
				0	
				0	
11,999	10,991	599	1,906	13,496	12.5%

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
NEWARK CAMPUS BY FUND
(IN THOUSANDS)**

2002-03 Revised Budget	2003-2004			Percent Change
	General	Earnings Restricted	Total Budget	

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

5,157	5,157			5,157	0.0%
1,110	1,047	123		1,170	5.4%
20	1	52		53	165.0%
6,287	6,205	0	175	6,380	1.5%
1,000			1,500	1,500	50.0%
				0	
7,287	6,205	0	1,675	7,880	8.1%
6,836	8,399			8,399	22.9%
190	202			202	6.3%
7,026	8,601	0	0	8,601	22.4%
				0	
53		53		53	0.0%
				0	
40				0	-100.0%
52				0	-100.0%
285	255			255	-10.5%
243	244			244	0.4%
673	499	53	0	552	-18.0%
14,986	15,305	53	1,675	17,033	13.7%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

Total Expenditures

7,347	8,274	180		8,454	15.1%
1,496	1,692			1,692	13.1%
1,770	2,016			2,016	13.9%
1,844	1,733	75		1,808	-2.0%
1,270	1,410			1,410	11.0%
13,727	15,125	0	255	15,380	12.0%
15		20		20	33.3%
				0	
1,150			1,400	1,400	21.7%
51		51		51	0.0%
				0	
				0	
14,943	15,125	51	1,675	16,851	12.8%

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
AGRICULTURAL TECHNICAL INSTITUTE BY FUND
(IN THOUSANDS)

2002-03 Revised Budget	2003-2004			Percent Change
	General	Earnings Restricted	Total Budget	

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

4,293	4,293			4,293	0.0%
560	435	254		689	23.0%
150		200		200	33.3%
5,003	4,728	0	454	5,182	3.6%
1,200		1,400		1,400	16.7%
			1	1	
6,203	4,728	0	1,855	6,583	6.1%
3,476	3,641	20		3,661	5.3%
				0	
3,476	3,641	0	20	3,661	5.3%
				0	
				0	
1,285		1,556		1,556	21.1%
50				0	-100.0%
55				0	-100.0%
25	25			25	0.0%
72	141			141	95.8%
1,487	166	1,556	0	1,722	15.8%
11,166	8,535	1,556	1,875	11,966	7.2%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

Total Expenditures

5,489	4,340	877	400	5,617	2.3%
859	796	59	18	873	1.6%
851	843		5	848	-0.4%
1,267	1,094	7	100	1,201	-5.2%
1,161	1,063		2	1,065	-8.3%
9,627	8,136	943	525	9,604	-0.2%
220			250	250	13.6%
660	70	750	300	1,120	69.7%
550			800	800	45.5%
				0	
				0	
				0	
11,057	8,206	1,693	1,875	11,774	6.5%

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
OHIO AGRICULTURAL AND RESEARCH DEVELOPMENT CENTER BY FUND
(IN THOUSANDS)**

2002-03 Revised Budget	2003-2004			Percent Change
	General	Earnings Restricted	Total Budget	

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

				0	
35,499			35,830	35,830	0.9%
350			350	350	0.0%
35,849	0	0	36,180	36,180	0.9%
8,000			7,000	7,000	-12.5%
50			20	20	-60.0%
43,899	0	0	43,200	43,200	-1.6%
				0	
				0	
0	0	0	0	0	
				0	
				0	
1,370		1,240		1,240	-9.5%
4,000			2,500	2,500	-37.5%
200			300	300	50.0%
				0	
				0	
5,570	0	1,240	2,800	4,040	-27.5%
49,469	0	1,240	46,000	47,240	-4.5%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

Total Expenditures

50			400	400	700.0%
4,370		1,240	2,000	3,240	-25.9%
				0	
10				0	-100.0%
5,000			4,550	4,550	-9.0%
9,430	0	1,240	6,950	8,190	-13.1%
40,677			38,500	38,500	-5.4%
100			300	300	200.0%
170			250	250	47.1%
				0	
				0	
				0	
50,377	0	1,240	46,000	47,240	-6.2%

**SUMMARY OF STATE SUPPORT
COLUMBUS CAMPUS
(IN THOUSANDS)**

2002-03 Revised Budget	2003-2004		Total Budget	Dollar Change	Percent Change
	General Funds	Restrct'd			

CORE FUNDING

Instructional Funding
Mission-Based Core Funding:
 Success Challenge
 Research Challenge
 Priorities in Graduate Education
Subtotal Core Funding

300,064	300,064		300,064	0	0.0%
8,986	10,714		10,714	1,728	19.2%
7,798	7,798		7,798	0	0.0%
775		626	626	(149)	-19.2%
317,623	318,576	626	319,202	1,579	0.5%

APPROPRIATIONS

OSU-Specific Line Items:
 Cooperative Extension
 Clinical Teaching
 Sea Grants
 Dental/Veterinary Medicine
 Supercomputer
 OARNET
 OSU Glenn Institute
 BioMEMS Program
 Subtotal OSU-Specific Line Items

General Line Items
 Math/Science Teaching Improvement
 Urban Universities
 College Readiness Initiatives
 Library Book Depository
 Student Support Services
 Capital Component
 Medical Items:
 Family Practice
 Primary Care
 Geriatric Medicine
 Area Health Education Center
 Subtotal General Line Items

25,395		25,645	25,645	250	1.0%
14,661		13,566	13,566	(1,095)	-7.5%
275		275	275	0	0.0%
1,889		1,400	1,400	(489)	-25.9%
4,430		4,208	4,208	(222)	-5.0%
3,282		3,657	3,657	375	11.4%
337	312		312	(25)	-7.4%
225		181	181	(44)	
50,494	312	48,932	49,244	(1,250)	-2.5%
353		336	336	(17)	-4.8%
221		166	166	(55)	-24.9%
119		94	94	(25)	-21.0%
374	89		89	(285)	-76.2%
200	200		200	0	0.0%
1,160		1,213	1,213	53	4.6%
797		735	735	(62)	-7.8%
393		363	363	(30)	-7.6%
145		117	117	(28)	-19.3%
230		198	198	(32)	-13.9%
3,992	289	3,222	3,511	(481)	-12.0%
54,486	601	52,154	52,755	(1,731)	-3.2%

Total Appropriations

OHIO GRANTS & CONTRACTS

36,470	1,389	35,000	36,389	(81)	-0.2%
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TOTAL STATE SUPPORT

408,579	320,566	87,780	408,346	(233)	-0.1%
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**SUMMARY OF STATE SUPPORT
EXTENDED CAMPUSES
(IN THOUSANDS)**

2002-03 Total Budget	2003-04		Total Budget	Dollar Change	Percent Change
	General Funds	Restricted			

LIMA CAMPUS

State Share of Instruction
State Appropriations
 Access Challenge
 Jobs Challenge
 Capital Component
 Subtotal Appropriations

State Grants & Contracts

Total Lima Campus

3,979	3,979		3,979	0	0.0%
597	621		621	24	4.0%
66	66		66	0	0.0%
178		0	0	(178)	
841	687	0	687	(154)	-18.3%
109	0	140	140	31	28.4%
4,929	4,666	140	4,806	(123)	-2.5%

MANSFIELD CAMPUS

State Share of Instruction
State Appropriations
 Access Challenge
 Jobs Challenge
 Capital Component
 Subtotal Appropriations

State Grants & Contracts

Total Mansfield Campus

4,026	4,026		4,026	0	0.0%
652	722		722	70	10.7%
65	65		65		0.0%
265		391	391	126	47.5%
982	787	391	1,178	196	20.0%
263		265	265	2	0.8%
5,271	4,813	656	5,469	198	3.8%

MARION CAMPUS

State Share of Instruction
State Appropriations
 Access Challenge
 Jobs Challenge
 Capital Component
 Subtotal Appropriations

State Grants & Contracts

Total Marion Campus

3,497	3,497		3,497	0	0.0%
465	585		585	120	25.8%
72	72		72	0	0.0%
7		6	6	(1)	-14.3%
544	657	6	663	119	21.9%
19		200	200	181	952.6%
4,060	4,154	206	4,360	300	7.4%

**SUMMARY OF STATE SUPPORT
EXTENDED CAMPUSES
(IN THOUSANDS)**

	2002-03	2003-04		Dollar Change	Percent Change
	Total Budget	General Funds	Restricted		
NEWARK CAMPUS					
State Share of Instruction	5,157	5,157		5,157	0 0.0%
State Appropriations					
Access Challenge	722	1,004		1,004	282 39.1%
Jobs Challenge	43	43		43	0 0.0%
Capital Component	345		123	123	(222) -64.3%
Subtotal Appropriations	1,110	1,047	123	1,170	60 5.4%
State Grants & Contracts	20		52	52	32 160.0%
Total Newark Campus	6,287	6,204	175	6,379	92 1.5%
AGRICULTURAL TECH INSTITUTE					
State Share of Instruction	4,293	4,293		4,293	0 0.0%
State Appropriations					
Access Challenge	340	365		365	25 7.4%
Jobs Challenge	70	70		70	0 0.0%
Capital Component	150		254	254	104 69.3%
Subtotal Appropriations	560	435	254	689	129 23.0%
State Grants & Contracts	150		200	200	50 33.3%
Total ATI	5,003	4,728	454	5,182	179 3.6%
OARDC					
Appropriations	35,499		35,830	35,830	331 0.9%
State Grants & Contracts	350		350	350	0 0.0%
Total OARDC	35,849	0	36,180	36,180	331 0.9%
TOTAL EXTENDED CAMPUSES					
State Share of Instruction	20,952	20,952	0	20,952	0 0.0%
Appropriations	39,536	3,613	36,604	40,217	681 1.7%
State Grants & Contracts	911	0	1,207	1,207	296 32.5%
Total Extended Campuses	61,399	24,565	37,811	62,376	977 1.6%

SUMMARY OF STUDENT FEE INCOME
COLUMBUS CAMPUS
(IN THOUSANDS)

FY 2003 Budget	FY 2004 Budget	Dollar Change	Percent Change
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INSTRUCTIONAL FEES

Instructional Fees
 General Fees
 Non-Resident Surcharge

Subtotal Instructional Fees

OTHER FEES

Student Activity Fee
 Application Fees
 Acceptance Fees
 Instructional Technology Fees
 Study Abroad
 Continuing Education
 Flight Instruction
 Medical Instrument Fees
 COTA Fees
 Other

Subtotal Other Fees

TOTAL STUDENT FEE INCOME

287,887	338,855	50,968	17.7%
17,687	17,691	4	0.0%
101,859	107,309	5,450	5.4%
407,433	463,855	56,422	13.8%
0	507	507	
1,500	2,040	540	36.0%
750	1,050	300	40.0%
2,690	2,955	265	9.9%
3,000	3,000	0	0.0%
250	350	100	40.0%
710	865	155	21.8%
1,400	1,625	225	16.1%
1,400	1,400	0	0.0%
1,090	1,240	150	13.8%
12,790	15,032	2,242	17.5%
420,223	478,887	58,664	14.0%

2003-2004 STUDENT FEE SCHEDULE
FEE PER QUARTER
COLUMBUS CAMPUS

Undergraduate Tier 1						
Undergraduate Tier 1: Students first enrolled before Summer Quarter 2002						
Credit Hours	Instrn'l Fees	General Fees		Resident Total	Non-Resident Tuition	Non-Resident Total
		Basic	Student Activity			
0	157	10		167	139	306
1	313	20		333	277	610
2	392	24		416	555	971
3	470	29		499	832	1,331
4	627	39		666	1,110	1,776
5	783	49		832	1,387	2,219
6	940	59		999	1,665	2,664
7	1,097	68		1,165	1,942	3,107
8	1,253	78		1,331	2,219	3,550
9	1,410	88		1,498	2,497	3,995
10	1,566	98		1,664	2,774	4,438
11	1,724	107		1,831	3,052	4,883
12+	1,880	117		1,997	3,329	5,326

Undergraduate Tier 2						
Students first enrolled between Summer Quarter 2002 and Spring Quatr 2003						
Credit Hours	Instrn'l Fees	General Fees		Resident Total	Non-Resident Tuition	Non-Resident Total
		Basic	Student Activity			
0	172	10		182	139	321
1	343	20		363	277	640
2	430	24		454	555	1,009
3	516	29		545	832	1,377
4	688	39		727	1,110	1,837
5	859	49		908	1,387	2,295
6	1,031	59		1,090	1,665	2,755
7	1,204	68		1,272	1,942	3,214
8	1,375	78		1,453	2,219	3,672
9	1,547	88		1,635	2,497	4,132
10	1,719	98		1,817	2,774	4,591
11	1,891	107		1,998	3,052	5,050
12+	2,063	117		2,180	3,329	5,509

**2003-2004 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS**

Undergraduate Tier 3						
Students first enrolled after Spring Quarter 2002						
Credit Hours	Instrn'l Fees	General Fees		Resident Total	Non-Resident Tuition	Non-Resident Total
		Basic	Student Activity			
0	172	10	2	184	139	323
1	345	20	3	368	277	645
2	433	24	3	460	555	1,015
3	519	29	4	552	832	1,384
4	692	39	5	736	1,110	1,846
5	865	49	6	920	1,387	2,307
6	1,037	59	8	1,104	1,665	2,769
7	1,211	68	9	1,288	1,942	3,230
8	1,384	78	10	1,472	2,219	3,691
9	1,557	88	11	1,656	2,497	4,153
10	1,729	98	13	1,840	2,774	4,614
11	1,903	107	14	2,024	3,052	5,076
12+	2,076	117	15	2,208	3,329	5,537

2003-2004 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS

<u>Masters & PhD</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	143	8	1	151	152	187	338	339
1	286	15	2	301	303	374	675	677
2	457	23	3	480	483	747	1,227	1,230
3	686	35	5	721	726	1,121	1,842	1,847
4	914	47	6	961	967	1,495	2,456	2,462
5	1,143	59	8	1,202	1,210	1,869	3,071	3,079
6	1,371	70	9	1,441	1,450	2,242	3,683	3,692
7	1,600	82	11	1,682	1,693	2,616	4,298	4,309
8	1,828	94	12	1,922	1,934	2,990	4,912	4,924
9	2,057	105	14	2,162	2,176	3,363	5,525	5,539
10+	2,285	117	15	2,402	2,417	3,737	6,139	6,154

<u>MLHR</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	151	8	1	159	160	187	346	347
1	301	15	2	316	318	374	690	692
2	482	23	3	505	508	747	1,252	1,255
3	722	35	5	757	762	1,121	1,878	1,883
4	963	47	6	1,010	1,016	1,495	2,505	2,511
5	1,204	59	8	1,263	1,271	1,869	3,132	3,140
6	1,445	70	9	1,515	1,524	2,242	3,757	3,766
7	1,686	82	11	1,768	1,779	2,616	4,384	4,395
8	1,926	94	12	2,020	2,032	2,990	5,010	5,022
9	2,167	105	14	2,272	2,286	3,363	5,635	5,649
10+	2,408	117	15	2,525	2,540	3,737	6,262	6,277

* The Student Activity Fee for new students starting Autumn 2003 is earmarked for student activities.

2003-2004 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS

<u>MBA</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	277	8	1	285	286	187	472	473
1	554	15	2	569	571	374	943	945
2	886	23	3	909	912	747	1,656	1,659
3	1,328	35	5	1,363	1,368	1,121	2,484	2,489
4	1,771	47	6	1,818	1,824	1,495	3,313	3,319
5	2,214	59	8	2,273	2,281	1,869	4,142	4,150
6	2,657	70	9	2,727	2,736	2,242	4,969	4,978
7	3,100	82	11	3,182	3,193	2,616	5,798	5,809
8	3,542	94	12	3,636	3,648	2,990	6,626	6,638
9	3,985	105	14	4,090	4,104	3,363	7,453	7,467
10+	4,428	117	15	4,545	4,560	3,737	8,282	8,297

<u>EMBA</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	654	8	1	662	663	187	849	850
1	1,308	15	2	1,323	1,325	374	1,697	1,699
2	2,093	23	3	2,116	2,119	747	2,863	2,866
3	3,140	35	5	3,175	3,180	1,121	4,296	4,301
4	4,186	47	6	4,233	4,239	1,495	5,728	5,734
5	5,233	59	8	5,292	5,300	1,869	7,161	7,169
6	6,280	70	9	6,350	6,359	2,242	8,592	8,601
7	7,326	82	11	7,408	7,419	2,616	10,024	10,035
8	8,373	94	12	8,467	8,479	2,990	11,457	11,469
9	9,419	105	14	9,524	9,538	3,363	12,887	12,901
10+	10,466	117	15	10,583	10,598	3,737	14,320	14,335

* The Student Activity Fee for new students starting Autumn 2003 is earmarked for student activities.

2003-2004 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS

<u>Master of Accounting</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	359	8	1	367	368	187	554	555
1	717	15	2	732	734	374	1,106	1,108
2	1,147	23	3	1,170	1,173	747	1,917	1,920
3	1,721	35	5	1,756	1,761	1,121	2,877	2,882
4	2,294	47	6	2,341	2,347	1,495	3,836	3,842
5	2,868	59	8	2,927	2,935	1,869	4,796	4,804
6	3,441	70	9	3,511	3,520	2,242	5,753	5,762
7	4,015	82	11	4,097	4,108	2,616	6,713	6,724
8	4,588	94	12	4,682	4,694	2,990	7,672	7,684
9	5,162	105	14	5,267	5,281	3,363	8,630	8,644
10+	5,735	117	15	5,852	5,867	3,737	9,589	9,604

<u>Health Administration</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	171	8	1	179	180	187	366	367
1	342	15	2	357	359	374	731	733
2	547	23	3	570	573	747	1,317	1,320
3	821	35	5	856	861	1,121	1,977	1,982
4	1,094	47	6	1,141	1,147	1,495	2,636	2,642
5	1,368	59	8	1,427	1,435	1,869	3,296	3,304
6	1,641	70	9	1,711	1,720	2,242	3,953	3,962
7	1,915	82	11	1,997	2,008	2,616	4,613	4,624
8	2,188	94	12	2,282	2,294	2,990	5,272	5,284
9	2,462	105	14	2,567	2,581	3,363	5,930	5,944
10+	2,735	117	15	2,852	2,867	3,737	6,589	6,604

* The Student Activity Fee for new students starting Autumn 2003 is earmarked for student activities.

2003-2004 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS

Public Health MPH								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	152	8	1	160	161	187	347	348
1	303	15	2	318	320	374	692	694
2	485	23	3	508	511	747	1,255	1,258
3	727	35	5	762	767	1,121	1,883	1,888
4	969	47	6	1,016	1,022	1,495	2,511	2,517
5	1,212	59	8	1,271	1,279	1,869	3,140	3,148
6	1,454	70	9	1,524	1,533	2,242	3,766	3,775
7	1,696	82	11	1,778	1,789	2,616	4,394	4,405
8	1,938	94	12	2,032	2,044	2,990	5,022	5,034
9	2,181	105	14	2,286	2,300	3,363	5,649	5,663
10+	2,423	117	15	2,540	2,555	3,737	6,277	6,292

Public Health PEP								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	152	8	1	160	161	187	347	348
1	303	15	2	318	320	374	692	694
2	485	23	3	508	511	747	1,255	1,258
3	727	35	5	762	767	1,121	1,883	1,888
4	969	47	6	1,016	1,022	1,495	2,511	2,517
5	1,212	59	8	1,271	1,279	1,869	3,140	3,148
6	1,454	70	9	1,524	1,533	2,242	3,766	3,775
7	1,696	82	11	1,778	1,789	2,616	4,394	4,405
8	1,938	94	12	2,032	2,044	2,990	5,022	5,034
9	2,181	105	14	2,286	2,300	3,363	5,649	5,663
10+	2,423	117	15	2,540	2,555	3,737	6,277	6,292

* The Student Activity Fee for new students starting Autumn 2003 is earmarked for student activities.

2003-2004 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS

<u>Master of Physical Therapy</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	157	8	1	165	166	187	352	353
1	313	15	2	328	330	374	702	704
2	501	23	3	524	527	747	1,271	1,274
3	752	35	5	787	792	1,121	1,908	1,913
4	1,002	47	6	1,049	1,055	1,495	2,544	2,550
5	1,253	59	8	1,312	1,320	1,869	3,181	3,189
6	1,503	70	9	1,573	1,582	2,242	3,815	3,824
7	1,754	82	11	1,836	1,847	2,616	4,452	4,463
8	2,004	94	12	2,098	2,110	2,990	5,088	5,100
9	2,255	105	14	2,360	2,374	3,363	5,723	5,737
10+	2,505	117	15	2,622	2,637	3,737	6,359	6,374

<u>Master of Occupational Therapy</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	144	8	1	152	153	187	339	340
1	287	15	2	302	304	374	676	678
2	458	23	3	481	484	747	1,228	1,231
3	688	35	5	723	728	1,121	1,844	1,849
4	917	47	6	964	970	1,495	2,459	2,465
5	1,146	59	8	1,205	1,213	1,869	3,074	3,082
6	1,375	70	9	1,445	1,454	2,242	3,687	3,696
7	1,604	82	11	1,686	1,697	2,616	4,302	4,313
8	1,834	94	12	1,928	1,940	2,990	4,918	4,930
9	2,063	105	14	2,168	2,182	3,363	5,531	5,545
10+	2,292	117	15	2,409	2,424	3,737	6,146	6,161

* The Student Activity Fee for new students starting Autumn 2003 is earmarked for student activities.

2003-2004 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS

<u>Pharmacy</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	193	8	1	201	202	249	450	451
1	385	15	2	400	402	497	897	899
2	616	23	3	639	642	795	1,434	1,437
3	924	35	5	959	964	1,193	2,152	2,157
4	1,232	47	6	1,279	1,285	1,590	2,869	2,875
5	1,540	59	8	1,599	1,607	1,988	3,587	3,595
6	1,848	70	9	1,918	1,927	2,385	4,303	4,312
7	2,156	82	11	2,238	2,249	2,783	5,021	5,032
8	2,464	94	12	2,558	2,570	3,180	5,738	5,750
9	2,772	105	14	2,877	2,891	3,578	6,455	6,469
10+	3,080	117	15	3,197	3,212	3,975	7,172	7,187

<u>Medicine (1)</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	395	8	1	403	404	512	915	916
1	789	15	2	804	806	1,023	1,827	1,829
2	1,262	23	3	1,285	1,288	1,637	2,922	2,925
3	1,893	35	5	1,928	1,933	2,456	4,384	4,389
4	2,524	47	6	2,571	2,577	3,274	5,845	5,851
5	3,155	59	8	3,214	3,222	4,093	7,307	7,315
6	3,785	70	9	3,855	3,864	4,912	8,767	8,776
7	4,416	82	11	4,498	4,509	5,730	10,228	10,239
8	5,047	94	12	5,141	5,153	6,549	11,690	11,702
9	5,678	105	14	5,783	5,797	7,367	13,150	13,164
10+	6,309	117	15	6,426	6,441	8,186	14,612	14,627

* The Student Activity Fee for new students starting Autumn 2003 is earmarked for student activities.

(1) Medicine Level 1 fees. Medicine will offer tuition credits to students in Levels 2, 3 and 4 bringing their effective instructional fee lower than Level 1 by 0.9% for Level 2, 4.5% for Level 3 and 5.2% for Level 4.

2003-2004 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS

<u>Optometry</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	251	8	1	259	260	480	739	740
1	501	15	2	516	518	959	1,475	1,477
2	801	23	3	824	827	1,535	2,359	2,362
3	1,202	35	5	1,237	1,242	2,302	3,539	3,544
4	1,602	47	6	1,649	1,655	3,070	4,719	4,725
5	2,003	59	8	2,062	2,070	3,837	5,899	5,907
6	2,404	70	9	2,474	2,483	4,604	7,078	7,087
7	2,804	82	11	2,886	2,897	5,372	8,258	8,269
8	3,205	94	12	3,299	3,311	6,139	9,438	9,450
9	3,605	105	14	3,710	3,724	6,907	10,617	10,631
10+	4,006	117	15	4,123	4,138	7,674	11,797	11,812

<u>Dentistry</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	327	8	1	335	336	480	815	816
1	653	15	2	668	670	959	1,627	1,629
2	1,045	23	3	1,068	1,071	1,535	2,603	2,606
3	1,567	35	5	1,602	1,607	2,302	3,904	3,909
4	2,089	47	6	2,136	2,142	3,070	5,206	5,212
5	2,612	59	8	2,671	2,679	3,837	6,508	6,516
6	3,134	70	9	3,204	3,213	4,604	7,808	7,817
7	3,656	82	11	3,738	3,749	5,372	9,110	9,121
8	4,178	94	12	4,272	4,284	6,139	10,411	10,423
9	4,701	105	14	4,806	4,820	6,907	11,713	11,727
10+	5,223	117	15	5,340	5,355	7,674	13,014	13,029

* The Student Activity Fee for new students starting Autumn 2003 is earmarked for student activities.

2003-2004 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS

<u>Vet Medicine</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	297	8	1	305	306	496	801	802
1	593	15	2	608	610	992	1,600	1,602
2	949	23	3	972	975	1,586	2,558	2,561
3	1,424	35	5	1,459	1,464	2,380	3,839	3,844
4	1,898	47	6	1,945	1,951	3,173	5,118	5,124
5	2,373	59	8	2,432	2,440	3,966	6,398	6,406
6	2,848	70	9	2,918	2,927	4,759	7,677	7,686
7	3,322	82	11	3,404	3,415	5,552	8,956	8,967
8	3,797	94	12	3,891	3,903	6,346	10,237	10,249
9	4,271	105	14	4,376	4,390	7,139	11,515	11,529
10+	4,746	117	15	4,863	4,878	7,932	12,795	12,810

<u>Law (Semester)</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	396	11	2	407	409	379	786	788
1	792	22	3	814	817	757	1,571	1,574
2	1,267	35	4	1,302	1,306	1,211	2,513	2,517
3	1,901	53	7	1,954	1,961	1,816	3,770	3,777
4	2,534	70	9	2,604	2,613	2,421	5,025	5,034
5	3,168	88	11	3,256	3,267	3,027	6,283	6,294
6	3,802	106	13	3,908	3,921	3,632	7,540	7,553
7	4,435	123	15	4,558	4,573	4,237	8,795	8,810
8	5,069	141	18	5,210	5,228	4,842	10,052	10,070
9	5,702	158	20	5,860	5,880	5,448	11,308	11,328
10+	6,336	176	22	6,512	6,534	6,053	12,565	12,587

* The Student Activity Fee for new students starting Autumn 2003 is earmarked for student activities.

2003-2004 STUDENT FEE SCHEDULE
FEE PER QUARTER
LIMA, MANSFIELD, MARION AND NEWARK CAMPUSES

<u>Undergraduate - Lower Division</u>							
Credit Hours	Instrn'l Fees	General Fees	Resident Total	BOR - AC Credit	Total After Access	Non-Resident Tuition	Non-Resident Total
0	135	6	141	(18)	123	139	262
1	269	12	281	(35)	246	277	523
2	336	15	351	(44)	307	555	862
3	404	18	422	(53)	369	832	1,201
4	538	23	561	(71)	490	1,110	1,600
5	673	29	702	(88)	614	1,387	2,001
6	807	35	842	(106)	736	1,665	2,401
7	942	41	983	(124)	859	1,942	2,801
8	1,076	47	1,123	(141)	982	2,219	3,201
9	1,211	53	1,264	(159)	1,105	2,497	3,602
10	1,345	58	1,403	(177)	1,226	2,774	4,000
11	1,480	64	1,544	(194)	1,350	3,052	4,402
12+	1,614	70	1,684	(212)	1,472	3,329	4,801

<u>Undergraduate - Upper Division</u>							
Credit Hours	Instrn'l Fees	General Fees	Resident Total	BOR - AC Credit	Total After Access	Non-Resident Tuition	Non-Resident Total
0	135	6	141	(5)	136	139	275
1	269	12	281	(10)	271	277	548
2	336	15	351	(12)	339	555	894
3	404	18	422	(14)	408	832	1,240
4	538	23	561	(19)	542	1,110	1,652
5	673	29	702	(24)	678	1,387	2,065
6	807	35	842	(29)	813	1,665	2,478
7	942	41	983	(33)	950	1,942	2,892
8	1,076	47	1,123	(38)	1,085	2,219	3,304
9	1,211	53	1,264	(43)	1,221	2,497	3,718
10	1,345	58	1,403	(48)	1,355	2,774	4,129
11	1,480	64	1,544	(52)	1,492	3,052	4,544
12+	1,614	70	1,684	(57)	1,627	3,329	4,956

2003-2004 STUDENT FEE SCHEDULE
FEE PER QUARTER
LIMA, MANSFIELD, MARION AND NEWARK CAMPUSES

<u>Graduate</u>							
Credit Hours	Instrn'l Fees	General Fees	Resident Total	BOR - AC Credit	Total After Access	Non-Resident Tuition	Non-Resident Total
0	143	4	147	0	147	187	334
1	286	7	293	0	293	374	667
2	457	12	469	0	469	747	1,216
3	686	18	704	0	704	1,121	1,825
4	914	24	938	0	938	1,495	2,433
5	1,143	30	1,173	0	1,173	1,869	3,042
6	1,371	35	1,406	0	1,406	2,242	3,648
7	1,600	41	1,641	0	1,641	2,616	4,257
8	1,828	47	1,875	0	1,875	2,990	4,865
9	2,057	53	2,110	0	2,110	3,363	5,473
10+	2,285	59	2,344	0	2,344	3,737	6,081

AGRICULTURAL TECHNICAL INSTITUTE

<u>Undergraduate</u>							
Credit Hours	Instrn'l Fees	General Fees	Resident Total	BOR - AC Credit	Total After Access	Non-Resident Tuition	Non-Resident Total
0	134	6	140	(16)	124	139	263
1	268	12	280	(32)	248	277	525
2	335	15	350	(40)	310	555	865
3	402	18	420	(49)	371	832	1,203
4	536	23	559	(65)	494	1,110	1,604
5	670	29	699	(81)	618	1,387	2,005
6	804	35	839	(97)	742	1,665	2,407
7	938	41	979	(113)	866	1,942	2,808
8	1,072	47	1,119	(129)	990	2,219	3,209
9	1,206	53	1,259	(146)	1,113	2,497	3,610
10	1,340	58	1,398	(162)	1,236	2,774	4,010
11	1,474	64	1,538	(178)	1,360	3,052	4,412
12+	1,608	70	1,678	(194)	1,484	3,329	4,813

ANNUAL FEE HISTORY COLUMBUS CAMPUS RESIDENT FEES

	FY 1994	FY 2000	FY 2001	FY 2002	FY 2003	FY 2004	10 Year Average Increase
Undergraduate:							
(1)							
Tier 1	2,940	4,110	4,356	4,761	5,190	5,991	7.4%
Tier 2	2,940	4,110	4,356	4,761	5,664	6,540	8.3%
Tier 3	2,940	4,110	4,356	4,761	5,664	6,624	8.5%
Graduate Programs:							
(1)							
Masters & PhD	4,266	5,730	6,021	6,306	6,612	7,206	5.4%
MLHR	4,266	5,730	6,021	6,843	7,176	7,575	5.9%
MBA	4,266	6,744	9,024	11,334	12,891	13,635	12.3%
EMBA	NA	NA	26,076	27,402	29,331	31,749	NA
Master of Accounting	NA	NA	15,036	15,810	16,590	17,556	NA
Health Administration	4,266	5,730	6,021	6,306	8,100	8,556	7.2%
Public Health MPH	4,266	5,730	6,021	6,306	6,909	7,620	6.0%
Public Health PEP	4,266	5,730	6,021	6,306	7,029	7,620	6.0%
Master of Physical Therapy	NA	NA	NA	6,561	7,191	7,866	NA
Master of Occupational Therapy	NA	NA	NA	NA	NA	7,227	NA
Professional:							
(1)							
Pharmacy	4,932	6,765	7,107	7,716	8,610	9,591	6.9%
Dentistry	6,810	10,746	11,757	12,840	14,349	16,020	8.9%
Optometry	6,810	9,420	9,897	10,374	11,286	12,369	6.1%
Veterinary Medicine	6,810	10,191	11,049	12,012	13,188	14,589	7.9%
Law	4,932	8,424	9,984	10,826	11,880	13,024	10.2%
Medicine	8,310	12,744	13,821	15,168	17,412	19,278	8.8%

- (1) Full time fees for undergraduates are 12+ hours & for Graduates and Professional programs are 10+ hours. Fee numbers do not include other mandatory fees such as the \$27 COTA fees or the \$45 Student Activity Fee charged only on new students.
- (2) Tier 1 students were first enrolled before Summer 2002.
Tier 2 students were first enrolled between Summer 2002 and Spring 2003.
Tier 3 students were first enrolled after Spring 2003.
- (3) These are new degree granting programs.
- (4) These are graduate programs that once charged the graduate fee but now have differential fees.
- (5) Medicine Level 1 fees. Medicine will offer tuition credits to students in levels 2, 3 & 4 bringing their effective instructional fee lower than level 1 by 0.9% for level 2, 4.5% for level 3 and 5.2% for level 4.

SUMMARY OF TYPICAL STUDENT FEES COLUMBUS CAMPUS UNDERGRADUATES

TIER 1 STUDENTS First Enrolled Prior to Summer 2002				TIER 3 STUDENTS First Enrolled After Spring 2003			
2002-03 Fees	2003-04 Fees	Dollar Change	Percent Change	2002-03 Fees	2003-04 Fees	Dollar Change	Percent Change

RESIDENT FEES

Instructional & General	(1)	5,190	5,991	801	15.4%	5,664	6,579	915	16.2%
Student Activity Fee	(2)	0	0	0		0	45	45	
Room & Board	(3)	6,198	6,429	231	3.7%	6,198	6,429	231	3.7%
Text Books/Supplies	(4)	979	1,008	29	3.0%	979	1,008	29	3.0%
Subtotal		12,367	13,428	1,061	8.6%	12,841	14,061	1,220	9.5%
Health Insurance	(5)	861	1,065	204	23.7%	861	1,065	204	23.7%
Parking & Bus Pass	(6)	163	172	9	5.5%	163	172	9	5.5%
COTA Pass		27	27	0	0.0%	27	27	0	0.0%
Football Tickets	(7)	105	110	5	4.8%	105	110	5	4.8%
Basketball Tickets	(7)	64	64	0	0.0%	64	64	0	0.0%
Total		13,587	14,866	1,279	9.4%	14,061	15,499	1,438	10.2%

NON-RESIDENT FEES

Instructional & General	(1)	5,190	5,991	801	15.4%	5,664	6,579	915	16.2%
Non-Resident Tuition		9,423	9,987	564	6.0%	9,423	9,987	564	6.0%
Student Activity Fee	(2)	0	0	0		0	45	45	
Room & Board	(3)	6,198	6,429	231	3.7%	6,198	6,429	231	3.7%
Text Books/Supplies	(4)	979	1,008	29	3.0%	979	1,008	29	3.0%
Subtotal		21,790	23,415	1,625	7.5%	22,264	24,048	1,784	8.0%
Health Insurance	(5)	861	1,065	204	23.7%	861	1,065	204	23.7%
Parking & Bus Pass	(6)	163	172	9	5.5%	163	172	9	5.5%
COTA Pass		27	27	0	0.0%	27	27	0	0.0%
Football Tickets	(7)	105	110	5	4.8%	105	110	5	4.8%
Basketball Tickets	(7)	64	64	0	0.0%	64	64	0	0.0%
Total		23,010	24,853	1,843	8.0%	23,484	25,486	2,002	8.5%

- (1) Increases are equal to 12.9% above the 2003 Academic Year average per the State budget language.
- (2) The new Student Activity Fee applies only to new students and is within the fee cap.
- (3) Undergraduate - Weighted average room rate +10 meal plan.
- (4) Estimated costs and inflationary increase per OSU Bookstore.
- (5) Student insurance is based on the single student comprehensive rate.
- (6) Using main campus rate.
- (7) Five football and eight basketball games.

**DETAIL OF SELECTED RATES
OTHER STUDENT FEES
FY 2004**

Description	Timing	Rate	Percent Change
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Housing, Food Service and Event Centers			
Undergraduate (Weighted average room rates + 10 meals/w	Quarter	2,143.00	3.7%
Graduate (Single + Phone)	Month	382.00	4.9%
Family Student Housing (2 bedroom apartment)	Month	630.00	5.0%
Board Only (10 meals/week)	Quarter	850.00	1.8%

Parking & Transportation			
Parking, 4-Wheel Vehicle (Main Campus)	Annual	172.00	5.2%
Parking, 4-Wheel Vehicle (West Campus)	Annual	61.20	47.8%
COTA Bus Pass	Quarter	9.00	0.0%

Recreational Fees			
Football Tickets	Per Game	22.00	4.8%
Basketball Tickets	Per Game	8.00 - 12.00	0.0%
Golf Course Membership	Annual	550.00	0.0%
Golf Course Greens Fees	Per Round	14.00 - 18.00	0.0%
Larkins Locker Fee	Quarter	13.00	0.0%

Student Health Insurance			
Student	Quarter	355.00	24%
Student & Spouse	Quarter	911.00	24%
Student & Children	Quarter	917.00	24%
Student, Spouse & Children	Quarter	1,229.00	24%
Law Students	Semester	532.00-1,843.00	24%
Early Arriving Students	Month	119.00-410.00	24%

Equipment Fees			
Optometry Equipment	One Time	340.00	0.0%
Dental Clinical Education Support Fee	Quarter	983.00	9.0%
Dental Hygiene Clinical Education Support Fee	Quarter	378.00	8.9%

Clinic Fees			
Dental Hygiene EFDA Fee (Continuing Students)	Quarter	127.00	8.5%
Nursing Clinical Fee (Undergraduate)	Quarter	154.00	2.7% (1)
Nursing Clinical Fee (Graduate)	Annual	612.00 - 762.00	1.6%-2.0% (1)

Learning Technology Fees			
College of Arts (Undergraduate & Graduate)	Quarter	50.00	16.3%
College of Business (Undergraduate)	Quarter	97.00	14.1%
College of Business (Graduate)	Quarter	138.00	15.0%
College of Engineering (Undergraduate)	Quarter	110.00	0.0%
College of Engineering (Graduate)	Quarter	120.00	0.0%
College of Nursing (Undergraduate & Graduate)	Quarter	50.00	16.3%
Department of CIS in Math & Phys Sci (Undergraduate)	Quarter	73.00	0.0%
Department of CIS in Math & Phys Sci (Graduate)	Quarter	80.00	0.0%
School of Public Policy & Management (Graduate)	Quarter	120.00	0.0%

**DETAIL OF SELECTED RATES
OTHER STUDENT FEES
FY 2004**

Description	Timing	Rate	Percent Change
Other Student Fees			
Application Fees-International (All but Medicine)	One Time	50.00	25.0%
Application Fees-Domestic (All but Medicine)	One Time	40.00	33.3%
Application Fees-Medicine International	One Time	70.00	75.0%
Application Fees-Medicine Domestic	One Time	50.00	66.7%
Acceptance Fees-Undergraduate	One Time	100.00	42.9%
Acceptance Fees-Graduate	N/A	N/A	N/A
Acceptance Fees-Professional	One Time	25.00	0.0%
Transcript Fees	Each Reques	7.00	40.0%
Orientation-Attend Summer Quarter	One Time	50.00	New
Orientation-Attend Other Quarters	One Time	25.00	New

FACULTY, STAFF & DEPARTMENTAL FEES

Health Insurance			
Prime Care Plan			
Individual	Month	48.86	18.7%
Family	Month	152.68	18.7%
OSUHP Plan			
Individual	Month	172.23	3.7%
Family	Month	538.22	3.7%
Traditional Plan			
Individual	Month	172.33	3.8%
Family	Month	538.22	3.7%
Buckeye Plan			
Individual	Month	23.28	40.0%
Family	Month	72.75	40.0%

Parking & Transportation			
Parking, 4-Wheel Vehicle A Decal (Main Campus)	Annual	498.00	5.1%
Parking, 4-Wheel Vehicle B Decal (Main Campus)	Annual	259.20	4.1%

Recreational Fees			
Football Tickets	Per Game	37.00	2.8%
Basketball Tickets	Per Game	15.00 - 20.50	0.0%
Golf Course Membership	Annual	1,625.00	1.6%
Golf Course Greens Fees	Per Round	23.00 - 30.00	0.0%

Departmental Rates			
Basic Telephone Service	Month	18.65	-6.8%
University Health System	Various	Various	11.6%

**SUMMARY OF RESIDENT STUDENT FEES
BIG TEN PUBLIC INSTITUTIONS
FOR FY 2003 AND FY 2004**

UNDERGRADUATE FEES NEW STUDENTS			
	FY 2003 Fees	FY 2004 Fees	1 Year % Change
1 Penn State	8,585	9,296	8.3%
2 Michigan	7,485	7,975	6.5%
3 Minnesota	6,280	7,116	13.3%
4 Michigan State	6,412	7,044	9.9%
5 Illinois	6,704	7,010	4.6%
6 Ohio State	5,664	6,624	16.9%
7 Indiana	5,315	6,517	22.6%
8 Purdue	5,580	5,860	5.0%
9 Wisconsin*	4,426	5,139	16.1%
10 Iowa	4,191	4,993	19.1%
Average	6,064	6,757	12.2%

- Notes: 1) Tuition is for new students entering Autumn Quarter 2003.
 2) FY 2003 data is from AAUDE Data Exchange except for Michigan which was provided by the campus representative.
 3) FY 2004 data is from phone survey of campuses.
 4) OSU tuition excluded \$27 COTA pass through fee.

SUMMARY OF AUXILIARY OPERATIONS
FISCAL YEAR 2004
 IN THOUSANDS

Resources			Expenditures & Transfers				Net Income
Auxiliary	General Funds	Restricted	Total Budget	Expend Service	Debt Service	Other Transfers	

COLUMBUS CAMPUS

Housing, Food Services

and Event Centers (1)

Intercollegiate Athletics

Bookstores (2)

Transportation & (3)

Parking Services

University Airport

Real Estate & Prop Mgt

Subtotal Columbus

	101,354	1,929	103,283	85,442	14,025	2,881	102,348	935
	75,435	8,050	83,485	65,157	16,126	2,141	83,424	61
	1,806		1,806	1,718			1,718	88
	18,968		18,968	14,185	4,115	668	18,968	0
	5,850		5,850	5,086	209	40	5,335	515
	807		807	769			769	38
	204,220	1,929	214,199	172,357	34,475	5,730	212,562	1,637

MANSFIELD CAMPUS

Bookstore

	32		32	32			32	0

NEWARK CAMPUS

Parking Facility

	53		53	51			51	2

GRAND TOTAL

	204,305	1,929	214,284	172,440	34,475	5,730	212,645	1,639

(1) Includes Student Housing & Food Service, The Fawcett Center, Student Unions, ATI Dorm, Newark Housing, the Schottenstein and the Blackwell.

(2) Consists primarily of the Medical Bookstore. The Main Bookstore and Regional Bookstores have been privatized.

(3) Resources reflect "Parking Surcharge revenue allocated to debt-service"

HOUSING, FOOD SERVICES AND EVENT CENTERS

(IN THOUSANDS)

		FY 2003 Budget	FY 2004 Budget	Dollar Change	Percent Change
RESOURCES					
Food Service	(1)	16,936	26,652	9,716	57.4%
Housing	(2)	39,084	46,711	7,627	19.5%
Fawcett Center	(3)	2,861	3,357	496	17.3%
ATI Residence Hall		1,763	1,634	(129)	-7.3%
Newark Housing	(4)	517	809	292	56.5%
Ohio Union		1,195	1,188	(7)	-0.6%
Blackwell	(4)	6,546	8,971	2,425	37.0%
Schottenstein Center	(5)	10,159	7,437	(2,722)	-26.8%
Other	(6)	5,776	4,595	(1,181)	-20.4%
General Funds Support		1,888	1,929	41	2.2%
Subtotal Resources		86,725	103,283	16,558	19.1%
EXPENDITURES & TRANSFERS					
Personnel	(7)	25,981	32,689	6,708	25.8%
Benefits		6,986	8,861	1,875	26.8%
Supplies & Services		30,427	35,891	5,464	18.0%
Equipment		1,951	3,097	1,146	58.7%
University Overhead		3,956	4,904	948	24.0%
Debt Service		10,997	14,025	3,028	27.5%
Other Transfers	(8)	5,951	2,881	(3,070)	-51.6%
Subtotal Expenditures & Transfers		86,249	102,348	16,099	18.7%
NET INCOME		476	935	459	96.4%

- (1) Increase due to change in board plans, inflation, increased number of residents hall students and expansion of food service.
- (2) Increase due to new housing at Neil & 10th, rate increases, off campus acquisitions and conversion of doubles to quads.
- (3) Revenue spaces out of operation for portion of FY 2003 due to building transition.
- (4) New operations in FY 2003. FY 2004 is their first full year of operation.
- (5) FY 2003 budget restated to include the Schottenstein Center. Budget is net of artist fees of \$10.3M in FY 2003 and \$7.8M in FY 2004. FY 2004 revenues and expenses are lower due to fewer planned concerts.
- (6) Includes Success, Center, Buck ID, Summer Conferences, Oxley's and Drake. Some operations moved to food service and housing.
- (7) FY 2003 budget restated to include the Schottenstein Center. FY 2004 increases proportional to revenue increases.
- (8) Due to increased FCOB support of the Blackwell. Remaining decreases due to adjustments within Student Affairs.

INTERCOLLEGIATE ATHLETICS

(IN THOUSANDS)

	FY 2003 Budget	FY 2004 Budget	Dollar Change	Percent Change
<u>RESOURCES</u>				
Auxiliary				
Football	26,580	27,155	575	2.2%
Stadium Revenue	15,010	15,515	505	3.4%
Men's Basketball	11,628	12,196	568	4.9%
Other Sports	1,260	1,265	5	0.4%
Golf Course	2,947	3,055	108	3.7%
Other	13,760	16,249	2,489	18.1%
Subtotal Auxiliary	71,185	75,435	4,250	6.0%
Restricted				
Fund Raising	7,850	8,050	200	2.5%
Total Resources	79,035	83,485	4,450	5.6%
<u>EXPENDITURES & TRANSFERS</u>				
Auxiliary				
Personnel	19,184	21,416	2,232	11.6%
Benefits	4,618	5,194	576	12.5%
Supplies & Services	21,014	22,833	1,819	8.7%
Equipment	1,080	806	(274)	-25.4%
Schottenstein Rent	1,572	1,643	71	4.5%
University Overhead	3,216	3,801	585	18.2%
Athletics Grants-In-Aid	765	1,414	649	84.8%
Debt Service	16,127	16,126	(1)	0.0%
Other Transfers	3,550	2,141	(1,409)	-39.7%
Subtotal Auxiliary	71,126	75,374	4,248	6.0%
Restricted				
Athletics Grants-In-Aid	7,850	8,050	200	2.5%
Debt Service	0	0	0	
Subtotal Restricted	7,850	8,050	200	2.5%
Total Expenditures & Transfers	78,976	83,424	4,448	5.6%
<u>NET INCOME</u>				
	59	61	2	

(1) Consists of ticket surcharge, club seats, suite rental and scoreboard revenue.

(2) Athletics Grants-In-Aid must be supplemented by Auxiliary funds.

THE OHIO STATE UNIVERSITY HEALTH SYSTEM *
(IN THOUSANDS)

	FY 2003 Budget	FY 2004 Budget	Dollar Change	Percent Change
<u>RESOURCES</u>				
Auxiliary				
Inpatient Revenue (Net)	520,895	582,596	61,701	11.8%
Outpatient Revenue (Net)	264,839	270,775	5,936	2.2%
Other	42,783	38,010	(4,773)	-11.2%
Subtotal Auxiliary	828,517	891,381	62,864	7.6%
Restricted				
State Appropriation	6,100	2,635	(3,465)	-56.8%
Other	3,400	3,400	0	
Subtotal Restricted	9,500	6,035	(3,465)	-36.5%
Total Resources	838,017	897,416	59,399	7.1%
<u>EXPENDITURES & TRANSFERS</u>				
Auxiliary				
Patient Services	535,916	574,063	38,147	7.1%
Student Education	18,582	22,811	4,229	22.8%
Research	2,477	2,510	33	1.3%
Administration	188,738	206,614	17,876	9.5%
Plant, Operation & Maintenance	32,926	35,823	2,897	8.8%
Transfers To Plant (Net)	43,487	40,490	(2,997)	-6.9%
Subtotal Auxiliary	822,126	882,311	60,185	7.3%
Restricted	9,500	6,035	(3,465)	-36.5%
Total Expenditures & Transfers	831,626	888,346	56,720	6.8%
<u>NET INCOME</u>	6,391	9,070	2,679	41.9%

* Includes University Hospitals, James Cancer Hospital & Solove Research Institute, University Hospital East, Harding Hospital, and the Hospital Network.

STATE SUPPORT AND STUDENT FEES
TEN YEAR TREND
COLUMBUS CAMPUS
(IN THOUSANDS)

Fiscal Year	State Support (1)			Student Fees (2)		
	Amount	Dollar Change	Percent Change	Amount	Dollar Change	Percent Change
1994	254,355	7,710	3.1%	216,202	7,857	3.8%
1995	267,000	12,645	5.0%	228,170	11,968	5.5%
1996	275,834	8,834	3.3%	241,051	12,881	5.6%
1997	287,565	11,731	4.3%	253,512	12,461	5.2%
1998	303,057	15,492	5.4%	269,094	15,582	6.1%
1999	311,787	8,730	2.9%	286,218	17,124	6.4%
2000	324,623	12,836	4.1%	306,757	20,539	7.2%
2001	337,754	13,131	4.0%	329,059	22,302	7.3%
2002	322,683	(15,071)	-4.5%	363,150	34,091	10.4%
2003	316,848	(5,835)	-1.8%	410,465	47,315	13.0%
2004	318,576	1,728	0.5%	463,855	53,390	13.0%
Average Increase			2.3%	7.9%		

- (1) State Support includes State Share of Instruction, Research Challenge and Success Challenge funds.
- (2) Student Fees include Instructional, General and Non-Resident Fees.

HEADCOUNT ENROLLMENTS

AUTUMN QUARTER, 1994-2004

Year	<u>Columbus Campus</u>							
	Undergrad	Graduate	Law	Dentistry	Medicine	Optometry	Pharmacy	Veterinary Medicine
1993	37,062	10,934	665	359	856	241	19	508
1994	36,165	10,735	654	354	850	242	24	518
1995	35,475	10,495	678	360	863	249	36	521
1996	35,485	10,149	683	371	848	249	44	523
1997	35,647	9,907	666	380	855	247	41	535
1998	36,252	9,538	634	375	842	247	94	529
1999	36,092	9,153	628	372	832	248	147	531
2000	35,749	9,382	643	379	832	245	195	527
2001	36,049	9,452	655	395	844	245	300	537
2002	36,855	9,761	673	400	826	244	380	537
E 2003	37,269	9,781	660	417	826	245	468	541

Graduate includes: Masters, PhD, MBA, EMBA, MAcc, MLHR, MPT, MHA, MPH, OPT and PEP

Year	<u>Summary By Campus</u>						Grand Total
	Columbus	Lima	Mansfield	Marion	Newark	ATI	
1993	50,644	1,348	1,412	1,046	1,675	713	56,838
1994	49,542	1,232	1,504	1,209	1,560	740	55,787
1995	48,677	1,244	1,359	1,171	1,548	783	54,782
1996	48,352	1,281	1,343	1,312	1,611	827	54,726
1997	48,278	1,374	1,460	1,105	1,676	925	54,818
1998	48,511	1,321	1,517	1,141	1,778	965	55,233
1999	48,003	1,323	1,573	1,176	1,883	1,031	54,989
2000	47,952	1,238	1,583	1,276	2,025	969	55,043
2001	48,477	1,356	1,495	1,390	2,079	940	55,737
2002	49,676	1,412	1,513	1,534	2,229	902	57,266
E 2003	50,207	1,450	1,589	1,677	2,307	900	58,130

**FUNDING FOR UNIVERSITY DEVELOPMENT
BACKGROUND INFORMATION**

Background and Issues Regarding the Funding of University Development

- General Fund resources, as a method of funding University Development operations, were replaced in FY2001 by a mix of endowment earnings, short-term interest on non-endowment gifts and unrestricted gift income.
- Poor equity markets resulting in negative earnings and growth for the past three years have dramatically affected the level of resources available to support University Development.
- The shortfall (budget request vs resources available) for FY04 was projected to be \$3.6 million prompting discussion among deans and other university officials resulting in...
 - An adjustment for FY04 as outlined in the resolution for approval by the Board of Trustees.
 - Agreement to study other measures to ensure more funding stability for FY05 and beyond.
- The 1.33% charge against all endowments using a five-year rolling average rather than a three-year is expected to generate an additional \$1.4 million for FY04.
- Holding current use gifts for 180 days rather than 90 days should produce an additional \$475,000 in interest income.
- Delaying the distribution of income on all new endowments for the first six months will produce about \$750,000 of additional resources.
- The proposed model for FY04 will generate about \$16.7 million. This still leaves a shortfall of \$0.75 million from the original budget request of \$17.45 million. University Development will cover the shortfall by budget cuts and/or spending delays.

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>
Hospitals Merchandise for Resale	\$93,000	\$93,000	\$0	\$0
Hospitals - Professional Health Care Services	\$1,689,630	\$439,630	\$0	\$1,250,000
Hospitals - Equipment and Services	\$6,158,196	\$3,979,029	\$270,000	\$1,909,167
Merchandise For Resale	\$11,104,751	\$11,104,751	\$0	\$0
Instructional and Research Equipment and Services	\$3,752,254	\$1,904,975	\$79,175	\$1,768,104
Administrative Support Equipment and Services	\$5,304,073	\$3,276,513	\$683,695	\$1,343,865
TOTAL	\$28,101,904	\$20,797,898	\$1,032,870	\$6,271,136

AUTHORIZATION FOR CAPITAL PROJECTS

Project	Approximate Amount (in millions)	Requested Action
Doan Hall - Trash Compactor Area	\$0.40 - \$0.50	Enter into design contracts
School of Music - Feasibility Study	\$0.25	Enter into design contracts
Neil Avenue Corridor/Twelfth Avenue Improvements	\$2.50 - \$2.75	Enter into design contracts
North Hot Water Heating Loop	\$2.50	Enter into design contracts
Subtotal for Design Contracts	\$5.65 - \$6.00	
Airport Pavement Rehabilitation/Extension Phase I	\$1.70	Enter into construction contracts
Drake Union Pedestrian Bridge	\$0.44	Enter into construction contracts
Football Practice Field #4 - Turf Installation	\$0.60	Enter into construction contracts
OARDC - Boiler Replacement	\$1.84	Enter into construction contracts
Psychology Building	\$35.00	Enter into construction contracts
Subtotal for Construction Contracts	\$39.58	
Total for all Contracts	\$45.23 - \$45.58	

DOAN HALL TRASH COMPACTOR ENCLOSURE

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Unit(s):
OSUMC University Hospitals

How does this project advance the Academic Plan?
By updating the waste disposal at the Hospitals to ensure proper patient care.

Nature of the Project (e.g., number of buildings, new construction or renovation, estimated GSF, etc.; uses of space; release or reuse of any current space):
It is an approximately 1,000 square foot addition in the location of the existing trash compactor.

Preliminary Cost Estimate (range):
\$400 - \$500K

Proposed Funding Source(s):
OSUMC funding

Outstanding Funding Issues:
None

Timing Issues:
*Medical Center would like to occupy the space by the end of the year, if possible.
Schedule still to be determined.*

"Ripple effects" of the project:
Removes some dock parking.

Special limitations/risks:
None.

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.

NEIL AVENUE CORRIDOR/TWELFTH AVENUE IMPROVEMENTS

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Unit(s):

Physical Facilities

How does this project advance the Academic Plan?

Enhances the appearance of campus facilities and grounds; addresses deferred maintenance of our roadway system; and improves pedestrian and vehicular safety.

Nature of the Project (e.g., number of buildings, new construction or renovation, estimated GSF, etc.; uses of space; release or reuse of any current space):

The intent is to improve vehicular and pedestrian circulation along Neil Avenue and West 12th Avenue in anticipation of the opening of the southbound State Route 315 ramps (approximately August 2004) and the new parking garage to be located west of the new Psychology Building.

Preliminary Cost Estimate (range):

\$2,500,000 to \$2,750,000

Proposed Funding Source(s):

Future University bond proceeds and HB 675

Outstanding Funding Issues:

Need agreement on how to fund debt service.

Timing Issues:

The intent is to have this work completed by the time the southbound State Route 315 ramps are complete, approximately August 2004.

“Ripple effects” of the project:

Additional disruption of circulation patterns of students and faculty.

Special limitations/risks:

There are a large number of projects underway or set to begin within the next year in this area – the new Larkins replacement recreation center, Neil Avenue garage, Psychology building, Jennings Hall renovation, Comprehensive Cancer Center project, Biomedical Research Tower, and the McCracken duct bank expansion. Very close coordination will be needed between the work on 12th and Neil Avenues and planning and construction of these other projects so that conflicts and the impact on all projects are minimized.

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.

NORTH HOT WATER HEATING LOOP REPLACEMENT

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):
Office of Business and Finance

How does this project advance the Academic Plan?
North Hot Water Heating Loop, installed in 1928 has surpassed its economic life. This project will convert the heating system in 23 buildings to local heating hot water production enabling the individual buildings to meet the specific academic needs and reducing energy losses from the existing heating hot water distribution lines.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):
Replacement of existing McCracken North Hot Water Heating Loop with individual heating stations in 23 buildings utilizing the existing high-pressure steam distribution system.

Preliminary Cost Estimate:
\$2,500,000

Proposed Funding Source:
Future University bond proceeds

Outstanding Funding Issues:
none

Timing Issues:
Design Services Completion – February, 2004
Construction Completion – August, 2006

“Ripple effects” of the project:
none

Special limitations/risks:
none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.

MUSIC FEASIBILITY STUDY

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Unit(s):
The College of the Arts

How does this project advance the Academic Plan?
The Study investigates the needs of the School of Music so informed decision making can occur in the future about facilities to support Music's academic mission.

Nature of the Project (e.g., number of buildings, new construction or renovation, estimated GSF, etc.; uses of space; release or reuse of any current space):
The project is a feasibility study to analyze the Schools existing facilities, the current and projected physical requirements of the School, and the possible physical and economic impact of fulfilling these requirements.

Preliminary Cost Estimate (range):
For the study only - \$250,000

Proposed Funding Source(s):
For the study only – State funding.

Outstanding Funding Issues:
None.

Timing Issues:
None.

“Ripple effects” of the project:
None.

Special limitations/risks:
None.

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Faculty Club - Window Replacement

5062-PF2846

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): FACULTY CLUB

Gross Sq. Ft. 32,717 Age: 1940

Description: Phase 2 of 3 - to provide the following needed renovations at bldg #028 Faculty Club. Each phase will be set up as a separate project per T.Stankiewicz.

1 - FY 2001 Roof Replacement #5668
\$170,000.00 - \$200,000.00

2 - FY 2002 Window Replacement #2846
\$160,000.00 - \$190,000.00

3 - FY 2003 Tuck Pointing #2865
\$50,000.00

Project Team:

Facility Planner: Is Unassigned

Project Captain: Brett Garrett

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

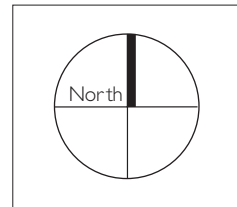
Field Coordinator: Colin McBride
(mcbride.62@osu.edu)

Project Information:

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
UNRESTRICTED GIFT	\$190,000.00	\$241,402.16	Total:			
MONIES						
Total:	\$190,000.00	\$241,402.16				



Faculty Club - Window Replacement



Office of Business and Finance
Office of Facilities Planning and Development

September 2, 2003

**The Ohio State University Board of Trustees
Fiscal Affairs Committee
September 5, 2003**

TOPIC:

Capital Recommendations

CONTEXT:

This is a follow-up to the preliminary recommendations presented to the Fiscal Affairs Committee at the July 11, 2003 Board meeting. Those preliminary recommendations have been vetted internally and modified to reflect the revised control totals issued by the Ohio Board of Regents.

RECOMMENDATIONS:

Since submitting our preliminary recommendations on July 11, we received word from the Ohio Board of Regents that the control total for the Columbus Campus would be no more than \$71 million. Therefore we have adjusted the preliminary recommendations to fund those items of highest priority. Under these revised recommendations state funds would be requested for the following (in priority order):

Existing Commitments:

Main Library	\$10.0M
Mechanical Engineering	\$29.0M
Psychology	\$17.0M
Student Rec Center	<u>\$ 8.0M</u>
	\$64.0M

New Projects:

Cancer Ctr. Expansion	\$ 2.5M
Smith Lab Renv.	\$ 3.5M
Brown Hall Renv.	<u>\$ 1.0M</u>
	\$ 7.0M

Total \$71.0M

More detailed information on these projects, as well as non-state funded projects and the implications of these recommendations, are included in the attached memo.

ISSUES:

- Are these recommendations consistent with the Academic Plan?
- What will the impact be on deferred maintenance?
- Is the University's debt capacity sufficient to fund the non-state funded totals?
- What are the long-term implications of state support levels?
- What will the impact be for future biennia if these are approved?

ACTION REQUESTED OF FISCAL AFFAIRS COMMITTEE:

Approval

Subject: FY 2005 - FY 2006 Capital Request

Date: August 26, 2003

From: Barbara Snyder
William Shkurti

To: Karen A. Holbrook

This memorandum represents our final recommendations regarding capital projects for the FY 2005 - FY 2006 biennium. It reflects the outcome of campus-wide consultations over the last two months.

The major change between this memo and the preliminary recommendations is to reduce the request for state capital dollars to reflect revised control totals passed down from the Ohio Board of Regents. These require a reduction of \$5 million from our preliminary request of \$76 million. How we propose to do this is described in greater detail in the Recommendations section of this memo.

We have divided this memorandum into five parts. Part I describes the process and criteria used to make our decisions, Part II presents our recommendations, Part III lists capital projects that are not state funded and Part IV discusses the implications of our recommendations. Additional materials are provided in an appendix, Part V of this document.

I. Process and Project Criteria

An intensive information gathering and review process began nearly a year ago with a Request for Submission memo sent to all Deans and Vice Presidents on May 10, 2002. Thirty-one major project requests totaling \$886 million were received by the deadline. With the advice of the Space Facilities Committee and the appropriate Vice Presidents, projects were selected for additional review for possible inclusion in the FY 2005-2006 state capital biennial request. Information hearings with units requesting project funding were held during January and February 2003.

The State of Ohio has not yet decided how much will be allocated to each institution for the 2005-2006 biennium. However, the Board of Regents estimated that OSU's share of the next capital bill could be between \$60 and \$71 million for building projects on the Columbus Campus. Consequently, the dollar amounts recommended for individual projects may still be subject to change, even though the priority rankings will remain the same. Basic renovation projects of \$1.5 million or less are not included as part of this particular document because they are funded by a separate line item.

In order to rank projects for inclusion in our final request, each project was evaluated based on its relationship to the Academic Plan, physical need, financial feasibility, and physical feasibility. The financial and physical feasibility components were included because, based on the other requirements alone, our priority projects would greatly exceed our expected appropriations. In addition, the impact of facilities on operating costs was incorporated into our discussions and the capital guidelines clearly stated that central funds would not, as a rule, pay operating costs for additional space (Attachment A). These recommendations are based on assumptions about program needs. If those needs change, the University reserves the right, after consultation with the parties involved, to reallocate or reassign that space.

In making these recommendations to you, we, along with representatives from the Offices of Academic Affairs, Business and Finance, Research, Health Services, Student Affairs, Development, and representatives of the Senate Fiscal Committee and the Senate Committee on the Physical Environment evaluated the information submitted by the units with respect to

established criteria. However, the following criteria are not intended to provide a mathematical solution to a very complex set of issues:

Academic Priority

- Relation to University mission and the Academic Plan
- Program excellence
- Student demand
- Research productivity
- Opportunities for interdisciplinary collaboration

Physical Need

- Condition of existing space
- Unmet health and safety requirements

Financial Feasibility

- Likelihood of State support
- Cost sharing by requesting unit
- Net impact on operating costs

Physical Feasibility and Other Considerations

- Previous commitments
- Physical feasibility, including timing or staging issues
- Impact on parking
- Impact on other needs

An important element in this year's planning process is the Academic Plan. Alignment of the objectives of the project with the goals of the Academic Plan was an overriding factor in the Space Facilities Committee's recommendations. As always, the number of worthy projects exceeds the funds available. The Academic Plan provides an additional context by which to establish academic priorities. The six major strategies identified in the Academic Plan are:

1. Build a world-class faculty
2. Develop academic programs that define Ohio State as the nation's leading public land-grant university
3. Improve the quality of the teaching and learning environment
4. Enhance and better serve the student body
5. Create a more diverse University community
6. Help build Ohio's future

Several of these strategies call for a supportive physical environment. The growing number of existing buildings requiring renovation or replacement is a threat to achieving our goals. So, in response to the Academic Plan, we are reserving scarce state dollars to support renovation or replacement of academic buildings, particularly where a deferred maintenance problem is involved. In addition, \$750,000 has been set aside in each of the next two years from central funds to pay the General Fund share of parking replacement under the parking replacement policy in order to ensure continued access to the campus by students, faculty, staff and visitors.

II. Recommendations

Our recommendations are grouped into four categories:

- Group One: University commitments involving state capital dollars
- Group Two: University commitments involving non-state dollars
- Group Three: Projects with high priority for the FY 2005-2006 biennium
- Group Four: Projects that address an academic or academic support need, but are not recommended for funding for this coming biennium.

A. Group One: Existing University Commitments for State Capital Funds

Projects in Group One are those where the University committed state funds in the FY 2003 - FY 2004 capital process. These projects have already received Board approval and in some cases have signed Memoranda of Understanding, including agreement on both the scope and funding of the project. These projects are also consistent with the goals of the Academic Plan.

1. Thompson Library Rehabilitation

The Thompson Library Rehabilitation is one of only two capital construction projects specifically mentioned in the Academic Plan. The Thompson Library is in many ways the intellectual heart of the campus. Although it is in comparatively better shape than some other buildings, it is one of the few buildings bordering the Oval that has yet to be rehabilitated or funded for rehabilitation. Rehabilitation of the Thompson Library was identified as a high priority five years ago in the Library Task Force Report and was approved as a high priority for capital funding as part of the FY 2001 - FY 2002 capital process. \$300,000 in state funding was provided for a feasibility study that was completed in FY 2002. For FY 2003 - FY 2004 we received \$4.2 million in state funds for detailed planning and design.

Our intent would be for construction to commence in FY 2005 and to be completed by no later than FY 2010. The project would not add net square footage and is not expected to create any additional parking demands.

Even with no additional space, rehabilitation of the Thompson Library is expected to cost about \$99 million and will be the largest state funded request for a single project ever undertaken at OSU. \$70 million in state funds are expected to be matched by at least \$30 million in private fund raising. \$10 million in state funds is recommended for the FY 2005 – FY 2006 biennium.

2. Mechanical Engineering

The Department of Mechanical Engineering is one of Ohio State's highly ranked academic departments. This project is critical to the Academic Plan goal of expanding OSU's visibility in sponsored research. Robinson Lab is a grossly outdated facility that ranks as one of the University's worst deferred maintenance problems. In addition, the project includes nearly 18,000 ASF in classroom pool space and hence addresses the Academic Plan goal of improving the teaching and learning environment.

\$23.5 million in state funds have already been committed in the last three state capital bills. In the last capital process we approved a \$72.5 million project of which \$52.5 million will come from state funds and \$20 million from private fund raising.

This project is projected to result in a net increase in space to the Department of Mechanical Engineering of approximately 23,000 ASF. The College of Engineering will cover the Plant, Operating and Maintenance (POM) costs on all ASF above what the Department of Mechanical Engineering is currently assigned.

For the FY 2005 – FY 2006 biennium, we recommend an additional \$29 million in state funds, which would allow completion of this important project.

3. Psychology

Psychology is a selective investment department and one of our top ranked units. The Department has more undergraduate majors than any department and the largest number of honors students of any department at OSU.

This project is critical to the Academic Plan goals regarding sponsored research. The Department has outgrown its current space. \$3 million in planning money was committed in FY 2001 - FY 2002 biennium. An additional \$15 million was provided for FY 2003 – FY 2004. A final \$17 million is recommended in FY 2005 – FY 2006 to complete the project.

When completed, this facility is projected to provide a net increase of approximately 13,800 ASF to Psychology. The College of Social and Behavioral Sciences will cover the additional POM costs on all ASF above what Psychology is currently assigned, most of which is related to sponsored research. This project will remove parking spaces and increase demand for parking in a heavily used area.

4. Student Rec Center

This \$139 million project was approved in 1998 by the OSU Board of Trustees. It addresses the Academic Plan goals regarding the teaching and learning environment. The state portion of \$36 million pays for the instruction portion only and provides replacement research, teaching, and office space for the School of Physical Activity and Educational Services and classroom pool space. The remainder will be funded by student fees and funds from Athletics.

\$28 million in state funds have already been appropriated in FY 2001 - FY 2002 and FY 2003 – FY 2004 for this project. We recommend the remaining \$8 million in state funds be allocated to complete construction, which is scheduled to be completed by March 2006.

The existing space in Larkins ranks eighth on Physical Facilities' list of the 31 worst deferred maintenance problems.

This project will increase traffic in the area where the new facility will be constructed, but a traffic management plan is in place. A parking garage is part of this project, funded by Transportation & Parking revenues.

B. Group Two: University Commitments Involving Non-state Funds

In addition to the projects listed above, there are three major multi-year capital projects that deserve special notice, even though they have already received University approval and do not involve additional state capital funds.

Biomedical Research Facility

At its July 12, 2002 meeting, the OSU Board of Trustees approved a 420,000 GSF Biomedical Research Facility. The first phase of this facility is expected to have a project cost of \$120 million and to be ready for occupancy in calendar year 2006.

Biomedicine is a key element in the Academic Plan. This facility will help alleviate a severe shortage of up-to-date research space in this critical area.

In order to meet the need to move quickly, this project will not rely on state funds. The approved business plan calls for a combination of private gifts and college and university funds, including indirect cost recoveries from sponsored research.

Ross Heart Hospital

This project was also approved by the OSU Board of Trustees on July 12, 2002. It provides for an \$86 million facility of approximately 200,000 GSF to be completed by mid-2004.

This project received \$2.5 million in state funds in the FY 2001-FY 2002 capital bill. The remainder will be supported by private gifts and patient revenues.

This is primarily a clinical facility that will allow the OSU Medical Center to remain competitive in this critical area. OSU faculty who practice in this building will also play a key role in supporting research efforts in the Biomedical Research Facility and the Heart and Lung Institute.

650 Ackerman

Formerly known as the ABB Building, this facility was acquired by the University Foundation in December 2002 for \$16.3 million. The existing buildings total 464,000 GSF, of which 191,000 GSF are available for immediate use.

This complex is expected to be self funded through lease rental payments to the University. The principal tenant is expected to be the OSU Medical Center which will use the facilities for both research and office space. Initial occupancy is expected as early as late 2003 for those spaces needing little renovation.

C. Group Three: Projects With High Priority For State Funding For FY 2005 – FY 2006

The four projects listed in section A as previous commitments for state capital funds will require \$64.0 million to either complete or continue in the FY 2005 – FY 2006 biennium. This leaves a maximum of about \$7 million in uncommitted funds. This was known at the time the FY 2003 – FY 2004 capital request was approved, but the University felt that the priorities of the Academic Plan made it clear that renovation of the Library and these other projects needed to proceed in a timely manner.

This means a number of other worthy projects will have to be delayed and/or funded from other sources.

Priority for use of the remaining state funds will be directed to those projects that offer the best opportunities to advance the Academic Plan. This includes two existing buildings, which are soon to be partially or completely vacated, one new project of high priority, and one building overdue for demolition. These are each discussed in turn below.

5. Comprehensive Cancer Center Expansion Project

The Comprehensive Cancer Center is Ohio State's most productive interdisciplinary research center. In FY 2002 this Center produced nearly \$17 million in external grants or 5.5% of the University total. The volume of grants has increased 115% since FY 1999.

The continued growth of this unit is directly related to the goals of the Academic Plan. However, the ability to achieve its goals is limited by a severe and growing lack of space. This is why addressing this shortage is a high priority for the current capital cycle.

The OSU Medical Center recently commissioned a feasibility study regarding expansion of the James Cancer Hospital and Solove Research Institute to address both research and clinical needs. This study is scheduled to be completed in September 2003. Site selection and development of a business plan are expected to take another 6-9 months.

We are recommending \$2.5 million in state capital dollars be made available to commence an expedited planning and design process no later than July 2004. Private fund raising, patient care revenues, and the facilities portion of research indirect cost recoveries are expected to finance the remainder. This is similar to the model used to finance the Ross Heart Hospital.

While it is important to move this project forward expeditiously, siting and design must be done carefully. The Medical Complex is already heavily congested and poor siting and design decisions now could create unmanageable traffic flow and parking issues that would harm future growth for the entire medical center.

6. Demolition of Lord Hall

Our first priority with respect to capital planning on the central campus is that Lord Hall be demolished. Lord Hall is a significant deferred maintenance problem. The Department of Anthropology, OIT, some Music labs, and a Physical Facilities shop are still in Lord Hall. It is amazing that they are able to continue to function given the poor quality of the facility which is a deferred maintenance, safety, and health problem. Demolition of Lord Hall, once it has been vacated, can be paid for from Basic Renovation funds. The Lord Hall site could then be used for a new facility in the north academic core of campus.

7. Smith Lab Renovation

Smith Lab will be partially vacated when Physics moves its research programs into the new Physics Research Building. Several uses have been proposed for the space to be vacated in Smith Lab; in the near term, it will be used as swing space during the renovation of Jennings Hall. For the longer term, it has been suggested as a possible location for a multidisciplinary research facility.

There are, however, several questions to be studied before the future use of Smith Lab can be determined. Most importantly, will it be possible to effectively renovate the building so that it might be used as a modern lab facility? Should the building be torn down and replaced by new construction? If there is a major renovation or new construction, what swing space is available for the Physics units in the building and the classrooms?

While these issues are studied, we propose that Smith Lab continue to be used as swing space. In particular, we recommend moving the occupants of Lord Hall to Smith Lab in order to vacate Lord Hall as soon as possible. Anthropology and OIT had requested a permanent move to Cunz Hall; however, since Smith Lab already has lab space that could meet the needs of Anthropology, this is a less expensive solution. The Physical Facilities shop in Lord Hall also will need a new home. Prior to the move, we recommend using \$3.5 million for the renovation of Smith Lab for use by Physics, the Classroom Pool, Anthropology, Music, and OIT. Since the demolition of Lord Hall is our highest priority in the central campus and since the use of Smith Lab as swing space facilitates the demolition, this is a high priority for funding of new projects through the FY 2005 – FY 2006 Capital process.

8. Brown Hall Rehabilitation

Brown Hall will be vacated once the new Knowlton School of Architecture building is completed. We recommend \$1.0 million in planning money for its renovation or replacement.

Both the College of Humanities and the College of Social and Behavioral Sciences have requested this space. We recommend that the planning money be used to explore the possibility of using the site for the College of Humanities and the Classroom Pool.

Humanities would consolidate the Department of English, the Center for the Study and Teaching of Writing, the Writing Workshop, the Folklore Center, the Center for Medieval and Renaissance Studies, and Disability Studies into one location. English is a selective investment department that is growing and is currently under-housed in Denney Hall. English is also the recipient of a Departmental Teaching Award and teaches every undergraduate student at the University. In addition to office and meeting space, a new building on the Brown Hall site would house classrooms and labs that would serve as an innovative model for instructional space

designed as an accessibility showcase. The Brown Hall site is in the academic core of the University and provides an ideal location for a central arts and sciences discipline that has an essential undergraduate instructional mission.

The College of Social and Behavioral Sciences has proposed moving the Economics Department, the Center for Human Resource Research, the Center for Survey Research, the Criminal Justice Center, and the Initiative in Population Research to the Brown Hall location. Economics is another selective investment unit that is continuing to grow and the co-location of the researchers associated with the Centers together with Economics would facilitate and greatly enhance interdisciplinary interactions. However, we recommend pursuing other options for their co-location.

Summary of FY 2005 – FY 2006 Capital Recommendations for State Appropriations (in millions)

Project	Previous Funding	State Capital Funds Recommended for FY 2005-2006	Total Project Cost
Existing Commitments			
1. Main Library	\$ 4.5	\$10.0	\$ 99.0
2. Mechanical Engineering	23.5	29.0	72.5
3. Psychology	18.0	17.0	35.0
4. Student Rec Center	28.0	8.0	139.0
Sub-Total	\$74.0	\$64.0	\$345.5
New Commitments			
5. Cancer Center Expansion	NA	2.5	TBD
6. Lord Hall Demolition	NA	NA	0.5

7. Smith Lab Renovation	NA	3.5	TBD
8. Brown Hall Renovation	NA	1	TBD
Sub-Total		\$7.0	
Grand Total	\$74.0M	\$71.0M	TBD

These recommendations provide for a total spending level of \$71 million. If less is appropriated, then projects will need to be scaled back in priority order.

D. Group Four: Other Projects

In addition to the projects listed above, we considered several additional projects for presentation. They were initially chosen for consideration from among the many requests submitted for emerging projects because of the impact they would have on the quality of our research and instructional programs and on the services we provide to our students. As is always the case, there is not enough state funding available to provide planning funds or support for all of the emerging projects.

Transportation and Parking Infrastructure

Continued access for vehicular and pedestrian traffic is critical to a physical environment that is supportive of the Academic Plan. The 1999 long range transportation and parking plan put in place policies to assure this goal was achieved. This included a funding mechanism to assure resources are available to replace surface parking eliminated due to construction. Rather than try to assess individual projects, it was agreed this would be achieved through a uniform assessment on funding sources. All projects funded with University backed bonds pay a charge of 20 basis points. For projects using state funds, it is recommended that \$1.5 million of central funds be reallocated for this purpose.

Planning Studies

Four specific projects were identified in the capital review process as being worthy of additional planning funds. These are:

- Multidisciplinary Research Center
- FAES Master Plan Phase I
- Hughes Hall Rehabilitation/New Music Building
- Murray Hall (to be assigned to the OSU Medical Center)

These four projects address areas that are important to the Academic Plan. Although \$2.0 million in state funds were included for these projects in the preliminary recommendations, it is now clear that state funds of that amount will not be available in FY 2005 – FY 2006. Therefore, we recommend the Space and Facilities Committee use the \$800,000 in uncommitted FY 2004 funds at its disposal to advance planning for each of these projects in an amount it feels appropriate.

Cunz Hall Rehabilitation

Cunz Hall was requested by the Department of Anthropology and by OIT. The cost of renovating this space for any sort of laboratory use is very high. This building is in relatively good shape and, unlike Lord Hall, is not a deferred maintenance problem. It could easily be used for swing, academic, or administrative space with little or no renovation. We do not recommend funding for renovation of this building at this time.

Academic Medical Center

This project would involve a renovation of and an addition to Graves Hall and renovation of Meiling Hall. The proposed project will create spaces that support the College's curricular reform initiative and facilitate instructional innovation. This is essential if the college is to continue to attract excellent students. We recommend this project be considered for planning funds in the next biennium.

Koffolt Lab Building

The Department of Chemical Engineering is highly ranked with growing research and instructional programs. Current faculty average over \$500,000 per year in sponsored research support, and there are two members of the National Academy of Engineering on the faculty. In addition, the department has received a Departmental Teaching Award. The College of Engineering is requesting that Koffolt Lab, the current home of the department, be expanded and renovated to meet the growing needs of the department. Data is being gathered as part of the Research Facilities Needs Study on research programs at peer institutions to assist in the planning for future research space needs of the department. We recommend that this project be considered for funding in FY 2007 – FY 2008.

Institute for Behavioral Medicine Research

The Office of Health Sciences has requested multidisciplinary research space for the Institute for Behavioral Medicine Research. The IBMR has significant funding from NIH and the National Institute for Dental Research. Its research findings are impressive and widely reported. Faculty members from multiple colleges are involved in institute-related projects. However, we do not recommend funding for this project at this time. Instead, we encourage those involved in the Institute to pursue alternative funding sources and facilities.

Biological Sciences Building Addition

Based on a site visit made to the Biological Sciences building, it is evident that the state of the current facility is a hindrance in the recruitment and retention of faculty and students. However, we do not recommend funding for the project at this time. Basic renovation funds of \$1 million were allocated in the current biennium to renovate research labs in the Biological Sciences building, and it is our hope that these renovations will enhance the research programs housed in the building.

Wilce Student Health Center

This renovation is needed, but we do not recommend funding for this project out of state capital funds. We recommend that this unit look at alternative sources of funding for the project. Our staff has volunteered to meet with representatives from the Wilce Student Health Center to discuss other possible funding sources and funding models.

III. Other Projects (Non-State Funded)

As state resources become more and more limited, it is imperative that the University turns to other sources to finance capital projects, but it is also important that all projects, regardless of source, be part of a comprehensive capital effort. This effort began in 1999. This year we are expanding upon the listing of projects funded by other revenue sources in order to give the campus community and the Board of Trustees a full appreciation of capital construction plans.

We are highlighting two projects for continued development, but without state capital funds. These are the Ohio Union Project and the Oval Renovation. Both of these projects play an important role in support of the Academic Plan, but need to be funded from sources other than state capital dollars.

Ohio Union Renovation

The Ohio Union is a core student services building and a significant deferred maintenance problem. The original building was constructed in 1950 and no longer meets the needs of today's students. As part of the last biennium capital process, we attempted to develop a project that combined Union renovation and Enrollment Services into one project. This turned out not to be feasible for programmatic and financial reasons.

We then authorized the Office of Student Affairs and the Office of Facilities Planning and Development to conduct a feasibility study for a new or renovated Ohio Union. This study, to be

completed in August 2003, identifies three options ranging from \$56 million to \$104 million in FY 2007 dollars.

We think it is imperative that the Ohio Union be renovated as soon as possible. While we want to wait until the feasibility study is completed before making any final recommendations, we are concerned about a major expansion of space at this time. While we want our students to have a high quality facility, the addition of more programming space in the Union has to be judged in the context of the significant increase in recreational space in Larkins Hall, student support space in the Success Center, and the expansion of activities through Campus Partners.

We also need to have a thorough discussion regarding funding. Only between \$20 million and \$25 million has been identified to date. Due to the needs of the Library Renovation and other academic buildings, state funds are not likely to be available. A dedicated student fee is an option, but such a proposal was turned down by students in a University-wide referendum in 1995. Possible commitment of future student fee revenue needs to be judged in the context of other needs of the Academic Plan. In addition, University debt capacity is becoming more limited and the impact of using the University's debt capacity needs to be weighed in conjunction with other priorities.

Because students' needs and funding sources are subject to change, we also recommend consideration be given to building a base facility designed in a way that it can be added to or modified with minimal costs in future years.

Oval Restoration

Improvement of the appearance of campus facilities and grounds is one of the 14 core strategies of the Academic Plan. Next to the Thompson Library, the Oval is one of the University's most recognizable spaces. \$1.5 million was allocated in the FY 2003 - FY 2004 biennium to begin the first phase of the project. This first phase will include an automatic sprinkling system to be installed in the summer of 2004. Since state capital funds are severely limited we recommend alternative funding sources be found for future phases.

Other non-state funded projects include the following:

OSU Medical Center

In addition to the Biomedical Research facility, Ross Heart Hospital, 650 Ackerman Facility, Comprehensive Cancer Center Expansion, Murray Hall, Academic Medical Center, and the Behavioral Medicine Research Facility, each mentioned earlier in this document, the OSU Medical Center is doing the following projects with funding other than state capital:

Medical Center Helipad on Rhodes Hall	\$2.9M
Medical Center Central Sterile Supply Department	\$5.8M
Medical Center Central Chilled Water Expansion	\$4.3M
Health Sciences Quadrangle	\$2.8M
Cramblett Hall – OB/GYN Clinic	\$1.8M
University Hospital East Muscular Skeletal Program	\$10.9M
Wiseman Hall – Expand Comprehensive Cancer Ctr	\$8.8M
Automated Transport System for Univ Med Ctr-Ph I	\$4.2M
Automated Transport System for Univ Med Ctr-Ph II	\$12.4M
Camera Center – Hospital Labs	\$10.0M
University Hospital East – Emergency Department	\$4.0M
James Cancer Hospital – 3 East and 3 South	\$2.1M
Wiseman Hall – Buildout of 3 rd Floor Shell Space	\$3.6M
Total	\$73.6M

Office of Student Affairs

In addition to the Student Rec Center and Ohio Union Renovation, both mentioned earlier in this document, Student Affairs is also doing or planning on starting the following major projects with funding other than state capital:

Graduate and Professional Student Housing	\$35.0M
Graduate and Professional Housing Retail Build-out	\$2.0M
Buckeye Village Community Center	\$5.6M
Fawcett Center First Floor Renovation	\$4-\$7M
Lima Campus New Student Housing	\$16.6M
Marion Campus New Student Housing	\$16.6M
Multipurpose Turf Field	\$1.3M
Mansfield Campus New Student Housing	\$2.5M
Newark Campus New Student Housing	\$9-\$16M
Stone lab – Cook Castle	\$2.3M
Kennedy Commons Renovation	\$10.0M
Total	\$106-\$116M

Physical Facilities

These recommendations include \$30 million worth of additional projects requested by Physical Facilities regarding core utilities. These projects are necessary to either replace or renew aging facilities or to expand capacity in areas of identified need.

These facilities will be financed by University bonds. The bonds will be repaid by charges to users. Projects included are:

McCracken Power Plant Chiller Expansion	\$8.0M
McCracken Power Plant Boiler Repairs	\$3.0M
Overhaul/Upgrade #1 Condensing Turbine Generator	\$2.6M
Research Chilled Water Plant	\$7.5M
Put-in-Bay Water Line Extension	\$2.5M
North Hot Water Heating Loop	\$2.5M
East Chilled Water Plant	\$4.0M
Total	\$30.1M

Department of Athletics

The Olympic Sports Campaign represents an effort by the Athletic Department to upgrade facilities in various sports. The campaign will be funded entirely through private gifts with operating, renewal, and replacement costs built into the Department's budget projections.

The Aquatics Center is a significant portion of the Student Recreation Center project. The Ice Rink and Softball Field Renovations assist with Title IX requirements.

Projects and the estimated costs include:

Aquatics Center/Larkins Hall	\$20.0M
Woody Hayes Athletic Center	\$13.8M
Ice Rink Upgrades and Additions	\$4.0M
Crew Team Boat House	\$2.5M
Tennis Center	\$5.5M
French Field House	\$2.0M
Softball Field	\$3.0M
Bill Davis Stadium	\$0.8M
Total	\$51.6M

Regional Campuses

The four Regional Campuses and ATI are planning on the following major capital projects:

Lima – no capital request this biennium	NA
Mansfield – no capital request this biennium but planning on an Academic Building and an Ovalwood Hall Renovation in the future	\$13.3M
Marion – Renovation of first floor of Morrill Hall – Phase II. Requesting entire amount of 2005-2006 capital allocations, \$.7M, for planning and partial construction	TBD
Newark – possibly requesting funds for Warner Center, Science Suite, and/or Hopewell Hall roof project(s)	TBD
ATI – no capital request this biennium, but planning on a Horticulture Operations Building in the future	TBD

OARDC

OARDC is requesting state capital funding for the following major capital projects this biennium:

Agricultural Engineering Building Renovation and Addition	\$3.8M
Plant and Animal AgroSecurity Research Facility (total project cost estimated at \$25.0M)	\$2.0M
Entomology Building (total project cost estimated at \$13.0M)	\$0.3M
Shelby Hall Greenhouse and Phytotron facility renovation (total project cost estimated at \$7.0)	\$1.5M
Total	\$7.6M

Other Projects

The College of Human Ecology has proposed the creation of an early childhood development center in the Weinland Park neighborhood just east of the OSU campus. The new facility would replace the 76-year-old facility in Campbell Hall used by the A. Sophie Rogers Laboratory School for Child Development.

The proposed center will serve multiple needs in teaching, outreach and engagement and is expected to cost approximately \$10 million, which will come primarily from private gifts.

A gift of \$2.5 million has already been received. Plans are currently underway to develop a business plan as well as more detailed design and cost estimates.

IV. Implications

In this section we address the implications of these projects in seven specific areas: the Academic Plan; impact on future capital requests; impact on deferred maintenance; impact on students, faculty, and staff; impact on future operating costs; impact on debt capacity; and impact on transportation and parking.

Impact on Academic Plan

The Library Renovation and Multidisciplinary Research Center are specifically mentioned in the Academic Plan. Three of the carryover projects from the FY 2003 - 2004 biennium – Biomedical Research Tower and the Mechanical Engineering and Psychology buildings - are strongly related to supporting expanded sponsored research. Two of the high priorities for the next biennium also are supportive of this aspect of the Academic Plan – Comprehensive Cancer Center Expansion and Murray Hall.

Brown Hall Renovation improves instructional space for a selective investment department (English). The Lord Hall demolition/Smith Lab renovations provide improved teaching space and more functional facilities for a core support function (OIT) and an academic unit (Anthropology).

Impact on Future Capital Requests

As we pointed out in our June 2001 recommendations for the FY 2003 - FY 2004 capital funding cycle, the Library Renovation would have a significant impact on future state capital requests for the next three biennia. This is certainly the case for the FY 2005 - FY 2006 cycle, where many worthy projects will receive planning money only or will receive no state funds at all.

If our recommendations are adopted for FY 2005 - FY 2006 we expect an appropriation of at least \$30 million will be needed for the Library Renovation in FY 2007 - FY 2008 in order for construction to proceed on a timely basis. The remainder of the state funding will be needed in the FY 2009 – FY 2010 biennium to complete the project.

Fortunately, the funding cycle for Mechanical Engineering, Psychology, and Academic space in the Student Rec Center will be completed in FY 2005 - FY 2006. This means if \$70 - \$75 million in state funds continues to be available, \$40-\$45 million will be left for other projects.

If our recommendation for planning funds for Brown Hall Renovation is adopted, approximately \$20 million would be needed to commence construction in FY 2007-FY 2008. That leaves between \$20 million and \$25 million to commence planning and design for projects such as the FAES multipurpose facility, School of Music facility, Multidisciplinary Research Center, Academic Medical Center and Koffolt Lab renovations, as well as any new projects identified during the FY 2007 – 2008 capital request process.

Clearly, additional work will need to be done over the next two years to identify additional resources and/or stage these projects differently.

The following table lays out possible funding scenarios for the next two biennia following FY 2005 - 2006 assuming our recommendations are adopted.

Project	Total Funding		FY 07-08	FY 09-10
	State	Other		
Library Renovation	\$69M	\$30M	\$30M	\$25M
Brown Renovation	TBD	TBD	\$20M	TBD
Amount Remaining			\$23.5M	TBD
Total			\$73.5M	\$45-\$50M

Impact on Deferred Maintenance

Funding of these recommendations will address two of the University's most serious deferred maintenance problems. Brown Hall and Lord Hall are both on the Physical Facilities Dirty Thirty list of the 30 buildings in worst condition ranking #3 and #17, respectively (see attachment B).

Addressing these two facilities will provide for the demolition or renovation of 140,000 GSF. Acquisition of Murray Hall will add approximately 44,000 GSF of deferred maintenance to the University's space inventory for which funding will need to be identified.

Impact on Students, Faculty, and Staff

A large majority of students and faculty and many staff members regularly use the buildings scheduled for rehabilitation or replacement in the next two years. These recommendations avoid occupancy of buildings during major renovations except for the Thompson Library. Large numbers of faculty, staff, and students will benefit from the improved teaching and research opportunities made possible by these projects and the enhanced recreational opportunities at the new Recreation Center.

Impact on Operating Costs

Under the new budgeting system, all units are responsible for operating costs of additional space assigned to them. The current Library Renovation plan is designed/intended to be space neutral.

Brown Hall Renovation replaces an existing building so little or no additional space will be added to the University inventory. Lord Hall demolition will remove 65,000 GSF of poor quality space from the University's inventory.

The Comprehensive Cancer Center Expansion project and acquisition of Murray Hall will add space to the Medical Center's inventory in addition to expansions involving Biomedical Research Tower, Heart Hospital, Camera Center, and 650 Ackerman. These acquisitions are needed to address current and projected space shortages, but will require generation of additional revenues to fund increased operating costs.

Impact on Debt Capacity

Over the last decade, the University has been more aggressive in using its own bonding capacity to fund capital projects. This has allowed the University to continue to improve its facilities despite very little growth in state funded capital support.

However, the University 's capacity to fund additional debt is not unlimited and the University is approaching the upper limit of its capacity at its current bond rating. A downgrade in bond rating could cost millions more in interest costs for future projects.

We believe the projects recommended in this memo can be financed within current capacity; however, if additional projects are added, or if current projects increase in scope, great care needs to be taken to make sure the University's bond rating is not adversely effected.

Impact on Transportation and Parking

Meeting additional space needs without exacerbating parking and accessibility continues to be an enormous challenge. The new projects that are renovations or demolition will create temporary dislocations on the Columbus Campus, but will not increase long-run demand or congestion.

The Comprehensive Cancer Center Expansion project and Murray Hall renovation will create additional challenges on a part of the campus where congestion is already high and parking is already in short supply.

The completion of the new Medical Center garage and the Larkins Center garage will accommodate current demand, but disruption from the construction of the SR 315 ramps and the Biomedical Research Tower will make a difficult situation worse, at least initially. As we pointed out in the earlier discussion regarding the Comprehensive Cancer Center, it is imperative that siting and design for that facility be done very carefully in order to minimize access problems for patients, faculty, staff, and students on that part of campus.

Physical Feasibility

In our recommendations two years ago we discussed the challenge of staging construction and renovation projects on the Columbus Campus east of the Olentangy River. Fortunately, these recommendations for FY 2005 – FY 2006 provide opportunities to eliminate some of the bottlenecks. Renovation of Smith Lab provides badly needed swing space on the north part of Main Campus. Renovation of Murray Hall could provide badly needed swing space in the Medical Center area. The demolition of Lord Hall frees up a site to address space needs in the north academic core of campus. Renovation of Brown Hall provides additional opportunities for a number of units.

We hope this information is helpful to you in evaluating our capital recommendations. With your approval we will circulate these recommendations across campus for input prior to finalizing them for the July Board of Trustees meeting.

c: Glen Funk
Bill Hall
John Meyer
Jill Morelli
Bob Moser
Alayne Parson
Tom Rosol
Fred Sanfilippo
Lee Walker
Council of Deans
Senate Committee on the Physical Environment
Senate Fiscal Committee
Space and Facilities Committee

**FY 2005-2006 Capital Budget
Funding Principles**

The capital request guidelines sent to Deans and Vice Presidents on May 10, 2002 included the following guidelines on funding principles for capital projects:

With stable enrollment, limited funding, and a substantial amount of deferred maintenance, the priority for use of central funds (including state funds) will be to enhance or improve existing space and reduce operating costs. Therefore as a general rule:

1. Increased instructional or administrative space will not be assigned to a unit unless:

Paid for by the benefiting unit, or
Reallocated from elsewhere.
2. Central funds will not be committed to additional operating costs.
3. Capital and operating costs for additional research space should come from unit/user resources, not central funds.
4. Any additional space for non-general fund units should come from that unit and meet the service needs of the University.
5. Fund raising is an important consideration but fund raising itself does not guarantee matching funds independent of the criteria listed above. Fund raising is particularly encouraged for upgrades and renovations that do not require additional central support.

Attachment B

Listed below are the buildings that Physical Facilities identified in a 1997 study to be physically or functionally obsolete and in need of major renovations or demolition. The table also shows the impact of the FY 1999-2000 capital recommendations on the timing of demolition or renovation; however, please note that timing recommendations for demolition or renovation reflect other factors than building condition, including: academic priorities, financial feasibility and staging issues. Those buildings are:

Building	GSF (thousands)	Replacement Cost (in millions)	Disposition D=demolition R=renovation	Expected Implementation Date	Funding Status
Welding Engineering	82,086	\$11.3	D	1998	yes
McPherson Lab	118,871	21.5	R	1999	yes
Brown Hall	74,902	10.7	D/R	TBD	requested
Research Center	139,296	18.1	R	TBD	
Plumb Hall	44,726	6.7	D	TBD	
Ramseyer Hall	86,433	12.5	R	TBD	
Jennings Hall	158,762	27.3	D&R	2006	yes
Larkins Hall	368,997	50.4	D	2006	yes
Smith Laboratory	220,489	37.2	R	TBD	
McCracken Power Plant	109,094	35.9	R	TBD	requested
Hughes Hall	61,564	9.2	R	TBD	requested
Jesse Owens Rec Centers	70,110	4.1	R	1997	yes
Starling Loving Hall	145,327	21.2	D/R	TBD	
Animal Science Building	61,739	9.7	R	TBD	
Caldwell Laboratory	101,976	14.7	R	TBD	
Campbell Hall	109,973	16.6	R	1999	yes
Lord Hall	65,445	9.0	D	TBD	requested
Cockins Hall	60,454	8.7	R	TBD	
Vivian Hall	52,976	7.5	D/R	TBD	
Pomerene Hall	69,269	10.0	R	TBD	
Watts Hall	40,622	5.9	R	TBD	
Evans Laboratory	121,994	22.9	R	TBD	
Service Building Annex	58,478	3.9	R	2001	yes
Robinson Laboratory	97,271	30.0	D	2006	yes
Ives Hall	52,414	7.2	R	2000	yes
Converse Hall	58,912	8.5	R	TBD	
Maintenance Building	50,876	5.1	D	TBD	
Hagerty Hall	137,019	19.8	R	2004	yes
Hopkins Hall	106,856	15.4	R	TBD	
Howlett Greenhouses	45,999	5.0	R	TBD	
Sisson Hall	133,079	23.0	D	2000	yes

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND NINETY-SEVENTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, October 3, 2003

The Board of Trustees met at its regular monthly meeting on Friday, October 3, 2003, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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October 3, 2003 meeting, Board of Trustees

The Chairman, Mr. Sofia, called the meeting of the Board of Trustees to order on October 3, 2003, at 10:00 a.m. He requested the Secretary to call the roll.

Present: Zuheir Sofia, Chairman, Tami Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Walden W. O'Dell, Paula A. Habib, and Emily M. Quick. Fred Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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EXECUTIVE SESSION

Mr. Sofia:

At this time, I will entertain a motion to recess into Executive Session to consider a personnel matter.

Upon motion of Ms. Longaberger, seconded by Mr. McFerson, the Board of Trustees adopted the foregoing motion with six affirmative votes, cast by Messrs. Sofia, Slane, McFerson, O'Dell, and Mses. Longaberger and Hendricks, and one abstention cast by Judge Duncan.

Mr. Sofia:

The meeting of the Board of Trustees will now reconvene.

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CHAIRMAN'S REPORT

Mr. Sofia:

Good morning. The last several weeks have been a period of exciting events here at The Ohio State University. I have taken part in a number of building dedications and groundbreakings on campus: we started with the dedication of the Aronoff Biological Sciences building, and soon after that we had the dedication of the new Veterinary Medicine Building. Last week I attended the reopening of the newly refurbished Kuhn Honors House, and yesterday we had the groundbreaking of the Biomedical Research Tower. All of these events signal the University's commitment to enhancing the environment for teaching, research, outreach, and engagement. These events have given me the opportunity to interact with students, faculty, and staff in ways that reinforce my firm belief that we are making great strides to becoming one of the nation's premier universities.

As we all know, President Holbrook has moved into her second year at the helm of the University. Her accomplishments have been many. Dr. Holbrook, on behalf of the Board of Trustees, I want to express our appreciation for all that you have done for The Ohio State University and our community. In particular, we want to commend you in moving forward key elements of the Academic Plan. Under Dr. Holbrook's leadership great progress has been made in attracting and retaining some of the very best faculty members in our country.

We are also delighted to know that the University has attracted the best, brightest, most diverse first-year class in our history. We have 120 national merit scholars in this entering class; 33 percent are in the top 10 percent of their class; and the average ACT score last year was 25.2 and is being projected to be 25.4 this year.

CHAIRMAN'S REPORT (contd)

Mr. Sofia: (contd)

I have made it clear on many occasions that the students are at the center of what we do. We are committed to continuing our focus to do a lot more for our students and provide the very best educational opportunities for all of our students along with a safe, healthy, and fun environment.

For example, we were told this morning that the University has learned that it will be receiving a technology innovation award for its upgrading of student services, especially in the area of fees and deposits, from the Association of Financial Professionals. I'm sure many of the students here are aware that we have paperless billing. As a matter of fact, we are the first university in the land to have paperless billing.

In Autumn Quarter a year ago, students either mailed their checks or they brought their checks in. With the new billing and payments system, 50 percent of the students paid their fees and deposits electronically this Autumn Quarter. What this means is that it saves a lot of time and that our students are learning to be better bankers. They can take advantage of floating their money, rather than the bank -- they can wait until the last day to pay their fees. Being a banker, I know how that works.

Again, we want to express our appreciation to Jim Nichols and his staff for this wonderful work. We will also continue to do our best to make sure that all the tuition and fees students are paying are more than worth it.

We shall press forward also in our plans to provide additional on-campus housing for our students, both on the main campus and on our regional campuses. We will continue to work with the neighborhoods and the city to ensure a safe and healthy environment for students living off-campus.

Ohio State has made and continues to make significant contributions to the economy of the City of Columbus. As a recent study by Vice President for Student Affairs Bill Hall shows us, the University has invested over \$2 billion in direct and indirect contributions to Columbus and the University District.

Some examples of the indirect contributions to the local economy include: the annual Columbus Campus payroll -- \$800 million; annual Columbus faculty/staff health care expenditures -- \$100 million; construction in design or underway on the Columbus Campus of almost \$1 billion; healthcare provided annually by the OSU Medical Center for the needy and the poor -- \$20 million; and expenditures by students and visitors of over \$100 million. In addition, Ohio State has spent in excess of \$7 million annually to improve our community and has contributed approximately \$24 million to local government agencies. Those are a few examples of what we have done. And we are glad also to have our partnership with the City of Columbus.

Finally, I wish to note that before the first day of classes I attended a wonderful event hosted by Interim Provost Snyder for all of the deans and administrative leaders of the University. It was an event that showcased our dedicated team as they continue to make great strides in making The Ohio State University one of the premier public institutions in our nation. Thank you.

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PRESIDENT'S REPORT

President Karen A. Holbrook:

Mr. Chairman, you have brought us to a nice point today in talking about where we are as the school year starts. This is something that is always fun for me to recap, too. I think we have wonderful things to say about our students coming in, about our research program and where it is today, about our national rankings, about our success in development, and our building plan. I think we're at a very good point. But obviously the most important thing is what are we going to do from now on and where are we going to go as we move forward.

So, I'm taking a look at where we're going to go forward. I thought what I might do is run down the list of what the Association of Governing Boards of Universities and Colleges -- of which our own Karen Hendricks is a board member -- identifies as the 10 most important public policy issues that are facing higher education this year. I thought I'd use those as a springboard for my remarks and talk about where Ohio State is on these 10 most important issues.

I apologize to the audience that you don't have the handout of these 10 issues and you'll have to hear me say them. These are very significant issues, not just for us, but for our nation. In looking at where are we on all of these, I think we have good news and we have interesting things to report. So I thought I might tell you a little bit about each one of them and what we're thinking about then for the future.

The first issue that AGB sees as important is homeland security. Obviously that is critical in the nation. We're seeing all kinds of federal money being put into homeland security that is available to states and cities and is now becoming more and more available to universities.

We have, at Ohio State, a very important program called the "National Academic Consortium for Homeland Security." I would suggest that you go on the web and look at that if you haven't seen it. It is a consortium of more than 80 public and private universities that is under the direction of General Todd Stewart. I'm going to read you its goals very quickly:

- Improve the understanding of national security issues, especially terrorism strategies;
- Promote development of a better informed public policy strategy and planning;
- Develop new technologies and transition those technologies into effective and practical and affordable solutions to homeland security problems; and
- Educate and train people who are going to be needed by governmental and non-governmental agencies.

These are our goals. This is what Ohio State has put together and this is a very robust organization. One of the things it has done that is very exciting is there was an opportunity to compete for federal money to bring a center of excellence in one particular area to a university. Seventy-two institutions applied for that grant, which brings in, again, \$4 million to that university each year. We applied at the last minute, as did everybody. There was something like a two-three week time window to turn in the application. Ohio State sent one in and now we find ourselves in the top 12.

We were actually at the point of saying, "Gee, do we really want to put it in because we know that with more time we could put in a dynamite proposal?" This is a good proposal and we're happy with it, but it could be excellent. So

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

we're really pleased that it came out in the top 12. We've had a little more time to plan for the next phase where they will narrow it to three and then to one. Whether or not we get this one, we're in there and we will be in there in great force next time, if we don't end up getting one this time. But, really, with General Todd behind this initiative, and the whole campus behind it, we're doing very well.

We have received a grant that has come to the health center. It is a bioterrorism grant for health professional schools, and we are one of the first 12 institutions to receive one of those awards and one of the highest priced awards, as well. We're doing well in this arena. We also partner with Battelle in this area. This is another thing that strengthens our partnerships.

In this particular homeland security area, our major concern is about entering international students and keeping them when they try to go home and then come back. We are compliant with the SEVIS regulations as we must be. But this remains an issue in how we will keep our numbers of international students here. It is very important to us in this global economy.

The second important issue for AGB is affirmative action -- after the Michigan case. For those of you who attended the Academic and Student Affairs Committee meeting this morning, you heard that our Academic Affairs office has worked very fast to get the application process in order so we meet the narrowly-tailored requirements of entering undergraduate students through a new process that is not driven only by numbers, it is driven by actually reading, looking, and assessing applications. A new application form has been put in place here that will allow us to understand more about each student and the talents he or she brings to the University. I feel very good about where we're going with that. We've built up the numbers of readers in our office so that not only do we comply, but we really are assessing people as individuals when they enter the University. This is an exciting thing.

Our Morrill Scholarship program has been enhanced so that we, again, can serve people who do not have the resources to come to the University and many of the minority students will fall into that category.

In this area of affirmative action, and our own interest in enhancing diversity on our campus, we have -- for those of you who might not have known about it, I'm going to sell a little bit here -- the President's and Provost's Diversity Lecture Series, which is really a marvelous series. It is led by Frank Hale who, as you know, is one of our own Ph.D. graduates and has spent 50 years in higher education. The Hale Cultural Center is named for him and he still maintains a very active presence on our campus leading this lectureship. He has enhanced it rather markedly this year with not only lectures, but with cultural events, as well.

Frank was our speaker last time and I invited him to do that again after listening to him all last year give marvelous comments and introductions around these lectures. And let me say, these lectures are not just racially focused, but they focus on all diversity issues, including gender issues, sexual preferences, religious, culture, and so forth.

Frank has come out with a new book called, *What Makes Racial Diversity Work in Higher Education*. It is a series of essays. What I like are Frank's own words that said, "this book is inspired by a desire to move beyond the rhetoric of diversity and capitalize on the perspectives of those who've been active thinkers and practicing programmers." This is what this is all about. Ohio State has

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

moved beyond the rhetoric – we are practicing programmers and we are active thinkers. Do get a look at this book when you have a chance. It is marvelous.

Deteriorating economic and fiscal environment – I want to say a little bit about that and actually to praise Ohio. Ellyn Perrone came back from the CIC Government Affairs Conference and learned that, out of all of the Big Ten universities, Ohio is in better shape than any of the other ones on their state budget. So while we bemoan only coming back to where we were in the year 2000, we do stand above many of the other institutions and we have what Ellyn described as the “best budget” in the Big Ten. We also have a governor who understands the role of higher education.

The other important thing that this institution needs to know is that in spite of a down-turned economy we didn't decrease offerings, we haven't increased class size, we aren't decreasing the number of sections, we haven't lost faculty, and we have continued to raise salaries of faculty and recruit some of the very best people. So our goals have been to maintain a stable environment for our students and, in fact, enhance it so that excellence is preserved.

Let me say something about earmarks. You heard me talk last month about our federal agenda and looking toward how we get Congressional funding for some of our programs. If any of you saw *The Chronicle*, Ohio State specifically ranked number 12 in the nation in terms of earmarks and that is without a strongly aggressive program that we now are putting in place. I can also tell you that Texas A&M ranked number two in the nation and we have the person now who helped Texas A&M rank number two. So we're looking forward to Ellyn moving us up to number two next year. Ellyn, that is on your plate!

I know we're running out of time, so I'm not going to get through all 10 of these items. Let me tell you a fact that I think is very interesting when we talk about deteriorating economic status. One of the facts I picked up out of a throwaway magazine that the State of Missouri had done states, “If one percent more of the population had undergraduate degrees, what would that mean to the economy of Missouri?” And the answer for Missouri was \$2.1 billion. I sent it over to Ellyn and I said, “Ellyn, work with our institutional research and planning office and see if we can't get this same data.” The data show that if one percent more of the population in Ohio had an undergraduate degree, the return to our economy would be \$5.1 billion. That tells you the value of higher education. That is a good figure to remember and to keep using as people are thinking about where are we going in higher education. I think that is a very relevant number.

Another issue is the surging numbers of diverse students. What that topic refers to is how do we accommodate a nation of learners, which we've been called recently. Getting people into universities in all age groups, from all walks of life, and coming back to universities to continue lifelong training -- that is an issue of concern.

Rapid tuition increases is another issue. Federal tax policy, and assessment and accountability are two of the other issues. It is part of what we're looking at in the Governor's Commission on Higher Education and the Economy. Scientific research is an issue for AGB – it's an issue for us as well and one that we're working on very carefully in many ways.

Rounding out the AGB list is intercollegiate athletics. I think we can be extremely proud of our program in intercollegiate athletics. We have been through a number of serious challenges to our integrity. And from my perspective, Ohio

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

State has come through showing very high integrity of our program in spite of being the largest in the nation. While others are bemoaning their status, I think we have first-rate people who have carried us through some tough times. And the tough times will continue.

The last thing I want to mention in a little bit of detail is something that you're going to hear about all year and that is the reauthorization of the Higher Education Act. It has never been more contentious and more problematic than it has been this year, for the reason that the bill has been split now into six different pieces and the six different pieces are going to keep it politically active for the entire year. Some of the pieces have passed -- teacher preparation and access have passed. The affordability piece is the one that I think we need to spend time on in a rather major way because it really is an issue. It's the McKeon bill and it is the issue of the federal government putting price controls on the university system, with rather severe penalties if we don't keep tuition within a specific line that they have stated. They have put out a publication that is inflammatory and it is one that people will look at and resonate with if they don't understand it.

I would like to call your attention to a piece that counters it to some extent. It is on the Department of Education's website and it comes from the National Center for Education Statistics. Take a look at it, it is called, "Getting Ready to Pay for College..." (<http://nces.ed.gov/pubs2003/2003030.pdf>). What it does is it talks to parents and students to help them prepare for college, to help them understand what the real cost of college is. The perception out there is that college costs far more than it actually costs. That has built up a lot of hype against higher education. It is a very important website. It helps students plan for college, develop a curriculum, help them in career planning, help them find the right school, and help them calculate what it is going to cost them to go to school. It is a piece from the Department of Education that is trying to counter this very negative publicity that higher education has gone out of sight and is not accountable. It is. This is an issue we're going to have to work on very hard this year.

These are all very important issues which Ohio State will be looking at. They are on our radar screen. I think we can address each one of them and be in line with having an accountable and accessible institution for this year and for the future. Thank you.

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STUDENT RECOGNITION AWARD

Ms. Quick:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of that student's achievement in his or her area of study, in research achievements that have been a credit to the college and to the University, and/or service to the University and the community around it.

This month's recipient is Scott Davis, who was nominated by Dean Rogers and the Moritz College of Law. Scott Davis came to The Ohio State University after completing a bachelor's degree in engineering at the University of Cincinnati and having served as a volunteer in the Peace Corps in Mauritania, West Africa. Among his many accomplishments he has served as president of the Black Law

STUDENT RECOGNITION AWARD (contd)

Ms. Quick: (contd)

Students Association, coordinated the Minority Law Outreach Program, and is a member of the new *Ohio State Journal of Criminal Law*, which will publish its inaugural issue next month.

He has been awarded the Emerging Ethnic Engineers Outstanding Alumni Award from the University of Cincinnati and the Moritz Leadership Award for exemplary leadership.

Scott is a third-year student graduating in the spring. Today, he is accompanied by his wife Natasha, and his mother and father, Edward and Carol Davis.

Being a student myself, it is a great honor for me to be able to present a student like Scott to the Board of Trustees. The Board appreciates the chance to meet students, especially those who exemplify exactly how bright and committed Ohio State students can be.

Congratulations, Scott, on receiving this award.

Mr. Scott W. Davis:

Thank you, President Holbrook, Emily, and the Board of Trustees for presenting me with this award. I'll keep my comments brief.

I just want to say that I enjoy working with student organizations. This goes back to my days of undergraduate work at the University of Cincinnati. I've always enjoyed putting on programs and events. However, it seems like after every program I say, "This is it, this is the last time I'm ever doing this program." And then a couple of days later, I find myself at the kickoff meeting for the next big event. So this shows me that I enjoy service overall -- service to the college or the university, the African-American community, and the metro community as a whole. I just enjoy service. To receive an award like this is truly special and an honor.

I'd like to say thank you to my wife, Natasha, for always serving as the extra student organization member of whatever club I join; to my mom and dad for coming down from Cleveland and always inspiring me towards excellence; to Assistant Dean Solomon who served as a mentor for me and a second dad while I've been here in Columbus; to Dean Rogers for always encouraging me; and all the students for setting a positive atmosphere in the College of Law. Thank you.

Mr. Sofia:

Congratulations again, Scott. Good luck to you in the future.

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CIO STRATEGIC PLAN AND E-LEARNING PRESENTATION

Interim Provost Barbara R. Snyder:

We are excited to have the opportunity to be talking to you today about the strategic plan for the Office of Information Technology. We have with us today our Chief Information Officer Ilee Rhimes and Dr. Susan Metros to talk about our plans for the future.

CIO STRATEGIC PLAN AND E-LEARNING PRESENTATION (contd)

Mr. Ilee Rhimes: [PowerPoint Presentation]

Thank you, Interim Provost Snyder. Mr. Chairman, President Holbrook, and members of the Board, we're pleased to have this opportunity to present an overview of our technology strategic planning process, as well as our e-learning and distance education strategy. Susan Metros will follow me with a more detailed presentation on e-learning.

The Academic Plan for Ohio State outlines the institution's vision. Threaded throughout the plan are repeated references to the critical role that technology will play in the fulfillment of that vision. The plan's vision statement urges improved opportunities for students to learn, faculty to teach, and staff to develop through the innovative use of technology.

Furthermore, President Holbrook, in sharing her vision at the close of the President's Leadership Retreat, emphasized the strategic importance of technology as a major enabler in the fulfillment of Ohio State's teaching, learning, and research goals of the future.

The technology strategic plan process was launched early last year to better align IT resources with Academic Plan goals. The purpose of the plan is to develop a vision and guide for allocating scarce technological resources to the right things. That is, allocating them to the activities that are consistent with the Academic Plan and institutional leadership goals.

This analysis and planning effort incorporates input and consensus from primary stakeholders, builds on previous studies and current trends, promotes broad commitment and ownership, and identifies critical success factors. As usual, the planning process is proving to be as important as the plan itself because it has fostered and established a context for ongoing communication and collaboration.

The planning process consists of six steps, ranging from assessing the current state of IT, to creating an IT vision, to identifying initiatives needed to achieve the IT vision, and to developing the implementation strategy.

We are currently in step five, developing the implementation strategy. Our target date for completion is February 2004. However, completion will not represent an end, but it will be a beginning, because we will continue to use the collaborative process to enhance the plan as we move forward.

During the strategic planning process, we identified five overarching success factors. They start with a collaborative environment. But, really, let's start with engaged leadership. Success requires that University leadership be engaged, committed, and collaborative. Ohio State is already strong in this area, with visionary leadership, active governance, and commitment from President Holbrook, Interim Provost Snyder, the deans, and other executive officers of the University.

Enabling infrastructure is another critical success factor. Success requires an enabling and elaborate infrastructure, including such areas as data and voice networks, information systems, e-mail systems, and learning environments such as student labs and classrooms.

Seamless and secure access – success requires that networks be ubiquitous and pervasive, productivity enabling, and yet be secure and adequately protected from viruses and spam. Therefore, a balance must be maintained between accessibility and security.

CIO STRATEGIC PLAN AND E-LEARNING PRESENTATION (contd)

Mr. Rhimes: (contd)

At the apex we have the empowered campus community. Success requires an empowered campus community with just-in-time access to information, services, training, and support needed for them to be successful and productive. An empowered campus community is the key ingredient to developing the innovative solutions that are needed to achieve our goals.

A collaborative environment really is needed in order to be successful in all of the critical success factor areas. A collaborative environment that is characterized by open and informative learning and working communities, shared knowledge and information, and open communications and decision making. We're already well along in addressing these critical success factors and will continue to stay focused on them as we move forward with the implementation of the planned initiatives.

At this time, Mr. Chairman, we will drill down into the technology strategic plan and focus on e-learning. The e-learning strategy and plan incorporates feedback from the University community and builds on the recommendations of the Distance Education Committee chaired by Bobby Moser. The major goals are to build and maintain the e-learning foundation and infrastructure, expand the breadth of e-learning in the academy through increased and more sophisticated use of technology, and extend the reach of e-learning via distance education networks.

Now let me hand off the presentation to Susan Metros. Susan will provide you with some examples with regard to our e-learning program, as well as an overview of our e-learning strategy and plan including e-learning critical success factors.

Susan --

Dr. Susan Metros:

What is e-learning? Prior to delving into the plan's critical success factors, let me share with you a working definition. E-learning is an umbrella term that describes the integration of educational technology with the teaching and learning environment, both on campus and also at a distance. There are three components to e-learning: 1) supplemental; 2) blended or hybrid; and 3) distance education or distance learning.

Let's define these terms by looking at some examples. When we talk about supplemental, this is educational technologies used to complement traditional learning experiences and it happens in our resident classrooms. This example shows Professor Susan Fisher, who teaches Biology 101 which enrolls over 700 non-majors in each section. On the first day of class, two weeks ago, she supplemented her traditional lecture, not only with a powerful PowerPoint filled with intriguing and beautiful biology-related images -- and she used the word "beautiful" when she made her presentation -- and videos, but also a live string quartet.

(Video clip)

She looks like she is conducting the quartet, but she is actually teaching. First chair was no other than our own Dean of Biological Sciences, Joan Herbers.

CIO STRATEGIC PLAN AND E-LEARNING PRESENTATION (contd)

Dr. Metros: (contd)

Now onto blended or hybrid, which is a mix of face-to-face and online experiences that also serve our resident students. An excellent example of a blended learning opportunity is our Fisher College of Business Regional Campus General Business Program. Students can earn an undergraduate business degree through attending videoconference classes originating out of the regional campuses, and by completing online course work. This screen shot shows an example of what the students see and, of course, if this is live, what they would hear because there is live audio on their computer when their professor delivers a lecture in real time. An added benefit is that the lectures are archived so the students can go back and review at their convenience.

The video clip that I'm going to show captures the classroom experience. In this video clip, students from the Marion Campus present their solutions to a case study, while the instructor and her students, at the Newark Campus, ask some pretty tough questions.

(Video clip)

The last component is that of distance learning. We think of distance learning as courses synchronous or asynchronous that exist predominantly or fully online. These meet the needs of our remote students, but it also meets the needs of many of our resident students. Interestingly, nationally the majority of distance learning students are campus-based. They prefer distance learning for the convenience, so most of them work full-time and many are raising families.

The non-traditional Pharm.D. program is in its third year and this summer graduated its first three doctors of pharmacy. The course work for the program is fully online with the exception of a face-to-face orientation. This example shows Christine Grant Young, a third-year student, who just completed her rotations. While earning her degree, she works full-time as a pharmacy manager and pharmacist for Kroger. Here is what she has to say about her experience as a distance learning student.

(Video clip)

The last example is also distance learning. But this example illustrates that distance learning can also mean that the professor is at a distance. In this example, Timothy Gregory, professor of History, teaches his classical archaeology course from Sydney, Australia. He has also taught courses right from the field -- in fact, from excavation sites in Greece.

As you can see from the screen shot, students log on to a course site which includes weekly overviews, resources, interactive activities, in addition to Professor Gregory's onsite video lectures.

(Video clip)

Now, we're going to examine the five e-learning critical success factors that form the foundation of our e-learning strategy and plan. They encompass: 1) vision; 2) funding; 3) policies; 4) access; and 5) infrastructure.

The first one is about vision. The new TELR (Technology-Enhanced Learning and Research) organization is a direct response to one of the Distance Learning Committee's recommendations to the provost, to form a central unit to support e-learning across campus. The Office of the CIO internally realigned resources to build a full-service staff comprising instructional technologists, visual designers,

CIO STRATEGIC PLAN AND E-LEARNING PRESENTATION (contd)

Dr. Metros: (contd)

web programmers, and even an accessibility specialist. The goal is to offer end-to-end support – from conception of an idea all the way to final assessment, including the design, development, and delivery phases in between.

We are sponsoring many professional development opportunities for faculty and the colleges' IT professional staff. We also formalized a very popular four-year-old TELR internship program. In this program, faculty needs are matched with a trained undergraduate student in a cooperative educational experience that is both for credit and then salaried. Here are some examples of the faculty-student collaborations:

- This first example is an exercise in which the students learn firsthand how to produce digital video that they can then work with their faculty members.
- This is an interactive learning object illustrating Bloom's Taxonomy of learning.
- This is a screen shot of Professor Emeritus Wayne Ellett's, from the Department of Plant Pathology, lifetime work on studying mushrooms. An intern digitized and made this collection available over the internet. Prior to this partnership, the work was available only to Professor Ellett and his students. Technology has afforded him the opportunity to share his legacy with our students and others all around the world.
- Interns developed a multi-media presentation for Professor Richard Gunther's international studies course, to familiarize students with images and music from around the world. The photographer? Professor Gunther himself.
- This is a self-paced interactive learning object developed for Professor Chuck Curtis' course, also in Plant Pathology, to teach students about the disease triangle.

To date, we have partnered on 235 faculty projects and have placed 362 students.

A second critical success factor is about funding and investments. One way that we're meeting this goal is to provide faculty incentives for transforming teaching and learning, and for FY 2004 we have two incentive programs. The first one is to work with faculty to leverage their research to enhance undergraduate education. Technology can serve as a catalyst for extending research and creative activities into the learning environment. In this environment, students will be afforded firsthand knowledge not only about the outcomes derived from research and creative activities, but the underlying processes.

The second one will be a faculty awards program. We will reward and share with others our faculty's exemplary practices in integrating technology into new learning environments.

We also proactively search for external funding opportunities for our faculty and bring together interdisciplinary and cohort groups to conduct research on a variety of educational topics. Since 2000, Ohio State has been awarded \$2.9 million of externally funded grants from the Ohio Board of Regents, from the Ohio Learning Network, the U.S. Department of Education, and the Pugh Foundation.

CIO STRATEGIC PLAN AND E-LEARNING PRESENTATION (contd)

Dr. Metros: (contd)

This slide illustrates a screen from the Menagerie Project. This is a knowledge repository to support information and scientific literacy for the K-20 curriculum, funded by the Ohio Board of Regents. The specific example here is from Professor Dennis Pearl's statistics unit.

Another way we partner on funded projects with the academic community is through our availability to be written into grant proposals that call for educational technology expertise.

The third critical success factor addresses policies. There are numerous policies and guidelines that we must tackle in order for us to move forward in the e-learning arena. Without going into detail, we at least can say we're making progress on all of these fronts.

The fourth critical success factor is about student access. OSU is currently using a course management system called WebCT. A course management system is a suite of productivity, content, and communication tools. It enables students using the internet from campus, home, or work to access multimedia learning content and interact with their peers and instructors.

This slide shows a home page from the course that I taught last Spring Quarter. Using the CMS (Course Management System) I was able to show my students a variety of visual design styles. They were also required to participate in discussions about readings that they gathered, selected, and shared online with their peers.

As an aside, when I recently returned to my course site, I looked at the student tracking feature and it was interesting because many of the students were still accessing the course material even though the course had concluded last June. That doesn't happen often in a traditional classroom.

The use of WebCT has grown exponentially, as you can see from this comparison of 2002 to 2003. Plus there are 500-600 additional courses on three other WebCT servers across our campuses – medicine, math and physical sciences, and the Lima Campus. But I think the most amazing statistic is that the percentage of OSU students that used WebCT in 2003, last year, was 66 percent on all campuses. If we look only at the Columbus Campus, 75 percent of our students had a WebCT account.

As Ilee noted earlier, our goal is to increase use, promote more sophisticated use, and extend reach. Therefore, we want to assist more faculty and students to take advantage of the course management functionality. To that end, we are completing an evaluation of next generation enterprise level systems. This upgrade will allow us to integrate the CMS with other University administrative systems, to provide improved scalability, reliability, security, speed, and, most of all, ease of use.

In support of distance learning, the Registrar has enhanced its master schedule of classes the students can easily select courses the departments have identified as blended or fully online. You will notice on this slide a pull-down menu where they can select if they want to take a distance learning course.

With respect to distance learning offerings, Ohio State offers degrees and certificates in most of the important niche markets – business, health care, and engineering -- and the list is growing. I couldn't even include them all, but you can see it is a very diverse list of offerings.

CIO STRATEGIC PLAN AND E-LEARNING PRESENTATION (contd)

Dr. Metros: (contd)

The final critical success factor focuses on infrastructure. We need to approach technology infrastructure investments holistically. It's not enough to install technology if our faculty are not provided opportunities to learn how to use it in ways that are collaborative and take advantage of the media-rich capabilities that technology can afford us.

What are the next steps? Currently, the majority of our faculty are transferring what they do in a traditional classroom to the online environment. They are using PowerPoint, online syllabus, and online notes, and students can check their grades and upload their homework. We want to help faculty take the next step, which is to transform learning by incorporating more active and engaging activities.

Lastly, and finally, technology affords us unlimited possibilities to explore new frontiers. We can do virtual labs and gaming is something we're starting to think about in the classroom -- the ability of working with blogs and wiki. Now if you don't know what a blog or wiki is you should probably ask your children or grandchildren. As I see it going to show you right now, children are already there.

Mr. Rhimes:

Winston Stone is the son of Brian Stone, a professor in the College of the Arts. Note the size of the mouse in his hand. By the time he enters college, a considerably more powerful computer will probably be the size of the mouse.

(Video clip)

Notice he is grabbing the mouse to start the process all over again. Imagine what his technology expectations will be when he enters college in about 15 years.

Again, thank you for allowing us to share our technology strategic planning process and e-learning strategy and plan. We hope the examples provided you with a better sense of the variety of the e-learning activities already underway and we hope the strategy for that gives you a sense of how we plan to move forward in collaboration with the campus community. Thank you.

Mr. Sofia:

Thank you, that was a very good report. Any questions? Dimon --

Mr. McFerson:

You talked about the ease of use for students, which is absolutely critical. If everyone approaches it differently -- all professors have their own styles -- are we working hard to have common protocols and common formats, so that when students go from one class to another they don't have to start all over again getting used to that new style that this particular course or professor puts forth?

Dr. Metros:

That is one of the reasons we've invested in the Course Management System. A student can go to any class. They have a single log on -- it doesn't matter where they are, whether they are at home, at work, or at school -- and have access to all their courses. Students understand and are able to move through any of those courses, no matter what course it is.

CIO STRATEGIC PLAN AND E-LEARNING PRESENTATION (contd)

Mr. McFerson:

We benchmark everything here and out in the corporate world. As we benchmark our efforts that you've just described to us, where are we with regard to those other universities we benchmark for other things?

Dr. Metros:

I think we're probably about even in terms of working with using technology in teaching and learning, and the supplemental and the blended. We're working with distance education. As I mentioned earlier, we're really in the important markets.

We are going to be talking to the College of Education because they have a lot of opportunities and there is a need for them within our community. In the area I mentioned, learning objects -- and I could do another half-hour presentation on that, but I won't -- we're probably the leaders. We're doing a lot of work and we have a national seminar happening here next Friday. We've published and are sponsoring an international work group that is studying that type of teaching and learning, thinking about how we might use learning objects in our educational environments.

Mr. McFerson:

What might be holding you back to leapfrog some of these other institutions? Is it the same answer for everyone -- money and resources? Or is there something else?

Mr. Rhimes:

It is really a blend of things, not just money and resources. One also has to deal with this whole process of change and incorporating technology into the new learning environment. It is a matter of having the resources to work with faculty to assist them in incorporating technology into teaching and learning.

We think that the base is expanding. That is why we're looking to incorporate a new Course Management System, because it is easier to use and we think we'll get a larger group of faculty using it.

Dr. Metros:

I should mention we just ramped up on this a little over a year ago. The new TELR started back in January, so we're in the first phase. In the second phase we will start working and expanding our programs.

Ms. Quick:

Is there a generalized set of rules, so that there can't be abuses of this system -- such as taking tests together or professors posting things at late times maybe while you're in class?

Dr. Metros:

Like in any traditional classroom it is really up to the faculty member how they choose to interact with their students, but there are some safeguards. Also, you need to do things different ways. You probably can't have an online test and assume that your student isn't going to take it from home and not have a buddy

CIO STRATEGIC PLAN AND E-LEARNING PRESENTATION (contd)

Dr. Metros: (contd)

work with him. But that also happens, again, in the traditional classroom in some situations.

Mr. Sofia:

I forget which university it is in Ohio, but somebody else was ahead of us in distance learning. Actually, even nationwide there are many colleges and universities which have a lot more full-time students doing distance learning. I know 75 percent of our students have a WebCT account. Can you elaborate on that a little bit? How many full-time students do we have versus some of our competitors actually doing distance learning?

Dr. Metros:

I don't have that statistic. I know last year with the "d" suffix on the master courses we had almost 7,000 students taking these courses, but then that could be blended or fully online.

As you know, one of our policies was to be able to go into our classrooms and figure out who is taking distance education. Right now it's very difficult to be able to tell that, because we can't go into a classroom and say what's happening here. I know Vice Provost Randy Smith is convening a Committee on Curricular Control and we're looking at the quality of our distance education. Not only do we want to have many -- which happens across many of our colleges -- we want the best courses. We want to make sure that if it says Ohio State, it's going to have that brand, it's really going to be a high-quality course.

Mr. Rhimes:

It is also getting more difficult to identify what is a distance learning class because of the number of hybrid classes that are partial. So, we're now getting into discussions about what really is a distance learning class.

Interim Provost Snyder:

With your permission, Mr. Chairman, I'd like to take this opportunity to publicly recognize Ilee, Susan, and the other directors from OIT -- some of whom are here -- Cathy Bindewald and Mike Veres -- who developed this strategic plan through a very broadly inclusive process that involved faculty, staff, and students campus-wide. They truly are our partners in the academic enterprise.

I talked to you last month about the importance of academic priorities being aligned with the budget. It is just as important for the academic priorities to drive our technology investments and our decisions about how we use those resources. Ilee and his team genuinely work hand-in-hand with us to serve the needs of our students, faculty, and staff.

Mr. Sofia:

As many of you remember and Bobby Moser knows, distance learning was former Board chair David Brennan's "hot button." I think we have seen enormous progress in distance learning. One thing we've talked about and it really would help -- "distance" seems distant. Maybe we should call it "anytime learning" or "anyplace learning," or something like that. Maybe we should market it a little bit differently.

CIO STRATEGIC PLAN AND E-LEARNING PRESENTATION (contd)

Mr. Sofia: (contd)

Any other questions? If not, we really appreciate the effort you made in this wonderful presentation and we'd like to stay up-to-date with you on this. Thank you and have a great day.

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ANNOUNCEMENT OF NEW VICE PRESIDENT

Mr. Sofia:

At this time I'd like to call on Dr. Holbrook for a special announcement.

President Karen A. Holbrook:

Thank you, Mr. Chairman. Many of you have seen the announcement, but I did want to take the opportunity to mention Dr. Jim Schroeder's appointment. Jim will be the new vice president for University Development, as of November 1, and will be coming to us from the Mayo Clinic.

He has three degrees from Ohio and is coming home. He left Ohio to go to the University of Illinois, where he spent a significant amount of time in the College of Arts and Sciences, in finances, and in development; moved on to the School of Business at Harvard, where he was the development officer; and then went on to the Mayo Clinic.

Jim has been highly successful at the Mayo Clinic during his two-year tenure, and is absolutely delighted to be coming back and joining us. We are thrilled to have him and Kathy join us. He will be on campus this Monday and Tuesday, talking with the Development staff and making plans for the rather quick move. He will be at the Foundation Board meeting this month and we will present him at that time.

I want to thank Joe Alutto for chairing the search committee and doing a wonderful job in keeping in touch with the candidates that we had. And a special thanks is in order to John Meyer, who stepped in immediately after Jerry May left and has done a fantastic job. The best way you can tell it is fantastic, is to look at the numbers -- there has been no slippage. In an environment where the rest of higher education has gone down one percent in development, we've gone up nine percent in our annual giving.

John has done a phenomenal job in keeping the confidence of the people in the office. We are so lucky, because John doesn't leave when Jim comes. John goes back to his other job where he still maintains all of the strong and positive relationships with this institution and its donors. So, John, I can't begin to thank you enough for what you've done and tell you how much I've enjoyed working with you over this period of time and will continue to enjoy working with you. Thank you very much from all of us.

Mr. Sofia:

On behalf of the Board, I'd like to second Dr. Holbrook's thanks to John. We really appreciate all of your efforts and are glad to have you with us. We also commend Dr. Holbrook and Joe Alutto for the wonderful choice of Jim Schroeder as the new vice president for University Development.

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CONSENT AGENDA

President Karen A. Holbrook:

We have nine resolutions on the Consent Agenda today and unless there are any objections, I would like to recommend them to the Board:

REGIONAL CAMPUS BOARD APPOINTMENT

Resolution No. 2004-41

Synopsis: Approval of an appointment to The Ohio State University Lima Campus Board is proposed.

WHEREAS the Board of Trustees in 1994 approved the establishment of The Ohio State University Regional Campus Boards; and

WHEREAS it has been previously stipulated that "the board shall be composed of ten members appointed by The Ohio State University Board of Trustees in consultation with the president of the university;" and

WHEREAS the following named person has been nominated and selected for appointment to the Lima Campus Board for the term as specified:

Lima Board Appointment

Christen Daniel, 1-year term (student)

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominee be approved as a member of The Ohio State University-Lima Board, effective July 1, 2003.

PERSONNEL ACTIONS

Resolution No. 2004-42

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the September 5, 2003 meeting of the Board, including the following Appointments/Reappointments, Appointment of Chairpersons, Leave of Absence Without Salary, Professional Improvement Leaves, and Emeritus Title, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That Resolution 77-92 and the provisions of the February 6, 1959 Report of the Committee on Retirement and Insurance, be waived to allow for the reappointment of Andreas F. von Recum as Director of the Biomedical Engineering Center.

Appointments

Name: LOUIS F. DIMAURO
Title: Professor with Tenure (The Dr. Edward E. and Sylvia Hagenlocker Chair in Physics)
College/Department: Mathematical and Physical Sciences/Physics
Effective: October 1, 2003
Present Position: Senior Scientist, Brookhaven National Laboratory, Upton, NY

PERSONNEL ACTIONS (contd)

Appointments (contd)

Name: M. RONALD GLASER
Title: Director of the Institute for Behavioral Medicine Research
Office: Health Sciences
Term: September 1, 2003, through June 30, 2007
Concurrent Position: Professor (The Gilbert and Kathryn Mitchell Chair), College of Medicine and Public Health

Name: SAMSON T. JACOB
Title: Professor (The William C. and Joan E. Davis Cancer Research Professorship)
College: Medicine and Public Health
Term: September 1, 2003, through June 30, 2007

Name: JAMES C. SCHROEDER
Titles: Vice President/President
Offices: Development/University Foundation
Effective: November 1, 2003
Present Position: Chief Development Officer, Mayo Foundation, Rochester, MN

Name: MING-DAW TSAI
Title: Professor (Charles H. Kimberly Professorship in Chemistry)
Department: Chemistry
Term: October 1, 2003, through September 30, 2010

Reappointments

Name: JERRY R. LADMAN
Title: Associate Provost for International Affairs
Office: Academic Affairs
Term: July 1, 2003, through August 31, 2006

Name: ANDREAS F. VON RECUM
Title: Director of the Biomedical Engineering Center
College: Engineering
Term: October 1, 2003, through June 30, 2006

Appointment of Chairpersons

October 1, 2003, through June 30, 2004

Molecular and Cellular Biochemistry

Charles R. Hille*

October 1, 2003, through September 30, 2007

Chemistry

Prabir K. Dutta

*Interim

Leave of Absence Without Salary

LOUIS F. DIMAURO, Professor (The Dr. Edward E. and Sylvia Hagenlocker Chair in Physics), Department of Physics, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to shut down his operation at Brookhaven National Laboratory and begin the transition to Ohio State.

PERSONNEL ACTIONS (contd)

Professional Improvement Leave

ROY J. LEWICKI, Professor, Department of Management and Human Resources, effective Spring Quarter 2004.

Professional Improvement Leave—Cancellation

JEFFREY J. DANIELS, Professor, Department of Geological Sciences, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004.

Emeritus Title

DENNIS L. ELLIOTT, Ohio State University Extension, with the title Assistant Professor Emeritus, effective October 1, 2003.

RESOLUTIONS IN MEMORIAM

Resolution No. 2004-43

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

Franklin D. George

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on August 1, 2003, of Franklin D. George, Instructor Emeritus in the Ohio State University Extension.

Mr. George was born January 21, 1922, in Chambersville, Pennsylvania. He completed his Bachelor of Science degree in 1952 in agriculture education from The Ohio State University.

He began his extension career in Ohio in 1956 as the associate county extension agent in Clark County. In 1958, he became the county 4-H agent in Clark County. He was named the county extension agent-agriculture in Summit County in 1959. He held this position until his retirement in 1982.

Mr. George's contributions in providing extension educational programs during his career included overseeing Ohio agriculture land use taxation programs, revitalizing the advisory committee and extension programming in Summit County, and improving the 4-H activities and recognition at the local county fair. He wrote a handbook for "Outpost Camping" and conducted a 4-H program in the Greater Akron Chapel Hill Shopping Mall for several years. He served on many local county committees, and was a member of the National County Agriculture Agents Association. His motto was: "Do it Right, Do it Big, Do it with Class."

On behalf of the University community, the Board of Trustees expresses to the family of Instructor Emeritus Franklin D. George its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Orena M. Haynes

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 14, 2003, of Orena M. Haynes, Professor Emeritus in the Ohio State University Extension.

RESOLUTIONS IN MEMORIAM (contd)

Orena M. Haynes (contd)

Professor Haynes was born February 6, 1921, near Granger, Iowa. She completed her Bachelor of Science degree in 1941 and her Master of Science degree in 1957, both in home economics from The Ohio State University.

She began her Extension career in Ohio in 1943 as the acting home demonstration agent in Belmont County. In 1944, she became the acting home demonstration agent in Licking County, then was named home demonstration agent in Licking County in 1946. She held this position until 1957 when she became an extension specialist-clothing. She retired in 1977.

Professor Haynes' contributions in providing Extension educational programs during her career included creating a 4-H Fashion Board which recognized 10 outstanding teens each year in the State of Ohio. Her creative teaching, unusual technique, and use of resources improved the 4-H activities and recognition at the local county fair as well as at the State Fair. Professor Haynes stressed a seven-point plan for clothing projects: fit, posture, poise, fashion, design, construction, and grooming.

People all over Ohio as well as the nation recognized Professor Haynes as a truly dedicated and inspired teacher for Extension. She was a member of the American Home Economics Association and the Ohio Home Economics Association, as well as the Ohio Extension Professor Association and Epsilon Sigma Phi. She wrote numerous home economics and 4-H publications on clothing.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Emeritus Orena M. Haynes its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

Thomas E. Shaffer

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 31, 2003, of Thomas E. Shaffer, M.D., Professor Emeritus in the Department of Pediatrics.

Dr. Shaffer was a graduate of Cornell University where he received his undergraduate degree in 1929 and his medical degree in 1932. He served his internship in internal medicine at Yale-New Haven Hospital from 1934-37 and continued there as a clinical instructor in pediatrics until 1942. During the same period, he had his own private practice in Farmington and New Haven, Connecticut.

After serving in the U.S. Army Medical Corps during World War II, he moved to Columbus where he practiced at The Ohio State University Medical Center from 1946-75, and at Children's Hospital from 1946-83 where he was the director of Adolescent Services and established the Teenage Clinic. He also served as the medical director for the Juvenile Diagnostic Center in Columbus from 1960-64.

Dr. Shaffer was a member of many professional organizations, including the American Medical Association, the American Academy of Pediatrics, Central Ohio Pediatric Society (COPS)/founding member, the Ohio State Medical Association, and the American Pediatric Society, having served as a chairman on many committees. He was also a fellow of the American College of Sports Medicine and a trustee for Ohio Special Olympics.

He received numerous honors, including the Distinguished Service Citation from the OSMA, the Service Appreciation Award from the Franklin County Mental Health and Retardation Board (now ADAMH), the President's Challenge Award from the National Athletic Trainer's Association for his contributions to sports medicine, and a citation from the Ohio Chapter of the AAP for Outstanding Pediatrician of the Year in 1996.

RESOLUTIONS IN MEMORIAM (contd)

Thomas E. Shaffer (contd)

Dr. Shaffer was an avid golfer and a longtime member of Scioto Country Club. Above all else, he was a loving husband, father, and grandfather and will be dearly missed by all that knew him.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Thomas E. Shaffer its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2004-44

Synopsis: The report on research and other sponsored program contracts and grants and the summary for August 2003 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of August 2003 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2004-45

Synopsis: The report on the receipt of gifts and the summary for August 2003 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of the Chair in Peace Studies; and

WHEREAS this report includes the establishment of nine (9) new named endowed funds and the amendment of three (3) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of August 2003 be approved.

REPORT OF RESEARCH CONTRACTS AND GRANTS (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July through August
2003 Compared to 2002

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through August		
	<u>2003</u>	<u>2002</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$2,253,728	\$5,222,996	(57)
Alumni (From Bequests)	<u>3,360,215</u>	<u>972,088</u>	246
Alumni Total	\$5,613,943	\$6,195,084	(9)
Non-Alumni (Current Giving)	\$1,442,598	\$2,890,725	(49)
Non-Alumni (From Bequests)	<u>255,215</u>	<u>1,762,492</u>	(86)
Non-Alumni Total	\$1,697,813	\$4,572,217	(63)
Individual Total	\$7,311,756	\$10,767,301	(32) ^A
Corporations/Corp/Foundations	\$5,096,019	\$6,573,055	(22) ^B
Private Foundations	\$1,173,775	\$1,117,205	5
Associations and Other Organizations	<u>\$899,115</u>	<u>\$457,396</u>	97 ^C
Total	\$14,480,665	\$18,914,957	(23)

NOTES

- A Individual giving is down 32% largely due to the fact that gifts of \$10,000 or more are down 45% (78 gifts for \$9.1 million last year; 76 gifts for \$5.0 million this year).
- B Corporate giving at the \$10,000 or more level is down 24% for the first two months of the fiscal year (\$4.1 million from 83 gifts this year; \$5.4 million from 114 gifts last year).
- C Gifts at the \$10,000 or more level from other organizations or associations are up 186% for July-August compared with the same period last year (20 gifts for \$598,942 this year; 11 gifts for \$209,520 last year).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July from August
2003 Compared to 2002

GIFT RECEIPTS BY PURPOSE

	Dollars July through August		
	<u>2003</u>	<u>2002</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$2,290,914	\$2,894,973	(21)
Faculty Support	\$838,634	\$2,615,160	(68)
Program Support	\$6,606,947	\$8,788,930	(25)
Student Financial Aid	\$1,470,982	\$3,305,263	(55)
Annual Funds-Colleges/Departments	\$728,860	\$1,207,433	(40)
Annual Funds-University	<u>\$2,544,328</u>	<u>\$103,198</u>	2,365
Total	\$14,480,665	\$18,914,957	(23)

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through August

<u>2003</u>	<u>2002</u>	<u>% Change</u>
\$2,703,541	\$6,890,350	(61)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Chair</u>			
Chair in Peace Studies (Used to support a faculty chair and projects in peace studies at the Mershon Center; provided by gifts from Erie and Orlyss Sauder, Sauder Woodworking Company, The Southern Ohio Episcopal Procter Fund, Church of the Brethren, Mennonite and Friends, and faculty, staff, and numerous friends of the initiative to endow a chair in peace studies; with additions from the Ralph D. Mershon Fund) (grandfathered)		\$1,250,000.00	\$1,250,000.00

Establishment of Named Endowed Funds

The Rural Family Medicine Endowment Fund (Used to support teaching, research, and special programs relating to rural family medicine in the Department of Family Medicine; provided by gifts from individual, corporate, and foundation donors, including faculty, alumni, and patients)		\$31,050.00	\$31,050.00
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The Urban Family Medicine Endowment Fund (Used to support teaching, research, and special programs relating to urban family medicine in the Department of Family Medicine; provided by gifts from individual, corporate, and foundation donors, including faculty, alumni, and patients)		\$26,100.00	\$26,100.00
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Change in Name and Description of Named Endowed Fund

From: The Dr. Bernie A. and Dona L. McConnell
Pediatric Dental Education Fund
To: The Dr. Bernie A. and Dona L. McConnell
Pediatric Dental Anesthesia Suite Fund

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Barbara C. Joslin Endowed Scholarship Fund (Used to provide scholarships for students enrolled at the OSU pursuing a D.V.M. degree; provided by a gift from the estate of Barbara C. Joslin)		\$109,932.38	\$109,932.38

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Family Medicine Medical Student Education and Support Fund (Used to support projects and programs in the Department of Family Medicine that encourage medical student interest in this specialty; provided by gifts from alumni, friends, colleagues, and staff of the Department of Family Medicine)		\$29,100.00	\$29,100.00
The Family Medicine Residency Support Fund (Used to support the residency training program in the Department of Family Medicine; provided by gifts from alumni, friends, colleagues, and staff of the Department of Family Medicine)		\$27,600.00	\$27,600.00
The Manuel Tzagournis, M.D. and Madeline Tzagournis Diabetes Research and Education Endowment Fund (Used to support research and education relating to the prevention, treatment, and cure of diabetes; provided by gifts from Manuel and Madeline Tzagournis)		\$25,247.00	\$25,247.00
The Excellence in Family Medicine Fund (Used to enhance the ongoing programs and strengthen special initiatives in the Department of Family Medicine; provided by gifts from alumni, friends, colleagues, and staff of the Department of Family Medicine)		\$25,100.00	\$25,100.00
The Frances Todd Walther Memorial Scholarship Fund in Advanced Practice Nursing (Used to support scholarships for master's students in the College of Nursing; provided by a gift from Mr. Frederick S. Walther of Dayton, Ohio)		\$25,000.00	\$25,000.00
The Elizabeth J. Watters Endowment Fund for Alumni Relations and Development (Used to support alumni relations events at the Moritz College of Law; provided by a gift from Elizabeth J. Watters)		\$25,000.00	\$25,000.00

Change in Description of Named Endowed Fund

From: Richard and Margaret Knowlton
Scholarship Fund
To: The Dick and Peggy Knowlton
Scholarship Fund

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Name of Named Endowed Fund

From: The Kenneth A. Zeisler Scholarship Fund
in the College of Law
To: The Kenneth and Paula Zeisler Real Estate
Scholarship Fund

Total	\$1,574,129.38	\$1,574,129.38
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THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Chair

Chair in Peace Studies

The Endowed Chair in Peace Studies Fund was established June 6, 1997, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Erie and Orlyss Sauder, and Sauder Woodworking Co., Inc., of Archbold, Ohio; The Southern Ohio Episcopal Diocese Procter Fund; congregations of Church of the Brethren, Mennonite and Friends; and faculty, staff, and numerous friends of the initiative to endow a chair in peace studies; with additions from the Ralph D. Mershon Fund. The required funding level has been reached and the chair was established on October 3, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a faculty chair and projects in peace studies at The Ohio State University's Mershon Center. An advisory committee representing the donor and faith groups instrumental in the fund's creation will represent the donor interests and consult with the faculty leaders supported by the fund. Annual income may be added to the principal of the fund. It is the intent of the original donors and the Mershon Center that the chair holder and program shall follow the specific wishes of the donors as detailed in the July 1993 document entitled "The Initiative for an Endowed Faculty Chair in Peace Studies at The Ohio State University" prepared by the Interfaith Task Force.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean or program administrative officer and an advisory committee of the donors to the chair fund in order to carry out the desire of the donors.

\$1,250,000.00
(grandfathered)

Establishment of Named Endowed Funds

The Rural Family Medicine Endowment Fund

The Rural Family Medicine Endowment Fund was established on October 3, 2003, by the Board of Trustees of The Ohio State University with gifts from individual, corporate, and foundation donors, including faculty, alumni, and patients who are interested in enhancing the ongoing programs and in strengthening special initiatives of the Department of Family Medicine.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Rural Family Medicine Endowment Fund (contd)

All gifts are to be invested in the University's permanent endowment fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support teaching, research, and special programs relating to rural family medicine in the Department of Family Medicine in the College of Medicine and Public Health as recommended by the chairperson of this department.

If funding reaches the level of \$750,000, the income will be used to establish a professorship in rural family medicine supporting a distinguished scholar in the Department of Family Medicine. If funding reaches the chair level of \$1,500,000, the professorship will be converted to a chair position and shall be held by a nationally eminent faculty member. The faculty member in the professorship or chair position shall actively participate with rural family medicine programs to achieve the fund's goals.

Appointment shall be made to either the professorship or chair position at the recommendation of the chairperson of the Department of Family Medicine to the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. The activities of the holder of the professorship or the chair shall be reviewed no less than every five years by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health to determine compliance with the intent of the donors as well as the academic and research standards of the University.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the chairperson of the Department of Family Medicine and with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$31,050.00

The Urban Family Medicine Endowment Fund

The Urban Family Medicine Endowment Fund was established on October 3, 2003, by the Board of Trustees of The Ohio State University with gifts from individual, corporate, and foundation donors, including faculty, alumni, and patients who are interested in enhancing the ongoing programs and in strengthening special initiatives of the Department of Family Medicine.

All gifts are to be invested in the University's permanent endowment fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support teaching, research, and special programs relating to urban family medicine in the Department of Family Medicine in the College of Medicine and Public Health as recommended by the chairperson of this department.

If funding reaches the level of \$750,000, the income will be used to establish a professorship in urban family medicine supporting a distinguished scholar in the Department of Family Medicine. If funding reaches the chair level of \$1,500,000, the professorship will be converted to a chair position and shall be held by a nationally eminent faculty member. The faculty member in the professorship or chair position shall actively participate with urban family medicine programs to achieve the fund's goals.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Urban Family Medicine Endowment Fund (contd)

Appointment shall be made to either the professorship or chair position at the recommendation of the chairperson of the Department of Family Medicine to the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. The activities of the holder of the professorship or the chair shall be reviewed no less than every five years by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health to determine compliance with the intent of the donors as well as the academic and research standards of the University.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the chairperson of the Department of Family Medicine and with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$26,100.00

Change in Name and Description of Named Endowed Fund

The Dr. Bernie A. and Dona L. McConnell Pediatric Dental Anesthesia Suite Fund

The Dr. Bernie A. and Dona L. McConnell Pediatric Dental Education Fund was established on July 12, 1985, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Bernie A. McConnell (D.D.S., 1953) and Dona L. McConnell (B.S.Bus.Adm., 1952). The name and description were revised on October 3, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income will be used to establish and maintain an anesthesia suite in the Section of Pediatric Dentistry at the College of Dentistry. Uses can include construction expenses, renovation expenses, and the purchase of carpet, furniture, equipment, or any necessary items essential to the maintenance of the suite.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Dentistry and the head of the Section of Pediatric Dentistry in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The Barbara C. Joslin Endowed Scholarship Fund

The Barbara C. Joslin Endowed Scholarship Fund was established on October 3, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Barbara C. Joslin of Miami, Florida.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Barbara C. Joslin Endowed Scholarship Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to award a scholarship for one (1) senior student enrolled at The Ohio State University pursuing a D.V.M. degree in the College of Veterinary Medicine. Recipients must be in good academic standing.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Veterinary Medicine in order to carry out the desire of the donor.

\$109,932.38

The Family Medicine Medical Student Education and Support Fund

The Family Medicine Medical Student Education and Support Fund was established on October 3, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from alumni, friends, colleagues, and staff of the Department of Family Medicine.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors of The Ohio State University with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide support for projects and programs in the Department of Family Medicine that encourage medical student interest in this specialty. The mission is to create the "family" of family physicians in the medical school environment, accomplished through a variety of programs such as the Family Practice Interest Group, summer externships, honors program, Family Medicine Leadership Development Program, and similar projects or activities. Allocation of funds shall be determined by the chairperson of the Department of Family Medicine in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the chairperson of the Department of Family Medicine and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$29,100.00

The Family Medicine Residency Support Fund

The Family Medicine Residency Support Fund was established on October 3, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from alumni, friends, colleagues, and staff of the Department of Family Medicine.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Family Medicine Residency Support Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors of The Ohio State University with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support for the residency training program in the Department of Family Medicine to include, but not limited to, education programs and related travel, residency libraries, and special activities (e.g., retreats or graduation events). Allocation of funds shall be determined by the chairperson of the Department of Family Medicine in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the chairperson of the Department of Family Medicine and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$27,600.00

The Manuel Tzagournis, M.D. and Madeline Tzagournis
Diabetes Research and Education Endowment Fund

The Manuel Tzagournis, M.D. and Madeline Tzagournis Diabetes Research and Education Fund was established on October 3, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Manuel Tzagournis, M.D. (B.S., 1956; M.D., 1960; M.S., 1967) and Madeline Tzagournis (B.S., 1957) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support research and education relating to the prevention, treatment, and cure of diabetes. The income may support, but is not limited to, scientific supplies, laboratory space, equipment, salaries for research personnel, and activities required for high quality medical research in the Division of Endocrinology, Diabetes, and Metabolism and in the Comprehensive Diabetes Research and Education Center (CDREC). Distribution will be made at the recommendation of the director of the Division of Endocrinology, Diabetes, and Metabolism and the director of the CDREC in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$25,247.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Excellence in Family Medicine Fund

The Excellence in Family Medicine Fund was established on October 3, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from alumni, friends, colleagues, and staff of the Department of Family Medicine.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors of The Ohio State University with the right to invest and reinvest as occasion dictates.

The annual income shall be used to enhance the ongoing programs and strengthen special initiatives in the Department of Family Medicine. Support may be provided for research, education, faculty development, training, or other ongoing projects that foster innovation and excellence, or those otherwise determined as priority areas. Allocation of funds shall be determined by the chairperson of the Department of Family Medicine in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. For research, the income may support, but is not limited to, scientific supplies, equipment, salaries for research personnel, and activities required for quality medical research.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the chairperson of the Department of Family Medicine and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$25,100.00

**The Frances Todd Walther Memorial Scholarship Fund
in Advanced Practice Nursing**

The Frances Todd Walther Memorial Scholarship Fund in Advanced Practice Nursing at the College of Nursing at The Ohio State University was established on October 3, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mr. Frederick S. Walther of Dayton, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support nursing scholarships for graduate students pursuing a masters degree at the College of Nursing, with preference given to students planning to practice, specialize, or conduct research in the area of adult health and illness nursing.

All scholarships are to be awarded on greatest financial need and can be used for recruiting purposes. The recipients shall be selected by the dean of the College of Nursing, the assistant dean, the associate deans, and the faculty who teach adult health and illness nursing.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Nursing in order to carry out the desire of the donor.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Elizabeth J. Watters Endowment Fund for
Alumni Relations and Development

The Elizabeth J. Watters Endowment Fund for Alumni Relations and Development was established on October 3, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Elizabeth Jean Watters (B.A., 1987; J.D., 1990) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide support for alumni relations events at The Michael E. Moritz College of Law as deemed necessary by the dean, in consultation with the assistant dean of alumni relations of the Moritz College of Law. Emphasis should be placed on alumni relations events involving: (i) recent graduates (ten years or less), (ii) members of the Alumni Society of the Moritz College of Law and its National Council, and/or (iii) present or potential members of the Page Society of the Moritz College of Law. The director of development for the Moritz College of Law may also be consulted, as appropriate.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean and the assistant dean of alumni relations of the Moritz College of Law in order to carry out the desire of the donor.

\$25,000.00

Change in Description of Named Endowed Fund

The Dick and Peggy Knowlton Scholarship Fund

The Richard and Margaret Knowlton Scholarship Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of the Office of Student Financial Aid, from Mr. and Mrs. Dick Knowlton of Bellefontaine, Ohio, and Hilton Head, South Carolina. The name and description were revised on February 1, 2002. The description was revised further on October 3, 2003.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund two scholarships for worthy undergraduates on any Ohio State University campus with financial need from Logan and Clark Counties, Ohio. Any unused income shall be reinvested to the principal at the end of each year, beginning in FY 2003-2004. The University will write annually to Mr. and Mrs. Knowlton to let them know who received the scholarships. The University will request that each recipient send Mr. and Mrs. Knowlton a letter reporting on their student experience, academic performance, and career plans.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Office of Academic Affairs in order to carry out the desire of the donors.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Name of Named Endowed Fund

The Kenneth and Paula Zeisler Real Estate Scholarship Fund

The Kenneth A. Zeisler Scholarship Fund in the College of Law was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Kenneth A. Zeisler (B.S.Bus.Adm., 1961; J.D., 1961), Cleveland, Ohio. The name was revised on October 3, 2003.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund a scholarship for one student in The Michael E. Moritz College of Law with a preference given to an entering student who demonstrates financial need and an interest in pursuing a career in real estate law. Selection shall be made by the Moritz College of Law with formal approval by the dean of the Moritz College of Law in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income then another use shall be designated by the Foundation Board as recommended by the dean of the Moritz College of Law in order to carry out the desire of the donor.

REQUEST FOR DESIGN AND CONSTRUCTION AUTHORIZATION

Resolution No. 2004-46

REQUEST FOR DESIGN AUTHORIZATION

BIOLOGICAL SCIENCES BUILDING 6TH FLOOR RENOVATION
HOPKINS HALL PHASE II
MCCRACKEN POWER PLANT CHILLER EXPANSION
MCCRACKEN POWER PLANT COAL BOILER REPAIRS
OSU ICE RINK RENOVATION

REQUEST FOR CONSTRUCTION AUTHORIZATION

BIOMEDICAL RESEARCH TOWER
LABORATORY ANIMAL FACILITIES
2560 KENNY ROAD RENOVATION
OARDC – PIKETON TRAINING AND DEVELOPMENT CENTER

Synopsis: Authorization to enter into design contracts for Biological Sciences 6th Floor Renovation, Hopkins Hall Phase II, McCracken Power Plant Chiller Expansion, McCracken Power Plant Coal Boiler Repairs, Ice Rink Renovation, and construction contracts for the Biomedical Research Tower, Laboratory Animal Facilities, 2560 Kenny Road Renovation, and OARDC – Piketon Training and Development Center is requested.

WHEREAS the University desires to renovate 30-year old laboratory space on the 6th floor of the Biological Sciences Building; and

WHEREAS the preliminary cost estimate is \$1,070,000 - \$1,080,000 with funding to be provided by HB 675; and

REQUEST FOR DESIGN AND CONSTRUCTION AUTHORIZATION (contd)

WHEREAS the University desires to continue the upgrade of the Ceramics facilities in the lower level of Hopkins Hall; and

WHEREAS the preliminary cost estimate is \$1,300,000 - \$1,400,000 with funding to be provided by HB675; and

WHEREAS the University desires to add chiller capacity to the McCracken chilled water plant to support increased cooling load associated with new campus construction; and

WHEREAS the preliminary cost estimate is \$8,000,000 with funding to be provided by future University bond proceeds with debt service to be paid by an increase in the central utility assessment; and

WHEREAS the University desires to repair and redesign major components of the coal boiler to improve reliability and performance; and

WHEREAS the estimated project cost is \$3,000,000 with funding to be provided by future University bond proceeds with debt service to be paid by an increase in the central utility assessment; and

WHEREAS the University desires to renovate the roof, HVAC, lighting and related improvements at the Ice Rink; and

WHEREAS the estimated project cost is \$875,000-\$975,000 with funding to be provided by the Athletic Department reserve fund; and

WHEREAS the University desires to construct a biomedical research facility to house biomedical research programs, faculty and staff; and

WHEREAS the construction document project cost is \$120,304,139 with funding to be provided by research development funds (\$984,488) and future university bond proceeds (\$119,319,651) with debt service to be paid by indirect cost recovery generated by new research grants and other sources; and

WHEREAS the University desires to construct an addition to the existing Wiseman Hall for the Laboratory Animal Resources Department; and

WHEREAS the construction document project cost is \$15,683,982 with funding to be provided HB 675 (\$6,700,000) and future university bond proceeds (\$8,983,982) with debt service paid by the Laboratory Animal Resources Department; and

WHEREAS the University desires to renovate the 2560 Kenny Road facility (the old laundry building) as part of the Physical Facilities shop relocation; and

WHEREAS the construction document project cost is \$857,683 with funding to be provided by University central funds; and

WHEREAS the University desires to construct a training and development center at OARDC Piketon; and

WHEREAS the Board of Trustees approved the project in May, 2003 for \$3,090,000 for construction; and

WHEREAS the project budget has been increased by \$600,000 due to construction bids which exceeded expectations, bringing the new total project budget to \$3,690,000, with funding to be provided by federal grants (\$2,190,000), HB 675 (\$900,000) and OARDC local funds (\$600,000):

NOW THEREFORE

REQUEST FOR DESIGN AND CONSTRUCTION AUTHORIZATION (contd)

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for Biological Sciences Building 6th Floor Renovation, Hopkins Hall Phase II, McCracken Power Plant Chiller Expansion, McCracken Power Plant Coal Boiler Repairs, and Ice Rink Renovation; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to request construction bids for Biomedical Research Tower, Laboratory Animal Facilities, 2560 Kenny Road Renovation, and OARDC-Piketon Training and Development Center are hereby accepted.

(See Appendix XVI for background information and maps, page 439.)

**PURCHASE OF IMPROVED REAL PROPERTY
1365 AND 2131 SPRINGMILL STREET, MANSFIELD, OHIO**

Resolution No. 2004-47

Synopsis: Authorization for the purchase of the improved real property at 1365 Springmill Street from Campus Village Apartments, L.L.C., a Michigan limited liability company, and purchase of adjacent improved real property at 2131 Springmill Street, from Ernest D. and Sharon S. Schaefer, is proposed.

WHEREAS the Board of Trustees of The Ohio State University is presented with the opportunity to purchase the improved real property at 1365 and 2131 Springmill Street in Mansfield, Ohio; and

WHEREAS the property has an appraised value of \$5,100,000 to \$5,265,000, and the Sellers have agreed to sell the property to the University for \$5,200,000; and

WHEREAS this property is located on the eastern boundary of the Mansfield Regional Campus and identified in the Mansfield Campus Master Plan as a site of student housing, and the appropriate University offices have determined that the purchase of this property is in the best interest of the University; and

WHEREAS Student Affairs will be responsible for all acquisition, operating, and improvement expenses of the property:

NOW THEREFORE

BE IT RESOLVED, That the President and/or the Senior Vice President for Business and Finance be authorized to purchase the improved real properties at 1365 and 2131 Springmill Street in the name of the State of Ohio for the use of The Ohio State University at a price not to exceed \$5,200,000 plus associated costs, upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State of Ohio Controlling Board.

(See Appendix XVII for background information and map, page 463.)

IMPLEMENTATION OF SELF-INSURANCE TRUST II

Resolution No. 2004-48

Synopsis: Modification of guidelines for the Self-Insurance Trust II is proposed.

WHEREAS in December 2002, the Board of Trustees authorized the establishment of a self-insurance trust to provide medical malpractice/liability insurance for faculty members and staff who are involved in the delivery of clinical care and are employed by Ohio State University Physicians, Inc. (OSUP), the University Health System, or the College of Medicine and Public Health; and

WHEREAS the Medical Center, University administration, and OSUP have worked cooperatively and diligently to implement this new self-insurance trust; and

WHEREAS the University now recommends certain adjustments to the resolution approved by the Board of Trustees:

NOW THEREFORE

BE IT RESOLVED, That the University Self-Insurance Program shall be administered by a Self-Insurance Board, to operate under guidelines as determined from time to time by the Board of Trustees, with members of the Self-Insurance Board to be appointed by the Board of Trustees, based upon the recommendations of the President and will operate under the guidelines established by The Ohio State University Board. As provided in Resolution #2003-74 adopted on December 6, 2002, the majority of the Self-Insurance Board shall be citizen independent members; and

BE IT FURTHER RESOLVED, That the University Self-Insurance Program shall maintain excess coverage for the Self-Insurance Trust II at the levels of coverage and contributions approved annually by the Self-Insurance Board.

CREATION OF THE RICHARD M. ROSS HOSPITAL BOARD

Resolution No. 2004-49

Synopsis: The creation of The Richard M. Ross Hospital Board is proposed.

WHEREAS the cardiovascular programs of The Ohio State University Medical Center are leaders in both research and advanced clinical care; and

WHEREAS the development and construction of The Richard M. Ross Heart Hospital exemplifies the prominence achieved by the cardiovascular programs of the Medical Center; and

WHEREAS the advancement of these programs was made possible by the generous gift of the Ross family; and

WHEREAS the construction of The Richard M. Ross Heart Hospital is on schedule for an anticipated opening in the Fall of 2004; and

WHEREAS in preparation for the opening of The Richard M. Ross Heart Hospital, it is desirable to create a board dedicated to the unique mission of The Richard M. Ross Heart Hospital:

NOW THEREFORE

CREATION OF THE RICHARD M. ROSS HOSPITAL BOARD (contd)

BE IT RESOLVED, That the Board of Trustees hereby authorizes the creation of a board dedicated to the unique mission of The Richard M. Ross Heart Hospital; and

BE IT FURTHER RESOLVED, That the President is hereby authorized in consultation with, among others, the Senior Vice President for Health Sciences and Vice President for Health Services, to identify and present to the Board of Trustees individuals to serve on such a board.

Upon motion of Ms. Hendricks, seconded by Ms. Longaberger, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Sofia, Slane, McFerson, O'Dell, and Judge Duncan, Meses. Longaberger and Hendricks.

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Thereupon the Board adjourned to meet Friday, November 7, 2003, at The Ohio State University Fisher College of Business, Columbus, Ohio.

Attest:

David O. Frantz
Secretary

Zuheir Sofia
Chairman

October 3, 2003

AUTHORIZATION FOR CAPITAL PROJECTS

Project		Approximate Amount (in millions)	Requested Action
Bioscience 6th Floor Renovation	State:	\$1.07 - \$1.08	Enter into design contracts
Hopkins Hall Phase II	State:	\$1.30 - \$1.40	Enter into design contracts
McCracken Power Plant Chiller Expansion	Dept:	\$8.00	Enter into design contracts
McCracken Power Plant Coal Boiler Repairs	Dept:	\$3.00	Enter into design contracts
OSU Ice Rink Renovation	Dept:	\$.88 - \$.98	Enter into design contracts
Subtotal for Design Contracts	Dept:	\$11.88 - \$11.98	
	State:	\$2.37 - \$2.48	
<hr/>			
Biomedical Research Tower	Dept:	\$120.30	Enter into construction contracts
Laboratory Animal Facilities	Dept:	\$8.99	Enter into construction contracts
	State:	\$6.70	
2560 Kenny Renovation	Dept:	\$0.86	Enter into construction contracts
OARDC - Piketon Training and Development Center	Dept:	\$0.60	Enter into construction contracts
	State:	\$0.90	
	Federal:	\$2.19	
Subtotal for Construction Contracts	Dept:	\$130.75	
	State:	\$7.60	
	Federal:	\$2.19	
<hr/>			
Total for all Contracts	Dept:	\$142.63 - \$142.73	
	State:	\$9.97 - \$10.08	
	Federal:	\$2.19	
Grand Total		\$154.79 - \$155.0	

BIOLOGICAL SCIENCES BUILDING 6TH FLOOR RENOVATION

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

College of Biological Sciences

How does this project advance the Academic Plan?

This project puts an emphasis on improving the research laboratories and support space to further the research development in the College of Biological Sciences.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

The project involves the renovation of 9220 ASF of laboratory spaces on the 6th Floor of the Biological Sciences Building to bring the current 30 + year labs up to current standards of research

Preliminary Cost Estimate:

\$1,070,000 – \$1,080,000

Proposed Funding Source:

Capital State Funds (HB675 Basic Renovation)

Outstanding Funding Issues:

none

Timing Issues:

Design Services Completion – August, 2004

Construction Completion – September 2005

“Ripple effects” of the project:

none

Special limitations/risks:

none.

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Biological Sciences Building 6th Floor Renovation

315-2003-942

Requesting Agency(s): BIOLOGICAL SCIENCES ADMINISTRATION

Location(s): BIOLOGICAL SCIENCES BUILDING

Gross Sq. Ft. 182,129 Age: 1970

Description: This project will involve the renovation of laboratory space on the 8th floor of the Biological Sciences Building.

Work includes: new lab equipment to include peninsula lab benches with sinks, fume hoods, plumbing, electrical upgrades, communication wiring, painting, asbestos removal and new lay-in ceiling with fluorescent lighting.

Project Team:

Facility Planner: Alex Cofield (cofield.3@osu.edu)
Project Captain: Steve Middleton (middleton.52@osu.edu)
Project Assistant: Steve Deedrick (deedrick.4@osu.edu)
Field Coordinator: Is Unassigned

Project Information:

Preliminary project estimate is \$1,070,000 - \$1,080,000

Table with 7 columns: Source of Funds, Original, Revised, Uses of Funds, As Designed, As Bid, Completion. Rows include HB675 Columbus Basic Renovation and Total.

Table with 4 columns: Schedule, Projected, Revised, Actual. Row includes Planning Program Approved by the University with date 11/15/2003.

Biological Sciences Building 6th Floor Renovation



Office of Business and Finance
Office of Facilities Planning and Development

September 13, 2003

HOPKINS HALL PHASE II OF THE CERAMICS RENOVATION

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

College of the Arts/ Department of Art

How does this project advance the Academic Plan?

The Ceramics program is highly ranked in the country but is operating in a building that is 1959 vintage with facilities which have little upgrades during its life time. This second phase of the project to renovate the Ceramics facilities will provide surrounds that are in keeping with the program being offered.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

The second phase of the renovation of the Ceramics facilities totalling 12,668 ASF/ 20,350 GSF in the lower level of Hopkins Hall.

Preliminary Cost Estimate:

\$1,300,000 - \$1,400,000

Proposed Funding Source:

Capital State Funds (HB675 Basic Renovation)

Outstanding Funding Issues:

none

Timing Issues:

Design Services Completion – April, 2004

Construction Completion – Phased with completion in September 2004 and December 2004

“Ripple effects” of the project:

none

Special limitations/risks:

The tight schedule indicates that any delay in the design services schedule could push the construction schedule into the summer and fall of 2005.

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): ARTS ADMINISTRATION

Location(s): HOPKINS HALL, JAMES R.

Gross Sq. Ft.108,554 Age: 1959

Description: The second phase of the renovation of the ceramics facilities in Hopkins Hall.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Steve Middleton
(middleton.52@osu.edu)

Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

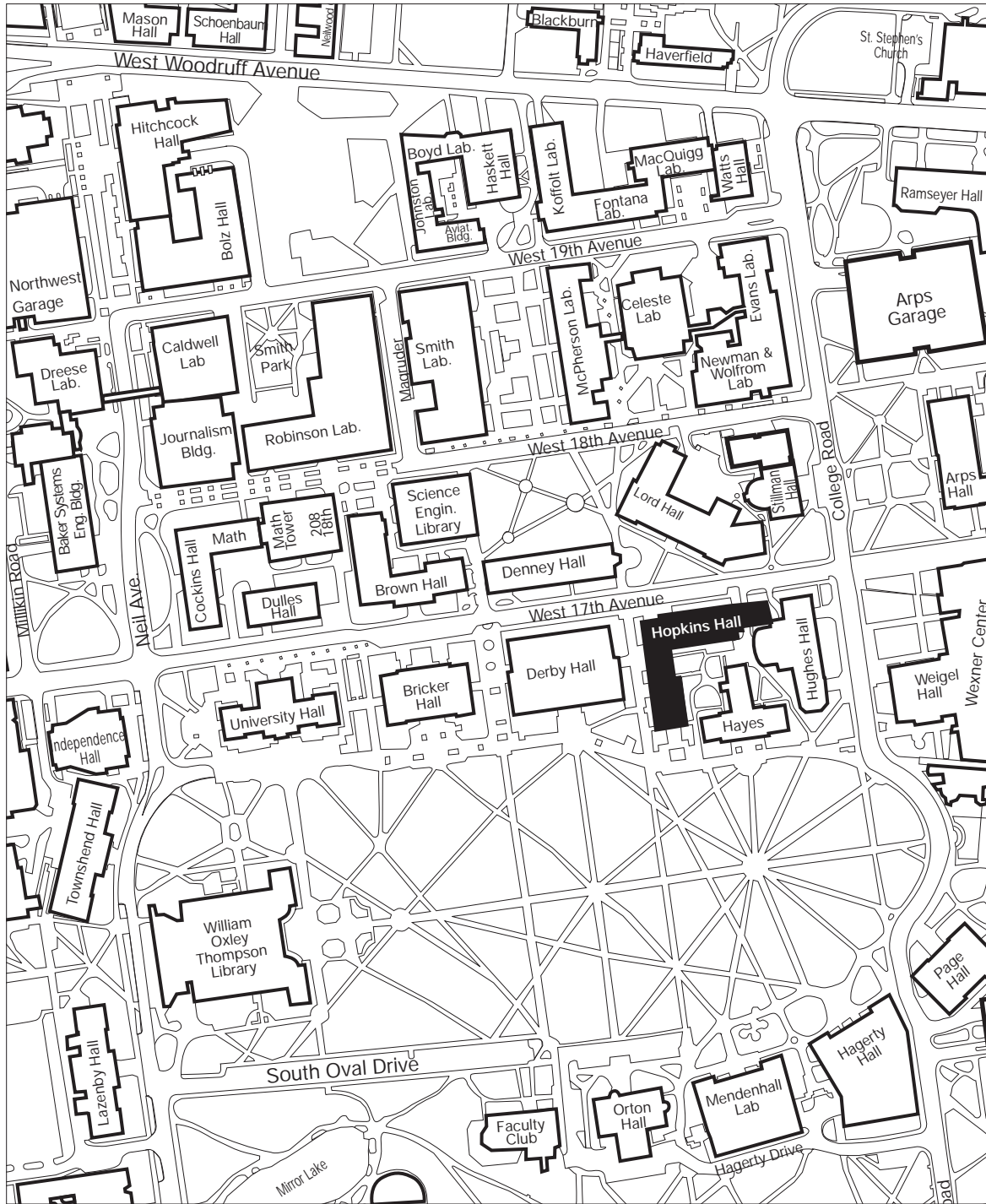
Field Coordinator: Is Unassigned

Project Information:

Preliminary project estimate is \$1,300,000 - \$1,400,000.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic	\$1,334,816.00	\$1,334,816.00				
Renovation			Total:			
Total:	\$1,334,816.00	\$1,334,816.00				

Hopkins Hall Pase II



Office of Business and Finance
Office of Facilities Planning and Development

September 13, 2003

McCRACKEN POWER PLANT CHILLER EXPANSION

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Office of Business and Finance

How does this project advance the Academic Plan?

Several new campus buildings, due to come on line in the next year or two (Physical Sciences, Knowlton School of Architecture, Larkins Hall Replacement, Robinson Lab Replacement) will all be connected to the McCracken Chilled Water Plant. Without adding more chiller capacity to McCracken we will not be able to handle these additional loads.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Two new 2,000 Ton Dual Compressor Electric Chillers will be added in the Power Plant by Summer of 2004 for a total of 4,000 additional tons. Work on this portion of the project is being designed In-House. Another 4,000 tons will be added in 2005 utilizing design services of an outside A/E firm. This a total of 8,000 tons of cooling will be added to the McCracjen Power Plant by this project.

Preliminary Cost Estimate:

\$8,000,000

Proposed Funding Source:

Future University bond proceeds

Outstanding Funding Issues:

none

Timing Issues:

Phase I - Design Services Completion – January, 2004

Phase I - Construction Completion – August, 2004

Phase II - Design Services Completion – October, 2004

Phase II - Construction Completion – August, 2005

“Ripple effects” of the project:

none

Special limitations/risks: N/A

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



McCracken Power Plant Chiller Expansion

5062-PF07268

Requesting Agency(s): BUSINESS & FINANCE, OFFICE OF

Location(s): MCCRACKEN POWER PLANT, WILLIAM C

Gross Sq. Ft.107,910 Age: 1918

Description: This project will add approximately 4,000 tons of chiller capacity to the McCracken central chilled water plant. The capacity is necessary to serve future buildings (Physical Sciences, Knowlton School of Arch, Mechanical Engineering, Psychology, Larkins Replacement) that are currently being designed to connect to the central plant. It will also allow other existing buildings to be connected to the central loop.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Terri Stankiewicz
(stankiewicz.2@osu.edu)

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

Field Coordinator: Is Unassigned

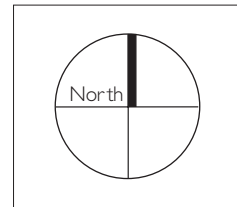
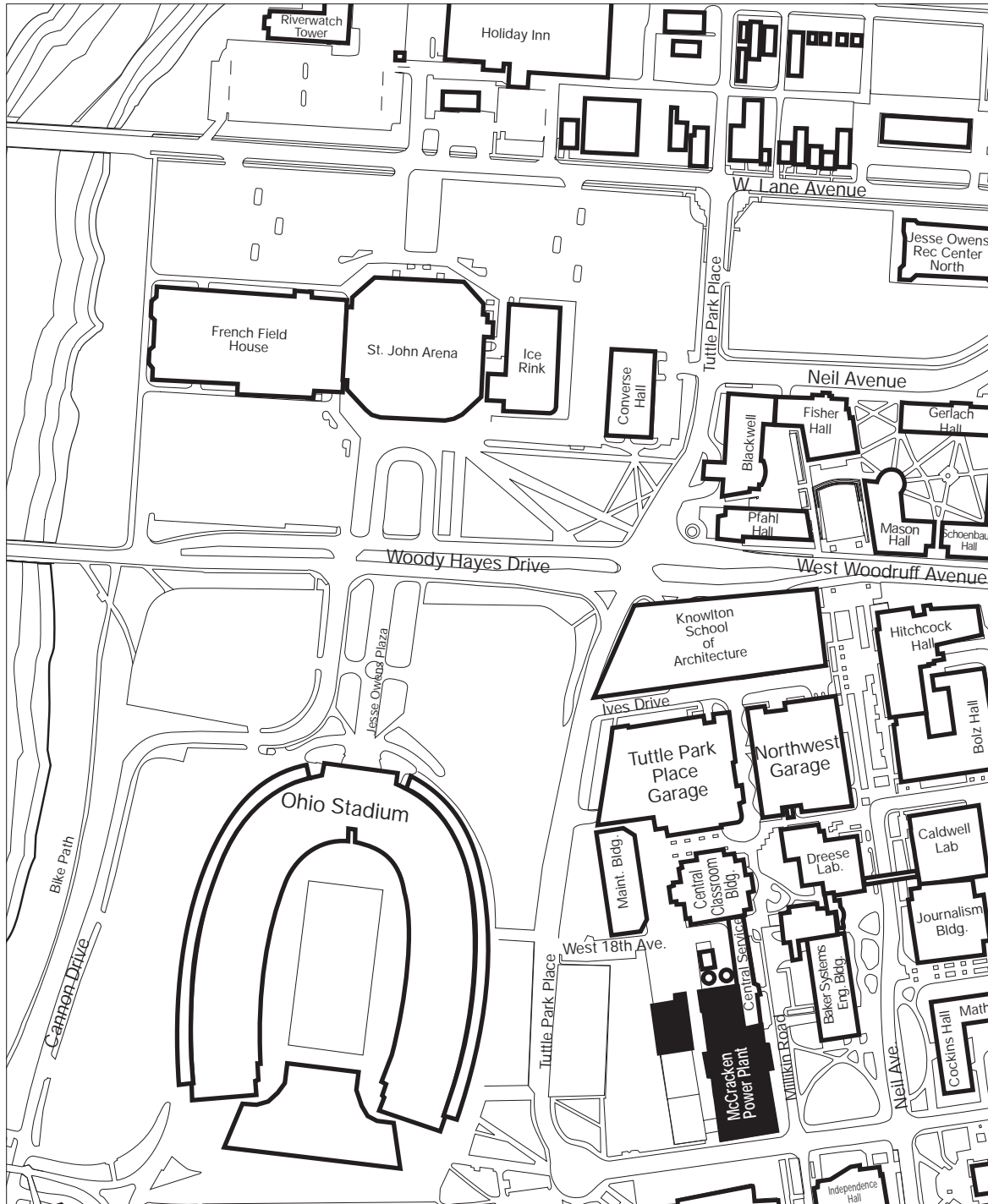
Project Information:

Bond-Funded Capital Request
Infrastructure 2003 - 2008

Ad for AE in 6/03 was for a \$4 million project. The other \$4 million is being designed in-house, for a total \$8 million project.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Future Univ. Bond	\$8,000,000.00	\$8,000,000.00	Total:			
Proceeds						
Total:	\$8,000,000.00	\$8,000,000.00				

McCracken Power Plant Chiller Expansion



Office of Business and Finance
Office of Facilities Planning and Development

September 13, 2003

McCRACKEN COAL BOILER REPAIR/REDESIGN

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Office of Business and Finance

How does this project advance the Academic Plan?

The Coal Boiler (Boiler #8) has had a checkered operating history with high associated maintenance costs and poor reliability. This project will repair/redesign major components of coal boiler to improve reliability and performance.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Repair/redesign of Natural Gas Burner, Coal Transport System, Baghouse Ash Handling System, Grate Systems and Superheater tubes.

Preliminary Cost Estimate:

\$3,000,000

Proposed Funding Source:

Future University bond proceeds

Outstanding Funding Issues:

none

Timing Issues:

Design Services Completion – October, 2004

Construction Completion – October, 2005

“Ripple effects” of the project:

none

Special limitations/risks:

N/A

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



McCracken Power Plant Coal Boiler Repairs

5062-PF07350

Requesting Agency(s): BUSINESS & FINANCE, OFFICE OF

Location(s): MCCRACKEN POWER PLANT, WILLIAM C

Gross Sq. Ft.107,910 Age: 1918

Description: This project will repair the superheater, make modifications to the coal transport system, replace the natural gas burner, revise the baghouse ash-handling system, and reroute the coal feed system. These repairs and modifications are needed to ensure the capability to burn coal, and improve reliabiity for the next 15 years.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Ramesh Bahl
(bahl.12@osu.edu)

Project Assistant: Is Unassigned

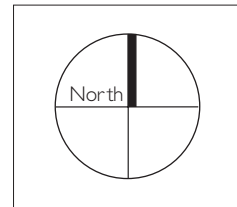
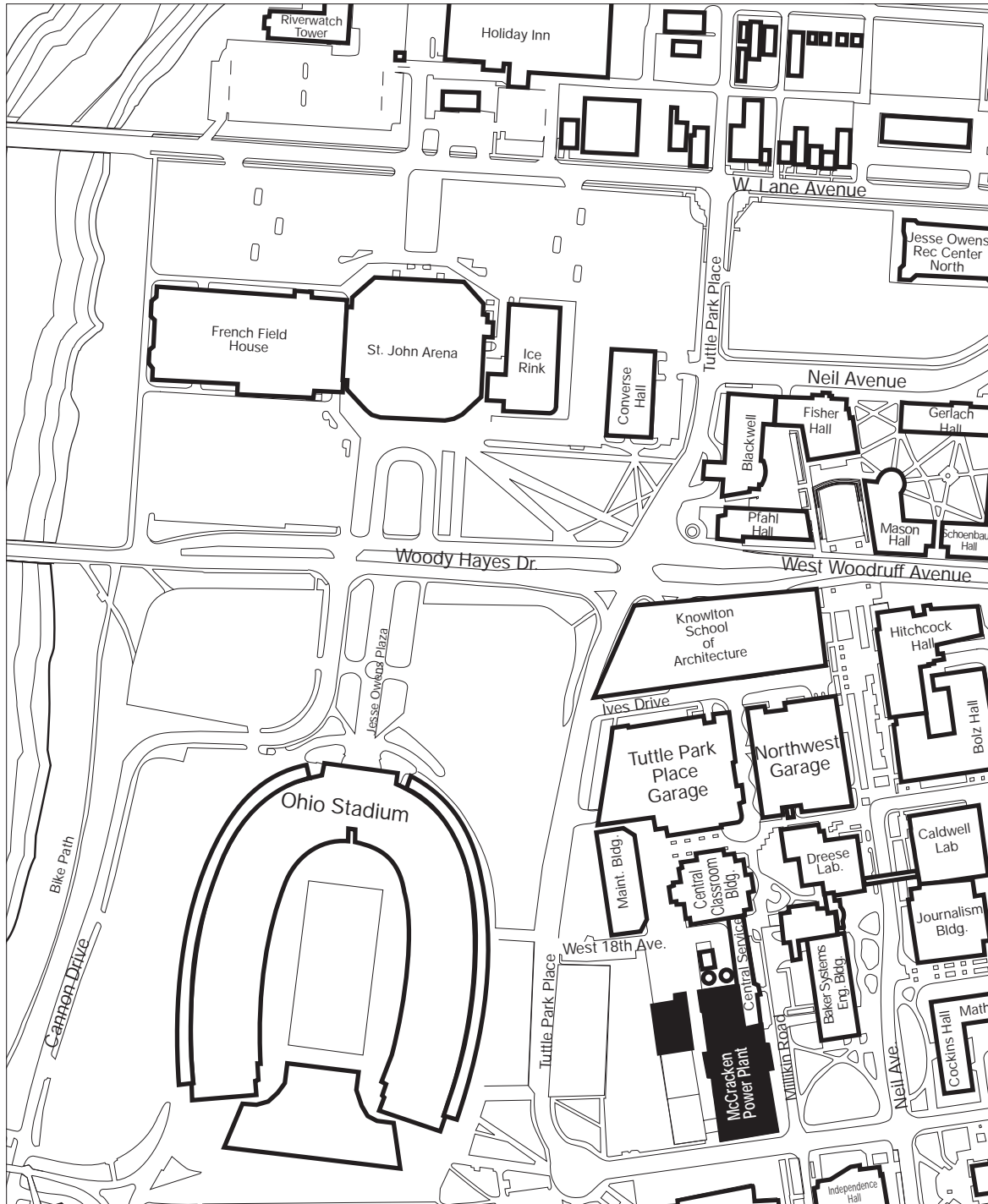
Field Coordinator: Is Unassigned

Project Information:

Bond-Funded Capital Request
Infrastructure 2003 - 2008

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Future Univ. Bond Proceeds	\$3,000,000.00	\$2,999,999.42	Total:			
Total:	\$3,000,000.00	\$2,999,999.42				

McCracken Power Plant Coal Boiler Repairs



Office of Business and Finance
Office of Facilities Planning and Development

September 13, 2003

OSU ICE RINK RENOVATION

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Department of Athletics

How does this project advance the Academic Plan?

The existing Ice Rink, which is used for Physical Education Classes, as well as the Women's Hockey Team, is in need of renovations to bring it back up to minimum standards.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

The project will replace the current leaky roof with a new roof for the entire building, up-grade the HVAC system to improve the temperature and humidity problems within the rink, add new building insulation with a light colored reflective surface for energy and illumination efficiency, replace the existing lighting with new lighting and replace the existing exterior spandrel panels with new, energy efficient panels.

Preliminary Cost Estimate:

\$ 876,349.00

Proposed Funding Source:

Athletics Reserve Fund

Outstanding Funding Issues:

none

Timing Issues:

The intent is to perform the renovations during the rink's off season, which would be after the hockey season and after the class needs are complete. This will be a summer project.

“Ripple effects” of the project:

Normally, there are "camps" during at least part of the period of time that the rink will be closed for construction. This will result in the cancellation of the camps or, more likely, the transfer of the camps to one of the other ice venues in Columbus.



Requesting Agency(s): ATHLETICS

Location(s): ICE RINK

Gross Sq. Ft. 33,845 Age: 1961

Description: Install a new roof, HVAC improvements, new building insulation with a light colored fabric (reflective) surface, new lighting in the rink area and new, insulated spandrel panels to replace the inefficient materials currently in place on the building's exterior.

Project Team:

Facility Planner: Is Unassigned
Project Captain: Thomas Heretta (heretta.1@osu.edu)
Project Assistant: Curt Handschug (handschug.1@osu.edu)
Field Coordinator: Is Unassigned

Project Information:

Preliminary project estimate is \$875,000 to \$975,000

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
			Total:			



Requesting Agency(s): MEDICINE AND PUBLIC HEALTH ADMIN

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: This project will construct a new Biomedical Research Facility for the College of Medicine and Public Health that will house seven major areas of biomedical research and up to 120 faculty and 400 additional researchers.

Project Team:

Facility Planner: Teresa Yu
(yu.195@osu.edu)
Project Captain: Thomas Heretta
(heretta.1@osu.edu)
Project Assistant: Andrea Thimmes
(thimmes.5@osu.edu)
Field Coordinator: Is Unassigned

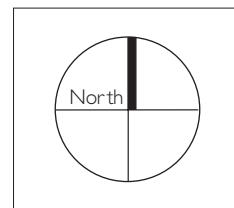
Project Information:

Site is the vacant lot east of the Biological Sciences building.
Original BoT approval is listed as \$139,514,414 but includes an amount for the Lab Animal Expansion, which was later separated and approved as a stand-alone. The Lab Animal Facility project has been separated into project 315-2002-038, and will be bid under separate contracts.
The actual budget/funding balance of \$121 million reflects the Biomedical Research Tower only.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Development-Research	\$0.00	\$984,488.00				
Future Univ. Bond	\$139,512,414.00	\$119,319,651.00	Total:			
Proceeds						
Total:	\$139,512,414.00	\$120,304,139.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$139,514,414 Project)	06/29/2001		06/29/2001
Constr Mgr Approved by B/T (\$139,514,414 Project)	06/29/2001		06/29/2001
Bidding Approved B/T	12/01/2003		
Design			
Arch/Engr Contract	12/31/2001	08/15/2002	08/13/2002
Schematic Design Approval	12/15/2002	01/31/2003	01/28/2003
Design Dev Document Approval	06/15/2003		05/20/2003
Construction Document Approval	12/31/2003		
Bidding			
Bid Opening	03/31/2004		
Construction			
Award of Contracts	06/01/2004		
Construction Start	06/15/2004		
Report of Award to B/T	07/01/2004		
Completion	06/30/2007		

Biomedical Research Tower



Office of Business and Finance
Office of Facilities Planning and Development

September 13, 2003



Requesting Agency(s): MEDICINE AND PUBLIC HEALTH ADMIN

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: This project will construct an addition to the existing Wiseman Hall of approximately 20,000 assignable square feet for the University Lab Animal Resources department (ULAR). This space will also connect to the Biomedical Research Facility project (315-1999-940).

Project Team:

Facility Planner: Is Unassigned

Project Captain: Thomas Heretta
(heretta.1@osu.edu)

Project Assistant: Andrea Thimmes
(thimmes.5@osu.edu)

Field Coordinator: Is Unassigned

Project Information:

The timeline for this project will be integrated with the Biomedical Research Facility (315-1999-940).

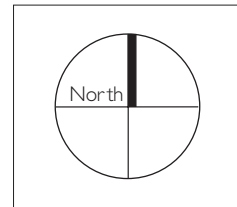
The Board of Trustees separately approved this project for design services in June, 2002.

Local administration granted by Department of Administrative Services and Ohio Board of Regents on April 30, 2002.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Future Univ. Bond	\$8,837,485.00	\$8,983,982.00	Total:			
Proceeds						
Future Capital	\$6,500,000.00	\$0.00				
Appropriations						
HB675 Line Item	\$0.00	\$6,700,000.00				
Total:	\$15,337,485.00	\$15,683,982.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$15,750,000 Project)	06/07/2002		06/07/2002
Constr Mgr Approved by B/T (\$15,750,000 Project)	06/07/2002		06/07/2002
Bidding Approved B/T	12/01/2003	12/29/2003	
Design			
Schematic Design Approval	12/15/2002	01/31/2003	01/28/2003
Arch/Engr Contract	10/01/2002	03/28/2003	03/24/2003
Design Dev Document Approval	06/15/2003	07/14/2003	05/20/2003
Construction Document Approval	12/31/2003	01/28/2004	
Bidding			
Bid Opening	03/31/2004	04/28/2004	
Construction			
Award of Contracts	06/01/2004	06/29/2004	
Construction Start	06/15/2004	07/13/2004	
Report of Award to B/T	07/01/2004	07/29/2004	
Completion	06/30/2007	07/27/2007	

Laboratory Animal Facilities



Office of Business and Finance
Office of Facilities Planning and Development

September 13, 2003



Requesting Agency(s): PHYSICAL FACILITIES

Location(s): LAUNDRY BUILDING

Gross Sq. Ft. 52,993 Age: 1968

Description: Renovation of the 2560 Kenny Road Building (the Laundry Building) as part of the Physical Facilities Shop Relocation.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Bob Wajnryb
(wajnryb.1@osu.edu)

Project Assistant: Is Unassigned

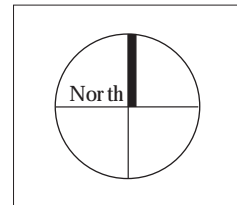
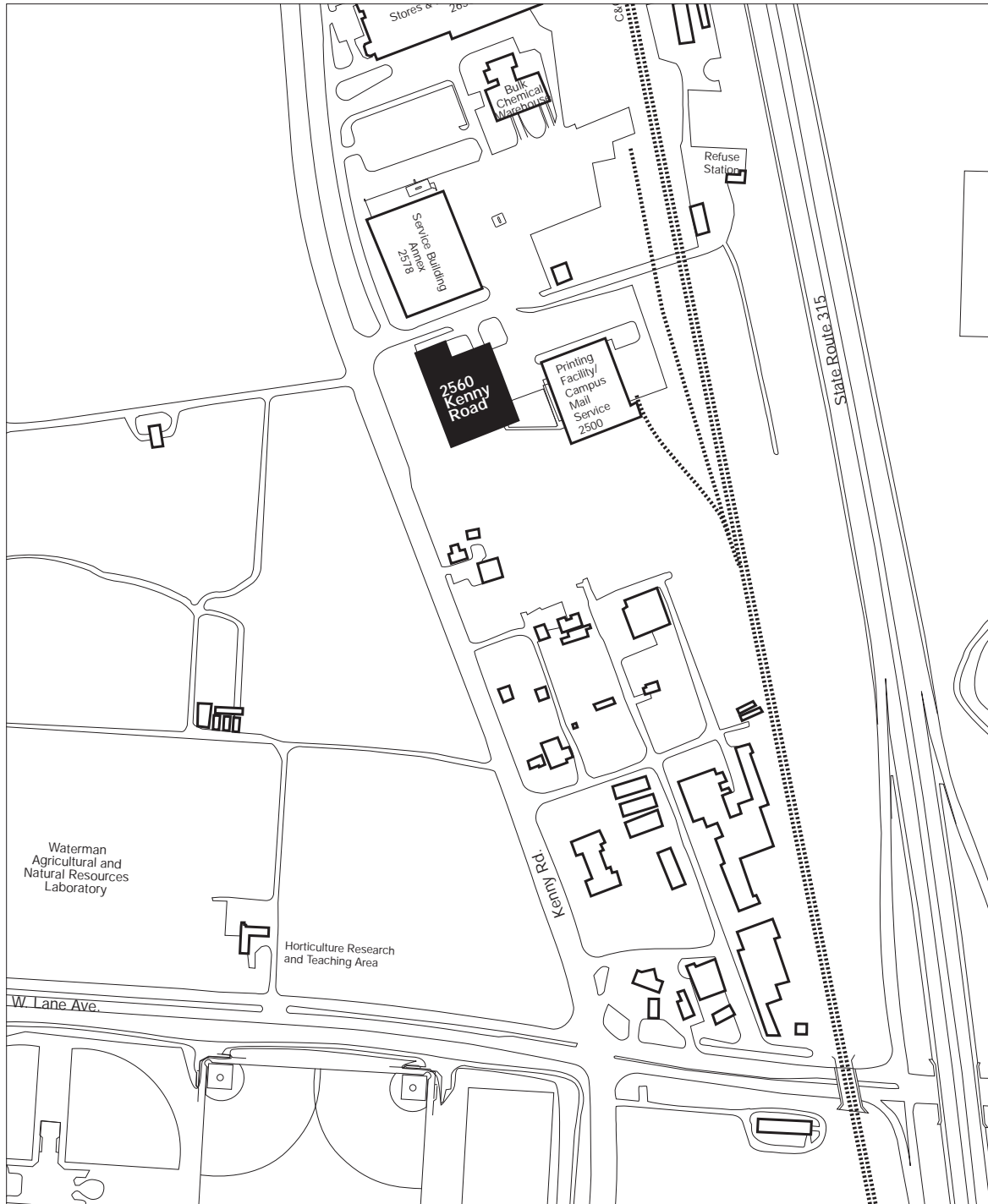
Field Coordinator: Is Unassigned

Project Information:

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Business & Admin	\$713,475.00	\$857,683.00	Design	\$104,450.00		\$0.00
			Contingency	\$68,476.00		\$0.00
			Construction	\$684,757.00		\$0.00
Total:	\$713,475.00	\$857,683.00	Total:	\$857,683.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
Bidding Approved B/T (\$863,475 Project)	04/04/2003	04/07/2003	04/04/2003
Design			
Construction Document Approval	03/24/2003	04/12/2003	04/12/2003
Bidding			
Bid Opening	05/19/2003	09/06/2003	
Construction			
Construction Start	07/21/2003	01/05/2004	
Report of Award to B/T	12/05/2003	05/24/2004	
Completion	12/29/2003	06/14/2004	

2560 Kenny Road Renovation



Office of Business and Finance
Office of Facilities Planning and Development

September 17, 2003



OARDC - Piketon Training and Development Center

315-2002-901

Requesting Agency(s): OHIO AGRIC RESEARCH & DEVELOPMENT CTR

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: Construct a new Multi-Purpose Building at Piketon for use by OARDC. New building will provide space for technology training and a business incubator center.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Steve Middleton
(middleton.52@osu.edu)

Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)

Field Coordinator: Is Unassigned

Project Information:

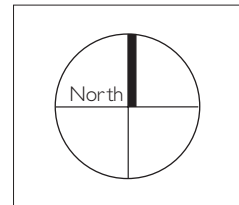
Site to be on the OARDC Piketon facilities.

Budget increased by \$600,000.00 to compensate for bid unfavorability.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
OARDC	\$0.00	\$600,000.00	Design	\$260,927.00		\$0.00
Future Capital	\$900,000.00	\$0.00	Equipment	\$63,926.00		\$0.00
Appropriations			Contingency	\$334,196.00		\$0.00
Grant-Economic	\$1,920,000.00	\$1,920,000.00	Construction	\$2,985,435.00		\$0.00
Development Admin			Local Administration	\$45,516.00		\$0.00
Grant-Appalachian Reg.	\$270,000.00	\$270,000.00	Total:	\$3,690,000.00		\$0.00
Commission						
HB675 Line Item	\$0.00	\$900,000.00				
Total:	\$3,090,000.00	\$3,690,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$3,090,000 Project)	02/01/2002		02/01/2002
Bidding Approved B/T (\$3,090,000 project)	02/07/2003	05/30/2003	05/30/2003
Design			
Arch/Engr Contract	07/30/2002	08/16/2002	08/15/2002
Schematic Design Approval	11/15/2002	12/20/2002	12/17/2002
Design Dev Document Approval	11/15/2002	12/20/2002	12/17/2002
Construction Document Approval	03/19/2003	08/07/2003	08/07/2003
Bidding			
Bid Opening	04/23/2003	10/08/2003	
Construction			
Award of Contracts	06/18/2003	02/12/2004	
Construction Start	07/07/2003	03/02/2004	
Report of Award to B/T	09/01/2003	04/27/2004	
Completion	06/07/2004	02/01/2005	

OARDC - Piketon Training and Development Center



Office of Business and Finance
Office of Facilities Planning and Development

May 10, 2003

**PURCHASE OF IMPROVED REAL PROPERTY
1365 AND 2131 SPRINGMILL STREET, MANSFIELD, OHIO**

Background

Location and Description

The property to be acquired consists of two adjoining parcels used as student housing for the Mansfield Campus and located adjacent to the Campus. The approximately ten-acre parcel at 1365 Springmill Street is owned and managed by Campus Village Apartments L.L.C. It consists of six, two-story apartment buildings with 50 units and 180 beds. The approximately six-acre parcel at 2131 Springmill Street is owned by Ernest D. and Sharon S. Schaefer (Mr. Schaefer is the President of Campus Village Apartments) and consists of a five-bedroom house also managed by Campus Village Apartments. With this acquisition, The Ohio State University - Mansfield becomes the second regional campus to adopt the University owned and managed approach to student housing. A similar purchase of a student housing facility at the Newark Campus occurred in June 2002. These actions follow the recommendations of the Report of the Presidential Commission on the Regional Campuses, adopted by the Board of Trustees in September 2002.

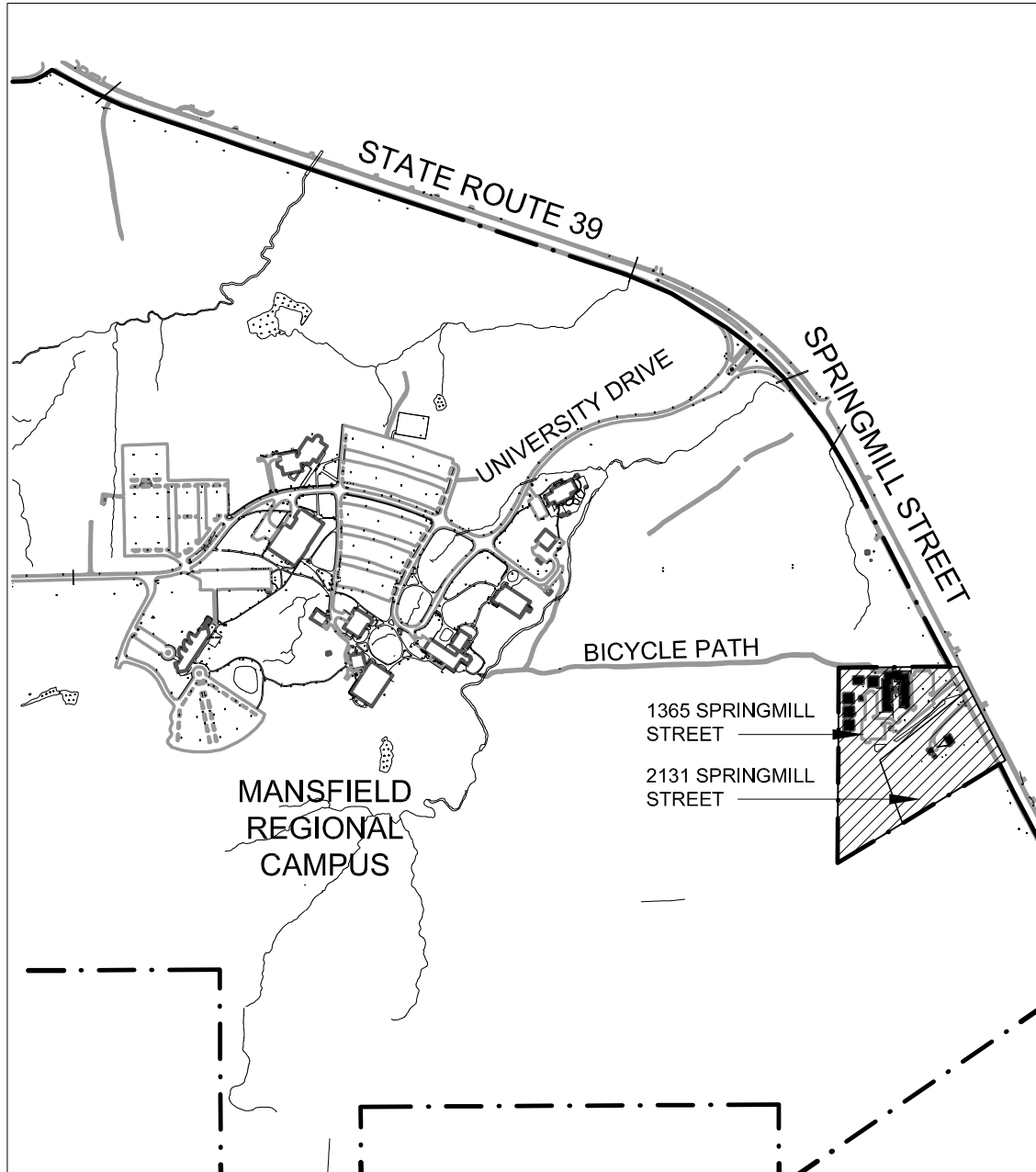
Appraisals and Purchase Price

The Seller has agreed to sell this property to the University for \$5,200,000. Costs of acquisition are estimated to be an additional \$85,000. An MAI appraisal by the William Fall Appraisal Group in August 2003 valued the two properties together at \$5,265,000. An MAI Appraisal by Integra Realty Resources in September 2003 estimated the two properties combined value to be \$5,100,000. Funds for the purchase will be provided from bond financing, with debt service paid by Student Affairs.

Use of the Property

Title will be taken in the name of the State of Ohio for the use of The Ohio State University. The property will be assigned to Student Affairs for use as student housing, with Student Affairs responsible for all acquisition, operating, and improvement costs.

PROPOSED PURCHASE OF IMPROVED REAL ESTATE CAMPUS VILLAGE APARTMENTS MANSFIELD, OHIO



No True Scale



Map Provided by University Engineer's Office

Office of Business and Finance
September 26, 2003

 Proposed Purchase of Property

 Ohio State University Property Line

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND NINETY-EIGHTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, November 7, 2003

The Board of Trustees met at its regular monthly meeting on Friday, November 7, 2003, at The Ohio State University Fisher College of Business, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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November 7, 2003 meeting, Board of Trustees

The Chairman, Mr. Sofia, called the meeting of the Board of Trustees to order on November 7, 2003, at 10:25 a.m. He requested the Secretary to call the roll.

Present: Zuheir Sofia, Chairman, Tami Longaberger, Daniel M. Slane, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Douglas G. Borrer, Walden W. O'Dell, Paula A. Habib, and Emily Quick.

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EXECUTIVE SESSION

Mr. Sofia:

At this time, I would like to entertain a motion to recess into Executive Session to consider a personnel matter.

Upon motion of Mr. Slane, seconded by Ms. Longaberger, the Board of Trustees adopted the foregoing motion with seven affirmative votes, cast by Messrs. Sofia, Slane, McFerson, Borrer, and Meses. Longaberger, Hendricks, and Davidson, and one abstention cast by Mr. O'Dell.

Mr. Sofia:

The meeting of the Board of Trustees will now reconvene.

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Present: Zuheir Sofia, Chairman, Tami Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Douglas G. Borrer, Walden W. O'Dell, Paula A. Habib, and Emily Quick.

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CHAIRMAN'S REPORT

Mr. Sofia:

As I am sure all of you are aware, the media have been closely examining fiscal matters at colleges and universities across the country, with particular attention going to the rate at which tuition has been increasing and the nature of financial aid. I know that we shall hear about some of these matters later this morning as we get a report detailing our Autumn Quarter enrollments. I would like to comment briefly on financial matters as they relate to The Ohio State University.

The Board of Trustees takes its fiduciary responsibility in this arena very seriously indeed. The Fiscal Affairs Committee of the Board works closely with President Holbrook and Senior Vice President for Business and Finance Bill Shkurti to plan and monitor the University's budget. We are constantly seeking ways to reduce expenses, improve productivity, and enhance revenue. In this context, decisions about tuition, which will be facing us again later this year, are weighed carefully, and we are particularly mindful about providing financial assistance to those most in need of aid. As I have stated before, we must continue to engage state leadership, including the governor's office and legislators, in the critical importance of higher education. We must continue to mobilize our constituents to ensure a stable level of state funding.

We have dedicated or broken ground on many new building projects in the past months, but I want to assure everyone that our Fiscal Affairs Committee is working in concert with the administration to streamline processes and is making

CHAIRMAN'S REPORT (contd)

Mr. Sofia: (contd)

every effort to bring projects in on time and on budget. We also have worked diligently on the capital projects process to ensure that the right projects are receiving the proper priority as we go forward. In all of this planning, we are mindful of the need to keep our debt capacity in check in order to maintain the University's high credit rating.

The Board of Trustees, and especially the Investments Committee of the Board, works closely with the University treasurer, Mr. Jim Nichols, to monitor the University's endowment. As I am sure some of you are aware, we are giving careful consideration to the matter of the proper payout level from the endowment of the University. The Board of Trustees, President Holbrook, Foundation Board members, deans, Senior Vice President for Business and Finance Bill Shkurti, and other University staff continue to review and consider carefully the best way to preserve the principal of the endowment while providing income to the colleges and units across this campus. It is expected that a final recommendation will be presented to the Board of Trustees in December.

In the past, I have made it clear on several occasions that the students are at the center of what we do. After all they are our primary customers. We are committed to providing our students with the very best educational opportunities, but we also promised to provide a safe, healthy and fun environment. On October 17 we delivered on that promise with the groundbreaking of the Gateway development project. We are confident that this project will have a great impact not just on Ohio State University, but also on the entire University community. Thank you.

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PRESIDENT'S REPORT

President Karen A. Holbrook: [Overhead Presentation]

I am going to talk about the outcome of a meeting that Provost Snyder and I attended that I thought was very important and something that was worthwhile to talk to all of you about.

As you know, we have an Academic Plan and it sets the strategies and goals that guide us where we are going in the University. One of those strategies that we talk about a great deal is the one that is to create a diverse University community. That is a goal that we have developed in the Diversity Action Plan. Many offices, many student activities, many specific organizations and centers, and all of our academic and administrative units work to assure that this University is indeed a place where race, gender, national origins, sexual preference, culture, religion, and life experiences of all people are respected, valued, welcomed, and supported.

The Council on Diversity was established three years ago to facilitate the implementation of the Diversity Plan and its charge includes the oversight of the annual plans of each academic and administrative unit that are submitted to the Council for review and recommendation. The Council assesses the progress made on the goals and then recommends improvements and enhancements.

The Council has just released its Diversity Plan Analysis for 2002-2003 and it is a very instructive and encouraging report. In it the Council has proposed a series of very important questions and I put them on the overhead to review. The Council asks:

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

- Has each unit developed a philosophy for diversity around which goals and actions are centered? In other words, have they focused on it and have they thought about it deeply, and how do they own this value?
- How do they move this value from an additive feature in the college to one that is truly transforming of all of our University missions?
- What features allow a unit to benchmark? In other words, can we actually do quantitative or qualitative measures, or how do we benchmark for our success in diversity in the same way that we do for programs and salaries?
- How can the units be held accountable for the actions and how broad are our responsibilities?
- And finally, what are some of the successes on the campus and how do we share them?

These are really the questions that I thought we would focus on today. When Barbara and I met with the Council we were very excited about the number and the range of activities that are ongoing to promote diversity. This is a very thick document and very worthwhile looking at if you have a chance. And what they have also done is put together an executive summary that focuses on what they have described as the "notable initiatives." These are so broad and extensive that I am not putting them up here to read them to you, but what I wanted you to see is what our campus overall has been thinking about as we are implementing the Diversity Action Plan. I would commend you to reading it, but let me just tell you the general categories:

- Recruitment, hiring, and retention is serious and a very large area.
- Curriculum. A wonderful book has been put together by the Multicultural Center, which talks about the minors and all of the courses that address cultural diversity. Again, another very important document to look at.
- Many things are done for undergraduate students, along with many outreach programs.

There are four of these and the point is not to go through them, but to show you that people are thinking about many things:

- What are we doing with all students with our multicultural activities?
- How are we providing professional support and development?
- What kind of awards are given for those units that are successful?
- How are we collaborating?
- How are we using language? The words we use say a lot about how we personally feel about the topic and what kinds of incentives do we put in place through various grants and administrative support.
- What organizations are put in place and who is hired to help us to do this?
- What kind of true research is going on to talk about or to look into issues of diversity?
- What kind of environment, what special programs, and what business practices do we have?
- How do we enhance communication on the topic?
- How are we using diversity in our performance reviews?

This is just a very brief summary of all of the very good ideas that were put in place.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

I want to highlight one and then talk about where we go with all of this, in spite of the fact or because of what we have. One particularly notable program that I thought was worth pointing out -- because I think it is different, quite unique, and very interesting for this campus -- is a Diversity Leadership Transcript Program. I do not know how many institutions have this, but I am very proud that we offer this program. It is a voluntary program for any undergraduate student who wants to do a concentration on diversity, on leadership, and on ethics by acquiring knowledge, skills, and values for effective leadership on campus, in society, and the global workplace.

Students take courses, they work in organizations and in the community. All of these activities are itemized on an official University transcript which is sent along with the academic transcript of the student as they go out to look for professional schools or jobs. I think this is a terrific credential and it is one that, in my view, puts our students at a competitive advantage.

Where are we? We have done this for three years and there is a lot to celebrate. It is very clear that we have a broad commitment to diversity and this is a very tangible goal and activity. The real issue we have to face is not just how much are we doing, or how innovative are our programs, but how successful are they in advancing our institution. We are doing good things; are we doing the right things? Are we making a difference both structurally and functionally? I will talk about the difference between structural and functional diversity very shortly.

We have three years of data that have been reported largely through a template for reporting. And while Barbara and I listened to all of this at the Council meeting, we also began to reflect on what are the next steps that we need to take besides just collecting data and putting out this compendium of information. What are we going to do with it? How are we going to rigorously assess it? How are we going to analyze what we are doing? Our sense was that now with this kind of data accumulated we probably need to get somebody to come in with fresh eyes to look at it and really give us some good assessment and advice of where we are going. The Council is now exploring this option.

We also need to separate the issues of structural and functional diversity, and I want to take a couple of minutes to talk about that. Structural diversity is what we are doing. It is reporting numbers of people, numbers of programs, numbers of staff recruited and retained, and other quantifiable measures. The functional diversity is really what is of concern. That targets the real issues of how supportive we are of women, minorities, GLBT individuals, and others whom one would not consider in the traditional mainstream. How do we get to know and understand each other? How we get to know and understand each other makes the world much smaller and changes all of our perspectives for life.

Functional diversity is much more difficult to measure. But in a way we do have a sense of what our progress is in functional diversity, not only from the reports of the Diversity Action Plan updates, but also from our faculty and staff work life studies, from the faculty cohort in the Women's Place, from our professional development efforts, and our other attitudinal surveys.

The most common denominator that comes out of all of these is the environment for people to work in. How do we work to develop an environment that is pervasively supportive of diversity? How do we ensure that such an environment does exist? What outcomes can we measure that will show improvement? We then return to ask, "How much of a core commitment is diversity to this campus?" "Where does it rank among our other priorities or is it a priority that should be in-

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

cluded as a priority of every single other priority we have?" "How committed are we to the benefits of living, learning, and working in a diverse environment?" "What is each one of us willing to do to assure that there are both institutional and personal commitments to diversity?"

We need to understand how diversity enhances our pedagogy, our athletics, our donor relations, our outreach activities, and our research success. I think we need to strike a balance between appropriate and excess support for those who are considered among diverse populations, and we need to be mindful that minority individuals can be exploited to serve the University at a time when they are working very hard to get their own careers established in a professional way.

Words are fine; we are going to keep talking about it, but action by example is what really counts. All of us must continue to ask these questions. They are hard questions, not because they are hard to do but because they are hard to get a sense of how we are going to really change the environment. We must be committed to working on this, not just the Diversity Council, not just the people who are charged with doing this on a regular basis, but, I think, for all of us. We need to find, we need to develop, we need to borrow, we need to adopt other good ideas to assure that this University is opening, welcoming, and it values and respects each individual who is part of our University family.

Led by Carole Anderson and chaired by Valerie Lee, and the many people who serve on it, the Diversity Council has done a wonderful job, but it really is our responsibility. I think we are going to look at the data where we are now and really work toward taking those data and seeing what we can do to make this a very supportive environment. It serves all of us very well.

Thank you very much.

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STUDENT RECOGNITION AWARD

Ms. Quick:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of that student's achievement in his or her area of study, service to the University and/or community, and/or research achievements that have been a credit to the college and the University.

This month's recipient is J. Briggs Cormier, who was nominated by Dean Karen Bell in the College of the Arts, Department of Theatre. J. Briggs Cormier came to The Ohio State University after receiving a bachelor's degree in international studies from Rhodes College and attaining a master's degree in theatre from the University of Memphis. Currently, he is a doctoral candidate in the theatre, Learning to Listen: The Collaboration and Art of the SITI Company.

While an OSU student, Briggs has had many diverse experiences. He has been an instructor and graduate teaching associate for nine courses, including both general education courses and classes in the major, and has worked in various capacities toward the production of 14 theatrical and dance performances.

Briggs has been a keynote speaker at the FTAD New Graduate Teaching Assistant Workshop, and a panelist for the Can We Talk? – Confronting Our Differences Together Symposium. He is in his fifth year serving on the Council of

STUDENT RECOGNITION AWARD (contd)

Ms. Quick: (contd)

Graduate Students, where he spent two consecutive years as treasurer, followed by two terms as president.

Today, Briggs is accompanied by Karen Bell, dean of the College of the Arts, and Dr. Lesley Ferris, chair of the Department of Theatre, as well as Brigg's advisor.

Briggs has accomplished so many things since he has begun at Ohio State, many beyond those I have mentioned. I am very proud to be able to present to the Board of Trustees a student as dedicated to the University as Briggs. The Board appreciates the ability to meet students, especially those who show exactly how bright and committed OSU students are. Briggs truly exemplifies what it means to be a Buckeye. Congratulations on receiving this award.

Mr. J. Briggs Cormier:

Thank you, Mr. Chairman and the Board. In the four years that I have served on CGS, no student government president has ever received the Student Recognition Award. So this is a first, at least historically, while I have been here.

You already know many of the things that I have cared passionately about. Karen Holbrook spoke earlier this morning about diversity and Susan Huntington just spoke about graduate education and the G-QUE report, so I am not going to go into any of that. I would like to commend Dr. Holbrook for mentioning the Diversity Leadership Transcript Program, which I have been involved in since the beginning. We are actually doing a pilot right now for graduate and professional students to participate in the program who wish to bolster their transcript.

I would like to tell you a little bit about why the College of the Arts has been a really great thing for me. I actually chose to come to Ohio State because of my advisor, Lesley Ferris. I studied with her at the University of Memphis and when she moved here, I graduated and I moved here, too. It is cold in Columbus, but I have suffered through it.

The Wexner Center for the Arts is one of the best things we have at Ohio State and in the City of Columbus. Without the Wexner Center, I would not be able to do my research. The company that I am writing about comes to the Wexner Center almost every year. They will, in fact, be here this spring opening a new show. Their company's name is the SITI Company. It used to stand for the Saratoga International Theatre Institute, but they just want to be an acronym now. They are a really exciting company that creates new work based on movement vocabularies that come out of modern dance. This is part of what I find exciting about Ohio State. I have been able to study dance and theatre, build up a movement training system, and do this lovely cross-disciplinary work. Not everybody has such an opportunity.

I have also been able to take this movement work and work with ACCAD, which is our Advanced Computing Center for Art and Design. If you go to their web page -- and if I take a moment later on today, I will actually zip the web address to Maureen -- we have what is called the "virtual theatre." You can actually sit down at your computer and pull up a mock version of our Bowen Theatre, pick a setting, adjust the lights, take an actor, and move the actor around -- and when you move the actor around, you are using me. I spent four hours in the motion capture lab in a suit doing all these different things so that we could have this virtual theatre.

STUDENT RECOGNITION AWARD (contd)

Mr. Cormier: (contd)

These are things that you cannot find in very many places in this country. It is cutting-edge, groundbreaking research and I would not have been able to do it if I had not been here. I have to thank my advisor, Lesley Ferris, and my dean, Karen Bell, because they support cross-disciplinary research and cross-disciplinary activity. It has been a true joy collaborating with dancers on some of their productions.

It is my hope that as the new Arts and Sciences Federation gets going that there will be even more opportunities like this for students, not just in the arts but in the arts and the humanities and the arts and the sciences, because this is where we need to go. So, Mike, make it happen.

Finally, we just opened a wonderful show this Wednesday called, The Rimers of Eldritch by Lanford Wilson. We received a rave review in *The Dispatch*, which is a very, very rare thing if any of you pay attention. Mike Grossberg likes very little things, particularly things we do. So, if you have a chance, go see it.

I thank you, again, very much for this honor to talk to you today a little bit about what I do that is not the stuff you normally see me at Board meetings for. Thank you.

Mr. Sofia:

Congratulations, again, Briggs, and good luck.

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**PRESENTATION ON ADMISSIONS, STUDENT PROFILE, GRADUATION RATES,
RETENTION FOR UNDERGRADUATES AND GRADUATE STUDENTS**

Dr. Mabel G. Freeman: [PowerPoint Presentation]

Good morning. Martha Garland is not able to be here this morning because she is at the Major Honors Day at the Ohio Union, where both Dr. Holbrook and I had been earlier this morning, talking to prospective honors students and their parents.

As we talk about undergraduate admissions we talk about that as a University-wide effort. The first thing I would note is that truly the class of 2003, just like any other undergraduate class, gets here because of faculty and staff from the Office of Student Affairs, the Office of Minority Affairs, and the University Honors and Scholars Center. It takes a University-wide effort.

It also takes wonderful staff in the Office of Undergraduate Admissions and First Year Experience, and I have with me two colleagues from our office who will be helping on our part of the program. To my left is Allen Kraus, senior assistant director for Marketing and Communications, and to my right is Jefferson Blackburn-Smith, senior associate director for Strategic Planning. Jefferson has gone the extra mile in that he has provided his daughter, Rosemary, to the freshman class of 2003. Rosemary is one of our National Merit Scholars, so we appreciate his extra effort.

The Board members have been given a packet of material, but we also have a PowerPoint presentation and we will begin with Jefferson.

**PRESENTATION ON ADMISSIONS, STUDENT PROFILE, GRADUATION RATES,
RETENTION FOR UNDERGRADUATES AND GRADUATE STUDENTS (contd)**

Mr. Jefferson Blackburn-Smith:

Thank you. Good morning. The first slide that you are going to see shows a little of the workload involved in actually recruiting the class of 2003. You will notice that we start with over 100,000 prospective students. These are students that we have identified as having the academic qualities that we are looking for in our student body. We contact these students and begin communication with them about the opportunities that exist for them at Ohio State University.

We actually begin communicating with students during their sophomore year in high school and, again, during their junior year. Our last group of students are being identified this month based on the standardized tests that they are taking.

Each year over 80,000 students inquire about enrolling at the University to make up our freshman class of just under 6,000 students. You will notice that we get right around 20,000 applications every year. About a quarter of all the students that inquire actually follow through and apply, and we admit almost 72 percent of the students that apply for admission.

One of the things that I would like to point out is we look at the number of students who paid their acceptance fee. We had a significant increase this year in the number of students that chose to pay an acceptance fee and enroll. We actually admitted only a few more than the year before and yet got several hundred more students to enroll. The bulk of that increase is made up of the highest level students -- almost all in honors level students -- which was a significant development. All of that works to get to our total enrollment of 6,258 students.

One of the exciting things about this year's class that you will note is 63 percent of our new freshman came in already with college credit through AP courses, IB courses, and post-secondary options within Ohio. As we look at what is going on in Ohio and the Ohio high schools this year, you will notice 120,000 students graduated within the State of Ohio. Only about 60 percent of those students, or a little bit more than 60 percent, actually take an ACT test or an SAT test and are considered college-bound students.

Ohio's average ACT is slightly higher than the national average -- it is 21.4. We really dominate the marketplace in Ohio. Over 60 percent of the students in Ohio who score a 24 or higher inquire about enrolling at Ohio State University. Of those students that inquire, over 60 percent of them apply. So we really have done a fabulous job of getting the message out to high ability students in the State of Ohio about the opportunities that exist for them at Ohio State University.

We also do an awful lot of evaluation of what are the factors involved in the decision-making process. Each year we do telephone surveys with almost all of our admitted students and compile the results to find out why they come, why they do not come, and where else they are looking. Our study this year has over 4,000 students whom we admitted to the University, but who chose to go to another institution. And we look at the top three reasons broken out by academic ability, again as qualified on the ACT score. The >29 students are going to be honors level students, 26-28 are the students that would be eligible to participate in our scholars programs, and then the 24-25 are core students.

You will notice the number one reason students chose another school was scholarship or financial aid. The second reason is major programs or specialized study programs at the school that they are selecting. Normally that is the number one reason that students choose another school.

**PRESENTATION ON ADMISSIONS, STUDENT PROFILE, GRADUATION RATES,
RETENTION FOR UNDERGRADUATES AND GRADUATE STUDENTS (contd)**

Mr. Blackburn-Smith: (contd)

Some of you may be surprised – why are the lower-ability kids selecting “major” as the highest reason for choosing a school? Part of that is not about the quality of the program, but whether or not the student thinks they are going to be able to get into the program at Ohio State University. So we frequently see students with lower ability picking a school where they feel they are going to be able to get into the program of study that they like.

We also then look at who are our top competitors within the State of Ohio. It should come as no surprise to anyone that Miami, Ohio University, and the University of Cincinnati are consistently at the top of the list. Four of our top 10 competitors are out-of-state institutions.

Again as you look at the breakdown by ability level, the ACT score at the top, Miami University attracts the highest number of honors level students that were also admitted to our University, but even more, those core students who are the scholars-level students. With Ohio University the competition is more in our core students, our good solid students, but not the honors level students. You will note that the out-of-state students are the University of Purdue, the University of Michigan, Penn State, and Indiana.

Dr. Freeman:

As we look at the class that arrived a little over a month and a half ago – beyond the fact that it is one of the largest classes we have had in awhile because of that yield factor Jefferson talked about -- it is the brightest class. We are in the process of preparing a piece of information that we will be sending out to the campus about this class, not only on the data shown but a few other pieces of information.

The average ACT of this class is 25.4; last year we were at 25.2. The SAT combined score is 1176. Particularly interesting to us are the number of students coming out of their high schools in the top 10 percent and the top 25 percent of their class. You can see that we have continued to increase the number of top students in University Scholars and we have more students of color in the freshman class this year than in previous years. We have stayed about the same as far as in-state/out-of-state percentages – a little bit of a drop this year. Part of that is reflective of the economy and we know that.

Particularly exciting is that last year’s freshman class came back for their second year at an all-time record rate of 87.7 percent retention. That is just fantastic and is a tribute to the entire University. To give you an idea about the change in that, in 1995 the retention rate was 79 percent. So in eight years that retention figure has gone up and you know what that means as to where it is going.

A couple of other pieces of information about this class is: over 37 percent of the freshman have participated in state music contests while they were in high school; over 22 percent of our freshman won awards for community service in high school; over 58 percent earned varsity letters while in high school; over 14 percent won prizes for scientific study; and over 35 percent held elected office while they were in high school. I am not sure what that means for our political futures, but it could be good. Interestingly, 72 percent of these freshman worked while they were in high school and this freshman class represents all 88 counties of Ohio, 42 states, and 27 countries.

**PRESENTATION ON ADMISSIONS, STUDENT PROFILE, GRADUATION RATES,
RETENTION FOR UNDERGRADUATES AND GRADUATE STUDENTS (contd)**

Dr. Freeman: (contd)

We mentioned that this is a University-wide effort and we are getting feedback from faculty who say they can tell the difference in all of their classes, not just their honors classes. We appreciate that because we value their involvement. As I mentioned, Martha Garland is at Honors Day right now and there are 10 of our top faculty over there teaching classes to the students to give them an idea of what their particular subject areas are about. That is a tremendous help to us as we recruit students.

We know that our own students become our best advocates for bringing in the next class and our current students who are coming back in record numbers are telling students about what is going on, particularly, in their first year. That begins with the traditions and the ceremony of the President's Convocation. This fall, we had the Columbus Symphony on campus and it was a concert just on behalf of first-year students. It was outstanding, even though we had to have the concert inside rather than outside as had been planned. We also had two national authors this fall; a program earlier this week with the John Glenn Institute, featuring Senator Glenn; and a night at the Columbus Art Museum that was a big success during Parent's Weekend.

These are things that our students are talking about to the next group of students who are coming. The greatest success out of all of this has to do not only with the retention, but this year's six-year graduation rate, which has jumped up to 62 percent. We know that that is going to continue to go up when we have first to second year retention of the kind we are experiencing.

Mr. Allen D. Kraus:

As Jefferson mentioned, we start recruiting a class when those students are high school sophomores. So long before the class that we have been describing here enrolled, we had already started to recruit the class of 2004. I want to try and summarize for you some of our recruitment efforts and the things that we do to attract students.

This fall we will have roughly 75 recruitment events; many of those are on campus like the Honors Day that Mabel mentioned earlier. Many are in locations across the state and some are out of state. We get out a lot and attract a lot of people to campus. We will host more than 10,000 visitors over the course of the year and the Student Visitor Center is very busy hosting students and families.

One of the things that distinguishes our recruitment efforts from a lot of the competition that Jefferson was describing earlier is that we have a very extensive telecounseling or telemarketing program. We will complete phone calls with 50,000 students or parents or both over the course of a recruitment cycle. That is really one of the distinguishing traits of our recruitment efforts. It is tremendously surprising for students to hear on the phone from fellow students -- our telecounselors are enrolled students. To have that personal contact is something that really helps us have the results that we do.

We will put a lot of publications and letters in the mail -- more than 180,000 pieces and this is really a fairly conservative estimate. But we do a lot of mailing ranging from the first contact search mailings that Jefferson mentioned earlier, to invitations to the events that I described, along with newsletters and postcards. Many of those things are in the folder that I gave you and I'm going to walk you through some of those shortly.

**PRESENTATION ON ADMISSIONS, STUDENT PROFILE, GRADUATION RATES,
RETENTION FOR UNDERGRADUATES AND GRADUATE STUDENTS (contd)**

Mr. Kraus: (contd)

We will also do a great deal of e-mailing. We will do somewhere in the neighborhood of 500,000 e-mails this year. About two-thirds of the students who are prospective students will give us e-mail addresses and express an interest in being communicated with via e-mail. That is a very highly segmented list where we will e-mail people based on their academic or co-curricular interests.

A couple of other things you should be aware of is we are going to be reviewing applications very closely this year; our process will be more personalized than ever. Most of those 20,000 applications that we described will be read, many of them by Mabel and Jefferson, and by my colleagues in admissions. In all of these processes, recruitment and admission, we work very closely with our colleagues on the regional campuses.

On the right side of your folder you can see some of the recruitment materials that we use in the process of attracting students. We have in the very back a publication that is of an overview. There is also a publication in there specifically about regional campuses and about our honors and scholars programs. Each of you will find a different sample postcard in your folders about the different colleges at Ohio State.

This is a small sample of what we do. We work on these publications in collaboration with colleagues in the Office of University Marketing and Communications. David Hoover and his staff are our team members in producing these and in many of our recruitment communications efforts.

Dr. Freeman:

Thank you for helping us bring in the class. With all that you are doing for Ohio State University, it makes it a lot easier to talk about it to the next class.

Mr. McFerson:

Congratulations on a great class, that is wonderful – the best class ever, as you said. I'm wondering what kinds of comments you heard from prospective students or parents with regard to tuition increases, because we have taken larger than normal increases, as has everyone else, of course, in the past few years?

Dr. Freeman:

We were testing that throughout the year and they are very conscious of that. It is interesting, the population that we are particularly attracting now is used to paying higher amounts at many of the private schools that they are considering. And that has still been a benefit for us. The fact that we are still in the middle in the State of Ohio, as Jefferson noted, our top three in-state competitors are Miami, Ohio University, and Cincinnati. They all have significantly higher tuition than us, so that is a benefit still for us.

The fact that the regional campuses provide a different alternative and scholarship and financial aid is given to the most needy students -- which are often students who are not necessarily looking at the other private schools -- also has helped us. So, in some ways, we hear about it, but the alternatives that they are also considering are giving them bigger price tags than Ohio State.

**PRESENTATION ON ADMISSIONS, STUDENT PROFILE, GRADUATION RATES,
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Mr. McFerson:

Would it be fair to say that we probably did not lose any kids that we really wanted as a result of tuition, because we had the financial aid and scholarship money available?

Dr. Freeman:

Certainly it is possible to think we might have lost a couple, but we really were not having students change their minds because of that.

Mr. McFerson:

Good, thank you.

Ms. Davidson:

Dr. Freeman, I understand now in our application prospective students are asked obviously to give their first choice of which campus they want to be on, so if they are not admitted to the main campus, they have another choice of a regional campus. Can you tell us what is happening or how many people apply that do not make it into the main campus and are now taking the option? Are you tracking those numbers?

Dr. Freeman:

Yes, we are. Last year we put a question on the application to give an alternate choice campus and the alternate choice could have been Columbus if their first choice was a regional. Although since the regionals are open admission that usually is working the other way.

Last year, 800-900 students gave us an alternate choice campus. About half of those students were admitted to the Columbus campus so it became a moot point. Of those that were not, they were immediately commended on coming to The Ohio State University and the regional campus was identified. I think the figures were about half of those then are attending the regional campuses. We had a number of students though who start and they applied first to the regional, and we have a number who did not put an alternate down who now are attending the regional this year.

It is interesting -- in September we had a welcome event for students who were either changing from a regional or transferring to the Columbus campus. I went up to the first young man and introduced myself and he said, "Oh, you are the woman who did not let me in here a year ago." I said, "How have you been doing?" He said, "I went to Columbus State for a year and now I am here and am excited to be here." I said, "Great." I moved on to the next student who said, "Oh, you're the person who said I couldn't come here last year." Instead, this student went to the Mansfield campus. He said, "You know I had a good year last year and now I am here." The point of having these other options is working. My reputation is not doing well, but it is working.

Ms. Quick:

How did the Supreme Court case change your admissions process?

**PRESENTATION ON ADMISSIONS, STUDENT PROFILE, GRADUATION RATES,
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Dr. Freeman:

You had an opportunity last month to hear from Martha Garland and Stephanie Sanders about how we have changed our admissions process to make sure we are compliant with the Supreme Court. We have appreciated the guidance of Ginny Trethewey and John Reilly, who have been working with us this summer. We have gone to an individualized review process -- a full-folder review. We have had to add additional reviewers to our staff -- two full-time staff members and 10 part-time outside reviewers. We have changed what the court called a mechanical way of identifying race as far as how you value it in the process.

We continue to ask for race on the application. It is a voluntary part of the application and over 95 percent of our students applying to Ohio State volunteer that information. We are allowed to use that information in an admissions decision and we do. It cannot be the compelling reason and that is the Court's point. We have enhanced the application by asking more short answer questions, getting more information about school and community activities, if they are first generation college bound, etc. This gives us more to consider. We are hopeful of bringing in as diverse a class as ever, but it is a whole new way of doing business and so we will see.

We have also increased the recruitment efforts. The Court did not limit what you could do as far as targeted minority recruitment and so we are doing that to try and offset some of this change.

Ms. Hendricks:

Looking at the slide on Ohio State's top competitors, what is the percentage? It is a percentage of –

Mr. Blackburn-Smith:

Of the students that we spoke with – the 4,000 students in the "Will Not Enter Study" – almost eight percent of them told us that their first choice school was Miami University. We do not know that they actually ended up at Miami, we do not know if they were admissible to Miami, but when we spoke with them, that was the other school that they were looking at.

Ms. Hendricks:

I see. And another question is do you know the ACT scores of Miami, Ohio University, and the University of Cincinnati on their entering classes and how they compare to ours?

Dr. Freeman:

We are behind Miami and ahead of OU and Cincinnati, but – this is where I make my point – we have the entire freshman class of Miami at Ohio State University. We have better ones and some that are weaker, but we have that whole profile. As we have in the past, we can say the same thing this year about the Northwestern freshman class. It is a small group, we can match that profile, but we also have more.

Ms. Hendricks:

Interesting.

**PRESENTATION ON ADMISSIONS, STUDENT PROFILE, GRADUATION RATES,
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Dr. Freeman:

Right.

Mr. Sofia:

Thank you Mabel, we really appreciate it. Susan, would you like to begin your presentation?

Dean Susan L. Huntington: [PowerPoint Presentation]

Thank you for allowing graduate education to be a topic on the agenda today. Graduate education operates quite differently than undergraduate education, which is highly decentralized in graduate education with the specific programs being the ones who actually do the admissions. For my report this morning, I have pulled together some general background information on graduate education.

Ohio State has about 100 doctoral and 125 master's programs. However, as you may know, our professional programs like veterinary medicine, medicine, dentistry, law, and so on are housed not in the Graduate School, but in their respective colleges.

Graduate education takes place primarily on the Columbus campus with very little occurring on the regional campuses. Those on the regional campuses are master's programs and professional training, for example, teachers who are continuing their studies.

We are overwhelmed by a very large undergraduate population at Ohio State, but our population of graduate students is still very significant. This year we approach almost 10,000 graduate students that comprise about 20 percent of our student population. And because they are paid at a higher rate by the state, the subsidy is almost 40 percent in terms of what we bring in for graduate students.

This slide gives you the demographics of the population of graduate students in the fall of 2003. We have nearly 10,000 students. You can see that graduate education primarily is where we will see a lot of students coming into our programs from out-of-state. And this is good news, not bad news. Because the intent of our programs is to have such national and international renown that people from anywhere who want the best education will come here.

Our United States students at the master's level is higher, as might be expected, because, again, we often serve a more local audience just as is true on the undergraduate level. For doctoral students, we find that we have a high percentage of not only out-of-state, but international students.

The demographics of our graduate students is an area where we need to continue to do better. Despite the fact that the actual numbers of some of these populations are low -- and they represent small percentages within our own graduate population -- we actually do very well against other institutions nationally. For many years, Ohio State was the number one institution in awarding doctorates to African-Americans, in terms of non-minority institutions. For example, Howard University is always above us. We have dropped so that we are now in the top two or three. I think this is because other institutions have also been very aggressive, but this is definitely a point of pride for Ohio State.

**PRESENTATION ON ADMISSIONS, STUDENT PROFILE, GRADUATION RATES,
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Mr. McFerson:

On that slide it shows 6,500 students, but you said you had 10,000 students.

Dean Huntington:

Some may not be actually enrolled right now; just about 7,000 that are here, but it may come from the alternative –

Dr. Jack Rall:

Twenty-eight percent are international students.

Interim Provost Snyder:

It does not include international students.

Dean Huntington:

That is exactly right – no international students are included in the demographics. Thank you very much. I am sorry I did not catch that. We are only looking at the profile of the U.S. students.

Mr. McFerson:

But by including the international students that would change the diversity numbers dramatically.

Dean Huntington:

Correct. We have about 28 percent of our students who are, in fact, international.

Ms. Longaberger:

There is a reason you did not include them. How does that work?

Interim Provost Snyder:

For purposes of counting diversity, that does not count. If somebody comes from another country, we wouldn't count them as African-American, or if they are not a United States citizen.

Ms. Longaberger:

Right. That is what I wanted to know. That answers Dimon's question.

Dean Huntington:

But diversity is obviously much broader. When we have people from many countries it increases the diversity of our campus, but the kind of diversity that we are really trying to craft relates to the U.S.

You can see that at the master's level we bring in many students from the state. On the doctoral level, very few of our students have actually done their undergraduate degrees in Ohio. Again, this is good news. If you are doing doctoral education, which is highly specialized and requires the absolute best

**PRESENTATION ON ADMISSIONS, STUDENT PROFILE, GRADUATION RATES,
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Dean Huntington: (contd)

faculty that we can bring in, the best students from all over the country will want to apply to us. The undergraduate institutions again, only 31 percent of our doctoral students did an undergraduate degree in Ohio.

The point that I want to make here is that many of our graduate students come to us with already high qualifications in their field, having already been working in their discipline. This gives them a greater maturity and experience.

Very high percentages of our students are enrolled full-time. At the master's level we have many people who are working in business who might be earning an M.B.A., social workers who are earning their degree, and teachers who are earning a degree as well, so the numbers are not so high. But what we find on our doctoral level is that this is not a part-time activity. This is something that requires great intensity and this is one reason that we also need to be so careful about the way we support our graduate students financially, because this is what they are doing for the years that they are here.

We hear a lot about graduate teaching associates and sometimes we get the impression that they are doing all of the teaching for the University at the undergraduate level. But, in fact, not all of our students actually have the teaching experience. This is something that we would like to improve because, philosophically, many of us believe that the teaching experience is something that really helps the student in terms of their grasp of their subject matter and in their communications skills.

This is really wonderful information. A survey done a few months ago shows the very high research productivity of our graduate students with the respondents indicating that very significant numbers of them have already been participating in research activities in their discipline.

Eighty-two percent of the master's and nearly 90 percent of our doctoral students find a job immediately following graduation in their discipline. This is wonderful, because very often it takes a year or two before the individual actually settles into what might be the more long-term career.

We admit about 25 percent of the students that apply. Some of our individual programs are so selective that they are admitting only 7, 8, or 9 percent of the students that are applying to them. Our actual yield is about 3,500 students. So of about 5,000 graduate students who are admitted, about 3,500 actually enroll.

Who are our competitors as far as other institutions for graduate studies? You will notice on this slide that the long bar at the bottom is Michigan. The next one up is Purdue, Illinois, Cincinnati, Cornell, and Case Western, and so on. What we find is that the students who apply to Ohio State for graduate school are by and large interested in a large state institution. Our main competitors are not Harvard, MIT, and some of those institutions. I think this is also very revealing information for us because it shows where we really need to put our efforts.

The highest reasons that our students apply to Ohio State -- and these data were collected from a survey of students who did not matriculate at Ohio State, but had been admitted here -- are the reputation in our fields of discipline and overall academic reputation. Again, that is wonderful news. These are precisely the students that we want to have apply. At the same time, the students who chose other institutions chose institutions that were perceived to be academically stronger. This means that we must continue on the path to better ourselves

**PRESENTATION ON ADMISSIONS, STUDENT PROFILE, GRADUATION RATES,
RETENTION FOR UNDERGRADUATES AND GRADUATE STUDENTS (contd)**

Dean Huntington: (contd)

academically. Do everything that we need to support the academic program if we are to succeed and continue to have a selective student body on the graduate level.

In the G-QUE study we collected data from deans and department chairs. We found that against all of their other priorities, deans rated graduate education as their highest priority and the same was true for department chairs. So, again, this is something that certainly the college deans and the department chairs see as not only central to their mission, but perhaps the key ingredient.

We are doing many things to improve graduate education. As I have already mentioned, one thing we have to do is to make sure that our academic programs are on track because that is the reason that our students apply here. But we also need to do things to make sure that we have a hospitable environment in which these students can flourish. Many of you heard of the G-QUE study. This was done several years ago to try to find out where we were in terms of providing the outside of the classroom experience, the living situation, and so on for our students.

We have had a steering committee for two years and very aggressively moving ahead on many of the recommendations. In the G-QUE study we found that barely over 60 percent of our graduate teaching associates were going into the classroom with any prior training. The Office of Academic Affairs has recently mandated that every GTA have training prior to going into the classroom. I am pleased to say that when we had the orientation for GTAs this fall, the enrollment was the highest it had ever been and departments also created training series for their students. This is something that we should be very proud of and this is something that not only benefits the GTAs themselves, as part of their professional training, but will have a major impact on improving our undergraduate education.

President Holbrook recognizes the importance of interdisciplinary work in particular for our graduate students. In her leadership agenda, she has identified funds – and we are really thrilled about these funds – to create some initiative to help foster interdisciplinary work for graduate and professional students.

One of the things we need to keep in mind when we talk about graduate education is that, to a large extent, the rankings of institutions are based on our graduate programs as well as our professional programs – medicine, law, and things of that sort. So it is absolutely critical, if this institution is going to get where it wants to go in terms of national reputation, that we pay attention to our graduate programs.

When we work on improving graduate education, both academically and in terms of quality of life issues, we have many things that we can accomplish, not only at our own institution but as you can see through better service to the state and the nation. If we are graduating students in fields like engineering and these engineering students ultimately contribute to our economy, technology, and the technological infrastructure of our state or the nation, then, of course, this is absolutely wonderful.

We must remember graduate students are the future leaders of their disciplines. What we are doing when we are teaching students at the graduate level, particularly students who are getting a Ph.D. degree, we are training the next generation of leaders in every field, from A to Z, from the arts to zoology, to all of

**PRESENTATION ON ADMISSIONS, STUDENT PROFILE, GRADUATION RATES,
RETENTION FOR UNDERGRADUATES AND GRADUATE STUDENTS (contd)**

Dean Huntington: (contd)

the fields in between. This is a responsibility that I think we and all institutions that do graduate education have to take seriously. We also need to remember that graduate students play a very powerful role, particularly in the teaching and research mission of our University. I showed you some data that reflected that.

Again, to repeat, I believe that to continue on our institutional path to excellence we must compete for and attract the best students and, I think, we are on the way to doing that and need to continue to do more.

Mr. Sofia:

Susan, thank you. Any questions for Susan? Susan, very good report. We believe that graduate students are very important and appreciate the job you do.

Dean Huntington:

Thank you.

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CONSENT AGENDA

President Karen A. Holbrook:

We have twelve resolutions on the Consent Agenda today and unless there are any objections, I would like to recommend them to the Board:

APPOINTMENTS TO OSU HARDING HOSPITAL BOARD

Resolution No. 2004-50

Synopsis: Approval of appointments to the OSU Harding Hospital Board is proposed.

WHEREAS the Board of Trustees on December 6, 2001, approved the establishment of an OSU & Harding Hospital Board; and

WHEREAS the membership of the Hospitals Board was approved on April 4, 1980, and has been subsequently amended to include membership on specialized boards; and

WHEREAS all members of a specialized board shall be appointed by The Ohio State University board of trustees in consultation with the vice president for health services, the senior vice president for health sciences, and the president of the university:

NOW THEREFORE

BE IT RESOLVED, That the following individuals be appointed as citizen members of the OSU Harding Hospital Board effective December 1, 2003:

Dr. Richard Harding (3-year term)
Dr. Sul R. Thorward (3-year term)

**AMENDMENTS TO THE *MEDICAL STAFF BYLAWS*
OF THE OHIO STATE UNIVERSITY HOSPITALS EAST**

Resolution No. 2004-51

Synopsis: The amendments to the *Bylaws of the Medical Staff* of The Ohio State University Hospitals East are recommended for approval.

WHEREAS The Ohio State University Hospitals Board pursuant to bylaw 3335-101-04 of the Hospitals Board Bylaws is authorized to recommend to the Board of Trustees the adoption of amendments to the *Medical Staff Bylaws* of The Ohio State University Hospitals East; and

WHEREAS the proposed amendments to the *Medical Staff Bylaws* of The Ohio State University Hospitals East were approved by the University Hospitals Board on September 25, 2003, as attached:

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Bylaws of the Medical Staff* of The Ohio State University Hospitals East be adopted as recommended and approved September 25, 2003, by the University Hospitals Board.

(See Appendix XVIII for amendments to the *Bylaws of the Medical Staff* of The Ohio State University Hospitals East, page 511.)

ESTABLISHMENT OF A MASTER OF THE STUDY OF LAW DEGREE PROGRAM

Resolution No. 2004-52

Synopsis: Establishment of a Master of the Study of Law degree program is proposed.

WHEREAS the only degree program offered by the Moritz College of Law is a three-year, full-time program leading to a Juris Doctor (J.D.) degree; and

WHEREAS not all students interested in learning about the law plan to practice law or to engage in careers for which a law degree is necessary, and scholars in other disciplines find that knowledge of the law is important to their research; and

WHEREAS the study of law continues to become more interdisciplinary with the resolution of legal issues benefiting from the insights of other disciplines, and for a wide range of other disciplines a knowledge of legal issues contributes to the understanding and resolution of a range of societal issues; and

WHEREAS the Master of the Study of Law degree would be a non-professional degree that would provide an education in legal principles and methodology for students with no previous legal training and would enhance their work, as scholars in other disciplines, that require or would benefit from knowledge of the legal system; and

WHEREAS the proposal was discussed and approved by the Research and Graduate Council, the Council on Academic Affairs subcommittee, the full Council on Academic Affairs, and was approved by the University Senate at its October 9, 2003 meeting:

NOW THEREFORE

BE IT RESOLVED, That the proposal to establish a Master of the Study of Law degree program is hereby approved to be effective upon the approval of the Ohio Board of Regents.

RESOLUTIONS IN MEMORIAM

Resolution No. 2004-53

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

John P. Beckwith

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 23, 2003, of John P. Beckwith, Associate Professor Emeritus in the College of Dentistry (Restorative Dentistry).

A 1946 graduate of The Ohio State University College of Dentistry, Dr. Beckwith passed away in Worthington, Ohio, after a short illness. He was a native of Lancaster, Ohio. Following his graduation, he entered into private practice in Columbus that was interrupted by two years of service in the United States Air Force. During his practice years, he also served as a part-time instructor and later as a part-time assistant professor at the College.

In 1954, he joined the part-time faculty as an assistant professor of operative dentistry and, in 1962, he retired from practice and taught full-time in the College. Two years later, he was promoted to the rank of associate professor and in 1969 was appointed head of operative dentistry and continued in that office until 1983. In the spring of 1985, Professor Beckwith retired with the rank of associate professor emeritus. Dr. Beckwith was noted for his innovations in teaching, especially for his live-television presentations of restorative procedures.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. John P. Beckwith its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Byron E. Gamble

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 11, 2003, of Byron E. Gamble, Assistant Professor Emeritus of the Ohio State University Extension.

Mr. Gamble was born February 19, 1912, in Van Wert County, Ohio. He completed his Bachelor of Science degree in 1933 in agricultural education from The Ohio State University and began his Extension career in Ohio in 1945 as the assistant county agriculture agent in Trumbull County. In 1946 he became the county agriculture agent in Seneca County and held this position until his retirement in 1973.

Mr. Gamble's contributions in providing Extension educational programs during his career proved that he was a truly dedicated teacher for Extension. He was a member of the National Association of County Agricultural Agents and received the USDA Distinguished Service Award in 1960. Byron Gamble was instrumental in developing several new agricultural programs for the citizens of Seneca County during his more than 26-year career there. He served as president of the Ohio Extension Agents Association in 1973. During his Extension career he was well known for his weekly radio broadcasts and monthly telecasts.

On behalf of the University community, the Board of Trustees expresses to the family of Byron E. Gamble its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

RESOLUTIONS IN MEMORIAM (contd)

Austin E. Knowlton

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 25, 2003, of Austin E. "Dutch" Knowlton (B.Arch.E., 1931).

After graduating in 1931, Mr. Knowlton, who received a bachelor of architectural engineering degree from The Ohio State University, joined his father's construction company, The Knowlton Company. Through activities in real estate development, facility construction, and leaseback arrangements, The Knowlton Company made a significant impact on the quality of the built environment, especially in the State of Ohio.

Mr. Knowlton developed the innovative strategy of constructing campus buildings for lease in Ohio and other states. The Knowlton Company built and leased over 40 dormitories and, in one year, completed facilities for more than 3,400 students.

From the 1940s through the 1970s, the Knowlton Company built extensively at The Ohio State University, as well as at other Ohio universities. The Knowlton Company's major projects at Ohio State include: the Fawcett Center for Tomorrow, the College of Dentistry laboratories, Hitchcock Hall, Houck House, Jones Graduate Tower, the School of Allied Medical Professions, the Wilce Student Health Center, Drake Union, Larkins Hall, Rhodes Hall and, most recently, the Dreese Laboratory addition.

Through the years, Mr. Knowlton's quiet philanthropy made a great impact on education. In addition to his tremendous generosity to the Knowlton School, he was instrumental in building a library in memory of his parents in his hometown of Bellefontaine, Ohio. The Ohio State University awarded Mr. Knowlton an honorary doctorate of architecture in 1995.

Austin Knowlton made one of the single largest donations in the University's history for the construction of the new School of Architecture building. The new building, which is currently under construction, will house all offices and facilities for the architecture, landscape architecture, and city and regional planning sections in the School. In 1994, the University named the newly to be constructed School of Architecture building and the School of Architecture academic unit in his honor.

In addition to his honorary doctorate of architecture, Mr. Knowlton was a Distinguished Alumnus of the School of Architecture, a life-long member of the School of Architecture Alumni Society, a member of the OSU Alumni Association, a member of the School's Advisory Board, Honorary Chair of the current Knowlton School Campaign Committee, and a charter member of the OSU President's Council. He was also a member of the Alpha Rho Chi professional fraternity. The Ohio State University Alumni Association awarded Mr. Knowlton its Professional Achievement Award in 1994.

On behalf of the University community, the Board of Trustees expresses to the family of Mr. Austin E. Knowlton its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

W. Wayne Talarzyk

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 12, 2003, of Dr. William Wayne Talarzyk, Professor Emeritus in the Department of Marketing and Logistics (formerly the Department of Marketing).

Born in Evansville, Indiana, on May 4, 1940, Dr. Talarzyk attended Purdue University where he received his B.S. degree in electrical engineering (1962), his M.S. degree in industrial administration (1966), and his Ph.D. degree in industrial administration, marketing, and finance

RESOLUTIONS IN MEMORIAM (contd)

W. Wayne Talarzyk (contd)

(1969). He was the recipient of several awards and fellowships while at Purdue and was designated a Krannert Scholar in 1966.

Dr. Talarzyk came to The Ohio State University in 1969 and served as professor of marketing, department chair, and program chair of undergraduate business programs before retiring in 1995. He received many honors over the course of his career, being selected Dean's Research Professor in 1977, Outstanding Teacher in the College of Administrative Science (now The Max M. Fisher College of Business) 1976-1977, Marketing Professor of the Year in 1978, and the College of Business Pace Setter Service Award winner in 1988. Dr. Talarzyk was named chair of the Department of Marketing in 1980 and served in that capacity for two four-year terms. His accomplishments as chair were recognized in 1988 when he was nominated by the College for the newly established Chairperson's Recognition Award. He began a three-year term as program chair of undergraduate programs in business in 1991 during which he worked to develop new courses, expand the honors program, and implement new procedures for enrollment management.

In addition to his administrative duties, Professor Talarzyk maintained an active teaching, research, and service load; served on numerous university-wide committees; maintained memberships in The American Marketing Association and the Association for Consumer Research; and was on the editorial review board for the Journal of Marketing Education. He published numerous journal articles, books, monographs, and professional manuals.

Dr. Talarzyk's primary areas of interest in research and teaching were initially managerial marketing, promotional strategy, and consumer attitudes. In the early 1980s he began to explore new technologies and their impact on marketing and the retail sector. He soon gained the reputation of being one of a handful of marketing scholars knowledgeable about the significant changes beginning to occur in the field with the advent of telecommunications, online consumer services, home computers, etc.

He remained active professionally after his retirement from OSU and received the 1998 Distinguished Marketing Researcher in Australia and New Zealand award given by the Australian-New Zealand Marketing Academy. To the end, he was deeply engaged with his family, church, and community.

On behalf of the University community, the Board of Trustees expresses to the family of Professor William Wayne Talarzyk its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2004-54

Synopsis: The report on research and other sponsored program contracts and grants and the summary for September 2003 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

REPORT OF RESEARCH CONTRACTS AND GRANTS (contd)

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of September 2003 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2004-55

Synopsis: The report on the receipt of gifts and the summary for September 2003 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of ten (10) new named endowed funds and the amendment of two (2) endowed chairs and four (4) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of September 2003 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July through September
2003 Compared to 2002

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through September		
	<u>2003</u>	<u>2002</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$5,049,041	\$6,870,104	(27)
Alumni (From Bequests)	<u>3,500,239</u>	<u>1,236,831</u>	183
Alumni Total	\$8,549,280	\$8,106,935	5
Non-Alumni (Current Giving)	\$9,404,032	\$3,493,171	169
Non-Alumni (From Bequests)	<u>409,263</u>	<u>2,881,248</u>	(86)
Non-Alumni Total	\$9,813,295	\$6,374,419	54
Individual Total	\$18,362,575	\$14,481,354	27 ^A
Corporations/Corp/Foundations	\$7,386,527	\$9,220,240	(20) ^B
Private Foundations	\$1,995,009	\$1,693,173	18 ^C
Associations and Other Organizations	<u>\$1,927,519</u>	<u>\$912,434</u>	111 ^D
Total	\$29,671,630	\$26,307,201	13

NOTES

- A Individual giving is up 27% largely due to the fact that gifts of \$10,000 or more are up 34% (111 gifts for \$11.2 million last year; 115 gifts for \$15.0 million this year).
- B Corporate giving at the \$10,000 or more level is down 20% for the first quarter of the fiscal year (\$5.8 million from 123 gifts this year; \$7.6 million from 172 gifts last year).
- C Foundation giving at the \$10,000 level is up 13% (\$1.7 million this year; \$1.5 million last year)
- D Gifts at the \$10,000 or more level from other organizations or associations are up 169% for July-September compared with the same period last year (27 gifts for \$1.5 million this year; 21 gifts for \$571,215 last year).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July from September
2003 Compared to 2002

GIFT RECEIPTS BY PURPOSE

	Dollars July through September		
	<u>2003</u>	<u>2002</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$12,603,845	\$3,789,595	233
Faculty Support	\$899,473	\$2,873,538	(69)
Program Support	\$10,028,203	\$12,427,903	(19)
Student Financial Aid	\$2,315,222	\$5,403,620	(57)
Annual Funds-Colleges/Departments	\$1,242,881	\$1,691,914	(27)
Annual Funds-University	<u>\$2,582,006</u>	<u>\$120,631</u>	2,040
Total	\$29,671,630	\$26,307,201	13

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through September

<u>2003</u>	<u>2002</u>	<u>% Change</u>
\$3,557,425	\$8,363,947	(57)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Change in Description of Named Endowed Chairs</u>			
The Esther Dardinger Endowed Chair in Neuro-Oncology			
The Dardinger Family Endowed Chair in Oncological Neurosurgery			
<u>Establishment of Named Endowed Funds</u>			
Dr. Kate Riffie Fund for Human Value (Used to provide support to programs dedicated to the welfare of student athletes and the staff of the Student Athlete Support Services office; provided by gifts from a friend of the OSU)		\$100,000.00	\$100,000.00
The River Road Hotel Corporation Endowment Fund for Cancer Research (Used to support cancer research; provided by a gift from River Road Hotel Corporation of Columbus, Ohio)		\$25,000.00	\$25,000.00
The Jerry J. Halterman Endowment Fund at Ohio State ATI (Used to strengthen academic programs, support faculty development, and enrich the education of students at the OSU ATI; provided by gifts from family and friends of Jerry J. Halterman)		\$16,838.00	\$16,838.00

Change in Name and Description of Named Endowed Funds

From: The Martha Brian Fellowship in Journalism
 To: The Martha Brian Fellowship Fund in Journalism

From: Edgar W. Ingram Minority Assistance Scholarship Fund
 To: The Edgar W. Ingram Scholarship Fund

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Ethel Roe Caulkins and Earl F. Caulkins Scholarship Fund (Used to provide undergraduate and graduate scholarships; provided by a gift from the estate of Mildred Caulkins Urban)		\$250,065.00	\$250,065.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Ray A. and Linda S. Miller Alpha Zeta Partners Leadership Fund (Used to support undergraduate student leadership development activities associated with the Alpha Zeta Partners Leadership Program in the College of Food, Agricultural, and Environmental Sciences; provided by gifts from Raymond A. Miller, Linda S. Miller, their family, friends, and colleagues, and alumni of the College)		\$25,464.00	\$25,464.00
The Ronald S. Erkis Family Athletic Scholarship Fund (Used to provide scholarships for undergraduate student athlete members of the women's basketball team; provided by gifts from Dr. Ronald S. and Joyce L. Erkis)		\$25,167.00	\$25,167.00
Michael A. Bricker, DVM Equine Scholarship Fund (Used to support third- or fourth-year students planning to pursue a practice emphasizing equine medicine; provided by gifts from Karen Mico Bricker, family, and friends in memory of Michael A. Bricker)		\$25,000.00	\$25,000.00
The Andy Hague Endowed Scholarship Fund (Used to support a scholarship in the College of Veterinary Medicine; provided by gifts from Mr. and Mrs. Bill Hague)		\$25,000.00	\$25,000.00
The Margaret F. McDonald Scholarship Fund in Agricultural Economics (Used to support scholarships for students in the Department of Agricultural Economics; provided by gifts from Albert B. Fisher III and Kathleen C. Fisher in memory of Dr. Margaret F. McDonald)		\$25,000.00	\$25,000.00
The William J. and Lois E. Robison Endowment Fund (Used to support human cancer genetics research; provided by a gift from William J. and Lois E. Robison)		\$25,000.00	\$25,000.00

Change in Name and Description of Named Endowed Funds

From: The Dr. Harry F. Bartels Veterinary Practice Management Fund
 To: The Dr. Harry F. and Eltha J. Bartels Veterinary Practice Management Fund

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Name and Description of Named Endowed Funds (contd)

From: Fredrick Ives Chair's Scholarship Fund
To: Frederick W. Ives Chair's Scholarship Fund

Total	\$542,534.00	\$542,534.00
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THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Change in Description of Named Endowed Chairs

The Esther Dardinger Endowed Chair in Neuro-Oncology

The Esther Dardinger Endowed Chair in Neuro-Oncology at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established September 5, 2003, by the Board of Trustees of The Ohio State University. This chair has been established by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute in memory of the late Esther Dardinger of Johnstown, Ohio. The description was revised on November 7, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the salary and cancer research of a faculty member dedicated to research and treatment in the area of neuro-oncology in the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

The Dardinger Family Endowed Chair in Oncological Neurosurgery

The Dardinger Family Endowed Chair in Oncological Neurosurgery at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established September 5, 2003 by the Board of Trustees of The Ohio State University. This chair has been established by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute in honor of the family of Esther and Robert Dardinger of Johnstown, Ohio. The description was revised on November 7, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the salary and cancer research of a faculty member who is a neurosurgeon dedicated to research and treatment in the area of neuro-oncology in the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Description of Named Endowed Chairs (contd)

The Dardinger Family Endowed Chair in Oncological Neurosurgery (contd)

Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

Establishment of Named Endowed Funds

Dr. Kate Riffie Fund for Human Value

The Dr. Kate Riffie Fund for Human Value was established on November 7, 2003, by the Board of Trustees of The Ohio State University with gifts from a friend of The Ohio State University.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The fund is based on the philosophy that every human has value and it is critical to address the holistic development of each individual associated with the Student Athlete Support Services program.

The annual income shall be used at the discretion of the director of Student Athlete Support Services to provide financial support to programs dedicated to the welfare of student athletes and the staff of the Student Athlete Support Services office.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of Athletics in order to carry out the desire of the donor.

\$100,000.00

The River Road Hotel Corporation Endowment Fund for Cancer Research

The River Road Hotel Corporation Endowment Fund for Cancer Research at The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established on November 7, 2003, by the Board of Trustees of The Ohio State University with a gift from River Road Hotel Corporation of Columbus, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The River Road Hotel Corporation Endowment Fund for Cancer Research (contd)

The annual income shall be used to support cancer research at The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute. Any unused income at the end of the fiscal year shall be returned to the principal of this fund.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute in order to carry out the desire of the donor.

\$25,000.00

The Jerry J. Halterman Endowment Fund at Ohio State ATI

The Jerry J. Halterman Endowment Fund at Ohio State ATI (Agricultural Technical Institute) was established on November 7, 2003, by the Board of Trustees of The Ohio State University with gifts from family and friends of Jerry J. Halterman (Ph.D., 1964).

Dr. Halterman was Ohio State ATI's director designate from 1968-71 during the planning and development of the ATI campus. He served as ATI's first director from 1972-78.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to strengthen academic programs, support faculty development, and enrich the education of students at Ohio State ATI. Income may be used for but not limited to: the purchase of equipment, faculty retreats, guest lecturers, student field trips, and student scholarships.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of The Ohio State University Agricultural Technical Institute in order to carry out the desire of the donors.

\$16,838.00 (grandfathered)

Change in Name and Description of Named Endowed Funds

The Martha Brian Fellowship Fund in Journalism

The Martha Brian Fellowship in Journalism was established February 4, 1983, by the Board of Trustees of The Ohio State University with gifts from the OSU School of Journalism and Communication alumni, colleagues, friends, and relatives, including Dr. and Mrs. C. J. Brian, parents of the late Professor Brian, and the family of her brother, Mr. and Mrs. C. J. Brian, Jr. The name and description were revised on November 7, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Funds (contd)

The Martha Brian Fellowship Fund in Journalism (contd)

The annual income shall be used in a two-fold manner, providing support for the Martha Brian Graduate Student Conference and Martha Brian Travel Fellowships.

The Martha Brian Graduate Student Conference will be an annual event hosted by the School of Journalism and Communication in which graduate students present their ideas and research to faculty in a weekend conference. The Martha Brian Graduate Student Conference will be administered by the Graduate Studies Committee of the School of Journalism and Communication. The bulk of the conference support would be provided by the School of Journalism and Communication, but a portion of the expenses would be paid through the Martha Brian fund.

The Martha Brian Travel Fellowships will provide additional reimbursement funds for graduate students presenting their research at annual conferences of the national organizations devoted to research and education in journalism and communication. The Martha Brian Travel Fellowships will be administered by the Graduate Studies Committee of the School of Journalism and Communication.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate administrative official of the University who is then directly responsible for journalism education in order to carry out the desire of the donors.

The Edgar W. Ingram Scholarship Fund

The Edgar W. Ingram Minority Assistance Scholarship Fund was established June 7, 1984, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the Edgar W. Ingram Foundation of Columbus, Ohio, and alumni of The Ohio State University Michael E. Moritz College of Law. The name and description were revised on November 7, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund scholarships for outstanding students attending the Moritz College of Law who, by virtue of their background and accomplishments, will contribute to the intellectual and social diversity of the student body. It is the desire of the donor that, to the extent consistent with applicable law, consideration be given to students demonstrating financial need from the Central Ohio area and others who, because of their social and educational backgrounds and life experiences, have the potential to bring new perspectives to the study of law and the legal profession. The scholarships will be awarded in consultation with the assistant dean of Admissions and Financial Aid in the Moritz College of Law, the dean of the Moritz College of Law, and the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the Moritz College of Law in order to carry out the desire of the donor.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The Ethel Roe Caulkins and Earl F. Caulkins Scholarship Fund

The Ethel Roe Caulkins and Earl F. Caulkins Scholarship Fund was established on November 7, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Mildred "Migg" (B.S.Ed., 1932) Caulkins Urban. The scholarship is in memory of Ethel Roe Caulkins and Earl F. Caulkins, the parents of Migg Urban.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide undergraduate and graduate scholarships. To be eligible for scholarships, students must be Ohio residents majoring in architecture, city and regional planning, or landscape architecture; must have completed their freshman year; and must maintain a 3.0 grade point average. The scholarships will be distributed to the departments by the director of the Austin E. Knowlton School of Architecture. Selection of the recipients will be made by a departmental committee appointed by the chairperson of each department. Scholarship winners will be chosen primarily for academic merit and their exhibit of enthusiasm and motivation to succeed in their profession. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of the Knowlton School of Architecture in order to carry out the desire of the donor.

\$250,065.00

The Ray A. and Linda S. Miller Alpha Zeta Partners Leadership Fund

The Ray A. and Linda S. Miller Alpha Zeta Partners Leadership Fund was established on November 7, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Raymond A. Miller (B.S.Agr., 1966; M.S., 1968; Ph.D., 1976) and Linda S. Miller (B.S.Ed., 1968; M.A., 1994) of Hilliard, Ohio, their family, friends, colleagues, and alumni of the College of Food, Agricultural, and Environmental Sciences.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support undergraduate student leadership development activities associated with the Alpha Zeta Partners Leadership Program in the College of Food, Agricultural, and Environmental Sciences. These activities include direct expenses for speakers, special workshops, recognition and awards, events, limited travel, and training sessions for Alpha Zeta Partners students. No monies are to be used to support University staff or faculty in the conduct of this program. Unused income each year is to be returned to the principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then proceeds shall be used to support special programs of the Agriculture and Natural Resources Student Council, specifically recognition programs or leadership events for all students in the College. The use shall be designated by the Foundation Board in consultation with the vice president for

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Ray A. and Linda S. Miller Alpha Zeta Partners Leadership Fund (contd)

Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences and the senior associate dean and director of Academic Affairs in the College in order to carry out the desire of the donors.

\$25,464.00

The Ronald S. Erkis Family Athletic Scholarship Fund

The Ronald S. Erkis Family Athletic Scholarship Fund was established on November 7, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Ronald S. and Joyce L. Erkis.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship costs for a student athlete who is a member of the women's basketball team pursuing an undergraduate degree at The Ohio State University. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$25,167.00

Michael A. Bricker, DVM Equine Scholarship Fund

The Michael A. Bricker, DVM Equine Scholarship Fund was established on November 7, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from his wife, Karen Mico Bricker, family, and friends in loving memory of and to honor the life and work of Michael A. Bricker (D.V.M., 1976).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support one (1) third- or fourth-year student per year who is planning to pursue a practice emphasizing equine medicine. Recipients will be selected by the dean of the College of Veterinary Medicine in consultation with the associate dean for Student Affairs in the College and the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Veterinary Medicine in order to carry out the desire of the donors.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Andy Hague Endowed Scholarship Fund

The Andy Hague Endowed Scholarship Fund was established on November 7, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mr. and Mrs. Bill Hague of Gahanna, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a scholarship at The Ohio State University College of Veterinary Medicine for one outstanding student with first preference going to a student from Ohio. Recipients will be selected by the dean of the College of Veterinary Medicine in consultation with the associate dean for Student Affairs in the College and the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Veterinary Medicine in order to carry out the desire of the donors.

\$25,000.00

The Margaret F. McDonald Scholarship Fund in Agricultural Economics

The Margaret F. McDonald Scholarship Fund in Agricultural Economics was established on November 7, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts in memory of Dr. Margaret F. McDonald (B.A., 1929), associate professor emeritus in the Department of Agricultural, Environmental, and Development Economics (formerly the Department of Agricultural Economics and Rural Sociology); from her nephew, Albert B. Fisher III (B.A., 1978; M.S., 1981); Kathleen C. Fisher of Columbus, Ohio; and the Owens-Corning Foundation.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support scholarships for students enrolled in the Department of Agricultural, Environmental, and Development Economics, with a preference for undergraduate students. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences and the chair of the Department of Agricultural, Environmental, and Development Economics or their successor in order to carry out the desire of the donors.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The William J. and Lois E. Robison Endowment Fund

The William J. and Lois E. Robison Endowment Fund at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established on November 7, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from William J. and Lois E. Robison.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support human cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president of Health Sciences and dean of the College of Medicine and Public Health. Any unused income shall be returned to the principal of this fund.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president of Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$25,000.00

Change in Name and Description of Named Endowed Funds

The Dr. Harry F. and Eltha J. Bartels Veterinary Practice Management Fund

The Dr. Harry F. Bartels Veterinary Practice Management Fund was established March 7, 1997, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Dr. Harry F. (D.V.M., 1954) and Eltha J. Bartels. The name and description were revised on November 7, 2003.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to further the curriculum of veterinary practice management. Topics could include but are not limited to: human resources, financial management, and business marketing for veterinary students and practicing veterinarians.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Veterinary Medicine to carry out the desire of the donors.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Name and Description of Named Endowed Funds (contd)

Frederick W. Ives Chair's Scholarship Fund

The Fredrick Ives Chair's Scholarship Fund was established March 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts provided by alumni and faculty of the Department of Food, Agricultural, and Biological Engineering in the College of Food, Agricultural, and Environmental Sciences. The name and description were revised on November 7, 2003.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide undergraduate scholarships for students, selected by the Department chairperson or the chairperson's designee, who are majoring in programs of the Department of Food, Agricultural, and Biological Engineering in the College of Food, Agricultural, and Environmental Sciences or in the College of Engineering. Criteria for selection should include service to the Department and/or student and professional organizations. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, and the chairperson of the Department of Food, Agricultural, and Biological Engineering, or their successors, in order to carry out the desire of the donors.

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 2004-56

JULY – SEPTEMBER 2003

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, November 7, 1997, and March 1, 2002 provides that the President and/or the Senior Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, the Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Senior Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of July - September 2003; and

WHEREAS during the period covered, the Senior Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 75 waivers of competitive bidding requirements for annual purchases totaling approximately \$8,402,300 as shown on the enclosed exhibit; and

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS (contd)

WHEREAS during the period covered, the Vice President for Health Services, at the requests of the Hospitals of the University and their related facilities making purchases, granted 31 waivers of competitive bidding requirements for annual purchases totaling approximately \$14,137,500 as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of July - September 2003, is hereby accepted.

(See Appendix XIX for background information, page 565.)

Mr. Shkurti:

The resolution in your books is different than the amended resolution passed by the Fiscal Affairs Committee. We changed a line in the funding sources regarding the signage project for the Medical Center. The project amount for the Heart Hospital remains the same, but their share of the signage project is \$125,000. This was agreed to by both the facilities people and the Medical Center.

AMENDED REQUEST FOR DESIGN AND CONSTRUCTION AUTHORIZATION

Resolution No. 2004-57

REQUEST FOR DESIGN AUTHORIZATION

MEDICAL CENTER SIGNAGE AND WAYFINDING
WOODY HAYES ATHLETIC CENTER AND TENNIS CENTER RENOVATION

REQUEST FOR CONSTRUCTION AUTHORIZATION

DRINKO HALL, ROOM 249
LINCOLN AND MORRILL TOWER WALKWAYS
MEDICAL CENTER HELIPAD ON RHODES HALL
SCOTT HALL – DOCK AREA & CONCRETE WALK/WALL RENOVATION
UNIVERSITY HOSPITAL EAST - EMERGENCY DEPARTMENT

Synopsis: Authorization to enter into design contracts for the Medical Center Signage and Wayfinding, and Woody Hayes Athletic Center and Tennis Center Renovation and construction contracts for Drinko Hall, Room 249, Lincoln and Morrill Tower Walkways, Medical Center Helipad on Rhodes Hall, Scott Hall – Dock Area & Concrete Walk/Wall Renovation, and University Hospital East - Emergency Department is requested.

WHEREAS the University desires to install directional signs and vehicular wayfinding in and around the Medical Center Campus; and

WHEREAS the estimated project cost is \$360,000, with funding to be provided by University Hospitals (\$335,000) and future University bond proceeds (\$25,000) with debt service to be paid by University Hospitals; and

WHEREAS the University desires to renovate and expand the Woody Hayes Athletic Center and Varsity Tennis Courts; and

AMENDED REQUEST FOR DESIGN AND CONSTRUCTION AUTHORIZATION (contd)

WHEREAS the estimated project cost is \$20,000,000 to \$22,000,000 with funding to be provided by Athletic Department Development Funds; and

WHEREAS the University desires to renovate and modernize the Drinko Hall Law Courtroom; and

WHEREAS the construction document project cost is \$397,000 with funding to be provided by the Moritz College of Law general funds; and

WHEREAS the University desires to replace a portion of the walkways to Lincoln and Morrill Towers; and

WHEREAS the construction document project cost is \$660,000, with funding to be provided by HB 748 (\$60,000) and HB 675 (\$600,000); and

WHEREAS the University desires to construct a helipad on Rhodes Hall tower; and

WHEREAS the Board of Trustees originally approved this project for \$2,927,838 and the project cost has now increased to \$4,813,835 resulting from the need to replace two failing chillers on the Rhodes Tower roof, with the increase in funding to be provided by University Hospitals; and

WHEREAS the University desires to repair and weatherproof the concrete and replace the hand railings in the area around Scott Hall; and

WHEREAS the construction document project cost is \$150,000 with funding to be provided by HB 675; and

WHEREAS the University desires to renovate the Emergency Department at University Hospitals East; and

WHEREAS the construction document project cost is \$4,787,564 with funding to be provided by the University Hospital auxiliary funds (\$787,564) and by future University bond proceeds (\$4,000,000) with debt service to be provided by University Hospitals:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Medical Center Signage and Wayfinding, and Woody Hayes Athletic Center and Tennis Center Renovation; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to request construction bids for Drinko Hall, Room 249, Lincoln and Morrill Tower Walkways, Medical Center Helipad on Rhodes Hall, Scott Hall – Dock Area & Concrete Walk/Wall Renovation, and University Hospital East - Emergency Department are hereby accepted.

(See Appendix XX for background information and maps, page 567.)

ADOPTION OF THE 2003 UPDATE TO THE UNIVERSITY MASTER PLAN

Resolution No. 2004-58

Synopsis: Adoption of the proposed 2003 update to the University Master Plan is recommended.

ADOPTION OF THE 2003 UPDATE TO THE UNIVERSITY MASTER PLAN (contd)

WHEREAS the Board of Trustees recognizes that planning for the University's facilities and the physical environment of each campus is a valuable and critical requirement for accomplishing the University's mission and enhancing the quality of life for our students, faculty, staff, visitors, and neighbors; and

WHEREAS Volume I ("University Context") and Volume II ("Long Range Concept Plan") of the University Master Plan were adopted by the Board of Trustees in October 1995; and

WHEREAS the Master Plan needs to be updated periodically to ensure that it continues to be responsive to the dynamic nature of the University, including the adoption of the Academic Plan in December 2000; and

WHEREAS the Department of Facilities Planning and Development has coordinated a review of the Master Plan, with participants from affected University constituencies and an external review team; and

WHEREAS the resulting update contains recommended policy, technical, and data-gathering actions to strengthen master plan implementation efforts; and

WHEREAS the appropriate University offices have reviewed the proposed 2003 Update to the University Master Plan and recommend its adoption:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby adopts the proposed 2003 Update to the University Master Plan Volume I ("University Context") and Volume II ("Long Range Concept Plan").

(See Appendix XXI for background information, page 585.)

**TRANSFER OF REMAINDER INTEREST IN REAL PROPERTY
6400 SUNBURY ROAD, BLENDON TOWNSHIP, FRANKLIN COUNTY, OHIO**

Resolution No. 2004-59

Synopsis: Authorization for the transfer of the remainder interest in real property at 6400 Sunbury Road, Blendon Township, Franklin County, Ohio, to The Ohio State University Foundation is proposed.

WHEREAS The Ohio State University owns real property at 6400 Sunbury Road, Blendon Township, Franklin County, Ohio, consisting of a 7,700 square foot residence on approximately 23 acres, subject to a life estate; and

WHEREAS the life resident desires to sell his life interest to The Ohio State University Foundation and the University is interested in extinguishing its obligation of maintaining the property for the life resident (a period estimated to be 41 years); and

WHEREAS consolidation of the life interest and the remainder interest in the University Foundation will facilitate the desired sale of the property:

NOW THEREFORE

**TRANSFER OF REMAINDER INTEREST IN REAL PROPERTY
6400 SUNBURY ROAD, BLENDON TOWNSHIP, FRANKLIN COUNTY, OHIO (contd)**

BE IT RESOLVED, That the President and/or the Senior Vice President for Business and Finance be authorized to transfer the real property at 6400 Sunbury Road, Blendon Township, Franklin County, Ohio, subject to life estate, to The Ohio State University Foundation for consolidation of interests and disposal of the property.

(See Appendix XXII for background information and map, page 587.)

**TRANSFER OF REAL PROPERTY FOR THE SOUTH CAMPUS GATEWAY PROJECT
COLUMBUS, OHIO**

Resolution No. 2004-60

Synopsis: Transfer of the South Campus Gateway real property to the Board of Trustees is proposed.

WHEREAS since 1995 the Board of Trustees has recognized the critical need for revitalization of the High Street and residential areas adjacent to the Columbus Campus and the importance of the initiatives of Campus Partners for Community Urban Redevelopment, Inc., in this effort, and in 1997 the Board accepted Campus Partners' "University Neighborhoods Revitalization Plan: Concept Document"; and

WHEREAS Campus Partners has made significant progress towards the implementation of its priority initiatives, including the South Campus Gateway project; the restructuring of the Broad Street Housing portfolio; the faculty and staff home ownership incentive program; the establishment of a mandatory development and design review process for the High Street corridor; improvements to public safety, refuse collection, code enforcement, and other public services; the formation of a special improvement district; and numerous other neighborhood improvement initiatives; and

WHEREAS the South Campus Gateway, the initial redevelopment project for the South Campus/High Street redevelopment, is expected to be completed in the Summer of 2005, and this mixed-use development will encompass substantial University facilities, including office space, University housing, a parking garage, and the Moritz College of Law Buckeye Barristers Club; and

WHEREAS to facilitate and accomplish the goals and purposes of the South Campus Gateway, Campus Partners proposes the transfer, by its wholly owned subsidiary Gateway Area Revitalization Initiative, of all or substantially all of the real property comprising the South Campus Gateway site, to the University to be held for the use and benefit of The Ohio State University and to be used for the support of the University, pursuant to Sections 3335.13, 3345.16, and 3345.17 of the Revised Code:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees of The Ohio State University hereby accepts ownership of, and title in, all or substantially all, as determined by the University, of the real property located in Columbus, Ohio, and described on the recorded plat thereof as *South Campus Gateway, A Resubdivision of Parts of Amos and Palmer's Subdivision, College Place Addition, Henry T. Chittenden's Woodburn Addition, John R. Cook's Subdivision, Josephine Walsh's Subdivision, and Kelly Fink, Dundon & Bergin's Subdivision*, to be held in the University Endowment portfolio in trust; and

**TRANSFER OF REAL PROPERTY FOR THE SOUTH CAMPUS GATEWAY PROJECT
COLUMBUS, OHIO (contd)**

BE IT FURTHER RESOLVED, That this real property is to be held for the use and benefit of The Ohio State University and is to be used for the support of The Ohio State University.

(Map on file in the Board Office.)

Upon motion of Ms. Longaberger, seconded by Mr. Borrer, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Sofia, Slane, McFerson, Borrer, O'Dell, and Mses. Longaberger, Hendricks, and Davidson.

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Mr. Sofia:

In addition, I would like to recommend that the monthly Personnel Actions resolution – be amended to add "...that the decision of the University Hospitals Board to deny an application for reappointment to the University Hospitals Medical Staff, considered at today's executive session, be hereby affirmed."

AMENDED PERSONNEL ACTIONS

Resolution No. 2004-61

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the October 3, 2003 meeting of the Board, including the following Appointment, Reappointment of Chairpersons, Leaves of Absence Without Salary, Professional Improvement Leave, and Emeritus Title, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments approved September 23, 2003, by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board, be ratified; and

BE IT FURTHER RESOLVED, That the decision of the University Hospitals Board to deny an application for reappointment to the University Hospitals Medical Staff, considered at today's Executive Session of the Board, be hereby affirmed.

Appointment

Name: PETER E. GEIER
Title: Interim Vice President for Health Services and Interim Chief Executive Officer
Office/System: Health Services/The Ohio State University Health System
Effective: February 1, 2004
Concurrent
Position: Senior Associate Vice President for Business Development and Strategic Planning, and Chief Financial Officer/Chief Operating Officer

Reappointment of Chairpersons

October 1, 2003, through June 30, 2007

Family Medicine
Pediatrics

Mary Jo Welker
Thomas N. Hansen

PERSONNEL ACTIONS (contd)

Leaves of Absence Without Salary

STEPHEN W. MELVILLE, Professor, Department of History of Art, effective Autumn Quarter 2003, to accept a Clark Fellowship at the Sterling & Francine Clark Art Institute, Williamstown, MA, and accept a Fulbright Senior Specialist grant to lecture at the University of Warsaw.

JOSEPH B. WILLIAMS, Associate Professor, Department of Evolution, Ecology, and Organismal Biology, effective Autumn Quarter 2003 and Winter Quarter 2004, to work as the Director of Research of the National Wildlife Research Center in Taif, Saudi Arabia, by accepting a Fulbright Fellowship.

Professional Improvement Leave

DAVID A. COLLIER, Associate Professor, Department of Management Sciences, effective Winter Quarter, Spring Quarter, and Autumn Quarter 2004.

Emeritus Titles

JACK M. BALCER, Department of History, with the title Professor Emeritus, effective December 1, 2003.

DEV S. PATHAK, School of Public Health, with the title Professor Emeritus, effective January 1, 2004.

MICHAEL KNEE, Department of Horticulture and Crop Science, with the title Professor Emeritus, effective February 1, 2004.

HENRY A. WISE II, Department of Surgery, with the title Clinical Professor Emeritus, effective November 1, 2003.

Medical Staff--Initial Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Magid H. Amer, M.D., James Internal Medicine, Hematology/Oncology, James Attending, 9/23/2003 - 3/1/2004

William G. Blum, M.D., James Internal Medicine, Hematology/Oncology, James Attending, 9/12/2003 - 3/1/2004

Johannah Clarke, C.N.P., James Surgery, Surgical Oncology, James Allied Health, 8/8/2003 - 2/2/2004

Stephen R. Feagins, M.D., James Internal Medicine, General Medicine, James Community Assoc., 8/8/2003 - 2/2/2004

David S. Feldman, M.D., Ph.D., James Internal Medicine, Cardiology, James Associate Attending, 9/23/2003 - 3/1/2004

Andrew V. Grainger, M.D., James Internal Medicine, Hematology/Oncology, James Attending, 8/8/2003 - 2/2/2004

Craig S. Jenkins, M.D., James Anesthesiology, James Associate Attending, 9/23/2003 - 3/1/2004

Todd S. Klausner, M.D., James Radiology, James Associate Attending, 9/23/2003 - 3/1/2004

Matharbootham Mani, M.D., James Anesthesiology, James Associate Attending, 9/23/2003 - 3/1/2004

Carolyn A. McClerking, C.N.P., James Internal Medicine, Hematology/Oncology, James Allied Health, 8/8/2003 - 2/2/2004

Carole A. Miller, M.D., James Surgery, Neurologic Surgery, James Associate Attending, 8/8/2003 - 2/2/2004

Gulam J. Mukhdomi, M.D., James Anesthesiology, James Associate Attending, 9/23/2003 - 3/1/2004

Sreelatha Pulakhandam, M.D., James Psychiatry, James Associate Attending, 9/23/2003 - 3/1/2004

Matthew D. Ringel, M.D., James Internal Medicine, Endocrinology, James Associate Attending, 9/23/2003 - 3/1/2004

Laura E. Ryan, M.D., James Internal Medicine, Endocrinology, James Associate Attending, 9/23/2003 - 3/1/2004

PERSONNEL ACTIONS (contd)

Medical Staff--Initial Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

Rulong Z. Shen, M.D., James Radiology, James Associate Attending, 8/8/2003 - 2/2/2004
Nancy L. Stasik, P.A., James Surgery, Thoracic/Cardio Surgery, James Allied Health, 9/23/2003 - 3/1/2004
Sherry Stewart Harmond, C.N.P., James Internal Medicine, Hematology/Oncology, James Allied Health, 8/8/2003 - 2/2/2004
Rundsarah M. Tahboub, M.D., James Internal Medicine, General Medicine, James Associate Attending, 8/8/2003 - 2/2/2004
Robin A. Vachon-Kraut, C.N.P., James Anesthesiology, James Allied Health, 8/8/2003 - 2/2/2004
Lalit K. Vadlamani, M.D., James Internal Medicine, Cardiology, James Associate Attending, 8/8/2003 - 2/2/2004

Medical Staff—Provisional to Full Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Gunwant S. Mallik, M.D., Surgery, Neurosurgery, James Associate Attending, 9/23/2003 - 6/30/2004
Michael J. Meleca, M.D., Internal Medicine, Cardiology, James Community Associate, 8/8/2003 - 6/30/2004
Tibor Nadasdy, M.D., Pathology, James Associate Attending, 8/8/2003 - 6/30/2004
Rajendra C. Patel, Internal Medicine, Cardiology, James Community Associate, 8/8/2003 - 6/30/2004
Mohini S. Rao, M.D., Anesthesiology, James Associate Attending, 8/8/2003 - 6/30/2004
Richard E. Schlanger, M.D., Surgery, General Surgery, James Associate Attending, 8/8/2003 - 6/30/2004
Shantanu Sinha, M.D., Internal Medicine, Cardiology, James Community Associate, 8/8/2003 - 6/30/2004

Medical Staff—Requests for Additional Privileges (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Magid H. Amer, M.D., Internal Medicine, James Attending, Moderate Sedation, 9/23/2003 - 3/1/2004
Jeffrey W. Hazey, M.D., Surgery James, Associate Attending, Moderate Sedation, 9/23/2003 - 3/1/2004
Ali A. Rikabi, M.D., Radiology, James Associate Attending, Moderate Sedation, 8/8/2003 - 2/2/2004
Sherry Stewart- Harmond, C.N.P., Internal Medicine, James Allied Health, Prescriptive Authority, 8/8/2003 - 2/2/2004
Robin Vachon-Kraut, C.N.P., Anesthesiology, James Allied Health, Epidural Analgesia Opioid Titration, 8/8/2003 - 2/2/2004

Medical Staff—Request for Change in Category (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Jason Sayat, M.D., Obstetrics/Gynecology, from James Clinical Attending to Associate Attending, 8/8/2003 - 6/30/2004

Upon motion of Mr. Slane, seconded by Ms. Davidson, the Board of Trustees adopted the foregoing resolution with seven affirmative votes, cast by Messrs. Sofia, Slane, McFerson, Borrer, and Meses. Longaberger, Hendricks, and Davidson, and one abstention cast by Mr. O'Dell.

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November 7, 2003 meeting, Board of Trustees

Thereupon the Board adjourned to meet Friday, December 5, 2003, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

David O. Frantz
Secretary

Zuheir Sofia
Chairman

**THE OHIO STATE UNIVERSITY
HOSPITALS EAST
MEDICAL STAFF BYLAWS**

AMENDMENTS ADOPTED BY THE
MEDICAL STAFF, AUGUST, 2003

IMPACT STATEMENT

Robert L. Holder, 9-11-03

The Medical Staff Bylaws of the Ohio State University Hospitals East were amended in a comprehensive manner to bring them into conformance with the Medical Staff Bylaws of The Ohio State University Hospitals.

Major areas of revision included:

1. Board certification criteria
2. Provisions for automatic termination of medical staff membership following exclusion from governmental health or reimbursement programs.
3. Education and training requirements for the OSU integrity and HIPAA programs.
4. Uniform Credentialing procedures.
5. Provisional medical staff membership prior to full appointment.
6. Medical leave of absence policies.
7. Policies regarding supervision of limited staff members.
8. Amending physicians in post-graduate training programs to non-medical staff membership and defining their qualifications and responsibilities.
9. Clarifying and defining the privileges of podiatrists, oral and maxillofacial surgeons, and other licensed health care professionals.
10. Modifying temporary privileges and adding sections on disaster privileges and telemedicine.
11. Adopting the OSUH procedures on medical staff hearings and appellate review.
12. Adopted electronic balloting for medical staff election.
13. Amended procedures for appointment of medical staff committee membership.
14. Modified medical staff committee attendance and voting.
15. Revised the medical staff committee structure to recognize the creation of Health System wide medical staff committees for:
 - A. Credentialing
 - B. Ethics
 - C. Physician Health
 - D. Clinical Quality Management Policy GroupOSUHE medical staff members will be represented on each of these Health System Committees.
16. Modified procedures for amendments to the Medical Staff Bylaws.
17. Numerous changes were made in terminology (must/shall) to achieve uniformity with OSUH Medical Staff Bylaws.

Bylaws of the Medical Staff of The Ohio State University Hospitals East

Amended

3335-45-02 Purpose.

The purpose of the medical staff of the Ohio state university hospitals east shall be:

(A) through (D) unchanged.

(E) ~~There shall be only one category or classification of patients in the hospitals, and those patients are the private patients of the practitioner under whose care and medical responsibility they are admitted. Patients admitted to the hospital who, at the time of admission, have not requested or selected a member of the medical staff to attend them shall be assigned for their care and treatment by the chief of the appropriate clinical division or department or their designees to a member of the active medical staff. All patients admitted to the hospital should cooperate and be an integral part of the teaching program of the college of medicine and public health. Should a patient, or on the behalf of a patient, the patient's next of kin, or guardian, refuse to participate or cooperate in the teaching programs of the hospital or the college of medicine and public health, the medical staff responsible for the care and treatment of the patient will encourage participation in the teaching programs. Students, including pre- and post-M.D., but not limited thereto, are under the direction and control of the members of the medical staff to whom the patient is assigned upon admission to or transfer within the hospitals' services. (B/T 5/7/99, B/T 11/7/2003)~~

3335-45-03 Patients.

(A) The continuous care and treatment of individual patients is the medical responsibility of the member of the medical staff to whose care the patient is admitted or transferred within the Ohio state university hospitals east and to licensed health care professionals being granted clinical privileges under these bylaws.

(B) There shall be only one category or classification of patients in the hospitals, and those patients are the private patients of the practitioner under whose care and medical responsibility they are admitted. Patients admitted to the hospital who, at the time of admission, have not requested or selected a member of the medical staff to attend them shall be assigned for their care and treatment by the chief of the appropriate clinical division or department or their designees to a member of the active medical staff.

(C) All patients admitted to the hospital should cooperate and be an integral part of the teaching program of the college of medicine and public health. Should a patient, or on the behalf of a patient, the patient's next of kin, or guardian, refuse to participate or cooperate in the teaching programs of the hospital or the college of medicine and public health, the medical staff responsible for the care and treatment of the patient shall encourage participation in the teaching programs. Students, including pre- and post-M.D., but not limited thereto, are under the direction and control of the members of the medical staff to whom the patient is assigned upon admission to or transfer within the hospitals' services.

~~3335-45-03~~ 3335-45-04 Membership.

(A) Unchanged.

(B) Qualifications for membership.

(1) Only practitioners licensed to practice in the state of Ohio who can document the following shall be qualified for medical staff membership:

(a) Unchanged.

(b) Board certification or active candidacy for board certification;

~~(b)~~(c) Adherence to the ethics of the practitioner's profession as defined by appropriate professional societies;

~~(c)~~(d) Good personal and professional reputation as established by appropriate references;

~~(d)~~(e) Satisfactory health status; and

~~(e)~~(f) Professional malpractice insurance coverage.

Adequate documentation must be presented to assure the medical staff and the board that any patient treated by the practitioner in the hospital ~~will~~ shall be given medical care according to professional standards, and that the efficient operation of the hospital ~~will~~ shall not be interfered with or compromised by the practitioner's care of patients within the hospital.

(2) No practitioner ~~will~~ shall be denied medical staff membership or clinical privileges on the basis of race, color, religion, sex, national origin, or, unless demonstrable ground exist, on the basis of age or handicap.

(C) Conditions of acceptance.

By accepting membership on the medical staff a practitioner agrees to the following terms and conditions:

(1) Unchanged.

(2) The applicant shall at the time of appointment be board certified in a medical specialty approved by the American medical association and American board of medical specialties, or other similarly applicable certifying board for doctors of osteopathy, or practitioners of podiatry, psychology, or dentistry. An applicant who is an active board candidate at the time of initial appointment, shall have three years from the date eligibility was first attained to become board certified. Board certification is a continuing requirement. Whenever recertification is required by an approved subspecialty board, applicants shall meet the terms of recertification. Failure to meet or maintain board certification or recertification shall result in immediate termination from the medical staff. This requirement may be waived by the board at the recommendation of the medical executive committee, upon recommendation of the credentialing committee or the medical director.

~~(2)~~(3) Unchanged.

~~(3)~~(4) Unchanged.

~~(4)~~(5) Unchanged.

~~(5)~~(6) Unchanged.

~~(6)~~(7) Unchanged.

~~(7)~~(8) Unchanged.

~~(8)~~(9) Unchanged.

~~(9)~~(10) Unchanged.

~~(10)~~(11) Unchanged.

~~(11)~~(12) Unchanged.

~~(12)~~(13) Unchanged.

~~(13)~~(14) The practitioner ~~will~~ shall respond in writing to requests within the reasonable time limits imposed by medical staff committees or external review bodies when so requested in a professional manner.

~~(14)~~(15) The practitioner ~~will~~ shall notify the medical director in writing of any illness or limitations that could adversely impact the delivery of patient care.

(D) Special conditions for medical staff membership.

- (1) Consistent with the purposes set forth in rule 3335-45-02 of the Administrative Code, the board may adopt special requirements or limitations, including but not limited to a faculty appointment as a condition for medical staff membership in particular clinical divisions or clinical departments of the hospital.

If faculty membership is a condition of continued medical staff membership, the loss or non-renewal of such faculty appointment ~~will~~ shall result in an automatic and immediate termination of membership and clinical privileges pursuant to paragraph (E) of rule ~~3335-45-07~~ 3335-45-08 of the Administrative Code.

- (2) In the interests of balanced teaching and patient care, the chief of the clinical department may, following consultation with the dean of the applicable college, the chief executive officer, the medical director, and with the concurrence of the executive committee, restrict admissions. Imposition of such restriction shall not give rise to any right of appeal or grievance permitted by these rules.

- (3) The board may authorize contracts or clinical privileges to a practitioner or group of practitioners to permit them to provide exclusive professional or medical services at the hospital.

- (4) Exclusion of any medical staff member or licensed health care professional from participation in any federal or state government program or suspension from participation, in whole or part, in any federal or state government reimbursement program, shall result in immediate termination of membership on the medical staff of the Ohio state university hospitals east and the immediate termination of clinical privileges at the Ohio state university hospitals east as of the effective date of the exclusion or suspension. If the medical staff member's or licensed health care professional's participation in these programs is fully reinstated, the affected medical staff member or licensed health care professional shall be eligible to apply for membership and clinical privileges at that time. It shall be the duty of all medical staff members and licensed health care professionals to promptly inform the medical

director of any action taken, or the initiation of any process which could lead to such action taken by any of these programs.

- (5) Any medical staff member whose membership has been terminated pursuant to paragraph (C)(2) or (D)(4) of this rule shall not be entitled to request a hearing and appeal in accordance with rule 3335-45-09 of these bylaws. Any licensed health care professional whose clinical privileges have been terminated pursuant to paragraph (D)(4) of this rule may not request an appeal in accordance with paragraph (G)(11) of rule 3335-45-07 of the Administrative Code. (B/T 5/7/99, B/T 11/7/2003)

~~3335-45-04~~ 3335-45-05 Appointment and reappointment.

(A) Initial application.

- (1) A practitioner making initial application for medical staff membership shall submit a written and signed application, on a form prescribed by the medical director, to the medical director. action on the application is withheld until the information is verified and the applicant satisfies requirements of rule ~~3335-45-03~~ 3335-45-04 of the Administrative Code.

(2) and (3) unchanged.

- (4) A completed application shall include, and the applicant shall have the burden to provide, at a minimum, the following information:

(a) and (b) unchanged.

- (c) ~~The applicant shall at the time of appointment be board certified in a medical specialty approved by the American medical association and American board of medical specialties, or other similarly applicable certifying board for doctors of osteopathy, or practitioners of podiatry, psychology, or dentistry. An applicant who is an active board candidate at the time of initial appointment, shall have three years from the date eligibility was first attained to become board certified. Board certification is a continuing requirement. Whenever recertification is required by an approved subspecialty board, applicants shall meet the terms of recertification. Failure to meet or maintain board certification or recertification shall result in immediate termination from the medical staff. This requirement may be waived by the board at the recommendation of the medical executive committee, upon recommendation of the credentials committee or the medical director~~ Board certification or active candidacy for board certification;

(d) through (h) unchanged.

- (i) Agreement to disclose any instance in which the practitioner has at any time experienced the restriction, suspension, revocation, fine or censure, denial, limitation, voluntary or involuntary relinquishment of any of the following: professional licensure, board certification or recertification, DEA registration, suspension or termination pursuant to paragraph (F) of rule ~~3335-45-07~~ 3335-45-08 of the Administrative Code, membership in any professional organization, medical staff membership or privileges, membership or privileges at any other health care facility, and membership or provider status in any state or federal health plan;

(j) through (t) unchanged.

- (u) The applicant's acknowledgment of the immunity from liability provisions of rule 3335-45-16 of the Administrative Code; ~~and~~
- (v) A recent photograph of the applicant; and
- (w) Verification of completion of annual educational requirement as set forth in the university integrity and HIPAA programs.

(B) Action on initial application.

- (1) Upon receipt of a timely, signed application for medical staff membership and clinical privileges, the medical director or designee shall review and verify the application for completeness. The medical director or designee ~~will~~ shall also access the appropriate primary sources, including contact the clearinghouse to determine whether or not any malpractice claims or adverse actions have ever been reported as to the applicant. Any information obtained shall be documented in the record of the applicant. An incomplete application shall be returned to the applicant for completion and resubmission. Upon determining that an application is complete, the medical director or designee shall transmit the completed application to the chief of each clinical department in which the applicant seeks clinical privileges and to the ~~credentials~~ credentialing committee.
- (2) ~~Upon receipt of a completed application, the chief of each clinical department or designee should review such application with the members of the clinical department at the next regularly scheduled meeting of the clinical department or any special meeting of the clinical department called for the purpose of reviewing the application. The chief of the clinical department shall make a recommendation to the credentials committee as to membership and the granting of the clinical privileges requested, and the scope of the privileges to be granted. The chief of the applicable clinical department shall be responsible for investigating and verifying the character, qualifications, and professional standing of the applicant by making inquiry of the primary source of such information and shall within thirty days of receipt of the signed application, submit a report of those findings along with a recommendation on membership and clinical privileges to the medical director of the Ohio state university hospitals east.~~
- (a) All reviewed applications, and any completed applications which are not reviewed, or for which a recommendation is not made by the clinical department or the chief of the clinical department on a timely basis ~~(~~on or~~ about sixty days from the receipt of the completed application)~~, the completed application shall be forwarded to the medical director for presentation to the ~~credentials~~ credentialing committee. This action shall continue the applicant's ~~temporary status and~~ temporary status and privileges, if any, ~~however it~~ and creates no vested rights beyond the duration of the appointment processing period, only until such time as the processing of the application is concluded.
- (b) Time periods for processing an application are:
 - (i) Medical director verification and query and clinical departmental review - ~~sixty~~ thirty days.
 - (ii) ~~Credentials~~ Credentialing committee review and recommendation within thirty days ~~or ninety days~~ from submission of a completed application, ~~whichever is less~~ from the medical director.

- (iii) Medical executive committee review and recommendation within thirty days ~~or one hundred twenty days~~ from submission of a completed application, ~~whichever is less~~ and the report and recommendation of the credentialing committee.
- (iv) Board review and recommendation within thirty days ~~or one hundred fifty days~~ from submission of a completed application, ~~whichever is less~~ and recommendation of the medical executive committee.

All applications shall be acted upon by the Ohio state university hospitals board within one hundred twenty days of receipt of a completed application. These time periods are deemed guidelines only and do not create any right to have an application processed within these precise periods. These periods may be stayed or altered pending receipt and verification of further information requested from the applicant, or if the application is deemed incomplete at any time. If the procedural rights specified in rule 3335-45-08 of the Administrative Code are activated, the time requirements provided therein govern the continued processing of the application.

- (3) Upon receipt of a completed application, the ~~credentials~~ credentialing committee shall proceed to:
 - (a) through (c) unchanged.
- (4) The ~~credentials~~ credentialing committee shall make a written report of its review to the medical executive committee. Such report shall include a recommendation that the applicant be:
 - (a) through (c) unchanged.

If approved for appointment, the report of the ~~credentials~~ credentialing committee shall include delineation of the applicant's clinical privileges.

If the application is deferred or rejected, the report of the ~~credentials~~ credentialing committee shall include the reason for deferral or rejection.

- (C) Medical executive committee action on initial appointment.
 - (1) The medical executive committee ~~will~~ shall consider the report of the ~~credentials~~ credentialing committee and determine the recommendations to be made to the board at the board's next regularly scheduled meeting. The medical executive committee's recommendation shall be transmitted to the board through the medical director, and it shall be the responsibility of the medical director to send any required notices to the applicant.
 - (2) If the recommendation of the medical executive committee is that the applicant should be appointed to the medical staff, the medical executive committee shall also specifically recommend the clinical privileges to be granted, including any limitations to be imposed upon such clinical privileges. The medical executive committee ~~will~~ shall also recommend the clinical department(s) and the staff category to which the applicant ~~will~~ shall be assigned.
 - (3) If the recommendation of the medical executive committee is to defer action on the application for further consideration, the medical executive committee must specify the specific procedures that ~~will~~ shall be pursued to make a subsequent

recommendation on the applicant's acceptance, rejection, or limitation of privileges.

- (4) If the medical executive committee's recommendation is that the applicant should be rejected for medical staff membership, or that the clinical privileges granted to the applicant should be less than requested by the applicant, the medical director shall promptly notify the applicant by certified mail, return receipt requested, of the medical executive committee's recommendation and of his or her appeal rights under rule ~~3335-45-08~~ 3335-45-09 of the Administrative Code. No such adverse recommendation shall be transmitted to the board until the applicant has exercised or has been deemed to waive his or her rights of appeal pursuant to rule ~~3335-45-08~~ 3335-45-09 of the Administrative Code.
- (D) Action of the board on initial application.
- (1) If the application in question is not subject to an appeal pursuant to rule ~~3335-45-08~~ 3335-45-09 of the Administrative Code, at the next regularly scheduled meeting of the board after the medical executive committee has forwarded its recommendation, the board shall act upon the application. The board may either accept the recommendation of the medical executive committee, or reject or modify the recommendation of the medical executive committee. If the decision of the board is contrary to the recommendation of the medical executive committee, the board shall submit the matter to the joint conference committee for its review and recommendation and shall consider such recommendation before making its final decision.
 - (2) If the recommendation of the medical executive committee has been appealed pursuant to rule ~~3335-45-08~~ 3335-45-09 of the Administrative Code, but the applicant has not requested appellate review by the board of the hearing committee's decision (see ~~paragraphs (I) and (J)~~ paragraph (E) of rule ~~3335-45-08~~ 3335-45-09 of the Administrative Code), at the next regularly scheduled meeting of the board after the hearing committee or hearing officer has forwarded its decision, the board ~~will~~ shall consider the hearing committee's or hearing officer's decision and the recommendation of the medical executive committee, and act upon the application. If the decision of the board is contrary to the original recommendation of the medical executive committee and is adverse to the practitioner, the practitioner shall be entitled to the due process rights of rule ~~3335-45-08~~ 3335-45-09 of the Administrative Code.
 - (3) If the recommendation of the medical executive committee has been appealed pursuant to rule ~~3335-45-08~~ 3335-45-09 of the Administrative Code, and the applicant has requested appellate review of the hearing committee's or hearing officer's decision by the board, the decision of the board on appellate review pursuant to rule ~~3335-45-08~~ 3335-45-09 of the Administrative Code shall be the board's action on the initial application.
 - (4) Unchanged.
 - (5) The application shall be forwarded together with a recommendation by the professional affairs, research and education committee for approval, modification, or rejection of application for privileges by the Ohio state university hospitals board ~~and in like fashion by the Ohio state university hospitals board to the board of trustees of the Ohio state university~~ for final action. When the Ohio state university hospitals board has acted, the chairperson of the board shall instruct the medical director to transmit the final decision to the chief of the clinical department and applicant and, if appropriate, to the director of the clinical division. The chairperson of the board shall also notify the executive director of Ohio state university hospitals east of the

decision of the board.

(E) Term of appointment.

- (1) The board ~~will~~ shall make all appointments to the medical staff. Appointments shall be for two years, provided that all initial appointments shall be provisional in nature with the exception of the peer review medical staff, as per paragraph (B)(4)(d) of rule ~~3335-45-07~~ 3335-45-08 of the Administrative Code, initial appointments, except for the honorary and limited categories, ~~will~~ shall be provisional for six months regardless of the date of the appointment. Full appointment requires the evaluation of the department chair. If, after the six-month period, the department chair does not recommend the provisional appointee for full appointment, medical staff membership and clinical privileges may be terminated. In the event that an adverse action is taken against a provisional appointee, the provisional appointee shall be entitled to the provisions of due process as outlined in these bylaws. Thereafter, the member ~~will~~ shall be subject to reappointment as set forth in paragraph (F) of this rule.
- (2) Notwithstanding the provisions of this paragraph, the clinical privileges and medical staff membership of any practitioner are subject to continuous review and reconsideration pursuant to these medical staff bylaws and the practitioner's privileges may be suspended or revoked at any time pursuant to rule ~~3335-45-07~~ 3335-45-08 of the Administrative Code, and the applicable provisions of the governing documents of the board.

(F) Reappraisal and reappointment.

- (1) Each member of the medical staff ~~will~~ shall be reappointed, at a minimum, on a biennial basis (every two years). The ~~credentials~~ credentialing committee shall begin to conduct its review one hundred eighty days prior to the end of the biennial period, and consider all pertinent information available on each member whose biennial term expires at the end of the medical staff year for the purpose of making a recommendation on the member's reappointment to the medical staff and for granting of clinical privileges during the term of such reappointment.
- (2) It shall be the practitioner's obligation to provide all information requested by the ~~credentials~~ credentialing committee on or before the date specified in the request. Such date shall not be less than thirty days from the date of the request for information. An incomplete application for reappointment shall be returned to the applicant for completion and resubmission.
- (3) The ~~credentials~~ credentialing committee ~~will~~ shall initiate its review by requesting, in writing, a completed and signed reappointment application in a form as prescribed by the medical director containing at least in the following information from the member:
 - (a) through (c) unchanged.
 - (d) Any instance in which an allegation of malpractice has been filed, or a settlement, ~~judgement~~ judgment, dismissal, or other malpractice action result has occurred against the practitioner since the practitioner's last reappointment.
 - (e) and (f) unchanged.
 - (g) Failure, without good cause as determined by the ~~credentials~~ credentialing committee, to submit a timely reappointment application or to provide requested information shall be deemed a voluntary resignation from the

medical staff and shall result in automatic termination of membership and all clinical privileges. The termination of medical staff membership and privileges on this basis shall not be deemed an adverse action and shall not give rise to the due process rights of rule ~~3335-45-08~~ 3335-45-09 of the Administrative Code.

- (4) Leave of absence.
- (a) A request for a leave of absence from the medical staff shall be submitted in writing to the medical director and the chief of the clinical department stating the exact period of the time of leave, which may not exceed one year. Leave of absence from the faculty shall be as provided by university rules and policies. An application for reappointment shall be submitted following a leave of absence from the medical staff and/or from the faculty and be processed as provided in this paragraph.
- (b) A member of the medical staff who takes a leave of absence for medical reasons has the duty to disclose to his or her chief of clinical department and the medical director any medical leave of absence that may potentially impact his or her ability to discharge his or her clinical and teaching responsibilities. Upon return from a leave of absence for medical reasons the medical staff member must demonstrate his or her ability to exercise his or her clinical privileges upon return to clinical activity. The credentialing committee, the medical director, the chief of the clinical service or the medical executive committee shall have the authority to require any documentation, including advice and consultation from the committee for physician health that might have a bearing on the medical staff member's ability to carry out the clinical and educational responsibilities for which the medical staff member is seeking privileges.
- (5) The ~~credentials~~ credentialing committee ~~will~~ shall obtain, at a minimum, the following information from the chief of each clinical department in which the member has such privileges:
- (a) through (i) unchanged.
- (6) The ~~credentials~~ credentialing committee ~~will~~ shall request the medical director to contact the clearinghouse to determine if any malpractice claims or adverse actions have ever been reported as to the practitioner applying for reappraisal and reappointment. Any information obtained from such clearinghouse shall be documented in the record of the practitioner being reviewed and, upon request of the practitioner, shall be available to the practitioner for review and copying.
- (7) The ~~credentials~~ credentialing committee shall review peer recommendations and the information provided by the member and other persons and make any investigation it determines necessary and submit a written recommendation to the medical executive committee on whether to reappoint the member and the extent of privileges, if any, to be granted. Such written recommendation shall be submitted to the medical executive committee for action at its next meeting and to the board at its next meeting after the medical executive committee meeting. When the decision of the medical executive committee results in a decision of non-reappointment or reduction, suspension or revocation of clinical privileges, the medical executive committee shall instruct the medical director to give written notice to the affected member of the decision, the stated reason for the decision, and the member's right to a hearing pursuant to these bylaws. This notification and an opportunity to exhaust the appeal process shall occur prior to an adverse decision unless the provisions

outlined in these bylaws apply. The notice by the medical director shall be sent certified return receipt mail to the affected member's last known address as determined by the Ohio state university records. If the affected member of the medical staff does not make a written request for a hearing to the medical director within thirty-one days after receipt of the adverse decision, it shall be deemed a waiver of the right to any hearing or appeal as provided in these bylaws to which the staff member might otherwise have been entitled on the matter. If a timely, written request for hearing is made, the procedures set forth in these bylaws shall apply.

(8) and (9) unchanged.

(G) Unchanged. (B/T 5/7/99, B/T 10/5/2000, B/T 11/7/2003)

~~3335-45-05~~ 3335-45-06 Categories of the medical staff.

(A) The medical staff.

The medical staff is divided into honorary medical staff, consulting medical staff, active medical staff, courtesy medical staff, limited staff, and peer review categories.

(B) The honorary medical staff.

(1) The honorary medical staff ~~will~~ shall be composed of those individuals who hold emeritus faculty status and who are recognized for outstanding reputation, notable scientific and professional contributions, and high professional stature. Nomination may be made to the medical director who shall present the candidate to the medical executive committee for approval.

Members of the honorary medical staff shall have access to the medical center and be given notice of all medical staff activities and meetings, however, they shall not be required to attend staff meetings and their medical staff dues shall be waived. They shall enjoy all privileges and responsibilities of active medical staff appointments except the right to vote or hold elected office in the medical staff organization.

(2) The honorary medical staff shall be composed of active and non-active members. Those members who do not desire to exercise clinical privileges ~~will~~ shall make written notice to the medical director who ~~will~~ shall forward the applications with recommendations to the ~~credentials~~ credentialing committee, which shall have the discretion to waive portions of the customary application process. For those members of the honorary medical staff who desire clinical privileges, full credentialing procedures must be followed.

(3) Members of the honorary medical staff of the former Park medical center who do not desire clinical privileges ~~will~~ shall be granted honorary medical staff membership at the hospital.

(C) The consulting medical staff.

(1) The consulting medical staff consists of practitioners with ability in their respective specialties, who have qualifications and who have demonstrated and signified their willingness to respond to requests for consultation.

(2) Members of the consulting medical staff:

(a) through (d) unchanged.

- (D) The active medical staff.
- (1) Unchanged.
 - (2) Members of the active medical staff:
 - (a) through (e) unchanged.
 - (f) Are strongly encouraged to attend ~~at least fifty per cent~~ of the general medical staff meetings and ~~fifty per cent~~ of the meetings of committees and clinical departments of which they are members.
 - (g) Unchanged.
 - (h) Supervise members of the limited staff in the provision of patient care in accordance with accreditation standards and policies and procedures of approved clinical training programs. It is the responsibility of the attending physician to authorize each member of the limited staff to perform only those services which the limited staff member is competent to perform under supervision.
- (E) The courtesy medical staff.
- (1) Unchanged.
 - (2) Members of the courtesy medical staff:
 - (a) through (c) unchanged.
 - (d) Are not eligible for elected medical staff positions (except as stated under paragraph (G)(3) of rule ~~3335-45-10~~ 3335-45-11 of the Administrative Code).
 - (e) Unchanged.
 - (f) Have no vote in medical staff affairs unless appointed to a medical staff committee (except as stated under paragraph (E) of rule ~~3335-45-11~~ 3335-45-12 of the Administrative Code); and
 - (g) Unchanged.
- (F) The limited ~~medical~~ staff.
- Limited staff are not considered full members of the medical staff, do not have delineated clinical privileges and do not have the right to vote in general medical staff elections. Except where expressly stated, members of the limited staff are bound by the terms of these bylaws, the rules and regulations of the medical staff, and the limited staff agreement.
- (1) ~~Members of the limited medical staff are those practitioners who are participating in either a clinical rotation at the hospital as part of their residency training or a fellowship program~~ Qualifications:
 - (a) ~~Conducted by practitioners of the medical staff in good standing; and~~ The limited staff shall consist of doctors of medicine, osteopathic medicine, dentists and practitioners of podiatry or psychology who are accepted in

good standing by a program director into a post-doctoral graduate medical education program and appointed to the limited staff in accordance with these bylaws.

- (b) Approved by the medical executive committee and the board The limited staff shall maintain compliance with the requirements of state law, including regulations adopted by the Ohio state medical board, or the limited staff member's respective licensing board.
 - (c) Members of the limited staff shall possess a valid training certificate or an unrestricted Ohio license from the applicable state board based on eligibility criteria defined by that state board. All members of the limited staff shall be required to successfully obtain an Ohio training certificate prior to beginning training within a program.
- (2) Members of the limited medical staff category Responsibilities:

Each member of the limited staff shall:

- (a) Cannot admit patients Be responsible to respond to all questions and to complete all forms as may be required by the credentialing committee.
- (b) May provide routine and emergency patient care under the supervision of a member of the medical staff Participate fully in the teaching programs, conferences, and seminars of the clinical department in which he or she is appointed in accordance with accreditation standards and policies and procedures of the graduate medical education committee and approved clinical training programs.
- (c) Are not eligible for elected medical staff positions Participate in the care of all patients assigned to the limited staff member under the appropriate supervision of a designated member of the attending or courtesy medical staff in accordance with accreditation standards and policies and procedures of the clinical training programs. The clinical activities of the limited staff shall be determined by the program director appropriate for the level of education and training. Limited staff shall be permitted to perform only those services that they are authorized to perform by the member of the attending or courtesy medical staff based on the competence of the limited staff to perform such services. The limited staff may admit or discharge patients only when acting on behalf of the attending or courtesy medical staff. The limited staff member shall follow all rules and regulations of the service to which the limited staff member is assigned, as well as the general rules of the Ohio state university hospitals pertaining to limited staff. Specifically, a limited staff member shall consult with the attending or courtesy member of the medical staff responsible for the care of the patient before the limited staff member undertakes a procedure or treatment that carries a significant, material-risk to the patient unless the consultation would cause a delay that would jeopardize the life or health of the patient.
- (d) Have no vote in medical staff affairs Serve as a member of various medical staff committees in accordance with established committee composition as described in these bylaws and/or the rules and regulations of the medical staff. The limited staff member shall not be eligible to vote or hold elected office in the medical staff organization but may vote on committees to which the limited staff member is assigned.

- (e) ~~Are not required to attend medical staff meetings~~ Be expected to make regular satisfactory professional progress including anticipated certification by the respective specialty or sub-specialty program of post-doctoral training in which the limited staff member is enrolled. Evaluation of professional growth and appropriate humanistic qualities shall be made on a regular schedule by the clinical departmental chief, program director, teaching faculty or evaluation committee in accordance with accreditation standards and policies and procedures of the approved training programs.
- (f) ~~Are not required to pay dues~~ Appeal by a member of the limited staff of probation, lack of reappointment, suspension or termination for failure to meet expectations for professional growth or failure to display appropriate humanistic qualities or failure to successfully complete any other competency as required by the accreditation standards of an approved training program will be conducted and limited in accordance with written guidelines established by the respective department or training program and approved by the medical director and the Ohio state university hospitals graduate medical education committee as delineated in the limited staff agreement.
- Alleged misconduct by a member of the limited staff, for reasons other than failure to meet expectations of professional growth as outlined above, shall be handled in accordance with rules 3335-45-08 and 3335-45-09 of the Administrative Code.
- (g) ~~Clinical privileges will be immediately terminated without appeal when the limited medical staff member is no longer a participant in the residency or fellowship program.~~
- (h) ~~They shall follow all rules of the clinical department to which they are assigned, as well as hospital rules, including but not limited to requirement that consultation shall be obtained with the active medical staff member responsible for the care of the patient before undertaking a procedure or treatment that carries a significant risk to the patient, unless this consultation would cause a delay that would jeopardize the life or health of the patient.~~
- (i) ~~They will be expected to make satisfactory professional progress within their training program. failure to meet reasonable educational or academic expectations shall be reported to the chief of the clinical department who shall forward such failure to their residency program director and thereafter corrective action or sanction shall occur within the academic programs as established by the Ohio state university and the university limited medical staff contract.~~
- ~~However, allegations of patient care misconduct which fall outside of the professional educational standards, shall be handled in accordance with these rules.~~
- (j) ~~All members of the limited medical staff, with the exception of dentistry, shall make written application for an Ohio license within one year after becoming eligible, and shall thereafter obtain and maintain licensure in Ohio during the term of their postdoctoral education.~~
- (3) ~~Privileges remain in effect until the completion or termination of the residency training program or fellowship at which time the physician in this category is required to apply for provisional staff privileges if he/she wishes to continue on the medical staff~~

Failure to meet reasonable expectations.

Failure to meet reasonable expectations may result in sanctions including but not limited to probation, lack of reappointment, suspension or termination. Termination of limited staff member status shall result in automatic termination of the limited staff member's residency or fellowship appointment pursuant to these bylaws.

(4) ~~Nothing in this rule shall limit the ability of the medical director or designee to grant temporary privileges pursuant to paragraph (F) of rule 3335-45-06 of the Administrative Code~~ Temporary appointments.

(a) Limited staff members who are Ohio state university faculty may be granted an early commencement or an extension of appointment upon the recommendation of the chief of the clinical department, with prior concurrence of the medical director, when it is necessary for the limited staff member to begin his or her training program prior to or extend his or her training program beyond a regular appointment period. These appointments shall not exceed sixty days.

(b) Temporary appointments may be granted upon the recommendation of the chief of the clinical department, with prior concurrence of the medical director, for limited staff members who are not Ohio state university faculty but who, pursuant to education affiliate agreements approved by the university, need to satisfy approved graduate medical education clinical rotation requirements. These appointments shall not exceed a total of one hundred twenty days in any given post-graduate year. In such cases, the mandatory requirement for a faculty appointment may be waived. All other requirements for limited staff member appointment must be satisfied.

(5) ~~When the limited medical staff member no longer participates in the training program, all clinical privileges cease without rights of appeal~~ Supervision.

Limited staff members shall be under the supervision of an attending or courtesy medical staff member. Limited staff members shall have no privileges as such but shall be able to care for patients under the supervision and responsibility of their attending or courtesy medical staff member. The care they extend will be governed by these bylaws and the general rules and regulations of each clinical department. The practice of care shall be limited by the scope of privileges of their attending or courtesy medical staff member. Any concerns or problems that arise in the limited staff member's performance should be directed to the attending or courtesy medical staff member or the director of the training program.

(a) Limited staff members may write orders for the care of patients under the supervision of the attending or courtesy medical staff member.

(b) All records of limited staff member cases must document involvement of the attending or courtesy medical staff member in the supervision of the patient's care to include co-signature of the history and physical operative report, and discharge summary.

(G) Staff promotions.

(1) Any requests for advancement in medical staff category shall be made in writing to the medical director or designee. All requests for advancement shall be processed in the same manner as an application for initial appointment as set forth in paragraphs (B) to (D) of rule ~~3335-45-04~~ 3335-45-05 of the Administrative Code.

- (2) Unchanged.
- (H) Peer review medical staff.
 - (1) Unchanged.
 - (2) Members ~~will~~ shall generally be appointed for a specific and time limited peer review tasks.
 - (3) and (4) unchanged. (B/T 5/7/99, B/T 10/5/2000, B/T 11/7/2003)

~~3335-45-06~~ 3335-45-07 Clinical privileges.

- (A) Delineation of clinical privileges.
 - (1) Every practitioner practicing at the hospital by virtue of medical staff membership or under authority granted in these rules shall be entitled to exercise only those clinical privileges specifically applied for and granted to the practitioner by the board, except as provided for in this rule. Request for the exercise and delineation of clinical privileges must be made as part of each application for appointment or reappointment to the medical staff on the approved forms provided by the medical executive committee. Requests must be submitted in accordance with rule ~~3335-45-04~~ 3335-45-05 of the Administrative Code and ~~will~~ shall be reviewed in accordance with the provisions of rule ~~3335-45-04~~ 3335-45-05 of the Administrative Code.
 - (2) Each clinical department ~~will~~ shall develop clinical criteria and standards for the evaluation of privileges as provided for in rule ~~3335-45-04~~ 3335-45-05 of the Administrative Code and paragraph (B)(4)(d) of rule ~~3335-45-12~~ 3335-45-13 of the Administrative Code with emphasis on invasive or therapeutic procedures or treatment which presents significant risk to the patient or for which specific professional training or experience is required. Requests for clinical privileges ~~will~~ shall be evaluated based upon the applicant's education, training, experience, demonstrated competence, references, and other relevant information, including the direct observation and review of records of the applicant's performance by the clinical department in which the privileges are exercised. Whenever possible, the review should be a primary source of information. The applicant has the burden of establishing the qualifications for the privileges requested.
 - (3) and (4) unchanged.
- (B) Special privileges.

Under special circumstances, privileges at the hospital may be granted to a doctor of medicine, osteopathic medicine, dental surgery, and to a practitioner of psychology or podiatry by the medical director with the concurrence of the chief of the clinical department or designee where the practitioner is to exercise privileges. The extent of privileges ~~will~~ shall be governed by the applicant's training and experience and ~~will~~ shall be in keeping with clinical department guidelines. The duration of privileges ~~will~~ shall be determined by the medical director. The granting and delineation of privileges shall be consistent with the application procedures for clinical privileges set forth in these rules.

Special privileges may be extended upon the recommendation of the chief of the clinical department, with the concurrence of the medical director, only for members of the limited staff who are not employed by the hospital, to permit them to satisfy approved graduate medical education clinical rotations of one hundred twenty days or less at the hospital. All

requirements for special privileges must be satisfied.

Special privileges ~~will~~ shall be extended to visiting medical faculty or for special activities as provided by the state medical or dental boards.

(C) Podiatric privileges.

All patients admitted for podiatric care shall receive the same medical appraisal as all other hospitalized patients. A member of the medical staff who is a doctor of medicine or osteopathic medicine shall co-admit the patient and be responsible for the history and physical and medical care that may be required at the time of admission or that may arise during hospitalization, and shall determine the need of any proposed procedure on the total health status of the patient. The podiatrist ~~will~~ shall be responsible for the podiatric care of the patient including the podiatric history and physical examination and all appropriate elements of the patient's record.

(D) Psychology privileges.

Psychologists shall be granted clinical privileges based upon their training, experience and demonstrated competence and judgment consistent with their license to practice. They ~~will~~ shall not prescribe drugs, or perform surgical procedures, or in any other way practice outside the area of their approved clinical privileges or expertise.

Psychologists may not admit patients to the hospital. In inpatient settings they may diagnose and treat a patient's psychological illness as part of the patient's comprehensive care. All patients admitted for psychological care shall receive the same medical appraisal as all other hospitalized patients. A member of the medical staff who is a doctor of medicine or osteopathic medicine shall admit the patient and be responsible for the history and physical and medical care that may be required during the hospitalization, and shall determine the appropriateness of any psychological therapy on the total health status of the patient. Psychologists may provide consultation within their area of expertise on the care of patients within university hospitals.

In outpatient settings, they ~~will~~ shall diagnose and treat their patient's psychological illness. They ~~will~~ shall ensure that their patients receive referral for appropriate medical care.

Psychologists ~~will~~ shall be responsible to the chief of the clinical department in which they are appointed.

(E) Dental privileges.

(1) Practitioners of dentistry, who have not been granted privileges as oral and maxillofacial surgeons, may admit patients to the hospital if such patients are being admitted solely to receive care which a dentist may provide without medical assistance, pursuant to the scope of the professional license of the dentist. Practitioners of dentistry and/or podiatry must, in all other circumstances co-admit patients with a physician member of the medical staff. A physician member of the medical staff will be responsible for any medical problems that the patient has while an inpatient of the hospital.

(2) A member of the medical staff who is a doctor of medicine or osteopathy:

(a) Shall be responsible for the history and physical and any medical problems that the patient has while an inpatient of the Ohio state university hospitals; and

(b) Shall confirm the findings, conclusions and assessment of risk prior to high risk diagnosis or therapeutic interventions defined by the medical staff.

(3) Practitioners of dentistry shall be responsible for the dental care of the patient including the dental history and physical examination and all appropriate elements of the patient's record.

(F) Oral and maxillofacial surgical privileges.

All patients admitted to the Ohio state university hospitals for oral and maxillofacial surgical care shall receive the same medical appraisal as all other hospitalized patients. Qualified oral and maxillofacial surgeons shall admit patients, shall be responsible for the plan of care for the patients, shall perform the medical history and physical examination, if they have such privileges, in order to assess the medical, surgical, and anesthetic risks of the proposed operative and other procedure(s), and shall be responsible for the medical care that may be required at the time of admission or that may arise during hospitalization.

(G) Other licensed health care professionals privileges.

(1) Clinical privileges may be exercised by licensed health care professionals who are duly licensed in the state of Ohio, and who are either:

(a) Members of the faculty of the Ohio state university, or

(b) Employees of the Ohio state university whose employment involves the exercise of clinical privileges, or

(c) Employees of members of the medical staff.

(2) A licensed health care professional as used herein, shall not be eligible for medical staff membership but shall be eligible to exercise those clinical privileges granted pursuant to these bylaws and in accordance with applicable Ohio state law. If granted such privileges under this rule and in accordance with applicable Ohio state law, other licensed health care professionals may perform all or part of the medical history and physical examination of a patient.

(3) Licensed health care professionals shall apply and re-apply for clinical privileges on forms prescribed by the medical executive committee and shall be processed in the same manner as provided in these bylaws.

(4) Licensed health care professionals are not members of the medical staff, shall have no authority to admit or co-admit patients to the Ohio state university hospitals, and shall not be eligible to hold office, to vote on medical staff affairs, or to serve on standing committees of the medical staff unless specifically authorized by the medical executive committee.

(5) Each licensed health care professional shall be individually assigned to a clinical department, or, if appropriate, to a member of the medical staff.

(6) Licensed health care professionals must comply with all limitations and restrictions imposed by their respective licenses, certifications, or legal credentials as required by Ohio law, and may only exercise those clinical privileges granted in accordance with provisions relating to their respective professions.

- (7) Only applicants who can document the following shall be qualified for clinical privileges as a licensed health care professional:
- (a) Current license, certification, or other legal credential required by Ohio law.
 - (b) Certificate of authority, standard care agreement, or utilization plan.
 - (c) Education, training, professional background and experience, and professional competence.
 - (d) Patient care quality indicators' definition for initial appointment. This data shall be in a format determined by the licensed health care professional subcommittee and the quality management department.
 - (e) Adherence to the ethics of the profession for which an individual holds a license, certification, or other legal credential required by Ohio law.
 - (f) Evidence of required immunization.
 - (g) Evidence of good personal and professional reputation as established by peer recommendations.
 - (h) Satisfactory physical and mental health to perform requested clinical privileges.
 - (i) Ability to work with members of the medical staff and the Ohio state university hospitals employees.
- (8) The applicant shall have the burden to produce documentation with sufficient adequacy to assure the medical staff and the Ohio state university hospitals that any patient cared for by the licensed health care professional seeking clinical privileges shall be given quality care, and that the efficient operation of the Ohio state university hospitals shall not be disrupted by the applicant's care of patients in the Ohio state university hospitals.
- (9) By applying for clinical privileges as a licensed health care professional, the applicant agrees to the following terms and conditions:
- (a) The applicant has read the bylaws and rules and regulations of the medical staff of the Ohio state university hospitals east and agrees to abide by all applicable terms of such bylaws and any applicable rules and regulations, including any subsequent amendments thereto, and any applicable Ohio state university hospitals east policies that the Ohio state university hospitals east may from time to time put into effect.
 - (b) The applicant releases from liability all individuals and organizations who provide information to the Ohio state university hospitals east regarding the applicant and all members of the medical staff, the Ohio state university hospitals staff, the Ohio state university hospitals board, and the Ohio state university board of trustees for all acts in connection with investigating and evaluating the applicant.
 - (c) The applicant shall not deceive a patient as to the identity of any practitioner providing treatment or service in the Ohio state university hospitals.

- (d) The applicant shall not make any statement or take any action that might cause a patient to believe that the licensed health care professional is a member of the medical staff.
 - (e) The applicant shall not perform any patient care in the Ohio state university hospitals that is not permitted under the applicant's license, certification, or other legal credential required under Ohio law.
 - (f) The applicant shall obtain and continue to maintain professional liability insurance in such amounts required by the medical staff.
- (10) Licensed health care professionals shall be subject to corrective action as outlined in this section for violation of these bylaws, their certificate of authority, standard of care agreement, utilization plan, or the provisions of their licensure, including professional ethics. Corrective action may be requested by any member of the medical staff, a chief of the clinical department, or by the medical director. All requests shall be in writing and shall be submitted to the medical director. The medical director shall appoint a three-person committee to review and make recommendations concerning appropriate corrective action. The committee shall consist of at least one licensed health care professional and one medical staff member. The committee shall make a written recommendation to the medical director, who may accept, reject, or modify the recommendation.
- (11) Appeal process.
- (a) A licensed health care professional may submit a notice of appeal to the chairperson of the Ohio state university hospitals board within fourteen days of receipt of written notice of any adverse corrective action pursuant to these bylaws.
 - (b) If an appeal is not so requested within the fourteen-day period, the licensed health care professional shall be deemed to have waived the right to appeal and to have conclusively accepted the decision of the medical director.
 - (c) The appellate review shall be conducted on the record by the professional affairs, education and research committee of the Ohio state university hospitals board.
 - (d) The affected licensed health care professional shall have access to the reports and records, including transcripts, if any, of the hearing committee and of the medical executive committee and all other material, favorable or unfavorable, that has been considered by the medical director. The licensed health care professional shall submit a written statement indicating those factual and procedural matters with which the member disagrees, specifying the reasons for such disagreement. This written statement may cover any matters raised at any step in the procedure to which the appeal is related, and legal counsel may assist in its preparation. Such written statement shall be submitted to the professional affairs, education and research committee no later than seven days following the date of the licensed health care professional's notice of appeal.
 - (e) New or additional matters shall only be considered on appeal at the sole discretion of the professional affairs, education and research committee.
 - (f) Within thirty days following submission of the written statement by the licensed health care professional, the professional affairs, education and

research committee shall recommend to the Ohio state university hospitals board executive committee that the adverse decision be affirmed, modified or rejected, or to refer the matter back to the medical director for further review and recommendation. Such referral to the medical director may include a request for further investigation.

(g) Any final decision by the university hospitals board executive committee shall be communicated by the medical director and by certified return receipt mail to the last known address of the licensed health care professional as determined by university records. The medical director shall also notify in writing the senior vice president for health sciences, the dean of the college of medicine and public health, the executive director of the Ohio state university hospitals, the vice president for health services, and the chief of the applicable clinical department or departments. The medical director shall take immediate steps to implement the final decision.

(12) A medical staff member may employ or utilize the services of a non-licensed health care professional, professional assistant in the administration of patient care if this assistant has a defined job description, has received credentials review and approval by the appropriate hospital reviewing body, and is operating within existing standards, policies, and procedures of the Ohio state university hospitals. The medical staff member shall be responsible for all patient care activities performed on the medical staff member's behalf.

~~(F)~~(H) Temporary privileges.

(1) The medical director or designee, with the concurrence of the chief of the clinical department where the practitioner will be exercising clinical privileges, may grant temporary privileges to a practitioner under the following circumstances: Temporary privileges may be extended to a doctor of medicine, osteopathic medicine, dental surgery, psychologist, podiatry or to a licensed health care professional upon completion of an application prescribed by the medical executive committee, upon recommendation of the chief of the clinical department, and approval by the medical director. The medical director, acting as a member and on behalf of the university hospitals board, has been delegated responsibility by the university hospitals board to grant approval of temporary privileges. The temporary privileges granted shall be consistent with the applicant's training and experience and with clinical department guidelines. Prior to granting temporary privileges, primary source verification of licensure and current competence shall be required. Temporary privileges shall be limited to situations which fulfill an important patient-care need, and shall not be granted for a period of more than ninety days.

(a) When the medical director believes circumstances warrant granting temporary privileges to a practitioner during the processing of the practitioner's application for initial appointment or reappointment to the medical staff; or

(b) To a practitioner who is not an applicant for medical staff membership for the care of a specific patient. If the medical director and the chief of the applicable clinical department mutually agree that a practitioner's use of temporary privileges under this paragraph has become excessive, the medical director and the chief of the applicable clinical department may require that the practitioner apply for membership on the medical staff before attending additional patients in the hospital.

(2) Temporary privileges may be extended to visiting medical faculty or for special activity as provided by the Ohio state medical or dental board.

~~(2)(3)~~ All practitioners requesting temporary privileges must have a valid Ohio license and current malpractice insurance coverage. ~~The practitioner must provide evidence of current competence in the areas in which he or she is requesting privileges. The evidence of competency offered by the practitioner should then be verified at the primary source(s) prior to granting the privileges requested.~~

~~(3)(4)~~ Unchanged.

~~(4)(5)~~ Unchanged.

~~(5)(6)~~ Unchanged.

~~(G)(I)~~ Emergency privileges.

(1) through (3) unchanged.

(J) Disaster privileges.

Disaster privileges may be granted by the medical director or his or her designee to fully licensed, qualified individuals who at the time of the disaster are not members of the medical staff in order to provide voluntary services during a local, state, or national disaster in accordance with hospital/medical staff policy. The medical director may seek the recommendation of the chief of the clinical department. These privileges shall be limited in scope and shall terminate once the disaster subsides or at the discretion of the medical director.

(K) Telemedicine.

Telemedicine involves the use of electronic communication or other communication technologies to provide or support clinical care at a distance. Diagnosis and treatment of a patient may now be performed via telemedicine link.

(a) A member of the medical staff who wants to render care via telemedicine must so indicate on his or her application for clinical privileges.

(b) Generally, a member of the medical staff shall be entitled to the same clinical privileges via telemedicine for which he or she has been granted privileges. The credentialing committee, the chief of the clinical service, the medical director or the medical executive committee shall have the prerogative of requiring documentation or making a determination of the appropriateness for the exercise of a particular specialty/sub-specialty via telemedicine. (B/T 5/7/99, B/T 10/5/2000, B/T 11/7/2003)

~~3335-45-07~~ 3335-45-08 Peer review and corrective action.

(A) and (B) unchanged.

(C) Investigation.

(1) Upon its appointment, the ad hoc committee ~~will~~ shall immediately proceed to investigate, in any manner it deems appropriate, the allegations contained in the request for peer review. In conducting its investigation, the ad hoc committee may, but is not required to: interview the affected practitioner; interview any other individuals who may have information pertinent to the investigation; review any

relevant medical records; review any relevant quality improvement data or studies; obtain the review of the relevant medical records by an individual within the same or similar specialty as the affected practitioner; and undertake any other actions which are intended to provide information relevant to the investigation. The ad hoc committee shall attempt to determine the facts surrounding the request for peer review, and whether peer review is appropriate under the circumstances. If formal review is not necessary or appropriate, the ad hoc committee shall work with the affected practitioner and the person requesting the peer review to informally resolve the problems or concerns resulting in the request for peer review. The ad hoc committee's investigation and any interviews conducted shall not constitute a hearing and none of the procedural rules provided in these rules with respect to a hearing shall apply.

- (2) Within fourteen days after its appointment, or within ten days after its appointment in the case of a summary suspension under paragraph (E)(3)(b) of this rule, the ad hoc committee ~~will~~ shall prepare a written report of its investigation, factual findings and recommendations to the medical executive committee as to what action, if any, should be taken regarding the request for peer review. The ad hoc committee may recommend one or more of the following actions:

(a) through (d) unchanged.

In addition, in the case of a summary suspension under paragraph (E)(3)(b) of this rule, the ad hoc committee shall make a finding as to whether or not the practitioner's exercise of clinical privileges may result in an imminent danger to the health of any individual. If the ad hoc committee finds that the practitioner's exercise of privileges may result in such danger, the summary suspension shall continue in effect until the conclusion of the peer review process and any hearing and appeal. Otherwise, the summary suspension shall terminate by its own terms.

- (3) Unchanged.

(D) Action on ad hoc committee recommendations.

- (1) Unchanged.

- (2) The medical director shall notify the practitioner of the recommendation and, in the case of a recommendation which may adversely affect the practitioner's status as a member of the medical staff or exercise of clinical privileges, the right to a hearing under rule ~~3335-45-08~~ 3335-45-09 of the Administrative Code. Such notification shall be sent by certified mail, return receipt requested. The medical executive committee's recommendation shall not be transmitted to the board until the practitioner has exercised or waived the applicable hearing and appeal rights under rule ~~3335-45-08~~ 3335-45-09 of the Administrative Code.

(E) Summary suspension.

- (1) Upon the recommendation or concurrence of either the chief of a clinical department, the chief of staff, the medical executive committee or the board, the medical director may suspend all or a portion of the clinical privileges or terminate his or her appointment of a practitioner, effective immediately, without prior notice or opportunity for a hearing. Such a summary suspension shall be imposed when immediate action is necessary or appropriate in the best interest of the patients in the hospital or in the best interest and safety of medical staff members or hospital employees.

- (2) The medical director shall immediately give notice of such summary suspension or appointment termination to the affected practitioner by hand delivery and by certified mail, return receipt requested. In addition, the medical director shall notify the chief medical executive officer and the executive committee of the summary suspension.
 - (3) The medical executive committee shall review the summary suspension within seventy-two hours of its imposition and either:
 - (a) Lift the summary suspension;
 - (b) Maintain or modify the summary suspension for a total period of up to ten days while the investigation process set forth in paragraph (C) of this rule proceeds; or
 - (c) Maintain or modify the summary suspension for an indefinite period of time, until the conclusion of the peer review process and any hearing and appeal, based upon the medical executive committee's conclusion that the practitioner's exercise of clinical privileges may result in an immediate danger to the health of any individual. The medical executive committee shall treat the imposition of a summary suspension, whether or not it continues in effect, as a request for corrective action pursuant to this rule.
 - (4) Upon the imposition of a summary suspension, the medical director or the chief of the clinical department concerned shall have the authority to provide alternate coverage for patients of the suspended practitioner who are in the hospital at the time of the suspension. The wishes of the patients shall be considered in the selection of such alternative practitioner.
- (F) Automatic suspension or termination.
- (1) and (2) unchanged.
 - (3) Any medical staff member or licensed health care professional whose participation in any federally funded reimbursement program is terminated by those programs, or who is otherwise excluded or suspended from participation in whole or part in these programs for reasons of competency due to clinical competency or professional ethics or character, shall automatically relinquish all clinical privileges as of the effective date of the termination, exclusion or suspension. If the medical staff member's or licensed health care professional's participation in these programs is not fully reinstated by the expiration of the medical staff member's or licensed health care professional's then current reappointment term, the affected medical staff member or licensed health care professional ~~will~~ shall be deemed to have relinquished all clinical privileges at that time. It shall be the duty of all medical staff members and licensed health care professionals to promptly inform the medical director of any action taken, or the initiation of any process which could lead to such action taken by any of these programs.
 - (4) A practitioner who fails to report to the hospital any restriction or condition identified in paragraph (A)(4)(i) of rule ~~3335-45-04~~ 3335-45-05 of the Administrative Code within thirty days of imposition shall result in automatic termination of medical staff membership and clinical privileges.
 - (5) An automatic suspension of all of a practitioner's admitting and clinical privileges (except with regard to the practitioner's current inpatients) may be imposed by the medical director for failure to complete medical records in a timely fashion as defined by the medical staff rules and regulations. Such suspension shall remain in effect

until such time as all delinquent medical records have been completed and filed with the medical records department information management of the hospital as determined by the medical director. Repeated failure to complete medical records within the time limits specified in the medical staff rules and regulations may result in the filing of a request for peer review against the practitioner.

- (6) Any practitioner who fails to submit a timely and complete reappointment application or to provide information requested by the ~~credentials~~ credentialing committee during the reappointment process as described in paragraph (F) of rule ~~3335-45-04~~ 3335-45-05 of the Administrative Code shall be deemed to have voluntarily resigned from the medical staff and shall result in the automatic termination of membership and all clinical privileges upon expiration of the practitioner's then current grant of medical staff membership and clinical privileges.
- (7) and (8) unchanged.
- (9) A practitioner's admitting privileges may be temporarily restricted pursuant to paragraph (D) of rule ~~3335-45-03~~ 3335-45-04 of the Administrative Code in the interests of balanced teaching and patient care.
- (10) Loss or non-renewal of faculty appointment if required as a condition of medical staff membership or clinical privileges ~~will~~ shall result in an automatic and immediate termination of medical staff membership or clinical privileges.
- (11) Failure to meet or maintain board certification or recertification as required in paragraph (A)(4)(c) of rule ~~3335-45-04~~ 3335-45-05 of the Administrative Code shall result in automatic and immediate termination of medical staff membership unless waived in accordance with these rules.
- (12) A practitioner under automatic suspension by operation of this paragraph shall not be entitled to the procedure rights provided in rule ~~3335-45-08~~ 3335-45-09 of the Administrative Code. Such suspension is not the result of any adverse professional review action or recommendation of the board, the staff, or any committee or department.
- (13) Any practitioner's clinical privileges automatically suspended under (F)(1) or (F)(2) of this paragraph shall not, by the passage of time or the curing of the event which gave rise to automatic suspension, be automatically reinstated. Instead, in order to regain clinical privileges, such practitioners shall be required to file an application for medical staff membership and clinical privileges which application shall be processed as provided in rule ~~3335-45-04~~ 3335-45-05 of the Administrative Code. (B/T 5/7/99, B/T 10/5/2000, B/T 11/7/2003)

~~3335-45-08~~ 3335-45-09 Hearing and appellate review.

(A) Definitions Right to hearing and to an appeal.

The following terms shall have these meanings when used in this article, unless otherwise specified:

- (1) "Notice" means written notice sent by certified mail, return receipt requested ~~When a member of the medical staff who has exhausted all remedies under paragraphs (E) and (F) of rule 3335-45-05 of the Administrative Code on appointment or reappointments; or under rule 3335-45-08 of the Administrative Code for corrective action; or who has been summarily or automatically suspended under paragraph (E) or (F) of rule 3335-45-08 of the Administrative Code; or who receives notice of a~~

proposed action that will adversely affect membership on the medical staff or the exercise of clinical privileges, or whose membership has been immediately terminated under paragraph (C)(2) or (D)(4) of rule 3335-45-04 of the Administrative Code, the staff member shall be entitled to an adjudicatory hearing.

(2) ~~"Adverse professional review recommendation or action" refers to a recommendation or action by the medical executive committee or an action by the board, which may adversely affect the practitioner's appointment to or status as a member of the medical staff or the practitioner's exercise of clinical privileges. All hearings and appeals shall be in accordance with the procedural safeguards set forth in this rule to assure that the affected medical staff member is accorded all rights to which the member is entitled.~~

(3) ~~"Parties" shall mean the affected practitioner and either the member of the medical executive committee designated to represent the medical executive committee's position in the case of a hearing on an adverse professional review recommendation or decision by the medical executive committee, or the member of the board designated to represent the board position in the case of a hearing on an adverse professional review decision of the board.~~

(B) ~~Adverse professional review recommendation or action~~ Request for hearing.

~~A practitioner shall be entitled to a hearing pursuant to the provisions of these rules only after an adverse professional review recommendation or adverse professional review action involving:~~

(1) ~~Denial of initial appointment to staff status, except where the application does not meet the minimum objective requirements set forth in rule 3335-45-03 of the Administrative Code for medical staff membership. The request for a hearing shall be submitted in writing by the affected medical staff member to the medical director within thirty-one days of notification by the medical director of the intended action. The medical director shall forward the request to the medical executive committee along with instructions to convene a hearing.~~

(2) ~~Denial of reappointment. The failure of a medical staff member to request a hearing, to which the member is entitled by these bylaws within the time and in the manner herein provided, shall be deemed a waiver of the right to any review by the medical executive committee. The medical director shall then implement the decision and that action shall become and remain effective against the medical staff member in the same manner as a final decision of the Ohio state university hospitals board as provided for in paragraph (F) of this rule. The medical director shall promptly inform the affected medical staff member that the proposed decision, which had entitled the medical staff member to a hearing, has now become final.~~

(3) ~~Summary suspension of staff status.~~

(4) ~~Revocation or termination of staff status, except where continued appointment to the medical staff was contingent upon continuance of a contractual relationship with the hospital.~~

(5) ~~Denial of requested advancement in staff status.~~

(6) ~~Reduction in staff status.~~

(7) ~~Denial of requested clinical privileges.~~

- ~~(8) Reduction in clinical privileges.~~
 - ~~(9) Summary suspension of clinical privileges.~~
 - ~~(10) Revocation of clinical privileges.~~
 - ~~(11) Requirement of consultation, supervision or monitoring which restrict the clinical privileges of the practitioner or the delivery of professional services to patients.~~
 - ~~(12) Non-reinstatement of staff status of clinical privileges after a leave of absence.~~
 - ~~(13) Such other actions which constitute a reportable adverse professional review decision under the Healthcare Quality Improvement Act of 1986 as amended, or state law.~~
 - ~~(14) Notwithstanding the foregoing, none of the following actions or recommendations shall be "adverse professional review actions or recommendations" entitling a practitioner to the due process rights of this rule:

 - ~~(a) Requirements of consultation, supervision or monitoring which are imposed on a practitioner but do not restrict the clinical privileges of the practitioner or the delivery of professional services to patients.~~
 - ~~(b) Automatic suspensions or terminations pursuant to paragraph (E) of rule 3335-45-07 of the Administrative Code.~~
 - ~~(c) Actions by the medical executive committee adopting a sanction as described in paragraphs (C)(2)(d)(i) or (C)(2)(d)(ii) of rule 3335-45-07 of the Administrative Code.~~
 - ~~(d) Denial, termination or reduction of temporary privileges.~~
 - ~~(e) Denial of an initial appointment to staff status because responses from references and from other requests for information have not been received in a timely manner; and denial of reappointment because responses from any references required and asked to provide information and from other requests for information have not been received in a timely manner.~~
 - ~~(f) Denial of reappointment because of failure to file a completed reappointment application in a timely manner.~~
 - ~~(g) Any recommendation or action which does not constitute a reportable adverse professional review recommendation or action under the Healthcare Quality Improvement Act of 1986 as amended, or state law.~~~~
- (C) Right to hearing and appellate review Notice of hearing.
- (1) A practitioner shall be entitled to a hearing only upon request and only after After receipt of a timely request for hearing by the medical director from a medical staff member entitled to such hearing, the medical executive committee shall be notified of the request for hearing by the medical director and shall at the next scheduled meeting take the following action:
 - (a) An adverse professional review recommendation by the medical executive committee; Instruct the medical director and chief of staff to jointly appoint within seven days a hearing committee, consisting of five members of the

medical staff who are not members of the medical executive committee, are not direct competitors, do not have a conflict of interest, and who have not previously participated in the peer review of the matter under consideration.

(b) An adverse professional review action by the board contrary to a favorable recommendation by the medical executive committee; or Instruct the hearing committee to schedule and arrange for a hearing which hearing, or initial hearing, should more than one hearing be required, shall be conducted not less than thirty days nor more than sixty days from the date of the receipt of the request for hearing by the medical director; provided, however, that a hearing for a medical staff member who is under suspension, which is then in effect, shall be held as soon as arrangements may be reasonably made.

(c) An adverse professional review action by the board in the absence of a recommendation by the medical executive committee.

(2) The hearing procedure following an adverse professional review recommendation by the medical executive committee shall consist of a hearing before a hearing committee or hearing officer appointed pursuant to paragraph (l) of this rule and an appellate review of an adverse professional review recommendation or action by the hearing committee as such appellate review is provided for in these rules. The hearing procedure following an adverse professional review action of the board pursuant to (1)(b) or (1)(c) of this paragraph shall consist of a hearing before a hearing committee or hearing officer appointed pursuant to paragraph (l) of this rule and an appellate review of an adverse professional review recommendation by the hearing committee as such appellate review is provided for in these rules. The medical staff member shall be given at least ten days prior notice of the scheduled hearing, provided that this notice may be waived in writing by the medical staff member. Notice shall be by certified return receipt mail to the staff member at the staff member's last known address as reflected by university records. The notice of hearing shall state in concise language the acts or omissions with which the medical staff member is charged; a list of representative charts or documents being used; names of potential witnesses to be called; and any other reason or evidence that may be considered by the medical executive committee during the hearing.

(D) Voluntary limitations Conduct of hearing.

At any time after an adverse professional review recommendation or adverse professional review action (including a summary professional review action) and prior to the final action of the board, the affected practitioner may request to agree to the proposed action or recommendation or to resign from staff status and surrender his or her clinical privileges or to agree to a modification of the proposed action or recommendation. The medical executive committee or board, whichever body initiated the adverse professional review recommendation or action, shall accept or reject the practitioner's proposal or suggest an alternative proposal. The chief executive officer or designee shall report to the state medical board or other authorities, as required by state and/or federal law, a practitioner who resigns from the medical staff and surrenders his or her clinical privileges pursuant to this paragraph.

(1) The hearing committee shall select a chairperson from the committee membership to preside over the hearing. The hearing committee shall have benefit of Ohio state university legal counsel. The hearing committee may grant continuances, recesses, and the chairperson may excuse a member of the hearing committee from attendance temporarily for good cause, provided that there shall be at no time less than four members of the hearing committee present unless the affected staff member waives this requirement.

All members of the hearing committee must be present to deliberate and vote. No member may vote by proxy. The person who has taken action from which the affected staff member has requested the hearing shall not participate in the deliberation or voting of the hearing committee. The hearing shall be a de novo hearing, although evidence of the prior recommendations and decisions may be presented.

- (2) An accurate record of the hearing shall be kept. The mechanism for taking the record shall be by the use of a professional stenographer. This record shall be available to the affected member of the medical staff upon request at the member's expense.
- (3) The personal presence of the medical staff member for whom the hearing has been scheduled shall be required. A medical staff member who fails without good cause to appear and proceed at such hearing shall be deemed to have waived all rights to appear and to have a hearing before the medical executive committee in the same manner as provided in paragraph (B) of this rule, and to have accepted the adverse recommendation or decision involved and the same shall therein become and remain in effect as provided in paragraph (B) of this rule. The medical executive committee may, in its own discretion, order the hearing committee to proceed with the hearing without the medical staff member and impose a sanction which is greater or lesser than that originally imposed.
- (4) The hearing need not be conducted strictly according to the rules of law related to the examination of witnesses or presentation of evidence. Any relevant matters upon which responsible persons customarily rely in the conduct of serious affairs shall be considered, regardless of the existence of any common law or statutory rule which might make evidence inadmissible over objection in civil or criminal action. The member of the medical staff for whom the hearing is being held shall, prior to, or during the hearing, be entitled to submit memoranda concerning any issues of procedure or of fact and such memoranda shall become a part of the hearing record.
- (5) The affected medical staff member shall have the following rights: to be represented by an attorney at law and to call and examine witnesses; to introduce evidence; to cross-examine any witnesses on any matter relevant to the issue of the hearing; and to challenge any witness and to rebut any evidence. If the medical staff member does not testify in his or her own behalf, the staff member may be called and examined as if under cross-examination.
- (6) The hearing committee shall request the person who has taken the action from which the affected staff member has requested the hearing to present evidence to the hearing committee in support of the adverse recommendation. The hearing committee may proceed to hear evidence and testimony from either party in whatever order the hearing committee deems appropriate. The hearing committee may call its own witnesses, may recall any parties' witnesses, and may question witnesses as it deems appropriate. All parties shall be responsible to secure the attendance of their own witnesses. All witnesses and evidence received by the hearing committee shall be open to challenge and cross-examination by the parties. Witnesses shall not be placed under oath. At the close of the evidence the hearing committee may request each party to make summary statements, either oral or written. The hearing committee may request legal representation from the Ohio state university.
- (7) The hearing committee may, without special notice, recess the hearing and reconvene the same for the convenience of the participants or for the purpose of obtaining new or additional evidence or consultation. The hearing committee shall

make its best effort to expeditiously determine the issues presented. The hearing committee may elect to limit its proceedings when sufficient material has been received. The parties may be required by the hearing committee to provide evidence in oral or written form. Upon conclusion of the presentation of oral and written evidence, the hearing shall be closed. The committee may thereupon, at a time convenient to itself, conduct its deliberations outside the presence of the medical staff member for whom the hearing was convened.

- (8) Within sixty days after its appointment, the hearing committee shall forward its written report and recommendation together with the transcript of the hearing and all other documentation provided by the parties to the medical executive committee. The affected medical staff member shall be notified of the recommendation of the hearing committee including a statement of the basis for the recommendation. The medical executive committee shall accept, reject, or modify the recommendation of the hearing committee. The medical executive committee may conduct further hearings as it deems necessary or may remand the matter back to the hearing committee for further action as directed. The medical executive committee may impose a greater or lesser sanction than that recommended by the hearing committee.
- (9) The medical executive committee shall submit a written report, including its recommendation to the chairperson of the university hospitals board within fourteen days of the final vote by the medical executive committee. An adverse action which must be reported to the state medical board or the federal government, including the national practitioner data bank, shall entitle an affected medical staff member to the procedures of this rule. The affected member of the medical staff shall be notified of the decision of the medical executive committee by the medical director.
- (10) The decision and record of the medical executive committee shall be transmitted to the professional affairs, education and research committee of the university hospitals board, which shall, subject to the affected member's right to appeal and implementation of paragraph (E) of this rule, consider the matter at its next scheduled meeting, or at a special meeting to be held no less than thirty days following receipt of the transmittal. The professional affairs, education and research committee may accept, reject, or modify the decision of the medical executive committee.
- (11) The recommendation of the professional affairs, education and research committee shall be promptly considered by the university hospitals board, or the executive committee of the hospitals board, at its next scheduled meeting. The university hospitals board, or the executive committee of the university hospitals board, may accept, reject, or modify the recommendation of the professional affairs, education and research committee.
- (12) A copy of the university hospitals board decision shall be sent certified return receipt mail to the affected medical staff member at the member's last known address as determined by university records.

~~(E) Notice of adverse professional review recommendation or action~~ Appeal process.

~~When an adverse professional review recommendation or action has been made or taken which entitles a practitioner to a hearing as provided in paragraph (B) of this rule, the medical director shall, within ten days thereafter, provide notice by certified mail return receipt requested to the practitioner, which notice will include:~~

- ~~(1) That the practitioner is the subject of an adverse professional review~~

~~recommendation or action; Within thirty days after receipt of a notice by an affected medical staff member of the decision of the medical executive committee, the member may, by written notice to the chairperson of the Ohio state university hospitals board, request an appeal. The appeal shall only be held on the record before the medical executive committee.~~

- (2) ~~The practitioner's right to request a hearing; If an appeal is not requested within thirty days, the affected medical staff member shall be deemed to have:~~
 - (a) Waived the member's right to appeal, and
 - (b) Accepted the adverse decision.
- (3) ~~The time limit within which to request the hearing; and~~ The appeal shall be conducted by the professional affairs, education and research committee of the university hospitals board.
- (4) ~~A summary of hearing rights. The affected medical staff member shall have access to the reports and records, including transcripts, if any, of the hearing committee and of the medical executive committee and all other material, favorable or unfavorable, that has been considered by the medical executive committee. The staff member shall then submit a written statement indicating those factual and procedural matters with which the member disagrees, specifying the reasons for such disagreement. This written statement may cover any matters raised at any step in the procedure to which the appeal is related, and legal counsel may assist in its preparation. Such written statement shall be submitted to the professional affairs, education and research committee no later than seven days following the date of the affected member's notice of appeal.~~

~~The notice shall also contain the reasons for the recommendation or action including a concise statement of the practitioner's alleged acts or omissions and, where appropriate, a list of specific or representative patient charts in question or the other reasons or subject matter forming the basis for the adverse professional review recommendation or action.~~

- (5) New or additional matters not raised during the hearing or in the medical executive committee hearings shall only be considered on appeal at the sole discretion of the professional affairs, education and research committee.
- (6) Within fourteen days following submission of the written statement by the affected medical staff member, the professional affairs, education and research committee shall recommend to the Ohio state university hospitals board that the adverse decision be affirmed, modified or rejected, or to refer the matter back to the medical executive committee for further review and recommendation. Such referral to the medical executive committee may include a request for further investigation.
- (7) Any final decision by the university hospitals board shall be communicated by the medical director and by certified return receipt mail to the affected medical staff member at that member's last known address as determined by university records. The medical director shall also notify in writing the senior vice president for health sciences, the dean of the college of medicine and public health, the executive director of the Ohio state university hospitals, the vice president for health services, chief of staff, the clinical department chief, and the person(s) who initiated the request for peer review. The medical director shall take immediate steps to implement the final decision.

(F) ~~Request for hearing.~~

~~A practitioner shall have thirty days following his receipt of a notice pursuant to paragraph (E) of this rule to file a written request for a hearing. Such a request shall be delivered to the medical director by certified mail return receipt requested.~~

~~(G) Waiver by failure to request a hearing.~~

~~A practitioner who fails to request a hearing within the time and in the manner specified in paragraph (F) of this rule waives any right to such hearing and to any appellate review to which he or she might otherwise have been entitled. Such waiver in connection with:~~

- ~~(1) An adverse professional review action by the board shall constitute acceptance of that action which shall thereupon become effective as of the final action of the board.~~
- ~~(2) An adverse professional review recommendation by the medical executive committee shall constitute acceptance of the recommendation which shall thereupon become and remain effective pending the final action of the board. A practitioner who has waived the right to a hearing regarding an adverse professional review recommendation of the medical executive committee is not entitled to a hearing on an adverse professional review action of the board based upon such adverse professional review recommendation of the medical executive committee.~~

~~(H) Notice of hearing.~~

- ~~(1) Upon receipt of a request for hearing, occasioned by an adverse professional review recommendation of the medical executive committee, the medical director will give notice of the request for hearing to the chief of staff and to the chief executive officer. Persons to serve on the hearing committee or as the hearing officer shall be immediately appointed as provided in paragraph (I) of this rule. If a hearing is the result of an adverse professional review action of the board pursuant to paragraphs (C)(1)(b) and (C)(1)(c) of this rule, upon receipt of a timely request for a hearing, the medical director shall deliver such request to the board and the board shall schedule and arrange for a hearing.~~

~~The medical director will arrange a hearing to be held not less than thirty days, nor more than forty-five days after receipt of the request for a hearing. However, in the case of a practitioner who is subject to a summary suspension then in effect, the hearing shall be held as soon as appropriate arrangements may reasonably be made, but not later than thirty days from the date of receipt of the request for hearing, unless the practitioner waives, in writing, the right to have the hearing conducted within that time period.~~

- ~~(2) The medical director shall send a notice of the date, time, and place of the hearing by certified mail, return receipt requested to the affected practitioner and to the member(s) of the hearing committee or hearing officer as soon as arrangements for the hearing have been made. Such notice shall also include a list of witnesses, if any, expected to testify on behalf of the medical executive committee or the board, depending on whose action prompted the request for a hearing. Such notice shall be sent to the practitioner at least thirty days in advance of the hearing, unless a summary suspension is currently in effect, in which case the notice shall be sent as soon as reasonably possible.~~

~~(I) Hearing committee.~~

- ~~(1) The hearing committee shall be an ad hoc committee appointed at the time a request for hearing is received.~~

- (2) ~~For hearings occasioned by an adverse professional review recommendation of the medical executive committee, the hearing committee will consist of one of the following alternatives as determined jointly by the chief of staff and the medical director:~~
- (a) ~~Five members of the medical staff who are not in direct economic competition with the practitioner, appointed jointly by the chief of staff and the medical director. The hearing committee shall designate one of these members as chair of the hearing committee; or~~
 - (b) ~~A hearing officer or arbitrator who is appointed jointly by the chief of staff and medical director, who is acceptable to the practitioner and to the medical executive committee, and who is not in direct economic competition with the affected practitioner involved.~~

~~No one who actively participated in the consideration of the adverse recommendation or action shall be appointed to the hearing committee.~~

- (3) ~~For hearings occasioned by an adverse professional review action of the board pursuant to paragraph (C)(1)(b) or (C)(1)(c) of this rule, a hearing committee shall be appointed by the chair of the board in consultation with the medical director and will be composed of not less than three nor more than five persons. At least one medical staff member shall be included on the committee and the remaining members of the committee may be members of the board, the medical staff or any other person. Any medical staff member so appointed shall not be in director economic competition with the affected practitioner.~~

(J) ~~Conduct of hearing.~~

- (1) ~~A majority of the hearing committee members must be present at the hearing and no member may vote by proxy. An action of the hearing committee requires the affirmative vote of at least three members, unless the hearing officer alternative is chosen.~~
- (2) ~~The practitioner must be physically present at the hearing. Absence without good cause constitutes a waiver of all rights under this rule and an acceptance of the adverse recommendation or action. The hearing committee or hearing officer, as applicable, has sole discretion to define "good cause." If good cause is shown, the hearing committee or hearing officer will reschedule the hearing as soon as practical.~~
- (3) ~~Postponement of the hearing beyond the time limits of this rule may be made only for good cause and with the approval of a majority of the hearing committee or the hearing officer, as applicable. The hearing committee or hearing officer, as applicable, has sole discretion to define "good cause".~~
- (4) ~~The practitioner may be accompanied and/or represented by either legal counsel, or a member of the medical staff, or other person of the practitioner's choice. If either party will be accompanied or represented by legal counsel, written notice of such must be given to the other party at least seventy-two hours in advance of the hearing.~~
- (5) ~~The chair of the hearing committee or the hearing officer will determine the order of the proceeding, assuring all participants receive a reasonable opportunity to present relevant oral and documentary evidence. The chair will preside over the hearing and maintain order.~~

- ~~(6) The hearing committee or hearing officer may, without special notice, recess the hearing for the convenience of the members or for the purpose of obtaining new or additional evidence or consultation.~~
- ~~(7) The parties shall have the following rights, provided that the hearing committee or hearing officer is permitted to require that oral evidence be taken under oath or affirmation administered by a notary public duly authorized by the state of Ohio:~~
- ~~(a) To call and examine witnesses;~~
 - ~~(b) To introduce written evidence;~~
 - ~~(c) To cross-examine any witness on any matter relevant to the hearing;~~
 - ~~(d) To challenge and rebut any evidence; and~~
 - ~~(e) To submit a written statement at the close of the hearing.~~
- ~~(8) The medical executive committee or the board, as applicable, may appoint one of its members to represent it, and defend its recommendation or action at the hearing. If the practitioner will be accompanied or represented by legal counsel at the hearing, the medical executive committee or the board may also be accompanied or represented by legal counsel at the hearing.~~
- ~~(9) The practitioner shall have the burden of showing that the recommendation or action is arbitrary, capricious, or unreasonable.~~
- ~~(10) The rules of evidence applicable to a court of law shall not apply in the hearing. Any relevant material upon which responsible persons ordinarily rely may be considered, regardless of its admissibility in court.~~
- ~~(a) The hearing committee or hearing officer may take official notice of any generally accepted technical or scientific matter at any point prior to its final report. All participants will be informed of matters so noted, and given the opportunity, upon request, to refute such official notice in a manner determined by the hearing committee or hearing officer.~~
 - ~~(b) The hearing committee or hearing officer may consider any pertinent material contained on file in the hospital and all other information connected with a request for corrective action or application for appointment or reappointment to the medical staff or for clinical privileges pursuant to these rules.~~
 - ~~(c) An accurate record of proceedings must be kept and shall be recorded by a court reporter, electronic recording device, or detailed transcription. Copies of the transcript of the proceedings may be obtained by the practitioner upon payment of any reasonable charges associated with the preparation thereof. Otherwise, the record of the proceedings shall be privileged and confidential, not subject to disclosure to or discovery by anyone as provided by section 2305.251 of the Revised Code.~~
- ~~(11) Within fourteen days after the hearing is closed, the hearing committee or hearing officer shall deliberate and make its decision. The hearing committee or hearing officer shall forward its written report and recommendation together with the transcript of the hearing and all other documentation provided by the parties to the~~

~~body whose adverse professional review recommendation or action occasioned the hearing. The report shall concisely state the reasons for the findings and recommendations made in the report. The report shall also specifically affirm, reverse or modify the adverse professional review recommendation or action which was reviewed. The medical executive committee or board, as appropriate, shall accept, reject, or modify the recommendation of the hearing committee or hearing officer or may conduct further hearings as it deems necessary or may remand the matter back to the hearing committee or hearing officer for further action as directed. The medical executive committee or the board may impose a greater or lesser sanction than that recommended by the hearing committee or hearing officer.~~

~~An action of the medical executive committee shall be forwarded to the board. The affected member of the medical staff shall be notified of the action of the medical executive committee by the medical director. The action and record of the medical executive committee including the hearing committee or hearing officer report shall be reviewed by the board, which shall have the authority to accept, reject, or modify the previous action forwarded to them. When the board has made its decision, notice of that action shall be sent certified return receipt mail to the affected medical staff member at the member's last known address as determined by hospital records.~~

~~If any action of the board is adverse to the practitioner, the notice of action will inform the practitioner of the right to an appellate review as described in these rules.~~

(K) Appellate review.

- ~~(1) Within fourteen days after receipt of a notice by an affected medical staff member of an adverse professional review action of the board pursuant to paragraph (J) of this rule, the member may, by written notice to the Ohio state university hospitals board, request an appellate review. Such appellate review shall be conducted by the Ohio state university hospitals board or a committee designated by the Ohio state university hospitals board and only be held on the record on which the adverse action is based, as appended by the medical staff member's statement provided for below.~~
- ~~(2) If such review is not requested within the fourteen-day period, the affected medical staff member shall be deemed to have waived the member's right to the same, and to have accepted such adverse action, and the same shall become effective immediately.~~
- ~~(3) The affected medical staff member shall have access to the reports and records, including transcripts, if any, of the hearing committee or hearing officer and of the medical executive committee and all other material, favorable or unfavorable, that has been considered by that committee and the board. The staff member shall then submit a written factual statement indicating those factual and procedural matters with which the member disagrees, and the reasons for such disagreement, shall be specified. This written statement may cover any matters raised at any step in the procedure to which the appeal is related, and legal counsel may assist in its preparation. Such written statement shall be submitted to the Ohio state university hospitals board chair at least seven days prior to the scheduled date for review.~~
- ~~(4) New or additional matters not raised during the hearing or any appeal to the board shall only be introduced at the review by the Ohio state university hospitals board at the Ohio state university hospitals board's sole discretion.~~
- ~~(5) The Ohio state university hospitals board may affirm, modify or reject any prior action, or refer the matter back to the board for further review and recommendation~~

~~within fourteen days. Such referral may include a request for further investigation. The Ohio state university hospitals board's action shall be the final action and that decision shall be sent certified return receipt mail to the affected medical staff member's last known address as determined by hospital records. (B/T 5/7/99, B/T 10/5/2000, B/T 11/7/2003)~~

~~3335-45-09~~ 3335-45-10 Administration of the hospital.

(A) through (C) unchanged.

~~3335-45-10~~ 3335-45-11 Officers.

(A) and (B) unchanged.

(C) Nomination.

- (1) At least thirty days prior to an annual meeting of the medical staff at which officers ~~will~~ shall be elected, the medical executive committee ~~will~~ shall appoint five members of the active medical staff to serve as a nominating committee. The nominating committee shall select one or more nominees for each office, including a representative of the courtesy medical staff and a slate listing the names of all nominees shall be posted in a conspicuous place at the medical staff entrance to the hospital and transmitted electronically or in writing directly to each member of the medical staff entitled to vote at least fourteen days prior to the annual meeting.
- (2) At an annual meeting of the medical staff at which officers ~~will~~ shall be elected, any member of the active medical staff or courtesy staff with respect to the courtesy staff representative position ~~will~~ shall have the opportunity to offer other nominations of qualified staff members from the floor.

(D) Election of officers.

- (1) Officers shall be elected ~~at the annual meeting by a majority of those voting by written or electronic ballot~~ of the active medical staff.
- (2) Unchanged.
- (3) If there are three or more candidates for any office and no candidate receives a majority of the votes cast, there ~~will~~ shall be successive balloting so that the candidate receiving the fewest votes is omitted from each successive slate until a majority is obtained by one candidate.

(E) Unchanged.

(F) Vacancies in office.

- (1) Vacancies in the office of chief of staff during the term ~~will~~ shall be automatically succeeded and performed by the chief of staff-elect. When the unexpired term is one year or less, the new chief of staff ~~will~~ shall continue in office until the completion of his or her expected term in that office. When the unexpired term is more than one year, the new chief of staff ~~will~~ shall serve until the end of the term of the chief of staff he or she replaced.
- (2) Vacancies in the office of chief of staff-elect ~~will~~ shall be filled by a special election held within sixty days of establishing the vacancy. The nominating committee ~~will~~ shall make nominations and ~~a special meeting of the attending staff will be called to~~

~~add nominations and elect the replacement~~ active medical staff may submit nominations. Voting shall be conducted by written or electronic ballot of the active medical staff. The new chief of staff-elect ~~will~~ shall become chief of staff at the end of the term of the incumbent.

- (3) Vacancies in the courtesy staff representative position ~~will~~ shall be filled by appointment by the chief of staff to serve until the next scheduled election.

(G) Duties of officers.

- (1) Unchanged.
(2) Chief of staff-elect.

The chief of staff-elect shall:

(a) through (c) unchanged.

(d) ~~Keep records of all meetings of the medical staff and oversee the inclusion of changes in Chair the medical staff bylaws and rules and regulations committee.~~ Review and revise medical staff bylaws and rules and regulations at least every two years.

(e) Unchanged.

- (3) Representative of the courtesy staff.

The representative of the courtesy staff shall serve on the medical executive committee as provided for in paragraph (E) of rule ~~3335-45-11~~ 3335-45-12 of the Administrative Code.

(H) Suspension/removal of officers.

Any officer may be suspended or removed from office for valid cause, including, but not limited to, serious neglect or misfeasance in office, by either a two-thirds vote of the medical executive committee members in attendance at a meeting in which a quorum is present, or by a two-thirds vote of the voting members of the medical staff in attendance at a meeting in which a quorum is present. Upon the written request of the affected officer to the medical executive committee within fourteen days of any suspension or removal by the medical executive committee, the suspension or removal ~~will~~ shall be submitted for review by the voting members of the medical staff at a special meeting called for such purpose. Ratification of the medical executive committee action requires an affirmative vote of two-thirds of the voting members of the medical staff in attendance at a meeting in which a quorum is present. The suspension or removal of an officer is an action that is not subject to any other appeal. (B/T 5/7/99, B/T 10/5/2000, B/T 11/7/2003)

~~3335-45-11~~ 3335-45-12 Committees.

(A) General designation and substitution.

(1) through (3) unchanged.

- (4) All committee members, unless specifically provided otherwise, are appointed jointly by the chief of staff, ~~chief of staff-elect~~ and the medical director, ~~with the consent of a majority vote of the medical~~ consultation of the executive committee ~~director of the Ohio state university hospitals east.~~

(B) Term.

Unless otherwise specified herein, all committee appointments shall be for the medical staff year.

(C) Committee chair.

Unless otherwise specified herein, the chair of each committee shall be determined as provided for in paragraph (G)(1)(f) of rule ~~3335-45-10~~ 3335-45-11 of the Administrative Code, with the exception of the medical executive committee which shall be chaired by the medical director.

(D) Unchanged.

(E) Medical executive committee.

(1) Composition. The medical executive committee shall consist of the following voting members: chief of staff; chief of staff-elect; the chiefs of the departments of anesthesia, drug and alcohol abuse rehabilitation, surgery, internal medicine, neurology, family medicine, emergency medicine, orthopedics, pathology, radiology; one elected officer representative of the courtesy medical staff; medical director; medical director of the Ohio state university hospitals; and chief executive officer; the dean or designee, college of medicine and public health; dean, college of nursing; and vice president for health sciences director of the Ohio state university hospitals east. The medical director shall be the chairperson and the chief of staff shall be vice chairperson.

(2) Any member of the committee who anticipates absence from a meeting of the committee may appoint, as a temporary substitute, another member of the same category of the medical staff to represent him or her at the meeting. The temporary substitute ~~will~~ shall have all the rights of the absent member. The ~~chief executive officer~~ director of the Ohio state university hospitals east may invite any member of the ~~chief executive officer's~~ director's staff to represent him or her at a meeting or to attend any meeting.

(3) All members of the committee shall attend, either in person or by proxy, a minimum of two-thirds of all committee meetings.

~~(3)~~(4) Unchanged.

~~(4)~~(5) The chair of the quality improvement committee ~~will~~ shall attend each meeting on an ex-officio basis.

~~(5)~~(6) Duties and responsibilities. The duties of the medical executive committee are:

(a) and (b) unchanged.

(c) To delegate appropriate staff business to committees while retaining the right of executive responsibility and authority over all medical staff committees; This shall include but is not limited to review of and action upon medical staff appointments and reappointments whenever timely action is necessary;

(d) through (p) unchanged.

~~(6)~~(7) Meetings. The medical executive committee ~~will~~ shall meet at least monthly at such time and place as the medical executive committee shall determine. The agenda for medical executive committee meetings ~~will~~ shall be made under procedures that the medical executive committee ~~will~~ shall establish for its internal governance. There shall be a fifty per cent attendance requirement for each member of the medical executive committee.

(8) Voting. At a properly constituted meeting, voting shall be by a simple majority of members present except in the case of termination or non-reappointment of medical staff membership or permanent suspension of clinical privileges, wherein a two-thirds vote of members present shall be required.

(F) Bylaws committee.

The bylaws committee shall:

(1) and (2) unchanged.

(3) Review such matters referred to it by the board, the medical executive committee, the chief of staff, the ~~credentials~~ credentialing committee, one or more clinical departments, members of the medical staff or the chief medical director of the hospital.

(G) Credentials Health system credentialing committee.

(1) ~~Composition. The committee shall be composed of nine members of the medical staff. Appointments to the committee shall be in accordance with the medical staff bylaws of the Ohio state university hospitals~~ credentialing responsibilities of medical staff are delegated to the Ohio state university health system credentialing committee, the composition of which shall include representation from the medical staff of each health system hospital.

The health system credentialing committee shall be appointed by the chief medical officer of the health system. The chief of staff and director of medical affairs or medical director of each health system hospital shall make recommendations to the chief medical officer for representation on the health system credentialing committee.

The health system credentialing committee shall meet at the call of its chair, who shall be appointed by the chief medical officer of the health system.

(2) Duties.

(a) ~~Following the recommendation of the chief of the clinical department, the committee will receive, through the office of the medical director, all applications for medical staff appointment and reappointment, and receive all requests for delineation, renewal, or amendment of clinical privileges.~~

(b) ~~The chief of the clinical department will assure that the requests and applications include letters of reference from medical school, internship and residency, other post-graduate experiences, and that reference audits have been completed. The chief of the clinical department will assure that the applicant meets the criteria for membership and for clinical privileges set forth in the medical staff bylaws. This review shall be submitted by the chief of the clinical department to the committee within sixty days of receipt of the~~

~~signed and completed application or request.~~

- ~~(e)(a)~~ The committee will ~~To~~ review all applications for medical staff appointments and licensed health care professional appointment and reappointment, as well as all requests for delineation, renewal, or amendment of clinical privileges in the manner provided in these medical staff bylaws, including applicable time limits.
 - ~~(d)(b)~~ ~~Renewal applications will be reviewed~~ To review biennially ~~unless a change in appointment or~~ all applications for reappointment or renewal of clinical privileges is otherwise received from the chief of the clinical department.
 - (c) To review all requests for changes in medical staff membership.
 - ~~(e)(d)~~ ~~It will be the responsibility of~~ To assure, through the chairperson of the committee ~~to assure~~ that all records of peer review activity taken by the committee, including committee minutes, are ~~handled and kept with utmost confidentiality~~ maintained in the strictest of confidence in accordance with the laws of the state of Ohio. The committee may conduct investigations and interview applicants as needed to discharge its duties. The committee may refer issues and receive issues as appropriate from other medical staff committees.
 - ~~(f)(e)~~ ~~The committee will~~ To make its ~~recommendation~~ recommendations to the medical executive committee through the medical ~~staff through the office of the medical director~~ regarding appointment applications and initial requests for clinical privileges. ~~Applications and requests referred to the medical executive committee will have the following information:~~ Such recommendations shall include the name, status, department (divisions), medical school and year of graduation, residency and fellowships, medical-related employment since graduation, board certification and recertification, licensure status as well as all other relevant information concerning the applicant's current competence, experience, and qualifications, and ability to perform the clinical privileges requested.
 - ~~(g)(f)~~ ~~The committee or the medical director may request~~ To recommend to the medical executive committee ~~to consider~~ that certain applications for ~~appointment be reviewed~~ in executive session.
 - ~~(h)(g)~~ The committee, after review and investigation ~~of matters related to its responsibilities,~~ may make recommendations to the medical director, chief of staff, or the chief of a clinical department, ~~requesting regarding~~ the restriction or limitation of ~~any medical staff a~~ member's clinical privileges, for noncompliance with the credentialing process. ~~The committee will review all grants of special or temporary privileges and will review clinical privileges for associates to the medical staff as well as physician assistants or any other matter related to its responsibilities.~~
 - (h) To review all grants of special or temporary privileges.
 - (i) To review requests made for clinical privileges by other licensed health care professionals as set forth in these bylaws.
- (3) Licensed Health system licensed health care professionals subcommittee.
- (a) Composition. The members of the licensed health care professionals

subcommittee, who are currently licensed health care professionals and are granted hospital privileges shall be appointed to the subcommittee of the ~~credentials~~ credentialing committee, and shall include the director of nursing of the Ohio state university hospitals as a co-chair, certified nurse midwife, certified registered nurse anesthetist, certified nurse practitioner, clinical nurse specialist, and other appropriate licensed health care professional representation.

- (b) Duties. The subcommittee shall review all completed applications as may be referred by the ~~credentials~~ credentialing committee and shall proceed to:

- (i) through (iv) unchanged.

- Following review of the application, the subcommittee ~~will~~ shall forward a written recommendation to the ~~credentials~~ credentialing committee within thirty days. The ~~credentials~~ credentialing committee, at its regularly scheduled meeting, ~~will~~ shall review and act on the recommendations from the subcommittee.

(H) Infection control committee.

- (1) Composition. The committee members shall be appointed pursuant to the medical staff bylaws and shall also include representation of nursing, environmental services and hospital administration. The chairperson ~~will~~ shall be a physician with experience and/or training in infectious diseases.

- (2) Duties.

- (a) Unchanged.

- (b) The chairperson of the committee, and the hospital epidemiologist, in consultation with the medical director of the Ohio state university hospitals east, ~~will~~ shall take necessary actions to prevent and control emerging spread or outbreaks of infections; isolate communicable and infectious patients as indicated; and obtain all necessary cultures in emergent situations when the responsible medical staff member is unavailable.

(I) ~~Ethics~~ Health system ethics committee.

- (1) Composition. The committee shall consist of members of the medical staff, nursing, hospital administration, and other persons who by reason of training, vocation, or interest may make a contribution. Appointments ~~will~~ shall be made as provided in the medical staff bylaws of the Ohio state university hospitals.

- (2) Unchanged.

(J) ~~Committee~~ Health system committee for physician health.

- (1) Unchanged.

- (2) Duties.

- (a) ~~Consider~~ To consider issues of physician health or impairment ~~when whenever a self-referral or referral is requested to do so by the an affected member~~ physician or another member or committee of the medical staff, ~~hospital~~ the Ohio state university hospitals east staff, or any other individual.

(b) and (c) unchanged.

(d) Advise ~~credentials~~ credentialing committee and/or other appropriate medical staff committees on any issues affecting the quality of patient care.

(e) through (j) unchanged.

(K) Health system leadership council for clinical value enhancement.

The leadership council shall consist of members appointed in accordance with paragraph (A)(6) of rule 3335-43-09 of the Administrative Code, and shall include the senior vice president for health sciences and dean of the college of medicine and public health and the chairperson of the professional affairs, education and research committee of the university hospitals board as ex-officio members without a vote. The university hospitals medical director shall be the chairperson of the leadership council.

(1) Health system clinical quality management policy group.

(a) Composition. The members of this group shall be appointed pursuant to these bylaws and shall include medical staff members from various clinical departments and support services, and shall include the director of the clinical quality management policy group, and representatives of nursing and hospitals administration. The chairperson of the policy group shall be a physician member of the medical staff.

(b) Duties.

(i) To coordinate the quality management related activities of the clinical departments, medical records, utilization review, infection control, pharmacy and therapeutics and drug utilization committee, transfusion and immunization, and other medical staff and the Ohio state university health system committees.

(ii) To implement clinical improvement programs to achieve the goals of the Ohio state university health system quality management plan, as well as assure optimal compliance with accreditation standards and governmental regulations concerning performance improvement.

(iii) To review, analyze, and evaluate on a continuing basis the performance of the medical staff and other health care providers; and advise the clinical department clinical quality sub-committees in defining, monitoring, and evaluating quality indicators of patient care and services.

(iv) To serve as liaison between the Ohio state university and the Ohio peer review organizations through the chairperson of the policy group and the director of clinical quality.

(v) To make recommendations to the medical executive committee on the establishment of and the adherence to standards of care designed to improve the quality of patient care delivered in the Ohio state university health system.

(vi) To hear and determine issues concerning the quality of patient care

rendered by members of the medical staff and the Ohio state university health system staff and make appropriate recommendations and evaluate action plans when appropriate to the medical director, the chief of a clinical department, or the Ohio state university health system administration.

- (vii) To appoint ad-hoc interdisciplinary teams to address the Ohio state university health system quality management plan.
- (viii) To annually review and revise as necessary the Ohio state university health system clinical quality management plan.
- (ix) To report and coordinate with the leadership council for clinical value enhancement all quality improvement initiatives.

(2) Health system clinical resource management policy group.

(a) Composition. The members shall be appointed in accordance with paragraph (A)(6) of rule 3335-43-09 of the Administrative Code and shall include medical staff members from various clinical departments and support services, the director of clinical quality and resource management policy group, and representatives of nursing and hospitals administration. The chairperson of the policy group shall be a physician member of the medical staff.

(b) Duties.

- (i) To promote the most efficient use of the Ohio state university health system facilities and services by participating in the review process and continued stay reviews on all hospitalized patients, and promote the most efficient use of clinical resources and the Ohio state university health system facilities and services by participating and facilitating the processes of admission review, continue stay reviews, and retrospective reviews as required.
- (ii) To formulate and maintain a written resource management review plan for the Ohio state university health system consistent with applicable governmental regulations and accreditation requirements.
- (iii) To conduct resource management studies by clinical department or division, or by disease entity as requested.
- (iv) To report and recommend to the leadership council for clinical value enhancement changes in clinical practice patterns in compliance with applicable governmental regulations and accreditation requirements, and when the opportunity exists to improve the resource management of the Ohio state university health system facilities or services.

(3) Health system outcomes measurement policy group.

(a) Composition. The members shall be appointed in accordance with paragraph (A)(6) of rule 3335-43-09 of the Administrative Code, and shall include members of the medical staff from various clinical areas and support services, and representatives of nursing, pharmacy, information systems,

hospitals administration, and any other appropriate areas. The chairperson of the policy group shall be a physician member of the medical staff.

(b) Duties.

- (i) To oversee the prioritization, planning and analysis of outcomes measurement projects to be conducted as part of quality improvement initiatives at the Ohio state university health system. Prioritization and planning should be based on the prioritization criteria and the annual priorities approved by the leadership council for clinical value enhancement.
- (ii) To oversee the development of outcomes measurement, risk assessment, and risk stratification tools for use in quality improvement initiatives at the Ohio state university health system.
- (iii) To report and recommend to the leadership council for clinical value enhancement specific process and outcomes measures for each clinical practice guideline.
- (iv) To present and communicate outcomes measurement data to the leadership council for clinical value enhancement.
- (v) To oversee ongoing education of medical staff (including specifically housestaff) and other appropriate Ohio state university health system staff regarding the fundamental concepts and value of outcomes measurement and its relation to quality improvement.
- (vi) To initiate and support research projects when appropriate in support of the objectives of the leadership council for clinical value enhancement.
- (vii) To regularly report a summary of all actions to the leadership council for clinical value enhancement.

(4) Health system practice guidelines policy group.

(a) Composition. The members shall be appointed in accordance with paragraph (A)(6) of rule 3335-43-09 of the Administrative Code, and shall include medical staff members from various clinical departments and support services, the director of the clinical quality and management policy group, and representatives of nursing and hospitals administration. The chairperson of the policy group shall be a physician member of the medical staff.

(b) Duties.

- (i) To oversee the planning, development, approval, implementation and periodic review of clinical practice guidelines for use within the Ohio state university health system. Planning should be based on the prioritization criteria approved by the leadership council for clinical value enhancement and review should focus on incorporating recent medical practice, literature or developments. Annual review should be done in cooperation with members of the medical staff with specialized knowledge in the field of medicine related to the guideline.

- (ii) To report regularly to the leadership council for clinical value enhancement for approval of all new and periodically reviewed clinical practice guidelines for use within the Ohio state university health system.
- (iii) To oversee the development, approval and periodic review of the clinical elements of computerized ordersets and clinical rules to be used within the information system of the Ohio state university health system. Computerized ordersets and clinical rules related to specific practice guidelines should be forwarded to the leadership council for clinical value enhancement for approval. All other computerized ordersets and clinical rules should be forwarded to the leadership council for clinical value enhancement for information.
- (iv) To oversee the development, approval, implementation and periodic review of clinical care pathways for use within the Ohio state university health system.
- (v) To oversee ongoing education of the medical staff (including specifically housestaff) and other appropriate Ohio state university health system staff on the fundamental concepts and value of clinical practice guidelines.
- (vi) To regularly report a summary of all actions to the leadership council for clinical value enhancement.

(L) Quality management committee.

- (1) Composition. The members of this group shall be appointed pursuant to these bylaws and shall include representatives from the Ohio state university hospitals east medical staff from various clinical departments, nursing, support services staff, and administration. The chairperson of the policy group shall be a physician member of the medical staff.
- (2) Duties.
 - (a) To coordinate the Ohio state university east quality management related activities of the clinical departments, medical records, utilization review, infection control, pharmacy and other medical staff and the Ohio state university hospitals east committees.
 - (b) To implement clinical improvement programs to achieve the goals of the Ohio state university hospitals east quality management plan, as well as assure optimal compliance with accreditation standards and governmental regulations concerning performance improvement.
 - (c) To review, analyze, and evaluate on a continuing basis the performance of the medical staff and other health care providers; and advise the clinical departments and clinical quality sub-committees in defining, monitoring, and evaluating quality indicators of patient care and services.
 - (d) To make recommendations to the medical executive committee on the establishment of and the adherence to standards of care designed to

improve the quality of patient care delivered in the Ohio state university hospitals east.

- (e) To hear and determine issues concerning the quality of patient care rendered by members of the medical staff and the Ohio state university hospitals east staff and make appropriate recommendations and evaluate action plans when appropriate to the medical director, the chief of a clinical department, or the Ohio state university hospitals east administration.
- (f) To appoint ad-hoc interdisciplinary teams to address the Ohio state university hospitals east quality management plan.
- (g) To report and coordinate with the Ohio state university health system leadership council for clinical value enhancement all quality improvement initiatives.

(M) Physician executive council.

- (1) Composition. The council is composed of the chair of the quality management committee, the medical director, the director of clinical quality management, the quality manager of infection control and physicians from various clinical areas as appointed by the medical director according to these bylaws.
- (2) Duties.
 - (a) Provide leadership for the clinical quality improvement processes within the Ohio state university hospitals east.
 - (b) Provide clinical expertise to the physician peer review process within the Ohio state university hospitals east.
 - (c) Advise the medical director regarding action plans to improve the quality and safety of clinical care at the Ohio state university hospitals east.
 - (d) Develop follow-up plans to ensure action is successful in improving quality and safety.

~~(K)~~(N) Termination of committees.

- (1) A standing committee of the medical staff may be abolished by amendment to these rules in accordance with the provisions of rule ~~3335-45-18~~ 3335-45-17 of the Administrative Code.
- (2) Unchanged.

~~(L)~~(O) Certain review functions.

Unchanged. (B/T 5/7/99, B/T 9/1/99, B/T 10/5/2000, B/T 11/7/2003)

~~3335-45-12~~ 3335-45-13 Clinical departments.

- (A) Unchanged.
- (B) Clinical departmental chiefs.
 - (1) Qualifications of clinical departmental chiefs. Clinical departmental chiefs must be

active members of the medical staff. In the hospital-based clinical departments (anesthesiology, radiology, pathology and emergency departments), the clinical departmental chiefs must be a member of the active medical staff at the time of appointment. All clinical departmental chiefs must remain members in good standing during the term of office. Failure to maintain such status ~~will~~ shall be grounds for immediate suspension from the office of the clinical departmental chief. Each clinical department chief shall be qualified by education and experience appropriate to the discharge of the responsibilities of the position. Qualifications for the chief of the clinical department generally shall include recognized clinical competence, sound judgment, and well-developed administrative skills.

- (2) Appointment of clinical departmental chiefs. The academic department chair ~~will~~ shall ordinarily serve also as the chief of the clinical department. The chief of the clinical department ~~will~~ shall be appointed by the medical director, in consultation with the dean of the college of medicine and public health or dean of dentistry when appropriate, and shall recommend the appointment of a clinical departmental chief or acting clinical departmental chief to the board after approval by the medical executive committee. All appointments of clinical departmental chiefs shall be subject to approval by the board.
- (3) Term. The clinical departmental chiefs shall serve designated terms of up to four years usually beginning the first day of July following appointment. All clinical departmental chiefs are subject to periodic performance reviews by the medical executive committee and board, and they may be removed for cause. Prior to the end of the designated term of appointment, a formal review ~~will~~ shall be conducted and ~~will~~ shall serve as the basis of the recommendation for reappointment. The reappointment procedure ~~will~~ shall be as outlined in (B)(2) of this paragraph.
- (4) Responsibilities. Clinical departmental chiefs shall:
 - (a) and (b) unchanged.
 - (c) Review the clinical performance, physical and mental health of all medical staff members in the clinical department and on the basis of this review, prepare evaluations and recommendations to the ~~credentials~~ credentialing committee of practitioners who either have, or are requesting, clinical privileges in the department at the time of initial appointment, reappointment or at any time that the practitioner requests that his or her clinical privileges be changed;
 - (d) Recommend to the ~~credentials~~ credentialing committee and the medical executive committee the criteria for the granting of clinical privileges in the clinical department;
 - (e) through (i) unchanged.
 - (j) Call meetings at least quarterly of the clinical department, presiding over such meetings and keeping, or causing to be kept, accurate and complete minutes of such meetings; minutes of the meeting, including a record of attendance ~~will~~ shall be kept in the clinical departmental and the medical director's office;
 - (k) through (s) unchanged.

(C) Functions of clinical departments.

(1) Each clinical department ~~will~~ shall function as a component of the medical staff under the authority of the medical executive committee.

(2) and (3) unchanged.

(D) Assignment to clinical departments.

(1) At the time of initial appointment or any reappointment, each member of the medical staff ~~will~~ shall be assigned to at least one clinical department, but may be granted clinical privileges in more than one clinical department.

(2) and (3) unchanged.

(E) Unchanged. (B/T 5/7/99, B/T 9/1/99, B/T 10/5/2000, B/T 11/7/2003)

~~3335-45-13~~ 3335-45-14 Meetings.

(A) Annual meeting.

The initial annual meeting of the hospital medical staff shall be held no later than June 30, 1999. Thereafter, the annual meeting of the medical staff shall be held as a part of the regularly scheduled quarterly meeting in May. The agenda of the annual meeting ~~will~~ shall include the election of officers whose terms have expired. Those persons elected as officers shall assume their respective elected positions on the first day of the next medical staff year, provided that the initial medical staff officers shall serve until the next annual meeting. The initial medical staff officers shall not be subject to the limitation of office contained in paragraph (E) of rule ~~3335-45-10~~ 3335-45-11 of the Administrative Code.

(B) Unchanged.

(C) Committee and clinical departmental meetings.

Committee and clinical departmental meetings ~~will~~ shall be held in accordance with the provisions of rules ~~3335-45-11~~ 3335-45-12 and ~~3335-45-12~~ 3335-45-13 of the Administrative Code.

(D) through (F) unchanged. (B/T 5/7/99, B/T 10/5/2000, B/T 11/7/2003)

~~3335-45-14~~ Licensed health care professionals.

(A) ~~General.~~

(1) ~~A licensed health care professional is a professional who possesses a license, certificate or other legal credential required by Ohio law to provide patient care in a hospital setting, who is not a licensed practitioner, and who meets the terms of definition contained in these bylaws, and may apply to be a licensed health care professional authorized to practice his or her profession in the hospital.~~

(2) ~~Licensed health care professionals have no authority to admit or co-admit patients to the hospital, and are not eligible for medical staff membership, to hold office, to vote on medical staff affairs, or to serve on standing committees of the medical staff unless specifically authorized by the medical executive committee. A decision by the medical executive committee to deny initial appointment or reappointment to a licensed health care professional, or to deny the exercise of a clinical privilege, shall entitle the licensed health care professional to an automatic review by the medical~~

director as provided in this rule.

- (3) ~~The health care professionals staff is created for the purpose of providing a mechanism for the medical staff and the hospital to document and verify the credentials of person who, under their license, certificate or other legal credential, are permitted by Ohio law to provide patient care in the hospital as an adjunct to treatment by practitioners who are members of the medical staff.~~
 - (4) ~~All licensed health care professionals will be individually assigned to medical staff departments, or, if appropriate, to members of the medical staff.~~
 - (5) ~~All services rendered by licensed health care professionals must be under the supervision and direction of, and subject to any policies, procedures, privileges and restrictions adopted by, the applicable medical staff department or medical staff member.~~
 - (6) ~~All licensed health care professionals must comply with all limitations and restrictions imposed by their respective licenses, certifications, or legal credentials required by Ohio law.~~
- (B) ~~Descriptions and limitations of licensed health care professionals.~~
- (1) ~~Licensed health care professionals shall be permitted to practice their professions in the hospital only in accordance with the descriptions for the respective professions contained in the appendix to this rule.~~
 - (2) ~~The medical executive committee, with the approval of the board may, at anytime, make modifications, additions or deletions to the descriptions contained in the appendix without amendment to this rule.~~
 - (3) ~~Licensed health care professionals' clinical privileges shall be delineated with sufficient specificity to ensure that the professional is practicing within his/her licensure parameters.~~
- (C) ~~Qualifications for appointment.~~
- (1) ~~Appointment as a licensed health care professional is a privilege that will only be granted to professionally competent individuals who meet the qualifications, standards and requirements of their respective licensure, certification, or other legal authorization and who satisfy the definition of licensed health care professional as contained in these bylaws.~~
 - (2) ~~Only individuals who can document the following shall be qualified for appointment as a licensed health care professional:~~
 - (a) ~~Current license, certification, or other legal credential required by Ohio law;~~
 - (b) ~~Education, training, professional background and experience, and professional competence;~~
 - (c) ~~Adherence to the ethics of the profession for which an individual holds a license, certification, or other legal credential required by Ohio law;~~
 - (d) ~~Good personal and professional reputation as established by appropriate references;~~

- (e) Satisfactory physical and mental health; and
- (f) Ability to work with members of the medical staff and hospital employees.

This documentation must be presented with sufficient adequacy to assure the medical staff and the hospital that any patient cared for by the person seeking appointment as a licensed health care professional will be given quality care, and that the efficient operation of the hospital will not be disrupted by such person's care of patients in the hospital.

(D) ~~Conditions of acceptance.~~

~~An individual accepting appointment as a licensed health care professional agrees to the following terms and conditions:~~

- (1) ~~The licensed health care professional has read the medical staff bylaws of the hospital and agrees to abide by all applicable terms of such medical staff bylaws and any applicable rules and regulations, including any subsequent amendments thereto, and any applicable hospital policies the hospital may from time to time put into effect;~~
- (2) ~~The licensed health care professional grants full immunity to the hospital from liability under the provisions of rule 3335-45-04 of the Administrative Code;~~
- (3) ~~The licensed health care professional shall not deceive a patient as to the identity of any practitioner providing treatment or service in the hospital;~~
- (4) ~~The licensed health care professional shall not make any statement or take any action that might cause a patient to believe that the licensed health care professional is a practitioner;~~
- (5) ~~The licensed health care professional shall not perform any patient care in the hospital that is not permitted under the licensed health care professional's license, certification, or other legal credential required under Ohio law; and~~
- (6) ~~The licensed health care professional shall continue to maintain in force malpractice insurance in an amount that will not be less than that specified by the board.~~

(E) ~~Application for appointment.~~

~~Persons seeking appointment as a licensed health care professional shall make application in the same manner as provided in paragraph (A) of rule 3335-45-04 of the Administrative Code.~~

(F) ~~Action on appointment.~~

- (1) ~~Upon receipt of an application for appointment as a licensed health care professional, the medical director shall review the application for completeness. An incomplete application will be returned to the applicant for completion and resubmission.~~
- (2) ~~Upon receipt of an application that has been verified for completeness, the chief of the clinical department supervising the licensed health care professional will review the application and make recommendation for the scope of privileges to be granted. The application will be forwarded to licensed health care professional credentials subcommittee appointed by the medical executive committee.~~

- ~~(3) Upon receipt of a completed application, the licensed health care professional credentials subcommittee shall proceed to:
 - ~~(a) Review and investigate the character, qualifications and professional competence of the applicant;~~
 - ~~(b) Verify the accuracy of the information contained in the application; and~~
 - ~~(c) Request a personal interview with the applicant if deemed appropriate.~~~~
- ~~(4) Following receipt of the completed application, the licensed health care professional credentials subcommittee will forward a written recommendation for privileges to the credentials committee.~~
- ~~(5) At its regularly scheduled meeting, the credentials committee will review and act on the recommendations for licensed health care professional privileges submitted by the licensed health care professional credentials committee. The recommendation of the credentials committee is forwarded to the medical executive committee.~~
- ~~(6) At the next regularly scheduled meeting, the medical executive committee will review and act on the recommendation for licensed health care professional privileges and forward a written recommendation to the board for further action.~~
- ~~(7) At the next regularly scheduled meeting the board, after the written recommendation has been forwarded to the board, will make a final decision on the application for appointment as a licensed health care professional. The medical director shall notify the applicant of the decision of the board of trustees. There shall be no right of appeal of an adverse decision.~~
- ~~(G) Temporary appointment.
 - ~~(1) The medical director, with the concurrence of the chief of staff, or his or her delegate and the chief of the clinical department concerned, may temporarily appoint a person as a licensed health care professional under the following circumstances:
 - ~~(a) When the medical director believes circumstances warrant granting temporary appointment during the processing of a person's application for appointment as a licensed health care professional; or~~
 - ~~(b) To a person who has not applied for appointment as a licensed health care professional, but has been requested to assist a member of the medical staff in the care of a specific patient.~~~~
 - ~~(2) Special requirements of supervision and reporting will be imposed by the medical director, the chief of staff, or any chief of any clinical department concerned with a person granted temporary appointment as a licensed health care professional.~~~~

(H) Reappraisal and reappointment.

(1) ~~Persons seeking reappointment as a licensed health care professional shall make applications and be subject to the same procedures as provided in rule 3335-45-14 of the Administrative Code.~~

(2) ~~Due process.~~

(a) ~~Licensed health care professionals are subject to corrective action for violation of these bylaws, their certificate of authority, standard care agreement, utilization plan, or the provisions of their licensure, including professional ethics. Corrective action may be requested by any member of the medical staff, a chief of the clinical department, or by the medical director. All requests shall be in writing and be submitted to the medical director. The medical director shall appoint a three-person committee to review and make recommendations concerning appropriate corrective action. The committee shall consist of at least one licensed health care professional and one medical staff member. The committee shall make a written recommendation to the medical director, who may accept, reject, or modify the recommendation. The decision of the medical director shall be final.~~

(b) ~~A decision by the medical executive committee to deny initial appointment or reappointment to a licensed health care professional, or to deny the exercise of a clinical privilege, shall entitle the licensed health care professional to an automatic review by the medical director as provided in this rule. (B/T 5/7/99, B/T 10/5/2000)~~

3335-45-15 Medical administrative personnel.

(A) Medical staff membership.

(1) Unchanged.

(2) Any practitioner performing administrative responsibilities who also has clinical responsibilities or functions must, at all times, be a member of the medical staff. Applications to become a member of the medical staff, and any subsequent reappointment, shall be governed by the provisions of rule ~~3335-45-04~~ 3335-45-05 of the Administrative Code.

(B) Termination and change in status.

A practitioner who has both administrative and clinical responsibilities may have such administrative and clinical responsibilities terminated or modified in the following manner:

(1) If the termination or change in status relates to the practitioner's membership on the medical staff, the termination or change in status shall be governed by the provisions of these rules; and

(2) If the termination or change in status relates to the practitioner's administrative responsibilities, the termination or change in status shall be governed by the hospital policy, if any, governing the termination or change in status of administrative personnel and the practitioner ~~will~~ shall have access to the hospital's grievance procedure, if any. (B/T 5/7/99, B/T 11/7/2003)

3335-45-16 Immunity from liability.

Unchanged.

~~3335-45-17 Rules and regulations.~~

~~(A) General.~~

~~(1) The medical staff shall adopt such rules and regulations as may be necessary for the proper conduct of its affairs.~~

~~(2) Any rules and regulations adopted by the medical staff must be in conformity with the provisions of these rules.~~

~~(B) Procedure for adoption.~~

~~(1) Medical staff rules and regulations, and any amendment thereto, shall be adopted by an affirmative vote of a majority of the active medical staff present at a meeting in which a quorum is present and where notice of such proposed rules and regulations, or any amendment thereto, has been given in accordance with rule 3335-45-03 of the Administrative Code.~~

~~(2) The adoption of medical staff rules and regulations, and any amendment thereto, are subject to, and effective upon, the approval of the board.~~

~~(3) Members of the medical staff will be notified in writing of any changes in the medical staff bylaws and rules and regulations. (B/T 5/7/99)~~

~~3335-45-18~~ 3335-45-17 Procedure for amendment.

~~(A) These rules may be amended, in whole or part, by an affirmative vote of two-thirds of the active medical staff present at a meeting in which a quorum is present and where notice of such proposed amendment has been given in accordance with rule 3335-45-08 of the Administrative Code. The bylaws committee shall review the bylaws and rules and regulations as need arises but not less than every two years. This committee shall recommend changes to the medical executive committee.~~

~~(B) Any amendment so adopted shall be subject to, and effective upon, the approval of the board and the approval of the Ohio state university hospitals board and the board of trustees of the Ohio state university. Neither the medical staff nor the board may unilaterally amend the medical staff bylaws except as provided in paragraph (C) of this rule. These bylaws may be amended after notice is given at a meeting of the medical executive committee, and shall require two-thirds vote of the members of the medical executive committee present for approval and submission to the medical staff for ratification.~~

~~(C) The board may amend these rules on its own initiative if immediate action is necessary in order to comply with any federal, state and local law or regulation or to avoid potential liability and the medical staff has failed to respond timely as determined by the board, to the board's request for such an amendment. Any amendment to these rules adopted by the board shall become effective when notice is given to the medical staff. Ratification of an amendment shall be by a plurality of those medical staff members voting through written ballot at a quarterly meeting of the general medical staff. When an amendment is approved by the medical executive committee and ratified by the medical staff it shall be forwarded in successive order to the Ohio state university hospitals board which shall accept or reject the requested amendment.~~

- (D) The amendment shall become effective when accepted by the board in the form ratified by the medical staff.
- (E) Significant changes to these bylaws shall be reported in writing to the medical staff and other individuals who have clinical privileges.
- (F) Neither the medical staff, nor the Ohio state university hospitals board may unilaterally amend these medical staff bylaws. (B/T 5/7/99, B/T 11/7/2003)

3335-45-18 Procedure for adoption.

- (A) These bylaws shall be adopted by the medical executive committee and forwarded for approval in the same fashion as provided in rule 3335-45-17 of the Administrative Code.
- (B) Upon adoption of these bylaws by the Ohio state university hospitals board, these bylaws shall replace any previous bylaws, and rules and regulations of the medical staff of the Ohio state university hospitals east. (B/T 11/7/2003)

**Waivers of Competitive Bidding Requirements
July-September 2003**

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>				
Hospitals - Professional Health Care Services	\$9,038,001	8	\$980,000	2	\$0	0	\$8,058,001	6
Hospitals - Equipment and Services	\$5,099,524	23	\$4,919,594	20	\$0	0	\$179,930	3
Instructional and Research Equipment and Services	\$2,538,339	30	\$1,227,957	10	\$0	0	\$1,310,382	20
Administrative Support Equipment and Services	\$5,740,470	42	\$3,646,037	22	\$318,370	4	\$1,776,063	16
Conference Facilities	\$123,531	3	\$51,952	1	\$0	0	\$71,579	2
TOTAL	\$22,539,865	106	\$10,825,540	55	\$318,370	4	\$11,395,955	47

(APPENDIX XIX)

November 3, 2003

AUTHORIZATION FOR CAPITAL PROJECTS

Project	Approximate Amount (In Millions)	Requested Action
Medical Center Signage and Wayfinding	Dept: \$0.36	Enter into design contracts
Woody Hayes Athletic Center and Tennis Center Renovation	Dept: \$20.0-\$22.0	Enter into design contracts
	Dept: \$20.36-\$22.36	
	State: \$0.00	
Subtotal for Design Contracts	\$20.36-\$22.36	
Drinko Hall, Room 249	Dept: \$0.40	Enter into construction contracts
Lincoln and Morrill Tower Walkways	State: \$0.66	Enter into construction contracts
Medical Center Helipad on Rhodes Hall	Dept: \$4.81	Enter into construction contracts
Scott Hall-Dock Area & Concrete Walk/Wall Renovation	State: \$0.15	Enter into construction contracts
University Hospital East- Emergency Department	Dept: \$4.79	Enter into construction contracts
	Dept: \$10.00	
	State: \$0.81	
Subtotal for Construction Contract	\$10.81	
Total for all Contracts	Dept: \$30.36-\$32.36	
	State: \$0.81	
Grand Total	\$31.17-\$33.17	

MEDICAL CENTER SIGNAGE AND WAYFINDING
Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

The Ohio State University Medical Center

How does this project advance the Academic Plan?

This project will enhance the Medical Center portion of the Columbus Campus for students and visitors alike.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

With the many recent changes in the area of the University Medical Center, a comprehensive study and probable overhaul of all of the directional and wayfinding signage in the area is necessary. New signage incorporating all of these changes will be installed to direct visitors, students and staff to the various hospitals, clinics and parking facilities in the area. It is imperative that this signage is in place when the Hospitals Garage and Ross Heart Hospital open.

Preliminary Cost Estimate:

Construction is estimated to be \$260,000 – \$290,000. Total project budget is estimated to be \$360,000 maximum.

Proposed Funding Source:

*Ross Heart Hospital bid favorability (bond proceeds) - \$25,000
OSU Medical Center – remainder.*

Outstanding Funding Issues:

The associate architect's fees will need to be funded by college funds until other sources of funding are determined.

Timing Issues:

*Bidding – November – December 2003
Construction Completion – September 2004*

“Ripple effects” of the project:

None

Special limitations/risks:

None.

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Medical Center Signage and Wayfinding

315-1999-939-7

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): ROSS HEART HOSPITAL, RICHARD M

Gross Sq. Ft.0 Age:

Description: To provide vehicular signage and wayfinding for the medical center complex

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Captain: Lori Seeger
(seeger.7@osu.edu)

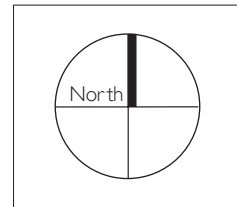
Project Assistant: Patricia Berger
(berger.58@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University	\$335,000.00	\$335,000.00	Total:			
Hospitals						
Future Univ. Bond	\$25,000.00	\$25,000.00				
Proceeds						
Total:	\$360,000.00	\$360,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$360,000 project)	11/03/2003		

Medical Center Signage and Wayfinding



Office of Business and Finance
Office of Facilities Planning and Development

October 17, 2003

WOODY HAYES ATHLETIC CENTER AND TENNIS CENTER RENOVATION
Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):
Department of Athletics

How does this project advance the Academic Plan?
This project improves the overall athletics facilities, which leads to better programs and athletic opportunities for students.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):
The project will include renovations to the Woody Hayes Athletic Center including the addition of office spaces, expansion of the existing weight room and the addition of a large therapy pool for use by all student athletes. The Varsity Tennis Courts portion of the project will include the construction of a new tennis facility with at least six indoor courts and eight exterior courts.

Preliminary Cost Estimate:
\$20,000,000 to \$22,000,000
Athletic Center portion is estimated to be \$13,600,000 - \$15,000,000.
Tennis Center portion is estimated to be \$6,400,000 - \$7,000,000

Proposed Funding Source:
Athletic Department development funds; possible support by bond proceeds or line of credit

Outstanding Funding Issues:
Business plan is under review; review and decision will be needed regarding bonding or line of credit

Timing Issues:
These two project portions are being designed together, as a probable phased project, due to their close proximity and their large size. Improper placement of the additions/new structure could result in problems for this area of campus that would be difficult to solve.

“Ripple effects” of the project:
None

Special limitations/risks:
None

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Woody Hayes Athletic Center and Tennis Center Renovation

315-2003-989

Requesting Agency(s): ATHLETICS

Location(s): WOODY HAYES ATHLETIC CENTER

Gross Sq. Ft.170,270 Age: 1987

Description: Renovate the Woody Hayes Athletic Center, add office space, expand weight room and add therapy pool.
Construct 6 indoor and 8 outdoor tennis courts.

Project Team:

Facility Planner: Alex Cofield
(cofield.3@osu.edu)

Project Captain: Thomas Heretta
(heretta.1@osu.edu)

Project Assistant: Curt Handschug
(handschug.1@osu.edu)

Field Coordinator: Is Unassigned

Project Information:

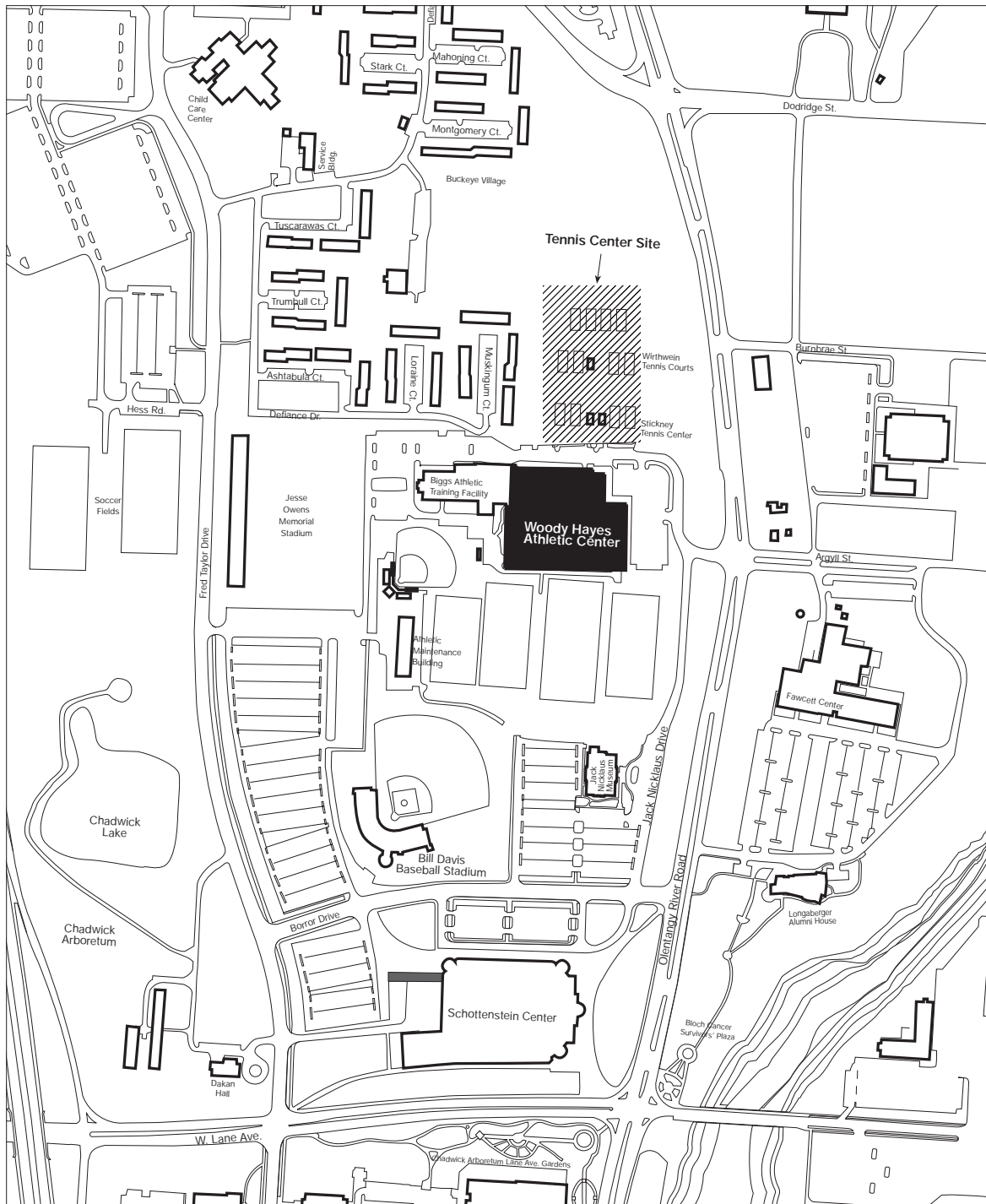
Preliminary project estimate is \$20,000,000 - \$22,000,000.

This project was originally set up to develop a mini-master plan for the Woody Hayes Athletic Center facility. Now complete, this project is moving into the next phase of implementation.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-Athletics	\$20,482.80	\$0.00				
Development-Athletics	\$22,000,000.00	\$22,000,000.00	Total:			
Total:	\$22,020,482.80	\$22,000,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$20-\$22 million project)	11/03/2003		

Woody Hayes Athletic Center and Tennis Center Renovation



Office of Business and Finance
Office of Facilities Planning and Development

October 17, 2003



Requesting Agency(s): LAW, MICHAEL E MORITZ COLLEGE OF

Location(s): DRINKO HALL, JOHN DEEVER (LAW BLDG)

Gross Sq. Ft.220,112 Age: 1956

Description: Renovation and modernization of the Moritz College of Law Courtroom. The primary focus of this project will be the creation of a courtroom which can easily accommodate contemporary modes of trial practice instruction, actual trials, and state-of-the art instructional and courtroom technology. The project will include replacing the ceiling and all lighting and installing state-of-the art microphones/voice reinforcement system, digital evidence presentation system, courtroom computer display system, projector, plasma screen system and video recording system. The project may also include redesigned seating and other infrastructure issues such as power requirement, conduit and floor boxes.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Ruth Miller
(miller.2495@osu.edu)

Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

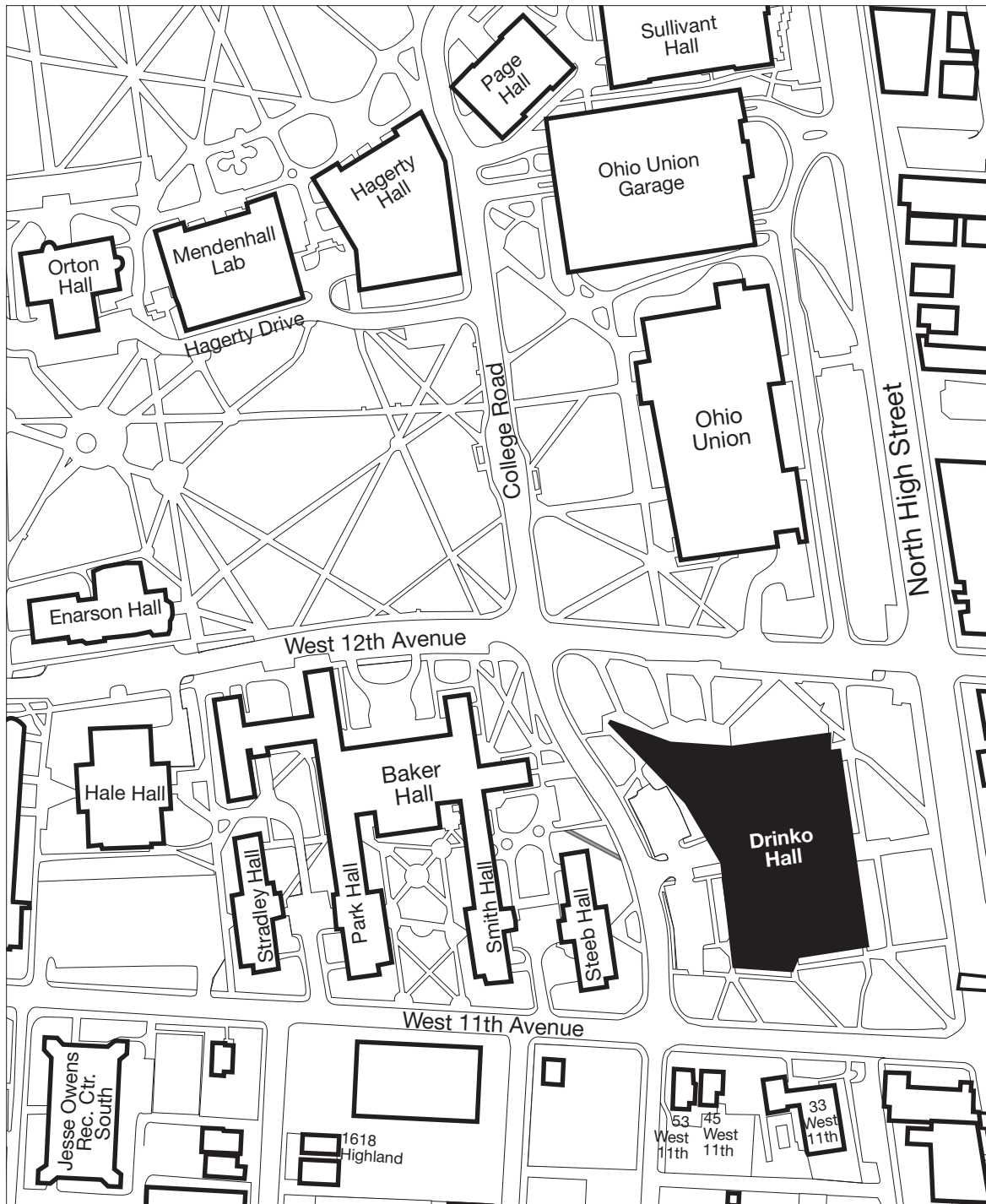
Project Information:

Formerly known as 50700-R021679

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Law	\$300,000.00	\$397,000.00				
Total:	\$300,000.00	\$397,000.00	Total:			

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$300,000 Project)	09/13/2002		09/06/2002
Bidding Approved B/T (\$397,000 project)	11/03/2003		
Design			
Schematic Design Approval	03/01/2003	05/25/2003	05/25/2003
Design Dev Document Approval	03/01/2003	09/06/2003	08/28/2003
Construction Document Approval	05/30/2003	12/12/2003	
Bidding			
Bid Opening	07/01/2003	01/13/2004	
Construction			
Construction Start	09/01/2003	05/10/2004	
Completion	01/31/2004	10/08/2004	

Drinko Hall - Room 249



Office of Business and Finance
Office of Facilities Planning and Development

August 17, 2002



Requesting Agency(s): PHYSICAL FACILITIES

Location(s): Unidentified Bridge-Col.

Gross Sq. Ft.0 Age:

Description: Replace the eastern elevated walkways off Lincoln and Morrill Towers.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Bo Zhang
(zhang.403@osu.edu)

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

Field Coordinator: Colin McBride
(mcbride.62@osu.edu)

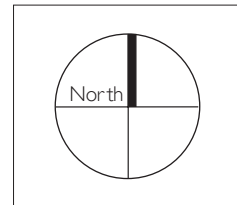
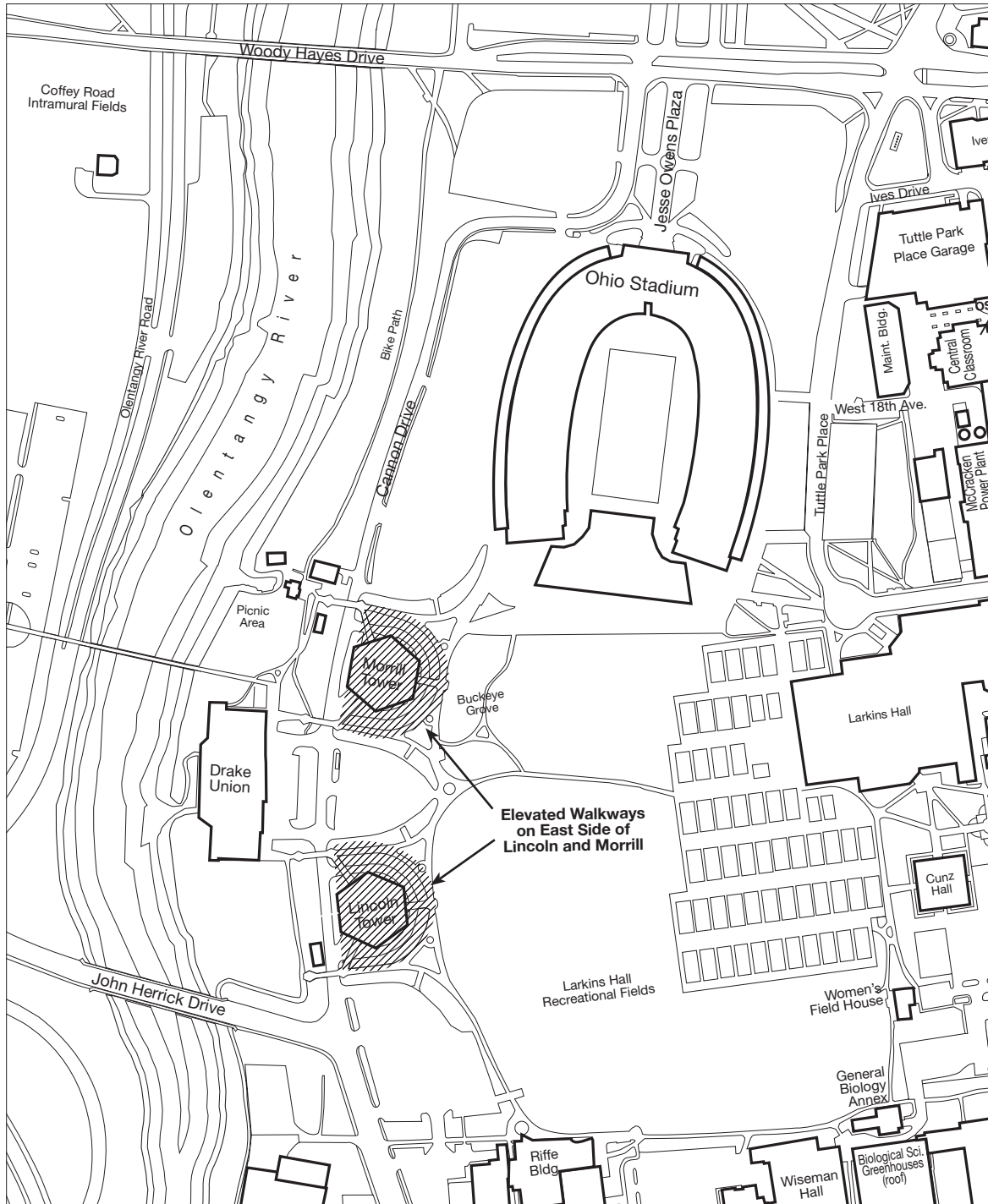
Project Information:

Project will lower the tower entrance by 1/2 level, making ADA accessibility easier.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Future Capital	\$540,000.00	\$0.00	Total:			
Appropriations						
HB748 Columbus ADA	\$60,000.00	\$60,000.00				
Modifications						
HB675 Columbus Basic	\$0.00	\$600,000.00				
Renovation						
Total:	\$600,000.00	\$660,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$600,000 Project)	10/04/2002		10/04/2002
Bidding Approved B/T	08/01/2003	11/03/2003	
Design			
Schematic Design Approval	04/15/2003		05/02/2003
Arch/Engr Contract	12/16/2002	03/28/2003	08/01/2003
Construction Document Approval	08/01/2003	09/19/2003	
Design Dev Document Approval	06/01/2003	12/31/2003	
Bidding			
Bid Opening	09/15/2003	11/04/2003	
Construction			
Construction Start	03/12/2004	04/30/2004	
Completion	06/15/2004	08/04/2004	

Lincoln and Morrill Tower Walkways



Office of Business and Finance
Office of Facilities Planning and Development

September 24, 2002



Requesting Agency(s): HEALTH SCIENCES ADMINISTRATION

Location(s): RHODES HALL-UNIVERSITY HOSPITAL

Gross Sq. Ft.510,587 Age: 1979

Description: Construct a 60' x 60' helipad on the southwest corner of Rhodes Hall Tower. Extend the two elevators from the Emergency department up to serve the helipad. Related renovations/relocations to accomodate construction.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Pat Cuthbert
(cuthbert.8@osu.edu)

Project Assistant: Patricia Berger
(berger.58@osu.edu)

Field Coordinator: Is Unassigned

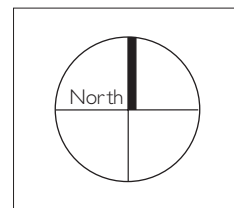
Project Information:

Contract for work will be integrated with Heart Hospital.
Project cost increased in 10/03 to replace 2 failing chillers on the east side of the Rhodes Hall tower.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University	\$2,927,838.00	\$4,813,835.00				
Hospitals			Total:			
Total:	\$2,927,838.00	\$4,813,835.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$2,927,838 Project)	07/12/2002		07/12/2002
Constr Mgr Approved by B/T (\$2,927,838 Project)	07/12/2002		07/12/2002
Bidding Approved B/T (\$2,927,838 Project)	07/12/2002		07/12/2002
Bidding Approved B/T (\$4,813,835 project)	11/03/2003		
Design			
Schematic Design Approval	05/15/2002	01/02/2002	01/02/2002
Arch/Engr Contract	07/15/2002	01/31/2003	02/10/2003
Design Dev Document Approval	05/15/2002	05/09/2003	05/01/2003
Constr Mgr Contract	04/01/2003	05/09/2003	06/27/2003
Construction Document Approval	09/15/2002	11/30/2003	

Medical Center - Helipad on Rhodes Hall



Office of Business and Finance
Office of Facilities Planning and Development

October 17, 2003



Scott Hall - Dock Area & Concrete Walk/Wall Renovation

315-2003-908

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): SCOTT HALL, WILLIAM H

Gross Sq. Ft. 58,109 Age: 1972

Description: The existing walkway and knee wall panels along the west side of the building are no longer weather proof. The waterproof membrane has been compromised in many locations and leaks even during mild rains are causing damage to the interior spaces under the walkway. This project would replace the waterproofing and concrete topping; replace the existing concrete knee walls with new aluminum railings; rework and relocate flashing terminations; as well as repair deteriorated foundation wall and structural slab concrete

Project Team:

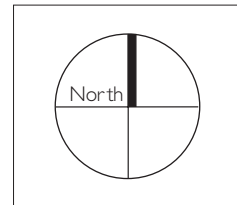
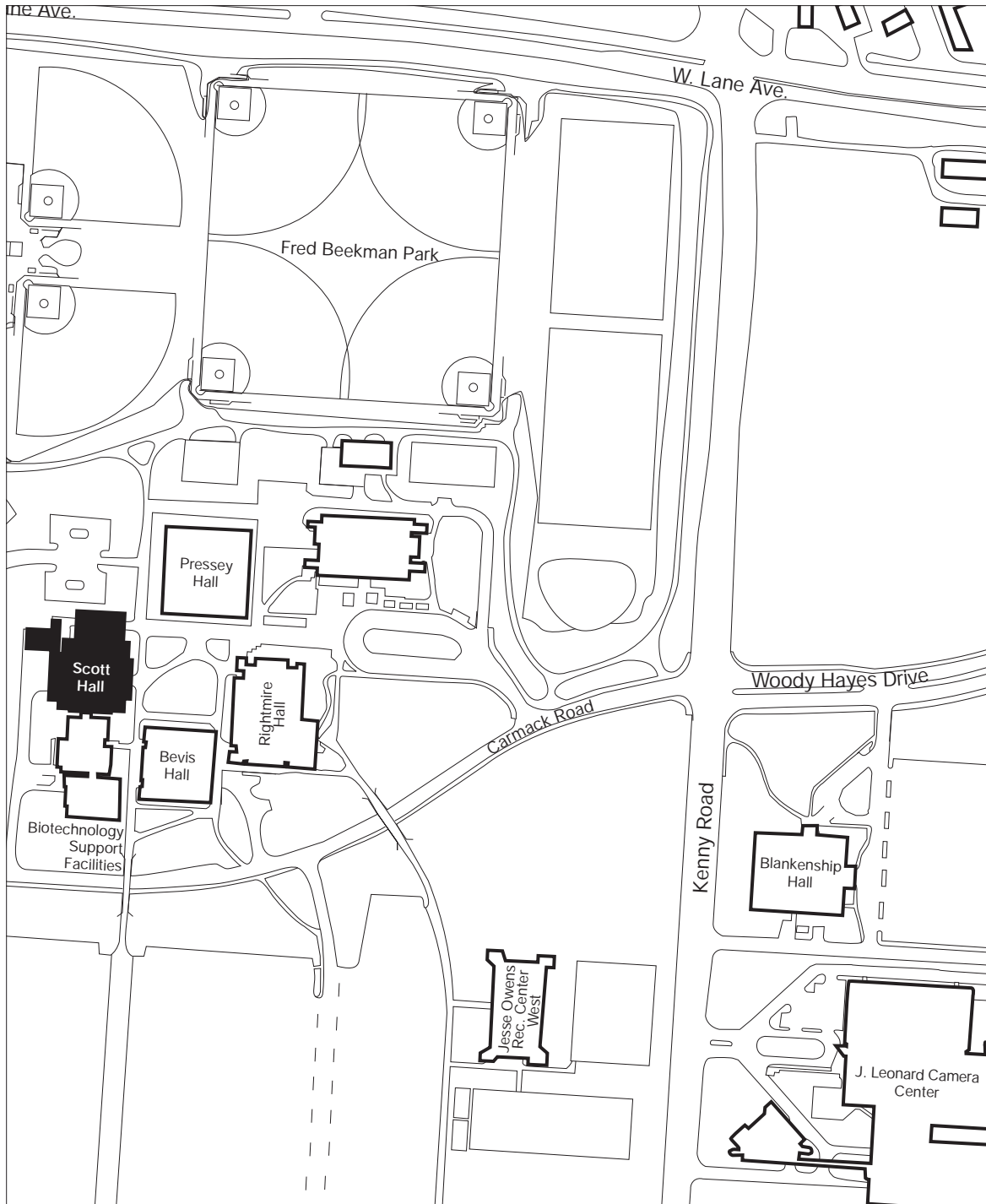
Project Information:

Facility Planner: Is Unassigned
 Project Captain: Brett Garrett
 Project Assistant: Karen Cogley
 (cogley.1@osu.edu)
 Field Coordinator: Glenn Gerhart
 (gerhart.1@osu.edu)

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$150,000.00	\$150,000.00	Total:			
Total:	\$150,000.00	\$150,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Bidding Approved B/T	08/01/2003	11/03/2003	
Design			
Schematic Design Approval	03/03/2003	04/02/2003	04/02/2003
Design Dev Document Approval	04/17/2003		04/02/2003
Construction Document Approval	06/16/2003		05/07/2003
Bidding			
Bid Opening	09/14/2003	11/10/2003	
Construction			
Construction Start	11/13/2003		
Completion	03/12/2004		

Scott Hall - Dock Area and Concrete Walk/Wall Renovation



Office of Business and Finance
Office of Facilities Planning and Development

October 17, 2003



Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): UNIVERSITY HOSPITALS EAST

Gross Sq. Ft.465,711 Age: 1966

Description: Renovation of approximately 15,000 gross square feet to accommodate the growing number of emergency patients. This project includes the reconfiguration and upgrading of finishes and utilities in the existing space and increasing the treatment/support areas by modifying the space immediately adjacent to the existing space.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Captain: Richard Van Deusen
(van-deusen.2@osu.edu)

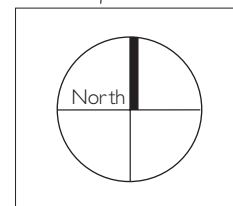
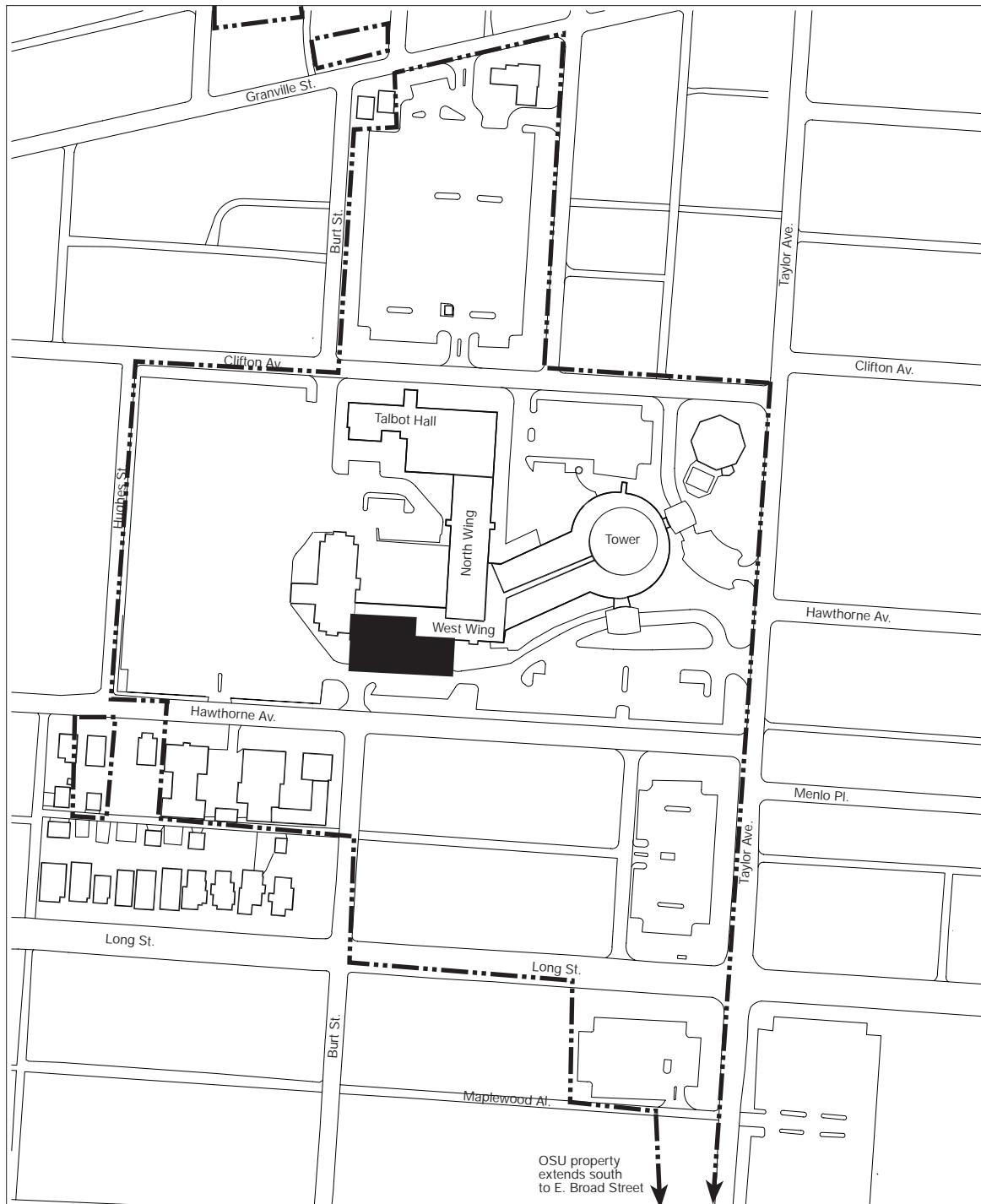
Project Assistant: Curt Handschug
(handschug.1@osu.edu)

Field Coordinator: Pat Cuthbert
(cuthbert.8@osu.edu)

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University	\$4,000,000.00	\$787,564.00	Total:			
Hospitals						
Treasurer's Debt Service	\$0.00	\$0.00				
Pool Loan						
Future Univ. Bond	\$0.00	\$4,000,000.00				
Proceeds						
Total:	\$4,000,000.00	\$4,787,564.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$4,000,000 Project)	05/03/2002		05/03/2002
Bidding Approved B/T (\$4,787,564 project)	11/03/2003		
Design			
Arch/Engr Contract	09/30/2002	01/15/2003	01/03/2003
Schematic Design Approval	12/15/2002	01/30/2003	05/29/2003
Design Dev Document Approval	03/15/2003	07/10/2003	07/24/2003
Construction Document Approval	06/15/2003	11/15/2003	
Bidding			
Bid Opening	07/30/2003	12/18/2003	
Construction			
Award of Contracts	09/10/2003	03/19/2004	
Construction Start	09/15/2003	03/26/2004	
Completion	04/15/2005	03/25/2005	

University Hospitals East - Emergency Department



Office of Business and Finance
Office of Facilities Planning and Development

October 17, 2003

2003 UPDATE OF THE 1995 UNIVERSITY MASTER PLAN

Summary

August 19, 2003

Background

This update is the first of the periodic reviews called for in the 1995 University Master Plan to assure the Plan's continuing relevance, to evaluate its overall effectiveness, and to respond to changes, new issues, and need for clarification. The review was conducted by an internal University Committee (IMPACT II) with representatives of the major units involved in academic and physical planning and an External Review Team (ERT) with representatives from private planning firms and university planning administrators from universities comparable to the size and complexity of Ohio State.

Method of Review

Members of IMPACT II identified changes that had occurred since 1995 and pursued answers to the questions "in what ways is the 95 Plan working and in what ways is it not working?" IMPACT II then prepared an internal report based upon their own discussions and use of the master plan as well as the results of interviews with persons from the University and from the private sector who had direct experience with the 95 Plan. The External Review Team reviewed this report, and made a two-day visit to the campus, which included a campus tour and extensive meetings with IMPACT II and invited guests.

Conclusion and Recommendations

The External Review Team confirmed IMPACT II's conclusion that, in most respects, the policies and principles of the 95 Plan remain valid and continue to effectively guide campus development decisions. Incremental revisions prepared as time and resources permit, rather than a major update or new plan, are recommended. These proposed revisions fall into six categories: (Background and discussion statements and actions/schedules for each are included in body of this report.)

1. Academic Plan 2000:
Prepare Supplement to 95 Plan that aligns the policies and principles of the Plan with the strategies and initiatives of Academic Plan 2000; and Update UMP each time the Academic Plan is updated.
2. Regional Campuses:
Prepare a thematic district plan that establishes policies and principles that reflect the identifying characteristics of Ohio State and, at the same time, provide direction in designing regional campuses in suburban locations.
3. Acquisition Lines:
Prepare policies for establishing acquisition lines and mutual understandings with surrounding communities at all University locations.
4. Gateways:
Prepare a "thematic district plan" that establishes University policies and principles to guide location and design of gateways at all locations.
5. Design Review Board
Conduct an objective appraisal of all projects that have gone through the design review process and revise policies regarding the Design Review Board to address issues that are identified.
6. Increasing Complexity in Project Funding Sources
No action recommended. Existing policies and principles are adequately flexible to address these issues.

Participants

Members of the Interim Master Planning Advisory Committee II (IMPACTII)

Herbert B. Asher: Professor Emeritus, Political Science
Sarah M. Blouch: Director Traffic Parking and Transportation
Helen R. DeSantis: Assistant Vice President for Business Operations
Anne M. Dorrian: Director of Real Estate
Susan Fisher: Professor of Entomology and representative of University Senate
Glen Funk: Director of Facility Planning
Robert Haverkamp: Assistant Vice President for Business and Finance
Eric Kunz: Associate Vice President Health Services
Jill Morelli: Assistant Vice President, University Architect,
Alayne Parson: Senior Associate Vice-Provost
Molly Ranz-Calhoun: Associate Director Residence and Dining Halls
Laura Shinn: Senior Campus Planner,
James Stevens: Associate Vice President for Physical Facilities
Lee Walker: Director, Office of Budget
Paul Young: Professor Emeritus, Architecture, and IMPACT II Coordinator

Persons Interviewed

Frank Elmer, Lincoln Street Studio
Terry Foegler, President, Campus Partners Inc.
David O. Frantz, Professor of English, Former member of Design Review Board, Secretary of Board of Trustees
Glenn Hoffsis, Dean, College of Veterinary Medicine
Robert Livesey, Director Knowlton School of Architecture and Chair, Design Review Board
John Riedl, Dean and Director, Ohio State at Mansfield
William Saam, Chair, Department of Physics
Ora Smith, President, Science and Technology Campus (Scitech)
William R. (Randy) Smith, Professor of Geography and Vice Provost
University Space and Facilities Committee
University Council on Physical Environment

Members of External Review Team

Perry Chapman, Sasaki Associates, Watertown, MA
Denise Scott Brown, Venturi Scott Brown Associates, Philadelphia, PA
Michael Dennis, Michael Dennis Associates, Boston, MA
Steven Gift, Hansbury Evans Associates,
Larry Helman, NBBJ, Columbus, OH
Keith Myers, MSiDesign, Columbus, OH
William R. (Randy) Smith, Vice Provost: Office of Academic Affairs, facilitator

University Personnel invited to participate in the External Review Team Sessions

Susan Erskine, Assistant Vice President for Research
David O. Frantz, Professor of English, Former member of Design Review Board, Secretary of Board of Trustees
Martha Garland, Professor of History and Associate Provost
Richard W. Hall, Associate Dean of the College of Biological Sciences
Stephen McClary, Planning Administrator for City of Columbus
John Reidl, Dean, Mansfield Campus
William R. (Randy) Smith, Vice Provost: Office of Academic Affairs, Regional Campuses
Brian White, Superintendent Facility Maintenance & Secretary, Mansfield Campus

**TRANSFER OF REMAINDER INTEREST IN REAL PROPERTY
6400 SUNBURY ROAD, BLENDON TOWNSHIP, FRANKLIN COUNTY, OHIO**

Background

In November 1999, the Davis Foundation made a gift to The Ohio State University of the improved real property at 6400 Sunbury Road, Blendon Township, Franklin County. The property consists of a 7,700 square foot residence located on approximately 23 acres. The gift of the property was subject to a life estate.

The property is in excellent condition. However, the University is responsible for maintaining the property for the lifetime of the life resident, a period currently expected to be 41 years.

Proposed Disposition

The life resident is interested in selling his life interest in the property and moving to a new home. That sale would be through a bargain sale to The Ohio State University Foundation. The University is interested in extinguishing its obligation of maintaining this property for the next 41 years. Our objective is to consolidate the life interest and remainder interest in the Foundation to facilitate disposition of the property. To accomplish this, the University proposes transferring the remainder interest to The Ohio State University Foundation. After acquiring both the remainder interest and the life interest, the Foundation would sell the property.

TRANSFER OF REAL PROPERTY TO THE
OHIO STATE UNIVERSITY FOUNDATION
6400 SUNBURY ROAD
FRANKLIN COUNTY, OHIO



No True Scale

Office of Business and Finance
November 7, 2003



Map Provided by University Engineer's Office

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND NINETY-NINTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, December 5, 2003

The Board of Trustees met at its regular monthly meeting on Friday, December 5, 2003, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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December 5, 2003 meeting, Board of Trustees

The Chairman, Mr. Sofia, called the meeting of the Board of Trustees to order on December 5, 2003, at 10:15 a.m. He requested the Secretary to call the roll.

Present: Zuheir Sofia, Chairman, Tami Longaberger, Daniel M. Slane, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Douglas G. Borrer, Walden W. O'Dell, and Emily M. Quick.

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CHAIRMAN'S REPORT

Mr. Sofia:

This is one of the busiest times of the academic year as we approach the end of the Autumn Quarter. As you know, we have changed our commencement format from Fridays to Sundays. Beginning this Sunday, December 14, we shall have the first commencement under this new format.

It is fitting to note that as we return from the Thanksgiving holiday that we have much to be grateful for at this great University. In the course of our business today we shall be recognizing Vice President for Student Affairs Bill Hall and his office, the safety officials of the University, the student leadership, and the students of the University for their successful efforts in handling a number of difficult situations.

Also, on behalf of the Board of Trustees, I would like to commend the leadership of President Holbrook and the University administration, faculty, staff, students, Athletic Director Andy Geiger and the entire Department of Athletics, public safety officials, alumni, and friends of the University for their support of our efforts to create a festive, positive, and safe campus atmosphere on football Saturdays. Furthermore, I would like to reaffirm the Board's support of these efforts as first expressed in our resolution passed on December 6, 2002.

I would like also to acknowledge the statements of support that have been received from The Ohio State University Foundation Board, the Steering Committee of The Ohio State University Alumni Advocates, the Advisory Council of the Alumni Association, the Council of Student Affairs, the Athletic Council, and numerous alumni and friends of The Ohio State University.

I would like now to ask Vice President for Student Affairs Bill Hall to come forward. Bill, the Board is pleased to present to you this expression of appreciation for all you have done to sustain and enhance the positive values of tolerance, respect, open debate, civility, and celebration on the campus of The Ohio State University.

I am not going to read this, but Bill deserves this recognition and we appreciate all of your work. Thank you.

Mr. William H. Hall:

Thank you.

EXPRESSION OF APPRECIATION

WHEREAS during Autumn Quarter 2003 the University community has successfully managed a series of complex, sometimes controversial events; and

WHEREAS among these events was the hosting of the Third National Student Conference on the Palestine Solidarity Movement, which event was sponsored, as University officials noted from the

EXPRESSION OF APPRECIATION (contd)

outset, by a student organization in good standing in the University and so permitted to reserve campus facilities for events; and

WHEREAS under the careful planning and thoughtful leadership of Bill Hall, Vice President for Student Affairs and his office, public safety officials, student leadership, the students of the University, and members of the community, the conference was non-violent and largely non-confrontational and allowed the University to uphold the values of free speech and civilized debate that are hallmarks of a great institution of higher education; and

WHEREAS under the leadership of President Holbrook, the University community confronted the issues of game day behavior on and around the campus and exercised national leadership in calling for personal responsibility and good sportsmanship in the celebration of intercollegiate athletics; and

WHEREAS University administration, faculty, staff, students, Athletic Director Andy Geiger, the athletic department, alumni, public safety officials, and friends of the University supported the creation of a festive, safe, and positive campus atmosphere on football Saturdays:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees would like to commend President Holbrook for her exemplary leadership in these efforts and to recognize and express its appreciation to Vice President Bill Hall, campus and local law enforcement, and all those who worked so diligently to sustain and enhance the positive values of tolerance, respect, open debate, civility, and celebration on the campus of The Ohio State University.

Mr. Sofia:

On behalf of the Board of Trustees, I am also pleased to recognize two other individuals who are concluding many years of distinctive service to the University.

Mr. Reed Fraley has spent his distinguished 14-year career as vice president for Health Services, coordinating the operations of the University Hospitals, University Hospitals East, the James Cancer Hospital and Solove Research Institute, OSU Harding Hospital, and the primary care network.

Under his leadership and expertise, the OSU Health System has become a national leader in academic medicine, and the hospitals have been widely recognized for their outstanding achievement.

Reed is well respected by his peers and colleagues at the Medical Center and the University. His dedication and devotion to providing exceptional patient care are the hallmarks of his entire career.

This Board of Trustees expresses our deepest appreciation to Reed for his outstanding service. Reed, we extend to you and Mel all the good wishes and success and happiness in the years ahead.

Mr. R. Reed Fraley:

Thank you.

EXPRESSION OF APPRECIATION

WHEREAS R. Reed Fraley has served The Ohio State University with distinction since February 1989, beginning as Executive Director of University Hospitals and currently serving as Vice President for Health Services; and

WHEREAS Reed Fraley has overseen the operations of University Hospitals, University Hospitals East, The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, OSU Harding Hospital, and a primary care network of more than 30 sites throughout central Ohio; and

WHEREAS under his strategic leadership and expertise, the OSU Health System has become a national leader in academic medicine and the hospitals have been widely recognized for achievements in quality patient care, sound fiscal performance, and the use of advanced technology; and

WHEREAS Reed Fraley has been instrumental in developing and overseeing numerous partnerships/mergers in a changing medical environment in central Ohio that have enabled the OSU Health Systems to be a dynamic and successful leader in the medical community; and

WHEREAS Reed is well respected by his peers and colleagues at the Medical Center and the University at large and his dedication and devotion to providing exceptional patient care are hallmarks of his tenure at Ohio State:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees acknowledges its high esteem of and heartfelt appreciation to R. Reed Fraley for his unparalleled service, always adhering to the highest standards and principles, and extends to him and his spouse, Mel, all good wishes for success and happiness in his new position.

Mr. Sofia:

Mr. Dan Heinlen, please come forward. He tells me, "I have been around here too long," but it is not long enough for us. Dan, you can stay as long as you like.

Dan started with The Ohio State University Alumni Association back in 1965 and, since 1973, has served as president and CEO. Under his 38-year tenure, the organization more than doubled its membership, growing to over 123,000 dues-paying members. Dan's efforts have significantly benefited the University through the building of a strong and loyal alumni base. That base has generated numerous student scholarships and gifts through its many clubs and societies.

Dan Heinlen has not only provided exceptional leadership and dedication during his tenure, but his constant enthusiasm and love for Ohio State are just well-known everywhere.

Dan, the Board wishes to express to you our sincere appreciation for your outstanding commitment and service to the Alumni Association and the University. We extend all the good wishes, success, and happiness in the future to both you and Gelene.

Mr. Dan Heinlen:

Thank you.

EXPRESSION OF APPRECIATION

WHEREAS Dan Heinlen began his career with the Alumni Association in 1965 as field director for alumni clubs and in 1973 became director of Alumni Affairs, a title that later became President/CEO; and

WHEREAS under his steady tenure, the organization more than doubled its membership, growing from 60,000 dues-paying members in 1973 to over 123,000 today; and

WHEREAS Dan's 38-year career at the helm of the Alumni Association has greatly benefited the University by the building of a strong and loyal alumni base that has generated numerous student scholarships through its many clubs and societies; and

WHEREAS in addition to his numerous professional and civic activities, Dan has also contributed to the University by serving on many committees, including three presidential search committees; serving as a member of the University's Foundation Board and the Academy for Distinguished Teaching's Executive Council; and spearheading the move of the Alumni Association into its current home in the Longaberger Alumni House; and

WHEREAS Dan Heinlen has provided The Ohio State University Alumni Association and the University with exceptional leadership and dedication during his tenure, and his constant enthusiasm and love for Ohio State are hallmarks of his distinguished career:

NOW THEREFORE

BE IT RESOLVED, That Board of Trustees expresses its sincere appreciation to Dan L. Heinlen for his outstanding commitment and service to the University, and extends to him and his spouse, Gelene, all good wishes for success and happiness in the future.

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PRESIDENT'S REPORT

President Karen A. Holbrook:

I am going to talk about a topic that is a very long topic, which I will abbreviate, but I want to start addressing it because it is one that is very important for higher education in the State of Ohio and especially for this University.

Many of you know that last year the Governor appointed the Commission on Higher Education and the Economy and chose as its members representatives from higher education, business, and the government. The idea was to follow the charge of the Governor. Let me tell you what his original charge was that he put into his State of the State Address last year: 1) improve the quality of higher education; 2) increase the productivity and the efficiencies in higher education; 3) eliminate unnecessary duplication in higher education; 4) broaden the use of technology in higher education; and 5) determine how higher education efficiently supports the state's economy and how it can add to the quality of life. Those were his five charges to the committee.

However when the committee met, he amplified several other charges. Basically, he said higher education is so important to this state and such a major driver of the economy that everything is on the table. You have the opportunity to talk about anything that you would like to talk about that you think fits into the theme of higher education and the economy.

The two issues that were to be resolved were: 1) How do we correct an undereducated population in the State of Ohio? To bring us to the national average of people with college degrees, we would have to educate 340,000 more

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

people. So we are way undereducated compared with other states. And, 2) How do we build an adequate number of high-skilled, high-waged jobs? Again, both of those require the input of higher education.

The process began with a committee of the whole. It is chaired by Mr. Dick Pogue and staffed by many individuals at the Board of Regents. We started out as a session of the whole and then we broke into individual committees: 1) the Economic Competitiveness Committee; 2) the Access Committee; and 3) the Delivering Results Committee. In the end, the Delivering Results Committee was the group that was to come up with the recommendations that would be fed back to the Governor.

We have been meeting regularly in each of these different committees. Each of the committees is staffed, as I said, by Board of Regents' people, but also we brought in many consultants. Consultants came from all over the nation as they had something to deliver or something to discuss relevant to higher education and the economy.

The overall consultant for the committee of the whole and the whole project was Dr. Stephen Portch, formerly chancellor of the University System of Georgia. Steve has since left that position and is a private consultant, and has done an extremely good job as a consultant to this group.

We have come back together only once after the committees have done their jobs to look at what has been pulled together as a result of the committees' efforts. Now much of the work really has been done by staff. We get a packet of material before each of the meetings to read. It is actually good reading and is very relevant and pertinent information that helps us all be in tune for the discussion of the day.

At the end of the discussion, the staff then comes up with a summary of what goes on. The last time we met as a committee of the whole we met because all of the committees' deliberations had been pulled together by staff in a series of "41 Policy Options." Those are a lot of recommendations to come out of a committee for going forward and sending to the Governor. Chancellor Portch was here at the time and thought we had too many recommendations and that many of them needed to be looked at and consolidated. I think that is absolutely clear, but what I wanted to do was tell you where this group is and the kinds of issues that have been deliberated. Because they really do give us a sense of what is ahead for us in higher education, The Ohio State University, and other public universities.

The committee members represent not only higher education -- universities like ours and the 11 IUC schools in the state -- but community colleges, technical colleges, and adult career centers. All of these are considered post-secondary education. So when I tell you about these recommendations and how they are grouped, recognize that they are not targeting just universities like ours, and only some of them really reflect and impact on what we are doing.

It is very hard to digest 41 different recommendations, so I spent a lot of time on them. I took all of the recommendations and put them in a document, and I am going to go through and pick some highlights and put them in categories to give you a sense of how broad the actions are that are coming out of this Commission. Let me just read you some of the headings and then highlight a couple of things. Since the time is short I cannot go into all of them, but some of them are very important to us.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

I categorize the outcomes of the 41 different recommendations in about 15 different categories. Some are pure workforce development. We do need workforce in this state, there is no question about that. Those are not the ones that affect us as much. Many of the recommendations focus specifically on the Third Frontier. Even with the failing of Issue 1, the Third Frontier is still there, it still has a number of goals, and there is still funding in the Board of Regents to support that. So many of the initiatives focus on this state moving forward with the Third Frontier.

There are many of them that are focused on bringing more Ohioans and preparing students better to come into higher education, which is very important. Many of them are focused on improving affordability and focused on different levels of education. In other words, there are different policies for community colleges, for us, and for adult education.

There are many policies that focus on accountability in higher education and those are ones that we will particularly pay a lot of attention to as we are already doing. There are a number of positive recommendations that suggest how to improve universities doing business. There were many policy options focused on new funding models for higher education, and others on governance and oversight of higher education, and these, too, are relevant to us. Then there are many policies that are in place to incentivize higher education to do the kinds of things that this Commission thinks needs to be done to drive the economy in the State of Ohio in a positive way.

Finally, when you take all of these 41 policy recommendations and look at them, you can come up with which ones require expanded funding. That is a very important issue because a lot of these recommendations that incentivize us to do new things or change what we are doing now will require the input of new support.

Let me highlight a few things that are of particular interest to us. One of the recommendations was to put in place a productivity and efficiency challenge. Many of you probably know that we have challenges funded by the Board of Regents. Things like the access challenge to support our research enterprise. This is suggesting that new money be put in place to support what we do in proficiency, productivity, and efficiency. What kinds of things we are going to do to promote access, workforce development, and how and where we are going to save money. This requires a reporting system that I will not go into at great length.

The specific focus on the Third Frontier is of interest to us because it recommends new dollars or dollars. It is hard to say whether they are new or whether they are reallocated, and I will come to that shortly. This is to incentivize colleges and universities, and to enhance their education, and technical and training programs in the five areas of the Third Frontier. Also in this area there is recommended increased state funding from many of the Board of Regents' programs like Wright Center for Innovation Technology Action Fund and Hayes Investment Fund. Those programs have benefitted Ohio State greatly and we are very pleased to see that the recommendation is to increase funding for those programs.

They are also suggesting that incentives be made available to universities to motivate faculty to work in applied and basic research areas. Again, areas that are targeted to the Third Frontier. Many of the things are suggested under that heading that are of value to us. I think we care a lot about the recommendations

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

where there is a better linkage between high school and universities. Many of those things are happening right now, but some of the policy recommendations will suggest multiple new pathways to get students who are very bright into college much earlier and students who are having more difficulty to spend a thirteenth year in high school. It is looking at a number of ways of improving that transition from high school to college. Those kinds of things will benefit us as well.

Some of the problematic things for universities such as ours are the recommendations that industry and business would participate in designing the curriculum for our programs at the University. I think that has been the prerogative of faculty for years and will remain so. I do not think we are oblivious whatsoever to what business thinks we need, because many of our colleges and departments have boards where we listen very carefully and are very attentive to what the outside community is concerned about in an education program. Many of these recommendations actually base funding on the level to which business participates in designing the programs we have on our campus. I do think some of those are problematic.

For colleges and universities there is a lot of incentive money available for aligning our research programs and for incentivizing people to do research in areas that are going to benefit technology transfer. Technology transfer is a very large piece in this document, as well as with the idea of funding the technology transfer challenge. Much of the money that is invested in our research programs actually gets pulled out into new start-ups, licenses, and into a more effective way of translating that research into something that is of value economically to the state.

There are a number of pilot projects that are suggested where universities would work together to help administrative services cut costs. To employ technology, particularly in large lecture classes, where we might be able to deliver courses more effectively through use of technology.

Accountability has several pieces to it. Let me jump to one final thing that I do think is very relevant to us and that is the coordination, oversight, and governance of higher education. Among the policy options is one I believe the Governor will possibly -- and this is my perception no one else's -- pick up on. That is to establish a compact -- as it has been called among higher education government and business -- that would have the role of harnessing the potential of higher education for economic growth and state competitiveness. What this compact would do would really oversee what goes on in higher education and how it benefits the economy of the state. It would work toward that goal rather dramatically and would also implement all of the policy options that end up being in this final report.

The second thing that is of interest is the recommendation to create a cabinet-level position for higher education. As I mention these, please remember that these are all draft recommendations. They are not adopted and not necessarily coming out in the final report, but they are encompassed in the 41 recommendations.

Changes to the membership of the board of trustees by adding outside trustees is recommended and there is a large section that expands the responsibilities of the Board of Regents. One would allow the Regents to implement sanctions for institutions that do not participate in academic prioritization and reallocation.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

Another would allow the Board of Regents to identify, evaluate, and eliminate duplication of graduate and professional-level programs and withhold money and the ability of the institution to deliver that degree. Yet another would allow the Regents to audit colleges and universities for practices related to financial aid and convene several different pilots. So it expands the role of the Board of Regents.

There are many things to incentivize higher education to do things, as I have said. When you come down to the final analysis, the activities that require new and expanded sources of funding are rather dramatic in an environment where one does not project the state's economy as elevating.

Looking at my list, I probably have 20 things that are "provide state funds for, provide new funding for, provide projects for, provide tax credits for, provide state dollars for." It is not clear where those resources will come from, but, as part of this process, they have contracted with MGT to prepare a financial analysis of what is going to be needed.

The good news is that there are many things here that really would make a difference. There is a lot of information in the document that would help expand our resources for research, particularly, our facilities for what kinds of things we want to do. One has to look at the document from the positive aspects, as well as things that we need to be wary of.

They have sought input from IUC, which I represented, from the independent colleges and universities, and from the community colleges. In the end, we will come back to all those recommendations, but the Governor does want a few things that he can use in his State of the State Address. This is a very broad document and there are a lot of things on the table and how it is going to get focused. "Focused" is a word that they continue to use. It is going to be somewhat challenging in the next several weeks if we are to be ready to give the Governor some recommendations.

I think one of the most problematic things -- and I have said this in public to the Commission so I will say it here -- is the real focus on driving the economy, along with certain aspects of the University being science technology fields rather than using the great value of universities like ours and like the other major universities for all of the aspects that we contribute to the economy. The economy to me is not simply built on technology and science. It is built on an educated population and bringing great companies into this state is going to occur if we have a quality of life. The quality of life stems from the arts, the social sciences, and all of the pieces that we put together.

We actually had that debate this week, where some felt the focus has to be very narrow on the Third Frontier. But others, including the Chancellor, said citizenship has been deemed to be one of the most important values to companies in locating in an area, as has been the arts and other areas. So I think for us it has been a very good process. A lot of good thinking and consulting has gone into it.

One of the things I would hope is that we come out of it with something big. We are going to make a difference by being more accountable. We are going to make a difference through pilots. The states that are making a difference and a statement are the states that are doing something dynamic. I hope that when

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

this is done, something out of it will be dynamic that puts Ohio on the map. In my view the Third Frontier can do that, but it has to then be the centerpiece.

I think it is interesting. I wanted you to have some sense of where we were on this. It is very, very important to us and I hope we will have a chance in another session to talk about it at length, because it is going to be very critical that we are onboard with what happens.

I am sorry for the time, but this is very important. Thank you.

Mr. McFerson:

You mentioned about the timeline going forward now.

President Holbrook:

Yes. What I understood is the Commission will continue meeting until somewhere around March, but the Governor wanted a few nuggets that would go into his State of the State Address. My guess is that compact will probably be one of them. Obviously in this economy the real goal is to create jobs, so whatever things come out of this that are going to be enhancing jobs -- and there are many of the recommendations in here that would do that -- those will come forth. I do not know whether he wants two or three nuggets. Jo Ann, you may have more insight into this than I do. But I think he does want some things that he can lead with. We will see what he comes out with.

Mr. Sofia:

Any other questions? Thank you.

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STUDENT RECOGNITION AWARD

Ms. Quick:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of that student's achievement in his or her area of study, service to the University and/or University community, and/or research achievements that have been a credit to the college and the University.

This month's recipient is Nowai Keleekai, who was nominated by Dean Elizabeth Lenz in the College Nursing. Nowai has been very involved since she began her studies at the University. She came to Ohio State as both an OMA Scholar and a Gates Millennium Scholar, has been part of the OMA Mentor/Mentee Program, and is a recipient of the OMA Outstanding Achievement Award.

She received the National Institutes of Health supplemental research grant to support her thesis, "Associations Between Engagement and the Patient-Provider Relationship and Quality of Life and Adherence Among Persons Living with HIV and AIDS," and has a seat on the OSU College of Nursing Professional Misconduct Committee.

Currently, Nowai is a College of Nursing ombudsperson, an intern for the Ohio Commission on Minority Health, and a member of Chi Eta Phi, Incorporated, a national professional minority nursing sorority.

STUDENT RECOGNITION AWARD (contd)

Ms. Quick: (contd)

Today Nowai is accompanied by Elizabeth Lenz, dean of the College of Nursing, Nancy Reynolds, her advisor, and Ann Salimbene, assistant dean of the College. Nowai is currently preparing to apply to graduate school and is very dedicated to the field of nursing and to The Ohio State University.

I am proud to be able to present a student of high caliber like Nowai to the Board of Trustees. The Board appreciates the ability to meet students, especially those who show how bright and committed OSU students can be. Congratulations, Nowai, on receiving this award.

Ms. Nowai L. Keleekai:

Good morning. Since Emily gave a pretty exhaustive list of the things that I do, I just want to thank and recognize everyone for selecting me for this award.

First, I want to take a moment to thank God who I believe gives me favors in all of my endeavors and allows me to go forth and shows me success. I want to recognize Dean Lenz for nominating me, as well as Dr. Salimbene, and Dr. Reynolds, my advisor, who gives me a lot of guidance for the things that I need to do. And, of course, I want to thank the Board members and everyone involved in selecting me for this award. I thank you for your recognition and sincerely appreciate receiving this award from a university that I truly, truly love. Thank you.

Mr. Sofia:

Congratulations.

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OFFICE OF RESEARCH ANNUAL UPDATE REPORT

Interim Provost Barbara R. Snyder:

We have today a presentation from Dr. Tom Rosol, interim vice president for Research, and he will be joined by Dr. Michael Knopp, from the College of Medicine and Public Health, and Dr. John Roberts, from the College of Humanities.

Dr. Tom Rosol: [PowerPoint Presentation]

Good morning, President Holbrook, distinguished members of the Board of Trustees, and ladies and gentlemen. It is my pleasure to present an update on research at The Ohio State University. I am joined this morning by Dr. Michael Knopp, from the College of Medicine and Public Health, and Dr. John Roberts, from the College of Humanities, who will present highlights of their research programs later in this presentation.

The Ohio State University is a leading research institution in the United States. The overall mission of research at OSU is "to advance the well being of the people of Ohio and the global community through the creation and dissemination of knowledge." Today, I will report that the research enterprise at OSU is doing exceptionally well. We rank 13th in research expenditures for American public universities and are well positioned for future growth.

OFFICE OF RESEARCH ANNUAL UPDATE REPORT (contd)

Dr. Rosol: (contd)

OSU is one of the most comprehensive universities, which will serve us favorably as major research funding currently shifts from principle investigator-based support to interdisciplinary teams that address important societal problems.

The Ohio State University is the flagship research university in the State of Ohio and has twice the research expenditures compared to Case Western Reserve University and the University of Cincinnati. Notice on this graph, prepared by the Ohio Board of Regents, that OSU expends 40 percent of all extramural research funds at Ohio universities.

The current goals of the Office of Research are well aligned with the OSU Academic Plan: 1) the office will help sustain an outstanding faculty and create an environment that promotes student involvement in research; 2) we will build recognition of our research faculty and their accomplishments; and 3) the support and regulatory units in the office will provide outstanding service to faculty at a competitive cost.

President Holbrook convened her cabinet and the deans for a Leadership Retreat this summer to update and refresh the Academic Plan. This resulted in the identification of three important themes to focus on: 1) the undergraduate experience; 2) research; and 3) outreach for the 21st century. The Office of Research will have important roles in all three categories.

Finally, the Office of Research provides the necessary support to facilitate commercialization of new knowledge and technologies discovered by faculty and students. This will capture the full value of state and federal investments for research, leading to the betterment of the citizens of Ohio, the nation, and the world. Research universities have greater accountability for the wise use of research funding and are expected to promote the migration of new technology to the public sector to support businesses and industry.

Interim Provost Barbara Snyder has had the overall responsibility for formulating a series of well-defined objectives to address the three focus areas of the president's leadership agenda. I will mention only a subset that is the responsibility of the Office of Research to implement. First, to advance a research faculty track at the University in cooperation with the Office of Academic Affairs. Research faculty are frequently used to increase the breadth of faculty expertise available at the University and enhance the ability of faculty teams to focus their investigatory efforts on important societal needs. Research faculty will increase the research base at the University and will also have direct benefits to undergraduate and graduate students who pursue hands-on experiences in the laboratory. Second, to fund new multidisciplinary initiatives to support interdisciplinary and intercollege research activities. Third, to identify and minimize financial and procedural barriers to interdisciplinary research at OSU that may have recurred due to budget restructuring.

I will present two examples of how we will fund new initiatives to promote multidisciplinary research at OSU. The first is an innovative program that originated from President Holbrook. The president has provided funds which have been supplanted by the executive dean of the Arts and Sciences, Michael Hogan, to support seed and multidisciplinary grants in the arts and humanities. Interestingly, it has been recently suggested by senior administrators in the Big Ten Committee on Inter-Institutional Cooperation that relatively small amounts of funds could be used to dramatically enhance research in the arts and humanities.

OFFICE OF RESEARCH ANNUAL UPDATE REPORT (contd)

Dr. Rosol: (contd)

I was pleased to report to the CIC last week that we are already moving forward in that arena.

The second program will provide financial support to faculty that aspire to attain extramural funding for centers or large multidisciplinary initiatives. It is often challenging for colleges to provide the infrastructure, administrative support, or seed funding to permit diverse groups of faculty to work together and respond to new opportunities from federal funding agencies. This program will be linked to a defined outcome; namely, submission of large interdisciplinary grants.

As mentioned earlier, OSU has experienced unprecedented growth in research since 1998. This is in part the result of increased federal support. For example, the NIH budget has doubled in the past five years. But as we shall see, our growth has been at a more rapid pace than most universities.

Our research expenditures increased 15 percent last year. Research expenditures are an excellent measure of research productivity in the sciences and engineering. It is more challenging to quantitative research productivity in the arts and humanities. Last year, our extramural awards rose five percent to a total of \$463 million. Even more exciting is the fact that our awards have risen a dramatic 8.4 percent in the last five months of Fiscal Year 2004. In contrast, it is expected that the budgets of NIH and NSF will grow between three and four percent in 2004.

Most of the extramural research funding at OSU comes from federal agencies. However in the past two years the level of funding from the State of Ohio has risen significantly and currently represents 13.9 percent of awards. Note that this is almost twice the percentage from the National Science Foundation. Much of the increase in state funding is the result of Governor Taft's Third Frontier program, as we just heard from President Holbrook.

OSU has received almost \$40 million from the Third Frontier program in the past two years. Doctors Ferrari, Saltz, and Caligiuri, in the College of Medicine and Public Health, have received grants by the tobacco settlement, mainly the biomedical research technology transfer funds. Dr. Knopp, who is here with us today, has received the first Wright Center of Innovation Capital Award, that was combined with operating costs from tobacco funds for a total of \$17.1 million for medical imaging research.

Dean Williams, from the College of Engineering, has received the first Wright Center of Innovation Capital Award of \$10.8 million for research in aerospace and propulsion. Dr. Jim Lee, from the Department of Chemical Engineering, also received a Wright Capital Award and engineering at Ohio State is a key partner on two Wright Center Awards at Case Western Reserve University and Wright State University in fuel cell and data management. It is anticipated that the third competition for Third Frontier capital funding will occur in the spring of 2004.

As mentioned earlier, OSU has enjoyed dramatic growth in research funding and expenditures. This has led to an improved ranking for National Science Foundation awards and we are currently 39th compared to 47th just two years ago. For awards from the National Institutes of Health, OSU ranks 49th and was 57th just two years ago. Our benchmark peers remained static or went down in the rankings with the exception of the University of Texas at Austin.

Ohio State University has consistently ranked very high for research funding from industry and maintains a position of 5th or 6th in the nation. Notice that OSU is

OFFICE OF RESEARCH ANNUAL UPDATE REPORT (contd)

Dr. Rosol: (contd)

ranked 2nd compared to our benchmark peers for industry-funded research, which is significantly higher than our rankings for NIH or NSF funding.

OSU has a strong tradition of providing research opportunities for undergraduate students. Important benchmarks for undergraduates involved in research are college research forums and the University-wide Denman Undergraduate Research Forum. Under the leadership of Vice Provost Martha Garland, participation by undergraduates in the Denman Forum leapt from 130 to 240 in 2003. Viewing the accomplishments of undergraduates at the Denman Forum that range from the arts and humanities to the physical sciences to biomedicine is truly a remarkable experience. I encourage everyone to attend in 2004.

A noteworthy example of achievement by student research is the setting of a new land speed record by an electric vehicle at the Bonneville Salt Flats this summer. Raising the record to 257 mph from 251 mph, the vehicle was designed, built, and managed by engineering students in cooperation with faculty and industry at the Center for Automotive Research, directed by Giorgio Rizzoni.

The Office of Research has multiple service units. I will briefly highlight some of the accomplishments during Fiscal Year 2003. The OSU Research Foundation, under the direction of Frank DiSanto, manages over 6,000 extramural projects. For the past two years, the Foundation has attained a perfectly clean external audit report. One of the strengths of the Foundation is its focus on customer service. The Foundation receives regular accolades for its user-friendly website and PI Portal that delivers information to facilitate project management. Electronic tools are continually developed and improved to support compliance activity such as fiscal management.

The Research Foundation initiated two new electronic publications this year for all investigators and health science investigators. An external benchmark survey in 2003 reported that the Foundation provides outstanding service.

A major initiative in the Research Foundation is preparation for conversion of the legacy computer information systems to the new People Soft suite of programs for grants management and general ledger accounting. This conversion is on schedule to be completed in 2004.

This slide shows an example of the new Research Foundation web page. The website is organized to provide maximal information in a very useable format. One of the most important tools is the PI Portal, which allows faculty and college administrators to view expenditures and balances on research projects.

Some of the new electronic tools are highlighted here. For example, e-TAERs is a tool to facilitate time and effort reporting. This is an essential task to confirm that research effort and time expended on a project coincides accurately with costs charged to the project. For example, improper time and effort reporting resulted in a \$5.5 million fine by the federal government to Northwestern University in 2003.

The Office of Responsible Research Practices, led by Judith Neidig, provides the education and support necessary to manage compliance activities related to human subjects and experimental animals. The office also supervises conflict of interest policies and helps manage the new regulations to ensure privacy for human subjects. This office has had significant growth in personnel in the past two years and will continue to expand to meet the needs resulting from the increased level of federal oversight in all areas of research compliance.

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Dr. Rosol: (contd)

Two new accreditations will be sought in the next year. The first is called "Federal Wide Assurance," which assures people and the federal government that correct policies are followed at all sites where human subject research is conducted. The second is accreditation of human subject research by the Association for the Accreditation of Human Research Protection Programs.

OSU had a proactive compliance site visit from the Office of Extramural Research at NIH on October 20, 2003. Todd Guttman and I previously reported on the successful outcome of this visit to the Academic and Student Affairs Committee at the November Board of Trustees meeting. It was concluded that our current policies and practices are excellent, and we were complimented as one of the best and most compliant universities the site visit team had experienced.

We continually strive to create a culture of compliance at OSU and maintain a University-wide compliance process that is transparent, proactive, and supports the University's academic mission and research objectives. The major challenge for us is the burgeoning federal regulation and scrutiny of the research enterprise and growing cost of unfunded but mandated compliance activities.

University Laboratory Animal Resources manages 18 animal facilities with a total of 150,000 square feet of space. It is important to recognize that the percentage of research conducted with laboratory animals has grown in the past six years and currently 22 percent of funded research involves laboratory animals. The number of mice used in research has grown linearly since 1998 and this pattern shows no sign of abatement. It is unknown when this increase will plateau. I can report that we have almost reached our 2004 expected level of mouse numbers six months earlier than anticipated.

There is little available space in our animal facilities for greater numbers of mice and temporary solutions are being sought. In fact, Battelle has recently contacted us looking for space and we were unable to help them with the space that they needed. The need for space will be alleviated temporarily when the Biomedical Research Tower and Psychology Building are finished, which will increase animal space 25 percent. We should seriously consider a centralized facility on campus to allow for further expansions and to help keep our costs to a minimum.

The Office for Technology Partnerships and Licensing plays a key role in the protection of our intellectual property by licensing technology developed by faculty and students, and working with the Science and Technology Campus Corporation or SciTech, to facilitate formation of OSU start-up companies.

The director of Technology Partnerships, David Winwood, was recruited from North Carolina State University and has been here for one year now. In that time, all leader positions in Technology Partnerships have been filled, including the director of Licensing, Ellen Purpus, from Indiana University. The NIH site visit team that visited us this summer emphasized to us how fortunate we are to have such outstanding directors of Technology Transfer. David and Ellen already serve as leaders for University Technology Transfer in the State of Ohio.

The driving force for new patents is invention disclosures by faculty and students and we have seen a significant gain in 2003. I am pleased to report for the current fiscal year, we are up a dramatic 70 percent in disclosures. This indicates that patents and licensing royalties will increase in the future.

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Dr. Rosol: (contd)

OSU faculty have been traditionally strong in forming new start-up companies. Benchmarks indicate that we should form about 6 new companies per year and we have been at that level since 2001.

As mentioned by President Holbrook, Technology Transfer will play a key role in the future to help foster economic expansion in the State of Ohio and the creation of high tech knowledge economy jobs. Compared to the other 12 public universities in Ohio, OSU is especially proficient at generating additional operating expenses compared to the level of state support.

The Office of Research formed the Program for International and Homeland Security in 2002, under the leadership of Retired Air Force General Todd Stewart. Todd has developed important partnerships with industry, and state and federal departments, and helps foster faculty research support for homeland security.

For example, Larry Schlesinger, director of Infectious Diseases in the College of Medicine and Public Health, was recently successful in obtaining a planning grant from NIH for a regional center of excellence in bio-terrorism. This grant supports intercollege collaborations and should lead to development of a regional center at OSU.

Todd Stewart has also initiated the National Academic Consortium for Homeland Security. The Consortium currently has 106 academic members and provides a searchable database for university-based homeland security initiatives in education and research. We anticipate having a national conference at OSU on the role of the University in homeland security in 2004.

Finally, I would like to focus on recent faculty accomplishments. OSU has an outstanding and diverse faculty that produce remarkable achievements every year. Earle Holland and the staff in the Office of University Relations produces 12-20 stories per month on faculty research accomplishments and these stories have been utilized by national newspapers 48 times in the past year. Accomplishments range from research on the rapid rate of melting of tropical glaciers to anti-cancer compounds to wound healing to technology that prevents traffic jams or improves doctors' prescriptions to studies on children's behavior and learning.

In addition, OSU faculty have won a number of prestigious awards. Linda Saif, from Veterinary Preventive Medicine and the Ohio Agricultural Research and Development Center, is a new member of the National Academy of Sciences. Robert Fenton is a new member of the National Academy of Engineering. We have six new members in the Institute of Electrical and Electronic Engineers, six new Fulbright Scholars, two Guggenheim Fellowships, four NSF Career Awards and, most importantly, 15 new fellows in the American Association for the Advancement of Science. This is a greater number of new fellows that were attained by any other university in the United States in 2003, including the entire University of California system. A wonderful accomplishment for OSU.

At this point, I will turn the presentation over to Dr. John Roberts, professor of African-American and African Studies and associate dean of Humanities. Dr. Roberts has served as the deputy director for the National Endowment for the Humanities under the Clinton Administration, and will present highlights of his scholarly work.

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Dr. John W. Roberts:

Thank you. As a scholar of African-American folklore and literature, my research focuses on the intersection of the oral and the written on the interplay between the community and the individual, on the creative tension between history and truth, to intersections of culture, race, religion, politics, and even time in American society.

In many ways the nature of my research has been profoundly influenced by my grounding in emergent fields that value interdisciplinary approaches to cultural phenomena and the use of diverse methodologies in exploring subject matter. While much of my scholarship have been based on archival and library research of the folklores, I am equally comfortable conducting ethnographic research. This is a methodological approach to knowledge production, which envisions indigenous populations as human archives, as expert commentators, and as repositories of information on cultural and creative forms and processes that encapsulate and define the difference that they contribute to human diversity.

Although I have conducted research on a variety of subjects and produced scholarship on a number of difference topics, all of my work is interdisciplinary. It brings various humanistic and social science disciplines into dialogue with each other in order to develop productive ways of talking about expressive dimensions of African-American culture.

For example, for my first book, *From Trickster to Badman: The Black Folk Hero in Slavery and Freedom*, my research involved not only extensive archival research, but also in-depth reading of scholarship in fields as diverse as history, folklore, geography, political science, sociology, law, and literature. As a humanist, the raw data produced through ethnographic inquiry is made meaningful by examining it against and within other understandings of culture, produced primarily through historical, social, and, at times, literary investigation.

In this regard for my second book, *From Hucklebuck to Hip Hop: Social Dance in Philadelphia's African-American Community*, I turned to ethnographic investigation in the form of participate observation and interviews with over 80 individuals of different ages, social, and educational backgrounds. By contextualizing the recollection of these individuals on various dance-related topics -- within a framework informed by research and urban history, sociology, political science, demography, and dance history -- I developed ways of talking about dance experiences that shed light on it as a creative activity with socio-cultural significance to and for understanding this community.

In general, I attempt to combine archival and library research with ethnographic investigation to explore the integrity of and meanings embedded in African-American culture traditions. While I turn to human subjects for raw data of an experiential nature, I contextualize this information in an intellectual framework. This is based on research conducted in various fields that can shed light on its significance for understanding of how African-Americans, as a cultural group, express their shared humanity in American society.

For example, I have used this approach in studies of contemporary African-American family reunions in the post-migration era, in the production of visual art by untrained artists, and the meaning to be attached to the recurrent and racially charged concept of colored people's time. For fear of being accused of operating out of this concept of time today, I will end my presentation on that note. Thank you.

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Dr. Rosol:

Thank you, John. Next, Dr. Michael Knopp, The Novartis Pharmaceuticals Corporation Chair for Clinical Research, director of Imaging Research, and professor of Radiology, will present highlights of his research, including development of the newly-funded Wright Center for Innovation in Biomedical Imaging. Dr. Knopp was raised in Columbus, Ohio, received his higher education in Heidelberg, Germany, and, fortunately for us, has recently returned to OSU and his home in Ohio. Michael --

Dr. Michael Knopp: [PowerPoint Presentation]

Thank you and good morning. What I would like to share with you is really at the heart of the Third Frontier initiatives from the Governor. Basically, two areas have been really embraced with some of the Third Frontier in biomedicine: biomedical imaging and biomedical informatics.

Biomedical imaging is a key enabling technology and it really bridges the capabilities we have for small animals – rodent and animal imaging -- and for human and patient imaging. We are trying to embrace this technology and integrate this on a wide scale within the capabilities of The Ohio State University.

The approach is to use structure – how something looks and functions – and integrate the tremendous growth of knowledge we have in genetics and the molecular understanding, and these assessments, in order to allow a non-invasive imaging and assessment of therapeutic response. Imaging is really at the core of a lot of broad interaction with basic science like biochemistry, chemistry, understanding molecular biology, the genomics and protonomics, and then integrates this with engineering and computational capabilities to derive new diagnostic and therapeutic methodologies.

This is really at the heart of the capabilities we have in such a great and large institution as The Ohio State University. That was the strategy we pursued in our quest on the Third Frontier funding.

The Wright Center of Innovation, funded in May of this year, is a diverse but highly integrated team of 57 investigators. We are focusing on the translational development of imaging in the pre-clinical and clinical area. We consider this to be an open consortium to leverage the ongoing efforts in cardiovascular, oncology, and neuroscience. We really embrace the task from the Third Frontier to encourage an academia-industry collaboration. At the heart of our collaboration is a very intense collaboration with Phillips Medical Systems in Cleveland, which is a huge global corporation, and Case Western Reserve University.

As we now implement the awards and funding opportunities given to us, space is always a tremendous challenge. When I was initially asked where I would place everything, I did not even know about the capabilities of the Camera Center. We are now integrating and modifying the warehouse facility within the Camera Center to bring in the imaging capabilities in order to try this integration effort.

Let me show you a little bit of the focus of the direction we are working on. We are focusing on understanding therapy. For example, understanding why therapy is not totally successful. I share with you this patient with invasive ductal breast cancer. As you can see, this wide area here is a large tumor, which responded to therapy. The bulk of the tumor responded very well, but what you can identify is that there are small nodules, which have not been responding successfully to therapy. So while 95 percent of this tumor responded, a small

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Dr. Knopp: (contd)

portion did not. These are the challenges we are addressing with imaging to non-invasively understand as we are performing therapy to identify, for example, areas which are resistant to therapy. Optimize our knowledge and derive new improvements in health care. One of the great opportunities is the linkage to bring this together between what we are doing in patients and what we are doing in the basic science.

I have been delighted to be part of the team now embracing close collaboration also with the College of Veterinary Medicine. This is a project that we have been doing with Dr. Rosol together on assessing a new pre-clinical pharmaco-therapy for prostate hypertrophy. We were able to identify that we have non-invasive means to look at the microcirculation, understand how pharmaco-therapy is working, and have been finding a great collaboration with the commercial partners, Plough in this case, and deriving also a lot of scientific successes. The graduate students involved in this project just received the Young Investigator Award yesterday in Chicago, at a big, national radiology meeting.

What I want to show you also is that we are embracing new aspects of imaging. In previous times, we have been focused on just looking at a certain part of the body. Now we are capable of non-invasively imaging the whole body, deriving raw information from the body, focusing on the function, and, at the end, on molecular capabilities. These are the capabilities we are bringing together by embracing the broad breadth of research within this University and focusing on our areas. Thank you.

Dr. Rosol:

Thank you, Michael. We would be pleased to entertain any questions you might have at this time.

Mr. Sofia:

You said we have six new start-up businesses a year --

Dr. Rosol:

That is correct. In the past three years, we have ranged from four to eight new start-up businesses per year.

Mr. Sofia:

What happens after the start-up, to produce revenue? How much money gets back to the University?

Dr. Rosol:

That is a good point. One thing we have to realize is that, especially in biomedical sciences, before an equity event will occur, we are really looking at 8-12 years down the road. These are very early-stage things. What a start-up company has done is they have attracted funding for their new technology in the form of angel funding or venture capital to continue their work, but these companies are not making any money at this point in time.

We would hope that down the road, 10-20 percent of these companies would be commercial successes. You have to start with many to end up with big hits. We have all seen the big hits that have occurred -- Gatorade at the University of

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Dr. Rosol: (contd)

Florida, and Warfarin at Wisconsin. We have also heard of some new anti-cancer drugs that have hit this past year that bring huge revenues to a university. We have to create the environment for this to happen. But we do not know when it is going to happen, but I can tell you from the time of invention disclosure to the time of actually seeing tremendous increased revenue in the University is clearly a decade. So we cannot be too impatient, but it is important for us to create the environment.

Mr. Sofia:

Any other questions?

Ms. Hendricks:

Tom, I am from Cincinnati and UC would report much larger numbers -- because of the doctors doing work at Children's -- when we look at research relative to other institutions in Ohio. Children's is such a big research magnet. I am just trying to figure out if there are alliances outside of the University where we have researchers working? How do you account for the research numbers?

Dr. Rosol:

I think I understand your question. The numbers I am expressing to you are from the main campus, Children's Hospital, and OARDC in Wooster. So those are the total expenditures at OSU. One thing I did not highlight is about 20 percent of our funding actually comes through other universities where our investigators have a piece of a larger pie. That is recorded for us. They do not report that and we do not report the pieces we send to other universities. Does that answer your question?

Ms. Hendricks:

You do not double count?

Dr. Rosol:

We do not double count.

Ms. Hendricks:

Okay. And they do not.

Dr. Rosol:

That is correct.

Mr. McFerson:

We all know the challenge that state and federal budgets are having and I think you said a three to four percent increase in NIH funding for next year, despite the large deficit that they are running now. Had you projected forward even beyond that? Tell me how you think research dollars will continue to flow in the future.

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Dr. Rosol:

I think for the short term that is what we are going to be looking at. If you think about what state and private research universities have done is they are building huge infrastructures right now for research. So there is going to be tremendous competition for smaller amounts of money. Until the economy turns around, we are going to be seeing single-digit increases in federal research expenditures. Again, one of our strengths is industry research and industry-related research is going to rebound before the federal government.

Mr. Sofia:

Seeing what Professors Knopp and Roberts showed us, would it be helpful if we did a little more lobbying at the state level by bringing them in and showing them, for example, that if you take aspirin, you have less colon cancer as you are listing here. There are so many things with which they could physically feel associated because research is sort of Greek to many people, including us. I think if you show the connection that it saves lives, it helps industry and the economy, and bring them to the actual experience right here on campus, we might get a little different reception than our competitors and maybe it would give us a leg up.

Dr. Rosol:

I totally agree with that and I'd like to expand on that idea. One thing we do not do well enough as scientists is explain why this is so important to the people themselves. This is a message we need to get out and it has to start with the populace. We must explain to them how many things they do in each day of their lives that depend on science.

Dean Sanfilippo had this great line when he was approached by an animal rights activist who was objecting to the new Biomedical Research Tower. He said, "What I would like you to do is potentially when you or your children go to the hospital, do not accept any form of therapy that did not require research." If people understand why research is so important to everything we do, from their televisions to their medical health, I think we would have a more receptive populace.

Mr. Sofia:

Any other questions?

Interim Provost Snyder:

I would like to thank Drs. Roberts and Knopp for coming and sharing their research with us. I'd also like to highlight for the full Board something I mentioned during the presentation on the NIH proactive site visit made last month to the Educational and Student Affairs Committee. The external team -- which came in and validated the operation of the Office of Research -- mentioned particularly the leadership of two people. One, of course, was the work of Interim Vice President Rosol in leading the effort to go through the proactive site visit. They also mentioned the leadership of President Holbrook, herself a former vice president for Research, and how her extensive knowledge has really helped Ohio State in doing very well engendering a lot of trust from the federal funding agencies. This will be critically important to our funding in the future.

OFFICE OF RESEARCH ANNUAL UPDATE REPORT (contd)

Mr. Sofia:

Thank you, Barbara, we really are just delighted to see Ohio State moving up.

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CONSENT AGENDA

President Karen A. Holbrook:

We have nineteen resolutions on the Consent Agenda today for your approval.
We are seeking your approval for:

APPOINTMENTS TO THE RICHARD M. ROSS HEART HOSPITAL BOARD

Resolution No. 2004-62

Synopsis: Approval of appointments to the Richard M. Ross Heart Hospital Board is proposed.

WHEREAS the Board of Trustees on October 3, 2003, approved the establishment of the Richard M. Ross Heart Hospital Board; and

WHEREAS the membership of the Hospitals Board was approved on April 4, 1980, and has been subsequently amended to include membership on specialized boards; and

WHEREAS all members of a specialized board shall be appointed by The Ohio State University board of trustees in consultation with the vice president for health services, the senior vice president for health sciences, and the president of the university:

NOW THEREFORE

BE IT RESOLVED, That the following individuals be appointed as citizen members of the Richard M. Ross Heart Hospital Board effective immediately:

John B. Gerlach, Jr. (2-year term)
Melissa P. Ingwersen (3-year term)
Dimon R. McFerson (through May 13, 2004)*
Sarah R. Soter (3-year term)
Frank Wobst (2-year term)

*will serve as Chairman

CREATION OF A DEPARTMENT OF NEUROLOGICAL SURGERY

Resolution No. 2004-63

Synopsis: Approval of the creation of a Department of Neurological Surgery is proposed.

WHEREAS neurological surgery is now a division, but with department status will be able to recruit premier academic neurosurgeons, and thus provide expanded research programs; enhance the quality of teaching for medical students, residents, and specialty fellows; and provide expanded training for students in other health care professions; and

CREATION OF A DEPARTMENT OF NEUROLOGICAL SURGERY (contd)

WHEREAS it is increasingly common, nationally, in medical schools for neurological surgery to have departmental status; and

WHEREAS the proposal has the strong endorsement of the College of Medicine and Public Health, and letters of support from other academic units within the University and from other universities; and

WHEREAS the Council on Academic Affairs recognizes that this proposal is an exception to the current rule specifying criteria for departmental status, but that the College of Medicine and Public Health has provided an acceptable rationale and a commitment to increase the number of tenured faculty to be in compliance with the rule; and

WHEREAS given these considerations, the Council on Academic Affairs proposes that departmental status be contingent upon the hiring of a department chairperson; and

WHEREAS the proposal was discussed and approved by the reviewing subcommittee, the Council on Academic Affairs, and by the University Senate at its November 13, 2003 meeting:

NOW THEREFORE

BE IT RESOLVED, That the creation of a Department of Neurological Surgery is hereby approved, effective immediately.

CREATION OF A MATHEMATICAL BIOSCIENCES INSTITUTE

Resolution No. 2004-64

Synopsis: Approval of the creation of a Mathematical Biosciences Institute is proposed.

WHEREAS mathematical modeling of biological processes is becoming increasingly relevant; and

WHEREAS the Institute will serve as a catalyst for interaction between mathematics and the biosciences; will involve mathematical scientists and bioscientists in the solution of fundamental problems in the biosciences; and will nurture a community of scholars through education and support of students and researchers in mathematical biosciences; and

WHEREAS the Institute will create a national forum for mathematical biosciences at The Ohio State University; and

WHEREAS the proposal adheres to the guidelines for the establishment of centers and institutes and is supported through a grant from the National Science Foundation; and

WHEREAS the proposal was discussed and approved by the University Research Committee, the Research and Graduate Council, the reviewing subcommittee, the Council on Academic Affairs, and by the University Senate at its November 13, 2003 meeting:

NOW THEREFORE

BE IT RESOLVED, That the creation of a Mathematical Biosciences Institute is hereby approved, effective immediately.

CREATION OF A CENTER FOR ENTREPRENEURSHIP

Resolution No. 2004-65

Synopsis: Approval of the creation of a Center for Entrepreneurship is proposed.

WHEREAS interest in entrepreneurship in colleges of business has grown dramatically over the last decade and there are entrepreneurship centers at most major colleges of business throughout the world; and

WHEREAS this center will be distinctive by virtue of its emphasis on entrepreneurial research in addition to providing entrepreneurial service and teaching; and

WHEREAS the proposal adheres to the guidelines for the establishment of centers and institutes and is supported through external funds generated by its Board of Advisors; and

WHEREAS as requested, a Memorandum of Understanding was established relating to the Board of Advisors and will be revisited, and if necessary revised, when members of the Board of Advisors change; and

WHEREAS the proposal was discussed and approved by the University Research Committee, the Research and Graduate Council, the reviewing subcommittee, the Council on Academic Affairs, and by the University Senate at its November 13, 2003 meeting:

NOW THEREFORE

BE IT RESOLVED, That the creation of a Center for Entrepreneurship in the Fisher College of Business is hereby approved, effective immediately.

NAMING OF A BUILDING

Resolution No. 2004-66

Synopsis: The naming of the Wetlands Research and Education Building located at 352 West Dodridge Street on the Columbus Campus for William G. Heffner is proposed.

WHEREAS William G. Heffner of Columbus, Ohio, has been a loyal and generous friend of The Ohio State University; and

WHEREAS he has been involved with The Ohio State University Olentangy River Wetlands Research Park since its inception; and

WHEREAS the Heffner family has, through its generosity, made it possible for faculty and students to continue their important research efforts on the special ecosystem of a wetland; and

WHEREAS William Heffner gives volunteer leadership to the Wetlands Research Park through his tireless efforts of soliciting valuable support from others and his personal time given to the construction of the wetlands:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code the aforementioned facility shall be named the "William G. Heffner Wetlands Research and Education Building."

CHANGE IN REPORTING LINES

Resolution No. 2004-67

Synopsis: Change in reporting lines for the Undergraduate International Studies Program is proposed.

WHEREAS with the acceptance of the White Paper on the Federation of the Colleges of the Arts and Sciences at the May 30, 2003 Board meeting, interdisciplinary programmatic initiatives that are primarily within the arts and sciences make it more appropriate for selected units to have administrative reporting lines directly to the Executive Dean of the Colleges of the Arts and Sciences; and

WHEREAS it is proposed that the Undergraduate International Studies Program, as an undergraduate interdisciplinary major program, change its reporting line from the College of Social and Behavioral Sciences to the Executive Dean of the Colleges of the Arts and Sciences, and that subsequent awarding of degrees be shown to reflect this change; and

WHEREAS the above change in reporting lines was presented to the Council on Academic Affairs on November 19, 2003:

NOW THEREFORE

BE IT RESOLVED, That the proposed change in reporting lines and awarding of degrees be shown to reflect this change be approved effective immediately.

AMENDMENTS TO THE *BYLAWS OF THE BOARD OF TRUSTEES*

Resolution No. 2004-68

Synopsis: Approval of amendments to the *Bylaws of the Board of Trustees* are recommended.

WHEREAS following administrative changes the amendments to bylaw 3335-1-03 – 3335-1-05 are recommended as follows:

Amended Bylaws

3335-1-03 Administration of the university.

(A) through (R) unchanged.

(S) Principal administrative officials.

- (1) The term "principal administrative official" shall include the members of the president's planning cabinet, the executive dean of the colleges of the arts and sciences, the deans of the colleges and the dean and directors of regional campuses and their designated staffs, the director of the university libraries, chairs of academic departments, directors of schools and academic centers, and the director of athletics. The members of the president's planning cabinet shall identify to the president such other persons in their areas of responsibility who shall be considered principal administrative officials of the university.

Balance unchanged.

(V) Regional campuses boards.

- (1) Unchanged.

AMENDMENTS TO THE *BYLAWS OF THE BOARD OF TRUSTEES* (contd)

Amended Bylaws (contd)

- (2) Each regional campus board shall be composed of ~~eleven~~ ten members appointed by the Ohio state university board of trustees in consultation with the president of the university: ~~one member shall be a member of the university board of trustees;~~ nine members shall be private citizens who have an interest in and knowledge of higher education and who reside within the area served by the institution; and one member shall be a student who is currently enrolled at the applicable regional campus and shall have no voting power on the board, shall not be considered as a member of the board in determining whether a quorum is present, and shall not be entitled to attend executive sessions.

Balance unchanged.

3335-1-04 The faculty and staff.

- (A) University faculty; membership.
- (1) Unchanged.
- (2) For purposes of the legislative authority described in paragraph (B) of this rule, the term university faculty shall mean all regular faculty, the president, members of the president's planning cabinet serving at the president's pleasure and designated as executive heads of offices, the deans of the colleges and of the graduate school, executive dean of the colleges of the arts and sciences, and the dean for undergraduate studies.

Balance unchanged.

3335-1-05 University organization.

(A) through (G) unchanged.

(H) Colleges and graduate school; administration.

- (1) Unchanged.
- (2) There shall be an executive dean of the colleges of the arts and sciences who is the administrative head of the colleges of the arts, biological sciences, humanities, mathematical and physical sciences, and social sciences. The major responsibilities of the executive dean are to select, in consultation with the provost, the search committees for the five college deans and advise the provost on the appointment of these deans; work with the provost on annual evaluations of the five deans; manage central services, report to the provost on behalf of the college deans; advise the provost regarding base budgets and rebasing allocations and, following review by the provost, distribute these funds to the five colleges; allocate common funds among the arts and sciences; and review budget management in the five colleges. The executive dean also administers curriculum approved by the faculty and is responsible for honors and scholars programs and for interdisciplinary centers and programs that involve more than one of the five colleges. The executive dean is a member of the council of deans. Further detailed duties of the executive dean shall be fixed by rules and regulations promulgated by the board of trustees.

- ~~(2)~~
- (3) Each college, and the graduate school, and the colleges of the arts and sciences shall have a secretary and may have such other administrative officers as are necessary to carry out the program of the college or of the school. These persons shall have such

AMENDMENTS TO THE *BYLAWS OF THE BOARD OF TRUSTEES* (contd)

Amended Bylaws (contd)

duties as are assigned to them by the dean of the college, or of the graduate school, or by the executive dean of the colleges of the arts and sciences.

Balance unchanged.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Bylaws of the Board of Trustees* be adopted, effective immediately.

**AMENDMENTS TO THE *RULES OF THE UNIVERSITY FACULTY*
AND *BYLAWS OF THE UNIVERSITY SENATE***

Resolution No. 2004-69

Synopsis: Approval of the following amendments to the *Rules of the University Faculty* and *Bylaws of the University Senate* are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the *Rules of the University Faculty* and *Bylaws of the University Senate* as approved by the University Senate; and

WHEREAS the proposed changes in the *Rules of the University Faculty* and *Bylaws of the University Senate* were approved by the University Senate on November 13, 2003:

Amended Rules

3335-7-19 Course examinations.

At the close of each course as defined in rule 3335-7-01 of the Administrative Code, an examination will be given on the student's capabilities relative to the stated course objectives, the method of examining to be determined by the instructor or supervisor of the course. Examinations in laboratory and seminar courses shall be optional with the instructor concerned. ~~Examinations for graduating students shall be given at a time near the end of each course, preferably during the last week of classes.~~

3335-7-20 Schedules for final examinations.

- (A) Examinations for classes taught on the regional campuses and for classes whose enrollment is exclusively of students registered in the colleges of dentistry, law, medicine and public health, optometry, pharmacy, and veterinary medicine will be scheduled by the offices of the regional campuses and of the colleges respectively. All examination schedules prepared outside the office of the university registrar shall, before publication, be cleared with the office of the university registrar which shall have the power to resolve all conflicts.
- ~~(B) Examinations for graduating students shall be arranged by individual instructors so that final grades for the affected students may be submitted to the office of the university registrar by the deadlines established by that office.~~
- ~~(C)~~(B) All other final examinations shall be centrally scheduled by the office of the university registrar. The official examination schedules shall be strictly adhered to by all instructors.

**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY
AND BYLAWS OF THE UNIVERSITY SENATE (contd)**

Amended Rules (contd)

Any deviation must first be approved by the appropriate university official (department chair, regional campus dean and director, or college dean) in consultation with the office of the university registrar, which shall have the power to resolve all conflicts. Final grades for graduating students must be submitted electronically to the office of the university registrar by the deadlines established by that office.

~~(D)~~(C) Balance unchanged.

3335-11-10 ~~Recreational~~ University recreational sports committee.

(A) Membership.

(1) through (3) unchanged.

(4) Seven administrators, ex officio, non-voting, or their designees:

(a) through (c) unchanged.

(d) ~~The director of~~ associate vice president for the department of physical facilities.

(e) through (g) unchanged.

Balance unchanged.

Amended Senate Bylaws

3335-17-05 Apportionment of graduate student members.

(A) Ten graduate student members shall be ~~apportioned as follows: one to be elected from each of the following graduate areas:~~ elected by the council of graduate students in accordance with its bylaws so as to ensure a diverse representation of graduate programs.

~~Administrative sciences-~~

~~Agricultural sciences~~

~~The arts-~~

~~Biological sciences~~

~~Education~~

~~Engineering sciences~~

~~Humanities~~

~~Mathematical and physical sciences~~

~~Professional biological sciences~~

~~Social and behavioral sciences~~

(B) For the purpose of voting ~~in a senate election~~ for the graduate student delegation to the university senate, each graduate student elector shall be a delegate in the council of graduate students.

3335-17-06 Apportionment of professional student members.

(A) ~~Six~~ Five professional student members shall be ~~apportioned as follows: one to represent each of the following graduate professional colleges, with five selected randomly from the elected six by the inter-professional council to serve as senators and the sixth to serve as a designated alternate, with the additional stipulation that the designated alternate may not be from the same college as the designated alternate of the previous year:~~ elected by the inter-professional council senate in accordance with its bylaws so as to ensure a diverse representation of professional colleges.

**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY
AND BYLAWS OF THE UNIVERSITY SENATE (contd)**

Amended Senate Bylaws (contd)

Dentistry	Medicine and public health	Optometry
Law	Pharmacy	Veterinary medicine

- (B) For the purpose of voting in a senate election for the professional student delegation to the university senate, each professional student elector shall be an elector only in his or her academic unit a senator in the inter-professional council.

3335-17-07 Apportionment of undergraduate student members.

- (A) Twenty-six undergraduate student members shall be apportioned as follows: elected by the undergraduate student government senate in accordance with its bylaws so as to ensure a diverse representation of student interests.

- (1) ~~Ten students, one to represent each of the following academic constituencies:~~

~~Food, agricultural and environmental sciences (one representative)
Arts and humanities (one representative)
Biological, mathematical and physical sciences (one representative)
Business (one representative)
Education (one representative)
Engineering (one representative)
Allied medicine (one representative)
Social and behavioral sciences (one representative)
Social work and human ecology (one representative)
Educational exploration program--EXP (one representative)~~

- (2) ~~Ten students, representing the following living area constituencies:~~

~~University district (four representatives)
Commuters (two representatives)
Greek community (one representative)
Campus residence halls (three representatives)~~

- (3) ~~Four students, one to represent each of the following regional campus constituencies:~~

~~OSU-Lima campus
OSU-Mansfield campus
OSU-Marion campus
OSU-Newark campus~~

- (4) ~~The diversity senator.~~

- (5) ~~The vice president of the undergraduate student government.~~

- (B) For the purpose of voting in a senate election for the undergraduate student delegation to the university senate, each undergraduate student elector shall be an elector in his or her academic or regional constituency, his or her living area constituency and for the diversity senator a senator in the undergraduate student government senate.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Rules of the University Faculty* and *Bylaws of the University Senate* be adopted as recommended by the University Senate.

DEGREES AND CERTIFICATES – AUTUMN QUARTER COMMENCEMENT

Resolution No. 2004-70

Synopsis: Approval of Degrees and Certificates for Autumn Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on December 14, 2003, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 2004-71

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the November 7, 2003 meeting of the Board, including the following Appointment/Reappointment of Chairpersons and Directors, Leaves of Absence Without Salary, Professional Improvement Leave, and Emeritus Titles, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That Resolution 77-92 and the provisions of the February 6, 1959 Report of the Committee on Retirement and Insurance, be waived to allow for the reappointment of Masanori Hashimoto as Chairperson of the Department of Economics.

Appointments

Name: ENNIO A. CHIOCCA
Titles: Chairperson of the Department of Neurological Surgery
Professor (The Dardinger Family Endowed Chair in Oncological
Neurosurgery)
Co-Director of The Dardinger Neuro-oncology Center
Director of Dardinger Neuro-oncology Research
Director of Neurosurgical Services for the Health System
Term: March 1, 2004, through February 29, 2008
Present Positions: Associate Professor in Surgery (Neurosurgery), Massachusetts General
Hospital and Harvard Medical School, and Associate Visiting
Neurosurgeon, Massachusetts General Hospital

Name: JOHN M. PETRY
Title: Acting Chief of Police
Department/Division: Public Safety/Police Division
Term: November 27, 2003, through November 26, 2004
Present Position: Deputy Chief, Department of Public Safety, Police Division

PERSONNEL ACTIONS (contd)

Appointment of Chairperson and Director

November 1, 2003, through June 30, 2007

Center for Cognitive Science

Vladimir M. Sloutsky

January 1, 2004, through December 31, 2007

Chemical Engineering

Stuart L. Cooper

Reappointment of Chairperson and Director

July 1, 2003, through June 30, 2007

Ohio Agricultural Research
and Development Center

Steve A. Slack

July 1, 2004, through June 30, 2008

Economics

Masanori Hashimoto

Leave of Absence Without Salary

JACQUELYN S. SPANGLER, Assistant Professor, Department of English (Marion Campus), effective Winter Quarter and Spring Quarter 2004, for personal reasons.

Leaves of Absence Without Salary—Continuation

MOHAMMED I. EL-NAGGAR, Professor, Department of Electrical Engineering, to continue as Director and Chief Scientist at Spirea Microelectronics, LLC, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004.

HASSAN Y. ALY, Associate Professor, Department of Economics (Marion Campus), effective Winter Quarter, Spring Quarter, and Autumn Quarter 2004, to continue on the faculty at Zayed University in the United Arab Emirates.

Professional Improvement Leave—Change in Dates

CRICHTON L. OGLE, Associate Professor, Department of Mathematics, change leave from Winter Quarter and Spring Quarter 2004, to Spring Quarter 2004.

Emeritus Titles

TED M. CAVENDER, Department of Evolution, Ecology and Organismal Biology, with the title Professor Emeritus, effective December 1, 2003.

BERNARD L. ERVEN, Department of Agricultural, Environmental, and Developmental Economics, with the title Professor Emeritus, effective January 1, 2004.

HENRICUS A. HOITINK, Department of Plant Pathology (OARDC), with the title Professor Emeritus, effective January 1, 2004.

TATSURO ICHIISHI, Department of Economics, with the title Professor Emeritus, effective January 1, 2004.

CARROLL E. MOBLEY, JR., Department of Materials Science and Engineering, with the title Professor Emeritus, effective January 1, 2004.

PERSONNEL ACTIONS (contd)

Emeritus Titles (contd)

LEO PAQUETTE, Department of Chemistry, with the title Professor Emeritus, effective January 1, 2004.

CHUNG-MIN CHEN, Department of Anthropology, with the title Associate Professor Emeritus, effective January 1, 2004.

GARRY E. GIBBONS, Ohio State University Extension, with the title Associate Professor Emeritus, effective February 1, 2004.

JAMES E. HISS, Austin E. Knowlton School of Architecture, with the title Associate Professor Emeritus, effective December 1, 2003.

DAVID B. PATTON, Ohio State University Extension, with the title Associate Professor Emeritus, effective January 1, 2004.

ROBERT D. PLYMALE, Ohio State University Extension, with the title Associate Professor Emeritus, effective April 1, 2004.

JANIS A. THOMPSON, Ohio State University Extension, with the title Associate Professor Emeritus, effective February 1, 2004.

WILLIAM F. FINNEY III, School of Allied Medical Professions, with the title Assistant Professor Emeritus, effective January 6, 2004.

RESOLUTIONS IN MEMORIAM

Resolution No. 2004-72

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

Franklin R. Buchanan

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 11, 2003, of Franklin R. Buchanan, Associate Professor Emeritus in the School of Educational Policy and Leadership (Academic Faculty of Educational Foundations and Research) in the College of Education.

Professor Buchanan held a B.S. in Social Work degree and a Master of Arts degree in Education both from The Ohio State University. Before beginning his career with The Ohio State University in 1954, he taught at Urbana (Ohio) High School. He was an instructor in the University School and an assistant professor with the Center for School Experimentation. He was a Fulbright Exchange teacher in Lancashire, England, and Fulbright-Hays grantee at the International Christian University in Tokyo, Japan. He was selected as an outstanding teacher by the Education College Scholars and was chosen as an associate of The Danforth Foundation. He also received a U.S. Office of Education Grant for Japanese Language and Area Studies Seminar, Japan.

Professor Buchanan served as director for the Service Center for Teachers of Asian Studies, Association for Asian Studies, and the East Asian Studies Institute at The Ohio State University. He was also the director of the Asian Studies Institute, Asian Studies Project, and Honors Program for the College of Education at The Ohio State University. He was the assistant director

RESOLUTIONS IN MEMORIAM (contd)

Franklin R. Buchanan (contd)

of the NDEA Institute on East Asian History at Oklahoma University; consultant for the Asian Studies Curriculum Project at the University of California-Berkeley; and was director of the International Institute in Human Relations, Berkley, California, and Jamaica, West Indies.

Franklin Buchanan founded and was the editor of Focus on Asian Studies. He was also the editor of The OCSS Review and Asian Studies in American Secondary Education. He was a much sought after speaker and consultant on the subject of Asian studies, especially in Japan and China.

Professor Buchanan retired from the College of Education and The Ohio State University in December 1977.

On behalf of the University community, the Board of Trustees expresses to the family of Franklin R. Buchanan its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Ruby M. Martin

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 19, 2003, of Ruby M. Martin, Assistant Professor Emeritus in the School of Nursing (now College of Nursing).

Professor Martin held a Bachelor of Science degree in nursing education from The Ohio State University and a Master's degree from Teachers College, Columbia University. Professor Martin taught in the School of Nursing and served as director of Nursing Service Administration for University Hospitals for over 22 years. Upon her retirement in 1979, she was named Assistant Professor Emeritus.

Professor Martin was a veteran of the U.S. Army, having served in World War II, and a retired colonel in the U.S. Army Nurse Corps, U.S.A.R. In 2002, she earned the Jubilee Medal issued by France to American service men and women in gratitude for those involved in the Normandy D-Day invasion. Also in 2002, Professor Martin earned the Diplome de la France certificate from France. In 1971 and 1973, she received a Certificate of Commendation from the Central Ohio Chapter of the Association of the United States Army. In 1971, she received an award for the practice of hospital pharmacy by the American Society of Hospital Pharmacists Research and Education Foundation. She was a life member of The Ohio State University Alumni Association and received the Distinguished Alumni Award for her contributions to the areas of nursing education, service, and research.

Professor Martin served her profession at the highest level. She was a member of the National League for Nursing; National Forum for Administrators of Nursing Services; American Nurses Association; Sigma Theta Tau, Epsilon Chapter; American Society for Nursing Service Administrators; American Hospital Association; Ohio Society for Hospital Nursing Service Administrators; Ohio Hospital Association; Ohio League for Nursing; Mid-Ohio Nurses Association; and Reserve Officers Association of the U.S. Army.

On behalf of the University community, the Board of Trustees expresses to the family of Ruby M. Martin its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

RESOLUTIONS IN MEMORIAM (contd)

Austin E. Ritchie

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 28, 2003, of Austin Everett Ritchie, Professor Emeritus of agricultural education in the College of Food, Agricultural, and Environmental Sciences.

Austin E. Ritchie was born on February 3, 1918, in Van Wert County, Ohio. He received his Bachelor of Science degree in 1946 from The Ohio State University in the College of Agriculture. He served four and one-half years in the Signal Corps in the U.S. Army and was discharged in December 1945. He received his Master of Science degree in 1951 and his Doctorate of Philosophy degree in 1955, both from The Ohio State University. From 1947-1950 he taught vocational agriculture at Gibsonburg Exempted Village School and Hilliard City Schools.

Dr. Ritchie joined the faculty in agricultural education at The Ohio State University in 1948. He joined the resident staff in agricultural education in 1950 as an instructor. From 1955-1957 he was an assistant professor. In 1957 Dr. Ritchie was appointed assistant dean in the College of Agriculture and Home Economics. He was later promoted to associate professor, and in 1963 he was promoted to full professor. During 1963-1964 he served as acting executive dean for Special Services and member of the President's Cabinet. Austin Ritchie retired from Ohio State as Professor Emeritus of agricultural education in June 1981.

He was an Army Veteran and a lifetime member of the OSU Alumni Association, Hilliard Kiwanis-Distinguished President 1993, and trustee of the Hilliard United Methodist Church.

On behalf of the University community, the Board of Trustees expresses to the family of Austin E. Ritchie its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Lucy J. Schneider

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 22, 2003, of Lucy J. Schneider, R.N., M.S., Instructor Emeritus in the College of Nursing.

Lucy Schneider held a Bachelor of Science degree in nursing education and a Master's degree in nursing administration from The Ohio State University. She worked as a nurse and administrator at The Ohio State University Medical Center for over 40 years. Throughout her long tenure she was devoted to the education of future nurses. As a result of her exemplary dedication, a nursing scholarship was created in her name. Until her death she took a personal interest in the scholarship recipients. Upon her retirement in 1990, she was named Instructor Emeritus.

Ms. Schneider served her profession at the highest level. She was a member of the Mid-Ohio Nurses Association; National League for Nursing; Sigma Theta Tau, Epsilon Chapter; and the Ohio League for Nursing.

On behalf of the University community, the Board of Trustees expresses to the family of Lucy J. Schneider its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

Barbara A. Van Brimmer

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 9, 2003, of Barbara A. Van Brimmer, Associate Professor and Curator of the Medical Heritage Center, Prior Health Sciences Library.

RESOLUTIONS IN MEMORIAM (contd)

Barbara A. Van Brimmer (contd)

Professor Van Brimmer held a Bachelor of Arts degree in botany from Miami University and received her Master of Library Science degree from the University of Mississippi. Her scholarship and professional interest focused on medical history preservation, culminating in the creation of the Medical Heritage Center at The Ohio State University Medical Center in 1997.

Barbara Van Brimmer leaves a legacy of service as chair of the Medical Library Association's History of Health Sciences Section and president of both the Ohio Health Sciences Library Association and Central Ohio Health Sciences Library Association. She served on 50 University committees and 30 other committees, both local and national.

Professor Van Brimmer joined the Prior Health Sciences Library staff in 1985 and in her 18 years with the library she held seven different positions, each drawing on her diverse strengths and interests in the field. Her talent and passion for librarianship led her successfully on to each new challenge.

She was an active member of her community and church, First Baptist in Delaware, Ohio. She served several terms as church clerk, served as the church historian, and chaired the committee that planned First Baptist's sesquicentennial celebration in 2003.

On behalf of the University community, the Board of Trustees expresses to the family of Barbara A. Van Brimmer its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2004-73

Synopsis: The report on research and other sponsored program contracts and grants and the summary for October 2003 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of October 2003 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2004-74

Synopsis: The report on the receipt of gifts and the summary for October 2003 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

REPORT ON UNIVERSITY DEVELOPMENT (contd)

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of five (5) new named endowed funds and the amendment of one (1) named endowed fund:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of October 2003 be approved.

TOTAL UNIVERSITY PRIVATE SUPPORT

July through October
2003 Compared to 2002

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through October		
	<u>2003</u>	<u>2002</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$6,596,761	\$9,274,014	(29)
Alumni (From Bequests)	<u>4,207,600</u>	<u>1,563,955</u>	169
Alumni Total	\$10,804,361	\$10,837,969	0
Non-Alumni (Current Giving)	\$10,098,221	\$4,797,675	110
Non-Alumni (From Bequests)	<u>2,859,639</u>	<u>3,084,131</u>	(7)
Non-Alumni Total	\$12,957,860	\$7,881,806	64
Individual Total	\$23,762,221	\$18,719,775	27 ^A
Corporations/Corp/Foundations	\$8,842,183	\$15,348,472	(42) ^B
Private Foundations	\$4,092,346	\$2,325,051	76 ^C
Associations and Other Organizations	<u>\$2,118,993</u>	<u>\$1,284,430</u>	65 ^D
Total	\$38,815,743	\$37,677,728	3

NOTES

- A Individual giving is up 27% largely due to the fact that gifts of \$10,000 or more are up 44% (154 gifts for \$13.2 million last year; 165 gifts for \$19.1 million this year).
- B Corporate giving at the \$10,000 or more level is down 96% for the first quarter of the fiscal year (\$6.8 million from 162 gifts this year; \$13.3 million from 235 gifts last year).
- C Foundation giving at the \$10,000 level is up 81% (\$3.8 million this year; \$2.1 million last year)
- D Gifts at the \$10,000 or more level from other organizations or associations are up 102% for July-October compared with the same period last year (32 gifts for \$1.6 million this year; 29 gifts for \$808,514 last year).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July from October
2003 Compared to 2002

GIFT RECEIPTS BY PURPOSE

	Dollars July through October		
	<u>2003</u>	<u>2002</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$13,425,346	\$4,860,782	176
Faculty Support	\$2,209,546	\$3,038,695	(27)
Program Support	\$13,761,126	\$20,881,628	(34)
Student Financial Aid	\$5,121,890	\$6,441,628	(20)
Annual Funds-Colleges/Departments	\$1,653,705	\$2,305,331	(28)
Annual Funds-University	<u>\$2,644,130</u>	<u>\$149,664</u>	1,668
Total	\$38,815,743	\$37,677,728	3

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through October

<u>2003</u>	<u>2002</u>	<u>% Change</u>
\$7,912,090	\$13,412,621	(41)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Ohio State University Press Fund (Used to further the scholarly publishing mission of the University Press; provided by earnings of the University Press)		\$200,000.00	\$200,000.00
The John W. Berry Scholarship Fund (Used to provide scholarships for undergraduates in the Fisher College of Business who are Ohio high school graduates; provided by gifts from the late John W. Berry, Sr. and John W. Berry, Jr.) (grandfathered)		\$15,000.00	\$15,000.00

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Keith H. Jung Memorial Fund (Used at the discretion of the dean of The Michael E. Moritz College of Law; provided by a gift from Keith H. Jung)		\$50,000.00	\$50,000.00
The Keys Association Endowment for Multiple Sclerosis Research (Used to support the advancement of medical knowledge towards the prevention and cure of multiple sclerosis and related disorders; provided by gifts from The Keys Association of Columbus, Ohio)		\$25,893.64	\$25,893.64
Bright Community Property Trust Athletic Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of student-athlete members of the varsity football team; provided by gifts from David Bright of Newport Coast, California)		\$25,000.00	\$25,000.00
<u>Change in Description of Named Endowed Fund</u>			
The Henry C. Gelbke Endowed Scholarship Fund			
Total		\$315,893.64	\$315,893.64

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Funds

The Ohio State University Press Fund

The Ohio State University Press Fund was established on December 5, 2003, by the Board of Trustees of The Ohio State University with earnings of the University Press.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to further the scholarly publishing mission of the University Press or shall be reinvested in the fund at the discretion of the director of The Ohio State University Press.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of The Ohio State University Press.

\$200,000.00

The John W. Berry Scholarship Fund

The John W. Berry Scholarship Fund was established on December 5, 2003, by the Board of Trustees of The Ohio State University with a gift from the late John W. Berry, Sr., former Trustee and Foundation Board member; along with a gift from John W. Berry, Jr., an Ohio State University Foundation Board member residing in Dayton, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for scholarships for undergraduate students within The Max M. Fisher College of Business who graduated from a high school in the State of Ohio. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the Fisher College of Business in order to carry out the desire of the donor.

\$15,000.00
(grandfathered)

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The Keith H. Jung Memorial Fund

The Keith H. Jung Memorial Fund was established on December 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Keith H. Jung (J.D. cum laude, 1970) of Columbus, Ohio.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Keith H. Jung Memorial Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board, with the right to invest and reinvest as occasion dictates.

The annual income is to be used at the discretion of the dean of The Michael E. Moritz College of Law.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the Moritz College of Law in order to carry out the desire of the donor.

\$50,000.00

The Keys Association Endowment for Multiple Sclerosis Research

The Keys Association Endowment for Multiple Sclerosis Research was established on December 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from The Keys Association of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the advancement of medical knowledge, including both basic and clinical research, towards the prevention and cure of multiple sclerosis and related disorders. Income distribution shall be made as recommended by the director of the Multiple Sclerosis Center, in consultation with the chairperson of the Department of Neurology and with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. The income may support, but is not limited to, scientific supplies, equipment, travel, salaries for research and education personnel, and activities required for quality medical research and education.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of the Multiple Sclerosis Center and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$25,893.64

Bright Community Property Trust Athletic Scholarship Fund

The Bright Community Property Trust Athletic Scholarship Fund was established on December 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from David Bright of Newport Coast, California.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Bright Community Property Trust Athletic Scholarship Fund (contd)

The annual income shall be used to supplement the grant-in-aid scholarship costs of student-athletes participating on the varsity football team at The Ohio State University. The director of Athletics will select the recipients in consultation with the director of Student Support Services, the head football coach, and the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$25,000.00

Change in Description of Named Endowed Fund

The Henry C. Gelbke Endowed Scholarship Fund

The Henry C. Gelbke Endowed Scholarship Fund was established on June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Herman R. Gelbke to honor his brother, Henry C. Gelbke. The description was revised on December 5, 2003.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a student who is enrolled in the Department of Animal Sciences and engaged in the study and/or research of dairy science. The student must intend to pursue a career on a dairy farm following graduation. Preference shall be given to an Agricultural Technical Institute (ATI) transfer student from Northeast Ohio. If an ATI transfer student from Northeast Ohio is not available, then a student from any other part of Ohio can be selected.

The Scholarship Selection Committee of the Department of Animal Sciences shall select the student recipient in consultation with the department chair and the University Committee on Student Financial Aid. If no recipient is identified in a given year, the income shall be held and added to the income generated the following year. A letter of notification naming the scholarship recipient shall be sent annually to the donor and the Trumbull County Extension office.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, in consultation with the chairperson of the Department of Animal Sciences or their successors in order to carry out the desire of the donor.

POLICIES REGARDING ENDOWMENT PAYOUT

Resolution No. 2004-75

Synopsis: Policies regarding Endowment payout are proposed.

WHEREAS recent declines in the stock market have adversely affected the future of the Endowment and the level of future funding support of Development; and

WHEREAS corrective actions are needed and the University is concerned about the impact of corrective actions on academic programs, donor relations and Development support.

NOW THEREFORE

BE IT RESOLVED, That the following policies be adopted regarding Endowment payout:

1. The Endowment payout be calculated on a five-year moving average instead of a three-year moving average, effective FY 2005.
2. The payout rate for all current endowments and additions to current endowments be reduced from 5.0% in FY 2004 to 4.75% in FY 2005 and to 4.50% in FY 2006.
3. The payout rate for new endowments be reduced to 4.0% effective FY 2005.
4. A collar incorporating a maximum payout increase of 3% and a maximum payout decrease of 1% be adopted, effective FY 2007.
5. That the University report back to the Board of Trustees no later than December 2005 regarding proposed payout rates for FY 2007 and FY 2008; and

BE IT FURTHER RESOLVED, That the assessment on the Endowment to support Development be decreased as follows:

FY 2004	1.33%
FY 2005	1.22%
FY 2006	1.11%
FY 2007	1.00%

And that the University report back to the Board with recommendations to replace the operating funds lost to Development by no later March 2004; and

BE IT FURTHER RESOLVED, That the University request The Ohio State University Foundation to assist in raising additional private funds to help offset the programmatic impact of a reduced payout rate.

(See Appendix XXIII for background information, page 639.)

REQUEST FOR DESIGN AND CONSTRUCTION AUTHORIZATION

Resolution No. 2004-76

REQUEST FOR DESIGN AUTHORIZATION

930 KINNEAR ROAD RENOVATIONS
CAMPUS GROUNDS – EXTERIOR LIGHTING PHASE VIII
CANNON DRIVE – S CURVE REHABILITATION
CLINICAL SPACE REORGANIZATION - NEONATAL INTENSIVE CARE UNIT
CUNZ HALL - ROOF REPLACEMENT
DREESE LAB CLEAN/SEAL EXTERIOR BRICK
ELECTROSCIENCE – ROOF REPLACEMENT
MARION CAMPUS - WATER BOOSTER PUMP STATION
MCCAMPBELL HALL – ROOF REPLACEMENT
NEWTON HALL – ROOF REPLACEMENT
OARDC-BRANCH FARM IMPROVEMENTS EORDC OFFICE/WORKSHOP FACILITY
OARDC-MUCK CROPS BRANCH OFFICE AND SHOP BUILDING REPLACEMENT
OVERHAUL/UPGRADE #1 CONDENSING TURBINE GENERATOR
POMERENE HALL HOT WATER HEATING SYSTEM REPLACEMENT
SOUTH DORMS AREA SEWER SEPARATION/DRAINAGE IMPROVEMENTS
UNIVERSITY HALL RENOVATION

REQUEST FOR CONSTRUCTION AUTHORIZATION

CLINICAL SPACE REORGANIZATION - NEONATAL INTENSIVE CARE UNIT
LINCOLN AND MORRILL TOWERS WALKWAYS
OVAL RESTORATION

Synopsis: Authorization to enter into design services for 930 Kinnear Road Renovations, Campus Grounds – Exterior Lighting Phase VIII, Cannon Drive – S Curve Rehabilitation, Clinical Space Reorganization - Neonatal Intensive Care Unit, Cunz Hall - Roof Replacement, Drees Lab Clean/Seal Exterior Brick, ElectroScience – Roof Replacement, Marion Campus - Water Booster Pump Station, McCampbell Hall – Roof Replacement, Newton Hall – Roof Replacement, OARDC-Branch Farm Improvements EORDC Office/Workshop Facility, OARDC-Muck Crops Branch Office and Shop Building Replacement, Overhaul/Upgrade #1 Condensing Turbine Generator, Pomerene Hall Hot Water Heating System Replacement, South Dorms Sewer Separation/Drainage Improvements, University Hall Renovation and construction contracts for Clinical Space Reorganization - Neonatal Intensive Care Unit, Lincoln and Morrill Towers Walkways, and Oval Restoration is requested.

WHEREAS the University desires to renovate the Center for Automotive Research located at 930 Kinnear Road; and

WHEREAS the project cost estimate is \$871,579 with funding to be provided by HB 675; and

WHEREAS the University desires to replace and add site lighting along Neil Avenue and other areas of the main campus to enhance campus safety; and

WHEREAS the estimate project cost is \$500,000 with funding to be provided by HB 675; and

WHEREAS the University desires to rehabilitate the “S Curve” section of Cannon Drive to ensure vehicular and pedestrian campus safety; and

WHEREAS the estimated project cost is \$270,000 with funding to be provided by HB 675; and

WHEREAS the University desires to reorganize the clinical space within Doan and Rhodes Halls by expanding the Neonatal Intensive Care Unit on the 6th floor of Doan Hall; and

REQUEST FOR DESIGN AND CONSTRUCTION AUTHORIZATION (contd)

WHEREAS the Board of Trustees previously approved design work to proceed up through Schematic Design, and this project is now ready to proceed through the remainder of the design process; and

WHEREAS the estimated project cost is \$1,551,548 with funding to be provided by the University Hospital; and

WHEREAS the University desires to replace the deteriorating roof on Cunz Hall; and

WHEREAS the estimated project cost is \$185,000 with funding to be provided by HB 675; and

WHEREAS the University desires to clean and seal the exterior brick at Dreese Hall; and

WHEREAS the estimated project cost is \$125,000 with funding to be provided by HB 675; and

WHEREAS the University desires to replace the roof on the Electrosience Building; and

WHEREAS the estimated project cost is \$275,000 with funding to be provided by HB 675; and

WHEREAS the University desires to install a water booster pump station at the Marion Campus to improve water pressure throughout the campus; and

WHEREAS the project cost estimate is \$500,000 - \$550,000 with funding to be provided by Marion local funds; and

WHEREAS the University desires to replace the roof at McCampbell Hall; and

WHEREAS the estimated project cost is \$120,000 with funding to be provided by HB 675; and

WHEREAS the University desires to replace the roof at Newton Hall; and

WHEREAS the estimated project cost is \$625,000 with funding to be provided by HB 675; and

WHEREAS the University desires to construct improved facilities on the branch farm campus at EORDC; and

WHEREAS the estimated project cost is \$794,000 with funding to be provided by OARDC local funds; and

WHEREAS the University desires to replace the office and shop building at the OARDC muck crop locations; and

WHEREAS the estimated project cost is \$825,000 with funding to be provided by HB 675; and

WHEREAS the University desires to overhaul and upgrade the condensing steam turbine generator for the main campus to improve operating efficiency and extend the life of the generator; and

WHEREAS the estimated project cost is \$2,600,000 with funding to be provided by future University bond proceeds with debt service to be paid by an increase in the central utility assessment; and

WHEREAS the University desires to replace the heating system in Pomerene Hall; and

WHEREAS the estimated project cost is \$350,000 with funding to be provided by HB 675; and

REQUEST FOR DESIGN AND CONSTRUCTION AUTHORIZATION (contd)

WHEREAS the University desires to improve the overall drainage in the area of the main campus south dorms to eliminate flooding problems and prevent future sewage and drainage problems; and

WHEREAS the preliminary cost estimate is \$625,000 with funding to be provided by future University bond proceeds with debt service to be paid by an increase in the central utility assessment; and

WHEREAS the University desires to renovate University Hall in order to consolidate the Deans of the Arts and Sciences colleges into a central location; and

WHEREAS the expected total project cost is \$1,000,000 to \$3,000,000 and the College of Arts and Sciences has committed funds to finance a \$12,000 study to determine space needs and future sources of funds; and

WHEREAS the University desires to renovate the Neonatal Intensive Care Unit on the 6th floor of Doan Hall as part of a total \$20-\$25 million renovation of the clinical space at Doan and Rhodes Halls; and

WHEREAS the expected project cost is \$1,551,548 with funding to be provided by the University Hospital; and

WHEREAS the University desires to replace the walkways leading to Lincoln and Morrill Towers; and

WHEREAS the construction document project cost is \$855,000 with funding to be provided by HB 748 (\$60,000), HB 675 (\$600,000), and HB 790 (\$195,000); and

WHEREAS the University desires to renovate the green space of the Oval; and

WHEREAS the construction document project cost is \$3,000,000 with funding to be provided by HB 640 (\$1,500,000) and Central University General Funds (\$1,500,000):

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to select qualified architectural/engineering firms and/or proceed with in-house design services as necessary for 930 Kinnear Road Renovations, Campus Grounds – Exterior Lighting Phase VIII, Cannon Drive – S Curve Rehabilitation, Clinical Space Reorganization - Neonatal Intensive Care Unit, Cunz Hall - Roof Replacement, Dreese Lab Clean/Seal Exterior Brick, ElectroScience – Roof Replacement, Marion Campus - Water Booster Pump Station, McCampbell Hall – Roof Replacement, Newton Hall – Roof Replacement, OARDC-Branch Farm Improvements EORDC Office/Workshop Facility, OARDC-Muck Crops Branch Office and Shop Building Replacement, Overhaul/Upgrade #1 Condensing Turbine Generator, Pomerene Hall Hot Water Heating System Replacement, South Dorms Sewer Separation/Drainage Improvements, and University Hall Renovation; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to request construction bids for Clinical Space Reorganization - Neonatal Intensive Care Unit, Lincoln and Morrill Towers Walkways, and Oval Restoration are hereby accepted.

(See Appendix XXIV for background information and maps, page 643.)

SALE OF REAL PROPERTY

Resolution No. 2004-77

225 NORTH 21ST STREET
NEWARK, OHIO

Synopsis: Authorization to sell the improved real property located at 225 North 21st Street in Newark, Ohio, is proposed.

WHEREAS the Board of Trustees of The Ohio State University owns improved real property located at 225 North 21st Street in Newark, Ohio; and

WHEREAS the University Medical Center has determined that this property no longer is needed for, and cannot be operated economically in support of, the Medical Center; and

WHEREAS Family Video Movie Club, Inc., has offered to purchase the property for \$375,000; and

WHEREAS the net proceeds from the sale of the property will be used to support the University Medical Center; and

WHEREAS the appropriate University offices have determined that the sale of this property is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized, in the name of the Board of Trustees of The Ohio State University, to sell all of the University's right, title, and interest in the improved real property located at 225 North 21st Street, for \$375,000.00, upon such terms as are deemed to be in the best interest of the University.

(See Appendix XXV for background information and map, page 695.)

SALE OF REAL PROPERTY

Resolution No. 2004-78

5801 TAMARACK BOULEVARD
COLUMBUS, OHIO 43229

Synopsis: Authorization to sell the improved real property located at 5801 Tamarack Boulevard Columbus, Ohio, is proposed.

WHEREAS the Board of Trustees of The Ohio State University owns improved real property located at 5801 Tamarack Boulevard in Columbus, Ohio; and

WHEREAS the University Medical Center has determined that this property no longer is needed for, and cannot be operated economically in support of, the Medical Center; and

WHEREAS Central Ohio Urgent Care Holdings, LLC, has offered to purchase the property for \$450,000; and

WHEREAS the net proceeds from the sale of the property will be used to support the University Medical Center; and

SALE OF REAL PROPERTY (contd)

WHEREAS the appropriate University offices have determined that the sale of this property is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized, in the name of the Board of Trustees of The Ohio State University, to sell all of the University's right, title, and interest in the improved real property located at 5801 Tamarack Boulevard, for \$450,000, upon such terms as are deemed to be in the best interest of the University.

(See Appendix XXVI for background information and map, page 697.)

PURCHASE OF REAL PROPERTY

Resolution No. 2004-79

1363 HAWTHORNE AVENUE
COLUMBUS, OHIO

Synopsis: Authorization to purchase improved real property located at 1363 Hawthorne Avenue in Columbus, Ohio, is proposed.

WHEREAS the Board of Trustees of The Ohio State University is presented with an opportunity to purchase the improved real property located at 1363 Hawthorne Avenue in Columbus, Ohio, owned by William and Nettie Williams, at a price of \$85,000; and

WHEREAS the appropriate University offices have determined that the purchase of this property is in the best interest of the University; and

WHEREAS funding for the acquisition and use of this property will be provided by University Hospitals East:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized, in the name of the State of Ohio for the use of The Ohio State University, to purchase from William and Nettie Williams, the improved real property located in Columbus, Ohio, at 1363 Hawthorne, at a purchase price not to exceed \$85,000, plus fees and closing costs, upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State Controlling Board.

(See Appendix XXVII for background information and map, page 699.)

USE OF UNIVERSITY DEBT AND LINES OF CREDIT FOR CAPITAL PROJECTS

Resolution No. 2004-80

Synopsis: Policies regarding use of University debt and internal lines of credit for capital projects are recommended.

USE OF UNIVERSITY DEBT AND LINES OF CREDIT FOR CAPITAL PROJECTS (contd)

WHEREAS the strategic use of borrowing for capital projects is important to achieving the goals of the Academic Plan; and

WHEREAS maintenance of the University's credit rating is critical to the cost effective use of University resources:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby adopts the attached policy regarding use of external debt and internal lines of credit for capital projects; and

BE IT FURTHER RESOLVED, That the University shall limit the size of the next bond issues to no more than \$400 million; and

BE IT FURTHER RESOLVED, That no capital projects other than those approved by the September 5, 2003 and June 29, 2001 Board resolutions be eligible for debt service without prior approval of the President's Cabinet and the Board of Trustees, to be effective through December 31, 2004.

(Appendix XXVIII for background information, page 701.)

Upon motion of Ms. Longaberger, seconded by Mr. McFerson, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Sofia, McFerson, Borrer, O'Dell, and Mses. Longaberger, Hendricks, and Davidson.

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PRESENTATION OF THE 2002-03 AUDIT

Mr. William J. Shkurti:

Mr. Chairman, the annual audit was reviewed in the Fiscal Affairs and Audit Committees of the Board. Kevin Patton, internal auditor, reported that the audit was a clean audit, which is something we always like to see, and then Greta Russell, University controller, reviewed the University financials for the last year and showed that our finances actually had improved, primarily because of the improved performance in investments with an improving stock market. Kris Devine, engagement partner from our external auditors, Deloitte and Touche, then reviewed the scope of the audit and also the constructive service comments, which use to be known as the management letter. So that was discussed in the Committees this morning.

What we thought would be helpful today is a review and we have asked Kevin to introduce Kris, and Greta will accompany her as well, just to summarize the external auditors view of the audit and the other important elements. Kevin --

Mr. Kevin R. Patton:

Thank you, Mr. Shkurti. Mr. Chairman and members of the Board, this fiscal year ended June 30, 2003, represents the second year of a four-year contract that we have for external audit services with the firm of Deloitte and Touche, LLP. As Mr. Shkurti mentioned, this morning we presented the results of the audit and the financial review at the Fiscal Affairs and Audit Committees meeting.

I am accompanied today by Ms. Greta Russell, University controller, and Ms. Kristine Devine, audit engagement partner, who represents Deloitte and Touche.

PRESENTATION OF THE 2002-03 AUDIT (contd)

Mr. Patton: (contd)

Ms. Russell presented the financial highlights this morning and for this presentation, Ms. Devine will present Deloitte and Touche's summary of our audit results.

Before Ms. Devine begins, I would like to acknowledge that the audit process in this institution requires the extensive coordinated efforts of many individuals, including college and departmental fiscal officers, administrative personnel, the Office of the Controller, the Office of the Treasurer, the Health System, OSURF, the Office of the CIO, my internal audit staff, our external auditors, and Bill Shkurti. All of these individuals' efforts resulted in the completion of the audit within the time frame required by the Auditor of State, and we again received an unqualified opinion on the financial statements.

Now, I would like to turn the presentation over to Ms. Devine.

Ms. Kristine Devine:

As mentioned, we were engaged to perform an audit for The Ohio State University for the fiscal year ending June 30, 2003. That engagement resulted in a clean, unqualified opinion for that audit. As Kevin has mentioned, there are an extensive amount of individuals who work on this engagement throughout the University and there is a lot of cooperation and effort involved. We received full cooperation from everyone involved and I would like to thank them. I will not list them individually because you do not have time for that, but we do appreciate that and we appreciate the opportunity to serve you.

Mr. Sofia:

Thank you. Anything else? Any questions for our auditors since they are in front of you? We really appreciate it and are delighted to know that we had a clean audit. We appreciate everybody's cooperation and look forward to another clean audit next year.

Mr. McFerson:

As chair of the Audit Committee, I also would share with you that the Committee did have a brief session time with the external auditors, which is appropriate for an audit committee to do. I think we can recommend to you wholeheartedly that we are very comfortable with the proceedings that took place this past year. I would move to accept the audit report.

ACCEPTANCE OF THE REPORT OF AUDIT FOR 2002-03

Resolution No. 2004-81

Synopsis: The report of the audit of the financial statements for The Ohio State University for 2002-03 conducted by Deloitte & Touche is recommended for acceptance.

WHEREAS, with the approval of the Auditor of State, The Ohio State University entered into a four-year agreement with Deloitte & Touche in March 2002 for an annual audit of the University for fiscal years 2001-02 through 2004-05; and

ACCEPTANCE OF THE REPORT OF AUDIT FOR 2002-03 (contd)

WHEREAS the Deloitte & Touche audit of the University for 2002-03, meeting the requirements of the Auditor of State, has been received and the accounts, records, files, and reports of the University have been found to be in satisfactory condition, and certain constructive service comments have been discussed with the Audit and Fiscal Affairs Committees; and appropriate procedures and responses are being developed as a result of these comments:

NOW THEREFORE

BE IT RESOLVED, That the report of the Deloitte & Touche audit for The Ohio State University for 2002-03, including the report on the audit of the University's financial statements and the summary of constructive service comments to management, be accepted.

Upon motion of Mr. McFerson, seconded by Mrs. Davidson, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Sofia, McFerson, Borrer, O'Dell, and Meses. Longaberger, Hendricks, and Davidson.

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Thereupon the Board adjourned to meet Friday, February 6, 2004, at The Ohio State University Fisher College of Business, Columbus, Ohio.

Attest:

David O. Frantz
Secretary

Zuheir Sofia
Chairman

**The Ohio State University Board of Trustees
Investments Committee**

Topic: ENDOWMENT PAYOUT AND DEVELOPMENT FUNDING

Context:

The recent decline in the stock market has adversely affected the future growth of the Endowment and the level of funding support for Development.

The University is concerned that a continuation of current policy may be insufficient to protect the value of the Endowment in the future (Attachment A).

The University also is concerned about the impact of any corrective actions on college programs (Attachment B), donor relations and Development support.

Recommendation:

The following policies are recommended regarding the Endowment payout:

1. The payout should be calculated on a five-year moving average instead of a three-year moving average, effective FY 2005.
2. The payout rate for all current endowments and additions to current endowments should be reduced from 5.0% in FY 2004 to 4.75% in FY 2005 and to 4.50% in FY 2006.
3. The payout rate for new endowments should be reduced to 4.0% effective FY 2005.
4. A "collar" incorporating a maximum payout increase of 3% and a maximum payout decrease of 1% should be adopted, effective FY 2007.
5. The University should report back to the Board no later than December 2005 regarding proposed payout rates for FY 2007 and FY 2008; and

In addition, it is recommended that:

- * The assessment on the Endowment to support Development be decreased as follows:

FY 2004	1.33%
FY 2005	1.22%
FY 2006	1.11%
FY 2007	1.00%

- * The University report back to the Board with recommendations to replace the operating funds lost to Development by no later March 2004; and
- * The University request the University Foundation to assist in raising additional private funds to help offset the programmatic impact of a reduced payout rate.

Implications:

This policy will protect the Endowment, while softening the financial impact on academic programs, but the impact will still be significant (Attachment B).

The reduction of 0.33% in the assessment for Development means approximately \$3 million in continuing funds will be needed from other sources over the next four years.

Requested of the Investments Committee:

Approval of the recommendations.

Office of Academic Affairs
Office of Business and Finance
Office of Development
December 2, 2003

THE CHALLENGE OF PRESERVING ENDOWMENT VALUE

If the payout is	5.00%
and	
Development support is	1.33%
and	
Endowment Administration is	0.30%
and	
inflation is	<u>2.50%</u>
 Then	
 The amount the Endowment must grow annually to preserve the value of the donor's original gift is	 9.13%

Estimated Financial Impact of Reduced Endowment Earnings by College

FY 2004 Actual as a % of PBA

NOTE: Assumes average annual growth in Endowment of 10%

College/Area	Estimated FY 08 Payout	FY 04 Payout	Difference	As a % of PBA
Arts	\$695,960	\$952,500	(\$256,540)	-1.18%
Biological Sciences	\$490,734	\$671,625	(\$180,891)	-0.87%
Dentistry	\$366,886	\$502,125	(\$135,239)	-0.75%
Education	\$680,068	\$930,750	(\$250,682)	-0.86%
Engineering	\$5,340,808	\$7,309,500	(\$1,968,692)	-3.41%
Fisher College of Business	\$4,341,530	\$5,941,875	(\$1,600,345)	-5.47%
Food, Ag. & Envir.	\$3,947,792	\$5,403,000	(\$1,455,208)	-7.32%
Human Ecology	\$537,862	\$736,125	(\$198,263)	-2.74%
Humanities	\$958,178	\$1,311,375	(\$353,197)	-0.70%
Moritz College of Law *	\$2,323,808	\$3,184,500	(\$860,692)	-6.40%
Math & Physical Sciences	\$1,913,616	\$2,619,000	(\$705,384)	-1.22%
Medicine & Public Health	\$7,369,504	\$10,086,000	(\$2,716,496)	-5.42%
Nursing	\$177,552	\$243,000	(\$65,448)	-1.18%
Optometry	\$373,188	\$510,750	(\$137,562)	-3.25%
Pharmacy	\$521,148	\$713,250	(\$192,102)	-1.86%
Social & Behavioral	\$868,306	\$1,188,375	(\$320,069)	-0.65%
Social Work	\$44,936	\$61,500	(\$16,564)	-0.43%
Veterinary Medicine	\$712,126	\$974,625	(\$262,499)	-1.38%
Lima	\$106,312	\$145,500	(\$39,188)	
Mansfield	\$74,254	\$101,625	(\$27,371)	
Marion	\$196,458	\$268,875	(\$72,417)	
Newark	\$63,294	\$86,625	(\$23,331)	
Total:	\$32,104,320	\$43,942,500	(\$11,838,180)	

* Total Law PBA totals \$13.5M. This includes \$2.6M associated with the Law Library.

Source: Office of Resource Planning

(APPENDIX XXIV)
AUTHORIZATION FOR CAPITAL PROJECTS

Project	Approximate Amount (in millions)	Requested Action
930 Kinnear Road Renovations	Dept: \$0.87	Enter into design contracts
Campus Grounds – Exterior Lighting Phase VIII	State: \$0.50	Proceed with in-house design
Cannon Drive – S Curve Rehabilitation	State: \$0.27	Proceed with in-house design
Clinical Space Reorganization - Neonatal Intensive Care Unit	Dept: \$1.55	Enter into design contracts
Cunz Hall - Roof Replacement	State: \$0.18	Proceed with in-house design
Dreese Lab Clean/Seal Exterior Brick	State: \$0.13	Proceed with in-house design
ElectroScience – Roof Replacement	State: \$0.28	Proceed with in-house design
Marion Campus - Water Booster Pump Station	Dept: \$.50-\$.55	Enter into design contracts
McCampbell Hall – Roof Replacement	State: \$0.12	Proceed with in-house design
Newton Hall – Roof Replacement	State: \$0.63	Proceed with in-house design
OARDC-Branch Farm Improvements EORDC Office/Workshop Facility	Dept: \$0.79	Enter into design contracts
OARDC-Muck Crops Branch Office and Shop Building Replacement	Dept: \$0.83	Enter into design contracts
Overhaul/Upgrade #1 Condensing Turbine Generator	Dept: \$2.60	Enter into design contracts
Pomerene Hall Hot Water Heating System Replacement	State: \$0.35	Proceed with in-house design
South Dorms Area Sewer Separation/Drainage Improvements	Dept: \$0.63	Enter into design contracts
University Hall Renovation	Dept: \$1.0-\$3.0	Enter into design contracts
Subtotal for Design Contracts	Dept: \$8.77-\$10.82 State: \$2.46	
Clinical Space Reorganization - Neonatal Intensive Care Unit	Dept: \$1.55	Enter into construction contracts
Lincoln and Morrill Tower Walkways	State: \$0.86	Enter into construction contracts
Oval Restoration	Dept: \$1.50 State: \$1.50	Enter into construction contracts
Subtotal for Construction Contracts	Dept: \$3.05 State: \$2.36	
Total for all Contracts	Dept: \$11.82-\$13.87 State: \$4.82	
Grand Total	\$16.64-\$18.69	

930 Kinnear Road Renovation (CAR Building)

315-2004-992

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Office of Academic Affairs (Department 1452 – Engineering Experiment Station)

How does this project advance the Academic Plan?

This project for the Center for Automotive Research will renovate and update approximately 1,100 square feet of the second floor of 930 Kinnear Road. This renovation is for the improvement of the teaching and learning environment as predicated by the Academic Plan.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

The renovation and updating of the second floor of 930 Kinnear Road, which is dedicated to Graduate Studies for the Center for Automotive Research, will involve approximately 1,100 GSF of existing office and mechanical spaces. This project will also include the re-surfacing of the building's existing parking lot.

Preliminary Cost Estimate:

The total project budget is \$871,579

Proposed Funding Source:

Basic Renovation Fund (HB 675)

Outstanding Funding Issues:

None

Timing Issues:

Design Services Selection – February 2004

Construction Completion – February 2005

“Ripple effects” of the project:

None

Special limitations/risks:

None

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): ACADEMIC AFFAIRS, OFFICE OF

Location(s): KINNEAR ROAD, 930

Gross Sq. Ft. 38,172 Age: 1961

Description: Renovation of approximately 1,100 square feet of office, mechanical equipment, elevator and mechanical space for the Center of Automotive Research. Project includes resurfacing the building's existing parking lot.

Project Team:

Facility Planner: Cheryl Christie
(christie.2@osu.edu)
Project Captain: Christine Nocar
(lawson.132@osu.edu)
Project Assistant: Mikki Reese
(reese.153@osu.edu)
Field Coordinator: Is Unassigned

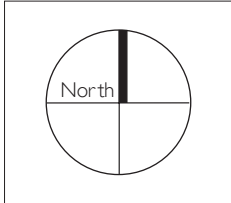
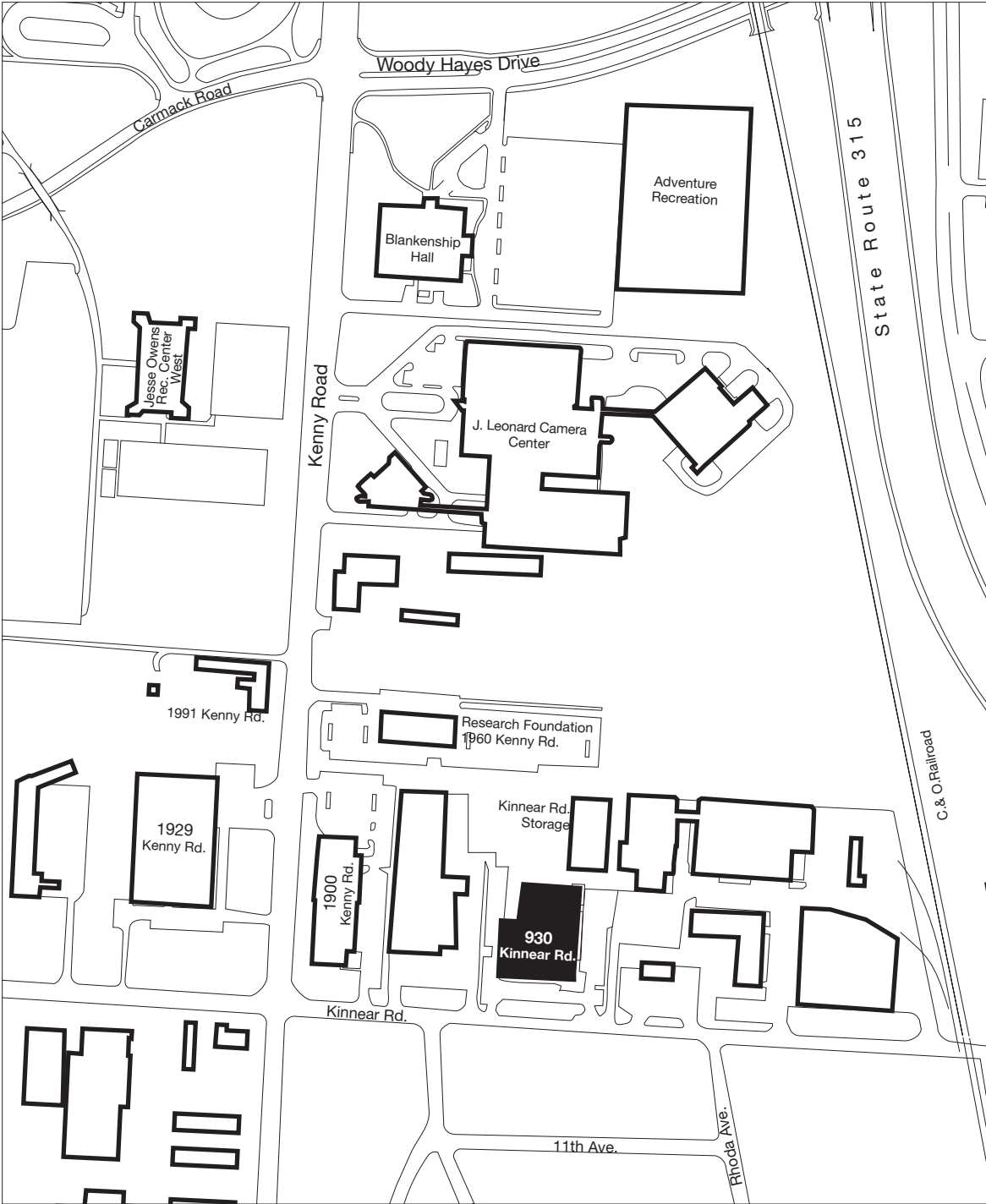
Project Information:

Preliminary Project Budget is \$871,579

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic	\$871,579.00	\$871,579.00				
Renovation			Total:			
Total:	\$871,579.00	\$871,579.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	12/05/2003		
Design			
Arch/Engr Contract	03/15/2004		
Construction			
Completion	02/28/2005		

930 Kinnear Road Renovations



Office of Business and Finance
Office of Facilities Planning and Development

October 17, 2003

CAMPUS GROUNDS – EXTERIOR LIGHTING PHASE VIII

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Physical Facilities

How does this project advance the Academic Plan?

Helps provide a brighter and safer campus for the students

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Replacement and addition of site lighting along Neil Ave and other areas.

Preliminary Cost Estimate:

\$500,000

Proposed Funding Source:

House Bill 675

Outstanding Funding Issues:

N/A

Timing Issues:

Design Development - December 16, 2003

Construction Document - February 13, 2004

Completion - March 14, 2005

“Ripple effects” of the project:

N/A

Special limitations/risks:

N/A

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): PHYSICAL FACILITIES

Location(s): Unidentified Utility-Col.

Gross Sq. Ft.0 Age:

Description: Replacement of street lighting along College Road around the Wexner Visual Arts Center, as well as along Neil Avenue from the Northwest Parking Garage and working south. Additionally, a few "pocket" areas not previously addressed will be included as funding permits. All poles will meet University Standards and lighting levels will be according to current standards.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Bob Wajnryb
(wajnryb.1@osu.edu)

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

Field Coordinator: Mark Hartmann
(hartmann.16@osu.edu)

Project Information:

Designed in-house by University personnel.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic	\$500,000.00	\$500,000.00				
Renovation			Total:			
Total:	\$500,000.00	\$500,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Bidding Approved B/T	09/05/2003	03/05/2004	
Design			
Design Dev Document Approval	06/17/2003	12/16/2003	
Construction Document Approval	08/16/2003	02/13/2004	
Bidding			
Bid Opening	10/14/2003	04/13/2004	
Construction			
Construction Start	01/13/2004	07/13/2004	
Completion	09/12/2004	03/14/2005	

Campus Grounds - Exterior Lighting Phase VIII

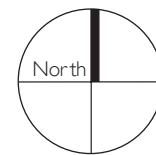


Project Sites:

Neil Avenue from Woody Hayes Drive to Newton Hall

College Road between Wexner Center and Weigel Hall

Areas around Lincoln and Morrill Towers



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2003

CANNON DRIVE - S CURVE REHABILITATION

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Physical Facilities

How does this project advance the Academic Plan?

The S curve of the Cannon Drive in front of Ohio Stadium is deteriorating under heavy traffic usage and needs to be rehabilitated to ensure vehicle and driver safety on campus.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Campus surface improvements.

Preliminary Cost Estimate:

\$270,000

Proposed Funding Source:

HB675 Columbus Basic Renovation

Outstanding Funding Issues:

None

Timing Issues:

Design Services Completion - March, 2004

Constuciton Completion - October, 2004

“Ripple effects” of the project:

None

Special limitations/risks:

None

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): PHYSICAL FACILITIES

Location(s): Unidentified Street-Col.

Gross Sq. Ft.0 Age:

Description: The project is to replace the asphalt pavement with concrete on two "S" shaped curved sections on Cannon Drive near the Woody Hayes Drive intersection. In addition, the project will address issues such as surface drainage and damaged curbs in the vicinity areas. The project is needed because existing asphalt pavement has become warped by the centrifugal force of bus traffic and is beyond economical repair.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Bo Zhang
(zhang.403@osu.edu)

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

Field Coordinator: Glenn Gerhart
(gerhart.1@osu.edu)

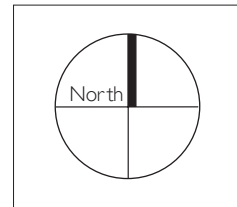
Project Information:

Anticipated to be designed by University personnel.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$270,000.00	\$270,000.00	Total:			
Total:	\$270,000.00	\$270,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	12/05/2003		
Bidding Approved B/T	08/01/2003	04/02/2004	
Design			
Design Dev Document Approval	04/17/2003	02/16/2004	
Construction Document Approval	06/16/2003	03/01/2004	
Bidding			
Bid Opening	09/14/2003	04/14/2004	
Construction			
Construction Start	11/13/2003	06/14/2004	
Completion	03/12/2004	10/27/2004	

Cannon Drive - S Curve Rehabilitation



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2003

Clinical Space Reorganization – Neonatal Intensive Care Unit

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

University Hospital

How does this project advance the Academic Plan?

As a teaching hospital, the medical center serves both the academic interests of the University as well as the community. It is vital that facilities remain current in the latest medical and technological advances. These additional spaces will expand our ability to serve the academic component and provide state-of-the-art facilities for patient care offered to the surrounding community.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

The Neonatal Intensive Care Unit, located on the 6th floor of Doan Hall, will be expanded by eight new additional patient care spaces to accommodate increased patient volume. This project was identified as part of a \$20-\$25 million renovation of the overall Clinical Space in Doan and Rhodes Hall.

Preliminary Cost Estimate:

\$1,551,548

Proposed Funding Source:

University Hospital

Outstanding Funding Issues:

none

Timing Issues:

Expected completion date – September 2004

“Ripple effects” of the project:

none

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Clinical Space Reorganization - Neonatal Intensive Care Unit

315-2001-911-2

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): DOAN HALL, CHARLES AUSTIN

Gross Sq. Ft.669,869 Age: 1951

Location(s): RHODES HALL-UNIVERSITY HOSPITAL

Gross Sq. Ft.510,587 Age: 1979

Description: The project involves an expansion of the existing Neo Natal ICU on the 6th floor of Doan Hall into 2,216 sq ft of immediately adjacent space. The proposed addition will add 8 critical care beds with support and associated storage. The current space allocation for each infant does not meet the current code requirement. The new wing will be dedicated to the care of the most critical patient population, freeing space within the existing unit to care for the less critical patients with smaller space requirements. Designed with appropriate acoustical and light controls, the new patient bays will provide a nurturing newborn environment.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Richard Van Deusen
(van-deusen.2@osu.edu)

Project Assistant: Patricia Berger
(berger.58@osu.edu)

Field Coordinator: Is Unassigned

Project Information:

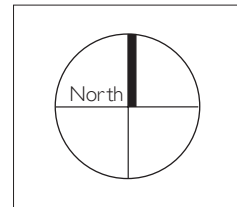
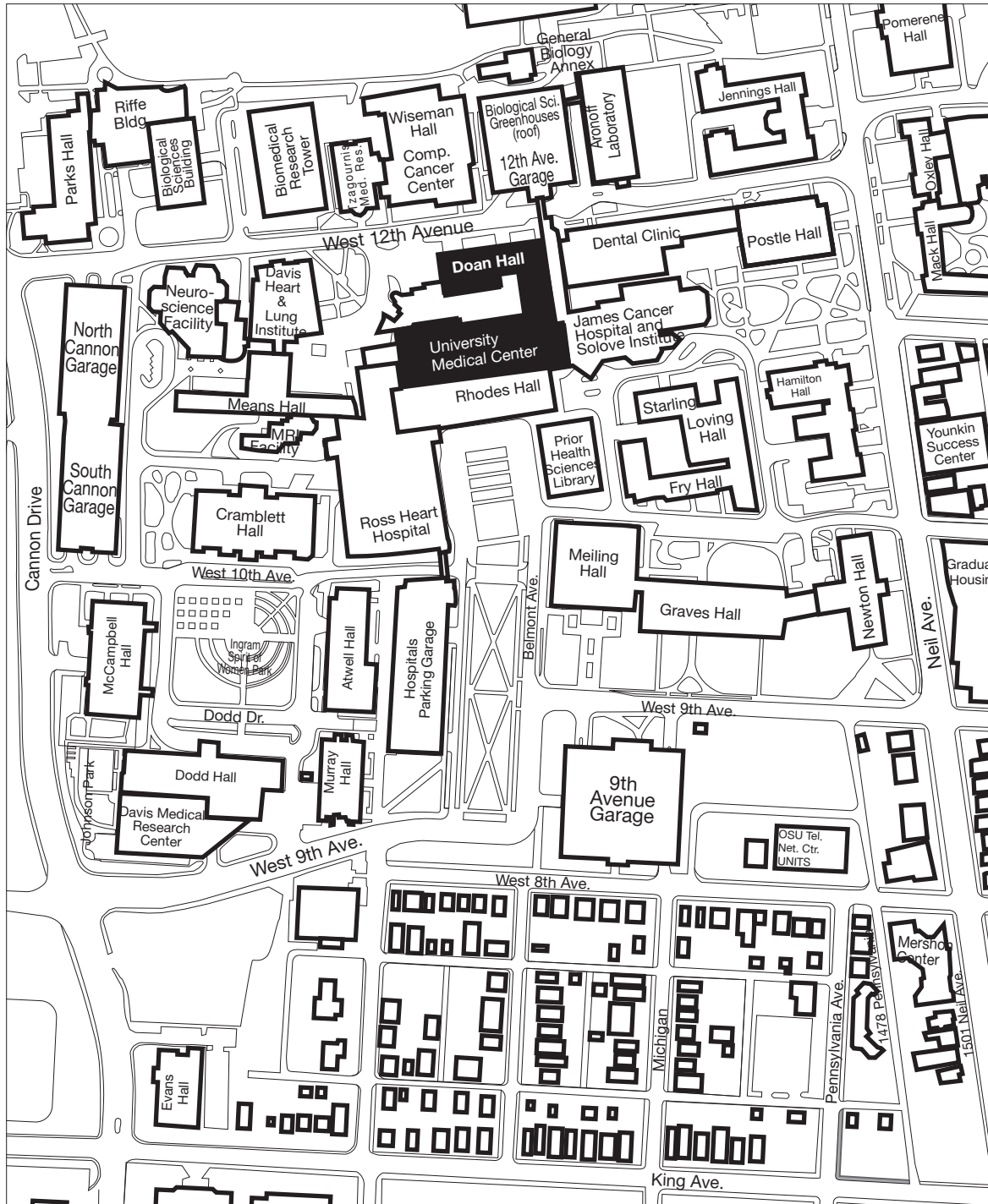
Originally approved as part of the \$20M Clinical Space Reorganization umbrella project. (approved by the BoT on 6/29/01.)

Architect fees up through Schematic Design are included in the \$1,000,000 fee charged to the overall Clinical Space Reorg Project. Costs on this "Dash 1" project include only AE fees from Design Development forward.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University	\$1,551,548.00	\$1,551,548.00				
Hospitals			Total:			
Total:	\$1,551,548.00	\$1,551,548.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (Part of umbrella \$1mio)	06/29/2001		06/29/2001
Design			
Arch/Engr Contract	04/03/2002		04/03/2002
Schematic Design Approval	02/28/2003		02/28/2003
Design Dev Document Approval	06/17/2003		06/17/2003
Construction Document Approval	01/05/2004		
Bidding			
Bid Opening	02/09/2004		

Clinical Space Reorganization - Neonatal Intensive Care Unit



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2003

CUNZ HALL - ROOF REPLACEMENT

Conceptual and Planning Information

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Sponsoring/Requesting Units(s):

Physical Facilities

How does this project advance the Academic Plan?

The roof replacement of this building will continue to keep the building occupants dry, providing an environment suitable for education.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Replacement of the entire roofing system.

Preliminary Cost Estimate:

\$185,000

Proposed Funding Source:

HB675 Columbus Basic Renovation

Outstanding Funding Issues:

None

Timing Issues:

None

“Ripple effects” of the project:

Potential leaks during construction.

Special limitations/risks:

N/A

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): PHYSICAL FACILITIES

Location(s): CUNZ HALL OF LANGUAGES, DIETER

Gross Sq. Ft.68,049 Age: 1969

Description: Replace 14,440 sq ft of gravel-surfaced built-up roof on Cunz Hall, which is 33 years old. Remove any damaged lightweight fill, concrete and patch as necessary. Install a new modified bitumen roof membrane with new flashings and insulation. Suggest a 20 year warranty.

Project Team:

Facility Planner: Is Unassigned
Project Captain: Brett Garrett
Project Assistant: Lisa Baldwin (baldwin.10@osu.edu)
Field Coordinator: Mark Scott

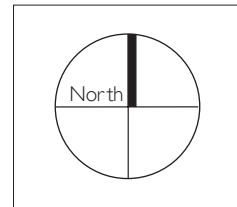
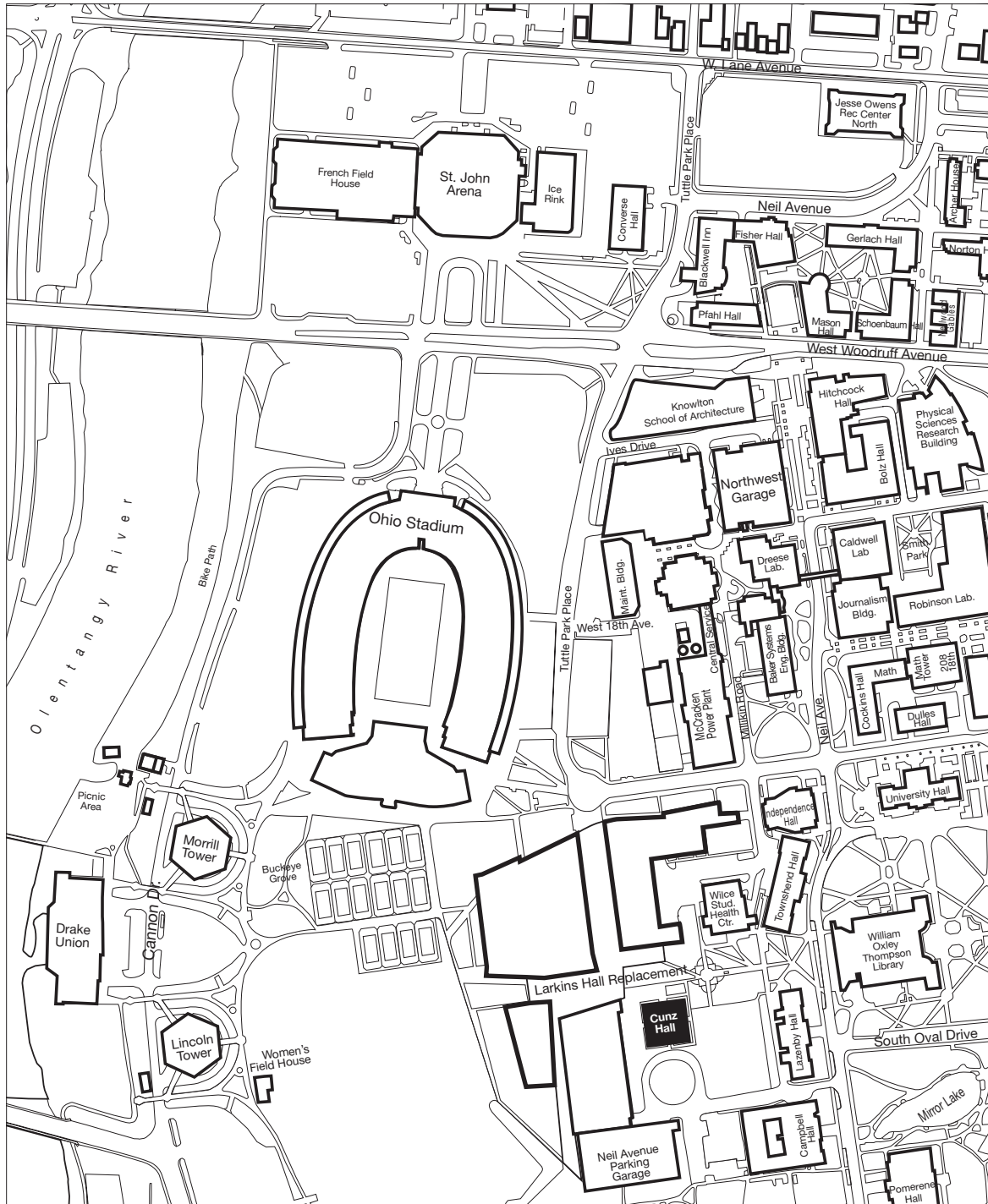
Project Information:

Anticipated to be designed by University personnel.

Table with 7 columns: Source of Funds, Original, Revised, Uses of Funds, As Designed, As Bid, Completion. Rows include HB675 Columbus Basic Renovation, Repair & Renovation, and Total.

Table with 4 columns: Schedule, Projected, Revised, Actual. Rows include Planning (Bidding Approved B/T), Design (Design Dev Document Approval, Construction Document Approval), Bidding (Bid Opening), and Construction (Construction Start, Completion).

Cunz Hall - Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 8, 2003

DREESE LAB CLEAN/SEAL EXTERIOR BRICK

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Physical Facilities

How does this project advance the Academic Plan?

The cleaning/sealing of the brick will protect the building from water damage allowing the building to continue to provide a suitable environment for education.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Clean/Seal Exterior Brick

Preliminary Cost Estimate:

\$125,000

Proposed Funding Source:

HB675 Columbus Basic Renovation

Outstanding Funding Issues:

None

Timing Issues:

None

“Ripple effects” of the project:

Temporary construction noise for the building occupants.

Special limitations/risks:

N/A

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): PHYSICAL FACILITIES

Location(s): DREESE LABORATORIES, ERWIN E.

Gross Sq. Ft.186,567 Age: 1969

Description: Clean, waterproof and replace the sealant on the exterior of Dreese Lab, fill joints and replace the sealant around all windows; including the 8th floor balcony.

Project Team:

Facility Planner: Is Unassigned
Project Captain: Brett Garrett
Project Assistant: Lisa Baldwin (baldwin.10@osu.edu)
Field Coordinator: Glenn Gerhart (gerhart.1@osu.edu)

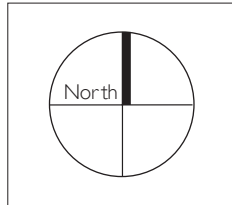
Project Information:

Anticipated to be designed by University personnel.

Table with 7 columns: Source of Funds, Original, Revised, Uses of Funds, As Designed, As Bid, Completion. Rows include HB675 Columbus Basic Renovation and Total.

Table with 4 columns: Schedule, Projected, Revised, Actual. Rows include Planning, Design, Bidding, and Construction stages with specific dates.

Dreese Lab - Clean/Seal Exterior Brick



Office of Business and Finance
Office of Facilities Planning and Development

November 8, 2003

ELECTROSCIENCE - ROOF REPLACEMENT

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Physical Facilities

How does this project advance the Academic Plan?

The roof replacement for this building will continue to keep the building occupants dry, providing an environment suitable for education.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Replacement of the entire roofing system.

Preliminary Cost Estimate:

\$275,000

Proposed Funding Source:

HB675 Columbus Basic Renovation

Outstanding Funding Issues:

None

Timing Issues:

None

“Ripple effects” of the project:

Potential leaks during construction.

Special limitations/risks:

N/A

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): PHYSICAL FACILITIES

Location(s): ELECTROSCIENCE LABORATORY

Gross Sq. Ft. 28,209 Age: 1955

Description: The existing fibrated coated built-up roof on the Electro Science building would be removed, new tapered insulation installed, a TPO roof system installed including new metal around the entire roof perimeter. Fall protection system also needs to be installed.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Brett Garrett

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

Field Coordinator: Colin McBride
(mcbride.62@osu.edu)

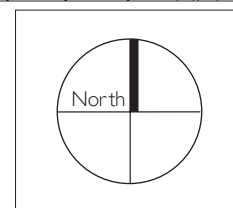
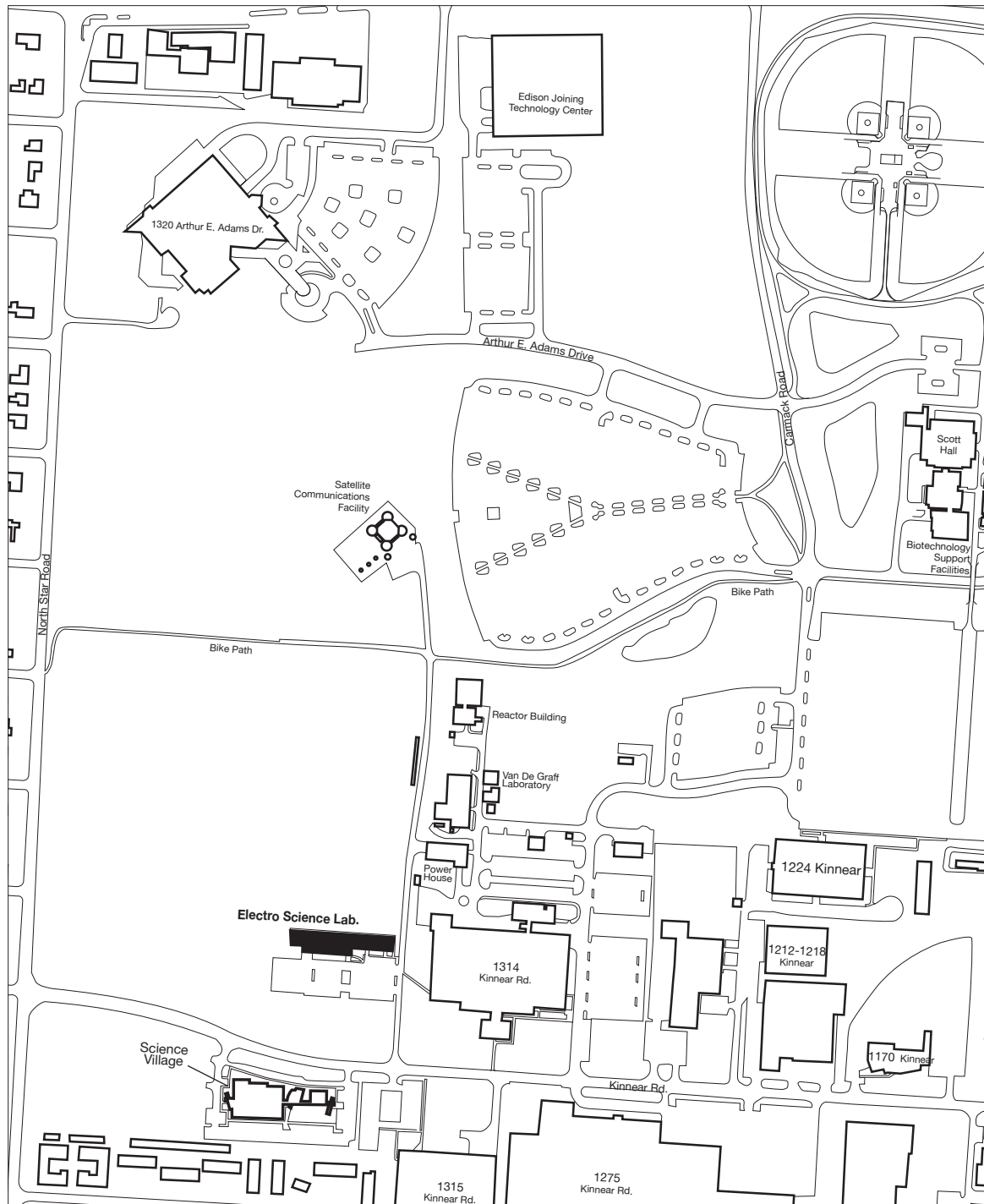
Project Information:

Anticipated to be designed by University personnel.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic	\$275,000.00	\$275,000.00				
Renovation			Total:			
Total:	\$275,000.00	\$275,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	12/05/2003		
Bidding Approved B/T	07/04/2003	03/17/2004	
Design			
Design Dev Document Approval	04/04/2003	01/15/2004	
Construction Document Approval	06/03/2003	02/15/2004	
Bidding			
Bid Opening	08/02/2003	04/15/2004	
Construction			
Construction Start	10/01/2003	06/15/2004	
Completion	11/30/2003	08/13/2004	

ElectroScience - Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 20, 2003

MARION CAMPUS – WATER BOOSTER PUMP STATION
Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):
The Marion Campus

How does this project advance the Academic Plan?

This project will provide basic utilities services to students, faculty and staff at the Marion campus as well as enhance fire safety in all classrooms and administrative offices.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Marion Campus water pressure is inadequate to support normal building water services. This problem will worsen as additional buildings come on line. The solution is to insert an electric powered booster pump station on to the 12" water main servicing the campus. This pump station will be a pre-fabricated self-contained pump house that is transported directly from the factory and installed on-site. Additional project work will include bringing utilities and a driveway to the pump station site. Utilities include electricity to power the pumps, natural gas to power emergency back-up generator and fiber optic cable to provide computerized control from a remote location.

Preliminary Cost Estimate:

Total project budget is estimated to be \$500,000 - \$550,000

Proposed Funding Source:

Marion Campus Local Funds

Outstanding Funding Issues:

None at this time.

Timing Issues:

This project must be complete by April 2005 to permit life safety tests for the new Student Services Building to prevent the need for costly building-specific booster pumps.

“Ripple effects” of the project:

None

Special limitations/risks:

None

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Marion Campus - Water Booster Pump Station

315-2004-993

Requesting Agency(s): MARION CAMPUS

Location(s): Various Locations-Marion

Gross Sq. Ft.0 Age:

Description: Marion Campus water pressure is inadequate to support normal building water services. This problem will worsen as additional buildings come on line. The solution is to insert an electrically- powered booster pump station on to the 12" water main servicing the campus. This pump station will be a pre-fabricated, self-contained pump house that is transported directly from the factory and installed on-site. Additional project work will include bringing utilities and a driveway to the pump station site. Utilities include electricity to power the pumps, natural gas to power emergency back-up generator and fiber optic cable to provide computerized control from a remote location.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Margaret Murphy
(murphy.641@osu.edu)

Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)

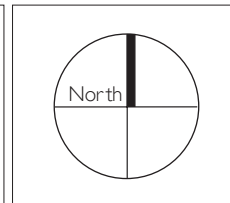
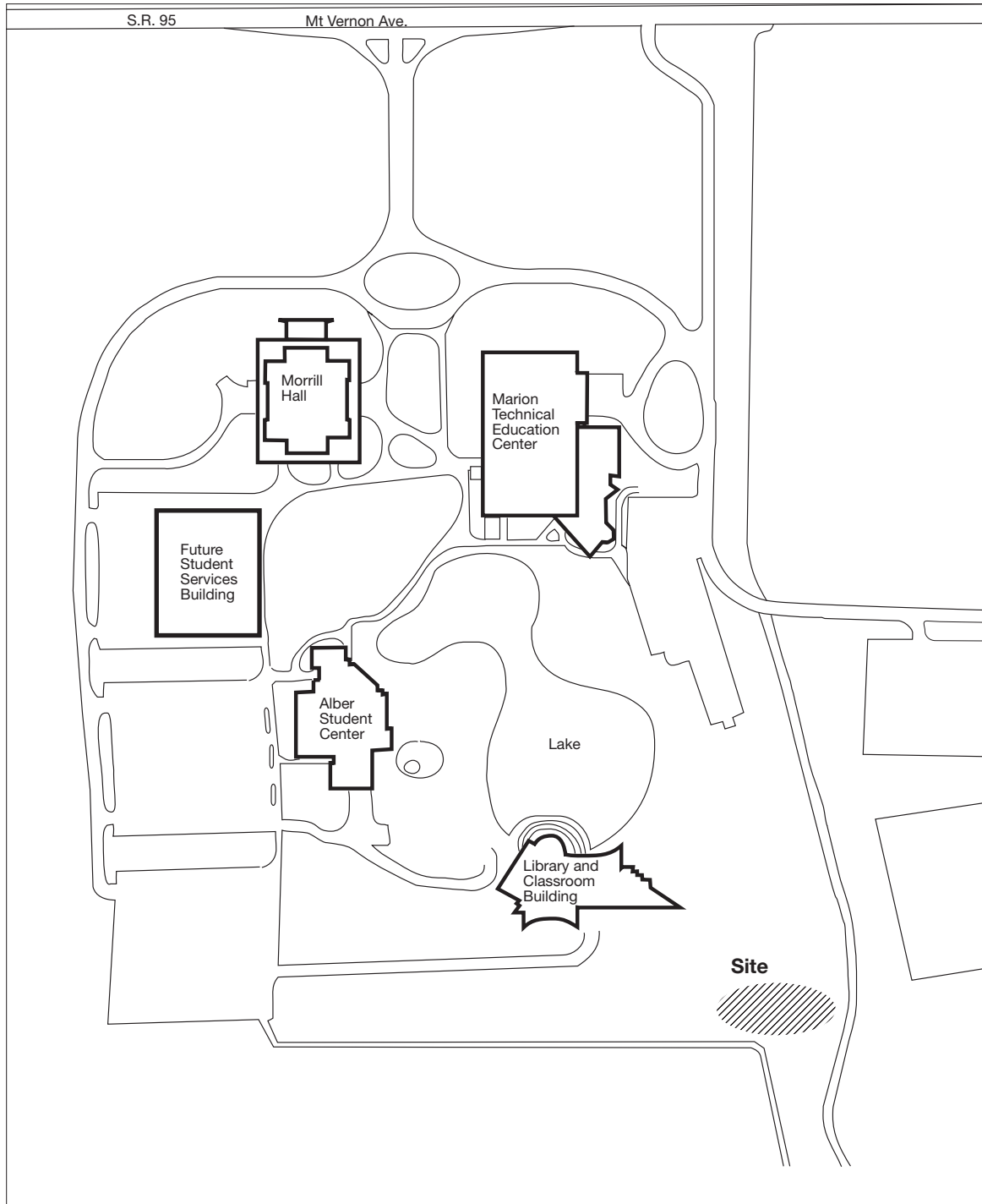
Field Coordinator: Is Unassigned

Project Information:

Preliminary Project Estimate is \$500,000 to \$550,000

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Development-Marion	\$0.00	\$0.00				
General Funds-Marion	\$550,000.00	\$550,000.00	Total:			
Total:	\$550,000.00	\$550,000.00				

Marion Campus - Water Booster Pump Station



Office of Business and Finance
Office of Facilities Planning and Development

November 10, 2003

MCCAMPBELL HALL - ROOF REPLACEMENT

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Physical Facilities

How does this project advance the Academic Plan?

The roof replacement of this building will continue to keep the building occupants dry, providing an environment suitable for education.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Replacement of the entire roofing system

Preliminary Cost Estimate:

\$120,000

Proposed Funding Source:

HB675 Columbus Basic Renovation

Outstanding Funding Issues:

None

Timing Issues:

None

“Ripple effects” of the project:

Potential leaks during construction.

Special limitations/risks:

N/A

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): PHYSICAL FACILITIES

Location(s): MCCAMPBELL HALL, EUGENE F

Gross Sq. Ft.107,587 Age: 1972

Description: The McC Campbell Hall roof areas are the concrete deck type with lightweight fill, insulation board and a built-up roof installed with hot tar and gravel. The upper roofs have been replaced. The project would replace the lower fourth floor, south second floor and canopy roofs including aluminum counterflashing and gravel stops.

Project Team:

Facility Planner: Is Unassigned
Project Captain: Brett Garrett
Project Assistant: Karen Cogley (cogley.1@osu.edu)
Field Coordinator: Glenn Gerhart (gerhart.1@osu.edu)

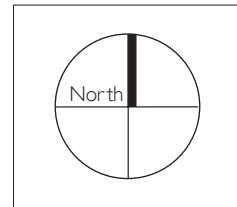
Project Information:

Anticipated to be designed by University personnel.

Table with 7 columns: Source of Funds, Original, Revised, Uses of Funds, As Designed, As Bid, Completion. Rows include HB675 Columbus Basic Renovation and Total.

Table with 4 columns: Schedule, Projected, Revised, Actual. Rows include Planning, Design, Bidding, and Construction stages with specific dates.

McC Campbell Hall - Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 8, 2003

NEWTON HALL – ROOF REPLACEMENT

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Physical Facilities

How does this project advance the Academic Plan?

The roof replacement of this building will continue to keep the building occupants dry, providing an environment suitable for education.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Replacement of the entire roofing system

Preliminary Cost Estimate:

\$625,000

Proposed Funding Source:

HB675 Columbus Basic Renovation

Outstanding Funding Issues:

None

Timing Issues:

None

“Ripple effects” of the project:

Potential leaks during construction.

Special limitations/risks:

N/A

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): PHYSICAL FACILITIES

Location(s): NEWTON HALL, MILDRED E

Gross Sq. Ft. 80,879 Age: 1968

Description: The existing built-up roof over the Newton Hall main building and canopies would be removed. New ISO insulation and modified bitumen roof would be installed (27,576 sq. ft.) Also required: OSHA fall protection and 1,400 linear ft. of flashing.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Brett Garrett

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

Field Coordinator: Glenn Gerhart
(gerhart.1@osu.edu)

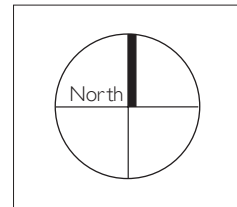
Project Information:

Anticipated to be designed by University personnel.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic	\$625,000.00	\$625,000.00	Total:			
Renovation						
Total:	\$625,000.00	\$625,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	12/05/2003		
Bidding Approved B/T	12/05/2003	01/30/2004	
Design			
Design Dev Document Approval	11/04/2003	12/30/2003	
Construction Document Approval	12/04/2003	01/29/2004	
Bidding			
Bid Opening	01/18/2004	03/15/2004	
Construction			
Construction Start	05/01/2004	06/25/2004	
Completion	08/29/2004	10/25/2004	

Newton Hall - Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 8, 2003

OARDC-BRANCH FARM IMPROVEMENTS EORDC OFFICE/WORK SHOP FACILITY

315-2002-933

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Ohio Agricultural Research and Development Center

How does this project advance the Academic Plan?

This project supports the research mission of the OARDC by providing up-to-date research and support space to address better the needs of the investigators, as they strive to provide more accurate studies.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

The project will construct a new office/workshop building approx. 3,590 ASF/5358 GSF, new large equipment storage building approximately 5,700 ASF/6080 GSF, and a new beef barn 6,300 GSF. An existing farm shop building will be repaired and converted to a heated storage building. Also included will be the relocation of an existing cattle corral, fuel tank installation and extension of all site utilities and roadway. Four existing farm buildings will be demolished with an estimated combined total of 7,512 GSF.

Preliminary Cost Estimate:

Construction is estimated to be \$666,000 – \$700,000. Total project budget is estimated to be \$794,000 maximum.

Proposed Funding Source:

OARDC Local Funds

Outstanding Funding Issues:

None known

Timing Issues:

Advertise for A/E January - February 2004

Interview for A/E March - April 2004

“Ripple effects” of the project:

None known

Special limitations/risks:

None known

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



OARDC - Branch Farm Improvements EORDC Office/Work Shop Facility

315-2002-933

Requesting Agency(s): OARDC-OUTLYING BRANCHES

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: This project will construct a new office/workshop building, beef barn and large equipment storage building. An existing farm shop building will be repaired, and an existing cattle corral will be relocated. Work includes demolition of four existing farm buildings, fuel tank installation and extension of all site utilities and roadway.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Captain: Marjory Spangler
(spangler.40@osu.edu)

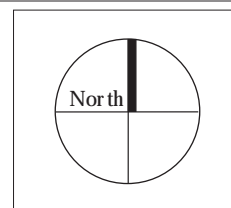
Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
OARDC	\$794,000.00	\$794,000.00				
Total:	\$794,000.00	\$794,000.00	Total:			

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	12/05/2003		
Design			
Arch/Engr Contract	05/15/2004		

OARDC -Branch Farm Improvements EORDC Office/Work Shop Facility



Office of Business and Finance
Office of Facilities Planning and Development

November 12, 2003

OARDC-MUCK CROPS BRANCH OFFICE AND SHOP BUILDING REPLACEMENT
Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Ohio Agricultural Research and Development Center

How does this project advance the Academic Plan?

This project supports the research mission of the OARDC by providing up-to-date research and support space to address better the needs of the investigators, as they strive to provide more accurate studies.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

The project will replace the existing office/shop building with a new building. The building will include an office, restrooms, shop area, storage area, pesticide handling needs, and work space. An addition to the headhouse will be constructed to support the current research needs. Utilities and roadway extensions will be made to support the new structures. Three existing farm buildings will be demolished with an estimated combined total of 3,780 GSF. The project will add approximately 6,882 ASF to the University's inventory.

Preliminary Cost Estimate:

Construction is estimated to be \$676,000 – \$700,000. Total project budget is estimated to be \$825,000 maximum.

Proposed Funding Source:

HB 675 line item

Outstanding Funding Issues:

None known

Timing Issues:

Advertise for A/E January - February 2004

Interview for A/E March - April 2004

“Ripple effects” of the project:

None known

Special limitations/risks:

None known

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): OHIO AGRIC RESEARCH & DEVELOPMENT CTR

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: The project replaces the existing office/shop building with a modern building. The building will include an office, restrooms, shop area, storage area, pesticide handling needs, and work space. An addition to the headhouse will be constructed to support the current research needs.

Project Team:

Project Information:

Facility Planner: Joyce Minor
(minor.6@osu.edu)

Project Captain: Marjory Spangler
(spangler.40@osu.edu)

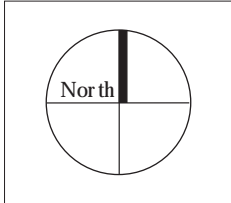
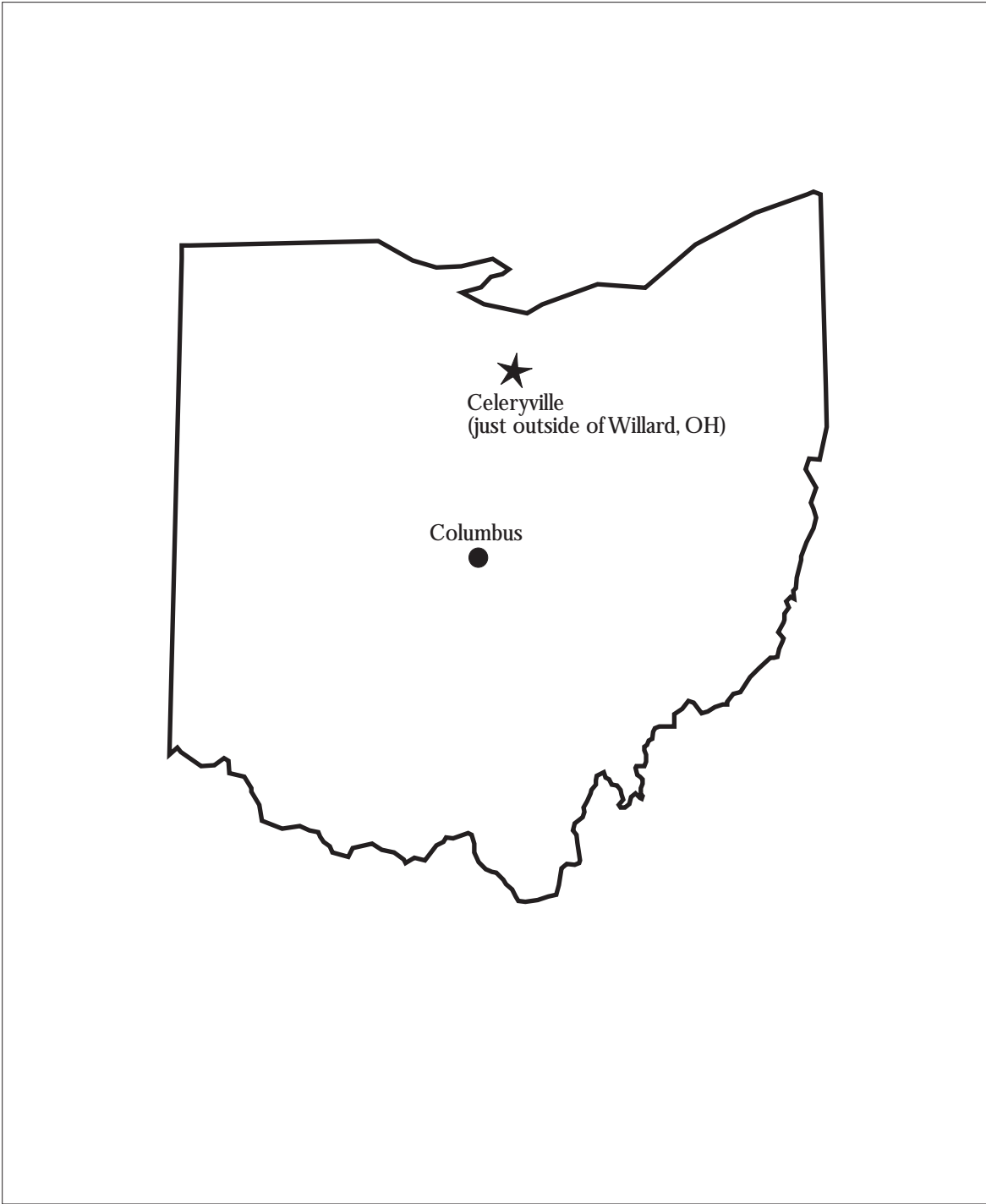
Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Line Item	\$825,000.00	\$825,000.00				
Total:	\$825,000.00	\$825,000.00	Total:			

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	12/05/2003		
Arch/Engr Advertisement	02/01/2004		
Design			
Arch/Engr Contract	05/15/2004		

OARDC - Muck Crops Branch Office and Shop Building Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2003

OVERHAUL/UPGRADE #1 CONDENSING TURBINE GENERATOR
Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):
Office of Business and Finance

How does this project advance the Academic Plan?
The existing 1951 General Electric steam turbine generator set has reached the operational point of requiring a significant overhaul. When complete, another 50 years of operating life can be expected. This project would accomplish the rehabilitation as well as an efficiency upgrade. The advantages would include operational efficiency, improved ability for significant peak shaving, and the ability for emergency electrical supply in case of catastrophic loss of commercial power.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):
Overhaul/Upgrade #1 Condensing Steam Turbine Generator in McCracken Power Plant from 5MW to 8MW, perform condenser repair, and install a new water tower utilizing existing river water pumping station.

Preliminary Cost Estimate:
\$2,600,000

Proposed Funding Source:
Future University Bond Proceeds

Outstanding Funding Issues:
None

Timing Issues:
Design Services Completion - February, 2004
Construction Completion - August, 2004

“Ripple effects” of the project:
None

Special limitations/risks:
N/A

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Overhaul/Upgrade #1 Condensing Turbine Generator

5062-PF07352

Requesting Agency(s): BUSINESS & FINANCE, OFFICE OF

Location(s): MCCRACKEN POWER PLANT, WILLIAM C

Gross Sq. Ft.107,910 Age: 1918

Description: The existing 1951 General Electric steam turbine generator set has reached the operational point of requiring a significant overhaul. When completed, another 50 years of operating life can be expected. This project would accomplish the rehabilitation and an efficiency upgrade. Presently the unit consumes 70,000-pph steam for 5,000kW output at 13,200 VAC. The advantages would be operational efficiency, improved ability for significant peak shaving, and the availability for emergency electric supply in case of a catastrophic loss of commercial power.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Ramesh Bahl
(bahl.12@osu.edu)

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

Field Coordinator: Is Unassigned

Project Information:

Bond-Funded Capital Request
Infrastructure 2003 - 2008

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Future Univ. Bond Proceeds	\$2,600,000.00	\$2,600,000.00	Total:			
Total:	\$2,600,000.00	\$2,600,000.00				

Schedule:	Projected	Revised	Actual
Design			
Construction Document Approval	11/02/2003		11/02/2003
Bidding			
Bid Opening	01/04/2004		
Construction			
Award of Contracts	03/05/2004		
Construction Start	03/06/2004		
Completion	07/01/2004		

POMERENE HALL – HOT WATER HEATING SYSTEM REPLACEMENT
Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Office of Business and Finance

How does this project advance the Academic Plan?

The current Pomerene Hall Heating Water System is using heating water from the Jennings Hall heating station. This service will be terminated in 2004 due to a major Jennings Hall Renovation Project. The new heating station will cover all heating needs for Pomerene Hall Facilities, and for a future HVAC Central System if needed. New system design and construction will include 100% redundancy.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Install new Steam to Hot Water Conversion Station in the existing mechanical room. Provide new 4" HPS line from the utility tunnel to the station, and install all control devices to control temperature of the hot water to be supplied to the building heating system.

Preliminary Cost Estimate:

\$350,000

Proposed Funding Source:

HB675 Basic Renovation

Outstanding Funding Issues:

NONE

Timing Issues:

Design Services Completion - November, 2003

Construction Completion - September, 2004

“Ripple effects” of the project:

NONE

Special limitations/risks:

N/A

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Pomerene Hall - Hot Water Heating System Replacement

315-2003-920

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): POMERENE HALL, FRANK E.

Gross Sq. Ft. 72,134 Age: 1922

Description: The heating system in Pomerene Hall is poorly controlled. The original heating system is past life expectancy and the heating hot water system exchanger for the VAV system requires replacement.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Alexander Lentsner

Project Assistant: Karen Cogley
(cogley.1@osu.edu)

Field Coordinator: Mark Scott

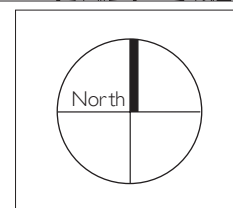
Project Information:

Designed by University personnel.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic	\$350,000.00	\$350,000.00				
Renovation			Total:			
Total:	\$350,000.00	\$350,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	12/05/2003		
Bidding Approved B/T	03/05/2004		
Design			
Schematic Design Approval	06/15/2003		06/15/2003
Design Dev Document Approval	08/15/2003		08/15/2003
Construction Document Approval	12/15/2003		
Bidding			
Bid Opening	03/14/2004		
Construction			
Construction Start	06/15/2004		
Completion	09/30/2004		

Pomerene Hall Hot Water Heating System Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 8, 2003

SOUTH DORMS AREA SEWER SEPARATION/DRAINAGE IMPROVEMENTS

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Office of Business and Finance

How does this project advance the Academic Plan?

The south dorms area has recurring flooding problems which have caused thousands in flood damage and great inconvenience to the residents. Also, most of the building roof drains and floor drains are still connected to the sanitary sewer. The project is intended to improve the overall drainage in the area and create an enjoyable living environment for the residents.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Campus surface improvements

Preliminary Cost Estimate:

\$625,000

Proposed Funding Source:

Future University Bond Proceeds

Outstanding Funding Issues:

None

Timing Issues:

Design Services Completion - March, 2005

Construction Completion - December, 2005

“Ripple effects” of the project:

None

Special limitations/risks:

None

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



South Dorms Area Sewer Separation/Drainage Improvements

5062-PF6778

Requesting Agency(s): BUSINESS & FINANCE, OFFICE OF

Location(s): Unidentified Utility-Col.

Gross Sq. Ft.0 Age:

Description: Separate all storm drains, roof drains and foundation drains from the sanitary sewer. Construct a storm drain conveyance system that handles up to a five-year storm event. Construct a detention/retention facility or flood rerouting system that ensures no building flooding will occur up to a one-hundred year flood event.

Project Team:

Facility Planner: Is Unassigned
 Project Captain: Ramesh Bahl
 (bahl.12@osu.edu)
 Project Assistant: Is Unassigned
 Field Coordinator: Is Unassigned

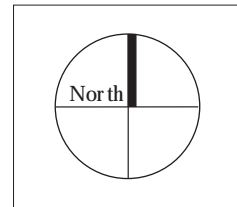
Project Information:

Bond-Funded Capital Request
 Infrastructure 2003-2008

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Future Univ. Bond Proceeds	\$625,000.00	\$625,000.00	Total:			
Total:	\$625,000.00	\$625,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	12/05/2003		
Arch/Engr Advertisement	02/01/2004		
Bidding Approved B/T	04/01/2005		
Design			
Arch/Engr Contract	08/01/2004		
Schematic Design Approval	10/01/2004		
Design Dev Document Approval	12/01/2004		
Construction Document Approval	03/01/2005		
Bidding			
Bid Opening	04/15/2005		
Construction			
Award of Contracts	07/15/2005		
Construction Start	12/31/2005		
Completion	12/31/2005		

South Dorm Area Sewer Separation / Drainage Improvements



Office of Business and Finance
Office of Facilities Planning and Development

November 20, 2003

University Hall Renovation

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Office of Academic Affairs (Federation of Arts & Sciences)

How does this project advance the Academic Plan?

This project for the College of Arts and Sciences Federation Consolidation will co-locate 5 college deans and 1 executive dean of the Federation into University Hall. The consolidation is an effort to build a world-class faculty as predicated by the Academic Plan.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

The renovation of University Hall for the consolidation of the College of Arts and Sciences will involve approximately 15,000 GSF of University hall and approximately 15,000 GSF of another satellite location which is to be determined. The space will be used to house 5 college deans and 1 executive dean, and has the potential of releasing some of the space the deans will vacate.

Preliminary Cost Estimate:

The overall cost will be determined by an initial space planning, programming and estimating study and is expected to be between \$1,000,000 and \$3,000,000. The cost of the study is \$12,000.

Proposed Funding Source:

College of Arts & Sciences has agreed to pay for the initial study.

Outstanding Funding Issues:

Other sources of funding will be determined upon study completion.

Timing Issues:

*Planning Study Start – November 2003
Construction Completion – October 2004. This is the timeline requested by the user. Depending upon the results of the initial planning study, this very aggressive timeline may be unrealistic.*

“Ripple effects” of the project:

Space may be released in Mershon Auditorium and Stillman Hall. Space may need to be identified for the possible relocation of classrooms and offices.

Special limitations/risks:

None

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): ACADEMIC AFFAIRS, OFFICE OF

Location(s): UNIVERSITY HALL

Gross Sq. Ft. 77,935 Age: 1976

Description: Renovation of approximately 10,300 GSF of the first floor of University Hall for the consolidation of the College of Arts and Sciences. The renovated space will be used to house 5 college deans and 1 executive dean, and has the potential of releasing some of the space the deans will vacate in other buildings.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Christine Nocar
(lawson.132@osu.edu)

Project Assistant: Leeanne Chandler
(chandler.63@osu.edu)

Field Coordinator: Is Unassigned

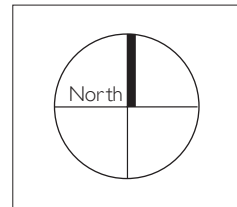
Project Information:

An initial study will be performed to determine space and funding options for the renovation and departmental consolidation.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Exec Dean	\$3,000,000.00	\$3,000,000.00	Total:			
Arts & Sci						
Total:	\$3,000,000.00	\$3,000,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	12/05/2003		
Construction			
Completion	10/31/2004		

University Hall Renovation



Office of Business and Finance
Office of Facilities Planning and Development

November 8, 2003



Requesting Agency(s): PHYSICAL FACILITIES

Location(s): Unidentified Bridge-Col.

Gross Sq. Ft.0 Age:

Description: Replace the eastern elevated walkways off Lincoln and Morrill Towers.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Bo Zhang
(zhang.403@osu.edu)

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

Field Coordinator: Colin McBride
(mcbride.62@osu.edu)

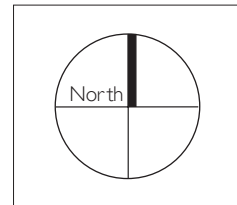
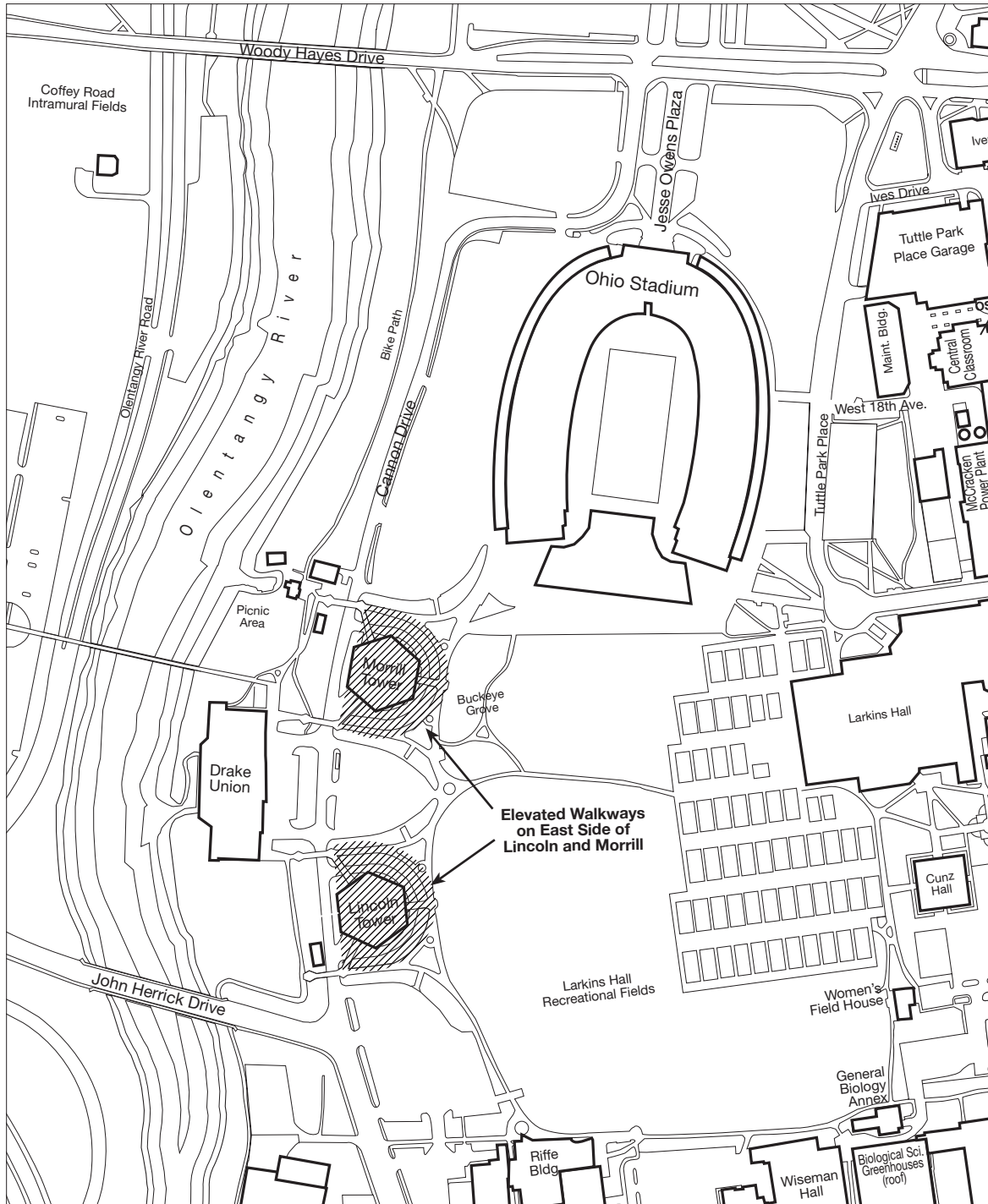
Project Information:

Project will lower the tower entrance by 1/2 level, making ADA accessibility easier.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB790 Columbus Basic	\$195,000.00	\$195,000.00	Total:			
Renovation						
Future Capital	\$540,000.00	\$0.00				
Appropriations						
HB748 Columbus ADA	\$60,000.00	\$60,000.00				
Modifications						
HB675 Columbus Basic	\$0.00	\$600,000.00				
Renovation						
Total:	\$795,000.00	\$855,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$600,000 Project)	10/04/2002		10/04/2002
Bidding Approved B/T	08/01/2003	12/05/2003	
Design			
Schematic Design Approval	04/15/2003		05/02/2003
Arch/Engr Contract	12/16/2002	03/28/2003	08/01/2003
Construction Document Approval	08/01/2003	11/15/2003	
Design Dev Document Approval	06/01/2003	12/31/2003	
Bidding			
Bid Opening	09/15/2003	01/15/2004	
Construction			
Construction Start	03/12/2004	04/30/2004	
Completion	06/15/2004	08/04/2004	

Lincoln and Morrill Tower Walkways



Office of Business and Finance
Office of Facilities Planning and Development

September 24, 2002



Requesting Agency(s): PHYSICAL FACILITIES

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: This project will provide an irrigation system and walk replacement, turf restoration, and lighting for the central green space of the Oval. This is the first phase of a four-phase project. Future phases will be implemented as funding is identified.

Project Team:

Facility Planner: Anne Pensyl
(pensyl.3@osu.edu)
Project Captain: Gary Collier
(collier.26@osu.edu)
Project Assistant: Curtiss Ashley
(ashley.6@osu.edu)
Field Coordinator: Is Unassigned

Project Information:

Formerly broken out into two separate projects (Oval Restoration 2001 and 2002). These projects have been combined and will be bid together.

Board of Trustees approved this project for design for \$2 million in November, 2000 and approved the merged project for design for \$500,000 also in November, 2000.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Business & Admin	\$520,000.00	\$1,500,000.00	Total:			
HB640 Basic Renovations	\$1,500,000.00	\$1,500,000.00				
Total:	\$2,020,000.00	\$3,000,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$2mio and \$500k projects)	11/03/2000		11/03/2000
Bidding Approved B/T (\$2,000,000 Project)	11/03/2000		11/03/2000
Design			
Arch/Engr Contract	12/01/2000	05/15/2002	05/22/2002
Schematic Design Approval	03/01/2001	07/01/2002	07/01/2002
Design Dev Document Approval	06/01/2001	09/01/2002	01/23/2003
Construction Document Approval	09/01/2001	12/01/2002	12/19/2003
Bidding			
Bid Opening	01/01/2002	01/16/2004	
Construction			
Award of Contracts	03/01/2002	04/16/2004	
Construction Start	06/15/2002	05/01/2004	
Completion	12/01/2002	09/15/2004	

SALE OF REAL PROPERTY

225 NORTH 21ST STREET
NEWARK, OHIO

Location and Description

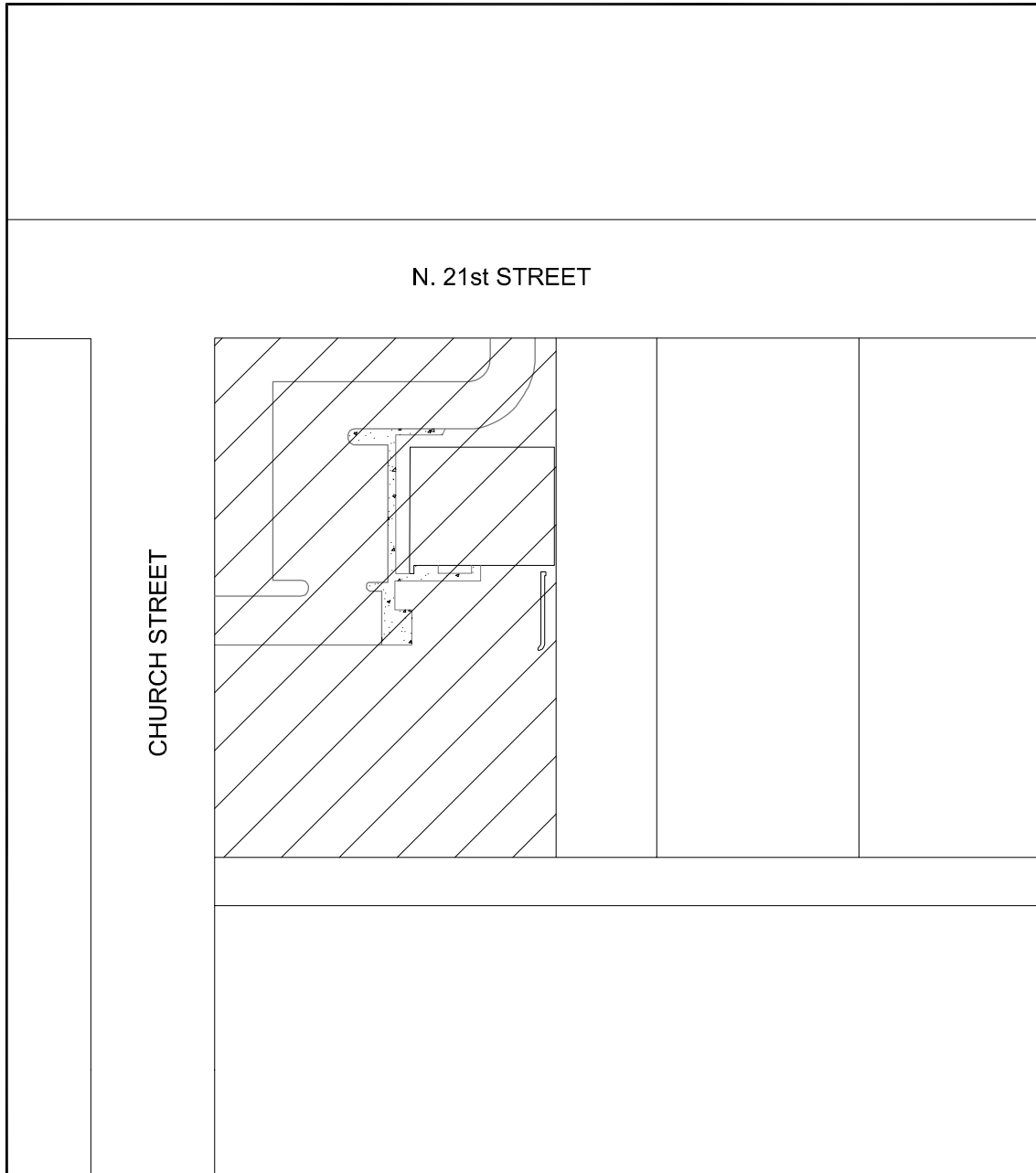
The property consists of approximately 1.2 acres located at 225 North 21st Street on the southwest corner of the intersection of Church Street in Newark, Ohio. The property contains a one-story, brick medical office building of approximately 3,160 square feet. Title to the property is held in the name of the Board of Trustees.

The University purchased the property with Medical Center funds in 1987 (as part of a larger purchase of eight Humana, Inc. facilities) to operate a MedOhio facility. The facility was leased to a family practice physician who relocated to another facility in December 2001.

Appraisal and Purchase Price

An MAI appraisal concluded in December 2001 by Wilhelm & Associates, valued the property at \$280,000 to \$385,000. Family Video Club, Inc. has offered to purchase the property at a price of \$375,000. Closing costs to be paid by the Medical Center are estimated at \$14,000, including a real estate commission to J.R. Finney Real Estate Company.

Proposed Sale of Real Property
225 N. 21st Street
Newark, Ohio



No True Scale

Office of Business and Finance
Board of Trustees Meeting
December 5, 2003



 Proposed Sale
of Real Property

Map Provided by University Engineer's Office

SALE OF REAL PROPERTY

5801 TAMARACK BOULEVARD
COLUMBUS, OHIO 43229

Location and Description

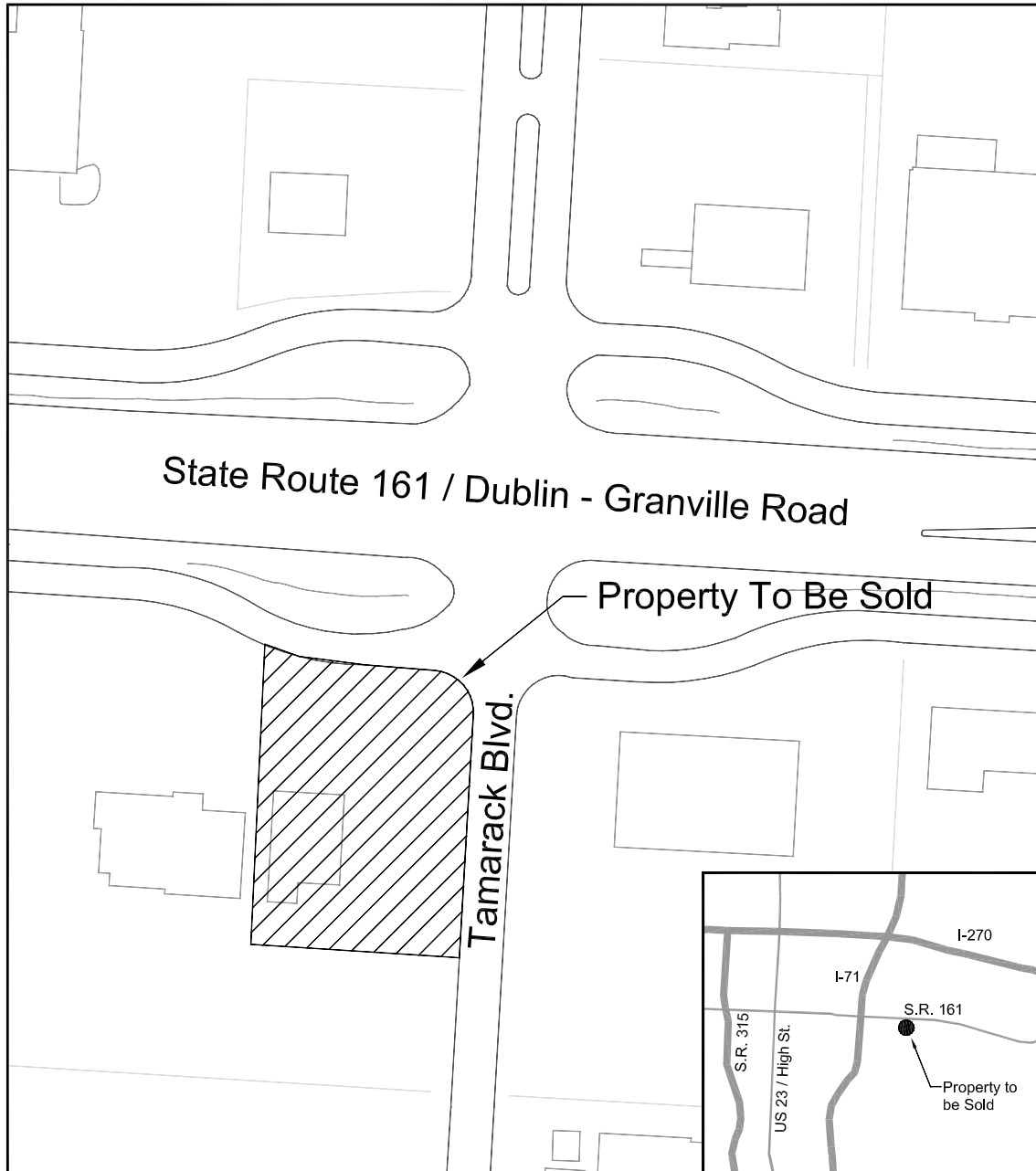
The property consists of approximately 0.66 acres located at 5801 Tamarack Boulevard south of S.R. 161 in Columbus, Ohio. The property contains a one-story, brick medical office building of approximately 3,500 square feet. Title to the property is held in the name of the Board of Trustees.

The University purchased the property with Medical Center funds in 1987 (as part of a larger purchase of eight Humana, Inc. facilities) to operate a MedOhio and Occupational Medicine facility. In December 2001, to better serve their current client base, the University Medical Center relocated the Occupational Medicine facility to University Hospitals East in a leased building at 1440 Hawthorne Avenue.

Appraisal and Purchase Price

An MAI appraisal concluded in December 2001 by Wilhelm & Associates, valued the property at \$400,000 to \$470,000. Central Ohio Urgent Care Holdings, LLC, has offered to purchase the property at a price of \$450,000. Closing costs to be paid by the Medical Center are estimated at \$16,000.00, including a real estate commission to Art Russo Realtors.

Proposed Sale of Real Property 5801 Tamarack Boulevard Columbus, Ohio



No True Scale

Office of Business and Finance
Board of Trustees Meeting
December 5, 2003



Map Provided by University Engineer's Office

 Proposed Sale
of Real Property

PURCHASE OF REAL PROPERTY

1363 HAWTHORNE AVENUE
COLUMBUS, OHIO

Location and Description

The property consists of approximately 0.122 acres located at 1363 Hawthorne Avenue, west of Taylor Avenue and adjacent to University Hospitals East in Columbus, Ohio. The property contains a two-story, frame single-family building of approximately 1,300 square feet. Title to the property is held in the name of Richard and Nettie Williams.

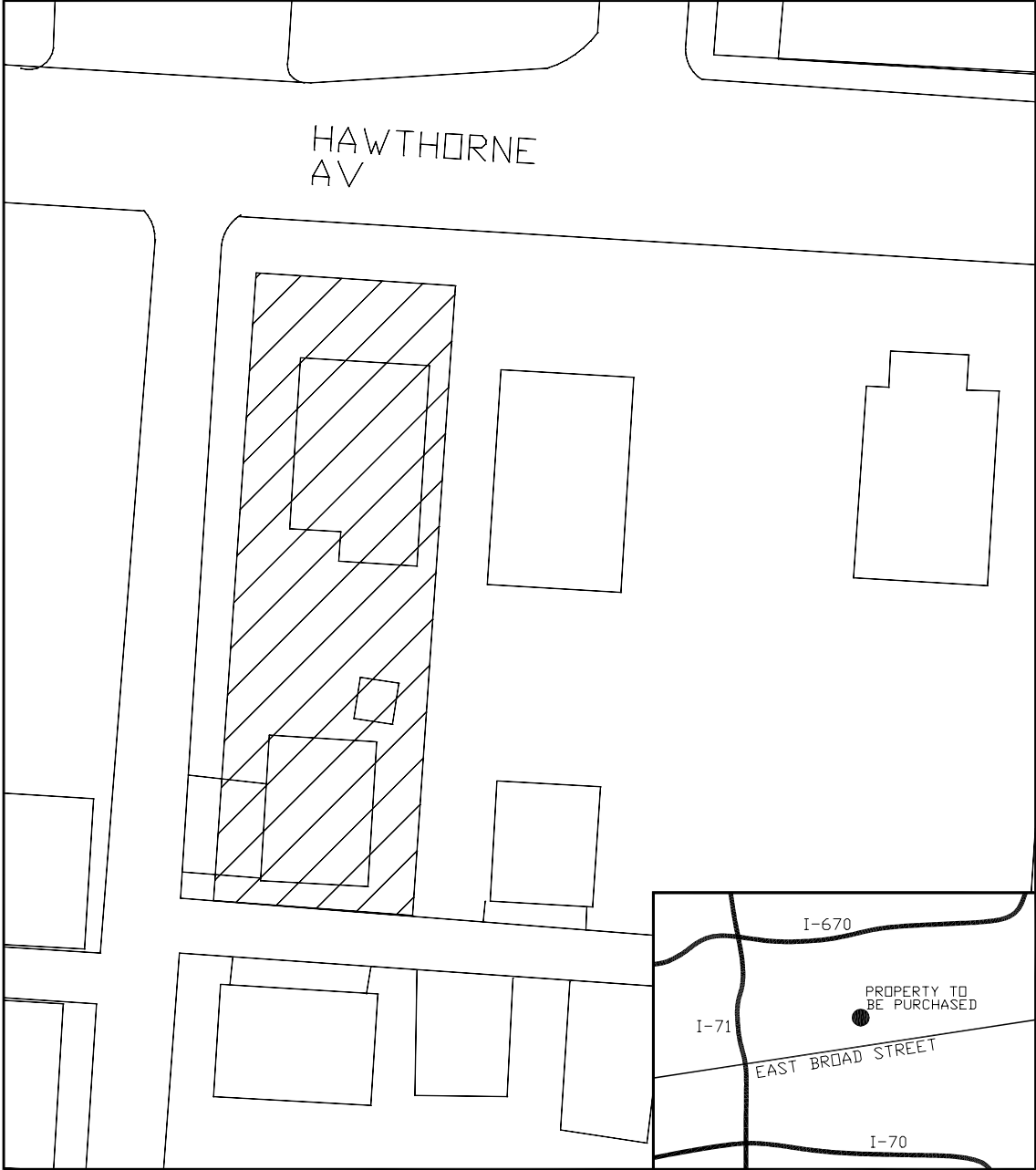
Appraisals and Purchase Price

An appraisal conducted in November 2003 by R. F. Berger and Associates valued the property at \$85,000. The purchase price is \$85,000. All acquisition and operating expenses will be funded by University Hospitals East.

Use of the Property

Title to the property will be taken in the name of the State of Ohio for the use of The Ohio State University. The University Medical Center will provide all funds necessary for acquisition, use, and any improvements to the property, which will be used by University Hospitals East for housing or office use (the latter requiring zoning change).

Proposed Purchase of Real Property
1363 Hawthorne Avenue
Columbus, Ohio



No True Scale

Office of Business and Finance
Board of Trustees Meeting
December 5, 2003



 Proposed Purchase
of Real Property

Map Provided by University Engineer's Office

**THE OHIO STATE UNIVERSITY BOARD OF TRUSTEES
FISCAL AFFAIRS COMMITTEE**

Topic:

Use of University Debt and Internal Lines of Credit for Capital Projects

Context:

Historically, the University has been aggressive in its use of University-backed debt to fund capital projects. This has not been a problem in the past because our debt ratios were very low. However, we have issued a substantial amount of debt over the last ten years and the rating agencies have expressed a concern that we are approaching our capacity at our current credit rating.

Addressing the problem now will allow us to meet current commitments, as well as retain enough capacity to meet a limited number of new commitments consistent with the Academic Plan.

Failure to address this problem could result in a rating downgrade. This will result in higher interest rates on all future projects and cause a public embarrassment to the University.

Recommendation:

1. Formalize existing guidelines in order to protect future debt capacity (Attachment A).
2. Cap the amount of projects to be funded in the next bond issues (FY 2005) at \$400 million.
3. Establish a modified twelve-month freeze on bonding for projects not previously identified and approved by the Board of Trustees in the September 5, 2003 resolution implementing the FY 2005 and FY 2006 Capital Plan. Modifications could be made, but by substitution only and only with the approval of the President's Cabinet.
4. Formalize existing guidelines regarding internal lines of credit (Attachment B).

Implications:

- The effect of this policy on projects already planned or under construction will be minimal.
- The effect on future projects will be to slow down the rate of new construction in order to help preserve the University's good credit rating.

Requested of Board of Trustees:

Approval of policy recommendations.

PRINCIPLES REGARDING USE OF UNIVERSITY DEBT

The University recognizes issuance of University backed debt as an important resource to employ in support of the institution's academic goals. However, in order to best accomplish these academic goals in a way that makes best use of this limited resource, the following polices are proposed:

1. Access to University issued debt is not an entitlement. Debt will be granted only to those projects consistent with the Academic Plan and approved through the University's capital process.
2. No debt should be issued without prior recommendation by the Provost, Senior Vice President for Business and Finance, and Treasurer to the President and approval by the Board of Trustees.
3. The University seeks to maintain a credit rating of at least AA.
4. The University should seek to limit debt service payments to no more than 5% of annual operating expenditures.
5. The University should utilize variable rate debt consistent with market conditions (currently 44%).
6. No debt repayment period shall exceed the useful life of the asset being financed. In most cases, this means a maximum of 20 years for construction and 10-15 years for renovation.
7. Capitalization of interest is not permitted under any circumstances.
8. All debt issued on behalf of a project sponsored by a unit shall include an approved business plan as part of a signed MOU that specifies how the debt service will be paid.
9. The benefiting unit shall be responsible for the repayment of debt and associated costs.
10. Bonds are not to be used for separate projects under \$1 million.
11. Depending on the nature of the project, a cash down payment utilizing a unit's cash reserves may be required.
12. No project is to proceed to construction that is funded from bonds unless agreed upon minimum amounts of written pledges and/or cash receipts have been received in accordance with guidelines developed by the University.

PRINCIPLES REGARDING USE OF INTERNAL LINES OF CREDIT

1. Internal lines of credit may be granted to units for strategic purposes consistent with the Academic Plan.
2. Requests for a University internal line of credit should be submitted to the Office of the Senior Vice President for Business and Finance by the appropriate Dean or Vice President.
3. All agreements must be in writing.
4. Recipients must identify source of repayment in advance and a business plan may be required when appropriate.
5. Principal is expected to be paid down in substantially equal annual installments. Balloon payments are not permitted.
6. The repayment period is normally no more than five years in annual installments, although exceptions may be permitted.
7. Interest will be charged at the University's temporary investment rate.
8. If a repayment period of more than five years is approved, the interest rate charged will be 25% more than the University's temporary investment rate.
9. All agreements must be approved by the Senior Vice President for Business and Finance and the respective Vice President or Dean.
10. Agreements for more than ten years, or more than \$10M, are not encouraged except for exceptional circumstances and require prior approval by the Board of Trustees
11. Early repayment is encouraged with no penalty.
12. The University Medical Center also may issue internal lines of credit, but such credit to affiliated entities requires approval of the Senior Vice President for Business and Finance and the Senior Vice President for Health Sciences.
13. Regardless of source, all internal lines of credit to affiliated organizations require Board of Trustees approval.
14. The Senior Vice President for Business and Finance shall report annually to the Board of Trustees on all approved lines of credit and their disposition.
15. These guidelines affect new lines of credit only. Written agreements currently in effect will be honored as written.
16. No project is to proceed to construction that is funded from lines of credit unless agreed upon minimum amounts of written pledges and/or cash receipts have been received in accordance with guidelines developed by the University.

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND FOUR HUNDREDTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, February 6, 2004

The Board of Trustees met at its regular monthly meeting on Friday, February 6, 2004, at The Ohio State University Fisher College of Business, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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February 6, 2004 meeting, Board of Trustees

The Chairman, Mr. Sofia, called the meeting of the Board of Trustees to order on February 6, 2004, at 10:35 a.m. He requested the Secretary to call the roll.

Present: Zuheir Sofia, Chairman, Tami Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Douglas G. Borrer, Walden W. O'Dell, Paula A. Habib, and Emily M. Quick.

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CHAIRMAN'S REPORT

Mr. Sofia:

Good morning and welcome, everyone. In my brief comments today, I wish to focus my remarks on fiscal matters of the University, as well as some special comments about two of our distinguished deans who are planning to leave the University.

This morning at the Investments Committee we received two very wonderful reports. Vice President for University Development Jim Schroeder presented a report detailing giving to the University for 2003, and University Treasurer Jim Nichols reported on the performance of the University endowment. Both of these reports give us reasons to feel positive about the University in a very substantial way. In a year of uncertain economy, gifts to the University actually increased slightly, by one percent, over the previous year with a total amount of \$81,169,601. This giving is a remarkable testament to the loyal commitment of our alumni and friends of the University.

I would like to acknowledge as well the steadfast commitment of the faculty and staff of this great University. Since the first annual Campus Campaign of 1985, the faculty and staff of the University have contributed over \$98 million to the University. This generosity speaks volumes about the belief of our own campus family in this great University. Let me join our president in commending the University for its remarkable contribution to this year's Community Charitable Drive; the University exceeded its goal of \$850,000 by raising a total of \$1,000,500. This is truly a caring, giving, and committed community.

Today, Mr. Nichols reported that the University's endowment currently stands at a little over \$1.1 billion. *The Chronicle of Higher Education* reported in its January 23 edition on University endowments for the year ending June 2003 that The Ohio State University ranked 30th nationally out of the 717 endowments listed in that report. More importantly, the total OSU endowment return was 30 percent for the last 12 months. We know that the endowment funds are critical in making the kinds of qualitative differences in all of our programs.

On the other hand, we have many challenges. The status of state funding to the University, the key driver of our ability to operate the University, is uncertain and is not likely to fade away like a passing shower. To deal with such a budget crunch, we have taken many steps to tighten our operations. We are making many tough choices on a frequent basis.

In every area of the University, from our core academic and support units to athletics, from the Medical Center to our outreach and engagement efforts, we must ensure that we are following and benchmarking the best practices. We are rethinking in broader terms about the way we do business. We must be prepared to do more with less by being vigilant in the management of our scarce resources.

CHAIRMAN'S REPORT (contd)

Mr. Sofia: (contd)

Finally, let me note that one of the joys of serving on this Board of Trustees is the opportunity to interact with some of the foremost academic leaders in this country. I have been fortunate to get to know Michael Hogan, executive dean of the Colleges of the Arts and Sciences; and Glen Hoffsis, dean of the College of Veterinary Medicine and executive dean of Health Sciences. As you all know, Mike will be leaving to become the provost of the University of Iowa, and Glen is leaving to become director of Veterinary Services at The Iams Company in Dayton, Ohio.

Iowa has found in Mike Hogan an exceptional scholar of powerful intellect and accomplishment and a superb academic leader of high integrity. First as chair of the Department of History, then as dean of the College of Humanities, and most recently as executive dean of the Colleges of the Arts and Sciences, Mike has worked with uncommon vision and energy to help Ohio State University take its place among the premier institutions of higher education in the country. We are sorry to see Mike leave, of course, but we wish him well in this splendid opportunity before him back home in Iowa.

I want to assure the University community that the Board of Trustees remains committed to the Federation of the Colleges of the Arts and Sciences. We recognize that the disciplines in the Arts and Sciences constitute the core of the University and that many of the departments and centers in these colleges are among the most highly-ranked programs in the country. We are mindful that we must sustain the excellence we have worked so hard to establish, and we shall continue our commitment to strengthen and further the Arts and Sciences in the months and years ahead.

Another bright spot in our academic programs is the highly-ranked College of Veterinary Medicine. Glen Hoffsis has been instrumental in moving the College into its position as one of the best colleges in our nation. He has provided leadership as dean since 1993. He has overseen an extensive building program, restructured units, and garnered funding for endowed chairs and professorships. In addition to the leadership he has provided as dean of the College, Glen has served as executive dean of the Health Sciences of the University. He has been an exemplary University citizen and leader for his 34-year career here at The Ohio State University.

We wish both Mike and Glen every success in their new endeavors.

Before I turn to the president, any comments or questions?

Judge Duncan:

Chairman, I think that I would be remiss if I did not state my personal thoughts about Glen Hoffsis and Mike Hogan. These are two extraordinary leaders and this University, in my view, has had remarkable success in the last 10 years and I think both these gentlemen in leadership roles have been heavy contributors to that success.

I would just like the record to express my congratulations, thanks, and high regards to both Glen and Mike. We will miss them, and this University is much better because they were here.

CHAIRMAN'S REPORT (contd)

President Karen A. Holbrook:

I also would be remiss if I did not echo what Chairman Sofia and Judge Duncan said on behalf of the administration. We feel precisely the same way. The loss of Mike Hogan and Glen Hoffsis is a very great one to this institution, but at the same time, while we lament their leaving, we also celebrate the fact that they were in an environment where both of them were extraordinary.

Whenever our good people go on to wonderful places and new jobs it says a lot for the institution, as well as for the people themselves. Both of them, as has been said twice now, are extraordinary citizens of this campus and they will be missed. We know they will do wonderful things where they are going and, again, reflect back on our University.

I also want to echo what you said, Mr. Chairman, in that the provost and I and other members of this administration are strongly committed to the Federation of the Colleges of the Arts and Sciences and will be moving forward to make certain that the Federation becomes stronger and even more important than it has. It has only had a very short beginning, but it is making progress and we look forward to seeing where it goes in the future. We are strongly behind that commitment to the Arts and Sciences overall. Thank you.

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PRESIDENT'S REPORT

President Karen A. Holbrook:

Last weekend I attended the Business-Higher Education Forum, which is an organization, whose members include 36 university presidents, 38 national CEOs, and six presidents of national foundations or higher education organizations. The group meets twice a year to discuss topics of interest to both business and higher education, and this meeting focused on three topics: America's role in the world, ethics, and creativity. Each topic stimulated thoughtful and provocative discussion relevant to our role in preparing students to think globally, ethically, and innovatively, while they are here as students and as they begin their careers.

These topics also provide a connection with a theme of the Undergraduate Student Government President Mike Goodman's State of the University speech, delivered Tuesday evening. Mr. Goodman offered the opinion that a focus on undergraduate education is missing and that the balance between teaching and research is skewed.

We are fortunate to have student leaders who care deeply about their University, their fellow students, and about the nature and quality of the educational experience at Ohio State. They portray the University world around them in a way that must be taken seriously, as we are, after all, here for our students – those who are on campus, off campus, online, or connected with the institution in a variety of capacities.

It has always been clear that our students care about the nature and the quality of their classroom experiences and the commitment of faculty to undergraduate teaching and learning. They know that one-sided technology instruction does not replace direct interpersonal interactions between students and faculty and the deficiencies in new academic structures should not compromise the quality of the undergraduate experience.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

We all share these concerns, and I believe this institution works diligently to ensure the undergraduate program is one that we are proud of and with confidence can invite new students to join. Our students deserve a quality education. Undergraduate education is an essential part of our core mission and a commitment we continuously reaffirm as we adhere to and refresh the Academic Plan.

We believe that a research university provides an environment for an undergraduate education that is unparalleled because it extends beyond the classroom and even the University to provide experiences that introduce students to their futures. Outside of the classroom experiences are part of the educational experience. Just how important are they? Let me illustrate it with an example from the first of the topics discussed at the Business-Higher Education Forum. Ambassador Thomas R. Pickering, former ambassador to numerous countries and to the United Nations and Undersecretary of State, among many other distinguished titles, and Dr. James M. Lindsay of the Council on Foreign Relations identified three current trends today in the world: 1) Globalization, described as the "death of distance," as the factor that makes American power vulnerable and at the same time enhances American prosperity; 2) American primacy – America being alone as the super power, but it is not omnipotent; and 3) the likelihood that China will rise as our competitor. Disengagement is not an option and global issues cannot be solved solely with American input.

The message for higher education was that we must prepare American students to work in a global world, to drive innovation, to share and perhaps to infuse the American value of openness. We must work to restore the exchange of students between the United States and countries of the world and view it as a national liability for our citizens to remain monolingual and naive of world issues and cultures.

Ohio State ranks 18th in the nation among U.S. college students in sending our students abroad and 10th in receiving international students on our campus. The opportunities for study abroad are largely for undergraduate students, but this is only one example of the vast educational opportunity at Ohio State.

Educational experiences for undergraduates abound. We maintain more than 170 different majors to accommodate a range of interests, co-curricular activities, and service learning to enhance classroom experience with out-of-the-classroom activities. Every style of teaching can be experienced. Students learn in many different ways, including instruction within many of the living and learning environments. Students also learn from doing and from each other. Internships, off site facilities, and programs in Washington, D.C., are all learning venues.

Students have access to the best libraries, to faculty who are among the world experts on a multitude of subjects, to top equipment in studios, and visiting lecturers and performers. Our faculty make their time and these facilities available to undergraduate students and they guide them in learning in these different environments. Classroom experiences are an important experience, but out-of-the-classroom opportunities clearly augment the educational experience. Students must take the opportunity and the initiative to seek them out.

Ethics was the second topic of the forum. Ethical activities and issues are consistently in the press. The abuse of business ethics has been most widely reported, but fraudulent activities in government are also frequently in the news. Even the NIH has recently been under investigation about potential conflicts of

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

interest with pharmaceutical companies related to stock options and consultant fees, causing review of all of their ethical policies. The Academy is not spared.

There have been examples in our own state of falsified travel vouchers, the acceptance of gifts, embezzlement, and fraud. At the student level, we know there is cheating, software pirating, downloading of music, and internet scams. As you all know, we have been wrestling with an ethical decision related to the acceptance of funds from tobacco companies. The absence of ethical behavior is a systemic problem that pervades all institutions. Tolerance of unethical behavior has grown and rationalization for gaming or cheating the system is offered to justify the conduct.

We must hold discussions about how we sustain a highly ethical environment. We need to assure that ethical behavior flows through all of the academic experience. Students have the opportunity in an academic environment to examine the hypothetical and also to observe the actual behavior of the institution and of people in situations that require ethical judgment and decisions. These situations occur in the classroom and through personal discussion with individuals who experience ethical dilemmas in their lives and professions. Ethics is a very important aspect of our campus environment.

But let us return to Mike's speech. I listened and I take seriously what he said. It is important to understand that if there is and what is a disconnect between what my administrative colleagues and I believe, what our faculty and staff strive to achieve, and what the students perceive about their educational experiences.

Harvard Professor Richard Light underscores the importance of listening to students and I quote, "Nearly all students have suggestions for improving both academic and non-academic aspects of college. They constantly question what they do, how to do it better, what they are getting and giving in this demanding community." One of the three leadership goals defined last summer is "to provide and develop distinctive educational experiences and opportunities for our undergraduate students."

I welcome the opportunity to engage in discussions with our undergraduate students to understand better the needs of today's students or to better understand today's student, and then to design programs and situations that respond not to what we think students want, but to what truly delivers value to them.

This suggestion, then, engages the final topic we discussed at the Business-Higher Education Forum – creativity, introduced by the president of Hallmark, a business where creative renewal is the foundation for success. Creativity enhances productivity, allows people to move from the ordinary to the extraordinary, and is the partner of discipline innovation and thus is just as essential in higher education as it is in business.

Organizations need to find ways to stimulate the creative process and to establish environments where people can express their creative ideas, environments in which the most important color is gray, because gray equates to an environment of ambiguity that allows one to work without a prescription for outcome that permits unfiltered thinking. We encourage the development of creative skills by exposing students to situations where they are not entirely comfortable, where they are encouraged to think differently and beyond their past experiences and their functional silos. Creativity belongs in student programs. It is the essence of research and it provides a way of thinking for life.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

We work to provide experiences where students can express their creativity – research is one of them. We can identify other experiences as part of our shared goal to provide and develop distinctive educational experiences and opportunities for our undergraduate students. I believe the University and students are aligned in our goals to provide a broad range of meaningful experiences in delivering a contemporary undergraduate education.

Nothing we do is more important nor impacts the future of this state and the nation in a more profound way. Undergraduate education is a very high priority for Ohio State and one that I believe is enhanced in quality because of the presence of all three missions: teaching, research, service, and the outreach that accompanies all of them. This is the heritage of our land-grant research University, but improvement is always important to seek as we strive to achieve even loftier goals on behalf of our students. Thank you.

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STUDENT RECOGNITION AWARD

Ms. Quick:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of the student's achievement in his or her area of study, service to the University and/or community, and/or research achievements that have been a credit to the college and the University.

This month's recipient is Susan Borchers, who was nominated by Dean Evans in the College of Education. Susan has been very involved since she began her studies at OSU three years ago. Currently, she is an honors student enrolled in a new undergraduate program. This program focuses on a physical education licensure track through sport and leisure studies.

Susan is the president and a founding member of the Physical Education Club and a Physical Activity and Educational Services ambassador. She volunteers in local schools and is an active member in the OSU chapter of Delta Delta Delta sorority.

Today, Susan is accompanied by Donna Evans, dean of the College of Education; Dr. Michael Sherman, director of the School of Physical Activity and Educational Services; her advisor, Todd Chiscano; and faculty, friends, and family members who have been a positive support for her over the years.

Susan continues to be dedicated to The Ohio State University and committed to excellence in both her studies and community service. I am pleased to present this award on behalf of the Board of Trustees to a truly outstanding student. Congratulations, Susan, on receiving this award.

Ms. Susan E. Borchers:

Thank you, Emily, for those kind comments. I would also like to thank Dr. Donna Evans for nominating me for this award and the Board of Trustees for this great honor. I would like to take the next few minutes to tell you a little bit about my background, about some of the opportunities I have been given as a student here, and why I have come to love Ohio State.

STUDENT RECOGNITION AWARD (contd)

Ms. Borchers: (contd)

Some people from my hometown were surprised three years ago when I applied for admission to Ohio State. I was one of 28 students in my high school graduating class and the only one who chose to attend The Ohio State University. I was a student at Russia High School, in Russia, Ohio, one of the smallest public schools in the state.

Some people thought Ohio State would be too large for me and too different from what I was accustomed to as I was growing up. I saw Ohio State differently from those individuals. One of the things that attracted me to Ohio State was the opportunities I imagined it would provide. I am here today to tell you that I was not mistaken.

Ohio State has given me many opportunities to become involved with other students. As an incoming freshman, I volunteered for the Ohio State Welcome Leader Program, where I was able to help other freshman as they moved into the dorms. It was a great opportunity to meet a lot of interesting people. Also as a freshman, I was selected to play on an all-star intramural flag football team to represent Park Hall – and we eventually became campus champions. I was also active on the club softball team and was proud to be able to compete for Ohio State at the National Club Softball Tournament.

Through the College of Education's First Educational Experience Program – FEEP -- I was able to observe veteran teachers and coaches at Jones Middle School in Upper Arlington. It was a valuable learning experience. I was later hired by Jones Middle School as their intramural supervisor, basketball scoreboard keeper, ski club chaperone, and soon-to-be 7th grade softball coach. It was because of Ohio State that I was given such a wonderful opportunity.

Along with my sorority sisters, the Tri-Delts, I have helped raise money for cancer research at St. Jude Children's Research Hospital by hosting a University soccer tournament, and for Lifewater International so water wells can be drilled in other countries where there is no safe drinking water.

I was chosen to carry the College of Education banner at Spring Commencement last year where I was able to hear Christopher Reeve speak. On campus, I have had the benefit of hearing many other inspirational speakers such as Archie Griffin, Katie Smith, Phyllis Bailey, and former President Bush.

There is one organization on campus I have become involved with that has a special place in my heart -- the Physical Education Club. Thanks to the dedicated work of Drs. Kevin Lorson and Kim Bush, the Physical Education Club was established on September 30, 2003. As the first president of this club, I have had the opportunity to work closely with faculty members, fellow students, and members of the community in helping to lay the foundation for a group which, I believe, will be a real asset to the University for years to come.

To me, it seems I have always had a desire to teach and coach. I feel my experience at Ohio State is not preparing me for a job as much as it is preparing me to fulfill a dream I have had for a long time. There is a song called, "The Greatest Love of All," by Whitney Houston that I listen to frequently. I feel it sums up very well some of my hopes for the future. The lyrics state:

"I believe the children are our future, teach them well and let them guide the way. Show them all the beauty they possess inside, give them a sense of pride to make it easier. Let the children's laughter remind us how we used to be."

STUDENT RECOGNITION AWARD (contd)

Ms. Borchers: (contd)

To me, those verses say a lot about the importance of education! It also later states in the song:

“I decided long ago, never walk in anyone’s shadows. If I fail, if I succeed, at least I live as I believe.”

Those lyrics remind me of how I want to live my life.

I have been blessed to have administrators and professors who care about their students. Your presence here today means more to me than you can imagine! Whether it has been inside the classroom or out, you have challenged me and helped me become who I am today. Thank you.

Coming from a family of seven I have always had a lot of support in whatever I do. Thank you, Mom and Dad, for your guidance and for always believing in me. Without you I would be lost in this world. And Lynn, thanks for always being there for me when school has me stressed to the max. You are an older sister who I will always look up to. And to my other brothers and sister who could not make it, thanks for all their help, too. Most importantly, I want to give thanks to God for the life he has given me.

Ohio State is the kind of school where a student can e-mail the University president and not be surprised when a reply e-mail is received. By the way, President Holbrook, “your people” and “my people” are very close to working out the details of that lunch I suggested we might have a long time ago!

I love The Ohio State University. To be recognized today by the University and the College of Education is a true honor for me and I appreciate it. Thank you very much! God bless and Go Bucks!

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SELECTIVE INVESTMENTS PRESENTATION

Interim Provost Barbara R. Snyder:

As you all know, in our Selective Investment Program we provided central funding -- \$500,000 each -- to 12 of our best departments chosen through a competitive process. We ask them to tell you about how they have used the resources that we invested in them. You heard last year from several departments. Today we have from the Department of Neuroscience Dr. Michael Beattie and from the Department of Psychology Dr. Gifford Weary to tell you about what is going on in their departments.

Dr. Michael S. Beattie: [PowerPoint Presentation]

It is a pleasure for me to be here today and have the opportunity to tell you a little bit about neuroscience and how this wonderful Selective Investment Program has affected it. We received this award in 1999 before the Department of Neuroscience was even put together. Neuroscience is in a very exciting stage in its young career across the country, at Ohio State, and across the world. We are very excited about some of the things that are going on here and I will try to just give you a few of the highlights.

Neuroscience is a broad discipline that uses all the tools of modern biology and chemistry and other disciplines to study the nervous system and behavior. Our

SELECTIVE INVESTMENTS PRESENTATION (contd)

Dr. Beattie: (contd)

proposal to the Selective Investment group involved the application of neurobiology to imaging and imaging to neuroscience. So I am going to show a few images that represent some of the things that we do.

Imaging and neuroscience is used in a number of different contexts. For example, in this case we see a growth cone from a developing organism. This is an axon growing out to find its target somewhere. What we are looking at are individual molecules that fluoresce in different colors and we are able to see the dynamic process of growth.

Imaging and neuroscience is used from that kind of a context all the way up into looking at cognitive functions in the human brain. For example, the next slide shows functional magnetic resonance – imaging being used to study the activity of the living human brain in three dimensions during memory tasks and rhyming tasks. This is in normal subjects you can see them with and other subjects that have neurological disorders. So these kinds of imaging technologies can be used not only to study disease processes, but also the basic cognitive structure of the human mind.

The Society for Neuroscience was founded in 1969, a conglomeration of many different disciplines that had less than 1,000 members. This is an exploding field. This slide shows the progress in the membership of the Society for Neuroscience from 1991 to 2002. There are now over 32,000 members of this society and it is continuing to grow. I think we are having another surge of growth. Ohio State, of course, wants to be a part of that growth.

So I think the Selective Investment Program has aided the growth in neuroscience in many ways, particularly in research, graduate education, faculty development, the formation of some new faculty groups, and outreach, where we try to explain some of the mysteries of the mind to the Central Ohio community during Brain Awareness Week.

This slide shows a brief timeline of some of the major events that have occurred in the development of neuroscience at Ohio State. In 1986, we received an Academic Challenge Award, which was an award to try to build this program and our graduate program as an interdisciplinary unit. By 1990 there was a neuroscience graduate studies program officially approved by the Board of Regents and that has been the web of neuroscience across this campus for the past 12 years. This is an interdisciplinary degree that grants a Ph.D. degree in neuroscience.

In 1999 we received the Selective Investment Award to the neuroscience program for neuroimaging and other aspects of neuroscience. At just about the time the award was given, the reorganization of the basic science departments in the College of Medicine and Public Health resulted in the formation of the Department of Neuroscience, which began with 10 faculty.

We started hiring initially with some funds from the Molecular Life Sciences initiative, which was very helpful for building the molecular and cellular aspects of the program. Also, in 2000 we were blessed with resources from The William E. Hunt, M.D. and Charlotte M. Curtis Neuroscience Endowment Fund. That endowment is being used to encourage the development of an institute and to help the department and the interdisciplinary efforts in neuroscience.

In 2001 we started spending the Selective Investment money. The first hire that we made was Michael Knopp, an imaging expert from the National Cancer

SELECTIVE INVESTMENTS PRESENTATION (contd)

Dr. Beattie: (contd)

Institute, in conjunction with the Department of Radiology. In 2002 we used a portion of the Hunt-Curtis Endowment Fund to put together a translational neuroscience symposium, attended by people from all over the country, which emphasized the ability to go from basic neuroscience to translational research in biomedicine. In 2003 Dr. Knopp received an imaging grant and we hired additional people with the Selective Investment funds. Our grants to the Department of Neuroscience have increased. The Department of Neuroscience faculty has now reached 17 and growing, and the Neuroscience Studies Graduate Program faculty are now at 60. So we are in a growth phase.

I am just going to give you a few of these bullets here from press releases that have come out from the program about things that are going on in the last few years. First, this is the Selective Investment Program hire and, as you can see here in blue, this is a partnership between the Departments of Neuroscience and Radiology resulting from the 1999 Office of Academic Affairs Neuroscience Selective Investment Award.

Here is the logo from the Hunt-Curtis Symposium on Translational Neuroscience, which happened very soon after Dr. Knopp came. The neuron shown here is another example of imaging technology. It is the gene from a jellyfish that fluoresces green has been transfected into this saline culture and we are able to look at it in its living state, and watch the dynamic processes occur. Again, this is another example of genetic engineering and imaging neuroscience.

We like to think about return on investment in the program. Less than two years after Dr. Knopp came he received, with the help of a lot of other people, a large award of \$17 million for imaging research that includes neuroimaging. This award was from the Biomedical Research and Technology Transfer Commission and from the Wright Center of Excellence state funding. So, this is a huge plus forward for imaging and neuroscience.

There is a lot of spinal cord injury and regeneration research that goes on in the context of neuroscience, and this is also going to be involved in the neuroimaging technologies. When Christopher Reeve was here last summer, he visited Dodd Hall and the investigators who work in the spinal trauma and repair laboratories. So Ohio State has a presence in regeneration of spinal cord injuries. Many of these people have been on the Christopher Reeve Paralysis Foundation Board and reviewed its grants.

This past fall we hired three new people. These are junior faculty positions from Selective Investment Awards from very prestigious programs that do very, very interesting high-level molecular and cellular biology work that has imaging components to it.

Graduate education is something that we are also very concerned about, as well as undergraduate education. In fact starting in the spring, we are beginning a new course in undergraduate neuroscience.

The Carnegie Foundation for the Advancement of Teaching has started a Carnegie Initiative on the Doctorate, where they are studying how the doctoral degree is granted and what the doctoral degree really means in a number of fields, including neuroscience. We are one of eight partner departments that applied for and were accepted to be a part of this Carnegie Initiative on the Doctorate. We will lead with other departments across the country over a period of five years to try to define: What is excellence in graduate education in this field? How can we make our program that much better?

SELECTIVE INVESTMENTS PRESENTATION (contd)

Dr. Beattie: (contd)

This slide shows a new hire and a new department that is related to neuroscience. It is critically important for us to realize that neuroscience goes way beyond the department and the graduate program. It spreads among a number of different units in the University. We are especially hoping to develop clinical neuroscience at Ohio State and this is a big step in that direction. I must say that getting Dr. Chiocca here is partly due to the advancements that we have made through Selective Investment including neuroimaging kinds of centers.

Future growth? We are planning a neurobiology disease institute to bring basic neurobiology into translational research and finally to the bedside. We are going to continue our neuroscience graduate studies program evaluation and advancement with the help of the Carnegie Foundation. And the Department of Neuroscience, of which I am proud to be chair, I hope will continue to grow.

Thank you. I will be happy to answer any questions.

Dr. Gifford Weary: [PowerPoint Presentation]

I am the current chair of the Department of Psychology and glad to be able to talk with you today about the department, the Selective Investment initiative, and how it has impacted our department. It is an easy success story to tell and I am happy to share that with you. By the way, it is a success story that simply would not have been possible had it not been for the investment of the University, the state, and a lot of hard work by a lot of faculty members over the last 10 or 12 years.

As some of you may recall from your undergraduate days, psychology concerns the foundations, antecedents, and consequences of people's perceptions, emotions, and behaviors, particularly as they are influenced by their biological, cognitive, and social factors.

The Selective Investment initiative entailed targeted enhancement intended to strengthen units central to the academic reputation of the University. As we have heard today, those units are contained primarily in the Arts and Sciences. The Arts and Sciences are the core and the reputation of the University is driven by those units. It was also intended to build on current strengths at OSU and to focus on units that had already demonstrated effective use of resources.

I have been asked to say why the Department of Psychology was chosen and probably the selection committee could answer this better than I. But I am going to give you my take on why we were selected as one of the first units to receive these funds.

First and foremost, we are central to the Arts and Sciences. We are the most popular major on campus and we have more students in our courses than any other department. We also were awarded more University fellowships for our graduate students last year than any department. We produce a large number of Ph.D.s and it is hard to find a major university faculty in psychology that does not have at least one of our graduates on their faculty.

We are also an important hub discipline. We have a variety of important connections to all of the social sciences on campus. We play an important and central role in the cognitive science initiative on campus. We have important interactions with the neuroscience groups on campus and we play a key role in a number of the health science initiatives on campus as well. So investing in

SELECTIVE INVESTMENTS PRESENTATION (contd)

Dr. Weary: (contd)

psychology has a ripple effect. It raises the quality and activity of a number of units across campus simultaneously.

I believe we were also selected because we have demonstrated excellence. Probably there are a variety of internal indicators, but the two that were of critical importance to us were two Academic Challenge Awards, awarded in the late 1980s and the early 1990s. In addition, we have been able to secure money for two eminent scholar positions, one of which we are currently recruiting for.

So in addition to those internal indicators, we look for external indicators of quality of our units. Probably the one that is the most widely known and probably the best indicator of quality are the National Research Council ratings. The last time these were obtained was in 1992. At that time, the ratings showed that we were among the top 15 psychology departments in public universities in this country. In terms of the standard score, our faculty quality was 11th nationally – this is the highest of all our OSU departments.

In addition, the *U.S. News and World Report* consistently rates us within the top 25. I might note that this is not a very good indicator for us because, for some reason that we have never quite understood, *U.S. News and World Report* takes some of our units and places them in other departments. We are happy to contribute to the reputation of those departments, but it happens not to be a very good indicator for us. Even with that it is still nice that we are among the top 25.

In addition to our demonstrated excellence, I think it is widely known that the research in psychology contributes important solutions to a variety of societal and economic problems. We have faculty working on issues from drug abuse to gang violence to prejudice and stereotyping to poor physical health and poor mental health.

Our goal has been to achieve top 10 status by 2010 and we have a particular strategy in mind to accomplish that goal. We intend in all our hiring activities to focus on our candidates' teaching and research, particularly as they are focused on our core foundation areas. We also focus on them having an established record of high quality publications and on evidence of consistent grant support. With those three foci in all our hiring activities, we have had a fair amount of success.

What I would like to do now is to share with you some of the data that charts our progress over the last 10-12 years in terms of meeting our goal. First I have plotted here the grant expenditures for the department over the last 10 years. You can see that there has been a steady increase in direct costs, or expenditures, from grants and we anticipate another large increase after this year. This is an increase of over 555 percent over the last 10 years. That is a remarkable increase, particularly as we face tough grant times in terms of available dollars and demand for those dollars.

Another indicator of quality of the department and our progress over the last 10 years is citations to the scholarly scientific work produced by our faculty. As you can see, there has been a steady increase in the average number per faculty member of citations to their scholarly work over the last 10 years and, in fact, there has been an increase of 264 percent. I might note that in 1992, when the NRC ratings were obtained, the average per faculty member in the top 10 public universities was 32.4. So we have nearly doubled that and intend to continue this trajectory.

SELECTIVE INVESTMENTS PRESENTATION (contd)

Dr. Weary: (contd)

Another indicator is the national awards that our faculty have secured. You can see that there has been a similar increase in those kinds of national research awards. Editorships of our major scientific journals are another indicator of the esteem with which our faculty are held by the scientific communities, and those have increased and remain very strong.

In summary, I think I would say that the department has demonstrated effective uses of the resources that have been granted to us by the University and the state. We have seen increases in faculty grants and citations. As a result of those increases and the activities that go along with them, we anticipate receiving higher NRC ratings in the next few years when that study is redone.

We have done all of this improvement while still remaining focused on the foundations of our field, which is not an easy task. We have continued to do it with an emphasis in terms of our research agenda with a focus on meeting societal needs – economic and health needs -- of the state and our community.

I think you would agree with me that we have had a fair amount of success in what we have done with the money that you have given us. We do appreciate it and we intend to continue doing what we have been successful doing for the last 10 years.

If there are any questions, I would be glad to take them.

Mr. McFerson:

Dr. Weary, you talked about the size of the department. Could you be more specific as to the number of classes you teach, the number of faculty, and how many students will take at least one class a year?

Dr. Weary:

Yes. We have at any one time between 50 and 56 faculty in approximately seven program areas. We teach in any given academic year 64,000 credit hours and have about 1,400 majors on the main campus. We have graduate students that number 190 and, at any one time, will have about 35 post-doctoral fellows working in the department, along with our graduate and undergraduate students. At any one time we have roughly 600 undergraduates involved directly with research activities of the faculty.

Ms. Hendricks:

You mentioned that one of your goals was to be in the top 10 by 2010 by focusing your research and teaching in core foundation areas. Could you give me an idea of what your core foundation areas are?

Dr. Weary:

Yes, I can. Our core foundation areas are cognitive psychology, social psychology, physiological and biological foundations of psychological processes and behavior, and clinical psychology. Those are the ones that are generally contained in all of the top 10 departments. To be a top ten department one must not only have those core foundation areas, but have considerable strength in all of them.

SELECTIVE INVESTMENTS PRESENTATION (contd)

Dr. Weary: (contd)

I think in the next decade what we are going to see are people working at multiple levels of analysis, so we will need people trained in both social psychology as well as neuroscience, and in cognitive psychology as well as physiological psychology. We are going to be critically dependent upon the imaging facilities that Dr. Beattie spoke about.

Ms. Habib:

You mentioned that it is the most popular major on campus and I assume that is because it is an interesting subject and people like it. For students who do not pursue advanced degrees in the field, is the job market good for just psychology majors out of undergraduate school?

Dr. Weary:

Yes. Our undergraduates will go into a variety of jobs -- marketing positions and research positions. We have a number of our undergraduates in research divisions of various companies around town. So they take a range of jobs.

Mr. Sofia:

Any other questions? Thank you very much. Again, we certainly appreciate this wonderful presentation from Drs. Beattie and Weary. We are very proud of your accomplishments. We have seen many times what a wonderful thing the Selective Investment Program is doing for The Ohio State University. Many thanks and please keep up the great work you are doing.

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CONSENT AGENDA

President Karen A. Holbrook:

We have twenty-one resolutions on the Consent Agenda today for your approval. We are seeking your approval for:

REAPPOINTMENTS TO THE OSU HARDING HOSPITAL BOARD

Resolution No. 2004-82

Synopsis: Approval of reappointments to the OSU Harding Hospital Board is proposed.

WHEREAS the Board of Trustees on December 6, 2001, approved the establishment of an OSU & Harding Hospital Board; and

WHEREAS all members of a specialized board shall be appointed by The Ohio State University board of trustees in consultation with the vice president for health services, the senior vice president for health sciences, and the president of the university:

NOW THEREFORE

BE IT RESOLVED, That the following individuals be reappointed as citizen members of the OSU Harding Hospital Board effective February 1, 2004:

REAPPOINTMENTS TO THE OSU HARDING HOSPITAL BOARD (contd)

Elizabeth Cabot, term ending January 31, 2007 (2nd term)
Grayce M. Sills, term ending May 31, 2005 (3rd term)

APPOINTMENT TO LABNET OF OHIO, INC.

Resolution No. 2004-83

Synopsis: The University Health System recommends the appointment of Thom Smith, Director, University Reference Laboratories, to serve on the LabNet of Ohio, Inc. Board of Trustees in his representative capacity for the University.

WHEREAS the University Health System has agreed to participate in LabNet of Ohio, Inc. ("LabNet"), a non-profit Ohio corporation that was formed to offer lab services of its members to managed care companies; and

WHEREAS the University Health System, as a member of LabNet, is entitled to appoint one trustee to the LabNet Board of Trustees ("Trustee"); and

WHEREAS the University Health Systems recommends that it is in the best interest of the University to appoint Thom Smith as trustee, effective immediately:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees of The Ohio State University approves the appointment of Thom Smith to serve as Trustee for LabNet of Ohio, Inc. and, in that capacity, to represent the interests of the University and its Health System as part of his official responsibilities to the University, entitling him to any immunity, insurance, or indemnity protection to which employees of the University are, or hereafter may become entitled.

**NAMING OF AN HONORARY MEMBER TO THE
RICHARD M. ROSS HEART HOSPITAL BOARD**

Resolution No. 2004-84

Synopsis: The naming of Elizabeth "Libby" McKeever Ross, a 1940 graduate of The Ohio State University with a bachelor's degree in Education, as an honorary member of The Richard M. Ross Heart Hospital Board is proposed.

WHEREAS Libby Ross currently serves on the Heart Center Campaign Committee, which is the committee guiding the efforts for the \$50 million campaign to support the Ross Heart Hospital and the research efforts of the Heart Center; and

WHEREAS she has generously made a \$10 million commitment to the campaign and has been integrally involved with several of the major gift discussions for support of the Heart Center, including being a major contributor to solicitations totaling over \$20 million; and

WHEREAS Libby Ross was awarded an honorary Doctor of Humane Letters degree from Ohio State in 2002, and her outstanding commitment to the University also includes her support for the Ross Chair in Management at the Fisher College of Business, the Ross Cancer Research Endowment Fund, the Ross Endowment for Medical Research and Education, and her service on the Major Gift Committee for the Florida region; and

**NAMING OF AN HONORARY MEMBER TO THE
RICHARD M. ROSS HEART HOSPITAL BOARD (contd)**

WHEREAS she has also been deeply involved in the Central Ohio community, giving her time and resources to make Columbus a better place for everyone through her involvement with the Columbus Metropolitan Museum of Art, Children's Hospital, the Greater Columbus Arts Council, ProMusica Chamber Orchestra, the Columbus Association for the Southern Theater, the American Red Cross, Ohio Wesleyan University, Franklin University, and the Columbus Gallery of Fine Arts, and in 1999, received the Harrison M. Sayre Award from the Columbus Foundation:

NOW THEREFORE

BE IT RESOLVED, That in recognition of her many contributions to the University, and, in particular, her commitment to the establishment of The Richard M. Ross Heart Hospital, it is recommended that Elizabeth "Libby" McKeever Ross be named an honorary member of The Richard M. Ross Heart Hospital Board, effective immediately.

**AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF OF
THE ARTHUR G. JAMES CANCER HOSPITAL
AND RICHARD J. SOLOVE RESEARCH INSTITUTE**

Resolution No. 2004-85

Synopsis: The amendments to the *Bylaws of the Medical Staff of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute* are recommended for approval.

WHEREAS The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board, pursuant to bylaw 3335-109-02 of the James Cancer Hospital Board Bylaws, is authorized to recommend to the Board of Trustees the adoption of amendments to the *Bylaws of the Medical Staff of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute*; and

WHEREAS the proposed amendments to the *Medical Staff Bylaws* were previously endorsed and ratified by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board on September 23, 2003:

NOW THEREFORE

BE IT RESOLVED, That the attached amendments to the *Bylaws of the Medical Staff of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute* be adopted as recommended by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board.

(See Appendix XXX for the amended *Bylaws of the Medical Staff of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute*, page 799.)

ESTABLISHMENT OF A DOCTOR OF AUDIOLOGY DEGREE PROGRAM

Resolution No. 2004-86

Synopsis: Approval of the establishment of a Doctor of Audiology degree program is proposed.

WHEREAS the profession of audiology has seen considerable recent advances in science and technology that have resulted in the need for an expansion in the education of audiologists; and

ESTABLISHMENT OF A DOCTOR OF AUDIOLOGY DEGREE PROGRAM (contd)

WHEREAS currently, audiologists must hold at least a master's degree to obtain licensure in the State of Ohio and to be certified by the American Speech-Language-Hearing Association; and

WHEREAS the American Speech-Language-Hearing Association has developed and validated new standards for certification in the practice of audiology, requiring a doctoral degree for certification; and

WHEREAS Ohio State's masters' degree program currently is ranked thirteenth in the nation and first in the State of Ohio; and

WHEREAS this proposed doctoral program will be the fourth to be established in the State of Ohio; and

WHEREAS this proposal was discussed and approved by the Research and Graduate Council during Summer 2003, the reviewing subcommittee, and the Council on Academic Affairs, and by the University Senate at its January 15, 2004 meeting:

NOW THEREFORE

BE IT RESOLVED, That the establishment of a Doctor of Audiology degree program is hereby approved, effective upon the approval of the Ohio Board of Regents.

**ESTABLISHMENT OF A PH.D. DEGREE PROGRAM IN
HEALTH AND REHABILITATION SCIENCES**

Resolution No. 2004-87

Synopsis: Establishment of a Ph.D. degree program in Health and Rehabilitation Sciences is proposed.

WHEREAS many allied health professions now require masters' degrees for entry, but few allied health academic programs have a majority of faculty holding doctoral degrees; and

WHEREAS Ph.D. programs outside the health sciences often do not prepare faculty adequately for teaching and research careers within allied medical professions; and

WHEREAS twelve programs similar to the one proposed here exist in the nation, but no similar program exists in the State of Ohio; and

WHEREAS the proposal adheres to the Ohio Board of Regents' Guidelines for Approval of Graduate Degree Programs; and

WHEREAS this proposal was discussed and approved by the Research and Graduate Council, the reviewing subcommittee, the Council on Academic Affairs, and by the University Senate at its January 15, 2004 meeting:

NOW THEREFORE

BE IT RESOLVED, That the proposal to establish a Ph.D. degree program in Health and Rehabilitation Sciences be approved, effective upon the approval of the Ohio Board of Regents.

RENAMING OF THE DEPARTMENT OF COMPUTER AND INFORMATION SCIENCE

Resolution No. 2004-88

Synopsis: Renaming of the Department of Computer and Information Science to the Department of Computer Science and Engineering is proposed.

WHEREAS the term "information" no longer contributes to the meaning of the Department name in describing its focus and mission, and departments at peer institutions do not use the term "information science" in their names; and

WHEREAS some faculty within the Department of Computer and Information Science engage in research in computer engineering and teach courses in computer engineering; and

WHEREAS the Department of Computer and Information Science currently offers an accredited undergraduate major in computer science and engineering, and an undergraduate degree granted by the Department has that name; and

WHEREAS the proposal has the support of the faculty within the Department, the majority of the faculty in the College of Engineering, the College Committee on Academic Affairs, and the dean of the College of Engineering; and

WHEREAS the proposal was discussed by a reviewing subcommittee during Spring 2003, discussed and approved by the full Council on Academic Affairs, and was approved by the University Senate at its January 15, 2004 meeting:

NOW THEREFORE

BE IT RESOLVED, That the Department of Computer and Information Science be renamed the Department of Computer Science and Engineering, effective immediately.

RENAMING OF THE DEPARTMENT OF ELECTRICAL ENGINEERING

Resolution No. 2004-89

Synopsis: Renaming of the Department of Electrical Engineering to the Department of Electrical and Computer Engineering is proposed.

WHEREAS some faculty within the Department of Electrical Engineering engage in research in computer engineering and teach courses in computer engineering; and

WHEREAS the Department of Electrical Engineering currently offers an accredited program in electrical and computer engineering, and the degree granted by the Department is named electrical and computer engineering; and

WHEREAS the proposal has the support of the faculty within the Department, the majority of the faculty in the College of Engineering, the College Committee on Academic Affairs, and the dean of the College of Engineering; and

WHEREAS this proposal was discussed by a reviewing subcommittee during Spring 2003, discussed and approved by the full Council on Academic Affairs, and was approved by the University Senate at its January 15, 2004 meeting:

NOW THEREFORE

RENAMING OF THE DEPARTMENT OF ELECTRICAL ENGINEERING (contd)

BE IT RESOLVED, That the Department of Electrical Engineering be renamed the Department of Electrical and Computer Engineering, effective immediately.

WAIVER AND NAMING OF ATRIUM

Resolution No. 2004-90

Synopsis: Approval of the Waiver of Paragraph (F) of Bylaw 3335-1-08 of Administrative Code and Naming of the fifth floor atrium, located adjoining Rhodes and Doan Halls, to honor R. Reed Fraley, is proposed.

WHEREAS The Board of Trustees of The Ohio State University, did on January 9, 1976, amend paragraph (F) of bylaw 3335-1-08 of the Administrative Code to state that "buildings and structures shall not be named for any person who is an officer or employee of the University or the State of Ohio, but may be named for a person who has been retired or has otherwise left such a position for a minimum of three years"; and

WHEREAS the Board of Trustees has authority in naming all buildings and structures at The Ohio State University, as set forth in paragraph (F) of rule 3335-1-08 of the Administrative Code; and

WHEREAS it is recommended that the fifth floor atrium adjoining Rhodes and Doan Halls be named in honor of R. Reed Fraley, who has served The Ohio State University with distinction for fourteen years, most recently as vice president for Health Services and chief executive officer of the OSU Health System until his retirement on January 31, 2004; and

WHEREAS under his leadership The Ohio State University Medical Center has become a nationally-recognized institution in the areas of patient care, health information delivery, and customer service; and

WHEREAS R. Reed Fraley has been a tireless advocate and champion for patients and their families who are visitors to The Ohio State University Hospitals; and

WHEREAS the atrium has become an inspirational space where visitors from around the globe receive a positive experience from Ohio State and its Medical Center:

NOW THEREFORE

BE IT RESOLVED, That the applicable requirements of paragraph (F) of bylaw 3335-1-08 of the Administrative Code be waived so that the fifth floor atrium adjoining Rhodes and Doan Halls may be named the "R. Reed Fraley Atrium, " effective immediately.

RENAMING OF A BUILDING

Resolution No. 2004-91

Synopsis: The renaming of the Wetland Research and Education Building, located at 352 West Dodridge Street on the Columbus Campus, for William G. Heffner and his family is proposed.

WHEREAS William G. Heffner of Columbus, Ohio, and his family have been loyal and generous friends of The Ohio State University; and

WHEREAS he and his family have been involved with The Ohio State University Olentangy River Wetland Research Park since its inception; and

RENAMING OF A BUILDING (contd)

WHEREAS the Heffner family has, through its generosity, made it possible for faculty and students to continue their important research efforts on the special ecosystem of a wetland; and

WHEREAS the Heffner family gives volunteer leadership to the Wetland Research Park through tireless efforts of soliciting valuable support from others and giving personal time and material to the construction of the wetland:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code the aforementioned facility's name shall be changed from the "William G. Heffner Wetlands Research and Education Building" to the "Heffner Wetland Research and Education Building."

HONORARY DEGREES

Resolution No. 2004-92

Synopsis: The awarding of honorary degrees to Ernest M. Henley and Harold E. Varmus is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of honorary degrees as listed below:

Ernest M. Henley	Doctor of Science
Harold E. Varmus	Doctor of Science

NOW THEREFORE

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

PERSONNEL ACTIONS

Resolution No. 2004-93

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the December 5, 2003 meeting of the Board, including the following Appointments, Promotion, Reappointment, Appointment/Reappointment of Chairpersons and Directors, Leave of Absence Without Salary--Continuation, Professional Improvement Leaves, and Emeritus Titles, as detailed in the University Budget be approved.

Appointments

Name: HOJJAT ADELI
Title: Professor (The Abba G. Lichtenstein Professorship in Civil Engineering)
Department: Civil Engineering
Term: July 1, 2003, through June 30, 2008

Name: LARRY A. ANSTINE
Title: Executive Director
Hospital: The Richard M. Ross Heart Hospital
Effective: February 16, 2004
Present Position: Executive Director, The Ohio State University Hospitals East

PERSONNEL ACTIONS (contd)

Appointments (contd)

Name: CHRISTINE BALLENGEE-MORRIS
Title: Director of the Multicultural Center
Office: Student Affairs
Effective: January 1, 2004
Present Position: Interim Director of the Multicultural Center

Name: MELVIN D. SHIPP
Title: Dean
College: Optometry
Term: June 1, 2004, through June 30, 2009
Present Position: Professor, School of Optometry, The University of Alabama at Birmingham

Name: LINDA K. WEAVERS
Title: Associate Professor (The John C. Geupel Chair)
College: Engineering
Term: July 1, 2003, through June 30, 2008

Name: KARLA S. ZADNIK
Title: Interim Dean
College: Optometry
Term: January 1, 2004, through May 31, 2004
Concurrent Position: Professor (The Glenn A. Fry Professorship in Optometry)

Promotion

Name: KAREN D. MLAWSKY
Title: Executive Director
Hospital: The Ohio State University Hospitals East
Effective: February 16, 2004
Present Position: Associate Executive Director, The Ohio State University Hospitals East

Reappointment

Name: GLENN S. DAEHN
Title: Professor (The Dr. Mars G. Fontana Professorship in Metallurgical Engineering)
College: Engineering
Term: July 1, 2003, through June 30, 2004

Appointment of Chairperson

April 1, 2004, through March 31, 2008

Plant Biology

Fred D. Sack

Reappointment of Chairperson and Directors

July 1, 2003, through June 30, 2007

Center for the Study and Teaching of Writing

Beverly J. Moss

July 1, 2003, through September 30, 2007

Institute for Collaborative Research and
Public Humanities

Christian K. Zacher

PERSONNEL ACTIONS (contd)

Reappointment of Chairperson and Directors (contd)

January 1, 2004, through March 31, 2004

Internal Medicine

Michael R. Grever

Leave of Absence Without Salary -- Continuation

DOUGLAS R. COLE, Assistant Professor, College of Law, effective January 14, 2004, through January 13, 2005, to continue to serve as Chief Deputy Attorney General for the State Solicitor Section of the Ohio Attorney General's Office.

Professional Improvement Leaves

DAVID JACOBS, Professor, Department of Sociology, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

ANGELO A. ALONZO, Associate Professor, Department of Sociology, effective Autumn Quarter 2004.

AUDREY L. LIGHT, Associate Professor, Department of Economics, effective Spring Quarter 2004.

Professional Improvement Leave -- Change in Dates

REBECCA C. HARVEY, Associate Professor, Department of Art, change dates from Winter Quarter, Spring Quarter, and Autumn Quarter 2004, to Spring Quarter and Autumn Quarter 2004, and Winter Quarter 2005.

Professional Improvement Leaves – Cancellation

L. S. FAN, Distinguished University Professor and Chair, Department of Chemical Engineering, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004.

ROGER A. CRAWFIS, Associate Professor, Department of Computer and Information Science, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004.

Emeritus Titles

ALLAN L. BARTA, Department of Horticulture and Crop Science, with the title Professor Emeritus, effective April 1, 2004.

BRUCE L. HULL, Department of Veterinary Clinical Sciences, with the title Professor Emeritus, effective February 1, 2004.

ALLAN E. LINES, Department of Agricultural, Environmental, and Developmental Economics, with the title Professor Emeritus, effective February 1, 2004.

ROY A. SCOTT III, Department of Biochemistry, with the title Professor Emeritus, effective February 1, 2004.

TED H. SHORT, Department of Food, Agricultural, and Biological Engineering, with the title Professor Emeritus, effective February 1, 2004.

NORMAN J. URETSKY, College of Pharmacy (Pharmacology), with the title Professor Emeritus, effective February 1, 2004.

PERSONNEL ACTIONS (contd)

Emeritus Titles (contd)

JAMES A. VISCONTI, College of Pharmacy (Pharmacy Practice and Administration), with the title Professor Emeritus, effective March 1, 2004.

GARY L. BOWMAN, Department of Veterinary Preventive Medicine, with the title Associate Professor Emeritus, effective February 1, 2004.

MORRIS G. CLINE, Department of Plant Biology, with the title Associate Professor Emeritus, effective February 1, 2004.

FRED J. DEEL, Ohio State University Extension, with the title Associate Professor Emeritus, effective March 1, 2004.

MARY ANN SAGARIA, School of Educational Policy and Leadership, with the title Associate Professor Emeritus, effective February 1, 2004.

ALFRED E. STAUBUS, College of Pharmacy (Pharmaceutics), with the title Associate Professor Emeritus, effective April 1, 2004.

WANEMA C. FLASHER, Ohio State University Extension, with the title Assistant Professor Emeritus, effective March 1, 2004.

MICHAEL POLASKY, College of Optometry, with the title Assistant Professor Emeritus, effective April 1, 2004.

DONA J. SCHAFFNER, Ohio State University Extension, with the title Assistant Professor Emeritus, effective February 1, 2004.

WALTER B. HULL, Department of Obstetrics and Gynecology, with the title Assistant Professor-Clinical Emeritus, effective February 1, 2004.

VICTOR R. VERMEULEN, Department of Otolaryngology, with the title Clinical Associate Professor Emeritus, effective February 1, 2004.

RESOLUTIONS IN MEMORIAM

Resolution No. 2004-94

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

John P. Bendekovic

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 4, 2003, of John P. Bendekovic, Associate Professor Emeritus in the College of Social Work.

Professor Bendekovic held a Bachelor of Arts degree in education, sociology, and philosophy from Creighton University and a Master of Social Work degree from Case Western Reserve University. He joined The Ohio State University and the faculty of what was then the School of Social Work in 1964, where he remained until his retirement in 1992. He also served as director of community education at OSU's Nisonger Center from 1972-77.

RESOLUTIONS IN MEMORIAM (contd)

John P. Bendekovic (contd)

Professor Bendekovic's teaching, scholarship, and professional interests included aging, death and dying, corrections, and social justice. He was also singularly identified with the social group method, grounded as he was in professional experience in the use of that method through settlement house work. He was of necessity a master of program media such as square dance leadership, vocal and instrumental skills, wood working, and various sports. Emeriti colleagues remember him as someone who loved to bring people together and was seen as a "social catalyst" or "a force in social cohesion and interaction."

Professor Bendekovic also served on a number of boards and was a founding member of the board of directors of St. Joseph Home, where his daughter, Barbara, continues as a resident. John Bendekovic devoted much of his career to social work concerning those with mental retardation and developmental disabilities. He also focused on issues concerning adult care and supervision. He could be counted on to reach out in compassion toward others compromised by social disadvantage or threatened by illness.

On behalf of the University community, the Board of Trustees expresses to the family of John P. Bendekovic its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Thomas J. Byers

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 21, 2003, of Thomas J. Byers, Professor Emeritus in the Department of Molecular Genetics.

Dr. Byers held a Bachelor of Arts degree in biology from Cornell University and a Ph.D. degree from the University of Pennsylvania. He received his postdoctoral training in molecular biology at the Carnegie Institute in Washington, DC. Beginning in 1964 Dr. Byers served on the faculty of The Ohio State University. He was one of the founding members of the Department of Molecular Genetics. Dr. Byers was also one of the founders and subsequently served as the director of the Graduate Program in Molecular, Cellular, and Developmental Biology. He served as associate dean of the College of Biological Sciences from 1990-95. Dr. Byers retired from the faculty in 1995 but continued to be active in his NIH-funded research program until the time of his death.

Thomas Byers' research throughout his career focused on an ameba that causes eye infections in humans. He was an author of more than 40 articles in scientific journals and 90 abstracts. He belonged to the Society of Protozoologists, the American Society of Microbiology, and founded the international Conference on Free-living Pathogenic Amoebae. He was an accomplished and devoted teacher of cell biology. Besides serving as associate dean, Dr. Byers served on numerous departmental, college, and University committees. The duties that were closest to his heart were people-related: affirmative action, junior faculty development, and mentoring initiatives, especially those involving minority graduate students. His ability to balance his research program, teaching, and service activities was legendary.

All who knew Dr. Byers were affected by him. In addition to his skill in the classroom and his research accomplishments, Dr. Byers will be remembered for his concern for others, his considerate and kindly nature, his unassuming modesty, and his positive and upbeat outlook in the face of adversity. He will be greatly missed.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Thomas J. Byers its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

RESOLUTIONS IN MEMORIAM (contd)

Nancy Moore Krueger Clatworthy

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 27, 2003, of Nancy Moore Krueger Clatworthy, Associate Professor Emeritus in the Department of Sociology.

Professor Clatworthy received a Bachelor of Arts degree from Smith College, a Master of Arts degree in sociology from Western Reserve University, and a Doctor of Philosophy degree in sociology from The Ohio State University. After completion of her degree, she joined the faculty in the Department of Sociology at The Ohio State University and retired as an emeritus professor in 1985. Although Professor Clatworthy was best known for her work on marriage and the family, she also researched issues concerning medical education and sociology of sport. She was the co-author, with Dr. Jerome Folkman, of a textbook, *Marriage Has Many Faces*, and published a number of research articles. She was well-regarded by students as evidenced by the fact that she was a five-time nominee for OSU's "Teacher of the Year" Award.

Besides her involvement in academic societies, Professor Clatworthy was extremely active in public service in the local community and beyond, most notably including time devoted to the Board of Directors of the Children's Mental Health Center, Junior League of Columbus, Hospital Federation of Columbus, Children's Welfare Board, Franklin County Mental Health Association Board, Franklin County Women's Juvenile Service Board, Columbus Urban League, Children's Hospital Women's Board, Ohio Governor's Committee on Juvenile Mental Health and Mental Retardation, and Ohio State University Inner City Drop-Out Education Advisory Committee.

Professor Clatworthy was similarly involved in the broader community, reflecting in part her interests in education, art, horsemanship, and piloting. She served as president of the Smith College Club, was a registered judge and steward for the American Saddle Horse Association, and held memberships in the United Professional Horseman's Association, the Aircraft Owner's and Pilots' Association, the National Sculpture Association, and the American Association of University Women. Her accomplishments and contributions were recognized by a recent show of her sculpture at the OSU Faculty Club, by an "Outstanding Woman of the Year" award from the *Columbus Citizen-Journal*, and as a three-time winner of World Champion American Saddle Horses (three-gaited and five-gaited).

On behalf of the University community, the Board of Trustees expresses to the family of Professor Nancy Moore Krueger Clatworthy its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

Wesley L. Furste II

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on December 13, 2003, of Wesley L. Furste II, M.D., Clinical Professor Emeritus in the Department of Surgery.

Dr. Furste received a bachelor of arts degree cum laude from Harvard College and a medical degree from Harvard Medical School. He served a surgical internship at The Ohio State University, and completed surgical residency training at Ohio State. An energetic general surgeon in the community, Dr. Furste was a member of the clinical faculty in the Department of Surgery for nearly 35 years, and an active participant in the department's teaching activities, conferences, and meetings. He served for several years on the Surgery Education Committee. Dr. Furste held a particular interest in tetanus and its prevention, an interest he developed while serving as a surgical officer in China during World War II, and many of his more than 200 publications were devoted to the disease.

RESOLUTIONS IN MEMORIAM (contd)

Wesley L. Furste II (contd)

A fellow of the American College of Surgeons and a diplomate of the American Board of Surgery, Dr. Furste was a founding member and director of the American Trauma Society. He was a member of many other professional societies, including the Central Surgical Association, the Surgical Infection Society, and the Societe Internationale sur le Tetanos. He served as president and board member of the American Cancer Society of Franklin County.

On behalf of the University community, the Board of Trustees expresses to the family of Wesley L. Furste II its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Margaret H. Hines

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on January 7, 2004, of Margaret H. Hines, Associate Professor of Anatomy and Radiology in the School of Biomedical Science and the College of Medicine and Public Health.

Professor Hines received her undergraduate degree in physical and health education from the University of Cincinnati in 1945, and then served in the U.S. Women's Army Medical Specialist Corps from 1945-48. She earned her Master's degree in anatomy in 1952 and her Ph.D. degree in student personnel administration and anatomy in 1974, both from Ohio State University. Peg Hines was a devoted member of the College of Medicine and Public Health faculty for 39 years.

Dr. Hines was an outstanding teacher and a tireless champion of diversity and women's rights. Students honored her with many teaching awards and, in 1975, Professor Hines was the first woman to receive the College's Professor of the Year Award. In 1996, she received the Marianne Williams Women's Leadership Award from The Ohio State University Association of Faculty and Professional Women and, in 2001, received the National Women's Leadership Award from the Association of American Medical Colleges.

Peg Hines also was a dedicated researcher and maintained an active research program in biomechanical trauma up to the time of her death.

A well-known and respected presence in the University, Dr. Hines was esteemed by colleagues, students, and staff alike. She was adviser and mentor to countless students and faculty, many of whom credit their academic and professional success to her advice and support. She was also instrumental in ensuring that the hospitals faculty, staff, and students had childcare services as part of the University day care center when it opened on Ackerman Road.

On behalf of the University community, the Board of Trustees expresses to the family of Margaret H. Hines its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

Harold E. Kaeser

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 15, 2003, of Harold E. Kaeser, Associate Professor Emeritus in the Department of Animal Sciences.

Professor Kaeser was 93 years old at the time of his death and had served The Ohio State University for 39 years. He earned a Bachelor of Science degree from Iowa State University and a Master of Science degree from The Ohio State University.

RESOLUTIONS IN MEMORIAM (contd)

Harold E. Kaeser (contd)

Harold Kaeser served as dairy herdsman, classroom teacher, Dairy Club advisor, scholastic advisor, coach of the Dairy Cattle Judging Team, and a gatherer of research data. As dairy herdsman, he managed the dairy herd, hired and directed the students who provided the labor for the dairy herd, and taught these students to care for and manage dairy cattle. Many of these students became leaders in the Ohio dairy industry.

The judging teams he coached won four national judging contests. He was a well-respected judge of dairy cattle who judged many national and international shows.

The research data he gathered was used to evaluate the progress made in selecting for dairy type characteristics through the use of dairy sires made available through the Ohio Dairy Breeding Association.

Harold Kaeser was a member of Alpha Zeta, Gamma Sigma Delta, and Phi Kappa Phi. He was a member of the American Dairy Science Association and served many years on their dairy cattle judging committee. He also served as advisor of the Alpha Zeta fraternity on The Ohio State University campus.

Professor Kaeser helped organize the National Dairy Shrine and was honored as one of their Dairy Pioneer awardees. He was also honored by many dairy associations: the Ohio Holstein Association, the Ohio Brown Swiss Association, the Ohio Purebred Dairy Cattle Association, COBA/Select Sires, Inc., and NOBA/CIR.

On behalf of the University community, the Board of Trustees expresses to the family of Harold E. Kaeser its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

James M. Kittelson

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 10, 2003, of James M. Kittelson, Professor Emeritus in the Department of History.

Professor Kittelson graduated from Saint Olaf College in 1963. In 1969 he received the Ph.D. degree in history from Stanford University. After four years on the faculty of the Department of History at the University of Iowa, he joined the Department of History at The Ohio State University where he taught for 26 years. After he became Professor Emeritus in 1997, he was appointed professor of Church History at Luther Seminary in St. Paul, Minnesota, as well as director of the Thivent Reformation Research Program, which specializes in gathering and making available to scholars 16th century printed materials on Luther and the Lutheran Reformation.

Professor Kittelson was a devoted scholar who concentrated on Martin Luther and the Lutheran Reformation, especially as it unfolded in Strasbourg, whose archives he knew extraordinarily well. He was a demanding but supportive director of graduate students. While he taught at The Ohio State University, he directed six students to the Ph.D. He published three books, most recently *Toward an Established Church: Strasbourg from 1500 to the Dawn of the Seventeenth Century* (2000). The book that reached the widest audience was *Luther the Reformer* (1986), which is both scholarly and readable. It has been translated into Chinese, Estonian, Finnish, Korean, and Portuguese. During his career, Professor Kittelson was recognized by prestigious fellowships, including a Woodrow Wilson Fellowship, a National Endowment for the Humanities Fellowship, and grants from the American Council of Learned Societies and the American Philosophical Society.

RESOLUTIONS IN MEMORIAM (contd)

James M. Kittelson (contd)

For three decades, he was a leader in the field of Reformation studies, to which he gave generously of his time and talent, serving on the editorial boards of Studies in the Reformation and the Lutheran Quarterly. He also was a member of the Board of Directors of the Center for Reformation Research (Saint Louis), and of the Executive Committees of the Newbury Library Renaissance Center (Chicago) and the Society for Reformation Research.

Professor Kittelson was a man of religious faith as well as a meticulous, fair-minded scholar. In his life and scholarship, there was a continuing and fruitful interaction between his proud affiliation with the Lutheran Church and his deep knowledge of its origins and development. Recently, he was elected honorary president of the Fellowship of Confessing Lutheran Churches.

On behalf of the University community, the Board of Trustees expresses to the family of James M. Kittelson its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

James E. Lantz

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on December 20, 2003, of James E. Lantz, Professor in the College of Social Work.

Professor Lantz held Bachelor of Science in Social Welfare, Master of Social Work, and Doctor of Philosophy degrees, all from The Ohio State University. He began his career as an assistant professor in the College of Social Work in 1985, was promoted to associate professor in 1991, and then to full professor in 1997. He published several books and over 150 professional articles.

He worked in community mental health prior to his appointment to OSU. He was a well-respected psychotherapist, writer, teacher, and trainer. He was a member of the American Family and Marital Therapy Association, and a fellow and life member of the Viktor Frankl Institute of Logotherapy and Existential Analysis. He was held in high esteem by the existential and logotherapy psychotherapy communities. He was recognized by Dr. Frankl as the "foremost developer of clinical conceptual frameworks and applied clinical methodologies."

He served in the U.S. Army as a medic in Vietnam. His experience in Vietnam influenced his interest in psychotherapy and healing.

On behalf of the University community, the Board of Trustees expresses to the family of James E. Lantz its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Harry E. Phillian

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 1, 2003, of Harry E. Phillian, Professor Emeritus in the Austin E. Knowlton School of Architecture.

Professor Phillian attended Ohio Wesleyan University and later The Ohio State University where he received his Bachelor of Architecture degree in 1938. He was employed in the State of Ohio Architect's Office from 1938-42, when he entered the U.S. Army Air Corps. After being discharged in 1946, he rejoined the office as chief of design. He then served as chief of design for the Veteran's Administration. Professor Phillian joined the Knowlton School of Architecture faculty in 1948 and received his full professorship in 1957. He became an emeritus faculty member in 1977. Professor Phillian was an excellent teacher who instilled in his students the highest levels of professionalism and enthusiasm for the architecture profession.

RESOLUTIONS IN MEMORIAM (contd)

Harry E. Phillian (contd)

Professor Phillian achieved equal success in the practice of architecture. He served as a consultant and designer with leading architectural firms in the U.S. and Europe regarding the efficient use of space in high-rise office buildings. He acted as an advisor to the Center of Environmental Study and Development in Grand Rapids, Michigan.

Harry Phillian was an active member of the University community and received numerous awards and honors. He was the faculty advisor and treasurer for the Ohio Staters, Sphinx, Senior Men's Honorary, and Sigma Chi fraternity. A testament to his close relationship with his students was his honorary membership in several student organizations including Romophos, Bucket and Dipper, Sphinx, and Texnicoi. He received the Alfred J. Wright Award for significant leadership, service, and counsel; an Outstanding Teacher Award; the Texnicoi Engineering Award; and the Ohio Rehabilitation Association's Award of Excellence.

Professor Phillian was an emeritus member of the American Institute of Architects (AIA), served as both a trustee and treasurer for the AIA Columbus Chapter, and was director of placement for the Collegiate Schools of Architecture.

On behalf of the University community, the Board of Trustees expresses to the family of Harry E. Phillian its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Merle E. Scheetz

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on January 15, 2004, of Merle Scheetz, Associate Professor Emeritus in the Ohio State University Extension.

Mr. Scheetz was born September 13, 1925, in Baltic, Ohio. He received a Bachelor's degree in 1951 and a Master's degree in 1962, both in agriculture from The Ohio State University.

Merle Scheetz began his Extension career in Ohio in 1951 as an Associate County Extension Agent in Licking County. In 1958 he became the County Extension Agent, 4-H, in Licking County. He held this position until 1961 when he became the County Extension Agent, Agriculture, in Licking County, and held this position until his retirement in December 1980.

During Mr. Scheetz' career with Extension he significantly influenced the quality of educational programs conducted in Licking County. He was an innovator of the pest management program and conducted new programs for garden store managers to assist with pesticide and gardening recommendations to their clientele. He did radio tapes weekly for the citizens in Licking County on a variety of agricultural, community affairs, and natural resource subject matter. He was a member of the Ohio Extension Agents Association, the National Association of County Agriculture Agents, and Epsilon Sigma Phi. He was one of only four county Extension agents in the United States to receive the "Search for Excellence Award" in 1974.

These contributions in providing excellent Extension educational programs during his career earned him the respect and admiration of co-workers and associates throughout the state and nation.

On behalf of the University community, the Board of Trustees expresses to the family of Merle E. Scheetz its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

RESOLUTIONS IN MEMORIAM (contd)

W. Wallace Stover

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on December 26, 2003, of W. Wallace Stover, Instructor Emeritus in the School of Physical Activity and Educational Services.

Wallace Stover received his B.S., B.A., and M.S. degrees at The Ohio State University and played football as an undergraduate. Mr. Stover began his career at Ohio State in 1950, and upon his retirement in 1982 was named Instructor Emeritus. Wallace (Wally) Stover was secretary of the College of Education and director of counseling services. He is remembered for the wise advice and counsel he provided to hundreds of students during his tenure at Ohio State.

Mr. Stover also is remembered for his outstanding service to the University, the community, and the nation. He was active in Phi Delta Kappa and Kappa Phi Kappa, as well as Civitan International, the Shropshire Foundation, and the American Legion. He served on active duty in the U.S. Army from 1941-46 in the South Pacific, and received the Distinguished Service Cross and two Bronze Stars. He served in the Army Reserve for several years and retired as a lieutenant colonel. In 1990, Wally was inducted into The Ohio State University Reserve Officer Training Corp Hall of Fame.

On behalf of the University community, the Board of Trustees expresses to the family of W. Wallace Stover its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Virginia M. Vivian

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 15, 2003, of Virginia M. Vivian, Professor Emeritus in the Department of Human Nutrition and Food Management, now known as the Department of Human Nutrition.

Professor Vivian held a Bachelor of Science degree in dietetics from the University of Wisconsin. She completed a dietetic internship at Presbyterian Hospital in New York City while earning a Master of Science degree from Columbia University. Subsequently, she worked at the University of Michigan Hospital in Ann Arbor, Michigan, and then returned to the University of Wisconsin for the Ph.D. degree in nutrition. Professor Vivian taught at The Ohio State University for 29 years. Her teaching and scholarship focused on human nutrient metabolism and status. She also directed projects dealing with management issues among health care professionals. Her special interest was in nutrition history, which she was uniquely qualified to teach having studied at an historic center of early nutrition research, the University of Wisconsin.

Virginia Vivian was proud of the many doctoral and masters students she mentored. Whenever possible, she allowed advisees to pursue dissertation research in areas uniquely suited to the student's interest and career goals. Her former students have been leaders in the academy, government, and industry. These include two presidents of the American Dietetic Association, the current vice president for Academic Affairs and provost at the University of Alabama, a nutrition policy analyst at the U.S. Library of Congress, and department chairs and section leaders in respected private research institutes and food industries.

Dr. Vivian was awarded membership in many honorary and professional societies including Phi Kappa Phi, Sigma Xi, Phi Sigma, Sigma Delta Epsilon, Phi Upsilon Omicron, Omicron Nu, The American Dietetic Association, the American Society of Nutritional Sciences, and the American Chemical Society. She was a fellow of the American Association for the Advancement of Science.

Virginia Vivian was an active member of the University community. She chaired the Department of Human Nutrition and Food Management from 1977-81. She was elected to University committees and boards including the Graduate School Faculty Council, the University Senate-

RESOLUTIONS IN MEMORIAM (contd)

Virginia M. Vivian (contd)

Athletic Council (serving as first woman chair), and the OSU Faculty Club Board. She was an enthusiastic Buckeye who attended home football games pre- and post-retirement until she moved permanently to Florida.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Virginia M. Vivian its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2004-95

Synopsis: The reports on research and other sponsored program contracts and grants and the summaries for November and December 2003 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the months of November and December 2003 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2004-96

Synopsis: The report on the receipt of gifts and the summary for December 2003 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of thirty (30) new named endowed funds and amendments of five (5) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of December 2003 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July through December
2003 Compared to 2002

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through December		
	<u>2003</u>	<u>2002</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$15,860,748	\$22,802,951	(30)
Alumni (From Bequests)	<u>8,328,691</u>	<u>2,134,846</u>	290
Alumni Total	\$24,189,439	\$24,937,797	(3)
Non-Alumni (Current Giving)	\$15,503,469	\$11,195,137	38
Non-Alumni (From Bequests)	<u>8,205,308</u>	<u>3,552,699</u>	131
Non-Alumni Total	\$23,708,777	\$14,747,836	61
Individual Total	\$47,898,216	\$39,685,633	21 ^A
Corporations/Corp/Foundations	\$19,483,449	\$24,017,386	(19) ^B
Private Foundations	\$10,459,231	\$11,861,272	(12) ^C
Associations & Other Organizations	<u>\$3,328,705</u>	<u>\$4,660,065</u>	(29) ^D
Total	\$81,169,601	\$80,224,356	1

NOTES

- A Individual giving is up 21% largely due to the fact that gifts of \$10,000 or more are up 22% (341 gifts for \$29.5 million last year; 386 gifts for \$36.0 million this year).
- B Corporate giving at the \$10,000 or more level is down 22% for the first four months of the fiscal year (\$15.7 million from 331 gifts this year; \$20.2 million from 378 gifts last year).
- C Gifts from private foundations at the \$10,000 or more level total \$1.5 million less thus far this year.
- D Giving at the \$10,000 or more level from other organizations or associations are up 37% for July-December compared with the same period last year (59 gifts for \$2.4 million this year; 58 gifts for \$3.8 million last year).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July from December
2003 Compared to 2002

GIFT RECEIPTS BY PURPOSE

	Dollars July through December		
	<u>2003</u>	<u>2002</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$28,177,437	\$15,969,336	76
Faculty Support	\$6,717,137	\$8,922,021	(25)
Program Support	\$31,264,023	\$40,318,508	(22)
Student Financial Aid	\$8,758,766	\$10,415,568	(16)
Annual Funds-Colleges/Departments	\$3,323,909	\$4,175,277	(20)
Annual Funds-University	<u>\$2,928,329</u>	<u>\$423,646</u>	591
Total	\$81,169,601	\$80,224,356	1

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through December

<u>2003</u>	<u>2002</u>	<u>% Change</u>
\$24,962,930	\$25,817,160	(3)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Leonard and Bess (Joseph) Burkett Memorial Scholarship Fund (Used to provide scholarships; provided by a gift from the estate of Bess Joseph Burkett Sieving)		\$59,321.00	\$59,321.00
Harold W. Almen Scholarship Fund in Chemical Engineering (Used to provide scholarships to junior or senior students pursuing a bachelor's degree in chemical engineering; provided by gifts from Harold W. Almen)		37,413.17	37,413.17
The Lucy J. Schneiter Memorial Scholarship Fund in Nursing (Used to provide scholarships in the College of Nursing and the OSU Medical Center; provided by gifts from friends and colleagues of the late Lucy J. Schneiter)		27,695.00	27,695.00
The Patrick Colonel Diabetes Research and Education Endowment Fund (Used to support research and education relating to the prevention, treatment, and cure of Type I diabetes; provided by gifts from family, friends, and colleagues of Nancy Lane Hensel, and in memory of Patrick Colonel)		25,945.00	25,945.00
The Dr. Rudine Sims Bishop Scholarship Fund in Children's Literature (Used to provide financial support for doctoral students in the College of Education majoring in children's literature; provided by gifts from family, friends, and colleagues of Rudine Sims Bishop)		25,312.00	25,312.00

Change in Description of Named Endowed Fund

The George P. Pettit, M.D., Scholarship Fund

Change in Name and Description of Named Endowed Funds

From: The Michael Shih-Yuan Chen Memorial Scholarship Fund
To: Dr. Michael Shih-Yuan and Anna Lee Chen Family Fund in Engineering

From: The Edward F. Hayes Graduate Research Award Fund
(Originally established under the Foundation)
To: The Edward F. Hayes Graduate and Undergraduate Research Awards Fund

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Emalou Brumfield Endowment Fund for Mathematics Education (Used to support mathematics education in the College of Education; provided by gifts from Emalou Brumfield)		\$466,846.05	\$466,846.05
The Dr. William Fung Family Outreach and Education Endowment Fund at the Wexner Center for the Arts (Used to support the Wexner Center's education programs; provided by a gift from Dr. William Fung)		250,000.00	250,000.00
The Robert G. and Agnes M. Jung Memorial Fund (Used for the development and operation of the Chadwick Arboretum; provided by a gift from the estate of Keith H. Jung)		150,000.00	150,000.00
The George M. and Renee K. Levine Endowed Professorship Fund in Jewish History (Used to attract and/or retain a distinguished scholar to hold the Levine Professorship in the field of Jewish history; provided by gifts from George M. and Renee K. Levine)		145,480.50	145,480.50
The Samuel G. Swope Scholarship Fund for The Ohio State University Marching Band (Used to provide 5 scholarships each year to members of the marching band; provided by a gift from Samuel G. Swope)		100,000.00	100,000.00
The Ronald D. Harris Endowment Fund for Excellence in Food Science and Technology (Used primarily for lectureships in the Department of Food Science and Technology and to support research projects and other activities; provided by gifts from Ronald David Harris)		97,011.00	97,011.00
The Muffin Sniadoski Student Research Endowment Fund (Used to award research funds for veterinary students; provided by gifts from the estate of Joanne Sniadoski in honor of her beloved dog Muffin)		74,075.68	74,075.68
The John and Ginny Elam Family Endowed Fund (Used to provide financial support for WOSU Stations' programs; provided by a gift from Virginia Elam)		69,300.00	69,300.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The John H. Dunlap, Jr. Fund for Education, Outreach and Development at Stone Laboratory (Used to support education, outreach, and development programs at Stone Laboratory; provided by gifts from Shirley Dunlap Bowser and Cliff Bowser, the Kellogg Foundation, and friends of Stone Laboratory)		\$51,050.00	\$51,050.00
Morris and Fannie Skilken Family Foundation Endowment Fund for Yiddish and Ashkenazi Studies (Used to support research and scholarships for students actively engaged in Yiddish and Ashkenazi studies; provided by gifts from the Morris and Fannie Skilken Family Foundation)		48,000.00	48,000.00
The Sarah Schoessler Endowed Fund in Optometry (Used to advance the eye care needs of children with disabilities; provided by gifts from John P. and Jane A. Schoessler, and their family, friends, and colleagues in memory of Sarah Schoessler)		30,560.00	30,560.00
The Hilda Dierker Scholarship Fund (Used to provide scholarships for music students interested in pursuing careers in public music education; provided by a gift from Charles G. Mayer)		30,000.00	30,000.00
The Schoedinger Resource Initiative Fund (Used to support a resource initiative for the Human-Animal Bond-Centered Education and Practice Program at the College of Veterinary Medicine; provided by gifts from Schoedinger Financial Services, Inc. and Schoedinger & Co.)		30,000.00	30,000.00
The Kisker Scholarship Fund in Teacher Education (Used to provide scholarship support to students in the College of Education; provided by a gift from Richard G. Kisker in memory of his parents Leroy E. and Frances E. Kisker)		26,306.00	26,306.00
Linda R. Kurtz Scholarship Fund in Elementary Education (Used to provide financial assistance to students in the College of Education; provided by gifts from Charles Jewett Kurtz III to honor his wife Linda Rhoads Kurtz)		25,803.82	25,803.82

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The James T. and Jean (Casey) Mahoney Parkinson's Disease Research Fund (Used to support the advancement of medical knowledge in the field of Parkinson's disease through research; provided by gifts from James T. Mahoney and Jean Mahoney)		\$25,532.00	\$25,532.00
The George and Cookie Ruff Family Athletic Scholarship Fund (Used to supplement the student grant-in-aid scholarship costs of a student athlete pursuing an undergraduate degree; provided by a gift from George E. and Cookie Ruff)		25,311.00	25,311.00
The Clubface Open Breast Cancer Research Endowment Fund (Used to support breast cancer research programs at the Comprehensive Cancer Center – the James Cancer Hospital and Solove Research Institute; provided by a gift from friends and family of The Clubface Open)		25,283.00	25,283.00
The John and Mary Alford Memorial Fund (Used to provide scholarship costs for a member of the varsity football team pursuing an undergraduate degree; provided by gifts from Michael and Barbara Cantlin)		25,000.00	25,000.00
The Pat and Vince Aveni Dean's Innovation Fund (To be used by the dean of the Fisher College of Business to promote initiatives by the faculty and staff designed to increase the quality of teaching, research, and involvement with the business community; provided by gifts from Pat and Vince Aveni)		25,000.00	25,000.00
The Lorena and Mary Ann Johnston Memorial Scholarship Fund in Pediatric Advanced Practice Nursing (Used to support nursing scholarships for graduate students in the College of Nursing; provided by a gift from Lance and Elaine Johnston)		25,000.00	25,000.00
The Robert C. Leonhart Memorial Scholarship Fund in Industrial and Systems Engineering (Used to provide scholarship support for industrial and systems engineering juniors and seniors; provided by a gift from the Alfred and Frances Ross Foundation in honor of Mr. and Mrs. Ross' late son-in-law Robert C. Leonhart)		25,000.00	25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Dr. Ronald C. Rogers Scholarship Fund in Education (Used to provide financial assistance to full-time doctoral students in the College of Education; provided by gifts from Mrs. Dorothy Bush Fox through the Fox Foundation, Inc.)		\$25,000.00	\$25,000.00
The Jean Trabue Tefft, Class of 1943 Endowed Scholarship Fund in Nursing (Used to support nursing scholarships for graduate students in the neo-natal nurse practitioner program; provided by a gift from Jean Trabue Tefft and Philip Wadsworth Tefft)		25,000.00	25,000.00
The Steven Tovar Family Athletic Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of a member of the varsity football team pursuing an undergraduate degree; provided by gifts from Mr. Steven Tovar)		25,000.00	25,000.00
<u>Change in Description of Named Endowed Funds</u>			
Mager Family Scholarship Fund			
The James F. Patterson Land-Grant University Lectureship Fund			
Total		\$2,021,245.22	\$2,021,245.22

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Funds

The Leonard and Bess (Joseph) Burkett Memorial Scholarship Fund

The Leonard and Bess (Joseph) Burkett Memorial Scholarship Fund was established February 6, 2004, by the Board of Trustees of The Ohio State University with a gift from the estate of Bess Joseph Burkett Sieving (B.S.Ed., 1930) of Oregon, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

Eighty percent (80%) of the annual income shall be used to provide scholarships and twenty percent (20%) of the annual income, as well as any other income not used for scholarship grants, shall be added to the principal. Preference shall be given to residents of Fulton, Lucas, Wood, or Henry Counties enrolled in or majoring in (the first nine are in order of preference) education, agriculture, English, medicine, social work, veterinary medicine, music, natural resources, nursing, arts and sciences, business, dentistry, engineering, human ecology, law, optometry, or pharmacy. Recipients must have a good moral character, have an above average academic record in high school, be consistently above average academically at Ohio State, and be making satisfactory progress toward an undergraduate or graduate degree. Preference shall be given to students from middle-income families. Up to half of the scholarship dollars awarded each year may be used to support University, Medalist, Presidential, and/or Distinguished Scholars so long as the recipients also meet the above criteria. The remainder should be awarded to students with grade point averages of 3.0 to 3.3 on a 4.0 scale.

This scholarship will be administered by the University Committee on Student Financial Aid and, when appropriate, in consultation with the University Honors Center.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the associate provost for University Honors and Scholars in order to carry out the desire of the donor.

\$59,321.00

Harold W. Almen Scholarship Fund in Chemical Engineering

The Harold W. Almen Scholarship Fund in Chemical Engineering was established February 6, 2004, by the Board of Trustees of The Ohio State University with gifts from Harold W. Almen (B.Ch.E., 1931) of Oklahoma City, Oklahoma.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be awarded to junior or senior students pursuing a bachelor's degree in chemical engineering. Award recipients are to be selected on the basis of merit and need and must maintain at least a 2.5 grade point average. Preference is to be given to students who are United States citizens. If the above restriction regarding citizenship is prohibited by federal or state law, recipients may also include residents of the United States. Selection of the award recipients is to be made by the chairperson of the Department of Chemical Engineering in cooperation with the dean of the College of Engineering and the University Committee on Student Financial Aid. In the event the needs of the Department of Chemical Engineering change, then the annual income is to be used for graduate fellowship awards.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

Harold W. Almen Scholarship Fund in Chemical Engineering (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate administrative official of the University responsible for chemical engineering in order to carry out the desire of the donor.

\$37,413.17

The Lucy J. Schneiter Memorial Scholarship Fund in Nursing

The Lucy J. Schneiter Memorial Scholarship Fund in Nursing was established February 6, 2004, by the Board of Trustees of The Ohio State University with memorial gifts from family, friends, and colleagues of the late Lucy J. Schneiter, Instructor Emeritus (Cert.Grad.Nurse, 1941; B.S., 1950; M.S., 1966), including a gift from The Ohio State University Hospitals in honor of her years of service on the nursing staff of the Medical Center.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be divided equally between the College of Nursing and the OSU Medical Center to provide annual scholarships and awards. The selection process at the College of Nursing will be based on academic merit and financial need of a currently enrolled R.N. to B.S.N. student or a graduate-level student. Selection at the Medical Center will be based on a nomination process and criteria established and overseen by Nursing Administration at the hospital. Criteria for consideration may be, but is not limited to, a currently employed staff nurse seeking to continue his or her studies for a bachelor's degree or a master's degree. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

The dean, the assistant dean, and the associate deans of the College of Nursing will determine the college recipient. The OSU Medical Center Nursing Administration shall determine the hospital recipient based on nominations received.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Nursing and with the vice president for Health Services and CEO of the OSU Health System in order to carry out the desire of the donors.

\$27,695.00

The Patrick Colonel Diabetes Research and Education Endowment Fund

The Patrick Colonel Diabetes Research and Education Endowment Fund was established February 6, 2004, by the Board of Trustees of The Ohio State University with gifts from family, friends, and colleagues of Nancy Lane Hensel (B.S.Bus.Adm., 1973; M.B.A., 1975) and in memory of Patrick Colonel.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Patrick Colonel Diabetes Research and Education Endowment Fund (contd)

The annual income shall be used to support research and education relating to the prevention, treatment, and cure of Type I diabetes. The income may support, but it not limited to, scientific supplies, laboratory space, equipment, salaries for research personnel, and activities required for high quality medical research in the Division of Endocrinology, Diabetes, and Metabolism and in the Comprehensive Diabetes Research and Education Center (CDREC). Distribution will be made at the recommendation of the director of the Division of Endocrinology, Diabetes, and Metabolism and the director of the Comprehensive Diabetes Research and Education Center in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of the Division of Endocrinology, Diabetes, and Metabolism and the senior vice president for Health Sciences and dean of the College of Medicine and Pubic Health in order to carry out the desire of the donors.

\$25,945.00

The Dr. Rudine Sims Bishop Scholarship Fund in Children's Literature

The Dr. Rudine Sims Bishop Scholarship Fund in Children's Literature was established February 6, 2004, by the Board of Trustees of The Ohio State University, with gifts from family, friends, and colleagues of Rudine Sims Bishop.

This scholarship was established in honor of Dr. Rudine Sims Bishop, a well-known and often cited scholar in multicultural children's literature and a pioneer researcher on African American Children's Literature in the field of education. Professor Rudine Sims Bishop taught children's literature at The Ohio State University from 1986-2002. During most of those 16 years, Dr. Bishop also served as co-director of OSU's annual Children's Literature Conference. She continues to write and to serve in numerous professional organizations. Dr. Bishop's purpose and passion in life is creating opportunities for all children to see themselves in literature, hence filling a void in many children's lives.

All gifts are to be invested in the University's Permanent Endowment fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall provide financial support for doctoral students in the College of Education who are majoring in children's literature. With all other qualities being equal and unless prohibited by federal or state law, the scholarships shall be awarded with preference to, but not limited to, students from ethnic minority backgrounds. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then the Board of Trustees in consultation with the dean of the College of Education shall designate another use in order to carry out the desire of the donor.

\$25,312.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Description of Named Endowed Fund

The George P. Pettit, M.D., Scholarship Fund

The George P. Pettit, M.D., Scholarship Fund was established March 4, 1983, by the Board of Trustees of The Ohio State University with gifts from George P. Pettit (B.S., 1965; M.D. cum laude, 1969) of Portsmouth, Ohio. The description was revised February 6, 2004.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide undergraduate, graduate, or professional school scholarships for one or more students from Pike or Scioto County going into health-related fields. The selection of the recipients shall be made by the University Committee on Student Financial Aid.

Should the need for this fund cease to exist or so diminish as to provide unused income, then the income may be used for any purpose whatsoever as determined by the Board of Trustees.

Change in Name and Description of Named Endowed Funds

Dr. Michael Shih-Yuan and Anna Lee Chen Family Fund in Engineering

The Shih-Yuan Michael Chen Scholarship Fund was established July 8, 1988, by the Board of Trustees of The Ohio State University with gifts from the Chen family in memory of Dr. Michael Shih-Yuan Chen (Ph.D., 1955). The name was changed to The Michael Shih-Yuan Chen Memorial Scholarship Fund April 6, 1990. At the request of the Chen family and the College of Engineering the name and description were revised February 6, 2004, to include Dr. Chen's widow, Anna Lee Chen (Bellevue, Washington), in the title.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to help cover construction costs for mechanical engineering facilities and to support lectureships, scholarships, and emerging priorities within the Department of Mechanical Engineering. Dr. and Mrs. Chen will be appropriately recognized with each use of the income.

The Michael Shih-Yuan Chen, Ph.D. Lecture series will address topics that reflect Dr. Chen's accomplished career in business and in engineering. The chairperson of the Department of Mechanical Engineering will be responsible for managing the lecture series.

The Michael Shih-Yuan Chen, Ph.D. Scholarships will be used to support one or more mechanical engineering undergraduate scholarships for students who are from the Nanjing Institute of Technology, Nanjing, China. If there are no applicants from the Nanjing Institute of Technology, then the scholarships shall be awarded to engineering students from mainland China or, if none apply, to Chinese-American students enrolled in the College of Engineering. Scholarship recipients will be chosen by the chairperson of the Department of Mechanical Engineering in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Funds (contd)

Dr. Michael Shih-Yuan and Anna Lee Chen Family Fund in Engineering (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

The Edward F. Hayes Graduate and Undergraduate Research Awards Fund

The Edward F. Hayes Graduate Research Award Fund was established June 7, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, and the Undergraduate Student Research Forum Award was funded by the late Dr. Edward F. Hayes on May 1, 1996. The two accounts were combined and the name, description, and purpose were revised February 6, 2004, at the request of Mrs. Ann B. Hayes.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

Twenty-five percent of the annual income shall be used to provide undergraduate research awards in conjunction with The Denman Undergraduate Research Forum, while the remaining seventy-five percent of the annual income shall be used to provide graduate research awards in conjunction with The Edward F. Hayes Graduate Research Forum. Each year, any unused income should be reinvested to the principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the associate vice president in the Office of Research and the associate provost for University Honors and Scholars in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The Emalou Brumfield Endowment Fund for Mathematics Education

The Emalou Brumfield Endowment Fund for Mathematics Education was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Emalou Brumfield (M.A., 1947).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

Annual income shall be used to support mathematics education in the College of Education. It may provide financial aid for students preparing to be mathematics teachers, research assistance for faculty or graduate students, instructional materials, student and faculty travel to conferences, or other needs as determined by the faculty member directing the mathematics education program.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Emalou Brumfield Endowment Fund for Mathematics Education (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Education in order to carry out the desire of the donor.

\$466,846.05

The Dr. William Fung Family Outreach and Education
Endowment Fund at the Wexner Center for the Arts

The Dr. William Fung Family Outreach and Education Endowment Fund at the Wexner Center for the Arts was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift from Dr. William Fung (Li & Fung Limited).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the Wexner Center's education programs fostering an environment of inquiry, discovery, and learning for audiences of all ages, interests, and backgrounds. Uses of the fund shall include the broad support of all education programs: programs for teachers and schools, programs for children and families, resources for educators, and programs for adults.

The use of the annual income shall be directed by the director of the Wexner Center in consultation with the center's director of education or their designee(s).

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of the Wexner Center and the center's director of education, or their successors, in order to carry out the desire of the donor.

\$250,000.00

The Robert G. and Agnes M. Jung Memorial Fund

The Robert G. and Agnes M. Jung Memorial Fund was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Keith H. Jung, (J.D. cum laude, 1970) of Columbus, Ohio. The fund was created as a horticulture and/or floriculture memorial to his parents, Robert G. Jung (B.S.Agr., 1940) and Agnes M. Davis (B.S.H.E., 1933).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for the development and operation of the Chadwick Arboretum in the Department of Horticulture and Crop Science in the College of Food, Agricultural, and Environmental Sciences at The Ohio State University. First preference is that the income be used for the construction and maintenance of the landscaped spaces of the Chadwick Arboretum and the sponsorship of educational programs with the focus on public horticultural education.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Robert G. and Agnes M. Jung Memorial Fund (contd)

The income can also be used for any other programs deemed desirable in connection with the operation of the Chadwick Arboretum. The chairperson of the Department of Horticulture and Crop Science in conjunction with the Chadwick Arboretum Coordinating Council or its successor, and an appointed standing Chadwick Arboretum advisory committee shall approve all expenditures from the fund.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences and the chairperson of the Department of Horticulture and Crop Science or their successors in order to carry out the desire of the donor.

\$150,000.00

The George M. and Renee K. Levine Endowed
Professorship Fund in Jewish History

The George M. and Renee K. Levine Endowed Professorship Fund in Jewish History was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from George M. (B.S.Bus.Adm., 1947) and Renee K. Levine.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

At the time that this fund reaches the endowed professorship level, the annual income shall be used to attract and/or retain a distinguished scholar to hold the Levine Professorship in the field of Jewish history. The appointment to this professorship will be determined by the executive dean of the Arts and Sciences, in consultation with the dean of the College of Humanities, the chairperson of the Department of History, and the director of the Melton Center for Jewish Studies. Until the minimum funding level is reached, the annual income shall be reinvested into the principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the executive dean of the Arts and Sciences, in consultation with the dean of the College of Humanities, the chairperson of the Department of History, and the director of the Melton Center for Jewish Studies in order to carry out the desire of the donors.

\$145,480.50

The Samuel G. Swope Scholarship Fund
for The Ohio State University Marching Band

The Samuel G. Swope Scholarship Fund was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Samuel G. Swope (B.S.Bus.Adm., 1949), Louisville, Kentucky.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Samuel G. Swope Scholarship Fund
for The Ohio State University Marching Band (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide five (5) scholarships each year to five (5) members of the marching band. Scholarship recipients must be a major in music or a select individual who maintains a 3.0 grade point average and have financial need. Scholarship recipients will be chosen by the director of the School of Music and the director of the marching band or a person designated by the director of the School of Music or the director of the marching band. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid. Any unused income shall be returned to principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of the School of Music in consultation with the director of the marching band in order to carry out the desire of the donor.

\$100,000.00

The Ronald D. Harris Endowment Fund for
Excellence in Food Science and Technology

The Ronald D. Harris Endowment Fund for Excellence in Food Science and Technology was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Ronald David Harris (B.Ch.E., 1961; M.S., 1961) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

This fund is intended to increase the stature, the academic reputation, and the technical and scientific excellence in The Ohio State University's Department of Food Science and Technology. This is to be achieved primarily by using a multidisciplinary approach. Ideally, the endowment will bring closer together the discipline of food science with others in which food-related research is being done but which are not traditionally focused on the food area. These disciplines would include but are not limited to chemical, biochemical, and molecular engineering, medicine, and pharmaceutical chemistry.

Up to 80 percent of the annual distributed income shall support an annual Ronald D. Harris Honorary Lectureship. A distinguished research scientist or engineer of high academic stature will win this award using criteria set by the Department of Food Science and Technology faculty and students. If the invited lecturer gives more than one lecture, at least one should be scientific or technical in nature. A lecture might be on social or economic issues, opportunities facing the food industry, or how the selected discipline and food science might work more closely together. The invited lecturer would be expected to spend some time in personal discussions with Ohio State professors and students to exchange ideas and viewpoints. The invited lecturer is not to have an appointment at The Ohio State University.

Any funds remaining from the annual distributed income after lecture expenses may be spent on research projects or activities conducted by the department, or in any manner other than for fellowships or scholarships, that serves the purpose of the endowment. Any annual distributed

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Ronald D. Harris Endowment Fund for
Excellence in Food Science and Technology (contd)

income that is not spent will be returned to the principal to ensure a continued growth in value of the endowment.

The chairperson of the Department of Food Science and Technology will annually inform Ron Harris or his children about the use of the endowment funds.

It is the desire of the donor that this fund should benefit the University in perpetuity. Should the Department of Food Science and Technology cease to operate in essentially the same manner as it is currently, or if the use of funds cease to be multidisciplinary, it is the desire of the donor that the endowment be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, to the Department of Chemical Engineering in the College of Engineering.

\$97,011.00

The Muffin Sniadoski Student Research Endowment Fund

The Muffin Sniadoski Student Research Endowment Fund was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Joanne Sniadoski (Chillicothe, Ohio) in honor of her beloved dog Muffin.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to award research funds for veterinary students in summer research projects at the College of Veterinary Medicine who are conducting research on congestive heart failure and/or kidney failure in canines. Funds will be distributed according to established rules of the college research office.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Veterinary Medicine in order to carry out the desire of the donor.

\$74,075.68

The John and Ginny Elam Family Endowed Fund

The John and Ginny Elam Family Endowed Fund was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Virginia Elam.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the WOSU Stations for financial support of TV programs such as the *News Hour with Jim Lehrer*, British comedies, and classical music (example: *Viennese New Year's Celebration 2004*) as approved by the general manager of the WOSU Stations.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The John and Ginny Elam Family Endowed Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the general manager of the WOSU Stations in order to carry out the desire of the donor.

\$69,300.00

The John H. Dunlap, Jr. Fund for Education, Outreach,
and Development at Stone Laboratory

The John H. Dunlap, Jr. Fund for Education, Outreach, and Development at Stone Laboratory was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Shirley Dunlap Bowser (B.S.Ed., 1956) and Cliff Bowser of Williamsport, Ohio, the Kellogg Foundation, and friends of Stone Laboratory.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

Born on May 9, 1905, in Williamsport, Ohio, John (Jack) H. Dunlap, Jr. (1928) was a farmer, conservationist, educator, and an ardent supporter of The Ohio State University as a student leader and an active volunteer. He served as director of the University's Research Foundation, as a Board of Trustee member from 1968-77, and as chairman of the Board during 1975-76. Mr. Dunlap was a seventh generation farmer and nationally recognized agricultural leader. As president of The Dunlap Company, a contracting company that specialized in soil conservation, he built over 100 lakes, ponds, levees, and terraces that supported his view of utilizing farming techniques that were beneficial to the land.

The annual income shall be used to support education, outreach, and development programs at Stone Laboratory that will ultimately improve the Lake Erie ecosystem and enhance economic development. The funds will be directed by the director of the Stone Laboratory and the Ohio Sea Grant College Program.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund at Stone Laboratory should cease to exist or so diminish as to provide unused income, then the funds should be used to support scholarships in the School of Natural Resources or in the College of Food, Agricultural, and Environmental Sciences in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, the director of the School of Natural Resources, and the director of Stone Laboratory and Ohio Sea Grant in order to carry out the desire of the donors.

\$51,050.00

Morris and Fannie Skilken Family Foundation Endowment Fund
for Yiddish and Ashkenazi Studies

The Morris and Fannie Skilken Family Foundation Endowment Fund for Yiddish and Ashkenazi Studies was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Morris and Fannie Skilken Family Foundation of Blacklick, Ohio.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Morris and Fannie Skilken Family Foundation Endowment Fund
for Yiddish and Ashkenazi Studies (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support research and scholarships for students actively engaged in Yiddish and Ashkenazi studies. The fund will be administered by the Melton Center for Jewish Studies in consultation with the center's director and the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Humanities and the director of the Melton Center for Jewish Studies in order to carry out the desire of the donor.

\$48,000.00

The Sarah Schoessler Endowed Fund in Optometry

The Sarah Schoessler Endowed Fund in Optometry was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from John P. (B.S.Opt., 1965; O.D., 1966; M.S., 1968; Ph.D., 1971) and Jane A. Schoessler, and their family, friends, and colleagues.

Sarah Schoessler was a special child who lived a short life that continues to bless and guide all those who loved her. She had a contagious humor that would light up your heart. The travails with her health rarely dampened her giving and generous spirit. Sarah remains an inspiration and a gift from God.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to advance the eye care needs of children with disabilities. Recipients will be selected based on need. The dean of the College of Optometry and the college's chief of Pediatric Services will make selections. The Schoesslers will receive annual updates on the status of their fund and on how it is used.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Optometry in order to carry out the desire of the donors.

\$30,560.00

The Hilda Dierker Scholarship Fund

The Hilda Dierker Scholarship Fund was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Charles G. Mayer (B.S.Ed., 1940; M.A., 1947) of Tipp City, Ohio.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Hilda Dierker Scholarship Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support scholarships for music students who are interested in pursuing careers in public school music education. Eligible students will be talented undergraduates who are sophomores, juniors, or seniors; have a grade point average of 3.0 or above; and demonstrate financial need. Scholarships may be renewable for more than one year at the discretion of the University Committee on Student Financial Aid.

Selection of the recipient shall be made by the head of the Music Education division, in consultation with the appropriate faculty member(s) and the University Committee on Student Financial Aid, and approved by the director of the School of Music. Scholarship recipients are required to review the historical materials on file in the School of Music regarding the life and career of Hilda Dierker (B.S.Ed., 1930; M.A., 1938) to fully understand her dedication to the School of Music and its students.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of the School of Music in order to carry out the desire of the donor.

\$30,000.00

The Schoedinger Resource Initiative Fund

The Schoedinger Resource Initiative Fund was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Schoedinger Financial Services, Inc. and Schoedinger & Co.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a resource initiative for the Human-Animal Bond-Centered Education and Practice Program at The Ohio State University College of Veterinary Medicine under the direction of the hospital director.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Veterinary Medicine in order to carry out the desire of the donors.

\$30,000.00

The Kisker Scholarship Fund in Teacher Education

The Kisker Scholarship Fund in Teacher Education was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Richard G. Kisker (B.A., 1970; M.A., 1975) in memory of his parents, Leroy E. and Frances E. Kisker.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Kisker Scholarship Fund in Teacher Education (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship support to students in the College of Education with financial need who are preparing to become teachers. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Education in order to carry out the desire of the donor.

\$26,306.00

Linda R. Kurtz Scholarship Fund in Elementary Education

The Linda R. Kurtz Scholarship Fund in Elementary Education was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Charles Jewett Kurtz III (J.D., 1965) in honor of his wife, Linda Rhoads Kurtz (B.S.Ed., 1969; M.A., 1972).

Linda R. Kurtz is a career teacher and advocate for education. She spent her professional career teaching elementary school children in Bexley City Schools. She has served her alma mater for many years through her advocacy work on the College of Education Alumni Advisory Board and in 2000-01 led the advisory board serving as its chair. Linda has served as a mentor to students preparing to be teachers and has been a catalyst for other alumni to do so as well.

She was appointed by the dean to serve as the College of Education representative on the Alumni Association Advisory Council. In that capacity she continued promoting the profession of education while more broadly promoting issues essential to the University's general welfare. A teacher-leader, education advocate, and community volunteer, Linda R. Kurtz is committed to the education profession, helping young people to grow and teaching through her service to the campus and broader community.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall provide financial assistance to students in the College of Education who are preparing to be elementary teachers. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Education in order to carry out the desire of the donor.

\$25,803.82

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The James T. and Jean (Casey) Mahoney
Parkinson's Disease Research Fund

The James T. and Jean (Casey) Mahoney Parkinson's Disease Research Fund was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from James T. Mahoney (B.S.Ed., 1952; M.S. Education, University of Illinois, 1955) and Jean (Casey) Mahoney (B.S.Ed., 1942; M.A., 1956) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the Madden/National Parkinson's Foundation Center of Excellence for Parkinson's Disease at The Ohio State University to support the advancement of medical knowledge in the field of Parkinson's disease, through both basic and clinical research. The income allocation shall be made at the recommendation of the director of the Madden/National Parkinson's Foundation Center of Excellence for Parkinson's Disease in consultation with the chairperson of the Department of Neurology and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. The income may support, but is not limited to, scientific supplies, equipment, salaries for research personnel, and activities required for quality medical research.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the chairperson of the Department of Neurology and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$25,532.00

The George and Cookie Ruff Family Athletic Scholarship Fund

The George and Cookie Ruff Family Athletic Scholarship Fund was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from George E. Ruff (B.S.Agr., 1963) and his wife Cookie of Upper Arlington, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student grant-in-aid scholarship costs of a student athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of the varsity football team. The recipient will be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$25,311.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Clubface Open Breast Cancer Research Endowment Fund

The Clubface Open Breast Cancer Research Endowment Fund at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from friends and family of The Clubface Open.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support breast cancer research programs at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president of Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$25,283.00

The John and Mary Alford Memorial Fund

The John and Mary Alford Memorial Fund was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Michael (B.S.Bus.Adm., 1978) and Barbara Cantlin.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship costs for a student athlete who is a member of the varsity football team pursuing an undergraduate degree at The Ohio State University. The student athlete must have a grade point average of at least 3.0. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Pat and Vince Aveni Dean's Innovation Fund

The Pat and Vince Aveni Dean's Innovation Fund was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Pat and Vince Aveni (B.S.Bus.Adm., 1947) of Gates Mills, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the dean of The Max M. Fisher College of Business to promote initiatives by the faculty and staff designed to increase the quality of teaching, research, and involvement with the business community.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the Fisher College of Business in order to carry out the desire of the donors.

\$25,000.00

The Lorena and Mary Ann Johnston Memorial Scholarship Fund
in Pediatric Advanced Practice Nursing

The Lorena and Mary Ann Johnston Memorial Scholarship Fund in Pediatric Advanced Practice Nursing was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Lance and Elaine Johnston of Reynoldsburg, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support nursing scholarships for graduate students pursuing a master's degree at the College of Nursing, with preference given to students training to be pediatric advanced practice nurses.

All scholarships are to be awarded based on merit and can be used for recruiting purposes. The dean, the assistant dean, the associate deans of the College of Nursing and the faculty who teach pediatric nursing shall select the recipients in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with Lance and Elaine Johnston or their designee, the dean, assistant dean, and associate deans of the College of Nursing in order to carry out the desire of the donors.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Robert C. Leonhart Memorial Scholarship Fund
in Industrial and Systems Engineering

The Robert C. Leonhart Memorial Scholarship Fund in Industrial and Systems Engineering was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the Alfred and Frances Ross Foundation in honor of Mr. and Mrs. Ross' late son-in-law, Robert C. Leonhart (B.I.E., 1959).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

Ninety percent (90%) of the annual income shall be used to provide scholarship support for industrial and systems engineering juniors and seniors who exhibit exceptional potential, are academically strong, and are deserving of financial assistance. Preference will be given to United States citizens who are Ohio high school graduates with a demonstrated interest in manufacturing careers. If the above restriction regarding citizenship is prohibited by federal or state law, recipients may also include residents of the United States. Each Robert C. Leonhart Scholarship recipient will be eligible to compete for renewed selection based upon academic promise and a pending review of progress towards graduation.

The award recipients shall be chosen by the chairperson and the Scholarship Committee for the Department of Industrial, Welding, and Systems Engineering in consultation with the College of Engineering Scholarship Committee and the University Committee on Student Financial Aid. The remaining ten percent (10%) of the income will be reinvested in the principal along with any unused earnings at the end of each fiscal year.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the chairperson for the Department of Industrial, Welding, and Systems Engineering in order to carry out the desire of the donors.

\$25,000.00

The Dr. Ronald C. Rogers Scholarship Fund in Education

The Dr. Ronald C. Rogers Scholarship Fund in Education was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mrs. Dorothy Bush Fox (B.S.Ed., 1933) through the Fox Foundation, Inc. Mrs. Dorothy Bush Fox established this fund to honor her son-in-law, Dr. Ronald C. Rogers (M.A., 1982; Ph.D., 1983), and his passion for education and excitement for teaching and learning.

In his unflagging pursuit of higher education while supporting a family and pursuing a career, Dr. Rogers was able to achieve his educational goals because of scholarships. Mrs. Fox admired his perseverance and recognizes the value of creating opportunities to provide financial support to returning adult students. Dr. Rogers knows first-hand that, for many, pursuing a higher education may not be an option were it not for the vision, kindness, and generous spirit of those who establish scholarship funds. And so it is that The Dr. Ronald C. Rogers Scholarship Fund in Education is established out of respect for the persevering spirit of those who have a passion for learning and a desire to achieve a doctoral degree.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Dr. Ronald C. Rogers Scholarship Fund in Education (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall provide financial assistance to doctoral students in the College of Education who are pursuing the doctorate full-time. It is the desire of the donor for this scholarship to be awarded to a student or students who have worked for some time after receiving a bachelor's degree. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Education in order to carry out the desire of the donor.

\$25,000.00

The Jean Trabue Tefft, Class of 1943 Endowed Scholarship Fund in Nursing

The Jean Trabue Tefft, Class of 1943 Endowed Scholarship Fund in Nursing was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Jean Trabue Tefft (Cert.Grad.Nurse, 1943) and Philip Wadsworth Tefft (B.C.E., 1940).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support nursing scholarships for graduate students enrolled in the neo-natal nurse practitioner program based on merit and financial need. If there is not an appropriate student in the neo-natal program, then a graduate student pursuing a master's degree in pediatric nursing will be selected. The dean, the assistant dean, the associate deans of the College of Nursing and the faculty who teach neo-natal nursing and pediatric nursing shall select the recipients in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean, assistant dean, and associate deans of the College of Nursing in order to carry out the desire of the donors.

\$25,000.00

The Steven Tovar Family Athletic Scholarship Fund

The Steven Tovar Family Athletic Scholarship Fund was established February 6, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mr. Steven Tovar (B.S.Ed., 2002) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Steven Tovar Family Athletic Scholarship Fund (contd)

The annual income shall be used to supplement the grant-in-aid scholarship costs of a scholar-athlete who is a member of the varsity football team pursuing an undergraduate degree at The Ohio State University. The first preference for the recipient of the award is a team member playing the linebacker position. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$25,000.00

Change in Description of Named Endowed Funds

Mager Family Scholarship Fund

The Mager Family Scholarship Fund was established February 1, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from members of the Mager family. The description was revised February 6, 2004, at the request of family member Richard D. Mager (B.S.I.E., 1972), Western Spring, Illinois, and the dean of the College of Engineering.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support one or more scholarships for deserving undergraduates who are pursuing a bachelor's degree in engineering. Preference will go to graduates of any Belmont County (Ohio) high school, with special preference to the St. John Central School. Recipients will be selected by the dean of the College of Engineering in consultation with the University Committee on Student Financial Aid. All unused income will be added to principal by the end of each fiscal year.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Engineering in order to carry out the desire of the donors.

The James F. Patterson Land-Grant University Lectureship Fund

The James F. Patterson Land-Grant University Lectureship Fund was established May 2, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from The Ohio State University Board of Trustees, the Nationwide Mutual Insurance Company, the Ohio Farm Bureau Federation, and friends. The description was revised February 6, 2004.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Description of Named Endowed Funds (contd)

The James F. Patterson Land-Grant University Lectureship Fund (contd)

The annual income shall be used to support an annual lectureship in James F. Patterson's name on the cause to which he is most committed, a vibrant University fulfilling its land-grant mission in an ever-changing world. It will bring to campus annually a prominent figure to speak to the range of challenges facing land-grant institutions in the 21st century and beyond.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with The Ohio State University Board of Trustees in order to carry out the desire of the donors.

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 2004-97

OCTOBER - DECEMBER 2003

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, November 7, 1997, and March 1, 2002 provides that the President and/or the Senior Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, the Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Senior Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of October - December 2003; and

WHEREAS during the period covered, the Senior Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 49 waivers of competitive bidding requirements for annual purchases totaling approximately \$5,168,700 as shown on the enclosed exhibit; and

WHEREAS during the period covered, the Vice President for Health Services, at the requests of the Hospitals of the University and their related facilities making purchases, granted 28 waivers of competitive bidding requirements for annual purchases totaling approximately \$7,215,000 as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of October-December 2003, is hereby accepted.

(See Appendix XXXI for background information, page 819.)

REQUEST FOR DESIGN AND CONSTRUCTION MANAGER AUTHORIZATION

Resolution No. 2004-98

CAMPUS GROUNDS – SOUTH DORMS SITE IMPROVEMENTS
MANSFIELD CAMPUS – REPLACE/RENOVATE ROOFS
MCCRACKEN POWER PLANT CENTRAL STEAM SUPPLY SAE INJECTION
PARKER FOOD SCIENCE & TECHNOLOGY – CONSTRUCT NEW LAB
UNIVERSITY HOSPITALS EAST – SLEEP LAB
VARIOUS CAMPUS BUILDING FUME HOOD REPAIRS

REQUEST FOR CONSTRUCTION AUTHORIZATION

650 ACKERMAN – OSUMC DATA CENTER
ANIMAL SCIENCE-ROOF REPLACEMENT
FISHER & GERLACH HALLS – DOOR & JAMB REPLACEMENT
GRAVES HALL – TEMPORARY MOUSE BUILDINGS
MCCRACKEN POWER PLANT CHILLER EXPANSION
MEDICAL CENTER SIGNAGE AND WAYFINDING
POMERENE HALL – HOT WATER HEATING SYSTEM REPLACEMENT
VETERINARY HOSPITAL – ROOF REPLACEMENT PHASE II
WEST CAMPUS BIOCONTAINMENT LABORATORY
WISEMAN HALL – BUILDOUT OF 3RD FLOOR SHELL SPACE

Synopsis: Authorization to enter into design services for Campus Grounds – South Dorms Site Improvements, Mansfield Campus – Replace/Renovate Roofs, McCracken Power Plant Central Steam Supply SAE Injection, Parker Food Science & Technology – Construct New Lab, University Hospitals East – Sleep Lab, Various Campus Building Fume Hood Repairs and construction contracts for 650 Ackerman – OSUMC Data Center, Animal Science-Roof Replacement, Fisher & Gerlach Halls – Door & Jamb Replacement, Graves Hall – Temporary Mouse Buildings, McCracken Power Plant Chiller Expansion, Medical Center Signage and Wayfinding, Pomerene Hall – Hot Water Heating System Replacement, Veterinary Hospital – Roof Replacement Phase II, West Campus Biocontainment Laboratory and Wiseman Hall – Buildout of 3rd Floor Shell Space is requested.

WHEREAS the University desires to develop and implement plans to improve pavements, landscaping and site furnishings in the south dorms area; and

WHEREAS the preliminary cost estimate is \$1,175,000, with funding to be provided by Student Affairs local funds (\$175,000) and HB 675 (\$1,000,000); and

WHEREAS the University desires to repair and renovate certain roofs on the Mansfield Campus; and

WHEREAS the preliminary cost estimate is \$292,500, with funding to be provided by HB 675 (\$133,900) and North Central Technical College (158,600); and

WHEREAS the University desires to upgrade the steam injection system at the McCracken Power Plant to better service the utilities requirements of the campus; and

WHEREAS the preliminary cost estimate is \$700,000 with funding to be provided by future university bond proceeds, with debt service to be paid by an increase in the central utility assessment; and

WHEREAS the University desires to finish the basement of Parker Food Science and Technology Building to accommodate the Soil Microbial Ecology laboratory; and

WHEREAS the preliminary cost estimate is \$450,000 with funding to be provided by the College of Food, Agricultural, and Environmental Sciences general funds; and

REQUEST FOR DESIGN AND CONSTRUCTION MANAGER AUTHORIZATION (contd)

WHEREAS the University desires to renovate space at the University Hospital East in order to relocate a sleep disorder laboratory; and

WHEREAS the preliminary cost estimate is \$1,150,000 with funding to be provided by the University Hospital East auxiliary funds; and

WHEREAS the University desires to upgrade fume hoods and related ductwork on a number of classroom buildings; and

WHEREAS the preliminary cost estimate is \$765,000 with funding to be provided by HB 675; and

WHEREAS the University desires to renovate space at 650 Ackerman Road to accommodate the relocation of the Medical Center Data Center; and

WHEREAS the construction document project cost is \$5,129,479 with funding to be provided by the University Hospital auxiliary funds; and

WHEREAS the University desires to replace the roof on the Animal Science building; and

WHEREAS the construction document project cost is \$350,000 with funding to be provided by HB 675; and

WHEREAS the University desires to replace the door jambs on Fisher and Gerlach Halls; and

WHEREAS the construction document project cost is \$140,000 with funding to be provided by HB 675; and

WHEREAS the University desires to construct temporary buildings to house the laboratory mice during the Laboratory Animal Facilities project renovation at Graves Hall; and

WHEREAS these buildings are pre-fabricated, and required no design work prior to ordering the units for construction; and

WHEREAS the project cost is \$675,000 with funding to be provided by the Office of Research general funds; and

WHEREAS the University desires to add capacity to the McCracken chilled water plant in order to properly serve future buildings; and

WHEREAS the project cost is his project is \$8,000,000 with funding to be provided by future university bond proceeds and debt service to be paid by an increase in the central utility assessment; and

WHEREAS the University desires to install directional signs and vehicular wayfinding in and around the Medical Center Campus, and

WHEREAS the construction document project cost is \$360,000, with funding to be provided by University Hospitals (\$235,000) and future university bond proceeds (\$125,000) with debt service to be paid by the University Hospitals, and

WHEREAS the University desires to replace the heating hot water system in Pomerene Hall; and

WHEREAS the construction document project cost is \$350,000 with funding to be provided by HB 675; and

WHEREAS the University desires to replace the roof on the Veterinary Hospital; and

REQUEST FOR DESIGN AND CONSTRUCTION MANAGER AUTHORIZATION (contd)

WHEREAS the construction document project cost is \$650,000 with funding to be provided by HB 675; and

WHEREAS the University desires to construct a mechanical room for the installation of a Biocontainment Laboratory; and

WHEREAS the project cost has increased due to cost escalations in the modular laboratory buildings as well as increases involved in the installation of electrical and steam utilities; and

WHEREAS the revised project cost is \$2,750,000 with funding to be provided by College of Medicine general funds; and

WHEREAS the University desires to build out the 3rd floor shell space of Wiseman Hall to accommodate a research staff; and

WHEREAS the construction document project cost is \$3,569,940 with funding to be provided by the James Cancer Center and Solove Research Institute:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to select qualified architectural/engineering/construction management firms as necessary for Campus Grounds – South Dorms Site Improvements, Mansfield Campus – Replace/Renovate Roofs, McCracken Power Plant Central Steam Supply SAE Injection, Parker Food Science & Technology – Construct New Lab, University Hospitals East – Sleep Lab, and Various Campus Building Fume Hood Repairs; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to request construction bids for 650 Ackerman – OSUMC Data Center, Animal Science-Roof Replacement, Fisher & Gerlach Halls – Door & Jamb Replacement, Graves Hall – Temporary Mouse Buildings, McCracken Power Plant Chiller Expansion, Medical Center Signage and Wayfinding, Pomerene Hall – Hot Water Heating System Replacement, Veterinary Hospital – Roof Replacement Phase II, West Campus Biocontainment Laboratory and Wiseman Hall – Buildout of 3rd Floor Shell Space are hereby accepted.

(See Appendix XXXII for background information and maps, page 821.)

**ROADWAY EASEMENT
PIKE COUNTY, OHIO**

Resolution No. 2004-99

Synopsis: Authorization to grant a perpetual roadway easement to Pike County for the construction, operation, and maintenance of certain roadway improvements, installations, enhancements, facilities and equipment related to the widening of Shyville and Schuster Roads in Pike County, Ohio, is proposed.

WHEREAS Pike County, Ohio, has requested a perpetual easement for the construction, operation, and maintenance of certain roadway improvements, installations, enhancements, facilities and equipment related to the widening of Shyville and Schuster Roads, over and across land containing approximately 0.0375 acres of University land located at the intersection of Shyville and Schuster Roads; and

WHEREAS the appropriate University offices have determined that the grant of this easement is in the best interest of the University:

**ROADWAY EASEMENT
PIKE COUNTY, OHIO (contd)**

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized, pursuant to Ohio Revised Code Section 3345.18, to grant to Pike County, Ohio, this perpetual roadway easement.

(See Appendix XXXIII for background information and map, page 861.)

EASEMENT

Resolution No. 2004-100

OHIO BELL TELEPHONE COMPANY, D.B.A. S.B.C. OHIO, INC.,
AT THE GRADUATE AND PROFESSIONAL STUDENT HOUSING PROJECT
COLUMBUS, OHIO

Synopsis: Authorization to grant an easement to Ohio Bell Telephone Company, d.b.a. S.B.C. Ohio, Inc., an Ohio corporation (SBC) for improvements, installations, enhancements, facilities, and equipment related to providing telephone service, is proposed.

WHEREAS SBC has requested a 25-year easement for the construction of certain improvements, installations, enhancements, facilities and equipment related to providing telephone service to the Graduate and Professional Student Housing Project; and

WHEREAS the appropriate University offices have determined that the grant of this easement is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to request the Deputy Director of Public Works of the Department of Administrative Services, pursuant to his or her statutory duties, to act for and on behalf of the University in developing and executing the necessary legal instruments to provide for this easements.

(See Appendix XXXIV for background information and map, page 863.)

BUDGET VERSUS ACTUAL REPORT

Resolution No. 2004-101

FISCAL YEAR 2002-03

Synopsis: The budget versus actual report for fiscal year 2002-2003 is submitted for approval.

WHEREAS the Fiscal Year 2002-03 audit of the University's income and expenditures is now complete; and

WHEREAS the Current Funds Budget for FY 2002-03 has been compared with the audited income and expenditures for FY 2002-03 and any significant variances explained:

NOW THEREFORE

BUDGET VERSUS ACTUAL REPORT (contd)

FISCAL YEAR 2002-03 (contd)

BE IT RESOLVED, That the budget versus actual report for FY 2002-03 be approved.

(See Appendix XXXV for background information, page 865.)

**FISCAL YEAR 2003-04
MID-YEAR BUDGET REPORT**

Resolution No. 2004-102

Synopsis: The mid-year (second quarter) budget report for fiscal year 2003-04 is submitted for adoption.

WHEREAS federally sponsored research grants are 6.4% above last year and indirect cost recoveries in the first six months of FY 04 are higher than originally budgeted; and

WHEREAS the University's enrollments for Summer through Winter Quarters are now known, allowing for a more accurate statement of the University's student fee revenue; and

WHEREAS appropriate planning and consultation within the University have been accomplished and the President recommends approval of the mid-year budget report:

NOW THEREFORE

BE IT RESOLVED, That the appropriate resources and expenditure budgets for FY 2003-04 be adjusted to reflect these changes as of the end of second quarter as described in the attached materials.

(See Appendix XXXVI for background information, page 871.)

Upon motion of Ms. Longaberger, seconded by Mr. McFerson, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Sofia, Slane, McFerson, Borrer, O'Dell, and Judge Duncan, Meses. Longaberger, Hendricks, and Davidson.

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Thereupon the Board adjourned to meet Friday, March 5, 2004, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

David O. Frantz
Secretary

Zuheir Sofia
Chairman

(APPENDIX XXX)

**PROPOSED AMENDMENT TO THE BYLAWS OF THE
ARTHUR G. JAMES CANCER HOSPITAL AND
RICHARD J. SOLOVE RESEARCH INSTITUTE BOARD**

IMPACT STATEMENT

The proposed amendments to the Bylaws of the Medical Staff of The James Cancer Hospital and Richard J. Solove Research Institute would provide for the following revisions which would clarify language to achieve uniformity and alignment with current practice:

- Edit board certification language for clarity
- Clarify language regarding 24-month reappointment cycle
- Clarify language regarding participation by the “attending staff” in clinical research programs in the procedure for reappointment
- Change language of representation relative to delegates at large to simply identify delegates as members of the medical staff
- Add electronic ballot to the method of voting and clarify time of election relative to election of medical staff officers
- Change in election of officer (slating) process
- Change in election of officer (floor nomination) process
- Change the number of delegates at large
- Add Immediate Past Chief of Staff to the membership of the Medical Staff Administration
- Change composition and duties of credential committee to fit that of current combined committee
- Provided for electronic submission of ballots
- Change all pertinent referrals to CHRI chief, CHRI section chief, section chief of CHRI throughout the bylaws to a common nomenclature of “Section Chief”

AMENDED MEDICAL STAFF BYLAWS

3335-111-01 Medical staff name.

The board of trustees of the Ohio state university, by official action, established "the Arthur G. James cancer hospital and Richard J. Solove research institute (CHRI)." The executive director of the CHRI serves as the chief executive and operating officer of the CHRI and in this capacity is accountable to the senior vice president for health sciences and vice president for health services and the board. Hereinafter, the term "director" shall mean the executive director of the CHRI; the abbreviation "CHRI" shall mean the Arthur G. James cancer hospital and Richard J. Solove research institute; the term "medical staff" shall refer to the medical staff of the cancer hospital and research institute. "The medical staff of the Arthur G. James cancer hospital and Richard J. Solove research institute" shall be the name of the hospital's medical staff organization. In accordance with rules 3335-109-01 to 3335-109-20 of the Administrative Code, the CHRI board has delegated to the medical staff of the CHRI the responsibility to prepare and recommend adoption of these bylaws. (B/T 9/1/93, B/T 2/5/99, B/T 9/6/2002, B/T 2/6/2004)

3335-111-03 Patients.

- (A) Unchanged.
- (B) There shall be only one category or classification of patients in the CHRI, and those patients are the private patients of the medical staff under whose care they are admitted. Patients admitted to the CHRI who, prior to admission, have not requested or selected a member of the medical staff to attend them shall be assigned for their care and treatment to a member of the medical staff by the section chief of the appropriate clinical service or the section chief's designee.
- (C) Unchanged.

3335-111-04 Membership.

- (A) Qualifications.
 - (1) and (2) unchanged.
 - (3) Board certification.

An applicant for membership shall at the time of appointment or reappointment, be and remain board certified in a medical specialty approved by at least one of the American medical association and American board of medical specialties, or other applicable certifying boards for doctors of osteopathy, podiatry, psychology, and dentistry. All applicants must be and remain certified within the specific areas for which they have requested clinical privileges. An applicant who is an active candidate for board certification at the time of initial appointment or reappointment shall have three years from the date eligibility was first attained to become board certified. Board certification is a continuing requirement. Whenever recertification is required by a subspecialty board approved herein, applicants for appointment or reappointment shall meet the terms of recertification established by their respective subspecialty board or boards. Failure to meet or maintain board certification will result in immediate termination from the medical staff. This requirement may be waived by vote of the medical staff administrative committee,

AMENDED MEDICAL STAFF BYLAWS (contd)

upon the recommendation of the credentials committee or the director of medical affairs.

(4) through (8) unchanged.

(B) Application for membership.

Initial application for medical staff membership shall be made by the applicant to the director or designee on prescribed forms stating the qualifications and references of the applicant and giving an account of professional training and experience. Applications may be made only if the qualifications are fulfilled as outlined in paragraph (A) of this rule and recommendations are obtained within a reasonable time from the section chief of the ~~CHRI section~~, chief of the clinical division and the appropriate academic department chairperson. See paragraph (E)(1) of rule 3335-111-07 of the Administrative Code for exceptions to signature requirements. It shall include written statements which commit the applicant to abide by the bylaws, rules and regulations of the medical staff, and the board of trustees of the Ohio state university. The application shall also contain a statement acknowledging that medical staff membership requires participation in and cooperation with the peer review processes of evaluating credentials, medical staff membership and clinical privileges, and that a condition for membership requires mutual covenants between all members of the medical staff to release one another from civil liability in these review processes as long as the peer review is not conducted in bad faith, with malice, or without reasonable effort to ascertain the accuracy of information being disclosed or relied upon.

(C) Terms of appointment.

Initial appointment to the medical staff, except for honorary and limited categories, will be provisional for a period of six months (but may extend to the end of the fiscal year, first of July through thirtieth of June) or following fiscal year depending upon date on which applicant first becomes eligible for reappointment, not to exceed twenty-four months. Full appointment requires the evaluation of the department chair and, in the case of community oncologists and associates, receipt of the evaluation provided by the chief of the clinical service in other hospitals in which they hold privileges. The burden of proof for providing this information is on the applicant. Extension of medical staff membership and privileges shall be on a biennial basis unless there is a change in employment relationship.

(D) Unchanged.

(E) Procedure for appointment.

(1) Unchanged.

The application for medical staff membership and/or clinical privileges shall be deemed complete when the following documents and information are received by the director or ~~designee~~ designee; notice of faculty appointment, (except for community oncologist attending staff and community associate staff), completed and signed application, photograph attached, photostat of license, DEA certificate and board certification (including verification by a primary source), section chief of ~~CHRI section~~, chief of clinical division (if applicable) and academic department chairperson's recommendations, except as otherwise specified in these ~~rules~~

AMENDED MEDICAL STAFF BYLAWS (contd)

bylaws, three letters of recommendation or reference forms, at least one of which references the applicant's current health status, delineation of privileges form including, but not limited to, documentation of training and experience when privileges for laparoscopic procedures, sedation and/or use of lasers are requested, and malpractice insurance policy face sheet.

- (2) The director or designee will forward the application to the chairperson of the appropriate academic department for review and verification of faculty status. The director or designee will forward the application to the section chief ~~of each section~~ to which the applicant is being appointed. The chairperson and the section chief ~~of section~~ shall signify their recommendation on the signature page of the application.
 - (3) Unchanged.
 - (4) The medical staff administrative committee (see paragraph (B) of rule 3335-111-10 of the Administrative Code) shall recommend to the director, the appropriate academic department chairperson, and the ~~chief of the CHRI~~ chief that the application be accepted, further investigated, or rejected. The director will forward the candidate's qualifications, with recommendations to the Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board (herein CHRI board). Under certain circumstances, the application may be reviewed and approved by a designated subcommittee of the CHRI board prior to presentation to the full board. Following approval by the CHRI board or the designated subcommittee of the ~~hospital~~ CHRI board, the candidate is eligible to participate in patient care activities as defined on the approved clinical privilege form. In order for an applicant to be eligible for expedited approval by the subcommittee of the CHRI board, the application must contain no significant prior unresolved malpractice actions, no reference problems, few prior hospital affiliations and no reports of disciplinary action, licensure restrictions, involuntary limitations on clinical privileges, medical staff membership or any type of competency issue. The expedited process is intended to streamline only the approval process. It does not modify the credentials verification process.
 - (5) Unchanged.
- (F) Procedure for reappointment.
- (1) At least sixty days prior to the scheduled final medical staff administrative committee meeting of the fiscal year (first of July through thirtieth of June), the chairperson of the appropriate academic department and ~~the chief of each CHRI~~ section chief shall review medical staff members for the purpose of determining recommendations for reappointment to the medical staff and for granting clinical privileges for the ensuing two years. Those recommendations shall be transmitted in writing to the director at least thirty days prior to the scheduled final medical staff administrative committee meeting of the fiscal year. The credentials committee shall review each applicant's quality assurance record to see that the same level of quality care is delivered by all medical staff members with similar delineated clinical privileges across all sections and across all categories of ~~medical~~ medical staff membership. The applicant, for reappointment or renewal of clinical privileges, may be required to submit evidence of current ability to perform privileges requested. Where reappointment is recommended the subsequent

AMENDED MEDICAL STAFF BYLAWS (contd)

procedure will be the same as upon appointment, but, in addition, the individual's adherence to quality assurance standards as well as cooperation with and participation in other peer review activities will be taken into account. Where a recommendation to not reappoint or to change clinical privileges is made, the reason for such recommendation shall be stated. The director of medical affairs will forward the appropriate recommendations to the medical staff administrative committee.

- (2) The basis on which the chairperson of the academic department and the section chiefs ~~of the sections~~ of the CHRI are to act in regard to the reappointment of a medical staff member and the clinical privileges to be granted upon such reappointment shall be a member's professional competence; clinical judgment; ethical conduct; current licensure, listing of CME units, fifty per cent of which shall relate to the applicant's clinical specialty and are consistent with the licensing requirements of the applicable state professional board and privileges requested; health status, including any reasonable evidence and/or health assessment that may be requested by the credentials committee, the medical staff administrative committee or committee on physician health; annual PPD test; participation in clinical research programs; participation in staff affairs including attendance at committees to which member has been appointed or elected; compliance with the bylaws, rules and regulations of the medical staff including quality assurance responsibilities, and the board of trustees of the Ohio state university; cooperation with CHRI personnel; relations with other physicians, other health professionals or other staff; professional attitude toward patients; clinical and technical skills as indicated in part by the results of monitoring and evaluation activities; adherence to the access and communication standards; and, fulfillment of responsibilities to the university and the public. Continued membership on the medical staff requires participation in the patient care activities of the CHRI. A staff member who fails to admit patients or engage in comparable patient care activities in the CHRI (as determined by the director of medical affairs) for the preceding year will not be eligible for reappointment to the medical staff. The candidate may reapply for membership on the medical staff by procedures set forth in this rule subject to the same provisions and probation as new applicants for membership.

Balance unchanged.

3335-111-05 Corrective action.

- (A) Informal review.

All medical staff members agree to cooperate in review activities that are solely intended to improve the quality of medical care provided to patients at the CHRI and by the individual medical staff member. Such activities may include the handling and informal review of complaints, including patient complaints, disagreements, questions of clinical competence, or conduct and variation in clinical practice identified by the clinical sections or divisions and medical staff committees. The resulting information from such activities shall be furnished to the applicable academic department chair and ~~CHRI~~ section chief. Upon review by the ~~CHRI~~ section chief, and with concurrence of the director of medical affairs, the review activity may result in consultation with the medical staff member, recommendations for educational actions or additional training, sharing of comparative data, monitoring, informal letters of reprimand or warning or other methods of guidance to the medical staff member to assist them in improving the quality of patient care outside of

AMENDED MEDICAL STAFF BYLAWS (contd)

the mechanism for formal review in paragraph (B) of this rule. Such actions are not regarded as adverse, do not require reporting to any governmental or other agency, and do not invoke a right to any hearing. In each case under review, an initial written determination shall be made by the ~~CHRI~~ section chief and the director of medical affairs whether:

- (1) and (2) unchanged.
 - (3) Peer review under paragraph (B) of this rule is warranted. In cases where the ~~CHRI~~ section chief and director of medical affairs cannot agree, the matter shall be submitted and determined as set forth in paragraph (B) of this rule. The ~~CHRI~~ section chief and the director of medical affairs shall determine whether it is appropriate to include documentation of such actions in the medical staff member's file. If documentation is included in the member's file, the affected member shall have an opportunity to review it and may make a written response which shall also be placed in the member's file.
- (B) Peer review.
- (1) Peer review may be requested in more serious situations or where informal review has not resolved an issue or whenever the activities or professional conduct of a member of the medical staff of the CHRI:
 - (a) through (e) unchanged.

Peer review may be initiated by a department chair, the ~~CHRI~~ section chief, the director of medical affairs, any member of the medical staff, the director of the CHRI, the dean of the college of medicine and public health, any member of the CHRI board, or the vice president for health services. All requests for peer review shall be in writing, shall be submitted to the director of medical affairs, and shall be supported by reference to the specific activities or conduct which constitute grounds for the requested action.
 - (2) and (3) unchanged.
 - (4) The peer review committee shall investigate every request and shall report in writing within thirty days its findings and recommendations for action to the appropriate academic department chairperson and ~~CHRI~~ section chief. In making its recommendation the peer review committee may consider as appropriate, relevant literature and clinical practice guidelines, all the opinions and views expressed throughout the review process, and any information or explanations provided by the member under review. Prior to making its report, the medical staff member against whom the action has been requested shall be afforded an opportunity for an interview with the peer review committee. At such interview, the medical staff member shall be informed of the specific activities alleged to constitute grounds for peer review, and shall be afforded the opportunity to discuss, explain or refute the allegations against the medical staff member. The medical staff member may furnish written or oral information to the peer review committee at this time. However, such interview shall not constitute a hearing, but shall be investigative in nature. The medical staff member shall not be represented by an attorney at this interview.

AMENDED MEDICAL STAFF BYLAWS (contd)

- (5) Unchanged.
- (6) Following receipt of the recommendation from the academic department chairperson and the report from the peer review committee, the director of medical affairs, or the senior vice president for health sciences or designee, shall have ten days to approve or to modify the determination of the academic department chairperson. Following receipt of the report of the academic department chairperson, the director of medical affairs or senior vice president for health sciences or designee shall decide whether the grounds for the requested corrective action are such as should result in a reduction, suspension or revocation of clinical privileges. If the director of medical affairs, or senior vice president for health sciences or designee, decides the grounds are not substantiated, the director of medical affairs will notify the peer review committee; department chairperson; ~~CHRI~~ section chief; person(s) who filed the complaint and the affected medical staff member, in writing, that no further action will be taken.

Balance unchanged.

(C) Composition of peer review committee.

- (1) When the determination that peer review is warranted is made, the ~~CHRI~~ section chief shall select three members of the medical staff to serve on a peer review committee.
- (2) Whenever the questions raised concern the clinical competence of the member under review, the ~~CHRI~~ section chief shall select members of the medical staff to serve on the peer review committee who shall have similar levels of training and qualifications as the member who is subject to peer review.
- (3) An outside review consultant may serve as a member of the peer review whenever:
- (a) A determination is made by the ~~CHRI~~ section chief and the director of medical affairs that the clinical expertise needed to conduct the review is not available on the medical staff;
- (b) and (c) unchanged.

If an outside reviewer is recommended, the ~~CHRI~~ section chief shall make a written recommendation to the director of medical affairs for selection of an outside reviewer. The director of medical affairs shall make the final selection of an outside reviewer.

(D) Summary suspension.

- (1) Notwithstanding the provisions of this rule, a member of the medical staff shall have all or any portion of clinical privileges immediately suspended or appointment terminated by the director, in consultation with the ~~chief of the member's CHRI~~ section chief and the director of medical affairs whenever such action must be taken in the best interest of patient care. Such summary suspension shall become effective immediately upon imposition and the medical staff member will be subsequently notified in writing of the suspension by the director. Such notice shall be by certified receipt return mail to the affected medical staff member's last known address as determined by university records.

AMENDED MEDICAL STAFF BYLAWS (contd)

- (2) Unchanged.
- (3) Immediately upon the imposition of a summary suspension, the director in consultation with the appropriate ~~chief of a CHR~~ section chief, shall have the authority to provide for alternative medical coverage for the patients of the suspended medical staff member who remain in the hospital at the time of suspension. The wishes of the patient shall be considered in the selection of such alternative medical coverage.

Balance unchanged.

3335-111-06 Hearing and appellate review procedure.

- (A) Right to hearing before the medical staff administrative committee and to appellate review.
 - (1) When a member of the medical staff has exhausted remedies under paragraph (F) of rule 3335-111-04 of the Administrative Code on reappointments; or under rule 3335-111-05 of the Administrative Code for corrective action; or who has been summarily or automatically suspended under paragraph (D) or (E) of rule 3335-111-05 of the Administrative Code receives notice of a proposed action by the executive director or the director of medical affairs that will adversely affect reappointment as a member of the medical staff or the exercise of clinical privileges, the staff member shall be entitled to an adjudicatory hearing.
 - (2) Unchanged.
- (B) Request for hearing.
 - (1) The request for a hearing shall be submitted in writing by the affected medical staff member to the executive director within thirty-one days of notifications by the executive director of the intended action. The executive director shall forward the request to the medical staff administrative committee along with instructions to convene a hearing.
 - (2) The failure of a medical staff member to request a hearing to which the member is entitled by these bylaws within the time and in the manner herein provided, shall be deemed a waiver of the member's right to any review by the medical staff administrative committee to which the member might otherwise be entitled. The executive director shall then implement the decision and that action shall become and remain effective against the medical staff member in the same manner as a final decision of the CHR board as provided for in paragraph (E) of this rule. The executive director shall promptly inform the affected medical staff member that the proposed decision which had entitled the medical staff member to a hearing has now become final.
- (C) Notice of hearing.
 - (1) After receipt of a timely request for hearing by the executive director from a medical staff member entitled to such hearing, the medical staff administrative committee shall be notified of the request for hearing by the executive director, and shall at the next scheduled meeting take the following action:

AMENDED MEDICAL STAFF BYLAWS (contd)

(a) and (b) unchanged.

(2) Unchanged.

(D) Conduct of hearing.

(1) through (5) unchanged.

(6) The affected medical staff member shall have the following rights: ~~To~~ to be represented by an attorney at law and to call and examine witnesses; to introduce evidence; to cross-examine any witnesses on any matter relevant to the issue of the hearing; and to challenge any witness and to rebut any evidence. If the medical staff member does not testify in his/her own behalf, the member may be called and examined as if under cross examination.

(7) through (13) unchanged.

(E) Appeal process.

(1) through (6) unchanged.

(7) Any final decision by the CHRI board shall be communicated by the director by certified return receipt mail to the affected medical staff member at the member's last known address as determined by university records. The director shall also notify in writing the senior vice president for health sciences, the dean of the college of medicine and public health, the chief medical officer of OSU health system, the vice president for health services, the director of medical affairs, chief of staff, the ~~CHRI~~ section chief, academic department chairperson and the person(s) who initiated the request for peer review. The director shall take immediate steps to implement the final decision. (B/T 9/1/93, B/T 4/5/2002, B/T 9/6/2002, B/T 2/6/2004)

3335-111-07 Divisions of the medical staff.

(A) Honorary staff.

The honorary staff will be composed of those individuals recognized for outstanding reputation, notable scientific and professional contributions, and high professional stature in an oncologic field of interest. They shall enjoy all privileges and responsibilities of attending staff appointments except patient care privileges and the right to vote and hold elected office in the medical staff organization. The honorary staff designation is awarded by the CHRI board on the recommendation of the executive director of the CHRI, senior vice president for health sciences, ~~chief of a CHRI section chief~~, or the credentials committee after approval by the medical staff administrative committee. This is a life-time appointment.

(B) Attending staff.

(1) Qualifications:

The attending staff shall consist of the executive director, and those regular faculty members of the colleges of medicine and public health and dentistry who are

AMENDED MEDICAL STAFF BYLAWS (contd)

licensed in the state of Ohio with a proven career commitment to oncology as demonstrated by:

Training.
Current American board of medical specialties certification, if applicable.
Publications.
Grant funding.
Other funding and experience (as deemed appropriate by the executive director and the ~~chief of the appropriate~~ section chief);

Balance unchanged.

(2) Prerogatives:

Attending staff members may:

(a) Admit patients consistent with the balanced teaching and patient care responsibilities of the institution. When, in the judgment of the director of medical affairs, a balanced teaching program is jeopardized, following consultation with the executive director and chairperson of the appropriate academic department, and with the concurrence of a majority of the medical staff administrative committee, the director of medical affairs may restrict admissions. Imposition of such restrictions shall not entitle the attending staff member to the procedures of paragraph (F) of rule 3335-111-05 of the Administrative Code and rule 3335-111-06 of the Administrative Code.

(b) through (d) unchanged.

(3) Unchanged.

(C) Unchanged.

(D) Clinical staff.

(1) Qualifications:

The clinical attending staff shall consist of those clinical faculty members of the colleges of medicine and public health and dentistry who have training, expertise, and experience in oncology, as determined by the director in consultation with the ~~chief of the appropriate CHRI~~ section chief.

(2) and (3) unchanged.

(E) Community oncologist attending staff.

(1) Qualifications:

The community oncologist attending (COA) staff shall consist of medical staff members who do not have faculty appointments in any of the academic units of the Ohio state university, are licensed in the state of Ohio with a proven career commitment to oncology as demonstrated by:

AMENDED MEDICAL STAFF BYLAWS (contd)

Training.
Current American board of medical specialties certification, if applicable.
Practice profile.
Membership - professional oncology societies.
Publications.
Grant funding.
Other funding and experience (as approved by the CHRI executive director);

Individual COA staff utilization expectations shall be determined by the CHRI executive director with the advice of the CHRI director of medical affairs and shall be intended to provide optimal access for patients to the CHRI that does not interfere with the service, research, or educational activities and priorities of the university faculty who constitute the CHRI attending, associate attending, or clinical attending staff. The CHRI executive director and the staff member applying for COA privileges will mutually identify the applicant's CHRI clinical and/or non-clinical involvement, including the categories of diagnosis, extent of anticipated patient activity, and the service areas to be utilized each year. A statement of this participation will be made a part of the application for appointment.

All applications for appointment and reappointment as a member of the COA staff shall be made to the CHRI executive director for initial evaluation. The CHRI executive director may, when appropriate, refer each application for completion of the appointment procedure in accordance with paragraphs (E) or (F) of rule 3335-111-04 of the Administrative Code. However, the approval of an academic department chairperson and ~~CHRI chief of section~~ chief shall not be required for the appointment or reappointment relative to any application for COA staff privileges. The staff member's ability to cooperate and support the goals identified by the CHRI executive director will be considered in the decision for appointment and reappointment. Adequate levels of clinical activity may be required to permit proper evaluation under CHRI quality assurance and utilization guidelines.

(2) Prerogatives:

Community oncologist attending staff members may:

(a) Admit patients consistent with the balanced teaching and patient care responsibilities of the institution. When, in the judgment of the director of medical affairs, a balanced teaching program is jeopardized, following consultation with the executive director and chairperson of the appropriate academic department, and with the concurrence of a majority of the medical staff administrative committee, the director of medical affairs may restrict admissions. Imposition of such restrictions shall not entitle the COA staff member to the procedures of paragraph (F) of rule 3335-111-04 of the Administrative Code and rule 3335-111-05 of the Administrative Code.

(b) through (d) unchanged.

(3) Unchanged.

AMENDED MEDICAL STAFF BYLAWS (contd)

(F) Community associate staff.

(1) Qualifications:

The community associate medical staff shall consist of those applicants who do not have faculty appointments in any of the academic units of the Ohio state university and who are licensed in the state of Ohio. All applications for appointment and reappointment to the community associate staff shall be made to the executive director for initial evaluation. The executive director shall consult with the chairperson of the appropriate academic department and when appropriate may refer each application for completion of the appointment procedure in accordance with pertinent requirements of paragraph (E) or (F) of rule 3335-111-04 of the Administrative Code. The approval of the academic department chairperson or ~~CHRI chief of section~~ chief shall not be required.

(2) and (3) unchanged.

(G) Unchanged.

(H) Associates to the medical staff.

(1) through (3) unchanged.

(4) Due process:

Licensed allied health professionals are subject to corrective action for violation of these rules, their certificate of authority, standard care agreement, utilization plan or the provisions of their licensure, including professional ethics. Corrective action may be requested by any member of the medical staff, the chairperson of an academic department, the ~~chief of the CHRI~~ section chief or the director of medical affairs. All requests shall be in writing and be submitted to the director of medical affairs.

Balance unchanged.

(I) Clinical privileges.

(1) Delineation of clinical privileges:

(a) The process of delineating privileges shall be the responsibility of the director of medical affairs with the approval of the executive director, and shall be on file with the executive director. A medical staff member may contest the specific privileges granted under rule 3335-111-04 of the Administrative Code.

(b) Each clinical department and CHRI section will develop clinical criteria and standards for the evaluation of privileges with emphasis on invasive or therapeutic procedures or treatment which represent significant risk to the patient or for which specific professional training or experience is required. The evaluation of every initial application for clinical privileges shall be based upon the applicant's education, training, experience, demonstrated competence and adherence to quality assurance

AMENDED MEDICAL STAFF BYLAWS (contd)

standards, references and other relevant information, including an appraisal by the ~~chief of the CHRI~~ section chief in which such privileges are sought. The applicant shall have the burden of establishing qualifications and competence in the clinical privileges requested and the recommendation for increase or reduction of same shall be the responsibility of the credentials committee.

- (c) A leave of absence from clinical privileges for voluntary or involuntary medical reasons, for greater than thirty days, must be requested by each medical staff member in writing and be referred to the director of medical affairs office, whenever the medical reason for the leave relates to the medical staff member's possession of the necessary health status to exercise the clinical privileges granted to him or her, or to fulfill the essential functions of his or her appointment to the medical staff, with or without reasonable accommodation. The director of medical affairs may notify the committee for physician health. This section does not mean that members of the medical staff may not have a duty to self report absences of less than thirty days to the ~~chief of the CHRI~~ section chief when the absence is based upon the ability to exercise and fulfill essential functions of his or her clinical privileges or medical staff appointment.

After a leave for medical reasons of greater than thirty days, a written request for reinstatement must be reviewed and approved by the director of medical affairs in consultation with the committee for physician health, if necessary. Upon return from a leave of absence for medical reasons, the medical staff member must demonstrate his/her ability to exercise his/her clinical privileges upon return to clinical activity. The credentials committee, the director of medical affairs, the ~~CHRI~~ section chief or the medical staff administrative committee shall have the authority to require any documentation, including advice and consultation from the committee on physician health that might have a bearing on the medical staff member's ability to carry out the clinical and educational responsibilities for which the medical staff member is seeking privileges. The committee for physician health will have the responsibility to consider and recommend reasonable accommodations that will enable the medical staff member to practice in a safe and acceptable manner. Any accommodation must be arranged and implemented prior to the exercise of clinical privileges.

- (2) Temporary and special privileges:
- (a) Temporary privileges -- with the written request and approval of the chairperson of the academic department in which the privileges will be exercised and the ~~chief of the CHRI~~ section chief, the director of medical affairs may act on behalf of the executive director and the CHRI board and grant temporary privileges when an application for full appointment is pending and there are specific patient care needs that mandate an immediate authorization to practice. An appropriately licensed professional may be granted temporary privileges for an initial period of thirty days, with subsequent renewals approved by the director of medical affairs not to exceed the pendency of the application. Such privileges shall be exercised

AMENDED MEDICAL STAFF BYLAWS (contd)

in accordance with the conditions specified in rule 3335-111-04 of the Administrative Code.

- (b) Special privileges -- upon receipt of a written request for specific temporary privileges and the approval of the chairperson of the academic department, the ~~chief of the CHRI~~ section chief and the director of medical affairs, an appropriately licensed practitioner of documented competence, who is not an applicant for medical staff membership, may be granted special privileges for the care of one or more specific patients. Such privileges shall be exercised in accordance with the conditions specified in rule 3335-111-04 of the Administrative Code.

(c) and (d) unchanged.

(3) and (4) unchanged.

3335-111-08 Organization of the CHRI.

(A) The executive director.

(1) Method of appointment:

The executive director shall be appointed by the board of trustees of the Ohio state university upon recommendation of the president, senior vice president for health sciences, and the vice president for health services following consultation with the CHRI board in accordance with university bylaws, rules and regulations. The executive director shall also be the chief executive and operating officer of the CHRI and shall be a member of the attending medical staff of the CHRI.

(2) Responsibilities:

The executive director shall be responsible for the conduct of teaching, research, and CHRI service activities of the facility, including continuing compliance with all appropriate quality assurance standards, ethical codes, or other monitoring or regulatory requirements. The executive director shall be the chairperson of the medical staff administrative committee and shall be a member of all committees of the CHRI.

(B) The director of medical affairs.

(1) Method of appointment:

The director of medical affairs shall be appointed by the senior vice president for health sciences upon recommendation by the executive director. The director of medical affairs shall be the chief medical officer of the CHRI and shall be a member of the attending medical staff of the CHRI.

(2) Responsibilities:

The director of medical affairs shall be responsible to the executive director, the chief medical officer of the university medical center, and the CHRI board for the quality of patient care provided in the CHRI. The director of medical affairs shall

AMENDED MEDICAL STAFF BYLAWS (contd)

assist the executive director in the administration of medical affairs including quality assurance and credentialing.

(C) The sections.

Each member of the attending, associate attending, clinical, limited, and honorary staff shall be assigned to a CHRI section by the executive director upon the recommendation of the appropriate academic department chairperson and the credentials committee.

There are four clinical sections: medical oncology, surgical oncology, radiation oncology and pathology. Appointment to a specific section is based on the clinical specialty of the applicant for medical staff membership. Each section is headed by a section chief who has the responsibility to oversee all research and clinical activities conducted by members of the section. Specifically, the section chief shall be responsible for the following: the development and implementation of policies and procedures that guide and support the provision of service; recommendations re: staffing needs and clinical privileges for all members appointed to the section; the orientation and continuing surveillance of the professional performance of all section members; recommendation for space and other resources needed. The section chief is appointed by the executive director.

Balance unchanged.

3335-111-09 Elected officers of the medical staff of the CHRI.

(A) and (B) unchanged.

(C) Delegates at-large.

There shall be two delegates at-large, ~~one to represent the associate and clinical attending staff and one to represent all other medical staff categories~~ that are members of the medical staff.

(D) Qualifications of officers.

(1) Unchanged.

(2) The executive director and director of medical affairs are not eligible to serve as chief of staff or chief of staff-elect unless they are replaced in their CHRI administrative role during the period of their term of office.

(E) Election of officers.

(1) All officers (other than at-large officers) will be elected by a majority of those voting by written or electronic ballot after the ~~June~~ April meeting of the medical staff. If a majority vote is not achieved by one candidate, there will be an election on a second ballot between the two receiving the greatest number of votes.

(2) Unchanged.

(3) ~~The committee's nominees will be submitted in writing to all voting members of the medical staff no later than the fifteenth of June.~~

AMENDED MEDICAL STAFF BYLAWS (contd)

- (4) Nominations for officers will be accepted from the floor at the ~~June~~ March meeting.
- (4) The committee's nominees will be submitted by electronic or written ballot to all voting members of the medical staff no later than April.
- (5) Candidates for the office of chief of staff-elect will be listed and each attending or associate attending staff member may vote for one. Candidates for the at-large positions will be voted upon as a group. Each voting member of the medical staff may vote for ~~three~~ two at-large candidates. The ~~three~~ two candidates with the highest number of votes will be elected. A majority of the votes is not necessary.
- (6) Grounds for removal shall be malfeasance, misfeasance, or nonfeasance in office, as documented by written charges submitted to the chief of staff or to the director of medical affairs if charges are filed against the chief of staff, or if the officer is unwilling or unable to perform the duties as assigned. An officer may be removed from the elected position by a majority vote upon written or electronic ballot of the attending and associate attending staff following a regularly scheduled semi-annual meeting or a meeting scheduled for that purpose. ~~Grounds for removal shall be malfeasance, misfeasance, or nonfeasance in office, as documented by written charges submitted to the chief of staff or to the director of medical affairs if charges are filed against the chief of staff, or if the officer is unwilling or unable to perform the duties as assigned.~~

Balance unchanged.

3335-111-10 Administration of the medical staff of the CHRI.

Medical staff committees.

- (A) Unchanged.
- (B) Medical staff administrative committee:
 - (1) Composition:
 - (a) Chief of staff, chief of staff-elect, ~~past chief of staff~~, section chiefs of medical oncology, radiation oncology, surgical oncology and pathology; CHRI chair of clinical quality management policy group; CHRI executive director, CHRI deputy director, CHRI director of administration, ~~CHRI~~ director of medical affairs, CHRI associate director for professional education; two at-large delegates, CHRI administrator for nursing; CCC director for clinical research, and CCC director for cancer control.
 - (b) Any member of the committee who anticipates absence from a meeting of the committee may appoint a temporary substitute as a representative at the meeting. The temporary substitute will have all the rights of the absent member. The executive director may invite any member of staff as the executive director's representative at a meeting or to attend any meeting with the executive director.
 - (c) Unchanged.

AMENDED MEDICAL STAFF BYLAWS (contd)

(2) Duties:

(a) To represent and to act on behalf of the medical staff, subject to such limitations as may be imposed by these ~~rules~~bylaws, and the bylaws or rules of the Ohio state university.

(b) and (c) unchanged.

(d) To recommend action to the executive director on matters of medico-administrative nature, including assessing and recommending outside agencies or individuals for contract services.

(e) through (m) unchanged.

(3) and (4) unchanged.

(C) Credentials committee:

(1) Composition:

~~The committee shall be composed of the chiefs of the four sections: medical oncology, surgical oncology, radiation oncology and pathology and two additional members of the medical staff or their designee. Appointments to the committee shall be in accordance with paragraph (A) of this rule. The chairperson of the committee shall be the director of medical affairs. The credentialing responsibilities of the medical staff are delegated to the Ohio state university health system credentialing committee, the composition of which shall include representation from the medical staff of each hospital.~~

The health system credentialing committee shall be appointed by the chief medical officer of the health system. The chief of staff and director of medical affairs or medical director of each health system hospital shall make recommendation to the chief medical officer for representation on the health system credentialing committee.

The health system credentialing committee shall meet at the call of its chair, whom shall be appointed by the chief medical officer of the health system.

(2) Duties:

(a) ~~The committee shall meet quarterly or as often as necessary to consider applications for medical staff appointments. To review all applications for medical staff and licensed health care professional appointment and reappointment, as well as all requests for delineation, renewal, or amendment of clinical privileges in the manner provided in these medical staff bylaws, including applicable time limits;~~

(b) ~~The committee will receive all applications for medical staff appointments through the office of the director. To review biennially all applications for reappointment or renewal of clinical privileges;~~

AMENDED MEDICAL STAFF BYLAWS (contd)

- (c) ~~The credentials committee will assure that the education and training of each applicant for initial appointment are verified by the primary source or by an agency or source approved by the joint commission for the accreditation of healthcare organization. To review all requests for changes in medical staff membership;~~
- (d) ~~The committee will review all applications for medical staff appointments as well as applications for renewal of the medical staff appointment. To assure, through the chairperson of the committee, that all records of peer review activity taken by the committee, including committee minutes, are maintained in the strictest of confidence in accordance with the laws of the state of Ohio. The committee may conduct investigations and interview applicants as needed to discharge its duties. The committee may refer issues and receive issues as appropriate from other medical staff committees;~~
- (e) ~~Renewal applications will be reviewed biennially unless a change in appointment or privileges is recommended by the chief of the CHRI section, the chairperson of the academic department or the director of medical affairs. To make recommendations to the medical staff administrative committee through the director of medical affairs regarding appointment applications and initial requests for clinical privileges. Such recommendations shall include the name, status, department (division), medical school and year of graduation, residency and fellowships, medical-related employment since graduation, board certification and recertification, licensure status as well as all other relevant information concerning the applicant's current competence, experience, qualifications, and ability to perform the clinical privileges requested;~~
- (f) ~~Provisional appointments to the medical staff will be reviewed by the credentials committee after six months. It will be the responsibility of the chairperson of the committee to assure that all records are handled and kept with utmost confidentiality. To recommend to the medical staff administrative committee that certain applications for appointment be reviewed in executive session;~~
- (g) ~~The committee will make its recommendation to the CHRI medical staff administrative committee, after review and investigation, may make recommendations to the director of medical affairs, chief of staff, or the chief of a clinical department, regarding the restriction or limitation of any medical staff member's clinical privileges, noncompliance with the credentialing process, or any other matter related to its responsibilities;~~
- (h) ~~The credentials committee or the director may request the CHRI medical staff administrative committee to consider certain applications in executive session. To review all grants of special or temporary privileges; and~~
- (i) ~~Following the recommendation of the medical staff administrative committee the appointment procedure will be completed according to paragraphs (E)(2), (E)(3), and (E)(4) of rule 3335-111-04 of the Administrative Code. To review requests made for clinical privileges by other licensed health care professionals as set forth in these bylaws.~~

AMENDED MEDICAL STAFF BYLAWS (contd)

(D) through (F) unchanged.

(G) Ethics committee.

(1) Composition.

The committee is a joint committee and shall consist of members of the medical staff, nursing, hospital administration, and other persons representing both the CHRI and UH who, by reason of training, vocation, or interest, may make a contribution. Appointments will be made as provided by in these ~~rules~~ bylaws. The chairperson shall be a physician who is a clinically active member of the medical staff of UH or the CHRI.

(2) Unchanged.

3335-111-11 Amendments.

These medical staff bylaws may be amended after notice is given at one meeting of the medical staff administrative committee. Such notice shall be laid on the table until the next meeting and shall require two-thirds vote of the members of the medical staff administrative committee present for approval and submission to the medical staff for ratification. Ratification of an amendment shall be by a plurality of those medical staff members voting through written or electronic ballot. When an amendment is approved by the medical staff administrative committee and ratified by the medical staff it shall be forwarded to the CHRI board and the Ohio state university board of trustees. Each of the foregoing reviewers shall accept or reject the requested amendment. The amendment shall become effective when accepted by the board of trustees of the Ohio state university in the form ratified by the medical staff. Neither the medical staff, CHRI board nor the board of trustees may unilaterally amend the medical staff bylaws. (B/T 9/1/93, B/T 3/3/95, B/T 12/3/99, B/T 9/6/2002, B/T 2/6/2004)

**Waivers of Competitive Bidding Requirements
October-December 2003**

(APPENDIX XXXI)

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>				
Hospitals - Professional Health Care Services	\$2,020,000	7	\$435,000	1	\$0	0	\$1,585,000	6
Hospitals - Equipment and Services	\$5,195,044	21	\$3,388,256	13	\$512,160	3	\$1,294,628	5
Instructional and Research Equipment and Services	\$1,449,740	20	\$667,758	11	\$58,000	1	\$723,982	8
Administrative Support Equipment and Services	\$3,658,928	28	\$2,531,250	12	\$349,560	6	\$778,118	10
Conference Facilities	\$60,000	1	\$60,000	1	\$0	0	\$0	0
TOTAL	\$12,383,712	77	\$7,082,264	38	\$919,720	10	\$4,381,728	29

AUTHORIZATION FOR CAPITAL PROJECTS

Project		Approximate Amount (in millions)	Requested Action
Campus Grounds - South Dorms Site Improvements	Dept:	\$0.17	Enter into design contracts
	State:	\$1.00	
Mansfield Campus - Replace/Renovate Roofs	State:	\$0.13	Enter into design contracts
	Other(a):	\$0.16	
McCracken Power Plant Central Steam Supply SAE Injection	Dept:	\$0.70	Enter into design contracts
Parker Food Science & Technology - Construct New Lab	Dept:	\$0.45	Enter into design contracts
University Hospitals East - Sleep Lab	Dept:	\$1.15	Enter into design contracts
Various Campus Building Fume Hood Repairs	State:	\$0.77	Enter into design contracts
Subtotal for Design Contracts	Dept:	\$2.47	
	State:	\$1.90	
	Other:	\$0.16	
650 Ackerman - OSUMC Data Center	Dept:	\$5.13	Enter into construction contracts
Animal Science - Roof Replacement	State:	\$0.35	Enter into construction contracts
Fisher & Gerlach Halls - Door & Jamb Replacement	State:	\$0.14	Enter into construction contracts
Graves Hall - Temporary Mouse Buildings	Dept:	\$0.68	Enter into construction contracts
McCracken Power Plant Chiller Expansion	Dept:	\$8.00	Enter into construction contracts
Medical Center Signage and Wayfinding	Dept:	\$0.36	Enter into construction contracts
Pomerene Hall - Hot Water Heating System Replacement	State:	\$0.35	Enter into construction contracts
Veterinary Hospital - Roof Replacement Phase II	State:	\$0.65	Enter into construction contracts
West Campus Biocontainment Laboratory	Dept:	\$2.75	Enter into construction contracts
Wiseman Hall-Buildout of 3rd Floor Shell Space	Dept:	\$3.57	Enter into construction contracts
Subtotal for Construction Contracts	Dept:	\$20.49	
	State:	\$1.49	
Total for all Contracts	Dept:	\$22.96	
	State:	\$3.39	
	Other:	\$0.16	
Grand Total		\$26.51	

(a) Other represents North Central Technical College

CAMPUS GROUNDS - SOUTH DORMS SITE IMPROVEMENTS

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Student Affairs

How does this project advance the Academic Plan?

This project will enhance the living and learning environment for the students.

Nature of the Project

Work includes development of a sub-district plan and implementation plans. The implementation plans will provide for improvements of pavements, landscaping, and site furnishings. Exact scope will be determined during the design development phase.

Preliminary Cost Estimate:

\$1,175,000.00

Proposed Funding Source:

<i>Student Affairs</i>	<i>\$175,000.00</i>
<i>HB 675</i>	<i>\$1,000,000.00</i>

Outstanding Funding Issues:

none

Timing Issues:

Design Services Completion – December, 2004
Construction Completion – August, 2005, in time for Autumn Quarter

“Ripple effects” of the project:

none

Special limitations/risks:

None

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): PHYSICAL FACILITIES

Location(s): Unidentified Mall, Plaza, Green-Col.

Gross Sq. Ft.0 Age:

Description: This project will enhance the living and learning environment of the students. Work includes development of a sub-district plan and implementation plans. The implementation plans will provide for improvements of pavements, landscaping, and site furnishings. Exact scope will be determined during the design development phase.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Captain: Stephen Volkman
(volkmann.4@osu.edu)

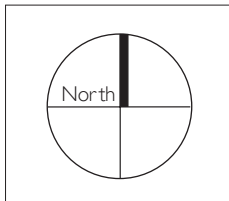
Project Assistant: Karen Cogley
(cogley.1@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-Student Affairs	\$175,000.00	\$175,000.00	Total:			
HB675 Columbus Basic Renovation	\$1,000,000.00	\$1,000,000.00				
Total:	\$1,175,000.00	\$1,175,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	02/07/2004		
Bidding Approved B/T	02/05/2005		
Design			
Schematic Design Approval	05/01/2004	03/28/2004	
Design Dev Document Approval	09/01/2004	07/28/2004	
Construction Document Approval	12/01/2004	10/27/2004	
Bidding			
Bid Opening	03/08/2004	02/15/2005	
Construction			
Award of Contracts	04/01/2005		
Construction Start	06/21/2004	05/17/2005	
Completion	09/20/2004	08/16/2005	

Campus Grounds - South Dorms Site Improvements



Office of Business and Finance
Office of Facilities Planning and Development

January 15, 2004

MANSFIELD CAMPUS - REPLACE/RENOVATE ROOFS

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Mansfield Campus

How does this project advance the Academic Plan?

One goal of the Academic Plan, to enhance the teaching and learning environment of the University, is met through the planned periodic maintenance of the University's existing physical resources. Roofs on two buildings at the Mansfield Campus have surpassed their useful lives. This project will replace the existing roof systems of each of these buildings.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

This project includes replacement of existing roof systems at the Physical Activities Center and the Fallerius Technical Center at the OSU Mansfield Branch Campus.

Preliminary Cost Estimate:

\$292,500

Proposed Funding Source:

HB675 - \$133,900
North Central Technical College - \$158,600

Outstanding Funding Issues:

none

Timing Issues:

Design Services Completion – March, 2004
Construction Completion – September, 2004 in time for Autumn Quarter opening

“Ripple effects” of the project:

none

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): MANSFIELD CAMPUS

Location(s): FALLERIUS TECHNICAL EDUCATION CNTR

Gross Sq. Ft.58,386 Age: 1970

Location(s): MANSFIELD PHYSICAL ACTIVITIES CTR

Gross Sq. Ft.19,129 Age: 1977

Description: Major renovation or replacement of the Physical Activities Center and Fallerius Technical Education Center roofs.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Captain: Margaret Murphy
(murphy.641@osu.edu)

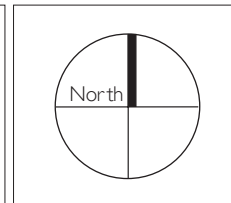
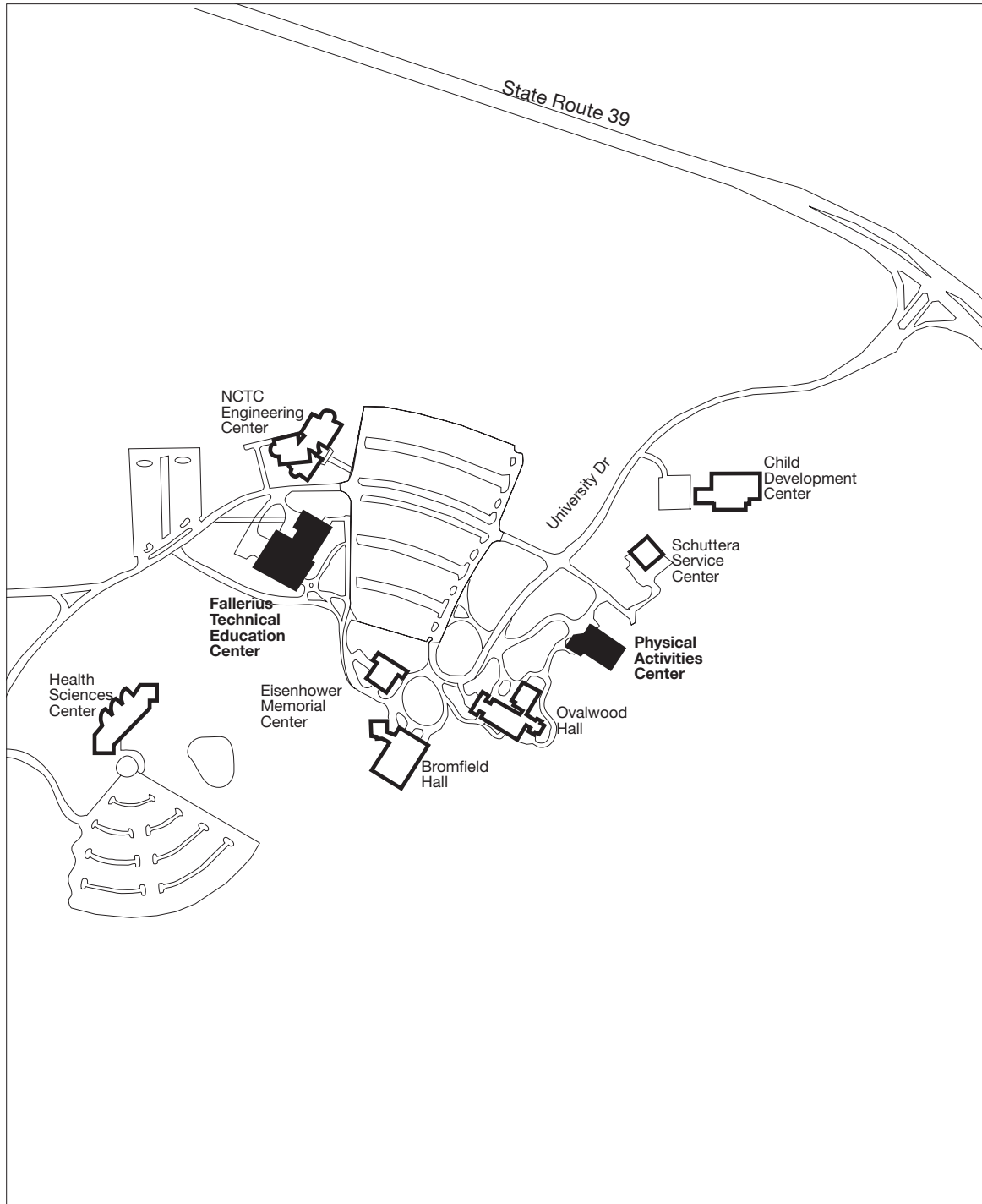
Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
North Central Technical College	\$158,600.00	\$158,600.00	Total:			
HB675 Regional Basic Renovation	\$133,900.00	\$133,900.00				
Total:	\$292,500.00	\$292,500.00				

Schedule:	Projected	Revised	Actual
Design			
Arch/Engr Contract	02/06/2004		
Construction			
Construction Start	05/01/2004		
Completion	09/01/2004		

Mansfield Campus - Replace/Renovate Roofs



Office of Business and Finance
Office of Facilities Planning and Development

January 15, 2004

MCCRACKEN POWER PLANT CENTRAL STEAM SAE INJECTION
Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Physical Facilities

How does this project advance the Academic Plan?

Introduction of SAE (Sorbitol Anhydride Esters) in the steam piping will prevent corrosion and increase operating life of equipment and piping. In most cases, the heated steam can be used directly for research and other uses.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Install SAE steam injection system in the McCracken Power Plant. Add Reboilers at customer sites where steam cannot be used directly.

Preliminary Cost Estimate:

\$700,000

Proposed Funding Source:

Future University Bond Proceeds (debt service to be paid by increase in central utility assessment)

Outstanding Funding Issues:

None

Timing Issues:

None

“Ripple effects” of the project:

None

Special limitations/risks:

None

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



McCracken Power Plant Central Steam Supply SAE Injection

5062-PF07351

Requesting Agency(s): BUSINESS & FINANCE, OFFICE OF

Location(s): MCCRACKEN POWER PLANT, WILLIAM C

Gross Sq. Ft. 107,910 Age: 1918

Description: Untreated steam produces extremely corrosive condensate, a situation that has existed at OSU since the beginning of steam production on campus. This has led to very high failure rates in equipment, such as the 3,400+ traps, the condensate movers, 8.4 miles of condensate piping, and associated hardware. Introduction of SAE (Sorbitol Anhydride Esters) to the steam system will reduce failure rates to a more acceptable industry norm. This requires the installation of injection equipment at McCracken, as well as possible selective conversion to reboilers at the customer sites where it is not desirable for direct SAE steam usage. These conversions must be accomplished before the injection of SAE can commence.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Ramesh Bahl
(bahl.12@osu.edu)

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

Field Coordinator: Is Unassigned

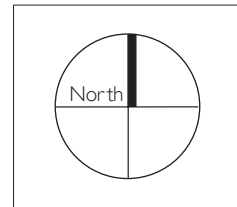
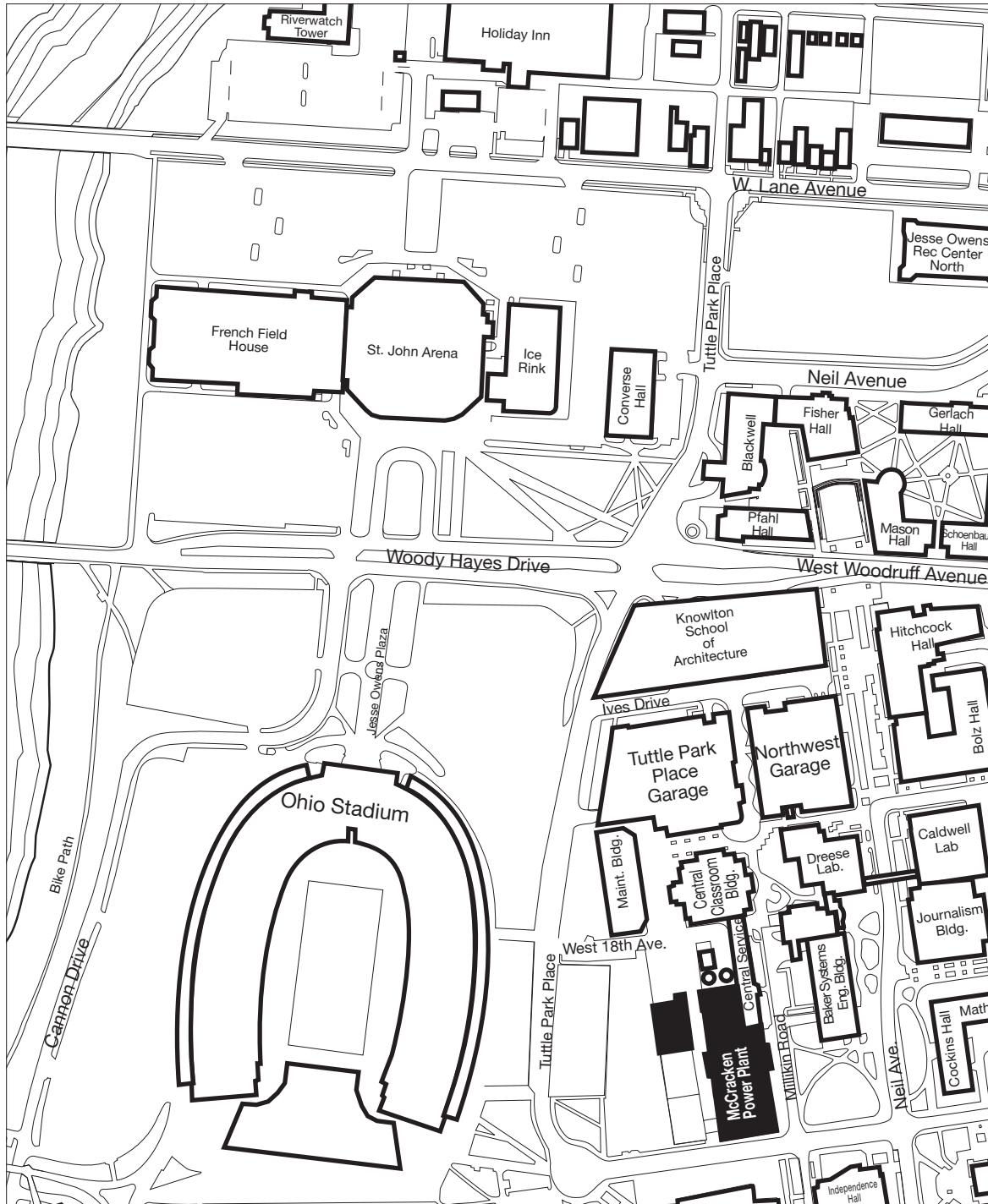
Project Information:

Bond-Funded Capital Request
Infrastructure 2003 - 2008

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Future Univ. Bond Proceeds	\$700,000.00	\$700,000.00				
Total:	\$700,000.00	\$700,000.00	Total:			

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$700,000 project)	02/06/2004		
Bidding Approved B/T	04/02/2004		
Design			
Schematic Design Approval (Schematic Design Approva)	01/05/2004		
Design Dev Document Approval	02/28/2004		
Construction Document Approval	03/30/2004		
Bidding			
Bid Opening	04/30/2004		
Construction			
Construction Start (Construction Start)	06/30/2004		
Completion (Completion)	12/30/2004		

McCracken Power Plant Central Steam Supply SAE Injection



Office of Business and Finance
Office of Facilities Planning and Development

January 15, 2004

PARKER FOOD SCIENCE & TECHNOLOGY – CONSTRUCT NEW LAB

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

College of Food, Agricultural, & Environmental Sciences (FAES)

How does this project advance the Academic Plan?

Provides new research and teaching laboratory space.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

The project will complete an unfinished portion of the basement of the Parker Food Science and Technology building to meet the needs of the new Eminent Scholar in Soil Microbial Ecology.

Preliminary Cost Estimate:

Construction is estimated to be \$350,000 - \$380,000. Total project budget is estimated to be \$450,000 maximum.

Proposed Funding Source: *General Funds - FAES*

Outstanding Funding Issues: *None*

Timing Issues:

*Design Services Completion – June 2004
Construction Completion – January 2005*

“Ripple effects” of the project:

None

Special limitations/risks:

None

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): AGRICULTURAL ADMINISTRATION

Location(s): PARKER FOOD SCIENCE & TECHNOLOGY
BLD

Gross Sq. Ft. 67,562 Age: 2000

Description: To complete the unfinished portion of Parker Hall basement to meet the needs of the new Eminent Scholar in Soil Microbial Ecology, Dr. Richard Dick, per request from The College of Food, Agricultural & Environmental Sciences/School of Natural Resources.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Charlie Conner
(conner.26@osu.edu)

Project Assistant: Karen Cogley
(cogley.1@osu.edu)

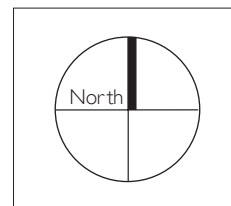
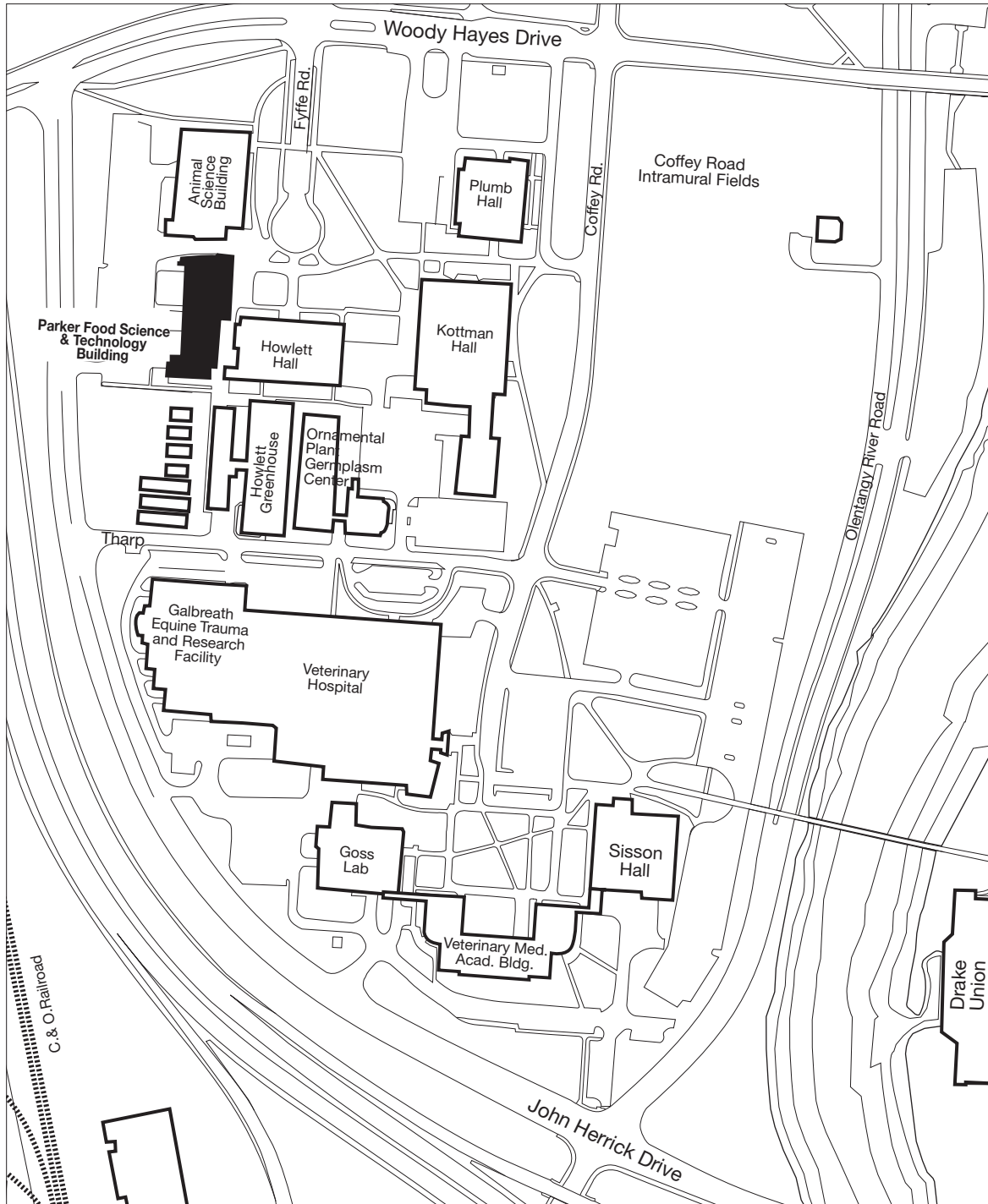
Field Coordinator: Is Unassigned

Project Information:

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Food, Agr & Env Sci	\$40,000.00	\$450,000.00	Total:			
Total:	\$40,000.00	\$450,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	02/06/2004		
Bidding Approved B/T	07/09/2004		
Design			
Design Dev Document Approval	05/21/2004		
Construction Document Approval	06/18/2004		
Bidding			
Bid Opening	08/20/2004		
Construction			
Construction Start	10/25/2004		
Completion	01/28/2005		

Parker Food Science & Technology - Construct New Lab



Office of Business and Finance
Office of Facilities Planning and Development

January 15, 2004

UNIVERSITY HOSPITALS EAST – SLEEP LAB

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

University Hospitals East

How does this project advance the Academic Plan?

As a teaching hospital, the medical center serves both the academic interests of the University as well as the community. It is vital that facilities remain current in the latest medical and technological advances. These additional spaces will expand our ability to serve the academic component and provide state-of-the-art facilities for patient care offered to the surrounding community.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Renovation of existing fourth floor space in Talbot Hall for the Sleep Disorder Center relocating from Rhodes Hall. The space (approximately 7,200 GSF) will include 10 sleep rooms and associated support and staff spaces. The first phase will include the renovation of portions of Talbot Hall floors one and three to accommodate services displaced from the fourth floor.

Preliminary Cost Estimate:

\$1,150,000

Proposed Funding Source:

Hospital East Auxiliary Funds

Outstanding Funding Issues:

none

Timing Issues:

Expected completion date – December 2004

“Ripple effects” of the project:

Space made available in Rhodes Hospital for other services.

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): UNIVERSITY HOSPITALS EAST

Location(s): UNIVERSITY HOSPITALS EAST

Gross Sq. Ft.465,711 Age: 1966

Description: Renovation of existing fourth floor space in Talbot Hall for the Sleep Disorder Center relocating from Rhodes Hall. The space (approximately 7,200 GSF) will include 10 sleep rooms and associated support and staff spaces. The first phase will include the renovation of portions of Talbot Hall floors one and three to accommodate services displaced from the fourth floor.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Captain: Richard Morse
(morse.68@osu.edu)

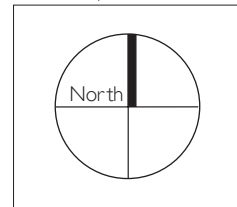
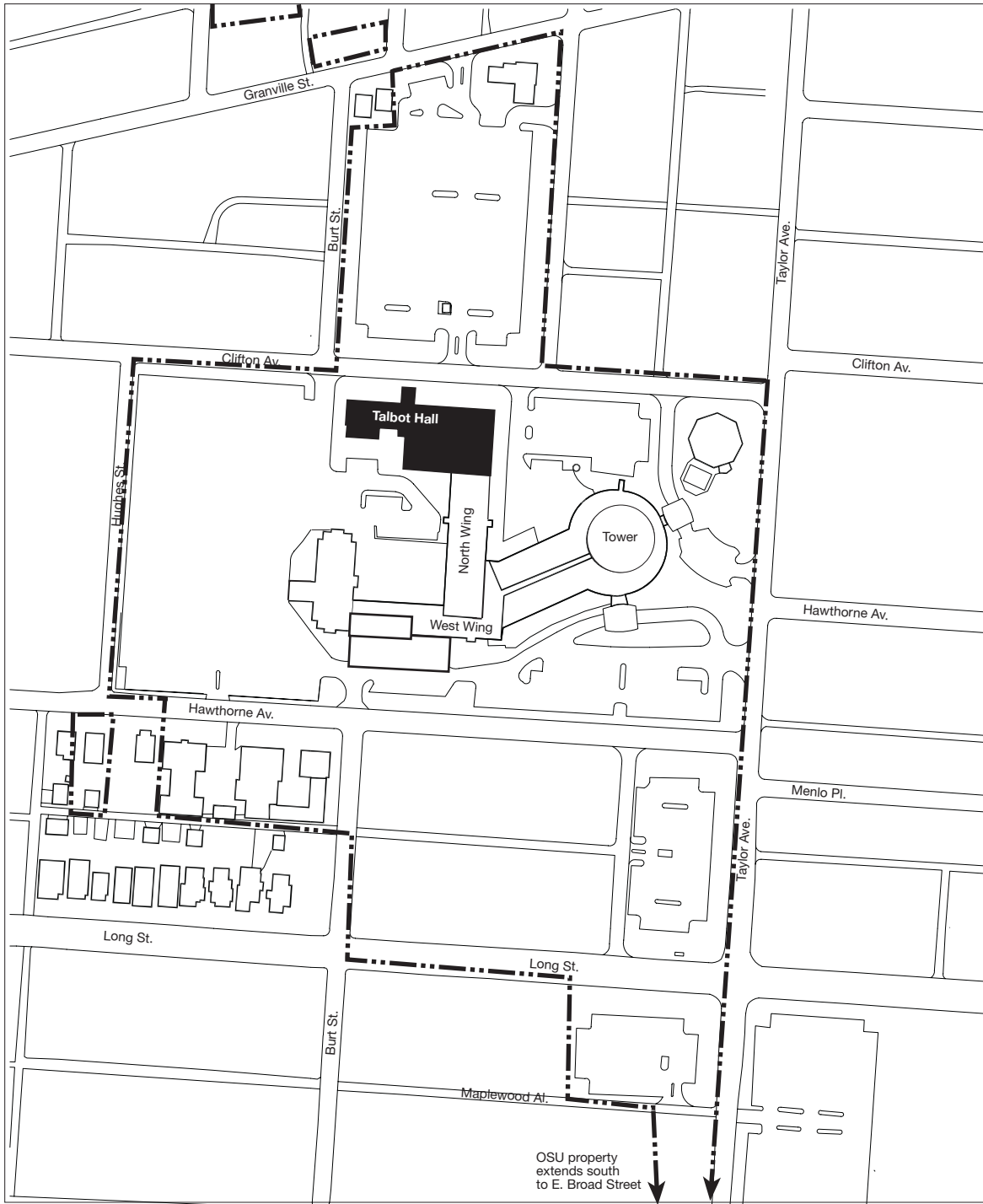
Project Assistant: Curt Handschug
(handschug.1@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University Hospitals	\$1,150,000.00	\$1,150,000.00	Total:			
Total:	\$1,150,000.00	\$1,150,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$1,150,000 project)	02/06/2004		
Design			
Schematic Design Approval	04/15/2004		
Design Dev Document Approval	05/31/2004		
Construction Document Approval	07/15/2004		
Construction			
Construction Start	08/01/2004		
Completion	12/01/2004		

University Hospitals East - Sleep Lab



Office of Business and Finance
Office of Facilities Planning and Development

January 15, 2004

VARIOUS CAMPUS BUILDINGS – FUME HOOD UPGRADES

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Physical Facilities

How does this project advance the Academic Plan?

Repair/Redesign of fume hoods will provide a building environment suitable for education and research.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Repair/redesign of fume hoods in Biological Sciences building, Celeste Chemistry Laboratory, Evans Labs and Kottman Hall. The nature of the project varies within each individual building. Some buildings require replacement of corroded ductwork. Some buildings require removal or replacement of fans or energy-recovery coils. Some buildings require cleaning of coils and ductwork. All work will be renovation work. Most work will have zero impact on present assignments for space.

Preliminary Cost Estimate:

\$765,000

Proposed Funding Source:

HB675 Columbus Basic Renovation

Outstanding Funding Issues:

None

Timing Issues:

Design Services Completion – September, 2004

Construction Completion – July, 2005

“Ripple effects” of the project:

None

Special limitations/risks:

None

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Various Campus Buildings - Fume Hood Upgrades

315-2003-919

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): Various Locations-Columbus

Gross Sq. Ft.0 Age:

Description: Repairs and upgrades to fume hood systems, including ductwork repair and replacement, damper repair, exhaust fan replacement, and other component parts of air system as necessary.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Larry Hunt
(hunt.183@osu.edu)

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

Field Coordinator: Glenn Gerhart
(gerhart.1@osu.edu)

Project Information:

Fume Hoods are to be upgraded in the Biological Sciences Building, Celeste Chemistry Laboratory, Evans Lab and Kottman Hall.

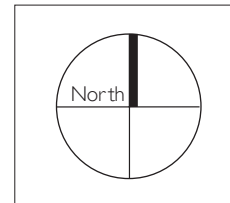
Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic	\$765,000.00	\$765,000.00				
Renovation			Total:			
Total:	\$765,000.00	\$765,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	04/04/2003	02/06/2004	
Bidding Approved B/T	12/05/2003	10/05/2004	
Design			
Schematic Design Approval	06/15/2003	04/15/2004	
Design Dev Document Approval	09/01/2003	07/01/2004	
Construction Document Approval	11/01/2003	09/01/2004	
Bidding			
Bid Opening	01/01/2004	11/01/2005	
Construction			
Construction Start	04/01/2004	02/01/2005	
Completion	09/01/2004	07/01/2005	

Various Campus Building Fume Hood Repairs



Project Sites:
 Biological Sciences Building
 Celeste Chemistry Lab
 Evans Lab
 Kottman Hall



Office of Business and Finance
 Office of Facilities Planning and Development

January 15, 2004



Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: Relocation of OSUMC Data Center from Starling Loving into Building #3 650 Ackerman Road.

Project Team:

Project Information:

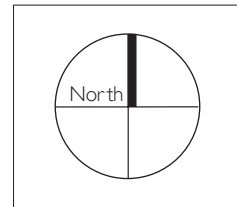
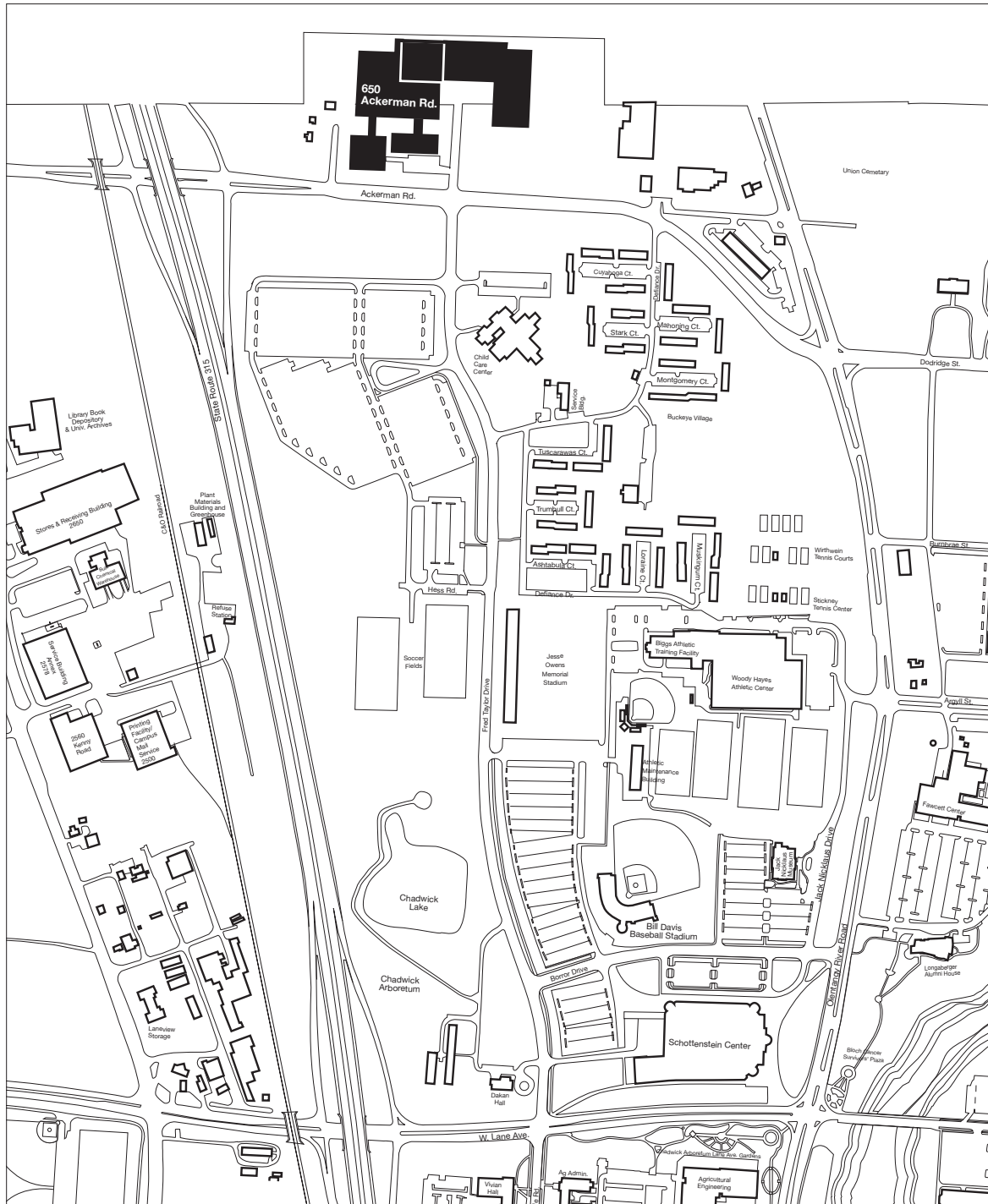
Facility Planner: Is Unassigned

Project Captain: Richard Van Deusen
(van-deusen.2@osu.edu)
Project Assistant: Curt Handschug
(handschug.1@osu.edu)
Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University	\$4,557,204.00	\$5,129,479.00				
Hospitals			Total:			
Total:	\$4,557,204.00	\$5,129,479.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T ((cost undetermined))			02/07/2003
Bidding Approved B/T (\$4,574,836 project)	02/06/2004		
Design			
Schematic Design Approval	10/18/2003		09/11/2003
Arch/Engr Contract	10/17/2003		10/21/2003
Design Dev Document Approval	11/13/2003		11/24/2003
Construction Document Approval	12/30/2003		
Bidding			
Bid Opening	03/01/2004		
Construction			
Award of Contracts	06/10/2004		
Construction Start	06/20/2004		
Completion	02/21/2005	01/07/2005	

650 Ackerman Road - OSUMC Data Center



Office of Business and Finance
Office of Facilities Planning and Development

January 15, 2004



Requesting Agency(s): PHYSICAL FACILITIES

Location(s): ANIMAL SCIENCE BUILDING

Gross Sq. Ft. 55,857 Age: 1960

Description: The 42 year old built-up roof on Animal Science Building would be completely removed down to the metal deck, including removal of 2" of fiberglass insulation. New tapered polyisocyanurate insulation would be installed after any needed metal deck repairs or replacements were completed. A new modified bitumen membrane would be installed with a 20 year warranty requested. (29,125 sq ft & 1,760 l ft copper flashing)

Project Team:

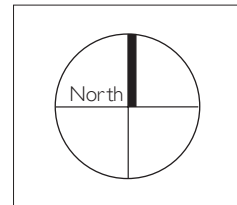
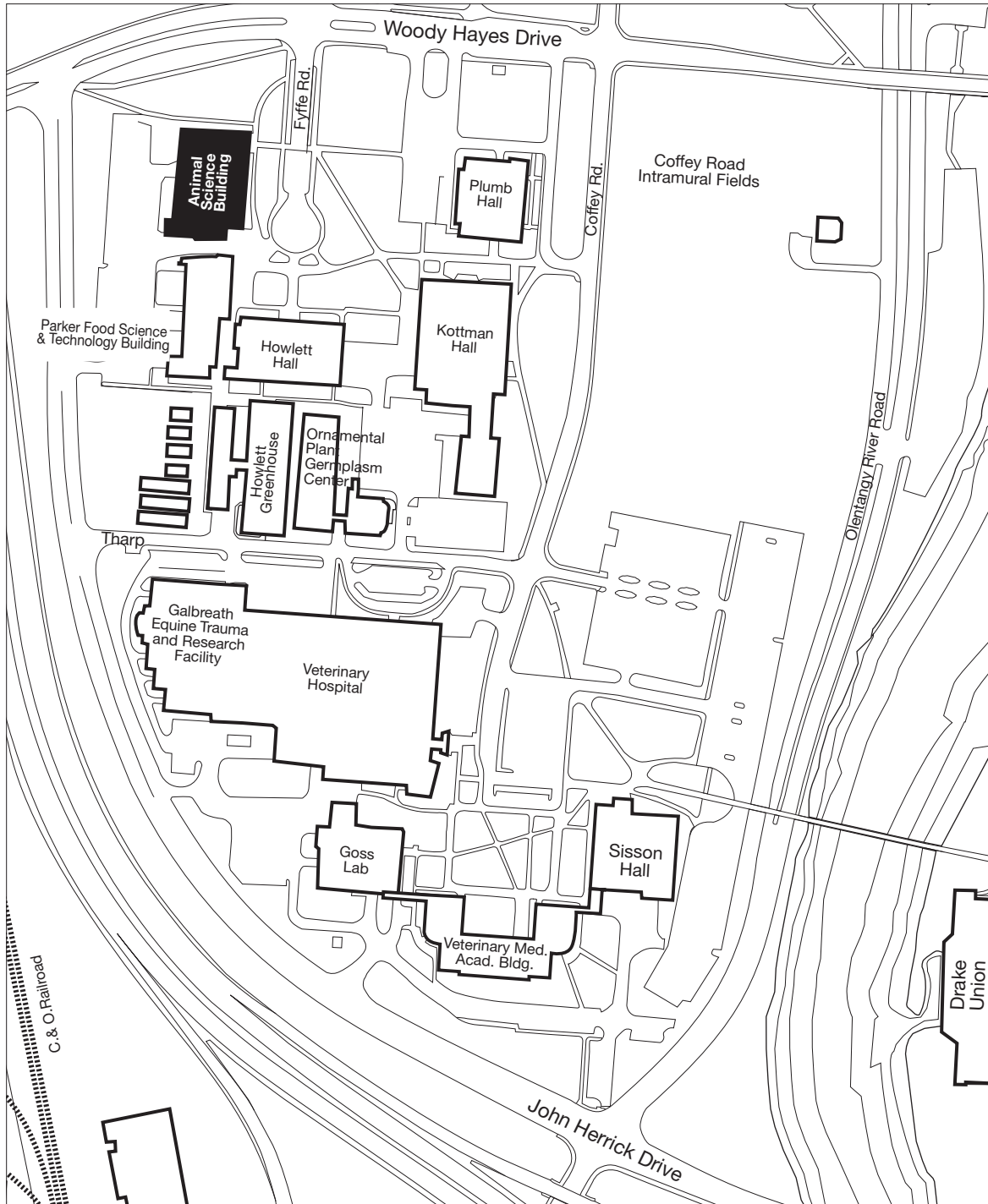
Project Information:

Facility Planner: Is Unassigned
 Project Captain: Brett Garrett
 Project Assistant: Lisa Baldwin
 (baldwin.10@osu.edu)
 Field Coordinator: Mark Scott

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic	\$350,000.00	\$350,000.00				
Renovation			Total:			
Total:	\$350,000.00	\$350,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Advertisement (ohio register #116)			04/01/2003
Arch/Engr Approved by B/T (\$350,000 Project)	04/04/2003		04/04/2003
Bidding Approved B/T	12/05/2003	02/06/2004	
Design			
Design Dev Document Approval	11/03/2003		10/15/2003
Arch/Engr Contract	10/17/2003		10/20/2003
Construction Document Approval	12/18/2003	12/31/2003	
Bidding			
Bid Opening	01/02/2004	03/04/2004	
Construction			
Construction Start	05/01/2004	07/02/2004	
Completion	06/20/2004	08/20/2004	

Animal Science - Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

March 13, 2003



Fisher & Gerlach Halls - Door & Jamb Replacement

315-2003-909

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): FISHER HALL, MAX M

Gross Sq. Ft.132,574 Age: 1998

Location(s): GERLACH GRADUATE PROGRAMS BLDG, J

Gross Sq. Ft.68,621 Age: 1998

B

Description: High volume of use and specialty doors has resulted in above average wear and tear on the jambs, hinges, handicap operators, and overhead closers for both Fisher and Gerlach Halls. As a result, this project replaces the main exterior doors and jambs on the two buildings.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Brett Garrett

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

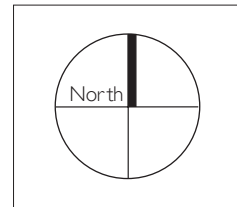
Field Coordinator: Colin McBride
(mcbride.62@osu.edu)

Project Information:

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic	\$140,000.00	\$140,000.00				
Renovation			Total:			
Total:	\$140,000.00	\$140,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Advertisement			04/01/2003
Arch/Engr Approved by B/T (\$140,000 Project)	04/04/2003		04/04/2003
Bidding Approved B/T	10/03/2003	02/06/2004	
Design			
Schematic Design Approval	06/01/2003	10/15/2003	10/15/2003
Design Dev Document Approval	07/15/2003	10/29/2003	10/16/2003
Arch/Engr Contract	10/17/2003		10/20/2003
Construction Document Approval	09/15/2003	12/30/2003	
Bidding			
Bid Opening	11/01/2003	03/01/2004	
Construction			
Construction Start	03/15/2004	06/29/2004	
Completion	04/30/2004	08/13/2004	

Fisher & Gerlach Halls - Door & Jamb Replacement



Office of Business and Finance
Office of Facilities Planning and Development

March 13, 2003



Graves Hall - Temporary Mouse Buildings

315-2002-920-1

Requesting Agency(s): RESEARCH, OFFICE OF

Location(s): GRAVES HALL, GRANT O

Gross Sq. Ft.220,370 Age: 1969

Description: This is an enabling project to include construction of temporary mouse buildings and disassembly at conclusion of the Graves Hall renovation project.

Project Team:

Facility Planner: Teresa Yu
 (yu.195@osu.edu)
 Project Captain: Steve Middleton
 (middleton.52@osu.edu)
 Project Assistant: Steve Deedrick
 (deedrick.4@osu.edu)
 Field Coordinator: Is Unassigned

Project Information:

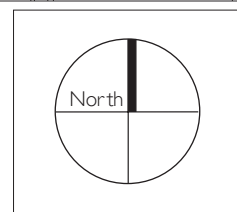
This project encompasses the purchase of pre-fabricated buildings. No up-front design or engineering services were involved.

A determination still needs to be made as to where the mouse trailers will reside once the Graves Hall project has completed and until the Biomedical Research Tower project is complete. This issue is still under consideration, and is expected to be resolved shortly.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds- Office of Research	\$675,000.00	\$675,000.00	Total:			
Total:	\$675,000.00	\$675,000.00				

Schedule:	Projected	Revised	Actual
Construction Completion	09/15/2004		

Graves Hall - Temporary Mouse Building



Office of Business and Finance
Office of Facilities Planning and Development

January 15, 2004



Requesting Agency(s): BUSINESS & FINANCE, OFFICE OF

Location(s): MCCRACKEN POWER PLANT, WILLIAM C

Gross Sq. Ft. 107,910 Age: 1918

Description: This project will add approximately 4,000 tons of chiller capacity to the McCracken central chilled water plant. The capacity is necessary to serve future buildings (Physical Sciences, Knowlton School of Arch, Mechanical Engineering, Psychology, Larkins Replacement) that are currently being designed to connect to the central plant. It will also allow other existing buildings to be connected to the central loop.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Jerry Bender
(bender.3@osu.edu)

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

Field Coordinator: Mark Scott

Project Information:

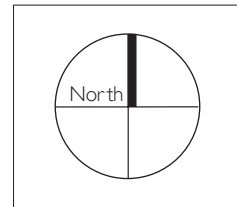
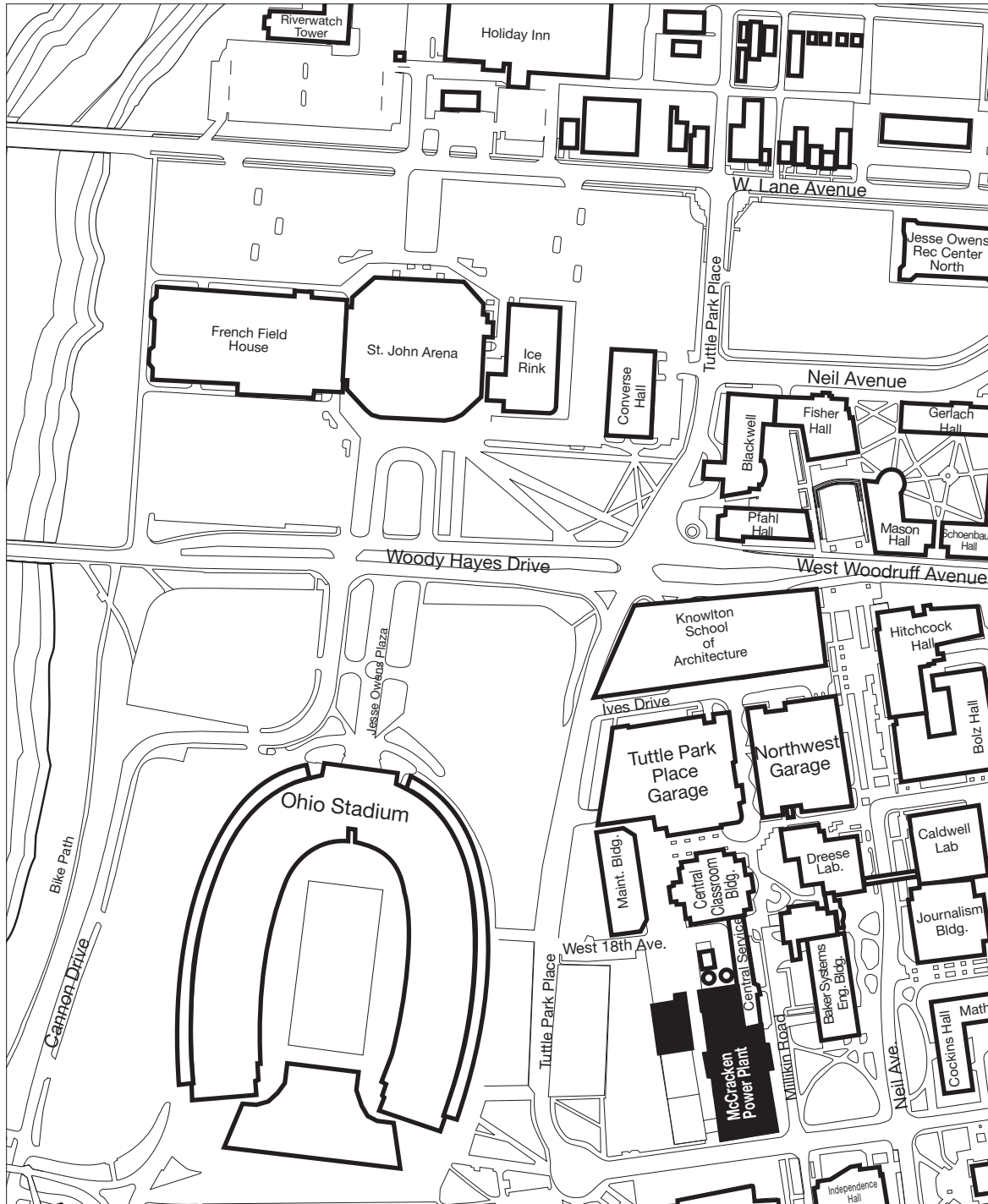
Bond-Funded Capital Request
Infrastructure 2003 - 2008

Ad for AE in 6/03 was for a \$4 million project. The other \$4 million is being designed in-house, for a total \$8 million project.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Future Univ. Bond	\$8,000,000.00	\$8,000,000.00	Total:			
Proceeds						
Total:	\$8,000,000.00	\$8,000,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Advertisement (ad in dispatch 6/8/03)	06/08/2003		06/08/2003
Arch/Engr Approved by B/T (\$8,000,000 project)	10/03/2003		10/03/2003
Bidding Approved B/T (Phase I-\$8,000,000 proj)	02/06/2004		
Design			
Arch/Engr Contract	11/15/2003		
Bidding			
Bid Opening (Phase I)	03/05/2004		
Construction			
Construction Start	04/15/2004		
Completion (Phase I)	09/30/2004		

McCracken Power Plant Chiller Expansion



Office of Business and Finance
Office of Facilities Planning and Development

September 13, 2003



Medical Center Signage and Wayfinding

315-1999-939-7

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): ROSS HEART HOSPITAL, RICHARD M

Gross Sq. Ft.0 Age:

Description: To provide vehicular signage and wayfinding for the medical center complex

Project Team:

Project Information:

Facility Planner: Anne Pensyl
 (pensyl.3@osu.edu)

Project Captain: Lori Seeger
 (seeger.7@osu.edu)

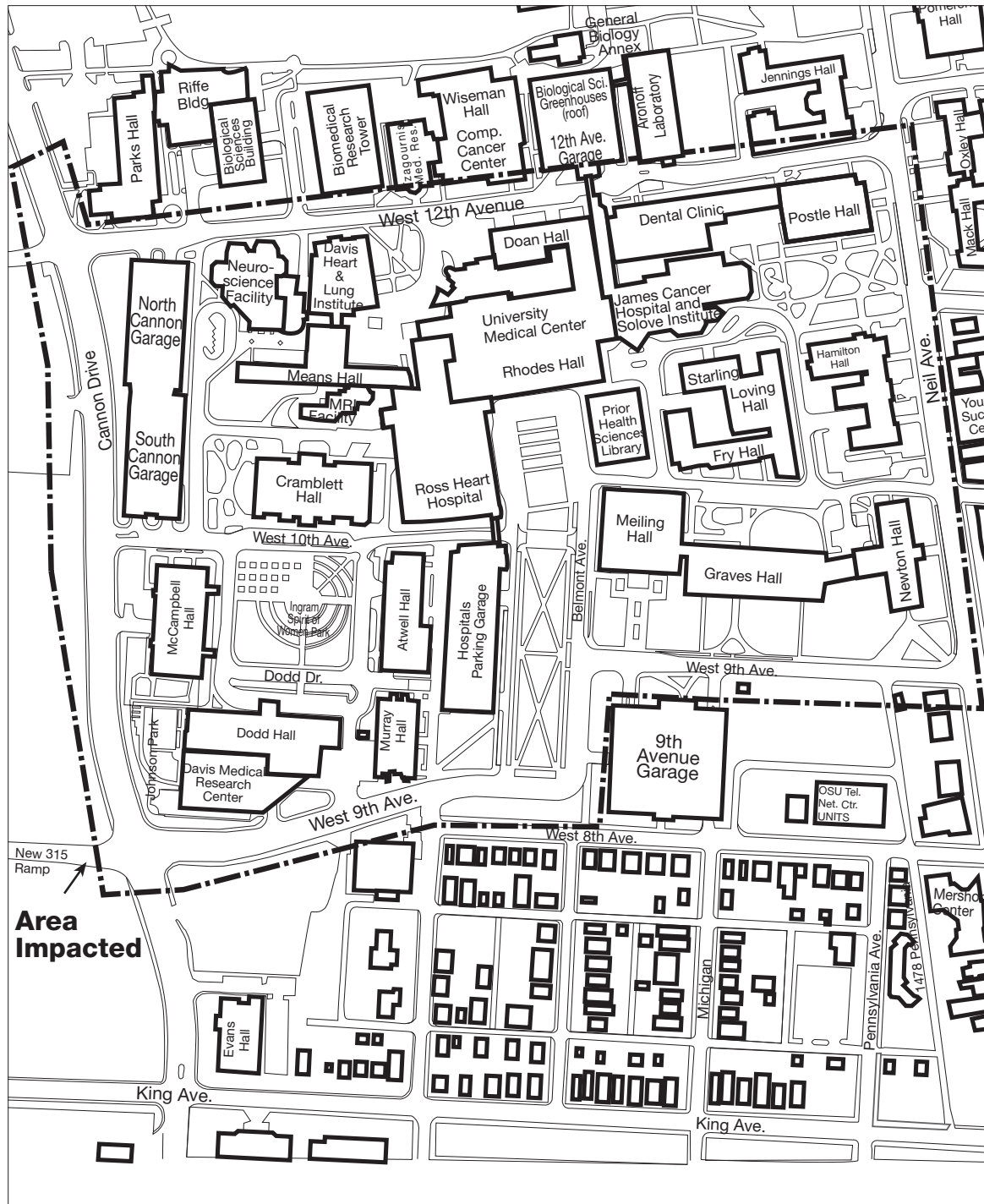
Project Assistant: Patricia Berger
 (berger.58@osu.edu)

Field Coordinator: Is Unassigned

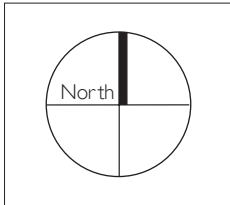
Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University	\$335,000.00	\$235,000.00	Total:			
Hospitals						
Future Univ. Bond	\$25,000.00	\$125,000.00				
Proceeds						
Total:	\$360,000.00	\$360,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$360,000 project)	11/03/2003	11/07/2003	11/07/2003

Medical Center Signage and Wayfinding



Area Impacted



Office of Business and Finance
Office of Facilities Planning and Development

October 23, 2003



Pomerene Hall - Hot Water Heating System Replacement

315-2003-920

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): POMERENE HALL, FRANK E.

Gross Sq. Ft. 72,134 Age: 1922

Description: The heating system in Pomerene Hall is poorly controlled. The original heating system is past life expectancy and the heating hot water system exchanger for the VAV system requires replacement.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Alexander Lentsner

Project Assistant: Karen Cogley
(cogley.1@osu.edu)

Field Coordinator: Mark Scott

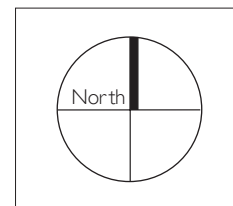
Project Information:

Designed by University personnel.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic	\$350,000.00	\$350,000.00				
Renovation			Total:			
Total:	\$350,000.00	\$350,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$350,000 project)	12/05/2003		12/05/2003
Bidding Approved B/T	03/05/2004	02/06/2004	
Design			
Schematic Design Approval	06/15/2003		06/15/2003
Design Dev Document Approval	08/15/2003		08/15/2003
Construction Document Approval	12/15/2003		12/15/2003
Bidding			
Bid Opening	03/14/2004		
Construction			
Construction Start	06/15/2004		
Completion	09/30/2004		

Pomerene Hall Hot Water Heating System Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 8, 2003



Veterinary Hospital - Roof Replacement Phase II

315-2003-933

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): VETERINARY HOSPITAL

Gross Sq. Ft.222,496 Age: 1973

Description: Removal / replacement of the remaining Vet Hospital roof areas. The existing built-up roof needs to be removed and replaced with a new coal tar 4-ply roof system. (Reuse existing metal flashings and counterflashings.)

Project Team:

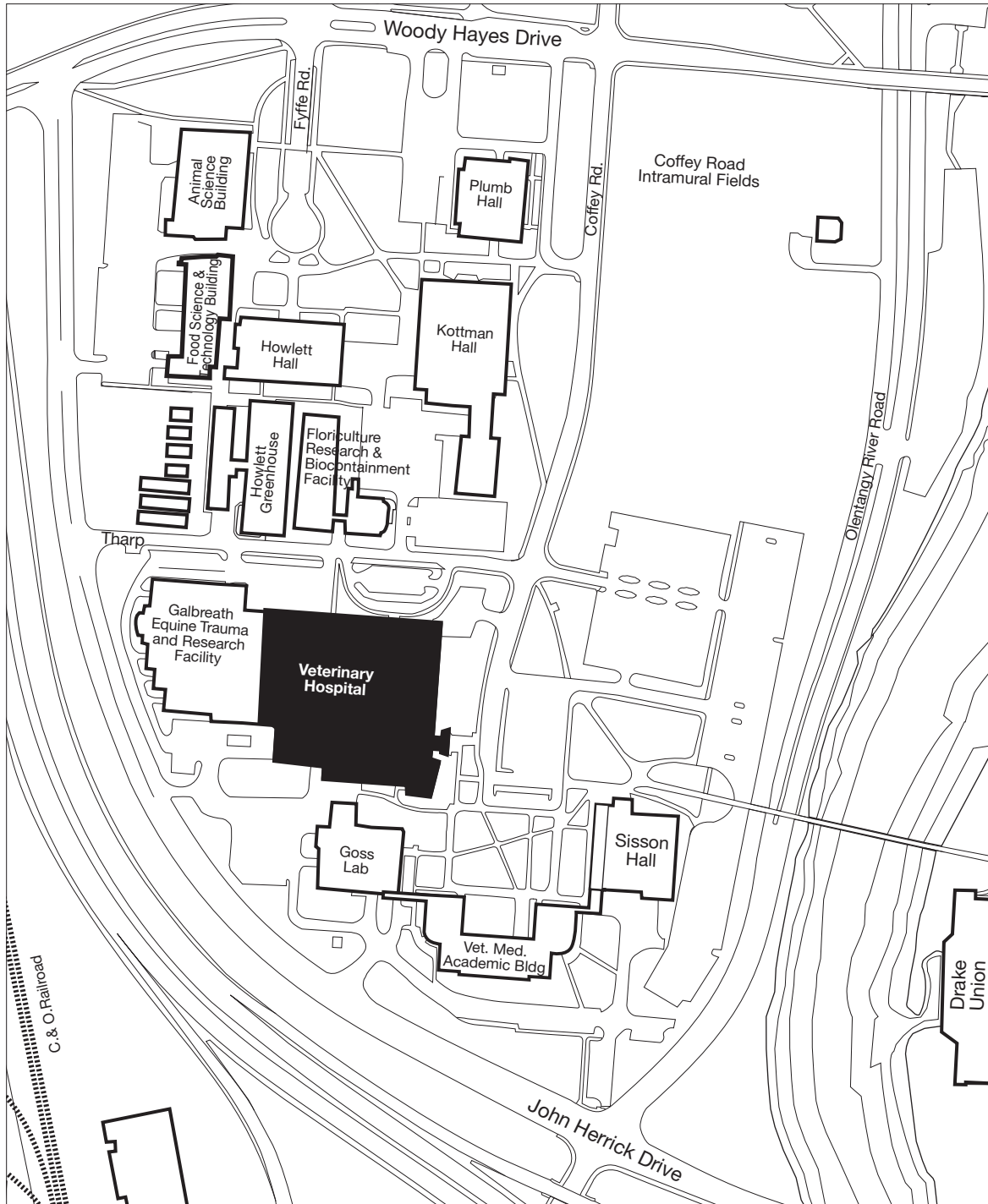
Project Information:

Facility Planner: Is Unassigned
 Project Captain: Brett Garrett
 Project Assistant: Karen Cogley
 (cogley.1@osu.edu)
 Field Coordinator: Mark Scott

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic	\$650,000.00	\$650,000.00				
Renovation			Total:			
Total:	\$650,000.00	\$650,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$650,000 project)	05/02/2003		05/30/2003
Bidding Approved B/T	02/06/2004		
Design			
Design Dev Document Approval	01/05/2004	01/30/2004	
Construction Document Approval	02/05/2004		
Bidding			
Bid Opening	03/15/2004		
Construction			
Construction Start	06/16/2004		
Completion	10/16/2004		
Report of Award to B/T	12/03/2004		

Veterinary Hospital - Roof Replacement Phase II



Office of Business and Finance
Office of Facilities Planning and Development

May 10, 2003



Wiseman Hall - Buildout of 3rd Floor Shell Space

315-2003-960

Requesting Agency(s): CANCER HOSPITAL & RESEARCH INSTITUTE

Location(s): WISEMAN HALL, BRUCE K.

Gross Sq. Ft. 81,693 Age: 1960

Description: Buildout for lab research space on the 3rd Floor.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Abu Saleh
(saleh.16@osu.edu)

Project Assistant: Patricia Berger
(berger.58@osu.edu)

Field Coordinator: Is Unassigned

Project Information:

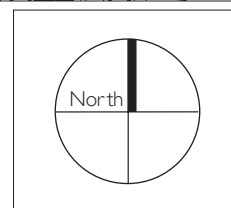
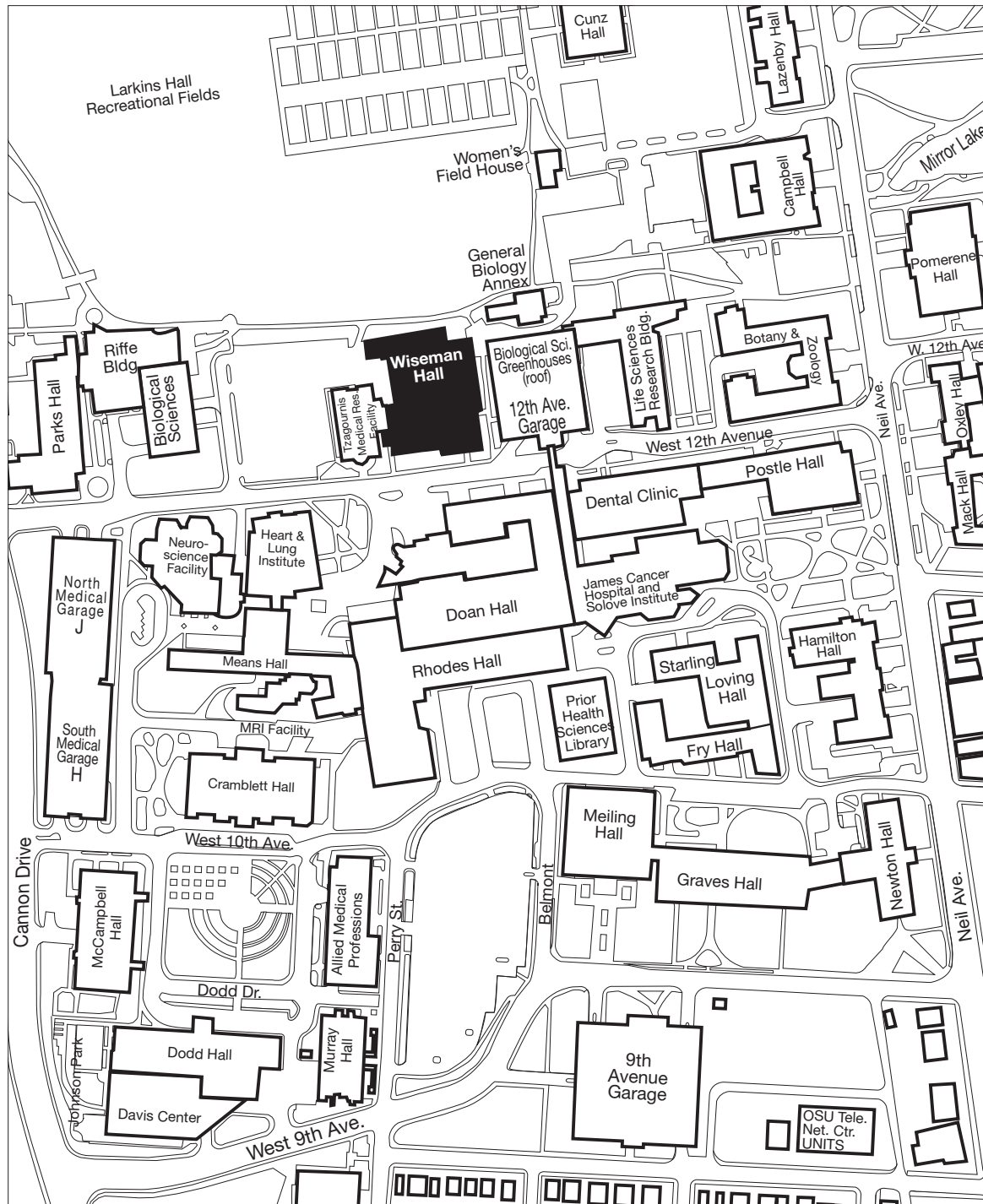
The Wiseman Hall Expansion of the Comprehensive Cancer Center project 315-1999-957 adds two levels to the existing Northern Area of Wiseman Hall. The 4th floor expansion was designed for the CCC while the 3rd floor was designed as a shell space for future growth. Funding is being made available to complete the buildout of the 3rd floor shelled space that is currently under construction.

This buildout should begin construction following the completion of the 315-1999-957 project.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-James Cancer	\$3,569,940.00	\$3,569,940.00	Design	\$267,300.00		\$0.00
Hospital			Construction	\$3,050,000.00		\$0.00
			Contingency 1	\$216,040.00		\$0.00
Total:	\$3,569,940.00	\$3,569,940.00	Local Administration	\$36,600.00		\$0.00
			Total:	\$3,569,940.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$3,569,940)			05/30/2003
Bidding Approved B/T	02/06/2004		
Construction			
Construction Start	04/15/2004		
Completion	10/15/2004		

Wiseman Hall - Buildout of 3rd Floor Shell Space



Office of Business and Finance
Office of Facilities Planning and Development

May 20, 2003



Requesting Agency(s): MEDICINE AND PUBLIC HEALTH ADMIN

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: Construct an additional mechanical room for the installation of a Biocontainment Lab that meets Level 3 standards of CDC.

Formerly known as the Biological Sciences - Bio Safety Level 3 Facility

Project Team:

Facility Planner: Teresa Yu
(yu.195@osu.edu)

Project Captain: Steve Middleton
(middleton.52@osu.edu)

Project Assistant: Curtiss Ashley
(ashley.6@osu.edu)

Field Coordinator: Is Unassigned

Project Information:

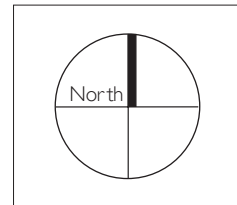
Formerly known as 50700-R024734 and 50700-R035214

Construction was approved for a \$1,800,000 project by the Board of Trustees on October, 2002. The project cost has escalated due to increased costs of modular laboratory buildings and electrical and steam considerations.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Medicine	\$1,216,476.00	\$2,750,000.00				
Total:	\$1,216,476.00	\$2,750,000.00	Total:			

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$1,800,000 Project)	10/04/2002		10/04/2002
Construction			
Completion	07/31/2004		

West Campus Biocontainment Laboratory



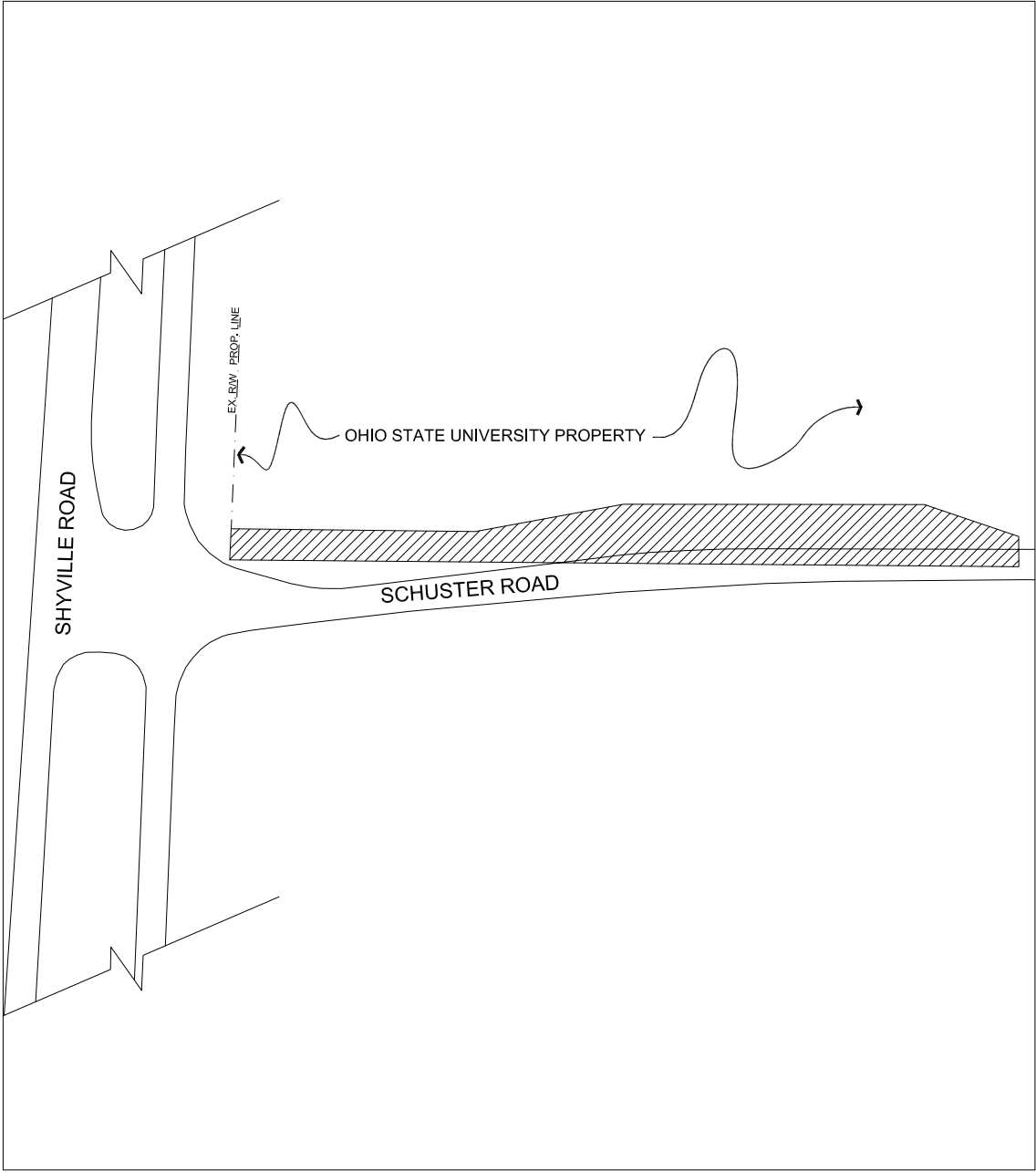
Office of Business and Finance
Office of Facilities Planning and Development

January 15, 2004

**ROADWAY EASEMENT TO THE PIKE COUNTY
PIKE COUNTY, OHIO**

The Pike County Engineer is requesting a perpetual roadway easement be granted to Pike County over and across a road intersection containing approximately 0.0375 acres of University land located in Seal Township of Pike County for construction of certain roadway improvements, installations, enhancements, facilities and equipment related to the widening of Shyville and Schuster Roads by the Pike County Engineer. This easement will be granted pursuant to the provisions of Ohio Revised Code Section 3345.18, which provides that the University, on behalf of the State, may grant roadway easements to counties.


Pike County Easement



No True Scale

Office of Business and Finance
February 6, 2004



 Proposed Easement

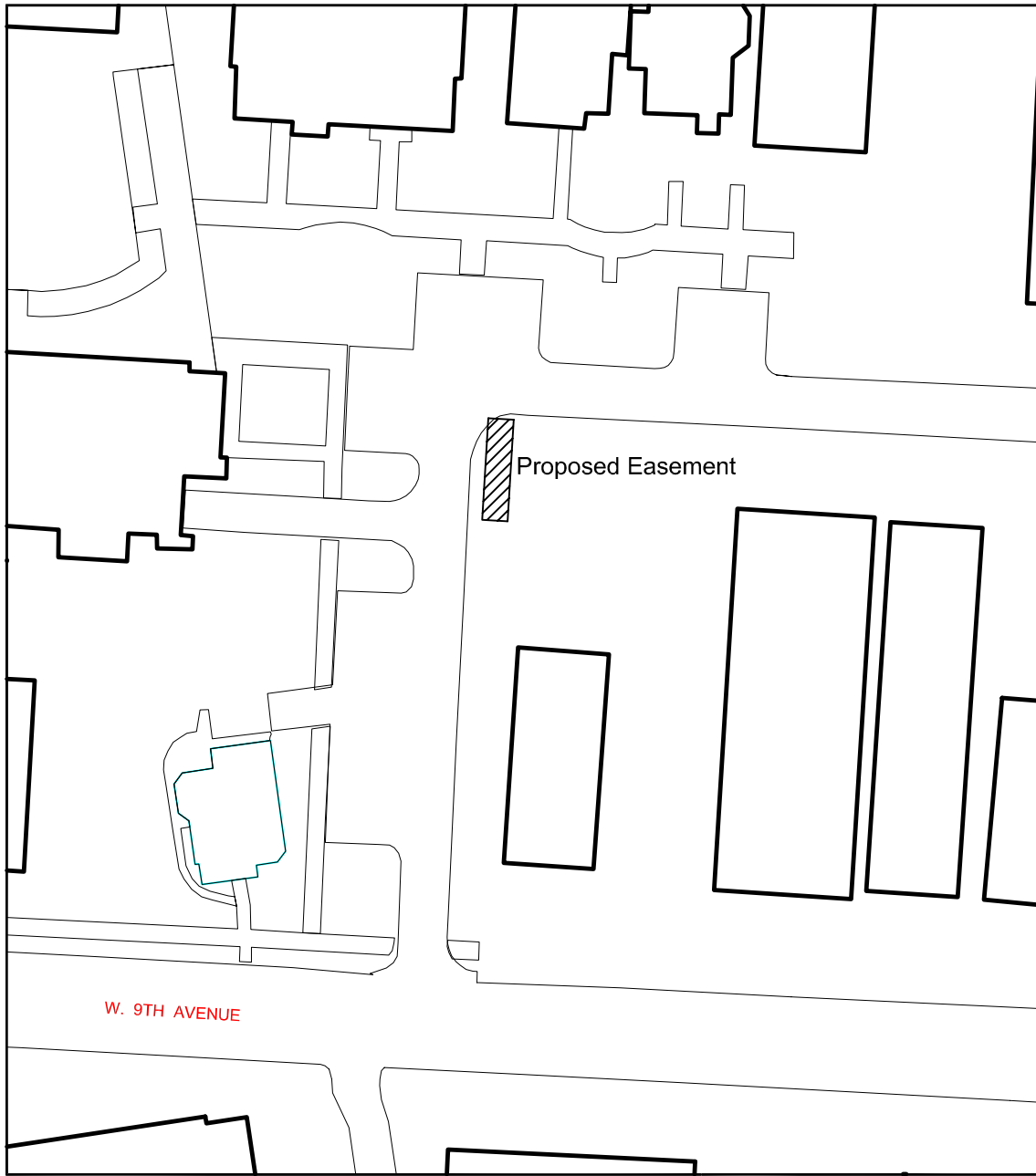
Map Provided by University Engineer's Office

EASEMENT

OHIO BELL TELEPHONE COMPANY, D.B.A. S.B.C. OHIO, INC.,
AT THE GRADUATE AND PROFESSIONAL STUDENT HOUSING PROJECT
COLUMBUS, OHIO

Ohio Bell Telephone Company, d.b.a. S.B.C. Ohio, Inc., is requesting a 25-year easement be granted to SBC to locate telephone transmission equipment providing service to the Graduate and Professional Student Housing Project. The easement will permit SBC to locate its equipment in the easement area and grant access to perform maintenance and repair of the equipment. Upon approval of the easement by the Board of Trustees, the Ohio Department of Administrative Services will prepare the easement documents to be signed by the State of Ohio granting the easement.

PROPOSED EASEMENT FOR SBC OHIO GRADUATE AND PROFESSIONAL STUDENT HOUSING THE OHIO STATE UNIVERSITY



No True Scale

Office of Business and Finance

February 6, 2004



Map Provided by University Engineer's Office

**The Ohio State University Board of Trustees
Fiscal Affairs Committee**

February 6, 2004

TOPIC:

Budget v. Actual Report for FY 2003

CONTEXT:

This is an annual report on the differences between budgeted and actual revenues and expenditures at the Columbus Campus. Its purpose is to assure accountability at the University-wide level between projected and actual resources and expenditures for the three major fund groups.

The formatting of this report will change in FY 04 to include the total University revenues and expenditures rather than just the Columbus Campus.

SUMMARY:

- The records of the University budget and accounting system are reconciled.
- Estimates for General Fund resources and expenditures exceeded the goal of predicting actuals within +/-1%, but the errors were related to better than projected outcomes. Actual General Fund resources were 2.55% above budget estimates primarily as a result of indirect cost recoveries generated by research grants exceeding estimates. Actual General Fund expenditures were below budget estimates by 5.87% primarily as a result of units intentionally spending less than budgeted to absorb possible state budget cuts.
- Estimates of resources and expenditures for earnings units were within the Office of Resource Planning's goal of predicting actuals within +/-5%.
- Estimates of restricted resources and expenditures were within the Office of Resource Planning's goal of predicting actuals within +/-10%.

ACTION REQUESTED OF FISCAL AFFAIRS COMMITTEE:

Approval of resolution.

Office of Business and Finance
Resource Planning
February 3, 2004

COMPARISON OF RESTRICTED BUDGET TO ACTUAL COLUMBUS CAMPUS FOR FY 2003

(In Thousands)

**RP Goal: To forecast Revenues and Expenditures
for Restricted Funds within +/- 10%**

Resources

State Subsidy & Appropriations
 Gifts, Grants & Contracts
 Health System
 Auxiliaries
 Other Income

Total Resources

Expenditures

Education and General
 Health System
 Auxiliaries

Total Expenditures

	Revised Budget	Actual	% Variance
	53,293	56,515	6.05%
	446,800	481,252	7.71%
	15,000	15,569	3.79%
	515,093	553,335	7.42%
	497,743	531,308	6.74%
	9,500	10,139	6.73%
	7,850	11,888	51.44% (1)
	515,093	553,335	7.42%

Notes:

- (1) Variance is due to additional expenditures of unbudgeted restricted funds, primarily in Athletics. For example, it includes \$1.9 million in bowl expenses largely offset by bowl receipts. Also included are \$1.1 million in Athletics grant-in-aid funds originally budgeted in earnings, but funded from restricted, and \$1 million for donor designated projects such as lighting for the softball field and purchasing new lockers for lacrosse.

We do not budget for restricted activity. It is an estimate based on prior-year trends.

COMPARISON OF EARNINGS BUDGET TO ACTUAL COLUMBUS CAMPUS FOR FY 2003

(In Thousands)

**RP Goal: To forecast Revenues and Expenditures
for Earnings within +/- 5%**

Resources

Education and General
Health System
Auxiliaries

Total Resources

Expenditures

Education and General
Health System
Auxiliaries

Total Expenditures

	Revised Budget	Actual	% Variance
	85,200	84,025	-1.38% (1)
	828,517	825,486	-0.37%
	188,117	180,972	-3.80% (2)
	1,101,834	1,090,483	-1.03%
	89,847	83,106	-7.50% (1)
	822,126	806,998	-1.84%
	188,591	178,484	-5.36% (2)
	1,100,564	1,059,373	-3.74%

Notes:

- (1) Actual was adjusted to exclude \$18M in income and \$16.3M in expenditures for OSU Physicians, Inc. Revenue and Expenses for OSUP were consolidated into the University financial statements for the first time in FY 03. However, they were not part of the University budget.
- (2) Actual adjusted to include \$9.2 million in intra-University revenue and \$9.2 in intra-University expense. For example when Transportation and Parking purchases telephone services from UNITS, the transaction is a an expense to T&P and income to UNITS. These revenues and expenses are included in the auxiliaries' planned resource and expense budgets but excluded from the University's financials in order to not overstate the University's resources and expenses.

COMPARISON OF GENERAL FUNDS BUDGET TO ACTUAL COLUMBUS CAMPUS FOR FY 2003

(In Thousands)

**RP Goal: To forecast Revenues and Expenditures
for General Funds within +/- 1%**

Resources

State Subsidy & Appropriations

Student Fees

Gifts, Grants & Contracts

Other Income

Total Resources

Expenditures

Education and General

Total Expenditures

	Revised Budget	Actual	% Variance
	317,163	317,679	0.16%
	422,985	428,014	1.19%
	58,023	71,219	22.74% (1)
	21,195	23,386	10.34% (2)
	819,366	840,297	2.55%
	823,997	775,625	-5.87% (3)
	823,997	775,625	-5.87% (3)

Notes:

- (1) Cost recoveries from private and federal research grants exceeded estimates.
- (2) Actual adjusted to exclude unrealized gains, an audit adjustment, and year-end accruals which are not part of the University budget. Variance due primarily to increases in royalty income above estimates.
- (3) Actual spending is lower because of the uncertainty of the budget situation in FY 03.

**The Ohio State University Board of Trustees
Fiscal Affairs Committee**

February 6, 2004

TOPIC:

Mid-Year Financial Review

CONTEXT:

This is the second in a series of quarterly financial reports for FY 2004 and reflects a new, more risk-oriented format initiated last quarter.

HIGHLIGHTS:

Columbus Campus

- Enrollments are strong
- Proposed repeal of one-cent sales tax increase is a major source of uncertainty
- Medical Center results are running close to projections
- Other income sources continue to meet or exceed projections

Regional Campuses and Affiliated Entities

- Regional campus enrollments are down slightly from projections
- A number of financial and structural reviews are underway

ACTION REQUESTED OF FISCAL AFFAIRS COMMITTEE:

Approval of resolution.

Office of Business and Finance
Resource Planning
February 3, 2004

**The Ohio State University Board of Trustees
Fiscal Affairs Committee
Mid-Year Financial Report**

February 6, 2004

- I. Purpose of this Report
- II. Enrollments
- III. State Support
- IV OSU Medical Center
- V. Other Columbus Campus Key Areas
- VI. Regional Campuses
- VII. Affiliated Entities
- VIII. What Happens Next?
- IX. Summary and Conclusion
- X. Appendix - Original Budget vs. Mid-Year Budget

I. Purpose of this Report

As the University seeks to diversify its financial resources, it by definition will need to behave more entrepreneurially. Based on the degree to which we are successful at this, we will reduce the risk of reliance on State funds, which are becoming less predictable and less stable.

As we become more entrepreneurial, we also must be prepared to undertake a different and much more diversified portfolio in terms of risk. This in turn requires a strategically-based assessment of risk and risk management.

Winter Quarter provides a good opportunity to review these issues and compare and adjust the budget estimates to six months of actual data.

This mid-year financial report continues to reflect a shift away from a focus on General Funds budgets to a broader assessment of financial conditions. This is an evolutionary process and continued improvement will be made.

This report is designed to provide a mid-year update on these three questions:

- Where are the areas of largest risk?
- Where are potential problems most immediate?
- How will we know these problems have been addressed?

II. Enrollments

A. Enrollments compared to budget projections for combined Summer, Autumn and Winter Quarter – Columbus Campus

Category	Projected	Actual	Difference	% ¹
Undergraduate	84,689	85,217	528	0.6%
Graduate	26,683	26,910	227	0.9%
Professional	7,308	7,446	138	1.9%
Total	118,680	119,573	893	0.8%

The financial impact of the difference between projected and actuals is relatively small. No adjustment to the fee revenue budget is recommended until Spring Quarter actuals are known.

B. Fall 04 Freshman Class

This is a transition year in freshman admissions at Ohio State. Much has changed in the past year making it difficult to compare this year's application trends with prior years. For example, Ohio State has changed the manner in which freshman applications are evaluated to comply with the June Supreme Court decision. We have added four short answer questions to the application to provide additional student information for a more individualized review process. This is also the year that we have increased application and acceptance fees and moved the application deadline two weeks earlier in February. One week before the February 2nd freshman application deadline, applications were about 4.5% below planning targets. Admissions however were only 3.5% below targets. The quality of the applicants remains high and we believe that the trend of new freshman classes improving academic credentials will continue with the class admitted Fall 04.

¹ Percentage difference between actual and projected enrollment headcounts for Summer, Autumn and Winter terms of FY04.

III. State Support

A. Operating Budget

1. State tax receipts for the first six months of the new fiscal year are only 0.3% under estimates.
2. The proposed repeal of the one-cent sales tax increase continues to be a source of uncertainty. Should the proposed repeal of the sales tax be successful, the impact on Ohio State and other universities in Ohio could be severe.

B. Capital Bill

1. The Board of Regents has informed us that our requested appropriation has been reduced by \$4.6 million from what the Board of Trustees approved for the Columbus Campus in September. These reductions have temporarily been spread among the following five projects: Thompson Library Renovation, Robinson Lab Replacement, Psychology Replacement and Larkins Replacement. Further adjustments will need to be made at a later date.
2. Rumors persist that the FY 2005-FY 2006 Capital Appropriation Bill will not be passed by July 1, 2004. The University can manage cash flow through 12/31/04. If the bill is delayed beyond that, severe cash flow problems will need to be addressed

IV. Medical Center

- A. Medical Center (Office of the Senior Vice President for Health Sciences, College of Medicine and Public Health, Health System, and OSUP) accounted for 34%² of all Columbus Campus revenues from all sources in FY03.
- B. Health System

The Health System's financial status continued to remain stable during the first half of FY 2004. The Health System has continued to experience growth in admission volumes, particularly at University Hospitals East and the James Cancer Hospital. Total operating revenues through second quarter were \$450.1 million, or \$48.1 million above last fiscal year. This is primarily due to increased volume and selective price increases. Total expenses were \$428.9 million, or \$42.2 million over last year. A large part of this increase can be attributed to higher staffing costs from the utilization of contract nurses, related to the increased volume. General and administrative expense increased by \$9.4 million over last year, due to additional physician recruitment and the James investment in the Comprehensive Cancer Center. Overall, the Health System experienced a loss from operations of \$3.3 million compared to a budgeted loss of \$3.5 million and prior year gain of \$203,000.

The Health System is presently updating its full year forecast for 2004 to incorporate six months of actual performance trends and changes in the financial relationships with its various component hospitals. This review is expected to be completed in the next 30-90 days and may affect reported operating results relative to the budget. A follow-up report will be included as part of the third quarter financial review.

The Health System's quarter-end cash and investments totaled \$140.1 million (unrestricted cash totaled \$95.7 million, Malpractice totaled \$23.1 million, and the Research Initiative Fund totaled \$21.3 million). The Health System's overall cash position improved in the current fiscal year due to receipts of Upper Payment Limit program funds,

² 34% calculated as \$828.5 Million of \$2.438 Billion in Columbus Campus revenues.

and better collections on third party payer A/R, and the financing of capital projects through bond proceeds.

C. Malpractice Reserve

The Hospitals Self Insurance and Oval LTD is progressing with its five-year plan to increase reserves by \$7.3M An update outlining the current reserve and the new malpractice fund which includes OSUP will be provided by year-end.

D. Projects being closely tracked:

1. Ross Heart Hospital construction and business plan
2. BMRT design and business plan

V. Other Key Areas on the Columbus Campus

A. Research – Indirect Cost Recovery and Award trends

After two quarters, IDC's are running 8.3% higher than FY03, and new awards are up 6.4% compared to the first half of FY03.

B. Student Affairs

1. Schottenstein Center: The Schottenstein Center is on pace to meet or exceed its projected net income due to a number of large events that occurred during the first half of the year. In addition, the capital reserve has increased to \$1.6M.
2. Blackwell Inn: At the mid year mark, Blackwell net income is \$110,000 vs. budget of \$90,000. Fund equity has improved by \$700,000 to (\$1.1M).
3. Housing: For FY04, Housing operations are on pace to meet projected net revenue of \$1.66M vs. FY '03 revenue of \$1.35M. Autumn Quarter occupancy for all housing operations was 98.7% vs. 97.8% one year ago. A new housing plan is being developed as part of the Academic Plan agenda for this academic year.

C. Athletics: Projected revenue and expense are within budget, and year-end net revenue should be positive.

D. Transportation and Parking: The cash position has improved to a positive \$150,000 vs. the year-end deficit of \$100,000. Net revenue through the 2nd Quarter was \$700,000 and is on pace to realize net revenue for FY04 of \$300,000.

E. Development: As of December 31, 2003, Development realized \$81.2M in private giving exceeding their target by \$1.2M. Development anticipates ending the fiscal year on or slightly ahead of target.

F. Other Actions: Actions taken by the Board of Trustees at the December meeting should help protect two of the University's most valuable assets – the Endowment and our credit rating.

VI. Regional Campuses

Campus	Projected	Actual	Difference	FY04 Actual Enrollments Compared to Budget Projections ³
ATI	1,857	1,789	-68	-3.7%
Lima	3,175	3,092	-83	-2.6%
Mansfield	3,481	3,643	162	4.7%
Marion	3,695	3,512	-183	-5.0%
Newark	5,012	4,851	-161	-3.2%

Note: Combined Summer, Autumn and Winter Quarter Enrollment Figures. Because of their relatively small size enrollment, fluctuations of $\pm 5\%$ are not unusual. The cause of the variance is being evaluated and may partially be explained by the recent change in Campus Classification policy, which assigns student campus based on majority credit hours.

³ Percentage difference between actual and projected enrollment headcounts for Summer, Autumn and Winter terms of FY04.

VII. Affiliated Entities

A number of significant financial and structural initiatives are underway involving various affiliated entities. These include:

- Campus Partners – now that Gateway construction is moving forward, a revised business plan for Gateway will be presented for University approval.
- SciTech – a triennial review is underway as provided for in the 1998 Development Agreement between the University and SciTech. The previous review was approved by the Board in August 2000. With the recent retirement of SciTech CEO Ora Smith, there will be an opportunity for assessment by the SciTech and University Boards.
- MedOhio Health Inc. – the MedOhio Board has commenced with an evaluation of MedOhio's capitalization in light of significant increases in volume.
- Prologue – Prologue is being restructured to better address strategic goals going forward.

VIII. What Happens Next?

- A. Approval of attached resolution.
- B. Third Quarter report will be presented at the May Board meeting.
- C. Work will continue on other areas of concern, including:
 - 1. Funding sources for advancing the Academic Plan – (continuing)
 - 2. Monitoring of State financial picture – (continuing)
 - 3. Federal agenda – (continuing)
 - 4. Review of key processes – (continuing)
 - 5. Safety and security issues – (continuing)
 - 6. Capital and scheduled maintenance needs – (Feb-March)
 - 7. Review of budget restructuring – (continuing)
 - 8. Insurance, utility and benefit costs – (Spring)

IX. Summary and Conclusion

- A. This report is in a new format that reflects the changing nature of the University's financial structure.
- B. Enrollments on the Columbus Campus are strong and expected to continue to be so, but enrollment projections for Fall Quarter 2004 are a little more subject to fluctuation than usual due to a transition in admission policies.
- C. The state budget picture continues to be somewhat uncertain regarding the proposed sales tax repeal and the next capital bill.
- D. The Ohio State University Medical Center has met budget targets through the first six months of FY 2004, but margins are narrow.
- E. Other areas of Columbus Campus finances are meeting or exceeding expectations, including research and private giving.
- F. Regional campus finances are stable for FY04. Enrollments continue to be monitored.
- G. Affiliated entities continue to reassess financial and structural needs as needed.
- H. The Board is requested to approve the attached resolution. Next update will be at the May Board Meeting

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND FOUR HUNDRED AND FIRST MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, March 5, 2004

The Board of Trustees met at its regular monthly meeting on Friday, March 5, 2004, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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March 5, 2004 meeting, Board of Trustees

The Chairman, Mr. Sofia, called the meeting of the Board of Trustees to order on March 5, 2004, at 10:05 a.m. He requested the Secretary to call the roll.

Present: Zuheir Sofia, Chairman, Tami Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Douglas G. Borrer, Paula A. Habib, and Emily M. Quick.

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CHAIRMAN'S REPORT

Mr. Sofia:

Good morning. In my brief remarks this morning I would like to focus on two key constituents of the University: our faculty and students. We frequently measure our reputation and progress against other institutions from the BCS rankings in football to the standing of our academic programs. Indeed there are many points of pride on our campus.

Let me begin with the most recent amazing fact: the London School of Economics has published the results of its global ranking of the top 200 political science departments in the world. Where do you think Ohio State ranked? In the WORLD? Number four! That's right. Columbia, Harvard, Stanford, and Ohio State. Ahead of Princeton and Yale, Chicago and the London School of Economics. Ahead of Oxford and Cambridge, Georgetown and yes, Michigan – which ranked 27th. As always it is nice to be ahead of the school up north. That kind of international standing makes all of us enormously proud.

In the interest of time, I would like to repeat a few familiar facts: our College of Veterinary Medicine is ranked 6th in the country and both our Fisher College of Business and The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute are ranked in the top 25 by *U.S. News and World Report*. And don't forget, as I am always reminded by Karen Bell, our Dance Department is ranked number one in the nation. We also have several other highly-ranked colleges -- Food, Agricultural, and Environmental Sciences, Arts and Sciences, Education, Engineering, and Law, just to mention a few. The bottom line is that our faculty are among the nation's best. They have exceptional scholarly credentials; they are dedicated University citizens and they are an invaluable resource.

Later this morning you will hear a presentation from our student leaders. As I have said many times during the course of this year, students are our primary customer and are at the center of what we do. We are committed to providing the very best educational opportunities for all of our students in a safe, fun, healthy, and enriching environment. We recognize that student learning comes first and we must continue to excel in undergraduate experience and education and place more resources and effort to make it better.

Physical facilities form an important part of our commitment to students. By Summer Quarter 2004 the outdoor adventure portion of the new Adventure Recreation Center will be open and by 2006, the final sections of this magnificent recreation facility will be completed. This facility promises to be one of the finest in the country, and it will provide a much-needed central activity for the entire University community.

The Campus Gateway project is moving forward with commitments that ensure an enrichment of the cultural and social activities of our University community. Bookstores, theaters, and a variety of eating and entertainment establishments will mean more options for students, and, indeed, for our entire University community, in the very near future.

CHAIRMAN'S REPORT (contd)

Mr. Sofia: (contd)

I know that Bill Hall and his dedicated team have made significant strides in student housing and are carefully reviewing with all interested parties, the best options to move forward with a new Ohio Union. The Board of Trustees and President are supportive of Vice President Hall's effort on these important projects.

Finally, there are many other projects and initiatives on campus that promise to enhance the intellectual and cultural lives of all who live, work, and study in this wonderful place. When Hagerty Hall is completed in 2005, the Crane Café and World Media Center will provide a unique, state-of-the-art social, cultural, and intellectual environment. And other new academic facilities, from the Glenn Institute in the renovated Page Hall to the new Knowlton School of Architecture, and the Physics and Mechanical Engineering Buildings will provide world-class facilities for our world-class students, faculty, and staff. Many of these buildings will have unique public spaces.

While buildings, spaces, and programs are important, the tragic events of last week remind us that finally, we are about people. We must renew our efforts to provide the care, counseling, and connections for each student, that keep the fabric of our University community whole.

At this time I would like to have a special recognition for one of our very distinguished deans – Glen Hoffsis. I'd like to take this opportunity to ask Dean Glen Hoffsis to stand. Glen, we wanted to take a moment to recognize your long and remarkable career here at Ohio State.

Glen began as an instructor in the College of Veterinary Medicine in 1970 and has served as dean of the college since 1993. During his tenure, he has been instrumental in moving the college into its position as one of the best in our nation.

Glen has overseen the construction of wonderful new facilities and, more importantly, he has provided tremendous leadership for the academic programs and services in that college that have increased its reputation and The Ohio State University. In addition to the leadership he has provided as dean of the College, Glen has served as executive dean of the Health Sciences of the University. He has been a great University citizen and leader for his 34-year career here at The Ohio State University.

Glen, we want you to know how much this Board appreciates your outstanding service to Ohio State. On behalf of the Board, President Holbrook, and all the staff, students, and faculty of the University, we wish you all the best in your new endeavors. Good luck.

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PRESIDENT'S REPORT

President Karen A. Holbrook: [PowerPoint Presentation]

Congratulations, again, to Glen. We will have an opportunity to celebrate him, once again, tonight and, hopefully, several other times before he leaves the campus on April 1.

This is a good start and a good segue into the remarks that I wanted to make today which are to focus on celebrating the accomplishments of the faculty. I

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

was glad to hear that they were in your remarks, Mr. Chairman, and also that we heard from the faculty leaders today in the Academic and Student Affairs Committee meeting, so this is a good follow-on.

This really is a fun time of the year, because we get to publicly recognize all the wonderful things that our faculty have done. Recently, I had the privilege of recognizing the work of a group of our faculty that are really representative of everyone overall. Barbara and I have had a lot of fun going from different classrooms to different meetings, and even to meetings that were put together almost as fake meetings, just for the purpose of surprising faculty who would be recipients of the University Distinguished Scholar Awards, the Alumni Distinguished Teaching Awards, and the University Distinguished Service Awards. It is a lot of fun to surprise faculty and it means a lot to them. Also, the students are excited to see that the people in the classroom who talk and work with them everyday are recognized so beautifully by their peers.

We do a number of those things and we do them over and over in a variety of different venues. Just last night the Medical School recognized outstanding medical faculty and students at the AOA Awards banquet to celebrate students, faculty, and alumni who contribute. So this is a wonderful time of the year to celebrate many of our successes, particularly the people who do so much for us on a regular basis.

We also single faculty out at winter commencement by inviting one faculty member, as representative of all of our faculty, to speak to our graduating seniors. This year, Dr. Lonnie Thompson, from the Department of Geological Sciences, will be the commencement speaker.

I think all of you know Lonnie as the faculty member who studies ice cores drilled from glaciers to look at patterns of climate change over literally millions of years. He is clearly one of the world's experts on global warming. He has won many awards for his scientific work, including being named by *Time* magazine as one of America's Best Scientists. Two years ago, you awarded him a Distinguished University Professor Award, the highest recognition given to any University faculty member. Next week at the Press Club in New York City, Ohio State will be highlighting his research, again, to the top science journalists in the nation who will be coming together with 10 university presidents to talk about information on the state of science and technology in higher education and the nation.

Lonnie is just one of many outstanding faculty who represent the excellence of his colleagues across the University. I can tell you from personal experience, that every single day I send letters to faculty to commend them for the awards, honors, and recognition they have received all over the nation for the things they do in every one of our missions – teaching and learning, research and discovery, and service and outreach and engagement.

I thought then that I would take the time to tell you a little bit about what faculty actually do on our campus, because many times you will hear – and I certainly hear this at the Governor's Commission on Higher Education and the Economy – a good deal about faculty who “teach for six hours and what do they do for the rest of their time?” I was also glad to hear Grady Chism this morning tell the Academic and Student Affairs Committee that he thought this year should be considered the Year of the Faculty, because so many things are changing in the faculty and many goals are on the plate to support and help faculty, as well as increase our faculty.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

Many people think of faculty in their foremost role as teaching students. It is certainly a very significant activity of the faculty in the way that they affect the lives of many people. Historian and novelist Henry Adams said that teachers affect eternity; and for the most part, we never know where or when their influence is felt. Every one of us can cite one teacher who has changed the course of their lives.

I recently joined a group of 25 undergraduate students, representing all four classes, at a luncheon. I asked them to introduce themselves and I said, "When you introduce yourself, please give us one statement that you would like to tell the faculty." I was absolutely thrilled to hear that virtually every student included a comment about the "contagious enthusiasm of the faculty for their subject," that hearing about faculty members' research drew them into a special "inner circle" that others might be excluded from, that faculty "have an incredible impact on students," and the personal experiences faculty share about themselves and time they spend with students outside the classroom are "remembered forever." Teaching clearly involves many kinds of interactions beyond the classroom instruction and the impact of those interactions probably outlives the didactic information that gets transmitted during the course.

Teaching conjures up the image of a faculty member standing before a class of undergraduate students in the traditional classroom, solving problems on the blackboard -- or today that would be the whiteboard -- leading a discussion, lecturing, or even speaking from a PowerPoint presentation projected on a screen. Teaching is however much, much more. It is one-on-one instruction in a studio or research lab, groups of students working with an instructor in the field or with practitioners and professionals who direct them in internships, co-op experiences, and clinics inside and outside the University. Faculty members incorporate technology into their courses to ensure that their teaching is of the highest quality and that they teach students in the most effective ways. Most of our faculty are also involved in teaching and mentoring graduate students or in instructing citizens in communities, on farms, in businesses, and in the statehouse -- taking our programs and research advances to a wide range of "students." And the quality of teaching -- this is very important -- is assessed by our student and peer evaluations, as well as by the success of our undergraduate and graduate students who go out into the world having been trained by our faculty.

Faculty members produce scholarly work that drives the University's reputation. "Research" in an academic institution is not just the scientist in the lab publishing results, but the professor of dance choreographing an original work, a professor of materials science developing a new technology to advance an Ohio industry, a professor of education transmitting how to improve K-12 education, a professor of philosophy helping readers gain keener insights into life's most important questions, and professors and researchers working in every area of human endeavor.

Our faculty members are also productive in pursuing and disseminating new information and ideas, both through their writing and often as editors of highly ranked journals. They travel the world, literally and virtually, in the pursuit of new knowledge. The impact of research that we produce at The Ohio State University is evident in the food we eat, the cleanliness of the water we drink, the air we breathe, the safety and performance of our armed forces, the quality of the art and culture we enjoy, the healthcare we receive, and the successes of all of our explorations worldwide.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

Our faculty members provide a great deal of time, energy, and innovative work in providing service to the University, to their professions, and outreach to citizens of the state and nation. The service that our faculty members provide connects our academic programs, research, and students with the community.

Faculty lead and serve on committees, commissions, task forces, councils, oversight bodies, and search committees at the University-level and in their professional organizations at a national-level and on a global scale. They take the responsibilities of leadership to build the University environment that is relevant and responsive, that promotes excellence, and that provides their colleagues and students with access to the tools they will need for their future success.

Typically, our faculty members don't excel in one of these areas, they excel in all three: teaching, scholarship, and service; and all three overlap with one another.

Let me provide a visual to demonstrate the responsibilities that faculty members assume as their careers build. This is not an exaggeration. When you look at the responsibilities of graduate students, they are mostly to themselves. They begin to build their career and set the stage for the next phase as a post-doc, senior fellow, or someone in an interim position. They begin to continue on in their research to build their own careers and get prepared to be a strong faculty member, to build their own CV, and to obtain independent funding if they are going to be in the field where that is required for their future success.

As an assistant professor, the responsibilities grow as they become more engaged in their professions and disciplines. As they are teaching courses, they are becoming now locked into the University and the kinds of responsibilities that they will have to help push the University forward, as well as their own careers. Then with tenure, look what happens. The University faculty member is not simply teaching courses in front of students, but taking on an enormous range of opportunities.

So I bristle when I hear someone say, "How come faculty only work six hours in the classroom?" The point is that faculty members work all the time. When they are not working in the classroom or in the lab or in their office or in the library, they are frequently thinking about what is going on in the next step to prepare themselves to be better for their students in their discipline. This is a true range of responsibilities of what faculty achieve and what faculty spend their time doing at The Ohio State University.

We do expect a great deal of our faculty and they consistently exceed our expectations. The Ohio State University attracts and retains faculty who are on a par with the best in the world. Their professional recognition is very much deserved. The excellence and enormity of the contributions of our faculty provide clear evidence that they are advancing virtually all of the strategies of the Academic Plan but in a most direct way, the specific objective: "To advance the well-being of the people of Ohio and the global community through the creation and dissemination of knowledge." Our students and the people of the state, the nation, and even the world are the beneficiaries of the dedication and commitment to excellence of our faculty. Thank you.

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STUDENT RECOGNITION AWARD

Ms. Quick:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of the student's achievement in his or her area of study, service to the University and/or community, and/or research achievements that have been a credit to the college and the University.

This month's recipient is Heather Dysart, who was nominated by Dean David Andrews in the College of Human Ecology. Heather is a fourth-year student in the Austin E. Knowlton School of Architecture and has made a huge impact in other areas of the University, especially in the College of Human Ecology.

She has been a member of Habitat for Humanity since her first year at Ohio State. Currently, she is president of the organization here on campus and is leading it in building a house on the west lawn of the Ohio Union. Once the Habitat for Humanity house is completed, it will be moved to the Weinland Park area just off campus.

Also, Heather participated in a study abroad program to Italy last spring and has been a member of the American Institute of Architecture Students.

Today, she is accompanied by Dr. Lydia Medeiros, professor in the Department of Human Nutrition and Habitat for Humanity advisor. Heather has made a positive impact on the lives of students and also in the welfare of community members.

Heather continues to be dedicated to The Ohio State University and committed to excellence in both her studies and community service. I am pleased to present this award on behalf of the Board of Trustees to a truly outstanding student. Congratulations, Heather, on receiving this award.

Ms. Heather A. Dysart:

Thank you very much for this honor. I would not be able to accept this award today if it were not for the support of everyone at the University. As Emily mentioned, we are building a house on campus and have received phenomenal support from the various departments: Student Affairs, University Relations, Grounds and Facilities, the Ohio Student Union, and the list goes on and on. With their support we have been able to build a house and we will, in a few short months, be able to provide a very deserving family with a home in the Weinland Park community. We hope to keep working there and improving the community because we care so much about the University.

I would just like to say thank you to everyone who has been such a phenomenal help to our project. It is such a privilege to be part of a University that cares so much about their students, faculty, and the community around it. Thank you.

Mr. Sofia:

Congratulations, again, Heather.

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CONSENT AGENDA

President Karen A. Holbrook:

We have twelve resolutions on the Consent Agenda today for your approval and I would like to ask that #3 be considered first, separately.

Mr. Chairman, I would like to ask that Rodger Smith and Mrs. Diane Molyet come forward. Dean Evelyn Freeman could not be here with us this morning, so we have asked Rodger Smith from the Mansfield Campus to assist us in the presentation of this special resolution. Rodger --

APPRECIATION TO JEFFREY L. MOLYET

Mr. Rodger Smith:

I would like to thank all of you for the opportunity to speak to you today. I am Rodger Smith, assistant director at the Mansfield Campus of The Ohio State University. Unfortunately, our dean could not be here today as she is leading an outreach effort in Mansfield, meeting with 35 members of the African-American community to develop ways to enroll more minority students in college.

A prominent Mansfield citizen, Jeffrey L. Molyet, passed away in early July 2003. Jeff was a significant influence in the life and mission of The Ohio State University at Mansfield. Since the time that Jeff died, the OSU Mansfield Board of Trustees has reviewed ways in which they might honor Jeff's memory. But before a final step is taken, I want to tell you about the person you are about to honor.

Jeff Molyet loved Ohio State. While he spoke many words of support for the University, his actions had more volume and impact. He had served with distinction on the OSU Mansfield Citizen's Council since 1990, including two years as chair, and became a charter member of the Mansfield Campus Board of Trustees in 1994. Jeff attended 129 Citizen's Council and Mansfield Board monthly meetings on our campus.

In addition, Jeff served on or attended various committees related to OSU Mansfield, including the Executive and Finance Committee, the Business Program Committee, annual regional campus Board of Trustees' meetings for nine years, annual high school junior honor nights, and annual high school guidance counselor meetings. He was a member of the dean's search committee that hired our new dean, Evelyn Freeman. He attended the Shared Services Agreement Committee, with our co-located technical college, and the Ohio Board of Regents statewide trustees conferences, and played an active role in our "Poised for Advancement" capital campaign.

He was active each year in the OSU Richland County Alumni Club and its super fundraiser, the "Beat Michigan Bash." In fact, he was active in helping the club to be the first in the state among OSU alumni clubs to sponsor an endowed scholarship.

As an exemplary attorney and past president of the Richland County Bar Association, he provided our campus with sound pro bono legal advice on a number of issues. In his spare time, he served on numerous community boards, including the Children's Theatre Foundation and the Richland Academy, and he chaired three Mansfield City School levies.

It is both uncanny and poetic that Jeff died the day after completing his nine-year term as a board member at our campus. Apparently, Jeff had completed his mission.

APPRECIATION TO JEFFREY L. MOLYET (contd)

Mr. Smith: (contd)

Jeff was a loving husband to wife Diane and was most proud that all three of his children now attend The Ohio State University in Columbus. I have them with me here today: Adam, Andrew, and Allison.

No one worked harder than Jeff, and Jeff did all of this graciously because he truly cared about the community, the Mansfield Campus, and The Ohio State University.

In the mid-1990s, Jeff led an initiative to have what was then off-campus student housing built adjacent to OSU Mansfield by a private developer. Ohio State Residence Life has recently purchased these student apartments and today the OSU Mansfield Board of Trustees, with great anticipation, sends a proposal to rename the student apartments "The Jeffrey L. Molyet Village," in honor of Jeff's many years of service, commitment to students, and dedication to The Ohio State University.

I thank you, President Holbrook and OSU Board members, for this opportunity.

WAIVER AND NAMING OF A BUILDING COMPLEX

Resolution No. 2004-103

Synopsis: Approval of the Waiver of Paragraph (F) of Bylaw 3335-1-08 of Administrative Code and Naming of the Campus Village Apartments adjacent to The Ohio State University Mansfield Campus in honor of Jeffrey L. Molyet is proposed.

WHEREAS The Board of Trustees of The Ohio State University, did on January 9, 1976, amend paragraph (F) of bylaw 3335-1-08 of the Administrative Code to state that "buildings and structures shall not be named for any person who is an officer or employee of the University or the State of Ohio, but may be named for a person who has been retired or has otherwise left such a position for a minimum of three years"; and

WHEREAS the Board of Trustees has authority in naming all buildings and structures at The Ohio State University, as set forth in paragraph (F) of rule 3335-1-08 of the Administrative Code; and

WHEREAS the Campus Village Apartments, located at 1365 Springmill Street in Mansfield, Ohio, were built in 1998 by a private developer and have served as student housing for the Mansfield Campus for the past six years; and

WHEREAS Jeffrey A. Molyet was instrumental in getting the apartment complex built and later sold to The Ohio State University; and

WHEREAS he served with distinction on the OSU Mansfield Citizen's Council since 1990, including two years as chair, and became a charter member of the Mansfield Campus Board of Trustees in 1994; and

WHEREAS Jeffrey Molyet was an exemplary attorney-at-law, a past president of the Richland County Bar Association, a devoted Buckeye and past president of the Richland County Alumni Club; and

WHEREAS Mr. Molyet was an active and engaged citizen of Mansfield, having chaired public school levy campaigns, and civic and cultural committees; and

WHEREAS Jeffrey Molyet left a large void of leadership in Mansfield upon his death in July 2003:

WAIVER AND NAMING OF A BUILDING COMPLEX (contd)

NOW THEREFORE

BE IT RESOLVED, That the applicable requirements of paragraph (F) of bylaw 3335-1-08 of the Administrative Code be waived so that the aforementioned apartment complex shall be named "The Jeffrey L. Molyet Village," effective immediately.

Upon motion of Ms. Longaberger, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

Mrs. Diane Molyet:

I don't have the words to thank you enough for this incredible honor. As Rodger said, "Jeff LOVED this University." We met here as students. He was a long-haired hippie back in the 1970s, so Andrew is doing his best to emulate his father and make his mother nuts, just like Jeff's mother was nuts when he was here as a student.

Jeff worked for the University for the big picture, not just about doing things for his kids. Yes, he always hoped they would come here, but he wanted to do what was best for The Ohio State University at Mansfield and, in doing so, for The Ohio State University at large.

He loved his family. He would be so proud of the successes they have had so far. The twins, Andrew and Allison, are freshmen. Allison is a proud member of that number one dance department, so we are indeed honored for that recognition today, too. Allison lives in Baker Hall where there are still trophies displayed with Jeff's name on them from the intramural days when he and his brother terrorized the football fields by the river. Both of the boys, Andrew and Adam, are also playing intramurals, so that is a special connection that we have.

At Jeff's funeral, Adam spoke about his memories of Jeff always telling them to pay forward, just as Woody Hayes talked so eloquently about in his commencement speech. Jeff did that at Ohio State and in the other avenues in the community.

Jeff was a great mediator. He would very calmly and quietly look at issues and sometimes try to state his case a little loudly, but I really felt he was a gentle giant in that regard. As I said, he was always doing things for the big picture, it was never about him. He would probably say, "Why are you naming this after me? This is not about me. We did this together in the community. The other board members in Mansfield worked just as hard."

I remember that Adam made a comment when he was asked to speak on Jeff's behalf and somebody had mentioned, "You really did not have a choice but to go to Ohio State, did you, Adam?" Adam said, "Well, yes, we did have a choice. We could go to Ohio State Mansfield or Ohio State Columbus." So, yes, Jeff did let them know how much he loved this University.

It is fitting that I am here in this room today, because it holds special memories. The last football game Jeff and I watched together was here in this room when OSU played Michigan. We let the kids use our tickets so they could all go and we sat here with many other alumni watching anxiously to the very end of that nail-biting game. So now this will have extra special memories with this honor today.

I guess the words from *Carmen Ohio*, "Time and change will surely show how firm thy friendship O-HI-O," has so many new meanings because Jeff did spend so much time at Ohio State. As Rodger mentioned, his time ended on that

APPRECIATION TO JEFFREY L. MOLYET (contd)

Mrs. Molyet: (contd)

Monday night/Tuesday morning, when he was done with his long term as a board member. And, yes, our lives have changed and we miss him very much, but his friendship with Ohio State lives on. Thank you.

Mr. Sofia:

We are very honored to have you with us today. I know his memory will never be forgotten by all his friends and his alma mater, The Ohio State University.

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UNIVERSITY MEMBERSHIP IN UNIVERSITY HEALTHSYSTEM CONSORTIUM, INC.

Resolution No. 2004-104

Synopsis: Participation of the Executive Director of University Hospitals on the Board of Directors of the University HealthSystem Consortium is proposed.

WHEREAS in Resolution 87-39 the Board of Trustees of The Ohio State University authorized the University, on behalf of University Hospitals, to join the University Hospital Consortium, Inc., and to participate in joint purchasing activities through this Consortium; and

WHEREAS in Resolution 98-62 the Board of Trustees reconfirmed Resolution No. 87-39 and authorized the Associate Vice President for Health Sciences and Executive Director of University Hospitals, on a continuing basis, to purchase equipment, materials, supplies, or services through the University Hospital Consortium; and

WHEREAS in Resolution 2002-153 the Board of Trustees confirmed the participation of the Vice President for Health Services (formerly the Associate Vice President for Health Sciences and Executive Director of University Hospitals) as a member of the Board of Directors of the University Hospital Consortium, now known as the University HealthSystem Consortium, and, in that capacity to represent the University and its Health System; and

WHEREAS the Vice President for Health Services retired effective January 31, 2004; and

WHEREAS it is in the best interest of the University to continue its membership in the University HealthSystem Consortium by appointing the Executive Director of University Hospitals to serve as a member of the Board of Directors of the University HealthSystem Consortium:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby reconfirms Resolutions 87-39 and 98-62 and, so long as there is no conflict of interest, authorizes and directs the Executive Director of University Hospitals to serve as a member of the Board of Directors of the University HealthSystem Consortium and, in that capacity, to represent the interests of the University and its Health System as part of their official responsibilities to the University, entitling them to any immunity, insurance, or indemnity protection to which employees of the University are, or hereafter may become, entitled.

REVISIONS TO THE SEXUAL HARASSMENT POLICY AND PROCEDURE

Resolution No. 2004-105

Synopsis: Revisions to the Sexual Harassment Policy and Procedure is proposed.

WHEREAS the University desires to ensure an environment for work and study free from sexual harassment; and

WHEREAS the University is required by law to adopt a policy and procedure relating to the investigation and resolution of allegations of sexual harassment, and the Board of Trustees adopted the current policy and procedure on July 7, 2000; and

WHEREAS the University wishes to revise its procedure relating to the investigation and resolution of allegations of sexual harassment; and

WHEREAS the appropriate University offices and governance groups have been consulted with respect to the revision of this procedure:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby adopts the attached Sexual Harassment Policy and Procedure, effective immediately; and

BE IT FURTHER RESOLVED, That the Board of Trustees hereby authorizes the University to make further changes to the Policy and Procedure as needed from time to time to conform to legal requirements and University policy determinations.

(Sexual Harassment Policy and Procedure on file in the Board Office.)

DISTINGUISHED SERVICE AWARDS

Resolution No. 2004-106

Synopsis: Approval of eight individuals to receive Distinguished Service Awards is proposed.

WHEREAS the President's Planning Cabinet, upon the recommendation of the Committee on Distinguished Service Awards, nominated and recommended eight individuals for approval by the Board of Trustees to receive the Distinguished Service Award at a time convenient to the University and the recipients:

Frank E. Bazler
Virginia Hutchison Bazler
Yvette McGee Brown
Clarence R. Cole
Donald D. Glower
Elaine H. Hairston
Raymond A. Miller
Manuel Tzagournis

WHEREAS these awards are given in recognition of distinguished service to The Ohio State University and the awards are in accordance with action taken by the Board of Trustees in 1952:

NOW THEREFORE

DISTINGUISHED SERVICE AWARDS (contd)

BE IT RESOLVED, That the Distinguished Service Awards be approved for awarding as designated above.

DEGREES AND CERTIFICATES - WINTER QUARTER COMMENCEMENT

Resolution No. 2004-107

Synopsis: Approval of Degrees and Certificates for Winter Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on March 21, 2004, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 2004-108

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the February 6, 2004 meeting of the Board, including the following Appointments/Reappointment, Professional Improvement Leaves, and Emeritus Titles, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments approved December 2, 2003, and January 27, 2004, by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board, be ratified.

Appointments

Name: JOHN A. E. HUBBELL
Title: Interim Dean
College: Veterinary Medicine
Effective: April 1, 2004
Present Position: Associate Dean, College of Veterinary Medicine

Name: JEFFREY M. WILKINS
Titles: Interim Chief Information and Executive Director of Technology
Commercialization and Partnership
Office: Medical Center
Effective: March 8, 2004
Present Position: Chairman, Wilkins Associates

PERSONNEL ACTIONS (contd)

Reappointment

Name: WILLIAM L. BERRY
Title: Professor (The Richard M. Ross Chair in Management)
College: The Max M. Fisher College of Business
Term: October 1, 2003, through September 30, 2006

Professional Improvement Leaves

JACQUELINE J. ROYSTER, Interim Dean and Professor, Department of English, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

JAMES M. UNGER, Chair and Professor, Department of East Asian Languages and Literatures, effective Winter Quarter, Spring Quarter, and Autumn Quarter 2005.

DIETER WANNER, Chair and Professor, Department of Spanish and Portuguese, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

LEE K. ABBOTT, Professor, Department of English, effective Autumn Quarter 2004.

LAWRENCE A. BAUM, Professor, Department of Political Science, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

STEPHEN F. DALE, Professor, Department of History, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

BERND FISCHER, Professor, Department of Germanic Languages and Literatures, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

SARAH I. JOHNSTON, Professor, Department of Greek and Latin, effective Winter Quarter, Spring Quarter, and Autumn Quarter 2005.

LOIS A. ROSOW, Professor, School of Music, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

DONALD C. WINFORD, Professor, Department of Linguistics, effective Spring Quarter 2005.

DAVID D. ADAMS, Associate Professor, Department of English (Lima Campus), effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

CHADWICK ALLEN, Associate Professor, Department of English, effective Winter Quarter and Spring Quarter 2005.

DENISE E. BRONSON, Associate Professor, College of Social Work, effective Autumn Quarter 2004.

IGNACIO CORONA, Associate Professor, Department of Spanish and Portuguese, effective Winter Quarter, Spring Quarter, and Autumn Quarter 2005.

BARBARA J. HAEGER, Associate Professor, Department of History of Art, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

VICTORIA R. HOLBROOK, Associate Professor, Department of Near Eastern Languages and Cultures, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

VALARIE W. MOCKABEE, Associate Professor, Department of Dance, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

PERSONNEL ACTIONS (contd)

Professional Improvement Leaves (contd)

TERRELL A. MORGAN, Associate Professor, Department of Spanish and Portuguese, effective Autumn Quarter 2004 and Winter Quarter 2005.

JESSICA PRINZ, Associate Professor, Department of English, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

DANIEL T. REFF, Associate Professor, Department of Comparative Studies, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

PATRICIA A. SIEBER, Associate Professor, Department of East Asian Languages and Literatures, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

SYDNEY R. WALKER, Associate Professor, Department of Art Education, effective Winter Quarter and Spring Quarter 2005.

JULIA A. WATSON, Associate Professor, Department of Comparative Studies, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

ARA A. WILSON, Associate Professor, Department of Women's Studies, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

Emeritus Titles

DOUGLAS N. JONES, School of Public Policy and Management, with the title Professor Emeritus, effective July 1, 2004.

JOGIKAL M. JAGADEESH, College of Pharmacy (Medicinal Chemistry and Pharmacognosy), with the title Associate Professor Emeritus, effective April 1, 2004.

JAMES T. HILL, Ohio State University Extension, with the title Assistant Professor Emeritus, effective February 1, 2004.

Medical Staff--Initial Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Abbas E. Abbas, M.D.*, James Surgery, Thoracic/Cardio Surgery, James Attending, 10/10/2003 - 6/30/2005

Kristie A. Blum, M.D., James Internal Medicine, Hematology/Oncology, James Attending, 10/10/2003 - 6/30/2005

Salim O. Dahdah, M.D., James Internal Medicine, Cardiology, James Community Associate, 10/10/2003 - 6/30/2005

Renata B. Fabia, M.D., Ph.D., James Surgery, Pediatric Surgery, James Clinical Attending, 11/14/2003 - 6/30/2005

Jennifer L. Glatz, C.N.P**, James Internal Medicine, Hematology/Oncology, James Allied Health, 11/14/2003 - 6/30/2005

Garrie J. Haas, M.D., James Internal Medicine, Cardiology, James Associate Attending, 11/14/2003 - 6/30/2005

Robert W. Jensen, M.D., James Neurology, James Associate Attending, 11/14/2003 - 6/30/2005

Brian D. Kenney, M.D., James Surgery, Pediatric Surgery, James Clinical Attending, 11/14/2003 - 6/30/2005

Rami N. Khayat, M.D., James Internal Medicine, Pulmonary, James Associate Attending, 11/14/2003 - 6/30/2005

*Dr. Abbas received temporary privileges effective 8/12/2003

** Ms. Glatz received temporary privileges effective 9/12/2003

PERSONNEL ACTIONS (contd)

Medical Staff--Initial Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

Benedict C. Nwomeh, M.D., James Surgery, Pediatric Surgery, James Associate Attending, 11/14/2003 - 6/30/2004
Matthew W. Rosenberg, M.D., James Surgery, Plastic Surgery, James Associate Attending, 11/14/2003 - 6/30/2004
Latha Venkatesh, M.D., James Internal Medicine, General Medicine, James Community Associate, 11/14/2003 - 6/30/2004
John J. Zulliger, M.D., James Otolaryngology, James Clinical Attending, 11/14/2003 - 6/30/2004
Barbara M. McCorvey, M.D.***, James Radiology, Radiology, James Associate Attending, 1/6/2004 - 6/30/2004
Melissa Rosado-de-Christenson, M.D.***, James Radiology, Radiology, James Clinical Attending, 1/6/2004 - 6/30/2004
Urmee Siraj, M.D., James Internal Medicine, General Medicine, James Community Associate, 1/27/2004 - 6/30/2004
Karen S. Williams, P.A.-C, James Radiology, Radiation Oncology, James Allied Health, 1/27/2004 - 6/30/2004

***Received temporary privileges effective 1/6/04

Medical Staff--Provisional to Full Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Daria Arbogast, C.N.P., James Internal Medicine, Hematology/Oncology, James Allied Health, 11/14/2003 - 6/30/2005
David Bahner, M.D., James Emergency Medicine, James Associate Attending, 11/14/2003 - 6/30/2004
Nabil Farhan, M.D., James Internal Medicine, Nephrology, James Associate Attending, 11/14/2003 - 6/30/2005
Aline Wang, M.D., James Anesthesiology, James Associate Attending, 11/14/2003 - 6/30/2004
Sergio D. Bergese, M.D., James Anesthesiology, James Associate Attending, 7/1/2003 - 6/30/2005
Billy Ray Hunter, M.D., James Psychiatry, James Associate Attending, 7/1/2003 - 6/30/2005
Ali A. Rikabi, M.D., James Radiology, James Associate Attending, 7/15/2003 - 6/30/2004
Bassel F. Shneker, M.D., James Neurology, James Associate Attending, 7/1/2003 - 6/30/2004

Medical Staff—Requests for Additional Privileges (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Abbas E. Abbas, M.D., James Attending, Surgery, Moderate Sedation, 11/14/2003 - 6/30/2005
Robert Jensen, M.D., James Associate Attending, Neurology, Temporal Artery Biopsy, 11/14/2003 - 6/30/2005
Mollie E. Moran, C.N.P., James Allied Health, Internal Medicine, Bone Marrow Aspirate/Bone Marrow Biopsy, 11/14/2003 - 6/30/2004
Gulam Mukhdomi, M.D., James Associate Attending, Anesthesiology, Advanced Pain Procedures (**see below**)*, 11/14/2003 - 6/30/2004
William G. Blum, M.D., James Attending, Internal Medicine, Bone Marrow Aspiration and Biopsy; Arthrocentesis; and Paracentesis, 1/27/2004 - 6/30/2005

***Gulam Mukhdomi**: Denervation by radio frequency ablation; Placement of dorsal column stimulator electrodes; Placement of subcutaneous generator for stimulator electrodes and management; Management of pump for delivery of intraspinal medication; Therapeutic and diagnostic nerve block, with or without steroids; Fluoroscopy; and Intraspinal catheter placement to include: a) Epidural & intrathecal; b) Permanent catheter placement; c) Permanent catheter placement with infusion port; d) Subcutaneous implantation of infusion pump.

PERSONNEL ACTIONS (contd)

Medical Staff—Requests for Additional Privileges (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

Rami N. Khayat, M.D., James Associate Attending, Internal Medicine, Moderate and Deep Sedation, 1/27/2004 - 6/30/2005

RESOLUTIONS IN MEMORIAM

Resolution No. 2004-109

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

William J. Brakel

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on December 22, 2003, of William J. Brakel, Professor Emeritus in the Department of Dairy Science, now part of the Department of Animal Sciences.

Born in Grand Traverse County, Michigan, in 1911, William Brakel earned a Bachelor of Science degree from Michigan State University in 1940. He earned a Master of Science degree in 1941 and Doctor of Philosophy degree in 1954, both from The Ohio State University.

He joined the Department of Dairy Science as an assistant professor in 1946, and was promoted to professor in 1965. He was an enthusiastic teacher who taught the introductory course in dairy science. He also served as the coordinating advisor of the department for many years. Dr. Brakel advised more than 500 undergraduate and graduate students, promoting high standards of scholarship and integrity in daily affairs. His primary research interests were dairy herd management and dairy cattle genetics and nutrition. He served as an outstanding educator in the College of Food, Agricultural, and Environmental Sciences until his retirement in 1978.

Dr. Brakel was named as one of the top ten professors in the College of Agriculture in 1955, 1956, 1957 and 1958. After his retirement his colleagues, students, and friends established The William J. Brakel Endowed Scholarship Fund in Dairy Science. He also was inducted into the OSU Dairy Science Hall of Service in 1982. He contributed significantly to the dairy industry through his teaching, research, and student advising efforts throughout his 31-year tenure at OSU.

Dr. Brakel was a member of the American Dairy Science Association and the honor societies of Sigma Xi and Gamma Sigma Delta. In addition, he was listed in *American Men of Science* and *Who's Who in the Midwest*.

On behalf of the University community, the Board of Trustees expresses to the family of William J. Brakel its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Jay Jacoby

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on March 7, 2003, of Jay Jacoby, former professor and chairperson of the Department of Anesthesiology in the College of Medicine and Public Health.

RESOLUTIONS IN MEMORIAM (contd)

Jay Jacoby (contd)

Dr. Jay Jacoby practiced medicine for over 60 years. During this time, he was recognized as an educator, researcher, and clinician. Dr. Jacoby received his medical degree from the University of Minnesota in 1941. He completed his internship at Kings County Hospital, Brooklyn, New York; and his residency at Billings Hospital, University of Chicago. He went on to earn a Ph.D. degree in pharmacology from the University of Chicago in 1947. During World War II, he saw active military service in the U.S. Army Medical Corps and was present at the invasion of Normandy and the Battle of the Bulge.

Dr. Jacoby was an academic chairperson for 35 years. He founded the Department of Anesthesiology at The Ohio State University in 1947 and served as its chair for 12 years. During this time he started the residency program and a school for nurse anesthetists. Many anesthesiology residents had the privilege of training under Dr. Jacoby. He was voted teacher of the year by his students in three medical schools including The Ohio State University College of Medicine. He had the distinction of training numerous residents who themselves went on to chair anesthesia departments. Dr. Jacoby wrote more than 100 articles on anesthesia during his career and became internationally known for developing techniques to secure a patient's airway during emergencies and surgery. He also implemented the "code blue" system in hospitals which is used worldwide.

Over his career he was president of the Ohio Society of Anesthesiology, member of the Board of Directors of the American Society of Anesthesiologists, member of the Board of Governors of the American College of Anesthesiologists, consultant to the U.S. Pharmacopeia, and examiner for the American Board of Anesthesiologists.

On behalf of the University community, the Board of Trustees expresses to the family of Jay Jacoby its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Dana F. Vibberts

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 28, 2003, of Dana F. Vibberts, Associate Professor Emeritus in the College of the Arts.

Professor Vibberts graduated from the University School, Ohio University, earning both his Bachelor of Fine Arts (1954) and Master of Fine Arts (1966) degrees with honors. He served in the United States Air Force, earning the rank of lieutenant. During the fifties and sixties Dana Vibberts hosted the radio show and television program "Folk Trails" in the Athens, Ohio, area. Professor Vibberts began teaching in the Department of Photography and Cinema at The Ohio State University in January 1969. By the late seventies he had formed the Friends of Old Time Music with his teenage daughters, and was back on the radio, this time at WOSU, with a program of old time music.

Until his retirement in 1990, Professor Vibberts taught all aspects of photography to many hundreds of students. He was an enthusiastic and dedicated teacher known for his open door policy who was always available to help any student with a problem or to just talk. His commitment to students was apparent through his several appointment terms as director of Undergraduate Studies and his work with the Department's Silver Image Gallery to bring important photographic works to campus. Further evidence of the respect students had for him was his receipt of the Alumni Award for Distinguished Teaching in 1972-73 and the Rosaline Sedgwick Faculty Service Award in 1986.

RESOLUTIONS IN MEMORIAM (contd)

Dana F. Vibberts (contd)

On behalf of the University community, the Board of Trustees expresses to the family of Dana F. Vibberts its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2004-110

Synopsis: The report on research and other sponsored program contracts and grants and the summary for January 2004 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of January 2004 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2004-111

Synopsis: The report on the receipt of gifts and the summary for January 2004 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of eight (8) new named endowed funds and amendments of four (4) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of January 2004 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July through January
2003-04 Compared to 2002-03

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through January		
	<u>2003-04</u>	<u>2002-03</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$18,246,840	\$25,355,464	(28)
Alumni (From Bequests)	<u>8,794,514</u>	<u>2,478,185</u>	255
Alumni Total	<u>\$27,041,354</u>	<u>\$27,833,649</u>	(3)
Non-Alumni (Current Giving)	\$16,762,330	\$12,301,137	36
Non-Alumni (From Bequests)	<u>8,400,609</u>	<u>3,747,130</u>	124
Non-Alumni Total	<u>\$25,162,939</u>	<u>\$16,048,267</u>	57
Individual Total	\$52,204,293	\$43,881,916	19 ^A
Corporations/Corp/Foundations	\$22,350,073	\$26,037,311	(14) ^B
Private Foundations	\$12,533,187	\$12,386,897	1
Associations & Other Organizations	<u>\$3,593,201</u>	<u>\$4,789,977</u>	(25) ^C
Total	\$90,680,754	\$87,096,101	4

NOTES

- A Individual giving is up 19% largely due to the fact that gifts of \$10,000 or more are up 23% (399 gifts for \$31.7 million last year; 446 gifts for \$39.1 million this year).
- B Giving from corporations at the \$10,000 or more level is down 16% for July 2003 – January 2004 (\$18.1 million from 379 gifts this year; \$21.6 million from 424 gifts last year).
- C Giving from associations and other organizations at the \$10,000 or more level is down 32% for July – January (\$2.6 million from 63 gifts this year; \$3.8 million from 60 gifts last year).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July from January
2003-04 Compared to 2002-03

GIFT RECEIPTS BY PURPOSE

	Dollars July through January		
	<u>2003-04</u>	<u>2002-03</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$31,080,884	\$17,990,718	73
Faculty Support	\$6,929,224	\$9,069,035	(24)
Program Support	\$36,237,241	\$44,198,273	(18)
Student Financial Aid	\$9,665,350	\$10,967,685	(12)
Annual Funds-Colleges/Departments	\$3,759,756	\$4,390,482	(14)
Annual Funds-University	<u>\$3,008,299</u>	<u>\$479,908</u>	527
Total	\$90,680,754	\$87,096,101	4

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through January

<u>2003-04</u>	<u>2002-03</u>	<u>% Change</u>
\$27,924,636	\$27,663,654	1

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Mary Lundie Stewart Vorman Scholarship Fund (Used to provide scholarships for the study of Celtic literature with an emphasis on Scottish literature; provided by gifts from the estate of Helen Vorman Anderson)		\$251,406.00	\$251,406.00
The Dr. Larry J. Peterson Endowed Professorship Fund in Oral and Maxillofacial Surgery (Used to provide support to a distinguished faculty member in the Section of Oral and Maxillofacial Surgery at the College of Dentistry; provided by gifts from alumni, faculty, and friends in honor of Dr. Larry J. Peterson)		50,835.00	50,835.00
The Wilbert "Spark" Baumler Memorial Scholarship Fund at Stone Laboratory (Used to support scholarships for students taking courses at Stone Laboratory; provided by gifts from the Baumler family and friends)		25,000.00	25,000.00
The Kelly Prochazka Memorial Scholarship Fund at Stone Laboratory (Used to support scholarships for high school students taking introductory courses at Stone Laboratory; provided by gifts from Charlene and William Prochazka and the Friends of Stone Laboratory)		25,000.00	25,000.00

Change in Description of Named Endowed Fund

The Herman and Pearl Dolinsky Gross Scholarship Fund

Change in Name and Description of Named Endowed Fund

From: The Ernest R. Biggs Memorial Fund

To: The Ernest R. "Ernie" Biggs Athletic Training Scholarship Fund

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Dr. Morgan L. Allison Endowed Chair Fund in Oral and Maxillofacial Surgery (Used to provide support to a distinguished faculty member in the section of Oral and Maxillofacial Surgery at the College of Dentistry; provided by gifts from alumni, faculty, and friends in honor of Dr. Morgan L. Allison)		\$246,479.32	\$246,479.32

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Endowment Fund in Emergency Medicine (Used to support research, training, education, patient care, faculty development or other special projects that foster innovation and excellence in the Department of Emergency Medicine; provided by gifts from the faculty and friends of the Department of Emergency Medicine)		\$26,609.00	\$26,609.00
The Leonard J. Immke, Jr. and Charlotte L. Immke Diabetes Research and Education Endowment Fund (Used to support research and education relating to the prevention, treatment, and cure of diabetes; provided by gifts from Charlotte L. Immke)		25,000.00	25,000.00
The John G. and Jeanne Bonnet McCoy Diabetes Research and Education Endowment Fund (Used to support research and education relating to the prevention, treatment, and cure of diabetes; provided by gifts from John G. McCoy and Jeanne Bonnet McCoy)		25,000.00	25,000.00
<u>Change in Description of Named Endowed Fund</u>			
The Eleanor Bulatkin/Aristobulo Pardo Endowment Fund in Spanish and Portuguese			
<u>Change in Name and Description of Named Endowed Fund</u>			
From: The Steven Douglas and Alison Lanza Falls Agricultural Vice President's Fund			
To: The Steven Douglas and Alison Lanza Falls New Innovations Fund			
		_____	_____
Total		\$675,329.32	\$675,329.32

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Funds

The Mary Lundie Stewart Vorman Scholarship Fund

The Mary Lundie Stewart Vorman Scholarship Fund was established March 5, 2004, by the Board of Trustees of The Ohio State University with a gift from the estate of Helen Vorman Anderson (B.S.Ed., 1923; M.A., 1931) formerly of Salinas, California, in memory of her mother, Mary Lundie Stewart Vorman.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for scholarships for the study of Celtic literature with an emphasis on Scottish literature. The scholarship award should go to the most able applicants who have financial need. It is the donor's hope that the scholarship will provide at least twenty-five percent (25%) but no more than ninety percent (90%) of the student's financial need, to be awarded by the Department of English in consultation with the University Committee on Student Financial Aid. Each scholarship should be limited to one academic year unless the recipient exhibits outstanding academic achievement and ambition, in which case it may be extended for a second academic year.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the chairperson of the Department of English in order to carry out the desire of the donor.

\$251,406.00

The Dr. Larry J. Peterson Endowed Professorship Fund
in Oral and Maxillofacial Surgery

The Dr. Larry J. Peterson Endowed Professorship Fund in Oral and Maxillofacial Surgery was established March 5, 2004, by the Board of Trustees of The Ohio State University with gifts from alumni, faculty, and friends in honor of Dr. Larry J. Peterson.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be added to the principal until the minimum amount for funding an endowed professorship has been reached. At that time, the annual income shall be used to provide salary and program support to a distinguished faculty member in the Section of Oral and Maxillofacial Surgery in the College of Dentistry. Appointment to the professorship shall be recommended to the executive vice president and provost by the dean of the College of Dentistry in consultation with the Section head. The Board of Trustees shall approve the appointment.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Dentistry, who will in turn consult with the head of the Section of Oral and Maxillofacial Surgery, in order to carry out the desire of the donors.

\$50,835.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Wilbert "Spark" Baumler Memorial Scholarship Fund at Stone Laboratory

The Wilbert "Spark" Baumler Memorial Scholarship Fund at Stone Laboratory was established March 5, 2004, by the Board of Trustees of The Ohio State University with gifts from the Baumler family and friends.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

Spark was an avid outdoorsman and strong supporter of Lake Erie. He was a founding member of Ohio Sea Grant's North Central Advisory Committee and a generous supporter of Sea Grant's educational programs at Stone Laboratory and the Artificial Reef Program.

The annual income shall be used to support scholarships for students taking courses at Stone Laboratory. The scholarships will be based both on financial need and academic performance. First preference for scholarships will go to qualified fishery science students and second preference will go to any student studying Lake Erie aquatic sciences. The director of Stone Laboratory and the Ohio Sea Grant College Program will direct the funds. The Stone Laboratory Scholarship Committee shall select the student recipient in consultation with the director of Stone Laboratory and the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, the director of the School of Natural Resources, and the director of Stone Laboratory and Ohio Sea Grant. Should Stone Laboratory and Ohio Sea Grant cease to exist, it is the preference of the donors that the funds to be used to support cardiac research in the College of Medicine and Public Health.

\$25,000.00

The Kelly Prochazka Memorial Scholarship Fund at Stone Laboratory

The Kelly Prochazka Memorial Scholarship Fund at Stone Laboratory was established March 5, 2004, by the Board of Trustees of The Ohio State University with gifts from Charlene and William Prochazka of Elyria, Ohio, and the Friends of Stone Laboratory.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

Born in Elyria, Ohio, Kelly Lynn Prochazka (10/22/77 – 1/18/95) first attended Stone Laboratory as a middle school workshop participant. She then took introductory courses at the Laboratory after her sophomore and junior years of high school before her untimely death as a high school senior. The Stone Laboratory experience was a high point in Kelly's short life.

The annual income shall be used to support scholarships for high school students taking introductory courses at Stone Laboratory. The scholarships will be based both on financial need and academic performance. First preference for scholarships will go to qualified students from Elyria High School, second preference will go to students from Lorain County, and finally to any qualified high school student. The director of the Stone Laboratory and the Ohio Sea Grant

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Kelly Prochazka Memorial Scholarship Fund at Stone Laboratory (contd)

College Program will direct the funds. The Stone Laboratory Scholarship Committee shall select the student recipient in consultation with the director of Stone Laboratory and the Office of Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, the director of the School of Natural Resources, and the director of Stone Laboratory and Ohio Sea Grant in order to carry out the desire of the donors.

\$25,000.00

Change in Description of Named Endowed Fund

The Herman and Pearl Dolinsky Gross Scholarship Fund

The Herman and Pearl Dolinsky Gross Scholarship Fund was established December 6, 1991, by the Board of Trustees of The Ohio State University with a gift from Ronald M. Gross (B.A., 1955) in honor of his parents. The description was revised March 5, 2004.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

This scholarship seeks to assist students who have demonstrated integrity, strength of character, financial need, a good academic record, a commitment to pursue a college education, and a desire to contribute to society.

The annual income shall be used to provide undergraduate scholarships to students who are pursuing degrees from the Colleges of the Arts and Sciences. Each scholarship shall be, at a minimum, equal to one-quarter of the in-state tuition. Applicants should be entering freshmen at the Columbus campus with a grade point average between 2.7 and 3.2 on a 4.0 scale.

Recipients will be selected by the Office of Student Financial Aid in consultation with the executive dean of the Arts and Sciences. The scholarships shall be based on financial need and shall be renewable based on satisfactory performance. Recipients (1) shall not qualify for merit-based aid, but still exhibit potential for success; and (2) shall not qualify for need-based federal aid, but still have difficulty in meeting expenses to attend The Ohio State University. A preference should be given to Ohio residents. In addition, each year the donor or his heirs will be sent a report of the scholarship recipients.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the donor or his heirs, with preference for scholarships in order to carry out the desire of the donor.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Fund

The Ernest R. "Ernie" Biggs Athletic Training Scholarship Fund

The Ernest R. Biggs Memorial Fund was established February 4, 1972, by the Board of Trustees of The Ohio State University with gifts from family, friends, and former students in memory of Ernest R. Biggs (B.S.Ed., 1939; M.A., 1941, athletic trainer at the University 1942-71) of Columbus, Ohio. The name and description were revised March 5, 2004.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student grant-in-aid scholarship costs of students enrolled in the Athletic Training Program who are pursuing an undergraduate degree at The Ohio State University. The director of Athletics will select recipients in consultation with the director of Athletic Training and the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the director of Athletics in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The Dr. Morgan L. Allison Endowed Chair Fund in Oral and Maxillofacial Surgery

The Dr. Morgan L. Allison Endowed Chair Fund in Oral and Maxillofacial Surgery was established March 5, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from alumni, faculty, and friends in honor of Dr. Morgan L. Allison (D.D.S., 1944).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be added to the principal until the minimum amount for funding an endowed chair has been reached. At that time, the annual income shall be used to provide salary and program support to a distinguished faculty member in the Section of Oral and Maxillofacial Surgery in the College of Dentistry. Appointment of the chair shall be recommended to the executive vice president and provost by the dean of the College of Dentistry in consultation with the Section head. The Board of Trustees shall approve the appointment.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Dentistry, who will in turn consult with the head of the Section of Oral and Maxillofacial Surgery, in order to carry out the desire of the donors.

\$246,479.32

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Endowment Fund in Emergency Medicine

The Endowment Fund in Emergency Medicine was established March 5, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the faculty and friends of the Department of Emergency Medicine at The Ohio State University Medical Center.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support research, training, education, patient care, faculty development, or other special projects that foster innovation and excellence in the Department of Emergency Medicine as identified as priority areas by the chairperson of the Department in partnership with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. The income may support, but is not limited to, scientific supplies, equipment, salaries, and activities of faculty and administrative personnel that are necessary for a quality Department of Emergency Medicine.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$26,609.00

The Leonard J. Immke, Jr. and Charlotte L. Immke
Diabetes Research and Education Endowment Fund

The Leonard J. Immke, Jr. and Charlotte L. Immke Diabetes Research and Education Endowment Fund was established March 5, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Charlotte L. Immke of Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support research and education relating to the prevention, treatment, and cure of diabetes. The income may support, but is not limited to, scientific supplies, laboratory space, equipment, salaries for research personnel, and activities required for high quality medical research in the Division of Endocrinology, Diabetes, and Metabolism and in the Comprehensive Diabetes Research and Education Center (CDREC).

Distribution will be made at the recommendation of the director of the Division of Endocrinology, Diabetes, and Metabolism and the director of the CDREC in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Leonard J. Immke, Jr. and Charlotte L. Immke
Diabetes Research and Education Endowment Fund (contd)

shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$25,000.00

The John G. and Jeanne Bonnet McCoy
Diabetes Research and Education Endowment Fund

The John G. and Jeanne Bonnet McCoy Diabetes Research and Education Endowment Fund was established March 5, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from John G. McCoy (Honorary Doctor of Business Administration, 1999) and Jeanne Bonnet McCoy (B.A., 1937; Honorary Doctor of Humane Letters, 1995) of New Albany, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support research and education relating to the prevention, treatment, and cure of diabetes, with initial emphasis placed on supporting an islet cell transplantation program. The income may support, but is not limited to, scientific supplies, laboratory space, equipment, salaries for research personnel, and activities required for high quality medical research in the Division of Endocrinology, Diabetes, and Metabolism and in the Comprehensive Diabetes Research and Education Center (CDREC).

Distribution will be made at the recommendation of the director of the Division of Endocrinology, Diabetes, and Metabolism and the director of the CDREC in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$25,000.00

Change in Description of Named Endowed Fund

The Eleanor Bulatkin/Aristobulo Pardo Endowment Fund in Spanish and Portuguese

The Eleanor Bulatkin/Aristobulo Pardo Endowment Fund in Spanish and Portuguese was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Eleanor Bulatkin, Professor Emeritus of French at The Ohio State University from 1961 to 1976. She served as chairperson of the Department of Romance Languages and Literatures (now two departments: French and Italian, and Spanish and Portuguese) from 1966 to 1972. Bulatkin's distinguished career began with her degrees from Johns Hopkins University (M.A., 1951; Ph.D., 1952). Prior to

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Description of Named Endowed Fund (contd)

The Eleanor Bulatkin/Aristobulo Pardo Endowment Fund in Spanish and Portuguese (contd)

her tenure at The Ohio State University, Bulatkin taught at the University of Maryland. Her husband, Aristobulo Pardo (Ph.D., 1966) was an associate professor of Spanish at The Ohio State University from 1962 until his death in 1984. The description was revised March 5, 2004.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund graduate scholarships for entering M.A. or Ph.D.-level students. Internal M.A. recipients who are admitted to the Ph.D. program are also eligible for the award. The scholarship awards will be made on the basis of academic excellence and with the purpose of attracting the most highly qualified applicants to the graduate program in Spanish and Portuguese. The awards shall be made in consultation with the chairperson of the Department of Spanish and Portuguese, the vice provost for Graduate Studies, and the University Committee on Student Financial Aid.

If a balance remains after the awards are made for an academic year, the amount shall be added to funds available in the following year's budget.

It is the desire of the donor that this fund should benefit the University and its students in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the chairperson of the Department of Spanish and Portuguese in order to carry out the desire of the donor.

Change in Name and Description of Named Endowed Fund

The Steven Douglas and Alison Lanza Falls New Innovations Fund

The Steven Douglas and Alison Lanza Falls Agricultural Vice President's Fund was established on September 6, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from Steven Douglas Falls (B.S.Agr., 1969; M.S., 1972) and Alison Lanza Falls (M.A., 1972) of Port Clinton, Ohio. The name and description were revised March 5, 2004.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support new innovations for products and services, and intellectual property opportunities in the College of Food, Agricultural, and Environmental Sciences as identified by faculty and staff. The fund will be used for activity to transform ideas into viable, profitable businesses and/or license opportunities to benefit the college. Uses of the fund shall include, but not be limited to support of: business, financial, strategic, and operational plan creation; research and evaluation; feasibility testing; and start-up support.

The use of the annual income shall be directed by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences or their designee to be used to support the activities outlined in the preceding paragraph, including but not limited to allocations based on a competitive application process. The donors can be involved in the committee to select the awards, and will be informed of the benefits of the program on an annual basis.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Name and Description of Named Endowed Fund (contd)

The Steven Douglas and Alison Lanza Falls New Innovations Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, or their successor, in order to carry out the desire of the donors.

REQUEST FOR DESIGN AND CONSTRUCTION AUTHORIZATION

Resolution No. 2004-112

REQUEST FOR DESIGN AUTHORIZATION

CUNZ HALL - RELOCATE PHYSICAL ACTIVITIES AND EDUCATIONAL SERVICES
DREESE LAB – CLEAN ROOM RENOVATION
MANSFIELD CAMPUS – CENTRALIZED STORAGE BUILDING
MANSFIELD CAMPUS – EISENHOWER CENTER STAIR AND PATIO REPLACEMENT
MANSFIELD CAMPUS – INSTALL WATER MAIN BACKFLOW PREVENTER
MANSFIELD CAMPUS – REPLACE PEDESTRIAN/BIKE BRIDGE
OARDC FOOD ANIMAL HEALTH RESEARCH PROGRAM ROOF REPLACEMENT
OARDC INTERIOR LIGHTING UPGRADES–GERLAUGH & RESEARCH OPERATIONS
OUTPATIENT SERVICES – 2050 KENNY ROAD
THIRD FLOOR RENOVATION - CRAMBLETT HALL
WATERMAN LAB AND DON SCOTT FIELD BUILDINGS

REQUEST FOR CONSTRUCTION AUTHORIZATION

CANNON DRIVE – S CURVE REHABILITATION
NEIL AVENUE CORRIDOR/TWELFTH AVENUE IMPROVEMENTS
UNIVERSITY HOSPITALS EAST – EMERGENCY DEPARTMENT

Synopsis: Authorization to enter into design contracts for Cunz Hall - Relocate Physical Activities and Educational Services, Dreese Lab – Clean Room Renovation, Mansfield Campus – Centralized Storage Building, Mansfield Campus – Eisenhower Center Stair and Patio Replacement, Mansfield Campus – Install Water Main Backflow Preventer, Mansfield Campus – Replace Pedestrian/Bike Bridge, OARDC Food Animal Health Research Program Roof Replacement, OARDC Interior Lighting Upgrades–Gerlaugh & Research Operations, Outpatient Services – 2050 Kenny Road, Third Floor Renovation - Cramblett Hall, and Waterman Lab and Don Scott Field Buildings and construction contracts for Cannon Drive – S Curve Rehabilitation, Neil Avenue Corridor/Twelfth Avenue Improvements, and University Hospitals East – Emergency Department is requested.

WHEREAS the University desires to relocate space for the Physical Activities and Educational Services in Cunz Hall to accommodate demolition associated with the Larkins Hall replacement project; and

WHEREAS the estimated project cost is \$260,000 with funding to be provided by central funds (\$238,000) and future University bond proceeds from the Jennings Hall project (\$22,000) with debt service to be paid by the College of Biological Sciences; and

REQUEST FOR DESIGN AND CONSTRUCTION AUTHORIZATION (contd)

WHEREAS the University desires to renovate the clean room laboratory in Dreese Hall; and

WHEREAS the estimated project cost is \$255,000 with funding to be provided by a Board of Regents Action Fund Hayes Grant; and

WHEREAS the University desires to construct a centralized storage facility on the Mansfield Campus; and

WHEREAS the estimated project cost is \$33,974 with funding to be provided by HB 675; and

WHEREAS the University desires to replace stairways and public access patios at the Eisenhower Center on the Mansfield Campus; and

WHEREAS the estimated project cost is \$69,953 with funding to be provided by HB 790 (\$8,030), HB 748 (\$7,223), and HB 675 (\$54,700); and

WHEREAS the University desires to install a water main backflow preventer on the Mansfield Campus; and

WHEREAS the estimated project cost is \$30,000 with funding to be provided by HB 675; and

WHEREAS the University desires to replace a bike and pedestrian bridge at the Mansfield Campus; and

WHEREAS the estimated project cost is \$50,000 with funding to be provided by HB 675; and

WHEREAS the University desires to replace the roof at the OARDC Food Animal Health research building; and

WHEREAS the estimated project cost is \$75,000 with funding to be provided by HB 675; and

WHEREAS the University desires to upgrade interior lighting at OARDC Gerlaugh and Research Operations; and

WHEREAS the estimated project cost is \$75,000 with funding to be provided by HB 675; and

WHEREAS the University desires to continue the renovation of facilities at 2050 Kenny Road; and

WHEREAS the estimated project cost is \$13,000,000 with funding to be provided by Wright Center for Innovation Grant funds (\$4,600,000) and future University bond proceeds (\$8,400,000) with debt service to be paid by University Hospitals; and

WHEREAS the University desires to renovate the third floor of Cramblett Hall to accommodate faculty offices; and

WHEREAS the estimated project cost is \$3,626,800 with funding to be provided by future University bond proceeds (\$3,000,000) as part of the Ross Heart Hospital issuance and University Hospitals auxiliaries (\$626,800); and

WHEREAS the University desires to renovate several buildings at the Waterman Laboratory and Don Scott Field; and

WHEREAS the estimated project cost is \$740,562 with funding to be provided by HB 675; and

WHEREAS the University desires to replace and renovate the pavement and curb areas on Cannon Drive; and

REQUEST FOR DESIGN AND CONSTRUCTION AUTHORIZATION (contd)

WHEREAS the construction document project cost is \$270,000 with funding to be provided by HB 675; and

WHEREAS the University desires to widen Twelfth Avenue, upgrade street and sidewalk signaling to improve traffic flow and enhance pedestrian safety; and

WHEREAS the construction document project cost is \$2,725,000 with funding to be provided by HB675 (\$750,000) and University funds (\$1,975,000); and

WHEREAS the University desires to relocate the emergency department on the Hospital East campus to accommodate an increase in patient volumes; and

WHEREAS the project cost increased as a result of the construction bidding process; and

WHEREAS the project cost resulting from construction bidding is \$5,215,222, with funding to be provided by University Hospitals auxiliaries (\$1,215,222) and future University bond proceeds (\$4,000,000) with debt service to be paid by University Hospitals:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into design contracts for Cunz Hall - Relocate Physical Activities and Educational Services, Dreese Lab – Clean Room Renovation, Mansfield Campus – Centralized Storage Building, Mansfield Campus – Eisenhower Center Concrete Stair and Patio Replacement, Mansfield Campus – Install Water Main Backflow Preventer, Mansfield Campus – Replace Pedestrian/Bike Bridge, OARDC Food Animal Health Research Program Roof Replacement, OARDC Interior Lighting Upgrades–Gerlaugh & Research Operations, Outpatient Services – 2050 Kenny Road, Third Floor Renovation - Cramblett Hall, and Waterman Lab and Don Scott Field Buildings projects in accordance with established University and State of Ohio procedures, with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into construction contracts, if satisfactory bids are received, for Cannon Drive – S Curve Rehabilitation, Neil Avenue Corridor/Twelfth Avenue Improvements, and University Hospitals East – Emergency Department projects in accordance with established University and State of Ohio procedures, with all actions to be reported to this Board at the appropriate time.

(See Appendix XXXVIII for background information and maps, page 937.)

**ROADWAY EASEMENT
CITY OF GAHANNA, COUNTY OF FRANKLIN, OHIO**

Resolution No. 2004-113

Synopsis: Authorization to grant a perpetual roadway easement to the City of Gahanna for roadway improvements is proposed.

WHEREAS the City of Gahanna has requested a perpetual easement for roadway improvements over approximately 0.122 acres of University land located at the northwest corner of the intersection of Hamilton and Beecher Roads in the City of Gahanna; and

WHEREAS the appropriate University offices have determined that the grant of this perpetual easement is in the best interest of the University:

**ROADWAY EASEMENT
CITY OF GAHANNA, COUNTY OF FRANKLIN, OHIO (contd)**

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized, pursuant to Ohio Revised Code Section 3345.18, to grant to the City of Gahanna, Franklin County, Ohio, this perpetual roadway easement.

(Background information and map on file in the Board Office.)

**TEMPORARY LICENSE AGREEMENT FOR ROADWAY IMPROVEMENTS
CITY OF GAHANNA, COUNTY OF FRANKLIN, OHIO**

Resolution No. 2004-114

Synopsis: Authorization to grant a temporary license to the City of Gahanna for roadway improvements is proposed.

WHEREAS the City of Gahanna has requested a temporary license for use of approximately 0.453 acres of University property located at the northwest corner of the intersection of Hamilton and Beecher Roads in the City of Gahanna as a staging and lay down area in connection with roadway improvements; and

WHEREAS the appropriate University offices have determined that the grant of this temporary license is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized, pursuant to Ohio Revised Code Section 3345.18, to grant to the City of Gahanna, Franklin County, Ohio, this temporary license.

(Background information and map on file in the Board Office.)

Upon motion of Mr. McFerson, seconded by Ms. Longaberger, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Sofia, Slane, McFerson, Borrer, and Judge Duncan, Meses. Longaberger and Hendricks.

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Mr. Sofia:

The Governance Committee of the Board is reviewing several governance matters and in doing so has revised the process it undertakes annually to evaluate the president of the University. I would like to propose the resolution before the Board to formally adopt this process.

PRESIDENTIAL EVALUATION PROCESS

Resolution No. 2004-115

WHEREAS in the course of University Governance, the Board of Trustees is entrusted with the responsibility for assessing the performance of the University's chief executive officer; and

WHEREAS criteria for evaluation have been derived from the annual goals and areas of evaluation set forth each year which will allow assessment of the President's progress; and

PRESIDENTIAL EVALUATION PROCESS (contd)

WHEREAS the President will be expected to annually set forth other institutional goals and objectives that he/she will undertake:

NOW THEREFORE

BE IT RESOLVED, That there shall be an annual evaluation period (July 1 through June 30) each year. If a new president is appointed after July 1 in any given year, a review will be conducted on the portion of the year served, unless it is less than 6 months. This evaluation will be conducted informally; and

BE IT FURTHER RESOLVED, That annually the President will share his or her views with respect to performance with the Board. The Board chairperson will consult with each Trustee, as well as the immediate past chairperson of the Board, and may consult with other key constituents as deemed appropriate. To complete the process the entire Board will meet in Executive Session to evaluate the President's performance and recommend appropriate compensation. The chairperson will then file a written summary with the Board Office.

Upon motion of Ms. Hendricks, seconded by Mr. Slane, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

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STUDENT LEADERSHIP PRESENTATION

Mr. William H. Hall:

Consistent with the theme of today's meeting recognizing our students and faculty, it gives me great pleasure to give our student leaders an opportunity to address the Board. I'd like to ask them to come forward at this time.

You cannot really appreciate the dedication and commitment that these students have to this University until you work with them on a daily basis. I am always amazed at the amount of energy and enthusiasm they put into their positions. Not only are they leaders in their academic units, but leaders on this campus. I am quite amazed as to how they can accomplish all that they do and work on behalf of this University to help move us forward.

I would like to introduce Mike Goodman, president of the Undergraduate Student Government; Karen Weise, president of the Inter-Professional Council; and Jamie Depelteau, president of the Council of Graduate Students.

Mr. Michael E. Goodman:

I just want to talk about a couple of the things you have before you that were handed out earlier. One was a monthly newsletter that USG passes out to all the members within the residence halls so students see what USG is doing on a month-to-month basis. The second is a brochure that all first-year students were given, explaining what USG is and how we operate. The third sheet shows responses tabulated from a survey about the University that we had done last week at an event that 600 people attended.

When Mr. Hall mentioned that we had five minutes to speak, I thought I would choose to talk about issues brought up to me by students and I came up with four major issues that undergraduate students are talking about.

The primary concern of students is the quality of the undergraduate experience, and that is critical to undergraduate students. This includes the kind of courses that we are required to take, as well as who is teaching these courses.

STUDENT LEADERSHIP PRESENTATION (contd)

Mr. Goodman: (contd)

We believe that the faculty of this University are very talented and outstanding in both educating and research. We want to make sure that we have as much time working with the faculty both in the lab in a research venue, as well as being taught by them.

I look forward to working with the president, the interim provost, and the rest of the University administration to ensure that there is a good balance between undergraduate education and research. When I say balance it does not mean you have to take away from one side, it just means adding to the other. I think the initiatives that we are moving forward with are very important, but we also need to remember that undergraduate education, as Mr. Sofia mentioned earlier, needs to be a top priority.

There are two areas I would like to work on when it comes to the classroom experience. One is the GEC. I find it hard to believe that a 14-year-old document is still the guiding curriculum for undergraduate education. We have much more diverse, better prepared, and more academically polished students coming in. It is important that we look at our curriculum and say, "How can we build upon the stronger foundation that these students already have?" Our current GEC was also created before both the Academic Plan and the Diversity Action Plan, and I think those two leading documents need to be a part of the curriculum for undergraduates.

The second area is a very exciting program that improves student-faculty interaction. The Freshman Seminars, hopefully, will introduce students to the value of research. I believe that the Freshman Seminars need to be substantially more influential in the undergraduate curriculum and more than just a one credit-hour course. I think that is something that needs to grow as well.

As a student government, what has USG done to improve? We have created student-faculty luncheons where we contact the deans in all the colleges and where students and faculty can get together and discuss outside the classroom.

We have a program called, "Invest Yourself." A hundred students and faculty members went door-to-door last year -- and we are going to be doing it again this spring -- and interacted with students in the University district to help build some of that community.

We put together a four-year graduation plan to work with our current structure of the GEC to say, "Students are paying more today in tuition, but if we can reduce the amount of time that we are here, hopefully we will net zero." So that is something that we are trying to work on in our current structure.

Thanks to the provost, we have also put together undergraduate enrichment grants. We have \$25,000 worth of grants going to undergraduates who perform research in various areas of study.

Tuition is another huge issue. As you know, tuition has gone up rapidly and many students are questioning, "Are we receiving the appropriate return on our investment?" I understand the State of Ohio is inadequately funding our University, but the University budget still has been increasing. I attribute a lot of that, too, to the increase in tuition. I appreciate the Board's sensitivity to this issue in many of the discussions that I have had with members. I would just like to say that when we bring in new revenues, I hope those go towards new and more faculty to have better interaction for students, as well as smaller classes.

STUDENT LEADERSHIP PRESENTATION (contd)

Mr. Goodman: (contd)

What has USG done about it? We went with the Ohio Council on Student Governments and lobbied legislators, and also are sending students to Washington, D.C., to talk about financial aid issues with our representatives.

Something that is a little bit unique and outside of the context of Ohio State, but is a very pertinent issue that students talk about, are textbook prices. This is very frustrating to students because there has been a dramatic increase in the price of textbooks and the rate at which new editions are coming out. Students are wondering what the University and our University Bookstore can do, as well as the other public institutions in Ohio, to leverage our power to ensure that the publishers reduce their profit margins on these books. New books come out about every three years and they are over \$100 each. So each quarter that really builds up. As we look at tuition and see that going up, we also have the cost of textbooks hitting us as well.

What has USG done about that? We've worked with Student Affairs' IT to put together an online book exchange, where students can cut out the middleman and exchange books. But this still does not solve the problem of new editions coming out regularly.

Finally, off-campus quality of life and livability. We are very excited about the Gateway Project. I am ecstatic to hear about the support we will be getting for the Ohio Union and believe that is an extremely worthy cause -- and the Rec Center with the new activity fee, thanks to the president. These are all wonderful things, but there are still some other things we need to improve on and Mr. Hall has been working with the city. Things that we are thinking about are code enforcements and improving and increasing lighting in the University District. Right now, one in every five lights that are out in that area is either blocked by trees or burnt out. There is inadequate lighting and that becomes a safety issue.

We would like to apply pressure to ensure that there is a police substation in the University District. I think that is something influential members of the community can do. I know Mr. Hall has been working with Columbus and Dr. Holbrook to ensure that we can have a stronger police presence in the district. We just need to continue to work cooperatively with the city to ensure that residents are being served appropriately, just as every other citizen of Columbus is.

What has USG done? We have passed a resolution supporting the University's current policy on game day enforcement and that was overwhelmingly supported by students. We also overwhelmingly supported the creation of a new Ohio Union. We put on different sporting events. One event was called Fall Sports Fest, where 1,200 students went out the night of the Michigan game and participated in different activities as alternative programming. It was wonderful.

We have set up a community ambassadors program, where every street has delegates and representatives where they can use these contact people within the community. We are in the process of creating an off-campus action plan containing what we would like to see happen in the long term with the district. We have done off-campus clean-ups after every home football game to ensure that our neighborhood looks nice. We have done a study on the off-campus lighting primary areas and have submitted it to the city for review. We are hoping to get increased lighting and improve the lighting that we currently have.

Those are some of the issues I am talking about with students and what we are concerned about.

STUDENT LEADERSHIP PRESENTATION (contd)

Mr. Goodman: (contd)

I appreciate the opportunity to speak here in front of the Board. Before I go, I would like to mention that over the past year I have had the pleasure and opportunity to work with Chairman Sofia. On behalf of the Undergraduate Student Government and undergraduate students, I would publicly like to say thank you very much, Mr. Sofia, for your commitment to the undergraduate experience, and for the time, effort, and service you have given to this community.

Mr. Sofia:

Thank you, Mike, and thank you for that wonderful presentation. When we finish with Karen and Jamie, I would like to give the Board the option to ask some questions. So we will not let you get off lightly.

Ms. Karen L. Weise:

I represent the Inter-Professional Council, which is comprised of professional students in the Colleges of Dentistry, Law, Pharmacy, Optometry, Veterinary Medicine, and Medicine and Public Health. I want to give you an update on IPC, who is in IPC, and how we are elected, because it has changed.

In the spring we elect four senators by online elections. They are voted on only within their own college, so the College of Medicine and Public Health would elect four senators from their college and they are voted on by their own peers. One thing we changed this year was that in the fall we elected one first-year incoming student through their class officers, because first-year students were being blocking out. The Moritz College of Law is only three years, so it was really hard to get older, more experienced students in. This has been very successful and has also created some ties with the student government and the colleges that were not there before. That is our base – five senators per college, so a total of 30 senators.

We have six justices and the justices oversee our budget, constitution, and professional development fund, which comes through the Provost's Office. We have one justice per college, also elected in the spring. It used to be that the justices were appointed by the IPC president, who tended to appoint their classmates and all six justices would be from whatever college the IPC president was from. That system was not very fair.

Last year when I came in as vice president, we started a president's council, which is the president and the vice president of IPC meeting quarterly with the six student government presidents within the professional schools. It has been great because the student government presidents do not really have any idea of what is going on at the University and I do not always have the best idea of what is going on with their student government. It is usually a long meeting, because we usually have a lot to say to each other. I have found it to be helpful. I have been able to get a better feel of what is going on and it's easier to get out information to them, too.

Within IPC we have four internal committees. We created an Outreach Committee this year, charged with revisiting our I-QUE report. The report results were out in 1998, but that data was collected in 1996 so it is pretty old data. This committee will be going out to each of the professional schools and surveying them on the biggest issues they have right now and we hope to have that data in the spring. That is basically this Committee's job for the year.

STUDENT LEADERSHIP PRESENTATION (contd)

Ms. Weise: (contd)

We also have a Diversity Committee. This committee has set up a diversity dinner series where one of our senators contacts a local business specializing in ethnic cuisine. They prepare a menu for us and educate the senator about cultural habits, cuisine, and little quirks of their nationality. We invite six students per college to come and have dinner, and during dinner the senator will present the cultural information. It is a good time for the students to sit down together and get to know each other. The dinners have been very successful and we have one dinner a month, with IPC subsidizing the cost.

Once a quarter the Diversity Committee offers National Coalition Building Institute (NCBI) workshops to all professional students. We are also going to be participating in the Diversity Leadership Transcript Program and trying to get that information out.

We have a Service Committee, which has been extremely active. We participate in flu shots for all professional students who touch and transport patients to make sure that we are not passing things on to our patients we do not want them to have. We held a canned food drive and collected over 200 pounds of food in conjunction with our Halloween party this past fall. We just participated in the Ronald McDonald House where we went and cooked lunch for the families staying there for a day. We work with Big Brothers and Big Sisters whenever they have room for us. We have done a couple of projects for them and we look to do more in the spring. We also are gathering our Third Annual Race for the Cure team. Last year, we had about 300 runners on our team and are hoping for about 400 runners for IPC this year.

Our Social Committee has also been very busy. We had our IPC Halloween event with about 1,200 students in attendance this year, which is about half of our student population. So it was a great event. Also, we just had a wine and cheese social in conjunction with the Council of Graduate Students, which was our first shared event. It went pretty well for the first time. We are looking to have a spring party, again in April, and we are hoping to have about 1,000 students attend.

We have also been entering intramural teams and creating professional student intramural leagues just for professional students. We do flag football in the fall, soccer in the winter, and softball in the spring. So we have an active Inter-Professional Council this year.

What are the kind of buzz issues that professional students are talking about this year? They are e-mailing me and grabbing my ear. The first one is the condition of the buildings in the health sciences colleges. It is coming up because students are seeing a lot of new buildings going up on campus and they are getting jealous. The new Vet Med building looks really great and we have all seen it. So students in the Colleges of Optometry, Dentistry, and Pharmacy are all looking around at their buildings and saying, "These are not really meeting our needs. Our programs are top-ranked, why are we in buildings that are ancient and crumbling and not giving us the facilities that we need to be the best practitioners we can be?" So they are definitely talking about that.

The other thing that they are talking about is the student activity fee – all positive, but they are buzzing about it and wanting to know what is going on. Interdisciplinary courses are something that has come up this year and I am really enthusiastic about it and fully supportive. I think it is because of IPC and that professional students are spending more time together. They are seeing the value and benefit that they are learning from each other. I can clearly say that I

STUDENT LEADERSHIP PRESENTATION (contd)

Ms. Weise: (contd)

have seen it in my four years. I personally feel that I will be a better practitioner from my experience I have had in growing up with medical and veterinary students. It has made us more sensitive to each other and has made us more well rounded practitioners. So we are really looking at the possibilities for interdisciplinary courses between our colleges: ethics courses would bring in the law college; or maybe pharmacology courses, since we all have a basis in pharmacology. These are the kinds of things that we are looking at and seeing if there are possibilities out there for us to do that.

The other thing that we worked on this year is student-administration interaction. Some of our colleges are very good, but certainly the faculty-student interactions within our colleges are perhaps the best of the University. In some of the colleges the administration is just so busy, students are intimidated and do not feel that they are a part of it. So we have been working through that and giving some ideas and suggestions on how that can be increased. So those are some of the topics professional students are buzzing about.

Thank you for the opportunity to come and give you an idea about what IPC is doing. Thank you.

Mr. Sofia:

Thank you, Karen, for your wonderful remarks.

Mr. Jamie S. Depelteau:

As you may know, the Council of Graduate Students represents approximately 10,000 graduate students at Ohio State. The Council advocates on behalf of these graduate students by officially representing students' interests in University governance through appointments of over 200 graduate students, providing outlets for the graduate student community to discuss and engage issues related to their interests, and providing a graduate research forum. This year, we actually received over 180 applications for our research forum. This has doubled from last year, which is very good for us, thanks to our Vice President Kerry Hodak.

We also provide travel funds, awarded up to \$750 per year, so that students can attend regional, national, or international professional conferences. We usually award 11-13 of these per quarter and, as of now, we have awarded about \$25,000 worth of funding.

Another big initiative for the Council of Graduate Students is seeking fair compensation and benefits for graduate students, which I will speak to in a moment.

We do special events to get graduate students from the different departments together, since graduate students tend to be isolated in their department or lab. We try to throw University-wide events to bring them together to interact. We have had some very successful events including: our fall picnic this past September, with over 800 students in attendance; our spring picnic is scheduled for next quarter; and the wine and cheese social we had co-sponsored with the Inter-Professional Council.

I think we are having a very, very active year. At the end of October, we passed several resolutions that concerned our annual goals and priorities for the Council, and compensation and benefits for the funded graduate students at Ohio State.

STUDENT LEADERSHIP PRESENTATION (contd)

Mr. Depelteau: (contd)

Some of these goals and priorities included: advocating and obtaining access to domestic health insurance benefits for all students, as well as advocating for tax exemption on graduate associate and fellow stipends at the federal, state, and local levels.

Last month I had the opportunity to spend a few days in Washington, D.C., lobbying for the National Association of Graduate-Professional Students. I met with 19 Ohio legislators to talk about House Bill 3412, the Higher Education Affordability and Equity Act, and had a very good trip. All the legislators were very responsive and thus resulted in three additional sponsors from Ohio, making the total sponsorship for the bill at 17 or 18.

This bill is going to definitely be important, not just for graduate students but for undergraduate and professional students. It is going to increase the amount of loan interest deduction that can be taken by students and expand the definition of an educational expense to include room and board for graduate scholarships.

Another one of our goals and priorities is advocating for implementation of a standard letter of offer for all funded graduate students, which is well underway. I have been working very closely with Susan Huntington and CGS to finish this letter. I did a final draft of the letter last night and will be sending it on to Susan, and then to Larry Lewellen and the Research and Graduate Council for review.

We also want to expand our annual internal report on diversity to include data that is not collected by the University, such as socio-economic status and sexual orientation. We will have a report to the Council probably at the May meeting, because we are just finishing up with the actual survey and then we will be surveying our delegates at the April meeting.

One of the last things that I will mention is better utilization of the media that is available on and off campus, and the other publicity formats to ensure that the campus community knows what CGS does for graduate students at Ohio State and what has been accomplished. One of the things that has happened because of this emphasis is that Buckeye Net News has now created a separate e-mail letter for graduate and professional students targeted specifically to us that lists information that pertains to us. I think that has been well received by graduate and professional students.

The other resolution I want to talk about was our compensation and benefits for funded graduate students. It calls for a raise in the minimum stipend from \$900 to \$1,200 a month; subsidizing 100 percent of OSU single student health insurance plans and 50 percent of the additional costs for those funded graduate students of their dependents; and the coverage of all educational and activity fees funded by graduate students, including the technology, activity, recreational, and union fees. We are not asking for an immediate result, but to work with the administration to try to come up with a five-year plan to achieve some of these goals. I think the resolution has been very well received by the administration and we have been working hard on some of these issues.

To help address these concerns, I have established an ad hoc committee within the Council of Graduate Students that I am now referring to as "GCBC," or the Graduate Associates Compensation and Benefits Committee. This ad hoc committee is going to serve a parallel existence to the Faculty Compensation and Benefits Committee and the Staff Compensation and Benefits Committee. It will examine the compensation and benefits package for graduate students and determine what we can do to improve that, so that we can make a more enticing

STUDENT LEADERSHIP PRESENTATION (contd)

Mr. Depelteau: (contd)

offer to the graduate students we are trying to recruit and retain the ones that we have.

These are just a few of the issues that CGS has undertaken this year. I am really appreciative of this opportunity to speak before the Board of Trustees. Thank you.

Mr. McFerson:

Each of you represent a constituency of students that is different and I appreciate hearing from each of you. How often do the three of you get together and talk and come forward with a unified position representing all three classes of students?

Mr. Goodman:

The student leadership, the two student trustees, and Mr. Hall meet monthly. The three of us also get together monthly, and we meet with the provost monthly.

Mr. Depelteau:

The one thing I would say is that we definitely come together on issues that concern the three student governments. A good example of that is the student activity fee. Last year the three student government presidents came together, discussed it, worked out the plans, and were able to bring that to fruition, and now we have a student activity fee. We talk on a regular basis, but when we really do need to get together and have a unified front on an issue, we do.

Mr. Goodman:

Our offices are located in the same area, so that creates a synergy that is important and allows for that dialogue that is necessary to go for the universal issues.

Ms. Hendricks:

Jamie, you mentioned that you had gone to Washington. Is there a formalized student lobbying or representative group? Or is this just your going to meet as a representative of Ohio State or is there a Big Ten group?

Mr. Depelteau:

There is a National Association of Graduate-Professional Students that represents their members and all graduate and professional students around the country. At least once a year they plan a lobby day and we all come together, all of its members and some non-members.

Mr. Goodman:

For undergraduate student governments, there is the Ohio Council on Student Governments, which is a lobbying organization for state issues. That is whom we do a lot of our state funding issues through. So we all go collectively downtown and it allows us to see a lot of legislators that are local. Then there are national ones, but we have chosen to stay away because 1) they cost a lot of money; and 2) they voted to support issues that our student government does not necessarily agree with.

STUDENT LEADERSHIP PRESENTATION (contd)

Mr. McFerson:

Mike, I am finishing my fourth year as a Trustee and I remember at one of the early meetings in my first year there was conversation from your predecessor about better lighting in the neighborhoods -- streetlights were out and they could not get them replaced. Four years later, here we are still with lights that are out in the city. Why is it not possible for those lights to be continually replaced?

Mr. Goodman:

I think there are several issues. There is the utility company and the city. One thing that they do that is very disappointing is they have rolling blackouts. There are times on a Friday and Saturday night when the lights on 15th Avenue are off, and the city blames it on the utility company and the utility company blames it on the city, saying this is a way of cost savings. But I do not buy that. Then, it is just bureaucratic; it is a very slow process.

Also, part of the reason is -- and I know Mr. Hall has worked a lot to maintain this -- with student government, we have turnover every year or typically every year. With that, we get to a certain level and then we have to start over with the momentum. Off-Campus Student Services has done a wonderful job helping us with other issues, but this lighting issue has to do with money, it has to do with time, and it has to do with everyone pointing the finger at someone else.

Mr. Sofia:

There is no question that there is a correlation between crime and lighting of areas. What is the University's position? Mayor Coleman has been wonderful to us and has helped us in many ways, but I think we have done a lot of things to help him. As a University, why can't we take a stronger position stating that this is a priority?

Mr. Hall:

It is a continuing struggle, but there has been improvement. In other words, in situations where there were no light poles, now we have light poles. It is true that there are a number of lights out. But given the age of the fixtures, lights could be replaced, and given the thousands of lights there, you could go through the next day and still find lights out. I think the long-term solution is to replace those light fixtures. We do work with the utilities division and the city and ultimately, that is going to solve the problem.

The other thing is given the age of the trees, they have now over-grown these lights and that contributes to the problem. Steve Sterrett, from Campus Partners, met with the student governments the other night and we will follow up with the city utilities division to bring pressure where we can to this issue. If necessary, we will follow up with the Mayor and the Public Safety Director Mitch Brown. But first we want to sit down with the utilities division and talk with them in terms of "let us get this situation resolved."

Mr. Sofia:

Okay, thank you. Mike, you brought up this one-hour freshman course and that not many students are taking it. Is it because it is one hour, or is it because it is not effective, or should it be three hours?

STUDENT LEADERSHIP PRESENTATION (contd)

Mr. Goodman:

A ton of students will not be taking it because this is a pilot program. There were originally 15 courses offered and the cap was either 20 or 25 students. So a huge number of students initially will not be taking it because it is a two-year program to see where it will go. I would say it was done in a quick fashion, which I thought was important. In my opinion the goal should be to create a relationship between a student and faculty member that will last a lifetime. A relationship where it goes beyond just teaching and it creates an atmosphere where a faculty member, who is very passionate about what they do, is put together with students in a small environment. That is exciting for me as a student.

It is offered for one credit-hour on a Friday, and I personally believe that if we make it we need to create an incentive. Faculty compensation is low and I do not know how much energy there is with faculty and I do not want to speak on that. I need 196 credit hours to graduate. If you do 15 hours over four years, 12 quarters, it is 180 hours. So I am already trying to find 16 more credit hours to squeeze in and a one credit-hour course that does not take care of the GEC and does not get rid of any of my graduation requirements, except for one hour, just is not effective. So there is no incentive for me as a student to take that course.

Mr. Sofia:

Mike, I think in your monthly meeting with the provost you have another topic to discuss. Any other questions for our student leaders? Again, I really want you to know how much we appreciate your remarks, involvement, and love for Ohio State.

As chairman, I have met with the student leaders many times and we have had a wonderful luncheon meeting with Dr. Holbrook and the Trustees. I have had several one-on-one meetings with Mike and others. I really do believe that not only do we have world-class faculty, we have world-class students. I believe they are articulate, intelligent, they love Ohio State, and they really have a vision. They are remarkably aware of the big picture. I know that credit goes to our University leadership, faculty, students, and staff working together to make this University even a better university. So thank you very much and have a wonderful day. Thank you.

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Thereupon the Board adjourned to meet Friday, April 2, 2004, at The Ohio State University Faculty Club, Columbus, Ohio.

Attest:

David O. Frantz
Secretary

Zuheir Sofia
Chairman

**Board of Trustees
March 5, 2004**

Sexual Harassment Policy and Procedure

ITEM: Revision of the university Sexual Harassment Policy and Procedure. The policy was last revised and adopted by the Board of Trustees on July 7, 2000.

APPLIES TO: University faculty, staff, students, and volunteers.

CONTEXT: As a part of the most recent revision, a decision was made to “pilot” a decentralized investigation process for sexual harassment complaints, with investigations being conducted by trained individuals at the college or VP unit level. During Winter Quarter 2003 the Office of Human Resources evaluated the investigation process for complaints of sexual harassment. We conducted three focus groups, inviting all trained sexual harassment investigators, and did a content analysis of investigatory case files to evaluate the effectiveness of the investigation process. Many investigators felt that they were neither adequately prepared nor practiced enough to conduct sexual harassment investigations, given the complex issues involved in the investigation and “findings” processes and the potential legal ramifications of the cases.

As a result of the review, we have determined that we should re-centralize the responsibility for investigating sexual harassment complaints to the Office of Human Resources Consulting Services. Consultants in this office are thoroughly skilled and have significant continuing responsibilities in delivering sexual harassment training and leading investigations of this nature; thus their proficiency and knowledge are well developed and current. This re-centralization will allow for more consistent application of the investigation guidelines and appropriate implementation of decisions as well as recommendations for any preventive and corrective action.

OVERVIEW OF THE REVIEW AND REVISION PROCESS: The procedural revisions were drafted by a group of staff from the Offices of Human Resources and Legal Affairs. Feedback was solicited from Vice Presidents, Deans, and Chairs; Secretary of the Senate; University Senate; University Senate Steering Committee; Faculty Council; University Senate Diversity Committee; University Staff Advisory Committee; University Council on Diversity; President’s Advisory Council on Women’s Issues; Undergraduate Student Government; Council of Graduate Students; and Inter-Professional Council. Feedback was consistently positive and minor changes were made based upon the feedback. Coordinating Council and President’s Cabinet have both approved the changes.

IMPACT, COST, SPECIAL NOTES: There will be savings in terms of the time used by the investigators to conduct unit investigations as well as savings associated with the on-going training and investigatory support provided to the 30 individual investigators by Consulting Services. The University is also proposing that the Board authorize the University, through normal administrative process, to make future changes to the Policy and Procedure as needed to conform to legal requirements and policy determinations.

NUMBER OF COMPLAINTS: In the last four years, 60 complaints of sexual harassment were investigated. Due to successful resolution of most complaints, only 6% resulted in filing of an external complaint or litigation.

NEXT STEPS:

Distribute Policy and Procedure widely to faculty, staff, students, and volunteers.

FOR MORE INFORMATION CONTACT:

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Olga Esquivel-Gonzalez, Associate Director, Consulting Services
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Kimberly C. Shumate, Associate Legal Counsel
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ATTACHMENTS:

Revised Sexual Harassment Policy and Procedure

Applies to: Faculty, staff, students, student employees, and volunteers

POLICY

Issued: 10/01/1980
Revised: 01/01/2004
Edited:

The University administration, faculty, staff, student employees, and volunteers are responsible for assuring that the University maintains an environment for work and study free from sexual harassment. Sexual harassment is unlawful and impedes the realization of the University's mission of distinction in education, scholarship, and service. Sexual harassment violates the dignity of individuals and will not be tolerated. The University community seeks to eliminate sexual harassment through education and by encouraging faculty, staff, student employees, and volunteers to report concerns or complaints. Prompt corrective measures will be taken to stop sexual harassment whenever it occurs.

POLICY GUIDELINES

I. Definition

Sexual harassment includes unwelcome sexual advances, requests for sexual favors, and other physical or verbal conduct of a sexual nature when it meets any of the following:

- A. Submission to such conduct is made either explicitly or implicitly a term or condition of an individual's employment or academic status.
- B. Submission to or rejection of such conduct by an individual is used as the basis for employment or academic decisions affecting such individual.
- C. Such conduct has the purpose or effect of unreasonably interfering with an individual's work or academic performance or creating an intimidating, hostile, or offensive environment for working, learning, or living on campus. Sexual harassment can occur between any individuals associated with the University, e.g., an employee and a supervisor; coworkers; faculty members; a faculty, staff member, or student and a customer, vendor, or contractor; students; or a student and a faculty member.

II. Examples of Sexual Harassment

Examples of sexual harassment include, but are not limited to:

- A. Some incidents of physical assault.
- B. Direct or implied threats that submission to sexual advances will be a condition of employment, work status, promotion, grades, or letters of recommendation.

Applies to: Faculty, staff, students, student employees, and volunteers

- C. Direct propositions of a sexual nature and/or subtle pressure for sexual activity that is unwanted and unreasonably interferes with a person's work or academic environment.
- D. A pattern of conduct that unreasonably interferes with the work or academic environment (not legitimately related to the subject matter of a course) including:
 - 1. Sexual comments or inappropriate references to gender.
 - 2. Sexually explicit statements, questions, jokes, or anecdotes regardless of the means of communication (oral, written, electronic, etc.).
 - 3. Unwanted touching, patting, hugging, brushing against a person's body, or staring.
 - 4. Inquiries and commentaries about sexual activity, experience, or orientation.
 - 5. The display of inappropriate sexually oriented materials in a location where others can view them.

III. Regulations

A. Consensual relationships

No person involved in a consensual relationship will have direct responsibility for evaluating the employment or academic performance or for making decisions regarding the promotion, tenure, or compensation of the other party to the relationship. Consensual romantic and sexual relationships between supervisor and employee or between faculty and student are strongly discouraged.

These relationships may be subject to concerns about the validity of consent, conflicts of interest, and unfair treatment of other students or employees. Further, such relationships can undermine the atmosphere of trust essential to the educational process and the employment relationship.

In the event of an allegation of sexual harassment, the University will strictly scrutinize a defense based upon consent when the facts establish that an institutional power differential existed within the relationship.

B. Confidentiality and non-retaliation

The University will make every reasonable effort to conduct all proceedings in a manner that will protect the confidentiality of all parties. Parties to the complaint should treat the matter under investigation with discretion and respect for the reputation of all parties involved.

Applies to: Faculty, staff, students, student employees, and volunteers

University policy and state and federal law prohibit retaliation against an individual for reporting sexual harassment, or for participating in an investigation. Retaliation is a serious violation that can subject the offender to sanctions independent of the merits of the sexual harassment allegation.

The University has a compelling obligation to address allegations and suspected instances of sexual harassment when it obtains information that would lead a reasonable person to believe that this policy has been violated. The University is not precluded from taking any action it deems appropriate, including informing the alleged harasser of the complaint and pursuing an investigation even in cases when the complainant is reluctant to proceed. The complainant will be notified in advance when such action is necessary.

C. Corrective measures

When it has been determined that sexual harassment has occurred, steps will be taken to ensure the harassment is stopped immediately. Corrective measures consistent with the severity of the offense will be imposed consistent with applicable University procedures and may include sanctions.

Sanctions imposed on the harasser may include, but are not limited to, a reprimand, suspension, or dismissal from the University. In the event that a record of such sanctions will become a part of the harasser's personnel records, prior notice will be given to the harasser. Sanctions also may be imposed on any individual with a duty to act under this policy and associated procedures who fails to respond to a complaint of sexual harassment in a manner consistent with the provisions of this policy and the associated procedures. The complainant will be informed of the corrective measures taken.

D. False allegations

It is a violation of this policy for anyone to knowingly or with reckless disregard for the truth make false accusations of sexual harassment. Failure to prove a claim of sexual harassment is not equivalent to a false allegation. Sanctions may be imposed on individuals who knowingly or with reckless disregard for the truth make false accusations of sexual harassment.

E. Use of sexual harassment allegations in employment actions

When making decisions affecting an individual's employment or academic status, allegations of sexual harassment may be considered only if they have been addressed through this policy or procedure, a court of law, or other administrative proceeding. Whenever such an allegation is discussed as part of a determinant in the terms and conditions of an employment or academic status, the affected party should be given notice.

Applies to: Faculty, staff, students, student employees, and volunteers

IV. Policy and Procedure Administration

The Office of Human Resources is responsible for the administration of this policy and the associated procedures. The president and each vice president, dean, department chair, director, administrator, faculty member, and supervisor is responsible for assuring compliance with this policy. Any such individual who obtains information that would lead a reasonable person to believe that this policy has been violated must refer the matter to the appropriate individual for investigation or, if so authorized, initiate a prompt and thorough investigation.

PROCEDURE

Issued: 10/1/80

Revised: 3/21/97

Edited: 09/06/2002

I. Educational Program Goals and Objectives

The University is committed to eliminating and preventing sexual harassment of faculty, staff, students, student employees, and volunteers and to fostering an environment of respect for all individuals. The University promotes educational programs coordinated by the Office of Human Resources to meet the following goals:

- A. Informing all individuals about their rights through training and dissemination of the sexual harassment policy.
- B. Including the sexual harassment policy in orientation materials for new faculty, staff, students, and volunteers.
- C. Notifying persons of prohibited conduct.
- D. Informing all individuals of the appropriate procedures and reporting mechanisms for addressing concerns of sexual harassment.
- E. Informing the community about the problems caused by sexual harassment.
- F. Addressing issues of sexual harassment from a multicultural perspective.

II. Who Can Make Allegations

Applies to: Faculty, staff, students, student employees, and volunteers

Sexual harassment concerns can often be resolved by the person being harassed addressing the matter directly with the alleged harasser. When such resolution is not feasible, any faculty, staff, student, or volunteer may bring an allegation against any member of the University community or any customer, vendor, or contractor of the University.

III. Confidentiality

To the extent possible, all information received in connection with the filing, investigation, and resolution of allegations will be treated as confidential except to the extent it is necessary to disclose particulars in the course of the investigation or when compelled to do so by law. All individuals involved in the process should observe the same standard of discretion and respect for the reputation of everyone involved in the process.

IV. Retaliation

University policy and state and federal law prohibit retaliation against an individual for reporting sexual harassment, or for participating in an investigation. The University will not tolerate retaliation in any form against any faculty, staff, student, or volunteer who files an allegation, serves as a witness, assists an alleged, or participates in an investigation of sexual harassment. Retaliation is a serious violation that can subject the offender to sanctions independent of the merits of the sexual harassment allegation. Allegations of retaliation should be directed to the Office of Human Resources, Consulting Services.

V. Counseling and Support

A person seeking counseling or support may contact any of the following units:

- A. University Faculty and Staff Assistance Program
- B. Student Gender and Sexuality Services, Rape Education and Prevention Program
- C. Office of Residence Life
- D. Counseling and Consultation Service
- E. Office of Student Affairs

The role of the above offices is not to investigate allegations but to provide counseling and support.

Applies to: Faculty, staff, students, student employees, and volunteers

VI. Receipt and Referral of Allegations

- A. An alleged violation of the University's sexual harassment policy may be taken to any of the following designated individuals:
 - 1. The human resources professional within a department or unit.
 - 2. A consultant in the Office of Human Resources, Consulting Services.
 - 3. Any supervisor, faculty member, or faculty or staff administrator.
- B. If the alleged harasser is the alleged's supervisor, the alleged should directly contact either a higher level administrator in the college/unit or the Office of Human Resources, Consulting Services.
- C. Cases involving student on student sexual harassment not in the employment setting will be handled in accordance with the Code of Student Conduct and are not covered under this procedure. The Office of Student Affairs will be responsible for the investigation and resolution of such allegations.
- D. When the above individuals receive an allegation of sexual harassment, they will promptly refer the matter to the appropriate individual for investigation or, if so authorized, initiate a prompt and thorough investigation.
- E. The Office of Human Resources, Consulting Services, is available to provide consultation to any person who has a potential sexual harassment concern. Likewise, consultants are available to assist any administrator in handling an allegation.
- F. All individuals who are designated to receive allegations are expected to participate in training provided by the Office of Human Resources, Organization and Human Resource Development, related to handling sexual harassment allegations.

VII. Complaints

Procedures for filing and the investigation of allegations of sexual harassment are addressed in Guidelines for Investigating Complaints of Discrimination and Harassment.

Applies to: Faculty, staff, students, student employees, and volunteers

RESOURCES

For consultation:

- Office of Human Resources, Consulting Services 614-292-2800
- Office of Human Resources, Organization and Human Resource Development 614-292-4500
- Office of Academic Affairs 614-292-5881

For more information:

- Ohio State Discrimination/Harassment Investigation Guidelines
- OSU Record Retention Policy
http://www.lib.ohio-state.edu/OSU_profile/arvweb/retention/gensched.htm
- Discrimination/Harassment Complaint Form <http://hr.osu.edu/hrpubs/forms.htm>
- Rules for Classified Civil Service <http://hr.osu.edu/ccsrules/ccsrule1.htm>
- Code of Student Conduct <http://www.osu.edu/units/stuaff/csc2.html>
- Faculty Rules and Procedures, 3335-5-04 <http://trustees.ohio-state.edu/rules5/ru5-04.html>
- Human Resources Policy 8.15, Corrective Action
- Human Resources Policy 10.10, Student Employment

For counseling and support:

- University Faculty and Staff Assistance Program (UFSAP) 614-293-2442
- Student Gender and Sexuality Services 614-688-4898
- Office of Residence Life 614-292-8266
- Counseling and Consultation Service 614-292-5766
- Office of Student Affairs 614-292-9334

For issues of academic freedom:

- Council on Academic Freedom and Responsibility (CAFR)
<http://senate.ohio-state.edu/CAFR.html>

AUTHORIZATION FOR CAPITAL PROJECTS

Project	Approximate Amount (in millions)	Requested Action
Cunz Hall - Relocate Physical Activities & Educational Services	Dept: \$0.26	Enter into design contracts
Dreese Laboratory Clean Room Renovation	State: \$0.23	Enter into design contracts
Mansfield Campus-Centralized Storage Building	State: \$0.03	Enter into design contracts
Mansfield Campus-Eisenhower Center Concrete Stair & Patio Replacement	State: \$0.07	Enter into design contracts
Mansfield Campus-Install Water Main Backflow Preventer	State: \$0.03	Enter into design contracts
Mansfield Campus-Replace Pedestrian/Bike Bridge	State: \$0.05	Enter into design contracts
OARDC Food Animal Health Research Program Roof Replacement	State: \$0.08	Enter into design contracts
OARDC Interior Lighting Upgrades-Gerlaugh and Research Operations	State: \$0.08	Enter into design contracts
Outpatient Services - 2050 Kenny Road	Dept: \$8.40 State: \$4.60	Enter into design contracts
Third Floor Renovation-Cramblett Hall	Dept: \$3.63	Enter into design contracts
Waterman Lab and Don Scott Field	State: \$0.74	Enter into design contracts
Subtotal for Design Contracts	Dept: \$12.29 State: \$5.91	
Cannon Drive - S Curve Rehabilitation	State: \$0.27	Enter into construction contracts
Neil Avenue Corridor/Twelfth Avenue Improvements	State: \$1.98 Dept: \$0.75	Enter into construction contracts
University Hospitals East-Emergency Department	Dept: \$5.22	Approve increase in project costs
Subtotal for Construction Contracts	Dept: \$5.97 State: \$2.25	
Total for all Contracts	Dept: \$18.26 State: \$8.16	
Grand Total	\$26.42	

CUNZ HALL - RELOCATE PHYSICAL ACTIVITIES & EDUCATIONAL SERVICES
Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

School of Physical Activities and Educational Services

How does this project advance the Academic Plan?

This relocation is necessary to vacate the existing Larkins building and prepare for demolition.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

This will be a renovation of the existing space in Cunz Hall to accommodate the temporary needs of the faculty and staff for the interim time while their program space is being developed in Larkins Phase Two.

Preliminary Cost Estimate:

\$260,000

Proposed Funding Source:

\$238,000 - Central Funds (University Relocation Funds)

*\$22,000 - Jennings Hall Renovation Project (future University Bond Proceeds)
(classroom pool room that was originally part of the Jennings Hall project has been relocated to Cunz Hall to allow for the additional space the MBI program requested in Jennings Hall)*

Outstanding Funding Issues:

none

Timing Issues:

Design Services Completion – June, 2004

Construction Completion – December, 2004

“Ripple effects” of the project:

Hagerty Hall construction must be complete for the College of Humanities to vacate Cunz Hall and for PAES to move in. If the Hagerty Hall project is delayed then this will cause the Larkins Phase Two project to also be delayed. At this time schedule is coordinated for maximum benefit.

Special limitations/risks:

None

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Cunz Hall - Relocate Physical Activities & Educational Services

315-2004-902

Requesting Agency(s): PHYSICAL ACTIVITY & EDUC SERVS, SCH OF

Location(s): CUNZ HALL OF LANGUAGES, DIETER

Gross Sq. Ft. 68,049 Age: 1969

Description: Relocation of the department of PAES out of Larkin's Hall in preparation for demolition of the remainder of the existing building. This move is scheduled to precede Larkin's Phase Two construction, so that staff and faculty may be relocated.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Dave Chapman
(chapman.123@osu.edu)

Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

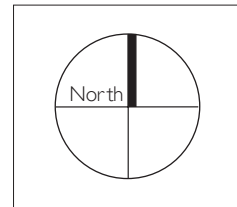
Project Information:

The bond proceeds will be transferred out of the Jennings Hall Renovation project (315-1999-190) to accommodate this relocation.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Business & Admin	\$238,000.00	\$238,000.00	Total:			
Future Univ. Bond Proceeds	\$22,000.00	\$22,000.00				
Total:	\$260,000.00	\$260,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$260,000 project)	03/05/2004		
Design			
Construction Document Approval	06/15/2004		
Construction			
Completion	12/15/2004		

Cunz Hall - Relocate Physical Activities & Educational Services



Office of Business and Finance
Office of Facilities Planning and Development

February 12, 2004

DREESE LABORATORY CLEAN ROOM RENOVATION

Conceptual and Planning Information

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Sponsoring/Requesting Units(s):

Electrical Engineering Department

How does this project advance the Academic Plan?

This renovation will enable the Electrical Engineering Department to increase the utilization of the existing Clean Room, without adding additional square footage. The renovation will permit the Department to install equipment including a scanning electron microscope and an electron beam lithography system for education and/or research purposes.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

This is a renovation project, which does not involve any additional space. The existing Clean Room will be reorganized to permit the installation of a scanning electron microscope and an electron beam lithography system.

Preliminary Cost Estimate:

\$255,000.00

Proposed Funding Source:

Hayes Grant

Outstanding Funding Issues:

None

Timing Issues:

This project will be a fast-track project, as the users desire to have the new equipment installed and operational as soon as possible.

“Ripple effects” of the project:

None

Special limitations/risks:

Possible re-certification cost of the clean room.

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Dreese Laboratory - Clean Room Renovation

315-2004-904

Requesting Agency(s): ENGINEERING ADMINISTRATION

Location(s): DREESE LABORATORIES, ERWIN E.

Gross Sq. Ft. 186,567 Age: 1969

Description: This renovation will enable the Electrical Engineering Department to increase the utilization of the existing Clean Room without adding additional square footage. The renovation will permit the Department to install an additional piece of equipment for education and/or research purposes.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Thomas Heretta
(heretta.1@osu.edu)

Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

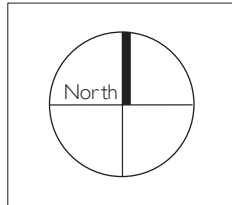
Project Information:

This project will be funded by a Hayes Grant for \$255,000

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
BOR Action Fund	\$255,000.00	\$255,000.00				
Total:	\$255,000.00	\$255,000.00	Total:			

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	03/05/2004		

Dreese Lab - Clean Room Renovation



Office of Business and Finance
Office of Facilities Planning and Development

February 12, 2004

MANSFIELD CAMPUS-CENTRALIZED STORAGE BUILDING
Conceptual and Planning Information

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Sponsoring/Requesting Units(s):
Mansfield Campus

How does this project advance the Academic Plan?
Permits maintenance and service supplies and materials stored in Ovalwood Hall to be relocated and these spaces converted to appropriate academic use. Spaces need to be cleared for future renovation of Ovalwood Hall and conversion of Ovalwood to a dedicated classroom and faculty office facility to accommodate anticipated regional campus student growth. This is consistent with Master Plan and Business Plan.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):
This unheated bulk storage building located near Parking Lot #8 will consist of multiple securable 14' x 20' storage bays. It will be used to store physical facilities seasonal equipment. This equipment is currently stored in the basement of Ovalwood Hall and in the Schuttera Service Center facilities service shop bay. The space in Ovalwood Hall is needed for classroom space and faculty offices.

Preliminary Cost Estimate:
\$33,974

Proposed Funding Source:
House Bill 675 Regional Basic Renovation Funds

Outstanding Funding Issues:
none

Timing Issues:
Design Services Completion – August, 2004
Construction Completion – December, 2004

“Ripple effects” of the project:

This needs to be complete to allow for the additional space for classrooms and offices that are to be included in the Ovalwood project.

Special limitations/risks:
none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): MANSFIELD CAMPUS

Location(s): Various Locations-Mansfield

Gross Sq. Ft.0 Age:

Description: Relocation of maintenance, service supplies, and materials which are currently stored in Ovalwood Hall to this storage facility. Ovalwood Hall is being converted to a dedicated classroom and faculty office facility consistent with the campus master plan.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Captain: Ruth Miller
(miller.2495@osu.edu)

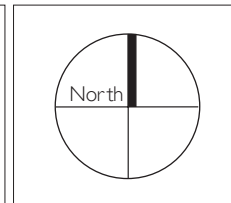
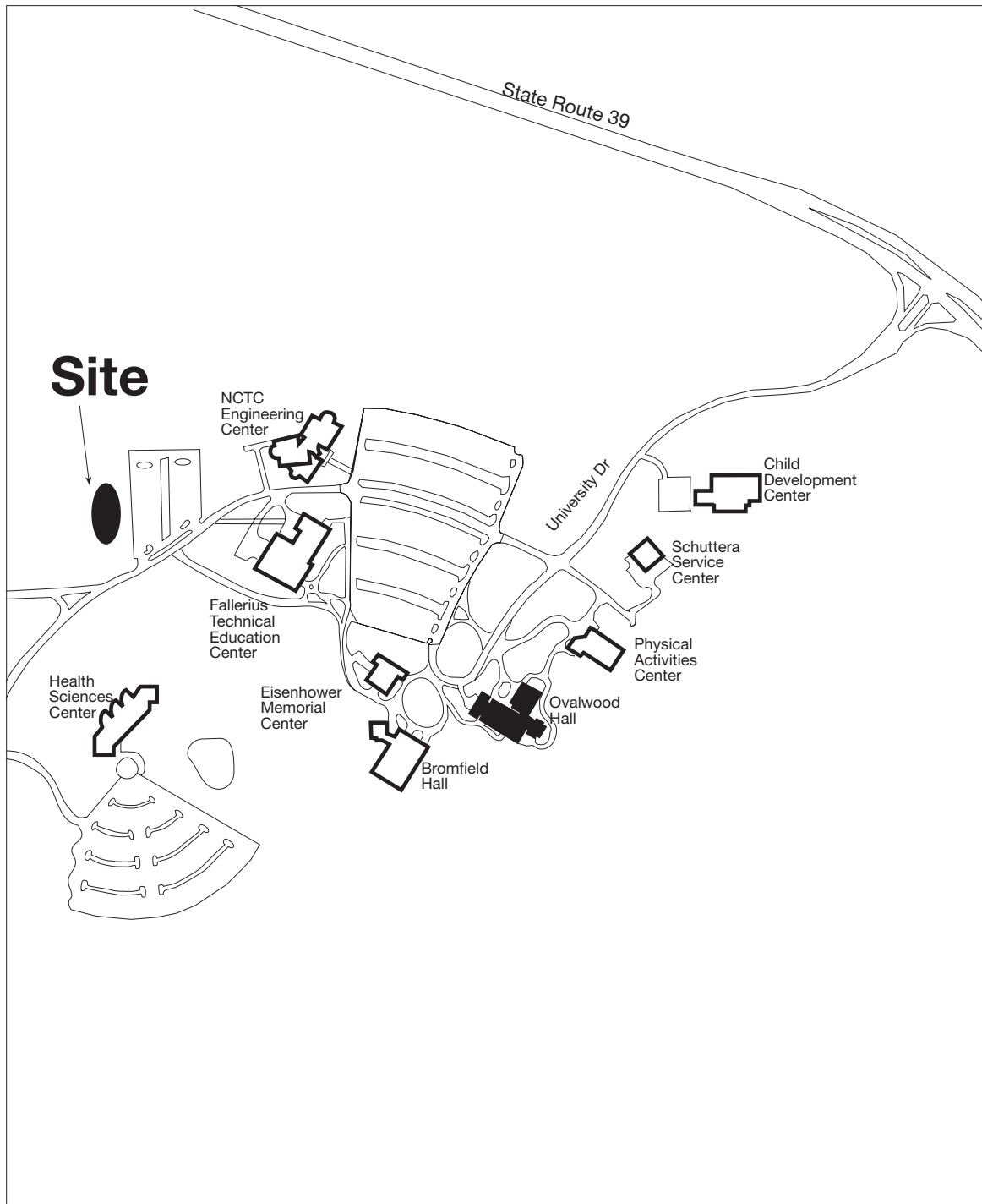
Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Regional Basic	\$33,974.00	\$33,974.00	Total:			
Renovation						
Total:	\$33,974.00	\$33,974.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	03/05/2004		
Design			
Arch/Engr Contract	06/04/2004		
Construction Document Approval	08/06/2004		
Construction			
Construction Start	10/01/2004		
Completion	12/18/2004		

Mansfield Campus - Centralized Storage Building



Office of Business and Finance
Office of Facilities Planning and Development

February 14, 2004

MANSFIELD CAMPUS-EISENHOWER CENTER STAIR AND PATIO REPLACEMENT

Conceptual and Planning Information

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Sponsoring/Requesting Units(s):

Mansfield Campus

How does this project advance the Academic Plan?

Replaces deteriorated/unsafe stairway and provides improved student (resident life) and public access (outreach & engagement) IN THE Eisenhower Center.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Replace the main entrance concrete stairs and patio for Eisenhower Center, which houses classrooms and student activity spaces.

Preliminary Cost Estimate:

\$69,953

Proposed Funding Source:

House Bill 790, House Bill 748 Mansfield ADA Modifications and House Bill 675 Regional Basic Renovation Funds

Outstanding Funding Issues:

none

Timing Issues:

*Design Services Completion – September, 2004
Construction Completion – May, 2005*

“Ripple effects” of the project:

none

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Mansfield Campus - Concrete Stair & Patio Replacement

315-2003-962

Requesting Agency(s): MANSFIELD CAMPUS

Location(s): EISENHOWER MEMORIAL
CENTER, DWIGHT D

Gross Sq. Ft. 34,096 Age: 1968

Description: Replace Eisenhower Hall main entrance concrete stairs and patio.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Captain: Ruth Miller
(miller.2495@osu.edu)

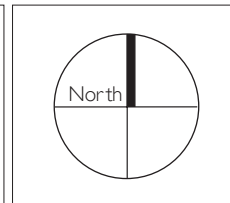
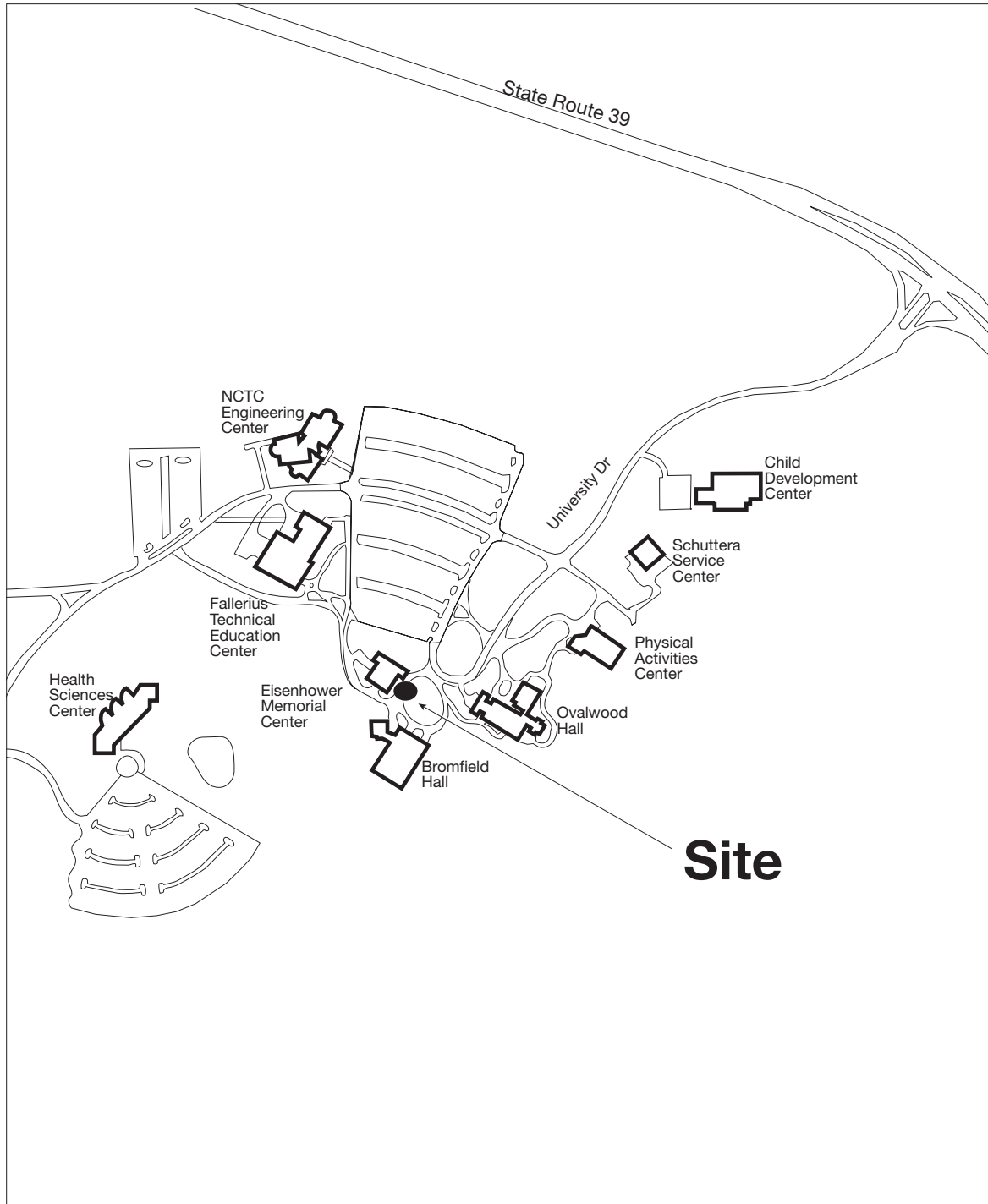
Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB790 Mansfield ADA	\$8,030.00	\$8,030.00	Total:			
Modifications						
HB748 Mansfield ADA	\$7,223.00	\$7,223.00				
Modifications						
HB675 Regional Basic	\$54,700.00	\$54,700.00				
Renovation						
Total:	\$69,953.00	\$69,953.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	03/05/2004		
Arch/Engr Advertisement	03/08/2004		
Design			
Arch/Engr Contract	06/01/2004		
Construction Document Approval	08/02/2004		
Bidding			
Bid Opening	11/04/2004		
Construction			
Award of Contracts	01/03/2005		
Construction Start	04/11/2005		
Completion	05/13/2005		

Mansfield Campus - Eisenhower Center Stair and Patio Replacement



Office of Business and Finance
Office of Facilities Planning and Development

February 14, 2004

MANSFIELD CAMPUS-INSTALL WATER MAIN BACKFLOW PREVENTER

Conceptual and Planning Information

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Sponsoring/Requesting Units(s):

Mansfield Campus

How does this project advance the Academic Plan?

The backflow preventer is an EPA requirement. This is the Campus' primary water supply and distribution system. Water cannot be provided to the Campus without installation of this equipment.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Install a backflow preventer on the 12" water main between the Mansfield Campus distribution system and the City of Mansfield supply. This is a requirement of the EPA.

Preliminary Cost Estimate:

\$30,000

Proposed Funding Source:

House Bill 675 Regional Basic Renovation Funds

Outstanding Funding Issues:

none

Timing Issues:

Design Services Completion – August, 2004

Construction Completion – November, 2004

“Ripple effects” of the project:

none

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Mansfield Campus - Install Water Main Backflow Preventer

315-2003-964

Requesting Agency(s): MANSFIELD CAMPUS

Location(s): Various Locations-Mansfield

Gross Sq. Ft.0 Age:

Description: Install backflow preventer on 12" water main between the Mansfield Campus distribution system and City of Mansfield supply as required by EPA Public Health and Safety regulation.

Project Team:

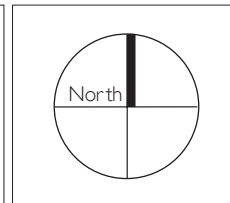
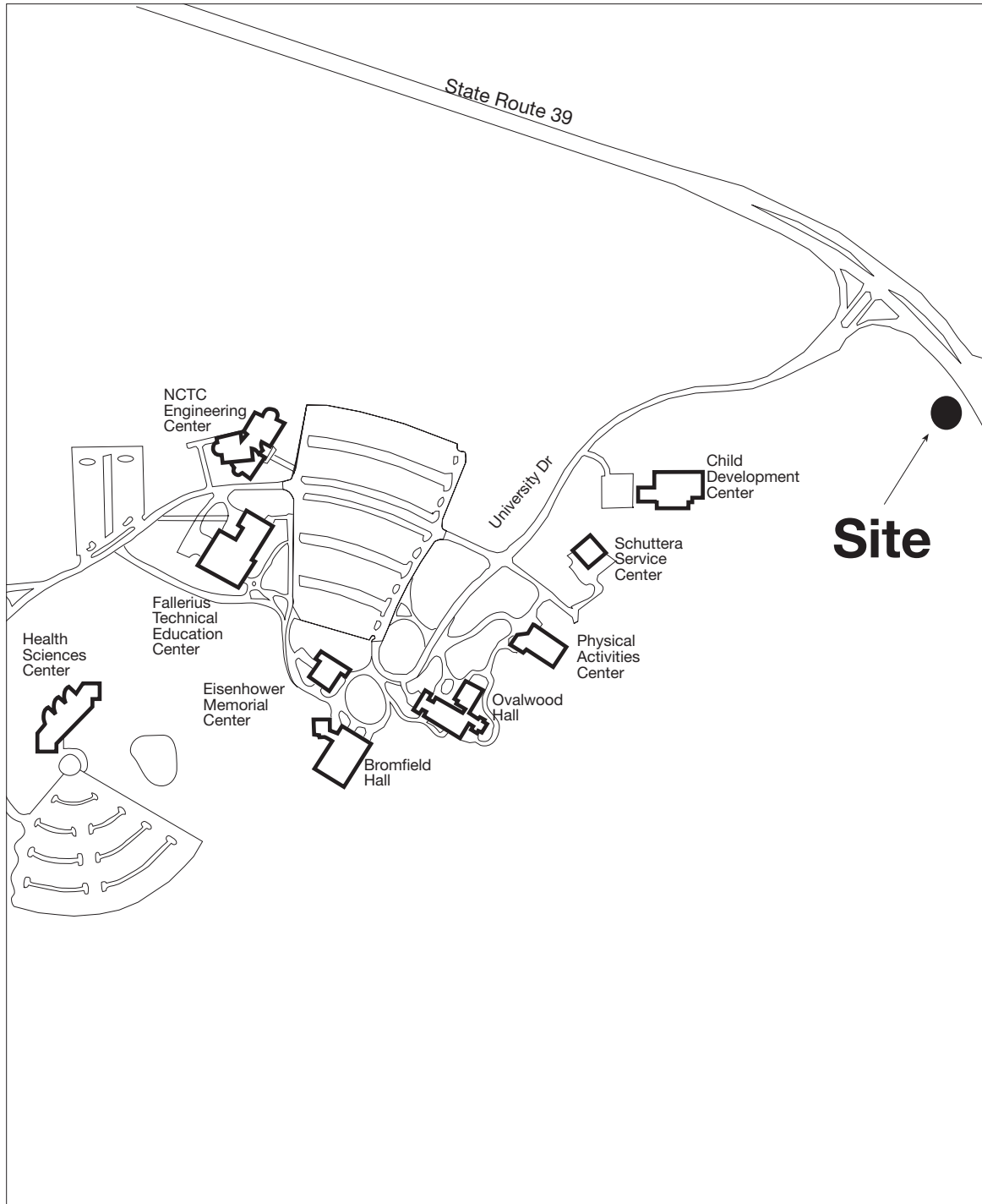
Project Information:

Facility Planner: Is Unassigned
Project Captain: Ruth Miller (miller.2495@osu.edu)
Project Assistant: Faye Bodyke (bodyke.3@osu.edu)
Field Coordinator: Is Unassigned

Table with 7 columns: Source of Funds, Original, Revised, Uses of Funds, As Designed, As Bid, Completion. Rows include HB675 Regional Basic Renovation and Total.

Table with 4 columns: Schedule, Projected, Revised, Actual. Rows include Planning, Design, and Construction phases with specific dates.

Mansfield Campus - Install Water Main Backflow Preventer



Office of Business and Finance
Office of Facilities Planning and Development

February 14, 2004

MANSFIELD CAMPUS-REPLACE PEDESTRIAN/BIKE BRIDGE

Conceptual and Planning Information

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Sponsoring/Requesting Units(s):

Mansfield Campus

How does this project advance the Academic Plan?

Beginning of bike/pedestrian way connecting student housing and new bike path (by the City of Ontario) that will connect for the first time with the north end of Mansfield and Ontario. This is consistent with Mansfield Regional Campus Master Plan.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Replacement of an old, unsafe pedestrian/bike bridge behind Ovalwood Hall. This is the primary connector between the campus core and the recently acquired student housing area.

Preliminary Cost Estimate:

\$50,000

Proposed Funding Source:

House Bill 675 Regional Basic Renovation Funds

Outstanding Funding Issues:

none

Timing Issues:

*Design Services Completion – August, 2004
Construction Completion – December, 2004*

“Ripple effects” of the project:

none

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Mansfield Campus - Replace Pedestrian/Bike Bridge

315-2003-963

Requesting Agency(s): MANSFIELD CAMPUS

Location(s): Various Locations-Mansfield

Gross Sq. Ft.0 Age:

Description: Replace old unsafe pedestrian/bike bridge behind Ovalwood Hall. This is the primary connector between the campus core and student housing.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Captain: Ruth Miller
(miller.2495@osu.edu)

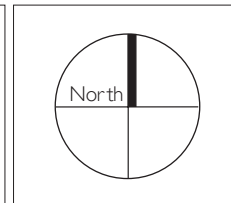
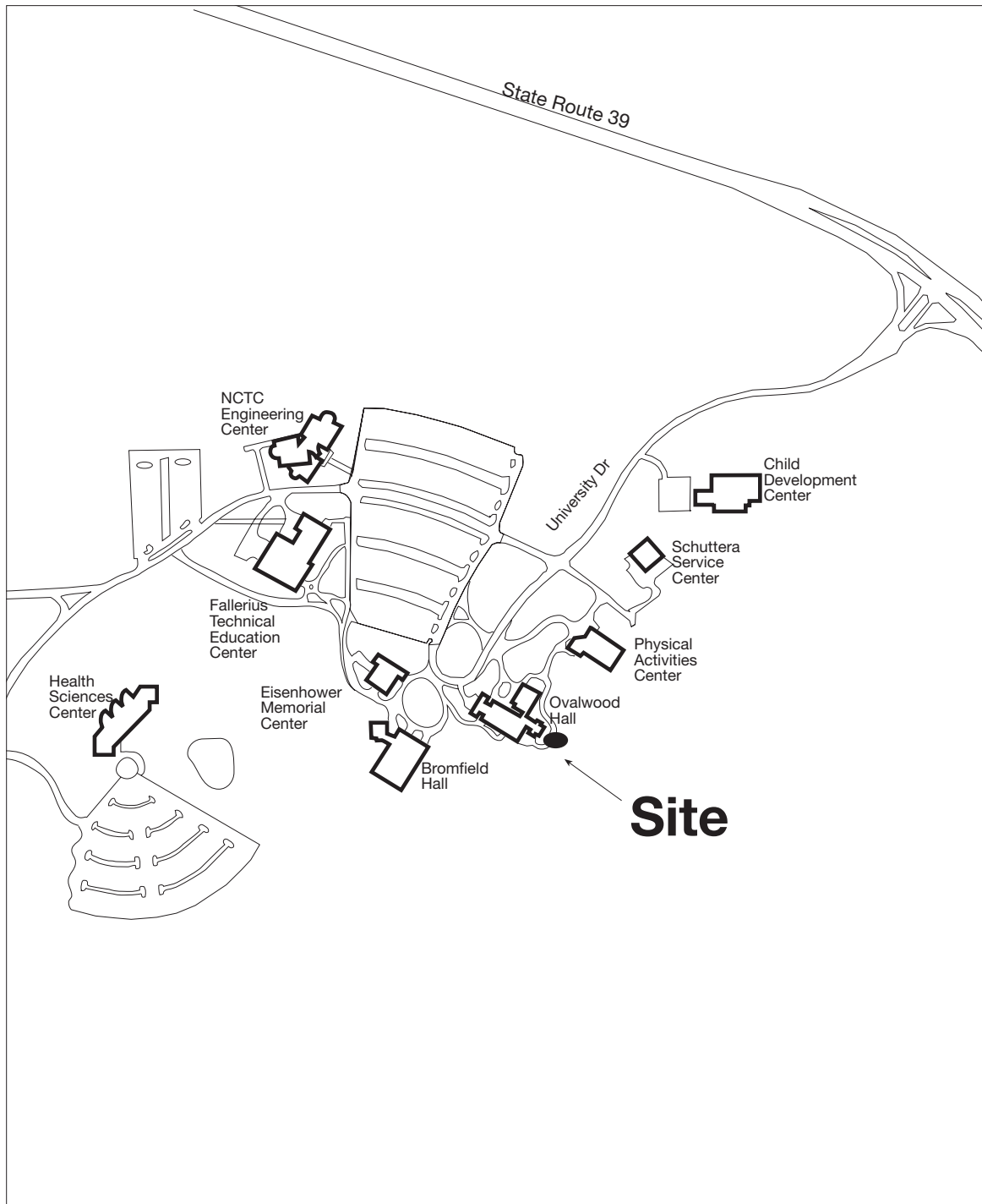
Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Regional Basic Renovation	\$50,000.00	\$50,000.00	Total:			
Total:	\$50,000.00	\$50,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	03/05/2004		
Arch/Engr Advertisement	06/04/2004	03/08/2004	
Design			
Arch/Engr Contract	06/04/2004		
Design Dev Document Approval	07/09/2004		
Construction Document Approval	08/02/2004		
Construction			
Award of Contracts	09/17/2004		
Construction Start	10/01/2004		
Completion	12/03/2004		

Mansfield Campus - Replace Pedestrian/Bike Bridge



Office of Business and Finance
Office of Facilities Planning and Development

February 14, 2004

OARDC FOOD ANIMAL HEALTH RESEARCH PROGRAM ROOF REPLACEMENT

Conceptual and Planning Information

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Sponsoring/Requesting Units(s):

OARDC

How does this project advance the Academic Plan?

The existing single membrane roof is over 20 years old. It has exceeded its life and warranty of 15 years and shows signs of deterioration. This roof is on a single story laboratory and protects valuable research and equipment.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Replacement of existing roof on one research building; 27,446 GSF.

Preliminary Cost Estimate:

\$75,000.00

Proposed Funding Source:

HB675

Outstanding Funding Issues:

none

Timing Issues:

Design Services Completion – March, 2004

Construction Completion – July, 2004

“Ripple effects” of the project:

none

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



OARDC - Food Animal Health Research Program Roof Replacement

315-2003-970

Requesting Agency(s): OARDC-FOOD ANIMAL HEALTH

Location(s): FOOD ANIMAL HEALTH RESEARCH BLDG

Gross Sq. Ft.27,446 Age: 1987

Description: Replace the existing EPDM single membrane roof covering. This roof is now 20 years old and has exceeded its life and warranty of 15 years. The existing system is showing signs of deterioration. This roof system is on a single story laboratory and protects valuable research facilities and equipment.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Marjory Spangler
(spangler.40@osu.edu)

Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

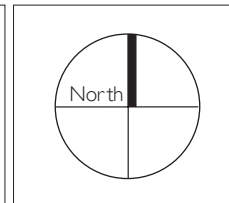
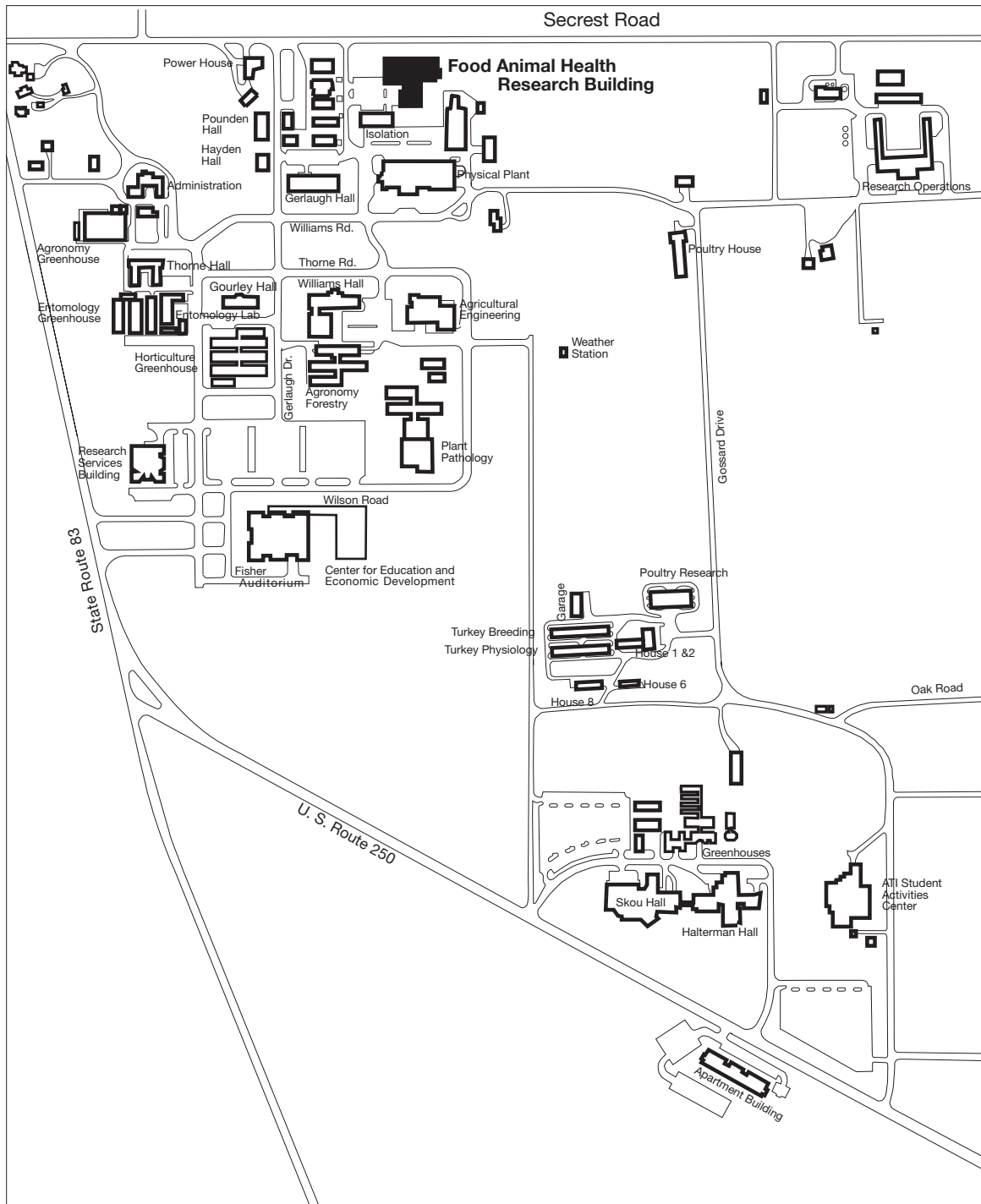
Project Information:

Project will be designed by in-house staff.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 OARDC	\$75,000.00	\$75,000.00				
Supplemental Renov			Total:			
Total:	\$75,000.00	\$75,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$75,000 project)	03/05/2004		
Design			
Construction Document Approval	03/12/2004		
Bidding			
Bid Opening	04/23/2004		
Construction			
Award of Contracts	05/20/2004		
Construction Start	06/01/2004		
Completion	07/16/2004		

OARDC Food Animal Health Research Program Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

February 14, 2004

OARDC INTERIOR LIGHTING UPGRADES – GERLAUGH AND RESEARCH OPERATIONS

Conceptual and Planning Information

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Sponsoring/Requesting Units(s):

OARDC

How does this project advance the Academic Plan?

Replace existing incandescent and fluorescent lighting with new energy efficient T-8 fluorescent lighting. This will provide significant savings in electric power consumption for the OARDC Campus.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Replacement of existing incandescent and fluorescent lighting fixtures with energy efficient T-8 fixtures in two buildings. Research Services Building was constructed in 1987 and is 31,935 GSF. Gerlaugh Hall was constructed in 1968, and is 47,119 GSF.

Preliminary Cost Estimate:

\$75,000.00

Proposed Funding Source:

HB675

Outstanding Funding Issues:

none

Timing Issues:

Design Services Completion – March, 2004

Construction Completion – August, 2004

“Ripple effects” of the project:

none

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



OARDC - Interior Lighting Upgrades - Gerlaugh & Research Oper

315-2003-972

Requesting Agency(s): OARDC-BUSINESS OFFICE

Location(s): RESEARCH SERVICES BUILDING

Gross Sq. Ft.31,935 Age: 1987

Location(s): GERLAUGH HALL

Gross Sq. Ft.47,119 Age: 1966

Description: Replace existing incandescent and fluorescent lighting with new energy efficient T-8 fluorescent lighting. This will provide significant savings in electric power consumption for the OARDC campus.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Marjory Spangler
(spangler.40@osu.edu)

Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

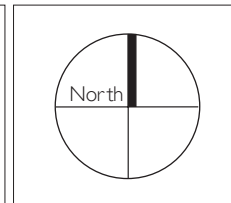
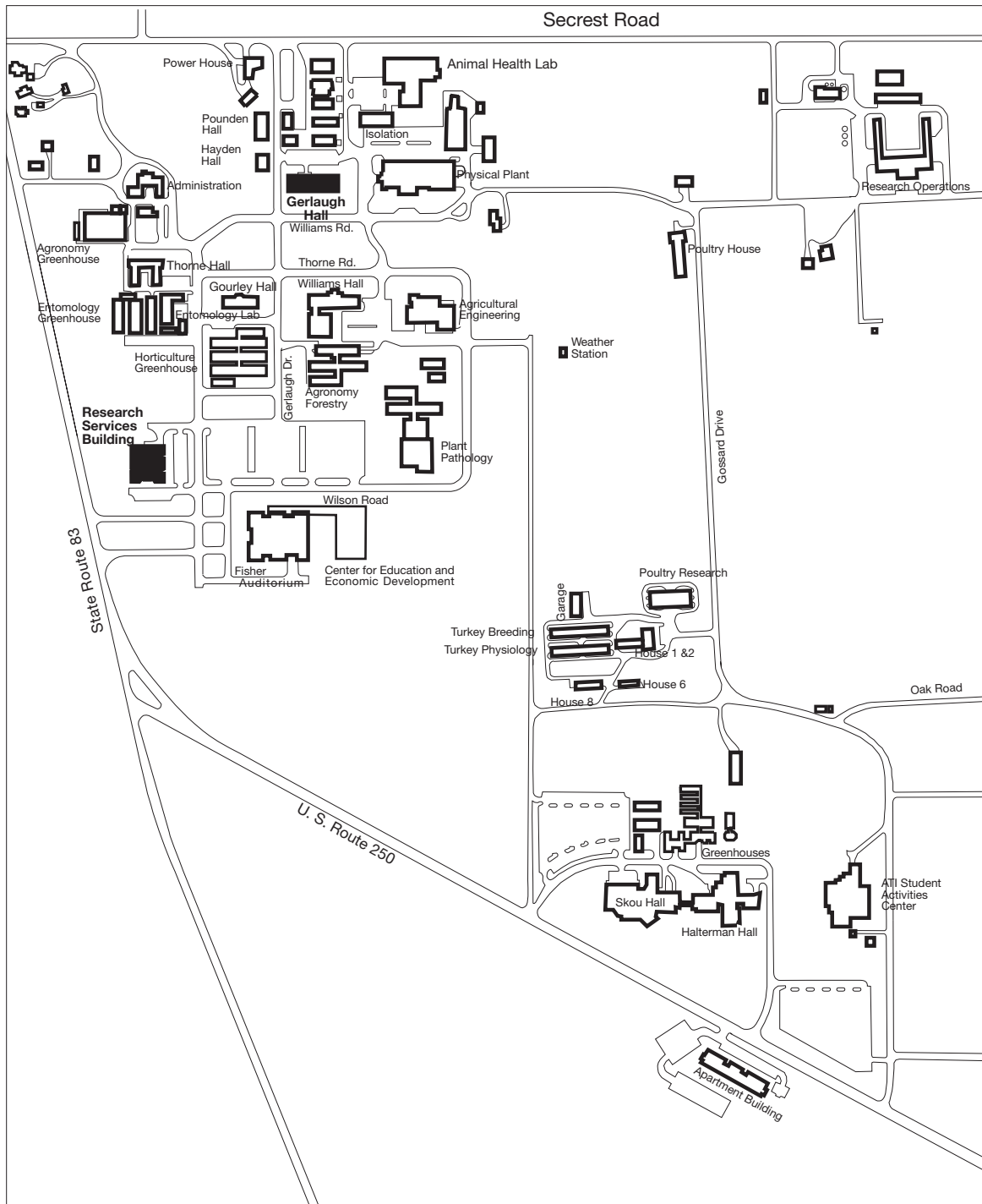
Project Information:

Project is being designed by in-house staff.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 OARDC	\$75,000.00	\$75,000.00				
Supplemental Renov			Total:			
Total:	\$75,000.00	\$75,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	03/05/2004		
Design			
Construction Document Approval	03/12/2004		
Bidding			
Bid Opening	04/23/2004		
Construction			
Award of Contracts	05/20/2004		
Construction Start	06/01/2004		
Completion	08/31/2004		

OARDC Lighting Upgrades - Gerlaugh & Research Operations



Office of Business and Finance
Office of Facilities Planning and Development

February 14, 2004

OUTPATIENT SERVICES - 2050 KENNY ROAD

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

OSU Health System

How does this project advance the Academic Plan?

The Outpatient Services at Kenny Road facility has been very successful for the Medical Center in extending services to the community. These clinical programs support both clinical and research plans. Specifically this request will support the Wright Center for Innovation grant for radiology research. These programs provide excellent opportunities for educating health science students.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Renovation of existing spaces at the 2050 Kenny Road facility to include the Wright Center for Innovation grant, additional health care services, additional parking facility, and renovations yet to be defined.

Preliminary Cost Estimate:

\$13,000,000

Proposed Funding Source:

Research grant funds and 2003 Bond Funds

Outstanding Funding Issues:

none

Timing Issues:

Design Services Completion – open ended

Construction Completion – open ended

“Ripple effects” of the project:

none

Special limitations/risks:

Managing umbrella projects.

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): CAMERA CENTER, J LEONARD (L)

Gross Sq. Ft.28,635 Age:

Description: Renovation of existing spaces at the 2050 Kenny Road facility to include the Wright Center for Innovation grant, an endoscopy and ambulatory surgery suite, addition parking facility, and additional smaller renovations.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Captain: Richard Van Deusen
(van-deusen.2@osu.edu)

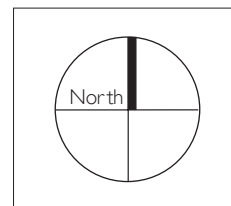
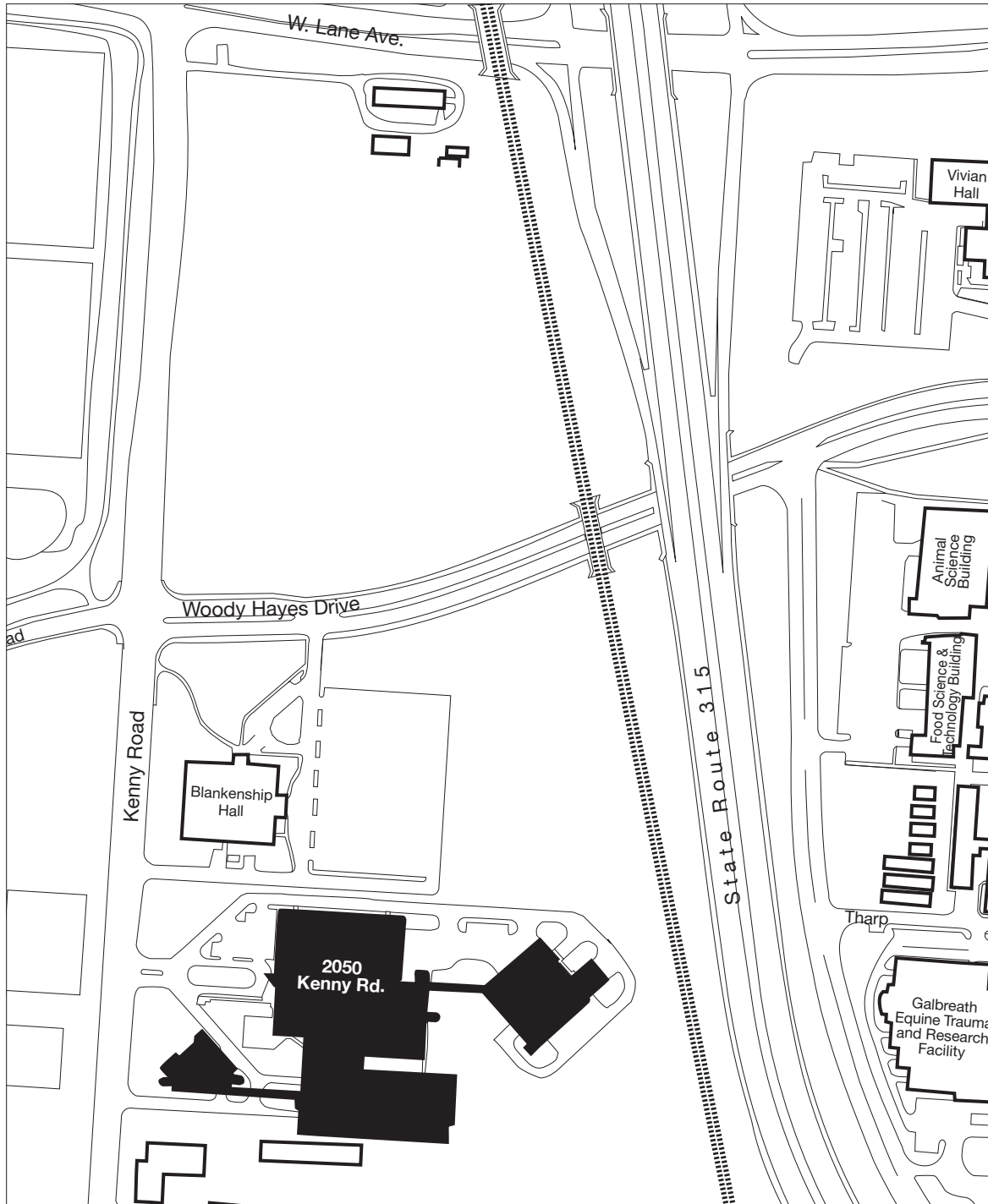
Project Assistant: Curtiss Ashley
(ashley.6@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Future Univ. Bond	\$8,400,000.00	\$8,400,000.00				
Proceeds						
Board of Regents	\$4,600,000.00	\$4,600,000.00	Total:			
Total:	\$13,000,000.00	\$13,000,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	03/05/2004		

Outpatient Services - 2050 Kenny Road



Office of Business and Finance
Office of Facilities Planning and Development

February 12, 2004

THIRD FLOOR RENOVATION - CRAMBLETT HALL

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

College of Medicine and Public Health

How does this project advance the Academic Plan?

As a teaching hospital, the Medical Center serves the institutional, research and service mission of the University. It is vital that facilities remain current in the latest medical and technological advances. These additional spaces will expand our ability to serve the institutional component and research capabilities.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Renovation of existing third floor space in Cramblett Hall for use as faculty offices. The space (approximately 24,000 GSF) will include offices and associated support and staff spaces.

Preliminary Cost Estimate:

\$3,626,800

Proposed Funding Source:

\$3,000,000 - Future University Bond Proceeds with debt service to be paid by University Hospitals (bid favorability from the Ross Heart Hospital)

\$626,800 - University Auxiliary Funds

Outstanding Funding Issues:

none

Timing Issues:

Expected completion date of design – Early 2005

“Ripple effects” of the project:

This project is possible because the current occupants of the third floor of Cramblett are relocating to the 2050 Kenny Road. This space will become office space for cardiology academic units that are relocating from the Davis Heart and Lung Institute. Their relocation is necessary to free up research space for Dr. Zwier’s group to expand within Davis.

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Third Floor Renovation - Cramblett Hall

315-2001-916

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): CRAMBLETT HALL, HENRY (HOSP CLINIC)

Gross Sq. Ft.225,849 Age: 1974

Description: Renovation of approximately 24,000 gsf of space on the 3rd floor of Cramblett Hall. The project will convert existing clinical exam areas into faculty offices. This will free up space in the Davis Heart and Lung Institute for research.

OFP # 03-60

Project Team:

Facility Planner: Is Unassigned

Project Captain: Richard Morse
(morse.68@osu.edu)

Project Assistant: Curtiss Ashley
(ashley.6@osu.edu)

Field Coordinator: Is Unassigned

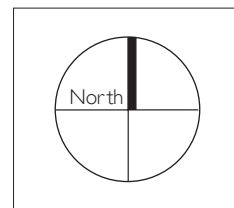
Project Information:

Part of the original scope of the Ross Heart Hospital project. This is now broken out as a separate project and \$3M is transferred to cover this scope.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University	\$626,800.00	\$626,800.00	Total:			
Hospitals						
Development-University	\$3,000,000.00	\$0.00				
Hospitals						
Future Univ. Bond	\$3,000,000.00	\$3,000,000.00				
Proceeds						
Total:	\$6,626,800.00	\$3,626,800.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	03/05/2004		
Design			
Schematic Design Approval	07/15/2004		
Design Dev Document Approval	10/15/2004		
Construction Document Approval	01/15/2005		
Bidding			
Bid Opening	03/15/2005		
Construction			
Award of Contracts	05/15/2005		
Construction Start	06/01/2005		
Completion	01/01/2006		

Third Floor Renovation, Cramblett Hall



Office of Business and Finance
Office of Facilities Planning and Development

February 12, 2004

WATERMAN LAB AND DON SCOTT FIELD BUILDINGS
Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

College of Food, Agricultural and Environmental Sciences

How does this project advance the Academic Plan?

These locations have buildings that are beyond their expected life. This renovation will extend their use in the teaching mission of the College for the short term. The master plan underway is likely to provide for replacement of these buildings but phasing may delay implementation to medium and long-range activities.

Nature of the Project

Renovation of swine, beef, horse and sheep facilities at Don Scott and dairy facility at Waterman Lab including replacement of deteriorated interior and exterior surfaces, replace damaged doors and windows, replace some heating and lighting devises, evaluate electrical systems and fire alarm systems with upgrades/ replacements as necessary for current building code. Replace some cabinets and counter tops, add several Sonnet connections.

Preliminary Cost Estimate:

\$740,562

Proposed Funding Source:

HB675 Columbus Basic Renovation

Outstanding Funding Issues:

none

Timing Issues:

Design Services Completion - July, 2004
Construction Completion - October, 2005

“Ripple effects” of the project:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): FOOD, AGRIC, & ENVIRONMENTAL SCIENCES

Location(s): WATERMAN LABORATORY HEADQUARTERS

Gross Sq. Ft.8,448 Age: 2001

Location(s): Various Locations-Franklin County

Gross Sq. Ft.0 Age:

Description: Renovate several buildings at Don Scott Field (swine facility, beef facility, horse facility and sheep facility) and at Waterman Lab (dairy facility).

Project Team:

Project Information:

Facility Planner: Cheryl Christie (christie.2@osu.edu)

Project Captain: John Frazier (frazier.2@osu.edu)

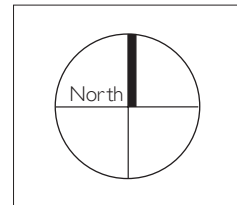
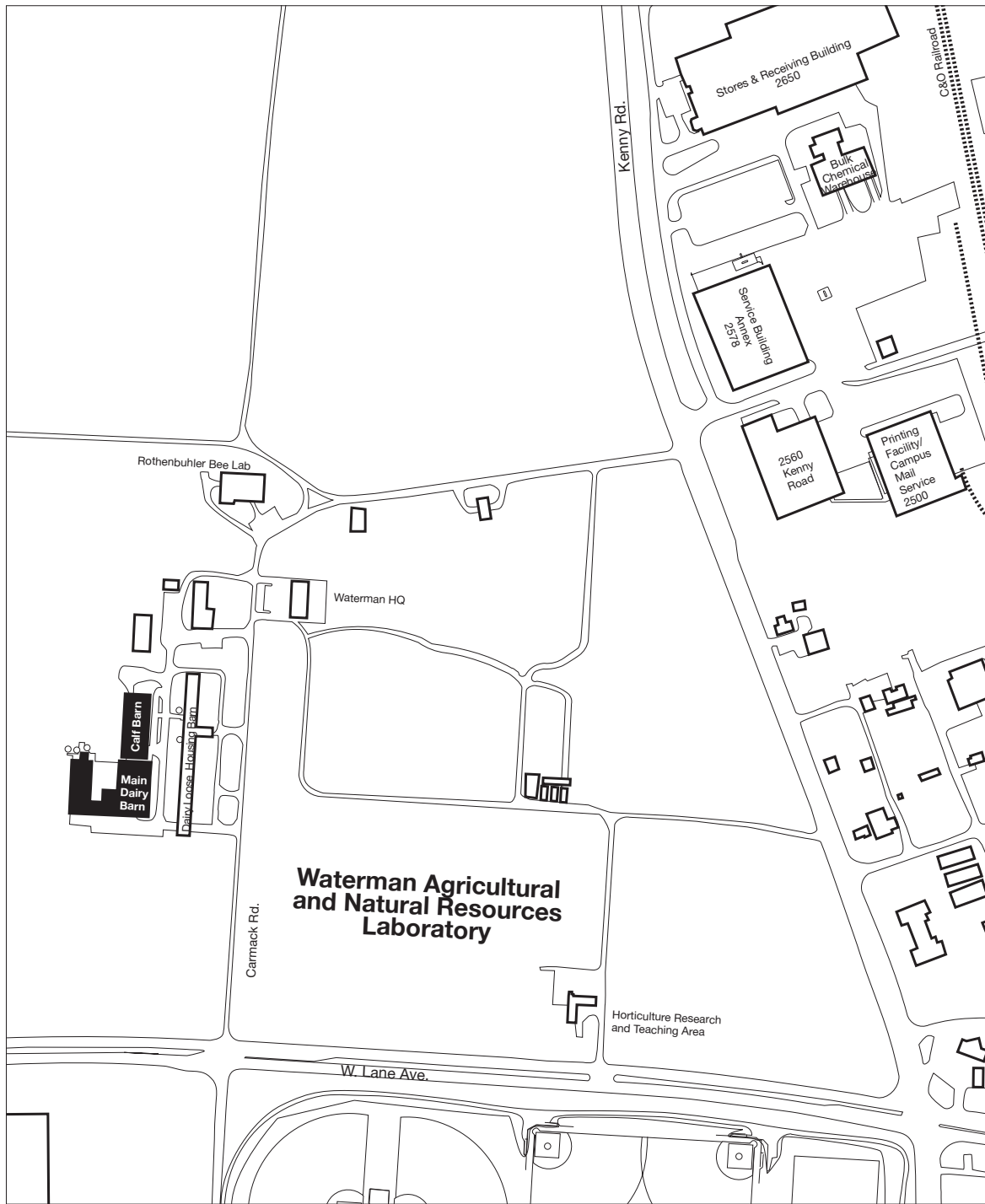
Project Assistant: Leeanne Chandler (chandler.63@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic	\$740,562.00	\$740,562.00	Total:			
Renovation						
Total:	\$740,562.00	\$740,562.00				

Schedule:	Projected	Revised	Actual
Design			
Arch/Engr Contract (\$740,562 project)	03/05/2004		
Construction Document Approval	07/15/2004		
Construction			
Completion	10/31/2004		

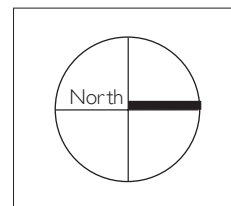
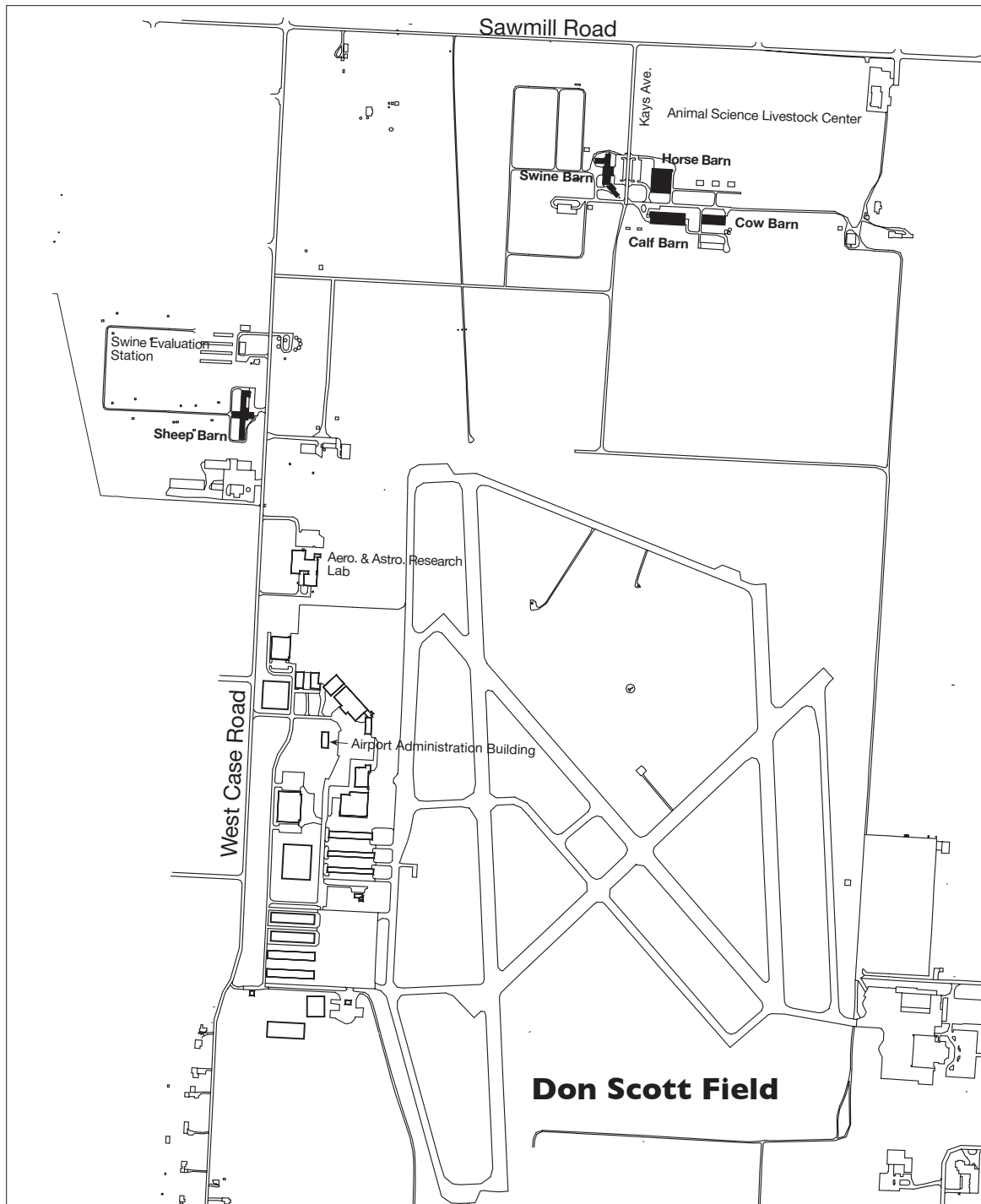
Waterman Lab



Office of Business and Finance
Office of Facilities Planning and Development

February 14, 2004

Don Scott Field



Office of Business and Finance
Office of Facilities Planning and Development

February 20, 2004



Requesting Agency(s): PHYSICAL FACILITIES

Location(s): Unidentified Street-Col.

Gross Sq. Ft.0 Age:

Description: The project is to replace the asphalt pavement with concrete on two "S" shaped curved sections on Cannon Drive near the Woody Hayes Drive intersection. In addition, the project will address issues such as surface drainage and damaged curbs in the vicinity areas. The project is needed because existing asphalt pavement has become warped by the centrifugal force of bus traffic and is beyond economical repair.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Bo Zhang
(zhang.403@osu.edu)

Project Assistant: Karen Cogley
(cogley.1@osu.edu)

Field Coordinator: Glenn Gerhart
(gerhart.1@osu.edu)

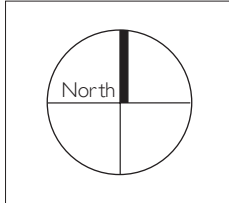
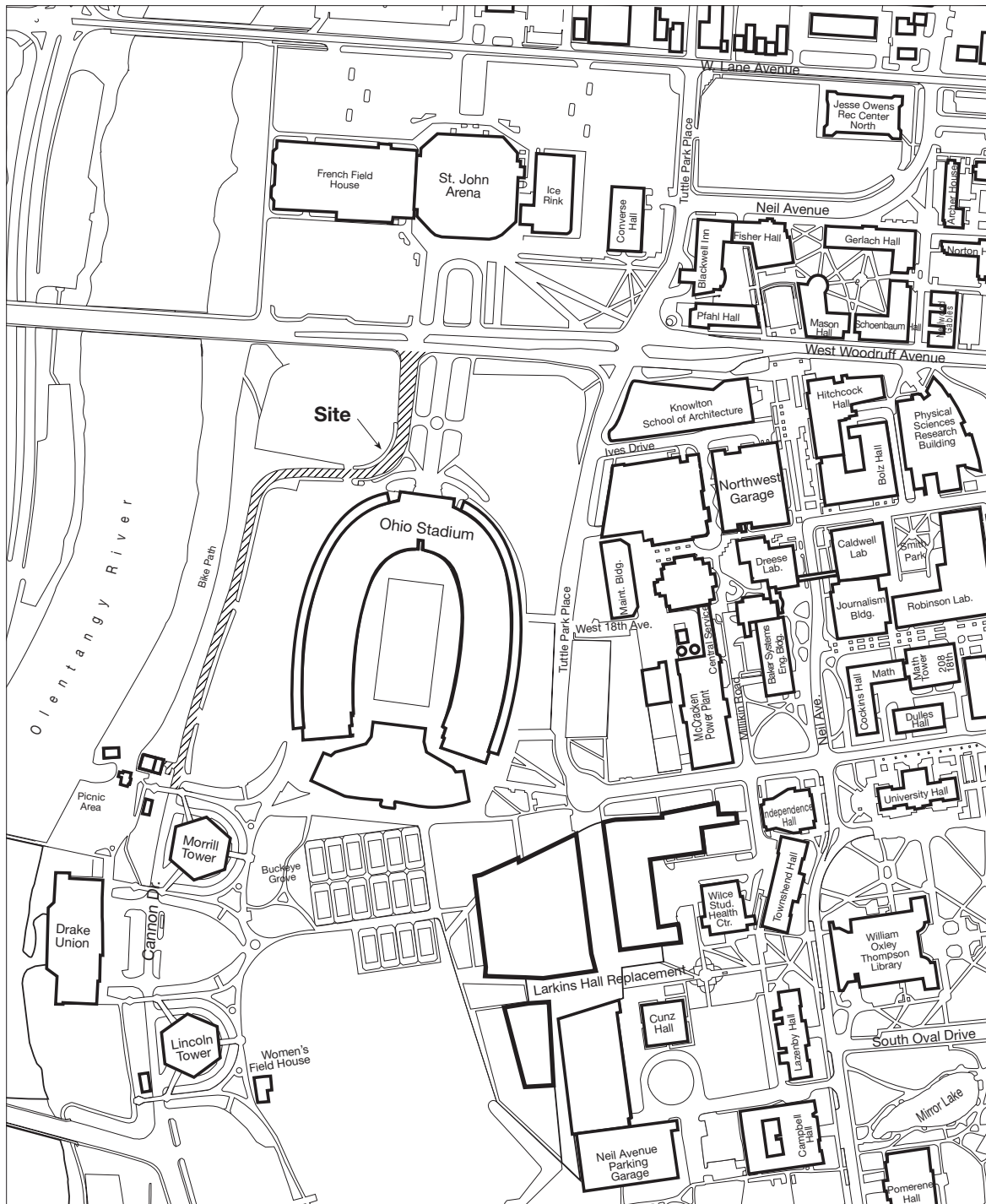
Project Information:

Anticipated to be designed by University personnel.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$270,000.00	\$270,000.00	Total:			
Total:	\$270,000.00	\$270,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$270,000 project)	12/05/2003		12/05/2003
Bidding Approved B/T (\$270,000 project)	08/01/2003	03/05/2004	
Design			
Design Dev Document Approval	04/17/2003	02/16/2004	
Construction Document Approval	06/16/2003	03/01/2004	
Bidding			
Bid Opening	09/14/2003	04/14/2004	
Construction			
Construction Start	11/13/2003	06/14/2004	
Completion	03/12/2004	10/27/2004	

Cannon Drive - S Curve Rehabilitation



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2003



Neil Avenue Corridor/Twelfth Avenue Improvements

315-2003-918

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): Unidentified Street-Col.

Gross Sq. Ft.0 Age:

Description: Street and sidewalk upgrades to improve traffic flow and enhance pedestrian safety. Includes signaling, lighting, bus drop-off, and planting. Improvements to 12th Avenue include widening the street to 30 feet with one east-bound lane and one west-bound lane, additional turn lanes at Cannon Drive, pedestrian crossings and safety improvements, and additional signalization.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Gary Collier
(collier.26@osu.edu)

Project Assistant: Curtiss Ashley
(ashley.6@osu.edu)

Field Coordinator: Is Unassigned

Project Information:

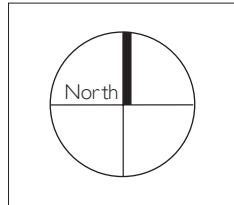
This project combines the Neil Avenue Corridor project with the Twelfth Avenue Improvement project. The Neil Avenue Corridor was approved by the Board of Trustees 5/2/03 to hire an architect for a \$750,000 project.

Combining the project with the 12th avenue improvements (formerly project 5062-PF07510) brings the project total to a range of \$2.5 million - \$2.75 million.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Centrally Funded Capital	\$0.00	\$1,975,000.00	Design	\$298,675.00	\$298,675.00	\$0.00
Projects			Construction	\$2,110,373.00	\$2,153,478.80	\$0.00
Future Univ. Bond	\$1,750,000.00	\$0.00	Contingency 1	\$284,296.00	\$240,544.02	\$0.00
Proceeds			Local Administration	\$31,656.00	\$32,302.18	\$0.00
HB675 Columbus Basic	\$750,000.00	\$750,000.00	Total:	\$2,725,000.00	\$2,725,000.00	\$0.00
Renovation						
Total:	\$2,500,000.00	\$2,725,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$750,000 project)	05/02/2003		05/30/2003
Arch/Engr Approved by B/T (\$2.5 mio - \$2.725 mio)	09/05/2003		09/05/2003
Bidding Approved B/T	02/06/2004	03/05/2004	
Design			
Schematic Design Approval	08/20/2003	10/10/2003	10/13/2003
Design Dev Document Approval	10/20/2003	11/21/2003	10/25/2003
Construction Document Approval	12/12/2003	11/26/2003	12/07/2003
Bidding			
Bid Opening	02/12/2004	02/02/2004	01/23/2004
Construction			
Construction Start	06/23/2004	04/01/2004	
Completion	10/27/2004	07/31/2004	
Report of Award to B/T	12/03/2004	08/09/2004	

Neil Avenue Corridor / Twelfth Avenue Improvements



Office of Business and Finance
Office of Facilities Planning and Development

August 22, 2003



Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): UNIVERSITY HOSPITALS EAST

Gross Sq. Ft.465,711 Age: 1966

Description: Relocation of the emergency department to be better situated on the East Hospital Campus to accommodate the increase in emergency patient volume. The project consists of the addition and renovation of 15,000 square feet of new and existing clinical space for patient treatment and support.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Richard Van Deusen
(van-deusen.2@osu.edu)

Project Assistant: Curtiss Ashley
(ashley.6@osu.edu)

Field Coordinator: Pat Cuthbert
(cuthbert.8@osu.edu)

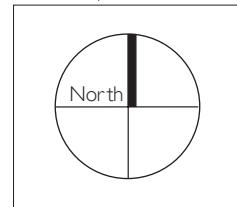
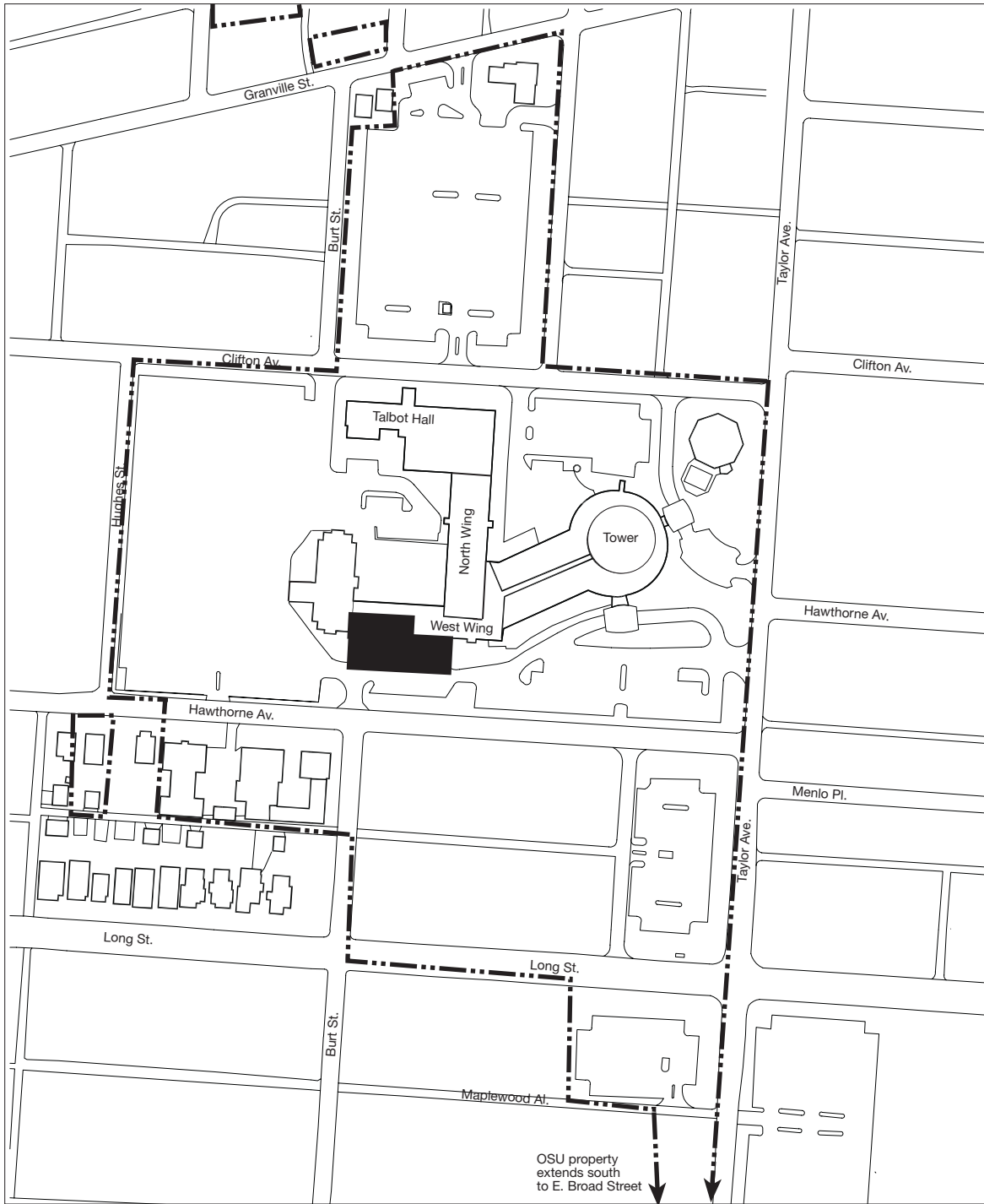
Project Information:

Construction bids were unfavorable, requiring an increase in the budget to \$5,215,222.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University	\$4,000,000.00	\$1,215,222.00	Total:			
Hospitals						
Treasurer's Debt Service	\$0.00	\$0.00				
Pool Loan						
Future Univ. Bond	\$0.00	\$4,000,000.00				
Proceeds						
Total:	\$4,000,000.00	\$5,215,222.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$4,000,000 Project)	05/03/2002		05/03/2002
Bidding Approved B/T (\$4,787,564 project)	11/03/2003		11/07/2003
Bidding Approved B/T (\$5,215,222 project)	03/05/2004		
Design			
Arch/Engr Contract	09/30/2002	01/15/2003	01/03/2003
Schematic Design Approval	12/15/2002	01/30/2003	05/29/2003
Design Dev Document Approval	03/15/2003	07/10/2003	07/24/2003
Construction Document Approval	06/15/2003	11/15/2003	12/17/2003
Bidding			
Bid Opening	07/30/2003	01/27/2004	02/06/2004
Construction			
Award of Contracts	09/10/2003	03/19/2004	
Construction Start	09/15/2003	03/26/2004	
Completion	04/15/2005	03/25/2005	

University Hospitals East - Emergency Department



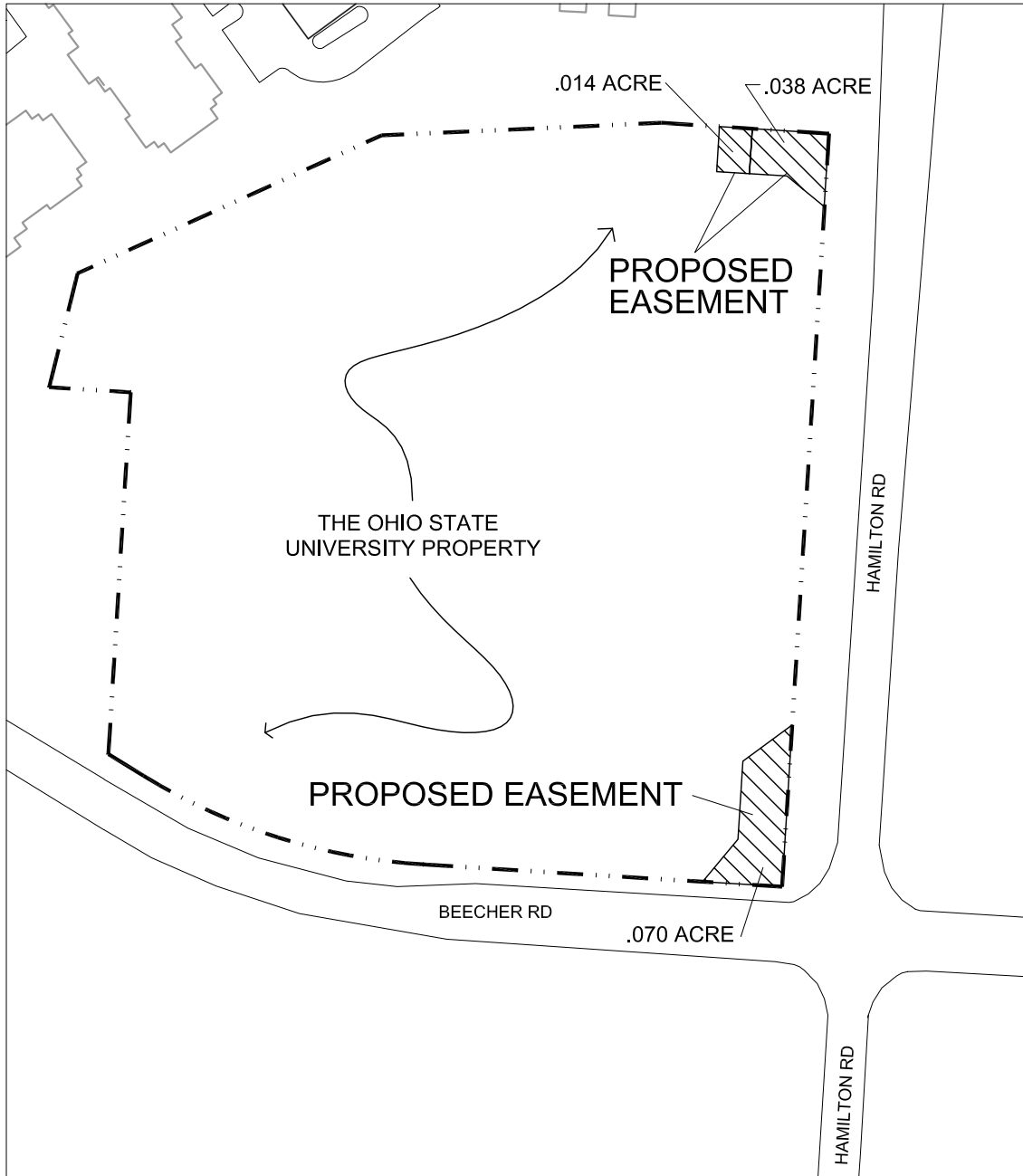
Office of Business and Finance
Office of Facilities Planning and Development

October 17, 2003

**ROADWAY EASEMENT
CITY OF GAHANNA, COUNTY OF FRANKLIN, OHIO**

The Gahanna City Engineer is requesting that the University grant a perpetual roadway easement to the City of Gahanna over approximately 0.122 acres of University land located at the northwest intersection of Hamilton and Beecher Roads in the City of Gahanna. The purpose of this perpetual easement would be for construction and operation of certain roadway improvements related to the widening of Hamilton and Beecher Roads. This easement would be granted pursuant to the provisions of Ohio Revised Code Section 3345.18, which provides that the University, on behalf of the State, may grant licenses and easements for roadway purposes.

ROADWAY EASEMENT TO THE CITY OF GAHANNA (.122 ACRE)



No True Scale

Office of Business and Finance

March 5, 2004

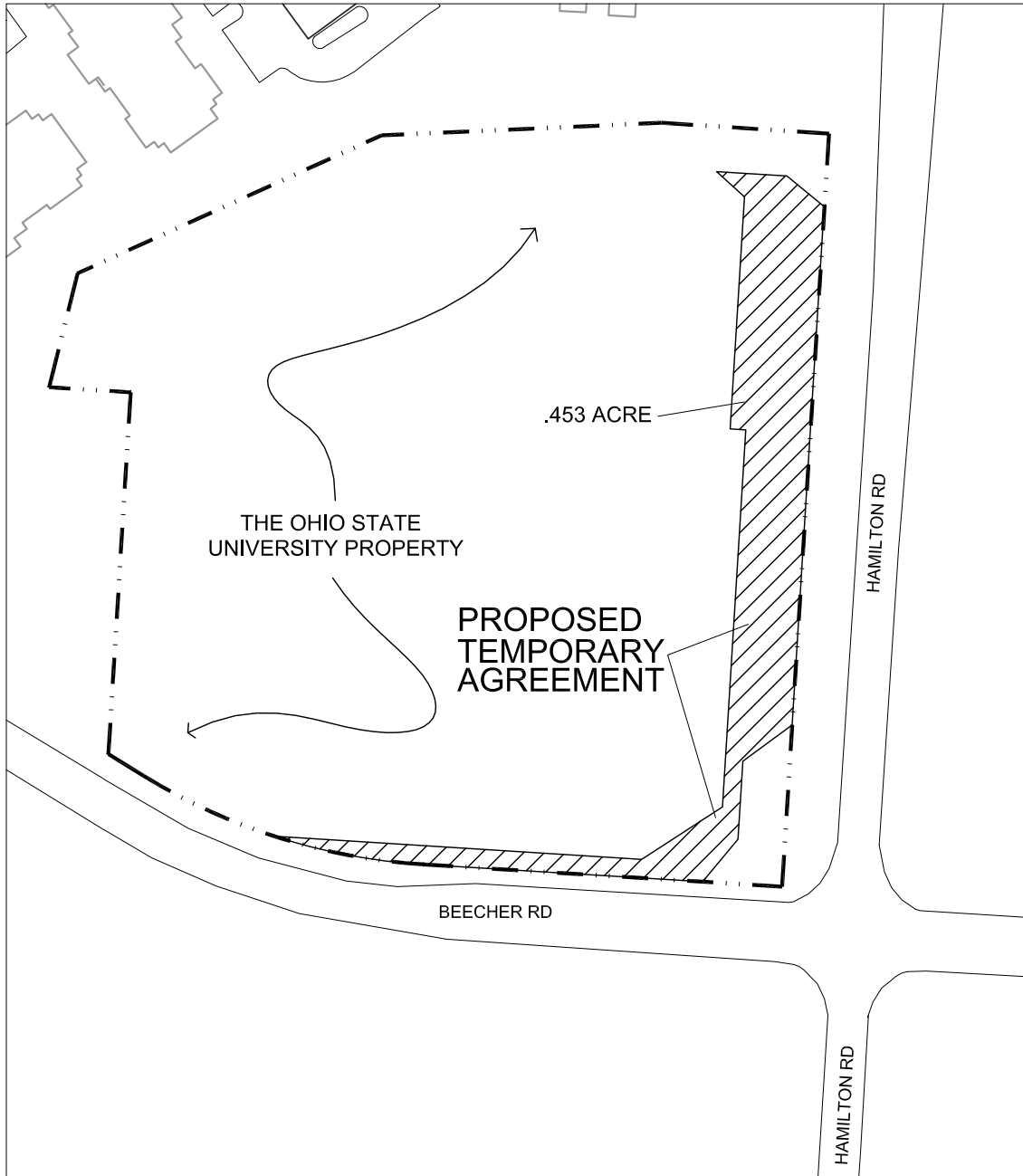


Map Provided by University Engineer's Office

**TEMPORARY LICENSE AGREEMENT FOR ROADWAY IMPROVEMENTS
CITY OF GAHANNA, COUNTY OF FRANKLIN, OHIO**

The Gahanna City Engineer is requesting that the University grant the City of Gahanna a temporary license to use approximately 0.453 acres of University land at the intersection of Hamilton and Beecher Roads located in the City of Gahanna. The purpose of the temporary license is for use of the property as a staging and lay down area in connection with the widening and improvement of Hamilton and Beecher Roads. This license would be granted pursuant to the provisions of Ohio Revised Code Section 3345.18, which provides that the University, on behalf of the State, may grant temporary licenses and easements for roadway purposes.

TEMPORARY LICENSE AGREEMENT TO THE CITY OF GAHANNA (.453 ACRE)



No True Scale

Office of Business and Finance

March 5, 2004



Map Provided by University Engineer's Office

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND FOUR HUNDRED AND SECOND MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, April 2, 2004

The Board of Trustees met at its regular monthly meeting on Friday, April 2, 2004, at The Ohio State University Faculty Club, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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April 2, 2004 meeting, Board of Trustees

The Chairman, Mr. Sofia, called the meeting of the Board of Trustees to order on April 2, 2004, at 10:30 a.m. He requested the Secretary to call the roll.

Present: Zuheir Sofia, Chairman, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Douglas G. Borrer, Wally W. O'Dell, and Emily M. Quick.

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CHAIRMAN'S REPORT

Mr. Sofia:

Good morning. I would like to announce -- and have the secretary record in the minutes -- that our vice chair Tami Longaberger is unable to be with us today because she is serving on the United States Delegation to the 60th Session of the United Nations Commission on Human Rights in Geneva, Switzerland. We look forward to hearing about her experience when she returns and she sends you her best. We are honored at Ohio State to have Tami in this distinguished position, which was made by the President of the United States.

We have a very full agenda today with a number of critical reports, including a presentation about The James Cancer Program Expansion and the president will be providing the context for this critically important report in her remarks. I wish to call your attention to two other matters on today's agenda: the report on Compensation Benchmarks and an update on the Federation of the Colleges of the Arts and Sciences.

It has been one of the major, on-going goals of the University to move its compensation to be more competitive with our peer institutions. The University administration has worked diligently at this task over the last two years. An extremely careful marshalling of resources and an aggressive program of internal reallocation have allowed us to make some headway on this critical issue even during this period of uncertain state financial support. There is no question that compensation is an important factor in attracting and retaining the high quality of faculty and staff that will sustain our drive for excellence.

Later this morning we will be getting an update from executive dean Michael Hogan on the progress in the Federation of the Colleges of the Arts and Sciences. This Board, as well as the president and the interim provost, have expressed on several occasions their commitment to this Federation as a key factor in sustaining and enhancing the excellence in the core of this University.

As I have noted before, the Colleges of the Arts and Sciences include a number of the University's most highly ranked programs. In addition, it is important to remember that the colleges in this Federation teach 60 percent of all credit hours at the University, produce nearly 50 percent of all B.A. degrees, and award fully 50 percent of all Ph.D. degrees. The Arts and Sciences account for 75 percent of all honors credit hours and 70 percent of all honors students by head count in honors courses. Seventy percent of the Distinguished University Professors in the last ten years have come from the Arts and Sciences. Two-thirds of the University Distinguished Scholars Award winners, three-fifths of the Alumni Distinguished Teaching Award winners, and three-fifths of the University Service Award winners have come from the Colleges of the Arts and Sciences. As you can see, the Arts and Sciences are not only at the center of this University, but also critical to achieving excellence as set forth in our Academic Plan.

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PRESIDENT'S REPORT

President Karen A. Holbrook:

I think most of you know that I try to tailor my remarks to something that is relevant to the meeting of the day and around people – our faculty, staff, and students – and for good reason. People are certainly our greatest asset at this University, but in order to recruit and retain the very best people, we have to have updated, attractive facilities and meaningful programs. As our chairman has pointed out to you, today is a special day because we will be talking about a new project that we are very excited about. And I am going to focus on the programs and the facilities that embody the priorities of the Academic Plan and that help us to continue to attract the top candidates to our University.

Most of our new or remodeled facilities -- and we do a lot of remodeling as well as building -- are planned to enhance the quality of the teaching and learning environment. The centerpiece of this effort, of course, is the renovation of the Thompson Library, which will house our print collection as it does now, provide access to the latest digital-based information systems, and provide spaces for research, study, and group learning. It will be truly a library for the 21st century.

In September we dedicated the Veterinary Medicine Academic Building, which houses classrooms, laboratories, offices, a library, and some wonderfully inviting gathering spaces for students and faculty in our very highly ranked College of Veterinary Medicine. All one has to do is go over there during the day and see how much students are enjoying that space that is available to them for socialization.

The new home of the Knowlton School of Architecture will provide classroom, studio, and office space, a library, a landscape architecture garden, for students and faculty in landscape architecture and city and regional planning. A testament to that building being an advantage to students was given last year at one of the football brunches, when students who are in that school stood up and talked about what it means to them to have this phenomenal facility coming online.

A renovated Hagerty Hall will open in early 2005 as home to the World Media and Culture Center. Classrooms will have the latest technology for teaching and learning languages and cultures from around the globe. Also, office space for a number of the language and literature departments in the College of Humanities will be included in this building.

We broke ground last fall on the Scott Mechanical Engineering Lab that will also provide classrooms, offices, student lounge space, and laboratories, as well as a distance-learning center for students and faculty. Also, we will begin construction in a few weeks on the Psychology Building. Again, one of our Selective Investment departments and home to more undergraduate majors than any other department on campus.

Renovation of Jennings Hall will begin in the next year to provide classrooms and labs for students in the College of Biological Sciences. It will also be home to the Mathematical Biosciences Institute, a very unique, one-of-its-kind interdisciplinary facility funded by a \$10 million grant from the National Science Foundation.

Some of our other new facilities are also designed not simply for the classroom purposes, but to better serve the extra-curricular and the co-curricular activities of our students. The first new residence hall in years opened this fall. The Worthington/Neil Scholars Complex provides housing for graduate and professional students and undergraduate students in two of our Living/Learning Programs.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

The Recreation and Physical Activity Center, set to open next year, will include a Student Wellness Center, plenty of fitness space, and will be home to the aquatics teams for the Department of Athletics. It, too, being a co-curricular space, will house classrooms and offices for the School of Physical Activity and Educational Services.

A new student union, still in its early planning stages, will accommodate student services, student organizations and activities, student lounges and study space, and will also be home to the Multicultural Center and the Ethnic Student Services offices. You will hear more about that in the months to come.

Other facilities have been designed for faculty and student research. The Aronoff Laboratory was dedicated in September, with laboratories, cold rooms, dark rooms, and instrumentation rooms. Great space for undergraduate students, faculty, and graduate students in the College of Biological Sciences. Also, we have broken ground on the 10-story Biomedical Research Tower, which will be a state-of-the-art research facility to double the amount of research space devoted to biomedical research. Space that is absolutely critical if Ohio State is to become a leader in this area.

But great facilities alone are not enough to attract the best and brightest of our faculty, students, and staff to Ohio State. We have to have great programs. We have recently undertaken a number of programmatic initiatives to enhance the teaching and learning environment, and to better serve our students.

We have invested in support for academic computing, increased the number of technology-enhanced classrooms, provided web-based instructional tools for both traditional courses and distance learning courses, and are helping faculty learn how to use instructional technologies in their classes. This Wednesday, we opened the Digital Union, a very exciting, fairly large – it needs to be larger -- laboratory that was created by a partnership between the Office of the Chief Information Officer and the University Libraries, for faculty and students to explore the latest technology for teaching and learning. If you have time to visit it, by all means do. You will see an extraordinarily exciting facility.

We have expanded the number of Living/Learning Programs that allow students who share common academic, leadership, or cultural interests, or who participate in a scholars program, to live in the same community. We take for granted that 40 programs are there for our students. If you look around the nation at other universities, you will see that 40 programs are an extraordinary number and we keep considering new ones.

Several of our new programs were designed to create a diverse university community. The Multicultural Center, established in 2001 by the Offices of Academic and Student Affairs, provides innovative programming to facilitate cross-cultural education, appreciation, and interaction. The Kirwan Institute for the Study of Race and Ethnicity opened last year. Its goal is to study reasons for and potential solutions to racial and ethnic disparities and hierarchies in our society. In its first year, the Institute hosted two major conferences and started a very important national initiative on structural racism.

You will hear shortly about two other important University initiatives -- one focused on the Arts and Sciences and the other within the Medical Center. Both of these initiatives having very broad University-wide impact.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

Today, executive dean Michael Hogan will update us on the progress made during the first nine months of the new Federation of the Colleges of the Arts and Sciences. I think all of you know, Dean Hogan will be leaving Ohio State to become provost at the University of Iowa on June 1. I will join Chairman Sofia in commending Mike later in the meeting, but I want to personally thank Dean Hogan for his outstanding service to the University as chair of the Department of History, dean of the College of Humanities and, most recently, executive dean for the Colleges of the Arts and Sciences. Thank you, Mike.

The final presentation in today's meeting will feature Drs. David Schuller and Michael Caligiuri, senior vice president and dean Fred Sanfilippo, and senior vice president Bill Shkurti as they describe the proposed expansion of our cancer program. I think you are going to see that this plan mirrors what is being accomplished in many other areas – the combination of state-of-the-art facilities and first-rate programs that will allow us to attract top teaching and research talent, educate outstanding students, and serve the health care needs of our community, the state, and beyond.

I would like to close -- going back to people, because I still think people are what count -- with two points. First, let me say that we are very grateful to the Governor in his recent cuts that he exempted the state share of instruction. This is very important to us and, while we sustained other cuts, we are grateful that the state share of instruction has remained intact.

Second, this is the time of year we commend faculty and students for their successes and I am going to mention just one faculty achievement that I think is extraordinary. The 2004 Stockholm Water Prize that has been awarded to Dr. William Mitsch of the Olentangy River Wetland Research Park. This is a prize that is really unparalleled among prizes, equivalent to a Nobel Prize in his particular field.

We are just beginning to see the student awards come in and they will precede all the rest of Spring Quarter and we will share them much later with you. We already have three Goldwater Scholars, an honorable mention for another one, and a Mellon Scholar who has been identified. So we are going to see more of those awards come in and we will keep telling you about them.

You may have noticed that in the last couple of weeks we have had a number of athletic events on campus and in the community. I want to commend Andy Geiger and, particularly, Richelle Simonson -- in partnership with Nationwide -- in planning the NCAA Men's Regional Basketball games. During the basketball games we transplanted the Ohio State floor from the Value City Arena in the Schottenstein Center to the Nationwide Arena and that showed up very nicely on television.

We were also host in the Schottenstein Center to the high school boy's state basketball championship, and in St. John Arena we had the first two rounds of the NCAA Women's Basketball championship games. This is incredible. During that time we also conducted a graduation. They took down the floor one night, worked all night so we could graduate our students the next day, and then put the floor back.

I think we really owe a debt of gratitude to Richelle Simonson and Andy Geiger for making those kinds of things happen with relative ease – I am sure it was not with ease. It shows what we can do when we need to do it for the good of everybody.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

Finally, I want to end with a story that connects us to our recent commencement and reminds us that our graduates follow many paths after they leave this University. Many members of the Board and the audience may remember Josh Mandel, who served two terms as USG president from 1998 to 2000. Even before graduation, Josh had enlisted in the Marine Corps. He was then in active duty reserves and simultaneously pursued his law degree at Case Western Reserve University. Josh recently wrote to some of his University friends from Iraq, where he is serving as a corporal, saying that "Things here are pretty intense but going well. I'm with a great group of Marines and everyone is focused on the mission at hand."

As we go about our daily routines, I think we need to remember with pride the many Buckeyes who serve their country with great pride and honor.

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STUDENT RECOGNITION AWARD

Ms. Quick:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of the student's achievement in his or her area of study, service to the University and/or the community, and/or research achievements that have been a credit to the college and the University.

This month's recipient is Christopher Alvarez-Breckenridge, who was nominated by dean Joan Herbers from the College of Biological Sciences. Christopher is a third-year student, double majoring in biology and classics. He was admitted to the OSU College of Medicine and Public Health's Early Admission Pathway program directly out of high school and is now preparing to pursue a combined M.D./Ph.D. degree.

Christopher has been working in the Human Cancer Genetics Program since 1999 and is currently writing his honors thesis on "The Role of a Tumor Suppressor Gene in Normal and Cancerous Cells." He has presented at national conferences of the American Society of Human Geneticists and the American Association of Cancer Researchers. He is also a National Merit Scholar and a Barry M. Goldwater Scholarship recipient.

While maintaining his perfect GPA here at OSU, Christopher is the president of the Association of Ohio State Class Honoraries, the vice president of communications for his fraternity, Sigma Phi Epsilon, and a member of the Order of Omega.

Today dean Joan Herbers accompanies Christopher, along with David Stetson, his advisor from honors biology, and his mother, Carmen Alvarez-Breckenridge, an integral member in OSU's Multicultural Center.

Christopher is making great strides in cancer research, impacting both The Ohio State University and the community. His research is widely known and praised here -- in our very own Denman Undergraduate Research Forum competition, where he won second place last year -- and continuing all the way up to national levels. He is dedicated to The Ohio State University and committed to excellence in his field and service.

STUDENT RECOGNITION AWARD (contd)

Ms. Quick: (contd)

Christopher, I am very pleased to present this award to you today, on behalf of the Board of Trustees. You are truly an outstanding student. Congratulations.

Mr. Christopher Alvarez-Breckenridge:

First of all, I would like to thank the Board of Trustees for this wonderful award and Dean Herbers for her very kind nomination. This is a very much unexpected surprise.

Coming to Ohio State has been one of the greatest experiences in my life thus far. As President Holbrook said, one of our greatest assets is our people. I can only wholeheartedly agree with that. Thinking about the professors that I have been able to interact with and the opportunities they have provided me with to present my research, to get this involved with things I would have never expected to with my teachers, and then the undergraduate students who have challenged me, lifted me up, and been some of my dearest friends, to being able to be in a cutting-edge field where I am learning about how genes and cancer is evolving and communicates in cells -- I never expected that I would be able to do all of this.

Again, I just want to thank the Board, President Holbrook, and the dean for this great honor. Thank you very much. I would also like to thank my mom, who is here with me this morning.

Mr. Sofia:

Congratulations, again, Christopher. Good luck to you.

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COMPENSATION BENCHMARKS REPORT

Interim Provost Barbara Snyder: [PowerPoint Presentation]

First I would like to introduce my colleagues who are with me this morning, Larry Lewellen, associate vice president for Human Resources, and J Henderson, director of Compensation. This is our annual report to the Board on the status of compensation and benefit programs and on our competitiveness. This information that we are going to present to you today also sets the stage for our compensation planning process for the coming year. I am pleased to be able to report that we achieved very positive momentum with last year's compensation increases and we hope to be able to continue that progress despite our budget challenges.

You see now the questions we are going to be attempting to answer with this report: about our competitiveness, about the status of graduate associates, what is happening with our benefit programs, what preview information we have from other institutions on raises for the coming year, and some reflections on our compensation initiative priority.

Now I am going to ask Larry and J to start answering these questions.

COMPENSATION BENCHMARKS REPORT (contd)

Mr. Larry Lewellen:

The first slide shows a five-year history of salary increase budgets for each institution. The sections in blue are years with a salary budget of five percent or more for our competitors and the scarlet bar represents OSU's five-year history. You can see our five-year history of salary increase budgets is right at the benchmark average, about 0.2 percent above, and our comparison is still affected by the very small increase we had to experience three years ago.

The next slide is the same chart, but focuses only on the last two years. As our honorable chairman and Interim Provost Snyder mentioned, we have made great progress in the last two years -- the period when we have been most active with our compensation initiative. You can see our salary budgets were the highest among our benchmarks during that period.

This switches to a ranking of actual salary, so, accordingly, you can see we have improved our competitive faculty salary ranking among the benchmark institutions by three places. The top chart shows our ranking two years ago, in eighth place. The bottom chart shows our ranking this year in fifth place. We passed the Universities of Texas, Wisconsin, and Minnesota and are now 1.7 percent below the benchmark average.

Another view of faculty competitiveness is our ranking among the AAU institutions -- Association of American Universities, a national grouping of approximately 60 premier public and private institutions. At the top of the page, you will notice that fifteen years ago we were very close to the top third; now we are right in the bottom third. Given that these institutions are largely where we recruit from and faculty get recruited to, we still have work to do on faculty compensation competitiveness.

At this point, I am going to turn it over to J.

Mr. J Henderson:

The next chart is focused on staff competitiveness and is arranged by occupational category as defined by the Department of Labor. We focus on job titles when we actually manage salaries at the University, but for the annual reporting purpose, these large groupings actually do a good job in showing the University's progress.

As Larry just said, staff salary competitiveness has improved during the compensation initiative as well as the faculty. Previously, staff were about 16 percent behind our benchmarks markets and now we are about 9.5 percent behind. Obviously we still have some work to do, but at least we are making some progress.

This chart shows our salaries for a selection of job classifications compared to the state government of Ohio. As you can see, OSU salaries trail by approximately 9.8 percent. These positions were selected because these are some of the classifications where we have the largest number of staff at The Ohio State University.

Comparing staff salaries with the state government is another important view of competitiveness, as the state government is a very large local competitor of ours in the local market. It is also important to make ongoing comparisons, as we have similar responsibilities, as do other Ohio employers.

COMPENSATION BENCHMARKS REPORT (contd)

Mr. Henderson: (contd)

This slide focuses on graduate associates, showing our level of net economic support. Net economic support is defined as the following: it is a combination of stipends provided and health care subsidy provided, minus any instructional fees that our graduate students would have to pay. You can see we do not rank well among the grouping of our benchmark peers and public CIC institutions. We began to examine this several years ago.

Three years ago, the graduate associate financials support was an outcome of the G-QUE -- Graduate Quality University Experience -- report. We made certain improvements, but they were not sufficient to really make a lot of significant progress. Fortunately, the provost and deans have just approved a significant new package of improvements for the next two years in the areas of increasing the minimum stipends, health care subsidy, pre-tax payment in health care premiums, and other improvements. These improved policies formalize positive workplace practices in some of our best departments.

This slide returns to an analysis of last year's raise process for faculty and staff. We were very concerned about attracting and maintaining a diverse faculty and staff. Two years ago, we began to measure overall outcome of salary increases by gender and ethnicity. We are very pleased to be able to report to you that the compensation distribution practices within our colleges and departments are very consistent among all groups -- minority, non-minority, and gender. Overall, we actually have advantaged women and minorities this previous year.

We believe this is a continuing effort of our college and unit leaders to address historical differences in salaries. This is a great, great thing for us to be able to report to you today.

Now I will turn it back over to Larry.

Mr. Lewellen:

This next slide shows the annual health care costs for our faculty and staff. Although our health care costs have increased significantly over the past few years -- part of a national trend -- we are still very competitive with other universities.

This slide compares us with the AAU institutions that participate in an ongoing benchmarking process for benefit programs. You can see our cost per person is slightly below the mean for the institutions listed. We feel positive about this, as we have done a great deal of benchmarking on the level of benefits provided and we know that our benefit designs compare very favorably. This positive cost comparison reflects well on our benefit management and on the primary providers, the Medical Center and physicians.

Managing the growth in health care costs, however, still remains an issue. As you know, health care costs are one of the items tagged by the Board for cost management attention by University administration. We have a comprehensive health care redesign process underway involving faculty, staff, internal experts, outside consultants, and Medical Center leaders, where we hope to cut the annual increase trend to less than 10 percent. This process is in very close partnership with the Medical Center and one of the key factors is their evolution toward a personalized, patient-focused model of care.

COMPENSATION BENCHMARKS REPORT (contd)

Mr. Lewellen: (contd)

This next slide shows the early information we have obtained from other institutions and employers on salary increases for the coming year. The top two rows are informal predictions obtained from our IUC sister institutions and from our benchmark institutions. Usually about this time of year these are mostly true; to some degree, institutions like to shade each other a little bit as well. But this information is very useful in our planning process.

The bottom grouping shows survey data gathered by national consultants. The next to the last line reflects that the state government employees will not receive a pay increase this year. You might recall from a previous slide that we are, on average, around nine percent below state government salaries, so this provides an opportunity to close part of that gap.

We have reported on the positive progress we have made in compensation during the past two years. This progress largely came from hard decisions colleges and units had to make reallocating funds and closing positions. We need to consider the effects of reallocation on our faculty, staff, graduate associates, and programs as we plan forward. Compensation remains a high priority, but with full consideration of all the budget and staffing challenges required to achieve progress.

We do continue to face some uncertainties in the level of state support and delayed state budget decision processes. To address the continuing uncertainties, we are making a change in the effective date of our salary increases and benefit changes. This is something that is not noted on the slide. The salary increases this year will follow our past pattern of July increases for 12-month faculty and staff, and October for nine-month faculty. Right now we are finalizing details to move all salary increases next year to October for that year and future years. That schedule places us in a much stronger planning position, where we will have solid information on state appropriations before finalizing plans for salary increases. We will make a special pay adjustment this year to address the effect on future pension calculations and earnings for affected faculty and staff.

Interim Provost Snyder:

This slide summarizes the key messages. We have made very positive progress in salary competitiveness, but we still have a gap to address. We have active projects in place to address GA competitiveness and to improve cost management with our health care plans. We are also pleased that the gender and ethnicity patterns for salary increases reflect very well the University's commitment to diversity.

During this time, we have also surveyed the work life needs of our faculty and staff, and have excellent data on how we can support them in integrating personal, family, community, and professional endeavors. We understand the strong desire for an increased level of professional development activity from both our faculty and staff. We are very close to issuing an action plan based on the data from those surveys.

As we make future plans, we are going to have to be careful about balancing all of these priorities to ensure we have the right formula for attracting, retaining, and maintaining the high commitment of a diverse, world-class faculty, staff, and graduate student workforce.

COMPENSATION BENCHMARKS REPORT (contd)

Interim Provost Snyder: (contd)

Thank you for your time and attention. At this time we would be happy to answer any questions.

Ms. Quick:

What is the benchmark average of benefits for graduate students? How do we compare to that benchmark average for medical benefits?

Mr. Lewellen:

You can see it reflected on one of the charts that we showed, but the issue is subsidy for benefits for graduate associates. When you look at the level of subsidy right now that we have achieved for our graduate associates, we subsidized about 42 percent of the cost of health care this year. That puts us about 12th out of 14th among the benchmark institutions and the public CIC institutions, so we rank low in that comparison. That is why this improvement plan that the deans have approved is very important, because we do rank low.

Mr. Sofia:

In the next three years, can we catch up on all categories especially for our faculty and staff compared to the benchmarks? I know we are making a lot of progress. What kind of time frame do you think we need to get there?

Interim Provost Snyder:

We are working really hard on that and, of course, it is hard to set a target without knowing what other institutions are doing. Right now we are 1.7 percent behind our benchmarks with average faculty salary, which is very close to where we want to be. But we are not stopping; we are continuing our effort to make progress against the market this coming year with our increases.

With our graduate associates we are being very aggressive with a two-year plan that will increase dramatically the subsidy for health care benefits, in particular. That has been an issue for us. We will move from subsidizing at the level of 42 percent to 75 percent over this next two-year period. We are also increasing the minimum stipend for next year, during that same time period.

We are still behind the market with staff. Although if you look at us compared to the State of Ohio, we are a lot closer than we are to the general market in most of those job categories. I would like to tell you that in two years we will be done or really close, if we are not done. So we may be done and we may be competitive, but you cannot stop working at this. This is a continuing effort, because everybody else wants to be competitive as well. It is something we are not going to stop thinking about.

Mr. O'Dell:

How does that reconcile with being so low versus the AAU group? It sounds like we are almost in the bottom third.

Interim Provost Snyder:

We are right at the bottom third of the 60 AAU institutions. That is why we cannot stop -- even if we reach the midpoint of our benchmark peers -- if we want to truly recruit and retain world-class faculty. We are going to have to get higher

COMPENSATION BENCHMARKS REPORT (contd)

Interim Provost Snyder: (contd)

in the AAU ranking. That is a very good thing to focus on, so I am glad you pointed that out. It shows how much work we have yet to do.

Mr. O'Dell:

How far off are we, where we need to be for that group?

Interim Provost Snyder:

In terms of numbers?

Mr. O'Dell:

Are we two percent behind the median? Are we five percent behind?

Mr. Lewellen:

It would actually take somewhere between a 10-15 percent increase to get to the median of that group. You are asking how we reconcile these and the AAU institutions do contain a lot of private institutions. We focus heavily in our benchmark process on premier public institutions, but we also look at the AAUs because we did a study a few years ago to see where faculty come and go from and a lot of it is among those institutions. But the private institutions are what tilt it.

Ms. Hendricks:

Are all of our benchmark institutions also AAU institutions?

Interim Provost Snyder:

Yes, they are all public AAUs.

Ms. Hendricks:

I think what the debate here is, is AAU our benchmark or is our benchmark our benchmark? Is our benchmark reflective of the competitive set that we think is important? Wally, I think that is the question. We do get faculty going back and forth to non-benchmark universities, but I think we have to keep our eye on that, and say, "Do we have the right competitive set?"

Interim Provost Snyder:

I think we need to focus on both groups. The benchmark group that we have identified in the Academic Plan is the appropriate comparison group. The AAU group – Harvard, Johns Hopkins, the University of Chicago, and Duke – is in there, too. So we look at that group, because we do care where we measure up and where we do not, compared to that group. At the same time, I think we focus most heavily with regard to compensation on those public AAUs -- the University of Michigan, the University of Washington, the University of Minnesota, and UCLA. Those are among our benchmark peers and I think that it is the right group for the short-term focus. I think we need to keep our eye on the AAU and that is why we give you that information every year.

COMPENSATION BENCHMARKS REPORT (contd)

Mr. Sofia:

Thank you for a wonderful presentation, Barbara, Larry, and J.

(Compensation Benchmarks on file in the Board Office.)

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CONSENT AGENDA

President Karen A. Holbrook:

We have seventeen resolutions on the Consent Agenda today for your approval.

We are seeking your approval for:

UNIVERSITY HOSPITALS BOARD APPOINTMENTS

Resolution No. 2004-116

Synopsis: Approval of appointments to the University Hospitals Board is proposed.

WHEREAS the Board of Trustees on November 30, 1979, approved the establishment of a University Hospitals Board; and

WHEREAS the membership of the Hospitals Board was approved on April 4, 1980, and has been subsequently amended; and

WHEREAS there are two vacant citizen member positions on the University Hospitals Board:

NOW THEREFORE

BE IT RESOLVED, That the following individuals be appointed as citizen members of the University Hospitals Board for 3-year terms effective:

Edward H. Jennings, March 1, 2004

James B. Bachmann, April 1, 2004

APPOINTMENTS TO THE SELF-INSURANCE BOARD

Resolution No. 2004-117

Synopsis: Appointment of members to the Self-Insurance Board is proposed.

WHEREAS the Board of Trustees on December 6, 2002, approved the expansion of the University Self-Insurance Program to include the faculty physicians and their clinical staff who are employees of Ohio State University Physicians, Inc.; and

WHEREAS the Board of Trustees directed that a Self-Insurance Board be established to oversee the University Self-Insurance Program; and

APPOINTMENTS TO THE SELF-INSURANCE BOARD (contd)

WHEREAS all members of the Self-Insurance Board shall be appointed by The Ohio State University Board of Trustees upon recommendation of the President:

NOW THEREFORE

BE IT RESOLVED, That the following individuals be appointed as members of the Self-Insurance Board for the term May 1, 2004, through April 30, 2005:

Galen Barnes
Christopher Ellison, M.D.
R. Reed Fraley
Dimon R. McFerson*
Hagop Mekhjian, M.D.
James L. Nichols

BE IT FURTHER RESOLVED, That this appointment entitles the members to any immunity, insurance or indemnity protection to which officers and employees of the University are, or hereafter may become, entitled.

*Appointed as Chairman of the Self-Insurance Board

RENAMING OF DEPARTMENT/GRADUATE PROGRAM

Resolution No. 2004-118

Synopsis: Renaming of the Department of Plant Biology and the graduate program of Plant Biology is proposed.

WHEREAS the Department of Plant Biology underwent a reorganization in 1998, after five faculty members left the department to join the newly constituted and renamed Department of Evolution, Ecology, and Organismal Biology; and

WHEREAS the dominant research and teaching focuses of the faculty remaining in Plant Biology are in the fields of cellular and molecular biology; and

WHEREAS this proposed name change is supported by all relevant units in the University; and

WHEREAS the proposal to change the name of the graduate program was approved by the Council on Research and Graduate Studies; and

WHEREAS the proposal was discussed and approved unanimously by the Council on Academic Affairs, and was approved by the University Senate at its March 11, 2004 meeting:

NOW THEREFORE

BE IT RESOLVED, That the Department of Plant Biology and the graduate program names be changed to Plant Cellular and Molecular Biology, effectively immediately.

RENAMING OF DEPARTMENT

Resolution No. 2004-119

Synopsis: Renaming of the Department of Chemical Engineering is proposed.

WHEREAS over the last few decades, biology and biological chemistry have had increasing influence on the discipline of chemical engineering; and

WHEREAS the National Institutes of Health has recognized the special role that chemical engineering plays in biomolecular engineering; and

WHEREAS faculty in the Department of Chemical Engineering are extensively involved in biological and biomolecular research and teaching; and

WHEREAS this proposed name change is supported by all relevant units in the University; and

WHEREAS the proposal was discussed and approved unanimously by the Council on Academic Affairs, and was approved by the University Senate at its March 11, 2004 meeting:

NOW THEREFORE

BE IT RESOLVED, That the Department of Chemical Engineering be changed to the Department of Chemical and Biomolecular Engineering, effectively immediately.

CHANGE IN REPORTING LINE

Resolution No. 2004-120

Synopsis: Change in reporting line for the Center for Health Outcomes, Policy, and Evaluation Studies is proposed.

WHEREAS the Center for Health Outcomes, Policy and Evaluation Studies was established formally in 1993 and reports to the Senior Vice President for Health Sciences; and

WHEREAS today the mission of the Center is much better aligned with the expertise of the faculty in the School of Public Health; and

WHEREAS with the recent reorganization of the reporting structure of the School of Public Health itself, the mission of the Center can be accomplished more effectively through it; and

WHEREAS the Senior Vice President for Health Sciences and the Dean of the School of Public Health support a change in the reporting line; and

WHEREAS the above change was reviewed and approved by the Council on Academic Affairs on December 3, 2003:

NOW THEREFORE

BE IT RESOLVED, That the proposed change in the reporting line for the Center for Health Outcomes, Policy, and Evaluation Studies be changed from the Senior Vice President for Health Sciences to the Dean of the School of Public Health be approved, effective immediately.

HONORARY DEGREES

Resolution No. 2004-121

Synopsis: The awarding of honorary degrees to Howard M. Johnson and Edward J. Larson is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of honorary degrees as listed below:

Howard M. Johnson	Doctor of Science
Edward J. Larson	Doctor of Humane Letters

NOW THEREFORE

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

PERSONNEL ACTIONS

Resolution No. 2004-122

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the March 5, 2004 meeting of the Board, including the following Appointments, Concurrent Appointment, Appointment/Reappointment of Chairpersons and Directors, Professional Improvement Leaves, and Emeritus Titles, as detailed in the University Budget be approved.

Appointments

Name: WILLIAM A. BAESLACK III
Titles: Dean and Professor
College/Departments: Engineering/Industrial, Welding, and Systems Engineering and Materials Science and Engineering
Term: July 1, 2004, through June 30, 2009
Present Position: Dean, School of Engineering, Rensselaer Polytechnic Institute, Troy, NY

Name: A. DOUGLAS KINGHORN
Title: Professor (The Jack L. Beal Chair in Medicinal Chemistry and Pharmacognosy)
College: Pharmacy
Effective: May 1, 2004
Present Position: Assistant Head and Professor, Department of Medicinal Chemistry and Pharmacognosy, and Associate Director, Program for Collaborative Research in the Pharmaceutical Sciences, College of Pharmacy, and Assistant to the Director, Research Resources Center, Office of the Vice Chancellor for Research, University of Illinois at Chicago

Name: STEPHEN M. REED
Title: Professor (The Bud and Marilyn Jenne Designated Professorship in Equine Clinical Sciences and Research)
Department: Veterinary Clinical Sciences
Term: January 1, 2004, through December 31, 2006

PERSONNEL ACTIONS (contd)

Appointments (contd)

Name: JACQUELINE J. ROYSTER
Title: Interim Executive Dean of the Colleges of the Arts and Sciences
Effective: June 1, 2004
Present Position: Interim Dean of the College of Humanities

Name: JON R. WOODS
Title: Professor (The Endowed Chair for Director of The Ohio State University
Marching Band)
School: Music
Effective: July 1, 2004

Concurrent
Appointment:

Name: ALFRED P. SANFILIPPO
Title: Executive Dean of Health Sciences
Term: April 1, 2004, through June 30, 2007
Present Position: Senior Vice President for Health Sciences and Dean of the College of
Medicine and Public Health

Appointment of Director

April 1, 2004, through March 31, 2005

Agricultural Technical Institute

Stephen P. Nameth*

*Interim

Reappointment of Chairpersons and Director

July 1, 2004, through June 30, 2008

Food, Agricultural and Biological Engineering

K. C. Ting

October 1, 2004, through September 30, 2005

Center for Folklore Studies

Amy E. Shuman

October 1, 2004, through September 30, 2008

Germanic Languages and Literatures
Philosophy

Bernd Fischer
George S. Pappas

Professional Improvement Leaves

GREGORY M. TRAVALIO, Associate Dean and Professor (Lawrence D. Stanley Professorship in Law), Moritz College of Law, effective Autumn Semester 2004.

YUAN F. ZHENG, Chairperson and Professor, Department of Electrical Engineering, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

RICHARD J. BODONYI, Professor, Department of Aerospace Engineering and Aviation, effective Spring Quarter 2005.

DAN BURGHELEA, Professor, Department of Mathematics, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

PERSONNEL ACTIONS (contd)

Professional Improvement Leaves (contd)

RUTH COLKER, Professor (The Heck-Faust Memorial Chair in Constitutional Law), Moritz College of Law, effective Autumn Semester 2004.

GLENN S. DAEHN, Professor (The Dr. Mars G. Fontana Professorship in Metallurgical Engineering), Department of Materials Science and Engineering, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

GERALD S. FRANKEL, Professor, Department of Materials Science and Engineering, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

SOMNATH GHOSH, Professor, Department of Mechanical Engineering, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

KOICHIRO HARADA, Professor, Department of Mathematics, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

KATHERINE HUNT FEDERLE, Professor, Moritz College of Law, effective Autumn Semester 2004.

BRUCE S. JOHNSON, Professor, Moritz College of Law, effective Spring Semester 2005.

JEFFREY M. KIPNIS, Professor, Knowlton School of Architecture, effective Winter Quarter and Spring Quarter 2005.

AKOS SERESS, Professor, Department of Mathematics, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

DAVID D. WOODS, Professor, Department of Industrial, Welding, and Systems Engineering, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

DOUGLAS A. BERMAN, Associate Professor, Moritz College of Law, effective Spring Semester 2005.

CAROLINE T. CLARK, Associate Professor, School of Teaching and Learning, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

FRANCIS J. DONOGHUE, Associate Professor, Department of English, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

BERN KOHLER, Associate Professor, Department of Chemistry, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

WALTER R. LEMPERS, Associate Professor, Department of Mechanical Engineering, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

RICHARD E. PARENT, Associate Professor, Department of Computer Science and Engineering, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

ROBERT G. PARKER, Associate Professor, Department of Mechanical Engineering, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

GREGORY N. WASHINGTON, Associate Professor, Department of Mechanical Engineering, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

PERSONNEL ACTIONS (contd)

Professional Improvement Leave—Change in Dates

JACQUELINE J. ROYSTER, Interim Dean and Professor, Department of English, change leave from Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to Autumn Quarter 2005, Winter Quarter and Spring Quarter 2006.

Emeritus Titles

JAMES W. ALTSCHULD, School of Educational Policy and Leadership, with the title Professor Emeritus, effective April 1, 2004.

MICHAEL J. HOGAN, Department of History, with the title Professor Emeritus, effective June 1, 2004.

JOHN P. HUNEKE, Department of Mathematics, with the title Professor Emeritus, effective April 1, 2004.

DIJEN RAY-CHAUDHURI, Department of Mathematics, with the title Professor Emeritus, effective April 1, 2004.

JOHN J. CURRY III, Department of Physiology and Cell Biology, with the title Associate Professor Emeritus, effective July 1, 2004.

MARGARET E. GRIFFITHS, Ohio State University Extension, with the title Associate Professor Emeritus, effective May 1, 2004.

WILLIAM J. BUESCHING III, Department of Pathology, with the title Associate Professor-Clinical Emeritus, effective July 1, 2004.

DON E. GEBHART, Department of Otolaryngology, with the title Clinical Professor Emeritus, effective April 1, 2004.

HERBERT A. BRONSTEIN, Department of Internal Medicine, with the title Clinical Associate Professor Emeritus, effective April 1, 2004.

Correction to Emeritus Status

MARY ANN SAGARIA, Associate Professor Emeritus, School of Educational Policy and Leadership, effective February 1, 2004, has resigned instead of retiring and Emeritus status is withdrawn.

RESOLUTIONS IN MEMORIAM

Resolution No. 2004-123

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

Albert F. Gehres

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on February 23, 2004, of Albert F. Gehres, Professor Emeritus in the Ohio State University Extension.

RESOLUTIONS IN MEMORIAM (contd)

Albert F. Gehres (contd)

Mr. Gehres was born March 1, 1918, in Wren, Ohio. He received a Bachelor of Science in Agriculture degree in 1941 from The Ohio State University and a master's degree in 1959 in extension education from the University of Wisconsin.

Al Gehres began his Extension career in Ohio in 1950 as an associate county extension agent in Williams County. In April of 1953 he became the acting assistant state 4-H club leader and in October of that year was named assistant state leader of 4-H. In 1959, he became the associate state 4-H club leader. In 1964 he was named assistant director of 4-H and continued in this position until 1970, when he became an extension specialist in community resource development. He held this position until his retirement in 1977.

During Mr. Gehres' career with Extension, he significantly influenced the quality of 4-H educational programs and had a significant impact on the 4-H program for the entire State of Ohio. In 1968 he received the Governor's Award for 4-H club work in Ohio. As an extension specialist in community resource development, Al provided programs in leadership development and education and served as the editor of the Ohio Community Resource Development Newsletter. He had several articles published during his Extension career. He was a member of Epsilon Sigma Phi, the Community Development Society, and the Ohio Farm and Home Safety Committee.

These contributions in providing excellent Extension educational programs during his career earned him the respect and admiration of coworkers and associates throughout the state and nation.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Albert F. Gehres its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Charles W. Pavey, Jr.

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on February 17, 2004, of Charles W. Pavey, Jr., M.D., Clinical Professor Emeritus in the Department of Obstetrics and Gynecology.

Dr. Pavey received both his Bachelor and Doctor of Medicine degrees from The Ohio State University. He completed his residency at The Ohio State University Hospitals, delivering an average of more than 100 babies per month during his training. He then served on the faculty of The Ohio State University College of Medicine until his retirement in 1972, and held the position of Clinical Professor Emeritus in the Department of Obstetrics and Gynecology until his death. During his 57 years of practice, it is estimated that Dr. Pavey delivered in excess of 25,000 babies. Many of his innovations later became standard practice in the specialty of obstetrics and gynecology.

Dr. Pavey was active in the following medical organizations: as a diplomat of the American Board of Obstetrics and Gynecology; fellow of the American College of Surgeons; member and past president of the Columbus Academy of Medicine and the Association of American College of Physicians and Surgeons; and member of the American Medical Association and the Ohio State Medical Association.

Dr. Pavey also served as a member of the John Birch Society, the University District Organization, the Old North High Club, and was a lifelong member of North United Methodist Church.

RESOLUTIONS IN MEMORIAM (contd)

Charles W. Pavey, Jr. (contd)

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Charles W. Pavey, Jr. its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Charles B. Wheeler

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on January 29, 2004, of Charles B. Wheeler, Professor Emeritus in the Department of English.

Charles Wheeler was born in St. Louis, Missouri, on January 12, 1917. He received his A.B. degree from Westminster College in 1937, graduating *magna cum laude*. He achieved his M.A. and Ph.D. degrees at Cornell University in 1939 and 1953, respectively. Between degrees, from 1942 to 1945, Charles served as a sergeant in World War II. After receiving his Ph.D., Charles taught as an instructor at Westminster College, Cornell University, and Washington University before coming to Ohio State in 1952 as an instructor. The courses he taught were varied: from Beowulf to Biblical studies; from Shakespeare to modern literature. While at Ohio State, Charles was promoted to assistant professor in 1955, associate professor in 1964, and full professor in 1969. Throughout his tenure at the University, he published two books: *The Design of Poetry* (1966) and *The Bible as Literature* (1986, 1990, 1996, 2000 with John Gabel). Charles was also an accomplished poet; his poems were published in a number of literary periodicals, and in 1996 the editors of the *Cumberland Poetry Review* selected him as the winner of the Robert Penn Warren Poetry Prize.

As a graduate student at Cornell University, Charles was described as "one of the most intelligent and subtle-minded of all." Students had similar praise of Charles as an instructor who understood them and had a "profound effect" on their lives. Fully involved in departmental affairs at Ohio State, Charles was the executive secretary, an advisor on the student literary magazine, and served on the new personnel, composition, curriculum and promotion and tenure committees. For the college, Charles was very involved in various curriculum committees for Arts and Sciences. He also served the University well, sitting on the Council on Academic Affairs.

Outside Ohio State, Charles was physically active and began running competitively in the late 1960s, competing in five or six road races a year. At 6 feet 5, 178 pounds, Charles was much larger than most distance runners. His size, however, did not stop him from winning the *Dispatch* Early Bird 10K Run in 1987 in the 70 and over division. Charles was a life member of the National Audubon Society, The Nature Conservancy, and The Sierra Club, and over his years in Columbus held virtually every office in the Columbus Audubon Society, including president, treasurer and newsletter editor for 25 years. Even after retiring from Ohio State in 1987, Charles continued giving to the University, becoming a Presidents Circle member of the Presidents Club, Ohio State's largest and most prestigious major donor recognition group. He also kept in touch with the department, frequently visiting for lunch with colleagues, where his keen intellect, ever-inquiring mind and engagement in the welfare of friends and family were treasured.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Charles B. Wheeler its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2004-124

Synopsis: The report on research and other sponsored program contracts and grants and the summary for February 2004 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of February 2004 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2004-125

Synopsis: The report on the receipt of gifts and the summary for February 2004 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Bud and Marilyn Jenne Designated Professorship in Equine Clinical Sciences and Research; and

WHEREAS this report includes the establishment of thirteen (13) new named endowed funds and amendments of two (2) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of February 2004 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July through February
2003-04 Compared to 2002-03

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through February		
	<u>2003-04</u>	<u>2002-03</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$19,807,387	\$27,056,157	(27)
Alumni (From Bequests)	<u>9,051,535</u>	<u>2,664,044</u>	240
Alumni Total	\$28,858,922	\$29,720,201	(3)
Non-Alumni (Current Giving)	\$18,350,369	\$13,769,113	33
Non-Alumni (From Bequests)	<u>9,674,733</u>	<u>3,762,130</u>	157
Non-Alumni Total	\$28,025,102	\$17,531,243	60
Individual Total	\$56,884,024	\$47,251,444	20 ^A
Corporations/Corp/Foundations	\$24,590,567	\$28,367,855	(13) ^B
Private Foundations	\$13,535,723	\$14,420,659	(6)
Associations & Other Organizations	<u>\$3,938,665</u>	<u>\$5,034,645</u>	(22) ^C
Total	\$98,948,979	\$95,074,603	4

NOTES

- A Individual giving is up 20% largely due to the fact that gifts from non-alumni are up 60% over last year (nearly \$11 million more than one year ago).
- B Giving from corporations at the \$10,000 or more level is down 16% for July 2003 – February 2004 (\$19.4 million from 430 gifts this year; \$23.1 million from 424 gifts last year).
- C Giving from associations and other organizations at the \$10,000 or more level is down 28% for July- February (\$2.8 million from 72 gifts this year; \$3.9 million from 64 gifts last year).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July from February
2003-04 Compared to 2002-03

GIFT RECEIPTS BY PURPOSE

	Dollars July through February		
	<u>2003-04</u>	<u>2002-03</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$32,374,984	\$19,205,017	69
Faculty Support	\$6,287,300	\$9,213,036	(32)
Program Support	\$41,317,777	\$49,665,988	(16)
Student Financial Aid	\$11,741,520	\$11,603,325	1
Annual Funds-Colleges/Departments	\$4,062,061	\$4,752,429	(15)
Annual Funds-University	<u>\$3,165,337</u>	<u>\$634,808</u>	399
Total	\$98,948,979	\$95,074,603	4

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through February

<u>2003-04</u>	<u>2002-03</u>	<u>% Change</u>
\$30,537,443	\$28,884,810	6

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
Richard E. Stent Jr. Scholarship Fund (Used to provide scholarships for students who major in one of the departments of the College of Biological Sciences with an emphasis on microbiology; provided by a gift from the estate of Richard E. Stent Jr.)	\$65,958.00		\$65,958.00
The Dr. Phillip A. Heath Education Scholarship Fund (Used to provide scholarships for one or more students who have been admitted to the Master of Education Program on the Lima Campus; provided by gifts from faculty and friends of The Ohio State University at Lima)		25,738.00	25,738.00
The Linda S. Hoffsis Leadership Fund (Used to support leadership development in the College of Veterinary Medicine; provided by gifts from Dr. Glen F. Hoffsis, family, friends, and the College of Veterinary Medicine)		25,000.00	25,000.00

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Designated Professorship</u>			
The Bud and Marilyn Jenne Designated Professorship in Equine Clinical Sciences and Research (Used to provide salary and research support for a professorship position within the College of Veterinary Medicine; provided by a gift from Marilyn Jenne)			
<u>Establishment of Named Endowed Funds</u>			
The John S. Eckert Memorial Fund in Chemical Engineering (Used to enhance the Department of Chemical Engineering; provided by a gift from the estate of Mrs. Vera K. Eckert)		\$100,000.00	\$100,000.00
The Paul R. Weisenstein Endowment Scholarship Fund (Used to provide scholarships in the OSU College of Dentistry; provided by gifts from Terry P. and Christina J. Weisenstein)		\$50,000.00	\$50,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Surington Memorial Library Fund (Used to support the business library at the Fisher College of Business; provided by gifts from the estate of Ruth M. Fechko)		30,326.39	30,326.39
The Ann Greer Mills Endowment Fund (Used as a stipend for a post-baccalaureate student interning with an institution, corporation, or agency; provided by a gift from Roger Edward Mills, Harold Mills, Julie Herman, and Paul S. Herman)		28,925.00	28,925.00
The Elmer/Gless Digital Library Fund (Used to support the Knowlton School of Architecture digital library and the production of images for the study of architecture, landscape architecture, and planning; provided by gifts from Franklin L. Elmer and Ruth M. Gless)		25,180.00	25,180.00
The Marian Miller Berkebile Nursing Scholarship Fund (Used to support nursing scholarships for undergraduate students in the College of Nursing; provided by a gift from Marian Miller Berkebile)		25,000.00	25,000.00
The Henderson Family Athletic Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of an undergraduate student-athlete who is a member of a varsity team; provided by a gift from Ronald and Nancy Henderson)		25,000.00	25,000.00
L. Michael Howard Fund in Public Policy and Management (Used to support programs within the School of Public Policy and Management as designated by the director of the School; provided by a gift from L. Michael Howard)		25,000.00	25,000.00
The Fred and Frieda Thiergartner Scholarship Fund (Used to provide dissertation research support to doctoral students in sport and exercise science; provided by gifts from The Sherman Trust)		\$25,000.00	\$25,000.00
The Randall S. Yessenow, M.D. Athletic Scholarship Fund in Memory of Gayle Yessenow (Used to supplement the grant-in-aid scholarship costs of an undergraduate student-athlete who is a member of the varsity football team; provided by a gift from Dr. Randall S. Yessenow)		25,000.00	25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Change in Name of Named Endowed Fund</u>			
From: College of Dentistry Endowed Chair Fund in Endodontics			
To: Dr. William J. Meyers Endowed Chair Fund in Endodontics			
<u>Change in Name and Description of Named Endowed Fund</u>			
From: The Robert and Mary Reusche Humanities Scholar Endowed Scholarship Fund			
To: The Robert and Mary Reusché Colleges of Arts and Sciences Scholar Endowed Scholarship Fund			
Total	\$65,958.00	\$410,169.39	\$476,127.39

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Funds

Richard E. Stent Jr. Scholarship Fund

The Richard E. Stent Jr. Scholarship Fund was established April 2, 2004, by the Board of Trustees of The Ohio State University with a gift from the estate of Richard E. Stent Jr. (B.A., 1949) of Columbus, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide financial assistance to deserving students who major in one of the departments of the College of Biological Sciences with an emphasis on microbiology. The allocation of these funds will be determined by the dean of the College of Biological Sciences on an annual basis. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Biological Sciences in order to carry out the desire of the donor.

\$65,958.00

The Dr. Phillip A. Heath Education Scholarship Fund

The Dr. Phillip A. Heath Education Scholarship Fund was established April 2, 2004, by the Board of Trustees of The Ohio State University with gifts from the faculty, staff, and friends of The Ohio State University at Lima.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for scholarships for one or more students who have been admitted to the Master of Education program on the Lima Campus. The scholarship will be awarded in consultation with the Lima Campus Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of The Ohio State University at Lima in order to carry out the desire of the donors.

\$25,738.00

The Linda S. Hoffsis Leadership Fund

The Linda S. Hoffsis Leadership Fund was established April 2, 2004, by the Board of Trustees of The Ohio State University with gifts from Dr. Glen F. Hoffsis (B.S.Agr., 1966; D.V.M., *cum laude*, 1966; M.S., 1969) of Powell, Ohio; family, friends, and the College of Veterinary Medicine.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Linda S. Hoffsis Leadership Fund (contd)

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support leadership development in the College of Veterinary Medicine. This may include retreats, speakers, strategic planning for college leaders, or assistance in training for potential leaders in the college.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Veterinary Medicine in order to carry out the desire of the donors.

\$25,000.00

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Designated Professorship

The Bud and Marilyn Jenne Designated Professorship
in Equine Clinical Sciences and Research

The Bud and Marilyn Jenne Chair Fund was established November 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Marilyn Jenne, Amherst, Ohio. The name and description were revised April 2, 2004.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income will be used at the discretion of the dean of the College of Veterinary Medicine to further the activities of the college. The intent of the fund is to establish a chair in the College of Veterinary Medicine. Until the minimum funding level for a chair is met, the annual income shall provide salary and research support for a professorship position in the College of Veterinary Medicine. Appointment to the professorship shall be recommended by the dean of the College of Veterinary Medicine and the executive vice president and provost and approved by the Board of Trustees. Any income not used in any given year shall be returned to principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Veterinary Medicine in order to carry out the desire of the donor.

Establishment of Named Endowed Funds

The John S. Eckert Memorial Fund in Chemical Engineering

The John S. Eckert Memorial Fund in Chemical Engineering was established April 2, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Mrs. Vera K. Eckert of Akron, Ohio, in memory of her husband, John S. Eckert (B.Ch.E., 1933).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The John S. Eckert Memorial Fund in Chemical Engineering (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to commemorate John S. Eckert and his desire to advance the quality of teaching and research within the University's Department of Chemical Engineering. The chairperson of the department will be responsible for allocating these discretionary earnings in ways to enhance the Department of Chemical Engineering.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the chairperson of the Department of Chemical Engineering in order to carry out the desire of the donor.

\$100,000.00

The Paul R. Weisenstein Endowment Scholarship Fund

The Paul R. Weisenstein Endowment Scholarship Fund was established April 2, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mr. Terry P. and Mrs. Christina J. Weisenstein of Gahanna, Ohio, in memory of Dr. Paul R. Weisenstein (D.D.S., 1951).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to award one or more scholarships to deserving students of the OSU College of Dentistry. Selection of the scholarship recipients shall be made by the dean of the College of Dentistry in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Dentistry in order to carry out the desire of the donors.

\$50,000.00

The Surington Memorial Library Fund

The Surington Memorial Library Fund was established April 2, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Ruth M. Fechko (B.S.Bus.Adm., 1947) of Columbus, Ohio, in memory of Dr. Cyril T. (B.A., 1929; M.D., 1934) and Esther Collicott Surington (B.A., 1936; M.S.W. 1960).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the activities and operations of the business library at The Max M. Fisher College of Business.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Surington Memorial Library Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the Fisher College of Business in order to carry out the desire of the donor.

\$30,326.39

The Ann Greer Mills Endowment Fund

The Ann Greer Mills (M.S., 1958) Endowment Fund for the Department of Human Nutrition in the College of Human Ecology was established April 2, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, in her memory with a gift from her husband, Roger Edward Mills (B.S., 1952; M.S., 1952; Ph.D., 1963), children Harold Mills and Julie Herman, and son-in-law Paul S. Herman, M.D.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used as a stipend for a post-baccalaureate student who is interning with an institution, corporation, or agency. The work of the intern will focus on nutrition for youth and preference will be given to interns who are working and studying at off-campus sites.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate faculty, such as the director of the Dietetic Internship Program, the chairperson of the Department of Human Nutrition, and the dean of the College of Human Ecology, in order to carry out the desire of the donors.

\$28,925.00

The Elmer/Gless Digital Library Fund

The Elmer/Gless Digital Library Fund was established April 2, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Franklin L. Elmer, FAIA, FAICP (M.C.P., 1967) and Ruth M. Gless (M.Arch., University of Virginia, 1979) of Columbus, Ohio.

Frank Elmer and Ruth Gless are founding partners of Lincoln Street Studio. In a short period of time they established the firm as one of the leading design/planning firms in Ohio. They built the firm on the principle that good design is driven by broad based knowledge and enhanced by cutting-edge technology. They believe that the new forms of visual information made available by the digital library can only improve design and planning decisions and that the library will be an invaluable resource for the students, faculty, and alumni.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Elmer/Gless Digital Library Fund (contd)

The annual income shall be used to support the Austin E. Knowlton School of Architecture digital library and the production of images for the study of architecture, landscape architecture, and planning.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of the Knowlton School of Architecture and the Knowlton School of Architecture digital curator in order to carry out the desire of the donors.

\$25,180.00

The Marian Miller Berkebile Nursing Scholarship Fund

The Marian Miller Berkebile Nursing Scholarship Fund was established April 2, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Mrs. Marian Miller Berkebile of Fort Myers, Florida, in honor of Mary Berkebile Firestone, who started her nursing career at Ohio State University.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support nursing scholarships for undergraduate students pursuing a bachelor's degree at the College of Nursing. All scholarships are to be awarded on merit and financial need and shall be selected by the dean, assistant dean, and associate deans of the College of Nursing in consultation with appropriate faculty and the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Nursing and the College's fiscal officer in order to carry out the desire of the donor.

\$25,000.00

The Henderson Family Athletic Scholarship Fund

The Henderson Family Athletic Scholarship Fund was established April 2, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Ronald and Nancy Henderson of Powell, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of a varsity team. Preference will be given to a high school graduate from Delaware (Ohio) County. The recipient will be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Henderson Family Athletic Scholarship Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$25,000.00

L. Michael Howard Fund in Public Policy and Management

The L. Michael Howard Fund in Public Policy and Management was established April 2, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from L. Michael Howard (B.S.Bus.Adm., *cum laude*, 1972; M.A., 1983).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support programs within the School of Public Policy and Management that are deemed the highest priority by the director of the School. The director will report to the donor or the donor's designee annually on the use of the income and the impact of the fund.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of the School of Public Policy and Management in order to carry out the desire of the donor.

\$25,000.00

The Fred and Frieda Thiergartner Scholarship Fund

The Fred and Frieda Thiergartner Scholarship Fund was established April 2, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from The Sherman Trust.

The fund recognizes the commitment of the Thiergartner family to graduate studies in the area of sport and exercise science (exercise physiology).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall provide dissertation research support to doctoral students in sport and exercise science (exercise physiology). First preference shall be given to students who grew up in rural Ohio. Selection of the recipients shall be made by the dean of the College of Education in consultation with the faculty in sport and exercise science.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Education in order to carry out the desire of the donors.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Randall S. Yessenow, M.D. Athletic Scholarship Fund
in Memory of Gayle Yessenow

The Randall S. Yessenow, M.D. Athletic Scholarship Fund in Memory of Gayle Yessenow was established April 2, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Dr. Randall S. Yessenow (M.D., 1984).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of the varsity football team. The recipient will be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$25,000.00

Change in Name of Named Endowed Fund

Dr. William J. Meyers Endowed Chair Fund in Endodontics

The College of Dentistry Endowed Chair Fund in Endodontics was established February 7, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Joseph A. Haase, (D.D.S., 1969) of Worthington, Ohio; alumni, faculty and friends. The name was revised April 2, 2004.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be added to the principal until the minimum amount for funding an endowed chair has been reached. At that time, the annual income shall be used to provide salary and program support to a distinguished faculty member in the Section of Endodontics in the College of Dentistry. Selection of the named chair holder shall be made in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health and the dean of the College of Dentistry with recommendation from the head of the Section of Endodontics.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the head of the Section of Endodontics and the dean of the College of Dentistry in order to carry out the desire of the donors.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Name and Description of Named Endowed Fund

The Robert and Mary Reusché Colleges of Arts and Sciences Scholar
Endowed Scholarship Fund

The Robert and Mary Reusche Humanities Scholar Endowed Scholarship Fund was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Robert (B.S.Bus.Adm., 1949) and Mary (B.S.Bus.Adm., 1950) Reusché. The name and description were revised April 2, 2004.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide need-based scholarship support to a student or students participating in the Colleges of Arts and Sciences Scholars Program. The Colleges of Arts and Sciences Scholars Program provides enriched study of the arts and sciences for selected undergraduate students interested in majoring in these areas of study. Students participating in the Arts and Sciences Scholars Program come from the top 20 percent of their high school class and have an ACT score of 25 or higher (SAT score above 1140). The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the executive dean of the Arts and Sciences in order to carry out the desire of the donors.

REQUEST FOR DESIGN AND CONSTRUCTION AUTHORIZATION

Resolution No. 2004-126

REQUEST FOR DESIGN AUTHORIZATION

COLLEGE OF DENTISTRY FEASIBILITY STUDY
GRAVES HALL 3RD FLOOR – BIOMEDICAL INFORMATICS
OARDC – EXTERIOR BUILDING SIGNAGE
STARLING LOVING HALL CLINICAL TRIALS AREA

REQUEST FOR CONSTRUCTION AUTHORIZATION

COMPREHENSIVE CANCER CENTER – CHILLER REPLACEMENT
KOTTMAN HALL – 103 CLASSROOM RENOVATION
LIMA CAMPUS – GALVIN HALL 4TH FLOOR RENOVATION
LINCOLN AND MORRILL TOWERS WALKWAYS
MANSFIELD CAMPUS - REPLACE/RENOVATE ROOFS
OARDC – EXTERIOR BUILDING SIGNAGE
OSU ICE RINK RENOVATION
WEXNER CENTER – BUILDING RENOVATIONS

Synopsis: Authorization to enter into bids for the College of Dentistry Feasibility Study, and authorization to enter into design contracts for Graves Hall 3rd Floor – Biomedical Informatics, OARDC – Exterior Building Signage, Starling Loving Hall Clinical Trials Area, and construction contracts for Comprehensive Cancer Center – Chiller Replacement, Kottman Hall – 103 Classroom Renovation, Lima Campus – Galvin Hall 4th Floor Renovation, Lincoln and Morrill

REQUEST FOR DESIGN AND CONSTRUCTION AUTHORIZATION (contd)

Towers Walkways, Mansfield Campus - Replace/Renovate Roofs, OARDC – Exterior Building Signage, OSU Ice Rink Renovations, and Wexner Center – Building Renovations is requested.

WHEREAS the University desires to perform a feasibility study to evaluate the facilities and space requirements for the College of Dentistry; and

WHEREAS the preliminary cost estimate of the study is \$250,000 with funding to be provided by the College of Dentistry (\$125,000) and University Central Funds (\$125,000); and

WHEREAS the University desires to renovate the third floor of Graves Hall to develop research facility space; and

WHEREAS the preliminary project cost estimate is \$300,200 with funding to be provided by the College of Medicine and Public Health general funds; and

WHEREAS the University desires to upgrade the exterior building signage at the OARDC facility; and

WHEREAS the preliminary project cost estimate is \$32,000 with funding to be provided by HB 675; and

WHEREAS the University desires to renovate the first floor of Starling Loving Hall to accommodate a Clinical Trials area; and

WHEREAS the conceptual project cost is \$2,329,371 with funding for the design fees to be provided by the College of Medicine and Public Health general funds, and funding for construction costs to be determined at the conclusion of design work; and

WHEREAS the University desires to replace the chiller at the Comprehensive Cancer Center; and

WHEREAS the construction document project cost is \$400,000 with funding to be provided by HB 675; and

WHEREAS the University desires to renovate classroom space in room 103 of Kottman Hall; and

WHEREAS the construction document project cost is \$680,733 with funding to be provided by HB 675; and

WHEREAS the University desires to renovate administrative and classroom space on the fourth floor of Galvin Hall on the Lima Campus; and

WHEREAS the construction document project cost is \$367,657 with funding to be provided by HB 850; and

WHEREAS the University desires to replace the eastern elevated walkways to Lincoln and Morrill Towers; and

WHEREAS the project scope has increased to include the sidewalk repair and renovation on the sidewalks to the north and south; and

WHEREAS the revised construction document project cost is \$1,008,500 with funding to be provided by HB 790 (\$195,000), HB 748 (\$60,000), HB 675 (\$600,000), University repair and renovation funds (\$38,500), and future University bond proceeds (\$115,000) with debt service to be provided by Student Affairs; and

WHEREAS the University desires to repair the roofs of the Physical Activities Center and Fallerius Technical Education Center at the Mansfield Campus; and

REQUEST FOR DESIGN AND CONSTRUCTION AUTHORIZATION (contd)

WHEREAS the construction document project cost is \$419,054 with funding to be provided by HB 675 (\$133,900), Mansfield Development Funds (\$126,554), and North Central Technical College (\$158,600); and

WHEREAS the University desires to upgrade the exterior building signage at the OARDC facility; and

WHEREAS the preliminary project cost estimate is \$32,000 with funding to be provided by HB 675; and

WHEREAS the University desires to renovate and upgrade the facilities at the OSU Ice Rink; and

WHEREAS the construction document project cost is \$1,187,072 with funding to be provided by the Athletics Department; and

WHEREAS the University desires to renovate and retrofit the Wexner Center for the Arts to correct building envelope and system problems; and

WHEREAS the costs have increased to include replacement of curtain wall and air handlers; and

WHEREAS the revised project cost is \$14,500,000 with funding to be provided by University bond proceeds (\$11,000,000) with debt service to be paid by University Central Funds, and \$3,500,000 from Central Capital Reserves:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into contracts for the College of Dentistry Feasibility Study, in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into design contracts for the Graves Hall 3rd Floor – Biomedical Informatics, OARDC – Exterior Building Signage, Starling Loving Hall Clinical Trials Area projects in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into construction contracts, if satisfactory bids are received, for Comprehensive Cancer Center – Chiller Replacement, Kottman Hall – 103 Classroom Renovation, Lima Campus – Galvin Hall 4th Floor Renovation, Lincoln and Morrill Towers Walkways, Mansfield Campus - Replace/Renovate Roofs, OARDC – Exterior Building Signage, OSU Ice Rink, and Wexner Center Building Renovations projects in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time.

(Background information and maps on file in the Board Office.)

PURCHASE OF REAL PROPERTY

Resolution No. 2004-127

**FOUR ALLEY RIGHTS OF WAY
NORTHEAST OF KENNY ROAD AND LANE AVENUE
COLUMBUS, OHIO**

Synopsis: Authorization to purchase unimproved real property located northeast of the intersection of Kenny Road and Lane Avenue in Columbus, Ohio, is requested.

WHEREAS the Board of Trustees of The Ohio State University is presented with an opportunity to purchase approximately 0.54 acre of unimproved real property located northeast of Kenny Road and Lane Avenue in Columbus, Ohio, owned by the City of Columbus; and

WHEREAS the average appraised value of this property is \$91,425.00 and the City of Columbus has offered to sell the property at that price; and

WHEREAS the acquisition of this property is necessary for construction of the planned Physical Facilities garage and shop facility, and the appropriate University offices have determined that the purchase of this property is in the best interest of the University; and

WHEREAS funding for the acquisition of this property will be provided from the Land Purchase Account:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized, in the name of the State of Ohio for the use of The Ohio State University, to purchase from the City of Columbus, Ohio, the approximately 0.54 acre of unimproved real property located northeast of Kenny Road and Lane Avenue in Columbus, Ohio, at a purchase price of \$91,425.00, plus fees and closing costs, upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State Controlling Board.

(Background information and map on file in the Board Office.)

**SANITARY SEWER EASEMENT
MANSFIELD, OHIO**

Resolution No. 2004-128

Synopsis: Authorization to grant a temporary construction easement to the City of Ontario, Ohio, and a 25-year sanitary sewer line easement to the City of Mansfield, Ohio, is proposed.

WHEREAS the City of Ontario has requested a temporary easement for the construction of certain improvements, installations, enhancements, facilities and equipment related to a sanitary sewer line across property owned by the State of Ohio, for the use and benefit of The Ohio State University at the Mansfield Campus; and

WHEREAS the sanitary sewer line will be connected to a City of Mansfield sanitary sewer line, and the City of Ontario has requested a 25-year easement to the City of Mansfield to maintain, operate, and repair this sanitary sewer line; and

WHEREAS the City of Ontario will construct a bicycle path over the easement area for the use by the Mansfield Campus as consideration for the grant of the easement; and

**SANITARY SEWER EASEMENT
MANSFIELD, OHIO (contd)**

WHEREAS the appropriate University offices have determined that the grant of these easements is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to request the Deputy Director of Public Works of the Department of Administrative Services, pursuant to his or her statutory duties, to act for and on behalf of the University in developing and executing the necessary legal instruments to provide for these easements.

(See Appendix XLV for background information and map, page 1113.)

MAINTENANCE AND RENEWAL OF UNIVERSITY FACILITIES

Resolution No. 2004-129

Synopsis: An endowment to fund maintenance and renewal of University General Fund facilities and the development of plans to fund facility renewal for major auxiliary operations and regional campuses is proposed.

WHEREAS in March 2002, the Board of Trustees adopted a policy to provide for scheduled maintenance and renewal of University space added since the base year of FY 2000; and

WHEREAS in consultation with the appropriate University offices and committees, the Office of Business and Finance has proposed a plan for funding this needed renewal of University facilities as outlined in the attached materials; and

WHEREAS for General Fund units, there would be established a University Endowment for Scheduled Maintenance and Renewal, funded by a surcharge on all General Fund space, to provide for continuing renewal of General Fund space; and

WHEREAS this Endowment would be a restricted fund (the principle of which would be invadable) to be used only for this purpose; and

WHEREAS each major auxiliary operation and each regional campus and OARDC and ATI is to develop a plan for funding its scheduled maintenance and renewal, which plan could include participation in the Endowment, and all such plans are to be submitted to the University administration for approval; and

WHEREAS funds in this Endowment, including funds through participation by auxiliaries and regional campuses, OARDC, and ATI, and funds otherwise set aside by these areas, could be used only if the appropriate maintenance and renewal plan has been approved and only upon the specific approval of the University administration:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the establishment of an Endowment for Scheduled Maintenance and Renewal of all General Fund space added since FY 2000 to be funded by a surcharge on all General Fund space; and

BE IT FURTHER RESOLVED, That the Board directs that each major auxiliary operation, regional campus, OARDC, and ATI develop a plan and funding mechanism for scheduled maintenance and renewal of facilities for approval by the Executive Vice President and Provost and the Senior Vice President for Business and Finance no later than June 30, 2004, and that

MAINTENANCE AND RENEWAL OF UNIVERSITY FACILITIES (contd)

such plans may include the purchase of shares in the Endowment for Scheduled Maintenance and Renewal; and

BE IT FURTHER RESOLVED, That the University report annually to the Board of Trustees on the status of the Endowment.

(Background information on file in the Board Office.)

LINE OF CREDIT TO CAMPUS PARTNERS

Resolution No. 2004-130

Synopsis: Authorization to establish a line of credit to Campus Partners is proposed.

WHEREAS since 1995 the Board of Trustees has recognized the critical need for revitalization of the High Street and residential areas adjacent to the Columbus Campus and the importance in that effort of the initiatives of Campus Partners for Community Urban Redevelopment, Inc.; and

WHEREAS in 1997 the Board authorized the release of \$25 million in Endowment funds for various Campus Partners initiatives, including the South Campus Gateway project and that important project is under construction, with completion anticipated in the summer of 2005; and

WHEREAS to support and complement that investment, the Board recognizes the need for continued revitalization programs and appropriate investment by Campus Partners in the neighborhoods surrounding the Gateway project, including appropriate acquisition of real property in these areas; and

WHEREAS specific investment and property acquisition would be subject to approval by the Campus Partners Board and the University's Campus Partners Oversight Committee; and

WHEREAS to assist in this effort Campus Partners has requested that the University establish a line of credit in the amount of \$5,000,000, to be made available to Campus Partners for this purpose:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees authorizes the University to establish a line of credit to Campus Partners up to \$5,000,000 as additional funding for continued neighborhood revitalization programs, including appropriate investment in and acquisition of real property in the areas surrounding the South Campus Gateway project, to be released as directed by the Oversight Committee; and

BE IT FURTHER RESOLVED, That this line of credit shall be subject to the policy and principles regarding debt and lines of credit adopted by this Board at its meeting on December 5, 2003, and shall be subject to whatever further provisions amending the memorandum of understanding of May 1, 1997, as the Oversight Committee shall determine appropriate.

LINE OF CREDIT TO MEDOHIO HEALTH, INC.

Resolution No. 2004-131

Synopsis: Authorization to establish a line of credit to MedOhio Health, Inc., is proposed.

WHEREAS in February 1995, the Board of Trustees authorized The Ohio State University Health System to enter into an agreement with MedOhio Health, Inc., for the provision of a home health care program for patients served by the Health System; and

WHEREAS pursuant to authorization by the Board of Trustees, the University Health System has loaned and given a line of credit in the total amount of \$4,200,000 to MedOhio Health for the purpose of developing and operating the home health care program; and

WHEREAS MedOhio Health has developed and implemented a home health program for the patients served by the Health System; and

WHEREAS this home health program provides a valuable and needed service to the patients, ensures continuity of care, and offers teaching and research opportunities to The Ohio State University; and

WHEREAS MedOhio Health has requested an additional line of credit to support its growth and to meet its variable cash flow needs; and

WHEREAS the University Hospitals Board has recommended that the University establish an additional \$875,000 line of credit to be made available to MedOhio Health for use in the home health care program:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees authorizes the University Health System, in accordance with and subject to the policy and principles regarding debt and lines of credit adopted by this Board at its meeting on December 5, 2003, to establish a line of credit to MedOhio Health, Inc., up to \$875,000 as additional funding for the continued implementation of the home health care program, to be released as directed by the Chief Financial Officer of the Health System.

BOARD OF TRUSTEES GOVERNANCE CONSULTANT

Resolution No. 2004-132

WHEREAS we are living in an era of enormous public and private scrutiny of both public and private institutions. The U.S. Congress passed the Sarbanes-Oxley Act which has placed significant emphasis on board oversight and the ways boards carry out their obligations and fiduciary duties; and

WHEREAS The Board of Trustees of this great public university has decided to retain an independent outside advisor to assist the board in reviewing the areas of the Sarbanes-Oxley Act which are relevant in exercising our fiduciary duties. In addition, such advisor will assist us on other board governance matters; and

WHEREAS in seeking such an advisor, we needed someone with extensive knowledge and experience of this great university and higher education. Other selection criteria included having private corporate board experience, including serving on both governance and audit committees. Finally, as stewards of this great university, we wanted to be as efficient and effective on fiscal matters as we can, and as such, we wanted someone who possessed such financial skills:

BOARD OF TRUSTEES GOVERNANCE CONSULTANT (contd)

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees has selected Dr. Edward H. Jennings, former president of Ohio State University, who has graciously accepted this advisory role. He will work closely with our board and our president in the performance of the above obligations.

Upon motion of Ms. Hendricks, seconded by Mr. McFerson, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Sofia, Slane, McFerson, Borrer, O'Dell, and Judge Duncan, Mses. Hendricks and Davidson.

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**UPDATE ON THE FEDERATION OF THE
COLLEGES OF THE ARTS AND SCIENCES**

Interim Provost Barbara Snyder:

It is my great honor and personal privilege to be able to make this introduction. As Dr. Holbrook mentioned, we are losing executive dean Michael Hogan to the University of Iowa, which is very fortunate to be getting a wonderful provost. He has been serving since July 1 as executive dean for the Federation of the Colleges of the Arts and Sciences, leading one of our most important initiatives, bringing together the five Colleges of the Arts and Sciences that we hope will ultimately increase both their strength and visibility inside and outside the campus. They are, of course, the core of what we do at the University, both for our undergraduate and graduate students.

Mike has done a wonderful job this year pulling together and starting this brand new initiative before he leaves for Iowa. Today, he is going to tell us about all of the things he and his team have accomplished during this period of time. Again, it is my great honor to introduce executive dean Michael Hogan.

Executive Dean Michael J. Hogan:

Thank you, Mr. Chairman, President Holbrook, and members of the Board. I am very happy to be with you one more time to give you a little update on where we are with the new Federation of the Colleges of the Arts and Sciences.

Usually I am the kind of person that gets to the end of a year like this and sort of wishes I had been able to get more done. I will always feel that way, but I think in trying to pull together some of the things we have been working on this year in our report to you -- especially the first half of the report -- we actually did get quite a few things done, even though I always wish we had been able to do more.

I will start by telling you about some of the things we have accomplished this year and this comes out of the first Report of the Executive Dean to the Provost. That report was delivered yesterday. I will be glad to leave a copy as well with the Board Office, so that you can read in more detail what the new Federation has been up to in the last nine months. So let me begin with a little summary of what we have done and then end by talking about what I see as some of the challenges that lie ahead.

As I reported last July, we established a new and very small Office of the Executive Dean in University Hall. We have done that without adding to the overall bureaucracy in the Arts and Sciences, as was required by the White Paper. We have managed to accomplish that by moving our staff -- both professional staff, as well as our faculty administrators along with their salaries

**UPDATE ON THE FEDERATION OF THE
COLLEGES OF THE ARTS AND SCIENCES (contd)**

Executive Dean Hogan: (contd)

and benefits -- out of their college offices over to the new Office of the Executive Dean. That office is now up and running.

Over the last three or four months, we have spent a good bit of time talking with our colleagues in the Architect's Office, in Physical Facilities, and with a private architectural firm that we have contracted, to lay a plan for the redesign of parts of the first and second floor of University Hall. So that all of the college offices can be co-located in that same space.

As you know, from the Jennings' committee report and the White Paper, we are somewhat over-administered in the Arts and Sciences compared to our benchmark institutions. That is not just because we have five different Arts and Sciences colleges, but also because we have them in five different locations. So if we are able to move ahead over the next several months in co-locating the colleges in University Hall, that will make it possible for us to begin building a kind of team spirit among the deans, creating a new Arts and Sciences identity that should be as compelling as the separate college identities and ought to make it possible for us to share staff and resources. As we do that, we should be able to achieve some economies and efficiencies, as well as some synergies going forward. I strongly encourage the University and the Provost's Office, to continue what has been strong support so far for this effort at co-location.

Also over the last several months, we have literally invented from scratch a new governance mechanism for the Arts and Sciences. This was called for in the White Paper, which asked me to take the lead in drafting a new pattern of administration for the Arts and Sciences. In consultation with the deans, I did that and we posted the results on our website. We sought faculty advice and suggestions from all across the Arts and Sciences. We reconvened the long dormant Arts and Sciences Faculty Senate to engage it in this process of drafting a pattern of administration. We finally completed that process and submitted the results to the Office of the Provost, which approved the new pattern of administration early this year.

We are now in the process of appointing faculty and staff to a whole series of committees that will operationalize this governance structure in the months ahead. For example, a new diversity committee or a new staff advisory committee. I picked those two because not all of the Arts and Sciences colleges have such committees, which I think should exist and will now exist at the Federation level.

In particular, I want to mention a new faculty leadership council. This is a committee dominated by faculty who are elected to the position by their colleagues across the Arts and Sciences. This council will have as their responsibility to advise the executive dean on all aspects of Arts and Sciences' policy going forward. I think it is going to be the first time in the Arts and Sciences -- and maybe the first time in the University -- where a dean's advisory committee is going to be largely dominated by elected faculty officials. We did that in order to help create a faculty sense of identity with the new Federation and to empower the faculty to be active in the development of this important enterprise.

We have done the same thing with the curriculum. As I mentioned earlier, we reconvened and reinvigorated a long dormant Arts and Sciences Faculty Senate. We revised the charter of that Faculty Senate and have embedded that charter

**UPDATE ON THE FEDERATION OF THE
COLLEGES OF THE ARTS AND SCIENCES (contd)**

Executive Dean Hogan: (contd)

as an attachment in our pattern of administration. For the first time, the Arts and Sciences Faculty Senate is officially recognized by the governance document of the new Federation. In doing so, we built into our new pattern of administration and into the charter for the Faculty Senate, the University rule that recognizes faculty jurisdiction over the curriculum of the Arts and Sciences. Again, we tried to operationalize that jurisdiction by creating a much more enlarged Arts and Sciences committee on instruction and curriculum. This committee consists largely of elected faculty members, which has been very active already this year in reenergizing, reforming, and reorganizing the curriculum across the Arts and Sciences.

Let me give you a couple of examples. We have already managed to launch this spring 25 new freshman seminars and hope to increase that number to 60-90 by this time next year. We have completely reorganized the Arts and Sciences curriculum office so that it serves our faculty and students more effectively and efficiently. We developed a template for promoting new interdisciplinary minors and majors that range across the Arts and Sciences. We have actually launched three new undergraduate interdisciplinary minors this spring and we will have about six more in place by this time next year. We also have launched a new freshman common book project in the Arts and Sciences.

In all of these ways we have been able to reengage the faculty and the curriculum of the Arts and Sciences. Including a very active program of assessing the outcome of our GEC instruction to make sure that our GEC courses are actually doing what they are supposed to be doing.

We have also been active on the extracurricular side of the undergraduate program. We started out this year with about 1,800 internships for Arts and Sciences students, we are up to 2,300 at this point, and expect to have 2,800 next year.

Among other things, we have formed a new partnership between the scholars program and the Arts and Sciences -- which now reports to the executive dean -- and the Children's Hunger Alliance of Ohio. We will have a whole series of joint activities that I hope will help inculcate in our undergraduate students a sense or spirit of community service, an engagement as a community and volunteerism that they will take with them when they leave Ohio State for their communities.

We have also been very active with faculty in promoting a whole series of issues of interest to them. Of course, they are very happy to see themselves reengaged and have their jurisdiction recognized in curricular areas. They are also as happy as the students to get involved in many of these new undergraduate interdisciplinary minors and majors that we are launching.

We cooperated and struck a partnership with the Offices of the President and Research in managing and funding a very successful new series of interdisciplinary seed and research grants to faculty, particularly, in the arts and humanities. I hope that program will continue and maybe expand into the social sciences next year.

In all of these and other ways, you can see the value added of a new Federation. Some of these things getting done for the first time that could not have been done otherwise. Let me shift gears a little and talk about what I see as some of the challenges going forward.

**UPDATE ON THE FEDERATION OF THE
COLLEGES OF THE ARTS AND SCIENCES (contd)**

Executive Dean Hogan: (contd)

The first is going to be giving the executive dean of the Colleges of the Arts and Sciences a legitimate role to play in the promotion and tenure process. As you know, this was called for in the White Paper. It envisions a rule change, which I hope will happen and we can go forward. It is hard for me to imagine a dean, let alone an executive dean, being fully empowered without some legitimate degree of involvement in issues relating to tenure and promotion.

Secondly, it will be important over the next few months to institutionalize and establish the budget authority of the executive dean. We have made some progress in this direction with support from the Provost's Office this year. The Executive Dean's Office managed the distribution of Success Challenge money across the Arts and Sciences. The new technology set-aside funds was also distributed and managed by the executive dean. We are now just entering the new budget season going forward, and a real value added of the Federation will lie in the ability of the executive dean to fix base budgets and to move resources across college boundaries. To be able to focus those resources on the academic priorities identified in the Academic Plan.

Finally, the last challenge I would mention has to do with the pooling of central services in the Arts and Sciences. As you know, the White Paper, and the Jennings' committee report before it, called for reduction of between \$500,000 and \$1 million in administrative overhead in the Arts and Sciences. This is not savings for its own sake, it is an effort to reallocate resources away from administrative purposes and put behind our academic goals.

With this in mind, I established three working groups to look at the possibility of somewhat eliminating, or at least downsizing, the duplicate services that exist in all the college offices by pooling those services at the center. One committee looked at business operations, another at computing and instructional technology, and still another at communications and alumni relations. We have two of the reports in hand right now and they are attached to the annual report, which I have submitted to the provost.

I want to congratulate the committees for their hard work. Believe me, the committees wrestled with a tough and difficult assignment. They have issued a report that I think takes modest but positive steps in the right direction, yet I still think that more progress will have to be made going forward over the course of next year. It will take a pretty strong will on the part of the executive dean, considerable support from the Provost's Office, and, of course, continued encouragement from the Board of Trustees.

So that summarizes what you can read in more detail in the annual report. I would be glad to try to respond to any comments and questions.

Mr. Sofia:

Thank you, Mike. Any questions?

Mr. McFerson:

I would just say congratulations. You have really served this University beautifully and so well. We will miss you, but wish you well. At least you are going to be an "eye;" from a Buckeye to a Hawkeye!

**UPDATE ON THE FEDERATION OF THE
COLLEGES OF THE ARTS AND SCIENCES (contd)**

Mr. McFerson: (contd)

As you talk about these challenges going forward, Michael, I am sitting here thinking of the similarities and differences between a university environment and the private sector, which is my background. But how important it is to be able to breakdown these silos and be able to help folks work together for a common cause. In the University setting you have this fierce independence that comes often with tenure, which is critically important. We clearly understand that, but sometimes it also is an obstacle to moving forward.

My best wishes to you and the successors to eliminate these silos, because you will make more progress than anyone can possibly imagine by working together.

Mrs. Davidson:

I wanted to add onto what Dimon said and say how much we have appreciated all of your good work and taking on the leadership particularly, Mike, in this new role. It was very much appreciated by the members of the Board of Trustees. We hope you will come back to visit us once in awhile. We do give you our very best wishes and grateful thanks.

Executive Dean Hogan:

I thank you for your kind words and best wishes. As you know, I am a native of Iowa, but it is also true that I have lived in Ohio as long as I ever lived in Iowa. It is true that I did all of my graduate work at the University of Iowa, but one of the things that I am most proud of is the fact that I built a very strong graduate program in my field here at Ohio State. I have graduated 28 Ph.D.s who are now teaching all over the country. It is true that my children were born in Iowa City, but it is also true that all four of them are graduates of The Ohio State University.

So you can see this is not an easy decision for me to make. I feel that the University has given me one great opportunity after another: to write good books; to have the benefit of one of the great libraries; and to work with some of the best faculty in the entire world, teachers and scholars alike. I feel that I have had a great opportunity to work with colleagues to make a good History department even better, a good humanities college even better.

I have been honored to be executive dean the last three years and to play a role in getting this new Federation off the ground. I think you would find it very difficult to find a faculty member anywhere in the University, here or elsewhere, who has had so much support and confidence put in him by the leadership of the University, including this Board. I just want to say how much I appreciate that. Thank you.

Mr. Sofia:

Mike, now it is my turn to say a few things. I would like to take this opportunity to recognize Dean Michael Hogan for his many contributions to Ohio State. As you know, Mike is leaving, as he said, to become the provost at the University of Iowa. As the leadership at the University of Iowa noted in their hiring of Mike, and as Barbara said, they were delighted to attract him back to the university where he earned his master's and doctoral degrees.

Mike's tenure at Ohio State has been characterized by exceptional teaching, research, and leadership. He is an internationally recognized scholar in the field

**UPDATE ON THE FEDERATION OF THE
COLLEGES OF THE ARTS AND SCIENCES (contd)**

Mr. Sofia: (contd)

of American diplomatic history. He is the author or editor of nine books, including *The Marshall Plan: America, Britain, and the Reconstruction of Western Europe, 1947-1952*, which won three major prizes in his field. He served for 15 years as the editor of *Diplomatic History*, an international journal of record for specialists in diplomacy and foreign affairs.

He has served on numerous editorial boards and as president of the Society for Historians of American Foreign Relations. He has served on the U.S. Department of State Advisory Committee on Diplomatic Documentation, which he chaired for three years. In addition, Mike has been a Fellow at the Harry S. Truman Library Institute and the Woodrow Wilson International Center for Scholars.

His scholarship and teaching have been recognized by the Society for Historians of American Foreign Relations, which awarded him the Bernath Lecture Prize in 1984. In 1990 he won this University's Distinguished Scholar Award, the highest award for scholarly distinction conferred on members of the faculty.

Mike has served Ohio State University superbly in a number of administrative positions. He led the Department of History as chair from 1993-99, he provided exceptional leadership as dean of the College of Humanities from 1999-2003, and in 2001 he took on the position as executive dean of the Colleges of the Arts and Sciences, and finally brought his leadership skills to bear as the first executive dean of the Federation of the Colleges of Arts and Sciences.

He has strengthened the stature of the University in the community by attracting distinguished alumni and citizens throughout the country to serve on the Arts and Sciences Advisory Council. I am honored to be one of those individuals, along with many in our community here in Columbus and Chicago. He has energized them to promote Ohio State and to contribute generously to the various Colleges of the Arts and Sciences. In particular, his leadership in moving the World Media and Culture Center forward is a prime example of his stewardship.

Mike's work in all areas has been characterized by his powerful intellect, disciplined approach to significant accomplishment, the highest standards of integrity, and his love for The Ohio State University. Mike is a dedicated educator, a wonderful human being, and someone so many of us here are proud to call our friend. Mike, on behalf of the Board of Trustees, I want to express our appreciation for all that you have done for the University and to wish you every success in your new position as provost at the University of Iowa.

Executive Dean Michael Hogan:

Thank you.

EXPRESSION OF APPRECIATION

WHEREAS Michael J. Hogan has served The Ohio State University with distinction since 1986, as an exceptional scholar, teacher, and administrator; and

WHEREAS Michael Hogan has brought distinction to the Department of History as one of the nation's leading scholars in the field of diplomatic history, having written and edited numerous books, including *The Marshall Plan: America, Britain, and the Reconstruction of Western Europe, 1947-1952*, which won three major prizes; and

EXPRESSION OF APPRECIATION (contd)

WHEREAS Michael Hogan served as the editor of the leading journal in his field, *Diplomatic History*, for 15 years and has served on numerous editorial boards, as well as serving as president of the Society for Historians of American Foreign Relations and chair of the U.S. Department of State Advisory Committee on Diplomatic Documentation; and

WHEREAS Michael Hogan has provided exceptional academic leadership to The Ohio State University, first as chair of the Department of History from 1993-1999, then as Dean of the College of Humanities from 1999-2003, also serving as Executive Dean of the Colleges of the Arts and Sciences from 2001-2003, and finally serving as first Executive Dean of the Federation of the Colleges of the Arts and Sciences from 2003 until 2004; and

WHEREAS in each and every capacity Michael Hogan's work in all areas has been characterized by his powerful intellect, disciplined approach to significant accomplishment, the highest standards of integrity, and his love for The Ohio State University; and

WHEREAS Michael Hogan is retiring from The Ohio State University as Professor Emeritus and assuming the position as Provost at the University of Iowa:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees acknowledges its high esteem and heartfelt appreciation to Michael J. Hogan for his exemplary service, his dedication to the highest standards and principles, and extends to him and his spouse Virginia, all good wishes for success and happiness in his new position.

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JAMES CANCER PROGRAM EXPANSION PRESENTATION

Dr. Fred Sanfilippo: [PowerPoint Presentation]

Chairman Sofia, President Holbrook, and members of the Board, we are very pleased this morning to bring forward a proposal for the expansion of the cancer program at OSU, which is the next logical step in a planning process that was begun over a year ago.

What we plan to do here this morning is: 1) I will present a summary of the process that got us here today; 2) Drs. Schuller and Caligiuri will take us through the rationale and feasibility of the proposal; and 3) Bill Shkurti will review the specific proposal before you and our planned next steps.

Clearly the cancer program, consisting of the James Cancer Hospital and the Comprehensive Cancer Center has been the most successful program at OSU in integrating our missions of patient care, education, and research. As a matter of fact, it has been an exemplary model for other programs, most notably the OSU Heart Center, consisting of the Davis Heart and Lung Research Institute and the Ross Heart Hospital.

This planning process began last year, initially at multiple levels: the level of The James, the Comprehensive Cancer Center, the OSU Health System, and the Medical Center. It was driven by the realization that expansion and growth of the cancer program was necessary to meet the needs of our patients, students, and faculty, and to support the goals of the Academic Plan of the University.

Last fall, I appointed a steering committee co-chaired by Drs. Schuller and Caligiuri and a workgroup co-chaired by Dennis Smith and Jeff Walker. Dennis and Jeff are here this morning and I would like them to stand and be recognized for their tremendous work that has just begun. We charged both this committee

JAMES CANCER PROGRAM EXPANSION PRESENTATION (contd)

Dr. Sanfilippo: (contd)

and workgroup to bring forward an integrative plan for expanding the cancer program by leveraging strengths and opportunities throughout the University and the Medical Center. The work of these planning groups has simply been exemplary and resulted in a comprehensive assessment of needs and opportunities that you will hear shortly.

I would like to point out that this process has involved substantial input and discussion at multiple levels across the University and the Medical Center, including the boards of directors of both The James and the University Hospitals, the Health System Executive Committee, the James Foundation, the Executive Committee of the Medical Center, the Strategic Planning Group of the Medical Center, the Provost's Coordinating Council, and the President's Planning Cabinet. As you know, we have presented updates to you at the last two Fiscal Affairs Committee meetings of the Board of Trustees.

In summary, I believe this plan has been developed to provide maximum benefit to the University, the Medical Center, and ultimately the people of Ohio and beyond. I am delighted now to turn this over to our two leaders of the cancer program at OSU: Dr. Michael Caligiuri, director of the Comprehensive Cancer Center, and Dr. David Schuller, the executive director of the James Cancer Hospital, who will present the rationale and the feasibility proposal.

Dr. Michael A. Caligiuri:

Thank you, everyone. This is about expanding what is an outstanding program, so vital to our mission, and about research, providing thrust for outstanding educational opportunities and patient care.

I think everyone knows that about 25-30 years ago, if someone was given a diagnosis of cancer we all tended to look the other way. We knew very few people successfully living with cancer or having been cured of cancer. Today, I am certain that virtually everyone in this room has been touched by cancer and/or knows someone living successfully with cancer or cured of cancer. This is just a reminder that research and driving the cancer agenda and driving the cure to cancer do, in fact, make a huge difference, as we can all witness today.

We are going to review for you our proposal for expansion. What I would like to do is start out with some of the credentials that we currently have at Ohio State University with regard to our cancer program. We have one of the few National Cancer Institute-designated comprehensive cancer centers. You will hear in the community, and in communities elsewhere, that there are many, many cancer centers, but there are only 38 in the entire United States of America that have the designation from the National Cancer Institute as being fully comprehensive. This means we have in addition to therapy at OSU, outstanding programs in basic and translational research, things that bring treatments to the clinic, as well as tremendous outreach efforts with regard to education and prevention.

Our cancer hospital, within the Comprehensive Cancer Center, is one of the only freestanding cancer hospitals. It is the only freestanding cancer hospital in the Midwest and only one of 10 in the entire United States. Despite its freestanding nature, it is highly integrated with the rest of the Medical Center and nationally ranked by *U.S. News and World Report*.

With regard to our research portfolio, we have substantial research funding, nearing \$100 million as of February 2004. It is the largest research program of

JAMES CANCER PROGRAM EXPANSION PRESENTATION (contd)

Dr. Caligiuri: (contd)

the entire Medical Center – 50 percent of the Medical Center's research portfolio and, as equally important, 20 percent of OSU's entire research portfolio.

Substantial research activity is within programs that extend beyond the Medical Center. Almost a quarter of our work goes outside of the boundaries of the Medical Center and into the University, as evidenced by the fact that we have 216 research faculty members who are part of our Comprehensive Cancer Center, along with 423 staff and 13 different colleges at The Ohio State University.

With regard to our fiscal responsibility, we wish to make it known that our original debt from The James facility, proposed in the eighties and finished in early 1990, has been retired early. The James now has cash reserves and a positive operating margin.

So why do we need to expand? There are some startling facts about our population in general. That is to say that as we know our population is maturing, people are living longer and longer. What is startling is that there is about a ten-fold higher incidence of cancer in the elderly, both the incidence of cancer and then death from cancers. Importantly, Ohio's incidence of cancer in the aging population is 24 percent higher than that average within the U.S.

Why is that important? It is important because of the drastic growth that we are beginning to see and will see over the decades to come in the population, which is greater than 65 years of age. A greater than doubling of our mature population within the next 40 years and Ohio is no exception to that rule. The cancer in mature populations within Ohio will continue to increase over the next several decades.

Having said that, when one looks at our capacity to meet the needs of this population it is startlingly limited. Here we are looking at our average daily census within our James Cancer Hospital. As you can see by this slide, in the year 2000 we showed an average daily census of 104. That has gone up 31 percent to 135, which is our average daily census now, and we are up to full capacity of 161 on our highest day count. We actually currently have only 158 beds.

In terms of the growth that is expected, the incidence and mortality from cancer -- despite the many, many advances of people getting more and more chemotherapy, radiation therapy, and surgery to live successfully with cancer -- will continue to increase. As can be seen by this slide, our ability to meet that is somewhat limited at this point.

I want to leave you with this slide regarding our research awards. You should note that over the last several years there has been tremendous investment by the Medical Center in the cancer program. We have recruited over 100 new principal investigators, and you can see that our funding has and will continue to escalate.

Importantly, research drives innovative care that we can provide to any person in Central Ohio and beyond as we do apply to every county within the State of Ohio, and 48 of the 50 states in the United States and several countries outside of the United States. We provide highly innovative patient care. So research and the research portfolio drives that innovative care to keep people alive and to cure people with cancer.

JAMES CANCER PROGRAM EXPANSION PRESENTATION (contd)

Dr. Caligiuri: (contd)

Secondly, it provides a wonderful base for our students. We have nearly 400 students who are directly affiliated with the laboratories in the cancer program. We heard from Mr. Alvarez-Breckenridge today, one of our cancer researchers. There are many, many others like him, as well as the thousands of students who our cancer researchers teach on a daily, weekly, and monthly basis. This has a very important impact across the entire University.

What I would like to do at this point is turn this over to Dr. Schuller, who will continue with the presentation.

Dr. David E. Schuller:

Thanks, Mike. Good morning, everybody. We feel that right now we are at a strategic inflection point for our cancer program. Maybe a little historical perspective might help to understand what we are talking about here.

The 1970s were really spent on the heels of the National Cancer Act in 1971, with us competing with other statewide academic medical centers for that designation that Mike described -- to become a National Cancer Institute-designated comprehensive cancer center. We achieved that goal in 1976. We were the 18th such designated comprehensive cancer center and are one of the few nationally that has been able to sustain that designation throughout our entire history. So that was the energy in the 1970s.

In the 1980s came a tremendous effort by University, as well as community, leaders to convince the leadership of the State of Ohio to invest in this University's cancer program. The James Cancer Hospital and Solove Research Institute was built and opened in 1990.

The hospital opening gave us then the opportunity to really accelerate our patient care, as well as our research mission. That provided us with expanded resources that we then reinvested back into continuing to grow the research mission. So the 1990s then brought us to what we now view as this very important inflection point. What we are meaning by that is we feel that these last several years have now set the stage in terms of us developing a certain critical mass of expertise with our faculty recruitments and with the space requirements that we would need to become a truly national power in this area, as well as the technological advancements.

Clearly at this point in time, because of that critical mass, we have that opportunity to accelerate the rate of growth in our research-driven patient care. But quite frankly, folks, the alternative of status quo is not really a possibility. If we do not continue this accelerated rate of progress, we fear that indeed we will lose momentum with loss of some of our nationally recognized cancer researchers.

So what is the vision of our plans for dramatically expanding this cancer program? It is what you see there on the screen: to provide superb patient care that is distinguished by the linkage with our research mission for Ohioans and beyond. We have set certain goals for ourselves that we think will then enable us to change this dream into reality:

- To support the University's Academic Plan, as well as to be tightly integrated with the plans for expansion of our activities throughout the entire Medical Center;

JAMES CANCER PROGRAM EXPANSION PRESENTATION (contd)

Dr. Schuller: (contd)

- To meet the needs of our patients, students, and faculty;
- To concentrate and coordinate our research, educational, and patient care mission that gives us that opportunity to leverage all of that energy and to synergize; and
- To be an economic engine for Central Ohio and beyond.

The tactic that we are going to utilize is what you see stated there. So this is not about expanding a hospital or expanding and developing a new research building. It is about creating a unique physical and intellectual environment that will enable us to accelerate our translational research and expand and improve the treatment opportunities for our patients.

As we have gone through the feasibility study now over the last several months, we have come to make certain observations. As Mike described, there is absolutely no question that the current situation is that we already have maximal demands on our current resources of space, whether it is patient care or laboratory space. We think it is critically important as we anticipate having expanded space on board in the next five to six years to continue though with our accelerated growth. We have a strategy developed during this feasibility time that will enable us to continue to grow and expand our inpatient and outpatient volume, as well as research. Space, as well as funds, has been identified to enable us to continue our growth as we proceed with expanding the space.

There is no question that the Biomedical Research Tower throughout the Medical Center gives us that opportunity for tight integration. We will be an integral part of the Biomedical Research Tower which will then decompress some of the laboratory needs that we will need for our expansion plans in cancer.

That second-to-last bullet is important. That is that with our volume projections that we have done, we feel that we can plan this expansion so that the new space that comes on board will be appropriate to the volume projections in the next five to 10 years. If you will, we certainly will prioritize the matter of "right-sizing" this expansion. There is no question that one of the first things we did with this was to analyze and document the contribution of the cancer program and how it enhances the Academic Plan of the University.

Finally, we need to take a look at the economic ramifications. Right now over the next 10 years the estimate for this total project will be somewhere between \$350-\$400 million. That is a big number and we have taken that quite seriously. One of the things we did during the feasibility activities was to question some of our colleagues and other national cancer programs and to ask them a very simple question: "How much have you spent and are planning to spend in the next five years in investing in your cancer program?" The average for those 10 institutions that we queried was \$374 million. So this planned expansion is aligned with the other national leaders in cancer research.

That project is intended to be driven and funded by the reserves that the Hospitals has been fortunate to develop over the last few years, as well as University bonding capacity that will be repaid by the revenue from the operations of the Hospitals and philanthropy. The community of Ohio State supporters has been extraordinarily supportive and it has truly been a differentiator for us to help us make a difference with our rapid recruitment of world-class researchers. Again, we feel that the breadth and depth of our

JAMES CANCER PROGRAM EXPANSION PRESENTATION (contd)

Dr. Schuller: (contd)

organization will enable us to become competitive for some of the national philanthropic organizations that support cancer research.

Last, but not least, we feel that clearly this will be an economic engine that will be an economic value, not only to Columbus and Central Ohio, but also throughout the state. Conservative estimations of new jobs that this expansion will bring is between 1,600 and 2,600 new jobs of staff, clinical research faculty, and support personnel, with an additional \$150-\$175 million in additional cancer research grants by the year 2015.

This is the progress that has been made to date, which has brought us to the point where we are now seeking action by the Board of Trustees for the next step. I will throw it over to Mr. Shkurti to make that request.

Mr. William J. Shkurti:

Thank you, David. As David and the previous speakers have mentioned, this is really not only a collaboration between The James, the Comprehensive Cancer Center, and the Medical Center, but also with the University. So together, we are asking you to do the following.

First of all we are seeking your approval for a resolution to allow us to go out to advertise for architects and engineers and a construction manager to begin the detailed design process. Meanwhile, we will go ahead with finalizing the site selection as part of the Health Sciences Master Plan. I talked about that in the Fiscal Affairs Committee meeting, where we are going to take a comprehensive look at the whole Health Sciences Center and make sure that, as we move forward with construction and renovation, we are doing so in such a way that makes sense so that patients, faculty, and staff can get in and out, park appropriately, and have access to the services. The timeline for that is probably the next 12-18 months.

Finally, we will be working while the design process is going on to finalize a business plan to bring back to the Trustees prior to asking for your approval for construction. That business plan will have a lot of details that need to be worked out and we will have input from the feasibility study, the facilities plan, and the detailed work the architects and engineers are doing. We expect that to take between 18-24 months, at which point we will come forward for construction. In between we will keep the Board informed as this unfolds so you have a sense of where the project is going.

That completes our formal presentation. If anyone has questions for us, we will be happy to answer them.

Mr. McFerson:

Just to follow one question in the Fiscal Affairs Committee meeting with regard to the siting. I recall that we went back and took a good long second look at siting for the Ross Heart Hospital and what a wonderful job that was. They came back with a terrific plan. I applaud the efforts of really doing a very careful, thorough, and thoughtful job on siting, because you only get one chance to do this. So, it is not to be rushed.

The question I have is for you, Mr. Shkurti. This Board has always been concerned about bonding capacity and our bond ratings and, of course, this is

JAMES CANCER PROGRAM EXPANSION PRESENTATION (contd)

Mr. McFerson: (contd)

going to require access to the bonding capacity of the University. Would you address those issues a bit further?

Mr. Shkurti:

Sure. That is something that has been foremost on our minds as well. This project will go forward in phases. It sounds like a lot of money and it is, but it is spread out over a number of years, so there will be a number of bond issues that will be affected.

I think the important points are: first, that this project was approved on a conceptual basis by the Board in September, along with the Ohio Union and the other projects that were in the other current capital plan. Then the Board approved a target for the next bond issue that would preserve the University's current debt rating at \$400 million in December. So this was taken into account at the time that was set. The next bond issue will probably be some time early in calendar year 2005. Some initial costs from this project and others will be in there, but we are confident we can hit that \$400 million target or beat it. We have to do that to keep our credit rating up.

The second point is future bond issues and we tend to do these about every 18 months. As we go forward from the next bond issue and capital process, we will make sure we align the debt capacity, the bond rating, and what we are doing in capital projects in a timely way to protect our credit rating.

The third point is that the credit rating is not really a fixed number in time, but really a judgment the rating agencies make based on not only our debt, but also the amount of revenue we bring in. So to the degree to which the Medical Center continues to be successful in increasing its patient volume and research, that actually increases the University's debt rating because it increases our ability to pay back those bonds.

Ms. Hendricks:

Where do you think the biggest risks are in the assumptions that you have made in this planning?

Dr. Sanfilippo:

There are multiple risks in this. I would point out that the biggest risk is not doing this. That is a 100 percent risk of not just losing momentum but, frankly, losing many of our best investigators and clinicians and not being able to bring others in.

I think one of the intangibles in all of this is reimbursement for health care. Obviously we benefit by the current PPS exemption. We benefit in some regards by the current level of reimbursement for health services, which every few years comes under various stresses. Most recently the balanced budget amendment has had a very significant impact on reimbursement and disproportionately so on academic medical centers. I think I have presented some of those data to the Board before. These things can happen.

On the flip side, CMS, the payer for Medicare and, to some extent, Medicaid, is really moving strategically with recent legislation from simply reimbursing, in a sense, on a cost basis to operating much more as a payer and looking at out-

JAMES CANCER PROGRAM EXPANSION PRESENTATION (contd)

Dr. Sanfilippo: (contd)

comes. That is their strategy. This is not well known by most of the public, but the legislation that passed, as recently as December, really is moving CMS entirely into that mode. That is good news for us, because one of the things we track very closely in the Medical Center – and the Board has seen some of these data -- is how well we do in terms of outcomes against our benchmark institutions. By benchmarks, I am talking about national benchmarks as well as local benchmarks.

So as reimbursement goes more and more towards being tied to outcomes, that is great. I think that is the most likely trend. So we would mitigate some of the potential reduction in overall reimbursement with it being skewed towards outcomes. I think this would be very good news, first of all for this project in terms of The James, but across the entire Medical Center when we look at our outcomes in other areas as well.

Mr. Sofia:

Fred, you know that two years ago The James accomplished something not many units here at the University do when we finance and issue bonds. I understand every dollar of the debt was paid, plus interest owed to the University. I take it then that in your future business plan and projection, it is going to be focusing also on making sure there is enough cash flow to service a debt from the revenue of the Hospitals and other sources?

Dr. Sanfilippo:

Absolutely, with Bill and many others, literally and figuratively, looking over my shoulder. Obviously, we have to be fiscally responsible. One of the analogies we have used for this project is the combination of what we have done and presented and the Board has approved related to the Heart Hospital and the Biomedical Research Tower. This is a project analogous to the combination of clinical and research facilities. Obviously, we have been very compulsive about making sure we track and keep track of how we are doing in terms of the financing of both of those projects, both of which involved significant debt capacity and bonding activities.

I will point out one of the reasons why we do not have the details of the planning process to go forward, is to look at what that mix of bonding, cash, and philanthropy will need to be. We obviously have to do the studies to estimate and project accurately what the philanthropic support will be, as we do for all our projects. Of course, the Development Office and Jim Schroeder have been very much involved in that process and that will take some time to do. But there is obviously a relationship between cash on hand, operating revenues, and bond rating, which then affects debt service. So these are all interlocking variables that in this planning process we will tease apart and bring forward to the Board our best guess as to what the balance should be between the bonding, as it affects operations and cash reserves because these are all interrelated.

Mr. Sofia:

Fred, as you do that, I would suggest that we be very aggressive in seeking federal and state funding, as well as help from our friends in donations and corporate development. We need to try and minimize as much as we can our debt in the mix of this.

JAMES CANCER PROGRAM EXPANSION PRESENTATION (contd)

Dr. Sanfilippo:

Absolutely. I think the projections that will come forward will be very conservative, as they have been with the Heart Hospital and BRT in those expectations and fortunately, for instance, in the BRT. Some of the funding from those sources have exceeded our expectations, because our expectations in the proposal we brought to the Board were zero. So we will try to be very conservative when we bring these figures forward.

Mr. Sofia:

Any other questions for Fred? We really appreciate this effort from Fred and the leadership of the Medical Center; Bill Shkurti and his financial group; and certainly The James. As you know, The James truly represents "the best of everything." The best in-patient care, the best in research, and the best in discovery. They are compassionate, dedicated, and energetic, and truly a team. Thanks, again, and we hope to see a wonderful business plan in the near future.

**EMPLOYMENT OF ARCHITECT/ENGINEERS AND CONSTRUCTION
MANAGEMENT SERVICES**

Resolution No. 2004-133

CANCER PROGRAM EXPANSION

Synopsis: Authorization to employ architect/engineering firms and construction management services for the expansion of the Comprehensive Cancer Center and the James Cancer Hospital and Solove Research Institute is requested.

WHEREAS the University desires to proceed with the needed expansion of the Comprehensive Cancer Center and the James Cancer Hospital and Solove Research Institute; and

WHEREAS this initiative will create a unique physical and intellectual environment for the Cancer Program that accelerates the growth of translational research, supports the push towards personalized medicine and allows the University to move toward the desired goal of becoming one of the top ten National Cancer Institute funded cancer centers by dramatically expanding cancer prevention, diagnostic treatment and research opportunities for individuals in Ohio and nationally; and

WHEREAS to pursue this plan, the University desires to enter into contracts to provide programming, preliminary design planning, and architectural design services for further development of the cancer program; and

WHEREAS the conceptual planning estimate for this project is \$350,000,000 - \$400,000,000 with funding to be provided by a combination of University bond proceeds, state capital funds, and operating and development funds from the James Cancer Hospital and Solove Research Institute, to be further defined as the project budget is determined; and

WHEREAS the University will finalize the business plan for this project prior to seeking approval to enter into construction contracts for the Cancer Program Expansion project:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to select qualified architectural/engineering/construction management firms as necessary for The Cancer Program Expansion project, provided that no authorization for construction contracts is to be submitted to the Board of Trustees until a comprehensive business plan is approved by the University.

April 2, 2004 meeting, Board of Trustees

**EMPLOYMENT OF ARCHITECT/ENGINEERS AND CONSTRUCTION
MANAGEMENT SERVICES (contd)**

(Background information on file in the Board Office.)

Upon motion of Mr. Borrer, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Sofia, McFerson, Borrer, O'Dell, and Ms. Hendricks.

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Thereupon the Board adjourned to meet Friday, May 7, 2004, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

David O. Frantz
Secretary

Zuheir Sofia
Chairman



Compensation Benchmarks

Report to the Board of Trustees

April 2, 2004

Office of Human Resources

Critical Questions

- How does our recent history of raises compare with benchmark institutions?
- How do our current salaries compare with relevant markets?
- What are we doing to improve our competitive position for Graduate Associates?
- What are we doing to manage benefit costs?
- What is the outlook for salary increases?
- What is the “pain” required to produce compensation gains?

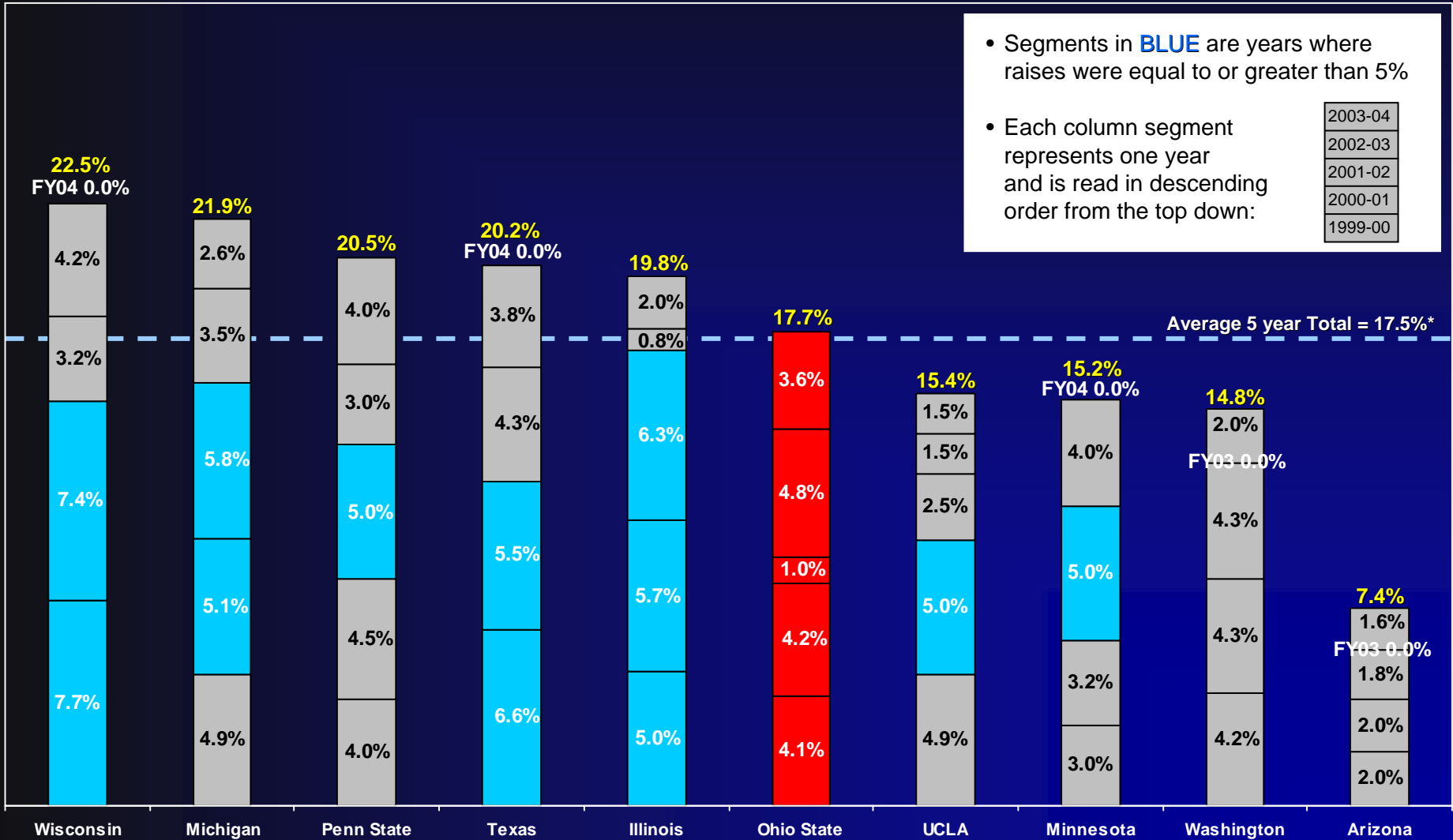


Public Benchmark Institutions Faculty Salary Increase Budget FIVE YEAR HISTORY

- Segments in **BLUE** are years where raises were equal to or greater than 5%

- Each column segment represents one year and is read in descending order from the top down:

2003-04
2002-03
2001-02
2000-01
1999-00



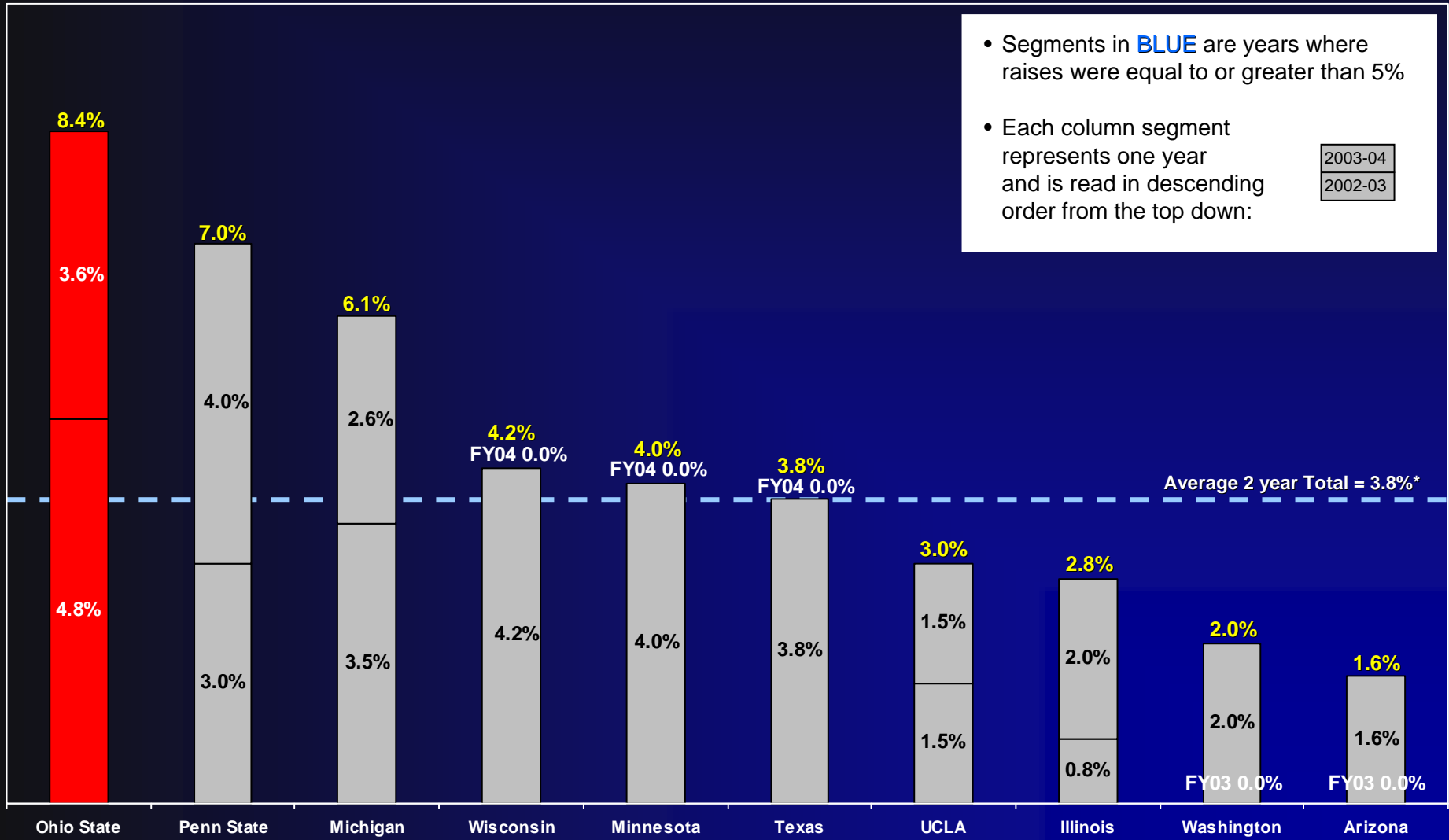
* Average excludes Ohio State



Public Benchmark Institutions Faculty Salary Increase Budget TWO YEAR HISTORY

- Segments in **BLUE** are years where raises were equal to or greater than 5%
- Each column segment represents one year and is read in descending order from the top down:

2003-04
2002-03



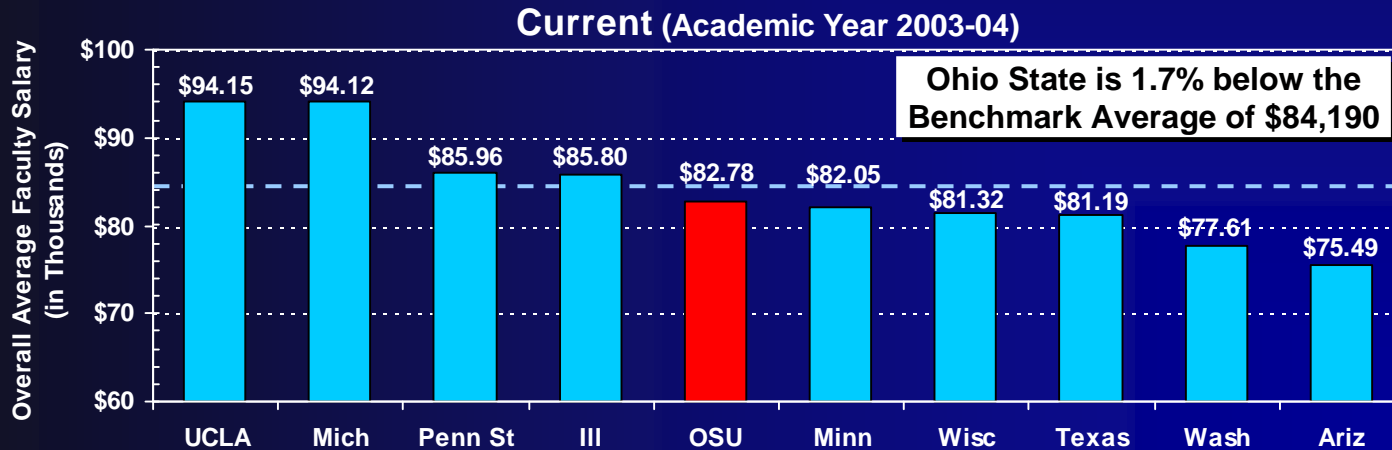
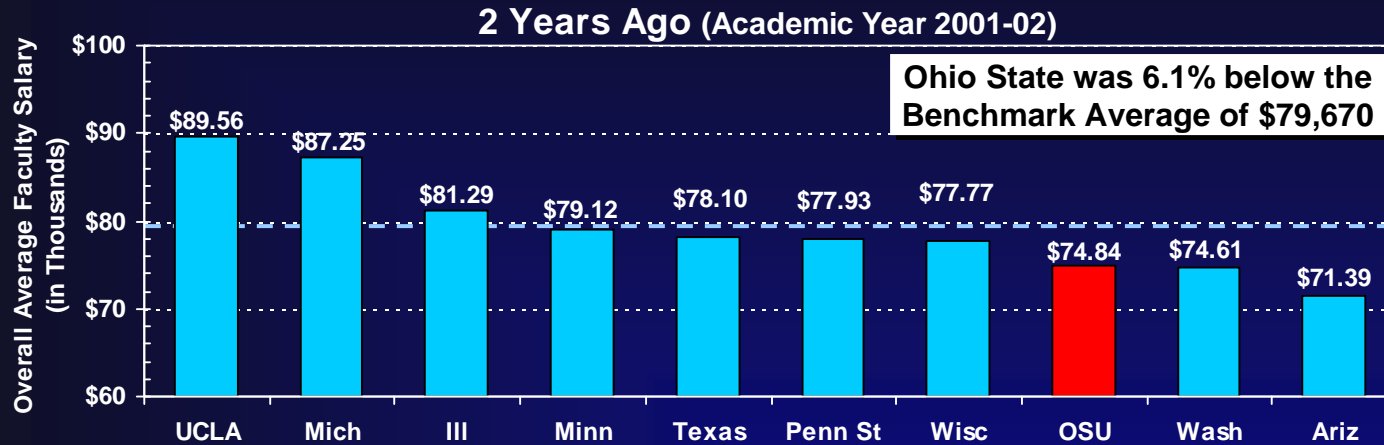
* Average excludes Ohio State



Benchmark Universities

Average Faculty Salaries (in Thousands)

TWO YEARS AGO AND TODAY



Note: Benchmark Averages exclude OSU



Association of American Universities (60 Members) Overall Faculty Salary Ranking

<i>Timeframe</i>	<i>Ranking</i>
<i>15 Years Ago</i>	<i>21st</i>
<i>10 Years Ago</i>	<i>37th</i>
<i>5 Years Ago</i>	<i>39th</i>
<i>Last Year</i>	<i>46th</i>
<i>Current</i>	<i>40th</i>

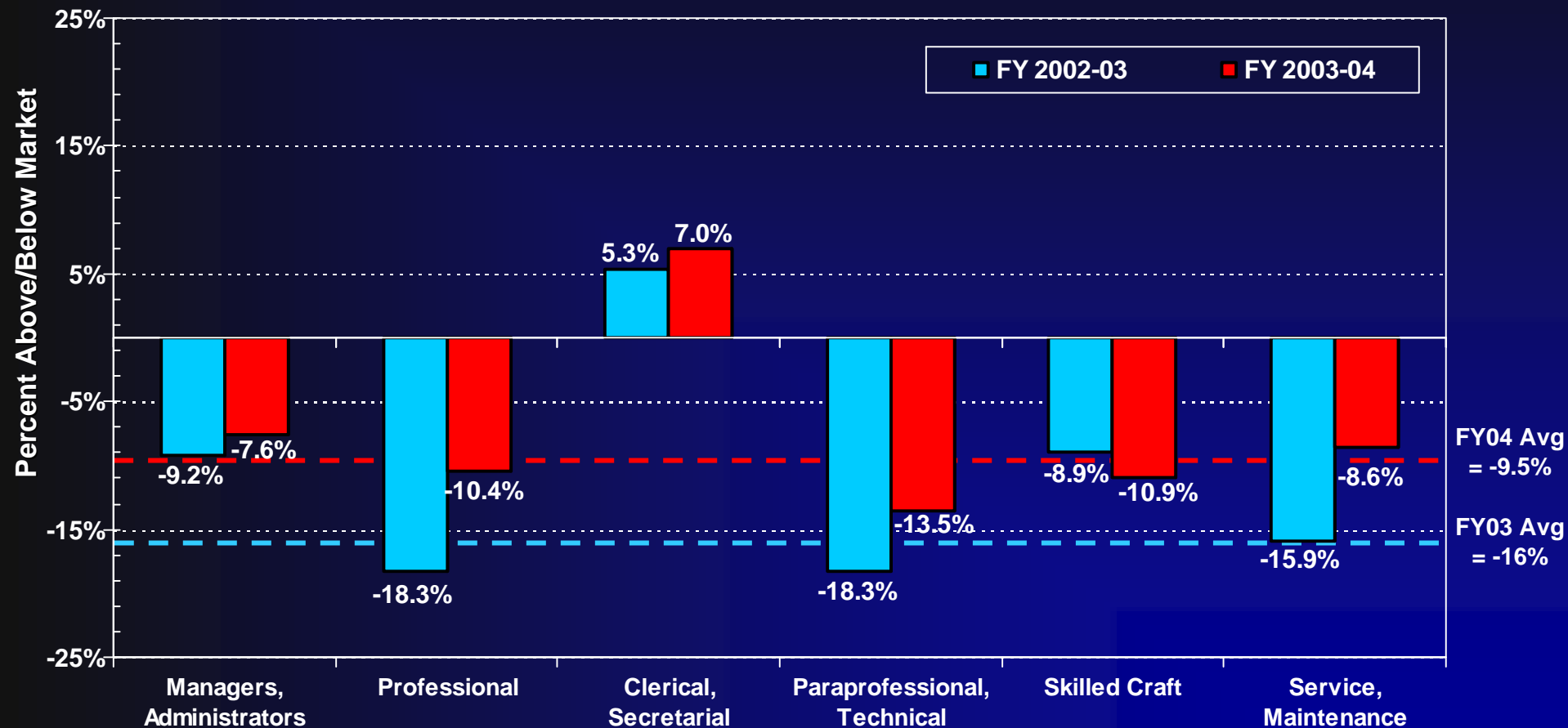
During the previous 15 years, OSU has slipped from near the top third of the AAU to the bottom third of the AAU



Staff Salaries

Compared to External Markets (Adjusted for Benefits)

PREVIOUS YEAR AND CURRENT YEAR



Notes:

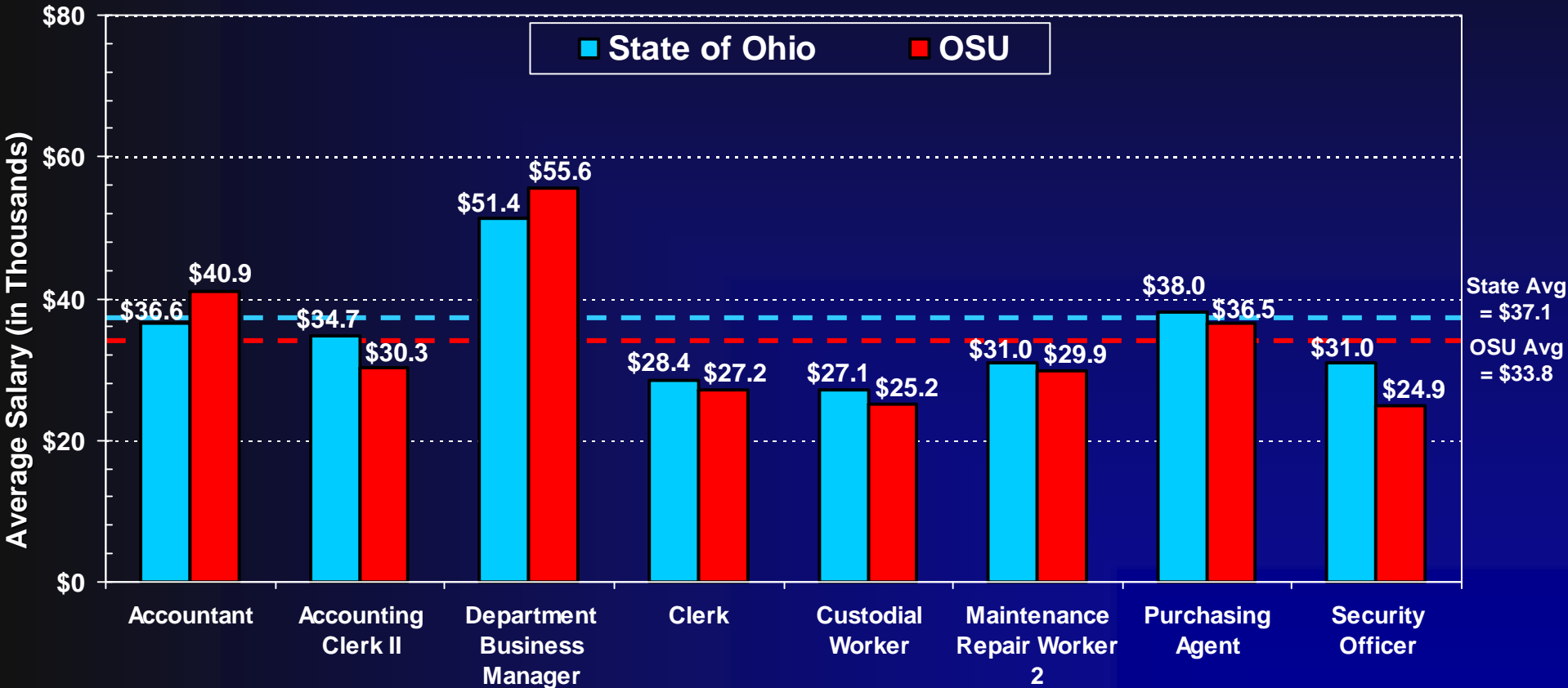
General Industry consists of local, regional and national public, private & non-profit organizations.

Ohio State excludes bargaining unit members, Hospitals, term and temporary employees.

Benefits Adjustment: Based on study by external consultant, external market data is adjusted by 2.5% to account for OSU's relative benefit advantage.



Staff Salaries Compared to the State of Ohio (in Thousands) CURRENT YEAR



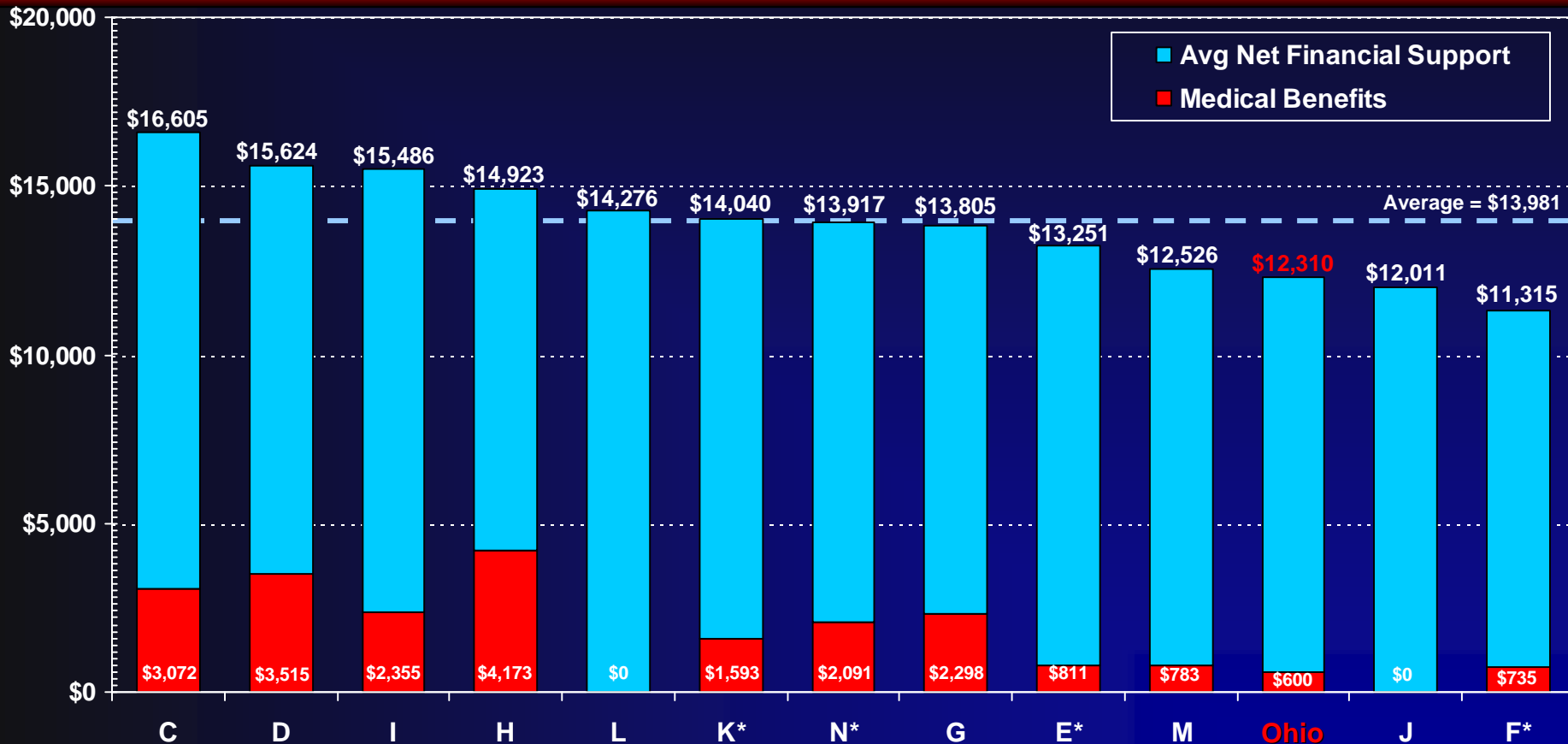
Note:

Excludes Hospitals, term and temporary employees.

These comparisons were obtained through OSU's routine market matching process, wherein we focus on employees at OSU and similar roles that exist at, the State of Ohio, and other external market surveys. The classifications shown above are the subset which have the greatest number of OSU employees. No attempt has been made to do a complete analysis of all OSU employees compared to the State of Ohio.



Summary of Graduate Associate Financial Support - Resident Students Benchmark and Public CIC Institutions Average Net Financial Support and Medical Benefits for FY 2002-03



Notes: * Non-Benchmark Institutions.
 Data represents information for 9/10 month appointments.
 Average Net Financial Support = Stipend + Medical Benefits - Fees.
 Medical: Where multiple plans available, most common plan is reflected. If range of premiums, midpoint reflected.
 Premiums represent composite of 80% single / 20% family coverage.
 Wisconsin's premium not available for 2003-04 so 2000-01 data aged at 12% per year
 UCLA's fees and fee authorization are based on 12 credit hours vs. 9 for other institutions.

Institutions include: Arizona Illinois Indiana Iowa Michigan Michigan State Minnesota
 Ohio State Purdue Texas UCLA Washington Wisconsin

Sources: AAUDE Survey of Graduate Stipends, 2002-03; medical premiums for 2003-04 via phone/web.



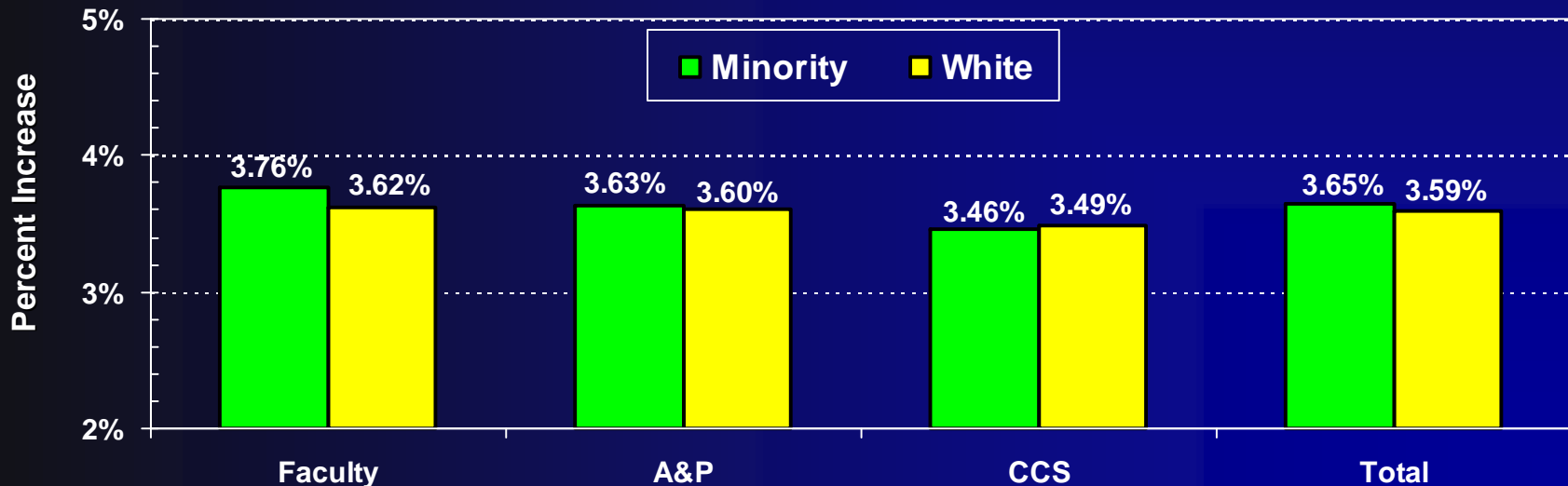
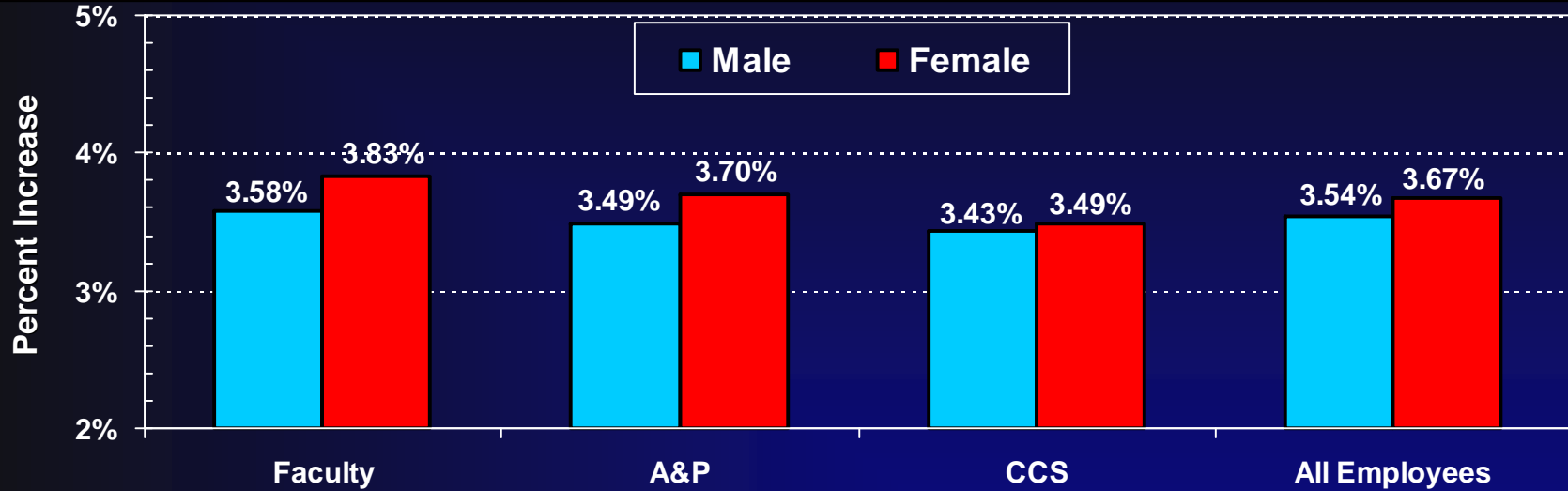
Graduate Associate Competitiveness Planned Improvements

- Continue to include Graduate Associates as part of the Compensation Initiative
- Increase minimum stipend level
- Increase healthcare subsidy
- Provide pre-tax benefit premium payment
- Incorporate conditions of appointment and formalized leave programs



Current Year Salary Increases

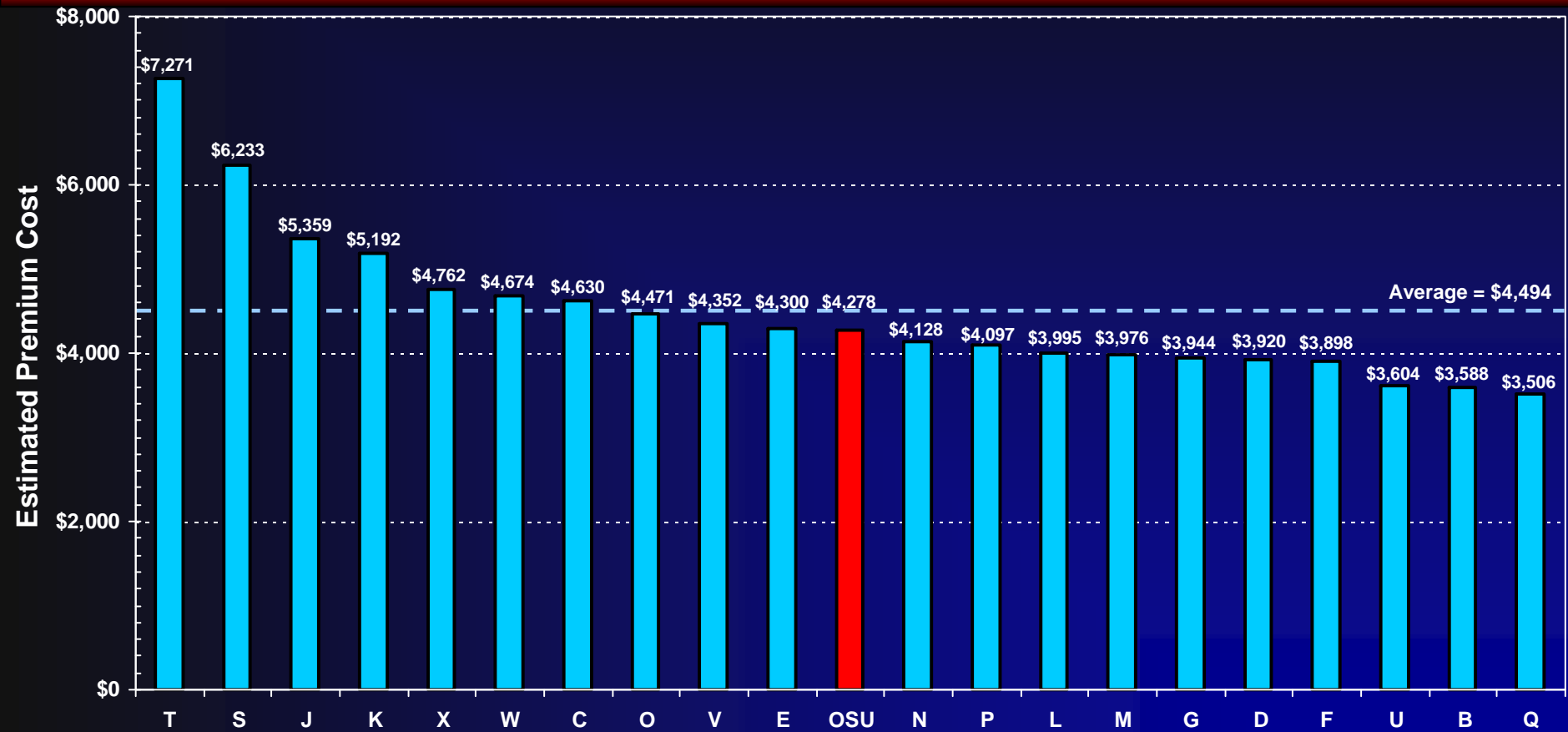
Average Investment (Salary + Bonus) Percentage by Gender and Ethnicity*



* Undisclosed/other ethnicity categories excluded from report
Excludes University Leaders, Administrators, bargaining unit members, Hospitals, term and temporary employees.



Premium Cost Comparison Among AAU Data Exchange Institutions Estimated for Next Year



Source: AAUDE Survey of Benefits Programs, 2003-04
 Premium Cost (full funding cost) = Employer + Employee contributions
 Premium Cost reflects single coverage rate for medical coverage

Institutions include:

- | | | | | | | |
|----------|----------------|--------------|----------|------------|------------|------------|
| Arizona | Colorado | Florida | Illinois | Indiana | Iowa | Kansas |
| Michigan | Michigan State | Minnesota | Missouri | Ohio State | Oregon | Pittsburgh |
| Purdue | Rutgers | SUNY-Buffalo | Texas | Texas A&M | Washington | Wisconsin |



Benefits Redesign Process

- Healthcare costs are one of the items tagged for cost management
- Major redesign process is underway
- Goals: maintain competitive position and reduce trend to less than 10%
- Important partnership with the Medical Center



Competitive Salary Markets Outlook FY 2004-05

IUC - Ohio - Higher Education (early estimate)	3.3%
Benchmark Institutions (early estimate)	2.3% to 2.4%
National - All employer groups	3.2% to 3.7%
Ohio - All employer groups	3.3% to 3.5%
Columbus, Ohio	3.4% to 3.6%
State Government of Ohio	0%
National - Higher Education & Non-Profits	2% to 2.8%



Compensation Initiative Hurdles

- Significant budget reallocations due to state funding cuts
- Colleges and Vice Presidential units have had to create additional reallocation plans to fund raises
- Consequently, several hundred positions were targeted to be eliminated



Summary

- The last two years have seen significant improvements in faculty and staff competitiveness but salaries still remain behind market
- A proposal is in motion to improve our competitive position for Graduate Associates, particularly for health care sponsorship
- The distribution patterns of base increases and bonuses have been favorable for women and minority faculty and staff
- OSU benefits costs remain competitive with other institutions; redesign is underway to reduce trend



Summary (continued)

- We may need to balance our compensation priority with other critical priorities, such as:
 - a) Slowing down the loss of positions (lost positions create stress, burnout of those remaining)
 - b) Improving work/life balance, support, professional development

AUTHORIZATION FOR CAPITAL PROJECTS

Project	Approximate Amount (in millions)	Requested Action
College of Dentistry Feasibility Study	Dept: \$0.25	Enter into feasibility study contracts
Graves Hall 3rd Floor - Biomedical Informatics	Dept: \$0.30	Enter into design contracts
Starling Loving Hall Clinical Trial Area	Dept: \$2.33	Enter into design contracts
Subtotal for Design Contracts	Dept: \$2.88	
<hr/>		
Comprehensive Cancer Center - Chiller Replacement	State: \$0.40	Enter into construction contracts
Kottman Hall - 103 Classroom Renovation	State: \$0.68	Enter into construction contracts
Lima Campus - Galvin Hall 4th Floor Renovation	State: \$0.37	Enter into construction contracts
Lincoln and Morrill Tower Walkways	Dept: \$0.15 State: \$0.86	Approve increase in project costs
Mansfield Campus - Replace/Renovate Roofs	Dept: \$0.13 State: \$0.13 *Other: \$0.16	Enter into construction contracts
OARDC - Exterior Building Signage	State: \$0.03	Enter into design and construction contracts
OSU Ice Rink Renovations	Dept: \$1.19	Enter into construction contracts
Wexner Center - Building Renovations	Dept: \$14.50	Approve increase in project costs
Subtotal for Construction Contracts	Dept: \$15.97 State: \$2.47 Other \$0.16	
Total for all Contracts	Dept: \$18.85 State: \$2.47 *Other: \$0.16	
Grand Total	\$21.48	

* Additional funding supplied by North Central Technical College

College of Dentistry Feasibility Study

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

College of Dentistry

How does this project advance the Academic Plan?

Within the framework of the Academic Plan, the College of Dentistry has outlined a Strategic Plan that includes the following strategic objectives: 1) improve the quality, impact and effectiveness of the educational experience; 2) improve the quality and impact of the research activities and scholarly effort; 3) make their clinics humanistic, caring and patient-centered environments; and 4) recruit, develop and retain top-quality people.

Nature of the Project:

This study will evaluate existing space condition, utilizations, and the ability of the existing facility to meet current and future programmatic needs for this college, as well as other building occupants. The study will include the analysis for renovation and additions of the current facility as well as options and sites for the construction of new facilities for the college, including estimated total project cost.

Preliminary Cost Estimate:

\$250,000

Proposed Funding Source:

Local Funds: \$125,000 College of Dentistry and \$125,000 Central University Funds

Outstanding Funding Issues:

none

Timing Issues:

This project has close physical proximity to Project Cancer and timing for these two projects will impact one another

“Ripple effects” of the project:

This project has physical proximity to Project Cancer and planning for these two projects must be closely coordinated

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): DENTISTRY ADMINISTRATION

Location(s): POSTLE HALL, WENDELL D.

Gross Sq. Ft.278,169 Age: 1950

Description: This study will evaluate existing space condition, utilizations, and the ability of the existing facility to meet current and future programmatic needs for this college, as well as other building occupants. The study will include the analysis for renovation and additions of the current facility as well as options and sites for the construction of new facilities for the college, including estimated total project cost.

Project Team:

Project Information:

Facility Planner: Anne Pensyl
(pensyl.3@osu.edu)

Project Captain: Anne Pensyl
(pensyl.3@osu.edu)

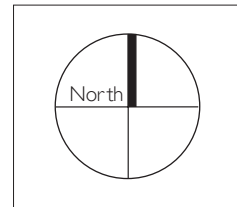
Project Assistant: Curtiss Ashley
(ashley.6@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Central Funding	\$125,000.00	\$125,000.00				
Earnings-Dentistry	\$125,000.00	\$125,000.00				
Total:	\$250,000.00	\$250,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$250,000 study)	04/02/2004		

College of Dentistry Feasibility Study



Office of Business and Finance
Office of Facilities Planning and Development

March 16, 2004

Graves Hall 3rd Floor – Biomedical Informatics

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Office of Health Sciences

How does this project advance the Academic Plan?

The Biomedical Informatics area will serve the research work of a prominent researcher of the University. It is vital that facilities remain current in the latest medical and technological advances. These additional spaces will expand our ability to serve the academic and research component and provide state-of-the-art facilities for research work.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Renovation of Graves Hall 3rd floor Biomedical Informatics area to accommodate faculty and research offices, administrative/support areas and conference rooms. The renovations involve demolition of existing rooms, new layout, upgrading finishes, major upgrade to HVAC and electrical components. It also involves making the renovated areas conform to current life safety codes. The area is approximately 3,738 SQFT. The project will be constructed in two phases.

Preliminary Cost Estimate:

\$ 300,241

Proposed Funding Source:

College of Medicine and Public Health

Outstanding Funding Issues:

none

Timing Issues:

none

“Ripple effects” of the project:

Phasing of the project – upon completion of phase-1 the occupants are to move to that space whereupon phase-2 could commence

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): HEALTH SCIENCES ADMINISTRATION

Location(s): GRAVES HALL, GRANT O

Gross Sq. Ft.220,370 Age: 1969

Description: Renovation of Graves Hall 3rd floor Biomedical Informatics area to accommodate faculty and research offices, administrative/support areas and conference rooms. The renovations involve demolition of existing rooms, new layout, upgrading finishes, and major upgrade to HVAC and electrical components. It also involves making the renovated areas conform to current life safety codes. The area is approximately 3,738 SQFT. The project will be constructed in two phases.

Project Team:

Facility Planner: Is Unassigned
Project Captain: Abu Saleh (saleh.16@osu.edu)
Project Assistant: Curtiss Ashley (ashley.6@osu.edu)
Field Coordinator: Is Unassigned

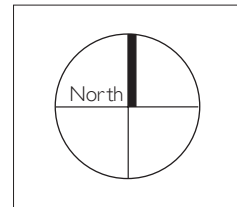
Project Information:

Preliminary project estimate: \$300,241

Table with 7 columns: Source of Funds, Original, Revised, Uses of Funds, As Designed, As Bid, Completion. Row 1: General Funds-Medicine, \$300,241.00, \$300,241.00. Row 2: Total, \$300,241.00, \$300,241.00.

Table with 4 columns: Schedule, Projected, Revised, Actual. Rows include Planning (Arch/Engr Approved by B/T (\$300,241 project) 04/02/2004), Design (Arch/Engr Contract 07/15/2004), and Construction (Construction Start 11/30/2004).

Graves Hall - Biomedical Informatics



Office of Business and Finance
Office of Facilities Planning and Development

March 12, 2004

OARDC – Exterior Building Signage

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

OARDC Business Office

How does this project advance the Academic Plan?

The recent installation of the new telephone system on the OARDC campus has allowed the opportunity to identify each building and room on the 911 Emergency System. For emergency response for fire and rescue personnel, each building on the OARDC campus needs to be identified.

Nature of the Project:

Purchase and install new exterior building signage for all OARDC buildings on its main campus in Wooster, Ohio.

Preliminary Cost Estimate:

\$32,000.00

Proposed Funding Source:

HB 675 OARDC Supplemental Renovation

Outstanding Funding Issues:

none

Timing Issues:

none

“Ripple effects” of the project:

none

Special limitations/risks:

none. Neighboring buildings at ATI currently have the necessary exterior building signage

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): OARDC-BUSINESS OFFICE

Location(s): Various Locations-OARDC

Gross Sq. Ft.0 Age:

Description: Install new exterior building signage for all OARDC buildings on its main campus. With the recent installation of the new telephone system at OARDC, we have gained the capability to identify each building and room on the 911 Emergency System. For emergency response by fire and rescue personnel, each building needs to be identified.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Marjory Spangler
(spangler.40@osu.edu)

Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

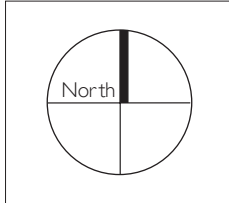
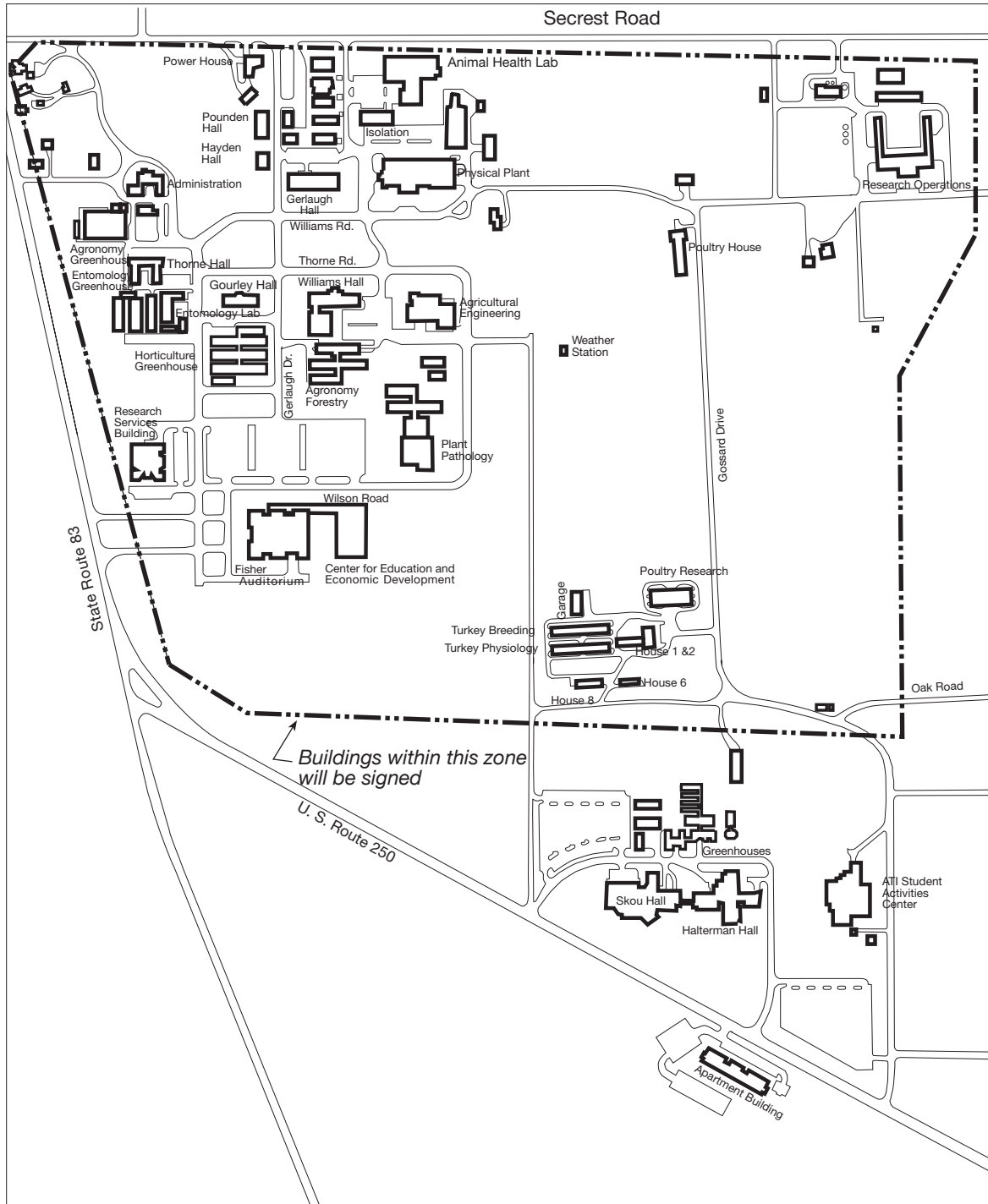
Project Information:

This project designed by in-house personnel.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 OARDC	\$32,000.00	\$32,000.00	Construction	\$27,005.00		\$0.00
Supplemental Renov			Contingency 1	\$2,700.00		\$0.00
			Design	\$1,890.00		\$0.00
Total:	\$32,000.00	\$32,000.00	<u>Local Administration</u>	<u>\$405.00</u>		<u>\$0.00</u>
			Total Project:	\$32,000.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$32,000 project)	04/02/2004		
Bidding Approved B/T (\$32,000 project)	04/02/2004		
Design			
Construction Document Approval	05/21/2004		
Bidding			
Bid Opening	07/05/2004		
Construction			
Completion	08/16/2004		

OARDC - Exterior Building Signage



Office of Business and Finance
Office of Facilities Planning and Development

March 12, 2004

Starling Loving Hall Clinical Trials Area

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):
Office of Health Sciences

How does this project advance the Academic Plan?

The Clinical Trials area will serve the research work of a prominent researcher of the University. It is vital that facilities remain current in the latest medical and technological advances. These additional spaces will expand our ability to serve the academic and research component and provide state-of-the-art facilities for clinical trials research.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Renovation of the first floor of Starling Loving Hall to accommodate new office space for the Clinical Trials area. The space under renovation is currently occupied by the Hospitals' Information Technology Department (which is being relocated to 650 Ackerman). The HVAC, electrical and plumbing upgrades will be done in accordance with the Utilities Upgrade Master Plan done by the University Hospitals for this building. The area is approximately 9,180 SQFT.

Conceptual Cost Estimate:
\$ 2,300,000

Proposed Funding Source:
College of Medicine and Public Health has committed general funds through the design stage.

Outstanding Funding Issues:
Funds to cover the construction phase are yet to be determined.

Timing Issues:
None

“Ripple effects” of the project:
The start date of this project is contingent upon the timely move of the Hospitals' Information Technology function from this area to 650 Ackerman Rd.

Special limitations/risks:
none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): HEALTH SCIENCES ADMINISTRATION

Location(s): STARLING LOVING HALL

Gross Sq. Ft. 141,920 Age: 1917

Description: Renovation of the first floor of Starling Loving Hall to accommodate new office space for the Clinical Trials area. The space under renovation is currently occupied by the Hospitals' Information Technology Department (which is being relocated to 650 Ackerman). The HVAC, electrical and plumbing upgrades will be done in accordance with the Utilities Upgrade Master Plan for this building.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Abu Saleh
(saleh.16@osu.edu)

Project Assistant: Curt Handschug
(handschug.1@osu.edu)

Field Coordinator: Is Unassigned

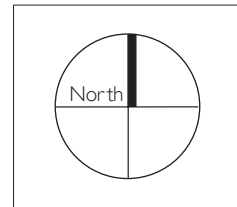
Project Information:

Project pre-design estimate is \$2,329,371. Health Sciences has agreed to fund costs through design. Once final design estimates are obtained and the 2005/06 capital plan is in place, construction funding will be determined.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Medicine	\$244,720.00	\$244,720.00				
Auxiliaries-University Hospitals	\$0.00	\$0.00				
Total:	\$244,720.00	\$244,720.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$2,329,371 project)	04/02/2004		
Design			
Arch/Engr Contract	07/01/2004		
Construction			
Construction Start	04/30/2005		

Starling-Loving Hall Clinical Trial Area



Office of Business and Finance
Office of Facilities Planning and Development

March 12, 2004



Comprehensive Cancer Center - Chiller Replacement

315-2003-921

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): COMPREHENSIVE CANCER CENTER, OSU

Gross Sq. Ft. 30,907 Age: 1980

Description: Replace the existing 22 year old, 163 ton R-11 Trane Chiller in Room 520M in the Comprehensive Cancer Center. This project will include correct sizing of cooling loads and configuration, modification or replacement as appropriate, including cooling tower, circulating equipment and associated piping.

Project Team:

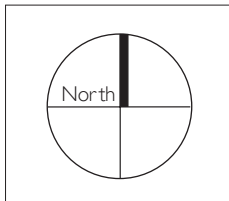
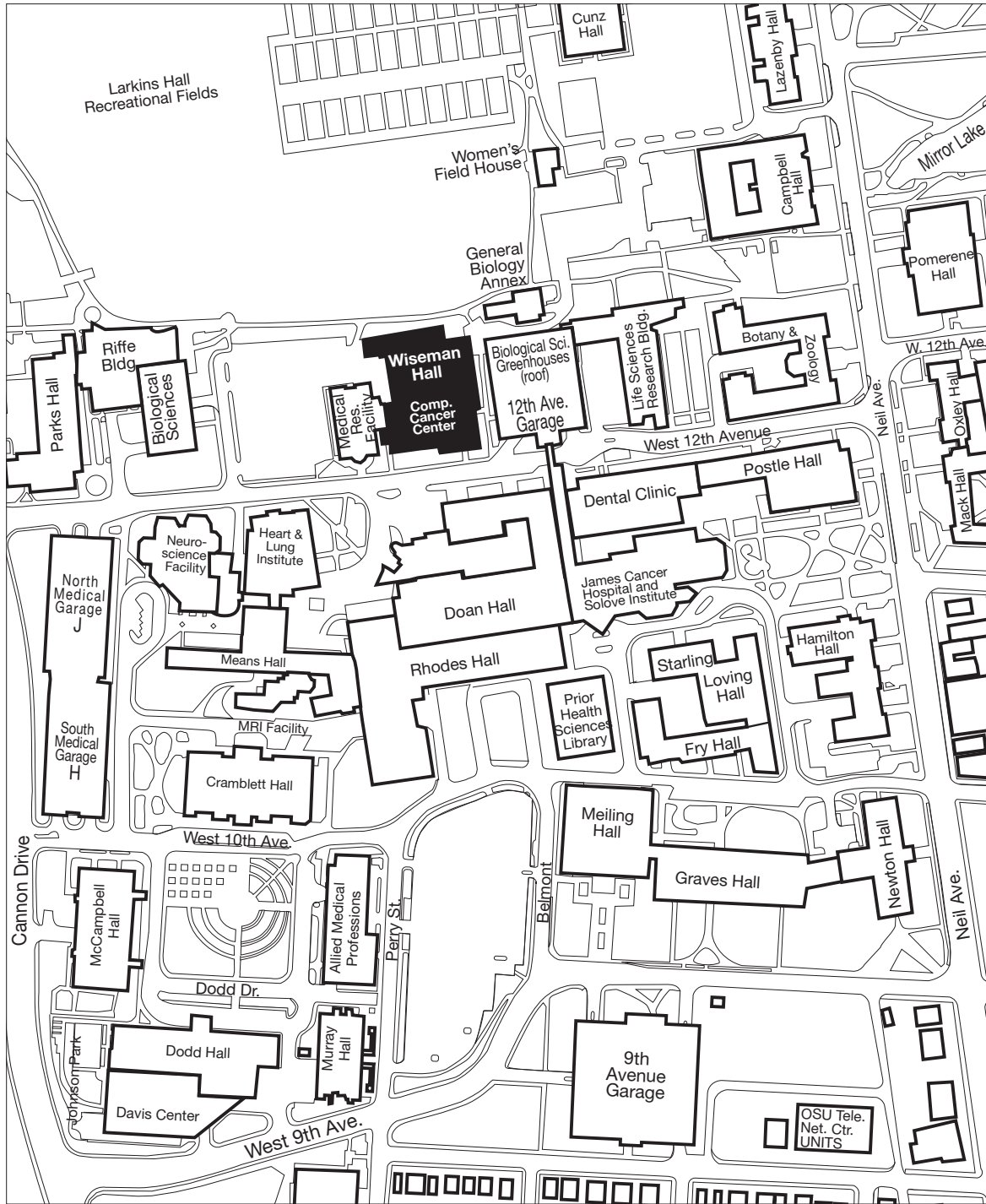
Facility Planner: Is Unassigned
 Project Captain: Barry Mazik
 Project Assistant: Karen Cogley
 (cogley.1@osu.edu)
 Field Coordinator: Mark Scott

Project Information:

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$400,000.00	\$400,000.00	Construction	\$329,220.00		\$0.00
			Contingency 1	\$27,910.00		\$0.00
			Design	\$37,930.00		\$0.00
Total:	\$400,000.00	\$400,000.00	<u>Local Administration</u>	<u>\$4,940.00</u>		<u>\$0.00</u>
			Total Project:	\$400,000.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (400,000 project)	05/02/2003		05/30/2003
Bidding Approved B/T	05/07/2004	04/02/2004	
Design			
Schematic Design Approval	08/30/2003	11/14/2003	11/14/2003
Design Dev Document Approval	11/01/2003	11/14/2003	11/14/2003
Construction Document Approval	03/01/2004	02/06/2004	02/06/2004
Bidding			
Bid Opening	06/01/2004		
Construction			
Construction Start	11/15/2004		
Completion	03/30/2005		

Comprehensive Cancer Center - Chiller Replacement



Office of Business and Finance
Office of Facilities Planning and Development

May 10, 2003



Requesting Agency(s): CLASSROOM POOL

Location(s): KOTTMAN HALL, ROY M

Gross Sq. Ft.166,391 Age: 1981

Description: Patch, rehabilitate, and paint walls and ceilings; install new seating, wheelchair stations, carpet, light fixtures, controls, and chalkboard. Further install new sound system speakers with wiring and controls, projection screen, video projection system, and ADA signage.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Christine Nocar
(lawson.132@osu.edu)

Project Assistant: Leeanne Chandler
(chandler.63@osu.edu)

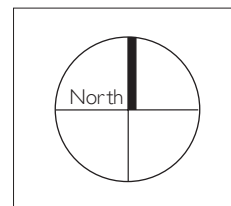
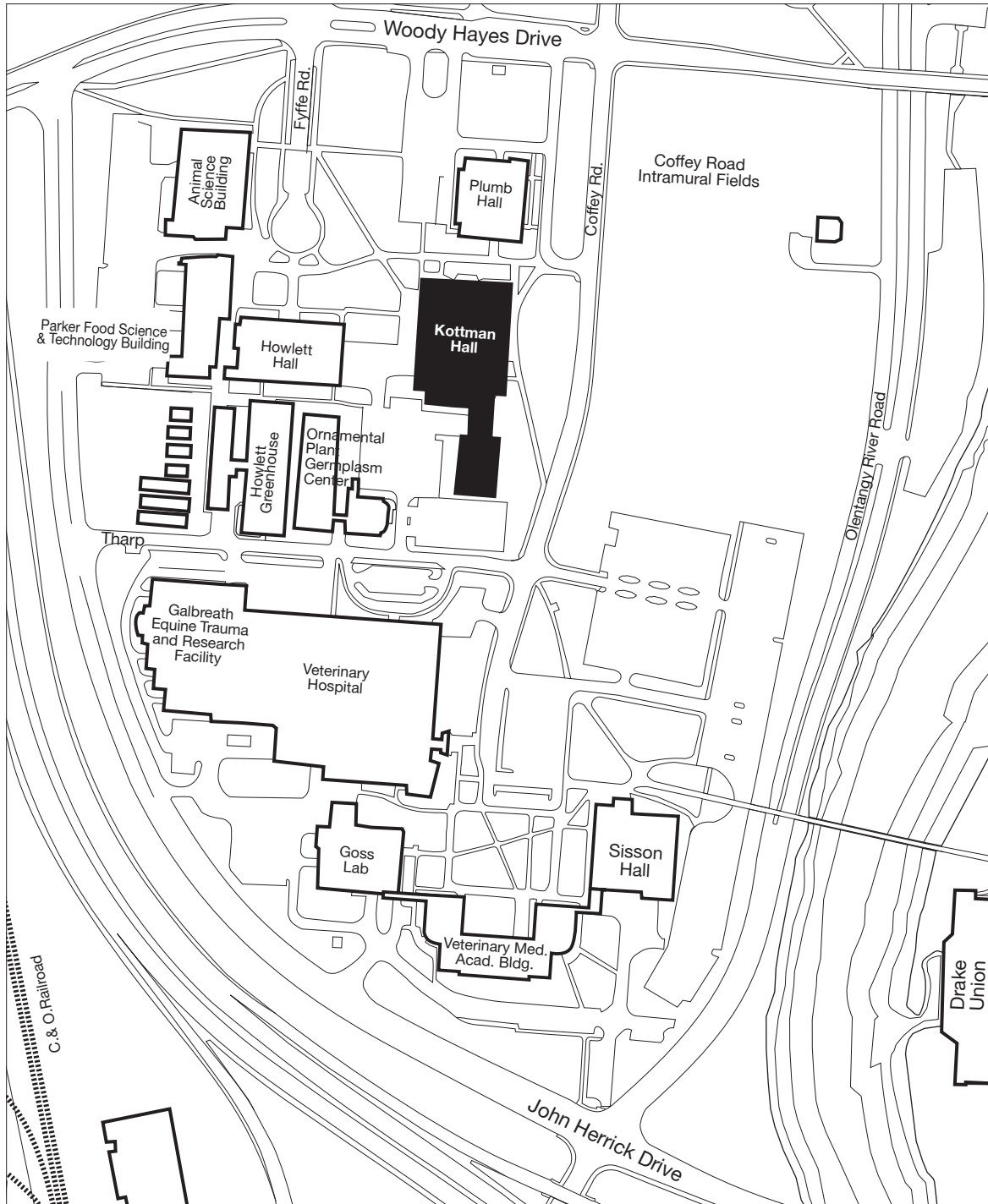
Field Coordinator: Is Unassigned

Project Information:

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB640 Basic Renovations	\$72,900.00	\$72,900.00	Construction	\$374,200.00		\$0.00
HB675 Columbus Basic Renovation	\$607,833.00	\$607,833.00	Contingency 1	\$26,242.00		\$0.00
			Design	\$68,340.00		\$0.00
			Equipment 1	\$206,338.00		\$0.00
Total:	\$680,733.00	\$680,733.00	Local Administration	\$5,613.00		\$0.00
			Total Project:	\$680,733.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$607,833 project)			05/30/2003
Arch/Engr Advertisement (Ohio Register #118)			06/30/2003
Bidding Approved B/T (\$680,733 project)	04/02/2004		
Design			
Arch/Engr Contract			11/25/2003
Design Dev Document Approval (SD/DD Combined)			12/11/2003
Construction Document Approval			03/03/2004
Bidding			
Bid Opening	05/04/2004		
Construction			
Award of Contracts	06/22/2004		
Construction Start	07/01/2004		
Completion	12/17/2004		

Kottman Hall - 103 Classroom Renovation



Office of Business and Finance
Office of Facilities Planning and Development

May 12, 2003



Requesting Agency(s): LIMA CAMPUS

Location(s): GALVIN HALL

Gross Sq. Ft. 81,719 Age: 1966

Description: Renovation of approximately 5,000 square feet of administrative and instructional space of the north portion of Galvin Hall on the Lima Campus.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Christine Nocar
(lawson.132@osu.edu)

Project Assistant: Leeanne Chandler
(chandler.63@osu.edu)

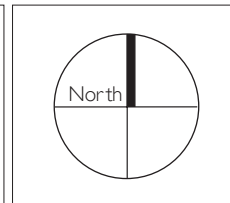
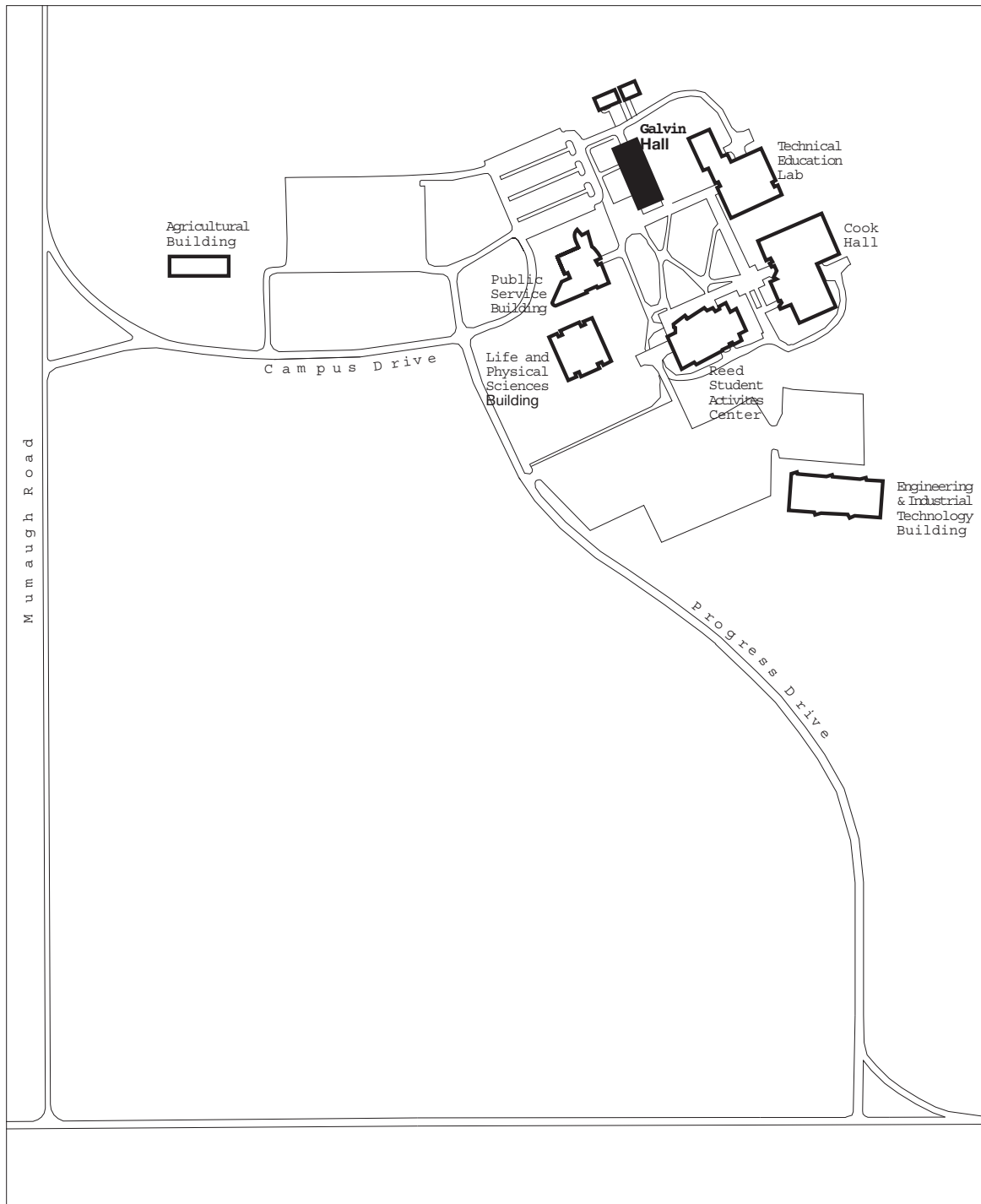
Field Coordinator: Is Unassigned

Project Information:

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB850 Line Item	\$367,656.80	\$367,656.80	Construction	\$302,000.00		\$0.00
Appropriation			Contingency 1	\$26,699.00		\$0.00
Total:	\$367,656.80	\$367,656.80	Design	\$34,428.00		\$0.00
			Local Administration	\$4,530.00		\$0.00
			Total Project:	\$367,657.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Advertisement (Ohio Register #117)	05/01/2003		05/01/2003
Arch/Engr Approved by B/T (\$367,657)	05/02/2003	07/01/2003	05/30/2003
Bidding Approved B/T (\$367,657 project)	04/02/2004		
Design			
Arch/Engr Contract	10/30/2003	11/21/2003	11/17/2003
Construction Document Approval (SD, DD, CD Combined)			03/01/2004
Bidding			
Bid Opening	04/14/2004		
Construction			
Award of Contracts	05/28/2004		
Construction Start	05/31/2004		
Completion	09/01/2004		

Lima Campus - Galvin Hall Fourth Floor Renovation



Office of Business and Finance
Office of Facilities Planning and Development

April 10, 2003



Requesting Agency(s): PHYSICAL FACILITIES

Location(s): Unidentified Bridge-Col.

Gross Sq. Ft.0 Age:

Description: Replace the eastern elevated walkways off Lincoln and Morrill Towers.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Bo Zhang
(zhang.403@osu.edu)

Project Assistant: Karen Cogley
(cogley.1@osu.edu)

Field Coordinator: Colin McBride
(mcbride.62@osu.edu)

Project Information:

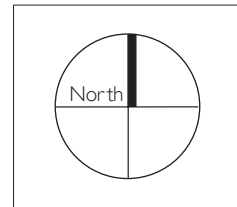
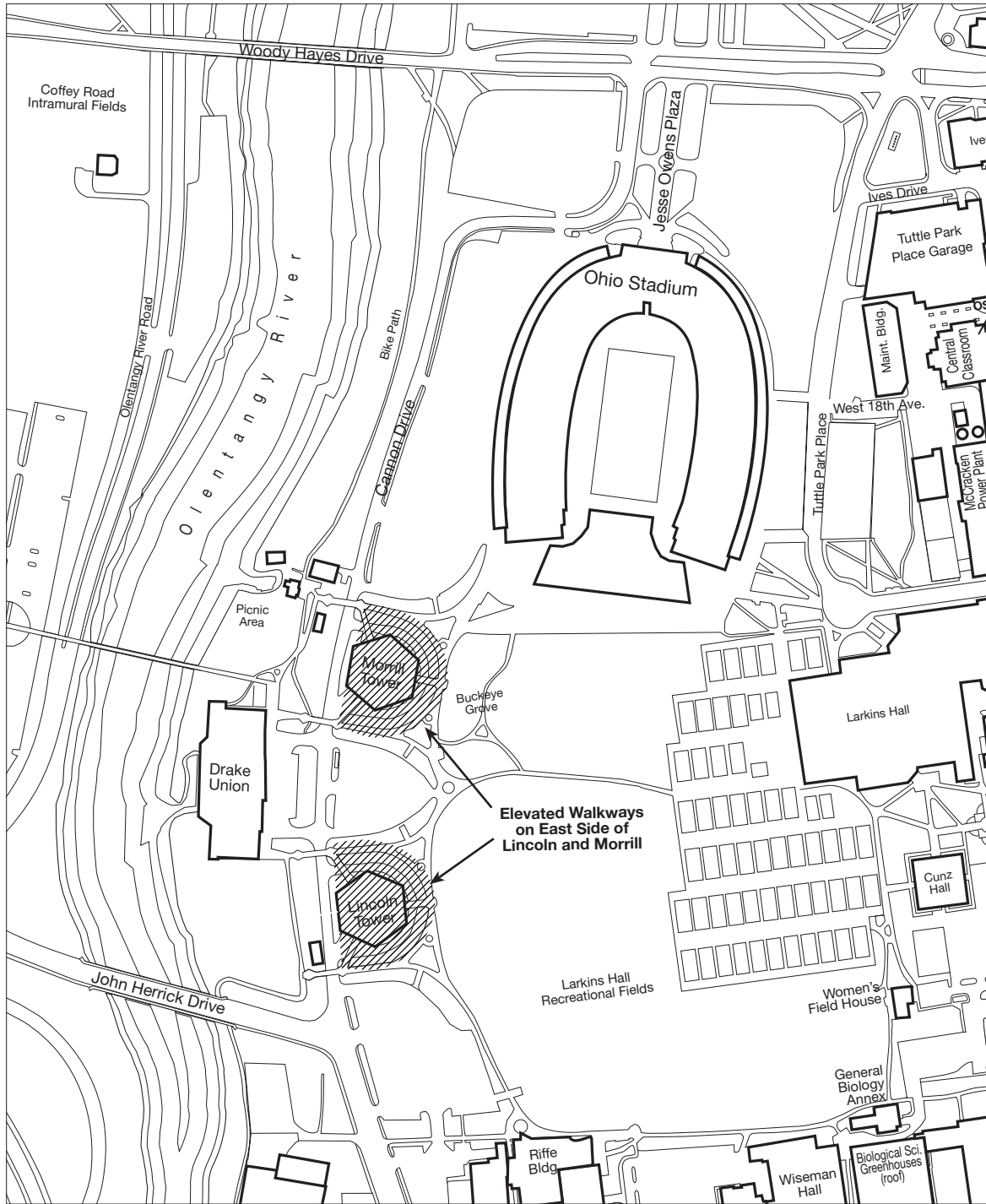
Project will lower the tower entrance by 1/2 level, making ADA accessibility easier.

In March, 2004, it was decided to expand the scope of the project to include the repair/replacement of the tower sidewalks as well as the walkways.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB790 Columbus Basic Renovation	\$0.00	\$195,000.00				
Future Univ. Bond Proceeds	\$0.00	\$115,000.00				
Future Capital Appropriations	\$540,000.00	\$0.00				
HB748 Columbus ADA Modifications	\$60,000.00	\$60,000.00				
HB675 Columbus Basic Renovation	\$0.00	\$600,000.00				
Repair & Renovation Fiscal Yr 2004	\$0.00	\$38,500.00				
Total:	\$600,000.00	\$1,008,500.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$600,000 Project)	10/04/2002		10/04/2002
Bidding Approved B/T (\$660,000 project)	08/01/2003	12/05/2003	11/07/2003
Bidding Approved B/T (\$855,000 project)			12/05/2003
Bidding Approved B/T (\$1,008,500 project)	04/02/2004		
Design			
Schematic Design Approval	04/15/2003		05/02/2003
Arch/Engr Contract	12/16/2002	03/28/2003	08/01/2003
Design Dev Document Approval	06/01/2003	12/31/2003	11/04/2003
Construction Document Approval	08/01/2003	12/10/2003	12/10/2003
Bidding			
Bid Opening	09/15/2003	01/30/2004	02/20/2004
Construction			
Construction Start	03/12/2004	04/30/2004	
Completion	06/15/2004	08/04/2004	

Lincoln and Morrill Tower Walkways



Office of Business and Finance
Office of Facilities Planning and Development

September 24, 2002



Requesting Agency(s): MANSFIELD CAMPUS

Location(s): FALLERIUS TECHNICAL EDUCATION CNTR

Gross Sq. Ft. 58,386 Age: 1970

Location(s): MANSFIELD PHYSICAL ACTIVITIES CTR

Gross Sq. Ft. 19,129 Age: 1977

Description: Major renovation or replacement of the Physical Activities Center and Fallerius Technical Education Center roofs.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Ruth Miller
(miller.2495@osu.edu)

Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

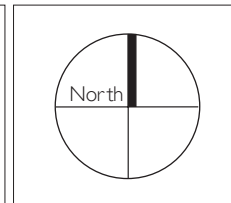
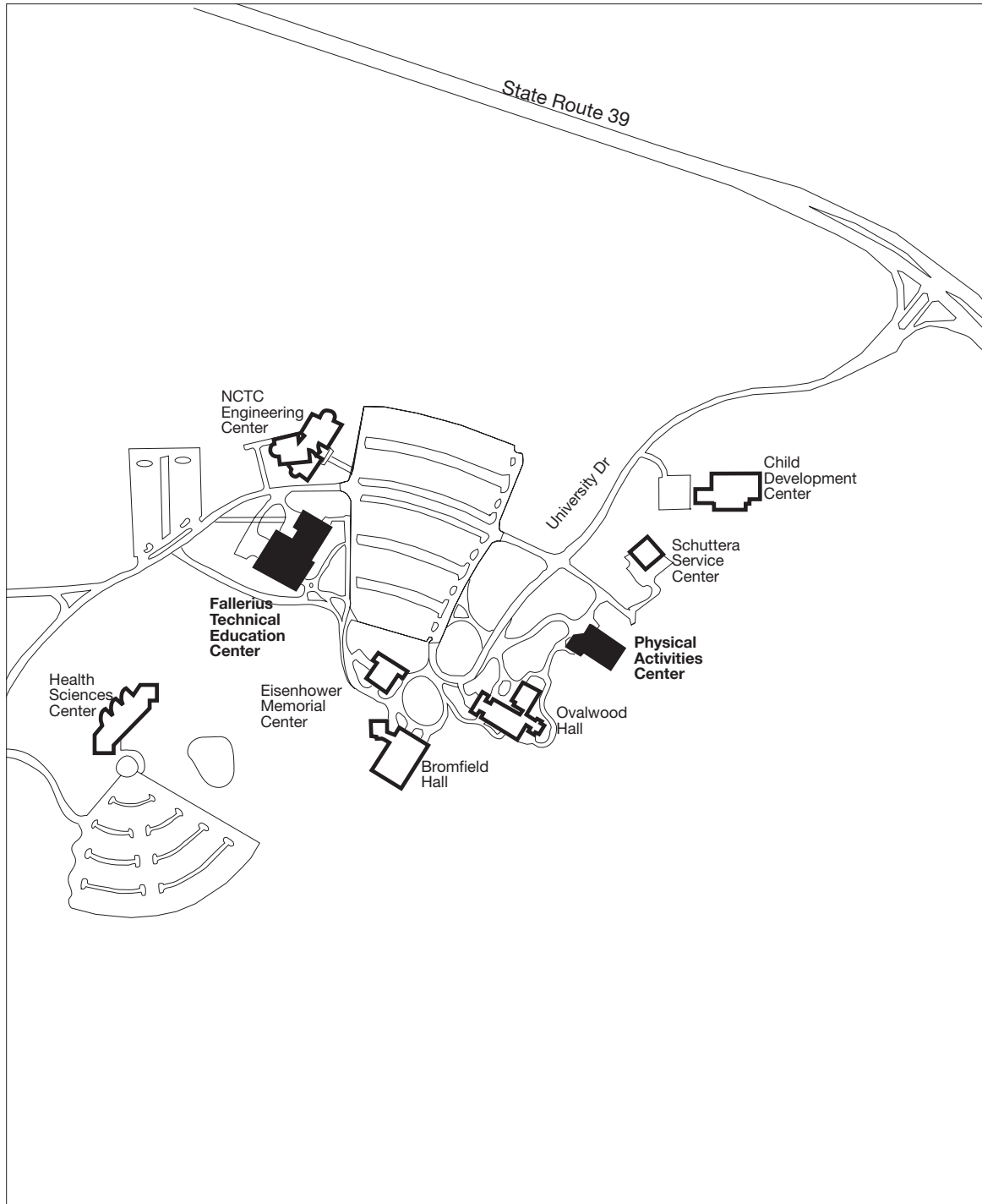
Project Information:

During design, a roof overlay system was planned, but a more expensive replacement is needed due to the condition of the existing roofs.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Development-Mansfield	\$126,554.00	\$126,554.00	Construction	\$374,000.00		\$0.00
North Central Technical College	\$158,600.00	\$158,600.00	Contingency 1	\$18,700.00		\$0.00
HB675 Regional Basic Renovation	\$133,900.00	\$133,900.00	Design	\$18,500.00		\$0.00
			Local Administration	\$7,854.00		\$0.00
Total:	\$419,054.00	\$419,054.00	Total Project:	\$419,054.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$292,500 project)	02/06/2004		02/06/2004
Bidding Approved B/T (\$419,054 project)	04/02/2004		
Design			
Schematic Design Approval	02/27/2004		02/27/2004
Design Dev Document Approval	02/27/2004		02/27/2004
Construction Document Approval	03/29/2004		
Bidding			
Bid Opening	06/10/2004		
Construction			
Construction Start	08/12/2004		
Completion	09/01/2004	09/10/2004	

Mansfield Campus - Replace/Renovate Roofs



Office of Business and Finance
Office of Facilities Planning and Development

January 15, 2004



Requesting Agency(s): ATHLETICS

Location(s): ICE RINK

Gross Sq. Ft. 33,845 Age: 1961

Description: Install a new roof, HVAC improvements, new building insulation with a light colored fabric (reflective) surface, new lighting in the rink area and new, insulated spandrel panels to replace the inefficient materials currently in place on the building's exterior.

Project Team:

Facility Planner: Is Unassigned

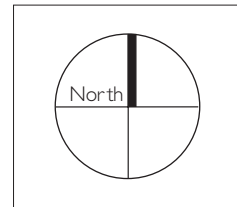
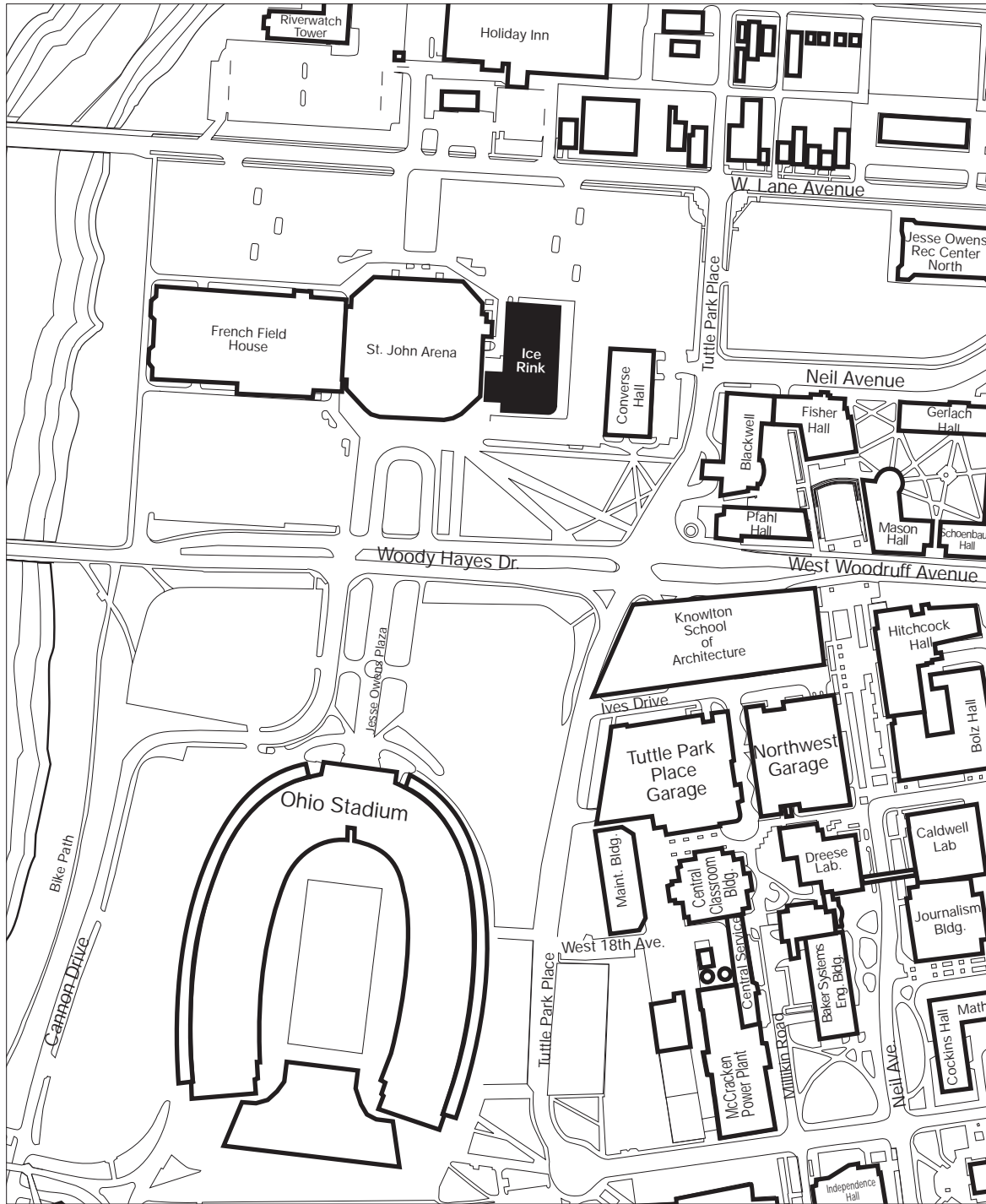
Project Captain: Thomas Heretta
(heretta.1@osu.edu)
Project Assistant: Curtiss Ashley
(ashley.6@osu.edu)
Field Coordinator: Is Unassigned

Project Information:

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-Athletics	\$975,000.00	\$1,187,072.00	Construction	\$956,000.00		\$0.00
Total:	\$975,000.00	\$1,187,072.00	Contingency 1	\$143,400.00		\$0.00
			Design	\$76,200.00		\$0.00
			Local Administration	\$11,472.00		\$0.00
			Total Project:	\$1,187,072.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$875,000-\$975,000 projec)	10/03/2003		10/03/2003
Arch/Engr Advertisement	11/01/2003		11/14/2003
Bidding Approved B/T (\$11187,072 project)	04/02/2004		
Design			
Arch/Engr Contract	02/29/2004		02/19/2004
Construction Document Approval	04/01/2004		
Construction			
Construction Start	06/15/2004		
Completion	09/15/2004		

OSU Ice Rink Renovation



Office of Business and Finance
Office of Facilities Planning and Development

September 13, 2003



Requesting Agency(s): WEXNER CTR-ADMINISTRATION

Location(s): WEXNER CENTER FOR THE ARTS

Gross Sq. Ft. 129,047 Age: 1989

Description: Renovation and retrofit of the Wexner Center for the Arts to correct building envelope and system problems.

Project Team:

Facility Planner: Alex Cofield
(cofield.3@osu.edu)

Project Captain: Scott Conlon
(conlon.1@osu.edu)

Project Assistant: Leeanne Chandler
(chandler.63@osu.edu)

Field Coordinator: Is Unassigned

Project Information:

Project budget increased in July, 2002 to \$12 million to cover additional quality improvements to building systems, including skylight, roof, and mechanical systems.

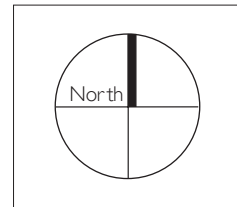
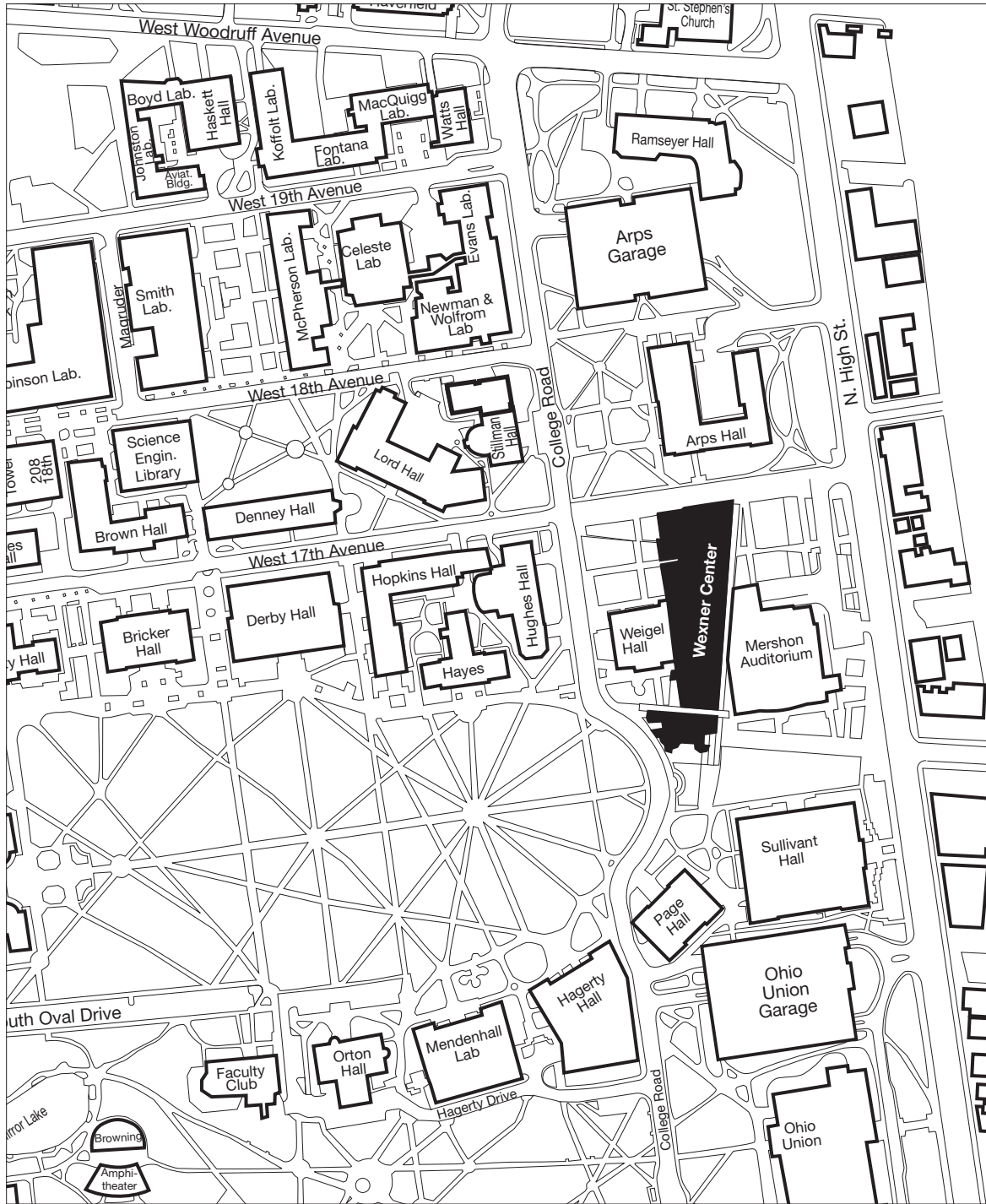
Project budget increased to \$14.5 million in March, 2004 because replacement of the curtain wall and air handlers, rather than repairs, will be more cost-effective in the long term.

Additional related renovations will be required to Merston Auditorium that are not part of this project. These future renovations are expected to total about \$2 million.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Centrally Funded Capital	\$0.00	\$3,500,000.00	Construction	\$9,440,000.00		\$0.00
Projects			Construction Manager	\$1,544,000.00		\$0.00
Future Univ. Bond	\$11,000,000.00	\$11,000,000.00	Contingency 1	\$1,286,000.00		\$0.00
Proceeds			Desian	\$1,878,000.00		\$0.00
HB640 Basic Renovations	\$1,000,000.00	\$0.00	Local Administration	\$122,000.00		\$0.00
			Moving	\$230,000.00		\$0.00
Total:	\$12,000,000.00	\$14,500,000.00	Total Project:	\$14,500,000.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$1 mill Planning Study)	08/30/2000		02/02/2001
Constr Mgr Approved by B/T (\$10,000,000 Project)	04/06/2001		04/06/2001
Bidding Approved B/T (\$12,000,000 Project)	09/01/2001	02/01/2002	07/12/2002
Bidding Approved B/T (\$14,500,000 project)	04/02/2004		
Design			
Arch/Engr Contract (Schooley Contract)	01/12/2001	06/23/2001	06/26/2001
Arch/Engr Contract (ARUP)	10/31/2003		10/31/2003
Constr Mgr Contract (Turner Construction)	11/05/2003	04/01/2004	
Schematic Design Approval	05/04/2001	04/04/2004	
Design Dev Document Approval	09/21/2001	04/04/2004	
Construction Document Approval	03/08/2002	06/10/2004	
Bidding			
Bid Opening (BID PKG 1 - SCA DESIGN)	07/26/2002		08/27/2002
Bid Opening (BID PKG 2 - ARUP DESIGN)	04/10/2002	07/01/2004	
Construction			
Completion	11/29/2003	07/05/2004	
Construction Start	05/25/2002	09/01/2004	

Wexner Center - Building Renovations



Office of Business and Finance
Office of Facilities Planning and Development

December 9, 2000

PURCHASE OF REAL PROPERTY

FOUR ALLEY RIGHTS OF WAY
NORTHEAST OF KENNY ROAD AND LANE AVENUE
COLUMBUS, OHIO

Location and Description

The property to be purchased is located northeast of the intersection of Kenny Road and Lane Avenue in Columbus, Ohio. The property, which is inside the University's acquisition area and surrounded by University property, is owned by the City of Columbus and consists of four undeveloped rights of way and vacant land totaling 0.54 acre.

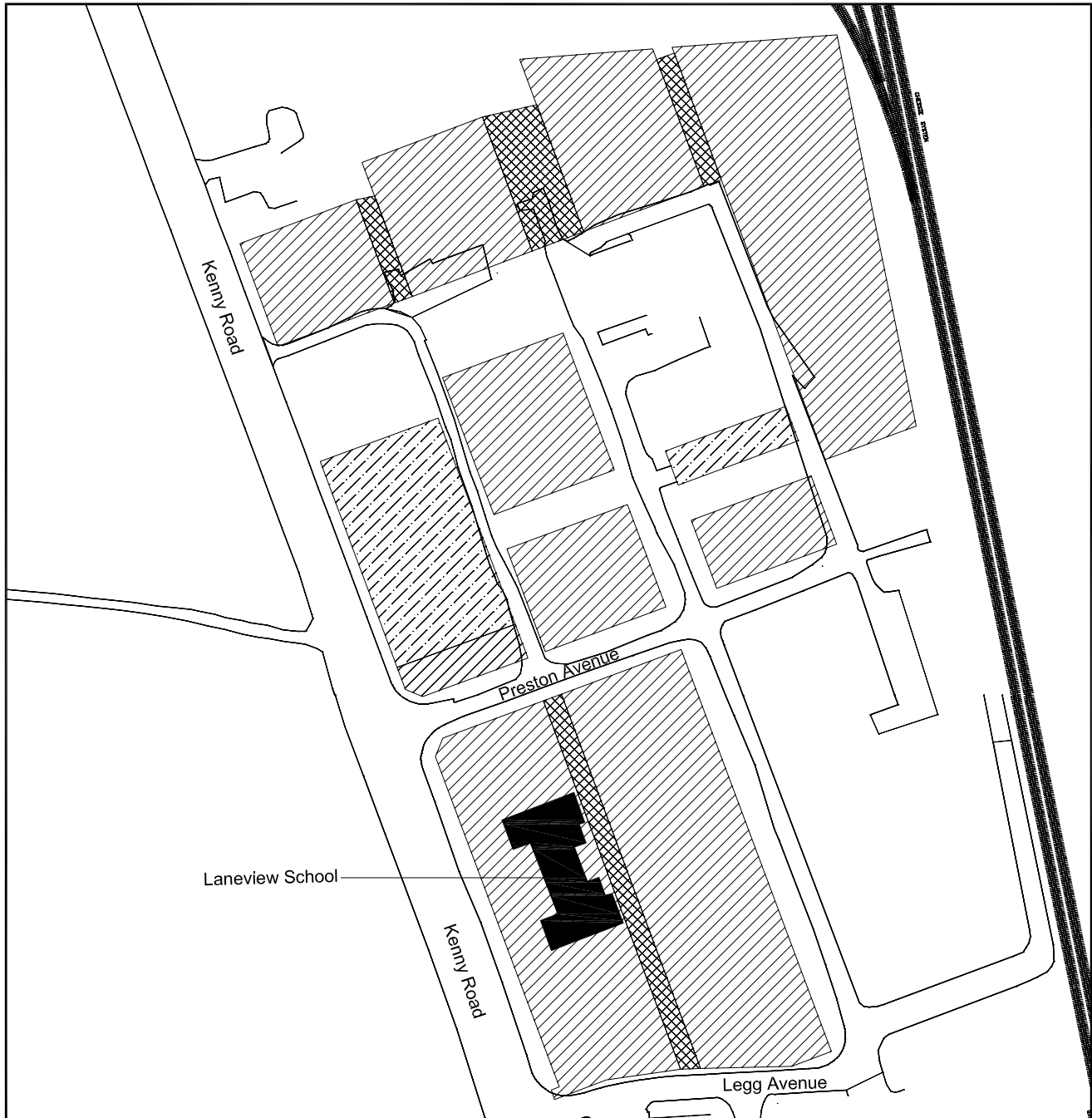
Appraisals and Consideration

Two appraisals conducted by Duros Valuation Group in March 2003 and by Nash/Wilson Associates in October 2003 valued the property at \$45,000 and \$137,850, respectively. The purchase price is \$91,425.00, the averaged appraised value. All acquisition costs will be funded by the Land Purchase Account.

Use of the Property

Title to the property will be taken in the name of the State of Ohio for the use of The Ohio State University. The acquisition of the property is necessary to move forward with construction of a garage facility for use by Physical Facilities. That facility will include new shop, recycling, and salt storage buildings (with a total of about 19,000 square feet), a paved heavy equipment area, and a bulk material storage area. That project was approved by the Board of Trustees in August 2001.

PURCHASE OF REAL ESTATE
FOUR ALLEY RIGHTS OF WAY NORTHEAST OF KENNY ROAD AND LANE
AVENUE AT LEGG AVENUE AND PRESTON AVENUE
COLUMBUS, OHIO



No True Scale

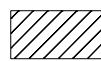


Map Provided by University Engineer's Office

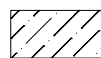
Office of Business and Finance
October 4, 2002



Proposed Purchase
of Property



OSU Owned



OSU Controlled

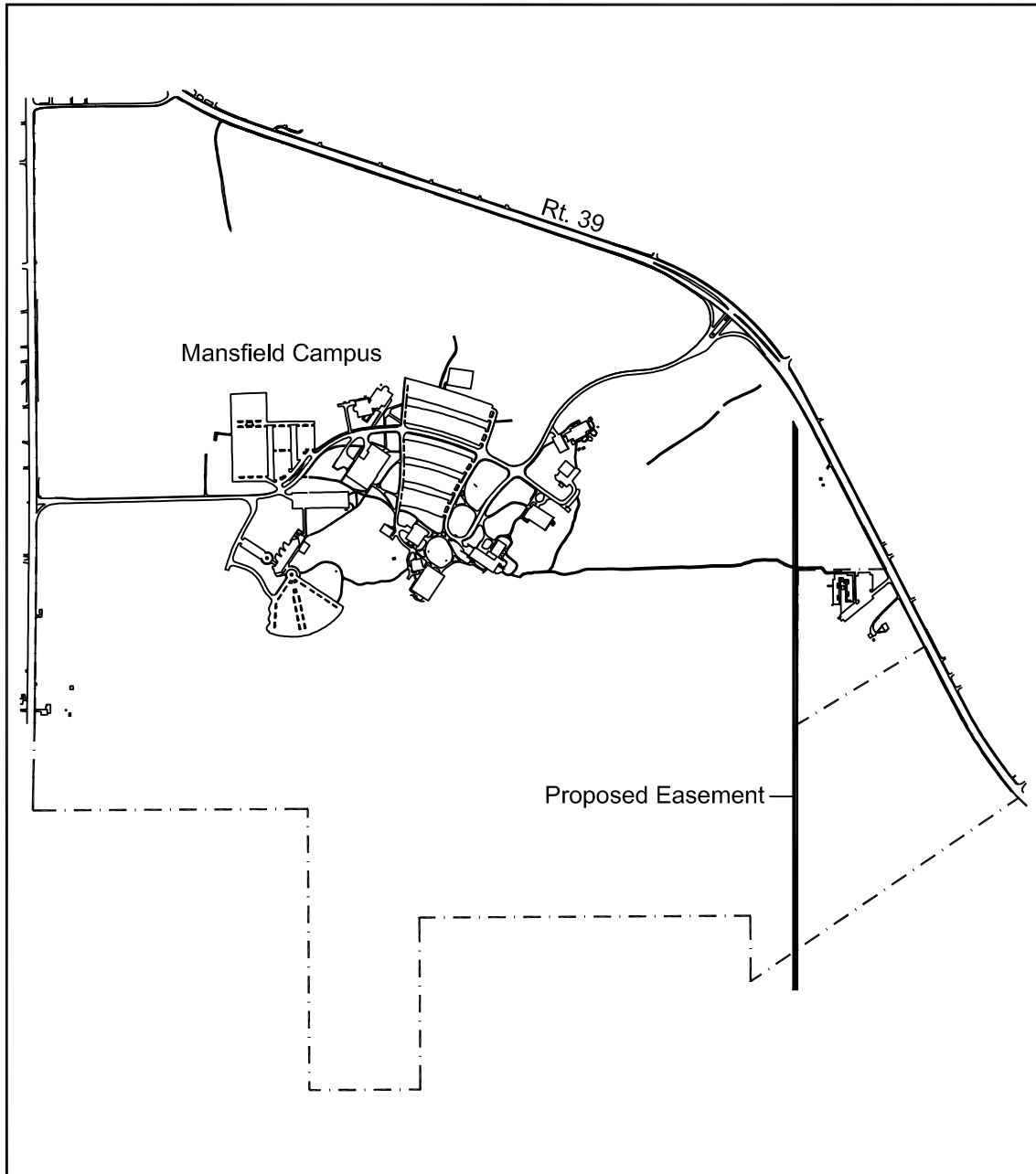
**SANITARY SEWER EASEMENT
MANSFIELD, OHIO**

The City of Ontario, Ohio, is requesting that the University grant a temporary construction easement to the City of Ontario and a 25-year easement to the City of Mansfield, Ohio, for a sanitary sewer line across University property at the Mansfield Campus. The temporary easement will permit Ontario to construct the sanitary sewer line, which will be connected to a Mansfield sanitary sewer line. The 25-year easement will grant the City of Mansfield access to maintain, operate, and repair the sewer line. Upon approval of the easements by the Board of Trustees, the Ohio Department of Administrative Services will prepare the easement documents to be signed by the State of Ohio granting the easements.

As consideration for the easement, the City of Ontario will construct a bicycle path over the easement area for the use by the Mansfield Campus. The bicycle path will be constructed in accordance with specifications provided by the University.

The Ohio State University Mansfield Board endorsed granting these easements at its meeting in January 2004.

SANITARY SEWER EASEMENT AT THE OHIO STATE UNIVERSITY - MANSFIELD CAMPUS MANSFIELD, OHIO



No True Scale

Office of Business and Finance
April 2, 2004



Proposed Easement

Map Provided by University Engineer's Office

**Fiscal Affairs Committee
April 2, 2004**

SCHEDULED MAINTENANCE AND RENEWAL OF UNIVERSITY FACILITIES

Context:

In March 2002, the Board of Trustees approved a policy and strategic principles requiring the University to accept responsibility for scheduled maintenance and renewal of new space.

Finding a funding mechanism that is comprehensive, fair, and flexible for all of the colleges, regional campuses, and support areas has been challenging. The recommendations below are intended to address these issues. (also see attachment A)

Recommendation:

1. Beginning in Fiscal Year 2005, all General Funds units will be assessed a prorated surcharge per assignable square foot, for all of their assigned space, for scheduled maintenance and renewal as part of the annual Plant, Operations, and Maintenance charges. This assessment would be phased in over three years beginning in FY 2005 and will be assessed on all General Funds space (see attachment B). These funds will go into a restricted, but invadable, endowment that will be used only for scheduled maintenance and renewal of General Funds space. Endowment withdrawals for the first projects are not scheduled to occur until 2015.
2. General Fund space will also continue to have scheduled maintenance and renewal needs funded by centrally allocated capital funds, State capital appropriations, or other sources.
3. Major auxiliaries and regional campuses will be responsible for funding maintenance and renewal for their facilities. These areas are to have approved plans for scheduled maintenance and renewal on file by June 30, 2004. Major auxiliaries include Student Affairs, Health System, Athletics, Airport, Real Estate and Property Management, Business Operations, and Transportation and Parking. Regional campuses include Mansfield, Newark, Marion, Lima, and for this purpose, OARDC and ATI.
4. A report on the status of the endowment will be provided to the Board of Trustees annually.

Issues:

- Does this accomplish the objectives of the Board policy in a fair and effective manner?
- Is the process for use of the endowment appropriate?
- How will other unmet scheduled maintenance and renewal needs be addressed?

Requested of the Fiscal Affairs Committee:

Approval of the proposed resolution, which was submitted for a "first reading" at the March Board meeting.

Office of Business and Finance

Subject: Scheduled Maintenance and Renewal
Date: March 24, 2004
From: Bill Shkurti
To: Karen Holbrook
Barbara Snyder

The purpose of this memo is to update you on the consultations I have had with the vice presidents, deans and the Senate Fiscal Committee regarding the proposed surcharge of \$0.19/ASF to support future maintenance and renewal needs.

First, I have been extremely pleased with the support this initiative has received. Everyone recognizes the need to address this problem, and even though these are tough times budgetarily, they are willing to commit scarce operating funds to make sure future needs are addressed.

Some of the vice presidents responsible for large auxiliaries expressed a concern that their reserves set aside to address future maintenance and renewal would be reallocated by central administration for other needs. By providing an endowment that is restricted to future maintenance and renewal only, I believe we have addressed this issue.

The Arts and Sciences deans were concerned that since all units will be contributing to the endowment, all General Funds space should be eligible for distribution as long as it met the overall criteria. I have also been told by Jim Stevens that the Physical Facilities Faculty Advisory Committee had the same concern. I assured them our intent was not to be overly restrictive. A great deal could change in 15-20 years, so we would leave future administrations with as much flexibility as possible as to how to distribute the money, as long as it was used for the purpose intended (scheduled maintenance and renewal).

Jim Stevens was concerned that we not create the false expectation that this plan will resolve all our deferred and current scheduled maintenance and repair needs. I share his concern. The \$0.19/ASF is sufficient to deal with scheduled replacement and renewal for all General Funds space added between FY 2000 and FY 2003 for the next 50 years, as long as the endowment is allowed to accumulate as planned.

However, this still leaves the issue of funding of the current backlog, as well as future additions to General Funds space. Our expectation is that the combination of state support and University funds should be able to address most of our current needs, but if the state begins to back away from providing capital support, this could be a problem.

I recommend the following:

1. That as soon as we have this policy in place, we go back and examine current needs to make sure we have a good plan in place to address the backlog.
2. We guarantee the current rates for three years, then re-examine everything at that time to make sure resources and needs are in alignment.

The Senate Fiscal Committee posed the question of what happens in the event of budget reductions. I told them we needed to view this as a continuing commitment, much as we do debt service, and it cannot be the first thing we look to cut if times are bad. Conversely, if the University were to confront a major financial crisis, then everything would need to be on the table for review. My sense is they were comfortable with this approach.

I believe we have successfully addressed the concerns expressed. I recommend we proceed with formal Board approval at the April 2, 2004 meeting and include this document in the background materials for the record.

I appreciate the support both of you have provided in bringing this important initiative forward. If you would like any additional information, please let me know.

c: Kathy Dillow
Jill Morelli
Alayne Parson
Jim Stevens
Lee Walker

Subject: Maintenance and Renewal

Date: March 23, 2004

From: Jim Stevens

To: Bill Shkurti

The purpose of this memo is the share with you a recommendation on how to move forward on assuring sufficient funds for maintenance and renewal of new space.

Definition of Terms

The first step is to define what is meant by scheduled maintenance and renewal. This means scheduled renewal or replacement of various building components that are known to have a limited useful life during a buildings 50 life span. Based on accepted industry standards these include:

- 15 years Wall, floor and ceiling finishes; Safety standards
- 20 years Partitions and doors, cooling and ventilation systems
- 25 years Roofing and flashing
- 30 years Repeat of finishes and safety standards
- 40 years Electrical service and distribution
- 50 Years Whole building replacement

Cost

Cost for the replacement and renewal cycle of components can be estimated fairly precisely by a formula that translates the figures as a percent of original construction costs. For example, for a 34,400ASF building that costs \$10 million, renewal and replacement costs would be the following, before taking inflation into account:

\$10 Million Construction Maintenance & Renewal Schedule for Components	Percentage	\$ Amount
15 years (Finishes and Safety standards)	9%	\$900,000
20 years (Partitions and doors, HVAC systems)	22%	\$2,200,000
25 years (Roofing and flashing)	5%	\$500,000
30 years (Repeat of finishes and safety standards)	6%	\$600,000
40 years (Electrical service and distribution)	2%	\$200,000
Cumulative Total	44%	\$4,400,000

Funding Sources

These costs amount to approximately \$128 per ASF over the life of the project, before taking inflation into account. For earnings units that generate their own resources, building these costs into funding models is fairly straightforward.

For General Funds space, it is a much more difficult issue. The original intent was to place a surcharge on "new space" only. New space is being defined as net additions to General Fund space of colleges and support units.

This has the advantage of putting the expense where it is being generated, so that state capital and renovation dollars could be targeted to old space where it is needed most. But, as an attempt was made to define what type of space would be included under the definition of "new" space, it quickly became apparent the enormity of the challenge. For example, when did "new" begin – in FY 2000 when budgets were rebased? What about existing MOU's approved between then and now? What about new space in old buildings or space in new buildings that was simply reassigned?

After having struggled with these questions for several months, it was determined that it would be impossible to come to consensus with a system tied to new space that was fair, easy to understand and could be tracked accurately. Instead, the recommendation is to impose a surcharge over all General Funds space. Funds received as a result of this surcharge would be used to establish an endowment to address future maintenance and renewal needs.

Recommended Amount

Based on comparing General Fund ASF added since FY 2000 (about 300,000 ASF) and the cost factors defined above, an estimated annual surcharge of \$0.19/ASF on all General Funds space would accomplish this objective (see Attachment B-1). However, it is important to keep in mind that this cost will need to be recalculated each year taking into account current inflation rates and new space added.

In order to ease the financial burden on units, the surcharge could be phased in over three years at the rate of \$0.06/ASF the first year, \$0.12/ASF the second year and \$0.19/ASF the third year.

The advantage of this proposal is that it would be simple to track over time and would base the charge on space assigned, which was taken into account in the budget restructuring exercise. Obviously this is not the only way for this goal to be achieved, but after careful consideration, it appears to be the best way.

A remaining challenge is the reduction of existing \$74 million in deferred maintenance that is above and beyond our Facility Condition goal. State Capital budget allocations alone are not likely to be sufficient to reduce this standing deferred maintenance backlog in academic and academic support facilities. Future initiatives are required. The planned endowment is a significant step in a positive direction; even if more work remains to be done for a comprehensive solution.

I hope this information is helpful to you in bringing this forward for University-wide discussion.

c: Kathy Dillow, Jill Morelli, Alayne Parson

Funds Generated by a POM Surcharge of \$0.19 on General Funds Assignable Square Feet

Year	Funds Set Aside	Cumulative Total	Funds Needed	Balance
1	\$435,835	\$463,204	\$0.00	\$463,204
2	\$871,669	\$1,418,698	\$0.00	\$1,418,698
3	\$1,380,143	\$2,974,599	\$0.00	\$2,974,599
15	\$2,065,620	\$37,661,950	\$10,977,651	\$26,684,300
20	\$2,394,620	\$49,744,732	\$30,773,279	\$18,971,453
25	\$2,776,020	\$41,446,325	\$8,036,866	\$33,409,459
30	\$3,218,169	\$63,527,903	\$11,650,831	\$51,877,072
40	\$4,324,949	\$148,527,677	\$3,964,621	\$144,563,056
50	\$5,812,370	\$337,222,726	\$337,222,692	\$34

NOTE: These figures assume a 3% inflation rate for construction and a 6.3% return. Rates may need to be adjusted as inflation and investment numbers change over time.

**Fiscal Affairs Committee
April 2, 2004**

TOPIC:

The Cancer Program Expansion

CONTEXT:

The Comprehensive Cancer Center is Ohio State's most productive interdisciplinary research center. Its continued growth is directly related to the Academic Plan; however, space limitations are a significant barrier to continued progress. (Attachment A)

The University administration recommended expansion of the Comprehensive Cancer Center on July 11, 2003 as part of the FY 2005 – FY 2006 Capital Planning Process. Approval by The OSU Board of Trustees was given on September 5, 2003.

RECOMMENDATIONS:

1. Approval for the University to proceed to hire architects and engineers for design work.
2. The University and the Medical Center will develop a Health Sciences Master Facility Plan, which will be integrated with the University Master Plan, to assist in the siting of both the inpatient and outpatient facilities. (Attachment B)
3. The University and the Medical Center will develop an approved business plan prior to release of bids for construction. (Attachment C)

CONSIDERATIONS:

- How this proposal advances the Academic Plan.
- Assurance that appropriate University procedures have been followed.
- Funding for the project.
- Impact on the University's debt capacity.
- Financial impact on other parts of the Medical Center and the University.
- Risks and how will they be managed.
- Siting issues and how will they be resolved.
- Collateral impacts on parking, access and infrastructure and how will they be managed.
- Next steps and timeline.
- How the Board should be involved in assuring due diligence.

REQUESTED OF FISCAL AFFAIRS COMMITTEE:

Approval of proposed resolution.

The Cancer Program Expansion Project Strategic Context

- The incidence of cancer is on the rise nationally and in Ohio. It is a disease that has impacted the lives of everyone in one way or another.
- OSU's James Cancer Hospital has reached its capacity for both inpatient and outpatient care. In order to be able to continue to serve the citizens of Ohio, expansion is needed.
 - Inpatient growth FY01 through FY04 (projected): 14% (admissions); 26% (patient days)
 - Outpatient growth FY01 through FY04 (projected): 27%
- Likewise, investment in OSU's cancer research efforts is necessary to continue strengthening our position as one of the nation's leading cancer programs. Research and patient care are intricately linked to assure the most advanced care possible for patients.
- The financial driver for the proposed expansion will be the cancer program itself – through cash reserves of The James, operating revenues from The James to service bonding debt, and philanthropy.
- The University's involvement includes support through such avenues as bonding capacity, land, allocation of state capital funds, infrastructure, etc.
- The OSU Medical Center has identified the cancer program as a strategic priority in achieving the organization's goals of growth, leverage and change and has invested heavily in advancing the program in a variety of areas including recruitment of faculty and staff, technology, and program development across disciplines.
- The OSU Cancer Program expansion also directly supports The Ohio State University's Academic Plan by:
 - continuing to build a world-class faculty and be a leader in biomedical research through the recruitment of nationally and internationally-renowned cancer researchers who work hand-in-hand with clinicians to provide our patients with the most advanced care as soon as it is available
 - providing additional research and educational opportunities for both undergraduate and graduate students
 - contributing to the economic growth of the Health System, the Medical Center, University, central Ohio and the State of Ohio

Health Sciences Master Facility Plan Project Summary

Key Objectives and Project Scope:

- To support long-term development (15 years) of OSUMC and other health science facilities by establishing the following:
 - Facility requirements and locations
 - Land acquisition requirements
 - Infrastructure needs
 - Access and parking requirements
 - Budget strategies and schedules
- The scope of the project is proposed as follows:
 - Existing and proposed academic facilities of the Colleges of Medicine, Optometry, Dentistry, and Nursing; and the Schools of Allied Medical Professions and Public Health
 - Existing and proposed patient care facilities of The OSU Health System
 - Geographically, the project will involve facilities and infrastructure on the core OSUMC campus, those on the periphery of the campus (for example, OSU Outpatient Services, 650 Ackerman Road), and regional holdings

Approach:

- A team of consultants experienced in academic medical center master planning has been identified through issuance of an RFP and competitive bid process. Contract negotiations are underway. The proposed fee is \$1.8 million plus reimbursable expenses (estimated at \$400,000)
- A process for engaging OSUMC, Health Sciences and University leadership will be developed
- The project is expected to take 12-18 months from execution of a contract to completion

See Attached Map

**OSU Board of Trustees
Fiscal Affairs Committee
April 2, 2004**

**Briefing Sheet
The Cancer Program Expansion Project Business Plan**

The Ohio State University Medical Center, with the assistance of Oncology Solutions, a nationally recognized consulting firm, has completed a feasibility study of an expansion of the James Cancer Hospital and Comprehensive Cancer Center (see Attachment C-1). The feasibility study concluded the following:

- The project supports the Academic Plan.
- An inpatient, outpatient, and research expansion is needed in the range of approximately 725,000 to 785,000 gsf of new space over the next ten years in order to meet patient demand and academic goals.
- Approximately 170,000 to 190,000 gross square feet (gsf) of existing inpatient facility space will need to be renovated over the next ten years.
- The total project would cost between \$350 and \$400 million and could be financed through a combination of increased patient revenues, private giving and cash reserves, as long as certain assumptions regarding volume, recruitment and reimbursement levels are met.
- The project will require a significant investment into traffic and parking improvements/accommodations. The total dollar investment required has not been determined at this time.

In addition, a comprehensive facilities planning process is underway, which will help determine that the phase-in of additional facilities next to the existing hospital and west of Cannon Drive as appropriate.

The University administration, including the Office of Business and Finance and the President's Cabinet, has reviewed the feasibility study and proposed expansion and recommends proceeding with the project as described.

The next step is for the Board to authorize advertising to hire architects and engineers and a construction manager to begin planning the project. The planning and design phases are expected to take 18 to 24 months and will develop a conceptual plan and program for the total project. That process will be coordinated with master facility plans for the Health Sciences and the University.

Meanwhile, the Medical Center and the Office of Business and Finance will work jointly to develop a detailed business plan for this project, similar to the plans developed for the Ross Heart Hospital and the Biomedical Research Tower.

The plan will include a more detailed estimate of construction and operating costs, siting considerations multi-year source and use projections, stress testing of volume and reimbursement analysis, other project related costs, assessment of the financial impact on other components of the Medical Center, a risk management discussion and other relevant information.

The budget approved in conjunction with the business plan will be the budget for the project. The cost estimate of \$350-\$400 million from the feasibility study will be the starting point for the process, but will change after detailed programming, planning and design are completed and all project related costs are finalized.

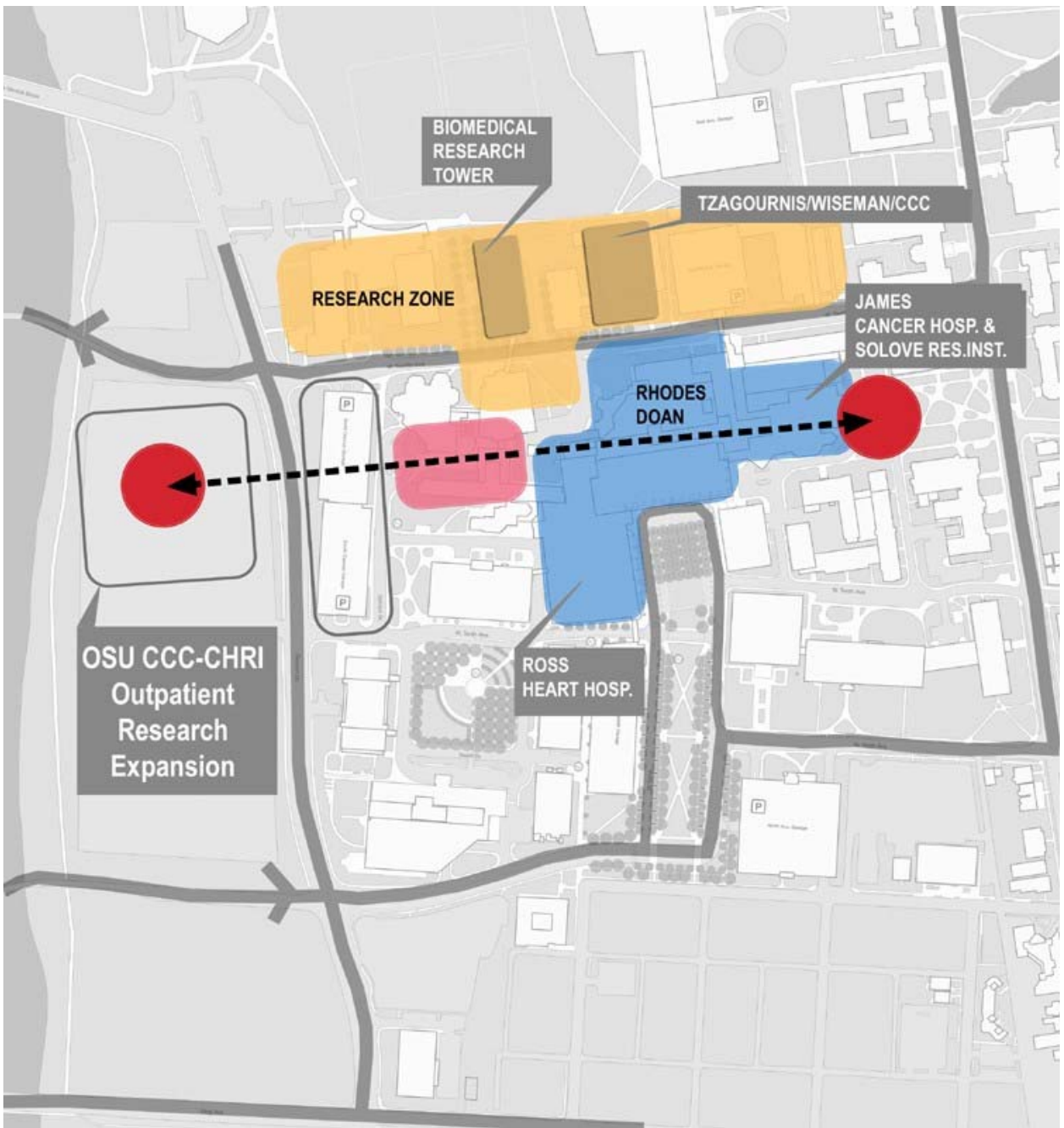
Other costs identified in the feasibility study such as **access, parking and utility costs**, which could be significant, will be refined and further analyzed as part of the final business plan. Recommended funding source(s), will also be identified as part of the business plan.

This project will be funded and phased in such a way as to protect the University's credit rating.

This project will not move forward to authorization to bid for construction until the University and the Board of Trustees have approved the business plan.

Feasibility Study – Initial Findings

- Project supports the Academic Plan
- Significant increasing demand for research-driven patient care
- Project supports the Academic Plan
- Preliminary project cost estimate -- \$350M - \$400M over 10 years
- Project can be self financed from cancer hospital cash reserves, philanthropy, and University bonds to be repaid with user fees
- Major financial assumptions:
 - Medicare PPS exempt status continues
 - Cancer will be assigned 4 floors in the BRT
 - Hospital receives no grant indirect cost recovery to offset the cost of expanded research space
 - Targeted new recruits will meet or exceed projected clinical volumes:
 - Inpatient growth (FY05 to FY15): 57.5%
 - O/P growth (FY05 to FY15): 50.4%
 - Conservative estimates
- Integration with BRT project vital to maximize fiscal responsibility and research space usage (4 BRT floors assigned to Project Cancer)
- Gap strategy planning for projected clinical volume growth has been reviewed with Medical Center leadership and is feasible
- Construction of new inpatient, outpatient and research facilities will be staged to “right-size” with volume projections, allowing for phased financing and fiscal responsibility
- Potential economic impact by 2015
 - ✓ 1,600 – 2,600 new jobs (clinical staff, clinical/research faculty and support)
 - ✓ \$150M - \$175M in new cancer research grants



Proposal
for **EXPANSION** of
The Ohio State University
CANCER PROGRAM

The Ohio State University Board of Trustees

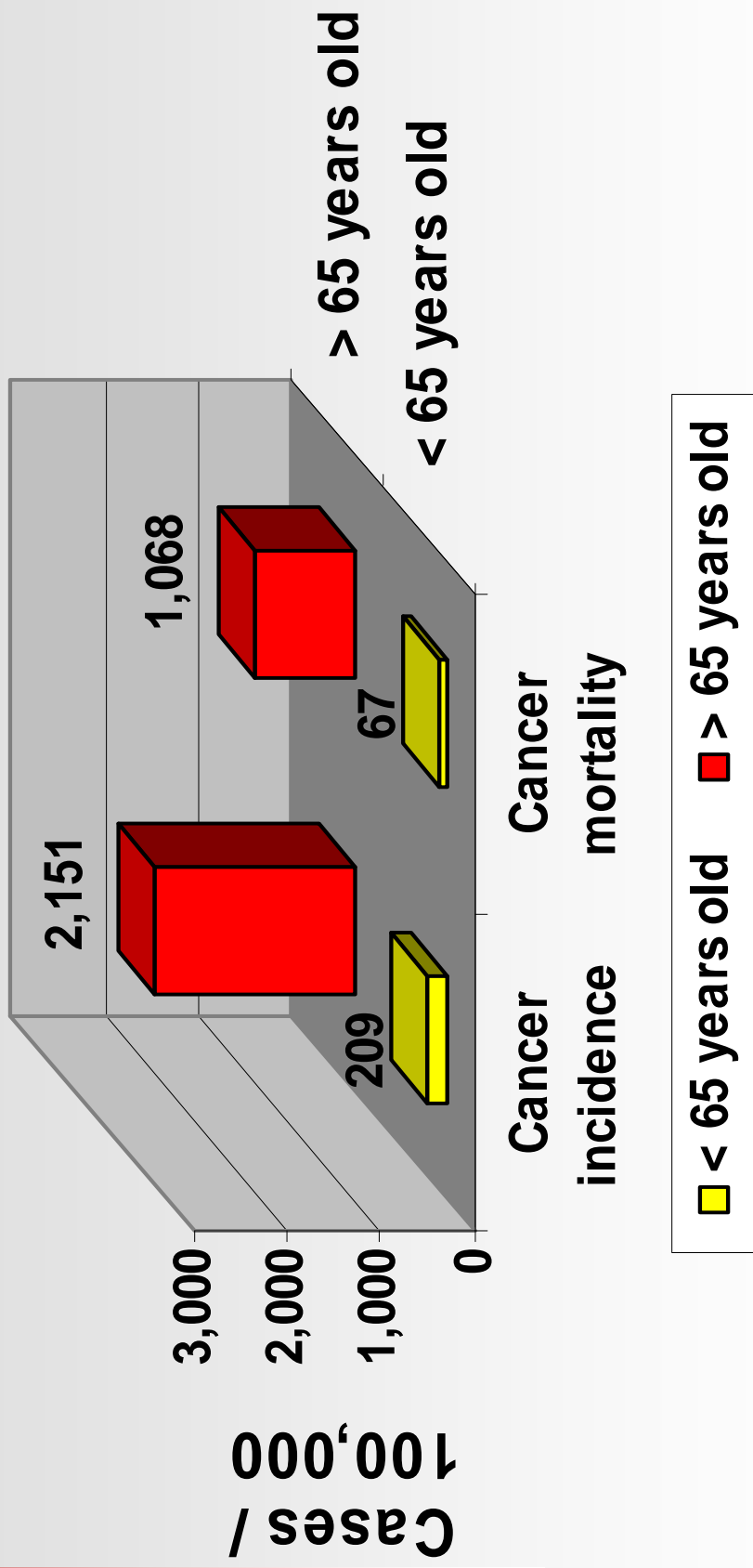
April 2, 2004



OSU Cancer Program Features

- NCI-designated Comprehensive Cancer Center (only 38 in U.S.)
- The James is the only free-standing cancer hospital in the Midwest...yet connected to OSU Medical Center (OSUMC) to provide a full range of patient care
- Nationally ranked cancer hospital by USN&WR
- Significant cancer research funding base (>\$97M as of Feb 2004); largest research program of OSUMC (50%) and OSU (20%)
- Substantial OSUCCC research activity (25%) is in OSU programs extending beyond the Medical Center
- OSU-wide multidisciplinary program: 216 research faculty members and 423 medical staff in 13 different OSU colleges
- Original debt from The James facility was retired early
- The James has cash reserves and a positive operating margin

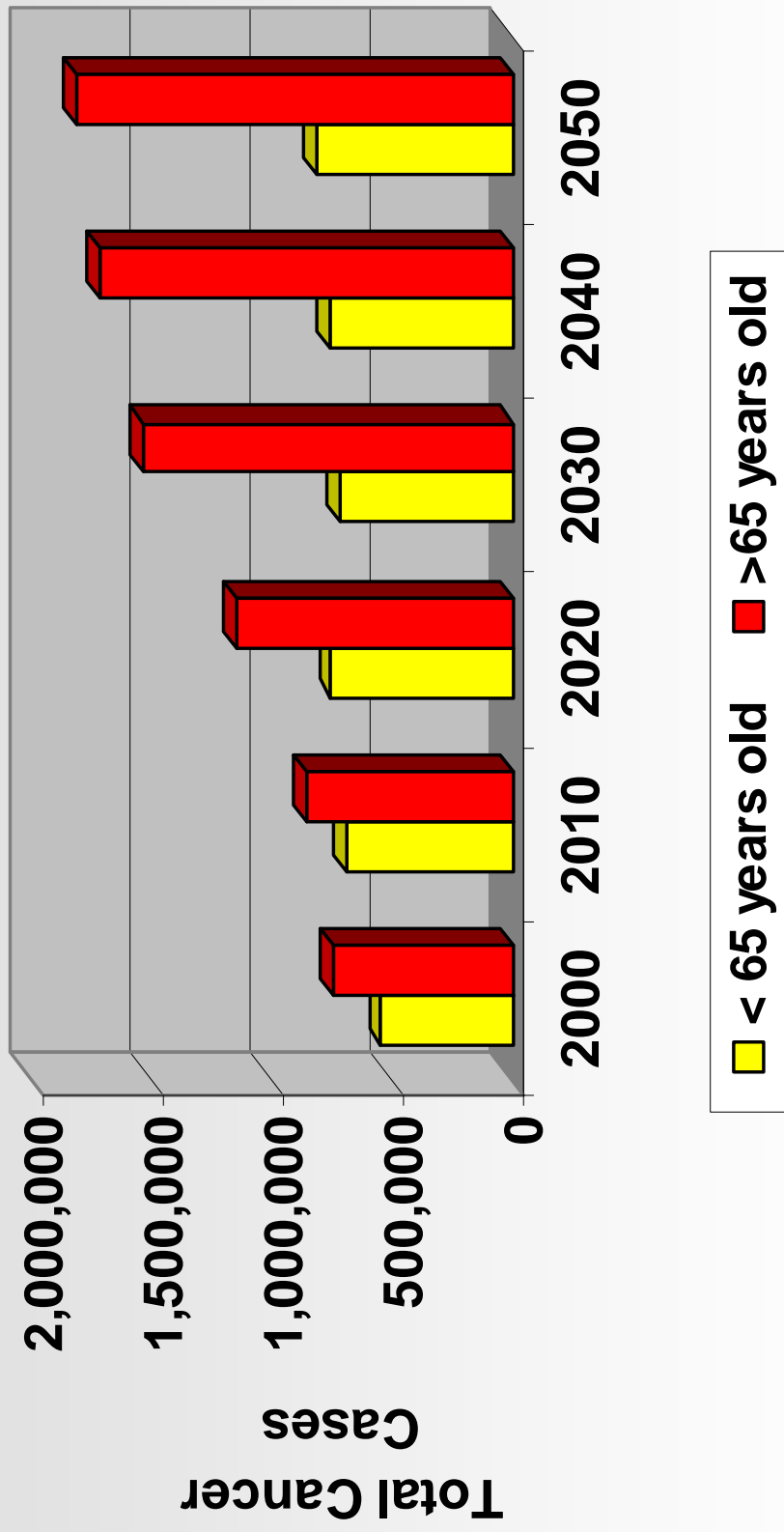
Cancer and the Aging Population (United States)



- Ohio's incidence of cancer in the aging population (>65) is 24% higher than the average U.S. incidence rate (>65)

Source: Yancik, *International Society Geriatric Oncology* 2001

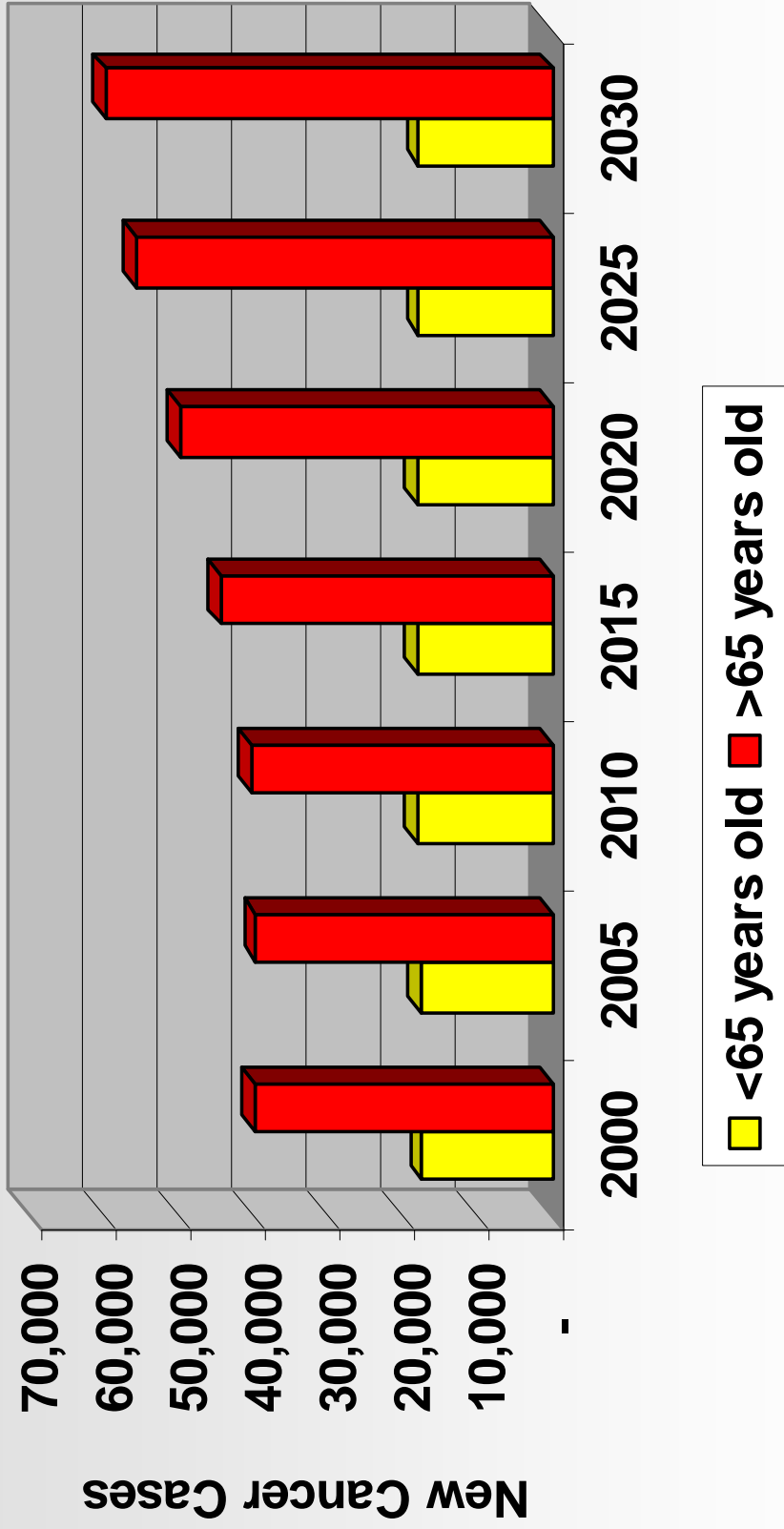
Cancer and the Aging Population (United States)



- **U.S. total cancer cases in the aging population (>65) are projected to increase 142% by 2050**

Source: U.S. Census Bureau & SEER

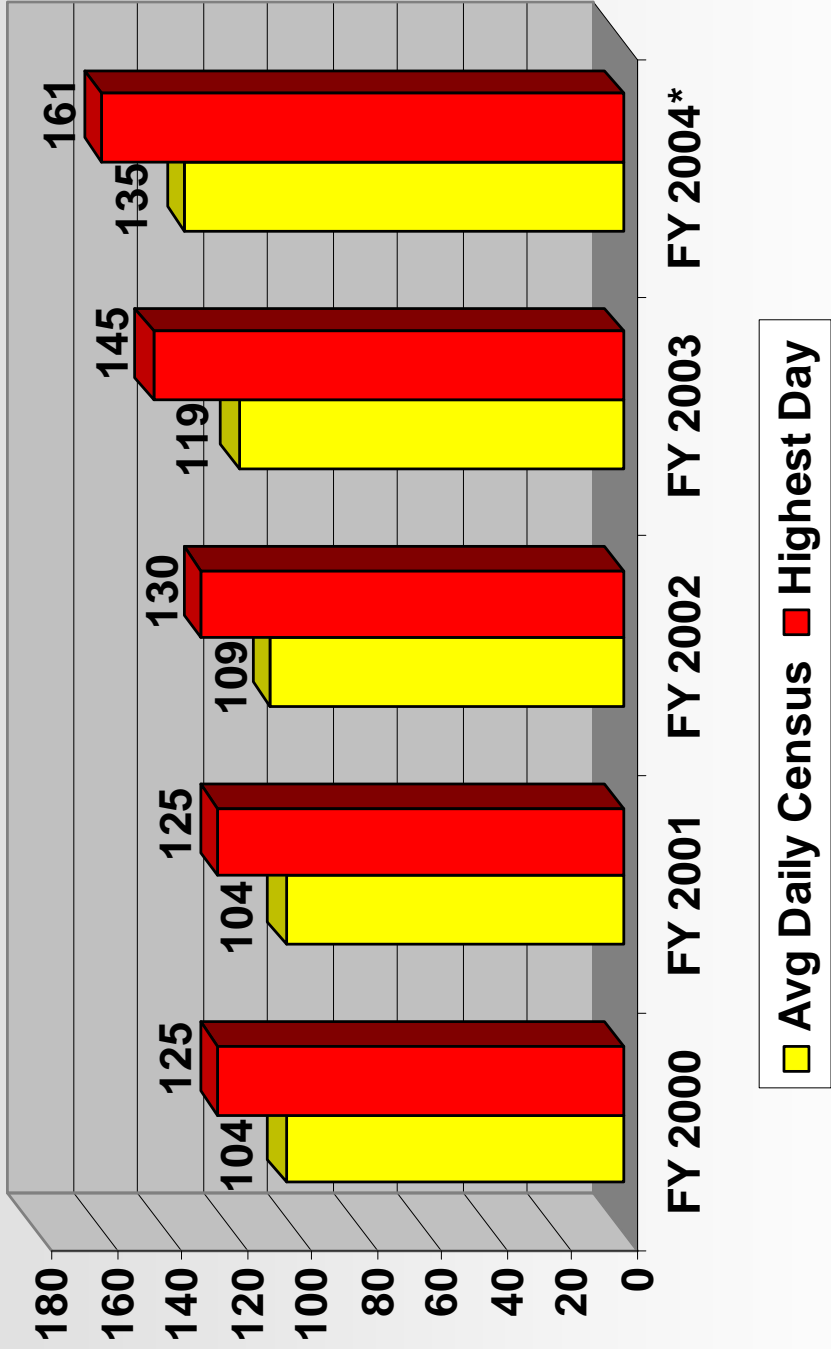
Cancer and the Aging Population (Ohio)



- **Ohio new cancer cases in the aging population (>65) are projected to increase 50% by 2030**

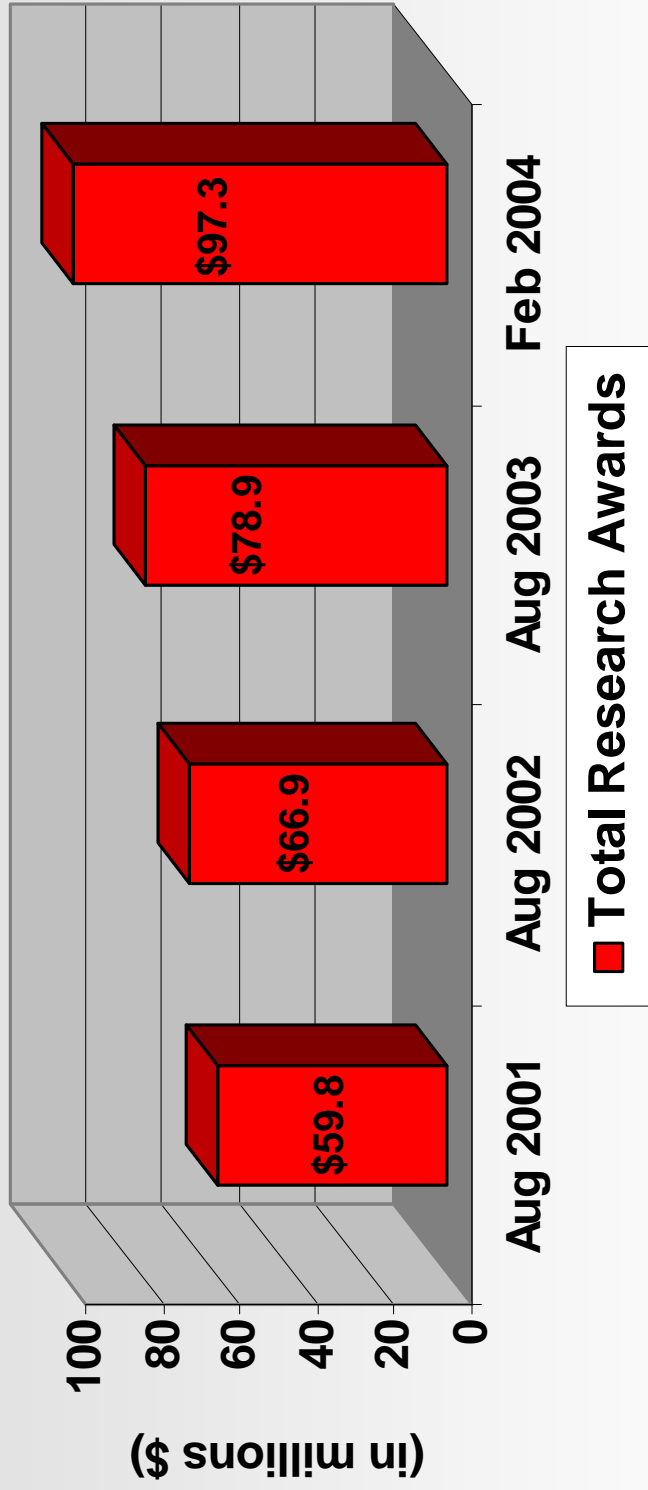
Source: Ohio Department of Development & SEER

The James Inpatient Growth



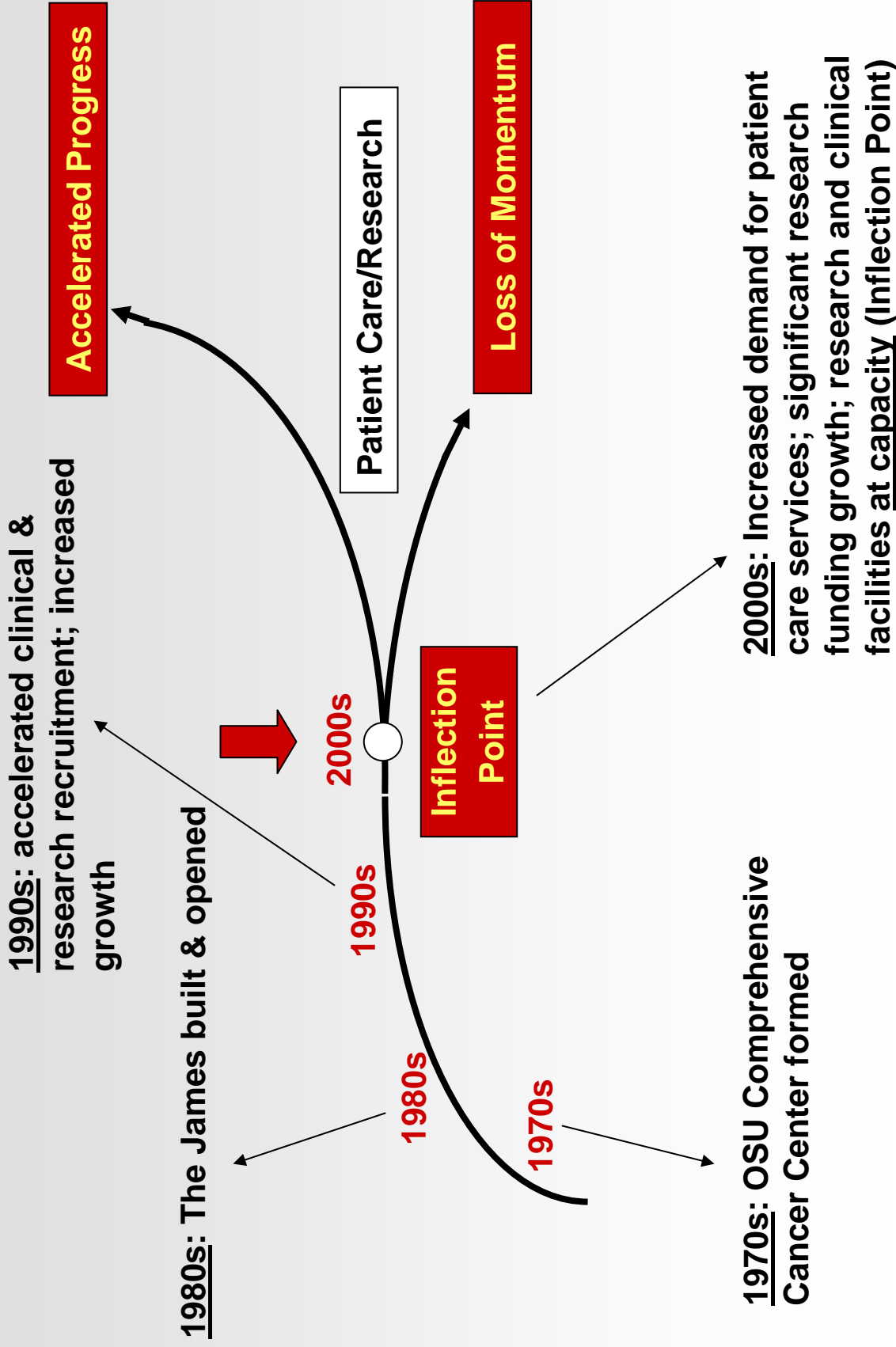
- 30% increase in average daily census from FY 00 to FY 04 YTD*
- The James inpatient capacity in FY 2004 = 158 beds

OSU Comprehensive Cancer Center Research Awards



- 62.7% increase in total research awards since August 2001

Strategic Inflection Point



Vision

To provide **superb patient care distinguished** by high quality basic and clinical **research** for Ohioans and beyond.

Goals

- To integrate cancer program expansion to support the OSU Academic Plan and strategic plan of Medical Center
- To meet the needs of our patients, students and faculty by expanding the breadth and depth of our cancer program
- To concentrate and coordinate research, education, and patient care to obtain benefits of critical mass and synergy
- To create new jobs and stimulate economic development in Ohio

Tactic

- To create a **unique** physical and intellectual environment for the OSU Cancer Program that **accelerates** the growth of **translational research** (i.e. from laboratory to bedside).

Feasibility Study – Initial Findings

Programmatic

- Significant, increasing demand for research-driven patient care
- Strategy for managing projected clinical growth is integrated with OSU Medical Center planning
- Cancer research growth is an integral part of Biomedical Research Tower project
- Construction of new inpatient, outpatient and research facilities will be phased to “right-size” with volume projections
- Project supports the OSU Academic Plan

Feasibility Study – Initial Findings

Economic

- Total project scope over 10 years of ~\$350M-\$400M* funded by The James cash reserves, University bonding repaid by The James revenue, and philanthropy
- Project provides economic value to Columbus and the State of Ohio
 - 1,600 - 2,600 projected new jobs (clinical staff, clinical/research faculty and support) by 2015
 - \$150M - \$175M in new cancer research grants by 2015

* preliminary estimates only

Next Steps

- Seek OSU Board of Trustees (BOT) approval for architect and engineer design process
- Finalize site selection as part of the Health Sciences master plan
- Finalize business plan for BOT approval prior to final BOT construction plan approval

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND FOUR HUNDRED AND THIRD MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, May 7, 2004

The Board of Trustees met at its regular monthly meeting on Friday, May 7, 2004, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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May 7, 2004 meeting, Board of Trustees

The Chairman, Mr. Sofia, called the meeting of the Board of Trustees to order on May 7, 2004, at 10:50 a.m. He requested the Secretary to call the roll.

Present: Zuheir Sofia, Chairman, Tami Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Douglas G. Borrer, Wally W. O'Dell, Paula A. Habib, and Emily M. Quick.

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**ELECTION OF OFFICERS
BOARD OF TRUSTEES**

Resolution No. 2004-134

WHEREAS pursuant to paragraph (B) of rule 3335-1-02 of the Administrative Code, the officers of the Board are to be elected at the May meeting to take office immediately following adjournment of the meeting (May 7, 2004) and shall hold their office through the May 2005 meeting:

NOW THEREFORE

BE IT RESOLVED, That the following slate of officers for 2004-2005 be elected:

Tami Longaberger, Chair

Daniel M. Slane, Vice Chair

David O. Frantz, Secretary

James L. Nichols, Treasurer

Upon motion of Mr. Sofia, seconded by Mrs. Davidson, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Sofia, Slane, McFerson, Borrer, O'Dell, and Judge Duncan, Meses. Longaberger, Hendricks, and Davidson.

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CHAIRMAN'S REPORT

Mr. Sofia:

I would like to save some remarks for the end of the meeting, however at this time I would like to pay a special tribute to a very special student trustee.

Over the years, this Board has been well served by its student trustees. That is also true in the case of Paula Habib, who is attending her final Board meeting today. I speak for all those who have served with Paula when I say that her thoughtful and reasoned approach has been of great benefit to our deliberations and to this great University.

Paula is graduating next month from the College of Medicine and Public Health. She has survived medical school and "Match Day" and will be heading next year to Northwestern-Evanston Hospital near Chicago, and the following summer she will be going to New Orleans to begin four years of radiology training!

Paula, we thank you and wish you all the best in your new positions. We know you will make a fine doctor and make Ohio State and all of us very proud. In recognition of your outstanding service to this University, we would like to present you with this special plaque.

CHAIRMAN'S REPORT (contd)

Ms. Habib:

I do not have anything prepared, but I would like to thank you all – the Board of Trustees, the University, and Dr. Holbrook – for giving me this wonderful opportunity to serve the University and the State of Ohio the last two years.

Words cannot describe the experience for a student. It is a unique experience and great honor to be appointed by the Governor to this special position. I have learned so much and met so many people. I hope I can use this for a future career in health care policy and eventually be able to help shape the future of health care for the world.

Thank you. I do not think anything will ever compare to the last two years and everything I have done and learned from this Board.

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PRESIDENT'S REPORT

President Karen A. Holbrook:

We have a very full agenda today, so I am going to focus my remarks on people who are leaving us today through graduations and mention some appointments that we are going to celebrate, some accomplishments, and some recognitions that will be highlighted.

This is the time of year that we say goodbye to our student leaders whose terms are ending. On behalf of the University, I would like to recognize the contributions of Undergraduate Student Government President Mike Goodman, Inter-Professional Council President Karen Weise, and, of course, Paula Habib, our graduate/professional student member of the Board of Trustees.

Throughout this year as USG president, Mike Goodman and his administration worked hard to provide tangible benefits to students, in the classroom and across co-curricular life, to be very clear that undergraduate students expect a quality education through their experiences with faculty. The push to implement the student activity fee reflects the Goodman administration's commitment to enriching student life and I am pleased with how much has been accomplished in that arena.

Mike pressed for more undergraduate interaction with faculty members and administration, and created student-faculty lunches to permit more casual conversation outside of the classroom. He argued passionately for everyone on campus to view undergraduate education as a priority and continued to promote the expansion of freshman seminars as another means for faculty-student contact, an initiative that was on the agenda of his predecessor under whom Mike served as the USG vice president.

USG has been concerned about student costs and worked to help in the arena of curtailing the expense of textbooks by initiating, with Student Affairs IT, an online book exchange. He has emphasized safety in the neighborhood around the campus, encouraged students to get involved in the community, and to speak up for their concerns.

Mike is graduating this quarter with a business degree and, after a two-week trip to Israel, sponsored by the Birthright Program, he is going to begin to work at BP Oil in his hometown of Chicago. Mike, we applaud your achievements, thank you

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

for your leadership, and wish you well. Would you please stand so we can recognize you? Thank you.

We are also saying goodbye to IPC President Karen Weise, who is moving to San Francisco to do a residency in pediatric pharmacy after earning a graduate degree in pharmaceutical sciences. Karen and her IPC colleagues worked very hard to promote greater collaboration among the professional colleges and the student government leaders within the six colleges.

This year, under Karen's leadership, IPC revised its election practices to enhance connections with the student government and colleges that did not exist previously and began a president's council. IPC worked through four committees: diversity, service, social, and a newly created outreach committee. All groups were very effective at organizing events and activities for the members and relying upon members for their work on behalf of others. For example, Karen and IPC members cooked lunch for families at the Ronald McDonald House, worked on projects along with Big Brothers/Big Sisters, and organized their Third Annual Race for the Cure team this spring with close to 400 runners.

And IPC too listened to its members as they voiced concerns about the quality of some of the buildings they work in and their desire for more interdisciplinary courses. Karen, congratulations on a job well done and on very effective leadership. Will you please rise so we can recognize you? Thank you and our best to both of you.

Finally, Paula, you have just been thanked by our chairman for your service as a member of the Board of Trustees, but let me underscore my appreciation as well. We know what the demands are on a third- and fourth-year medical student doing rotations and your diligence, your engagement with this Board has really been exemplary. I know you have also been engaged with student leaders outside of Board activities. So as you head off to Chicago and then south, we wish you the very best and hope that maybe your medical career will circle you through Washington and back to the State of Ohio sometime in the future. Congratulations and thank you.

I also have the real pleasure of bringing two key appointments forward for Board action today. Leadership of this great University draws upon the talents of exceptional people who operate with a shared vision of what it means to be excellent. The two people I am bringing forward today are people who understand that goal and that challenge very well.

The executive vice president and provost guides the work and interactions of the deans, the faculty, and the vice presidents as they collaborate in pursuit of our academic priorities. In the press release, I described the characteristics of Barbara Snyder that prompted me to appoint her as interim provost: leadership ability, a clear understanding of the University's academic mission, a commitment to diversity, and the fact that she was already well respected by faculty, staff, students, and trustees.

Barbara has demonstrated those qualities and more over the last nine months as interim provost. She brings talent, intellect, energy, and compassion to this position and has an ability to tackle tough issues, forge healthy alliances, and create momentum in the quest for our goals.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

As many of you know, Barbara was an undergraduate at Ohio State and has an unparalleled passion for this University. You all know Barbara well, having worked with her in several capacities over the past four years. Let me simply introduce, and recommend to the Board for your subsequent action during the course of the agenda, Professor Barbara Rook Snyder as the new executive vice president and provost of The Ohio State University.

Barbara, congratulations in the future. I would like to ask you to make some comments if you wish.

Provost Barbara R. Snyder:

Thank you, President Holbrook. I am truly honored by this appointment and the opportunity it represents. I am very grateful to have earned the confidence of President Holbrook and other members of the campus community. I am fortunate to be able to work with an outstanding group of deans, colleagues in the President's Cabinet and in the Office of Academic Affairs, and with our faculty, staff, and students. Their commitment to Ohio State is helping to propel us toward our goals.

You have heard President Holbrook speak often of our shared vision of Ohio State as one of our country's top ten public research universities. I am convinced that -- in light of our current fiscal challenges and those we will likely face in the coming years -- the key to achieving our goal is strategic redirection of resources. This will involve difficult choices about where to invest and where to cut, but I am also confident that a collaborative process leading to those choices will make our University even stronger.

On a personal note, this opportunity is even more meaningful for me because, as President Holbrook mentioned, I earned my undergraduate degree here at Ohio State. The provost has a unique opportunity to bring together the collective aspirations of our faculty, staff, and students as they fulfill the University's mission by teaching and learning, by creating and disseminating knowledge, and by taking the best of what we do to the community to improve the quality of life for the people of Ohio and beyond.

It is a genuine honor for me to be able to serve our president and University. Thank you.

President Holbrook:

The University also welcomes today our new senior vice president for Research Dr. Robert T. McGrath. Bob will join us officially on July 1 from Penn State University, where he is currently associate vice president for Research and director of Strategic and Interdisciplinary Initiatives. His academic record and administrative experience, and, particularly, his focus on research across the disciplines, make him well qualified to assume leadership for the research engine that is poised to kick into very high gear at Ohio State.

Bob is a fusion energy scientist who earned bachelor and master degrees from Penn State and a Ph.D. degree in nuclear engineering from the University of Michigan, working under Dr. James Duderstadt. Outside of academia, his past professional experiences have been with national laboratories such as Argonne National Lab, Sandia National Lab, Battelle Pacific Northwest Lab, and Raytheon, to name a few. So he comes with a mix of experience from top research environments. Bob is known nationally by federal agencies and higher

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

education organizations for his research leadership and he has the ability to forge partnerships with industry. He still mentors his own graduate students and directs honors undergraduate student theses.

I want to ask Bob to say something but, before doing so, I also want to thank and acknowledge the tremendous work of Tom Rosol, who has served since Brad Moore left in an exemplary role in the Office of Research. Tom has advanced our research agenda, brought people together, gained confidence of the campus, and has done an outstanding job. If one needs any external validation to assure that, you can look at our research standing and ranking. We are up 22 percent this year in sponsored research and Tom has been an extraordinary leader for us.

We are delighted that, for as long as we can keep you Tom, you are going to stay and be a partner with Bob. Having someone in the life sciences, as is Tom, working with Bob, we are going to have an unparalleled research office of any place in the nation. Tom, thank you very much. Let us thank Tom, too, for all of his wonderful service.

I am delighted to welcome Bob and thank him for being here with us today. Would you please offer some remarks?

Dr. Robert T. McGrath:

Thank you very much for that very kind introduction. Also, thank you President Holbrook and the Board for the privilege of being able to serve Ohio State in this marvelous capacity.

As was just mentioned, the research enterprise here at the University continues to grow dramatically, increasing to \$426 million during the past year. That ranks Ohio State as 19th nationally in terms of total research expenditures. Opportunities to grow that research activity in the interdisciplinary arena and in other areas abound. I look forward to working with the innovative faculty at the University to go after those opportunities and to expand the research enterprise, and, at the same time, enhance the national recognition, the economic impact, and the educational opportunities that go along with that research engine.

Many federal agencies, such as the Department of Energy and NASA, in particular, fund work at universities primarily because they are interested in the future high quality workforce that they need to carry out their future missions. In parallel, the National Science Foundation has now mandated that every research proposal submitted include a component that addresses K-12 education, undergraduate education, or outreach and adult continuing learning education.

I look forward to working with the colleges and faculty here at Ohio State to expand the research enterprise. Also, to simultaneously support President Holbrook's mission of providing a research experience to any Ohio State undergraduate student that should care to take advantage of the wonderful opportunities that the research programs bring to campus.

Thank you very much for the hospitality that you have extended to me this morning and for your vote of confidence in going forward with this mission. I am delighted to become a member of the Ohio State family. Thank you.

PRESIDENT'S REPORT (contd)

President Holbrook:

Thank you very much. I would also add that Bob's wife will be joining the faculty of nursing. He has a daughter who will be going to the Columbus Academy and another daughter entering Penn State -- who is glad to see her parents leave town.

I am going to close with a tribute to Mr. Sofia and a welcome to Ms. Longaberger. Before I do that, I want to make one more announcement that is very new and good news. That is that three of our faculty have just been named fellows of the American Academy of Arts and Sciences: Marilyn Brewer from Psychology, Malcolm Chisholm from Mathematical and Physical Sciences, and Brian Joseph from Linguistics. As all of you know, election to the American Academy of Arts and Sciences is one of the highest honors bestowed upon faculty members and we are very proud of these three new additions from The Ohio State University. Even though they are not here today, let us congratulate them anyway.

At the June Board meeting, we will have more good news about successes of our academic accomplishments.

Today, what I really want to do is close my remarks by talking about the day ending nearly a decade of dedicated service to The Ohio State University by our chairman, Zuheir Sofia. We enjoyed a wonderful celebration last night and I am certain that the warm glow of friendship that came across the room all evening has carried over to this morning. I will not repeat my dinner remarks, but I do want to emphasize a number of Mr. Sofia's accomplishments for the record and benefit of those of you who are here today.

Mr. Sofia has been fully engaged in the life and business of Ohio State, devoting his considerable talent and expertise to understanding and addressing the multitude of issues under consideration over the years and deliberating on them with care. He has been generous in his support of The James Cancer Hospital and Solove Research Institute. He served on The James Cancer Hospital Board with great passion and advocacy for eight years, six of those as chair. It is most fitting that under his chairmanship this Board approved the launch of the Cancer Expansion Program, the largest initiative ever undertaken by The Ohio State University. As I said last night, and I will repeat again, when Zuheir is passionate about something, it does get done!

Mr. Sofia has been an enthusiastic advocate for our students and experiences they should enjoy in this global University. He has been strongly supportive of the Arts and Sciences, and especially of the new Federation of the Colleges of the Arts and Sciences. I am sure he will continue to take pride in the progress of the Federation over the coming years.

His own business background has brought valuable focus to the Board's fiduciary responsibility for monitoring, evaluating, and assuring efficiency and accountability. He was instrumental in shaping new investment policies, including the alternative investment strategy and assuring the future growth and stability of the endowment.

Zuheir has been committed to philanthropy as evidenced by his work on behalf of The James and most recently the Ross Heart Hospital, as well as his and Susan's commitment to The M.S. Sofia Endowed Chair in Arabic Studies. He served on the Foundation Board for five years and this year helped bring Winter College to Sarasota, for what turned out to be a very successful event.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

Mr. Sofia's political savvy has served us well with our Governor and the legislature, even in these strained economic conditions. He has been, and will continue to be, a passionate ambassador for this great University. Thank you, Zuheir, and congratulations. I have a small token from the Board and all of us to commemorate your wonderful year on the Board as its chairman and your nine years on the Board overall. Congratulations.

Mr. Sofia:

Thank you.

President Holbrook:

In closing, I would like to say that as the gavel passes this morning to Ms. Tami Longaberger, I can affirm that the leadership also moves to very capable, thoughtful, and insightful hands. I and my colleagues in the central administration look forward to working with you, Tami, for another fine year of collaboration between the Board and the University leadership as we continue our one goal under which all other goals fall, to assure Ohio State becomes the best public university in the nation, to serve our students, and the citizens of this state who depend upon this great institution for leadership and to promote their quality of life and future.

Let me close again with a reminder about our goal borrowed from the Kellogg Commission: to assure the American people that we, Ohio State, are and I quote, "good enough to lead, strong enough to change, and competent enough to be trusted with the nation's future."

Thank you, Mr. Chairman, that concludes my remarks. Welcome, Tami.

Mr. Sofia:

Thank you, Karen, for your very kind and wonderful remarks, I really appreciate them. We appreciate your leadership and commitment to Ohio State.

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STUDENT RECOGNITION AWARD

Ms. Quick:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of the student's achievement in his or her area of study, service to the University and/or the community, and/or research achievements that have been a credit to the college and the University.

This month's recipient is Paul M. Schanz, who was nominated by Interim Dean Karla Zadnik from the College of Optometry. Paul is currently pursuing both his O.D. degree and master's degree in vision science here at OSU.

He is the president of the Optometry Class of 2005 and the executive student council. Paul is part of the student volunteers of Optometric Services to Humanity, an organization that gives eye exams to individuals in developing regions of Central America, and also spends time as a member of the Fellowship of Christian Optometrists, the Sports Vision Club, and the Lions Club.

STUDENT RECOGNITION AWARD (contd)

Ms. Quick: (contd)

Today, Paul is accompanied by Karla Zadnik, interim dean of the College of Optometry and The Glenn A. Fry Professor in Optometry; his wife, Karen; Sally Haltom, director of student affairs in the College of Optometry; and Paul Todd, assistant director of student affairs in the College of Optometry.

Paul strives to embody the true spirit of an Ohio State student. His dean described him as dedicated, smart, and motivated, and his involvement mirrors those remarks. He has been a consistent force in the College of Optometry and serves it with a caring vigor.

I am very pleased to present a student of this caliber to the Board of Trustees. Congratulations, Paul, on receiving this award.

Mr. Paul M. Schanz:

I would like to take this time to thank the Board for this award. It truly is a tremendous honor and I am deeply grateful to receive it. Thank you, Ms. Quick, for the kind remarks. She told you a little bit about myself and what I have done, so I want to take this time to thank those who made this possible for me.

First, I would like to say "thank you" to the College of Optometry – to the faculty, the staff, and students. The facilities of the school are not what make that school great, but it is the people inside that school who make it the best. The people inside the College of Optometry make it the best college of optometry in the world.

Specifically, I would like to thank Interim Dean Zadnik for nominating me and being such a role model, both professionally and personally. She juggles more duties and responsibilities than anybody I know and she always does it with a joyful exuberance that I wish to emulate. I just wish I had half of her energy.

To Sally Haltom and Paul Todd, I owe a debt of gratitude for being such staunch supporters and advocates for not just myself, but for all students at the college. To have that level of dedication -- the willingness to drop everything, often times in less than a moment's notice -- is amazing and to focus 100 percent on student concerns is what makes our college great. People like them throughout the entire campus are what make this University great.

I want to thank my parents, Peter and Connie Schanz, who could not be here today, but I know they will read this on the Internet. Thank you for showing me the Lord and how to care, and the meaning of commitment, how to raise a family, and how to remember where I am from. I could not have asked for two more loving life teachers.

Finally, I want to thank my best friend who happens to be my wife Karen. We have been friends since fifth grade and she knows me better than anyone, even better than myself. You have always been the supporter, the rock that I come to. Many women do noble things, but you surpass them all. A wife of noble character is worth far more than rubies and you have made me the richest man in the world. I love you. This is worse than watching the *Friends* episode!

I feel the greatest thing that one can do is to serve and help people who truly need it. We have all been given many gifts, so not to use them would be to waste them. I am fortunate enough to be in a profession and organization that helps people see, and that is awesome that I can be a part of that. But, more

STUDENT RECOGNITION AWARD (contd)

Mr. Schanz: (contd)

important than sight, people need love – they need smiles, handshakes, hugs – and that is something that we all can do. Thank you.

Mr. Sofia:

Congratulations, again, Paul, and for those wonderful remarks. Thank you.

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CONSENT AGENDA

President Karen A. Holbrook:

We have sixteen resolutions on the Consent Agenda today for your approval. We are seeking your approval for:

AMENDMENTS TO THE *BYLAWS OF THE BOARD OF TRUSTEES*

Resolution No. 2004-135

Synopsis: Approval of the administrative amendments to the *Bylaws of the Board of Trustees* and *Rules of the University Faculty* to reflect a title change is recommended.

WHEREAS the President has recommended that the position of vice president for research be changed to senior vice president for research; and

Amended Bylaw

3335-1-03 Administration of the university.

- (M) ~~Vice~~ Senior vice president for research. The major area of responsibility and authority of the senior vice president for research shall be that of encouraging, stimulating, and coordinating the research programs of the university. Without limiting the generality of the foregoing, the senior vice president for research shall, under the direction of the ~~executive vice president and provost~~ and with the approval of the board of trustees, be responsible and have the requisite authority for: developing broad policies and operating procedures for the research activities of the university; stimulating research developments and assisting in the evaluation of the total research program of the university; providing advice and assistance to college research administrators in the development of research programs within the colleges; supplying coordinated leadership in securing governmental, industrial, and individual support for the research programs of the university; developing the interdepartmental and inter-college cooperative arrangements for the administration of the research projects; in cooperation with the executive vice president and provost and the dean of the graduate school, assist in the coordination of the research programs and the instructional program of the university; and in cooperation with the senior vice president for business and finance, be responsible for developing the contracting procedures and contract terms for sponsored research undertaken by the colleges and divisions of the university. The senior vice president for research shall report to the ~~executive vice president and provost and, as appropriate, shall consult with the president.~~

NOW THEREFORE

AMENDMENTS TO THE BYLAWS OF THE BOARD OF TRUSTEES (contd)

BE IT RESOLVED, That upon the recommendation of the President, the proposed administrative amendments to the *Bylaws of the Board of Trustees* and *Rules of the University Faculty* be hereby adopted, effective immediately; and

BE IT FURTHER RESOLVED, That the Secretary of the Board of Trustees is hereby authorized and directed to incorporate the recommended changes in the *Bylaws of the Board of Trustees* and the *Rules of the University Faculty*.

REGIONAL CAMPUS BOARDS REAPPOINTMENTS

Resolution No. 2004-136

Synopsis: Approval of reappointments to The Ohio State University Regional Campus Boards is proposed.

WHEREAS the Board of Trustees in 1994 approved the establishment of The Ohio State University Regional Campus Boards; and

WHEREAS it has been previously stipulated that "the board shall be composed of ten members appointed by The Ohio State University Board of Trustees in consultation with the president of the university;" nine members shall be private citizens; and one member shall be a student); and

WHEREAS the following named persons have been nominated and selected for reappointments to the following Regional Campus Boards for the terms as specified:

Lima Board Reappointments

Shama Amin, 2nd term, July 1, 2004 - June 30, 2007
Ronald E. Hadley, 2nd term, July 1, 2004 - June 30, 2007
Grace Schulte, 2nd term, July 1, 2004 - June 30, 2007

Mansfield Board Reappointments

Shelley G. Fisher, 2nd term, July 1, 2004 - June 30, 2007
Timothy J. Lehman, 2nd term, July 1, 2004 - June 30, 2007
Kevin P. Nestor, 2nd term, July 1, 2004 - June 30, 2007
Cynthia A. Tishue (student), 2nd term, July 1, 2004 – June 30, 2005

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominees be approved as members of The Ohio State University-Lima Board and Mansfield Board.

JAMES CANCER HOSPITAL BOARD REAPPOINTMENT

Resolution No. 2004-137

Synopsis: Reappointment of a member to The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board is proposed.

WHEREAS the Board of Trustees on September 1, 1993, approved the establishment of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board; and

JAMES CANCER HOSPITAL BOARD REAPPOINTMENT (contd)

WHEREAS it has been stipulated in Board of Trustees Bylaw 3335-1-03(W) and The James Cancer Hospital and Solove Research Institute Board Bylaw 3335-109-01 that the Cancer Hospital Board shall be comprised of six citizens from the general public who shall be appointed by the University Board of Trustees in consultation with the President; and

WHEREAS the following named person has been selected for immediate reappointment to the Cancer Hospital Board for the term as specified:

<u>Name</u>	<u>Term of Appointment</u>
Galen Barnes (Reappointment)	May 13, 2008

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominee be reappointed, effective immediately, as a member of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board for the specific terms as set forth above.

AMENDMENTS TO THE *MEDICAL STAFF BYLAWS AND RULES AND REGULATIONS* OF THE OHIO STATE UNIVERSITY HOSPITALS EAST

Resolution No. 2004-138

Synopsis: The amendments to the *Bylaws of the Medical Staff and Rules and Regulations* of The Ohio State University Hospitals East are recommended for approval.

WHEREAS The Ohio State University Hospitals Board pursuant to bylaw 3335-101-04 of the Hospitals Board Bylaws is authorized to recommend to the Board of Trustees the adoption of amendments to the *Medical Staff Bylaws and Rules and Regulations* of The Ohio State University Hospitals East; and

WHEREAS the proposed amendments to the *Medical Staff Bylaws and Rules and Regulations* of The Ohio State University Hospitals East were approved by the University Hospitals Board on March 18, 2004, as attached:

NOW THEREFORE

BE IT RESOLVED, That the attached amendments to the *Bylaws of the Medical Staff and Rules and Regulations* of The Ohio State University Hospitals East be adopted.

(See Appendix XLVIII for background information, page 1203.)

ESTABLISHMENT OF A ONE-TIME SEPARATION INCENTIVE PROGRAM FOR THE COLLEGE OF FOOD, AGRICULTURAL, AND ENVIRONMENTAL SCIENCES

Resolution No. 2004-139

Synopsis: Approval of a one-time separation incentive program for the College of Food, Agricultural, and Environmental Sciences for workforce reduction and reorganization, is proposed.

**ESTABLISHMENT OF A ONE-TIME SEPARATION INCENTIVE PROGRAM
FOR THE COLLEGE OF FOOD, AGRICULTURAL, AND ENVIRONMENTAL SCIENCES (contd)**

WHEREAS the Board of Trustees of The Ohio State University is vested with authority, pursuant to Chapter 3335 of the Revised Code, to adopt bylaws, rules and regulations governing the operations of the University, including but not limited to, fixing of compensation for employees of the University; and

WHEREAS in 2002 the Board of Trustees approved a Severance Program, wherein a table of salary and benefit continuation is provided for those who are involuntarily separated from the University; and

WHEREAS the economic value of severance benefits could be constructively utilized to provide incentives for voluntary employment separation when workforce reductions are needed, minimizing the necessity for the disruption of involuntary separations; and

WHEREAS the recently announced reductions in state support of 4% in FY 2004 and 6% in FY 2005 will result in a total loss of approximately \$6.2 million for the College of Food, Agricultural, and Environmental Sciences, including \$2.6 million for OSU Extension and \$3.6 million for the Ohio Agricultural Research and Development Center, in addition to reductions the College implemented in FY 2002 and FY 2003; and

WHEREAS the University desires to institute a one-time Separation Incentive Program for the College of Food, Agricultural, and Environmental Sciences to assist the college in addressing short-term financial demands and providing a speedier transition process toward achieving sustainable levels of employees and programs; and

WHEREAS such a program would provide cash payments and related economic incentives of such economic value that is within the range of the previously adopted severance program benefits:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby authorizes the Executive Vice President and Provost and the Associate Vice President for Human Resources to implement a one-time Separation Incentive Program for the College of Food, Agricultural, and Environmental Sciences, as described in Attachment A, to be effective immediately; and

BE IT FURTHER RESOLVED, That the University shall report back to the Board of Trustees at the appropriate time on the operation of such programs.

(See Appendix XLIX for background information [Attachment A], page 1207.)

**APPOINTMENTS TO THE ENGINEERING EXPERIMENT
STATION ADVISORY COMMITTEE**

Resolution No. 2004-140

WHEREAS the Engineering Experiment Station is under the control of the Board of Trustees of The Ohio State University; and

WHEREAS according to statute 3335.27 of the Ohio Revised Code, there shall be an advisory committee made up of the director of the Engineering Experiment Station and six members appointed by the Board, chosen from the faculty of the College of Engineering; and

WHEREAS this Engineering Experiment Station Advisory Committee "shall select suitable subjects for investigation, apportion the available funds, and with the consent of the Board may provide for the dissemination of the results to the people of the state."

**APPOINTMENTS TO THE ENGINEERING EXPERIMENT
STATION ADVISORY COMMITTEE (contd)**

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of President Karen Holbrook, pursuant to the request of James C. Williams, Dean of the College of Engineering and Director of the Engineering Experiment Station, The Ohio State University Board of Trustees, acting in accordance with the requirements of the Ohio Revised Code Section 3335.27, hereby appoint the following individuals to serve on the Engineering Experiment Station Advisory Committee:

<u>Name</u>	<u>Title</u>	<u>Proposed Term</u>
Rudolph G. Buchheit	Associate Professor Department of Materials Science and Engineering	3 years (5/1/2004 - 4/30/2007)
Randolph L. Moses	Professor Department of Electrical and Computer Engineering	3 years (5/1/2004 - 4/30/2007)
Linda K. Weavers	Associate Professor Department of Civil and Environmental Engineering and Geodetic Science	3 years (5/1/2004 - 4/30/2007)

NAMING OF THE LEARNING CENTER

Resolution No. 2004-141

Synopsis: Approval of naming the Academic Learning Laboratory, room 250 in the Younkin Success Center, 1640 Neil Avenue, for Walter E. Dennis is proposed.

WHEREAS the Board of Trustees has authority in naming all buildings and structures at The Ohio State University, as set forth in paragraph (F) of rule 3335-1-08 of the Administrative Code; and

WHEREAS Walter E. Dennis, Jr., has made a generous gift to endow the programs and future plans for the Academic Learning Laboratory; and

WHEREAS this gift was made in memory and in honor of Walter E. Dennis, a Columbus entrepreneur who believed in life-long learning and continual self-improvement, and who stressed to his employees and others the importance of education at all levels; and

WHEREAS Walter Dennis had a long relationship with The Ohio State University and provided employment opportunity for students, allowing them to complete their degrees; and

WHEREAS the mission of the Academic Learning Laboratory is to enhance the skill set of all Ohio State students and assure their academic success, and, as such, is aligned with the passion for learning from the Dennis family; and

WHEREAS The Ohio State University wishes to expand and enhance the mission of the Academic Learning Laboratory:

NOW THEREFORE

NAMING OF THE LEARNING CENTER (contd)

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the aforementioned academic learning laboratory be named "The Walter E. Dennis Learning Center."

NAMING OF INTERNAL SPACES

Resolution No. 2004-142

Synopsis: The naming of internal space within University facilities is proposed.

WHEREAS gifts and gift commitments have been received by donors to support the construction, equipping or furnishing of the classrooms, offices, laboratories and other noted spaces; and

WHEREAS upon the recommendation of the President and the President's Planning Cabinet, it has been proposed that the donors to these internal spaces be recognized for their generosity:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the aforementioned internal spaces in campus facilities shall be named as follows:

Doan Hall, 410 West 10th Avenue

- American Cancer Society Babe Zaharias Women's Cancer Center, Room 100

The Arthur G. James Cancer Hospital & Richard J. Solove Research Institute, 300 West 10th Avenue

- Bernard Ruben Lobby, 1st floor lobby
- R. David Thomas Ambulatory Chemotherapy, Room 235
- Arthur G. James Conference Room & Library, Room 517
- McCoy Suite, Room 207
- William G. Myers Library and Conference Room, Room 071
- Nationwide Aquarium, located in the first floor lobby
- Klotz & Rowley Research Laboratories, 12th floor

Knowlton Building, 275 West Woodruff Avenue

- M/I Homes Foundation Laboratory, Room 50
- Korda/Nemeth Classroom, Room 195
- Ruscilli Construction Terrace, Second Floor (no room number)
- Karlsberger Companies, Inc. Classroom, Room 175
- George Kontogiannis Seminar Room, Room 269
- Porthouse Foundation Room, Room 200D
- Turner Construction Seminar Room, Room 259
- Lucks/Kass Families Tree Bosk, Neil Avenue tree bosk (outdoor space)

Tzagournis Medical Research Facility, 420 West 12th Avenue

- Jerome Schottenstein Family Human Cancer Genetics Laboratories, 6th floor

Veterinary Medicine Academic Building, 1900 Coffey Road

- Dr. Barbara Stein Plaza, plaza in front of building
- Hummel/ProVet Grand Lounge, first floor lounge
- Ohio Veterinary Medicine Association Fireplace in the Hummel/ProVet Grand Lounge
- Clarence R. Cole Dean's Suite, Rooms 125/127
- McNutt Conference Room, Room 125G

NAMING OF INTERNAL SPACES (contd)

Veterinary Medicine Academic Building, 1900 Coffey Road (contd)

- Dr. M.E. & Harriet Epperson Conference Room, Room 141
- Vernon L. Tharp Teaching Laboratory, Room 151
- Alumni Teaching Laboratory, Room 155
- Wexner Family Auditorium, Room 170
- Dr. Stephen D. Wenger Computer Laboratory, Room 215
- The Knapp Family Small Group Learning Room, Room 233
- Dr. Harry & Eltha Bartels Small Group Learning Room, Room 239
- Class of 1962 Faculty Office, Room 311
- Dr. Gary Holfinger & Jean Eisenhower Holfinger Group Learning Room, Room 330
- Marilyn & Bud Jenne Research Laboratory, Room 335
- Dr. Liesa Stone Faculty Office, Room 340
- Dr. Elizabeth Kellogg Faculty Office, Room 344
- Dr. G. Gil Cloyd Faculty Office, Room 348
- Robinson Research Suite, Room 375
- Dr. Robert & Nell Rainier Research Suite, Room 475
- Thekla & Don Shackelford Research Laboratory, Room 425

Sisson Hall, 1920 Coffey Road

- Beckett Family Arch Garden, arch garden outside of Sisson Hall
- The Funderburg Preventive Medicine Conference Room, Room A180

PERSONNEL ACTIONS

Resolution No. 2004-143

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the April 2, 2004 meeting of the Board, including the following Appointments/Reappointments, Appointments/Reappointments of Chairpersons and Director, Leaves of Absence Without Salary, Professional Improvement Leaves, Tenure, and Emeritus Titles, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments approved March 30, 2004, by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board, be ratified.

Appointments

Name: PAUL A. BECK
Title: Dean
College: Social and Behavioral Sciences
Term: July 1, 2004, through June 30, 2009
Current Position: Chairperson, Department of Political Science

Name: L.S. FAN
Title: Distinguished University Professor (The C. John Easton Professorship in Engineering)
College: Engineering
Term: January 1, 2004, through June 30, 2008

PERSONNEL ACTIONS (contd)

Appointments (contd)

Name: ROBERT T. MCGRATH
Title: Senior Vice President for Research
Office: Research
Effective: July 1, 2004
Current Position: Associate Vice President for Research and Director, Strategic and Interdisciplinary Initiatives, Pennsylvania State University, University Park, Pennsylvania

Name: JOHN W. ROBERTS
Title: Dean
College: Humanities
Term: June 1, 2004, through June 30, 2009
Current Position: Associate Dean, College of Humanities

Name: GREGORY S. ROSE
Title: Dean and Director
Regional Campus: The Ohio State University - Marion
Term: May 8, 2004, through June 30, 2009
Current Position: Interim Dean and Director, The Ohio State University – Marion

Name: DAVID E. SCHULLER
Title: Professor (The John W. Wolfe Chair in Cancer Research)
Hospital/Institute: The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute
Term: May 1, 2004, through June 30, 2008

Name: BARBARA R. SNYDER
Title: Executive Vice President and Provost
Office: Academic Affairs
Effective: Immediately
Current Position: Interim Executive Vice President and Provost

Reappointments

Name: JAMES N. ALLEN, JR.
Title: Associate Professor (The Battelle Professorship in Inhalation Therapeutics)
College: Medicine and Public Health
Term: July 1, 2004, through June 30, 2008

Name: LARRY J. COPELAND
Title: Chair and Professor (The William Greenville Pace III and Joann Norris Collins-Pace Chair for Cancer Research)
College: Medicine and Public Health
Term: July 1, 2004, through June 30, 2008

Name: GARY D. STONER
Title: Professor (Lucius A. Wing Chair of Cancer Research and Therapy)
College: Medicine and Public Health
Term: July 1, 2004, through June 30, 2008

Name: MARK D. WEWERS
Title: Professor (The John A. Prior Professorship)
College: Medicine and Public Health
Term: July 1, 2004, through June 30, 2008

PERSONNEL ACTIONS (contd)

Appointment of Chairpersons

October 1, 2004, through September 30, 2005

Germanic Languages and Literatures

Anna A. Grotans*

October 1, 2004, through September 30, 2008

East Asian Languages and Literatures
Spanish and Portuguese

Mari Noda
Fernando Unzueta

*Interim

Reappointment of Chairpersons and Director

July 1, 2004, through June 30, 2008

Plant Pathology
Surgery

Randall C. Rowe
E. Christopher Ellison

October 1, 2004, through September 30, 2008

Accounting and Management Information
Systems
Comparative Studies
Foreign Language Center
Management and Human Resources
Slavic and East European Languages
and Literatures

J. Richard Dietrich
David Horn
Diane W. Birckbichler
David B. Greenberger
Daniel E. Collins

October 1, 2005, through September 30, 2009

Astronomy

Patrick S. Osmer

Leaves of Absence Without Salary

BARBARA A. LEHMAN, Professor, School of Teaching and Learning (Mansfield Campus), effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to accept a Fulbright Scholar award to South Africa.

ANDRAS NEMETHI, Professor, Department of Mathematics, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to conduct research at the Renyi Institute of Mathematics of the Hungarian Academy of Science, Budapest, Hungary.

CHARLES H. PENNINGTON, Professor, Department of Physics, effective April 19, 2004, through June 30, 2004, for personal reasons.

JANE HATHAWAY, Associate Professor, Department of History, effective Autumn Quarter 2004, to accept a visiting appointment at Loyola College in Maryland, Baltimore, Maryland.

CHRISTOPHER B. PHELPS, Associate Professor, Department of History (Mansfield Campus), effective Spring Quarter 2005, to accept a Fulbright Distinguished Chair in American Studies to Poland.

BJORN SANDSTEDE, Associate Professor, Department of Mathematics, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to conduct research at the University of Surrey, Guildford, United Kingdom.

PERSONNEL ACTIONS (contd)

Leaves of Absence Without Salary (contd)

HARWOOD K. MCCLERKING, Assistant Professor, Department of Political Science, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to accept a Robert Wood Johnson Fellowship in Health Policy at the University of Michigan.

ALICE C. YEW, Assistant Professor, Department of Mathematics, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to conduct research at the University of Surrey, Guildford, United Kingdom.

Professional Improvement Leaves

ANGELA M. DEAN, Professor, Department of Statistics, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

JAMES D. PECK, Professor, Department of Economics, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

WILLIAM S. DANCEY, Associate Professor, Department of Anthropology, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

STEVEN J. JOYCE, Associate Professor, Department of Germanic Languages and Literatures (Mansfield Campus), effective Spring Quarter 2005.

SHILI LIN, Associate Professor, Department of Statistics, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

WILLIAM O. PUTIKKA, Associate Professor, Department of Physics (Mansfield Campus), effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

Tenure

COLLEGE OF BIOLOGICAL SCIENCES

TENURE AT THE RANK OF ASSOCIATE PROFESSOR

H. Lisle Gibbs, Department of Evolution, Ecology, and Organismal Biology - effective 5/1/2004

Emeritus Titles

TIMOTHY E. HERON, School of Physical Activity and Educational Services, with the title Professor Emeritus, effective July 1, 2004.

JOHN S. HSIA, Department of Mathematics, with the title Professor Emeritus, effective June 1, 2004.

MICHAEL E. MANGINO, Department of Food Science and Technology, with the title Professor Emeritus, effective July 1, 2004.

GAY SU PINNELL, School of Teaching and Learning, with the title Professor Emeritus, effective July 1, 2004.

BARBARA H. RIGNEY, Department of English, with the title Professor Emeritus, effective July 1, 2004.

JEROME B. ZUTELL, JR., School of Teaching and Learning, with the title Professor Emeritus, effective July 1, 2004.

PERSONNEL ACTIONS (contd)

Emeritus Titles (contd)

MARJORIE E. WARD, School of Teaching and Learning, with the title Associate Professor Emeritus, effective July 1, 2004.

NANCY A. SNYDER, School of Allied Medical Professions, with the title Clinical Assistant Professor Emeritus, effective May 1, 2004.

Medical Staff--Initial Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

John K. Ahern, M.D., James Family Medicine, James Community Associate, 2/13/2004 - 6/30/2005

Imtiaz Ahmed, M.D., James Internal Medicine, General Medicine, James Community Associate, 3/1/2004 - 6/30/2005

Muhammad Ahsan, M.B.B.S.*, James Internal Medicine, General Medicine, James Community Associate, 3/1/2004 - 6/30/2005

Donald E. Benson, M.D., James Internal Medicine, General Medicine, James Community Associate, 3/1/2004 - 6/30/2005

Steven C. Burks, M.D., James Internal Medicine, General Medicine, James Community Associate, 3/1/2004 - 6/30/2005

Ellen H. Chen, M.D., James Internal Medicine, Cardiology, James Associate Attending, 12/12/2003 - 6/30/2005

Ennio A. Chiocca, M.D., Ph.D., James Neurological Surgery, James Associate Attending, 2/13/2004 - 6/30/2005

Michael J. Conrad, M.D., James Surgery, Urologic Surgery, James Community Associate, 3/1/2004 - 6/30/2005

Amit A. Doshi, M.D., James Internal Medicine, General Medicine, James Community Associate, 2/13/2004 - 6/30/2005

Jennifer A. Farroni, C.N.P., James Internal Medicine, Cardiology, James Allied Health, 12/12/2003 - 6/30/2005

John W. Fitzharris, Jr., D.O., James Internal Medicine, General Medicine, James Community Associate, 3/1/2004 - 6/30/2005

James H. Galbraith, M.D., James Internal Medicine, Infectious Disease, James Community Associate, 12/12/2003 - 6/30/2005

David T. Hart, M.B.B.S., James Internal Medicine, Cardiology, James Associate Attending, 2/13/2004 - 6/30/2005

Lisha M. Hendrickson, C.N.P., James Surgery, Surgical Oncology, James Allied Health, 2/13/2004 - 6/30/2005

Norma J. Jackson, D.O., James Anesthesiology, James Associate Attending, 12/12/2003 - 6/30/2005

Mohammad A. Kabir, M.D., James Internal Medicine, General Medicine, James Community Associate, 3/1/2004 - 6/30/2005

Gregory S. Knudson, M.D., James Surgery, Urologic Surgery, James Community Associate, 3/1/2004 - 6/30/2005

Lawrence Koegel, Jr., M.D., James Otolaryngology, James Clinical Attending, 2/13/2004 - 6/30/2005

Ulysses J. Magalang, M.D.*, James Internal Medicine, Pulmonary, James Associate Attending, 3/1/2004 - 6/30/2004

Valerie Mann-Jiles, C.N.P., James Internal Medicine, Hematology/Oncology, James Allied Health, 2/13/2004 - 6/30/2004

John G. Mastronarde, M.D., James Internal Medicine, Pulmonary, James Associate Attending, 12/12/2003 - 6/30/2004

Mohammad R. Mirza, M.B.B.S., James Internal Medicine, Cardiology, James Community Associate, 3/1/2004 - 6/30/2004

Vipul K. Patel, M.D.*, James Internal Medicine, General Medicine, James Community Associate, 2/13/2004 - 6/30/2004

PERSONNEL ACTIONS (contd)

Medical Staff--Initial Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

Aruna K. Prattipati, M.B.B.S.*, James Internal Medicine, General Medicine, James Community Associate, 2/13/2004 - 6/30/2004
Bhagwan Satiani, M.D.*, James Surgery, Vascular, James Associate Attending, 3/1/2004 - 6/30/2004
Chirag A. Shah, M.D., James Internal Medicine, General Medicine, James Community Associate, 12/12/2003 - 6/30/2004
Ronald M. Smith, Jr., M.D., James Otolaryngology, James Community Associate, 3/1/2004 - 6/30/2004
Jean E. Starr, M.D.*, James Surgery, Vascular, James Associate Attending, 3/1/2004 - 6/30/2004
Deanna J. Stevens, M.D., James Ophthalmology, James Associate Attending, 2/13/2004 - 6/30/2004
M. Ahsan Ullah, M.D., James Internal Medicine, General Medicine, James Community Associate, 3/1/2004 - 6/30/2004
Patrick S. Vaccaro, M.D.*, James Surgery, Vascular, James Associate Attending, 3/1/2004 - 6/30/2004
Blair D. Vermilion, M.D.*, James Surgery, Vascular, James Associate Attending, 3/1/2004 - 6/30/2004

*Received temporary privileges prior to Credentials Committee meetings.

Medical Staff--Provisional to Full Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Naeem A. Ali, M.D., James Internal Medicine, Pulmonary, James Associate Attending, 12/12/2003 - 6/30/2005
Magid H. Amer, M.D., James Internal Medicine, Hematology/Oncology, James Attending, 3/23/2004 - 6/30/2005
William G. Blum, M.D., James Internal Medicine, Hematology/Oncology, James Attending, 3/23/2004 - 6/30/2005
Michelle L. Brooker, C.N.P., James Internal Medicine, Hematology/Oncology, James Allied Health, 12/12/2003 - 6/30/2005
Johannah Clarke, C.N.P., James Surgery, Surgical Oncology, James Allied Health, 3/23/2004 - 6/30/2005
Stephen R. Feagins, M.D., James Internal Medicine, General Medicine, James Community Associate, 3/23/2004 - 6/30/2005
David S. Feldman, M.D., Ph.D., James Internal Medicine, Cardiology, James Associate Attending, 3/23/2004 - 6/30/2005
Andrew V. Grainger, M.D., James Internal Medicine, Hematology/Oncology, James Attending, 2/13/2004 - 6/30/2005
Todd S. Klausner, M.D., James Radiology, James Associate Attending, 3/23/2004 - 6/30/2005
Andrei V. Manilchuk, M.D., James Surgery, General Surgery, James Associate Attending, 12/12/2003 - 6/30/2004
Carolyn A. McClerking, C.N.P., James Internal Medicine, Hematology/Oncology, James Allied Health, 2/13/2004 - 6/30/2004
Dean J. Mikami, M.D., James Surgery, General Surgery, James Associate Attending, 12/12/2003 - 6/30/2004
Carole A. Miller, M.D., James Neurological Surgery, James Associate Attending, 3/23/2004 - 6/30/2004
Matthew E. Newlin, M.D., James Surgery, General Surgery, James Associate Attending, 12/12/2003 - 6/30/2004
Matthew D. Ringel, M.D., James Internal Medicine, Endocrinology, Diab/Met, James Associate Attending, 3/23/2004 - 6/30/2004
Tom E. Rodgers, P.A.-C, James Surgery, Thoracic/Cardio Surgery, James Allied Health, 12/12/2003 - 6/30/2004

PERSONNEL ACTIONS (contd)

Medical Staff--Provisional to Full Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

Laura E. Ryan, M.D., James Internal Medicine, Endocrinology, Diab/Met, James Associate Attending, 3/23/2004 - 6/30/2004
Dwight A. Scarborough, M.D., James Internal Medicine, Dermatology, James Clinical Attending, 12/12/2003 - 6/30/2004
Larry S. Schlesinger, M.D., James Internal Medicine, Infectious Disease, James Associate Attending, 12/12/2003 - 6/30/2004
Rulong Z. Shen, M.D., James Pathology, James Associate Attending, 2/13/2004 - 6/30/2004
Adam C. Spiess, M.D., James Otolaryngology, James Associate Attending, 12/12/2003 - 6/30/2004
Sherry Stewart Harmond, C.N.P., James Internal Medicine, Hematology/Oncology, James Allied Health, 2/13/2004 - 6/30/2004
Rundsarah M. Tahboub, M.D., James Internal Medicine, General Medicine, James Associate Attending, 2/13/2004 - 6/30/2004
Robin A. Vachon-Kraut, C.N.P., James Anesthesiology, James Allied Health, 2/13/2004 - 6/30/2004
Lalit K. Vadlamani, M.D., James Internal Medicine, Cardiology, James Associate Attending, 2/13/2004 - 6/30/2004

Medical Staff—Requests for Additional Privileges (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Naeem Ali, M.D., James Associate Attending, Internal Medicine, Mod/Deep Sedation, 2/13/2004 - 6/30/2005
Ellen H. Chen, M.D., James Associate Attending, Internal Medicine, Moderate Sedation, 12/12/2003 - 6/30/2005
Susan Ezzone, C.N.P., James Allied Health, Internal Medicine, Skin Punch Biopsy and Suturing, 2/13/2004 - 6/30/2005
Jennifer Glatz, C.N.P., James Allied Health, Internal Medicine, Bone Marrow Aspirate/ Bone Marrow Biopsy, 12/12/2003 - 6/30/2005
Garrie J. Haas, M.D., James Associate Attending, Internal Medicine, Moderate Sedation, 12/12/2003 - 6/30/2005
Lisha Hendrickson, C.N.P., James Allied Health, Surgery, Prescriptive Authority, 3/23/2004 - 6/30/2005
Ruthann Kennedy, C.N.P., James Allied Health, Internal Medicine, Prescriptive Authority, 12/12/2003 - 6/30/2005
John Mastronarde, M.D., James Associate Attending, Internal Medicine, Mod/Deep Sedation, 12/12/2003 - 6/30/2004
Rajive Tandon, M.D., James Associate Attending, Internal Medicine, Mod/Deep Sedation, 2/13/2004 - 6/30/2004
Patrick Vaccaro, M.D., James Associate Attending, Surgery, Moderate Sedation, 3/23/2004 - 6/30/2004

RESOLUTIONS IN MEMORIAM

Resolution No. 2004-144

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

RESOLUTIONS IN MEMORIAM (contd)

George J. Majda

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on February 8, 2004, of George J. Majda, Professor in the Department of Mathematics.

Professor Majda was an applied mathematician in the broadest sense, drawing inspiration from such diverse fields as biology, fluid dynamics, plasma physics, and seismology. His research was characterized by bringing a variety of analytical and computational techniques to bear on problems of essential scientific interest.

George Madja was born on November 6, 1952, in East Chicago, Indiana, the son of Mary and Andrew Majda. He was educated at Purdue University and the Courant Institute of Mathematical Sciences, New York University, where he wrote a dissertation under the direction of Hans Otto Kreiss. After graduating from NYU in 1980, he was a Bateman Instructor at the California Institute of Technology and then an assistant professor at Brown University. In 1986 he joined the Department of Mathematics at The Ohio State University as an associate professor and was promoted to professor in 1997. He held visiting appointments at the Institute for Advanced Study (1992-1993) and Duke University (1994-1995).

He was an expert in the theoretical and numerical solution of differential equations and was an active member of the Department's applied mathematics group. He supervised the doctoral dissertation of Musheng Wei (Brown University, 1986) and the Master's degrees of Fereshteh Khorrami (The Ohio State University, 1987) and John Hamilton (The Ohio State University, 1988). Professor Majda devoted great effort over many years to scientific and pedagogical liaison work with the College of Engineering.

On behalf of the University community, the Board of Trustees expresses to the family of Professor George J. Majda its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Michael L. Scott

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on March 25, 2004, of Michael L. Scott, Associate Professor of Technology Education in the School of Teaching and Learning.

Professor Scott held Bachelor of Science, Master of Arts, and Doctor of Philosophy degrees, all in industrial technology and special education, from The Ohio State University. He returned to The Ohio State University as a faculty member in 1982 in the Industrial Technology Education Program. He served as program coordinator of the Industrial Technology Education Program from 1991-94.

His scholarly work focused on equity in technology and vocational education. There were two major aspects that combined to make up this focus. First, he was concerned that youth with special needs not be denied technology education or given improper career choices. Second, he worked hard to ensure that members of minorities were encouraged and accepted in the technology education profession. As a teacher, Professor Scott had an ability to weave his audience's experience into the day's lesson and leave each student feeling as if that lesson was meant especially for him or her. He always put hands-on learning into practice by giving students the opportunity to not just hear but to also do. He also made sure that steps in the learning process were rewarded with praise.

Before joining the faculty at The Ohio State University, Professor Scott served as an industrial arts and special education teacher at elementary and middle school levels, and as an industrial arts supervisor at the Ohio Department of Education. As a faculty member, he also served on the

RESOLUTIONS IN MEMORIAM (contd)

Michael L. Scott (contd)

selection committee for the Martin Essex School for the Gifted, on an advisory committee for the Southeast Career Center, and as a judge at the Ohio State Fair.

Professor Scott served in a variety of positions in several professional associations. He served in 1984 as conference chair in the International Technology Education Association and on several committees. In honor of his service, he was awarded the Rutherford B. Lockette Humanitarian Award and the Award of Distinction, and was made a member of the Academy of Fellows. Professor Scott was the co-trustee of Alpha Chapter, Epsilon Pi Tau, and was awarded the Laureate Citation and the Distinguished Service Award.

Michael Scott was an active member of the University community and served on numerous school, college, and University committees, including Faculty Council, University Senate, chair of the Committee on Traffic and Parking, the Library Council, and most recently as a member of the Undergraduate Curriculum Review Committee, appointed by President Kirwan.

The Ohio State University awarded him a Distinguished Diversity Enhancement Award and chose him as a CIC Academic Leadership Fellow. In all of Professor Scott's leadership activities he advocated the continual improvement of the University as a place where all students could achieve their goals.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Michael L. Scott its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Charles F. Sepsy

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on February 20, 2004, of Charles F. Sepsy, Professor Emeritus in the Department of Mechanical Engineering.

Professor Sepsy held a Bachelor of Mechanical Engineering degree from the University of Tennessee and a Master of Science of Mechanical Engineering degree from the University of Rochester. He was a professor of mechanical engineering in the College of Engineering for 35 years until retiring in 1985. His teaching and research interest focused on the energy requirements for heating and cooling of buildings. Among his many research projects was a study of campus energy usage that led to a reduction of energy use in campus buildings by 30 to 60 percent, saving the University several million dollars. He also was responsible for the design and construction of the solar house on the Ohio State Fairgrounds in 1976.

Professor Sepsy served his profession at the highest level. He was a life member and fellow of the American Society of Heating, Refrigerating and Air Conditioning Engineers (ASHRAE) and served as the national president from 1980-81. As president, he launched the ASHRAE Energy Awards, oversaw the transition of the national headquarters from New York City to Atlanta, and oversaw creation of an office in Washington, D.C. He also actively encouraged student participation in ASHRAE. In 1967, he was chosen Outstanding Technical Man of the Year by the Columbus chapter. He received the ASHRAE Distinguished Service Award in 1975 and the E.K. Campbell Award in 1985. For his work in encouraging women to enter the field of engineering, he received the Society of Women Engineers annual award in 1982.

Charles Sepsy also was engaged professionally in Civil Defense preparedness, focusing on the internal environment of fallout shelters, which led to an award from the Defense Civil Preparedness Agency. He was an active member of the American Society of Mechanical Engineers. During his Air Force service beginning in 1943, he served as a pilot and flight instructor.

RESOLUTIONS IN MEMORIAM (contd)

Charles F. Sepsy (contd)

On behalf of the University community, the Board of Trustees expresses to the family of Professor Charles F. Sepsy its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Charles J. "CJ" Slanicka

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on March 5, 2004, of Charles J. Slanicka, Professor Emeritus in the Office of Academic Affairs, former director of the Center for Labor Research and former chair of the Labor and Education Resources Services in the College of Administrative Science.

Professor Slanicka earned a bachelor's degree in business administration from the University of Pittsburgh and a master's degree in economics from Pennsylvania State University. He came to The Ohio State University in 1968 as director of Labor Education and Research Services. He was instrumental in establishing the Center for Labor Research and successfully directed the Center for several years.

During the years of its operation, the Center provided professional development seminars to labor leaders that helped raise the level of professionalism of labor leadership throughout the state. By establishing best practices models, as well as useful research to labor unions and their leaders, he not only earned the respect of labor leaders but also provided the University with opportunities for positive interactions with this important constituency.

Throughout his tenure at the University, he served on major college and University committees and served as Faculty Athletic Representative to the Big Ten Conference and NCAA, and NCAA Council Division 1. Upon his retirement in 2001, he was named Professor Emeritus in the Office of Academic Affairs-Administration.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Charles J. Slanicka its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2004-145

Synopsis: The report on research and other sponsored program contracts and grants and the summary for March 2004 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of March 2004 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2004-146

Synopsis: The report on the receipt of gifts and the summary for March 2004 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Clayton C. Wagner Parkinson's Disease Clinical Research Professorship and The Clayton C. Wagner Parkinson's Disease Professorship in Neurotherapeutics; and

WHEREAS this report includes the establishment of twenty-five (25) new named endowed funds and amendments of eight (8) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of March 2004 be approved.

TOTAL UNIVERSITY PRIVATE SUPPORT
 July through March
 2003-04 Compared to 2002-03
GIFT RECEIPTS BY DONOR TYPE

	Dollars July through March		
	<u>2003-04</u>	<u>2002-03</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$22,470,860	\$29,111,570	(23)
Alumni (From Bequests)	<u>12,563,135</u>	<u>3,402,488</u>	269
Alumni Total	\$35,033,995	\$32,514,058	8
Non-Alumni (Current Giving)	\$19,528,092	\$14,943,821	31
Non-Alumni (From Bequests)	<u>9,727,167</u>	<u>3,958,259</u>	146
Non-Alumni Total	\$29,255,259	\$18,902,080	55
Individual Total	\$64,289,254	\$51,416,138	25 ^A
Corporations/Corp/Foundation	\$27,309,278	\$31,116,375	(12) ^B
Private Foundations	\$15,906,780	\$15,150,939	5
Associations & Other Organizations	<u>\$4,344,984</u>	<u>\$5,780,223</u>	(25) ^C
 Total	 \$111,850,296	 \$103,463,675	 8

NOTES

- A Individual giving is up 25% largely due to the fact that gifts of from non-alumni are up 55% over last year (nearly \$11 million more than one year ago).
- B Giving from corporations at the \$10,000 or more level is down 17% for July 2003 – March 2004 (\$21.5 million from 489 gifts this year; \$25.2 million from 521 gifts last year).
- C Giving from associations and other organizations at the \$10,000 or more level is down 32% for July 2003 - March 2004 (\$3.0 million from 83 gifts this year; \$4.4 million from 76 gifts last year).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July through March

2003-04 Compared to 2002-03

GIFT RECEIPTS BY PURPOSE

	Dollars July through March		
	<u>2003-04</u>	<u>2002-03</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$36,303,367	\$17,720,061	105
Faculty Support	\$8,232,956	\$9,477,837	(13)
Program Support	\$46,080,553	\$56,708,730	19
Student Financial Aid	\$13,261,821	\$13,427,916	(1)
Unrestricted-Colleges/Departments	\$4,709,335	\$5,290,222	(11)
Unrestricted-University	<u>\$3,262,264</u>	<u>\$838,909</u>	74
Total	\$111,850,296	\$103,463,675	8

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through March

<u>2003-04</u>	<u>2002-03</u>	<u>% Change</u>
\$35,254,399	\$32,176,228	10

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
Austin E. Knowlton Architecture Building Fund (Used to pay debt service on the bonds issued to support construction of the Knowlton Architecture Building; upon payment of the bond debt the fund shall be unrestricted)		\$10,459,627.00	\$10,459,627.00
The Ohio Eminent Scholar in Rhetoric and Composition (Used to provide salary and program support for an outstanding scholar-leader in the Rhetoric and Composition program; provided by gifts from the General Assembly of Ohio and others)		\$1,252,857.00	\$1,252,857.00
Wilson Vocal Awards Fund (Used to provide awards to students pursuing an undergraduate major in voice; provided by gifts from Raymond L. and Jo S. Wilson)		\$33,630.00	\$33,630.00
The Nationwide and Ohio Farm Bureau 4-H Center Endowment Fund (Used for operation, maintenance, and upkeep of the Nationwide and Ohio Farm Bureau 4-H Center; provided by gifts from numerous donors)		\$25,000.00	\$25,000.00
The Wexner Center Programs Endowment Fund (Used to support the programs of the Wexner Center; provided by gifts from the Class of 1985 and others)		\$25,000.00	\$25,000.00
Morrow County 4-H Endowment Fund (Used to support the Morrow County 4-H Youth Development Program; provided by gifts from friends of Morrow County 4-H) (grandfathered)		\$15,556.00	\$15,556.00
Athens County 4-H Endowment Fund (Used to provide a sound source of program funding for the 4-H youth, teens, and volunteers; provided by gifts from friends of Athens County 4-H) (grandfathered)		\$15,000.00	\$15,000.00
The Gertrude Hoeger Innovation Fund (Used by the dean of the College of Veterinary Medicine to further the highest priorities of the College; provided by a gift from the charitable remainder trust of Gertrude Hoeger) (grandfathered)		\$12,216.00	\$12,216.00

Change in Description of Named Endowed Funds

The Richard T. and Iola Keller Brown Scholarship Fund
L. E. Kunkle Student Development Fund

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Funds

From: Charles H. and Josephine Dunkle Ingraham
4-H Scholarship Fund

To: Charles H. and Josephine Dunkle Ingraham
Scholarship Fund

From: The Charles W. Plum Endowment Fund

To: The Charles W. Plum Scholarship Fund

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Professorships</u>			
The Clayton C. Wagner Parkinson's Disease Clinical Research Professorship (Used to provide for a professorship position to support the advancement of medical knowledge of Parkinson's disease towards finding the cause, innovative treatments, and cure; provided by gifts from an anonymous donor in memory of Clayton C. Wagner)		\$750,000.00	\$750,000.00
The Clayton C. Wagner Parkinson's Disease Professorship in Neurotherapeutics (Used to provide for a professorship position to support the advancement of medical knowledge of Parkinson's disease towards finding the cause, innovative treatments, and cure; provided by gifts from an anonymous donor in memory of Clayton C. Wagner)		\$750,000.00	\$750,000.00
<u>Establishment of Named Endowed Funds</u>			
The Clayton C. Wagner Parkinson's Disease Research Fund (Used to support the The Clayton C. Wagner Parkinson's Disease Research Professorship, The Clayton C. Wagner Parkinson's Disease Professorship in Neurotherapeutics, and The Clayton C. Wagner Parkinson's Disease Clinical Research Professorship; provided by gifts from an anonymous donor in memory of Clayton C. Wagner)		\$1,207,327.61	\$1,207,327.61
Ralph Arthur and Barbara Keyse Rockow Fund for Excellence in Mechanical Engineering (Used to finance the construction of the Department of Mechanical Engineering's facility to replace Robinson Laboratory; after completion of the building the funds shall be unrestricted; provided by gifts from Ralph Arthur Rockow and Barbara Keyse Rockow)		\$300,000.00	\$300,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Anne H. Baumhofer Scholarship Fund (Used to provide scholarships to students majoring in microbiology from traditionally underrepresented groups; provided by gifts from the estate of Anne H. Baumhofer)		\$88,674.00	\$88,674.00
The Bremer Foundation Lectureship in Pediatrics Fund (Used to support a lectureship in the Department of Pediatrics in the College of Medicine and Public Health; provided by gifts from the Bremer Foundation in honor of the late Richard P. and Marie R. Bremer)		\$75,000.00	\$75,000.00
The Richard E. Evans Scholarship Fund (Used to provide scholarships for incoming freshmen or upperclassmen majoring in agricultural, environmental, and development economics, animal sciences, human and community resource development, or natural resources conservation; provided by gifts from Richard E. Evans)		\$50,000.00	\$50,000.00
The Ohio State Firefighters' Nursing Scholarship Endowment Fund (Used to support nursing scholarships for students currently enrolled at the College of Nursing as sophomores, juniors, or seniors in the undergraduate B.S.N. program; provided by gifts from The Ohio State Firefighters' Nursing Foundation, Inc.)		\$40,000.00	\$40,000.00
The Sara (Sally) E. and Robert (Bob) C. Delaney Endowed Scholarship Fund in Mental Retardation and Developmental Disabilities (Used to support a student in the College of Social Work with preference given to a candidate with an interest in working with children with mental retardation and/or developmental disabilities; provided by gifts from Mr. Rick A. Delaney)		\$37,335.00	\$37,335.00
Moon Family Endowment Fund (Used to provide scholarships for graduates of Edward Lee McClain High School in Greenfield, Ohio; provided by gifts from David D. Moon)		\$30,000.00	\$30,000.00
The David Allan and Rosemarie Steger Wendt Merit Scholarship Fund (Used to provide Merit Scholarships to sophomores who are either majoring or minoring in computer science and engineering and/or the Spanish language; provided by a gift from Rosemarie Steger Wendt)		\$30,000.00	\$30,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The George S. Bonn Scholarship Fund (Used to provide scholarships for undergraduate students in chemical engineering; provided by gifts from the estate of George C. Bonn)		\$29,000.00	\$29,000.00
The Lynne Murray Stockwell Memorial Scholarship Fund (Used to provide merit-based scholarships to deserving students who are interviewed, ranked, and recommended by the Middle Tennessee Alumni Association Scholarship Committee or the Ohio State Alumni Association; provided by gifts from family members and friends of Lynne Murray Stockwell and the Middle Tennessee Alumni Association)		\$27,870.00	\$27,870.00
G.S. Maddala Memorial Fund (Used to provide awards to graduate students in the Department of Economics for excellence in quantitative research using econometrics; provided by gifts from Kay Maddala)		\$25,470.00	\$25,470.00
The Sarasota Alumni Club Scholarship Fund (Used to provide merit-based scholarships to qualified students interviewed, ranked, and recommended by the OSU Alumni Club of Sarasota Scholarship Committee; provided by gifts from members and friends of the Sarasota Alumni Club)		\$25,200.00	\$25,200.00
The Erika, Andra, and Inara Brubaker Endowed Scholarship Fund for Academic Excellence in Domestic Violence and Child Welfare in the College of Social Work (Used to support a student in the College of Social Work with preference given to an individual focusing her or his career on domestic violence and/or child welfare; provided by a gift from Dr. Inara Mencis Brubaker)		\$25,000.00	\$25,000.00
The James M. Falko, M.D. Chair Fund in Endocrinology, Diabetes, and Metabolism Research (Used to provide for a chair position in the College of Medicine and Public Health to support research and training in diabetes, metabolism, and endocrinology; provided by gifts from Helen M. Lyon Allison in memory of her husband Irvin)		\$25,000.00	\$25,000.00
Putnam County 4-H Endowment Fund (Used for a sound source of program funding for the 4-H youth, teens, and volunteers; provided by gifts from friends of Putnam County 4-H)		\$25,000.00	\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Stephen F. Schaal, M.D. Chair Fund in Cardiology (Used to provide for a chair position in the College of Medicine and Public Health to support research and training in cardiovascular diseases; provided by gifts from Helen M. Lyon Allison in memory of her husband Irvin)		\$25,000.00	\$25,000.00
<u>Change in Description of Named Endowed Funds</u>			
Greene County 4-H Endowment Fund			
Washington County 4-H Endowment Fund			
<u>Change in Name and Description of Named Endowed Funds</u>			
From: The Jeanne Lambert Research and Education Fund in Nanotechnology			
To: The Lambert Family Lecture Series Endowment Fund			
From: The Roberta Elliott Wantman Endowment Fund in the Department of Greek and Latin in the College of Humanities			
To: The Roberta Elliott Wantman Endowment Fund			
Total		\$15,404,762.61	\$15,404,762.61

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Funds

Austin E. Knowlton Architecture Building Fund

The Austin E. Knowlton Architecture Building Fund was established May 7, 2004, in accordance with the guidelines approved by the Board of Trustees of The Ohio State University.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to pay debt service on the bonds issued to support construction of the Knowlton Architecture Building.

The principal is invadable, but no more than once per quarter and only with the joint approval of the dean of the College of Engineering, the senior vice president for Business and Finance, and the executive vice president and provost.

Upon payment of the bond debt, the fund shall be used by the director of the Austin E. Knowlton School of Architecture for unrestricted purposes of the school.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

Austin E. Knowlton Architecture Building Fund (contd)

It is the desire that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Engineering.

\$10,459,627.00

The Ohio Eminent Scholar in Rhetoric and Composition

The Ohio Eminent Scholar in Rhetoric and Composition was established May 7, 2004, by the Board of Trustees of The Ohio State University with a grant awarded by the General Assembly of Ohio and other gifts to the University.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide salary and program support for an outstanding scholar-leader in the Rhetoric and Composition program in the Department of English in the College of Humanities. Appointment of The Ohio Eminent Scholar in Rhetoric and Composition will be recommended by the executive dean of the Colleges of the Arts and Sciences, in consultation with the dean of the College of Humanities and executive vice president and provost, and approved by the Board of Trustees.

If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the executive dean of the Colleges of the Arts and Sciences and the dean of the College of Humanities. Any redirection of this endowment prior to ten years from the date established shall require the prior approval of the Ohio Board of Regents.

\$1,252,857.00

Wilson Vocal Awards Fund

The Wilson Vocal Awards Fund was established May 7, 2004, by the Board of Trustees of The Ohio State University with a gift from Raymond L. (B.S.Ed., 1956) and Jo S. Wilson.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

Each year, for five years, an award of \$750.00 shall be awarded to an Ohio State University student pursuing an undergraduate major in voice, either in music education or in music performance. The director of the School of Music shall choose the student from a list of qualified students nominated by the voice faculty and the director of choral music.

Beginning with the sixth year, eighty percent (80%) of the income shall be used for two (2) awards of equal amount. Any unused income distribution in any given year shall be returned to principal.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

Wilson Vocal Awards Fund (contd)

In those years when two awards are granted, one award shall be to an undergraduate student and the other to a graduate student. The recipients of these awards shall be determined through performance competition, which shall be announced in December and held in spring quarter of each year, and be judged by at least three of the following, and at least one judge from outside The Ohio State University:

- director of the OSU School of Music
- head of Vocal Music at OSU
- head of Choral Music at OSU
- artistic director of Opera/Columbus
- director of the Columbus Symphony Chorus

The awards shall not be a bar for qualification for or receipt of other awards of a related nature.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees, in consultation with the donors or their heirs, and the dean of the College of the Arts and the director of the School of Music in order to carry out the desire of the donors.

\$33,630.00

The Nationwide and Ohio Farm Bureau 4-H Center Endowment Fund

The Nationwide and Ohio Farm Bureau 4-H Center Endowment Fund was established May 7, 2004, by the Board of Trustees of The Ohio State University with gifts from numerous donors.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for the operation, maintenance, and upkeep of the Nationwide and Ohio Farm Bureau 4-H Center. The assistant director of Ohio State University Extension for 4-H Youth Development has the authority for approval and spending.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the assistant director of Ohio State University Extension for 4-H Youth Development in order to carry out the desire of the donors.

\$25,000.00

The Wexner Center Programs Endowment Fund

The Wexner Center Programs Endowment Fund was established May 7, 2004, by the Board of Trustees of The Ohio State University with gifts from the graduates of the Class of 1985 and other donors.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Wexner Center Programs Endowment Fund (contd)

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be distributed to the Wexner Center to be used to support the programs of the Center, including, but not limited to, sculptural installations.

The use of the annual income shall be directed by the director of the Wexner Center in consultation with the Center's curatorial staff or their designees.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Wexner Center's director and curatorial staff, or their successors, in order to carry out the desire of the donors.

\$25,000.00

Morrow County 4-H Endowment Fund

The Morrow County 4-H Endowment Fund was established May 7, 2004, by the Board of Trustees of The Ohio State University with gifts from friends of Morrow County 4-H.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the Morrow County 4-H Youth Development Program. This support may include, but is not limited to, the funding of trips and awards for 4-H members, volunteer leaders, and friends of 4-H; sponsoring seminars and programs; and purchasing materials or services that will supplement 4-H programming or increase the visibility and public support for 4-H in Morrow County. All expenditures from this fund shall be approved by the Morrow County 4-H Council and the professional providing leadership to the Morrow County 4-H program.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of Ohio State University Extension and a committee representing the Morrow County 4-H donors, in order to carry out the desire of the donors.

\$15,556.00 (grandfathered)

Athens County 4-H Endowment Fund

The Athens County 4-H Endowment Fund was established May 7, 2004, by the Board of Trustees of The Ohio State University with gifts from friends of Athens County 4-H.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

Athens County 4-H Endowment Fund (contd)

The annual income shall be used to provide a sound source of program funding for the 4-H youth, teens, and volunteers of the Athens County 4-H Youth Development Program. This support may include, but is not limited to, the funding of trips and awards for 4-H members, volunteer leaders, and friends of 4-H; sponsoring seminars and programs; and purchasing material and services that will supplement 4-H programming or increase the visibility and public support of 4-H in Athens County. The Athens County 4-H Endowment Committee and the professional in charge of the Athens County 4-H Program shall approve all expenditures from this fund.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of Ohio State University Extension and a committee representing the Athens County 4-H donors, in order to carry out the desire of the donors.

\$15,000.00 (grandfathered)

The Gertrude Hoeger Innovation Fund

The Gertrude Hoeger Innovation Fund was established May 7, 2004, by the Board of Trustees of The Ohio State University with a gift from the charitable remainder trust of Gertrude Hoeger (B.S.Ed., 1929).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the dean of the College of Veterinary Medicine to further the highest priorities of the college.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Veterinary Medicine in order to carry out the desire of the donor.

\$12,216.00 (grandfathered)

Change in Description of Named Endowed Funds

The Richard T. and Lola Keller Brown Scholarship Fund

The Lola Keller Brown Scholarship Fund was established September 7, 1990, by the Board of Trustees of The Ohio State University with gifts from Richard T. Brown (B.S.Agr., 1942; B.Agr.E., 1946) and family in honor of Richard's wife Lola Keller Brown (B.S.H.E., 1941). The name and description were revised August 29, 2001, and the description was further revised May 7, 2004.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Description of Named Endowed Funds (contd)

The Richard T. and Iola Keller Brown Scholarship Fund (contd)

The annual income shall be split between the College of Human Ecology and the College of Food, Agricultural, and Environmental Sciences. Fifty percent (50%) shall be used to provide scholarships for upperclassmen majoring in human ecology and fifty percent (50%) shall be used to provide scholarships for upperclassmen majoring in food, agricultural, and biological engineering. Preference will be given to students who demonstrate academic promise, leadership among peers, and service to the University. The scholarships will be awarded by the College of Human Ecology Scholarship Selection Committee and the Food, Agricultural, and Biological Engineering Scholarship Selection Committee, in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Human Ecology and the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences in order to carry out the desire of the donors.

L. E. Kunkle Student Development Fund

The L. E. Kunkle Student Development Fund was established December 1, 1972, by the Board of Trustees of The Ohio State University with gifts from many friends of Professor L. E. Kunkle, student and professor of meat science at The Ohio State University for 44 years, on the occasion of his retirement. The description was revised May 7, 2004.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income is to be used to provide one or more scholarships for undergraduate and/or graduate students who have demonstrated academic ability in meat science or the Meat Judging Team and have need for financial assistance. The selection of the recipient is to be upon the recommendation of meat science faculty. If additional income accrues, it may be used to further student development in the areas of academic excellence and industry relations. If in the future the need for the fund no longer exists, the donors would prefer that the fund continue to support the field of animal sciences.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, and the chairperson of the Department of Animal Sciences in order to carry out the desire of the donors.

Change in Name and Description of Named Endowed Funds

Charles H. and Josephine Dunkle Ingraham Scholarship Fund

The Charles H. Ingraham Scholarship Fund was established April 6, 1984, by the Board of Trustees of The Ohio State University with gifts from Charles H. Ingraham (B.S.Agr., 1950; M.S., 1958; Ph.D., 1964), Professor Emeritus, and Josephine Dunkle Ingraham, their family, former

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Funds (contd)

Charles H. and Josephine Dunkle Ingraham Scholarship Fund (contd)

students, and agri-businesses. The name and description were revised June 2, 1995, to the Charles H. and Josephine Dunkle Ingraham 4-H Scholarship Fund, and the name and description were further revised May 7, 2004.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a scholarship for an incoming student from Washington or Morgan Counties enrolling in the College of Food, Agricultural, and Environmental Sciences. Selection shall be made by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences or his designee in consultation with the University Committee on Student Financial Aid. Five hundred dollars of each year's annual income and any unused income at the end of each year will be reinvested to principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees Board in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, or his successor in order to carry out the desire of the donors.

The Charles W. Plum Scholarship Fund

The Charles W. Plum Endowment Fund was established September 2, 1988, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Charles W. Plum (B.S.Bus.Adm., 1936) of College Station, Texas. The name and description were revised May 7, 2004.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University with the right to invest and reinvest as occasion dictates.

The annual income shall be used for the support of graduate scholarships at The Max M. Fisher College of Business for students who do not have an undergraduate degree in business and who have expressed an interest in finance. Expenditures from the fund shall be authorized at the discretion of the Graduate Programs Office.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in order to carry out the desire of the donor.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Professorships

The Clayton C. Wagner Parkinson's Disease Clinical Research Professorship

The Clayton C. Wagner Parkinson's Disease Clinical Research Professorship was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts made from an anonymous donor in memory of Clayton C. Wagner.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors with the right to invest and reinvest as occasion dictates.

The annual income shall provide for a professorship position to support the advancement of medical knowledge of Parkinson's disease towards finding the cause, innovative treatments, and cure. Support shall be provided to a distinguished researcher, teacher, and practitioner in the College of Medicine and Public Health for basic or clinically-relevant research, to include both genetic and epidemiological factors. The appointment shall be made at the recommendation of the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in consultation with the director of the Madden-NPF Parkinson's Disease Research Center. The activities of the professorship holder shall be reviewed no less than every five years by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health to determine compliance with the intent of the donor as well as the academic and research standards of the University.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$750,000.00

The Clayton C. Wagner Parkinson's Disease Professorship in Neurotherapeutics

The Clayton C. Wagner Parkinson's Disease Professorship in Neurotherapeutics was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts made from an anonymous donor in memory of Clayton C. Wagner.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors with the right to invest and reinvest as occasion dictates.

The annual income shall provide for a professorship position to support the advancement of medical knowledge of Parkinson's disease towards finding the cause, innovative treatments, and cure. Support shall be provided to a distinguished researcher, teacher, and practitioner in the College of Medicine and Public Health for basic or clinically-relevant research, to include both genetic and epidemiological factors, with an emphasis on neurotherapeutic research. The appointment shall be made at the recommendation of the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in consultation with the director of the Madden-NPF Parkinson's Disease Research Center. The activities of the professorship holder shall be reviewed no less than every five years by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health to determine compliance with the intent of the donor as well as the academic and research standards of the University.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Professorships (contd)

The Clayton C. Wagner Parkinson's Disease Professorship in Neurotherapeutics (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$750,000.00

Establishment of Named Endowed Funds

The Clayton C. Wagner Parkinson's Disease Research Fund

The Clayton C. Wagner Parkinson's Disease Research Fund was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts made from an anonymous donor in memory of Clayton C. Wagner.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support The Clayton C. Wagner Parkinson's Disease Research Professorship, The Clayton C. Wagner Parkinson's Disease Professorship in Neurotherapeutics, and The Clayton C. Wagner Parkinson's Disease Clinical Research Professorship. The income may support, but is not limited to, scientific supplies, equipment, salaries for research personnel, and activities required for quality medical research. Funds will be designated at the discretion of the director of the Madden-NPF Parkinson's Center.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$1,207,327.61

Ralph Arthur and Barbara Keyse Rockow Fund
for Excellence in Mechanical Engineering

The Ralph and Barbara Keyse Rockow Fund for Excellence in Mechanical Engineering was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Ralph Arthur Rockow (B.M.E., 1958; M.S., 1958) and Barbara Keyse Rockow (B.S.Ed., 1958) from Phoenix, Arizona.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to finance the construction of the Department of Mechanical Engineering's facility to replace Robinson Laboratory, during the period of the project's financing, including the repayment of 20-year construction bonds for the facility. Upon the completion of the financing of the construction of the Department of Mechanical Engineering's new facility to replace Robinson Laboratory, the annual income shall be used in perpetuity for the unrestricted

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Ralph Arthur and Barbara Keyse Rockow Fund
for Excellence in Mechanical Engineering (contd)

support of the activities of the Department of Mechanical Engineering or its successor unit at the discretion of the chairperson of the Department of Mechanical Engineering.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the chairperson of the Department of Mechanical Engineering and the dean of the College of Engineering in order to carry out the desire of the donors.

\$300,000.00

The Anne H. Baumhofer Scholarship Fund

The Anne H. Baumhofer Scholarship Fund was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Anne H. Baumhofer (B.S., 1957) of San Diego, California.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to establish The Anne H. Baumhofer Scholarship Fund, in the College of Biological Sciences, for students majoring in microbiology with preference given to students from traditionally underrepresented groups who would enhance diversity at the College. The allocation of these funds will be determined by the college dean and/or chairperson of the Department of Microbiology on an annual basis. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Biological Sciences or the chairperson of the Department of Microbiology in order to carry out the desire of the donor.

\$88,674.00

The Bremer Foundation Lectureship in Pediatrics Fund

The Bremer Foundation Lectureship in Pediatrics Fund was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from the Bremer Foundation of Youngstown, Ohio, in honor of the late Richard P. and Marie R. Bremer.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a lectureship in the Department of Pediatrics in the College of Medicine and Public Health by a visiting professor who is a distinguished physician or scholar having demonstrated outstanding medical or scientific achievement relevant to the field of pediatrics. Allocation of funds for, and the implementation of, the Bremer Foundation Lectureship

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Bremer Foundation Lectureship in Pediatrics Fund (contd)

in Pediatrics shall be determined by the chairperson of the Department of Pediatrics in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the chairperson of the Department of Pediatrics and with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$75,000.00

The Richard E. Evans Scholarship Fund

The Richard E. Evans Scholarship Fund was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Richard E. Evans of Urbana, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more scholarships for incoming freshmen or upperclassmen majoring in agricultural, environmental and development economics; animal sciences; human and community resource development; or natural resources conservation; with first preference given to students majoring in natural resources conservation. First preference should be given to a student from Champaign County with a 4-H and/or FFA background. Second preference should be given to a student from a contiguous county with a 4-H and/or FFA background. The student must have and maintain a minimum 2.5 grade point average. Students must apply annually for the scholarship. Selection will be made by the College of Food, Agricultural, and Environmental Sciences Scholarship Committee in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences; the chairperson of the Department of Agricultural, Environmental, and Developmental Economics; and the chairperson of the Department of Animal Sciences in order to carry out the desire of the donor.

\$50,000.00

The Ohio State Firefighters' Nursing Scholarship Endowment Fund

The Ohio State Firefighters' Nursing Scholarship Endowment Fund was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from The Ohio State Firefighters' Nursing Foundation, Inc.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Ohio State Firefighters' Nursing Scholarship Endowment Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support nursing scholarships for a student currently enrolled in the College of Nursing as a sophomore, junior, or senior in the undergraduate B.S.N. program based on merit and/or financial need. Recipient must be a legal resident of the State of Ohio for at least three years. Preference will be given to a student who is or has been an EMT or firefighter. The dean of the College of Nursing, the associate deans, and the assistant dean shall select the recipient(s) each year.

Two scholarships will be awarded annually until such time as the endowment fund earnings are available to present \$1,000 individual scholarship awards. Any portion of the annual distribution remaining after the award of scholarships will be reinvested to principal. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Nursing, associate deans, and assistant dean in order to carry out the desire of the donor.

\$40,000.00

The Sara (Sally) E. and Robert (Bob) C. Delaney Endowed Scholarship Fund
in Mental Retardation and Developmental Disabilities

The Sara (Sally) E. and Robert (Bob) C. Delaney Endowed Scholarship Fund in Mental Retardation and Developmental Disabilities was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from Mr. Rick A. Delaney (B.S.Bus.Adm., 1982) of Columbus, Ohio.

All gifts are to be invested in the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a student in the College of Social Work, with preference given to a candidate with an interest in working with children with mental retardation and/or developmental disabilities. This interest shall be determined by volunteer activities, field placement, or employment. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Social Work in order to carry out the desire of the donor.

\$37,335.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Moon Family Endowment Fund

The Moon Family Endowment Fund for graduates of Edward Lee McClain High School was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the David D. Moon family (B.S.Bus.Adm., 1963) of Greenfield, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulation adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more scholarships for graduates of Edward Lee McClain High School, Greenfield, Ohio. The amount of the scholarships will be based on the annual income of the endowment. The recipient or recipients will be selected from a pool of students recommended by the principal of Edward Lee McClain High School in consultation with the Office of Student Financial Aid and University Honors and Scholars.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Office of Student Financial Aid and the associate provost for Honors and Scholars in order to carry out the desire of the donor.

\$30,000.00

The David Allan and Rosemarie Steger Wendt Merit Scholarship Fund

The David Allan and Rosemarie Steger Wendt Merit Scholarship Fund was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Rosemarie Steger Wendt (B.S.Ed., 1971; M.S., 1975) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more Merit Scholarships to sophomore level students who are either majoring or minoring in computer science and engineering and/or the study of the Spanish language. The students will be selected from those who qualify as University Scholars. The level and number of Merit Scholarships (University, Medalist, Tradition, or Presidential) will be determined by the income distribution generated by the fund. This scholarship will be used as a University Scholarship until it reaches the Medalist Scholarship (\$100,000) funding level, at which time the name of the fund shall be changed to "The David Allan and Rosemarie Steger Wendt Medalist Merit Scholarship Fund." This fund is to be awarded by the University Honors and Scholars Center in consultation with the University Committee on Student Financial Aid. Any unused income should be reinvested to principal annually.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the associate provost for Honors and Scholars, and the chairpersons of the Departments of Computer Science and Engineering and Spanish and Portuguese, in order to carry out the desire of the donor.

\$30,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The George S. Bonn Scholarship Fund

The George S. Bonn Scholarship Fund was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of George S. Bonn (B.Ch.E., 1935; M.S., 1936) of Honolulu, Hawaii.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for undergraduate chemical engineering scholarships. Consideration will be extended to students who attended primary or secondary schools in developing countries, especially those in Asia and along the Pacific Basin. The chairperson of the Department of Chemical Engineering will be responsible for awarding these scholarships. Should the need for such scholarships cease to exist, the fund's annual income will be used by the University Library to augment resource materials for teaching and research in the above named geographical areas. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the chairperson of the Department of Chemical Engineering or the dean of the College of Engineering in order to carry out the desire of the donor.

\$29,000.00

The Lynne Murray Stockwell Memorial Scholarship Fund

The Lynne Murray Stockwell Memorial Scholarship Fund was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from family members and friends of Lynne Murray Stockwell (B.S.Bus.Adm., 1980) and the Middle Tennessee Alumni Association.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide merit-based scholarships to deserving students that are interviewed, ranked, and recommended by the Middle Tennessee Alumni Association Scholarship Committee or the Ohio State Alumni Association. The Office of Student Financial Aid will make every effort to honor the recommendations; however, the final decision will be made by the Office of Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of Student Financial Aid in order to carry out the desire of the donors.

\$27,870.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

G.S. Maddala Memorial Fund

The G.S. Maddala Memorial Fund was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Maddala's wife Kay Maddala, colleagues, and his students.

The fund honors Dr. Maddala's work in the field of econometrics and his teaching and mentoring of graduate students. Dr. Maddala was a professor of economics (University Eminent Scholar) at The Ohio State University from 1992-1999.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide awards to graduate students in the Department of Economics for excellence in quantitative research using econometrics, both theoretical and applied.

The fund may be used periodically to sponsor lectures by distinguished speakers.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with dean of the College of Social and Behavioral Sciences and the chairperson of the Department of Economics in order to carry out the desire of the donors.

\$25,470.00

The Sarasota Alumni Club Scholarship Fund

The Sarasota Alumni Club Scholarship Fund was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from members and friends of the Sarasota Alumni Club.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide merit-based scholarships to qualified students interviewed, ranked, and recommended by the OSU Alumni Club of Sarasota Scholarship Committee. The Office of Student Financial Aid will make every effort to honor the recommendations; however, the final decision will be made by the Office of Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of Financial Aid in order to carry out the desire of the donors.

\$25,200.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Erika, Andra, and Inara Brubaker Endowed Scholarship Fund for Academic Excellence in Domestic Violence and Child Welfare in the College of Social Work

The Erika, Andra, and Inara Brubaker Endowed Scholarship Fund for Academic Excellence in Domestic Violence and Child Welfare in the College of Social Work was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift from Dr. Inara Mencis Brubaker (M.S., 1961; Ph.D., 1963) of Bluffton, Ohio.

All gifts are to be invested in the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a student in the College of Social Work, with preference given to an individual focusing her or his career on domestic violence and/or child welfare. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Social Work in order to carry out the desire of the donor.

\$25,000.00

The James M. Falko, M.D. Chair Fund
in Endocrinology, Diabetes, and Metabolism Research

The James M. Falko, M.D. Chair Fund in Endocrinology, Diabetes, and Metabolism Research was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Helen M. Lyon Allison of Columbus, Ohio, in loving memory of her husband, Irvin, and in honor of the extraordinary work of Dr. James Falko (M.D., 1971).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall provide for a chair position in the College of Medicine and Public Health to support research and training in diabetes, metabolism, and endocrinology, including such things as graduate fellowships, equipment, consumable supplies for research, salary for the faculty chair holder, and other costs of an active researcher. The position shall be held by a nationally eminent faculty member as recommended by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. The activities of the endowed chair holder shall be reviewed no less than every five years by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health to determine compliance with the intent of the donor as well as the academic and research standards of the University.

Until the minimum funding level is met and the chair position is established, the income shall be reinvested to principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the senior vice president for

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The James M. Falko, M.D. Chair Fund
in Endocrinology, Diabetes, and Metabolism Research (contd)

Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$25,000.00

Putnam County 4-H Endowment Fund

The Putnam County 4-H Endowment Fund was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends of Putnam County 4-H.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a sound source of program funding for the 4-H youth, teens, and volunteers of the Putnam County 4-H Youth Development Program. This support may include, but is not limited to, the funding of trips and awards for 4-H members, volunteer leaders, and friends of 4-H; sponsoring seminars and programs; and purchasing material and services that will supplement 4-H programming or increase the visibility and public support of 4-H in Putnam County. The Putnam County 4-H Committee and the professional in charge of the Putnam County 4-H Program shall approve all expenditures from this fund.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist, or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director for Ohio State University Extension and a committee representing the Putnam County 4-H donors, in order to carry out the desire of the donors.

\$25,000.00

The Stephen F. Schaal, M.D. Chair Fund in Cardiology

The Stephen F. Schaal, M.D. Chair Fund in Cardiology was established May 7, 2004, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Helen M. Lyon Allison of Columbus, Ohio, in loving memory of her husband, Irvin, and in honor of the extraordinary work of Dr. Stephen F. Schaal (M.D., 1964).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall provide for a chair position in the College of Medicine and Public Health to support research and training in cardiovascular diseases, including such things as graduate fellowships, equipment, consumable supplies for research, salary for the faculty chair holder, and other costs of an active researcher. The position shall be held by a nationally eminent faculty member as recommended by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. If appropriate at the time this chair is fully funded and activated, it is the desire of the donor that Stephen F. Schaal, M.D. be considered for the first appointment. The activities of the endowed chair holder shall be reviewed no less than every five years by the senior vice president for Health Sciences and dean of the College of Medicine and

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Stephen F. Schaal, M.D. Chair Fund in Cardiology (contd)

Public Health to determine compliance with the intent of the donor as well as the academic and research standards of the University.

Until the minimum funding level is met and the chair position is established, the income shall be reinvested to principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$25,000.00

Change in Description of Named Endowed Funds

Greene County 4-H Endowment Fund

The Greene County 4-H Endowment Fund was established April 4, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends of Greene County 4-H. The description was revised May 7, 2004.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the Greene County 4-H Youth Development Program. This support may include, but it not limited to, the funding of trips and awards for 4-H members, volunteer leaders, and friends of 4-H; sponsoring seminars and programs; and purchasing materials or services that will supplement 4-H programming or increase the visibility and public support for 4-H in Greene County. All expenditures from this fund shall be approved by the Greene County 4-H Committee and the professional providing leadership to the Greene County 4-H program.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director for Ohio State University Extension and a committee representing the Greene County 4-H donors in order to carry out the desire of the donors.

Washington County 4-H Endowment Fund

The Washington County 4-H Endowment Fund was established on May 2, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends of Washington County 4-H. The description was revised May 7, 2004.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the Washington County 4-H Youth Development Program. This support may include, but is not limited to, the funding trips and awards for 4-H

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Description of Named Endowed Funds (contd)

Washington County 4-H Endowment Fund (contd)

members, volunteer leaders, and friends of 4-H; sponsoring seminars and programs; and purchasing materials or services that will supplement 4-H programming or increase the visibility and public support for 4-H in Washington County. All expenditures from this fund shall be approved by the Washington County 4-H Council and the professional providing leadership to the Washington County 4-H program.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director for Ohio State University Extension and a committee representing the Washington County 4-H donors, in order to carry out the desire of the donors.

Change in Name and Description of Named Endowed Funds

The Lambert Family Lecture Series Endowment Fund

The Jeanne Lambert Research and Education Fund in Nanotechnology was established on April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Bill G. Lambert (B.S.Bus.Adm., 1968) and Sheila Lambert, New York, New York. The name and description were revised May 7, 2004.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the Wexner Center's Lambert Family Lecture Series. The lecture series will provide opportunities for experts to engage and educate diverse audiences about global issues in art and contemporary culture. The Lambert Family Lecture Series will encourage the involvement of students, faculty, and the general public.

The use of the annual income shall be directed by the director of the Wexner Center, in consultation with the Center's curator of Exhibitions and director of Education or their designee, and the donors or their designee.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Lambert Family and the director of the Wexner Center or their successor, in order to carry out the desire of the donors.

The Roberta Elliott Wantman Endowment Fund

The Roberta Elliott Wantman Endowment Fund in the Department of Greek and Latin in the College of Humanities was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation. The name and description were revised May 7, 2004.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the Department of Greek and Latin and the Department of Geological Sciences in alternating years, beginning with the Department of Greek and Latin in

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Name and Description of Named Endowed Funds (contd)

The Roberta Elliott Wantman Endowment Fund (contd)

fiscal year 2005. The Department of Greek and Latin shall support a student studying classics to visit ancient sites and to participate in a formal study program on aspects of Roman civilization. In the alternating years, the income distribution will support the Ice Core Paleoclimate Research Group, directed by Lonnie Thompson and Ellen Mosley-Thompson, through the Department of Geological Sciences.

The Greek and Latin Department Awards Committee will select the recipient in consultation with the donor. In years where the income distribution supports the Ice Core Paleoclimate Research Group, the funds will be administered through the Department of Geological Sciences in consultation with Lonnie Thompson and Ellen Mosley-Thompson. In the event that the Department of Greek and Latin is unable to identify an appropriate student recipient for the award, that year's income distribution shall support the Ice Core Paleoclimate Research Group.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the donor, the dean of the College of Humanities, the dean of the College of Mathematical and Physical Sciences, the chairperson of the Department of Greek and Latin, and the chairperson of the Department of Geological Sciences.

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 2004-147

January - March 2004

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, November 7, 1997, and March 1, 2002 provides that the President and/or the Senior Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, the Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Senior Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of January – March 2004; and

WHEREAS during the period covered, the Senior Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 49 waivers of competitive bidding requirements for annual purchases totaling approximately \$5,011,900 as shown on the enclosed exhibit:

WHEREAS during the period covered, the Interim Vice President for Health Services, at the requests of the Hospitals of the University and their related facilities making purchases, granted 41 waivers of competitive bidding requirements for annual purchases totaling approximately \$17,600,600 as shown on the enclosed exhibit:

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS (contd)

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of January – March 2004, is hereby accepted.

(See Appendix L for background material, page 1209.)

**REQUEST FOR DESIGN AND CONSTRUCTION CONTRACTS
AND A JOINT USE AGREEMENT**

Resolution No. 2004-148

REQUEST FOR DESIGN CONTRACTS

ARCHER HOUSE RESIDENCE HALL RENOVATIONS
MENDENHALL LAB CHILLER REPLACEMENT
OARDC - THORNE AND GOURLEY HALLS RENOVATION, PHASE 3
OARDC - WESTERN BRANCH HEADQUARTERS AND MACHINERY BUILDING
OSU GOLF COURSE - SCARLET COURSE REBUILD

REQUEST FOR CONSTRUCTION CONTRACTS

ATI - LEARNING ASSISTANCE CENTER - HALTERMAN HALL
CAMPUS BUILDINGS ELEVATOR HYDRAULIC CYLINDER REPLACEMENT
ELECTROSCIENCE LABORATORY RENOVATION
LIMA CAMPUS - CAMPUS DRIVE RESURFACE
MEDICAL CENTER HELIPAD ON RHODES HALL

REQUEST FOR A JOINT USE AGREEMENT

CLEVELAND BOTANICAL GARDENS

Synopsis: Authorization to enter into design contracts for Archer House Residence Hall Renovations, Mendenhall Lab Chiller Replacement, OARDC – Thorne and Gourley Halls Renovation, Phase 3, OARDC - Western Branch Headquarters and Machinery Building, OSU Golf Course - Scarlet Course Rebuild, and construction contracts for ATI - Learning Assistance Center - Halterman Hall, Campus Buildings Elevator Hydraulic Cylinder Replacement, ElectroScience Laboratory Renovation, Lima Campus - Campus Drive Resurface, and Medical Center Helipad on Rhodes Hall, and a joint use agreement for Cleveland Botanical Gardens is requested.

WHEREAS the University desires to renovate the office space in Archer House to restore the building for use as a residence hall facility and the preliminary project cost estimate is \$3,955,400, with financing to be provided by future University bond proceeds with debt service to be paid by Student Affairs; and

WHEREAS the University desires to replace two chillers in Mendenhall Lab and the preliminary project cost estimate is \$225,000, with funding to be provided by HB 850; and

WHEREAS the University desires to upgrade laboratories and renovate the HVAC system in Thorne and Gourley Halls at OARDC and the preliminary project cost estimate has increased to \$4,751,185, with funding to be provided by HB 790 (\$83,076), HB 808 (\$3,857), HB 748 (\$140,000), HB 640 (\$4,195,974), and HB 675 (\$328,278); and

WHEREAS the University desires to renovate and provide additional space for OARDC at the Western Branch Headquarters and Machinery Building in South Charleston, Ohio, and the preliminary project cost estimate is \$850,000, with funding to be provided by HB 675; and

**REQUEST FOR DESIGN AND CONSTRUCTION CONTRACTS
AND A JOINT USE AGREEMENT (contd)**

WHEREAS the University desires to renovate and rebuild portions of the Scarlet Course on the OSU Golf Course and the preliminary project cost estimate is \$1,200,000 - \$1,500,000, with funding for design fees provided by the Department of Athletics auxiliary funds, and funding for construction costs to be determined at the conclusion of design work and prior to seeking approval to enter into construction contracts; and

WHEREAS the University desires to relocate the Horticulture Offices at ATI into the space vacated by the Learning Assistance Center and the construction document project cost is \$190,000, with funding to be provided by HB 850 (\$130,000) and HB 640 (\$60,000); and

WHEREAS the University desires to replace cylinders in hydraulic elevators throughout many Columbus campus buildings and the construction document project cost is \$575,000, with funding to be provided by HB 675; and

WHEREAS the University desires to renovate the ElectroScience Laboratory and the construction document project cost has increased due to improvements required to the elevator system to meet ADA accessibility codes, and the revised project cost is \$1,043,864, with funding to be provided by College of Engineering General Funds (\$70,864), HB 790 (\$101,418), HB 748 (\$48,582), HB 640 (\$798,000), and HB 675 (\$25,000); and

WHEREAS the University desires to resurface portions of Campus Drive on the Lima Campus and the construction document project cost is \$54,978, with funding to be provided by HB 640; and

WHEREAS the University desires to construct a helipad on Rhodes Hall Tower and the cost of the project increased prior to bid time due to rising steel prices and the revised construction document project cost is \$5,019,835, with funding to be provided by University Hospitals auxiliary funds; and

WHEREAS the University has received a pass-through appropriation of \$2,500,000 for the Cleveland Botanical Gardens and, in accordance with rules of the Ohio Board of Regents, the University and any entity receiving pass-through appropriations must enter into a joint use agreement to provide for the University's benefit in administering the appropriation:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into design contracts for the Archer House Residence Hall Renovations, Mendenhall Lab Chiller Replacement, OARDC – Thorne and Gourley Renovation, Phase 3, OARDC - Western Branch Headquarters and Machinery Building, and OSU Golf Course - Scarlet Course Rebuild projects, in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into construction contracts, if satisfactory bids are received, for the ATI - Learning Assistance Center - Halterman Hall, Campus Buildings Elevator Hydraulic Cylinder Replacement, ElectroScience Laboratory Renovation, Lima Campus - Campus Drive Resurface, and Medical Center Helipad on Rhodes Hall projects in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into a Joint Use Agreement for the Cleveland Botanical Gardens project.

(See Appendix LI for background information and maps, page 1211.)

PURCHASE OF REAL PROPERTY

Resolution No. 2004-149

211 TAYLOR AVENUE
COLUMBUS, OHIO

Synopsis: Authorization to purchase improved real property located at 211 Taylor Avenue in Columbus, Ohio, is proposed.

WHEREAS the Board of Trustees of The Ohio State University is presented with an opportunity to purchase the improved real property located at 211 Taylor Avenue in Columbus, Ohio, owned by Julia I. Saxton, at a price of \$48,000; and

WHEREAS the appropriate University offices have determined that the purchase of this property is in the best interest of the University; and

WHEREAS funding for the acquisition and use of this property will be provided by University Hospitals East:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized, in the name of the State of Ohio for the use of The Ohio State University, to purchase from Julia I. Saxton, the improved real property located in Columbus, Ohio, at 211 Taylor Avenue, at a purchase price not to exceed \$48,000, plus fees and closing costs, upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State Controlling Board.

(See Appendix LII for background information and map, page 1239.)

FISCAL YEAR 2003-04 THIRD QUARTER BUDGET REPORT

Resolution No. 2004-150

Synopsis: The Third Quarter Budget Report for Fiscal Year 2003-04 is being submitted for adoption.

WHEREAS the University's enrollments for all quarters are now known, allowing for a more accurate picture of the University's student fee revenue; and

WHEREAS appropriate planning and consultation within the University has been accomplished and the President now recommends approval of the Third Quarter Budget Report:

NOW THEREFORE

BE IT RESOLVED, That the appropriate resources budgets be adjusted to reflect these changes as of the Third Quarter as described in the attached materials.

(See Appendix LII for background information, page 1241.)

Upon motion of Ms. Longaberger, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Sofia, Slane, McFerson, Borrer, O'Dell, and Judge Duncan, Meses. Longaberger, Hendricks, and Davidson.

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PRESENTATION ON 2004-05 TUITION

Mr. William J. Shkurti: [PowerPoint Presentation]

This presentation is designed to answer four key questions about the recommendations for tuition for Fall Quarter 2004: 1) Why are these increases recommended?; 2) How much will they be?; 3) How will we maintain access?; and 4) How will the students benefit?

At the Academic and Student Affairs and Fiscal Affairs Committee meeting this morning, Barbara and I laid out the detail about why the increases are recommended and how much they will be, so I will briefly summarize those and then we will talk in more detail about access and how the students will benefit.

Fundamentally, we are recommending these increases for three reasons: 1) our costs of providing undergraduate education are increasing; 2) state support is not increasing; and 3) we have taken as much as we think we can right now out of other sources of income and out of cost, so that any additional unplanned reductions would harm our students.

I mentioned that state support is not increasing; although the trend has accelerated some in recent years, this is nothing new. The chart you have in front of you goes back to 1985 and takes a look at state funding in tuition and fees as a share of the total General Funds budget, which pays for our instruction. The state funding line is in blue, the tuition and fees line is in red.

As you can see, beginning in 1987 the share of state support declined relatively consistently over that period. Then in 2001, a significant step was taken because it was the first time in the history of the University that tuition and fees from our students exceeded state support. Obviously, that trend has accelerated as the slowdown in the national economy has affected state revenues. Although it is more difficult in Ohio -- because state support for higher education has never been great -- it is part of a national trend. A lot of universities are facing similar problems.

To make up for cost increases and for not having an increase in state support, how much will the tuition increase? We went through the details of this in the joint committee meeting this morning, but the bottom line is for resident undergraduates new to the Columbus campus the increase will be \$891, or 13.4 percent, over last fall. The resulting tuition and mandatory fee level will be \$7,542 for an academic year of three quarters.

This is a summary of a chart we also presented at the joint committee meeting as to how the tuition increase of \$891 per student breaks down into three areas. Just to sustain our current academic programs would take about a 5 percent increase or \$352 a year. To replace state support that is not increasing -- if the state support were to grow as rapidly as our costs as it has historically, that \$245 would not be needed, but, in fact, it has not, so that helps make up for that. In addition, we are providing some program improvements that Barbara will talk about later that are permitted under the state fee caps that amount to \$258 per student for increased student financial aid and learning technology. Finally, the mandatory recreation fee will be phased in beginning next fall at \$12 a quarter that supports the financing of the new recreation facilities.

So given these tuition increases, how will we maintain access and make sure that the finances are not a barrier to students who are otherwise qualified to come here? There are really three different things we are doing. One is we will increase University-funded financial aid, and I will get back to that in a minute.

PRESENTATION ON 2004-05 TUITION (contd)

Mr. Shkurti: (contd)

Secondly, our tuition and fees will still be low compared to competitive admission institutions in the State of Ohio. We think we will still be a relative bargain. Finally, we will continue our strong commitment to diversity and to having a student body that is diverse. Not only because it is the right thing to do, but because it enhances the educational experience of our students.

Our need-based financial aid will be increased at least as much as tuition and, in fact, a little bit more. That has consistently been our policy in recent years and it has been very effective. To give you an idea of what that meant last year, in FY 2003, 34 percent of all our undergraduates received some form of grant -- these are not loans -- from sources either inside the University or outside. The average award was a little more than \$2,700. That is a significant amount. Twenty-one percent of our undergraduates -- and the 21 percent is included in the 34 percent -- received some form of grant from the University and that average award was \$834.

If you take a look at the current year, FY 2004, compared with the previous year, FY 2003, the number of undergraduates receiving University-funded grants increased by eight percent and the average award increased nearly 19 percent. As our tuition has gone up, we have expanded the number of students receiving University financial aid and the amount. We expect at least a similar increase in the year ahead, which will be FY 2005.

I mentioned earlier that we still compare favorably to Ohio's other competitive admission universities. These other schools have announced their tuition for Autumn 2004, so we can compare that. As you will see, we are slightly ahead of Kent State -- whichever option they ultimately choose -- but we are still charging less than Ohio University and significantly less than Bowling Green, Cincinnati, and Miami. These are all fine schools, but Ohio State offers a breadth and comprehensiveness of education that we think cannot be met elsewhere in the State of Ohio and, in fact, as a university of national and international reputation. Yet our tuition and mandatory fees will remain below that of many other institutions in Ohio, and we are proud of that. The problem is that they are too low right now for us to be able to compete in providing additional services to our students, and Barbara will talk about that in a minute.

It is my distinct privilege to be the first person to introduce Barbara in her newly approved position, which has interim removed from it. I am very glad to introduce my distinguished colleague, Barbara Snyder, executive vice president and provost, who will talk about how students will benefit.

Provost Barbara R. Snyder:

Thanks, Bill. Our first slide shows three broad categories of benefits to students. First, we are going to increase student access to faculty and high demand courses, provide improved access to state-of-the-art technology, and provide additional opportunities for student learning outside the classroom. I will talk a little bit more about each one of those.

We are going to increase access to faculty and high demand courses. This year we implemented President Holbrook's Freshman Seminar initiative, offering the first groups of seminars in the winter and spring quarters of this year. In these seminars, regular faculty members teach up to 20 freshman on a variety of topics. All of our colleges that teach undergraduates offer research opportunities to undergraduates and we will be offering even more opportunities next year.

PRESENTATION ON 2004-05 TUITION (contd)

Provost Snyder: (contd)

To increase the number of research experiences for undergraduates, our colleges are pursuing a variety of strategies including: offering small grants to support undergraduate research projects; providing summer fellowships for undergraduates engaged in research; creating searchable websites listing faculty research projects that offer opportunities for undergraduate participation; and holding college-level undergraduate research forums as preparation for the University-wide Denman Undergraduate Research Forum.

We continue to recruit and retain top faculty members. With the tuition increase we received for this year, a majority of our colleges that teach undergraduates increased faculty size. We offer 63 more undergraduate courses and 429 more sections of undergraduate courses than the year before. We also reduced our wait list request by 10 percent, and a number of our colleges increased the size of their academic advising staff.

We continue to provide students with more access to technology including: more technology-enhanced classrooms, or what we call "smart classrooms;" more computer support staff and upgraded equipment, both in our centrally controlled computer labs, as well as in the labs controlled by our colleges; more access to course materials on the web, e.g. syllabi; better cyber security, allowing us to block more viruses at the gate before they infect individual computers in our network; a web-based tool that will allow students to plan their courses from their freshman year through graduation; and a digital union, a laboratory for the development of the latest in learning technology.

We know that important student learning takes place outside as well as inside the classroom and we will continue to focus on expanding these opportunities for students. We began this year with the phase-in of the student activity fee to provide much more support to student organizations. We have continued to expand living-learning programs, which this year served 70 percent of the students living in our residence halls. We will be offering four new programs: one in psychology, one in sports and wellness, one for people interested in Native American culture, and one in math and physical sciences. In addition, we are providing better career counseling, more campus-wide programming, more opportunities for exercise and wellness activities, and we will continue to work with the City of Columbus to improve safety in the neighborhoods around our campus.

In conclusion, the costs of our undergraduate education are rising faster than state support. We believe that the recommended increase in tuition will allow us to maintain the quality of the instructional programs we provide to our students. The increase of \$891, or 13.4 percent, is recommended for resident undergraduates entering in the fall of 2004, and this fully complies with our state-mandated caps. Finally, we will maintain access through University-funded financial aid programs. Our students will benefit from greater access to faculty, improved technology, and more learning opportunities outside the classroom.

Despite our uncertain financial environment and very intense competition, The Ohio State University will remain a tremendous value for our students and will continue to serve the people of Ohio.

Mr. Chairman, we would be happy to take any questions.

Mr. Sofia:

Thank you, Barbara and Bill. Questions on this issue?

PRESENTATION ON 2004-05 TUITION (contd)

Mr. McFerson:

We had a good discussion in the Academic and Student Affairs and Fiscal Affairs Committee meeting this morning on tuition. With regard to every student who is accepted at Ohio State, "we will find money for that student to stay at Ohio State." Is that a true statement?

Provost Snyder:

I am not sure exactly what you mean. We certainly are interested in making sure that we have financial aid available for every student who needs financial aid. I do not know if we have talked about this to the Board before, but about a quarter of our students come from families making less than \$40,000 a year. We are committed to continuing to serve those students and to make sure that financial aid is available. Our financial aid packages include a range of grants, loans, and work-study.

Mr. McFerson:

Every student who is applying for admission or that we want is told all of that, correct?

Provost Snyder:

Absolutely. An admission is always done without regard to financial need.

Mr. Sofia:

We have all seen the tremendous value relative to others, but back to the same question -- affordability. Are we revising our guidelines in providing this financial aid, so we could even include a larger segment of our students with a larger percentage of aid to make it more affordable and provide better access? In particular, keeping with our goals of diversity and not just to include the usual categories but also people who have economic hardship and want to come to Ohio State?

Provost Snyder:

Obviously, we do include people who come from lower income backgrounds in our diversity mission and you can find all of the criteria that we look at on the Admissions' website. Beyond that, we are committed to continuing to ensure that students of all means have access to Ohio State and the University-funded part of financial aid is one of the fastest growing parts of our budget and will continue to be. We do follow federal financial aid guidelines, but every time we increase tuition, we find that more students qualify for need-based aid and therefore we are serving more students. As Bill mentioned, that is why we are serving more students this year than last year and we expect to be serving more students with financial aid next year than the current year.

Mr. Sofia:

Thank you. Any other questions for Barbara or Bill? If not, I would like to ask for a motion and vote on the following resolution.

**AMENDED
FY 2004-05 COLUMBUS CAMPUS UNDERGRADUATE TUITION**

Resolution No. 2004-151

Synopsis: Instructional, general, and nonresident fees at the Columbus Campus for Fiscal Year 2004-05, effective Autumn Quarter 2004, are proposed.

WHEREAS the Board of Trustees of The Ohio State University supports the University's continued implementation of the Academic Plan and its initiatives to meet the needs of Ohio State students; and

WHEREAS undergraduate instructional and general fees are subject to a 12.9% fee cap and no more than a 9.0% increase can be approved in a single vote of the Board of Trustees; and

WHEREAS consultations have taken place within the University to determine the appropriate instructional fee increases for undergraduate, graduate and professional programs; and

WHEREAS phase one of the Larkins Student Recreation Center project is scheduled to open before Autumn Quarter and is to be supported by a separate student fee on all students that falls outside the undergraduate fee cap; and

WHEREAS consultations continue to take place with regard to the regional campuses and ATI as well as specific undergraduate program fees on the Columbus Campus and those fees will be presented at the June 4, 2004 Board of Trustees meeting:

NOW THEREFORE

BE IT RESOLVED, That instructional and general fees for all tiers of undergraduates enrolled at the Columbus Campus be increased 9.0% with the intention of a further 3.9% increase in a second vote at the June 4, 2004 Board meeting; and

BE IT FURTHER RESOLVED, That instructional and general fees for most graduate students will increase 12.9% and that the instructional fees for the professional colleges and selected graduate programs will increase between 6.0% and 14.5% in accordance with the attached schedule; and

BE IT FURTHER RESOLVED, That the nonresident surcharge for all programs be increased by 6.0%; and

BE IT FURTHER RESOLVED, That a flat \$12 per quarter recreation fee be implemented for all students taking more than three credit hours to support phase one of the new Larkins Recreation Center; and

BE IT FURTHER RESOLVED, That all of these increases shall be effective Autumn Quarter 2004.

Upon motion of Mr. McFerson, seconded by Mrs. Davidson, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Sofia, Slane, McFerson, Borrer, O'Dell, and Judge Duncan, Mes. Longaberger, Hendricks, and Davidson.

(See Appendix LIV for background information, page 1249.)

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CHAIRMAN'S FINAL REMARKS

Mr. Sofia:

I would now like to make a few parting remarks. I saved them until the end, because we had so many important things today on our agenda. This is my final

CHAIRMAN'S FINAL REMARKS (contd)

Mr. Sofia: (contd)

meeting as chairman and, as might be expected, it is a time for me to look back and look ahead. I would like to share a few brief observations with you today.

Perhaps now more than ever, Ohio State is important to the people of Ohio. It is far more than a team to cheer for, it is a dream to work for. Even in times of economic challenge, this is a place that offers opportunity. Students come to us from around Ohio and the globe. I have said time and again that students are our primary customers. They are at the center of our mission and the focus of much of our activity.

From the recreation and physical activity facilities that are taking shape to the rededication of Kuhn Honors and Scholars House to the Ohio Union project, which you have heard plenty about today, we continue to seek ways to enhance the student experience. We have made investments in financial aid, retention, and advising, and continue our commitment to the Gateway Project. We must continue to do all that we can to provide a safe, healthy, and fun learning environment for our students and ensure that an Ohio State education remains affordable.

Ohio State is a place of discovery. Our brilliant faculty continue to amaze us with their contributions to knowledge and commitment to students, learning, and research. Research grants have increased 80 percent at Ohio State in the past five years, placing us 13th in the nation among public universities and, as Bob stated earlier, 19th among all universities.

Remarkable new facilities like the Aronoff Laboratory of Biological Sciences, the Biomedical Research Tower, the Physics Building, the Mechanical Engineering Building, and the Knowlton School of Architecture Building, as well as the renovation of the William Oxley Thompson Library, and Hagerty and Page Halls support the work of our faculty, students, and staff.

My high opinion of our faculty, which is shared by my colleagues on the Board, is reinforced by the many recognitions they receive. This year, 15 faculty were named fellows of the American Association for the Advancement of Science, more than any university in the nation. It was announced last month that Professor David Denlinger, chair of the Department of Entomology, has been awarded membership in the National Academy of Sciences, one of the highest honors for a U.S. scientist or engineer. And you just heard from Dr. Holbrook about the three new additions to the National Academy of Arts and Sciences.

It has been my privilege to become acquainted with many faculty members during my tenure on this Board. I said last night that serving on the Board is equivalent to a liberal arts education.

Looking back over the past nine years, I am inspired by the higher rankings and increased stature of so many colleges and programs, including the Fisher College of Business, the Medical Center, Engineering, Veterinary Medicine, Agriculture, and Law, just to name a few. As Dr. Holbrook stated, the core disciplines of the Arts and Sciences have continued to provide in a telling and powerful fashion to the University's rising national reputation. As most of you know, I believe passionately that we must prepare our graduates for a global society through a strong liberal arts curriculum and a diverse educational experience.

The Academic Plan has provided a roadmap and the spirit of Ohio State has fueled great success. In times of budgetary constraint, I believe that we have

CHAIRMAN'S FINAL REMARKS (contd)

Mr. Sofia: (contd)

been diligent stewards of our institution's resources. The people of Ohio State have answered challenges with innovation and dedication.

Ohio State's committed staff members work together to enhance access and opportunity for all students and improve the quality of life for all who are in the Ohio State family. That spirit is evident every day in the Office of the Board of Trustees. The Secretary of the Board Professor David Frantz and Associate Secretary Maureen Sharkey, along with Lucy Gandert and Suzanne Nagy form a strong and capable team, and they have my gratitude. I would like for us to recognize Maureen, Lucy, and Suzanne for all the work they do for the Board.

President Holbrook is dedicated to advancing Ohio State to the highest ranks among public universities. I thank her for her leadership, commitment, and energy. Also, today, on behalf of the Board, we welcome the appointment of Barbara Snyder as executive vice president and provost. We look forward to her continued guidance in our march to be among the top ten public universities in our nation.

The new chairperson of the Board of Trustees, Tami Longaberger, is an accomplished business leader with a passion for Ohio State that will serve this Board and University well. She is visionary and enthusiastic, and I thank her for her advice and counsel and wish her well in her new leadership role.

Along this nine-year journey I have had the company of a number of outstanding colleagues on this Board. I have benefited greatly from their knowledge, judgment, and insight. I want to say to each one of you, and to my former colleagues, "thank you" for your unwavering commitment to The Ohio State University and your counsel and friendship to me.

As always, my wonderful wife Susan is my compass whose sense for true north keeps me on course. Her patience, willingness to listen, wise counsel, and sense of humor have been important to me. She certainly has kept me on an even keel.

I join President Holbrook in thanking Paula Habib, Mike Goodman, and Karen Weise for their dedication and service to The Ohio State University, and we wish them the best in the future.

This University impacts the community and the state. When Ohio State wins, literally, the community and Ohio wins. But it is much more than athletics. Since moving to Columbus 32 years ago, it has been apparent to me that Ohio State is a significant force for good. It drives the economic engine not only of our city, but our state and even our nation. It impacts the quality of education from K through college. It brings its intellectual capacity and community commitment to important social and economic issues. It is preparing the next generation of leaders and, from where I sit, the future looks bright.

Plato defined a great teacher as "one who holds before the young a vision of greatness." The people of Ohio State, young and not so young, from our newest student to the members of this Board, have been shown a "vision of greatness." They are working every day to make it a reality. For that you have my admiration.

CHAIRMAN'S FINAL REMARKS (contd)

Mr. Sofia: (contd)

Of all the community service I have been involved with through the years, one of the most rewarding experiences has been the honor of my association with The Ohio State University. It is truly a great educational and research institution. My heart is full and my words are inadequate to express my gratitude for the opportunity to serve as a trustee for nine years at one of the nation's premier institutions. Thank you very much.

Tami, would you please come forward? It is with great confidence that I hand you the gavel and the leadership of this Board.

Ms. Longaberger:

My first order of business is to adjourn this meeting. Thank you and we will see you in June.

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Thereupon the Board adjourned to meet Friday, June 4, 2004, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

David O. Frantz
Secretary

Zuheir Sofia
Chairman

**UNIVERSITY HOSPITALS EAST MEDICAL STAFF BYLAWS REVISIONS
IMPACT STATEMENT**

The University Hospital East Medical Staff Bylaws were amended in a comprehensive manner to bring them into conformance with the Medical Staff Bylaws of The Ohio State University Hospitals and other regulatory bodies such as the Joint Commission on Accreditation of Healthcare Organizations (JCAHO). Areas of revision include:

- 1) Confirmation of medical staff applicant identity with photo identification.
- 2) Additional data collection for initial appointment and reappointment.
- 3) Requirement for a procedure to expedite credentialing.
- 4) Language to include licensed independent practitioners in regards to practitioner health.
- 5) Assurance of confidentiality of the informant in relation to reporting practitioner health issues.
- 6) Language to ensure that the medical staff bylaws will not conflict with the governing body bylaws.
- 7) Clarification of the term "designee" when referring to a designee for a medical staff member.
- 8) Clarification of the need for appropriate credentialing of a medical staff designee in relation to the completion of a UHE patient history and physical.
- 9) Title change for clarification of Medical Records.
- 10) Title change for clarification of committees and policy groups.

Medical Staff Bylaws of The Ohio State University Hospitals East

Amended Bylaws

3335-45-05 Appointment and reappointment.

(A) Initial application.

(1) and (2) unchanged.

(3) Practitioners requesting privileges at the Ohio state university hospitals east only, must present themselves in person to a member of the university hospitals east medical staff office along with a photo identification that has been issued by a state, federal, or regulatory agency prior to a completed application moving forward in the credentialing process. The medical staff office member will examine the photo identification and will verify in writing that the applicant's identity has been verified. Practitioners requesting privileges at multiple entities in the Ohio state medical center health system will be required to follow the corporate credentialing identification verification policy.

~~(3)~~(4) A separate record is maintained for each practitioner.

~~(4)~~(5) A completed application shall include, and the applicant shall have the burden to provide, at a minimum, the following information:

(a) through (d) unchanged.

(e) Peer recommendation from individual(s) knowledgeable about the applicant's identity, clinical and professional skills;

(f) through (w) unchanged.

(x) Relevant practitioner-specific data, to be compared to aggregate data when available; and

(y) Morbidity and mortality data, when available.

(B) through (G) unchanged.

3335-45-07 Clinical privileges.

(A) through (H) unchanged.

(I) Expedited privileges.

After the medical executive committee has made a favorable recommendation regarding an applicant's request for medical staff membership or clinical privileges, a subcommittee of at least two members of the governing body, the chief medical officer and the chief of staff, shall have the authority to review and evaluate the qualifications and competence of the practitioner applying for appointment, reappointment, or renewal/modification of clinical privileges and render a decision. A positive decision by the committee results in the granting of medical staff category or privileges as recommended. The committee shall meet as often as necessary and the university hospitals board considers, and if appropriate, ratifies all positive committee decisions at its next regularly scheduled meeting. If the decision is adverse to the applicant, the matter is referred back to the medical executive committee for further evaluation. Expedited privileges shall be limited to situations that fulfill an important patient care need.

~~(I)~~(J) Emergency privileges.

Balance unchanged.

~~(J)~~(K) Disaster privileges.

Balance unchanged.

~~(K)~~(L) Telemedicine.

Balance unchanged.

3335-45-12 Committees.

(A) through (I) unchanged.

(J) Health system committee for ~~physician~~ licensed independent practitioner health.

(1) Unchanged.

(2) Duties.

(a) through (g) unchanged.

(h) Evaluate the credibility of a complaint, allegation or concern and assure maintenance of confidentiality of the informant.

Balance unchanged.

3335-45-17 Procedure for amendment.

(A) The bylaws committee shall review the bylaws and rules and regulations as need arises but not less than every two years. This committee shall recommend changes to the medical executive committee. The medical staff bylaws, rules and regulations, and policies and the governing body bylaws will not conflict.

Balance unchanged.

3335-45-21 Definitions.

The following terms shall have the meanings defined herein when used in these rules unless otherwise specified.

(A) through (GG) unchanged.

(HH) The term "designee," when referring to a designee for a medical staff member, shall mean a university hospitals east appropriately credentialed practitioner.

Amended Rules and Regulations

86-04 Medical records.

(A) through (K) unchanged.

(L) History and physical.

(1) Unchanged.

(2) The complete history and physical examination shall be recorded on all inpatient charts no later than twenty-four hours after admission of the patient. The history and physical examination must be performed by a member of the medical staff or his/her appropriately credentialed designee and be signed by the responsible attending medical staff member authorized to perform the history and physical examination.

(3) through (7) unchanged.

(M) Symbols and abbreviations.

Balance unchanged.

(N) through (P) unchanged.

86-11 Committees and policy groups.

(A) through (C) unchanged.

(D) Transfusion and iso-immunization committee.

(1) The transfusion and iso-immunization committee shall be appointed pursuant to these bylaws and include representation from physicians of the clinical departments frequently using blood products, nursing, transfusion service, and hospital administration. The majority of members shall be members of the medical staff. University hospitals east shall have two representatives on this Osumc committee. The committee shall meet at least quarterly and carry out the following duties:

(a) through (h) unchanged.

(2) Each member of the medical staff shall conform to the policies established by the transfusion and iso-immunization committee, including the following:

(a) and (b) unchanged.

**OSU College of Food, Agricultural, and Environmental Sciences
Workforce Reduction Plan Using Separation Incentives**

Rationale

The recent reduction of the Ohio State University (OSU) Extension and the OARDC budget funds from State of Ohio line items has resulted in an immediate cut of 4% to the current fiscal year funding, and a 6% reduction to the 2004-2005 funding, effective July 1, 2004. The combined funding reductions equals \$2.6 million for OSU Extension and equals \$3.6 million for OARDC. These reductions are in addition to reductions implemented in FY2002 and FY2003. Since the original appropriations for FY2002, all combined cuts for both organizations total \$11.1 million, or 17%. Both units are housed within the College of Food, Agricultural, and Environmental Sciences. Many of the faculty and staff positions funded in part by these two units are also funded by other funding sources in the college, particularly, the general funds budget.

This plan proposes the adoption of a formal one-time Separation Incentive Program with guidelines outlining appropriate eligibility, periods of notice, and limitations. This program will assist the college in addressing short-term financial demands, and will further assist in a speedier transition process toward achieving sustainable levels of employees and programs.

Eligibility

- Regular unclassified staff members who are paid from State or Federal non-earmarked funds and are eligible for retirement (as defined by STRS or OPERS regulations) on or before September 30, 2004. Seasonal, grant (OSURF), or county-funded employees are not included in the program.
- Regular Classified Civil Service staff members who are paid from State or Federal non-earmarked funds and are eligible for retirement on or before September 30, 2004. Seasonal, grant (OSURF), or county-funded employees are not included in the program.
- Regular college faculty members who are paid from State or Federal non-earmarked funds and are eligible for retirement on or before September 30, 2004.
- Eligible employees' positions must have been funded on state and/or federal non-earmarked funds within the last 12 months. See Program Details for final eligibility clarification.
- College and departmental leaders are not eligible for this program. This includes members of the Vice President's Cabinet and Department Chairpersons/School Directors.

Program Design Overview

- Eligible college employees are entitled to a cash payment equivalent to two months of salary upon date of retirement. This is in addition to normal payout of earned vacation leave and sick leave benefits, according to University policy.

- Eligibility requires that the employee is eligible to retire, and actually retires, under regular STRS and OPERS regulations, effective September 30, 2004 or earlier.
- The amount of separation incentive will be determined by an individual's regular base pay and prorated by FTE level and funding eligibility. For example, a full-time employee paid an annual salary of \$36,000 will receive one-time separation incentive payment in the gross amount of \$6,000. Overtime earnings, supplemental compensation, and any earnings other than regular monthly or biweekly base pay are not included in the computation. Any portion of base pay that is from an ineligible funding source will not be included in the computation. Only federal and state funding sources from within the college are eligible. Funding from other colleges, or from county sources, are examples of ineligible funding sources.
- The incentive payment is subject to applicable payroll taxes.
- This one-time incentive payment will be paid from the account which has funded the individual's payroll costs. One-time incentive pay for faculty appointments will be funded by OSU Extension, OARDC, and general funds central administrative offices. All other incentive payments are the responsibility of the hiring unit.
- Employees who elect the separation incentive would be leaving voluntarily and would therefore be ineligible to receive severance.

Program Details

- Separation incentive offer will be made available to those who voluntarily retire on or before September 30, 2004, and after the effective date of this program.
- Those intending to pursue this offer must notify the college office in writing by July 1, 2004. Employees who would like to explore the program in confidence may do so with the Office of Human Resources, Consulting Services.
- There is no intention of offering this system-wide as an incentive to seasonal, county, or grant-funded (OSURF) employees.
- No limit has been placed on the number of eligible retirees who may elect to participate; deadline of September 30, 2004 limits participation in this opportunity.
- This is a one-time incentive program. No plans exist to offer the same plan in future years.

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>
Hospitals Merchandise For Resale	\$143,000	\$143,000	\$0	\$0
Hospitals - Professional Health Care Services	\$10,639,864	\$7,401,152	\$0	\$3,238,712
Hospitals - Equipment and Services	\$6,817,762	\$5,212,764	\$0	\$1,604,998
Instructional and Research Equipment and Services	\$2,735,709	\$1,030,654	\$589,292	\$1,115,763
Administrative Support Equipment and Services	\$2,095,197	\$510,725	\$847,807	\$736,665
Conference Facilities	\$181,000	\$0	\$0	\$181,000
TOTAL	\$22,612,532	\$14,298,295	\$1,437,099	\$6,877,138

(APPENDIX L)

AUTHORIZATION FOR CAPITAL PROJECTS

Project		Approximate Amount (in millions)	Requested Action
Archer House Residence Hall Renovations	Dept:	\$3.96	Enter into design contracts
Mendenhall Lab Chiller Replacement	State:	\$0.23	Enter into design contracts
OARDC - Thorne & Gourley Halls Renovation Phase 3	State:	\$4.75	Enter into design contracts Project cost increase
OARDC - Western Branch Headquarters & Machinery Building	State:	\$0.85	Enter into design contracts
OSU Golf Course-Scarlet Course Rebuild	Dept:	\$1.2 - \$1.5	Enter into design contracts
Subtotal for Design Contracts	Dept:	\$5.16 - \$5.46	
	State:	\$5.83	
<hr/>			
ATI - Learning Assistance Center - Halteman Hall	State:	\$0.19	Enter into construction contracts Project cost increase
Campus Buildings-Elevator Hydraulic Cylinder Replacement	State:	\$0.58	Enter into construction contracts
Electroscience Laboratory Renovation	State:	\$0.97	Enter into construction contracts
	Dept:	\$0.07	Project cost increase
Lima Campus - Campus Drive Resurface	State:	\$0.05	Enter into construction contracts
Medical Center Helipad on Rhodes Hall	Dept:	\$5.00	Enter into construction contracts Project cost increase
Subtotal for Construction Contracts	Dept:	\$5.07	
	State:	\$1.79	
Total for all Contracts	Dept:	\$10.23-\$10.53	
	State:	<u>\$7.62</u>	
Cleveland Botanical Gardens	State:	\$2.50	Enter into joint use agreement for pass-through appropriations
Grand Total		<u>\$20.35-\$20.65</u>	

ARCHER HOUSE RESIDENCE HALL RENOVATIONS

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Office of Student Affairs

How does this project advance the Academic Plan?

Archer House was completed and first occupied as a residence hall in Autumn Quarter 1966. The building changed to office use beginning in August 1968. This project will restore needed housing and provide a positive living/learning environment for students thus advancing the Academic Plan.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

The building has five floors with a total area of 34,696 gross square feet. The project scope is to change the occupancy from offices to residence hall requiring upgrades to the building to comply with the current Building Codes for issuance of a Certificate of Occupancy. The building upgrades include, but are not limited to, demolition, ADA east entry, fire alarm, fire suppression, resnet (Resident Network), plumbing, lighting, elevator, doors and hardware, drywall and ceilings, painting, carpet and flooring, HVAC systems and hazardous material abatement.

Preliminary Cost Estimate:

\$3,955,400

Proposed Funding Source:

Future University bond proceeds with debt service to be paid by Student Affairs

Outstanding Funding Issues:

none

Timing Issues:

none

“Ripple effects” of the project:

Human Resources is to move out of Archer House to Gateway building C during August 2005; if that move is delayed, it will affect the construction schedule of this project.

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): STUDENT AFFAIRS, OFFICE OF

Location(s): ARCHER HOUSE, JOHN F

Gross Sq. Ft. 34,696 Age: 1966

Description: To change the building use to a Residence Hall requiring upgrades to the building to comply with the current Ohio Building Codes. Building upgrades include, but are not limited to, demolition, ADA east entry, fire alarm, fire suppression, resnet, plumbing, lighting, elevator, doors and hardware, drywall and ceilings, painting, carpet and VCT flooring, HVAC systems and hazardous materials abatement.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Captain: Craig Henry
(henry.194@osu.edu)

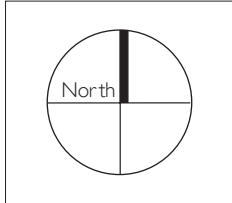
Project Assistant: Leeanne Chandler
(chandler.63@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Future Univ. Bond	\$3,955,415.00	\$3,955,415.00				
Proceeds						
Total:	\$3,955,415.00	\$3,955,415.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	05/07/2004		
Design			
Schematic Design Approval	10/29/2004		
Design Dev Document Approval	12/31/2004		
Construction Document Approval	03/31/2005		
Construction			
Construction Start	08/08/2005		
Completion	08/31/2006		

Archer House Residence Hall Renovations



Office of Business and Finance
Office of Facilities Planning and Development

April 15, 2004

MENDENHALL LAB CHILLER REPLACEMENT

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Office of Business and Finance

How does this project advance the Academic Plan?

The existing chillers for Mendenhall Lab have been problematic for the past ten years. This lack of reliability in cooling the building has hindered research work. These chillers need to be replaced with state-of-the-art equipment to provide the reliability that the programs in Mendenhall deserve.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Replace two 250-ton rooftop air-cooled chillers in Mendenhall Lab, a 123,615 gsf building.

Preliminary Cost Estimate:

\$225,000

Proposed Funding Source:

HB 850 – Columbus Basic Renovation Funds

Outstanding Funding Issues:

None

Timing Issues:

None

“Ripple effects” of the project:

None

Special limitations/risks:

None

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Mendenhall Lab Chiller Replacement

315-2004-917

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): MENDENHALL LABORATORY, THOMAS C.

Gross Sq. Ft. 123,615 Age: 1905

Description: Replace two 250-ton rooftop air cooled chillers in Mendenhall Lab.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Captain: Jerry Bender
(bender.3@osu.edu)

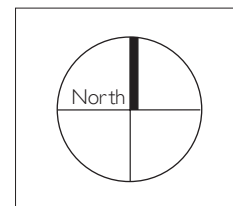
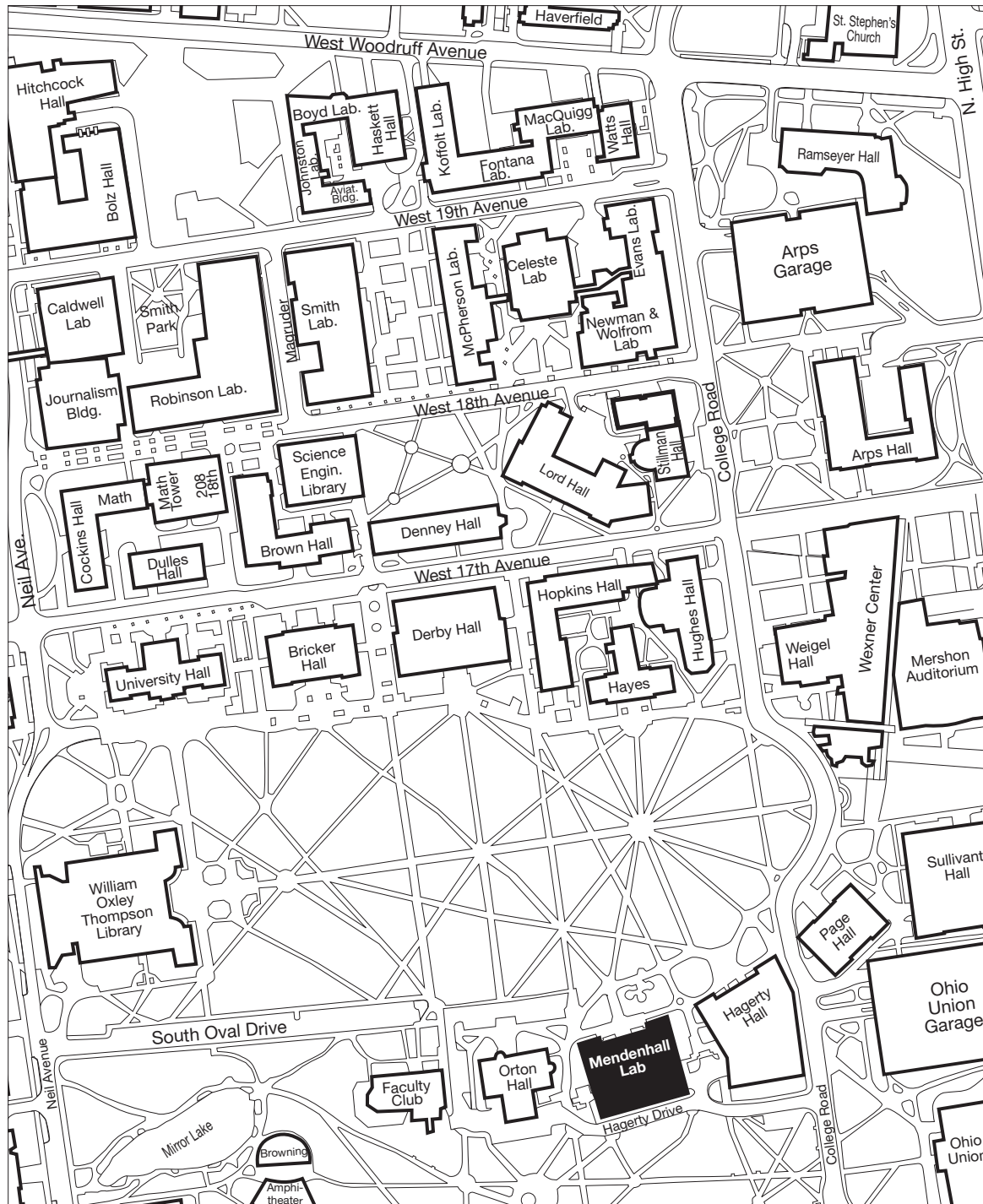
Project Assistant: Karen Cogley
(cogley.1@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB850 Columbus Basic	\$225,000.00	\$225,000.00				
Renovation						
Total:	\$225,000.00	\$225,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$225,000 project)	05/07/2004		
Design			
Arch/Engr Contract	06/15/2004		
Design Dev Document Approval	07/30/2004		
Construction Document Approval	09/15/2004		
Bidding			
Bid Opening	10/29/2004		
Construction			
Award of Contracts	12/31/2004		
Construction Start	01/15/2005		
Completion	03/15/2005		

Mendenhall Lab Chiller Replacement



Office of Business and Finance
Office of Facilities Planning and Development

April 12, 2004



Requesting Agency(s): OHIO AGRIC RESEARCH & DEVELOPMENT CTR

Location(s): Various Locations-OARDC

Gross Sq. Ft.0 Age:

Description: This is phase 3 of the renovation of Gourley and Thorne Halls. This phase installs a central HVAC system and upgrades laboratories and support rooms in Gourley Hall, install growth chambers and upgrade space for the Entomology Department in Thorne Hall.

Project Team:

Facility Planner: Joyce Minor
(minor.6@osu.edu)

Project Captain: Marjory Spangler
(spangler.40@osu.edu)

Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

Project Information:

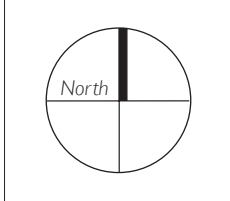
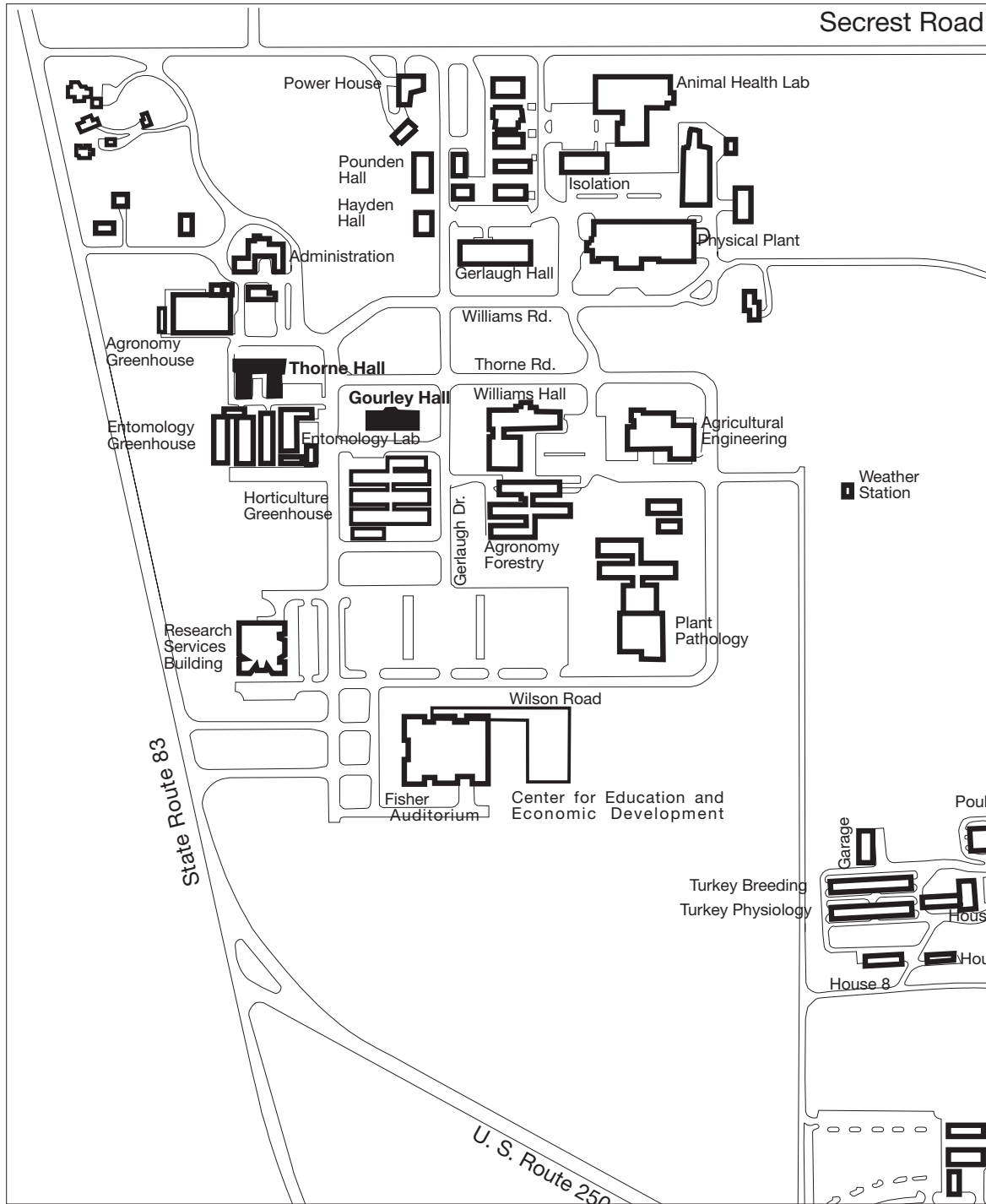
Local administration of this project granted by the Ohio Board of Regents and Department of Administrative Services on August 29, 2000.
Formerly known as project 315-2000-905.

The scope of the project was increased in April, 2004 to cover existing fume hoods, provide proper venting and to eliminate high humidity through an enhanced HVAC system in Thorne Hall. Both buildings included emergency showers and eye wash fixtures and floors drains so that the labs meet the safety standards on Campus.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB790 OARDC Suppl. Renov.	\$0.00	\$29,851.37	Construction	\$3,402,469.00		\$0.00
HB790 OARDC Line Items	\$0.00	\$15,424.65	Contingency 1	\$366,065.00		\$0.00
HB808 OARDC	\$0.00	\$3,857.06	Design	\$373,993.00		\$0.00
Supplemental Renovation			Equipment 1	\$510,144.00		\$0.00
HB790 Columbus ADA Modifications	\$0.00	\$37,800.00	Local Administration	\$53,655.00		\$0.00
HB748 Columbus ADA Modifications	\$0.00	\$140,000.00	Percent for Art	\$44,859.00		\$0.00
HB640 Line Item	\$4,195,974.00	\$4,195,974.00	Total Project:	\$4,751,185.00		\$0.00
Appropriation						
HB675 OARDC	\$0.00	\$328,277.92				
Supplemental Renov						
Total:	\$4,195,974.00	\$4,751,185.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$4,195,974 Project)	08/30/2000		08/30/2000
Program Approved by the University	01/30/2004	09/24/2004	02/23/2004
Arch/Engr Approved by B/T (\$4,751,185 project)	05/07/2004		
Design			
Arch/Engr Contract	09/12/2001	07/22/2002	07/22/2002
Schematic Design Approval	01/30/2002	09/15/2003	03/31/2004
Design Dev Document Approval	06/19/2002	04/28/2004	03/31/2004
Construction Document Approval	12/04/2002	07/14/2004	
Bidding			
Bid Opening	02/25/2003	10/04/2004	
Construction			
Award of Contracts	06/17/2003	01/24/2005	
Construction Start	06/17/2003	01/24/2005	
Completion	04/20/2004	11/28/2005	

OARDC - Thorne & Gourley Halls Renovation, Phase 3



Office of Business and Finance
Office of Facilities Planning and Development

December 9, 2000

OARDC – Western Branch Headquarters and Machinery Building

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Ohio Agricultural Research and Development Center

How does this project advance the Academic Plan?

This project supports the research mission of OARDC by providing up to date research and support space to address the facility needs of the investigators.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

This project will construct an operations headquarters consisting of a farm shop, offices, sample preparation/conference room, and restrooms/locker rooms. The structure is expected to be approximately 7,500 GSF. A separate machinery storage building shall consist of approximately 7,200 GSF. The project will include the partial demolition of an existing farm shop and as well as an equipment storage wing attached to an existing barn. An estimated total of 5,336 GSF will be demolished. The project will add approximately 9,364 GSF to the University's inventory.

Preliminary Cost Estimate:

Total project budget is estimated to be \$850,000.

Proposed Funding Source:

HB 675 line item

Outstanding Funding Issues:

None

Timing Issues:

None

“Ripple effects” of the project:

None

Special limitations/risks:

None

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): OHIO AGRIC RESEARCH & DEVELOPMENT CTR

Location(s): Various Locations-OARDC

Gross Sq. Ft.0 Age:

Description: This project replaces some existing buildings, provides additional equipment storage, and provide space to meet the research needs for the facility.

Project Team:

Facility Planner: Joyce Minor (minor.6@osu.edu)
Project Captain: Marjory Spangler (spangler.40@osu.edu)
Project Assistant: Faye Bodyke (bodyke.3@osu.edu)
Field Coordinator: Is Unassigned

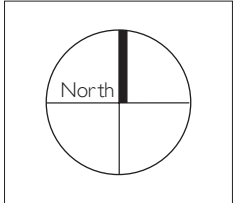
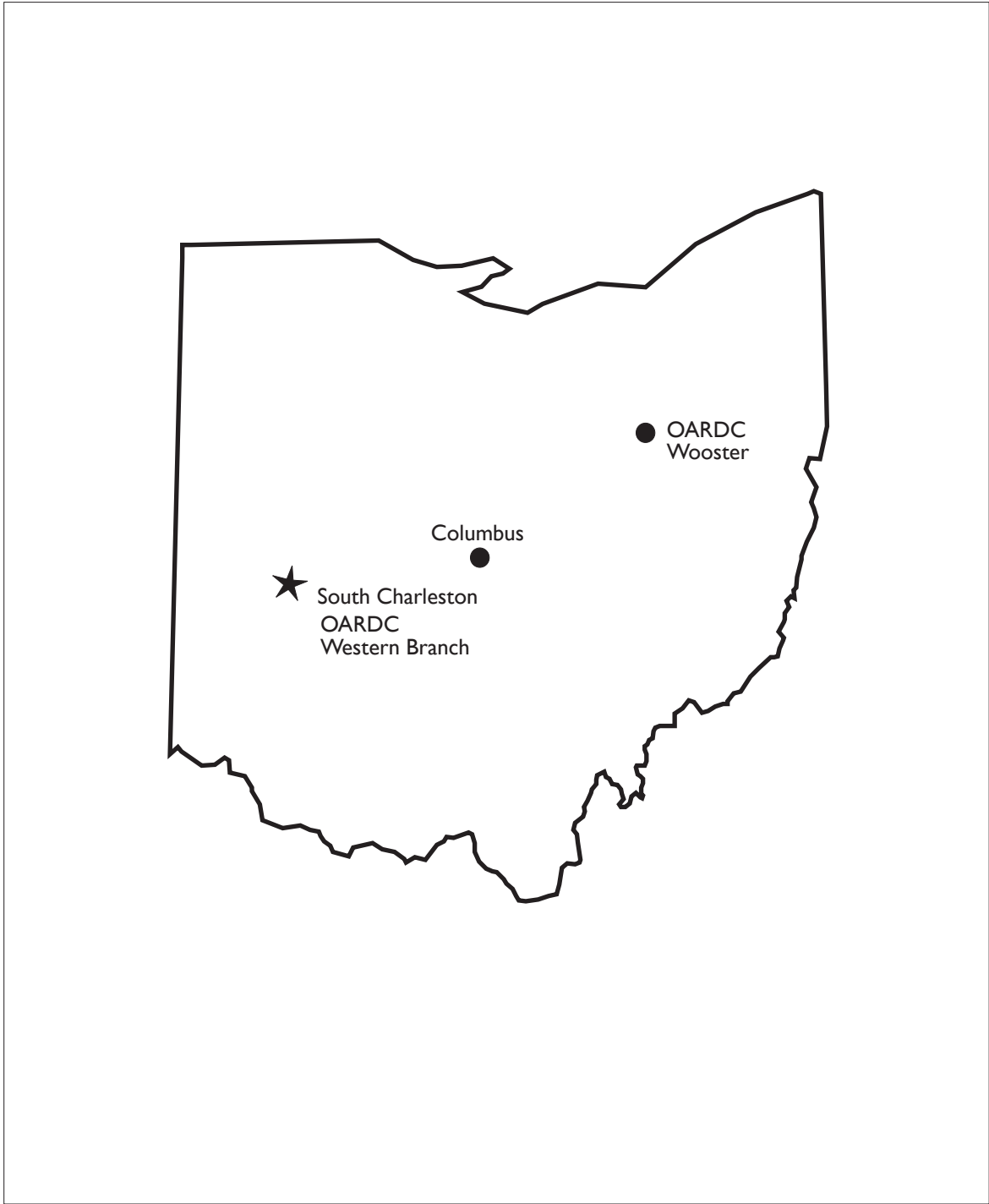
Project Information:

Project budget and milestones will be more specifically defined once funds have been approved.

Table with 7 columns: Source of Funds, Original, Revised, Uses of Funds, As Designed, As Bid, Completion. Rows include HB675 Line Item and Total.

Table with 4 columns: Schedule, Projected, Revised, Actual. Rows include Planning (Arch/Engr Approved, Arch/Engr Advertisement), Design (Arch/Engr Contract), and Construction (Construction Start, Completion).

OARDC - Western Branch Headquarters & Machinery Building



OSU GOLF COURSE – SCARLET COURSE REBUILD

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Department of Athletics

How does this project advance the Academic Plan?

This project improves the overall athletics facilities, which leads to better programs and athletic opportunities for students. All design and construction work will focus on enhancing the nationally recognized stature of this golf course.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

The project will include the renovation/rebuilding of all bunkers on the Scarlet Course. Also included will be the renovation of several selected greens and tees. The driving range will also be slightly reconfigured.

Preliminary Cost Estimate:

\$1,200,000 to \$1,500,000

Proposed Funding Source:

Department of Athletics Funding

Outstanding Funding Issues:

none

Timing Issues:

Construction will be phased to minimize the golf course disruption. It is anticipated that it may take two Autumn/Winter seasons to complete.

“Ripple effects” of the project:

none

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Requesting Agency(s): ATHLETICS

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: Renovate and rebuild all the bunkers on the Scarlet Course, renovate several selected greens and tees, and reconfigure the driving range.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Captain: Thomas Heretta
(heretta.1@osu.edu)

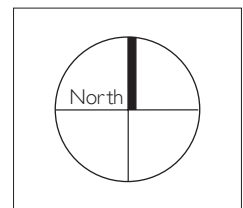
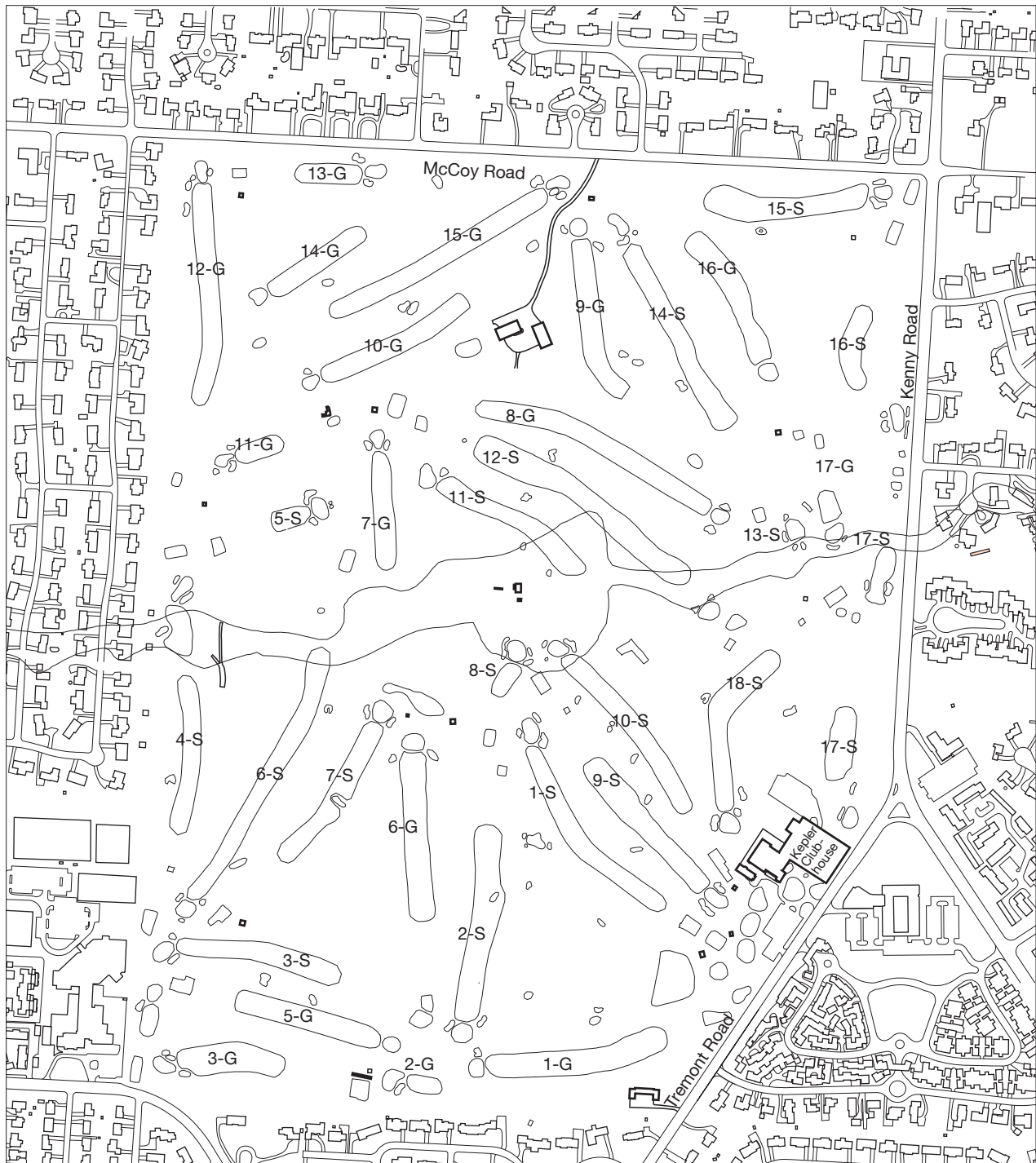
Project Assistant: Curtiss Ashley
(ashley.6@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-Athletics	\$1,500,000.00	\$1,500,000.00				
Total:	\$1,500,000.00	\$1,500,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	05/07/2004		
Design			
Construction Document Approval	09/15/2004		
Construction			
Construction Start	09/01/2004		
Completion	12/01/2005		

OSU Golf Course -Scarlet Course Rebuild



Office of Business and Finance
Office of Facilities Planning and Development

April 14, 2004



Requesting Agency(s): ATI-ADMINISTRATIVE SUPPORT

Location(s): HALTERMAN HALL, JERRY J.

Gross Sq. Ft. 55,325 Age: 1973

Description: Remove the demountable partition walls on the first floor of Halterman Hall for redesign for use as office space by the Horticulture Division. The Learning Assistance Programs will remain in the basement of Halterman as they have determined the basement location best meets their needs.

Project Team:

Facility Planner: Joyce Minor
(minor.6@osu.edu)

Project Captain: Marjory Spangler
(spangler.40@osu.edu)

Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

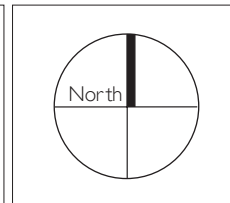
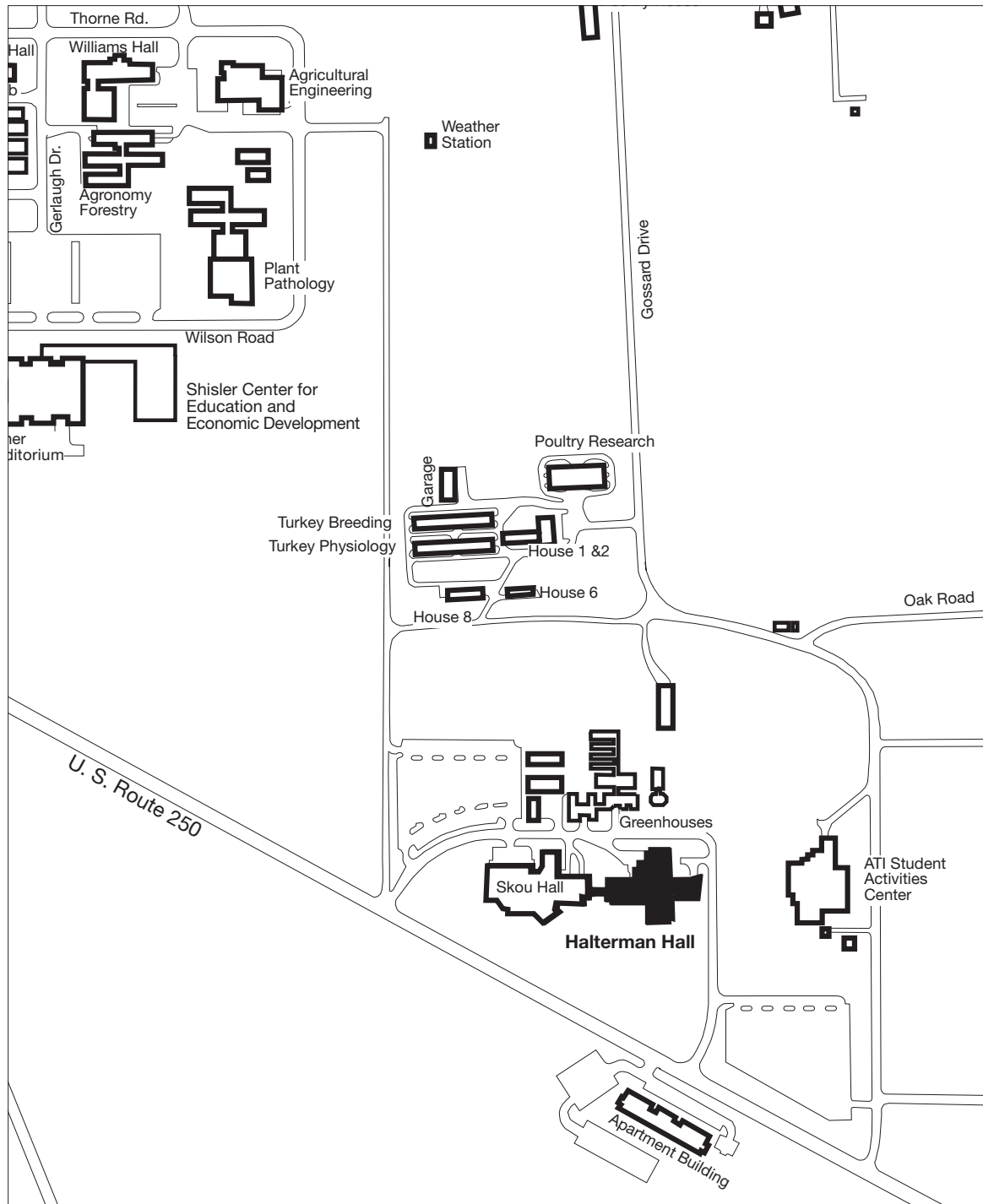
Project Information:

The Project originally was to provide for a counseling/testing/tutoring center for the students. The demand for these services has expanded sufficiently that it has been deemed the temporary location was more appropriate, more accessible and provided for greater flexibility in the services to the students. As the project evolved, the first floor space was identified as the right size for the Horticulture Div. Offices. This project will renovate the first floor space and provide the Horticulture Division much needed space, that will be adjacent to enrollment services, for ATI to facilitate a combined Academic Affairs and Admissions office, all to serve our students most effectively.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB850 Regional Campuses	\$130,000.00	\$130,000.00	Construction	\$149,080.00		\$0.00
Basic			Contingency 1	\$15,000.00		\$0.00
HB640 ATI Basic	\$0.00	\$60,000.00	Design	\$23,670.00		\$0.00
Renovations			Local Administration	\$2,250.00		\$0.00
Total:	\$130,000.00	\$190,000.00	Total Project:	\$190,000.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$130,000 Project)	02/05/1999	02/02/2001	02/02/2001
Bidding Approved B/T (\$130,000 Project)	02/05/1999	02/02/2001	02/02/2001
Bidding Approved B/T (\$190,000 project)	05/07/2004		
Design			
Arch/Engr Contract	05/04/1999	06/01/2001	05/25/2001
Schematic Design Approval	08/04/1999	05/26/2003	05/26/2003
Design Dev Document Approval	11/04/1999	05/26/2003	05/26/2003
Construction Document Approval	02/01/2000	05/14/2004	
Bidding			
Bid Opening	04/01/2000	06/28/2004	
Construction			
Award of Contracts	06/01/2000	08/13/2004	
Construction Start	06/01/2000	09/10/2004	
Completion	09/01/2000	06/27/2005	

ATI - Learning Assistance Center - Halterman Hall



Office of Business and Finance
Office of Facilities Planning and Development

April 14, 2004



Campus Buildings - Elevator Hydraulic Cylinder Replacement

315-2003-910

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

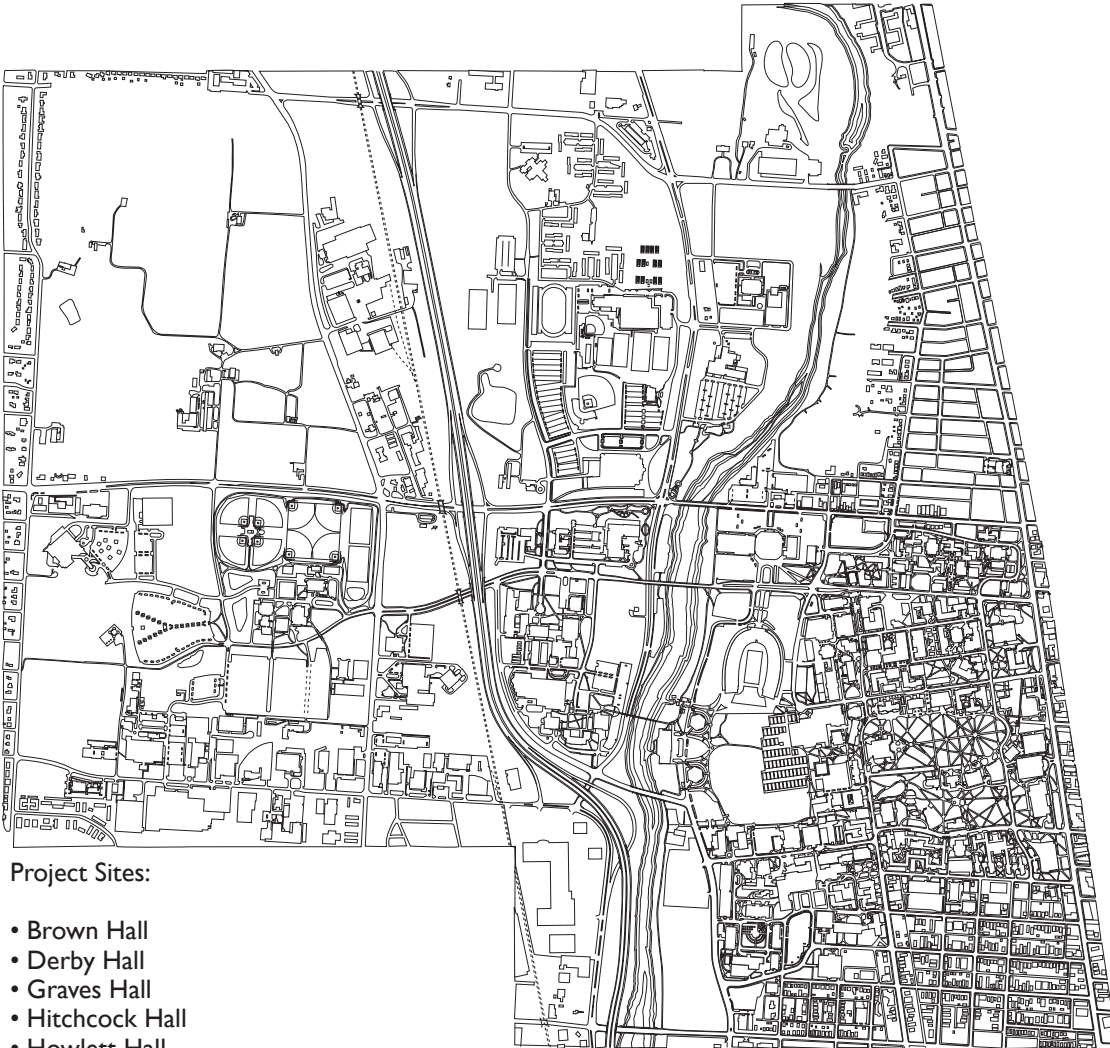
Description: Hydraulic elevators installed prior to the mid 1970s had a single bottom design for the cylinder. Replace all single shell design with double bulk head cylinder with PVC piping to meet current code, and improve elevator safety.

Project Team:		Project Information: Replace hydraulic elevators cylinders installed prior to the mid 1970s. Buildings being worked on as part of this project are Brown Hall, Derby Hall, Graves Hall, Hitchcock Hall, Howlett Hall, Lazenby Hall, Meiling Hall, Mershon Center, Mount Hall, Smith Lab, Stillman Hall, Veterinary Hospital and Research Foundation (1960 Kenny Road).
Facility Planner:	Is Unassigned	
Project Captain:	Sam Alabi (alabi.1@osu.edu)	
Project Assistant:	Karen Cogley (cogley.1@osu.edu)	
Field Coordinator:	Colin McBride (mcbride.62@osu.edu)	

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic	\$575,000.00	\$575,000.00	Construction	\$473,250.00		\$0.00
Renovation			Contingency 1	\$41,840.00		\$0.00
Total:	\$575,000.00	\$575,000.00	Design	\$52,810.00		\$0.00
			Local Administration	\$7,100.00		\$0.00
			Total Project:	\$575,000.00		\$0.00

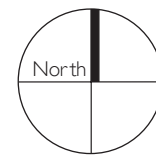
Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	04/04/2003		05/30/2003
Bidding Approved B/T	05/07/2004		
Design			
Design Dev Document Approval	12/01/2003		01/12/2004
Construction Document Approval	02/01/2004		04/05/2004
Bidding			
Bid Opening	04/01/2004	06/30/2004	
Construction			
Construction Start	06/01/2004	08/30/2004	
Completion	10/30/2004	01/28/2005	

Campus Buildings - Elevator Hydraulic Cylinder Replacement



Project Sites:

- Brown Hall
- Derby Hall
- Graves Hall
- Hitchcock Hall
- Howlett Hall
- Lazenby Hall
- Meiling Hall
- Mershon Center
- Mount Hall
- Research Foundation (1960 Kenny Road)
- Smith Lab
- Stillman Hall
- Veterinary Hospital



Office of Business and Finance
Office of Facilities Planning and Development

April 12, 2004



Requesting Agency(s): ENGINEERING ADMINISTRATION

Location(s): ELECTROSCIENCE LABORATORY

Gross Sq. Ft. 28,209 Age: 1955

Description: Renovate space for wireless research, remove hazardous materials, renovate space for life safety compliance, street front upgrades, and renovate exterior drainage.

Project Team:

Facility Planner: Teresa Yu
(yu.195@osu.edu)

Project Captain: Jack Bargaheiser
(bargaheiser.2@osu.edu)

Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

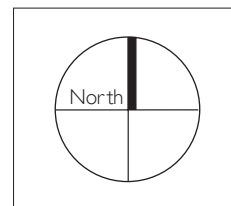
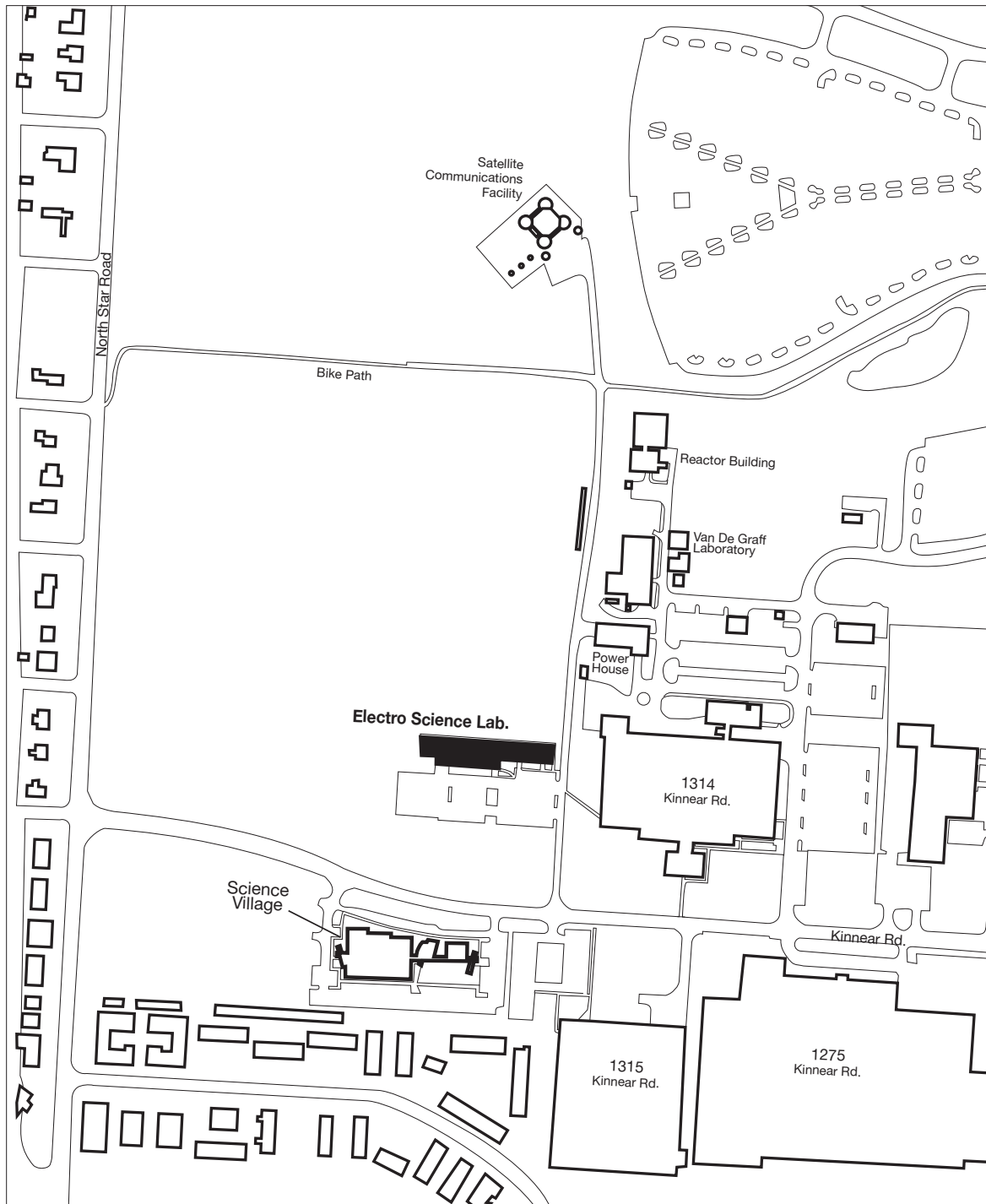
Project Information:

The program was expanded to add a conference room and the elevator was approved for upgrade, which required additional cost that was not anticipated originally

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General	\$150,942.00	\$70,864.00	Construction	\$810,000.00		\$0.00
Funds-Engineering			Contingency 1	\$70,229.00		\$0.00
HB790 Columbus Suppl.	\$0.00	\$101,418.50	Design	\$95,621.00		\$0.00
Renov			Equipment 1	\$55,864.00		\$0.00
HB748 Columbus Basic	\$0.00	\$48,581.50	Local Administration	\$12,150.00		\$0.00
Renovation			Total Project:	\$1,043,864.00		\$0.00
HB640 Basic Renovations	\$798,000.00	\$798,000.00				
HB675 Columbus Basic	\$0.00	\$25,000.00				
Renovation						
Repair & Renovation	\$0.00	\$0.00				
Fiscal Yr 2004						
Total:	\$948,942.00	\$1,043,864.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$948,942 Project)	02/02/2001		02/02/2001
Bidding Approved B/T (\$948,942 Project)	02/02/2001		02/02/2001
Bidding Approved B/T (\$1,043,964 project)	05/07/2004		
Design			
Arch/Engr Contract	03/09/2001	01/15/2002	01/07/2002
Schematic Design Approval	05/15/2001	02/10/2002	04/01/2002
Design Dev Document Approval	06/15/2001	05/24/2002	07/01/2002
Construction Document Approval	07/16/2002	07/01/2002	09/10/2002
Construction Document Approval (Permit & Budget Chan		12/16/2002	06/26/2003
Construction Document Approval (Re-design)	05/12/2004		
Bidding			
Bid Opening (ALL BIDS REJECTED)	07/27/2003		08/21/2003
Bid Opening		05/27/2004	
Construction			
Award of Contracts	11/13/2001	07/27/2004	
Construction Start	12/03/2001	08/02/2004	
Completion	03/15/2003	12/02/2004	

ElectroScience Laboratory Renovation



Office of Business and Finance
Office of Facilities Planning and Development

April 15, 2004



Requesting Agency(s): LIMA CAMPUS

Location(s): Various Locations-Lima

Gross Sq. Ft.0 Age:

Description: Grind and resurface Campus Drive, between Biddle Drive and the classroom trailers, as well as the parking lots adjacent to the Public Service Building and the maintenance shops.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Captain: Christine Nocar
(lawson.132@osu.edu)

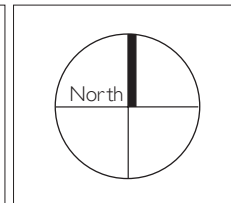
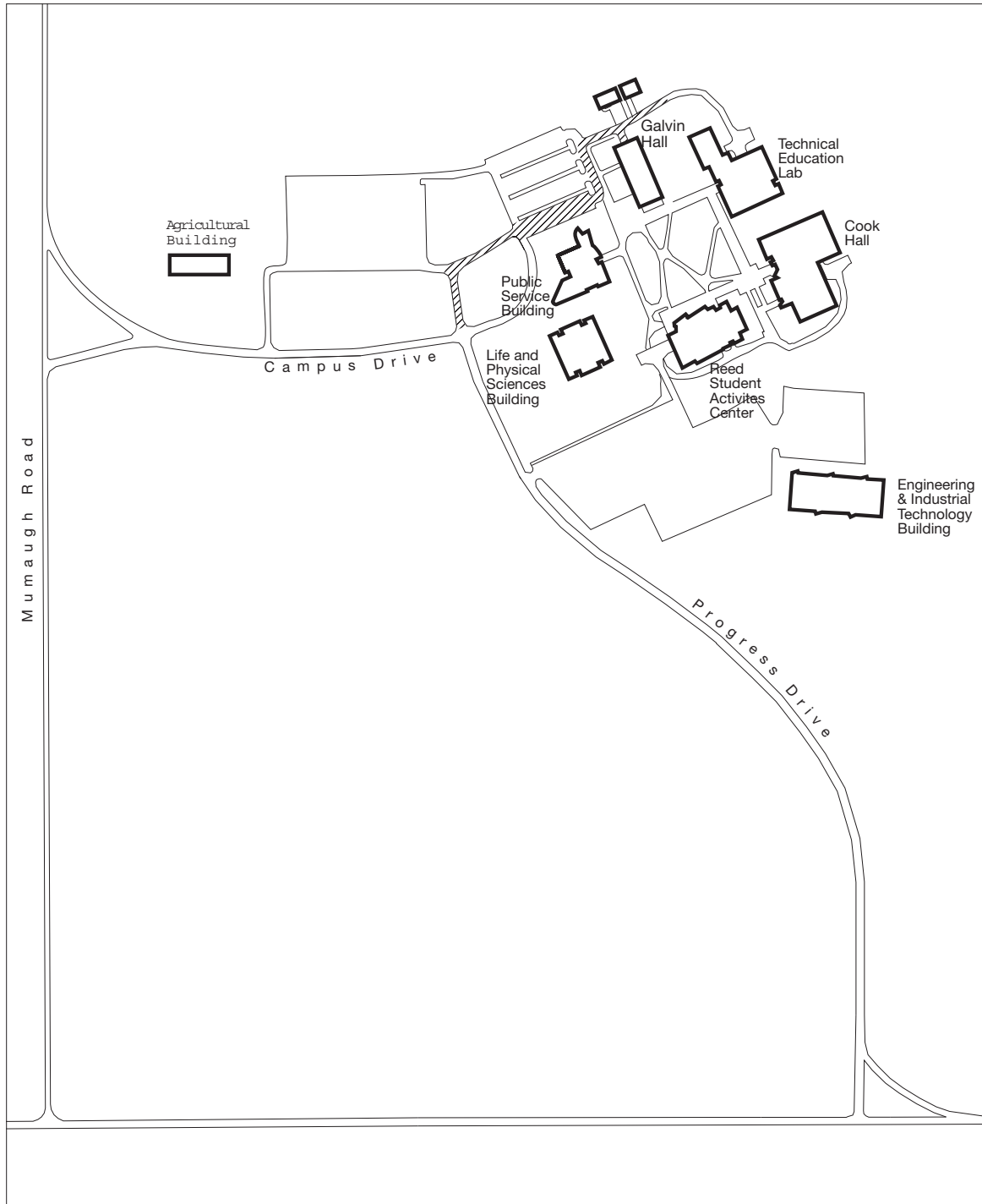
Project Assistant: Leeanne Chandler
(chandler.63@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB640 Regional Basic Renovations	\$54,978.00	\$54,978.00	Construction	\$46,200.00		\$0.00
			Contingency 1	\$3,003.00		\$0.00
			Design	\$5,082.00		\$0.00
			Local Administration	\$693.00		\$0.00
Total:	\$54,978.00	\$54,978.00	Total Project:	\$54,978.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$54,978 project)	05/30/2003		05/30/2003
Bidding Approved B/T (\$54,978 project)	08/02/2003	05/07/2004	
Design			
Arch/Engr Contract	08/29/2003		10/16/2003
Construction Document Approval	07/25/2003	06/15/2004	
Bidding			
Bid Opening	08/21/2003	07/12/2004	
Construction			
Award of Contracts	10/17/2003	09/07/2004	
Construction Start	10/20/2003	09/09/2004	
Completion	11/21/2003	10/12/2004	

Lima Campus - Campus Drive Resurface



Office of Business and Finance
Office of Facilities Planning and Development

May 13, 2003



Requesting Agency(s): HEALTH SCIENCES ADMINISTRATION

Location(s): RHODES HALL-UNIVERSITY HOSPITAL

Gross Sq. Ft.510,587 Age: 1979

Description: Construct a 60' x 60' helipad on the southwest corner of Rhodes Hall Tower. Extend the two elevators from the Emergency department up to serve the helipad. Replace two failing chillers on the east side of Rhodes Hall Tower. Related renovations/relocations to accomodate construction.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Pat Cuthbert
(cuthbert.8@osu.edu)

Project Assistant: Curtiss Ashley
(ashley.6@osu.edu)

Field Coordinator: Is Unassigned

Project Information:

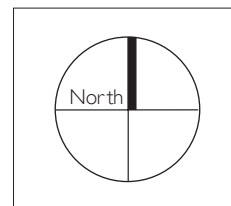
Contract for work will be integrated with Heart Hospital.
Project cost increased in 10/03 to replace 2 failing chillers on the east side of the Rhodes Hall tower.

Rising steel prices caused the associate to increase the estimate prior to bid time resulting is an additional increase to \$5,019,835 in April, 2004.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University	\$2,927,838.00	\$5,019,835.00	Construction	\$4,063,142.00		\$0.00
Hospitals			Construction Manager	\$214,655.00		\$0.00
Total:	\$2,927,838.00	\$5,019,835.00	Contingency 1	\$447,380.00		\$0.00
			Design	\$245,900.00		\$0.00
			Local Administration	\$48,758.00		\$0.00
			Total Project:	\$5,019,835.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$2,927,838 Project)	07/12/2002		07/12/2002
Constr Mgr Approved by B/T (\$2,927,838 Project)	07/12/2002		07/12/2002
Bidding Approved B/T (\$2,927,838 Project)	07/12/2002		07/12/2002
Bidding Approved B/T (\$4,813,835 project)	11/03/2003		11/07/2003
Bidding Approved B/T (\$5,019,835 project)	05/07/2004		
Design			
Schematic Design Approval	05/15/2002	01/02/2002	01/02/2002
Arch/Engr Contract	07/15/2002	01/31/2003	02/10/2003
Design Dev Document Approval	05/15/2002	05/09/2003	05/01/2003
Constr Mgr Contract	04/01/2003	05/09/2003	06/27/2003
Construction Document Approval	09/15/2002	03/15/2004	03/09/2004
Construction			
Award of Contracts	11/01/2002	09/15/2004	
Construction Start	11/10/2002	10/15/2004	
Completion	11/01/2003	05/01/2005	

Medical Center Helpad on Rhodes Hall



Office of Business and Finance
Office of Facilities Planning and Development

October 17, 2003



Requesting Agency(s): OARDC-HORTICULTURE & CROP SCIENCE

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: The funds provided under this Agreement shall be used by Cleveland Botanical Gardens (CBG) for capital improvements to CBG*s facility. The University and CBG have begun a discussion solidifying their shared horticulture teaching and outreach education programs. CBG will assist the University*s MANNERS program and various units in the College of Food, Agricultural and Environmental Sciences, specifically at OARDC and ATI locations.

Project Team:

Project Information:

Facility Planner: Is Unassigned

This is a pass thru project.

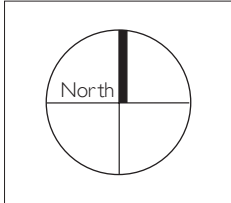
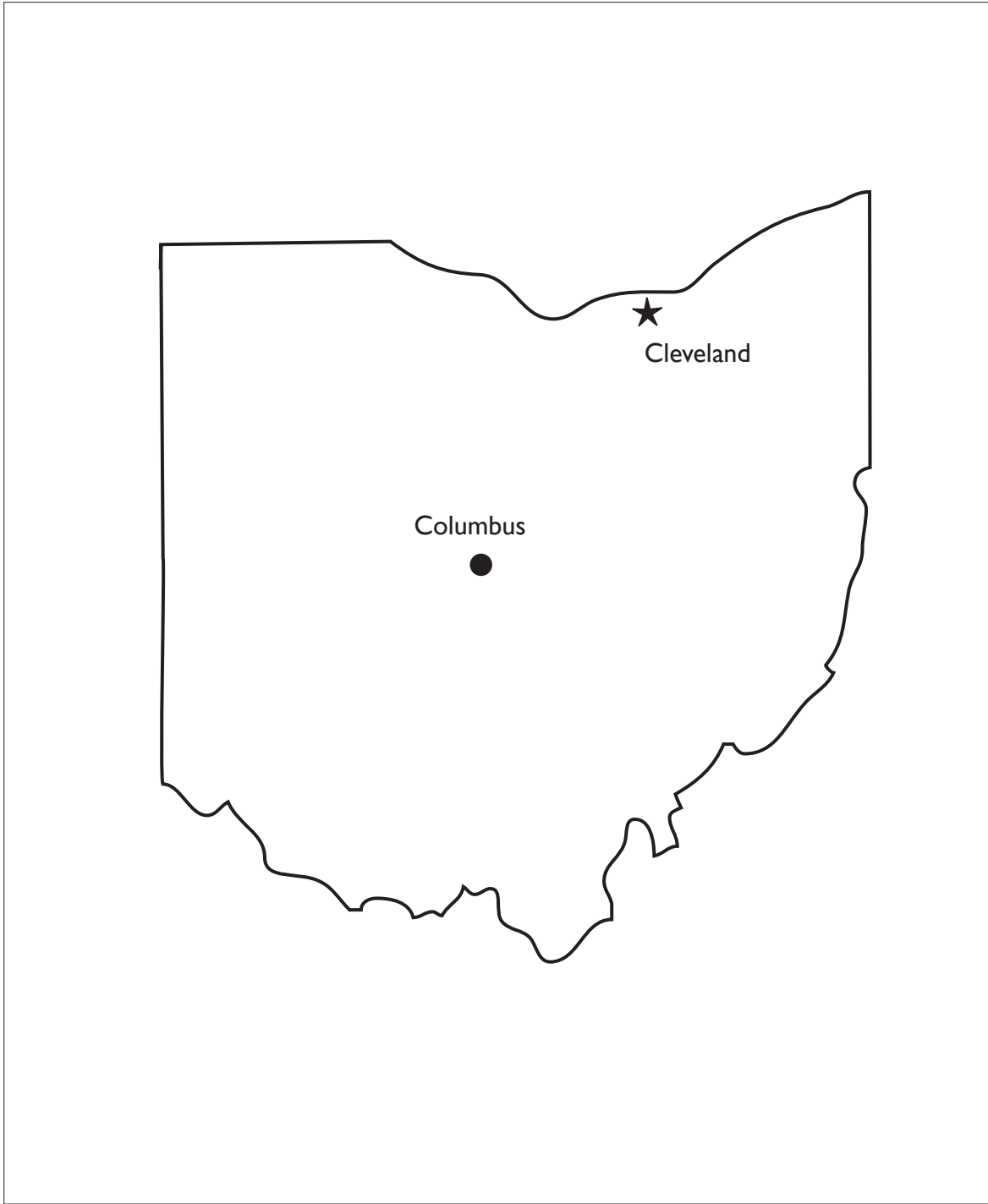
Project Captain: Christine Nocar
(lawson.132@osu.edu)

Project Assistant: Curt Handschug
(handschug.1@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
SB 189 LINE ITEM APPROPRIATION	\$2,500,000.00	\$2,500,000.00				
Total:	\$2,500,000.00	\$2,500,000.00				

Cleveland Botanical Gardens (pass thru)



(APPENDIX LII)

PURCHASE OF REAL PROPERTY

211 TAYLOR AVENUE
COLUMBUS, OHIO

Background

Location and Description

The property consists of approximately 0.095 acres located at 211 Taylor Avenue, on the northwest corner of the intersection with Clifton Avenue, in Columbus, Ohio. The property, which is adjacent to University Hospitals East, contains a one-story frame, single-family building of approximately 843 square feet. Title to the property is held in the name of Julia I. Saxton.

Appraisal and Purchase Price

An SRA appraisal conducted in April 2004 by Hambleton, Inc. valued the property at \$57,000. The purchase price is \$48,000. All acquisition and operating expenses will be funded by University Hospitals East.

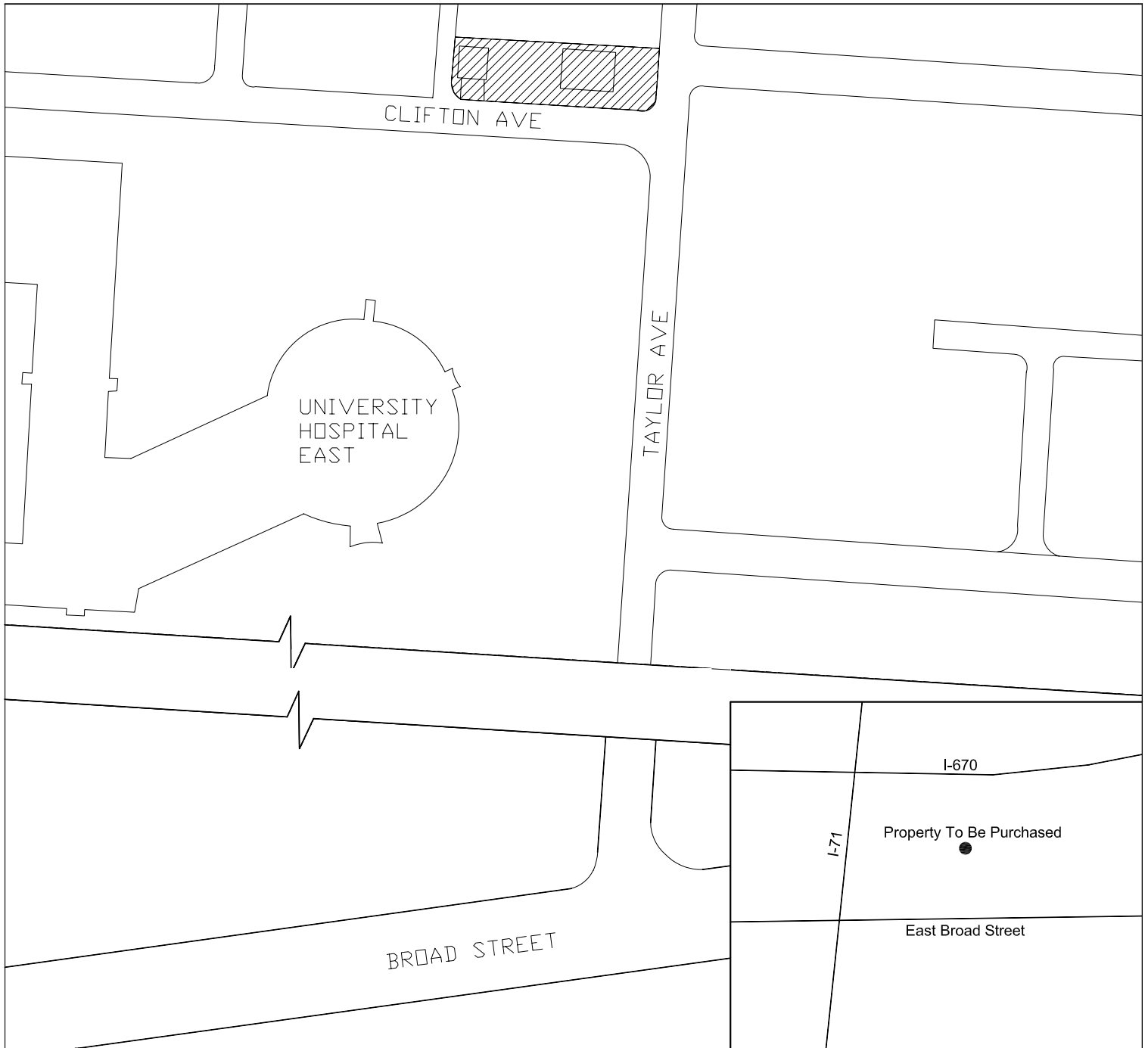
Use of the Property

Title to the property will be taken in the name of the State of Ohio for the use of The Ohio State University. University Hospitals East will provide all funds necessary for acquisition, use, and any improvements to the property. The property will be used by University Hospitals East for housing or office use (the latter requiring zoning change) in the short-term. The long-term use of the property will be for additional parking.

Proposed Purchase of Real Property

211 Taylor Avenue

Columbus, Ohio



No True Scale



Map Provided by University Engineer's Office

Office of Business and Finance
Board of Trustees Meeting
May 7, 2004



Proposed Purchase
of Property

**The Ohio State University
Fiscal Affairs Committee
May 7, 2004**

TOPIC:

Third Quarter Financial Report

CONTEXT:

This report is intended to track the University's financial status on a quarterly basis throughout the year, based on a risk management format.

SUMMARY:

Financial conditions overall are stable. Only two changes are recommended:

- Tuition income for the Columbus Campus should be increased \$5.6 million to reflect higher than projected enrollments.
- State support to various line items will need to be reduced approximately \$3.7 million in FY 2004 to reflect statewide budget reductions announced in March.

REQUESTED OF FISCAL AFFAIRS COMMITTEE:

Approval of resolution.

THIRD QUARTER FINANCIAL REPORT

I. Purpose of this Report

As the University seeks to diversify its financial resources, it by definition will need to behave more entrepreneurially. To the degree we are successful, this will reduce the risk of reliance on state funds, which are becoming less predictable and less stable.

But, as we become more entrepreneurial, we must be prepared to undertake a different and much more diversified portfolio of risk. This in turn requires a strategically based assessment of risk and risk management.

This third quarter financial report continues to reflect a shift away from a focus on General Funds budgets to a broader assessment of financial conditions. This is an evolutionary process and continued improvement will be made.

This report is designed to provide a third quarter update on these four questions:

- Where are the areas of largest risk?
- Where are potential problems most immediate?
- How will we know these problems have been addressed?
- Are budget adjustments necessary?

II. Enrollments and State Support

A. Enrollments compared to budget projections for all four quarters combined – Columbus Campus

Category	Projected	Actual	Difference	% ¹
Undergraduate	119,587	120,374	787	0.7%
Graduate	36,320	36,699	379	1.0%
Professional	9,788	9,925	137	1.4%
Total	118,680	119,573	1,303	0.8%

Based on the actual enrollment numbers we are recommending the Board approve a revised budget including \$5.6 million increase in fee revenue. We will bring budget adjustments for state support figures as soon as the Board of Regents finalizes them.

This is a transition year in freshman admissions at Ohio State. Much has changed in the past year making it difficult to compare this year's application trends to prior years. For example Ohio State has changed the manner in which freshman applications are evaluated to comply with the June supreme court decision: we have added four short answer questions to the application to provide additional student information for a more individualized review process. This is also the year that we have increased application and acceptance fees and moved the application deadline two weeks earlier in February. To date the University is on target to admit 5800 new freshmen Fall Quarter 2004. The quality of the applicants remains high and we believe that the trend of new freshman classes improving academic credentials will continue, but we have experienced a drop in minority applications following the recent Supreme Court decisions.

¹ Percentage difference between actual and projected enrollment headcounts for all of FY04.

B. State Support - Operations

Most of the University's state line items were cut by 4% or approximately \$3.7M in FY 2004. This impacted FAES most severely because of the large state line items supporting OSU Extension and OARDC research. State Share of Instruction was not reduced. We anticipate final, institutionally specific, reductions by line item from the Board of Regents in the near future.

C. State Support - Capital Bill

1. The Board of Regents has informed us that our requested appropriation has been reduced by \$4.6 million (or 6%) from what the Board of Trustees approved for the Columbus Campus in September. These reductions have temporarily been spread among the following five projects: Library Renovation, Robinson Lab Replacement, Psychology and Larkins Replacement. Further adjustments will need to be made at a later date.
2. The FY 2005-FY 2006 Capital Appropriation Bill will not be passed by July 1, 2004. The University can manage cash flow through December 31, 2004. If the bill is delayed beyond that, severe cash flow problems will need to be addressed.

III. Medical Center

A. Medical Center (COMPH, The Office of Health Sciences, Health System and OSUP) accounted for 34%² of all Columbus Campus revenues from all sources in FY 2003.

B. The Ohio State University Health System's financial status continued to remain stable during the third quarter of FY 2004. The Health System has continued to experience growth in admission volume, particularly at University Hospitals East and the James Cancer Hospital. Overall for the quarter, the Health System experienced a gain from operations of \$2.1 million compared to a budgeted loss of \$371,000 and a prior year gain of \$1.2 million. Year-end net operations are expected to show a \$760,000 surplus vs. an original forecast of a \$4.3 million operating loss.

The Health System quarter end cash and investments totaled \$136.0 million; including Malpractice Funds of \$21.8 million and Research Initiative Funds of \$20.0 million. The Health System overall cash position has improved this fiscal quarter due to receipts of Upper Payment Limit program funds, better collections on third party payor A/R, and the financing of capital projects through bond proceeds.

C. Projects being closely tracked:

1. Ross Heart Hospital construction and business plan
2. BMRT design and business plan
3. Comprehensive Cancer Center Expansion Plans

IV. Other Key Areas on the Columbus Campus

A. Research – Indirect Cost Recovery and Award trends
After three quarters, IDC's are running 8.2% higher than FY 2003, and new awards are up 5.4% compared to the first three quarters of FY 2003.

² 34% calculated as \$828.5 Million of \$2.438 Billion in Columbus Campus revenues.

- B. Student Affairs
 - 1. Schottenstein Center: Year-end net revenue is expected to meet or exceed projections. Ticket sales for Special Events (concerts, shows & athletic tournaments) are significantly ahead of budget.
 - 2. Blackwell Inn: The Blackwell is meeting revenue targets. Expenses have been running about 6% higher than budgeted, and management staffing reductions recently were implemented. Net income is somewhat lower than expected, but there have been heavy bookings Spring quarter and a positive net income is expected for the year.
 - 3. Housing: FY 2004 Housing operations are on pace to meet or exceed projected net income of \$1.66 million. Total Winter occupancy, including Regional Campuses, is 96%.
- C. Athletics: Revenue and expense are tracking to budget. Year-end operations should be break-even or reflect a small operating surplus.
- D. Transportation and Parking: Cash position continues to improve; March 31, 2004 cash is a positive \$695,000. Third Quarter net income is \$1.5 million. Year-end operations should be within budget.
- E. Development: As of March 31, 2004, Development realized \$112 million in private giving exceeding year ago level by \$8.5 million. Year to date, Development is ahead of last year's pace by about 8%.
- F. Other Financially Relevant Actions:
 - 1. The University is implementing the new Maintenance and Renewal policy adopted by the Board at its April meeting to help ensure the University is in a position to maintain and replace the new facilities currently being built on campus and in the future.
 - 2. The University Senate Fiscal Committee completed its review of the first two years of budget restructuring and has submitted its report to the Executive Vice President and Provost and to the Senior Vice President for Business and Finance.

V. Regional Campuses

Campus	FY 03 Actual Enrollm't	FY 04 Projected Enrollm't	FY 04 Actual Enrollm't	FY 04 Actual Compared to Budget Difference	FY04 Actual Enrollment Compared to Budget Projections³	FY03 Budget⁴ (In Millions)	FY03 Ending GF Equity (In Millions)
ATI	2,631	2,481	2,431	-50	-2.0%	\$8.5	\$0.7
Lima	4,486	4,353	4,289	-64	-1.5%	10.4	3.4
Mansfield	4,650	4,819	5,086	267	5.5%	10.5	8.7
Marion	4,679	5,015	4,915	-100	-2.0%	9.9	7.2
Newark	6,622	6,812	6,654	-158	-2.3%	13.4	6.0

Note: Combined four quarters of FY 2004 Enrollment Figures. Regional Campus enrollments are slightly below projections at every campus except Mansfield. Because of their relatively small size enrollment, fluctuations of $\pm 5\%$ are not that unusual. Cause of the variance is being monitored and may partially be explained by the recent change in Campus Classification policy, which assigns student campus based on majority credit hours.

VI. What Happens Next?

- A. Approval of attached resolution
- B. Work will continue on other areas of concern, including:
 - 1. Funding sources for advancing the Academic Plan – (continuing)
 - 2. Monitoring of state financial picture – (continuing)
 - 3. Federal agenda – (continuing)
 - 4. Review of key processes – (continuing)
 - 5. Safety and security issues – (continuing)
 - 6. Implementation of newly approved Maintenance & Renewal policy – (continuing)
 - 7. Capital Processes – (continuing)
- C. FY 2005 Budget Recommendations will be presented at the June Board meeting.

VII. Summary and Conclusion

- A. Enrollments on the Columbus Campus are strong and expected to continue to be so, producing higher than expected tuition revenue. Enrollment projections for Fall Quarter 2004 are a little more subject to fluctuation than usual due to a transition in admission policies, but appear to be on target.
- B. The state budget picture appears to have stabilized after the cuts announced in March. However, uncertainty remains as to if and when the next capital bill will be introduced and adopted.

³ Percentage difference between actual and projected enrollment headcounts for all quarter of FY 2004.

⁴ General funds budget is reflected for ATI and regional campuses.

- C. The Ohio State University Medical Center has met budget targets through the first nine months of FY 2004, but margins are narrow and the financial environment is somewhat uncertain.
- D. Other areas of Columbus Campus finances are meeting or exceeding expectations, including research and private giving.
- E. While enrollments at most regional campuses are down slightly from projections, finances are stable for FY 2004.
- F. The Board of Trustees is requested to approve the attached resolution recommending the tuition revenues be increased by \$5.6 million.

SUMMARY OF COLUMBUS CAMPUS BUDGETED RESOURCES AND EXPENDITURES

FY 2004 THIRD QUARTER BUDGET REPORT

(In Thousands)

	General Funds		Other Funds		Total		General Funds		Other Funds		Total	
<u>Resources</u>												
State Subsidy & Appropriations	319,177	52,780	371,957				319,177	52,780	371,957			
Student Fees	478,887	5,000	483,887				484,470	5,000	489,470			
Gifts, Grants & Contracts	64,179	484,713	548,892				64,179	484,713	548,892			
Health System	0	891,381	891,381				0	891,381	891,381			
Auxiliaries	0	204,220	204,220				0	204,220	204,220			
Other Income	21,564	92,500	114,064				21,564	92,500	114,064			
Total Resources	883,807	1,730,594	2,614,401				889,390	1,730,594	2,619,984			
<u>Expenditures</u>												
Education & General	883,807	634,993	1,518,800				889,390	634,993	1,524,383			
Health System	0	882,311	882,311				0	882,311	882,311			
Auxiliaries	0	202,583	202,583				0	202,583	202,583			
Total Expenditures	883,807	1,719,887	2,603,694				889,390	1,719,887	2,609,277			
<u>Net</u>	0	10,707	10,707				0	10,707	10,707			

(1) General Funds: An additional (\$5.583M) in fee revenues recognized due to actual enrollment figures and a corresponding increase in Educational and General expenditures has also been recognized.

(APPENDIX LIV)

**Fiscal Affairs Committee
OSU Board of Trustees
May 7, 2004**

FY 2005 TUITION AND FEE RECOMMENDATIONS

- I. Why Are Increases Recommended?
- II. How Much Will They Be?
- III. How Will Access Be Maintained?
- IV. How Will Students Benefit?
- V. Conclusions
- VI. Appendices

- I. Why Are Increases Recommended?
 - A. Our costs are increasing
 - B. State support is not
 - C. We have reduced costs wherever possible and will continue to so, but further unplanned reductions will harm our students.

A. The cost of maintaining existing services is increasing at about 5 - 6% from FY 2004 to FY 2005. This includes:

Student Financial Aid	20 – 25%%
Utility Costs	14 – 15%
Benefits, including health insurance	8 – 9%
Pay for faculty, staff and student employees	2.5 – 3.5%

B. State support has continued to decline.

State Support Per FTE Student
FY 2000 – FY 2005
(OSU Columbus Campus)

Year	State Support Per FTE	State Support Adjusted for Inflation	State Support as a % of General Funds Budget
2001	\$7,209	\$7,209	43.3%
2002	\$6,695	\$6,591	42.0%
2003	\$6,367	\$6,128	39.6%
2004	\$6,360	\$6,010	36.4%
2005	\$6,288	\$5,942	33.1%

Note: After adjusting for inflation, OSU Columbus Campus is receiving \$1,267 less per FTE than it did in 2001.

C. OSU uses existing resources well:

- OSU operates on \$828 per student less in undergraduate tuition than other public universities in Ohio with competitive admissions.
- We operate with an average of \$912 per student less in tuition and state support per student than similar institutions across the country.
- Our non-instructional costs are 29% below the average for benchmark institutions.

1. OSU operates with much less in tuition per student than other Ohio universities with competitive admissions.

University	Fall 2003 Full-Time Resident Undergraduate Tuition & Fees (new students)	Difference with OSU Tuition (per student)
Miami	8,353	1,702
Cincinnati	7,623	972
Average	7,479	828
Bowling Green	7,408	757
Ohio University	7,128	477
Kent State	6,882	231
Ohio State	6,651	

\$828 X 30,000 FTE = \$24.8 million

2. OSU operates with significantly less tuition and state support than most other similar universities across the country

Category	Total State Support & UG Resident Tuition/ Student FTE (FY 2002)	Difference (OSU vs. category)
OSU Columbus Campus	\$14,273	\$0
Big Ten Public Mean	\$15,026	\$753
Big Ten Public Median	\$15,473	\$1,200
Benchmark Mean	\$15,583	\$1,310
Benchmark Median	\$14,660	\$387
Average		\$912

Total disadvantage: \$912 X 30,000 FTE = \$27.4 million

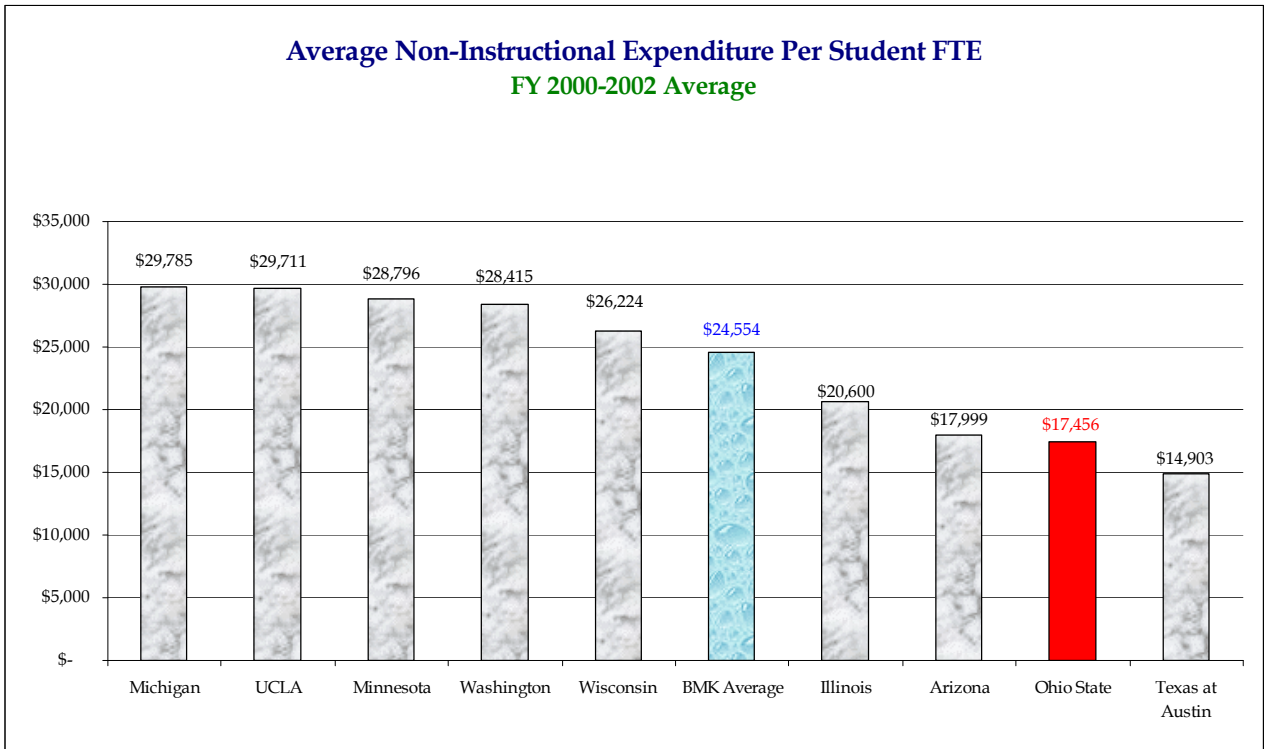
Sources:

State Support: IPEDS Finance, 2002

Tuition: AAUDE Data Exchange--Tuition and Fees Survey, 2001-2002; Resident Undergraduate, Academic Year Tuition and Required Fees

Note: Benchmark and Big Ten Public means and medians do not include Pennsylvania State University (Main Campus) as no state appropriation figures were reported by this institution for the FY 2002 IPEDS Finance report.

3. OSU's non-instructional costs are lower than comparable universities



Non-instructional costs include academic support, separately budgeted research, public service, scholarships and fellowships, plant operation and maintenance and student services.

Source: OSU Office of Resource Planning and US Department of Education Annual IPEDS Finance and Fall Enrollment Surveys.

D. Ohio State continues to build on its efforts to diversify revenues and control costs

1. Diversify Revenues

- a. Private fund raising
- b. Increase outside research funding
- c. Increased cost recoveries
- d. Alternative funding sources
- e. Tuition to market price for graduate and professional programs

2. Cost Reductions/Operating Efficiencies

Completed

- ✓ Budget restructured for colleges (FY 02)
- ✓ FY 03 reallocations – approximately 600 funded positions eliminated even though we have 1,200 more students
- ✓ Refinanced debt
- ✓ Reduced bank fees through competitive bid

Continuing

- ✓ Proactive strategy regarding energy costs
- ✓ Managed care to contain health benefit costs
- ✓ Lean support structure compared to our competitors
- ✓ Outsourcing where appropriate (most recent examples – Hospital pharmacy, bookstores and construction managers)
- ✓ Restructuring administrative systems including paperless processing

II. How Much Of An Increase Is Recommended?

- A. Columbus Campus Resident Undergraduate Fees
- B. Tuition and Fees for Other Students
- C. Student Recreation Fees

- A. Columbus Campus resident undergraduate tuition and fees will increase by the amount permitted by the state fee cap, effective Fall Quarter 2004.
- For Tier-Three students (students admitted Summer 2003 and after), this means full-time tuition and general fees will be \$7,506 for a three-quarter academic year, an increase of \$855 or 12.9%, over the current year.
 - For Tier-Two students (students admitted between Summer 2002 and Spring 2003), the increase will be \$843 or 12.9%.
 - For Tier-One students (students admitted before Summer 2002), the increase will be \$774 or 12.9%.
 - These figures include a \$15 per quarter Student Activity Fee for Tier-Three students, which is included in the new fee cap.
- These figures do not include a \$12 per quarter mandatory Satellite Recreation Center Fee, which is excluded from the fee cap. When this fee is included, the increase for Tier- Three full time students will be \$7,542 (an increase of \$891 or 13.4%).
- Need-based financial aid will be increased proportionately to offset these tuition and fee increases for lower income students.
 - Under Ohio law, the portion of the increase over 9.0% will require a second vote in June.

B. Tuition for Other Students

1. Regional Campus resident undergraduate fees will be presented at the June Board meeting in order to give the regional campuses time to consult with their advisory boards.
2. Resident graduate fees for the Columbus Campus will increase 13.4% for a full time student, including the Student Activity Fee and the Mandatory Recreation Fee.
3. Differential instructional fee increases for graduate and professional students will increase 6.0 – 14.5% effective Fall Quarter 2004 (see Appendix D).
4. College-specific fees (e.g., computer fees and other program fees) and user fees (e.g., parking) will be presented at the June Board meeting.

C. Student Recreation Fees

The Recreation Center is being built with the understanding that it will be self-supported by user fees, including a mandatory fee for all students on the Columbus Campus, and that these fees will not take effect until the facilities are open and in use.

Recommended Implementation Schedule

Facility	Additional Quarterly Fee	Cumulative Quarterly Fee	Effective Date
Satellite Recreation Center	\$12	\$12	Fall 2004
Larkins I	\$30	\$42	Spring or Summer 2005
Larkins II	\$30	\$72	Spring or Summer 2006

NOTE: Fee would increase by inflation after 2006.

Faculty and staff who use the facility would pay an optional \$18 per quarter for the Satellite Recreation Center and then an optional \$100 per quarter for all facilities once the main recreation building is opened in Spring or Summer 2005.

III. How Will Access Be Maintained?

- A. Need based financial aid will be increased to offset tuition increases.
- B. Resident tuition and mandatory fees at the OSU Columbus Campus will remain below that of four of the five public universities in Ohio with competitive admissions.
- C. Resident undergraduate tuition and mandatory fees at the OSU Columbus Campus will remain competitive with other public institutions nationally, but will be in the high middle range due to lower state support.
- D. OSU will continue to offer alternative entry at regional campuses and liberal transfer policies.
- E. OSU will continue a strong commitment to diversity, which serves our educational mission.

- A. Ohio State continues to offer extensive financial aid to help its students overcome financial barriers.
1. In FY 2004, 34% of all undergraduates received some form of grant in aid (not loans) from all sources. The average award was \$2,735.
 2. In FY 2004, 21% of all undergraduates received some form of grant in aid (not loans) from the University. The average award was \$834.
 3. Between FY 2003 and FY 2004 the number of undergraduates receiving University funded grants increased by 8% and the average award increased nearly 19%.
- B. Tuition and fees at Ohio State will still be below tuition and fees at most of Ohio's other competitive admission universities.

University	Proposed Fall 2004
Miami*	9,063
Cincinnati	8,379
Bowling Green	8,056
Ohio University	7,770
Ohio State	7,542
Kent State	7,468 or 7,504

*** Miami data presented post Ohio Resident Scholarship and Ohio Leader Scholarship**

Source of figures: Kent State University Fee Survey. Ohio University and Bowling Green State University figures are estimates and subject to change until final approval (as of 4/20/04).

Includes mandatory recreation fees.

C. Comparison of Resident Undergraduate Tuition at Ohio State vs. Benchmark Institutions

Institution	Proposed Fall 2004 New Students ²
University of Michigan - Ann Arbor ^{1,3}	\$8,162
University of Minnesota - Twin Cities ^{1a}	\$8,112
University of Illinois at Urbana-Champaign ^{1b}	\$7,922
Ohio State University – Columbus Campus	\$7,542
University of California – Los Angeles ^{1b,2}	\$6,028
University of Wisconsin – Madison ^{1a}	\$5,850
University of Texas at Austin ^{1a}	\$5,735
University of Washington – Seattle Campus ^{1a}	\$5,280
University of Arizona ^{1b}	\$4,097
Benchmark Average	\$6,398

¹ Sources for Fall 2004 estimated data: Institutional research campus representatives^a and web sites^b; estimates are subject to change until final approval.

²University of California Regents are still debating the total revenue needed and how to divide the increase between graduate and undergraduate levels. Estimates provided are based on the 2004-2005 state budget proposal of University of California fee increases by Governor Arnold Schwarzenegger and are subject to change until final approval (see <http://www.universityofcalifornia.edu/news/budget/>; www.universityofcalifornia.edu/regents/regmeet/mar04/502.pdf; www.universityofcalifornia.edu/news/budget/0304handouts.pdf).

³According to a memo from the AAUDE representative at Michigan, the President announced at the March Regents meeting that she will be recommending Michigan to limit their resident undergraduate

IV. How Will Students Benefit?

- A. Increased access to faculty and to high demand courses.
- B. Improved access to state-of-the-art technology.
- C. Additional opportunities for learning outside the classroom.

- A. Increased access to faculty and to high demand courses.
 - 1. Success in recruitment and retention of top faculty.
 - 2. Opportunity for interaction between students and regular faculty will increase:
 - a. Freshman Seminar Program
 - b. Continued expansion of honors offerings
 - c. Expansion of undergraduate involvement in research
 - 3. OSU has not had the closed course problems plaguing many other universities nationally. In fact, course availability is increasing.
 - 4. Continued improvements in academic advising.

- B. Improved access to state-of-the-art technology.
 - 1. More smart classrooms.
 - 2. Upgraded equipment and increased personnel in computer labs.
 - 3. Better electronic access to course materials.
 - 4. Improved cyber security.
 - 5. Web-based student degree planning tool.
 - 6. Digital union.

- C. Additional opportunities for learning outside the classroom.
 - 1. Additional support of student organizations.
 - 2. Expanded living-learning opportunities.
 - 3. Enhancements in Service Learning.
 - 4. Expanded career counseling.
 - 5. Increased campus-wide programming.
 - 6. Expanded opportunities for physical exercise and healthy lifestyles.
 - 7. Safer learning environment.

V. CONCLUSIONS

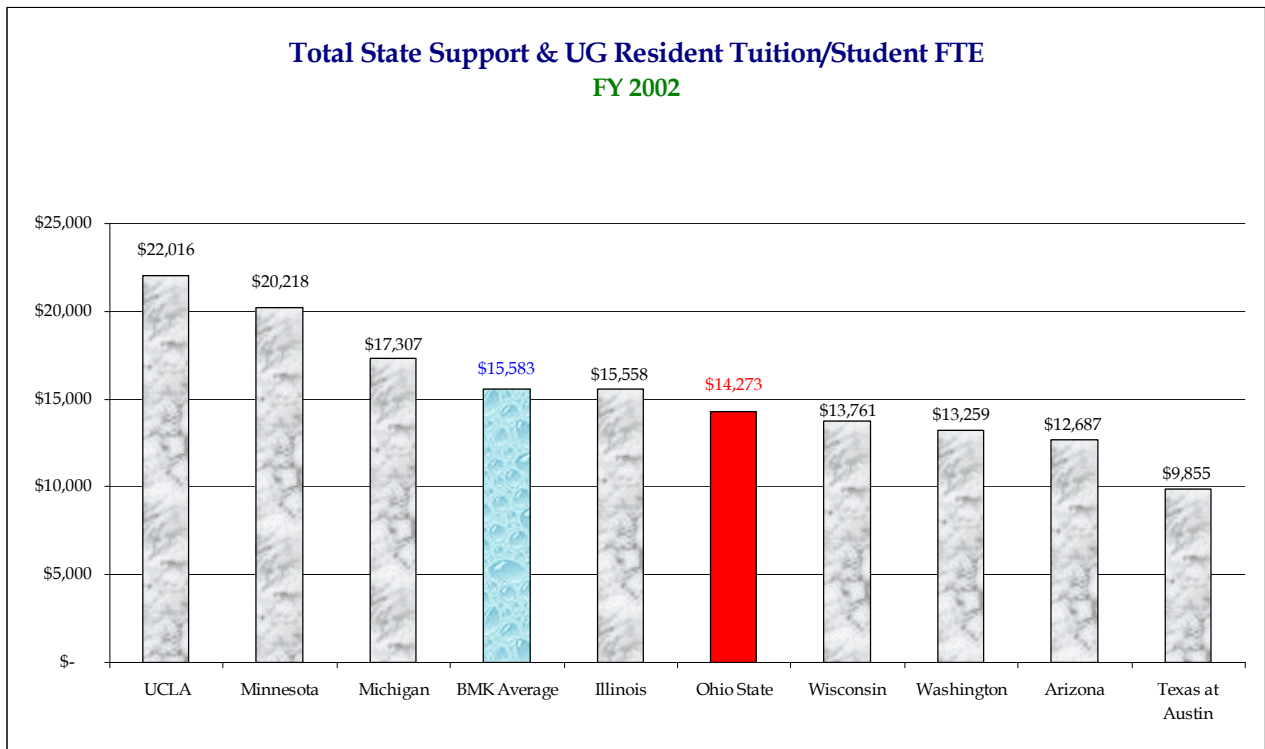
- A. Costs of undergraduate education are rising faster than state support.
- B. The recommended increase in tuition will allow Ohio State to maintain the quality of its instructional programs for students.
- C. An increase of \$891 (13.4%) is recommended for resident undergraduates entering Fall 2004. This is fully compliant with state mandated caps.
- D. Access will be maintained by expanded University funded financial aid programs.
- E. Students will benefit from greater access to regular faculty, improved instructional technology and learning opportunities outside the classroom.
- F. Despite the uncertain financial environment and intense competition, The Ohio State University will remain a tremendous value for our students and for the people of Ohio.

Where The Tuition Increase Will Go

Category	Amount Per Student for an Academic Year	
	Dollar Amount	Percentage
Sustain Academic Programs	\$352	+5.3%
Replace State Support	\$245	+3.7%
Support of New Enhancement		
Financial Aid	\$159	
Technology	\$99	
Sub Total	\$258	+3.9%
Sub Total Within Cap	\$855	+12.9%
Rec Fee	\$36	+0.5%
Total	\$891	+13.4%

VI. Appendices

- A. Tuition and State Support, OSU vs. Benchmarks
- B. Instructional and Non-Instructional Costs, OSU vs. Benchmarks
- C. Proposed Differential Graduate and Professional Fees for Fall Quarter 20004.
- D. Proposed Fall Quarter 2004 Tuition For Non-Resident Undergraduate Students, OSU vs. Benchmarks
- E. Proposed Fall Quarter 2004 Graduate Resident and Non-Resident Tuition, OSU vs. Benchmarks
- F. Background Information On Student Financial Aid

**Sources:**

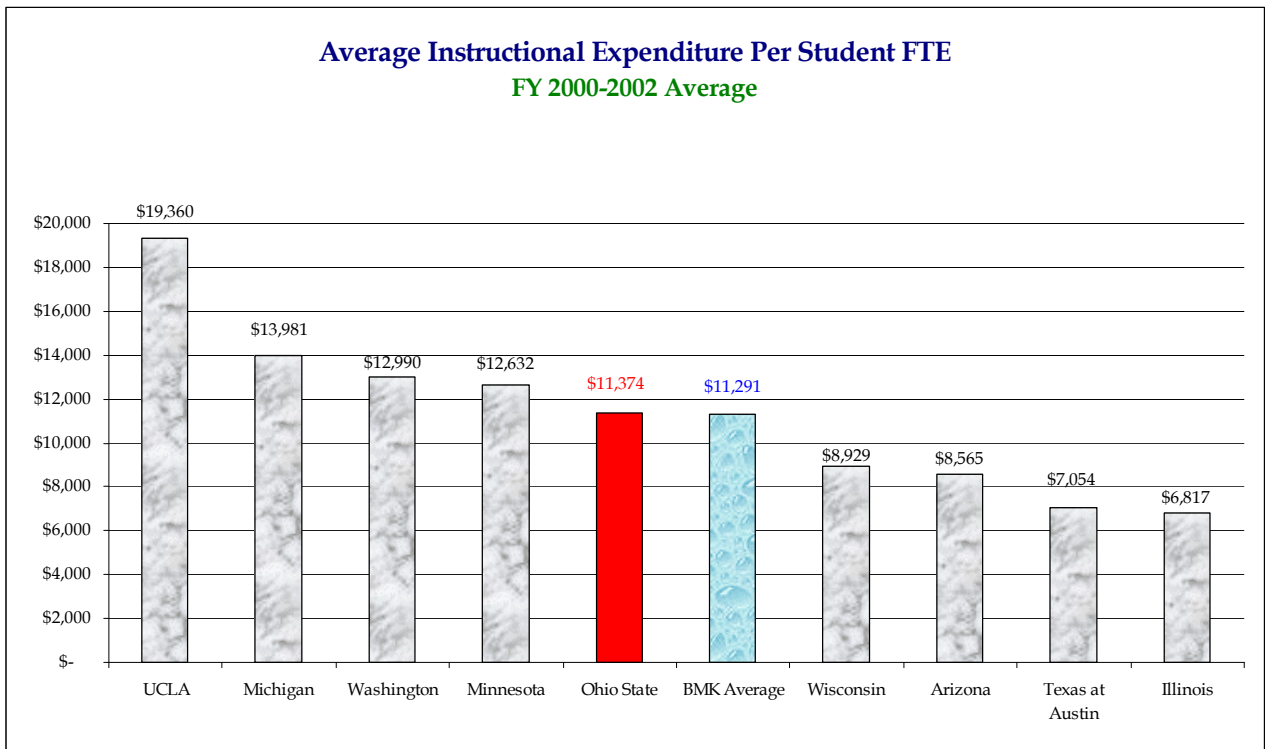
State Support: IPEDS Finance, 2002

Tuition: AAUDE Data Exchange--Tuition and Fees Survey, 2001-2002; Resident Undergraduate, Academic Year Tuition and Required Fees

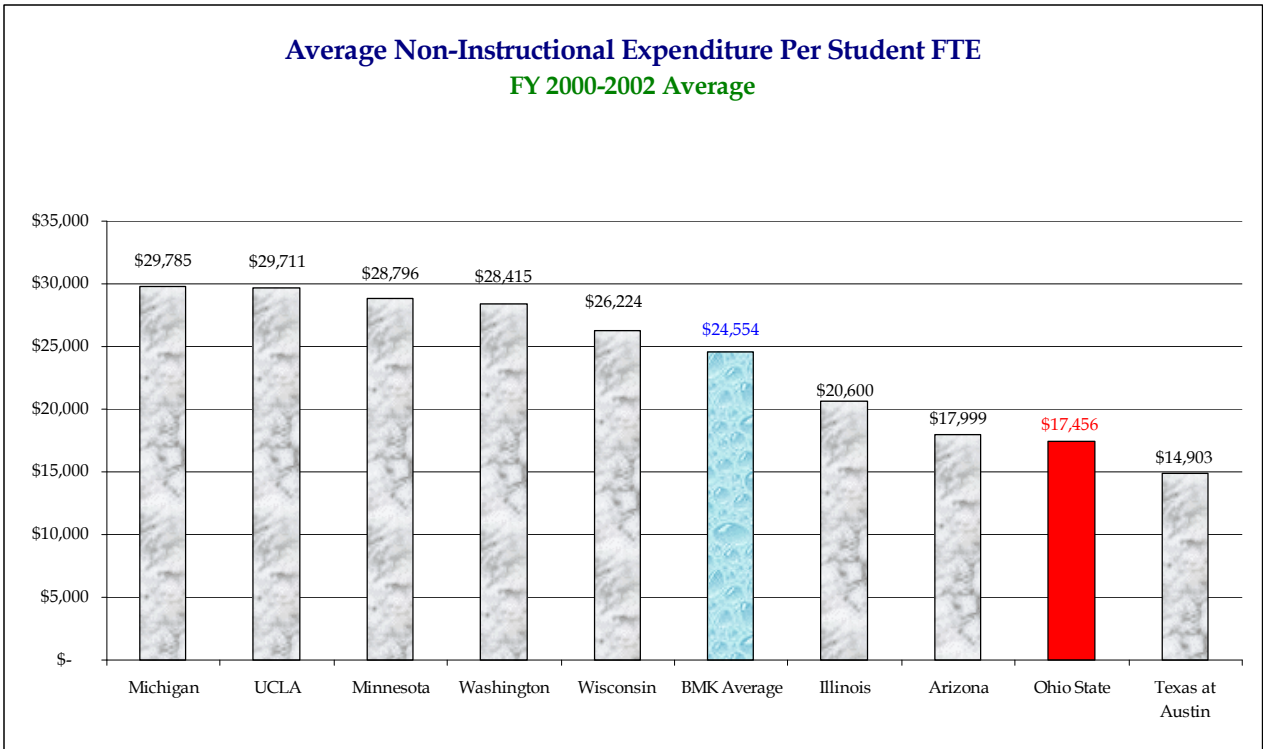
Note: Benchmark and Big Ten Public means and medians do not include Pennsylvania State University (Main Campus) as no state appropriation figures were reported by this institution for the FY 2002 IPEDS Finance report.

Expenditures Per Student FTE, FY 2000-2002 Average,
OSU vs. Benchmarks

	Instructional Costs	Non-Instructional Costs
OSU Columbus Campus	+0.7%	- 28.9%



Instructional costs include faculty salaries and other costs directly related to classroom instruction.



Non-instructional costs include academic support, separately budgeted research, public service, scholarships and fellowships, plant operation and maintenance and student services.

Source: OSU Office of Resource Planning and US Department of Education Annual IPEDS Finance and Fall Enrollment Surveys.

Differential fee increases for other students will range from 6.0 – 14.5%,
effective Fall Quarter 2004

College	FY 04	Proposed FY 2005 Increases			
	Instrn'l Fees	Resident Instructional Fee		Non-Resident Surcharge ¹	
		% Chg	\$ Chg	% Chg	\$ Chg
Business					
MBA	4,428	10.0%	443	6.0%	224
MBA (Part-time)	4,428	9.8%	434	6.0%	224
MLHR	2,408	12.0%	289	6.0%	224
EMBA	10,466	10.2%	1,068	6.0%	224
MAcc	5,735	6.0%	344	6.0%	224
Dentistry	5,223	14.5%	757	6.0%	460
Law (semester) ²	6,336	10.0%	634	6.0%	363
Medicine ³	6,309	13.8%	871	6.0%	491
Occup Therapy	2,292	13.1%	301	6.0%	224
Physical Therapy	2,505	13.5%	338	6.0%	224
School of Public Health					
MPH/PEP	2,423	13.5%	327	6.0%	224
MHA	2,735	13.5%	369	6.0%	224
Optometry	4,006	6.0%	240	6.0%	460
Pharmacy	3,080	12.0%	370	6.0%	239
Vet Medicine	4,746	12.0%	570	6.0%	476

¹Non-resident students pay both resident and non-resident fees as well as the general fee not shown on this table.

² The College of Law will offer a Masters in the Study of Law beginning in autumn 2004; fee structure will be the same as the JD program.

³ Represents the gross instructional fees for all ranks (1,2,3 & 4). The higher ranks will receive Medicine Tuition Credits to lower their effective rates to a 11.9% increase for rank 2, a 8.2% for rank 3 and an 9.1% increase for rank 4.

Proposed Fall Quarter 2004 Tuition for Non-Resident Undergraduates,
OSU vs. Benchmark

Institution	Full-time Non-Resident Undergraduate Tuition
	Proposed Fall 2004
University of Michigan - Ann Arbor ^{1b}	\$25,762
University of California – Los Angeles ^{1b,2}	\$22,984
University of Illinois at Urbana Champaign ^{1b}	\$20,842
University of Wisconsin – Madison ^{1a}	\$19,850
University of Minnesota - Twin Cities ^{1a}	\$19,683
Benchmark Average	\$19,318
Ohio State University – Columbus Campus	\$18,129
University of Washington – Seattle Campus ^{1a}	\$17,910
University of Texas at Austin ^{1a}	\$14,435
University of Arizona ^{1b}	\$13,077
OSU vs. BMK Average	-6.2%

¹Sources for Fall 2004 estimated data: Institutional research campus representatives^a and web sites^b; estimates are subject to change until final approval.

²University of California Regents are still debating the total revenue needed and how to divide the increase between graduate and undergraduate levels. Estimates provided are based on the 2004-2005 state budget proposal of University of California fee increases by Governor Arnold Schwarzenegger and are subject to change until final approval (see <http://www.universityofcalifornia.edu/news/budget/>; www.universityofcalifornia.edu/regents/regmeet/mar04/502.pdf; www.universityofcalifornia.edu/news/budget/0304handouts.pdf).

Comparison of full-time resident and non-resident graduate tuition
OSU vs. Benchmark Institutions

Institution	Full-Time Resident and Non-Resident Graduate Tuition	
	Proposed Fall 2004 Resident	Proposed Fall 2004 Non-Resident
University of Michigan - Ann Arbor ^{1b}	\$13,572	\$27,290
University of Minnesota - Twin Cities ^{1a}	\$9,624	\$16,709
University of California – Los Angeles ^{1b,2}	\$8,931	\$23,870
Benchmark Average	\$8,380	\$19,548
University of Illinois at Urbana Champaign ^{1b,3}	\$8,316	\$20,316
University of Wisconsin – Madison ^{1a}	\$8,306	\$23,577
Ohio State University – Columbus Campus	\$8,250	\$20,133
University of Washington – Seattle Campus ^{1a}	\$7,860	\$18,060
University of Texas at Austin ^{1a}	\$6,085	\$13,237
University of Arizona ^{1b}	\$4,347	\$13,327
OSU vs. Benchmark Average	-1.6%	3.0%

¹ Sources for Fall 2004 estimated data: Institutional research campus representatives^a and web sites^b; estimates are subject to change until final approval.

² University of California Regents are still debating the total revenue needed and how to divide the increase between graduate and undergraduate levels. Estimates provided are based on the 2004-2005 state budget proposal of University of California fee increases by Governor Arnold Schwarzenegger and are subject to change until final approval (see <http://www.universityofcalifornia.edu/news/budget/>; www.universityofcalifornia.edu/regents/regmeet/mar04/502.pdf; www.universityofcalifornia.edu/news/budget/0304handouts.pdf).

³ Tuition and fees are calculated by combining the base rate listed on the University of Illinois at Urbana-Champaign website and adding \$1,624 in required fees.

Basic Facts Regarding Undergraduate Student Financial Aid at OSU

Academic Year	# of Grants Disbursed	% of Students	Average Grant
2003 - 2004			
All Sources	17,534	34%	\$2,735
OSU Only	11,037	21%	\$834
2002 – 2003			
All Sources	16,233	32%	\$2,569
OSU Only	10,221	20%	\$703

Source: The Ohio State University Office of Financial Aid

All sources include Federal (Pell, Federal Supplemental Educational Opportunity Grant, Trio Grant), State (BVR, State Of Ohio Grant), Institutional (Pharmacy Grant, Nursing Grant, Tuition Assistant Grant, OSU Grant/FFP, OMA I know I can Grant, Justin Morrill Grant), and External (Last Dollar Grant).

OSU only includes Pharmacy Grant, Nursing Grant, Tuition Assistant Grant, OSU Grant/FFP, OMA I know I can Grant, and Justin Morrill Grant.

Includes grants only. Subsidized loans are not included.



The Ohio State University
Board of Trustees
May 7, 2004

Tuition Recommendations Autumn Quarter 2004

Office of Academic Affairs
Office of Business & Finance



Key Questions

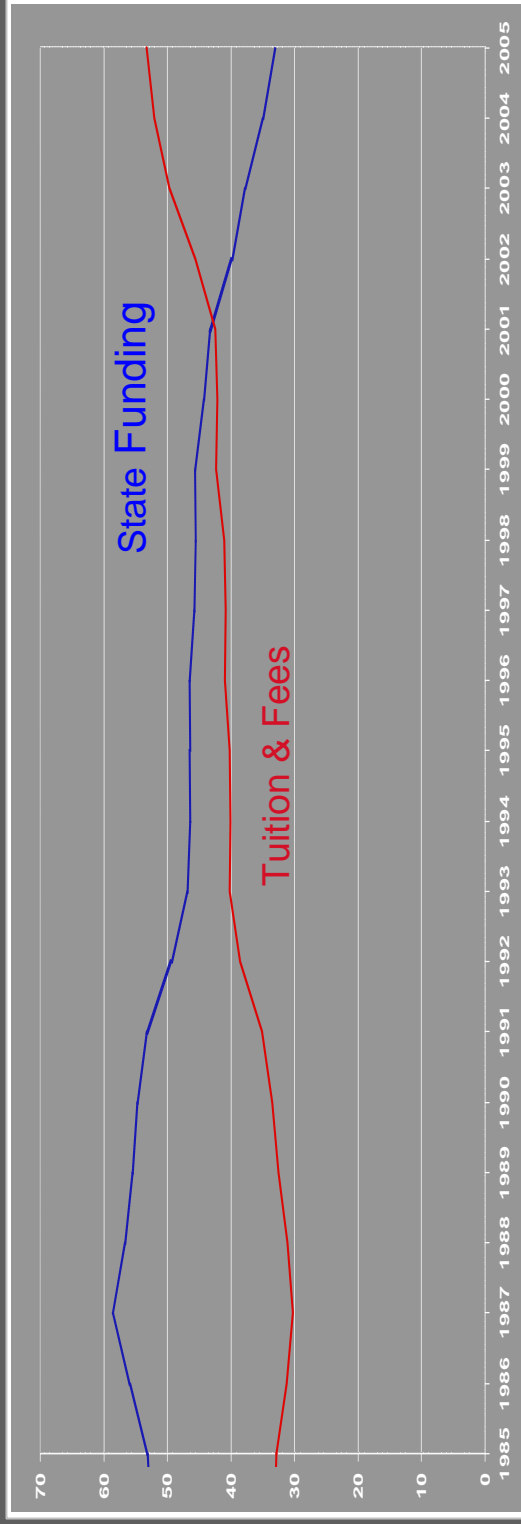
Why are increases recommended?

- How much will they be?
- How will access be maintained?
- How will students benefit?

Why Are Increases Recommended?

- Our costs are increasing
- State support is not increasing
- Unplanned reductions will harm our students

State Support as a Share of Revenues Has Declined Steadily



**State Support and Tuition/Fees as a Percent of
Total General Funds Budget
1985-2005**

How Much Will Tuition Increase?

For resident undergraduates new to Columbus campus...

\$891 or 13.4%

Resulting tuition will be \$7,542 per academic year

How Will the Increase Be Used?

Use of the increased funds on a per student basis is as follows:

Sustain current academic programs	\$352
Replace flat state support	\$245
Support New Enhancements	
Student Financial Aid and Learning Technology	\$258
Rec Fee	<u>\$ 36</u>
Grand Total	\$891

How Will Access Be Maintained?

- Increased financial aid
- Low fees compared to similar institutions in Ohio
- Strong commitment to diversity

Need based financial aid will be increased to offset tuition increases.

In FY '04...

- 34% of all undergraduates received some form of grant (not loans) from all sources.
 - Average award: \$2,735.
- 21% of all undergraduates received some form of grant (not loans) from the University.
 - Average award: \$834.



From FY '03 to FY '04...

- Number of undergraduates receiving University funded grants increased by 8%
- Average award increased nearly 19%.
- We expect a similar increase in FY '05.

We Still Compare Favorably to Ohio's Competitive Admission Universities

University	Proposed Autumn 2004 / New Students
Miami*	\$9,063
Cincinnati	\$8,379
Bowling Green	\$8,056
Ohio University	\$7,770
Ohio State	\$7,542
Kent State	\$7,468 or 7,504

* Miami data presented is post Ohio Resident Scholarship and Ohio Leader Scholarship

How Will Students Benefit?

- Increased access to faculty and high demand courses
 - Improved access to state-of-the-art technology
 - Additional opportunities for learning outside the classroom
- ### Increased Access to Faculty and High Demand Courses
- Opportunity for interaction between students and regular faculty increasing:
 - Freshman Seminar Program
 - Continued expansion of honors offerings
 - Undergraduate involvement in research
 - Successful recruitment and retention of top faculty
 - Course availability is increasing
 - Continued improvements in academic advising

Improved Access to State-of-the-Art Technology

- More smart classrooms
- Upgraded equipment and increased personnel in computer labs
- Better electronic access to course materials
- Improved cyber security
- Web-based student degree planning tool
- Digital Union

Additional Opportunities for Learning Outside the Classroom

- Additional support of student organizations
- Expanded living-learning programs
- Enhancements in Service Learning
- Expanded career counseling
- Increased campus-wide programming
- Expanded opportunities for physical exercise and healthy lifestyles
- Improvements in safety

Conclusions

- Costs of undergraduate education are rising faster than state support.
- The recommended increase in tuition will allow OSU to maintain the quality of its instructional programs for students.
- An increase of \$891 or 13.4% is recommended for resident undergraduates entering autumn '04. This is fully compliant with state mandated caps.
- Access will be maintained by expanded University funded financial aid programs.
- Students will benefit from greater access to regular faculty, improved instructional technology and learning opportunities outside the classroom.
- Despite the uncertain financial environment and intense competition, The Ohio State University will remain a tremendous value for our students and for the people of Ohio.

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND FOUR HUNDRED AND FOURTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, June 4, 2004

The Board of Trustees met at its regular monthly meeting on Friday, June 4, 2004, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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June 4, 2004 meeting, Board of Trustees

The Chairperson, Ms. Longaberger, called the meeting of the Board of Trustees to order on June 4, 2004, at 1:30 p.m. She requested the Secretary to call the roll.

Present: Tami Longaberger, Chairperson, Daniel M. Slane, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Douglas G. Borrer, Wally W. O'Dell, Brian K. Hicks, Emily M. Quick, and Chad A. Endsley.

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INTRODUCTION OF NEW TRUSTEES

Ms. Longaberger:

This morning we are pleased to welcome our two new trustees – Brian Hicks and Chad Endsley.

Brian Hicks was appointed by Governor Taft to succeed former chairman Zuheir Sofia for a nine-year term ending in 2013, so we will be seeing a lot of Brian over the next nine years. Brian is president and CEO of Hicks Partners, LLC, a public affairs firm with offices in Columbus and Washington, D.C.

Prior to forming Hicks Partners, LLC, Brian served as chief of staff for Ohio Governor Bob Taft. He previously served as chairman of Taft's transition committee, Ohio deputy secretary of state, and as senior legislative assistant to Congressman Michael G. Oxley.

Brian played key roles in a number of statewide candidate, judicial, and issue campaigns and was involved in virtually every aspect of managing the State of Ohio for nearly five years. He was responsible for significant policy, management, and legislative issues including development of Ohio's operating and capital budgets. We will look forward to drawing on your experience to advance the cause of The Ohio State University.

In addition to that, all the students who are here today will be interested to know that Brian is a 1987 graduate of The Ohio State University, and it should be noted that in 1986-87 he served as president of the Undergraduate Student Government! Welcome, Brian. For all of you in student government, there is a future.

Chad Endsley was also recently appointed by Governor Taft for a two-year term as a Student Trustee. He received his Bachelor of Science in Agriculture degree from The Ohio State University, majoring in agribusiness and applied economics, with a minor in political science. Chad was recognized as one of the top twenty seniors in the University. He was a member of the SPHINX Senior Class Honorary and chaired the Edward S. "Beanie" Drake Student Leader Endowment Fund and the Senior Class Gift Committee for his college.

Chad is currently a second-year law student at the Moritz College of Law where he serves on their Long Range Planning Committee and is a member of the Business Law Society. Chad is originally from Coshocton, Ohio, and is the owner of Chad A. Endsley Real Estate Company.

So welcome to you, Chad, as well. We are glad you are here.

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COMMITTEE APPOINTMENTS 2004-2005

Resolution No. 2004-152

BE IT RESOLVED, That the appointments to Committees and representatives to various Boards for 2004-2005 be approved as follows:

Academic & Student Affairs Committee:*

Douglas G. Borrer, Chair
Daniel M. Slane, Vice Chair
Robert M. Duncan
Karen L. Hendricks
Emily M. Quick
Chad A. Endsley

Fiscal Affairs Committee:*

Jo Ann Davidson, Chair
Karen L. Hendricks, Vice Chair
Dimon R. McFerson
Walden W. O'Dell
Brian K. Hicks

Facility Planning (AdHoc)*

(Subcommittee of Fiscal Affairs)
Robert M. Duncan, Chair
Douglas G. Borrer
Walden W. O'Dell
Chad A. Endsley

Investments Committee:*

Dimon R. McFerson, Chair
Jo Ann Davidson, Vice Chair
Daniel M. Slane
Walden W. O'Dell
Ex Officio:
John Gerlach, Jr. (Foundation Board)
_____ (Foundation Board)

Audit Committee:*

Karen L. Hendricks, Chair
Dimon R. McFerson, Vice Chair
Daniel M. Slane
Brian K. Hicks

Agricultural Affairs Committee:*

Daniel M. Slane, Chair
Fred L. Dailey, Vice Chair, Ex Officio
Walden W. O'Dell
Brian K. Hicks
Emily M. Quick
Chad A. Endsley

Governance Committee:

Tami Longaberger, Chair
Daniel M. Slane, Vice Chair
Robert M. Duncan

Government Relations Advisory Council:*

Daniel M. Slane, Chair
Jo Ann Davidson, Vice Chair
Douglas G. Borrer
Brian K. Hicks
Emily M. Quick
David L. Brennan
Thomas Hoaglin

The Arthur G. James Cancer Hospital & Richard J. Solove Research Inst. Bd:

Robert M. Duncan, Chair
Jo Ann Davidson

University Hospitals Board:

Robert M. Duncan
Jo Ann Davidson

Campus Partners Board:

Douglas G. Borrer

Research Foundation Board of Directors:

Karen L. Hendricks

University Foundation Board Ex Officio:

Class of Directors:

Dimon R. McFerson (2005)
Douglas G. Borrer (2006)
Karen L. Hendricks (2007)

Wexner Center Foundation Board:

Leslie H. Wexner (2005)
Brian K. Hicks+

Ohio State University Affiliates, Inc.:

Affiliated Entities Committee:

Tami Longaberger
Daniel M. Slane
Robert M. Duncan

Science and Technology Campus Board:

Walden W. O'Dell

COMMITTEE APPOINTMENTS 2004-2005 (contd)

Regional Campus Trustee Liaison:

Jo Ann Davidson

Richard M. Ross Heart Hospital:

Dimon R. McFerson

Daniel M. Slane

Self-Insurance Trust Board:

Dimon R. McFerson

**Board Chair, Ex Officio member of all committees
+President's appointee*

Upon motion of Mrs. Davidson, seconded by Mr. Borrer, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

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PRESIDENT'S REPORT

President Karen A. Holbrook:

Since this is the end of the year, I am going to talk about some of the great things that have happened throughout this year, just as somewhat of a wrap-up. I have to start out by giving a disclaimer: you always forget some of the very important things and people throughout the year, particularly at an institution of this size, because so much goes on and so much has happened during the past year.

Everything intensifies in the spring. It's the end of the year when things come to a close. May and June, especially are a time of whirlwind activity, but I would say only slightly more than usual, as this is truly a place of enormous energy and success.

As always, I would like to mention people first, because I think those are our successes, primarily, and I am going to go through a number of things that have happened to people in this institution throughout the year.

Let me start out by saying our leadership team is just about complete. You all know of our appointments last month. We mentioned Barbara Snyder and Bob McGrath in two senior positions and we have new deans. Two of them have already come on campus beginning June 1: John Roberts, dean of Humanities, and Mel Shipp, dean of Optometry. We also have Bud Baeslack, dean of Engineering, and Paul Beck, dean of Social and Behavioral Sciences, both starting July 1. We still have one deanship search underway and that is in Veterinary Medicine. Jackie Royster has taken the helm as the interim executive dean of the Federation of the Colleges of the Arts and Sciences.

Our Government Relations office has two new people whom I have the pleasure of announcing today. Ms. Stacy Rastauskas, formerly staff to Speaker Hastert and Congressman Hobson, will be leading our Washington, D.C., office. We also have Jack Hershey, from the Office of Budget and Management in Columbus, who will staff the Statehouse along with Colleen O'Brien. So welcome to Jack and Stacy, we are glad to have both of you on board. We know that you will be very valuable additions to that group.

Just to say a little bit about our recruitment, I am delighted to tell you that we have been successful in recruiting our top candidates in every single case.

This is also the time of year that students are being inducted into honor societies, recognized for their work over the time when they were undergraduates, and singled out for their top leadership roles and contributions to the community. Three words characterize our students in many of their activities: leadership, scholarship, and service. I would add a fourth word -- citizenship. We are very proud of our students who excel in all of these categories.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

We talk a lot about the qualifications our incoming students and they are outstanding. We brought in the top class last year in terms of the average ACT score, in terms of their grade point average, in terms of where they graduated in their high school class, and in terms of being National Merit Scholars. As we look toward the class coming in the fall, that class is going to be even more academically qualified than the previous year and it looks like we will fill the class with very high-ranking students. We will talk a little bit more about this at the end of my remarks.

Each year the class honorary societies induct new members. I would never go through all the people inducted, but to point out some unusual inductees who might be of interest to you. Archie Griffin was tapped by Mortarboard – I think that is an interesting one. Dan Farrell, from the Department of Philosophy, and I were tapped into Bucket and Dipper. So some unusual people show up in some of these organizations.

Student successes are enormous at the end of the year. We honored 51 students at a recent recognition awards ceremony and the number of students we honored was 30-35 percent more than the number of students we honored last year: nine NSF fellows, 11 honorable mentions; one Mellon fellow in humanistic studies; three Goldwater award winners and one honorable mention; and seven Fulbright grant recipients, one alternate, and one Fulbright scholar. Our students are entering the top graduate and professional programs across the nation.

Our Denman Research program this year had 270 presentations on campus and in the Academic and Student Affairs Committee meeting this morning you had the opportunity to hear from three of those students. They also took their work to the statehouse and a number of them presented their work there.

Seventy-five percent of our students participate in some volunteer activity by the time they graduate. We had 1,700 students participate in "Rock the Block" on a Sunday afternoon, doing community service projects all across Columbus. The Buckeye Build project involved 329 volunteer students contributing 3,886 hours to build a Habitat for Humanity home that will be located in Weinland Park for a family. These are only a few of the things our students do that are just phenomenal.

We had a turnover in student government leadership. I would say we had strong leadership for the campus last year and we are looking forward to continuing very strong leadership this year.

We honored 25 students at the John F. Wolfe international scholarships and celebrated 4,070 international students, 550 international faculty, 1,500 international scholars, and 1,200 of our students who go abroad every year, signaling the extreme relevance of global society at Ohio State University.

Our student-athletes are something to celebrate as well. We had 403 scholar-athletes. These students, who have 3.0 GPAs – 48 percent of our student-athletes this year – were celebrated at the banquet. For the second year in a row, Ohio State ranks first among the Big Ten schools in gaining top honors for the number of Academic All Big Ten honorees for this year. We were the same as last year, and I think that is phenomenal for our students. Two of our Big Ten honorees were named men and women players of the year in golf. Our Academic Big Ten students have to be letter winners in at least their second academic year and carry a career grade point average of 3.0 or better.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

Faculty and staff also were exemplary. We had a new member elected to the National Academy of Science last year, Dr. Linda Saif, from OARDC, and this year Dr. David Denlinger, from Biological Sciences, was nominated. Dr. Bill Mitsch will be a co-recipient of the Stockholm Water Prize, which will be awarded in August in Sweden by the King and Queen of Sweden. Jackie Royster was a YWCA "Woman of Distinction." Clara Bloomfield received three major awards, so many that I am not going to list them but, again, always at the top of our award-winning faculty. Andy Geiger was named the Athletic Director of the Year from the National Association of Collegiate Athletic Directors.

Three new Guggenheim fellows include Dr. Fritz Graf, Andrew Hudgins, and Marshall Unger. Three new fellows were elected to the American Academy of Arts and Sciences: Marilyn Brewer, Malcolm Chisholm, and Brian Joseph. Six junior faculty earned NSF Faculty Early Career Development awards, and 15 new faculty were added as fellows of the American Association for the Advancement of Science. There were twelve Fulbright scholars and three faculty were elected to the Association of American Physicians. Ginny Trethewey received an award from the Ohio Bar Association to honor a woman lawyer who has excelled in her profession and opened doors to other women.

People are responsible for our rankings – not anything but people and the things that they accomplish. I am delighted to say that Ohio State moved up in the *U.S. News and World Report* rankings from number 24 to 22 among all public universities. That is a very nice jump for us and we can be very proud.

Our individual schools ranked very well and I am going to mention just a couple of them because there are so many. One we can be very proud of is the Fisher College of Business – it ranks number one in MBA minority enrollment. We are very proud of this. Three Big Ten schools rank in the top ten. Behind Ohio State -- again, remember, number one -- is Michigan and Purdue. Michigan tied with MIT for being in first place for the number of graduates entering into the workforce at 88.3 percent within three months of commencement.

We are proud of our ranking of 23rd in the nation among universities and 8th or 9th among the public universities in the number of National Merit Scholars to come to our campus. We lead the Big Ten in this as well, with the next closest school -- now remember we are number 23 -- being Michigan State at 30, Michigan at 31, and the other Big Ten schools well behind this.

Total giving is up 40 percent over last year and individual giving is up 27 percent. Non-alumni gifts, which I think we can be very proud of, are up 50 percent over the same time last year. These are people who did not attend Ohio State. Twelve percent has been added above the endowment and 76 percent in gifts for building and equipment. I think we can be very proud of that.

Our externally funded research is up 22 percent over where we were at this time last year. Now moving up to number 18 among all research universities in the nation and number 12 among all public universities in the nation. Each one of those spots is one up over where we were last year. We are very good – we are 11th in engineering, 10th in social sciences, and 3rd in material sciences.

We can be proud of our students, we are proud of our research, and we are very proud of our development activities.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

We have had wonderful on-campus and off-campus activities this year. We have had a number of receptions in Washington, D.C. We had one that was given by Ambassador Ba to Senegal, who is one of our three-time graduates. We had the John Glenn Institute and the School of Public Policy and Management hold a reception in Washington to honor our John Glenn Institute interns who were in Washington, D.C., and to make an award in public service to our own graduate Len Downie – a wonderful success. And Ohio State partnered with Akron to celebrate the birthday of Ohio in Washington, D.C.

Al Gore received the Bownocker Award on campus. Issey Miyake, a marvelous designer, received the Wexner Prize this year. The Bill and Shelia Lambert Lecture was given to a standing-room-only audience by Tim Clark. Senator Glenn and Leslie Wexner were honored at the Woodrow Wilson Awards dinner hosted by Tami Longaberger. This year the Barnett Symposium talked about educating artists in the 21st century.

Our Critical Difference for Women program was just outstanding this year. Not only did we honor Coca-Cola for being our partner and helping us get this off the ground, but we also honored the women who were re-entry women to higher education. At the end of this, we were given the promise of an award of another \$100,000 that comes on behalf of the fine efforts of Gail Feinstein and Judy Fountain to bring in more money to help with that program.

You all know about our new facilities coming online. We will celebrate a number of them today. You may not know that Jim and Ellen Tressel have decided that they would be the honorary chairs for the development campaign for our library. Again, showing the very close link between academic and athletic programs on this campus.

To remind you, our leadership agenda last summer was held to talk about how we make Ohio State distinctive as a research university. One of the first goals was a distinctive undergraduate program. Let me mention a couple of things that were successful. The Federation of the Colleges of the Arts and Sciences is well underway and has accomplished a great deal of buy-in and support from the deans and the faculty. Freshman seminars were introduced for the first time and will be embellished over the year.

Twenty-three hundred Arts and Sciences' students participated in internships and 87 percent of our engineers are in internships or co-op experiences, as are 33 percent of our business students. This indicates that so many off-campus activities really do expand and embellish our undergraduate programs.

The Honors Program was reviewed externally and I will come forth with some ideas for strengthening and expanding that program. Much work is being done to expand student housing, to decompress some of the student housing, and to improve the food service for our students. Increased instructional technology is present on campus, including a new digital union as a partnership between the Office of the CIO and the library.

As far as research, we talked about enhancing interdisciplinary research. Many things have happened this year, but one of the good moves was the passing of a research faculty track.

Twenty-first century outreach and engagement activities are underway and let me just mention a couple of them very quickly. We see the South Campus Gateway project going up and you can see it as you drive along High Street.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

This is going to be a very impressive area of the campus that will open in just over a year.

One of the things that is exciting is that Ohio State has decided not to just compete with Cincinnati and Case Western, but to get together and try to do things in a more collaborative manner. We have instituted what we call the "3C Corridor" for the three institutions to begin to partner as opposed to just compete. This is in line with the Governor's Commission Report on Higher Education and the Economy.

We have partnerships all over, with our community and across the nation, and one of the most exciting partnerships is with Battelle Memorial Institute. You will hear more about that as the year goes on.

This has been a very exciting, very productive, and very successful year. I think you can say that Ohio State has never been positioned better than it is today in all of our areas. I am going to close by saying that while there is a lot to celebrate, we also have a lot of realities to deal with. To just give good news without suggesting that there are things that we have to be vigilant about and watch over during the coming year would not be correct. We do have budget issues to look at, to look at it within ourselves and to look at it externally.

We will always have competition for students and faculty. Salaries for our faculty and staff are among our highest priorities and we absolutely must pay attention to those to keep who we have. It is very important that we not only keep them, but we recruit very strong people, as we always have.

We are concerned about our minority enrollment being down for fall. We think there are a number of reasons for it. Our diligence is ever increasing to make sure that we can continue to enroll more minority students and bring in more minority faculty and staff. In that regard, I would say I doubt that any place in the nation can say they have just brought in two new African-American deans and an African-American executive dean. So we can be very proud of our efforts in that regard as well.

We continue to see all over the nation high profile problems related to athletics. The University of Colorado issue has made all of us much more wary and every institution is concerned about the area of athletics.

Safety in the neighborhood is a problem for Ohio State and, again, this is an area we can partner very well with Cincinnati and Case, universities which are also in urban environments like ours.

Finally, the tuition hike is something that we take very seriously, think about very carefully, and are worried about, as we know all of you are as well. Nonetheless, it is a reality for the institution as we want to continue to deliver a top-notch, first-rate education to our students. It is, of course, the highest priority for any great university like Ohio State and for Ohio State in particular.

I am sorry to go on, but if we were not so successful, Madame Chairperson, I do not think we would have so much to talk about.

PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

Let me also say one other thing. There is a lovely handout that was put together by University Relations that recently appeared in *The Columbus Dispatch*. It highlighted a lot of the outstanding things our faculty and students have done throughout the year. If you do not have one, be sure to get a hold of one – it really is a very nice document of a successful year. Thank you.

Ms. Longaberger:

Thank you, Dr. Holbrook. Any questions or comments from the Board?

Let me just say congratulations to you and the entire administration, staff, faculty, and students on advancing in the rankings. That is a significant part of advancing the University, advancing the Academic Plan, and I think congratulations certainly are in order from the Board.

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DISTINGUISHED UNIVERSITY PROFESSOR AWARDS

Provost Barbara R. Snyder:

We are proud to bring the conferment of the permanent, honorific title of "Distinguished University Professor" on Albert de la Chapelle and John N. King for the Board's approval today. The University is extremely fortunate to have such exceptional faculty colleagues. Professors de la Chapelle and King exemplify excellence in research, teaching, and service. Their outstanding work benefits our students, their departments, the University, and the community, as well as scholars in their respective disciplines worldwide.

Distinguished University Professors automatically become members of the President's and Provost's Advisory Council, and the Office of Academic Affairs awards \$10,000 annually for three years to support their academic work.

We would now like to show a short video.

[Video Presentation]

Provost Snyder:

It is my great honor to tell you a little more about these two extraordinary individuals.

First, let me ask Professor de la Chapelle to come forward. Joining Dr. de la Chapelle we have Dr. Fred Sanfilippo, senior vice president for Health Sciences and dean of the College of Medicine and Public Health, and executive dean of Health Sciences; and Dr. Caroline Whitacre, professor and chairperson of the Department of Molecular Virology, Immunology, and Medical Genetics, and vice dean for research in the College of Medicine and Public Health.

Professor de la Chapelle is The Leonard J. Immke, Jr. and Charlotte L. Immke Chair in Cancer Research and an internationally recognized leader in the genetics of human cancer. He received an M.D. degree and a Ph.D. degree in human genetics from the University of Helsinki, Finland.

DISTINGUISHED UNIVERSITY PROFESSOR AWARDS (contd)

Provost Snyder: (contd)

Dr. de la Chapelle was recruited to Ohio State in 1997 to launch and lead the Division of Human Cancer Genetics. His stature in the scientific community has enabled him to recruit world-class scientists from the best laboratories and universities and, in just seven years, this program now includes more than 200 faculty, scientists, staff, post-doctoral fellows, and graduate students.

Dr. de la Chapelle's seminal discoveries have established a relationship between genetic mutations and diseases. He has located the regions of chromosomes responsible for more than 20 human diseases; in some 15 of which he has also identified the specific gene responsible for causing the disease. His landmark research has been reported in more than 450 peer-reviewed papers in the world's leading biomedical journals.

He is a member of the National Academy of Sciences, the premier scientific society in the United States, and is a fellow of the American Association for the Advancement of Science. He is also one of 12 fellows of the Academy of Finland, a member of the Royal Swedish Academy of Sciences, the European Molecular Biology Organization, and the European Society for Clinical Investigation.

Albert, your research has made an enormous impact on the understanding of many diseases and has had a major influence on health care throughout the world. You are an outstanding teacher and mentor, as we have just heard from undergraduate and graduate students and post-doctoral researchers who work with you in your lab, and your service contributions to this University and the scientific community at large are significant. Congratulations on being named "Distinguished University Professor."

Would Professor John N. King please come forward? Joining Professor King today we have Dean John Roberts, from the College of Humanities, and Professor Valerie Lee, chairperson of the Department of English.

Professor John N. King is the Humanities' Distinguished Professor of English and of Religious Studies in the Department of English, and he joined Ohio State's faculty in 1989. Professor King earned his doctorate from the University of Chicago.

He is internationally regarded as an innovative and meticulous scholar and considered to be among the top one percent of the world's scholars in Renaissance Studies, a field that has thousands of academicians worldwide. He is the author or editor of nine landmark books – with two more in progress – and more than 100 articles and reviews.

Nominating scholars wrote that Professor King's work has shaped developments across the disciplines of intellectual, religious, and political history, art history, women's studies, bibliography and librarianship, manuscript studies, and the Renaissance Bible. One reviewer wrote that Professor King "has rewritten the history of mid-sixteenth century English literature" and that "his success is going to require some alterations in the way English literature of the Renaissance is regarded and taught."

He has received some of the most prestigious research awards for humanities scholars, including fellowships granted by the American Council of Learned Societies, the National Endowment for the Humanities, the National Humanities Center, the Lilly Endowment, and a visiting lectureship at Oxford University. He is also an elected member of the International Association of University Professors

DISTINGUISHED UNIVERSITY PROFESSOR AWARDS (contd)

Provost Snyder: (contd)

of English, whose membership is comprised of distinguished professors throughout the world. In addition, he has received The Ohio State University's coveted Distinguished Scholar Award.

As we just heard on the video, his undergraduate students and doctoral candidates laud him as an outstanding teacher and mentor. Faculty colleagues have said that his contributions at the departmental, college, and University-levels are substantial and that his participation is thoughtful, imaginative, and committed. He has served as the editor of major journals, including *Literature and History*, a journal that explores the connections among writing, history, and ideology; and he is the founding editor of the journal *Reformation*.

John, your scholarship has changed the understanding of an important era in our history and you represent the very best of our faculty. Congratulations on being named "Distinguished University Professor."

Fred, would you like to start and say a few words about Dr. de la Chapelle?

Dr. Alfred P. Sanfilippo:

It is an honor for me to be able to introduce Albert at this very important recognition of his achievements. Simply put, Dr. de la Chapelle is not only one of the most distinguished professors of our University, but he is one of the most distinguished researchers in the world and really in any field. As you have heard, he has received the highest honors that we have to offer in science, both in the U.S. and around the world. That speaks to his achievements.

I would like to spend just a minute or two talking about the fundamental research accomplishments he has made and how they really impact our everyday lives.

He has been a pioneer from the start and has made many key discoveries. He identified the region of the Y chromosome responsible for maleness. He discovered genetic mutations in AML, acute myeloid leukemia. He has located regions of the chromosome responsible, as you have heard, for more than 20 human diseases and, in seven, identified the gene causing that disease. He has also identified four genes signaling susceptibility in colon cancer and discovered new mechanisms for carcinogenesis.

Clearly, the most remarkable contribution of Dr. de la Chapelle has been in demonstrating for the first time that a type of cancer resulted from an inherited genetic predisposition. He and his colleagues searched and, recently discovered, the original founder gene for this type of cancer that was brought to the United States by one of a married couple from Germany who settled in Pennsylvania over 250 years ago. His team has mapped that couple's 11 children and their descendents as they spread across the country and have, so far, identified 566 descendents in nine different families. Of these, 137 have undergone genetic counseling and testing, and nearly half carry that genetic mutation.

Currently, Dr. de la Chapelle and his colleagues are devising strategies to comb the nation for this mutation. They have developed a simple, relatively inexpensive way to screen for it and for other people at risk.

In short, his work has shown a link between a gene mutation, a risk of cancer, and then using this information has been able to identify and prevent development of the disease. This is truly a wonderful demonstration of the

DISTINGUISHED UNIVERSITY PROFESSOR AWARDS (contd)

Dr. Sanfilippo: (contd)

application of great basic science to patient care and public service. In short, his work is the paradigm of the future of medicine and, in my mind, the very definition of distinguished science.

Albert, we are honored to have you as a distinguished member of our faculty.

Provost Snyder:

Albert, I know the Board and our audience would love to hear from you now.

Dr. Albert de la Chapelle:

Thank you, Dr. Holbrook, Provost Snyder, and Dr. Sanfilippo. I accept this honor with a humble mind, mainly because I know that there are so many deserving distinguished faculty who could have been chosen instead. It is a great honor indeed to be recognized by one's own university, perhaps greater than being recognized elsewhere.

I will take this opportunity to reveal one disappointment. When Provost Snyder told me about this a few weeks ago, she handed me a closed envelope. I was hopeful it was going to contain a promise to get an earmarked parking space, but it did not. I would say that, arguably, not having one is probably a significant obstacle to my optimal professional function.

I was recruited seven years ago by Dr. Schuller, Dr. Bernadine Healy, and, to some extent, President Gordon Gee. Among the arguments to convince me to move to Columbus was the strange claim that Columbus lies within 500 miles of 75 percent of the U.S. population. There were even more strange claims, such as Columbus is not in the Midwest!

Now there were arguments that made a deeper impression on me and among them were all the dollars I was given to spend. My mission was to start the Human Cancer Genetics Program; it did get underway and many of the dollars have actually already been spent. It was probably easier because of the fact that there was almost no human genetics on campus, which was shameful for OSU but advantageous for me. Sometimes it is easier to start from scratch than to mend and improve.

It is customary to say in a circumstance like this that I could have accomplished nothing without the unbelievable support of everybody around me. This is a cliché, but it is so true in my case. My thanks for this go to everyone in human cancer genetics, but, of course, as many of you know, the real spirit behind it all and behind me is my wife, Clara Bloomfield.

So I would like to go back to the statements made by those who recruited me. One statement was that promises would be fulfilled. I am happy to say that this has been true all along – promises have been kept. I have used that argument in my own recruitment efforts and it actually works. To be able to say with confidence that at OSU we live up to our promises is indeed a major asset. By the way, I think we all agree that living up to promises is not a universal phenomenon in the academic world.

Finally when I was recruited one argument was that people in Ohio are nice. Well, they are. Let me give you one example. On one of my first visits to Dr. Whitacre's office, I was surprised to find a large picture on the wall that depicted a house that for all practical purposes looked exactly like the house in which I

DISTINGUISHED UNIVERSITY PROFESSOR AWARDS (contd)

Dr. de la Chapelle: (contd)

grew up in in Finland. I apparently stared so long at this picture that Dr. Whitacre asked me why, and I told her. The next time Dr. Whitacre came to my office, she brought me the picture. It still hangs in my office today. So people are nice and, in addition, people are truly helpful and often quite efficient.

Let me end by saying that I enjoy OSU and Columbus, and perhaps even more so than a few years ago. There are these signs of expansion, development, and growth that are evident all over campus, and they tell of an institution that is pushing forward. Of course, the ongoing transformation of our medical school from mediocrity to excellence and its great emphasis on research, its enormous hiring and building program launched by Dr. Sanfilippo, and above all The James expansion launched by Drs. Schuller and Caligiuri are really things we need. They create an environment of great promise, but we have a long way to go. It is fun to be part of a place that grows and shows its teeth.

I am indeed glad I am here. Thank you.

Provost Snyder:

Thank you, Albert. We are very glad you are here. John, would you like to say something about Professor King?

Dean John W. Roberts:

Thank you. Certainly from both a personal and a professional perspective, I am delighted to have this opportunity to congratulate John King on being named "Distinguished University Professor." The colloquial response to this pleasant occurrence would be to say that this honor could not have happened to a nicer guy. Such a glib description, while literally true, would not appropriately capture the qualities that make him deserving of this honor.

John King is an immensely talented scholar, with an impressive record of achievements in his field of Renaissance and Reformation literary studies. His intellectual stature is attested to by the lengthy list of publications that he has produced; the honors, awards, and fellowships that he has received over the years; the positions of leadership that he has been placed in by his colleagues at the University and in his profession; and the success of the students that he has mentored, who have gone on to become the next generation of scholar-teachers. This is a legacy of achievement which I am sure John is immensely proud and for which we honor him today.

As we examine the accomplishments that have garnered John this honor, I would point out that he has contributed to the excellence and vibrancy of his field and the profession, not merely through his written scholarship, but also through excellent outreach activities. For example, at the National Endowment for the Humanities he has the unique distinction of having been funded to direct two different summer seminars for college and university teachers. These highly-rated seminars are served as an appropriate compliment to his reputation as an articulate and powerful lecturer who has fronted podiums throughout the country and, indeed, the world to share the fruits of his labors as a tireless researcher and the workings of his fertile mind.

Personally, I have always appreciated and benefited from John's genteel brand of collegiality, which is always evident in his interaction with colleagues and students. Those of us who have lived and worked in the dull confines of Denney Hall have always appreciated John's open smile, his cheerful greetings, and

DISTINGUISHED UNIVERSITY PROFESSOR AWARDS (contd)

Dean Roberts: (contd)

warm hospitality. Although most of us find it difficult to emulate him most days, we nevertheless realize that his is the appropriate demeanor for approaching the all-too-serious business of education. These qualities, combined with his incredible intellect and scholarly productivity, have made him an ideal candidate for this honor. They also make it easier for us to rejoice with John and his family today as he receives this well-deserved recognition for his outstanding contributions to the life of the mind.

On behalf of myself and all of his colleagues in the Department of English and the College of Humanities, I want to express our sincere appreciation to the Board of Trustees for recognizing the many contributions of John King to his students, his profession, and to The Ohio State University. With John King in our midst, we know that the best is always yet to come. Thank you.

Provost Snyder:

John, we would love to have you share your thoughts with us.

Professor John N. King:

To say that it is a real pleasure to be here today would be an understatement. I feel deeply honored and humbled by this award and I am grateful to all of those involved in it. I, too, had planned to talk about the issue of the parking space. However, I feel that it is sufficiently on our minds now and I can go on.

It has been my good fortune to pursue a career in the humanities. We derive this term from the Latin word *humanitas*, which defines the liberal education that befits a human being. Embracing those branches of knowledge that pertain to human nature and culture, this field deals with the best that has been thought and known.

As a scholar, my task has been to study important documents of our civilization and share my findings with students and fellow professionals. My studies have not only embraced writings by William Shakespeare, John Milton, and their contemporaries, but they have extended to the *Bible* and to the culture of the Renaissance and Reformation.

The Ohio State University has made me a better teacher and educator because the University has supported my research in manifold ways. I have been fortunate in finding avenues for teaching and research, not only in my campus home, the Department of English, but also within our religious studies major and the rich program of courses and lectures sponsored by our Center for Medieval and Renaissance Studies.

I am deeply grateful to the gifted colleagues, chairs of departments, directors of centers, and deans who have furthered my work. To name only a few, I would certainly have to acknowledge the chairperson of my department, Valerie Lee; Jim Phelan; and, looking into past years, Associate Dean Marvin Zahniser; and Professor Emeritus John Gabel who, more than anyone else, had to deal with the hiring of me.

University Libraries and librarians offer extraordinary support for my research and that of our colleagues. Our forthcoming renovation of the Main Library is therefore exciting in the extreme. In particular, I look forward to seeing what happens to our rare book and manuscript library, which is my second home on campus.

DISTINGUISHED UNIVERSITY PROFESSOR AWARDS (contd)

Professor King: (contd)

In my view, conducting research sharpens the mind and quickens the pulse, and I try to channel its energy into discharging my various responsibilities at Ohio State. I shall feel that I have done my job if journals that I edit and books that I have published with Princeton University Press, Cambridge University Press, and other presses help to explain to the wider world what a vibrant, intellectual community Ohio State is.

Indeed, I take great pleasure in the knowledge that OSU Press has extended a contract for the publication of an edition of John Foxe's *Book of Martyrs* that I am preparing in collaboration with an historian in Great Britain. At about four times the length of the *Bible*, this massive collection was one of the most influential books published in Shakespearean England.

My faculty colleagues and I, and our students owe a debt of gratitude to the Trustees and the University administration for making The Ohio State University one of the remarkable educational institutions in the world. Of course, at the end of the day, I acknowledge my wife Pauline and my son for their patience and endurance, and am deeply grateful for the kind support and words of Dean Roberts today, and the rest of you. Thank you very much.

Provost Snyder:

Thank you very much for the opportunity to come and share these wonderful stories with us. You can see why it is such a privilege to be able to do this. That concludes our presentation, Madame Chair.

Ms. Longaberger:

Do any of the members of the Board have any questions?

Mr. McFerson:

To serve as a Trustee is exciting and this is what the University is all about, what we are trying to do with all of our actions and decisions. I know my colleagues feel the same. I am not speaking for them, but, on my behalf, thank you for what you have done and for the great example you have set for this University.

Ms. Longaberger:

Any other comments? Let me just say to both of you that your work and words truly inspire us. You make us so proud to be a part of Ohio State and know that there are distinguished faculty like you who are a part of Ohio State's family. Thank you very much and congratulations to both of you.

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COMMITTEE REPORTS

Ms. Longaberger:

At this time, I would like to call on our Committee chairs for their reports. I would like to start with the Investments Committee. Mr. McFerson --

COMMITTEE REPORTS (contd)

Mr. McFerson:

Thank you, Madame Chair. At our meeting today, we heard the monthly report on the Endowment and I would indicate that our Endowment now exceeds \$1.5 billion, which is very exciting. That is a combination of our University Endowment, our Foundation Endowment, and the University long-term portfolio.

We next spent some time hearing a report on the proxy voting guidelines. Because of our large Endowment, we hold literally hundreds and hundreds of public company stocks and we do vote each and every one of those proxies. There are guidelines in place on how we vote those proxies and it was good to be reminded of how we do that.

We also are recommending in the consent agenda the appointment and reappointment of investment managers. From time to time we may change these or change their allocations, but we do have a set of recommendations coming forward to the full Board in the consent agenda on that.

Finally, we heard a regular monthly report on University Development activities, which President Holbrook summarized in her report. They are positive and up over last year, which is good news.

Ms. Longaberger:

Any questions on the report on the Investments Committee? Thank you very much, Mr. McFerson.

Mr. Borrer, could you tell us about the Academic and Student Affairs Committee meeting?

Mr. Borrer:

Thank you, Madame Chair. At the Academic and Student Affairs Committee meeting today we were presented with the winners of the Denman Undergraduate Research Forum. There were 275 participants in 11 different categories, with 36 winners. We had detailed presentations from three of the winners today: Anna Fecker, Kristi Martinez, and Ian Maze. Their exceptional talent and intelligence is only exceeded by their wonderful enthusiasm – the kids are great.

We also had a presentation on the COTA student pass program, and I would like to ask Vice President Hall if he could give us a brief explanation of that.

Mr. William Hall:

Members of the Board, in June of last year we appointed a partnership committee consisting of representatives of COTA, our three student governments, and the Office of Disability Services, and advised by Assistant Vice President Barbara Rich and Sarah Blouch, director of Transportation and Parking Services. They have spent several months renegotiating a five-year agreement which was entered into in 1999 and had expired, and we needed to renew the agreement.

The chair of the committee is a student, Mr. Ted Robles. The committee did a magnificent job of negotiating on behalf of the students and keeping fees at a minimum level. The current contract that we have had for five years is \$9. They have negotiated the fee continuing at \$9, increasing only if performance criteria

COMMITTEE REPORTS (contd)

Mr. Hall: (contd)

in the contract are met in terms of customer satisfaction, route selection, and so on and so forth. They have just done an outstanding job and we will present that on the consent agenda for approval. Thank you.

Mr. Borrer:

We also approved and referred three actions to the Board consent agenda: the COTA student pass program that Vice President Hall just talked about; routine amendments to the *Rules of the University Faculty* and *Bylaws of the University Senate*; and personnel actions as recommended by Provost Snyder. That concludes my report.

Ms. Longaberger:

Thank you very much, Mr. Borrer. Questions from the rest of the Board? We will move on to Jo Ann Davidson for the Fiscal Affairs Committee report.

Mrs. Davidson:

Thank you, Madame Chair. If you will look at your consent agenda, items #14-23 came through the Fiscal Affairs Committee meeting this morning, and I would like to speak specifically to a few of those items.

The first item deals with moving ahead with the employment of architects and engineers for the Ohio Union replacement program. You will remember that we had an in-depth report on that at our last Board meeting, so this is the next step that we need to take in moving ahead with that project. Karen Hendricks did ask that we have some briefing later this year on a timeline as we look at some of our activities and our building. What kind of an impact that is going to have on areas in which students gather and students study so that we will have some kind of a concept on how we can merge these things together and probably keep from having too much replacement.

In line with the comments that were made by our Distinguished University Professors, we also asked for a timeline as we bring new parking capability onto the campus and lose some parking capability on a temporary basis. The Committee would like to have an opportunity to look at these timelines and get a feel for that, too.

A construction contract will be on the consent agenda for approval. The construction contract is for the clinical renovation space on the 9th floor of Doan Hall. It is really important that we move that at this time, because that will be temporarily used by overflow from The James Cancer Hospital and they desperately need that space. So with your approval, we would move ahead with that construction contract.

There are four routine easements for your approval.

There is a new benefit proposal dealing with paid parental leave and Larry Wellen is with us today if you have questions about it. At this point in time, for faculty and staff the only way they can take parental leave is if they have accumulated sick leave which they can use for that purpose. This would be a new benefit. It is my understanding that it is the benefit that is most highly rated of those that we do not have as surveyed by faculty and staff. It would provide for a six-week paid parental leave for the birth mother and a three-week paid parental leave for others that were involved – the biological father and

COMMITTEE REPORTS (contd)

Mrs. Davidson: (contd)

adoptive parents. Obviously, that could be expanded as we are required to do under federal law, with their use of sick leave or vacation time or any other way in which they wanted to expand that to full-time.

It is a new benefit, so I thought maybe the members of the Board might have some additional questions. One question that we did ask was the approximate cost to the University, and, I believe, it was estimated to be about \$300,000. You might have other questions and Larry is available if you do.

If not, we will then move on to the interim budget. This is a necessary thing for us to do since our budget authority ends at the end of this month and the Board does not meet again until July. It will give the University the ability to operate under the same guidelines they have been operating under for this particular fiscal year -- for one week until the Board comes back and addresses the budget for the FY 2004-2005 year.

The last two items deal with the issue of tuition, which has been, as you know, a very sensitive and serious issue, upon which we have spent a good deal of time reviewing where we stand as a university.

As you know, we have been given special exception at Ohio State by the Ohio Legislature to have a higher cap than other universities. A lot of that is historical and a lot of it was caused by many years in which there was a cap and which Ohio State got capped at a much lower tuition than many of the other universities. So we have had an ability to try to bring ourselves into some reasonable range needed to retain the quality of education that we are offering to our students. At last month's meeting, we approved a nine percent tuition increase and the action on today's agenda would be for the additional 3.9 percent that we are permitted to do under the cap. This would be restricted for use for financial aid for students and technology. So that would be the first tuition resolution you would be asked to address.

The second tuition request would be the first step for setting the tuitions for ATI and the regional campuses. Again, we have the ability to go up to 9.9 percent. This would be the first step which would be the six percent increase for the regional campuses.

I should go back and also mention that the first resolution has some increased fees for various other programs and we have had those fees in the past. I believe there is only one new fee that comes on board and that new fee is in the biological sciences for those students who are taking a significant number of hours and for their lab fees.

Madame Chair, if there are any other questions, particularly on the tuition, I know Bill Shkurti can respond to them, and let me take those questions. Then I would like to make one closing comment, if I could, about the Committee action.

Any questions dealing with tuition? Emily --

Ms. Quick:

I have a question about the regional campuses. If we raise the six percent and then do 3.9 percent later in time, is that 3.9 percent also used for technology and financial aid for regional campuses?

COMMITTEE REPORTS (contd)

Mrs. Davidson:

It is my understanding that it is. As you know, when you go that additional amount you have to take separate action on doing that and you have to commit that money to student financial aid and technology.

One other thing that we reviewed in the Committee, which I think is very important to mention in relationship to what we are doing in tuition increases, is we have asked Bill Shkurti and his staff to actually review some of our core processes here at the University. To find ways in which we can be more cost efficient and save some money.

What we reviewed today dealt with our purchasing policies and looking at ways in which we can do more centralized purchasing and make some significant savings. I think the members of the Committee made it pretty clear today to Bill and his staff that we want them to pursue that as aggressively as they can and come back and make a report to the Fiscal Affairs Committee at the conclusion of another two-month period of time.

Madame Chair, that concludes my report.

Ms. Longaberger:

Thank you very much. Just to comment to everyone in the room, along with the change of time of the Board meeting, committee reports by Board members is something that we have added. The purpose in doing that is it seemed that you would come to the Board meeting and you did not see a lot of communication, dialogue, and conversation with the Board. I hope that as the committee chairs present their reports each month, it will demonstrate to you the significant amount of conversation and issues that are addressed at a committee-level.

When you review the consent agenda, you will find that those things have been through another committee. I would encourage you, as you look for that detailed information, to take the time to attend a committee meeting because that is where you see a tremendous amount of discussion and conversation take place.

Thank you very much to all the committee chairs for not only your report, but leading the committees as well, because it requires an additional amount of work. Thank you very much.

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CONSENT AGENDA

Ms. Longaberger:

We will now move to the consent agenda that is before the Trustees. I do want to acknowledge all the students who came today. It is my understanding that you came to support the project of the student union, which is on the consent agenda and I want to thank you very much for being here. I would now like to call on President Holbrook to present the consent agenda to the Board.

President Karen A. Holbrook:

We have twenty-three resolutions on the Consent Agenda today and I would ask that #13 and #17 be considered for a separate vote. We are seeking your approval for:

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS

Resolution No. 2004-153

Synopsis: The amendments to the *University Hospitals Board Bylaws* are recommended for approval.

WHEREAS pursuant to bylaw 3335-103-01, The Ohio State University Board of Trustees has the authority to amend the *University Hospitals Board Bylaw*; and

WHEREAS the amendments to the *University Hospitals Board Bylaws* have been endorsed and ratified by the University Hospitals Board on April 22, 2004, as follows:

Amended Hospitals Board Bylaws

3335-104-01 Designations

From time to time, the Ohio state university board of trustees may establish one or more specialized boards dedicated to the unique mission of a specific facility, service, or program of university hospitals. All members of a specialized board shall be appointed by the Ohio state university board of trustees in consultation with the vice president for health services, the senior vice president for health sciences, and the president of the university. The term of office for each member of a specialized board, other than an ex-officio member, will be three years. The executive director and medical director of the facility or service respectively appointed by the vice president for health services and the senior vice president for health sciences shall be ex-officio members of the specialized board. At the discretion of the Ohio state university board of trustees, any member may serve three consecutive terms.

3335-104-02 OSU & Harding hospital board. (Unchanged)

3335-104-03 University hospitals east board. (Unchanged)

3335-104-04 Ross heart hospital board.

(A) There is hereby created the Ross heart hospital board. It shall:

- (1) Review, recommend and monitor strategic initiatives for cardiovascular services.
- (2) Monitor financial performance of the Ross heart hospital including the achievement of financial goals and targets consistent with those set by the university hospitals board.
- (3) Approve and monitor quality assessment and improvement mechanisms specifically for cardiovascular services consistent with those established for university hospitals.
- (4) Perform such other responsibilities as agreed upon by the Ohio state university hospitals board and the Ohio state university board of trustees.

(B) The Ross heart hospital board shall meet at the call of its chairperson who shall advise the university hospitals board of its activities.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *University Hospitals Board Bylaws* be adopted as recommended by the University Hospitals Board.

**AMENDMENTS TO THE BYLAWS AND RULES AND REGULATIONS
OF THE MEDICAL STAFF OF THE OHIO STATE UNIVERSITY HOSPITALS**

Resolution No. 2004-154

Synopsis: The amendments to the *Bylaws and Rules and Regulations of the Medical Staff* of The Ohio State University Hospitals are recommended for approval.

WHEREAS The Ohio State University Hospitals Board pursuant to bylaw 3335-101-04 of the Hospitals Board Bylaws is authorized to recommend to the Board of Trustees the adoption of amendments to the *Bylaws and Rules and Regulations of the Medical Staff* of The Ohio State University Hospitals; and

WHEREAS the proposed amendments to the *Bylaws and Rules and Regulations of the Medical Staff* of The Ohio State University Hospitals were approved by the University Hospitals Board on April 22, 2004:

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Bylaws and Rules and Regulations of the Medical Staff* of The Ohio State University Hospitals be adopted as recommended and approved April 22, 2004, by the University Hospitals Board.

(See Appendix LV for background information, page 1335.)

APPOINTMENT TO THE SELF INSURANCE BOARD

Resolution No. 2004-155

Synopsis: Approval of an appointment to the Self Insurance Board is proposed.

WHEREAS the Board of Trustees on December 6, 2002 approved the expansion of the University Self Insurance Program to include the faculty physicians and their clinical staff who are employees of Ohio State University Physicians, Inc.; and

WHEREAS the Board of Trustees directed that a Self Insurance Board be established to oversee the University Self Insurance Program; and

WHEREAS all members of the Self Insurance Board shall be appointed by The Ohio State University Board of Trustees upon recommendation of the President:

NOW THEREFORE

BE IT RESOLVED, That the following individual be appointed as member of the Self Insurance Board for the term May 1, 2004, through April 30, 2005:

Wilburn H. Weddington, M.D.

BE IT FURTHER RESOLVED, That this appointment entitles the member to any immunity, insurance or indemnity protection to which officers of the University are, or hereafter may become, entitled.

REGIONAL CAMPUS BOARDS APPOINTMENTS/REAPPOINTMENTS

Resolution No. 2004-156

Synopsis: Approval of appointments/reappointments to The Ohio State University Regional Campus Boards is proposed.

WHEREAS the Board of Trustees in 1994 approved the establishment of The Ohio State University Regional Campus Boards; and

WHEREAS it has been previously stipulated that "the board shall be composed of ten members appointed by The Ohio State University Board of Trustees in consultation with the president of the university" (nine members shall be private citizens and one member shall be a student); and

WHEREAS the following named persons have been nominated and selected for appointments/reappointments to the following Regional Campus Boards for the terms as specified:

Marion Board

Douglas W. Brown, 2nd term, July 1, 2004 - June 30, 2007
Deborah Martin, 3rd term, July 1, 2004 - June 30, 2007
Janet P. Pry, 2nd term, July 1, 2004 - June 30, 2007
Kathy A. Laird (student), July 1, 2004 – June 30, 2005

Newark Board

Robert Coffman, 2nd term, July 1, 2004 - June 30, 2007
Richard L. Gummer, July 1, 2004 - June 30, 2007
Robert H. McGaughy, 2nd term, July 1, 2004 - June 30, 2007
Mary Moehring (student), July 1, 2004 – June 30, 2005

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominees be approved as members of The Ohio State University-Marion and Newark Boards.

CONTINUATION OF COTA STUDENT PASS PROGRAM

Resolution No. 2004-157

Synopsis: Authorization to request modification to the continuing exemption from the general fee cap by the Board of Regents and the State Controlling Board to permit continuation of the mandatory student transit pass fee is proposed.

WHEREAS students have identified traffic congestion, lack of convenient parking on and around campus, and lack of efficient transportation to other areas in the community as major concerns affecting the quality of student life; and

WHEREAS the Undergraduate Student Government initiated discussions in 1997 to respond to these concerns and, with involved University offices, worked with the Central Ohio Transit Authority (COTA) to improve student access to COTA's mass transit system through a student transit pass program for unlimited travel on all COTA routes, including newly established routes responsive to students' residential and entertainment preferences, at the rate of \$9.00 per quarter or \$13.50 per semester, and this fee was approved by the Board of Regents and the State Controlling Board as an exception to the fee cap on the general fee; and

CONTINUATION OF COTA STUDENT PASS PROGRAM (contd)

WHEREAS the initial program begun in March 1997, renewed in September 1998, and approved in January 1999 by student referendum for an additional five years, has been very successful, with OSU students taking 1.2-1.3 million rides per year, encompassing 7% of annual COTA ridership; and

WHEREAS The Ohio State University and COTA desire to continue the program on an on-going basis through a new agreement, and began discussions and negotiations in June 2003 to renew the agreement through the OSU-COTA Partnership Advisory Committee, composed of students representing the three student governments and the Office of Disability Services, and staff from the Offices of Student Affairs and Business and Finance; and

WHEREAS members of the Partnership Advisory Committee are confident that the new agreement reflects student interest, and based on student surveys and feedback from the student governments collected over the past year and a half, that a majority of OSU students favor continuing the agreement; and

WHEREAS COTA shall be responsible for providing the transit services in exchange for transit pass fees, and for all costs of operating and marketing the transit pass program, including reimbursement for all administrative costs incurred by the University for fee collection; and

WHEREAS the Council on Student Affairs and the President's Cabinet approved a five-year, performance-based contract that expires in 2009, that provides service improvements and enhanced marketing to campus; and

WHEREAS the student pass rates are a fraction of COTA's established rates and require a fee be charged to all enrolled undergraduate, graduate, and professional students; and

WHEREAS the agreement specifies that fees will remain at \$9.00 per quarter or \$13.50 per semester for the first 3 years of the contract, with a potential increase up to \$9.75 after three years if performance goals, including ridership and customer satisfaction, are met; and

WHEREAS the Board of Trustees believes this transit service constitutes an exceptional circumstance justifying continued exemption from the fee cap by the Ohio Board of Regents and the State of Ohio Controlling Board for so long as the proposed contract or any renewal or extension of it is in effect:

NOW THEREFORE

BE IT RESOLVED, That the Secretary of the Board of Trustees be authorized to request the Board of Regents to modify the current exemption from the fee cap on the general fee as outlined above and to seek approval of the Controlling Board for such modification; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized, in the name of the Board of Trustees, to enter into a contract with COTA as outlined above to continue the student transit pass program upon such terms and conditions as have been approved by the Council on Student Affairs and President's Cabinet and upon such other terms and conditions deemed to be in the best interest of the University, subject to the University receiving the necessary approval of the Board of Regents and Controlling Board.

**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY
AND BYLAWS OF THE UNIVERSITY SENATE**

Resolution No. 2004-158

Synopsis: Approval of the following amendments to the *Rules of the University Faculty* and *Bylaws of the University Senate* are recommended.

**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY
AND BYLAWS OF THE UNIVERSITY SENATE (contd)**

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the *Rules of the University Faculty* and *Bylaws of the University Senate* as approved by the University Senate; and

WHEREAS to more appropriately align rules regarding regular faculty tracks, Chapters 47, 48, and 7 will be rescinded and moved into the newly created Chapters 6, 7, and 8, respectively, keeping their sequential numbers; and

WHEREAS the proposed changes in the *Rules of the University Faculty* and *Bylaws of the University Senate* were approved by the University Senate on May 13, 2004:

Amended Rules

3335-5-19 Faculty.

As used in these rules the term "faculty" shall include persons appointed by the board of trustees with regular tenure-track, regular clinical, regular research, auxiliary, and emeritus faculty titles on full- or part-time appointments, with or without salary.

(A) "Regular tenure-track faculty": persons with the titles of professor, associate professor, assistant professor, and instructor who serve on appointments totaling fifty per cent or more service to the university. ~~Regular faculty are tenure-track faculty.~~

(B) Unchanged.

(C) "Regular research faculty:" regular research faculty serve under fixed term contracts and are not eligible for tenure. Regular research faculty appointments are described in Chapter 3335-7 of the Administrative Code.

~~(C)~~

(D) "Auxiliary faculty": persons with adjunct titles, clinical titles, visiting titles, and lecturer titles; also professors, associate professors, assistant professors, and instructors who serve on appointments totaling less than fifty per cent service to the university. Persons with regular faculty titles may not hold auxiliary titles. Persons holding auxiliary titles are not eligible for tenure, may not vote at any level of governance, and may not participate in promotion and tenure matters.

(1) through (4) unchanged.

~~(D)~~

(E) "Emeritus faculty": regular tenure-track, ~~or regular clinical faculty,~~ or regular research faculty who, upon retirement, were recommended by the chair, the dean and the executive vice president and provost for emeritus status. Emeritus faculty may not vote at any level of governance and may not participate in promotion and tenure matters but may have such other privileges as individual academic units or the office of human resources may provide. (B/T 4/4/97, B/T 12/4/98, B/T 4/6/99, B/T 3/1/2002, B/T 7/12/2002, B/T 6/4/2004)

3335-5-484 Council on student affairs.

(A) Membership.

The council on student affairs shall consist of ~~twenty~~ twenty-two members.

(1) Unchanged.

(2) Ten students.

**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY
AND BYLAWS OF THE UNIVERSITY SENATE (contd)**

Amended Rules (contd)

(a) and (b) unchanged.

(c) Four undergraduate students selected by the undergraduate student government. Two of these students shall have a term of service of one year. Two of these students shall have a term of service of two years, with one student selected each year.

(d) Unchanged.

(3) and (4) unchanged.

(5) The associate vice president for student affairs for planning and student development, non-voting.

~~(5)~~

(6) The director of student activities or designee, non-voting.

~~(6)~~

(7) Alternates.

~~Each student constituency group (USG, CGS, IPC) Members of the council on student affairs may have one an alternate. The alternate shall be selected in the same manner as the original appointment. The chair has responsibility for recording correct voting status. If the member has voting status, The the alternate may vote in the event of an absence by a member of the alternate's student constituency the member's absence.~~

(B) Duties and responsibilities.

(1) through (8) unchanged.

(9) Serve as the sole administrative body for any student activity fees. This responsibility may be delegated by the council to appropriate committees or administrative agencies.

(C) Organization.

(1) The chair shall be elected from among the ~~voting~~ student members of the committee.

(2) and (3) unchanged.

3335-5-4813 Legislative Government affairs committee.

(A) Membership.

The ~~legislative government~~ government affairs committee shall consist of ~~eleven~~ twelve members.

(1) through (3) unchanged.

(4) ~~Two ex-officio, non-voting members: the director of state relations and the director of federal relations.~~ Three administrators, ex-officio, non-voting.

(a) The assistant vice president for state relations.

(b) The assistant vice president for federal relations.

(c) The vice president for government relations, or designee.

**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY
AND BYLAWS OF THE UNIVERSITY SENATE (contd)**

Amended Rules (contd)

(B) Duties and responsibilities.

- (1) ~~Inform itself on national, state, and local legislative affairs, current or contemplated, that affect higher education in general and the Ohio state university in particular, and make this information available to the senate.~~
- (2) ~~Inform itself, through the senate and otherwise, on student and faculty concerns with respect to such legislative affairs, and present these concerns to appropriate university officials.~~
- (3) ~~Serve as an advisory committee on legislative affairs to the president and staff assistants responsible for legislative relations.~~

Provide insight and advice to the office of government relations and to the university senate on specific issues. Facilitate communication among the university governance institutions and the office of government relations regarding local, state, and federal issues affecting the university. In consultation with the office of government relations, build relationships with various organizations and constituencies.

(C) Unchanged.

Rules of the university faculty concerning research track faculty
appointment and non-reappointment, and promotion

Chapter 3335-7

3335-7-30 Definition.

Regular research track faculty appointments are fixed term contract appointments that do not entail tenure. Research track faculty are researchers and shall be engaged in research related to the mission and goals of the academic unit.

3335-7-31 Titles.

The term "regular research track faculty" will exist solely for the purpose of recording this type of appointment in the university's employment system database. Titles will be research professor of (name of college, school or department), research associate professor, research assistant professor.

3335-7-32 Criteria for appointment, for reappointment and non-reappointment, and for promotion.

With tenure track faculty majority approval, regular research track faculty may be appointed by colleges that do not have schools or departments and by schools and departments in colleges that have such subunits. Unless otherwise authorized by a majority vote of the tenure-track faculty in a unit, regular research track faculty must comprise no more than twenty per cent of the number of tenure-track faculty in the unit. In all cases, however, the number of regular research track faculty positions in a unit must constitute a minority with respect to the number of tenure-track faculty in the unit.

The criteria for appointment, reappointment and nonreappointment, and for promotion for regular research track faculty shall be established by the college, school or department making such appointments and shall be set forth in that unit's appointments, promotion and tenure document and approved by a majority vote of the regular tenure-track faculty of the unit and approved by

**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY
AND BYLAWS OF THE UNIVERSITY SENATE (contd)**

Amended Rules (contd)

the office of academic affairs. The criteria must be distinct from the criteria for tenure-track faculty appointments and cannot require classroom teaching. Regular research track faculty will have an earned doctorate or other terminal degree in the relevant field. The criteria for appointment, for reappointment and nonreappointment, and for promotion should reflect the preponderance of responsibilities being in research activities.

3335-7-33 Procedures for appointment.

Procedures for appointment of regular research track faculty shall be established by the college, school or department making such appointments and set forth in that unit's appointments, promotion, and tenure document and approved by a vote of the regular tenure-track faculty of the unit and the office of academic affairs. Appointments at the rank of research associate professor or research professor require prior approval of the relevant college and the office of academic affairs.

3335-7-34 Duties and Responsibilities.

The primary duty of regular research track faculty is to conduct research. A research faculty member may, but is not required to, participate in limited educational activities in the area of his or her expertise. However, teaching opportunities for each research track faculty member must be approved by a majority vote of the TIU's regular tenure-track faculty. Under no circumstances may a member of the research faculty be continuously engaged over an extended period in the same instructional activities as tenure-track faculty. An appointment to a research faculty position should not be made to displace or make unnecessary an appointment to a tenure-track faculty position.

3335-7-35 Term of appointment.

- (A) Contracts will be for a period of at least one year and for no more than five years.
- (B) Contracts must explicitly state the expectations for salary support and generally will require one hundred per cent salary recovery. It is expected that salary recovery/support will be derived from extramural funds.
- (C) The initial contract is probationary, and a faculty member will be informed by the end of each probationary year as to whether he or she will be reappointed for the following year. By the end of the penultimate year of the probationary contract, the faculty member will be informed as to whether a new contract will be extended at the conclusion of the probationary contract period. In the event that a new contract is not extended, the final year of the probationary contract is the terminal year of employment. There is no presumption that a new contract will be extended. In addition, the terms of a contract may be renegotiated at the time of reappointment.
- (D) During and until the end of the second and subsequent contract periods, regular research faculty appointments may be terminated for not meeting the terms of the contract (e.g. failure to obtain extramural support for the research). Appointments may also be terminated during a contract period for cause (see rule 3335-5-04 of the Administrative Code), or financial exigency (see rule 3335-5-02.1 of the Administrative Code), and the termination decision for either of these reasons shall result from procedures established by faculty rules. In addition, a contract may be renegotiated during a contract period only with the voluntary consent of the regular research faculty member. By the end of the penultimate year of each contract period, the regular research track faculty member will be informed as to whether a new contract will be extended at the conclusion of the current contract period. If a new contract is not extended, the final year of the current contract is a terminal year of

**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY
AND BYLAWS OF THE UNIVERSITY SENATE (contd)**

Amended Rules (contd)

employment. There is no presumption that a new contract will be extended. In addition, the terms of a contract may be renegotiated at the time of reappointment.

- (E) The standards of notice set forth in rule 3335-6-08 of the Administrative Code apply to regular research faculty track appointments.

3335-7-36 Annual review, reappointment/nonreappointment, and promotion review procedures.

The procedures for reviewing regular research track faculty annually and for reappointment/nonreappointment and promotion shall be set forth in the relevant, tenure-track faculty approved, college, school or department appointments, promotion and tenure document and shall be consistent with review procedures established for tenure-track faculty including those set forth in rules 3335-6-03 and 3335-6-04 of the Administrative Code except that the college dean's decision shall be final with respect to reappointment and non-reappointment and with respect to denial of promotion.

3335-7-37 Governance rights.

Governance rights within a tenure-initiating unit (TIU) at the local level will be determined by the TIU and will require a vote of its eligible faculty. Research track faculty will be eligible to serve on university committees and task forces but not on university governance committees.

Research track faculty will also be eligible to advise and supervise graduate and postdoctoral students and to be a principal investigator on extramural research grant applications. Approval to advise and supervise graduate students must be obtained from the graduate school as set forth in rule 3335-5-29 and detailed in the graduate school handbook.

Research track faculty will not be eligible to vote on the promotion and tenure of tenure-track faculty or regular clinical track faculty.

3335-7-38 Transfers from the tenure track to the regular research track.

A college, school or department may provide for the possibility of transfer from the tenure faculty track to the research track if appropriate to its circumstances and if provided for in the unit's appointments, promotion and tenure document. A unit that permits transfers must abide by the following:

- (A) The request for transfer must be initiated by the tenure-track faculty member in writing and must state clearly how the individual's career goals and activities have changed.
- (B) When a tenured faculty member transfers to the regular research track, tenure is relinquished.
- (C) The school director or department chairperson, the college dean, and the executive vice president and provost must approve all transfers.

3335-7-39 Transfers from the regular research track to the tenure track.

Transfers from the regular research track to the tenure track are not permitted. However, regular research track faculty may apply for tenure-track positions and compete in regular national searches for such positions.

**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY
AND BYLAWS OF THE UNIVERSITY SENATE (contd)**

Amended Rules (contd)

3335-7-40 Oversight.

The office of academic affairs will submit an annual report to the university senate detailing the number, funding source, and TIU of regular research track appointments that have been made the previous year. Also included in the report will be the conditions of the appointments including fiscal arrangements. In unusual circumstances, salaries of research faculty may be paid on a temporary basis from funds other than those generated from tuition and subsidy.

Amended Senate Bylaws

3335-17-04 Apportionment of faculty members.

- (A) Seventy faculty members shall be apportioned as follows: at least one to represent each of the following faculty constituencies:

College of food, agricultural, and environmental sciences (including the Ohio agricultural research and development center and the agricultural technical institute)	College of medicine and public health
College of the arts	College of nursing
College of biological sciences	College of optometry
College of business, the Max M. Fisher	College of pharmacy
College of dentistry	College of social and behavioral sciences
College of education	College of social work
College of engineering	College of veterinary medicine
College of human ecology	OSU Lima campus
College of humanities	OSU Mansfield campus
The Michael E. Moritz college of law	OSU Marion campus
College of mathematical and physical sciences	OSU Newark campus
	University libraries
	Departments of military science, naval science, and air force aerospace studies

- (B) The other faculty members shall be apportioned among the faculty constituencies, such that no more than ten per cent of the total faculty membership of the senate shall be assigned to any one unit listed in paragraph (A) of this rule. The schedule for apportionment shall be reviewed annually and derived from the distribution of regular tenure-track faculty with ~~at least seventy-five per cent of total service on the Columbus or a regional campus, or at the Ohio agricultural research and development center, or at the agricultural technical institute;~~ and shall be proposed by the executive committee of the faculty council and approved by the faculty council.
- (C) For the purpose of voting in a senate election, the eligible faculty shall be regular tenure-track faculty with ~~at least seventy-five per cent of total service on the Columbus or a regional campus, or at the Ohio agricultural research and development center, or at the agricultural technical institute,~~ and shall vote only in the election of members from the constituency in which they hold their primary appointment ~~as regular faculty.~~

3335-17-08 Qualifications for membership.

- (A) To be eligible for service as members of the university senate:

- (1) Faculty members shall be on the roster of the regular tenure-track faculty and available for senate service autumn, winter and spring quarters during their elected terms, ~~and~~

**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY
AND BYLAWS OF THE UNIVERSITY SENATE (contd)**

Amended Senate Bylaws (contd)

~~with at least seventy-five per cent of total service on the Columbus or a regional campus, or at the Ohio agricultural research and development center, or at the agricultural technical institute.~~

(2) and (3) unchanged.

(B) and (C) unchanged.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Rules of the University Faculty* and *Bylaws of the University Senate* be adopted as recommended by the University Senate.

HONORARY DEGREES

Resolution No. 2004-159

Synopsis: The awarding of honorary degrees is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of honorary degrees as listed below:

Gerald E. Brown	Doctor of Science
Carl E. Wieman	Doctor of Science

NOW THEREFORE

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

DEGREES AND CERTIFICATES - SPRING QUARTER COMMENCEMENT

Resolution No. 2004-160

Synopsis: Approval of Degrees and Certificates for Spring Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

DEGREES AND CERTIFICATES - SPRING QUARTER COMMENCEMENT (contd)

BE IT RESOLVED, That the degrees and certificates be conferred on June 13, 2004, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 2004-161

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the May 7, 2004 meeting of the Board, including the following Appointments/Reappointments, Appointments/Reappointments of Chairpersons, Change in Title, Leave of Absence Without Salary, Professional Improvement Leaves, and Emeritus Titles, as detailed in the University Budget be approved.

Appointments

Name: ANNE BEATTY
Title: Professor (The Deloitte and Touche Accounting Professorship)
College/Department: The Max M. Fisher College of Business/Accounting and Management Information Systems
Term: October 1, 2004, through September 30, 2009
Present Position: Professor of Accounting, Smeal College of Business Administration, The Pennsylvania State University

Name: ALBERT DE LA CHAPELLE
Title: Distinguished University Professor
Department: Molecular Virology, Immunology, and Medical Genetics
Effective: June 4, 2004

Name: HARVEY J. GRAFF
Title: Professor (The Ohio Eminent Scholar in Rhetoric and Composition)
College/Department: Humanities/English
Effective: October 1, 2004
Present Position: Professor, Department of History, University of Texas at San Antonio

Name: BRADLEY A. HARRIS
Title: Associate Vice President for Health Sciences and Chief Administrative Officer for the College of Medicine and Public Health
Office/College: Health Sciences/Medicine and Public Health
Effective: June 1, 2004
Present Position: Associate Director for Administrative Affairs, Davis Heart and Lung Research Institute

Name: JANICE K. KIECOLT-GLASER
Title: Professor (The S. Robert Davis Chair of Medicine)
College: Medicine and Public Health
Term: July 1, 2004, through June 30, 2008

Name: JOHN N. KING
Title: Distinguished University Professor
Department: English
Effective: June 4, 2004

PERSONNEL ACTIONS (contd)

Appointments (contd)

Name: WILLIAM L. MACDONALD
Title: Interim Dean and Director
Regional Campus: The Ohio State University - Newark
Term: June 4, 2004, through June 30, 2005
Present Position: Academic Dean, The Ohio State University - Newark

Reappointments

Name: DOUGLAS M. LAMBERT
Title: Professor (The Raymond E. Mason Chair in Transportation and Logistics)
College: The Max M. Fisher College of Business
Term: July 1, 2004, through June 30, 2009

Name: H. RAO UNNAVA
Title: Associate Professor (The W. Arthur Cullman Designated Professorship
in Marketing)
Department: Marketing and Logistics
Term: October 1, 2004, through September 30, 2009

Appointment of Chairpersons

July 1, 2004, through June 30, 2005

Political Science

Kathleen McGraw*

July 1, 2004, through June 30, 2008

Management Sciences

Peter T. Ward

Reappointment of Chairpersons

July 1, 2004, through June 30, 2005

Art
Molecular and Cellular Biochemistry
Ophthalmology
Pathology

Ardine K. Nelson*
Charles R. Hille*
Paul A. Weber
Michael G. Bissell*

July 1, 2004, through June 30, 2008

Otolaryngology

David E. Schuller

July 1, 2004, through September 30, 2008

Statistics

Douglas A. Wolfe

Change in Title

October 1, 2004, through September 30, 2005

Germanic Languages and Literatures

Anna A. Grotans**

*Interim

**Acting

PERSONNEL ACTIONS (contd)

Leave of Absence Without Salary

MARY M. O'SULLIVAN, Associate Dean and Professor, College of Education, effective February 1, 2005, through December 31, 2005, to accept a position as Professor of Physical Education and Youth Sport at the University of Limerick in Ireland.

Professional Improvement Leaves

HENRI MOSCOVICI, Professor, Department of Mathematics, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

MO YEE LEE, Associate Professor, College of Social Work, effective Winter Quarter 2005.

BRUCE A. WEINBERG, Associate Professor, Department of Economics, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

Emeritus Titles

GRADY W. CHISM III, Department of Food Science and Technology, with the title Professor Emeritus, effective August 1, 2004.

EILEEN C. DAVIS, School of Music, with the title Professor Emeritus, effective July 1, 2004.

MICHAEL D. DAVIS, School of Music, with the title Professor Emeritus, effective July 1, 2004.

DONALD L. FRY, Department of Internal Medicine, with the title Professor Emeritus, effective July 1, 2004.

GLEN F. HOFFSIS, Department of Veterinary Clinical Sciences, with the title Professor Emeritus, effective June 1, 2004.

KATHEL A. KERR, Department of History, with the title Professor Emeritus, effective July 1, 2004.

STEPHEN F. LOEBS, School of Public Health, with the title Professor Emeritus, effective July 1, 2004.

SVEN LUNDSTEDT, School of Public Policy and Management, with the title Professor Emeritus, effective October 1, 2004.

ANNE M. MORGANSTERN, Department of History of Art, with the title Professor Emeritus, effective July 1, 2004.

MORGAN E. SHIPMAN, Moritz College of Law, with the title Professor Emeritus, effective August 1, 2004.

KATHLEEN A. STONE, College of Nursing, with the title Professor Emeritus, effective July 1, 2004.

DOUGLAS J. WHALEY, Moritz College of Law, with the title Professor Emeritus, effective August 1, 2004.

RONALD J. BORTON, Agricultural Technical Institute (Arts, Science and Business), with the title Associate Professor Emeritus, effective July 1, 2004.

WESLEY E. BUDKE, Department of Human and Community Resource Development, with the title Associate Professor Emeritus, effective July 1, 2004.

PERSONNEL ACTIONS (contd)

Emeritus Titles (contd)

MARY C. MITCHELL, Department of Human Nutrition, with the title Associate Professor Emeritus, effective July 1, 2004.

JOHN G. ODOM, College of Dentistry (Primary Care), with the title Associate Professor Emeritus, effective July 1, 2004.

MARGARET M. SANIK, Department of Consumer and Textile Sciences, with the title Associate Professor Emeritus, effective July 1, 2004.

EARL J. BEILER, Ohio State University Extension, with the title Assistant Professor Emeritus, effective June 1, 2004.

FRANCIS T. HAGMAN, College of Dentistry (Pediatric Dentistry), with the title Assistant Professor Emeritus, effective June 1, 2004.

JOSEPH R. O'NEIL, College of Dentistry (Periodontology), with the title Assistant Professor Clinical Emeritus, effective June 1, 2004.

RESOLUTIONS IN MEMORIAM

Resolution No. 2004-162

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

Bertram L. Wolstein

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 17, 2004, of Bertram "Bart" L. Wolstein.

Along with his wife, Iris S. Wolstein, Mr. Wolstein committed his life to philanthropy and improving the well-being of Ohioans. Natives of Cleveland, the couple have long expressed great affection for The Ohio State University and pride in supporting its pursuit of excellence.

The couple has many achievements: their marriage of more than 50 years following his tour in the U.S. Navy during World War II; his enrollment in night school to earn a law degree from Cleveland-Marshall Law School, now part of Cleveland State University; and their tireless work, side by side, to create a real estate development enterprise that stretches throughout the country. Perhaps more amazing, they accomplished all of this while raising a family — son, Scott A. Wolstein, and daughter, Cheryl Wolstein Faigus.

Beginning in 1965, Mr. Wolstein worked for 30 years to build Developers Diversified Realty Corporation into one of the nation's largest and most successful publicly traded retail real estate investment trusts. To date, the company owns and operates more than 400 retail properties in 44 states. Mr. Wolstein stepped down as chief executive officer in 1997, taking on the role of chairman emeritus and turning over the corporate reins to his son, Scott.

In the same year, Mr. Wolstein established Heritage Development Co., where he served as chairman and chief executive officer and continued his pursuit of new projects. Within the past year alone, the company began working on a Cleveland-area 500,000-square-foot shopping center, two large residential developments, and a mixed-use project. He was seeking a govern-

RESOLUTIONS IN MEMORIAM (contd)

Bertram L. Wolstein (contd)

ment partner in a \$100 million soccer-specific stadium for a Major Soccer League franchise in northeast Ohio, as well as personally courting business for his Bertram Inn and Conference Center. He also served as general partner of The Wolstein Group, a real estate development company.

A dedicated entrepreneur for as long as he has been an avid Ohio State football fan, Mr. Wolstein began in his profession by selling hot dogs and soft drinks in Cleveland Municipal Stadium at the age of 13. He also worked at his family's fruit stand, where he faithfully listened to Buckeye football on the radio and became a life-long fan and supporter.

The Wolsteins have credited their good fortune to dedication, love, and hard work, believing in supporting the communities in which they have thrived. They considered their accomplishments to be meaningless unless they returned to the community a share of their success.

The couple have taken pride in giving to Ohio State. They have made generous contributions to the Department of Athletics in support of the Ohio Stadium renovation, the Athletic Advancement Fund, and the Pro-Am Golf Classic.

On behalf of the University community, the Board of Trustees expresses to the family of Bart Wolstein its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Clair W. Young

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 7, 2004, of Clair W. Young, Professor Emeritus in the Ohio State University Extension.

Professor Young was born August 30, 1924, in Springfield, Ohio. He completed his Bachelor of Science degree in 1949 and a Master of Science degree in 1961, both in agricultural education from The Ohio State University.

Clair began his Extension career in Ohio in 1952 as the associate county agent in Greene County. In 1955 he became an assistant state 4-H club leader and in 1974, he was named leader in 4-H and youth, farm and home safety. In 1983 he became an Extension specialist, community resource development and held this position until his retirement in 1985.

Professor Young's contributions in providing Extension educational programs during his career proved that he was a truly dedicated teacher. One of his many excellent programs was the development of a child fire safety program used in conjunction with the Columbus Fire Department. He was a member of the National Institute of Farm Safety and served as president. He worked with other states in the development and conduct of farm rescue technique workshops. He received the Extension Educational Aids Blue Ribbon Award for the farm rescue program and bulletin.

He had several foreign assignments during his distinguished career and was a tremendous leader in developing numerous educational programs for farmers and citizens in Brazil, Uganda, Kenya, the Caribbean, and Central America.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Clair W. Young its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2004-163

Synopsis: The report on research and other sponsored program contracts and grants and the summary for April 2004 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of April 2004 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2004-164

Synopsis: The report on the receipt of gifts and the summary for April 2004 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The George R. and Genevieve B. Gist Endowed Chair in Ohio State University Extension and the revision of The Karl P. Klassen Chair of Thoracic Surgery; and

WHEREAS this report includes the establishment of eleven (11) new named endowed funds and the amendment of one (1) named endowed fund:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of April 2004 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July through April
2003-04 Compared to 2002-03

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through April		
	<u>2003-04</u>	<u>2002-03</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$25,661,283	\$30,458,858	(16)
Alumni (From Bequests)	<u>12,870,244</u>	<u>3,535,138</u>	264
Alumni Total	\$38,531,527	\$33,993,996	13
Non-Alumni (Current Giving)	\$20,537,167	\$16,062,705	28
Non-Alumni (From Bequests)	<u>9,789,150</u>	<u>4,098,766</u>	139
Non-Alumni Total	\$30,326,317	\$20,161,471	50
Individual Total	\$68,857,844	\$54,155,467	27 ^A
Corporations/Corp/Foundations	\$29,922,732	\$33,770,385	(11) ^B
Private Foundations	\$16,538,956	\$16,185,957	2
Associations & Other Organizations	<u>\$4,808,350</u>	<u>\$6,269,779</u>	(23) ^C
Total	\$120,127,882	\$110,381,588	9

NOTES

- A Individual giving is up 27% largely due to the fact that gifts from non-alumni are up 50% over last year (more than \$10 million more than one year ago).
- B Giving from corporations at the \$10,000 or more level is down 13% for July 2003 – April 2004 (\$23.6 million from 533 gifts this year; \$27.1 million from 565 gifts last year).
- C Giving from associations and other organizations at the \$10,000 or more level is down 30% for July 2003 - April 2004 (\$3.3 million from 92 gifts this year; \$4.7 million from 84 gifts last year).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July through April
2003-04 Compared to 2002-03

GIFT RECEIPTS BY PURPOSE

	Dollars July through April		
	<u>2003-04</u>	<u>2002-03</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$37,580,996	\$21,350,913	76
Faculty Support	\$8,504,626	\$9,953,570	(15)
Program Support	\$51,509,107	\$58,301,861	(12)
Student Financial Aid	\$13,992,449	\$14,036,204	--
Annual Funds-Colleges/Departments	\$5,190,403	\$5,797,353	(10)
Annual Funds-University	<u>\$3,350,301</u>	<u>\$941,687</u>	256
Total	\$120,127,882	\$110,381,588	9

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through April

<u>2003-04</u>	<u>2002-03</u>	<u>% Change</u>
\$36,801,963	\$32,796,260	12

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Change in Description of Named Endowed Chair</u>			
The Karl P. Klassen Chair of Thoracic Surgery			

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Chair</u>			
The George R. and Genevieve B. Gist Endowed Chair in Ohio State University Extension (Used to support a distinguished Extension professor in the Departments of Horticulture and Crop Science and Human and Community Resource Development; provided by gifts from the estates of George R. and Genevieve B. Gist)		\$1,602,987.00	\$1,602,987.00
<u>Establishment of Named Endowed Funds</u>			
The Arthur and Marian Rudd Scholarship Fund (Used to provide scholarships for undergraduate students of The Ohio State University at Lima; provided by a gift from the estate of Marian Rudd)		\$1,724,185.00	\$1,724,185.00
The Catharine Postlewaite Frear Family Athletic Scholarship Fund (Used to support the golf and tennis teams; provided by gifts from Catharine Postlewaite Frear)	\$100,000.00		\$100,000.00
The Dan D. and Bonnie A. Sandman Fund (Used to support the operating needs of the Mortiz College of Law's Center for Law, Policy, and Social Sciences; provided by a gift from Dan D. and Bonnie A. Sandman)		\$100,000.00	\$100,000.00
The 4-H Camp Whitewood Endowment Fund (Used to support Northeast Ohio 4-H Camps, Inc.; provided by gifts from friends and supporters of Northeast Ohio 4-H Camps, Inc.)		\$98,900.00	\$98,900.00
The Bronson Roy and Mary Faye Magee Scholarship Fund (Used to provide scholarships for second-year architecture students from either Cleveland or Zanesville, Ohio; provided by a gift from the estate of Betty Magee Baird)		\$75,000.00	\$75,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Timothy P. (D.V.M.) and Debra J. Trayer, Marysville Teaching Practice Fund (Used to support educational activities at the Marysville Teaching Practice; provided by a gift from Dr. Timothy P. and Debra J. Trayer)		\$50,600.00	\$50,600.00
The Fred John Guella Fund for Support of Doctoral Students in Social Work (Used to help finance doctoral students' professional development; provided by gifts from Professor Emeritus Beverly Guella Toomey, friends, and family)		\$33,204.00	\$33,204.00
The Bert and Beverly Taylor Memorial Fund (Used to support the music library of the WOSU Stations; provided by a gift from the estate of Bert Taylor)		\$27,460.00	\$27,460.00
Sandra M. and Michael J. Hermanoff Endowment Fund (Used to support the development of an internship and career services initiative in the School of Journalism and Communication; provided by a gift from Sandra M. and Michael J. Hermanoff)		\$25,000.00	\$25,000.00
The Shawn and Christian Moran Athletic Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of an undergraduate student-athlete who is a member of the varsity women's track team; provided by a gift from Michael F. and Michele R. Moran and their sons)		\$25,000.00	\$25,000.00
The Maxine L. Paxton Endowed Scholarship Fund in Advance Practice Nursing (Used to support scholarships for graduate students in the College of Nursing; provided by gifts from Maxine L. Paxton's family in her memory)		\$25,000.00	\$25,000.00
<u>Change in Name of Named Endowed Fund</u>			
From: The John Bruce Family Swimming Scholarship Fund			
To: Coach John F. Bruce Swimming Scholarship Fund			
Total	\$100,000.00	\$3,787,336.00	\$3,887,336.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Change in Description of Named Endowed Chair

The Karl P. Klassen Chair of Thoracic Surgery

The Karl P. Klassen Chair of Thoracic Surgery was established November 7, 1975, by the Board of Trustees of The Ohio State University with a bequest to The Ohio State University College of Medicine and Public Health by the late Frederick E. Jones, devoted University Trustee from 1963 to 1970. The description was revised June 4, 2004.

The annual distribution from this fund shall be used to provide salary and program support for the work of a distinguished thoracic surgeon and/or researcher. Appointment to the Klassen Chair will be recommended by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health to the executive vice president and provost and approved by the Board of Trustees.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the appropriate administrative official of the University who is then directly responsible for thoracic surgery. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Chair

The George R. and Genevieve B. Gist Endowed Chair
in Ohio State University Extension

The George R. and Genevieve B. Gist Endowed Chair in Ohio State University Extension was established June 4, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, through the estates of George R. Gist, director emeritus of Ohio State University Extension, and his wife, Genevieve B. Gist.

The annual distribution from this fund shall be used to support a distinguished Extension professor in the Departments of Horticulture and Crop Science and Human and Community Resource Development, with the appointment rotating between the two departments at least every 10 years, beginning with an appointment in the Department of Horticulture and Crop Science. The Extension chair in the Department of Horticulture and Crop Science shall be in that area of crop science which was formerly in the Department of Agronomy. The chair in the Department of Human and Community Resource Development shall be in Extension education with a focus on administration and leadership.

Selection of the distinguished professor shall be made by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences; the director of Ohio State University Extension or their designee; the Extension administrative cabinet in consultation with the department chairs in the respective departments; and the executive vice president and provost. The work and progress of the chair holder will be reviewed every five years.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Chair (contd)

The George R. and Genevieve B. Gist Endowed Chair
in Ohio State University Extension (contd)

When the chair holder leaves the position for any reason, the endowed chair will move to the other department designated in the Gist's will for the next period. The decision shall be approved by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences.

Any unused annual distributions from the fund shall be returned to principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and the Foundation Board as recommended by the director of Ohio State University Extension and the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, and the income from the fund shall be used to provide scholarships to deserving students enrolled in the College of Food, Agricultural, and Environmental Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$1,602,987.00

Establishment of Named Endowed Funds

The Arthur and Marian Rudd Scholarship Fund

The Arthur and Marian Rudd Scholarship Fund was established June 4, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Marian Rudd, of Wapakoneta, Ohio.

The annual distribution from this fund shall be used to provide scholarships for deserving undergraduate students of The Ohio State University at Lima who demonstrate financial need, with a preference to students from Allen and Auglaize Counties. The number of scholarships awarded is not restricted; however, it is the desire of the donor that each scholarship awarded make a significant financial difference for the student.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and the Foundation Board as recommended by the coordinator of Student Financial Aid at the Lima Campus. Any

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Arthur and Marian Rudd Scholarship Fund (contd)

such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$1,724,185.00

The Catharine Postlewaite Frear Family Athletic Scholarship Fund

The Catharine Postlewaite Frear Family Athletic Scholarship Fund was established June 4, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Catharine Postlewaite Frear (B.A., 1937).

The annual distribution from this fund shall be used to support the golf and tennis teams. Fifty percent (50%) of the distribution shall support the women's golf team, twenty-five percent (25%) shall support the women's tennis team, and twenty-five percent (25%) shall support the men's tennis team.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and the Foundation Board as recommended by the director of the Department of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$100,000.00

The Dan D. and Bonnie A. Sandman Fund

The Dan D. and Bonnie A. Sandman Fund was established June 4, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Dan D. Sandman (B.A. *Cum Laude and with Distinction in Social Science*, 1970; J.D., 1973) and Bonnie Sandman (B.A., 1971; M.A., 1972) of Pittsburgh, Pennsylvania.

The annual distribution from this fund shall be used to support the operating needs of The Michael E. Moritz College of Law's Center for Law, Policy, and Social Science as determined by the dean of the Moritz College of Law.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and the Foundation

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Dan D. and Bonnie A. Sandman Fund (contd)

Board as recommended by the dean of the Moritz College of Law. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$100,000.00

The 4-H Camp Whitewood Endowment Fund

The 4-H Camp Whitewood Endowment Fund was established June 4, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends and supporters of Northeast Ohio 4-H Camps, Inc.

The annual distribution from this fund shall be used for the support of Northeast Ohio 4-H Camps, Inc. This support may include, but is not limited to, improving camp facilities, purchasing materials or services that will benefit the camp and/or its programs, or increasing the visibility and support for Northeast Ohio 4-H Camps, Inc. All expenditures from this fund shall be approved by the Board of Northeast Ohio 4-H Camps, Inc.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and the Foundation Board as recommended by the director for Ohio State University Extension and a committee representing the Northeast Ohio 4-H Camps, Inc. donors. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$98,900.00

The Bronson Roy and Mary Faye Magee Scholarship Fund

The Bronson Roy and Mary Faye Magee Scholarship Fund was established June 4, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Betty Magee Baird.

The scholarship is in memory of Bronson Roy Magee and Mary Faye Magee, beloved parents of Betty Magee Baird and Barbara Magee Bayless. This scholarship will help students and preserve the memory of Bronson Roy Magee and Mary Faye Magee. Betty Magee Baird, fondly known as Bettina, was born in Shaker Heights, Ohio, in 1916. Her father, Bronson, was a prominent architectural engineer in the city of Cleveland, Ohio. He was a graduate of The Ohio State University College of Engineering. Bettina attended Dennison University before becoming a war bride and traveling around the world three separate times. Bettina was first of all an artist, and will always be remembered for her artistic flair which she applied to every aspect of her life. Bettina's sister, Barbara Magee Bayless, is also remembered in this bequest. Barbara attended

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Bronson Roy and Mary Faye Magee Scholarship Fund (contd)

business college in Cleveland and was a graduate of the University of California - Berkeley, majoring in philosophy.

The annual distribution from this fund shall be used to provide scholarships annually based on financial need for second-year architecture students from Cleveland, Ohio, and/or Zanesville, Ohio, who have been enrolled in and have attended the Austin E. Knowlton School of Architecture undergraduate or graduate programs for one year, and who have earned at least a "B" average overall in his or her studies for such year.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and the Foundation Board as recommended by the vice president for University Development and the director of Student Financial Aid. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$75,000.00

The Timothy P. (D.V.M.) and Debra J. Trayer, Marysville Teaching Practice Fund

The Timothy P. (D.V.M.) and Debra J. Trayer, Marysville Teaching Practice Fund was established June 4, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Dr. Timothy P. (D.V.M., 1979) and Debra J. Trayer of Denver, Pennsylvania.

The annual distribution from this fund shall be used to support educational activities at the Marysville Teaching Practice such as, but not limited to, student externships, elective quarter, and capstone learning experiences in food animal practice. Additional consideration will be given to support graduates of Wilmington College with demonstrated interests in food animal practice who are enrolled in The Ohio State University's College of Veterinary Medicine. Projects will be selected by the Marysville faculty and chairperson of the Department of Veterinary Preventive Medicine in consultation with the dean of the College of Veterinary Medicine.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and the Foundation Board as recommended by the dean of the College of Veterinary Medicine in consultation with the Trayer family. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$50,600.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Fred John Guella Fund for Support of Doctoral Students in Social Work

The Fred John Guella Fund for Support of Doctoral Students in Social Work was established June 4, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Professor Emeritus Beverly Guella Toomey (M.S.W., 1974; Ph.D., 1977) of Frankfort, Michigan, and family and friends, in memory of her father, Fred John Guella.

The annual distribution from this fund shall be used to continue her father's support of, and rewards for, initiative. Income from the fund will match the student's own contributions to help finance their doctoral professional development in social work, with preference given to students who present papers at professional conferences or prepare papers for publication.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and the Foundation Board as recommended by the director of the doctoral program and the dean of the College of Social Work, along with a committee of their choosing to make awards to students in good academic standing and on a competitive basis. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$33,204.00

The Bert and Beverly Taylor Memorial Fund

The Bert and Beverly Taylor Memorial Fund was established June 4, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Bert Taylor (B.S.Dairy Tech., 1953) of Worthington, Ohio, in memory of Beverly, a former member of the Friends of WOSU Board, with a gift from Bert's estate, and with gifts from family and friends.

The annual distribution from this fund shall be used to support the music library of the WOSU Stations as approved by the radio stations manager and the general manager of the WOSU Stations.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and the Foundation Board as recommended by the general manager of the WOSU Stations. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$27,460.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Sandra M. and Michael J. Hermanoff Endowment Fund

The Sandra M. and Michael J. Hermanoff Endowment Fund was established June 4, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Sandra M. (B.A., 1965) and Michael J. Hermanoff of Bingham Farms, Michigan.

The annual distribution from this fund shall be used to support the development of an internship and career services initiative in the School of Journalism and Communication, including all related salary, travel, and operating expenses.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and the Foundation Board as recommended by the director of the School of Journalism and Communication. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

The Shawn and Christian Moran Athletic Scholarship Fund

The Shawn and Christian Moran Athletic Scholarship Fund was established June 4, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael F. Moran (B.A., 1969), his wife Michele R. Moran, and their sons Shawn and Christian, all of Columbus, Ohio.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of the varsity women's track team. The recipient will be selected by the director of the Department of Athletics in consultation with the University Committee on Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and the Foundation Board as recommended by the director of the Department of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Maxine L. Paxton Endowed Scholarship Fund in Advance Practice Nursing

The Maxine L. Paxton Endowed Scholarship Fund in Advance Practice Nursing was established June 4, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Maxine L. Paxton's (Cert.Grad.Nurse, 1931) family in memory of her and her contributions to nursing and education.

The annual distribution from this fund shall be used to support scholarships for graduate students in the College of Nursing with preference given to students enrolled in the graduate entry program. All scholarships are to be awarded on both merit and financial need and can be used for recruiting purposes. The dean, the associate deans, and the assistant dean of the College of Nursing, and the faculty who teach the graduate entry students shall select the recipients.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and the Foundation Board as recommended by the dean, associate deans, and the assistant dean of the College of Nursing, and appropriate faculty who teach graduate level courses. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

Change in Name of Named Endowed Fund

Coach John F. Bruce Swimming Scholarship Fund

The John Bruce Family Swimming Scholarship Fund was established July 12, 2002, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with seed gifts from Dr. Timothy Duffey, Sean Sentz and Joseph Budde and other gifts from family, friends, and former athletes of the late swimming coach. The name was revised June 4, 2004.

The annual distribution from this fund shall be used to supplement the student grant-in-aid scholarship costs of a student-athlete who is a member of the men's swimming team pursuing an undergraduate degree at The Ohio State University. The recipient will be selected by the director of the Department of Athletics in consultation with the University Committee on Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and the Foundation

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Name of Named Endowed Fund (contd)

Coach John F. Bruce Swimming Scholarship Fund (contd)

Board as recommended by the director of the Department of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

**EMPLOYMENT OF ARCHITECT/ENGINEERS AND
CONSTRUCTION MANAGEMENT SERVICES**

Resolution No. 2004-165

OHIO UNION REPLACEMENT PROJECT

Synopsis: Approval to hire an architectural/engineering and construction management firm for the Ohio Union Replacement Project is proposed.

WHEREAS the Ohio Union serves as the organizing center for social and cultural life of the campus community and as a center for student recruitment, but can no longer meet this need due to a lack of sufficient space, the inability to support modern technology and the need for replacement of major building systems; and

WHEREAS the University desires to replace the existing Ohio Union with a new facility, better equipped and flexible enough to accommodate the ever-changing needs of students; and

WHEREAS to pursue this plan, the University desires to enter into contracts to provide programming, preliminary design planning, and architectural design services for further development of the Ohio Union project; and

WHEREAS the conceptual planning estimate for this project is \$100,000,000 in FY 2008 dollars; and

WHEREAS the University will finalize the business plan for this project prior to seeking approval to enter into construction contracts for the Ohio Union project; and

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to select qualified architectural/engineering/construction management firms as necessary for the Ohio Union replacement, provided that no authorization for construction contracts is to be submitted to the Board of Trustees until a comprehensive business plan is approved by the University.

(See Appendix LVI for background information and map, page 1347.)

CONSTRUCTION CONTRACTS

Resolution No. 2004-166

CLINICAL SPACE REORGANIZATION -- 9TH FLOOR DOAN EAST AND CENTER

Synopsis: Authorization to enter into construction contracts for the Clinical Space Reorganization -- 9th Floor Doan East and Center is requested.

WHEREAS the University desires to renovate patient rooms and nursing stations on the ninth floor of Doan East and Center as part of the clinical space reorganization plan for this building and the construction document project cost is \$778,000 with funding to be provided by the University Hospitals auxiliary funds:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into construction contracts, if satisfactory bids are received, for the Clinical Space Reorganization -- 9th Floor Doan East and Center project in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time.

(See Appendix LVII for background information and map, page 1351.)

EASEMENT

Resolution No. 2004-167

COLUMBUS SOUTHERN POWER COMPANY
ELECTRIC SERVICE RELOCATION
1275 KINNEAR ROAD
COLUMBUS, OHIO

Synopsis: Authorization to grant a 25-year easement to Columbus Southern Power Company to provide electrical service to University property leased to SciTech at 1275 Kinneare Road, is proposed.

WHEREAS Columbus Southern Power Company has requested a 25-year easement over University property located at 1275 Kinneare Road and leased to the Science and Technology Campus Corporation to construct, operate, and maintain electric utility facilities and associated equipment to accommodate construction at SciTech's facilities; and

WHEREAS the easement consists of a strip of property approximately ten feet wide and 828 feet long, comprising 0.19 acre, that runs adjacent to an easement previously granted to Columbus Southern Power Company, and Columbus Southern Power will release its interest in the previous easement; and

WHEREAS the appropriate University offices have determined that the granting of this easement is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to approve and the Ohio Department of Administrative Services be authorized to prepare appropriate documents and grant this easement to Columbus Southern Power Company, upon such terms and conditions as are in the best interest of the University.

(See Appendix LVIII for map, page 1353.)

ROAD ACCESS EASEMENT

Resolution No. 2004-168

FESTIVAL PARTNERS II, LLC
1285-1305 WEST LANE AVENUE
COLUMBUS, OHIO

Synopsis: Authorization to grant Festival Partners II, LLC, a 25-year easement across Arthur Adams Drive in Columbus, Ohio, is proposed.

WHEREAS Festival Partners II, LLC, is the lessee of University property located at 1285-1305 West Lane Avenue and has requested an access easement along and over Arthur Adams Drive (an area of approximately 0.216 acre) to provide access to the leased property; and

WHERE this easement would terminate in the event that Adams Drive is dedicated as a public street; and

WHEREAS the appropriate University offices have determined that the granting of this easement is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to approve and the Ohio Department of Administrative Services be authorized to prepare appropriate documents and grant this easement to Festival Partners II, LLC, upon such terms and conditions as are in the best interest of the University.

STORM SEWER EASEMENT

Resolution No. 2004-169

FESTIVAL PARTNERS II, LLC
1285-1305 WEST LANE AVENUE
COLUMBUS, OHIO

Synopsis: Authorization to grant Festival Partners II, LLC, a 25-year easement for storm sewer access in Columbus, Ohio, is proposed.

WHEREAS Festival Partners II, LLC, is the lessee of University property located at 1285-1305 West Lane Avenue and has requested an easement over an area of approximately 0.003 acre to access City of Columbus storm sewer lines to service the leased property; and

WHEREAS the appropriate University offices have determined that the granting of this easement is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to approve and the Ohio Department of Administrative Services be authorized to prepare appropriate documents and grant this easement to Festival Partners II, LLC, upon such terms and conditions as are in the best interest of the University.

(See Appendix LIX for map, page 1355.)

PAID PARENTAL LEAVE

Resolution No. 2004-170

Synopsis: Approval of the Paid Parental Leave program is proposed.

WHEREAS the Board of Trustees of The Ohio State University is vested with authority, pursuant to O.R.C. Section 3335.09, to adopt bylaws, rules and regulations governing the operations of the University, including but not limited to, fixing of compensation for employees of The Ohio State University; and

WHEREAS the Office of Human Resources, under the direction of the Executive Vice President and Provost, has promulgated a policy to institute a paid parental leave program for the University, which will provide for a paid parental leave program for those regular faculty and staff who are employed 75% FTE or greater; and

WHEREAS the deans and vice presidents are strongly committed to funding this program, in order to increase our competitive compensation and work/life standing as an "employer of choice;" and

WHEREAS this policy has been approved by appropriate management committees of the University:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby adopts the Paid Parental Leave Program, as outlined in the attachment, and as will be described in the Office of Human Resources Policy 6.28, to be effective September 1, 2004.

(See Appendix LX for background information, page 1357.)

INTERIM BUDGET FOR FY 2004-2005

Resolution No. 2004-171

Synopsis: Authorization to make expenditures in FY 2004-2005 is proposed.

WHEREAS having approved student fee increases for FY 2004-2005, the University has not yet finalized its operating budget for FY 2004-2005; and

WHEREAS it is necessary to continue University expenditures, including payment of faculty and staff, prior to the time that the Current Funds Budget for 2004-2005 is developed and adopted:

NOW THEREFORE

BE IT RESOLVED, That the University be authorized to make expenditures consistent with the level of resources approved for FY 2003-2004, pending the adoption of the Current Funds Budget for FY 2004-2005 at the July Board of Trustees meeting.

FY 2004-2005 COLUMBUS CAMPUS UNDERGRADUATE TUITION

Resolution No. 2004-172

Synopsis: Undergraduate instructional and general fees at the Columbus Campus for Fiscal Year 2004-05 are proposed, effective Autumn Quarter 2004.

WHEREAS consultations have taken place within the University to determine the appropriate instructional and general fee increases for undergraduates; and

WHEREAS undergraduate and instructional fees at the Columbus Campus are subject to a 12.9% fee cap and no more than a 9.0% increase can be approved in a single vote of the Board of Trustees; and

WHEREAS the Board of Trustees approved a 9.0% increase in undergraduate instructional and general fees at the May 7 meeting, with the intention of a subsequent vote to increase tuition to the full 12.9%; and

WHEREAS increases in undergraduate instructional and general fees above 9.0% are to be earmarked for student financial aid and student technology; and

WHEREAS certain undergraduate curriculums are proposing additional fees that fall outside the fee caps:

NOW THEREFORE

BE IT RESOLVED, That instructional and general fees for all tiers of undergraduates enrolled at the Columbus Campus be increased an additional 3.9% (total increase of 12.9%) in accordance with the attached schedule; and

BE IT FURTHER RESOLVED, That the additional 3.9% increase will be earmarked for undergraduate student financial aid and student technology; and

BE IT FURTHER RESOLVED, That additional undergraduate program/technology fees be approved for declared majors in the School of Music (increase from \$50 to \$100), the Fisher College of Business (increase from \$97 to \$162), the College of Nursing (increase from \$50 to \$100) and students in excess of 90 credit hours in the College of Biological Sciences (new fee of \$50); and

BE IT FURTHER RESOLVED, That all of these increases shall be effective Autumn Quarter 2004.

FY 2004-2005 ATI AND REGIONAL CAMPUS TUITION

Resolution No. 2004-173

Synopsis: Instructional and general fees for all students enrolled at ATI and the Regional Campuses for Fiscal Year 2004-05 are proposed, effective Autumn Quarter 2004.

WHEREAS undergraduate instructional and general fees are subject to a 9.9% fee cap before adjustments for the Access Challenge offset and no more than a 6.0% increase can be approved in a single vote of the Board of Trustees; and

WHEREAS consultations have taken place among ATI and the Regional Campuses to determine the appropriate instructional and general fee increases for all students:

FY 2004-2005 ATI AND REGIONAL CAMPUS TUITION (contd)

NOW THEREFORE

BE IT RESOLVED, That instructional and general fees for both upper and lower division undergraduates enrolled at the Agricultural Technical Institute and the Regional Campuses be increased 6.0%, before adjustments for Access Challenge offsets, with the intention of a further 3.9% increase in a second vote at the July 9 meeting; and

BE IT FURTHER RESOLVED, That instructional and general fees for graduate students at ATI and the Regional Campuses be increased 12.9%; and

BE IT FURTHER RESOLVED, That the nonresident surcharge for all ATI and Regional Campus students be increased by 6.0%; and

BE IT FURTHER RESOLVED, That all of these increases shall be effective Autumn Quarter 2004.

(See Appendix LXI for background information, page 1359.)

Upon motion of Ms. Hendricks, seconded by Mr. Borrer, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Slane, McFerson, Borrer, O'Dell, Hicks, and Meses. Longaberger, Hendricks, and Davidson.

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Dr. David O. Frantz:

Madame Chairperson, the appointment and reappointment of Investment Managers and an Easement to Columbia Gas of Ohio, Inc., are recommended to the Board for their approval:

APPOINTMENT AND REAPPOINTMENT OF INVESTMENT MANAGERS

Resolution No. 2004-174

Synopsis: Approval of the appointment and reappointment of investment managers is proposed.

WHEREAS it is the policy of The Ohio State University to utilize the service of external Investment Managers to assist in the management of the University's Endowment Fund; and

WHEREAS the Investments Committee of the Board of Trustees periodically reviews the results obtained by the external Investment Managers and the amount of funds assigned to each of them; and

WHEREAS it is prudent investment policy to adjust the mix and amounts of money assigned to external Investment Managers as economic conditions and performance change; and

WHEREAS the number of external Investment Managers and the amount of funds assigned to them shall be determined by the Board of Trustees:

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of the Investments Committee of the Board of Trustees the following named external Investment Managers and the Office of the Treasurer shall be approved to manage Endowment Funds as follows:

APPOINTMENT AND REAPPOINTMENT OF INVESTMENT MANAGERS (contd)

	<u>Market Value</u> <u>As of 4/30/04</u>	<u>Changes</u>	<u>Revised</u> <u>Allocation</u>
<u>Large Cap Equity Managers</u>			
ABN AMRO	\$37,929,505		\$37,929,505
Fifth Third Bank Investment Advisors	\$31,478,673		\$31,478,673
Huntington Trust	\$30,089,630		\$30,089,630
Nicholas Applegate Mid Cap	\$80,738,906		\$80,738,906
State Street S&P 500	\$309,435,414		\$309,435,414
University Students	\$17,728,251		\$17,728,251
<u>Small Cap Equity Managers</u>			
Meeder Financial	\$118,384,918		\$118,384,918
Nicholas Applegate Small Cap	\$35,111,049		\$35,111,049
State Street Extended	\$271,958,611		\$271,958,611
State Street Value	\$28,426,321		\$28,426,321
<u>International Equity Managers</u>			
Invesco Global Asset	\$22,237,664		\$22,237,664
Sit International	\$13,406,063		\$13,406,063
State Street International	\$46,460,497	\$46,460,497	
<u>Fixed Income Managers</u>			
Banc One	\$16,431,788		\$16,431,788
Commonfund High Yield Bonds	\$15,882,841		\$15,882,841
Cypress Asset Management	\$24,932,670		\$24,932,670
Hughes Capital Management	\$15,678,518		\$15,678,518
Huntington Trust	\$32,420,987		\$32,420,987
MDL Capital Management	\$77,678,639		\$77,678,639
State Street Government/ Credit	\$106,442,504		\$106,442,504
	<u>Commitment</u> <u>As of 4/30/04</u>	<u>Changes</u>	<u>Revised</u> <u>Allocation</u>
<u>Alternative Managers</u>			
CID Seed Fund	\$1,000,000		\$1,000,000
Commonfund Hedged Investors	\$15,000,000		\$15,000,000
Commonfund New Leaders	\$10,000,000		\$10,000,000
Commonfund Capital Partners	\$7,067,000		\$7,067,000
EDF Ventures Seed Fund	\$1,000,000		\$1,000,000
Mesirow Partnership Fund I	\$10,000,000		\$10,000,000
Oval Ventures	\$0	\$1,500,000 Note1	\$1,500,000
Ramius Fund	\$15,000,000		\$15,000,000
Reservoir Venture Partners	\$2,625,000		\$2,625,000

Note 1 -- Up to a maximum of 10% of the total fund.

EASEMENT

Resolution No. 2004-175

COLUMBIA GAS OF OHIO, INC.
1285-1305 WEST LANE AVENUE
COLUMBUS, OHIO

Synopsis: Authorization to grant Columbia Gas of Ohio, Inc., a 25-year easement to provide natural gas utilities and extend a gas pipeline to the University property at 1285-1305 West Lane Avenue leased to Festival Partners II, LLC, is proposed.

WHEREAS Columbia Gas of Ohio, Inc., has requested a 25-year easement, over an area approximately 25 feet wide and 325 feet long, to provide natural gas utilities and extend a gas pipeline to the University property at 1285-1305 West Lane Avenue, Columbus, Ohio, leased to Festival Partners II, LLC; and

WHEREAS the appropriate University offices have determined that the granting of this easement is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to approve and the Ohio Department of Administrative Services be authorized to prepare appropriate documents and grant this easement to Columbia Gas of Ohio, Inc., upon such terms and conditions as are in the best interest of the University.

Upon motion of Mr. McFerson, seconded by Mrs. Davidson, the Board of Trustees adopted the foregoing resolutions with six affirmative votes, cast by Messrs. Slane, McFerson, Hicks, and Mses. Longaberger, Hendricks, and Davidson, and two abstentions cast by Messrs. Borrer and O'Dell.

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Ms. Longaberger:

The motion carried and students can celebrate the Ohio Union. There have been folks who worked on that project and a couple of folks I want to acknowledge. Certainly our outgoing graduate student leader, Jamie Depelteau, this is his last meeting and our incoming graduate student leader Barbara Pletz, welcome to you. We look forward to working with you on this project.

Also, I think this is the last meeting for our University Staff Advisory Committee representative, Doug Lance. Thank you for your work as well. We have appreciated it and look forward to working with Connie Goodman, your replacement.

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Thereupon the Board adjourned to meet Friday, July 9, 2004, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

David O. Frantz
Secretary

Tami Longaberger
Chairperson

IMPACT STATEMENT
THE OHIO STATE UNIVERSITY HOSPITALS
MEDICAL STAFF BYLAWS AND
RULES AND REGULATIONS

AMENDMENTS FROM MEDICAL STAFF
ADMINISTRATIVE COMMITTEE
April 22, 2004

I. MEDICAL STAFF BYLAWS

- A. Made differentiation between emergency and disaster privileges clear by dividing emergency privileges section into two subsections.
- B. Address possible identity fraud by requiring government photo identification during application process.
- C. Added provision allowing for expedited privileges to be granted, after MSAC approval, by medical director and chief of staff in the event the Hospital Board is not scheduled to meet to consider completed application in a timely manner.
- D. Clarified the need for the Medical Staff Bylaws and the University Hospitals Board Bylaws to be consistent.
- E. Revised division names and created one new department.
- F. Updated various committee names.

II. MEDICAL STAFF RULES AND REGULATIONS

- A. Revised the following to meet JCAHO standards
 - History and physical requirements
 - Discharges summary requirements
- B. Chart contents updated to reflect current practice
- C. Clarified Hospitals ownership of medical records
- D. Updated various committee names

Amended Medical Staff Bylaws

3335-43-04 Membership.

(A) Qualifications.

(1) through (5) unchanged.

(6) Any medical staff member whose membership has been terminated pursuant to paragraph (A)(4) or (A)(5) of this rule shall not be entitled to request a hearing and appeal in accordance with rule 3335-43-06 of the Administrative Code. Any licensed health care professional whose clinical privileges have been terminated pursuant to paragraph (A)(4) of this rule may not request an appeal in accordance with paragraph (F)(6)(j) of rule 3335-43-07 of the Administrative Code.

(B) Application for membership.

Initial application for medical staff membership for all categories of the medical staff shall be made by the applicant to the chief of the clinical department on forms prescribed by the medical staff administrative committee stating the qualifications and references of the applicant and giving an account of the applicant's current licensure, relevant professional training and experience, current competence and ability to perform the clinical privileges requested. All applications for appointment must specify the clinical privileges requested. Applications may be made only if the applicant meets the qualifications outlined in paragraph (A) of this rule. The application shall include written statements of the applicant to abide by the bylaws, rules and regulations of the medical staff, the university hospitals board, and the board of trustees of the Ohio state university. The applicant shall produce a government-issued photo identification to verify his/her identity pursuant to hospital/medical staff policy. The application shall also contain a statement acknowledging that membership on the medical staff requires participation in the peer review process of evaluating credentials, medical staff membership and clinical privileges, and that a condition for membership requires mutual covenants between all members of the medical staff to release one another from civil liability in this review process as long as the peer review is not conducted in bad faith, with malice, or without reasonable effort to ascertain the accuracy of information being disclosed or relied upon. A separate record shall be maintained for each applicant requesting appointment to the medical staff.

(C) through (F) unchanged.

(G) Resumption of clinical activities following leave of absence.

(1) A member of the medical staff who takes a leave of absence for medical reasons has the duty to disclose to his or her chief of clinical department and the medical director any medical leave of absence that may potentially impact his or her ability to discharge his or her clinical and teaching responsibilities. Upon return from a leave of absence for medical reasons the medical staff member must demonstrate his or her ability to exercise his or her clinical privileges upon return to clinical activity. The credentials committee, the medical director, the chief of the clinical service or the medical staff administrative committee shall have the authority to require any documentation, including advice and consultation from the committee for physician licensed independent practitioner health that might have a bearing on the medical staff member's ability to carry out the clinical and educational responsibilities for which the medical staff member is seeking privileges.

(2) Unchanged.

Amended Medical Staff Bylaws (contd)

3335-43-07 Categories of the medical staff.

The medical staff of the Ohio state university hospitals shall be divided into four categories: honorary medical staff; attending medical staff; courtesy medical staff; and limited staff.

(A) through (D) unchanged.

(E) Clinical privileges.

(1) and (2) unchanged.

(3) Expedited privileges.

If the university hospitals board is not scheduled to convene in a timeframe that permits the timely consideration of the recommendation of a complete application by the medical staff administrative committee, applicants may be granted expedited privileges by a subcommittee of the board consisting of the medical director and the chief of staff or chief of staff-elect pursuant to hospital/medical staff policy and the university hospitals board resolution.

~~(3)~~

(4) Podiatric privileges:

(a) unchanged.

(b) The podiatrist shall be responsible to the chief of the department of ~~orthopedic surgery~~ orthopaedics.

~~(4)~~

(5) Psychology privileges.

~~(5)~~

(6) Dental privileges.

~~(6)~~

(7) Oral and maxillofacial surgical privileges.

~~(7)~~

(8) Other licensed health care professionals.

~~(8)~~

(9) Emergency privileges.

~~(a)~~ In case of an emergency, any member of the medical staff to the degree permitted by the member's license and regardless of department or medical staff status shall be permitted to do everything possible to save the life of a patient using every facility of the Ohio state university hospitals necessary, including the calling for any consultation necessary or desirable. After the emergency situation resolves, the patient shall be assigned to an appropriate member of the medical staff. For the purposes of this paragraph, an "emergency" is defined as a condition which would result in serious permanent harm to a patient or in which the life of a patient is in immediate danger and any delay in administering treatment would add to that danger.

Amended Medical Staff Bylaws (contd)

(10) Disaster privileges.

- (b) ~~Emergency~~ Disaster privileges may be granted by the medical director or his or her designee to fully licensed, qualified individuals who at the time of the ~~emergency disaster~~ are not members of the medical staff in order to provide voluntary services during a local, state, or national ~~emergency disaster~~ in accordance with hospital/medical staff policy. The medical director may seek the recommendation of the chief of the clinical department. These privileges will be limited in scope and will terminate once the ~~emergency disaster~~ situation subsides or at the discretion of the medical director.

~~(9)~~

(11) Telemedicine.

Unchanged.

3335-43-08 Organization of the medical staff.

(A) Unchanged.

(B) Names of clinical departments and divisions.

(1) through (3) unchanged.

(4) Internal medicine. The following divisions are designated:

Allergy
~~Cardiology~~ Cardiovascular medicine
Community internal medicine
Dermatology
Endocrinology and metabolism
Digestive diseases
General medicine
Hematology and oncology
Human genetics
Infectious diseases
Nephrology
Pulmonary, critical care and sleep medicine
Rheumatology - immunology

(5) Neurological surgery

~~(5)~~

(6) Unchanged

~~(6)~~

(7) Unchanged

~~(7)~~

(8) Unchanged

~~(8)~~

(9) ~~Orthopedic surgery~~ Orthopaedics

~~(9)~~

(10) Unchanged

Amended Medical Staff Bylaws (contd)

~~(10)~~
~~(11)~~ Unchanged

~~(11)~~
~~(12)~~ Unchanged

~~(12)~~
~~(13)~~ Unchanged

~~(13)~~
~~(14)~~ Unchanged

~~(14)~~
~~(15)~~ Unchanged

~~(15)~~
~~(16)~~ Surgery. The following divisions are designated:

General surgery
~~Neurologic surgery~~
Pediatric surgery
Plastic surgery
Surgical oncology
Thoracic and cardiovascular surgery
Transplant
Urologic surgery
Vascular

~~(16)~~
~~(17)~~ Unchanged

(C) and (D) unchanged.

3335-43-10 Administration of the medical staff of the Ohio state university hospitals.

(A) through (D) unchanged.

(E) Committee for ~~physician~~ licensed independent practitioner health.

(1) Composition:

The committee shall consist of ~~seven~~ medical staff members appointed in accordance with paragraph (A)(6) of rule 3335-43-09 of the Administrative Code.

(2) Duties:

(a) To consider issues of ~~physician~~ licensed independent practitioner health or impairment whenever a self referral or referral is requested by an affected member or another member or committee of the medical staff, the Ohio state university hospitals staff, or any other individual.

(b) To educate the medical staff and the Ohio state university hospitals staff about illness and impairment recognition issues, including at-risk criteria, specific to ~~physicians~~ licensed independent practitioners. At a minimum there will be an educational presentation made at each medical staff meeting as required by paragraph (A) of rule 3335-43-11 of the Administrative Code.

Amended Medical Staff Bylaws (contd)

(c) To provide appropriate counsel, referral and monitoring until the rehabilitation or disciplinary process is complete and periodically thereafter, if required, to enable the medical staff member to obtain appropriate diagnosis and treatment, and to provide appropriate standards of care.

(d) and (e) unchanged.

(f) To assure, through the chairperson of the committee, that all proceedings and records, including the identity of the person referring the case, are handled and maintained in the strictest confidence in accordance with the laws of the state of Ohio.

(F) through (I) unchanged.

(J) Leadership council for clinical value enhancement.

The leadership council shall consist of members appointed in accordance with paragraph (A)(6) of rule 3335-43-09 of the Administrative Code, and shall include the senior vice president for health sciences and dean of the college of medicine and public health and the chairperson of the professional affairs education and research committee of the university hospitals board as ex-officio members without a vote. The medical director shall be the chairperson of the leadership council.

(1) Clinical quality management policy group.

(a) unchanged.

(b) Duties:

(i) To coordinate the quality management related activities of the clinical departments, medical records, utilization review, infection control, pharmacy and therapeutics and drug utilization committee, transfusion and ~~immunization~~ isoimmunization, and other medical staff and the Ohio state university hospitals committees.

Balance unchanged.

3335-43-12 Amendments.

The bylaws committee shall review the bylaws and rules and regulations as need arises but not less than every two years. This committee shall recommend changes to the medical staff administrative committee.

These bylaws may be amended after notice is given at a meeting of the medical staff administrative committee, and shall require two-thirds vote of the members of the medical staff administrative committee present for approval and submission to the medical staff for ratification. Ratification of an amendment shall be by a plurality of those medical staff members voting through written ballot. When an amendment is approved by the medical staff administrative committee and ratified by the medical staff it shall be forwarded in successive order to the Ohio state university hospitals board which shall accept or reject the requested amendment. The amendment shall become effective when accepted by the board in the form ratified by the medical staff. Significant changes to these bylaws shall be reported in writing to the medical staff and other individuals who have clinical privileges. Neither the medical staff, nor the Ohio state university hospitals board may unilaterally amend these medical staff bylaws. The medical staff bylaws, rules and regulations, and policies and the university hospitals board bylaws shall not conflict.

Medical Staff Rules and Regulations

84-05 Privileges for giving orders.

(A) through (D) unchanged.

(E) Standing orders.

Standing orders for emergency uses are approved only for the coronary care unit and the allergy clinic.

84-12 Medical information ~~management~~ committee.

(A) The medical information committee has representation from medical staff, nursing, medical information management, and hospital administration. The committee shall meet at least quarterly and carry out the following duties:

(1) through (4) unchanged.

(5) Submit recommendations to assure the maintenance of complete, accurate medical information for compliance with applicable policies and regulations of the clinical quality management committee, ~~health system clinical hospital information committee~~ systems steering team, governmental agencies, accrediting bodies, and purchasers of care.

(6) and (7) unchanged.

(8) Maintain written records of conclusions, recommendations, actions taken, and results of the actions taken, and make recommendations to the ~~health system clinical hospital information committee~~ systems steering team, clinical quality management committee or any other relevant committees that have a role in implementing policies adopted by the medical information ~~management~~ committee.

(B) Each member of the medical staff shall conform to the policies established by the medical information ~~management~~ committee, including the following:

(1) Chart contents

The attending medical staff member shall be responsible for the preparation of a complete medical record of each patient ~~admitted to the hospital~~. The medical record may contain information collected and maintained in electronic, paper or microfilm form. This record shall include the following:

(a) through (v) unchanged.

(w) Postoperative documentation records, including the patient's vital signs and level of consciousness; medications, including IV fluids, blood and blood components; any unusual events or postoperative complications; and management of such events.

(x) unchanged.

(y) An intra-operative anesthesia record.

(z) ~~(i)~~ A post-anesthesia follow-up report written within forty-eight hours after surgery ~~by the individual who administers the anesthesia~~.

~~(ii)~~ Signed and dated reports of nuclear medicine interpretations, consultations, and procedures.

Medical Staff Rules and Regulations (contd)

(2) History and physical examination.

- (a) A complete history and physical examination shall be documented on all inpatient and ambulatory surgery records. The history and physical shall include:
 - (i) through (xi) unchanged.
- (b) A history and physical appropriate to the procedure and the patient shall be documented in the medical record of all ~~ambulatory surgery patients, and~~ patients undergoing outpatient procedures.
- (c) Unchanged.
- (d) The history and physical examination for ambulatory patients and patients undergoing outpatient procedures shall include:
 - (i) Indications for procedure/visit
 - (ii) Relevant history
 - (iii) Medications or reference to current listing
 - (iv) Focused review of systems, as appropriate
 - (v) Pre-procedure assessment/examination
 - (vi) Impression and plan

(3) Deadlines and sanctions

- (a) ~~A history and physical examination may be completed prior to admission, surgery or the patient's initial visit and accepted as part of the medical record if completed within thirty days preceding the admission, surgery, or visit. If the history and physical is completed greater than seven days but within thirty days of admission, notation shall be made in the history and physical to indicate review and any updates is performed on all patients, both inpatient and outpatient, regardless of whether the medical treatment or procedure is high or low risk. The history and physical examination must be performed by a member of the medical staff or his/her designee, who is appropriately credentialed by the hospital, and be signed and dated.~~
- (b) ~~The complete history and physical examination shall be dictated, or written or updated no later than 24 hours after admission of the patient. If the history and physical examination is dictated, a~~ A summary of pertinent findings must be recorded in the patient's chart ~~within 24 hours after admission at the time of dictation. A history and physical is performed on all patients, both inpatient and outpatient, regardless of whether the medical treatment or procedure is high or low risk. The history and physical examination must be performed by a member of the medical staff or his/her designee and be signed and dated. In the event the history and physical examination is performed by a physician extender, it shall be countersigned by the responsible medical staff member.~~
- (c) ~~A staff admission progress note must be compiled by the responsible attending medical staff member or his/her designee no later than 72 hours after admission of the patient. The staff admission progress note must be signed by the responsible attending medical staff member. The history and physical examination may be performed prior to admission, surgery or the patient's initial visit and accepted as part~~

Medical Staff Rules and Regulations (contd)

of the medical record if completed within thirty days preceding the admission, surgery or visit. If the history and physical examination is completed greater than seven days but within thirty days of admission or outpatient visit, notation shall be made in the history and physical to indicate review and any updates.

- (d) When the history and physical examination signed by the responsible medical staff member, including the results of indicated laboratory studies and x-rays ~~and the staff admission progress notes, are~~ is not recorded in the chart before the time stated for a procedure, the procedure cannot proceed until the history, and physical signed by the responsible medical staff member, and ~~laboratory indicated test~~ results are entered into the chart. In cases where such a delay would likely cause harm to the patient, this condition shall be entered into the chart by the attending medical staff member or designee, and the procedure may begin. When there is a disagreement concerning the urgency of the procedure, it shall be adjudicated by the medical director or the medical director's designee.
- (e) A procedure note shall be entered in the record by the responsible attending medical staff member or the medical staff member's designee immediately upon completion of an invasive procedure. Procedure notes must be written for any surgical or medical procedures, irrespective of their repetitive nature, which involve material risk to the patient. For any formal operative procedures a note shall include the pre-operative diagnosis, procedure, surgeon(s), resident(s), anesthesiologist(s), surgical service, type of anesthesia (general or local), complications, invasive lines either added or deleted, estimated blood loss, any pertinent information not included on the O.R./anesthesia record, and preliminary surgical findings, and—~~an operative/procedure. Where a formal operative report is appropriate, the report~~ must be dictated immediately following the procedure. The transcribed operative/procedure report must be signed by the attending medical staff member. Any operative/procedure report not dictated by 10:00 a.m. the day following the procedure shall be deemed delinquent and the attending medical staff member responsible shall lose operating/procedure room and medical staff privileges the following day. Affected medical staff members shall receive telephone calls from the medical information management department indicating the delinquent operative/procedure reports.
- ~~(f) For all procedures which require submission of a specimen, the pathologist shall make such examination as necessary to arrive at a satisfactory diagnosis. The pathologist's report shall then be included in the patient's record.~~
- ~~(g)~~
(f) Progress notes must provide a pertinent chronological report of the patient's course in the hospital and reflect any change in condition, or results of treatment. In the event that the patient's condition has not changed, and no diagnostic studies have been done, a progress note must be completed by the attending medical staff member at least every three days.

Each medical student progress note in the medical records must be signed or counter-signed by a member of the attending, courtesy, or limited staff.

- ~~(h)~~
(g) Birth certificates must be signed by the medical staff member who delivers the baby within one week of completion of the certificate. Fetal death certificates and death certificates must be signed and the cause of death must be recorded by the medical staff member with a permanent Ohio license within 24 hours of death. ~~Medical staff members who have incomplete certificates shall have their clinical privileges suspended until all certificates are completed. A list of delinquent certificates, by~~

Medical Staff Rules and Regulations (contd)

~~medical staff members shall be prepared and distributed on a weekly basis by the medical information management department. The medical staff member shall be given three days written notice of intention to suspend clinical privileges.~~

(4) Discharges

(a) Unchanged.

(b) ~~The~~ For patients staying more than two days, discharge summary for each patient must be dictated by the responsible attending medical staff member or the medical staff member's designee within three days of discharge ~~for any patient stay of more than two days~~. If an electronic discharge instruction form is present, only an abbreviated summary must be dictated. The abbreviated summary must include the reason for hospitalization, significant findings upon admission and hospital course.

(c) ~~A handwritten or dictated discharge summary~~ For patients staying two days or less, the discharge instructions or a short stay summary must be completed within seven ~~three days of discharge for any patient stay of two days or less.~~

(d) A dictated summary is required on all patients who expire, regardless of length of stay.

(e) A handwritten ~~discharge note~~ short stay summary may be substituted for a dictated discharge summary for normal obstetric and newborn stays.

(f) ~~The~~ Any discharge summary, abbreviated discharge summary, short stay summary or the electronic discharge instructions must be signed by the responsible attending medical staff member.

~~(g)~~

(g) All medical records must be completed by the attending medical staff member or, when applicable, by the attending medical staff member's designee within 21 days of discharge of the patient. Attending medical staff members shall be notified ~~of the intent to suspend prior to suspension~~ for all incomplete records ~~that are available~~. ~~Attending~~ After notification, attending medical staff members shall have their admitting and operative scheduling privileges suspended until all records are completed. A list of delinquent incomplete records, by attending medical staff members, shall be prepared and distributed by the medical information management department once each week. If an attempt is made by the attending medical staff member, or the attending medical staff member's designee when applicable, to complete the record, and the record is not available, the record shall not be counted against the attending medical staff member.

~~(h)~~

(h) Records which are incomplete, more than twenty-one days after discharge are defined as delinquent.

(5) Unchanged.

(6) Ownership.

Medical records of hospital-sponsored care including pathological examinations, slides, radiological films, photographic records, cardiographic records, laboratory reports, statistical evaluations, etc. are the property of the hospital and shall not be removed from the hospital's jurisdiction and safekeeping except in accordance with a court order, subpoena, or statute.

Medical Staff Rules and Regulations (contd)

(7) Records storage and security.

~~All patient records, pathological examinations, slides, radiologic images, photographic records, cardiographic records, laboratory reports, statistical evaluations, etc., are the property of the hospitals and shall not be taken from hospitals except on court order, duly filed with the medical record administrator or the hospital administration. The hospital administration may, under certain conditions, arrange for copies or reproductions of any element of the patients' records to be made. Such copies may be removed from the hospitals after the medical record administrator or the proper administrative authority has received a written receipt thereof. In the case of readmission of the patient, all previous records or copies thereof shall be available for the use of the attending medical staff member or other health care providers. Hospital records, pathological examinations, slides, radiologic images, etc., shall be maintained by the hospital. Microfilms, paper, electronic tape recordings, magnetic media, optical disks, and such other acceptable storage techniques shall be used to permanently maintain patient records. In the case of readmission of the patient, all previous records or copies thereof shall be available for the use of the attending medical staff member or other health care providers.~~

(8) through (10) unchanged.

(11) Entries and authentication.

(a) Entries in the medical record can only be made by staff authorized by the medical information ~~management~~ committee.

(b) through (d) unchanged.

Balance unchanged.

84-15 Transfusion and ~~immunization~~ isoimmunization committee.

(A) The transfusion and ~~immunization~~ isoimmunization committee shall be appointed pursuant to these bylaws and include representation from physicians of the clinical departments frequently using blood products, nursing, transfusion service, and hospital administration. The majority of members shall be members of the medical staff. The committee shall meet at least quarterly and carry out the following duties:

(1) through (8) unchanged.

(B) Each member of the medical staff shall conform to the policies established by the transfusion and ~~immunization~~ isoimmunization committee, including the following:

(1) and (2) unchanged.

**THE OHIO STATE UNIVERSITY BOARD OF TRUSTEES
FISCAL AFFAIRS COMMITTEE
JUNE 4, 2004**

TOPIC:

The Ohio Union Replacement Project

CONTEXT:

Replacing the Ohio Union is an important part of the Academic Plan. The Ohio Union was built in 1950 and is nearing its functional end. Major building systems need replacement. Student organization offices and meeting rooms are unable to support modern technology. There is limited access for students and guests with disabilities, and the available space does not meet current or future needs.

This project was approved in conceptual terms by the Board of Trustees on September 5, 2003 as part of the University's FY 2005 – FY 2006 capital planning process. The need for the project and how it supports the Academic Plan was discussed at the May 7, 2004 meeting of the OSU Board of Trustees.

RECOMMENDATIONS:

1. Approval for the University to proceed to hire qualified architectural/engineering/construction management firms for design work (attached resolution).
2. The University will develop an approved business plan prior to requesting construction authorization (attached).

CONSIDERATIONS:

- How does this proposal advance the Academic Plan and how will this be measured?
- Have appropriate University procedures been followed?
- How will the impacts of the proposed timing of this project be coordinated with the Thompson Library renovation?
- What will be the impact on the University's debt capacity?
- What will be the impact on other parts of the University and other parts of the Academic Plan?
- What are the risks and how will they be managed?
- What are the collateral impacts on parking, access and infrastructure and how will they be managed?
- What are the next steps and timeline?
- How will the Board be involved in assuring due diligence?

OHIO UNION REPLACEMENT PROJECT

PROJECT DESCRIPTION AND BUSINESS PLAN

The University administration has reviewed the feasibility study and site selection for this project and recommends proceeding with the project as described.

The Ohio State University, with the assistance of Moody-Nolan, has completed a feasibility study of a renovation/replacement of the Ohio Union. Based on the outcome of that study, the University recommends demolition of the existing building and replacement with a facility of approximately 274,000 gsf that includes the following:

- More student organization, student activity, and dedicated study space.
- Enhanced two-story Multicultural Center.
- Larger ballrooms and more meeting rooms with the capability to support technology.
- Satellite offices for selected student services.
- More seating and additional fresh food options in the food court.
- Hiding the parking garage on High Street entrance by wrapping the building around the current structure.
- Engaging High Street by moving the building to street level, complementing the Campus Partners initiative.
- More green space on the building site.
- Addition of a 1,200-seat outdoor performance/convocation space.

The next step is for the Board to authorize hiring architects and engineers and a construction manager to begin planning the project. This first phase is expected to take 18 to 24 months and will develop a conceptual plan and program for the total project.

Meanwhile, Student Affairs and Business and Finance will work jointly to develop a detailed business plan for this project. The plan will include a more detailed estimate of construction, operating, and transitional costs, multi-year source and use projections, other project related costs, assessment of the financial impact, a risk management discussion and other relevant information.

This project will not move forward to authorization for construction until the University and the Board of Trustees have approved the business plan. The budget approved in conjunction with the business plan will be the budget for the project. Currently, the conceptual planning cost estimate is \$100,000,000 in FY 2008 dollars.

The current facility would be closed for approximately two years and a plan is being developed to address the displacement and relocation of the current occupants. Several options and locations are being considered. Relocation costs are built into the project budget.

Other costs potentially unidentified in the feasibility study such as access, parking and utility costs, which could be significant, will be explored and identified as part of the final business plan, which will include recommended funding source(s).

Funding sources will include:

- A mandatory student fee of between \$20 and \$55 per quarter in FY 2008 dollars.
- Revenues generated from rentals, food service and other income generating activities.
- Central capital reserves and Student Affairs capital reserves already set aside for this purpose.
- Continuing levels of current General Funds support set aside for this purpose.
- Private fundraising (a feasibility study is currently underway).



Requesting Agency(s): STUDENT AFFAIRS, OFFICE OF

Location(s): OHIO UNION

Gross Sq. Ft. 220,782 Age: 1951

Description: The existing Ohio Union will be completely demolished and replaced with a new facility, including an outdoor amphitheater on the existing Union site.

Project Team:

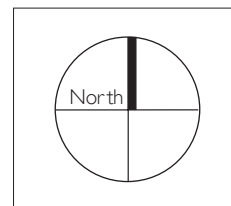
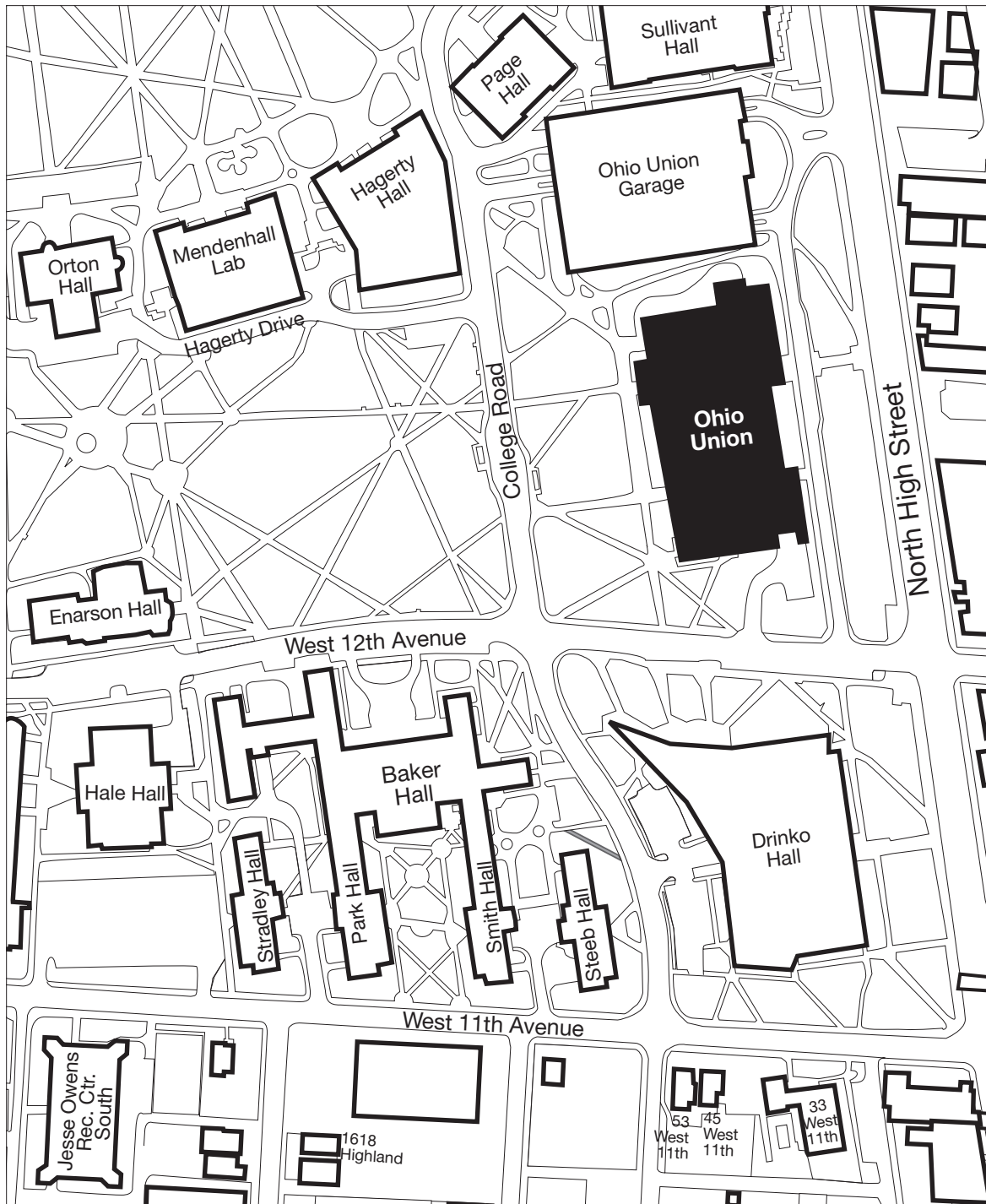
Facility Planner: Alex Cofield
(cofield.3@osu.edu)
Project Captain: Ruth Miller
(miller.2495@osu.edu)
Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)
Field Coordinator: Is Unassigned

Project Information:

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Actual Breakdown of Funding Sources to be Determined during completion of Business Plan	\$100,000,000.00					
Total:	\$100,000,000.00					

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	05/07/2004	06/04/2004	
Constr Mgr Approved by B/T	05/07/2004	06/04/2004	
Arch/Engr Advertisement	06/01/2004	06/29/2004	
Construction			
Construction Start	06/30/2007	07/31/2007	
Completion	06/30/2009	07/31/2009	

Ohio Union Replacement



Office of Business and Finance
Office of Facilities Planning and Development

April 15, 2004



(APPENDIX LVII)

Clinical Space Reorganization - 9th Floor Doan East & Ctr Renov.

315-2001-911-5

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): DOAN HALL, CHARLES AUSTIN

Gross Sq. Ft.669,869 Age: 1951

Description: Renovate current patient rooms and nursing station to accommodate 15 in-patient beds and 20 out-patient beds. Add a conference room and additional nursing station.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Richard Morse
(morse.68@osu.edu)

Project Assistant: Patricia Berger
(berger.58@osu.edu)

Field Coordinator: Is Unassigned

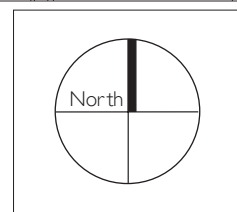
Project Information:

This project is part of the original design approval for the Clinical Space Reorganization \$20-\$25 million renovation which was approved by the BoT in June, 2001. It is now returning for separate Board of Trustees approval to enter into construction under a JOC contract.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University	\$778,000.00	\$778,000.00	Construction	\$625,000.00		\$0.00
Hospitals			Contingency 1	\$70,700.00		\$0.00
Total:	\$778,000.00	\$778,000.00	Design	\$72,900.00		\$0.00
			Local Administration	\$9,400.00		\$0.00
			Total Project:	\$778,000.00		\$0.00

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (20-25 Million projects)	06/29/2001		06/29/2001
Bidding Approved B/T (\$778,000 project)	06/04/2004		
Design			
Schematic Design Approval	02/11/2004		02/11/2004
Design Dev Document Approval	04/15/2004		04/15/2004
Construction Document Approval	05/05/2004		05/05/2004
Construction			
Construction Start	06/07/2004		
Completion	08/09/2004		

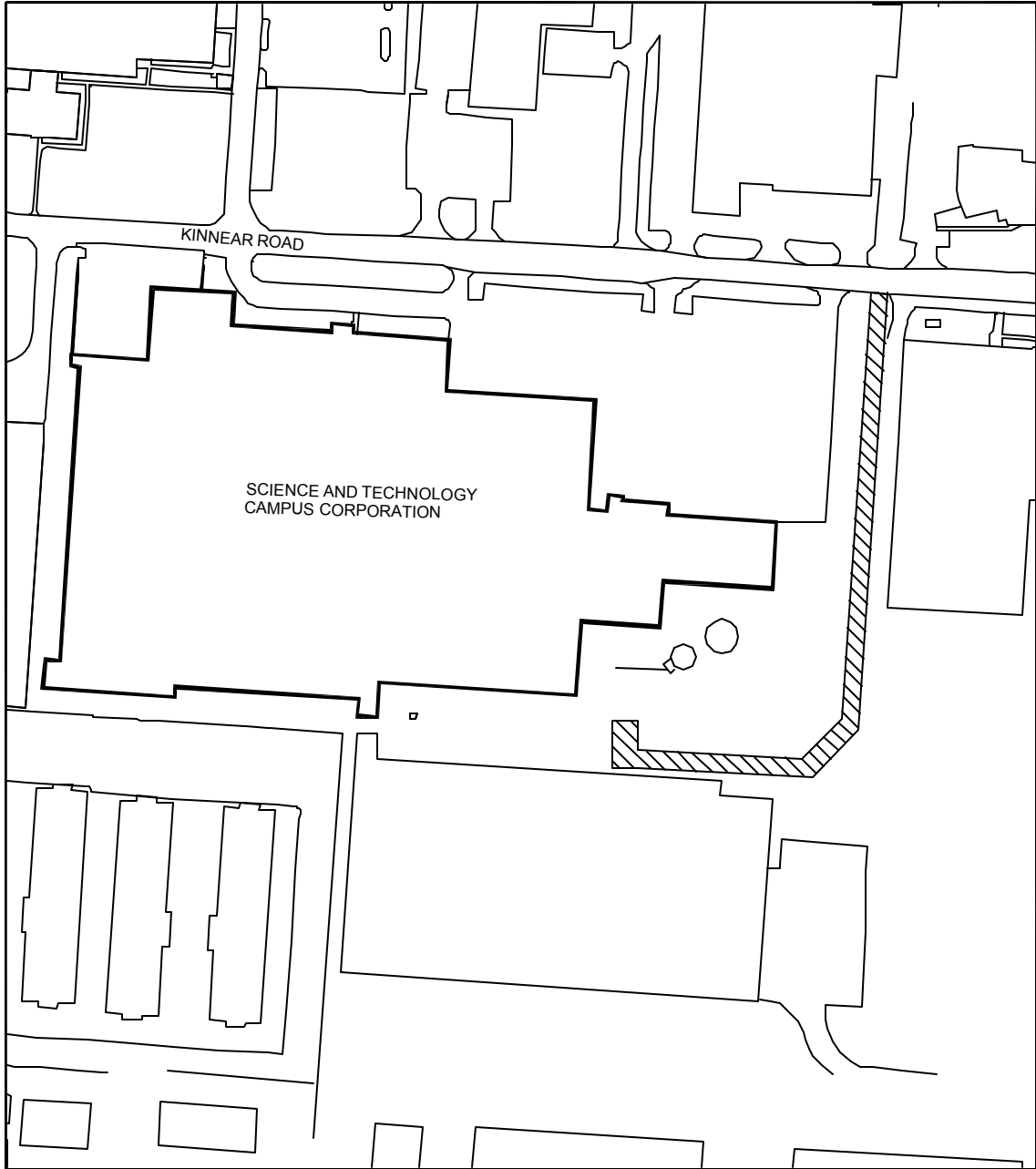
Clinical Space Reorganization - 9th Floor Doan Hall East and Center



Office of Business and Finance
Office of Facilities Planning and Development

May 13, 2004

Electrical Service Easement Columbus Southern Power Company 1275 Kinnear Road



No True Scale

Office of Business and Finance

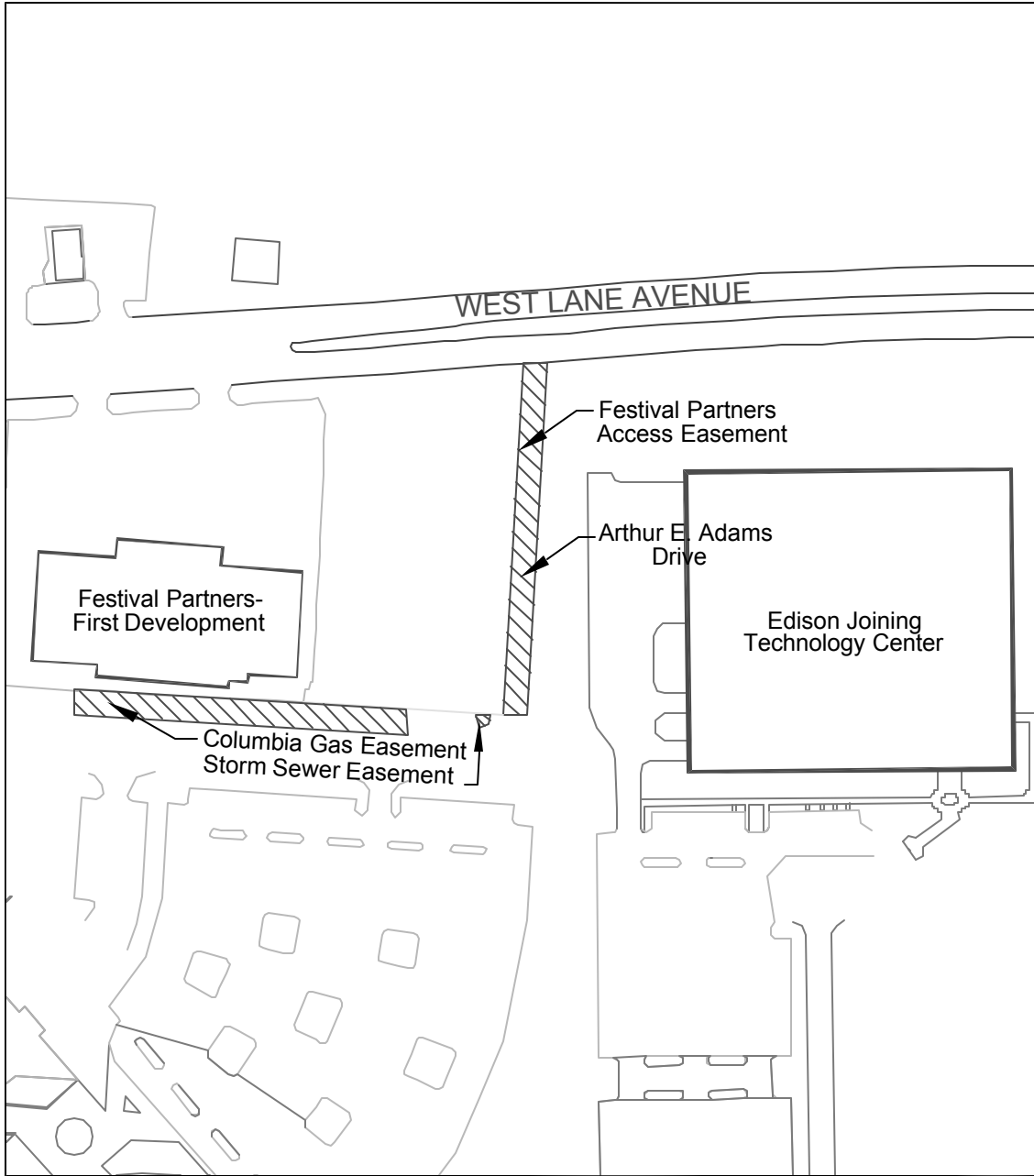
June 4, 2004



Map Provided by University Engineer's Office

Festival Partners Easements

1288-1305 West Lane Ave.,
Columbus, Ohio



No True Scale

Office of Business and Finance
June 4, 2004



 Proposed Easement

Map Provided by University Engineer's Office

Summary of Proposed Parental Leave Program

Rationale

In order to achieve the goal of recruiting and retaining the best faculty and staff as outlined in the Academic Plan, a work/life survey was conducted to assess the needs of current OSU staff in August 2001 and faculty members in February 2003. The study revealed a direct correlation between University work/life support programs, policies and services and faculty and staff commitment and satisfaction. When faculty were asked about current programs not offered on a University-wide basis, one of the highest ranked benefits was paid maternity/parental leave with assistant professors (women and men) being most likely to value this benefit.

According to our research, 96% of the *Working Mother's 100 Best Companies* provide paid leave through employer-provided short-term disability programs and 27% of the *Working Mother's 100 Best Companies* offer employer-paid maternity leave beyond the short-term disability. Of companies offering parental leave benefits, most offer 6 weeks paid leave for mothers. In addition, five of our benchmark schools offer paid parental leave up to 6 weeks, as do many central Ohio employers.

We believe this is an important program for an employer aspiring to achieve world-class human resource practices and aspiring to be an employer of choice.

Eligibility

- Regular Faculty and Staff at 75% FTE (full-time equivalent) or greater.
- The paid parental leave program applies to university and university health system employees.

Program Design Overview

- Birth mothers are entitled to 6 weeks at full pay for the birth of a child.
- Additional time off of 6 weeks for birth mothers may be taken with sick, vacation, compensatory time, STD, or unpaid leave (up to a maximum of 12 weeks per FMLA*).
- Adoptive parents and biological fathers/partners are entitled to 3 weeks at full pay.
- Additional paid time off may be taken with vacation or compensatory time, subject to departmental approval (additional 9 weeks unpaid leave may be taken per FMLA*).
- The paid parental leave benefit runs concurrent with FMLA*.

*NOTE: "FMLA" refers to the federal Family and Medical Leave Act.

University Paid Parental Leave Program

Birth mother

- 6 weeks at full pay for the birth mother, as a new benefit provided from the current payroll account of the department
- The remaining 6 weeks, up to a maximum of 12 (per FMLA), may be taken with sick leave, comp time, vacation leave, STD, or unpaid (not subject to approval)

Adoptive parent & biological father/partner

- 3 weeks at full pay for the biological father/partner and adoptive parents
- Additional paid time off may be taken with vacation or comp time, subject to approval (additional 9 weeks unpaid may be taken per FMLA)

Example:

Birth Mother	Weeks 1-6 full pay	Weeks 7-12 sick leave/ vacation/comp time/STD/unpaid
Biological Father/Partner	Weeks 1-3 full pay	Weeks 4-12 vacation/comp time (if approved)/or unpaid time
Adoptive Parent	Weeks 1-3 full pay	Weeks 4-12 vacation/comp time (if approved)/or unpaid time

**The Ohio State University Board of Trustees
Fiscal Affairs Committee
June 4, 2004**

FY 2005 Tuition and Fee Recommendations

Office of Academic Affairs
Office of Business and Finance
June 3, 2004

- I. Actions taken at the May 7, 2004 meeting.
 - Resident undergraduate tuition for the Columbus Campus was increased 9% effective Fall Quarter 2004 with the understanding that as required by state law a second vote would be required to increase the tuition an additional 3.9% at the June meeting.
 - Resident graduate tuition for the Columbus Campus was increased 13.4% for a full time student including the Student Activity Fee and the Mandatory Recreation Fee.
 - Graduate, professional and undergraduate non-resident surcharges for the Columbus Campus were increased 6%.
 - Differential instructional fee increases for various graduate and professional programs on the Columbus Campus were approved ranging between 6 – 14.5%.

 - II. Action required at this meeting.
 - Approve the additional 3.9% increase in undergraduate student tuition for the Columbus Campus and designate revenues from the 3.9% increase to be used to provide scholarships for low-income students and to provide additional and improved technology services to students as required by state law.
 - Approve other program, technology and designated fees for the Columbus Campus as outlined in the attached material.
 - Approve 6% tuition increases for undergraduates at the regional campuses and ATI effective Fall Quarter 2004 with a second vote (as required by state law) anticipated at the July 9 Board meeting approving an additional 3.9% increase designated to support financial aid and student related technology.
 - Approve a 12.9% increase in graduate tuition for regional campus graduate students and 6% increase in non-resident surcharges.

 - III. Why are tuition increases necessary?
 - A. Costs of undergraduate education are rising faster than state support and we continue reducing costs wherever possible without harming our students.
 - B. The recommended increase in tuition will allow Ohio State to maintain the quality of its instructional programs for students.
 - C. An increase of \$891 (13.4%) is recommended for resident undergraduates at the Columbus Campus entering Fall 2004. This is fully compliant with state mandated caps.
 - D. Access will be maintained by expanding University-funded financial aid programs.
 - E. Students will benefit from greater access to regular faculty, improved instructional technology and learning opportunities outside the classroom.
 - F. Despite the uncertain financial environment and intense competition, The Ohio State University will remain a tremendous value for our students and for the people of Ohio.
-

IV. Regional Campus Recommendations

For all undergraduates at the Agricultural Technical Institute and the regional campuses there would be a 9.9% increase in instructional and general fees beginning Autumn Quarter 2004. Over an academic year (three quarters) this will amount to:

- \$501 increase in instructional and general fees from \$5,052 to \$5,553 for full-time undergraduates at Regional Campuses.
- \$498 increase in instructional and general fees from \$5,034 to \$5,532 for full-time undergraduates at ATI.
- The Access Challenge offset at ATI and the Regional Campuses is subject to change for the academic year starting Autumn Quarter 2004 pending the level of Access Challenge funding provided in the State Budget. As the Access Challenge is reduced, the effective fee rate will likely increase by a similar amount.
- Under Ohio law, the portion of the increase over 6.0% will require a second vote and will be earmarked for student financial aid and student technology.

For all graduate students at the Agricultural Technical Institute and the regional campuses there would be a 12.9% increase in instructional and general fees beginning Autumn Quarter 2004.

The nonresident surcharges at the Agricultural Technical Institute and the regional campuses for both undergraduates and graduates would increase 6.0% and will be equal to the nonresident surcharges at the Columbus Campus.

V. Other Student Charges and Fees

- A. The total cost for a typical resident undergraduate to attend Ohio State's Columbus Campus and live in University housing in FY 2005 will increase from \$14,262 to \$15,697, or \$1,435 (10.1%).¹ Details are provided below.

¹ Tier Three undergraduates first enrolled after Spring Quarter of 2003.

Fees and Charges

(All figures are for an academic year of three quarters)

Mandatory for All Undergraduate Students	Current Amount	Percent Increase	Dollar Increase
Instruction, General, Activity Fees	\$6,651	12.9%	\$855
Recreation Fee ²		New	36
Health Insurance ³	996	13.8%	147
COTA Bus Pass	27	0.0%	0
Sub Total	\$7,674		\$1,038

Room & Board ⁴	\$6,429	5.7%	\$366
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Other Non-Mandatory			
Parking & Bus Pass ⁵	\$61	5.9%	\$11
Football Tickets ⁶	88	22.7%	20
Basketball Tickets ⁷	10	0.0%	0
Sub Total	\$159		\$31

Grand Total	\$14,262	10.1%	\$1,435
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B. Increases in fees that apply to some students:

Application Fees	No Change ⁸
Acceptance Fees	No Change
Out of State Surcharge	6.0%
Technology/Program Fees	Varies

The Ohio State University does not allow course specific fees to be charged to students. However, one new program specific fee to be charged to majors only is recommended for Biological Sciences and increases in existing fees are recommended for three other colleges, as indicated below.

New Program Fees:

Biological Sciences	\$50 per quarter
---------------------	------------------

Increased Program /Technology Fees

School of Music	\$50 per quarter
Fisher College of Business	\$65 per quarter
College of Nursing	\$50 per quarter

² Phase one of three to fund the new recreation center. This is a flat fee for four credit hours and above.

³ Based on the single student medical only rate.

⁴ Weighted average room rates and basic meal plan. Individual rates may vary.

⁵ Rates are for West Campus.

⁶ Student package included four games in 04 and includes four games in 05.

⁷ Per game – price varies between \$8-12 depending on location

⁸ Except Law International up 40% and Law Domestic up 50%.

All program fees are assigned by major. The proposed Biological Sciences fee is limited to majors with more than 90 credit hours.

No increases are recommended for existing program/technology fees for Arts, Engineering and CIS in the College of Math and Physical Sciences.

The graduate technology fee in the Fisher College of Business is recommended to increase 15.2% to \$159 per quarter.

VI. Fee Increases for Non-Students

Basic Telephone Service	No Change
Faculty & Staff Parking	5.1%
Faculty & Staff Health Insurance ⁹	9.4%
James Cancer Hospital	10.5% ¹⁰
University Hospitals	10.5% ¹⁰
University Hospitals East	10.5% ¹⁰
Faculty and Staff Football Tickets	21.1%
Other Football Tickets	21.3%
Faculty and Staff Basketball Tickets	No Change
Other Basketball Tickets	No Change

VI. What happens next?

- A. Budget recommendations detail and second vote on regional campus tuition to be presented at July 9 Board meeting.
- B. Current Funds Budget Book presented at the September Board meeting.
- C. All fee increases will be effective Fall Quarter 2004 unless otherwise indicated.

⁹ Family Plan – Prime Care.

¹⁰ Reflects net effective rates as of July 1. Individual rates may vary by payer category.

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND FOUR HUNDRED AND FIFTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, July 9, 2004

The Board of Trustees met at its regular monthly meeting on Friday, July 9, 2004, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

** ** **

Minutes of the last meeting were approved.

** ** **

July 9, 2004 meeting, Board of Trustees

The Chairperson, Ms. Longaberger, called the meeting of the Board of Trustees to order on July 9, 2004, at 1:00 p.m. She requested the Secretary to call the roll.

Present: Tami Longaberger, Chairperson, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Douglas G. Borrer, Wally W. O'Dell, Brian K. Hicks, Emily M. Quick, and Chad A. Endsley.

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Ms. Longaberger:

We have a full agenda today and, in addition to the committee reports, we will be hearing a report on revisions to the recruitment and application process for admissions, a report on the current funds budget, and important changes in our benefits program, that I know the President will address in her report.

At this time, the Board and I, as an alumna as well, would like to take this opportunity to recognize the 125th Anniversary of The Ohio State University Alumni Association. I'd like to call on Dan Slane to read and present the resolution to Sherry Chapman, vice president of Finance for the Alumni Association.

Mr. Slane:

**EXPRESSION OF APPRECIATION TO THE OHIO STATE
UNIVERSITY ALUMNI ASSOCIATION**

WHEREAS The Ohio State University Alumni Association has been commemorating 125 years of alumni service to Ohio State with celebrations this past month; and

WHEREAS the Alumni Association, is the second-largest dues supported association in the world; and

WHEREAS the Alumni Association has had a record of extraordinary impact upon the University, having spearheaded the drive to build Ohio Stadium, begun the Student Loan Foundation, the Ohio State Research Foundation, and The Ohio State University Development Fund; and

WHEREAS the Alumni Association continues its many contributions to the University through programs that: support student recruitment and scholarships, recognize academic excellence, counsel to the University administration, service and leadership to undergraduate students, and legislative advocacy for Ohio State:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees of The Ohio State University offers its heartiest congratulations and heartfelt gratitude to The Ohio State University Alumni Association as it celebrates its 125 years of exemplary service to the institution.

Ms. Sherry Chapman:

Thank you very much for recognizing us this afternoon. We very much appreciate the honor and privilege that you have extended to us to be a part of this great University and to further the research, education, and the fact

July 9, 2004 meeting, Board of Trustees

that we change lives every day. Thank you for letting us assist you in reaching your goals and our alumni and friends. Thank you.

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PRESIDENT'S REPORT

President Karen A. Holbrook:

As you know, excellence is what drives us at Ohio State...excellence in the education we provide our students, excellence in the caliber of faculty and staff we bring to campus, and excellence in the environment we create and maintain for every member of the University community. Part of this commitment to excellence is recruitment and retention of the best faculty, staff, and students. Competition for the best has become more intense in recent years, as every university seeks to enhance the academic reputation of the organization.

Our competitiveness depends on a number of factors. At the April Board meeting, I discussed the importance of excellent facilities such as classrooms, libraries, laboratories, athletic facilities, and studios in attracting and retaining the best faculty, staff, and students.

Compensation is also critical to our competitive position. We recently reported to you our progress on the compensation initiative for faculty and staff, noting that our average faculty salary is now just 1.7 percent below the average of our benchmark peers. A little later in today's meeting you will learn about our plans to attract the very best students to The Ohio State University.

My remarks today will focus on another factor important to our competitiveness as a university: the health care benefits that we provide to our faculty, staff, and students. Later in the meeting, I will be asking for your support for important improvements we will make to our faculty/staff and student health plans. Beginning this year we will offer health care benefits to broad categories of eligible sponsored dependents.

Over the last several years, the number of leading universities in this nation have offered benefits of this nature in an increasing number. Among the top 60 American research universities belonging to the AAU, 45 provide health care benefits for domestic partners. In the Big Ten, nine of 11 universities provide such benefits. A few weeks ago, Miami University, Ohio University, and Cleveland State University announced that they would begin offering health care benefits to same-sex domestic partners of their faculty and staff.

Ohio State competes for talent not only among other universities but also with the private sector. Over 40 percent of Fortune 500 companies offer domestic partner benefits. Bank One, Cardinal Health, Huntington Bancshares, Limited Brands, The Longaberger Company, Nationwide, OCLC, and SARCOM are among the Central Ohio employers offering such benefits.

In addition, this issue is very important to our campus community. Many campus groups have passed resolutions asking that Ohio State provide health care benefits for domestic partners. These groups include the University Senate, the Undergraduate Student Government, the Council of Graduate Students, the Inter-Professional Council, and the University Staff Advisory Committee. As you know, offering these benefits has been a high priority of mine as it has been of my predecessors.

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The changes in our health care benefits plans I will describe are the result of a great deal of study over the last several years. Implementation will be phased in during the coming months. On October 1, we will offer health care benefits to same-sex domestic partners of our faculty and staff. Faculty and staff will be able to enroll same-sex domestic partners and their children in the faculty/staff medical, dental, and vision plans with the same subsidy provided for spouses and children. I want to emphasize that state appropriated funds will not be used for this subsidy.

On September 15, the beginning of the plan year for our student health plans, students will be able to enroll same-sex domestic partners and their children. Our student health plans are and will remain unsubsidized.

Graduate students who work as graduate teaching, research, and administrative associates receive a compensation package that includes stipends and subsidy of their student health plan premiums. Graduate associates who enroll same-sex domestic partners and their children in the student health plans will receive the same subsidy provided for spouses and children.

We also recognize the difficult challenges faced by those who care for aging, ill, or disabled family members, and on January 1, 2005, we will provide access to the faculty/staff medical, dental, and vision plans to eligible sponsored dependents of our faculty and staff. These health care benefits for sponsored dependents will be unsubsidized but available at a group premium rate. The eligible group of sponsored dependents will include opposite-sex domestic partners and relatives who share a residence with and are financially dependent upon the faculty or staff member. Also in January, students will be able to enroll opposite-sex domestic partners in the student health plans.

More details about the program elements are set forth in the attachment to the resolution that you will consider later in this meeting. In addition, Provost Barbara Snyder and Associate Vice President Larry Lewellen will be available after the meeting to answer questions from members of the audience who are with us today.

Our campus community cares deeply about this issue. I want to commend the diligence and patience of the many individuals, groups, and committees who have discussed this issue and made compelling recommendations over the last few years. I am very proud today to be able to mark the culmination of their efforts with my announcement of these improvements to our health care plans. In addition to enhancing our ability to compete for the best faculty, staff, and students, this benefits program also serves our commitment to diversity and allows us to provide a supportive environment for everyone on the Ohio State campus. I am enormously grateful to all of you and to our Trustees for supporting The Ohio State University in fulfilling its commitment to excellence and diversity in such a meaningful way. Thank you very much.

Ms. Longaberger:

Thank you, President Holbrook.

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STUDENT RECOGNITION AWARD

Mr. Endsley:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of that student's achievement in his or her area of study, service to the University and/or the community, and/or research achievements that have been a credit to the college and the University. This month's recipient is Amanda Hord, who was nominated by Dean Robert Brueggemeier in the College of Pharmacy.

Amanda is entering her fourth and final year in the Doctor of Pharmacy (PharmD) program. Prior to her doctoral training, she received a Bachelor of Science in Pharmaceutical Sciences (B.S.P.S.) degree at Ohio State.

Amanda has been an excellent student, maintaining a 3.7 GPA in her doctoral program. She has conducted research relating to health behavior theory-based strategies for improving patient medication adherence with Professors Coyle and Brackett in the College of Pharmacy and Professor Love in the School of Public Health. Additionally, she has presented a poster at a national meeting and has served as a student teaching assistant in several professional and undergraduate courses in the College of Pharmacy.

Beyond her many academic accomplishments, Amanda has been a very strong student leader in her college. She has served as a student representative on the PharmD Program Committee, as president of the OSU chapter of the Student Society of Health-System Pharmacists, and on the Ohio Society of Health-System Pharmacists Educational Affairs Committee. She currently serves as the chair of the American Society of Health-System Pharmacists Student Forum Executive Committee, where she provides leadership to that group's initiatives at a national level.

Amanda is accompanied today by Assistant Dean Dr. Ken Hale, Associate Dean Dr. Sylvan Frank, her advisor Dr. James Coyle, and Dr. Phil Schneider, as well as her parents and fiancé.

The assistant dean of her college, Dr. Ken Hale, remarked that, "On a personal note, Amanda is a delightful student. She is positive, bright, hard working, articulate, and fun. She is a student of whom we are all very proud, and we appreciate the opportunity to introduce her to the Board of Trustees."

Amanda, I am very pleased to present this award to you today on behalf of the Board of Trustees. Congratulations.

Ms. Amanda J. Hord:

I'd like to express my thanks for this award and to recognize the people who are here with me today. First of all, I want to thank Deans Hale and Brueggemeier for recommending me for this award. I feel very honored to be recommended among my peers because I go to school with some very amazing individuals who are doing wonderful things for their patients, the college, and the profession of pharmacy.

I also want to thank the faculty members who are here today, especially Dr. Coyle, my advisor, and with whom I have had the opportunity to work over the last year on his research product and am hoping to continue that opportunity next year; and then my other advisor Phil Schneider, who guided me this year with my organization.

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I would also like to recognize my parents who are here with me today and my fiancé Nicholas, and thank them for being very understanding throughout these seven years of college when I could not always make it home when I wanted to.

Lastly, I would like to thank you, the Board of Trustees, for this award and the opportunity today to come here and meet you. I am very proud to be a part of this wonderful institution and proud to represent the College of Pharmacy today. Thank you.

Ms. Longaberger:

Thank you, Amanda. It is students like you who make us very proud to be a part of Ohio State. Congratulations.

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REVISIONS IN THE RECRUITMENT/APPLICATION PROCESS

Provost Barbara R. Snyder:

At this time, we would like to follow-up on a report that we did a few months ago and talk about the changes that we will be making in the recruitment and admissions process for students entering in the fall of 2005.

I would like to ask Dr. Kay Meyer, faculty fellow in the Office of Academic Affairs, Stephanie Sanders, and Allen Kraus to come forward at this time.

Dr. Katherine Meyer: [PowerPoint Presentation]

Thank you, Provost Snyder. Madame Chair, ladies and gentleman, we are going to talk about revisions to the applications and recruitment process. As preamble, I would like to say that our goals remain the same -- garnering a student body that is better prepared and more diverse. That is the central mission of the University and the people we have here today focus on those goals.

Last year presented the Office of Admissions and First Year Experience with a challenge when the rules changed because of the Michigan Court decision. The changes required in the admissions process were complex. Today, we are updating you on what was done, how it worked, and what changes are in store for the application and recruitment process for the incoming class of 2005.

This coming fall the Office of Admissions will present to you particulars about the new freshmen on campus. While we do not have the final count on that right now, today we are going to focus on enhanced efforts as we go forward to attract a diverse student body.

Let me just say in general that we regard issues about access and diversity not only important in recruitment, but also important in society from preschool through grade 16. We embrace Ohio's choice of education as an important part of the economic development of this state.

This University addresses itself to general college preparedness for all Ohio students through initiatives that improve middle school education, prepare teachers for enhancing curricula for kindergarten through high school, and tutor youngsters for verbal and computer literacy. Outreach and engagement are critical components of OSU's aim to present you and future

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trustees with ever better-prepared and more diverse freshman classes that come here and graduate from here.

With that said, let me introduce Stephanie Sanders, associate director of Competitive Admissions Administration, and Allen Kraus, associate director of Marketing Communications, from the Office of Admissions and First Year Experience who will present a review of the individualized review process introduced last year, success from that process, as well as needed improvements, and an overview of changes for the coming years.

Ms. Stephanie R. Sanders:

Good afternoon. I would like to start by just reviewing the changes we had to make to our process last year.

The first change in the application for freshman admission was that we added space for applicants to tell us a little bit about the educational background in their family. We asked for the highest education level completed for parents, grandparents, and siblings. We increased the amount of space available in the application for students to tell us about the activities they were involved in in school and in the community. And we had four short answer questions of 150 words each.

For the application process we moved from a more mechanical process to an individualized review process. That process required that each application be reviewed individually by two application readers. To accomplish that, we had a team of 45 well-trained application readers who read those applications throughout the months from October to June.

We had heard from our colleagues at other institutions that any time required writing is added to an admissions application, a drop in the number of applications should be expected. We did experience that, but in spite of that we are pleased to say that we expect the freshman class of Autumn 2004 to be the most well-prepared freshman class in the history of the University. As well-prepared students are retained to graduation better than unprepared students, we also anticipate an increase in retention from first year to second year with this class that will be starting in the fall. We were disappointed that we had a higher-than-expected drop in the number of African-American applicants this year, but even with that drop the profile of our minority students will be the highest that it has ever been.

In an effort to always refine our process and to make sure our application process is user-friendly, we are making some changes for the 2005 application. Instead of four short answer questions of 150 words each, we are reducing that to two required questions and those questions will require responses that are 200 words each.

We are further expanding the space that we provide for students to tell us about their activities. In particular, we want students to tell us about their contributions to the activities or groups they were involved in. We are going to increase the visibility for the Morrill Scholarship program -- a scholarship program designed to enhance access -- across our print publications.

Finally, in our continued efforts to increase awareness about the regional campuses, in the past few years we have asked students to indicate what their second-choice campus would be if they are not found to be eligible for admission to the Columbus campus. This year we are removing the "optional" from that and we are going to require that of all applicants.

Allen will now talk about planned changes for minority recruitment.

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Mr. Allen D. Kraus:

Thanks, Stephanie. It goes without saying first and foremost in our recruitment efforts, whether it is efforts to recruit minority students or majority students, that everything we do is collaborative. In the case of minority recruitment some of our key partners are the Office of Minority Affairs and our colleagues in Student Financial Aid, the academic units, and the colleges. So a lot of what I am going to talk about here are things that we are going to do in collaboration with those and other areas.

A huge partner also in our recruitment efforts is our enrolled students. We try to put our current students up front in all of our recruitment efforts. That is why every night throughout the fall, winter, and spring we will have current student telecounselors on the phone with prospective students describing their experiences here and telling them why Ohio State may be a good choice. We will have student-hosted online chat rooms throughout the year and our students respond to email inquiries individually. Many of the publications that we do are based on a student-testimonial model. Enrolled students have a lot more credibility than recruitment professionals when it comes to attracting other students, so we definitely try to put students in the forefront in our recruitment efforts.

Many of our efforts and, particularly, communications are geared at trying to attract visitors to campus, because when students and families visit they report a much higher opinion of the University after leaving than before they came. They enroll in much higher numbers than they do if they do not visit. So most of what we do is aimed at attracting visitors. Once we attract them, we hope to offer them a lot of different visiting opportunities.

Our office and the Office of Minority Affairs are working together this year to offer a great variety of daily visits. We are going to offer some overnight visits and a number of special visit days focusing on particular interests. Our office also offers a limited number of travel grants to non-Ohio students – minority students who would like to visit campus.

Also, we will do a lot of traveling this year. The Offices of Undergraduate Admissions and First Year Experience and Minority Affairs spend a lot of time on the road and we will visit hundreds of high schools this fall. We will staff dozens of college fairs, many in areas that are predominantly minority communities. We have four community-based receptions planned for this year: one in Columbus, and others in Cleveland, Cincinnati, and Akron. Our territory managers will host a number of families in small groups at informal dinners throughout the recruitment season.

Obviously Ohio is a primary recruitment area, but our recruitment of all students and minority students is not an exception. It is very much national. We work with a number of organizations, including testing agencies, to track college-bound minority students and to try and attract them to the University. Our national pool of minority students is roughly 40,000 and so we hope to attract some of those students to campus this fall.

Our office is partnering on a new venture with the Offices of Minority Affairs and University Marketing Communications to introduce an urban radio campaign. We are going to target Central Ohio with that and possibly also Cleveland, Cincinnati, and Akron, depending on how far our funds go. Next week our offices are going to be hosting representatives from stations who responded to a request for a proposal, so we hope to get the ball rolling on that soon.

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We are increasing our emphasis on parents. Each year we spend more time trying to communicate with the parents of prospective students. Parents play a very big role in a student's college search process and so we write directly to parents. We have a series of newsletters aimed at parents, email campaigns for parents, and a website specifically for parents. The invitations to our events, rather than being addressed just to students, are addressed to families, because we recognized the importance that the whole family plays in this process.

Finally, we have a very rich flow of targeted communications that we roll out as early as a student's sophomore year of high school. We continue to send them through the student's enrollment and first year as part of our First Year Experience program. Many of those communication pieces speak directly to minority students. We distributed some of them to you today. One piece was "Making Our Voices Heard," targeted at African-American students.

These publications were introduced late in the spring this year and they were a big hit and we are going to reintroduce them in the fall. These are made up entirely of testimonials from enrolled students who were asked, "Why is Ohio State a good place for minority students?" I think the people responded well to them because of the very candid responses. It does not read like marketing, but rather it is the opinions of our students.

We will be sending publications like these in the fall. *¿Qué Pasa, OSU?* is a quarterly publication by and for the Latino community on the campus, and we send that to Hispanic students considering Ohio State. The Office of Minority Affairs produces an excellent view book that includes the Morrill Scholarship application that we will be sending also in the fall.

These are just a few of the pieces that we produce. There are dozens and dozens of different publications that we do, and when we meet with you again in the fall we hope to have an opportunity to share a lot of these new pieces with you. We just wanted to give you a look at some of these for now and hopefully will have an opportunity to give you the actual pieces when they are printed in the forthcoming fall.

This was just the briefest look at the admissions and recruitment processes. At this point, we would like to take any questions.

Judge Duncan:

Thank you for the report. I certainly appreciate your efforts, generally and perhaps more specifically, regarding minority applications. I suppose since the Court's decision in the Michigan case, folks interested in higher education all over the country have been trying to figure out a reasonable explanation for the diminution of minority applicants. I suppose my question to you is, is there any recent intelligence that you may have as to what caused that or what sort of factors produced this result?

Dr. Meyer:

We are tackling that same question. We intend to ask the students who are currently here and who are coming what it was that got them to apply and what interested them in coming to Ohio State. Other than that, I think we are sharing information with other universities that have also experienced a decline in the application process.

While that is the case, and these folks are more versed in the specifics, my understanding is that, although we are admitting fewer, our number remains

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high and steady because we retain more minority applicants. We have more minority enrolled students than we have in the past, so we have more juniors and seniors who are staying here on campus. We are tackling that. Is that correct?

Mr. Kraus:

Yes. I guess the one thing that I would add to that is that Ohio State and the University of Michigan were among the most forthcoming institutions about the ways that we admitted students before. As Stephanie mentioned, we have gone to a more individualized review from a more systemized process. The kind of feedback that we have gotten from the high school guidance community is that a lot of students just were fearful that, because of the changes mandated by the Court, they would not be admitted, so they self-selected out. We are hopeful that, even though the numbers were down this year, when the students see that we did in fact admit a strong minority class this year that they will come back and apply in greater numbers in the forthcoming year.

Ms. Longaberger:

Thank you very much for a fine report. We appreciate your work on behalf of the University and all students we are trying to reach. Thank you very much.

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COMMITTEE REPORTS

Ms. Longaberger:

At this time I would like to call on two of the committee chairs for their reports. We will start with Doug Borrer for the Academic and Student Affairs Committee report. Mr. Borrer --

Mr. Borrer:

Thank you, Madame Chairperson. We had a relatively short Academic and Student Affairs Committee meeting today. We started our meeting with a report on a new faculty track in the Moritz College of Law by Dr. Carole Anderson and Dean Nancy Rogers, and I would like to ask Dr. Anderson to give a brief presentation on this new and exciting program.

Dr. Carole A. Anderson:

Thank you. The clinical track in the Moritz College of Law is the first proposal from a college outside of the Health Sciences Colleges to have a clinical track. That track will allow the Moritz College of Law to appoint practicing attorneys to regular clinical faculty positions to staff, for example, their clinical programs like the Legislation Clinic and the Justice for Children project. This was the first proposal outside of the Health Sciences Colleges, but there will be more.

Mr. Borrer:

Does anyone have any questions? I believe this means we will have five or six clinicians that will actually become --

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Dr. Anderson:

Right. Dean Rogers is anticipating five or six practicing attorneys being immediately appointed as clinical faculty.

Mr. Borrer:

It should be a very good program. Thank you very much, Dr. Anderson. I would now like to call on Provost Barbara Snyder to explain the additional resolutions that will be on the consent agenda today. Barbara --

Provost Snyder:

We presented to the Academic and Student Affairs Committee: the establishment of a new degree, a Master of Business Logistics Engineering; the renaming of the Department of Consumer and Textile Sciences to the Department of Consumer Sciences; the renaming of the School of Journalism and Communication to the School of Communication; and the naming of Sphinx Grove located adjacent to this building in honor of Dan Heinlen for his long service as president and CEO of the Alumni Association; as well as the monthly Personnel Actions.

Mr. Borrer:

That concludes our committee report. Thank you.

Ms. Longaberger:

Thank you very much. At this time, I would like to have Karen Hendricks give the Fiscal Affairs Committee report. Karen --

Ms. Hendricks:

Thank you, Madame Chairperson. We met this morning and reviewed the following: a request for one design contract and 12 construction contracts. One that I would particularly like to mention, which is the largest, was a construction contract for an administration and classroom building which would be the focus of and part of the master plan for OSU-Mansfield.

The second thing that we did was give interim authorization to enter into design, construction management, and construction contracts for the interim period between now and the time that we would meet next, since there is quite a bit of time. This would allow Bill Shkurti or his people to go to the chairperson of Fiscal Affairs in case anything came up during that time. We also received a gift of real property, which is a personal residence adjacent to Harding Hospital.

We established a one-time separation incentive program for the Office of Student Affairs. This is quite similar to the separation incentive program that we agreed to for the College of Food, Agricultural, and Environmental Sciences a month ago. This one focuses on the campus dining services.

As we have seen, students are looking for food service that is less cafeteria-style and more like chef stations. As a result, it reduces the number of people we need but also changes the nature of the work that they do, so it includes some retraining to minimize layoffs.

We agreed to the second step for Regional Campus Tuition – an increase of 3.9 percent. This increase is in addition to the six percent that was agreed to last time for the Regional Campuses and will go to financial aid and

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technology as required by the state. We also went into detail of the current funds budget, which will be reviewed later on in the meeting.

Finally, we accepted the report of University Development for eight new endowed funds and the revisions to two renamed endowed funds. That concludes my report.

Ms. Longaberger:

Thank you very much.

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AMENDED CONSENT AGENDA

President Karen A. Holbrook:

We currently have nineteen resolutions on the Consent Agenda and would like to amend the consent agenda to add one additional resolution – Sponsored Dependent Healthcare Benefits Program. We would also like to ask that resolution #19 regarding the budget be considered separately. We are seeking your approval for:

AMENDED COMMITTEE APPOINTMENTS 2004-2005

Resolution No. 2005-1

BE IT RESOLVED, That the appointments to Committees and representatives to various Boards for 2004-2005 be amended as follows:

Academic & Student

Affairs Committee:*

Douglas G. Borrer, Chair
Daniel M. Slane, Vice Chair
Robert M. Duncan
~~Karen L. Hendricks~~
Emily M. Quick
Chad A. Endsley

Fiscal Affairs Committee:*

Jo Ann Davidson, Chair
Karen L. Hendricks, Vice Chair
Dimon R. McFerson
Walden W. O'Dell
Brian K. Hicks

Facility Planning (AdHoc)*

(Subcommittee of Fiscal Affairs)
Robert M. Duncan, Chair
Douglas G. Borrer
Walden W. O'Dell
Chad A. Endsley

Investments Committee:*

Dimon R. McFerson, Chair
Jo Ann Davidson, Vice Chair
Daniel M. Slane
Walden W. O'Dell
Ex Officio:
John Gerlach, Jr. (Foundation Bd)

Audit Committee:*

Karen L. Hendricks, Chair
Dimon R. McFerson, Vice Chair
Daniel M. Slane
Brian K. Hicks

Agricultural Affairs Committee:*

Daniel M. Slane, Chair
Fred L. Dailey, Vice Chair, Ex Officio
Walden W. O'Dell
Brian K. Hicks
Emily M. Quick
Chad A. Endsley

Governance Committee:

Tami Longaberger, Chair
Daniel M. Slane, Vice Chair
Robert M. Duncan

Government Relations

Advisory Council:*

Daniel M. Slane, Chair
Jo Ann Davidson, Vice Chair
Douglas G. Borrer
Brian K. Hicks
Emily M. Quick
David L. Brennan
Thomas Hoaglin

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**The Arthur G. James
Cancer Hospital & Richard J.
Solove Research Inst. Bd:**
Robert M. Duncan, Chair
Jo Ann Davidson

University Hospitals Board:
Robert M. Duncan
Jo Ann Davidson

Campus Partners Board:
Douglas G. Borrer

**Research Foundation
Board of Directors:**
Karen L. Hendricks

**University Foundation Board Ex
Officio: Class of Directors:**
Dimon R. McFerson (2005)
Douglas G. Borrer (2006)
Karen L. Hendricks (2007)

**Ohio State University
Affiliates, Inc.:**
Affiliated Entities
Tami Longaberger
Daniel M. Slane
Robert M. Duncan

**Wexner Center Foundation
Board:**
Leslie H. Wexner (6/30/2005)
Brian K. Hicks+

**Science and Technology
Campus Board:**
Walden W. O'Dell

**Regional Campus Trustee
Liaison:**
Jo Ann Davidson

**UNIVERSITY MANAGED
HEALTH CARE SYSTEM,
BOARD OF DIRECTORS
KAREN L. HENDRICKS**

Self-Insurance Trust Board:
Dimon R. McFerson

Richard M. Ross Heart Hospital:
Dimon R. McFerson

****Board Chair, Ex Officio member
of all committees
+President's appointee***

APPOINTMENTS TO THE RICHARD M. ROSS HEART HOSPITAL BOARD
Resolution No. 2005-2

Synopsis: Approval of appointments to the Richard M. Ross Heart Hospital Board is proposed.

WHEREAS the Board of Trustees on October 3, 2003, approved the establishment of the Richard M. Ross Heart Hospital Board; and

WHEREAS the membership of the Hospitals Board was approved on April 4, 1980, and has been subsequently amended to include membership on specialized boards; and

WHEREAS all members of a specialized board shall be appointed by The Ohio State University Board of Trustees in consultation with the vice president for Health Services, the senior vice president for Health Sciences, and the president of the University:

NOW THEREFORE

BE IT RESOLVED, That the following individual be appointed as a citizen member of the Richard M. Ross Heart Hospital Board effective immediately:

William D. Wells (3-year term, ending June 30, 2007)

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BE IT FURTHER RESOLVED, That the following individuals be appointed as ex officio members of the Richard M. Ross Heart Hospital Board effectively immediately:

Robert E. Michler, M.D.
Chief, Division of Cardiothoracic Surgery
and Transplantation; Department of Surgery

William T. Abraham, M.D.
Director, Division of Cardiology,
Department of Internal Medicine

REGIONAL CAMPUS BOARD APPOINTMENT

Resolution No. 2005-3

Synopsis: Approval of appointment to The Ohio State University Regional Campus Board is proposed.

WHEREAS the Board of Trustees in 1994 approved the establishment of The Ohio State University Regional Campus Boards; and

WHEREAS it has been previously stipulated that "the board shall be composed of ten members appointed by The Ohio State University Board of Trustees in consultation with the president of the university" (nine members shall be private citizens and one member shall be a student); and

WHEREAS the following named person has been nominated and selected for appointment to the following Regional Campus Board for the term as specified:

Lima Board

Joanna L. Schmersal (student), July 1, 2004 – June 30, 2005

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominee be approved as a member of The Ohio State University-Lima Board.

**ESTABLISHMENT OF A MASTER OF BUSINESS LOGISTICS
ENGINEERING DEGREE PROGRAM**

Resolution No. 2005-4

Synopsis: Approval of the establishment of a Master of Business Logistics Engineering degree program in the Fisher College of Business and the College of Engineering is proposed.

WHEREAS students enrolled in Industrial and Systems Engineering have demonstrated a substantial and growing demand for logistics management courses offered by the Fisher College of Business; and

WHEREAS a growing number of engineering schools is adding programs for logistics; and

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WHEREAS The Ohio State University has highly-ranked programs in logistics and industrial and systems engineering, and this interdisciplinary program will combine those strengths to provide new opportunities for students; and

WHEREAS this proposal is supported strongly by the students and faculty of both the Fisher College of Business and the College of Engineering; and

WHEREAS this proposal was approved by the Council on Research and Graduate Studies, the reviewing subcommittee, the Council on Academic Affairs, and by the University Senate at its June 10, 2004 meeting; and

NOW THEREFORE

BE IT RESOLVED, That the establishment of a Master of Business Logistics Engineering degree program is hereby approved, effective upon the approval of the Ohio Board of Regents.

RENAMING OF THE DEPARTMENT OF CONSUMER AND TEXTILE SCIENCES

Resolution No. 2005-5

Synopsis: Renaming of the Department of Consumer and Textile Sciences to the Department of Consumer Sciences is proposed.

WHEREAS the department's undergraduate curriculum at all levels addresses choices made by the consumer; and

WHEREAS faculty research focuses overwhelmingly on consumer-related topics; and

WHEREAS a majority of the faculty in the Department of Consumer and Textile Sciences voted to support this name-change, and the College of Human Ecology supports this change; and

WHEREAS the proposal was approved by a reviewing subcommittee, the Council on Academic Affairs, and the University Senate at its June 10, 2004 meeting;

NOW THEREFORE

BE IT RESOLVED, That the Department of Consumer and Textile Sciences be renamed the Department of Consumer Sciences, effective immediately.

RENAMING OF THE SCHOOL OF JOURNALISM AND COMMUNICATION

Resolution No. 2005-6

Synopsis: Renaming of the School of Journalism and Communication to the School of Communication is proposed.

WHEREAS the school focuses on teaching and research about the broad aspects of communication; and

WHEREAS journalism is one field within the discipline of communication; and

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WHEREAS the national associations with which the school associates are the National Communication Association and the International Communication Association; and

WHEREAS the disjunction between the current name of the school and its actual programs is misleading to potential graduate and undergraduate students, faculty, and donors; and

WHEREAS the school has solicited appropriate comment and advice concerning this change; and

WHEREAS the faculty of the school and the College of Social and Behavioral Sciences support this change; and

WHEREAS the proposal was approved by a reviewing subcommittee, the Council on Academic Affairs, and the University Senate at its June 10, 2004 meeting;

NOW THEREFORE

BE IT RESOLVED, That the School of Journalism and Communication be renamed the School of Communication, effective immediately.

ESTABLISHMENT OF REGULAR CLINICAL-TRACK FACULTY IN THE MORITZ COLLEGE OF LAW

Resolution No. 2005-7

Synopsis: Approval to establish regular clinical-track faculty in the Moritz College of Law is proposed.

WHEREAS clinical-track faculty are needed to teach clinical and practice-skills courses that are an integral part of legal education at the Moritz College of Law and virtually all other American law schools; and

WHEREAS such courses are a necessary part of the preparation of law students for the practice of law after graduation and admission to the bar; and

WHEREAS the proposal has the unanimous support of the faculty and of the dean of the Moritz College of Law; and

WHEREAS the proposal adheres to University rule 3335-7-04 and the Council on Academic Affairs' Guidelines for the Establishment or Amendment of Clinical Faculty Tracks; and

WHEREAS the proposal was discussed with, and endorsed by, the University Senate's Faculty Council, approved by the Council on Academic Affairs, and the University Senate at its June 10, 2004 meeting:

NOW THEREFORE

BE IT RESOLVED, That the establishment of regular clinical-track faculty in the Moritz College of Law is hereby approved, effective immediately.

NAMING OF SPHINX GROVE

Resolution No. 2005-8

Synopsis: Approval of naming what is known as "Sphinx Grove" located adjacent to the Longaberger Alumni House, 2200 Olentangy River Road, for Dan L. Heinlen is proposed.

WHEREAS the Board of Trustees has authority in naming all buildings and structures at The Ohio State University, as set forth in paragraph (F) of rule 3335-1-08 of the Administrative Code; and

WHEREAS the Sphinx Council for the Sphinx Senior Honorary has unanimously voted to rename Sphinx Grove in honor of Dan L. Heinlen, who served as the president/chief executive officer of The Ohio State University Alumni Association, Inc., from 1973 through 2003; and

WHEREAS Sphinx Senior Honorary created what is known as Sphinx Grove in 1991 to honor all past, present, and future members; and

WHEREAS the Sphinx Grove is located in a close proximity to Longaberger Alumni House; and

WHEREAS Dan L. Heinlen was a student member of Sphinx; and

WHEREAS he served as secretary of the Sphinx Council, the governing board for all Sphinx Senior Honorary affairs, providing counsel and guidance for 22 years; and

WHEREAS he oversaw all Sphinx reunions from 1977 through 2003 and played an integral part of all student and alumni matters for Sphinx:

NOW THEREFORE

BE IT RESOLVED, That what is known as Sphinx Grove, a grove of trees located just south of Longaberger Alumni House, be named the "Dan L. Heinlen Sphinx Grove" effective immediately.

DEGREES AND CERTIFICATES - SUMMER QUARTER COMMENCEMENT

Resolution No. 2005-9

Synopsis: Approval of Degrees and Certificates for Summer Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on August 29, 2004, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and

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that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 2005-10

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the June 4, 2004 meeting of the Board, including the following Appointments/Reappointments, Appointments/Reappointments of Chairpersons/Directors, Reappointment of Principal Administrative Official, Leaves of Absence Without Salary, Professional Improvement Leave, and Emeritus Titles, and salary rolls with promotion and tenure, as detailed in the University Budget, be approved.

BE IT FURTHER RESOLVED, That the Medical Staff Appointments approved May 25, 2004, by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board, be ratified.

Appointments

Name: DEBORAH A. BALLAM
Title: Interim Assistant Vice Provost for Women's Policy Initiatives and Interim Director of The Women's Place
Office: Academic Affairs
Term: July 1, 2004, through December 31, 2004
Concurrent
Appointment: Professor, Department of Finance

Name: CARLO M. CROCE
Titles: Chairperson and Professor
Department: Molecular Virology, Immunology, and Medical Genetics
Term: October 1, 2004, through September 30, 2008
Concurrent
Appointment: Director, Human Cancer Genetics Program, Comprehensive Cancer Center/James Cancer Hospital and Solove Research Institute
Present Positions: Chairman and Professor, Department of Microbiology and Immunology, and Director, Kimmel Cancer Center, Thomas Jefferson University, Jefferson Medical College

Name: WILLIAM L. MACDONALD
Title: Dean and Director
Regional
Campus: The Ohio State University - Newark
Term: July 9, 2004, through June 30, 2009
Present Position: Interim Dean and Director, The Ohio State University - Newark

Name: THAD M. MATTA
Title: Men's Head Basketball Coach
Department: Athletics
Term: July 8, 2004, through June 30, 2011
Present Position: Men's Head Basketball Coach, Xavier University, Cincinnati, OH

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Name: JOEL H. SALTZ
Title: Chair/Professor (The Dorothy M. Davis Chair in Cancer Research)

Department/
College: Biomedical Informatics/Medicine and Public Health

Term: July 1, 2004, through June 30, 2008

Name: ANTHONY D. STEAD
Title: Adjunct Associate Professor (The D. C. Kiplinger Chair in Floriculture)
College: Food, Agricultural, and Environmental Sciences
Term: July 1, 2004, through June 30, 2005
Present Position: Senior Lecturer, Plant Physiology and Development, School of Biological Sciences, Royal Holloway & Bedford New College, University of London

Name: WILLIAM CURTIS STEINER
Title: Senior Vice President for External Relations
Office: University Relations
Effective: On or before September 1, 2004
Present Position: Chairman and CEO, Steiner/Lesic Communications

Reappointments

Name: MICHAEL R. GREVER
Title: Chair/Professor (The Charles Austin Doan Chair of Medicine)

Department/
College: Internal Medicine/Medicine and Public Health
Term: July 1, 2004, through June 30, 2008

Name: W. JAMES HARPER
Title: Professor (The J. T. "Stubby" Parker Chair in Dairy Foods)
College: Food, Agricultural, and Environmental Sciences
Term: October 1, 2004, through September 30, 2005

Name: DAVID A. HIRSHLEIFER
Title: Professor (The Ralph W. Kurtz Chair in Finance)
College: The Max M. Fisher College of Business
Term: July 1, 2004, through June 30, 2009

Name: JERRY R. MENDELL
Title: Professor (The Helen C. Kurtz Chair in Neurology)
College: Medicine and Public Health
Term: July 1, 2004, through June 30, 2005

Name: MAC A. STEWART
Title: Vice Provost for Minority Affairs
Office: Academic Affairs
Effective: July 1, 2004

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Name: BENJAMIN R. STINNER
Title: Professor (W. K. Kellogg Foundation-endowed
chair in ecological management)
College: Food, Agricultural, and Environmental
Sciences
Term: July 1, 2004, through June 30, 2009

Appointment of Chairpersons

JOHN T. KISSEL*, Neurology, effective July 1, 2004, through June 30, 2005

MICHAEL KNOPP, Radiology, effective July 1, 2004, through June 30, 2006

*Interim

Reappointment of Chairpersons/Directors

MICHAEL R. GREVER, Internal Medicine, effective April 1, 2004, through June 30, 2008

KENT H. HOBLET, Veterinary Preventive Medicine, effective July 1, 2004, through June 30, 2008

MICHAEL B. HOWIE, Anesthesiology, effective July 1, 2004, through June 30, 2008

RICHARD A. MILLER, Industrial, Welding, and Systems Engineering, effective July 1, 2004, through September 30, 2004

W. MICHAEL SHERMAN, School of Physical Activity and Educational Services, effective July 1, 2004, through June 30, 2008

KEITH L. SMITH, Ohio State University Extension, effective July 1, 2004, through June 30, 2008

KRISHNASWAMY SRINIVASAN, Mechanical Engineering, effective July 1, 2004, through June 30, 2008

RICHARD P. SWENSON, Biochemistry, effective July 1, 2004, through June 30, 2008

NAWAL K. TANEJA, Aerospace Engineering and Aviation, effective July 1, 2004, through September 30, 2005

YUAN F. ZHENG, Electrical and Computer Engineering, effective July 1, 2004, through September 30, 2004

STUART H. ZWEBEN, Computer Science and Engineering, effective July 1, 2004, through September 30, 2004

Reappointment of Principal Administrative Official

JOSEPH A. ALUTTO, Executive Dean, Professional Colleges, effective July 1, 2004, through June 30, 2007, pursuant to rule 3335-1-03 (R) of the Administrative Code.

Leaves of Absence Without Salary

BERYL A. MILLER, Professor, Department of Dance, effective Autumn Quarter 2004, to work as Artistic Director of Bebe Miller Company.

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PRIYADARSHI BANERJEE, Assistant Professor, Department of Agricultural, Environmental, and Developmental Economics, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to accept a visiting Assistant Professorship, Department of Economics, Faculty of Arts and Sciences, Rutgers University-Newark, Newark, New Jersey.

REANNE FRANK, Assistant Professor, Department of Sociology, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to accept a post-doctoral appointment as a Robert Wood Johnson Health and Society Scholar at the Harvard University site, Princeton, New Jersey.

JULIA MEYER, Assistant Professor, Department of Physics, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to accept a post-doctoral position at Argonne National Laboratory.

Leave of Absence Without Salary--Cancellation

ALICE C. YEW, Assistant Professor, Department of Mathematics, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

Professional Improvement Leave

RICHARD D. KASS, Professor, Department of Physics, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

Emeritus Titles

LAWRENCE A. BROWN, Department of Geography, with the title Distinguished University Professor Emeritus, effective July 1, 2004.

SURINDER K. SEHGAL, Department of Mathematics, with the title Professor Emeritus, effective July 1, 2004.

ELSON L. CRAIG, Department of Ophthalmology, with the title Associate Professor Emeritus, effective July 1, 2004.

JAMES W. DOWNS, Department of Geological Sciences, with the title Associate Professor Emeritus, effective July 1, 2004.

WILLIAM W. HUNTER, JR., Department of Radiology, with the title Associate Professor Emeritus, effective July 1, 2004.

JANET F. LASTER, Department of Consumer Sciences, with the title Associate Professor Emeritus, effective July 1, 2004.

RONALD L. OVERMYER, Ohio State University Extension, with the title Associate Professor Emeritus, effective July 1, 2004.

MARY BETH WISE, Department of Internal Medicine, with the title Associate Professor Emeritus, effective July 1, 2004.

ROBERT C. VESSELS, College of Dentistry (Primary Care), with the title Associate Professor-Clinical Emeritus, effective July 1, 2004.

ROBERT P. CRIPE, Ohio State University Extension, with the title Assistant Professor Emeritus, effective October 1, 2004.

RICHARD A. GROVE, Ohio State University Extension, with the title Assistant Professor Emeritus, effective October 1, 2004.

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BILL R. HAYNES, Ohio State University Extension, with the title Assistant Professor Emeritus, effective July 1, 2004.

SARAH A. TJIOE, Department of Pharmacology, with the title Assistant Professor Emeritus, effective August 1, 2004.

ROBERT L. VERTREES, School of Natural Resources, with the title Assistant Professor Emeritus, effective July 1, 2004.

Promotions, Tenure, and Reappointments

COLLEGE OF THE ARTS

PROMOTION TO PROFESSOR

Constantinidis, Stratos E., Theatre - effective 10/1/04
Mudrak, Myroslava M., History of Art - effective 10/1/04
Sanders, Paul D., School of Music, Newark - effective 10/1/04
Uris, Victoria E., Dance - effective 10/1/04

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Rinaldo, Kenneth E., Art - effective 10/1/04
Stone, Reppard B., Industrial, Interior and Visual Communication Design -
effective 10/1/04
Williams, David, Theatre, Newark - effective 10/1/04
Williams, Kenneth T., School of Music - effective 10/1/04

TENURE

Will, Udo, School of Music - effective 10/1/04

COLLEGE OF BIOLOGICAL SCIENCES

PROMOTION TO PROFESSOR

Vaessin, Harald Emil F., Molecular Genetics - effective 10/1/04

THE MAX M. FISHER COLLEGE OF BUSINESS

PROMOTION TO PROFESSOR

Unnava, Hanumantha R., Marketing and Logistics – effective
10/1/04
Ward, Peter T., Management Sciences - effective 10/1/04

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Leiblein, Michael J., Management and Human Resources - effective 10/1/04
Peng, Mike W., Management and Human Resources - effective 10/1/04

COLLEGE OF DENTISTRY

PROMOTION TO PROFESSOR

Reiser, Peter J. - effective 7/1/04

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Carr, Michele P. - effective 7/1/04
Quan, Ning - effective 7/1/04
Wee, Alvin G. - effective 7/1/04

COLLEGE OF DENTISTRY
REGULAR CLINICAL

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Golden, William G. - effective 7/1/04

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Hall, David L. - effective 7/1/04
Reed, Daniel N. - effective 7/1/04

REAPPOINTMENT

Bauchmoyer, Susan M. - effective 7/1/05

COLLEGE OF EDUCATION

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Demerath, Peter, School of Educational Policy and Leadership - effective 10/1/04
Erchick, Diana B., School of Teaching and Learning, Newark - effective 10/1/04
Fink, Janet S., School of Physical Activity and Educational Services - effective 10/1/04
Goodway-Shiebler, Jacqueline D., School of Physical Activity and Educational Services – effective 10/1/04
Jones, Susan R., School of Educational Policy and Leadership - effective 10/1/04
Pape, Stephen J., School of Teaching and Learning - effective 10/1/04
Peterson, Stephanie M., School of Physical Activity and Educational Services - effective 10/1/04

COLLEGE OF ENGINEERING

PROMOTION TO PROFESSOR

Anderson, Betty L., Electrical and Computer Engineering - effective 10/1/04
Dey, Tamal, Computer Science and Engineering - effective 10/1/04
Lempert, Walter R., Mechanical Engineering - effective 10/1/04
Rathman, James F., Chemical and Biomolecular Engineering - effective 10/1/04
Washington, Gregory N., Mechanical Engineering - effective 10/1/04

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Allen, Theodore T., Industrial, Welding and Systems Engineering - effective 10/1/04
Elgamal, Hesham M., Electrical and Computer Engineering - effective 10/1/04
Grejner-Brzezinska, Dorota A., Civil and Environmental Engineering and Geodetic Science – effective 10/1/04
Lilly, Blaine W., Industrial, Welding and Systems Engineering - effective 10/1/04
Sivilotti, Paolo A., Computer Science and Engineering - effective 10/1/04
Tilder, Lisa M., Knowlton School of Architecture - effective 10/1/04
Turk, Stephen L., Knowlton School of Architecture - effective 10/1/04

COLLEGE OF FOOD, AGRICULTURAL AND ENVIRONMENTAL SCIENCES

PROMOTION TO PROFESSOR

Barringer, Sheryl A., Food Science and Technology - effective 7/1/04
Harrison, Steven K., Horticulture and Crop Science - effective 7/1/04
St-Pierre, Normand R., Animal Sciences - effective 7/1/04

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Kaletunc, Gonul, Food, Agricultural and Biological Engineering - effective 10/1/04
Kleinhenz, Matthew D., Horticulture and Crop Science - effective 7/1/04
Koontz, Tomas M., School of Natural Resources - effective 7/1/04
Ling, Peter P., Food, Agricultural and Biological Engineering - effective 7/1/04
Michel Jr., Frederick C., Food, Agricultural and Biological Engineering - effective 7/1/04
Quigley, Martin F., Horticulture and Crop Science - effective 7/1/04
Sharp, Jeff S., Human and Community Resource Development - effective 7/1/04
Tucker, Mark A., Human and Community Resource Development - effective 7/1/04
Wang, Guo-Liang, Plant Pathology - effective 10/1/04
Wick, Macdonald P., Animal Sciences - effective 7/1/04

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Williams, Roger A., School of Natural Resources - effective 7/1/04

AGRICULTURAL TECHNICAL INSTITUTE

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Amstutz, Michael D. - effective 7/1/04

Elder, D. Rose - effective 7/1/04

Steward, Larry G. - effective 7/1/04

OHIO STATE UNIVERSITY EXTENSION

PROMOTION TO ASSOCIATE PROFESSOR

Clark, Lois A. - effective 7/1/04

Recker, Nancy K. - effective 7/1/04

COLLEGE OF HUMANITIES

PROMOTION TO PROFESSOR

Gutierrez-Rexach, Javier, Spanish and Portuguese - effective 10/1/04

Hahn, Peter L., History - effective 10/1/04

Holland, Eugene W., French and Italian - effective 10/1/04

Horn, David G., Comparative Studies - effective 10/1/04

Martin, Lee, English - effective 10/1/04

Rosenstein, Nathan S., History - effective 10/1/04

Shuman, Amy E., English - effective 10/1/04

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Brew, Christopher H., Linguistics - effective 10/1/04

Breyfogle, Nicholas, History - effective 10/1/04

Frank, Daniel, Near Eastern Languages and Cultures - effective 10/1/04

Fredal, James A., English - effective 10/1/04

Hammermeister, Kai, Germanic Languages and Literatures - effective 10/1/04

Lerner, Mitchell B., History, Newark - effective 10/1/04

Sutton-Ramspeck, Beth, English, Lima - effective 7/1/04

Tanenbaum, Adena V., Near Eastern Languages and Cultures - effective 10/1/04

Wang, Jianqi, East Asian Languages and Literatures - effective 10/1/04

Wu, Judy T., History - effective 10/1/04

THE MICHAEL E. MORITZ COLLEGE OF LAW

PROMOTION TO PROFESSOR

Berman, Douglas A. - effective 8/16/04

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Fairman, Christopher M. - effective 8/16/04

Huefner, Steven F. - effective 8/16/04

TENURE

Beazley, Mary B. - effective 8/16/04

UNIVERSITY LIBRARIES

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Moeller, Sherry E. - effective 7/1/04

PROMOTION TO ASSOCIATE PROFESSOR

Diaz, Jose O. - effective 7/1/04

Schnell, Eric H. - effective 7/1/04

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COLLEGE OF MATHEMATICAL AND PHYSICAL SCIENCES

PROMOTION TO PROFESSOR

Casian, Luis G., Mathematics - effective 10/1/04
Joshua, Roy, Mathematics - effective 10/1/04
Lin, Shili, Statistics - effective 10/1/04
McCoy, Anne B., Chemistry - effective 10/1/04
Pei, Dehua, Chemistry - effective 10/1/04
Sandstede, Bjorn, Mathematics - effective 10/1/04

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Woodward, Patrick M., Chemistry - effective 10/1/04

COLLEGE OF MEDICINE AND PUBLIC HEALTH

PROMOTION TO PROFESSOR

Marsh, Clay B., Internal Medicine - effective 7/1/04
Newton, Herbert B., Neurology - effective 7/1/04
Sanders, Virginia M., Molecular Virology, Immunology, and Medical Genetics - effective 7/1/04

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Beattie, Christine E., Neuroscience - effective 10/1/04
Heiss, Deborah G., School of Allied Medical Professions - effective 7/1/04
Marcucci, Guido, Internal Medicine - effective 7/1/04
Popovich, Phillip G., Molecular Virology, Immunology, and Medical Genetics - effective 7/1/04
Robinson, Michael L., Pediatrics - effective 7/1/04
Yoon, Sung O., Molecular and Cellular Biochemistry - effective 10/1/04

TENURE

Brown, Anthony, Neuroscience - effective 10/1/04
Otterson, Gregory A., Internal Medicine - effective 7/1/04

COLLEGE OF MEDICINE AND PUBLIC HEALTH
REGULAR CLINICAL

PROMOTION TO PROFESSOR-CLINICAL

Kreger, Cynthia G., Internal Medicine - effective 7/1/04

PROMOTION TO PROFESSOR-CLINICAL AND REAPPOINTMENT

Love, Charles J., Internal Medicine - effective 7/1/04 and 7/1/05

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Agarwal, Anil K., Internal Medicine - effective 7/1/04
Hewitt, Geri D., Obstetrics and Gynecology - effective 7/1/04
Kloos, Richard T., Internal Medicine - effective 7/1/04

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Keder, Lisa M., Obstetrics and Gynecology - effective 7/1/04 and 7/1/05

REAPPOINTMENT

Allen, Elizabeth D., Pediatrics - effective 7/1/05
Bauer, Constance J., Radiology - effective 7/1/05
Benedetti, Costantino, Anesthesiology - effective 7/1/05
Brown, Robert T., Pediatrics - effective 7/1/05
Buchele, Brentley A., Surgery - effective 7/1/05
Clairmont, Albert C., Physical Medicine and Rehabilitation - effective 7/1/05
Dardani, Marcella, Radiology - effective 7/1/05

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Dull, Pamela A., Family Medicine - effective 7/1/05
Erdman, Steven H., Pediatrics - effective 7/1/05
Freeland, Richard A., Psychiatry - effective 7/1/05
Germak, John, Pediatrics - effective 7/1/05
Halim-Armanios, Mona Y., Anesthesiology - effective 7/1/05
Holland-Hall, Cynthia M., Pediatrics - effective 7/1/05
Kantor, Seth M., Internal Medicine - effective 7/1/05
Kennard, Elizabeth A., Obstetrics and Gynecology - effective 7/1/05
Lamb, James F., Internal Medicine - effective 7/1/05
Ledford, Cynthia H., Internal Medicine - effective 7/1/05
Lucas, Joel G., Pathology - effective 7/1/05
Mangino, Julie E., Internal Medicine - effective 7/1/05
Mihalov, Leslie K., Pediatrics - effective 7/1/05
Nash, Steven M., Neurology - effective 7/1/05
Penza, Sam L., Internal Medicine - effective 7/1/05
Pintz, Evelyn E., Internal Medicine - effective 7/1/05
Ryan, James M., Internal Medicine - effective 7/1/05
Stanek, Michael J., Internal Medicine - effective 7/1/05
Tsao, Chang-Yong, Pediatrics - effective 7/1/05
Wininger, David A., Internal Medicine - effective 7/1/05

COLLEGE OF NURSING
REGULAR CLINICAL

REAPPOINTMENT

Warren, Barbara J. - effective 10/1/05

COLLEGE OF OPTOMETRY
REGULAR CLINICAL

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND
REAPPOINTMENT

Nichols, Kelly K. – effective 7/1/04 and 7/1/05

REAPPOINTMENT

Earley, Michael J. – effective 7/1/05

COLLEGE OF PHARMACY

PROMOTION TO PROFESSOR

Dalton, James T. - effective 10/1/04

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Carnes, Cynthia A. - effective 10/1/04

COLLEGE OF PHARMACY
REGULAR CLINICAL

REAPPOINTMENT

Mehta, Bella H. - effective 7/1/05

COLLEGE OF SOCIAL AND BEHAVIORAL SCIENCES

PROMOTION TO PROFESSOR

Kwan, Mei Po, Geography - effective 10/1/04
McKee, Jeffrey K., Anthropology - effective 10/1/04
Myung, In Jae, Psychology - effective 10/1/04

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Devries, Anne C., Psychology - effective 10/1/04

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Eveland, William P., School of Communication - effective 10/1/04
Moody, James W., Sociology - effective 10/1/04
Morelli, Massimo, Economics - effective 10/1/04
Nathanson, Amy I., School of Communication - effective 10/1/04
Paxton, Pamela M., Sociology - effective 10/1/04
Sanbonmatsu, Kira, Political Science - effective 10/1/04

TENURE

Van Zandt, Patricia, Psychology - effective 10/1/04

COLLEGE OF SOCIAL WORK

PROMOTION TO PROFESSOR

Lee, Mo Yee - effective 10/1/04

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Balaswamy, Shantha - effective 10/1/04

COLLEGE OF VETERINARY MEDICINE

PROMOTION TO PROFESSOR

Kusewitt, Donna F., Veterinary Biociences - effective 10/1/04
Radin, M. Judith, Veterinary Biociences - effective 7/1/04

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

March, Philip A., Veterinary Clinical Sciences - effective 7/1/04
Mattoon, John S., Veterinary Clinical Sciences - effective 7/1/04

COLLEGE OF VETERINARY MEDICINE
REGULAR CLINICAL

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND
REAPPOINTMENT

Beard, Laurie A., Veterinary Clinical Sciences - effective 7/1/04 and 7/1/05

Medical Staff—Initial Appointments (The Arthur G. James Cancer Hospital and
Richard J. Solove Research Institute)

Julio C. Cruz, M.D., James Pathology, James Associate Attending, 4/9/2004 -
6/30/2005
Mohan Das, M.B.B.S., James Surgery, Vascular, James Associate Attending,
4/9/2004- 6/30/2005
Maria Katakai, M.D., Ph.D., James Neurology, James Associate Attending,
5/25/2004 - 6/30/2005
Raheela A. Khawaja, M.D., James Internal Medicine, Endocrinology, James
Associate Attending, 4/9/2004 - 6/30/2005
Margaret S. Lucas, P.A.-C, James Internal Medicine, Hematology/Oncology,
James Allied Health, 4/9/2004 - 6/30/2005
Sumit K. Seth, M.D., James Radiology, James Associate Attending, 5/5/2004 -
6/30/2004
Rajul Shah, M.D., James Radiology, James Associate Attending, 5/25/2004 -
6/30/2004
Merrill J. Shidler, M.D., James Family Medicine, James Community Associate,
5/25/2004 - 6/30/2004
Neeraj H. Tayal, M.D., James Internal Medicine, General Medicine, James
Associate Attending, 4/9/2004 - 6/30/2004
Sandra J. Victor, M.D., James Radiology, Radiation Oncology, James
Community Associate, 4/9/2004 - 6/30/2004

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Dr. Das received temporary privileges effective 3/1/2004
Dr. Khawaja received temporary privileges effective 2/20/2004
Dr. Seth received temporary privileges effective 5/5/2004
Dr. Tayal received temporary privileges effective 3/5/2004

Medical Staff—Provisional to Full Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Abbas E. Abbas, M.D., James Surgery, Thoracic/Cardio Surgery, James Attending, 4/9/2004 - 6/30/2005
Kristie A. Blum, M.D., James Internal Medicine, Hematology/Oncology, James Attending, 4/9/2004 - 6/30/2005
Salim O. Dahdah, M.D., James Internal Medicine, Cardiovascular Medicine, James Community Associate, 5/25/2004 - 6/30/2005
Renata B. Fabia, M.D., Ph.D., James Surgery, Pediatric Surgery, James Clinical Attending, 5/25/2004 - 6/30/2005
Jennifer L. Glatz, C.N.P., James Internal Medicine, Hematology/Oncology, James Allied Health, 5/25/2004 - 6/30/2005
Garrie J. Haas, M.D., James Internal Medicine, Cardiovascular Medicine, James Associate Attending, 5/25/2004 - 6/30/2005
Jeffrey Hazey, M.D., James Surgery, General Surgery, James Associate Attending, 4/9/2004 - 6/30/2005
Quazi M.A. Hossain, M.D., James Internal Medicine, General Medicine, James Community Associate, 4/9/2004 - 6/30/2005
Robert W. Jensen, M.D., James Neurology, James Associate Attending, 5/25/2004 - 6/30/2005
Brian D. Kenney, M.D., James Surgery, Pediatric Surgery, James Clinical Attending, 5/25/2004 - 6/30/2005
Rami N. Khayat, M.D., James Internal Medicine, Pulmonary, critical care and sleep medicine, James Associate Attending, 5/25/2004 - 6/30/2005
Matharbootham Mani, M.D., James Anesthesiology, James Associate Attending, 4/9/2004 - 6/30/2004
Gulam J. Mukhdomi, M.D., James Anesthesiology, James Associate Attending, 4/9/2004 - 6/30/2004
Benedict C. Nwomeh, M.D., James Surgery, Pediatric Surgery, James Associate Attending, 5/25/2004 - 6/30/2004
Sreelatha Pulakhandam, M.D., James Psychiatry, James Associate Attending, 4/9/2004 - 6/30/2004
Matthew W. Rosenberg, M.D., James Surgery, Plastic Surgery, James Associate Attending, 5/25/2004 - 6/30/2004
Nancy L. Stasik, P.A.-C, James Surgery, Thoracic/Cardio Surgery, James Allied Health, 4/9/2004 - 6/30/2004
Latha Venkatesh, M.D., James Internal Medicine, General Medicine, James Community Associate, 5/25/2004 - 6/30/2004
John J. Zulliger, M.D., James Otolaryngology, James Clinical Attending, 5/25/2004 - 6/30/2004

Medical Staff—Reappointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

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Cynthia M. Magro, M.D., James Pathology, James Associate Attending
Julie E. Mangino, M.D., James Internal Medicine, Infectious Disease, James Associate Attending
Guido Marcucci, M.D., James Internal Medicine, Hematology/Oncology, James Attending
Clay B. Marsh, M.D., James Internal Medicine, Pulmonary, critical care and sleep medicine, James Associate Attending
Richard A. Marsh, M.D., James Pathology, James Community Associate

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Edward W. Martin, Jr., M.D., James Surgery, Surgical Oncology, James Attending

Douglas D. Massick, M.D., James Otolaryngology, James Associate Attending

John G. Mastronarde, M.D., James Internal Medicine, Pulmonary, critical care and sleep medicine, James Associate Attending

Joel L. Mayerson, M.D., James Orthopaedics Surgery, James Attending

Mary J. McCafferty, M.D., James Psychiatry, James Associate Attending

B. Michele McCorvey, M.D., James Radiology, James Associate Attending

Jerry R. Mendell, M.D., James Neurology, James Associate Attending

James L. Moore, M.D., James Neurology, James Associate Attending

Carl D. Morrison, M.D., James Pathology, James Associate Attending

Marvin M. Nardelles, M.D., James Internal Medicine, General Medicine, James Community Associate

Richard N. Nelson, M.D., James Emergency Medicine, James Associate Attending

Herbert B. Newton, M.D., James Neurology, James Attending

Julie A. Niedermier, M.D., James Psychiatry, James Associate Attending

Gerard J. Nuovo, M.D., James Pathology, James Associate Attending

Crystl C. Osborn, M.D., James Psychiatry, James Community Associate

Gregory A. Otterson, M.D., James Internal Medicine, Hematology/Oncology, James Attending

William S. Pease, M.D., James Phys Med/Rehab, James Associate Attending

Todd E. Pesavento, M.D., James Internal Medicine, Nephrology, James Associate Attending

David M. Powell, M.D., James Otolaryngology, James Associate Attending

Rodney V. Pozderac, M.D., James Radiology, James Associate Attending

Manju L. Prasad, M.D., James Pathology, James Associate Attending

Sreelatha Pulakhandam, M.D., James Psychiatry, James Associate Attending

David N. Quinn, M.D., James Internal Medicine, Digestive Disease, James Associate Attending

Lisa R. Rader, C.N.P., James Internal Medicine, Hematology/Oncology, James Allied Health

Robert J. Ragosin, M.D., James Radiology, James Associate Attending

Abhik Ray Chaudhury, M.D., James Pathology, James Associate Attending

Matthew D. Ringel, M.D., James Internal Medicine, Endocrinology, Diab/Metabolism, James Associate Attending

John L. Robinson, M.D., James Internal Medicine, Cardiovascular Medicine, James Clinical Attending

John S. Rogoski, D.O., James Anesthesiology, James Associate Attending

Melissa Rosado-de-Christenson, M.D., James Radiology, James Clinical Attending

Brad H. Rovin, M.D., James Internal Medicine, Nephrology, James Associate Attending

Robert L. Ruberg, M.D., James Surgery, Plastic Surgery, James Associate Attending

Joel H. Saltz, M.D., Ph.D., James Pathology, James Associate Attending

Radu V. Saveanu, M.D., James Psychiatry, James Associate Attending

Dwight A. Scarborough, M.D., James Internal Medicine, Dermatology, James Clinical Attending

David E. Schuller, M.D., James Otolaryngology, James Attending

Dara P. Schuster, M.D., James Internal Medicine, Endocrinology, Diab/Metabolism, James Associate Attending

Rajul D. Shah, M.D., James Radiology, James Associate Attending

Rulong Z. Shen, M.D., James Pathology, James Associate Attending

Ganesh B. Shidham, M.D., James Internal Medicine, Nephrology, James Associate Attending

Ronald J. Siegle, M.D., James Otolaryngology, James Clinical Attending

Daljeet Singh, M.D., James Internal Medicine, Hematology/Oncology, James Community Oncologist

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Kelly A. Slavens, C.N.P., James Internal Medicine, Hematology/Oncology, James Allied Health
H. Wayne Slone, M.D., James Radiology, James Associate Attending
Robert H. Small, M.D., James Anesthesiology, James Associate Attending
Gaylynn J. Speas, M.D., James Anesthesiology, James Associate Attending
Dan N. Spetie, M.D., James Internal Medicine, Nephrology, James Associate Attending
Adam C. Spiess, M.D., James Otolaryngology, James Associate Attending
Dimitrios G. Spigos, M.D., James Radiology, James Associate Attending
Saul M. Suster, M.D., James Pathology, James Associate Attending
Larry R. Tate, M.D., James Pathology, James Associate Attending
Evan J. Tobin, M.D., James Otolaryngology, James Associate Attending
Katja R. Turner, M.D., James Anesthesiology, James Associate Attending
Manuel Tzagournis, M.D., James Internal Medicine, Endocrinology, Diab/Metabolism, James Associate Attending
Khariat Ahmed Uddin, M.D., James Internal Medicine, General Medicine, James Community Associate
Meade C. Van Putten, D.D.S., James Dentistry, James Associate Attending
George Varghese, M.D., James Internal Medicine, Nephrology, James Community Associate
Miguel A. Villalona-Calero, M.D., James Internal Medicine, Hematology/Oncology, James Attending
Aline V. Wang, M.D., James Anesthesiology, James Associate Attending
Jeffrey E. Weiland, M.D., James Internal Medicine, Pulmonary, critical care and sleep medicine, James Associate Attending
D. Bradley Welling, M.D., James Otolaryngology, James Associate Attending
Ping Wen, M.D., Ph.D., James Pathology, James Associate Attending
Judith A. Westman, M.D., James Internal Medicine, Clinical Cancer Genetics, James Associate Attending
Mark D. Wewers, M.D., James Internal Medicine, Pulmonary, critical care and sleep medicine, James Associate Attending
Gregory J. Wiet, M.D., James Otolaryngology, James Associate Attending
Craig E. Williams, M.D., James Psychiatry, James Associate Attending
Haifeng M. Wu, M.D., James Pathology, James Associate Attending
David O. Yablok, M.D., James Anesthesiology, James Associate Attending
James L. Young, Jr., M.D., James Psychiatry, James Associate Attending
Joseph S. Yu, M.D., James Radiology, James Associate Attending
Pan Zheng, M.D., Ph.D., James Pathology, James Associate Attending
John J. Zulliger, M.D., James Otolaryngology, James Clinical Attending

Medical Staff—Requests for Additional Privileges (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Harold Cook III, M.D., James Associate Attending, Anesthesiology, IDET, 5/25/2004 - 6/30/2005
Clay B. Marsh, M.D., James Associate Attending, Internal Medicine, Mod & Deep Sedation, 7/1/2004 - 6/30/2006
Edward Martin, Jr., M.D., James Attending, Surgery, Moderate Sedation, 7/1/2004 - 6/30/2006
John Mastronarde, M.D., James Associate Attending, Internal Medicine, Mod & Deep Sedation, 7/1/2004 - 6/30/2006
Richard N. Nelson, M.D., James Associate Attending, Emergency Medicine, Mod & Deep Sedation, Hyperbaric Medicine, Ultrasound, 7/1/2004 - 6/30/2006
William S. Pease, M.D., James Associate Attending, Phys Med/Rehab, Botox Injections, Electrodiagnostic Medicine, 7/1/2004 - 6/30/2006
Lisa R. Rader, C.N.P., James Allied Health, Internal Medicine, Prescriptive Authority, 7/1/2004 - 6/30/2006
David E. Schuller, M.D., James Attending, Otolaryngology, CO2 laser, 7/1/2004 - 6/30/2006

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Kelly A. Slavens, C.N.P., James Allied Health, Internal Medicine, Prescriptive Authority, 7/1/2004 - 6/30/2006
H. Wayne Slone, M.D., James Associate Attending, Radiology, Moderate Sedation, 7/1/2004 - 6/30/2006
Evan J. Tobin, M.D., James Associate Attending, Otolaryngology, CO2 laser, 7/1/2004 - 6/30/2006
Mark D. Wewers, M.D., James Associate Attending, Internal Medicine, Mod & Deep Sedation, 7/1/2004 - 6/30/2006
Gregory J. Wiet, M.D., James Associate Attending, Otolaryngology, CO2 laser, Surgical Yag, Tunable Dye, 7/1/2004 - 6/30/2006

Medical Staff—Requests for Change in Category (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Kiran K. Devulapally, M.D., Internal Medicine, James Associate Attending to Community Associate, 5/25/2004 - 6/30/2005
Quazi M.A. Hossain, M.D., Internal Medicine, James Associate Attending to Community Associate, 5/25/2004 - 6/30/2005
Stephen L. Houff, M.D., Internal Medicine, James Associate Attending to Community Associate, 5/25/2004 - 6/30/2005
Randy L. Mills, D.O., Internal Medicine, James Associate Attending to Community Associate, 5/25/2004 - 6/30/2004
Osita E. Okpalaoka, M.D., Internal Medicine, James Associate Attending to Community Associate, 5/25/2004 - 6/30/2004
Jeffrey G. Poulos, M.D., Internal Medicine, James Associate Attending to Community Associate, 5/25/2004 - 6/30/2004

RESOLUTIONS IN MEMORIAM

Resolution No. 2005-11

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

Ernest W. Leggett Sr.

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 26, 2004, of Ernest W. Leggett Sr., University Treasurer Emeritus in the Office of Business and Finance.

Ernest William Leggett Sr., a native of Midvale, Ohio, received his Bachelor of Science in Business Administration degree from The Ohio State University in 1948 and worked for the University for 28 years.

Upon his graduation, he served as an auditor for the public accounting firm of Arthur Young and Company. In 1950 he became an internal auditor for the Ohio State business staff, and nine years later was named assistant treasurer. He was promoted to assistant vice president by the Board of Trustees in 1965 and, a year later, was named treasurer of The Ohio State University Research Foundation. Leggett assumed the duties of controller in 1969.

Mr. Leggett was appointed treasurer by the Board of Trustees and treasurer of the Development Fund in 1971. He was responsible for the offices of Accounting, Auditing, Bursar, Contracts, Controller, Insurance, Investments, Payroll, Property Acquisition, and Travel. During his tenure as University treasurer, he instituted major enhancements to the University's financial systems including on-campus banking services, the Financial Accounting System (FAS), electronic cash registers in the Bursar's Office, and an automated scholarship

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and grant program. He converted the University's manual equipment inventory system to a modern automated system. Continually emphasizing effective cash management, he sought new ways to maximize University earnings on temporary investments.

He moved the Offices of Student Aid Accounting and the Bursar to Lincoln Tower in 1976 and, two years later, he moved the Office of the Treasurer's full operation to Lincoln Tower where it remained until 1988 when the Office of the Treasurer moved to Riverwatch Tower. He was made treasurer emeritus when he retired in 1978.

Ernest W. Leggett Sr. was an ultimate Buckeye. He and his wife of 57 years, Esther, both graduated from The Ohio State University and all 10 of his children attended Ohio State, resulting in 21 years of continuous attendance by the Leggett family.

On behalf of the University community, the Board of Trustees expresses to the family of University Treasurer Emeritus Ernest W. Leggett its deepest sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Edward C. McDonagh

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 21, 2004, of Edward C. McDonagh, Professor Emeritus in the Department of Sociology.

Professor McDonagh held a Bachelor of Arts degree (1937), a Master of Arts degree (1938), and a doctorate in sociology degree (1942), all from the University of Southern California.

Professor McDonagh served as assistant to the president of Southern Illinois University prior to his military service for World War II. At the conclusion of his service, he was appointed as a faculty member in the sociology department at the University of Southern California. During his tenure there he rose to the rank of professor, and served as dean of the Division of Social Sciences and Communications. In 1955 he was awarded a Smith-Mundt professorship to Sweden. In 1969 he joined the faculty at the University of Alabama as chairman of the sociology department. In 1971 he was appointed to the faculty of The Ohio State University and served as chairman of the Department of Sociology until in 1974, when he was appointed dean of the College of Social and Behavioral Sciences.

Professor McDonagh was an expert in race relations and social problems. He coauthored three widely-used textbooks on these topics, *Ethnic Relations in the United States*, *Analyzing Social Problems*, and *Social Problems: Persistent Challenges*. In addition, he authored or coauthored numerous articles published in sociology journals, and made many presentations to professional scholarly conferences.

Edward McDonagh was a member of Phi Beta Kappa and president of the united chapters of Alpha Kappa Delta, the national honorary society for sociology. From 1947 to 1969, he was associate editor of the scientific journal *Sociology and Social Research*. He was a fellow of the American Sociological Association, and served as co-chairman of its national conference committee in 1963. He was listed in *Who's Who in America*.

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Dr. McDonagh was an active member of the University community. He served on many committees, councils, and boards including the Athletic Council, a body on which he also served at the University of Southern California.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Edward C. McDonagh its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2005-12

Synopsis: The report on research and other sponsored program contracts and grants and the summary for May 2004 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of May 2004 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2005-13

Synopsis: The report on the receipt of gifts and the summary for May 2004 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of eight (8) new named endowed funds and the amendment of two (2) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of May 2004 be approved.

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TOTAL UNIVERSITY PRIVATE SUPPORT

July through May
2003-04 Compared to 2002-03

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through May		
	<u>2003-04</u>	<u>2002-03</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$29,619,192	\$31,972,969	(7)
Alumni (From Bequests)	<u>12,915,433</u>	<u>3,724,150</u>	247
Alumni Total	\$42,534,625	\$35,697,119	19
Non-Alumni (Current Giving)	\$23,026,302	\$16,629,275	38
Non-Alumni (From Bequests)	<u>10,822,931</u>	<u>5,514,401</u>	96
Non-Alumni Total	\$33,849,233	\$22,143,676	53
Individual Total	\$76,383,858	\$57,840,795	32 ^A
Corporations/Corp/Foundations	\$34,046,842	\$36,335,223	(6) ^B
Private Foundations	\$16,947,287	\$17,005,153	---
Associations and Other Organizations	<u>\$5,157,361</u>	<u>\$6,714,519</u>	(23) ^C
Total	\$132,535,348	\$117,895,690	12

NOTES

- A Individual giving is up 32% largely due to the fact that gifts from non-alumni are up 53% over last year (\$11.7 million more than one year ago).
- B Giving from corporations at the \$10,000 or more level is down 10% for July 2003 – May 2004 (\$26.6 million from 658 gifts this year; \$29.2 million from 618 gifts last year).
- C Giving from associations and other organizations at the \$10,000 or more level is down 43% for July 2003 - May 2004 (\$3.5 million from 101 gifts this year; \$5.0 million from 94 gifts last year).

GIFT RECEIPTS BY PURPOSE

	Dollars July through May		
	<u>2003-04</u>	<u>2002-03</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$38,249,816	\$21,901,905	75
Faculty Support	\$9,629,940	\$10,180,081	(5)
Program Support	\$60,926,696	\$61,972,712	(2)
Student Financial Aid	\$14,738,283	\$16,689,097	(12)
Annual Funds-Colleges/ Departments	\$5,557,886	\$6,115,889	(9)
Annual Funds-University	<u>\$3,432,727</u>	<u>\$1,036,006</u>	231
Total	\$132,535,348	\$117,895,690	12

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GIFT ADDITIONS TO ENDOWMENT

Dollars
July through May

<u>2003-04</u>	<u>2002-03</u>	<u>% Change</u>
\$39,409,954	\$35,843,141	10

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Leone Furste, R.N., Research, Education, Traveling, and Publishing Memorial Fund (Upon the death of Edna L. Watson, the fund will be used To support research, education, traveling, and publishing for students and faculty in the College of Nursing; provided by a gift from the estate of Dr. Wesley L. Furste II)		\$250,000.00	\$250,000.00
The Dr. Paul A. Toplek Orthodontic Award Fund (Used for awards to superior graduating dental students who have selected the specialty of orthodontics; provided by gifts from Dr. Brian E. Crock and friends)		\$33,612.99	\$33,612.99
The Honda Research Institute (HRI) USA Scholarship Fund (Used to attract and support academically promising undergraduates who will be identified as HRI scholars; provided by a gift from the Honda Research Institute, USA, Inc.)		\$25,000.00	\$25,000.00

Change in Name and Description of Named Endowed Funds

From:	The Donna Sell Kohlhepp and Daniel B. Kohlhepp Scholarship Fund
To:	The Donna Sell Kohlhepp Endowed Scholarship Fund in Advance Practice Nursing
From:	The Charles F. Sinsabaugh M.D. Medical Endowment Fund
To:	The Charles F. Sinsabaugh Chair Fund in Psychiatry

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THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The David Spriggs Family Scholarship Fund (Used for a law student with an undergraduate GPA of 3.25-3.75 who has demonstrated great promise through community service, leadership or hardship; provided by a gift from Barbara Spriggs)		\$50,000.00	\$50,000.00
The Dr. Susumu W. Shimokon Dental Scholarship Fund (Used to provide annual awards to students attending the College of Dentistry, with preference given to students who are married with a family; provided by a gift from Dr. Susumu W. Shimokon)		\$26,000.00	\$26,000.00
Scott/Staats Psychology Endowment Fun (Used to fund the annual Scott/Staats Psychology Award offered to an OSU-Newark psychology student; provided by gifts from the estate of Ruth Scott and Dr. Sara Staats)		\$25,025.00	\$25,025.00
The Gloria Brothers Endowed Scholarship Fund for Student Exchange between Ohio State and Université de Rennes 2, Rennes, France (Used for a second-year undergraduate student majoring in French language, literature, or history; provided by gifts from Fred Brothers)		\$25,000.00	\$25,000.00
The Selma and Alexander W. Erlen Endowment Fund for Doctoral Support (Used to support a doctoral student in the College of Social Work who has an interest in community service; provided by gifts from Judith A. and Jonathon Erlen)		\$25,000.00	\$25,000.00
Total		\$459,637.99	\$459,637.99

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Funds

The Leone Furste, R.N., Research, Education, Traveling,
and Publishing Memorial Fund

The Leone Furste, R.N., Research, Education, Traveling, and Publishing Memorial Fund in the College of Nursing at The Ohio State University was established July 9, 2004, by the Board of Trustees of The Ohio State University with a gift from the estate of Dr. Wesley L. Furste II, M.D., FACS, Columbus, Ohio, in memory of his wife, Leone Furste.

During the lifetime of Edna L. Watson, the annual distribution from this fund shall be distributed as follows:

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85 percent of the distribution amount shall be distributed to Edna L. Watson in monthly distributions, and 15 percent of the distribution amount shall be reinvested in the corpus of the fund.

Upon the death of Edna L. Watson, the annual distribution from this fund shall be used to support research, education, traveling, and publishing for students and faculty at The Ohio State University College of Nursing. The dean, the assistant dean, the associate deans and the faculty of the College of Nursing shall select the recipients.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean, associate deans, fiscal officer, and appropriate faculty of the College of Nursing. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$250,000.00

The Dr. Paul A. Toplek Orthodontic Award Fund

The Dr. Paul A. Toplek Orthodontic Award Fund was established July 9, 2004, by the Board of Trustees of The Ohio State University with gifts from Dr. Brian E. Crock (D.D.S., 1988; M.S., 1991) of Granville, Ohio, and friends in memory of Dr. Paul A. Toplek (D.D.S., 1990; M.S., 1993).

The annual distribution from this fund shall be used to award a certificate, a plaque with the recipient's name, and a cash award to graduating dental students who have demonstrated superior effort and abilities and have selected the specialty of orthodontics for further training. Selection of the award recipients shall be made by the head of the Section of Orthodontics in consultation with the University Committee on Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Dentistry and the head of the Section of Orthodontics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$33,612.99

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The Honda Research Institute (HRI) USA Scholarship Fund

The Honda Research Institute (HRI) USA Scholarship Fund was established July 9, 2004, by the Board of Trustees of The Ohio State University with a gift from the Honda Research Institute, USA, Inc. of Mountain View, California.

The annual distribution from this fund shall be used by the Department of Materials Science and Engineering to attract and to support academically promising undergraduates who will be identified as HRI Scholars. The Department will notify HRI Inc. of the selections in a timely manner so that HRI Inc., to the greatest extent possible, is able to offer these students exciting and meaningful internships for the summer preceding the scholarship year. The criteria for selection will be: full-time student status, materials science and engineering major, superior grade point average, demonstrated leadership potential and high personal standards, strong communications skills, and a strong interest in working with HRI Inc. as an intern, co-op, and/or post-graduate employee. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the chairperson of the Department of Materials Science and Engineering. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

Change in Name and Description of Named Endowed Funds

The Donna Sell Kohlhepp Endowed Scholarship Fund in Advance Practice Nursing

The Donna Sell Kohlhepp and Daniel B. Kohlhepp Scholarship Fund was established April 2, 1993, by the Board of Trustees of The Ohio State University with a gift from Daniel B. Kohlhepp (Ph.D., 1974) and Donna Sell Kohlhepp (B.S.Nurs., 1974) of Baltimore, Maryland. The name and description were revised July 9, 2004.

The annual distribution from this fund shall be used to support scholarships for graduate students at The Ohio State University College of Nursing. All scholarships are to be awarded on both merit and financial need and can be used for recruiting purposes. The dean of the College of Nursing, the assistant dean, the associate deans, and the faculty who teach the graduate level courses shall select the recipients.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the university's costs of development and fund management.

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It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Nursing, associate deans, fiscal officer, and the faculty who teach graduate level courses. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

The Charles F. Sinsabaugh Chair Fund in Psychiatry

The Charles F. Sinsabaugh M.D. Medical Endowment Fund was established May 3, 1996, by the Board of Trustees of The Ohio State University with gifts from Charles F. Sinsabaugh, M.D. (B.A. *cum laude* and *with High Distinction*, 1947; M.D. *summa cum laude*, 1951) of Newark, Ohio. The name and description were revised July 9, 2004.

The annual distribution will be reinvested to principal until the fund reaches at least \$1,500,000. Then the fund is to be used to establish The Charles F. Sinsabaugh Chair in Psychiatry in the College of Medicine and Public Health. The chair position shall be held by a nationally eminent faculty member, with a preference in schizophrenia or a related psychiatric field, as recommended by the chairperson of the Department of Psychiatry in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. The activities of the endowed chair holder shall be reviewed no less than every five years by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health to determine compliance with the intent of the donor as well as the academic and research standards of the University.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The David Spriggs Family Scholarship Fund

The David Spriggs Family Scholarship Fund was established July 9, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Barbara Spriggs, widow of David M. Spriggs (B.S., 1955), of Rancho Cucamonga, California.

The annual distribution from this fund shall be used to support a scholarship for a law student with an undergraduate academic performance of 3.25-3.75 grade point average who has demonstrated great promise through community service, leadership or hardship. The scholarship shall be applied for annually with consideration given to an entering student and the second- or third-year student

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who received The David Spriggs Family Scholarship in the previous year. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by dean of The Michael E. Moritz College of Law. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$50,000.00

The Dr. Susumu W. Shimokon Dental Scholarship Fund

The Dr. Susumu W. Shimokon Dental Scholarship Fund was established July 9, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Dr. Susumu William Shimokon (D.D.S., 1955) of Seattle, Washington.

The annual distribution from this fund shall be used to provide an annual award to support students attending The Ohio State University College of Dentistry, with preference given to students who are married with a family. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Dentistry. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$26,000.00

Scott/Staats Psychology Endowment Fund

The Scott/Staats Psychology Endowment Fund was established July 9, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from the estate of Ruth Scott, associate professor emeritus of psychology at The Ohio State University-Newark, and Dr. Sara Staats (B.S., 1952; M.A., 1954; Ph.D., 1958), professor of psychology at The Ohio State University-Newark.

July 9, 2004 meeting, Board of Trustees

The annual distribution from this fund shall be used to fund the annual Scott/Staats Psychology Award offered to an OSU-Newark psychology student, as well as the costs associated with offering the award. The remainder of the distribution shall be used at the discretion of the OSU-Newark psychology faculty, with preference to support for promoting the development of a master of psychology degree program at OSU-Newark that would have the training of teachers of psychology as a central goal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by The Ohio State University-Newark psychology tenured and tenure-track faculty. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,025.00

The Gloria Brothers Endowed Scholarship Fund for Student Exchange Between Ohio State and Université de Rennes 2, Rennes, France

The Gloria Brothers Endowed Scholarship Fund for Student Exchange Between Ohio State and Université de Rennes 2, Rennes, France, was established July 9, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Fred Brothers in honor of his sister, Gloria, who studied in Rennes.

The annual distribution from this fund shall be used to support a second-year undergraduate student, with preference given to a student from a traditionally underrepresented group, majoring in French language, literature, or history, with a minimum 3.0 GPA and a sincere motivation for study abroad. Consideration should be given to financial need. These criteria apply to the corresponding student from Université de Rennes 2. Applications will be reviewed by the Undergraduate Studies Committee and the chairperson of the Department of French and Italian.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Office of International Education in consultation with the chairperson of the Department of French and Italian. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

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The Selma and Alexander W. Erlen Endowment Fund for Doctoral Support

The Selma and Alexander W. Erlen Endowment Fund for Doctoral Support was established July 9, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from their daughter-in-law and son, Judith A. and Jonathon Erlen of Pittsburgh, Pennsylvania.

The annual distribution from this fund shall be used to support a doctoral student in the College of Social Work who has an interest in community service.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Social Work. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

REQUEST FOR DESIGN AND CONSTRUCTION CONTRACTS

Resolution No. 2005-14

REQUEST FOR DESIGN CONTRACTS

UNIVERSITY HALL RENOVATION – PHASE I

REQUEST FOR CONSTRUCTION CONTRACTS

ATI - RESURFACE GARRISON CIRCLE EAST

ATI - VARIOUS CONCRETE WORK

CAMPUS GROUNDS - EXTERIOR LIGHTING PHASE VIII

DOAN HALL – RENOVATION OF SUITE 236 PET/CT UNIT

FRY HALL ADDITION

GRAVES HALL - ULAR RENOVATIONS

HITCHCOCK HALL - NORTH ENTRANCE IMPROVEMENTS

MANSFIELD CAMPUS - ADMINISTRATION AND CLASSROOM BUILDING

OARDC - FOOD ANIMAL HEALTH RESEARCH PROGRAM ROOF

REPLACEMENT

OARDC - INTERIOR LIGHTING UPGRADES - GERLAUGH AND RESEARCH

OPERATIONS

OSU GOLF COURSE - PESTICIDE BUILDING

WOSU RENOVATION

Synopsis: Authorization to enter into design contracts for the University Hall Renovation - Phase I project and construction contracts for the ATI - Resurface Garrison Circle East, ATI - Various Concrete Work, Campus Grounds - Exterior Lighting Phase VIII, Doan Hall - Renovation of Suite 236 PET/CT Unit, Fry Hall Addition, Graves Hall - ULAR Renovations, Hitchcock Hall - North Entrance Improvements, Mansfield Campus - Administration and Classroom Building, OARDC - Food Animal Health Research Program Roof Replacement, OARDC - Interior Lighting Upgrades - Gerlaugh and Research Operations, OSU Golf Course - Pesticide Building, and WOSU Renovation projects is requested.

July 9, 2004 meeting, Board of Trustees

WHEREAS the University desires to renovate University Hall in order to construct an office suite for the Executive Dean of the Federation of the Colleges of the Arts and Sciences; and

WHEREAS the preliminary project cost estimate for Phase I is \$500,000, with funding to be provided by the Colleges of the Arts and Sciences and the Office of Academic Affairs; and

WHEREAS the University desires to seal and resurface Garrison Circle East roadways and the east parking lot at the Agricultural Technical Institute; and

WHEREAS the construction document project cost is \$85,000, with funding to be provided by HB 675; and

WHEREAS the University desires to replace the Skou Hall south entry, Bee Lab entry, and miscellaneous sidewalks at the Agricultural Technical Institute; and

WHEREAS the construction document project cost is \$50,000, with funding provided by HB 675; and

WHEREAS the University desires to replace and add exterior site lighting along Neil Avenue and other areas of the Columbus Campus to improve safety conditions as part of Phase VIII of the Campus Grounds Exterior Lighting Project; and

WHEREAS the construction document project cost is \$500,000, with funding provided by HB 675; and

WHEREAS the University desires to renovate a section of the Radiology Files Room 236 in Doan Hall to install a PET/CT imaging unit; and

WHEREAS the construction document project cost is \$534,300, with funding to be provided by the James Cancer Hospital and Solove Research Institute; and

WHEREAS the University desires to construct a three floor addition to the existing Fry Hall to house clinical and office areas for patient-based research for the College of Optometry; and

WHEREAS the construction document project cost is \$8,100,000, with funding to be provided by Optometry Development funds (\$1,000,000), Optometry General Finds (\$500,000), the Board of Regents (\$1,000,000), the National Institute of Health Grant (\$2,000,000), and HB 675 (\$3,600,000); and

WHEREAS the University desires to renovate the Vivarium at Graves Hall for University Laboratory Animal Resources which requires the placement of temporary mouse buildings on the Grave Hall site; and

WHEREAS the construction document project cost has increased due to additional site work required for the construction of the temporary modular mouse buildings; and

WHEREAS the revised project cost is \$1,029,477, with funding to be provided by the Board of Regents (\$189,443), the National Institute of Health Grant (\$378,885), and the Office of Research (\$461,149); and

WHEREAS the University desires to improve the vestibule, door hardware, pavement, lawn and sidewalk of Hitchcock Hall at the north entrance from Woodruff Avenue; and

July 9, 2004 meeting, Board of Trustees

WHEREAS the construction document project cost is \$250,000, with funding to be provided by HB 675; and

WHEREAS Mansfield Campus desires to construct a new Administration and Classroom Building to alleviate current space restraints and accommodate the expected growth of students; and

WHEREAS the construction document project cost is \$7,820,976, with funding to be provided by University bond proceeds (\$6,500,000), with debt service to be paid by the Mansfield Campus, and Mansfield Development Funds (\$1,320,976); and

WHEREAS the University desires to replace the roof on the Food Animal Health Research building at OARDC; and

WHEREAS the construction document project cost is \$75,000, with funding to be provided by HB 675; and

WHEREAS the University desires to upgrade the existing interior lighting in the Gerlaugh and Research Operations buildings at OARDC; and

WHEREAS the construction document project cost is \$75,000, with funding to be provided by HB 675; and

WHEREAS the University desires to construct a pesticide building at the University Golf Course to comply with State and Federal regulations; and

WHEREAS the preliminary project cost estimate is \$160,355, with funding to be provided by Athletics Auxiliary funds; and

WHEREAS the University desires to renovate existing space in the Fawcett Center to create radio studios, a radio reception area, teleconference rooms, and space for digital transmission equipment for use by WOSU Stations; and

WHEREAS the construction document project cost has increased due to additional work required by the State Fire Marshall and Division of Industrial Compliance to bring the building egress up to current life safety codes; and

WHEREAS the revised construction document project cost is \$992,524, with funding to be provided by a University line of credit to be paid by WOSU Stations:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into design contracts for the University Hall Renovation - Phase I project, in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into construction contracts, if satisfactory bids are received, for the ATI - Resurface Garrison Circle East, ATI - Various Concrete Work, Campus Grounds - Exterior Lighting Phase VIII, Doan Hall - Renovation of Suite 236 PET/CT Unit, Fry Hall Addition, Graves Hall - ULAR Renovations, Hitchcock Hall - North Entrance Improvements, Mansfield Campus - Administration and Classroom Building, OARDC - Food Animal Health Research Program Roof Replacement, OARDC - Interior Lighting Upgrades - Gerlaugh and Research Operations, OSU Golf Course - Pesticide Building, and

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WOSU Renovation projects in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time.

(See Appendix I for background information and maps, page 55.)

**INTERIM AUTHORIZATION TO ENTER INTO DESIGN, CONSTRUCTION
MANAGEMENT, AND CONSTRUCTION CONTRACTS**

Resolution No. 2005-15

Synopsis: Authorization to enter into design, construction management, and construction contracts for University capital projects as necessary prior to the September Board of Trustees meeting is requested.

WHEREAS to support the Academic Plan, ensure timely design and construction of University facilities and improvements, and make the most effective use of limited financial resources, the University desires to move forward expeditiously with needed capital projects, subject to approval by the Board of Trustees; and

WHEREAS the next scheduled meeting of the Board of Trustees is on September 22, 2004; and

WHEREAS during the intervening period, the Board desires to facilitate such approvals, subject to appropriate review and oversight:

NOW THEREFORE

BE IT RESOLVED, That in the intervening period before the next regularly scheduled meeting of the Board of Trustees on September 22, 2004, the Chair of the Fiscal Affairs Committee, in consultation with the Committee and with the Chair of the Board of Trustees as appropriate, shall have the authority, on behalf of the Board of Trustees, to authorize design, construction management, and construction contracts, and approve other related actions, for University capital projects; and

BE IT FURTHER RESOLVED, That, during this period, as approved by the President, the Senior Vice President for Business and Finance shall present any needed actions to authorize design, construction management, and/or construction contracts or take any related actions for capital projects to the Chair of the Fiscal Affairs Committee, as she may direct, for review and approval; and

BE IT FURTHER RESOLVED, That, in accordance with the process outlined above and subject to the written approval of the Chair of the Fiscal Affairs Committee, the President and/or Senior Vice President for Business and Finance shall be authorized to enter into design contracts and construction management contracts and enter into construction contracts, if satisfactory bids are received, and take any other related actions on capital projects, in accordance with established University and State of Ohio procedures, with these actions to be reported to the Board at the September 2004 meeting; and

BE IT FURTHER RESOLVED, That the authority granted by this resolution shall expire as of the September 2004 meeting.

GIFT OF REAL PROPERTY

Resolution No. 2005-16

506 PARK OVERLOOK DRIVE
WORTHINGTON, OHIO

Synopsis: Authorization to accept a gift of improved real property located at 506 Park Overlook in Worthington, Ohio, is proposed.

WHEREAS the Board of Trustees of The Ohio State University is presented with an opportunity to accept a gift of improved real property located at 506 Park Overlook Drive in Worthington, Ohio, and owned by the Harding Asset Holding Company; and

WHEREAS the appropriate University offices have determined that accepting the gift of this property is in the best interest of the University; and

WHEREAS funding for the maintenance and operation of this property will be provided by University Hospitals:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized, in the name of the State of Ohio for the use of The Ohio State University, to accept the gift of improved real property located at 506 Park Overlook Drive, Worthington, Ohio, from the Harding Hospital Asset Holding Company, upon such terms and conditions as are deemed to be in the best interest of the University.

(See Appendix II for background information and map, page 85.)

**ESTABLISHMENT OF A ONE-TIME SEPARATION INCENTIVE PROGRAM
FOR THE OFFICE OF STUDENT AFFAIRS**

Resolution No. 2005-17

Synopsis: Approval of the proposed one-time separation incentive program for the Office of Student Affairs for workforce reduction and reorganization is recommended.

WHEREAS the Board of Trustees of The Ohio State University is vested with authority, pursuant to Chapter 3335 of the Revised Code to adopt bylaws, rules and regulations governing the operations of the University, including but not limited to, fixing of compensation for employees of the University; and

WHEREAS in 2002 the Board of Trustees approved a Severance Program, wherein a table of salary and benefit continuation is provided for those who are involuntarily separated from the University; and

WHEREAS the economic value of severance benefits could be constructively utilized to provide incentives for voluntary employment separation when workforce reductions are needed, minimizing the necessity for the disruption of involuntary separations; and

WHEREAS the Office of Student Affairs is implementing staffing changes in FY 2005 as part of an overall effort to redesign jobs, improve service, and increase operating efficiencies; and

July 9, 2004 meeting, Board of Trustees

WHEREAS the budgetary reduction resulting from the staffing changes currently is estimated at \$1 million, subject to additional review and analysis; and

WHEREAS the University desires to institute a one-time Separation Incentive Program for the Office of Student Affairs to assist in facilitating a speedier transition to the new staffing profile and mitigating the need for involuntary layoffs; and

WHEREAS such a program would provide cash payments and related economic incentives of such economic value that is within the range of the previously adopted severance program benefits:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby authorizes the Executive Vice President and Provost and the Associate Vice President for Human Resources to implement a one-time Separation Incentive Program for the Office of Student Affairs, as described in Attachment A, to be effective immediately; and

BE IT FURTHER RESOLVED, That the University shall report back to the Board of Trustees at the appropriate time on the operation of such programs.

(See Appendix III for background information, page 87.)

FY 2005 ATI AND REGIONAL CAMPUS TUITION

Resolution No. 2005-18

SECOND VOTE

Synopsis: Instructional and general fees for undergraduate students enrolled at ATI and the Regional Campuses for FY 2005, effective Autumn Quarter 2004, are proposed.

WHEREAS consultations have taken place among ATI and the Regional Campuses to determine the appropriate instructional and general fee increases for all students; and

WHEREAS undergraduate instructional and general fees are subject to a 9.9% fee cap before adjustments for the Access Challenge offset and no more than a 6.0% increase can be approved in a single vote of the Board of Trustees; and

WHEREAS the Board of Trustees approved a 6.0% increase in undergraduate instructional and general fees, before adjustments for Access Challenge offsets, at ATI and the Regional Campuses at the June 4, 2004 meeting, with the intention of a subsequent vote to increase tuition to the full 9.9%; and

WHEREAS increases in undergraduate instructional and general fees at the Agricultural Technical Institute and the Regional Campuses above 6.0% are to be earmarked for student financial aid and student technology:

NOW THEREFORE

BE IT RESOLVED, That instructional and general fees for both upper and lower division undergraduates enrolled at ATI and the Regional Campuses be increased an additional 3.9%, for a total of 9.9% before adjustments for Access Challenge; and

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BE IT FURTHER RESOLVED, That the additional 3.9% increase will be earmarked for undergraduate student financial aid and student technology; and

BE IT FURTHER RESOLVED, That all of these increases shall be effective Autumn Quarter 2004.

SPONSORED DEPENDENT HEALTHCARE BENEFITS

Resolution No. 2005-19

WHEREAS the University's Academic Plan and the Diversity Action Plan provide that The Ohio State University must compete for diverse, world-class faculty, staff members, graduate associates, and students; and

WHEREAS nine of the 11 CIC institutions, other universities and employers in Ohio, and many other universities and employers nationally are providing access to healthcare coverage for a broader group of dependents; and

WHEREAS a sponsored dependent healthcare benefit program will provide a strategic competitive advantage for Ohio State to recruit and retain those faculty, staff, graduate associates, and students who need access to benefits for partners, parents, grandchildren, and children of partners, who are financially dependent or interdependent; and

WHEREAS such access would be valued, and the University Staff Advisory Committee, Faculty Compensation and Benefits Committee, Council of Graduate Students, Undergraduate Student Government, Inter-Professional Council, University Senate, Council on Student Affairs, and other University groups, by resolution or affirmation, all have requested that the University implement such access to healthcare coverage:

NOW THEREFORE

BE IT RESOLVED, That the Offices of Human Resources and Student Affairs be authorized and directed to implement changes to the University's healthcare benefits plans for faculty, staff members, graduate associates, and students to provide access to healthcare benefits for their sponsored dependents, as outlined on the attached summary of sponsored dependent benefit program elements; and

BE IT FURTHER RESOLVED, That these plan changes shall be effective for Fiscal Year 2004-05 as set forth in Appendix IV.

(See Appendix IV for background information, page 89.)

Upon motion of Mr. Borrer, seconded by Mr. Slane, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Slane, Borrer, O'Dell, Hicks, and Judge Duncan, Meses. Longaberger, and Hendricks.

PRESENTATION ON THE FY 2005 BUDGET

Provost Barbara R. Snyder: [PowerPoint Presentation]

We are pleased to be here today to present this summary of the University's budget for FY 2005. I will be doing the first half and my partner Bill Shkurti, senior vice president for Business and Finance, will take the second half.

These principles that you see before you are the principles that guided our budget decisions. As always, the most important principle is that the budget must be aligned with our academic priorities as set forth in the Academic Plan and the Leadership Agenda. We have organized this report around the three core short-term priorities in the President's Leadership Agenda. We recognize the importance of assuring that the colleges have sufficient funds to carry out their missions, and that we need to continue operating efficiently, and we are guided by our obligation to be excellent financial stewards.

Here you have the three short-term areas of focus from the Academic Plan that were picked out in the President's Leadership Agenda as our top short-term priorities. Those are: 1) conducting cutting-edge interdisciplinary research; 2) providing distinctive educational experiences for undergraduates that build on our strengths as a research university with faculty expertise over a broad range of disciplines; and 3) engaging in outreach efforts to serve the people of Ohio in the 21st century.

Looking at the first area of focus -- the cutting-edge interdisciplinary research -- you can see where our money is going. We have allocated over \$12.7 million in one-time funds to support interdisciplinary research. Of these funds, \$7.7 million will support biomedical research in our Medical Center and in the College of Medicine and Public Health. About \$5 million will support a variety of programs, including the large interdisciplinary grant program sponsored by the Office of Research and designed to support interdisciplinary teams of faculty members in the preparation of major interdisciplinary grant proposals to federal funding agencies like the NIH and the NSF; and the arts and humanities grants program, also sponsored by the Office of Research, supported by the Office of the President, and designed to support multidisciplinary scholarly work in the arts and humanities.

Over \$2 million in continuing funds will support other multidisciplinary research in the University including: the Comprehensive Cancer Center, which involves faculty members from 13 colleges across our campus; our libraries, which serve the research needs of our faculty and students from all of our colleges; the Office of Technology Licensing, which helps to protect and commercialize intellectual property developed at Ohio State; and the Office of Responsible Research Practices, which is responsible for managing research risks and ensuring compliance with applicable regulatory requirements.

Our second area of focus is distinctive educational experiences for undergraduates. As you can see, we have almost \$2 million in one-time funds and \$11.5 million in continuing funds to support undergraduates. Most of the one-time funds will be used to fully fund the student activity fee, which is being phased in for students over three years. Central funds, including funds from the President's Office, were used during the phase-in period to make the funds fully available to student organizations during the period in which the fee is being phased in.

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Over \$5 million in continuing funds was earmarked for student financial aid and \$3.4 million was earmarked for instructional technology. As we have explained in a prior presentation, the fee to support the new student recreation center will be phased in. The first part will be collected when the first building opens later this year and the second part, when the main Larkins replacement opens.

One-half million dollars will go for the first phase of the Ohio State 2008 enrollment plan. Our plan is to improve the average ACT score for our students entering in the freshman class of Fall 2008 to a 27, and that will involve recruitment efforts and ultimately scholarship dollars as well.

Our third area of focus is outreach and engagement and most of the funds here are one-time funds. The largest chunk, \$1 million, will go to support the childcare center that will be built in Weinland Park, a neighborhood south and east of our campus. This is an initiative that is being led by the College of Human Ecology and its dean, David Andrews.

An amount of \$669,000 will go to support the digital conversion of our WOSU stations, \$500,000 will go to support the transition to an Office of External Relations, and a small amount of continuing funds are for the Washington, DC, office of our Government Relations group and for University Relations.

As I mentioned, it is critical that we provide resources to enable our colleges to fulfill their missions of teaching, research, and service. The total increase in general funds to our college budgets is \$26.3 million. If you look in your appendix on page 13, you can see the breakdown by college. The average increase is 5.5 percent, but the range goes from a low of 1.5 percent increase in the Fisher College of Business to a high of 16.3 percent in the College of Pharmacy. Those changes are, for the most part, driven by changes in enrollment. The Fisher College of Business had planned for its smaller increase this year, so they will be doing okay.

You can see on page 12 of your appendix a breakdown by college of the sources of funds. Looking at general funds in that appendix, you will see at the top the colleges that get most of their funding from general funds. Starting at the top with the College of Social Work and then the College of Humanities, those both receive more than 90 percent of their budgets from general funds. Then at the other end we have the College of Food, Agricultural, and Environmental Sciences, which receives about 16 percent of its funding from general funds.

Our colleges will be doing pay increases averaging 3.2 percent and our benefits will be going up nine percent. This includes the increase in benefits for our graduate associates, which we have already talked to you about.

We also have \$2.8 million in the rebasing of our budgets, which was a project begun by my predecessor, Dr. Ed Ray. Of that \$2.8 million, \$1.2 million will be going to the Colleges of the Arts and Sciences.

At this point, I will turn it over to Bill.

Mr. William J. Shkurti:

Barbara mentioned the three areas of focus. In addition, we have recommended the allocation of additional resources to supporting the teaching and learning environment which supports these functions, and those are listed in the chart in front of you. I went over most of these in the Fiscal Affairs Committee, so I will not do so in detail. I would just like to highlight a couple of things.

July 9, 2004 meeting, Board of Trustees

The \$1.5 million in one-time funds for public safety and security is a gradual project of replacing all our security systems in campus buildings to make them more up-to-date. That is the second part of a two-phase plan, the first part of which was funded last year.

Under continuing funds, the POM increase refers to the increase in plant operating and maintenance costs and that is a significant amount of money -- \$5.5 million, and reflects primarily the 14 percent increase we are seeing in our gas, electric, and utility bills. That is a problem all of you who own your own home and are paying your utilities face as well. We are doing everything we can to conserve energy and to find alternative sources, but we still need to pay for electricity, natural gas and other things, so that is anticipated in this budget.

One item I am very proud of under the POM increase – the next line says deferred maintenance and hospital academic space – half of that \$1 million has been earmarked for deferred maintenance. The provost and I recommended it to the President and she supported that. In other words, that will be half a million dollars in continuing funds that will be targeted to projects such as window replacement, roof replacement, and other small maintenance projects. This may not seem like a lot, but when those types of renovations and replacements are not tended to they become maintenance catastrophes when the roof leaks or when the air conditioning goes out in the middle of a major experiment. So we think it is an effort of the University to get back to a period where we are maintaining our facilities current with their use and trying to get ahead of the deferred maintenance problem which has plagued us for the last 10 years. Half a million dollars by itself obviously is not going to solve the whole problem, but it is an important first step.

Barbara mentioned when we talked about the overall goals of the budget, one was to make sure our resource allocations would advance effective financial stewardship. Again this is something I went over in detail in the Fiscal Affairs Committee, so I will just highlight it.

The first part is to make sure we are providing enough funds to comply with state and federal mandates so we stay ahead of any legal issues and there are funds dedicated to that and those were laid out in the Fiscal Affairs Committee. The second is to enhance our operating efficiencies; for example, our support units' budgets are only increasing 2.5 percent, while they are doing more and they are doing it more effectively. We also have a number of initiatives, which we have shared with the Board from time to time, trying to reduce costs in our core processes, and we will continue to do that.

The final point is meeting our long-term financial goals. Again, those were set out in the Fiscal Affairs Committee, and they are things involving protecting our credit rating, making sure we maintain appropriate reserves, and making sure commitments are fully funded. This budget fully supports those long-term financial goals.

In the way of summary, the strategic implications, then, from the budget that is being recommended today is, first that the University will continue to make progress on its strategic goals despite an uncertain financial environment. Second, that OSU's undergraduate tuition still remains well below most of Ohio's other selective admissions public universities, and that information is in your appendix. Third, the University will continue to exist in an environment where a larger and larger share of its revenues will come from sources other than state funds. In fact, that is on page 14 of your appendix.

July 9, 2004 meeting, Board of Trustees

As an exercise, I asked my staff to take that chart and project it forward. If present trends were to continue, by the year 2043 Ohio State University would be a totally private institution and receive no state funds. Now, do we seriously think that is going to happen? I hope not, but I think it gives you a sense of the way the environment is changing and why we need to look at other revenue sources and that we have done.

Let me go to the fourth implication -- that the University, as a result of that and good management practices, will continue to examine everything it does to determine what we need to do, what we need to do better, and what no longer needs to be done at all.

That concludes our presentation. Barbara and I will be glad to answer any questions you may have.

Ms. Longaberger:

Thank you. I know you spent a great deal of time on this in Fiscal Affairs as well. Any other comments from Fiscal Affairs Committee members or questions from other Board members?

With that, let me thank you for your work. It is a tremendous amount of work to whip the budget into shape continually under these challenging times. So, thank you for that. I would like to have a motion to accept this resolution.

**APPROVAL OF COLUMBUS CAMPUS FY 2005
CURRENT FUNDS BUDGET**

Resolution No. 2005-20

Synopsis: Approval of the Current Funds Budget for FY 2005 for the Columbus Campus is proposed.

WHEREAS the State budget for FY 2005, including funding levels for State institutions of higher education, has been enacted; and

WHEREAS fee increases for the Columbus and Regional Campuses for FY 2005 have been previously approved by the Board of Trustees; and

WHEREAS other levels of resources and expenditures, including compensation, have been identified for the 2005 fiscal year; and

WHEREAS planning and consultation is underway at the Regional Campuses and ATI and their FY 2005 budgets will be presented for approval at the September Board meeting; and

WHEREAS appropriate planning and consultation within the University has been accomplished with regard to the Columbus Campus budget, and the President now recommends approval of the Columbus Campus FY 2005 budget:

NOW THEREFORE

BE IT RESOLVED, That the Columbus Campus Current Funds Budget for FY 2005, as described in the accompanying text and tables, be approved, with authorization for the President to make expenditures within the projected income levels; and

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BE IT FURTHER RESOLVED, That the Regional Campuses and ATI be authorized to make expenditures consistent with the level of resources approved for the FY 2004, pending adoption of their Current Funds Budgets for FY 2005.

(See Appendix V for background information, page 91.)

Upon motion of Ms. Hendricks, seconded by Mr. Hicks, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Slane, Borrer, O'Dell, Hicks, and Judge Duncan, Mses. Longaberger, and Hendricks.

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Thereupon the Board adjourned to meet Wednesday, September 22, 2004, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

Tami Longaberger
Chairperson

David O. Frantz
Secretary

AUTHORIZATION FOR CAPITAL PROJECTS

Project	Approximate Amount (in millions)		Requested Action
University Hall Renovation-Phase I	Dept:	\$0.50	Enter into design contracts
Subtotal for Design Contracts	Dept:	\$0.50	
ATI-Resurface Garrison Circle East	State:	\$0.09	Enter into construction contracts
ATI-Various Concrete Work	State:	\$0.05	Enter into construction contracts
Campus Grounds-Exterior Lighting Phase VIII	State:	\$0.50	Enter into construction contracts
Doan Hall - Renovate Suite 236	Other:	\$0.53	Enter into construction contracts
PET/CT Unit			
Fry Hall Addition	Dept:	\$1.50	Enter into construction contracts
	State:	\$4.60	
	Other:	\$2.00	
Graves Hall Renovation	Dept:	\$0.50	Approve increase in project costs
	State:	\$0.20	
	Other:	\$0.40	
Hitchcock Hall-North Entrance Improvements	State:	\$0.25	Enter into construction contracts
Mansfield Campus – Administration and Classroom Building	Dept:	\$7.80	Enter into construction contracts
OARDC- Food And Animal Health Research Program Roof Replacement	State:	\$0.08	Enter into construction contracts
OARDC-Interior Lighting Upgrades - Gerlaugh and Research Operations	State:	\$0.08	Enter into construction contracts
OSU Golf Course - Pesticide Building	Dept:	\$0.20	Enter into construction contracts
WOSU Renovation	Other:	\$0.99	Approve increase in project costs
Subtotal for Construction Contracts	Dept:	\$10.00	
	State:	\$5.84	
	Other:	<u>\$3.92</u>	
Total for all Contracts	Dept:	\$10.50	
	State:	\$5.84	
	Other:	<u>\$3.92</u>	
Grand Total		<u>\$20.26</u>	

University Hall Renovation Phase I
Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Office of Academic Affairs (Federation of Arts & Sciences)

How does this project advance the Academic Plan?

This initial phase of the Colleges of the Arts and Sciences Federation Consolidation will create an Executive Dean's suite located on the first floor of University Hall. The consolidation is an effort to build a world-class facility, develop academic programs that define Ohio State as the nation's leading public land-grant university, enhance the quality of the teaching and learning environment, better serve the student body and create a diverse university community as predicated by the Academic Plan.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

The project is the renovation of approximately 3,100 GSF of the first floor of University Hall to create an Executive Dean's suite for the Colleges of the Arts and Sciences. The project will also include renovations for the Forbes Center and University Museum.

Preliminary Cost Estimate:

\$500,000

Proposed Funding Source:

*Academic Affairs.
Colleges of the Arts and Sciences*

Outstanding Funding Issues:

None.

Timing Issues:

Renovation to coincide with arrival of new Executive Dean

"Ripple effects" of the project:

Space in the Biological Sciences building has been identified for the relocation of University Hall classroom 114.

Special limitations/risks:

None.

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



University Hall Renovation
315-2003-987

Requesting Agency(s): ACADEMIC AFFAIRS, OFFICE OF

Location(s): UNIVERSITY HALL

Gross Sq. Ft. 77,935 **Age:** 1976

Description: Renovation of approximately 10,300 GSF of the first floor of University Hall for the consolidation of the College of Arts and Sciences. The renovated space will be used to house 5 college deans and 1 executive dean, and has the potential of releasing some of the space the deans will vacate in other buildings.

Project Team:

Facility Planner: Is Unassigned
Project Captain: Christine Nocar
(lawson.132@osu.edu)
Project Assistant: Leeanne Chandler
(chandler.63@osu.edu)
Field Coordinator: Is Unassigned

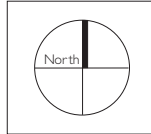
Project Information:

An initial study will be performed to determine space and funding options for the renovation and departmental consolidation.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Exec Dean Arts & Sci	\$3,000,000.00	\$3,000,000.00				
Total:	\$3,000,000.00	\$3,000,000.00				

Schedule:	Projected	Revised	Actual
Planning Feasibility Study approved by B/T (\$12,000) Arch/Engr Approved by B/T (\$500,000)	12/03/2003 07/09/2004		12/03/2003 07/09/2004
Construction Completion	10/31/2004		

University Hall Renovation - Phase I



Office of Business and Finance
Office of Facilities Planning and Development

November 8, 2003



ATI - Resurface Garrison Circle East
315-2003-951

Requesting Agency(s): ATI-ADMINISTRATIVE SUPPORT

Location(s): Various Locations-ATI

Gross Sq. Ft.0 Age:

Description: Seal cracks, polypropylene fabric with seal coat and resurface with 1.5" of asphalt and retine including the east parking lot.

Project Team:

Project Information:

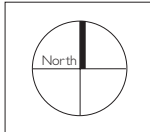
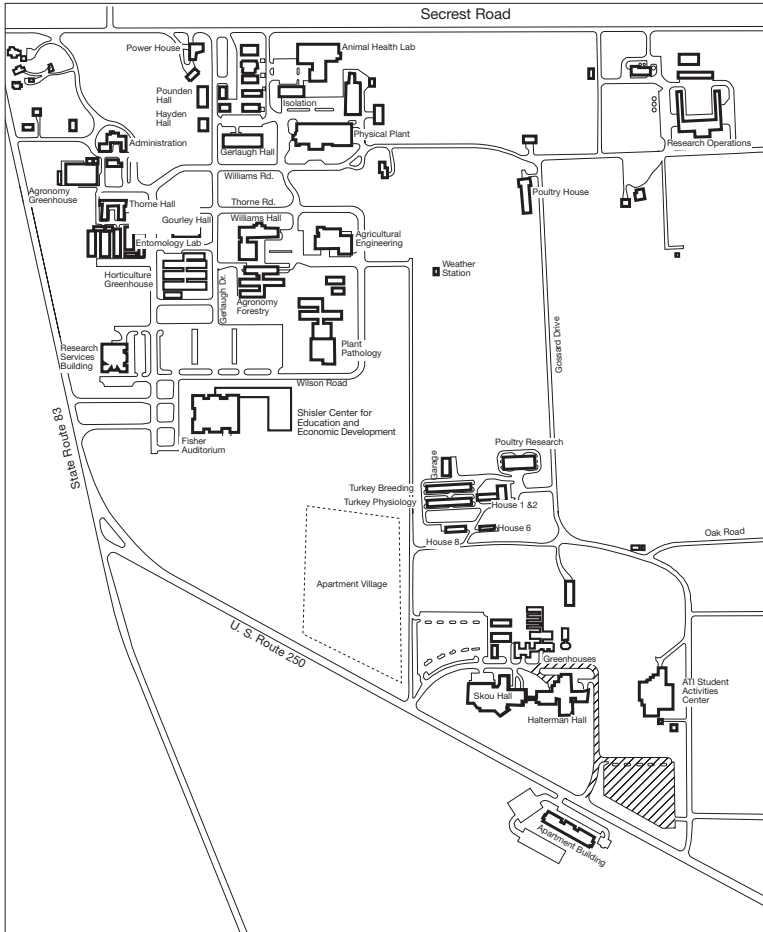
Facility Planner: Is Unassigned

Project Captain: John Frazier
(frazier.2@osu.edu)
Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)
Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Regional Basic Renovation	\$85,000.00	\$85,000.00				
Total:	\$85,000.00	\$85,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$85,000 project)	05/30/2003		05/30/2003
Bidding Approved B/T	09/05/2003	07/09/2004	
Design			
Schematic Design Approval	06/30/2003	06/30/2004	
Design Dev Document Approval	06/30/2003	06/30/2004	
Construction Document Approval	07/28/2003	07/28/2004	
Bidding			
Bid Opening	08/28/2003	08/27/2004	
Construction			
Award of Contracts	10/28/2003	10/27/2004	
Construction Start	11/01/2003	10/28/2004	
Completion	12/15/2003	12/15/2004	

ATI - Resurface Garrison Circle East



Office of Business and Finance
Office of Facilities Planning and Development

June 25, 2004



ATI - Various Concrete Work
315-2003-954

Requesting Agency(s): ATI-ADMINISTRATIVE SUPPORT

Location(s): SKOU HALL, WILLIAM JOHN

Gross Sq. Ft. 72,105 **Age:** 1978

Location(s): Various Locations-ATI

Gross Sq. Ft. 0 **Age:**

Description: Replace pad at Skou Hall south entry, replace Bee Lab entrance, and replace deteriorated and broken sidewalks at various locations across the campus

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Captain: John Frazier
(frazier.2@osu.edu)

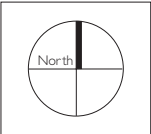
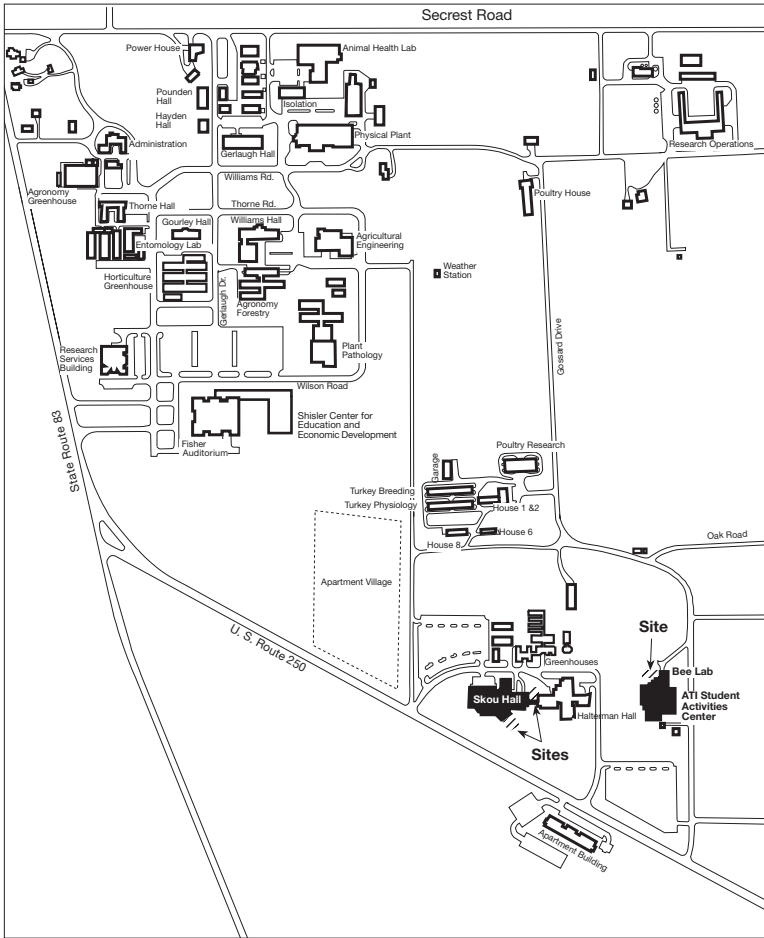
Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB640 Regional Basic Renovations	\$50,000.00	\$0.00				
HB675 Regional Basic Renovation	\$0.00	\$50,000.00				
Total:	\$50,000.00	\$50,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$50,000 project)	05/30/2003		
Bidding Approved B/T	09/05/2003	07/09/2004	05/30/2003
Design			
Schematic Design Approval	06/30/2003	06/30/2004	
Design Dev Document Approval	06/30/2003	06/30/2004	
Construction Document Approval	07/28/2003	07/28/2004	
Bidding			
Bid Opening	08/28/2003	08/27/2004	
Construction			
Award of Contracts	10/28/2003	10/27/2004	
Construction Start	11/01/2003	11/01/2004	
Completion	12/15/2003	12/14/2004	

ATI - Various Concrete Work



Office of Business and Finance
Office of Facilities Planning and Development

June 25, 2004



Campus Grounds-Exterior Lighting Phase VIII
315-2003-914

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): Unidentified Utility-Col.

Gross Sq. Ft.0 Age:

Description: Replacement of street lighting along College Road around the Wexner Center for the Arts, as well as along Neil Avenue from the Northwest Parking Garage and working south. Additionally, a few "pocket" areas not previously addressed will be included as funding permits. All poles will meet University Standards and lighting levels will be according to current standards.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Bob Wajnryb
(wajnryb.1@osu.edu)

Project Assistant: Karen Cogley
(cogley.1@osu.edu)

Field Coordinator: Mark Hartmann
(hartmann.16@osu.edu)

Project Information:

Designed In-house by University personnel.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$500,000.00	\$500,000.00				
Total:	\$500,000.00	\$500,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$500,000 project)	12/05/2003		12/05/2003
Bidding Approved B/T	09/05/2003	07/09/2004	
Design			
Design Dev Document Approval	06/17/2003	01/24/2004	01/07/2004
Construction Document Approval	08/16/2003	04/22/2004	04/22/2004
Bidding			
Bid Opening	10/14/2003	08/31/2004	
Construction			
Construction Start	01/13/2004	11/30/2004	
Completion	09/12/2004	04/22/2005	

Campus Grounds - Exterior Lighting Phase VIII

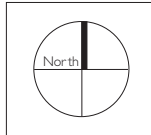


Project Sites:

Neil Avenue from Woody Hayes Drive to Newton Hall

College Road between Wexner Center and Weigel Hall

Areas around Lincoln and Morrill Towers



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2003



Doan Hall - Renovation Suite 236 PET/CT Unit
315-2003-959

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): DOAN HALL, CHARLES AUSTIN

Gross Sq. Ft. 669,869 **Age:** 1951

Description: Renovate a section of the Radiology files room 236, in Doan Hall to install a PET/CT Imaging unit.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Richard Morse
(morse.68@osu.edu)

Project Assistant: Curtiss Ashley
(ashley.6@osu.edu)

Field Coordinator: Is Unassigned

Project Information:

Formerly 50700-R034555

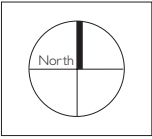
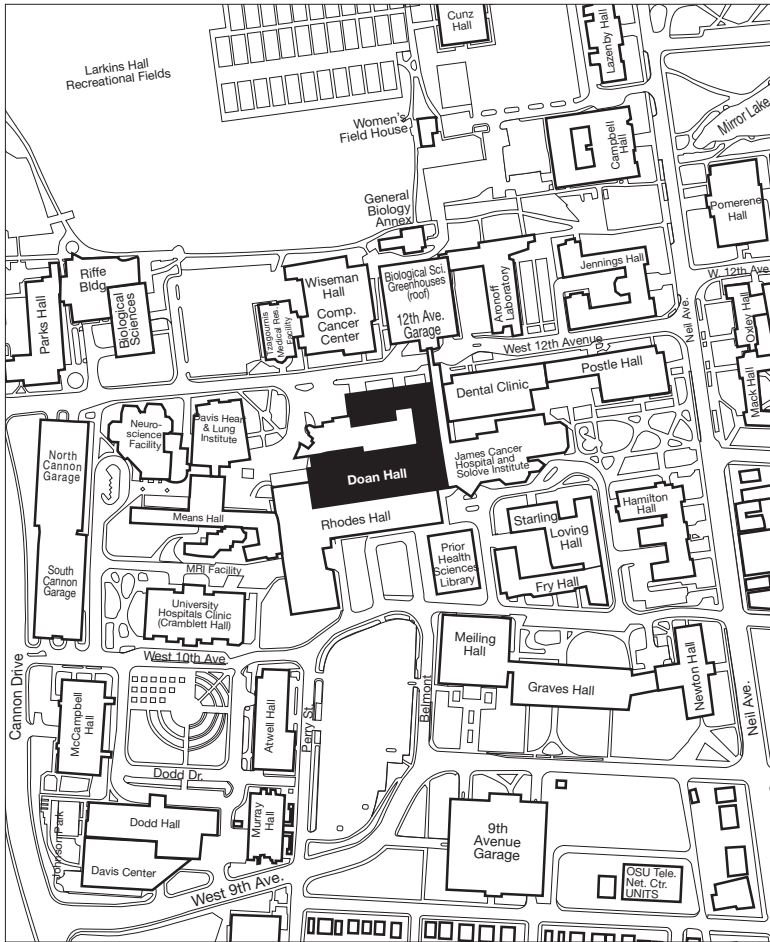
Preliminary project budget: \$372,874

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-James Cancer Hospital	\$372,874.00	\$534,300.00				
Total:	\$372,874.00	\$534,300.00				

Schedule:

	Projected	Revised	Actual
Design			
Arch/Engr Contract (\$372,874 project)	05/30/2003	07/15/2003	05/30/2003
Schematic Design Approval	07/21/2003	11/03/2003	11/03/2003
Design Dev Document Approval	08/11/2003	02/12/2004	02/12/2004
Construction Document Approval	09/08/2003	05/24/2004	05/24/2004
Construction			
Bidding Approved by B/T (\$534,300)	09/01/2003	07/04/2004	
Construction Start	10/15/2003	08/01/2004	
Completion	03/15/2004	12/31/2004	

Doan Hall - Renovation Suite 236 PET/CT Unit



Office of Business and Finance
Office of Facilities Planning and Development

May 20, 2003



Fry Hall Addition
315-2002-077

Requesting Agency(s): OPTOMETRY

Location(s): FRY HALL, GLENN ANSEL

Gross Sq. Ft. 46,910 **Age:** 1951

Description: Construct a three floor addition to the existing Fry Hall. The three new floors would house additional clinical and office areas for patient based research.

Project Team:

Facility Planner: Cheryl Christie
(christie.2@osu.edu)

Project Captain: Sara Herridge
(herridge.4@osu.edu)

Project Assistant: Curtiss Ashley
(ashley.6@osu.edu)

Field Coordinator: Is Unassigned

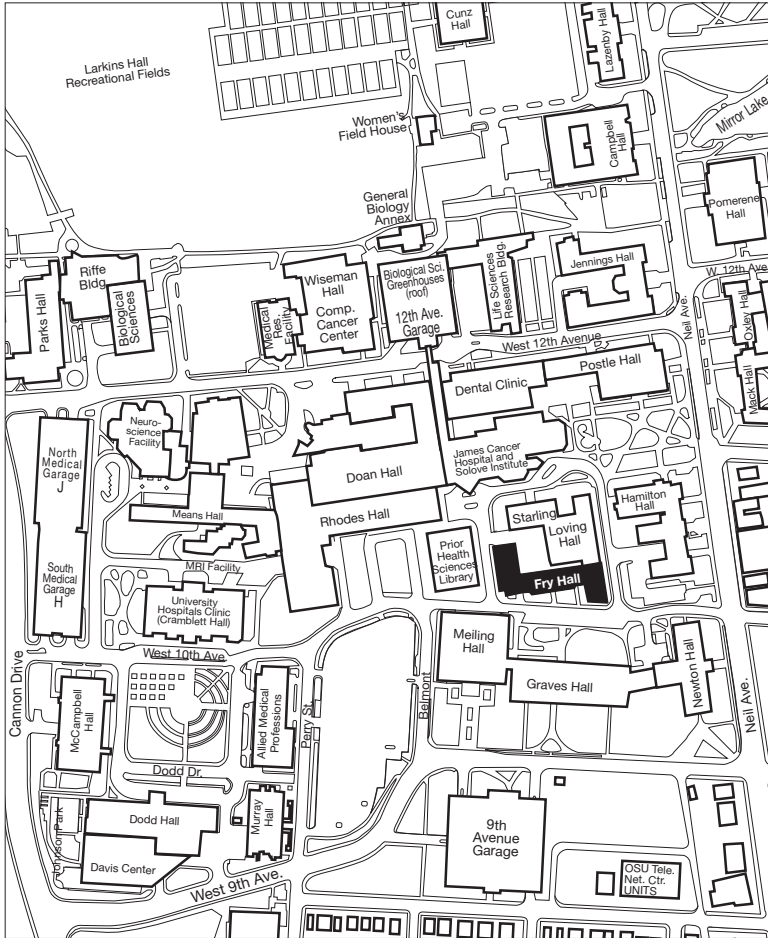
Project Information:

Conceptual project budget is in 2005 dollars.
Project schedule will be determined as a part of the Programming Phase.
Local administration of the project granted by the Ohio Board of Regents and Department of Administrative Services per their letter dated 1/7/03.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Development-Optometry	\$1,000,000.00	\$1,000,000.00				
General Funds-Optometry	\$500,000.00	\$500,000.00				
BOR Action Fund	\$1,000,000.00	\$1,000,000.00				
Future Capital	\$3,600,000.00	\$0.00				
Appropriations						
Grant-National Institute of Health	\$2,000,000.00	\$2,000,000.00				
HB675 Line Item	\$0.00	\$3,600,000.00				
Total:	\$8,100,000.00	\$8,100,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$8,100,000 Project)	09/13/2002		09/06/2002
Bidding Approval by B/T (\$8,100,000 project)	05/24/2004		07/09/2004
Design	12/01/2003		12/04/2003
Arch/Engr Contract			
Schematic Design Approval			12/11/2003
Design Dev Document Approval	01/26/2004	04/15/2004	03/23/2004
Construction Document Approval	05/24/2004	06/24/2004	
Bidding			
Bid Opening	10/11/2004		
Construction			
Award of Contracts	11/11/2004		
Construction Start	12/19/2005	11/11/2004	
Completion	12/19/2005		

Fry Hall Addition



Office of Business and Finance
Office of Facilities Planning and Development

August 17, 2002



Graves Hall - ULAR Renovations
315-2002-920

Requesting Agency(s): RESEARCH, OFFICE OF

Location(s): GRAVES HALL, GRANT O

Gross Sq. Ft. 220,370 **Age:** 1969

Description: Renovate the Vivarium in Graves Hall. Renovations to include floor, wall, and ceiling repair; plumbing repair and electrical upgrades. In addition, replacement of rack cage washer, installation of new ventilated Biosafety Cabinets and the installation of a new drinking watering system for the 12 animal rooms is included.

Project Team:

Facility Planner: Teresa Yu
(yu.195@osu.edu)

Project Captain: Steve Middleton
(middleton.52@osu.edu)

Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

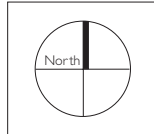
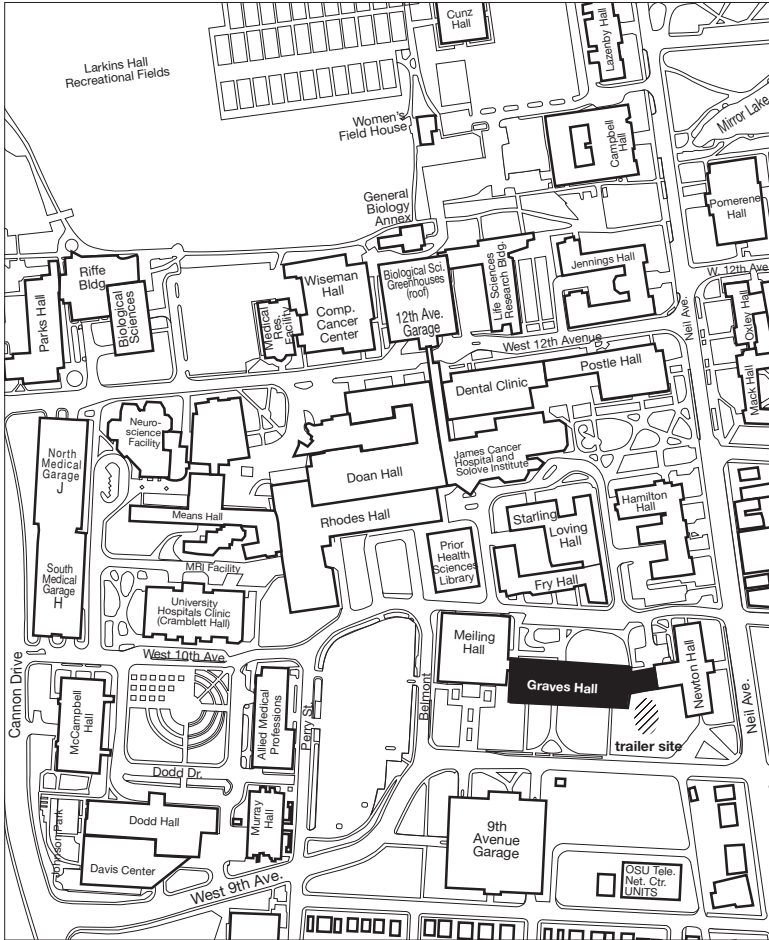
Project Information:

Formerly known as 50700-R021671
Project Budget increased by \$214,757 for the sitework construction of the Temporary Modular Mouse Buildings

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Development-Research	\$0.00	\$214,757.00				
Other	\$246,392.00	\$246,392.00				
Restricted-Research						
BDR Action Fund	\$189,443.00	\$189,443.00				
Grant-National Institute of Health	\$378,885.00	\$378,885.00				
Total:	\$814,720.00	\$1,029,477.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$814,720 Project)	09/13/2002		09/06/2002
Bidding Approved B/T (\$814,720 Project)	09/13/2002		09/06/2002
Bidding Approved B/T (\$1,029,477 Project)	07/09/2004		
Design			
Arch/Engr Contract	01/31/2003	03/21/2003	03/24/2003
Schematic Design Approval	04/07/2003	05/22/2003	05/22/2003
Design Dev Document Approval	04/07/2003	05/22/2003	05/22/2003
Construction Document Approval	06/20/2003	12/16/2003	03/01/2004
Bidding			
Bid Opening	07/28/2003	08/13/2004	
Construction			
Award of Contracts	09/22/2003	09/03/2004	
Construction Start	10/09/2003	09/22/2004	
Report of Award to B/T	12/05/2003	11/17/2004	
Completion	01/01/2004	12/15/2004	

Graves Hall - ULAR Renovations



Office of Business and Finance
Office of Facilities Planning and Development

August 17, 2002



Hitchcock Hall - North Entrance Improvements
315-2003-916

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): HITCHCOCK HALL, EMBURY A.

Gross Sq. Ft. 119,498 Age: 1967

Description: This doorway was designed to be an emergency exit only, but is in constant use due to its proximity to the main lecture halls in this building. Interior and exterior improvements at north entrance of Hitchcock Hall from Woodruff. Vestibule, door hardware, pavement, lawn, and sidewalk.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Captain: Gary Collier
(collier.26@osu.edu)

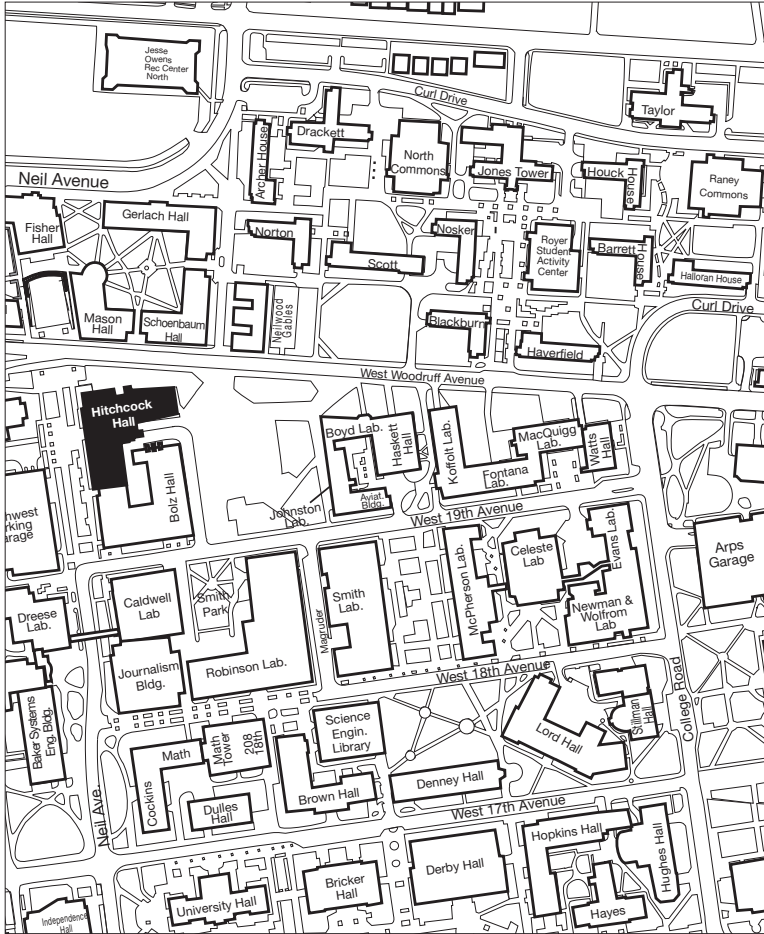
Project Assistant: Karen Cogley
(cogley.1@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$250,000.00	\$250,000.00				
Total:	\$250,000.00	\$250,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$250,000 Project)	04/04/2003		04/04/2003
Bidding Approved B/T (\$250,000 project)	12/05/2003	07/09/2004	
Design			
Arch/Engr Contract	10/20/2003		11/17/2003
Schematic Design Approval	06/30/2003	12/08/2003	03/26/2004
Design Dev Document Approval	07/30/2003	12/08/2003	03/26/2004
Construction Document Approval	09/30/2003	06/30/2004	
Bidding			
Bid Opening	12/31/2003	07/30/2004	
Construction			
Construction Start	05/04/2004	10/01/2004	
Completion	08/15/2004	03/30/2005	

Hitchcock Hall - North Entrance Improvements



Office of Business and Finance
Office of Facilities Planning and Development

March 13, 2003



Mansfield Campus - Administration and Classroom Building

315-2002-929

Requesting Agency(s): MANSFIELD CAMPUS

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: Construct an approximately 30,000 gross square foot building to house all Student Services offices, Business Office, Dean/Directors Suite, University Reception and Switchboard area, Campus Security, Center of Corporate & Community Education, Education Department (classroom, labs and offices), and Music Department (classroom, labs and offices). Sited to create a focal building, increase visibility of Ohio State University Mansfield and improve accessibility to the functions and services provided within.

Project Team:

Facility Planner: Anne Pensyl
(pensyl.3@osu.edu)
Project Captain: Ruth Miller
(miller.2495@osu.edu)
Project Assistant: Leeanne Chandler
(chandler.63@osu.edu)
Field Coordinator: Is Unassigned

Project Information:

Preliminary budget is \$6,537,000.00
Local Administration to be requested.
The site is located to the northwest of the existing service center, directly west of the child development center, between the entry drive and the main parking lot.
The final budget was increased by \$1.2M which includes an added lobby/atrium and auditorium space.
The FF&E budget was especially low for what is to be considered a "flagship" building on the campus and an increase of \$300K was allocated for this category.

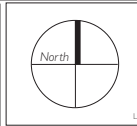
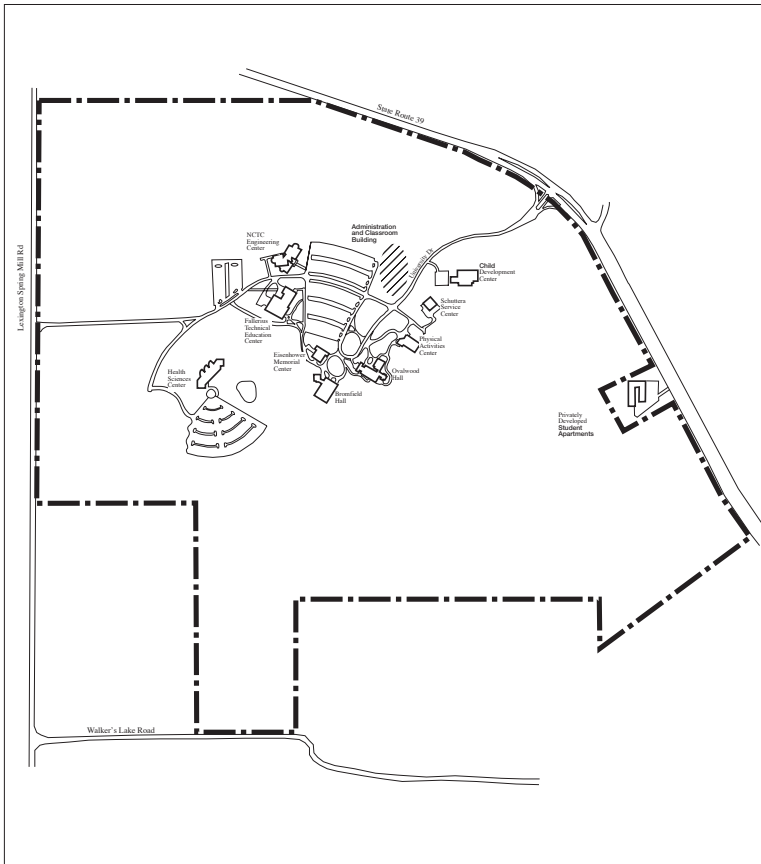
Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Development-Mansfield	\$6,537,000.00	\$7,820,976.00				
Total:	\$6,537,000.00	\$7,820,976.00				



Mansfield Campus - Administration and Classroom Building
315-2002-929

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$6,537,000 Project)	11/01/2002		11/01/2002
Arch/Engr Advertisement	02/11/2003		02/11/2003
Bidding Approved B/T	07/09/2004		
Design			
Arch/Engr Contract		07/07/2003	07/09/2003
Schematic Design Approval (Building)	12/15/2003		12/15/2003
Schematic Design Approval (Sitework)	12/15/2003		12/15/2003
Design Dev Document Approval (Sitework)	04/24/2004		04/24/2004
Construction Document Approval (Sitework)	06/08/2004		06/08/2004
Design Dev Document Approval (Building)	06/28/2004		
Construction Document Approval (Building)	09/27/2004		
Bidding			
Bid Opening (Sitework)	07/21/2004		
Bid Opening (Building)	01/10/2005		
Construction			
Award of Contracts (Sitework)	09/09/2004		
Construction Start (Sitework)	09/10/2004		
Completion (Sitework)	11/15/2004		
Award of Contracts (Building)	03/07/2005		
Construction Start (Building)	03/08/2005		
Completion (Building)	06/19/2006		
FF&E			
FF&E Program Review	02/01/2004		02/01/2004
FF&E Schematic Document Approval	02/01/2004		02/01/2004
FF&E Design Dev Document Approval	06/25/2004	10/04/2004	
FF&E Contract Document Approval		12/31/2004	
FF&E Final Quotes From Contracts	03/07/2005	02/14/2005	
FF&E Purchasing	04/11/2005		
FF&E Installation	06/05/2006		
Close Out			
Moving (User Move In)	06/19/2006		

Mansfield Campus - Administration and Classroom Building



Office of Business and Finance
Office of Facilities Planning and Development

October 29, 2002



OARDC - Food Animal Health Research Program Roof Replacement

315-2003-970

Requesting Agency(s): OARDC-FOOD ANIMAL HEALTH

Location(s): FOOD ANIMAL HEALTH RESEARCH BLDG

Gross Sq. Ft.27,446 Age: 1987

Description: Replace the existing EPDM single membrane roof covering. This roof is now 20 years old and has exceeded its life and warranty of 15 years. The existing system is showing signs of deterioration. This roof system is on a single story laboratory and protects valuable research facilities and equipment.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Marjory Spangler (spangler.40@osu.edu)

Project Assistant: Faye Bodyke (bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

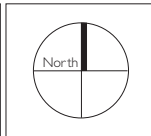
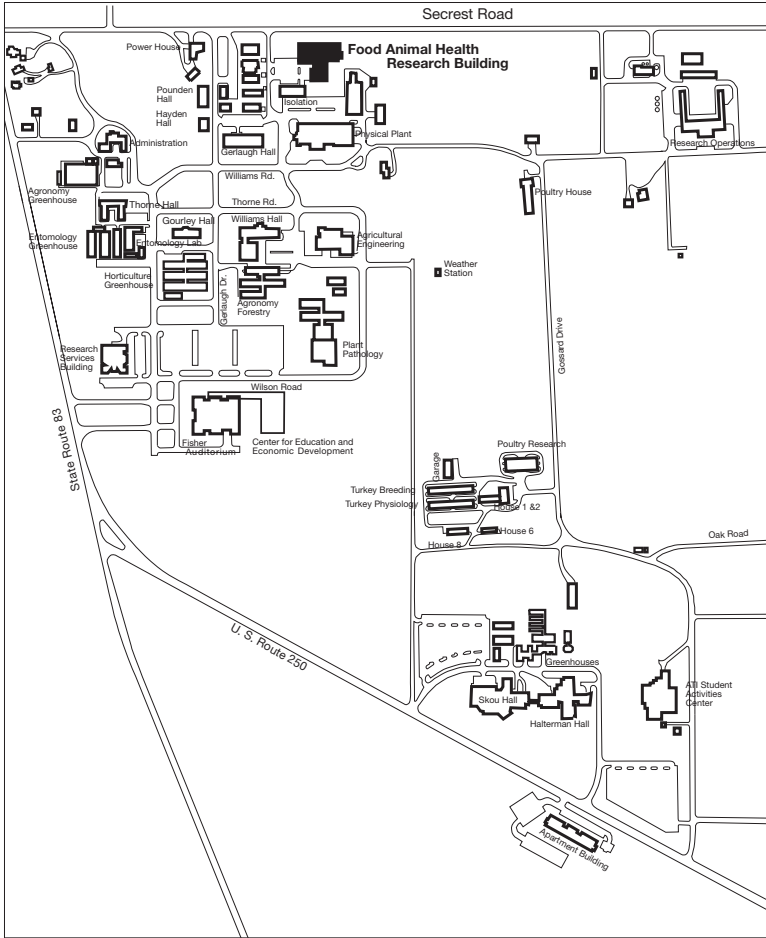
Project Information:

Project will be designed by in-house staff.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 OARDC	\$75,000.00	\$75,000.00				
Supplemental Renov						
Total:	\$75,000.00	\$75,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$75,000 project)	03/05/2004		
Bidding Approved B/T (\$75,000 Project)	07/09/2004		03/05/2004
Design			
Construction Document Approval	03/12/2004	07/01/2004	
Bidding			
Bid Opening	04/23/2004	08/12/2004	
Construction			
Award of Contracts	05/20/2004	09/08/2004	
Construction Start	06/01/2004	09/20/2004	
Completion	07/16/2004	11/04/2004	

OARDC Food Animal Health Research Program Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

February 14, 2004



OARDC-Interior Lighting Upgrades-Gerlaugh and Research Oper

315-2003-972

Requesting Agency(s): OARDC-BUSINESS OFFICE

Location(s): RESEARCH SERVICES BUILDING

Gross Sq. Ft. 31,935 **Age:** 1987

Location(s): GERLAUGH HALL

Gross Sq. Ft. 47,119 **Age:** 1966

Description: Replace existing incandescent and fluorescent lighting with new energy efficient T-8 fluorescent lighting. This will provide significant savings in electric power consumption for the OARDC campus.

Project Team:

Facility Planner: Is Unassigned

Project Captain: Marjory Spangler
(spangler.40@osu.edu)

Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

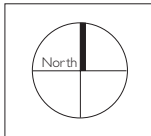
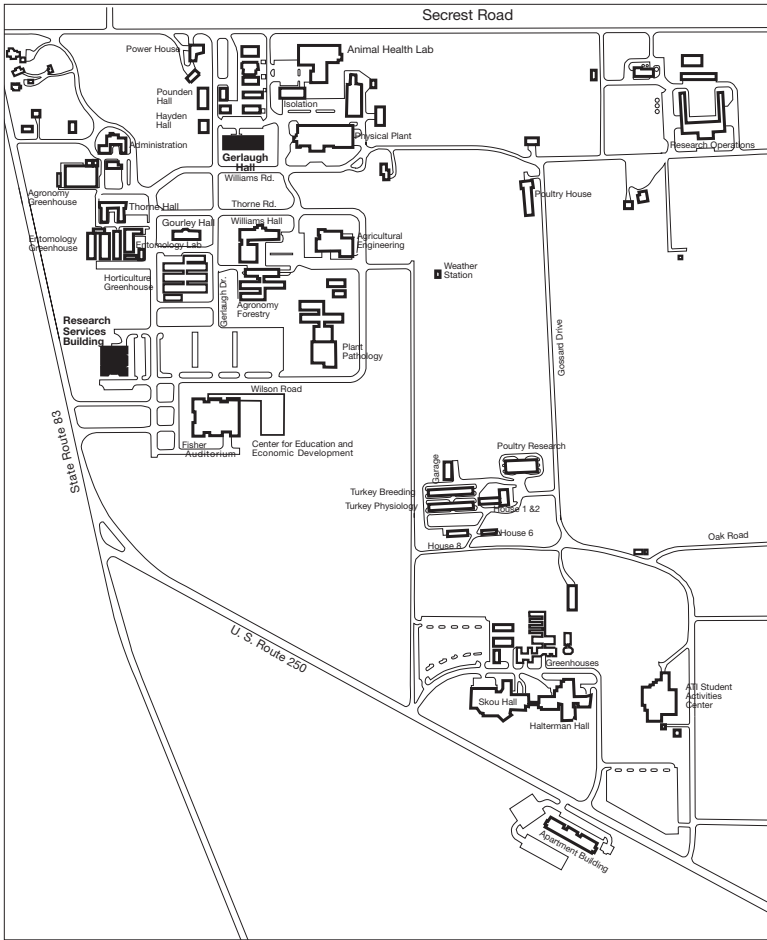
Project Information:

Project is being designed by in-house staff.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 OARDC	\$75,000.00	\$75,000.00				
Supplemental Renov						
Total:	\$75,000.00	\$75,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	03/05/2004		
Bidding Approved B/T	07/09/2004		03/05/2004
Design			
Construction Document Approval	03/12/2004	07/01/2004	
Bidding			
Bid Opening	04/23/2004	08/12/2004	
Construction			
Award of Contracts	05/20/2004	09/08/2004	
Construction Start	06/01/2004	09/20/2004	
Completion	08/31/2004	12/20/2004	

OARDC Interior Lighting Upgrades - Gerlaugh and Research Operations



Office of Business and Finance
Office of Facilities Planning and Development

February 14, 2004



OSU Golf Course-Pesticide Building
50700-R031732

Requesting Agency(s): ATHLETICS

Location(s): GOLF COURSE SERVICE BLDG

Gross Sq. Ft. 3,576 **Age:** 1938

Description: Pesticide storage handling area at OSU Golf Maintenance Area. The storage handling area must be compliant with State and Federal regulations.

OFP #03-93

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Captain: Thomas Heretta
(heretta.1@osu.edu)

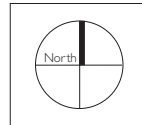
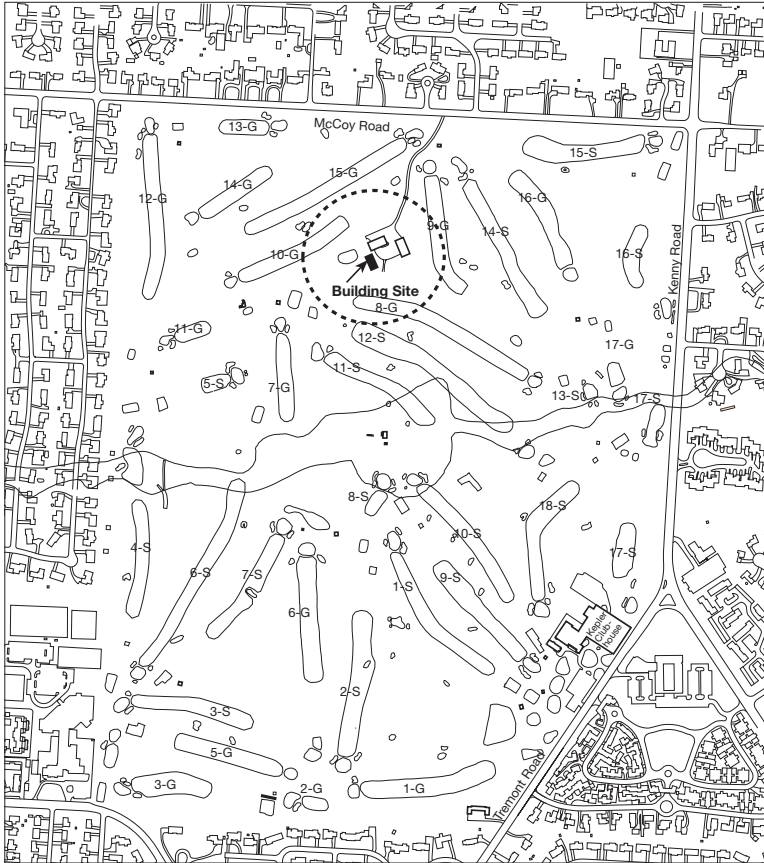
Project Assistant: Curtiss Ashley
(ashley.6@osu.edu)

Field Coordinator: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-Athletics	\$103,355.00	\$160,355.00				
Total:	\$103,355.00	\$160,355.00				

Schedule:	Projected	Revised	Actual
Planning			
6540 Received by OFP			03/26/2003
Request for Estimate Received - OA			09/02/2003
Bidding Approved B/T (\$160,355 Project)	07/09/2004		
Design			
Arch/Engr Contract	02/01/2004	03/15/2004	05/12/2004
Construction Document Approval	06/25/2004		
Bidding			
Bid Opening	08/03/2004		
Construction			
Award of Contracts	09/03/2004		
Construction Start	09/12/2004		
Completion	02/05/2005		

OSU Golf Course - Pesticide Building



Office of Business and Finance
Office of Facilities Planning and Development

June 15, 2004



WOSU Renovation
315-2002-913

Requesting Agency(s): WOSU STATIONS

Location(s): FAWCETT CENTER FOR
TOMORROW, NOVICEG

Gross Sq. Ft. 178,207 **Age:** 1970

Description: Renovate space in the Fawcett Center to create radio studios, a radio reception area, teleconference rooms, and space for digital transmission equipment.

Project Team:

Facility Planner: Teresa Yu
(yu.195@osu.edu)

Project Captain: Ruth Miller
(miller.2495@osu.edu)

Project Assistant: Faye Bodyke
(bodyke.3@osu.edu)

Field Coordinator: Is Unassigned

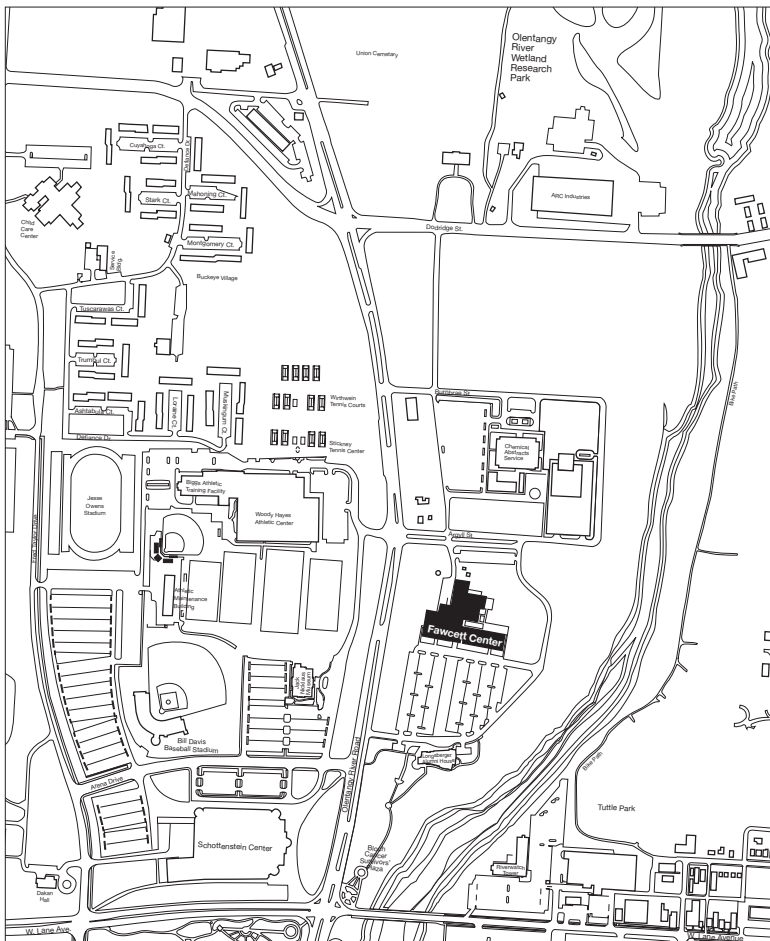
Project Information:

This project increased 18% or \$128,064 over the previous construction estimate, due to additional work required by the State Fire Marshall and by the Division of Industrial Compliance to bring the building egress up to current life safety codes. This was not included in the original scope of work, but will be required before a building permit can be issued by the State.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Other Restricted-Social & Behav Sci	\$864,460.00	591,650.10				
Other Restricted-University Relations	\$0.00	\$0.00				
Line of Credit-Social & Behav Sci	\$0.00	\$900,873.90				
Total:	\$864,460.00	\$992,524.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$864,460 Project)	07/12/2002		07/12/2002
Bidding Approved B/T (\$864,460 Project)	07/12/2002		07/12/2002
Bidding Approved B/T (\$992,524 Project)	07/09/2004		
Design			
Arch/Engr Contract	01/15/2003		01/15/2003
Schematic Design Approval	04/05/2003		04/05/2003
Design Dev Document Approval	04/05/2003	11/06/2003	11/06/2003
Construction Document Approval	06/05/2003	06/30/2004	
Bidding			
Bid Opening	08/15/2003	09/09/2004	
Construction			
Award of Contracts	09/15/2003	10/07/2004	
Construction Start	09/20/2003	10/14/2004	
Report of Award to B/T	11/01/2003	11/25/2004	
Completion	03/15/2004	04/07/2005	

WOSU Renovation



Office of Business and Finance
Office of Facilities Planning and Development

June 15, 2004

GIFT OF REAL PROPERTY

506 PARK OVERLOOK DRIVE
WORTHINGTON, OHIO

Background

Location and Description

The property consists of approximately 0.50 acre of improved real property located at 506 Park Overlook Drive, Worthington, Ohio. The property is adjacent to University property to the north utilized by The Ohio State University Medical Center (formerly Harding Hospital). The property contains a split-level, brick and frame, single-family residence of approximately 1,890 square feet. Title to the property is held in the name of Harding Hospital Asset Holding Company.

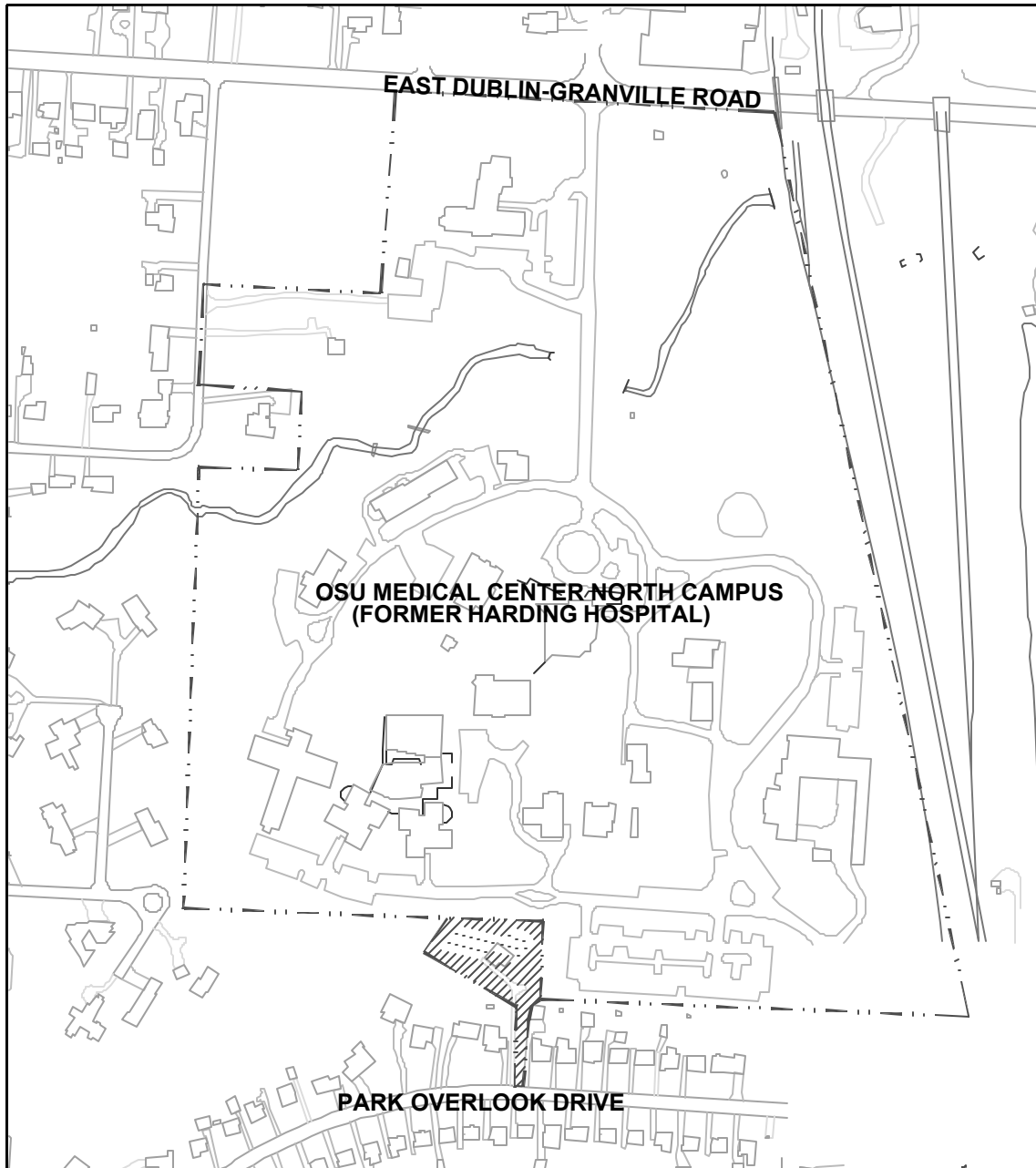
Appraisal

An appraisal conducted in May 2004 by Ohio Real Estate Consultants valued the property at \$187,000. The owner is donating the property to the University and funding the costs of the appraisal, survey and title insurance policy. All costs associated with operating and maintaining the property will be funded by University Hospitals.

Use of the Property

Title to the property will be taken in the name of the State of Ohio for the use of The Ohio State University. University Hospitals will provide all funds necessary for the operation and maintenance of the property, which will be used by University Hospitals as rental property for the foreseeable future.

Proposed Gift of Real Property
506 Park Overlook Drive
Worthington, Ohio



No True Scale

Office of Business & Finance
Board of Trustees Meeting
July 9, 2004



Map Provided by University Engineer's Office



Proposed Gift of
Real Property

**OSU OFFICE OF STUDENT AFFAIRS
ONE-TIME SEPARATION INCENTIVE PROGRAM**

Rationale

The Office of Student Affairs is implementing staffing changes in FY 2005 that will significantly reduce operating expenses. The budgetary reduction currently is estimated at about \$1 million, subject to additional review and analysis. This is part of an overall effort to redesign jobs, improve service, and increase operating efficiencies.

The primary impact is within the Campus Dining Services unit. Plans are underway to phase out the cafeteria-style of food production and service. Future food service in the dining commons will be from a series of "chef's stations," at which customers will be able to observe the preparation of their order from fresh ingredients. This change will allow current dining commons to significantly downsize their operations, including eliminating a number of cafeteria food preparation positions, to be replaced with a significantly lower number of skilled cooks and chefs.

The Communications Workers of America, Local 4501, who represents staff members affected by the reduction, urges the University to offer retraining opportunities and to avoid layoffs if possible. Toward that end, Student Affairs has engaged the Culinary Institute of America to provide training to all food preparation staff impacted by the job design changes, to qualify as many as possible for promotion to the higher level cook and chef positions. However, there still will be a number of staff without positions when the new staffing model is fully implemented.

This plan proposes the adoption of a formal one-time Separation Incentive Program with guidelines outlining appropriate eligibility, periods of notice, and limitations. This program will assist in facilitating a speedier transition to the new staffing profile and mitigate the need for involuntary layoffs.

Eligibility

- Regular Unclassified staff members who are eligible for retirement (as defined by OPERS or STRS regulations) on or before September 30, 2004. Temporary employees, as well as individuals currently receiving benefits from OPERS and STRS, are not eligible for this program.
- Regular Classified Civil Service staff members who are eligible for retirement (as defined by OPERS or STRS regulations) on or before September 30, 2004. Temporary employees, as well as individuals currently receiving benefits from OPERS and STRS, are not eligible for this program.

Note: See Additional Program Details for additional eligibility information and clarification.

Program Design Overview

- Eligible employees are entitled to a cash payment equivalent to two months of salary upon date of retirement. This is in addition to the normal payout of earned vacation leave and sick leave benefits, according to University policy.
- Participation requires that the employee is eligible to retire, and actually retires, under OPERS and STRS regulations, effective September 30, 2004 or earlier, but not before the effective date of this

program. The effective date is the date of approval by the Board of Trustees.

- The amount of the incentive payment will be determined by an individual's regular base pay, prorated by FTE level. For example, a full-time employee paid an annual salary of \$30,000 will receive a one-time payment in the gross amount of \$5,000. Overtime earnings, supplemental compensation, and any earnings other than regular monthly or biweekly base pay are not included in the calculation.
- The separation incentive payment is subject to applicable payroll taxes and will be paid from the account that has funded the individual's payroll costs.
- Employees who elect to participate in this program will be leaving voluntarily and are, therefore, ineligible for the Severance Program.

Additional Program Details

- The Separation Incentive Program is offered to all regular employees of the Office of Student Affairs; however, no one involved in the design of this program is eligible. In addition, the Vice President, Associate Vice Presidents, and Assistant Vice Presidents of Student Affairs are not eligible.
- Those intending to pursue this offer must submit written and signed notification (not e-mail) to Vice President Bill Hill by Tuesday, August 31, 2004, with a copy sent to the appropriate department head (i.e., Director, Assistant Vice President or Associate Vice President).
- This program will be made available to those who voluntarily retire on or before September 30, 2004, and after the effective date of this program.
- No limit has been placed on the number of eligible individuals who may elect to participate.
- This is a one-time program offer; no plans exist to offer this program in future years. The retirement deadline of September 30, 2004, limits participation in this opportunity.
- For questions, employees may contact Tina Love (292-6517, Love.3@osu.edu) or Jim Kane (688-4705, Kane.2@osu.edu). Anyone who would like to explore the program in confidence may do so with the Office of Human Resources, Consulting Services, by contacting Glenn Hill (292-2800, hill.617@osu.edu).

ATTACHMENT

SPONSORED DEPENDENT HEALTHCARE BENEFIT PROGRAM ELEMENTS

- I. **Faculty and staff** will be able to enroll same-sex domestic partners and their children into the faculty/staff medical, dental, and vision plans, with the same University subsidy as for spouses and children. *This will be effective October 1, 2004.*

Graduate Associates will be able to enroll same-sex domestic partners and their children into the student health plans with the same University subsidy as for spouses and children of graduate associates. *This will be effective September 15, 2004.*

Overview of Eligibility Requirements: Must meet requirements of and complete an affidavit; affidavit requirements include but are not limited to a committed sole relationship for at least 6 months; financial interdependence; and living in a common residence.

Financial Interdependence may be demonstrated through joint mortgages, leases, automobile ownership/financing, checking accounts, asset accounts, credit accounts, and/or through designation as a beneficiary in wills or life insurance policies.

Special Note: Faculty and staff, per Internal Revenue Code regulations, must be taxed on the University subsidy provided to dependents who do not qualify for tax-free employer benefits; and, per the same regulations, will have employee premium contributions deducted on an after-tax basis.

- II. **Faculty and staff** will be able to enroll other sponsored dependents into faculty/staff medical, dental and vision plans, without subsidy and at an actuarially determined group premium rate. *This will be effective January 1, 2005.*

Who is included: Opposite-sex domestic partners, relatives by blood, grandparents-in-law, parents-in-law, brothers-in-law, and sisters-in-law who meet eligibility requirements.

Overview of Eligibility Requirements: Must meet requirements of and complete an affidavit; requirements include but are not limited to residing with faculty/staff member for at least 6 months; and being financially dependent.

Financial Dependence will be as defined in Internal Revenue Code Section 152 (generally, this means receiving more than 50% living support from the faculty/staff member).

- III. **Students** will be able to enroll same-sex domestic partners and their children into the student health plans, which are and will remain unsubsidized. *This will be effective September 15, 2004.*

Overview of Eligibility Requirements: Must meet requirements of and complete an affidavit; affidavit requirements include but are not limited to a committed sole relationship for at least 6 months; financial interdependence; and living in a common residence.

Financial Interdependence may be demonstrated through joint mortgages, leases, automobile ownership/financing, checking accounts, asset accounts, credit accounts, and/or through designation as a beneficiary in wills or life insurance policies.

Students will be able to enroll opposite-sex domestic partners and their children into the student health plans, which are and will remain unsubsidized. *This will be effective January 3, 2005.*

Overview of Eligibility Requirements: Must meet requirements of and complete an affidavit; requirements include but are not limited to residing with student for at least 6 months; and being financially dependent.

Financial Dependence will be as defined in Internal Revenue Code Section 152 (generally, this means receiving more than 50% living support from the student).

COST ESTIMATE

This program is estimated to increase the University's budget for healthcare benefits by $\frac{1}{2}\%$ to $\frac{3}{4}\%$. The University's total annual cost increase is estimated at \$900,000 and no state appropriated funds will be used for this subsidy.

**The Ohio State University
Board of Trustees
July 9, 2004
FY 2005 Budget Summary**

Barbara R. Snyder
Executive Vice President and Provost

William J. Shkurti
Senior Vice President for Business and Finance

Strategic Principles

1. Resource allocations should support the Academic Plan and Leadership Agenda
2. Resource allocations should ensure sufficient resources to academic units to carry out their missions.
3. Resource allocations should ensure continued operating efficiencies and high quality support services.
4. Resource allocations should advance effective financial stewardship.

1. Resource allocations should support the Academic Plan and Leadership Agenda

- Cutting edge interdisciplinary research
- Distinctive education experience for undergraduates
- 21st century outreach and engagement

Support of cutting edge interdisciplinary research

One Time Seed Funds	
Medical Center/College of Medicine	\$ 7,725,000
Non-Medical Center	5,050,000
Sub Total	\$12,775,000

Continuing Funds	
Support of Comprehensive Cancer Center	\$1,300,000
Library Improvements	325,000
Tech Transfer	250,000
Compliance	250,000
Sub Total	\$2,125,000

**Distinctive Educational Experience for Undergraduates
Outreach and Engagement**

One Time Funds	
Activity Fee Phase-In	\$1,200,000
Undergraduate Experience	600,000
All Other	<u>184,000</u>
Sub Total	\$1,984,000

Continuing Funds	
Tuition Earmark for Financial Aid	\$5,345,000
Tuition Earmark for Instruc. Technology	3,345,000
Earmark for Rec Fee	1,253,000
Earmark for Activity Fee	523,000
Enrollment Plan 2008	500,000
Success Challenge	400,000
All Other	<u>177,000</u>
Sub Total	\$11,543,000

Outreach and Engagement

One Time Seed Funds	
Weinland Park Child Care	\$1,000,000
WOSU	669,000
External Relations Transition	500,000
P-12 Initiative	200,000
Senior Living Project	<u>108,000</u>
Sub Total	\$2,477,000

Continuing Funds	
Washington DC Office	\$125,000
University Relations	<u>60,000</u>
Sub Total	\$185,000

2. Resource allocations should support the flow of sufficient resources to colleges to carry out their missions.

- Total increase in continuing general funds to college base budgets = \$26.3 million.
- Average increase is 5.5% from a high of 16.3% (Pharmacy) to a low of 1.5% (Business).
- This will allow colleges to afford pay raises averaging 3.2% and benefit increases of 9%, including increased benefits for GA's.
- Includes \$2.8 million in rebasing funds, of which \$1.2 million will be allocated to Arts and Sciences.

3. Resource allocations should enhance core support services, such as the Teaching Learning Environment

One Time Funds	
Public Safety/Security	\$1,500,000
Campus Beautification	300,000
Legal Mandates	<u>270,000</u>
Sub Total	\$2,070,000

Continuing Funds	
POM Increase	\$5,547,000
Deferred Maint. and Hosp. Academic Space	1,000,000
Child Care Support	280,000
Public Safety	110,000
Legal Mandates	<u>175,000</u>
Sub Total	\$7,112,000

4. Resource allocations should advance effective financial stewardship.

- Compliance with state and federal mandates
- Enhance operating efficiencies (support unit increase +2.5%)
- Meet long-term financial goals

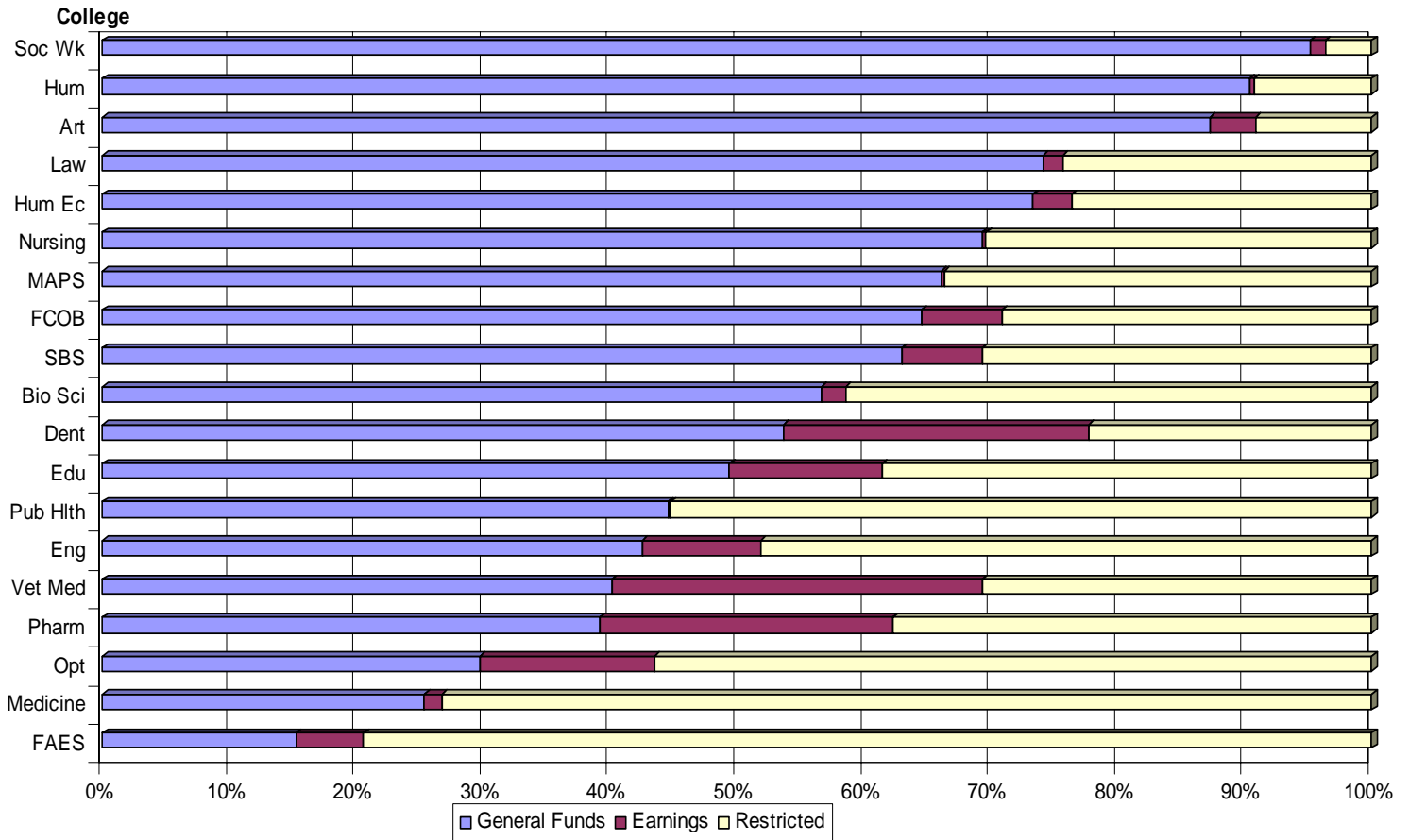
Strategic Implications

1. The University will continue to make progress on its strategic goals despite an uncertain financial environment.
2. OSU's undergraduate tuition still remains well below most of Ohio's other selective admission public universities.
3. The University will continue to exist in an environment where a larger and larger share of its revenues will come from sources other than state funds.
4. The University will continue to examine everything it does to determine what it needs to do better and what it no longer needs to do at all.

Appendices

- FY 2003 Sources Of Funds By College
- Summary Of FY 2005 General Funds Budget Change By College
- Summary Of Increased General Fund Support By Academic Plan Component FY 2005
- State Support As A Share of General Fund Revenue FY 1996 – FY 2005
- Full-Time Resident Undergraduate Tuition And Fees, Fall 2004.

FY 2003 Sources of Funds by College



**Summary of Projected Annual General Funds Budget Changes FY 05
(Columbus Campus – In Millions)**

	Final FY04 PBA	Increase from 04 to 05	% Increase
02-COLLEGE OF THE ARTS	\$21.7	\$0.8	3.8%
03-BIOLOGICAL SCIENCES	\$20.9	\$0.9	4.4%
05-COLLEGE OF HUMANITIES	\$50.7	\$1.8	3.6%
06-MATHEMATICAL & PHYSICAL SCIENCES	\$59.4	\$2.0	3.4%
07-SOCIAL & BEHAVIORAL SCIENCES (SBS)	\$49.3	\$3.8	7.7%
EXECUTIVE DEAN OF ARTS AND SCIENCE	\$6.1	\$0.2	3.7%
ARTS AND SCIENCES*	\$208.1	\$10.8	5.2%
10-COLLEGE OF BUSINESS	\$29.7	\$0.5	1.5%
11-FOOD, AGRICULTURAL & ENVIRONMENTAL SCIENCES (FAES)	\$17.3	\$0.7	3.8%
12-COLLEGE OF EDUCATION	\$29.5	\$1.7	5.6%
14-COLLEGE OF ENGINEERING	\$59.3	\$2.5	4.3%
15-COLLEGE OF HUMAN ECOLOGY	\$7.6	\$1.0	12.7%
17-COLLEGE OF NURSING	\$5.7	\$0.6	10.8%
18-COLLEGE OF PHARMACY	\$10.3	\$1.7	16.3%
19-COLLEGE OF SOCIAL WORK*	\$3.9	\$0.4	9.6%
21-COLLEGE OF DENTISTRY ²	\$17.8	\$1.5	8.6%
23-COLLEGE OF LAW	\$11.4	\$0.3	2.3%
25-COLLEGE OF MEDICINE* ³	\$49.2	\$2.4	4.9%
26-SCHOOL OF PUBLIC HEALTH*	\$3.7	\$0.1	2.7%
27-COLLEGE OF OPTOMETRY* ²	\$4.3	\$0.4	9.5%
29-COLLEGE OF VETERINARY MEDICINE ²	\$19.2	\$1.7	9.0%
ALL COLLEGES	\$477.0	\$26.3⁴	5.5%

1 - Includes the total marginal resources allocation minus marginal assessments for space, research administration, student services and the central tax. Figures also include the Faculty Promotions, Differential Fees, Rebasing Transfers where indicated with a *.

2 - Includes Med II SSI allocation based on preliminary data will be updated once end of year data are available.

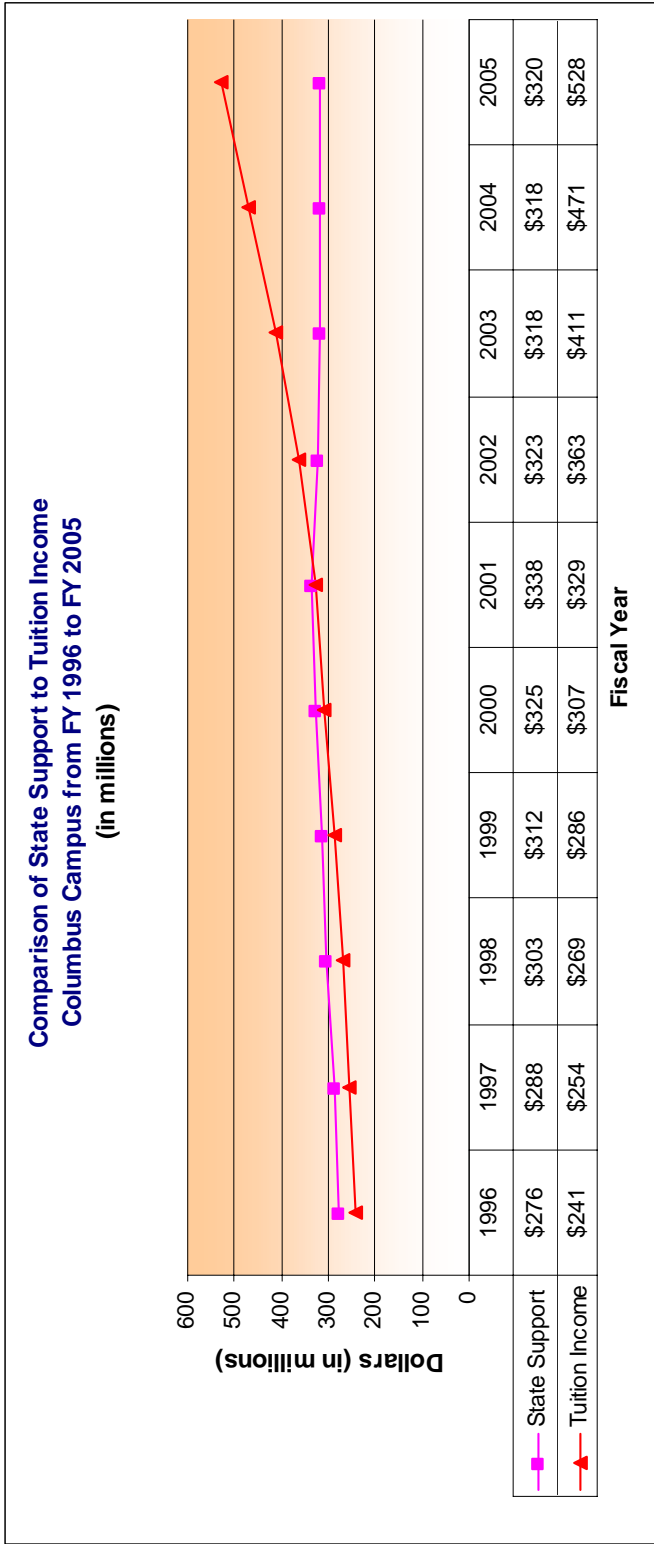
3 - College of Medicine includes rebasing resources of \$1.4M in continuing funds and \$0.5M in continuing funds for Hospital rent and \$1.8M in one-time funds.

4 - Includes \$0.2M for interdisciplinary graduate programs.

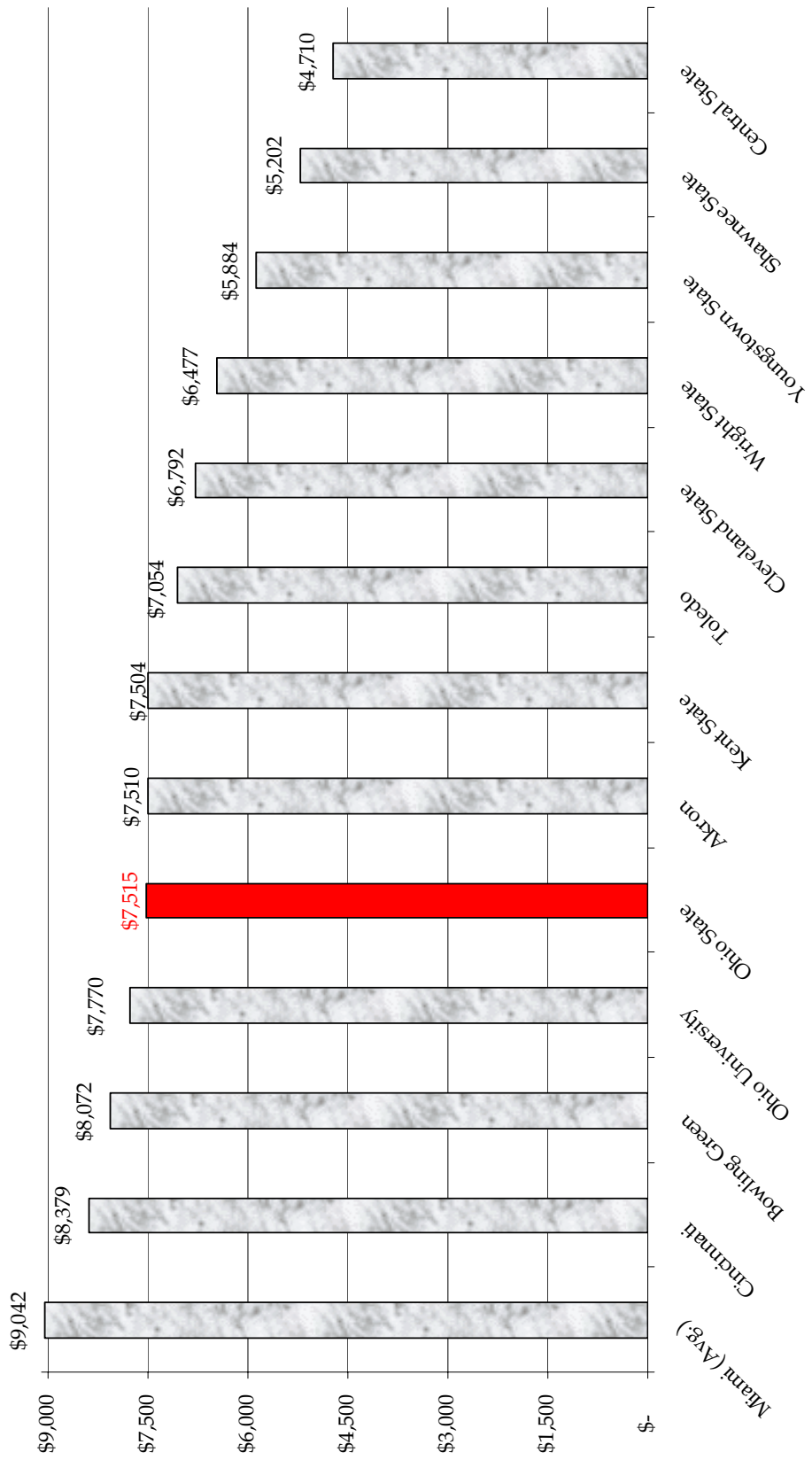
Summary of Allocation of Increased General Fund Support

	Continuing	One-Time
Focused Initiatives		
Cutting Edge Research	\$2.2	\$12.8
Distinctive Undergraduate Education	11.5	2.0
Outreach and Engagement	0.2	2.5
Teaching/Learning Environment	7.1	2.1
Funds to Colleges	26.3	NA
Total	\$47.3	\$19.4

State Support As A Share Of Revenues Has Declined Steadily



Full-time Resident Undergraduate Tuition and Fees Ohio Public 4-Year Universities New Students Entering in Fall 2004



**The Ohio State University
Board of Trustees
Fiscal Affairs Committee
July 9, 2004**

**FY 2005 Operating Budget
Financial Implications**

- I. Strategic Principles
- II. General Funds Budget Recommendations
- III. All Funds Budget Recommendations
- IV. Financial Stewardship
- V. Conclusions
- VI. Appendix

Office of Business and Finance
7/8/04

I. Strategic Principles

- A. Resource allocations should support the Academic Plan/Leadership Agenda.
- B. Resource allocations should support the flow of resources to the colleges to carry out their missions.
- C. Resource allocations should ensure continued operating efficiencies and high quality support services.
- D. Resource allocations should advance effective financial stewardship.

II. General Fund Budget Recommendations

- A. FY 2005 Budget Assumptions
- B. FY 2005 Revenue Summary
- C. FY 2005 Expense Summary
- D. Strategic Allocations

A. FY 2005 Assumptions – Columbus Campus General Funds Budget

Total Revenue Increase Net of Financial Aid = +6.3%

Headcount Enrollment	+ 1.2%
NFQF	- 7.7%
Tuition Package approved by BOT 6/4/04	+12.3%
State Support	+ 0.3%
All Other	+ 8.2%

Total Expenditures Increase Net of Financial Aid = +6.3%

Salaries	+ 3.2%
Benefits	+ 9.0%
Utility Costs	+14.5%
Student Financial Aid (Undergraduate)	+24.0%

Planned Central Reserves

Operating Margin & Enrollment Reserve	+ 5.4%
Rainy Day Fund	+4.7%

B. General Funds Revenue Summary FY 2005 Columbus Campus, Continuing Funds

Enrollments (Headcount)

	FY 2004	FY 2005	% Change
Total Headcount (Autumn)	50,207	50,824	+1.2%
NFQF Only	6,258	5,800	- 7.7%

Revenue Sources (In Millions)

Category	FY 2004	FY 2005	% Change
Student Fees	\$475.1	\$532.6	+12.1%
State Support ¹	318.8	319.9	+0.3%
Cost Recovery ²	106.0	113.5	+7.1%
All Other ³	11.1	10.4	-6.3%
Sub Total	911.0	976.4	+7.2%
Minus Undergraduate Student Financial Aid	46.9	58.2	+24.0%
Revised Total	\$864.1	\$918.2	+6.3%

C. General Funds Expense Summary FY 2005 – Columbus Campus, Continuing Funds (In Millions)

Category	FY 2004	FY 2005	% Change
College Operating Budgets	\$474.9	\$501.0	+5.5%
Support Unit Operating Budgets	220.0	226.1	+2.8%
Student Financial Aid			
Undergraduate	46.9	58.2	+24.0%
Graduate and Professional	58.8	62.9	+7.0%
Facilities	56.8	62.5	+10.0%
All Other ⁴	53.5	65.8	+23.0%
Sub Total	\$910.9	\$976.5	+7.2%
Minus Undergraduate Student Financial Aid	46.9	58.2	
Revised Total	\$864.0	\$918.3	+ 6.3%

¹ State support includes State Share of Instruction, Success Challenge and Research Challenge

² Cost recovery includes indirect cost recovery from auxiliaries, regional campuses and federal research grants.

³ All other includes interest income and miscellaneous fees (e.g., recycling fees).

⁴ Composition of "All Other" is described on the next page.

C. General Funds Expenditures – General Funds Expense Summary FY 2005 (Cont) Continuing Funds Only - Columbus Campus

Category	FY 2004	FY 2005	% Change
Holding Accounts⁴			
Provost's Funds ⁵	\$17.5	\$14.8	-15.4%
Strategic Investment Funds	5.4	5.5	1.9%
Student Activity Fee	0.5	1.0	100%
Recreation Fee	NA	1.3	NA
Earnings Overhead Allocation	NA	3.0	NA
Mandates	NA	1.0	NA
Other Funds ⁶	8.0	8.4	5.0%
Sub Total	\$31.4	\$35.0	11.5%
Earmarked Reserves			
Enrollment Reserve	\$3.3	\$3.3	0.0%
President's Reserve	1.1	1.3	18.2%
Operating Margin	0.4	0.6	50.0%
Sub Total	\$4.8	\$5.2	\$8.3%
Other Central Funds			
Research Support ⁷	\$17.8	\$18.2	2.2%
Legal/Banking/Audit Fees	1.9	1.9	0.0%
Admin Computing Support	4.0	4.0	0.0%
Miscellaneous Operating ⁸	1.7	1.7	0.0%
Development Assessment ⁹	0.3	0.3	NA
Sub Total	\$25.4	\$26.1	1.6%
FY04 Transfers to College/Supt Units¹⁰	(8.1)	(0.5)	
Grand Total	\$53.5	\$65.8	23.0%

⁴ Will be distributed to colleges and support units during FY 2005.

⁵Change in Provost Funds due to decreases in Success Challenge and 5% Provost Allocation.

⁶ Includes revenue received in FY 2004 but allocated in FY 2005.

⁷Includes funding for OSURF, Special Research Fund, and Research Challenge.

⁸Includes miscellaneous expenses such as Indirect Cost Support, program phase-outs, Classified Pay Pool, Safety Improvement District and Benefits Reserve.

⁹Only General Funds Portion of Development Assessment

¹⁰Includes Strategic Investment, Academic Enrichment and Student Activity Fee.

D. Summary of allocation of Increased General Fund Support by Area of Focus (in millions)

Focused Initiatives	Continuing	One-Time
Research	\$2.2	\$12.8
Undergrad Education	11.5	2.0
Outreach and Engagement	0.2	2.5
Teaching/Learning Environment	7.1	2.1
Discretionary Funds to Colleges	26.3	0
Sub Total	\$47.3	\$19.4
Other Initiatives		
Other Financial Aid	\$10.1	0
Support Unit Operations	6.1	0
All Other	1.7	0
Sub Total	\$17.9	0
Grand Total	\$65.2	\$19.4

D. Reconciliation Summary of Allocation of Increased General Fund Support by Area of Focus (in millions)

Focused Initiatives	Continuing	One-Time
Cutting Edge Research	\$2.2	\$12.8
Distinctive Undergrad Education	11.5	2.0
Outreach and Engagement	0.2	2.5
Teaching/Learning Environment	7.1	2.1
Funds to College	26.3	0
Sub Total	\$47.3	\$19.4
Other Initiatives		
Other Financial Aid	\$10.1	0
Support Unit Operations	6.1	0
All Other ¹¹	1.7	3.5
Sub Total	\$17.9	0
Grand Total	\$65.2	\$22.9

III. Analysis of Budget Recommendations For All Funds – Columbus Campus

- A. Summary of Recommendations by Funding Source
- B. Budget Recommendations for Health System and Major Auxiliaries

¹¹All other includes \$2.8 million in support for administrative computing and \$0.5 million in support of Development.

A. Summary of Recommended Allocation Levels – Columbus Campus Only – All Funds Revenues (In Millions)

Category	FY 2004	FY 2005	% Change
General Funds			
Net Tuition	\$424	\$468	10.4%
State Support	319	321	0.6%
All Other (1)	100	104	4.0%
Sub Total	\$843	\$893	5.9%
Undergraduate Student Financial Aid	47	58	24.0%
Revised Sub Total	\$890	\$951	7.0%
Earnings			
Health System	\$891	\$1,058	18.7%
Auxiliaries	204	211	3.4%
All Other (2)	83	100	20.5%
Sub Total	\$1,178	\$1,369	14.4%
Restricted			
Appropriation	\$57	\$57	0.1%
Gifts & Grants	485	550	13.4%
Endowments	15	17	13.3%
Sub Total	\$557	\$624	12.0%
Grand Total	\$2,625	\$2,944	12.2%

(1) Includes Misc. Student Fees, Cost Recoveries and Interest Income.

(2) Includes conference fees and departmental sales and services.

NOTE: Totals do not reconcile with other tables due to adjustment for inter-fund transfers.

B. Summary of Budget Recommendations for Health System, Major Auxiliaries and Development (FY 2005) Includes All Funds (General, Restricted, and Auxiliary) (In Millions)

Unit	FY 2004 Resources	FY 2005 Resources	Percent Change	FY 2005 Expend/Transf	Net Income
Health System	\$897	\$1,068	19.1%	\$1,057	\$11
Housing, Food Serv, & Event Ctr.	103	105	2.9%	102	3
Intercollegiate Athletics	83	86	3.6%	86	0
Transp & Parking ¹	19	22	15.7%	21	1
Univ Airport	6	6	0%	6	0
Development	17	19	11.8%	19	0

¹ FY 2004 revenue exceeded budget by \$2M, so FY 2005 increase over actual will be 5%.

NOTE: Due to accounting transfers between units and funds, totals on this table may not correspond to other tables.

IV. Resource Allocations Should Advance Effective Financial Stewardship

- A. Compliance with State and Federal Mandates
- B. Cost Reduction – Status of Major Process Reviews
- C. Financial Goals

A. General Funds Allocated to Assure Compliance With State And Federal Mandates, Columbus Campus, FY 2005

	Continuing	One-Time
Facilities Related	\$175,000	\$270,000
Research Related	299,000	0
Student Related	77,000	104,000
WOSU Digital Conversion	0	169,000
Total	\$551,000	\$543,000

B. Status of Major Process Reviews

- 1. Purchasing
- 2. Capital Construction
- 3. Health Benefits
- 4. Energy Management
- 5. Debt Financing
- 6. Large Systems Installations

C. Financial Goals For FY 2005

	FY 2004	FY 2005	Long-Term Target
Operating Margin ¹	0.5%	0.6%	0.5 – 1.0%
Rainy Day Fund	\$10.5M	\$11.0M	\$25M
Other Reserves	Varies	Varies	Varies
Operating Cash	30 Days +	30 days +	30 days +
All Funds Debt Service as a % of Total	2.6%	2.1% ²	Less than 5.0%
Bond Rating	AA	AA	AA
Future Commitments of Continuing Funds	\$12.8 – 16.3M	TBD	No more than 1%

¹ Includes budgeted operating margin and enrollment reserve.

² Excludes one-time accelerated payment of \$37 million.

V. Conclusions

- A. Chronology – What Happens Next
- B. Strategic Budget and Financial Issues Addressed During FY 2004
- C. Strategic Budget and Financial Issues To Be Addressed During FY 2005
- D. Summary

A. What Happens Next?

- September
 - Current Funds Budget Books
 - Regional Campus Budget Approvals
 - Purchasing Update

November
 Year End Financial Review
 First Quarter Budget/Risk Assessment
December
 Energy Management Update
February
 Mid-Year Review
 Budget vs. Actual

B. Strategic Budget Issues Addressed During FY 2004

- Initial review of core processes, including Purchasing and Managing Capital Projects.
- Funding of long-term replacement and renewal.
- Reformulation of Endowment distribution to protect future growth.
- Adoption of formal policy on debt service and lines of credit.
- New reporting requirements on conflict of interest and related party transactions.
- First year review of budget restructuring.
- Review and re-writing of expenditure policies/internal controls.
- Documentation of financial risk management strategies.
- Reformatting of Budget vs. Actual report.

C. Strategic Financial Issues for FY 2005

- Changing capital funding environment.
- Current deferred maintenance needs.
- Continuation of core process reviews.
- Funding the next development campaign.
- Managing debt capacity.
- Funding research infrastructure.
- Funding graduate education.
- Tracking tuition dollars.
- Preparing for FY 2006.

D. Summary

1. This budget supports the priorities of the Academic Plan/Leadership Agenda
2. Revenue assumptions are reasonable and in compliance with state law.
3. Expenses are fully funded and within available revenues.
4. Financial Stewardship is strengthened:
 - a. New state and federal mandates are funded.
 - b. Cost reduction initiatives are advanced.
 - c. Financial goals are met.
5. Next steps and strategic issues for the next budget cycle have been identified.

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND FOUR HUNDRED AND SIXTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, September 22, 2004

The Board of Trustees met at its regular monthly meeting on Wednesday, September 22, 2004, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

** ** **

Minutes of the last meeting were approved.

** ** **

September 22, 2004 meeting, Board of Trustees

The Chairperson, Ms. Longaberger, called the meeting of the Board of Trustees to order on September 22, 2004, at 1:15 p.m. She requested the Secretary to call the roll.

Present: Tami Longaberger, Chairperson, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Douglas G. Borrer, Walden W. O'Dell, Brian K. Hicks, Emily M. Quick, and Chad A. Endsley.

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Ms. Longaberger:

We have a full agenda today and, in addition to committee reports, we will be hearing a report from the University Staff Advisory Committee and a presentation on Selective Investment and Academic Enrichment from Provost Snyder.

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PRESIDENT'S REPORT

President Karen A. Holbrook:

I am going to make remarks that I think are characteristic or fitting of the beginning of a new academic year. As you all know, this is the first day of classes for our students and a day we celebrate.

As we go into a new year, we can look back on all of our accomplishments of the past year. Barbara highlighted a number of them for the Board this morning, so I will not go over them, and they will also be talked about again in a State of the University address that will take place in October.

I do want to note one notable accomplishment that has not been mentioned that I think is very important, particularly in this climate, when we are looking to do things much more efficiently and effectively. On August 23, the University completed a major upgrade of its financial systems on time and on budget. The project included not only upgrades to existing software, but also integration of our three separate general ledgers into one and an implementation of a new grants administration system.

An important point to note is that literally hundreds of people, both in the business areas and in OIT, spent many hours making the Financials 8.4 project a reality. Projects like this require a great deal of hard work and are critically important to the University. So for any of you who are in attendance today and have had staff who were involved in this effort, please pass on to them our congratulations on the successful completion of this very important project and our thanks for a job well done.

As we begin a new year, it is something that we look at as a time of departures -- as people go different directions -- and welcomes. I can assure you the welcomes are a whole lot more fun than the departures and I'll mention a few to you this afternoon.

Today will be the last Board meeting for General Counsel Virginia Trethewey. Ginny has been with us for almost 10 years and has provided extraordinary legal talent to this University through all of her advice to everyone and pulled together a marvelous legal team. It is such a good team -- although a small team -- that you barely know they are here and functioning. That is not to say they are invisible. That is to say that they are

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doing their jobs so well that things are done very quietly and get handled very efficiently.

I have been at universities with huge legal teams that are always all over the place and agitating. The reason this University does not see the legal team is that they are guided so well under Ginny's extraordinary leadership.

I would also say that Ginny has provided advice to the entire University well beyond her legal responsibilities. She understands the University and the people, knows what the culture is like, and knows how to provide excellent, high quality, and very ethical standards. I have greatly valued her leadership, personal help to me, advice, and productivity. She is someone to count on at all times. She has great insight, a quick mind, and writes and thinks with precision. She is tireless and willing to contribute on any topic, whether it is within the range of her professional duties or otherwise.

Ginny, thank you for everything you have done. You will be greatly missed, but fortunately you are not going to be gone, you will move upstairs into an office that is full of sunshine. So we know we can count on you. Thank you for everything that you have done. It has been extraordinary.

In saying goodbye to Ginny in this regard, we also welcome Chris Culley. Chris is here today and I would like to introduce him to you if you have not yet had the opportunity to meet him. Since 1998 Chris has served as the deputy general counsel under Ginny. He has been the chief liaison with the attorney general and has coordinated the legal counsel in the state government. He has been the chief legal counsel for business and finance matters, including trademark and licensing, besides taking on all of the backup duties under Ginny's leadership. He has many areas of his own where he has great expertise and has contributed extraordinarily.

Chris has been an assistant attorney general and was the first chief of a newly-created education section and directed education policy for the attorney general. He was staff counsel in the Office of the Governor. Having Chris come aboard as the interim general counsel means that we will not miss a beat in the legal office. We are delighted that you have agreed to join us and continue on with the excellent job that already marks the office. So welcome, Chris, we are glad to have you with us as well.

We are also welcoming Curt Steiner, who is here for his first full Board meeting. He has participated on committees in the past, and many of you know Curt from all of his activities downtown, with the legislature, with PR, and with communications throughout the City of Columbus. Curt is extremely well known and is an exceptional hire for the University to help us in so many very important domains. We are delighted to have you on board as well. So welcome Curt and Chris.

It is also time to welcome a number of new deans. We have a new dean of the College of Engineering, Bud Baeslack. We are delighted to have brought him back from Rensselaer. Of course, he was a Buckeye from the beginning, but he took a short leave of absence to clear his head and then came back to Ohio State.

We also are delighted to welcome: Dr. Paul Beck, dean of the College of Social and Behavioral Sciences; Dr. John Roberts, dean of the College of Humanities; Dr. Melvin Shipp, dean of the College of Optometry; and Interim Executive Dean Dr. Jackie Royster, who at the moment is leading the Federation of the Colleges of the Arts and Sciences. Also, I would like to

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welcome 213 new faculty members who have joined the Ohio State family, including some very outstanding – everyone is an outstanding recruit or they would not be here – and top-notch recruits who have brought with them very large teams.

We introduced Dr. Carlo Croce at the last meeting. He is heading the Department of Molecular Virology, Immunology, and Medical Genetics as part of the Human Cancer Genetics Program. As some of you heard Mr. McFerson say this morning, Carlo is coming with literally a small company of investigators that will help build Ohio State and contribute to economic development in this community.

We have two new Ohio Eminent Scholars: Michael Paulaitis as The Ohio Eminent Scholar in Nanotechnology: Molecular Self-Assembly; and Harvey Graff as The Ohio Eminent Scholar in Rhetoric and Composition. Great faculty are coming to Ohio State.

We also have Bob McGrath with us today. He was introduced before, but had not really been on board at that time. We are delighted that Bob has joined us as senior vice president for Research and we have already seen many things that he has done.

I am going to say a little bit about another major welcome, and that is of course to the 5,900 entering students in our undergraduate freshman class. Those of you who were in the Academic and Student Affairs Committee meeting this morning heard about the quality of this entering class, so I will not say too much about it.

However, this is the brightest class that has entered Ohio State over the last consecutive years. This is truly a milestone that marks the University's momentum in our unrelenting trek for academic excellence. The ACT and SAT scores are up. We have more students in the top 10 percent of their high school classes joining Ohio State. About 72 percent overall are in the top 25 percent of their class. We have more scholars and those are our top academically qualified students who have graduated in the top three percent of their class and have very, very high ACT and SAT scores.

Measuring students is not only by numbers. These students come to us having done extraordinary things in leadership, scholarship, citizenship, athletics, studies abroad, and unique innovative things throughout their careers. So having them come to our University, to continue on, and to contribute to our environment is really exceptional. Because of these high qualifications of our students, we know that most of them could have gone to many other universities, but chose Ohio State. It is very exciting to have this group on board.

It is very important that we recruit top students, but it is also important that we retain them. It is also important that they have wonderful things to do when they graduate and they go out into meaningful and productive careers. I am going to say a little bit about each of these kinds of things.

As you know, we are a very large university. As you may not know, we are now the largest university in the nation. We surpassed the University of Texas at Austin this year with being the number one largest university. That presents unusual challenges for us and they are ones that we meet. We make an effort to make every single student feel like they are in a small environment and there are a number of activities they can participate in, so that this is a very large university that becomes very small.

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Lots of our students are engaged in living-learning experiences. Meaning they are with peers who are interested in the same kinds of things they are interested in, and living and learning in that same environment.

We have many things going on during Welcome Week that bring students together in different kinds of groups, including our Opportunity Fair. The Opportunity Fair introduces them to over 500 or more different activities that they can find a place for themselves within. Or, as it has been said, if there is not an activity for you, create one. One can happen for you at The Ohio State University.

We had Community Commitment yesterday and I understand about 1,200 students participated. This is a very important activity for us because, while we want our students to be great scholars, and they are, we also want them to be citizens. You may know that our motto at Ohio State is "Education for Citizenship," and by starting out in a community activity like this it certainly sets the stage for it.

We have classes beginning today and other things going on today. There are things that go on all year long to bring out students into smaller groups and get them connected with faculty.

We have also put together a Leadership Agenda. I want to say a little bit about that because the Leadership Agenda is also designed to provide the best possible educational experience for students. I really am excited -- and have to brag -- about one of the goals of a leadership agenda, which is bringing students into a research environment of a great research university. You may have heard us talk last year that our goal was to get every single student involved in a research activity so they could get to know faculty members one-on-one and participate in their own education and learning.

What kind of a research environment do we have? We have one that we can be extremely proud of. This year, we have done better than we have ever done before in sponsored research. Our faculty at this University have brought in more than \$528 million of externally sponsored programs. Over the last two years, that is a \$100 million increase. This is absolutely outstanding.

Last year we ranked #12 in the nation in externally funded programs, meaning twelfth among public universities. It is not about the money. It is about the money in some ways because that is what funds the research and brings in faculty who are top-notch. That is what provides supplies, facilities, and equipment, and the environment for students to work in. But it is really about the environment of exciting people, exciting programs, and wonderful facilities for our undergraduate students to take part in.

When you look at the success of our faculty and what they have accomplished: Engineering brought in 28 percent more funds this year; Social and Behavioral Sciences increased their research funding by almost 75 percent; and the biomedical sciences brought in about \$165 million of research.

One grant that has not even been publicly announced yet -- and it is not counted on our numbers -- is a huge multi-institutional grant in the area of nanotechnology that involves Berkeley, Johns Hopkins, Purdue, Boston University, Florida A&M, and the University of Akron. This says we are national players, we are world players, and we are doing world-class things.

If you look at the kinds of awards we bring in they are not awards to do esoteric projects, they are awards that make a difference in the health of the

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people, society, cleaning up our environment, moving ahead the economy, and relating to all kinds of contemporary issues. Our research programs are here for research, for economic development, and for bringing in the very best faculty, but they are also here for providing an exceptional environment for our undergraduate students.

Our educational programs – part of our second goal in the Leadership Agenda – to provide distinctive programs for undergraduate students. Part of that is putting together the Arts and Sciences again in the Federation. Through the Federation we have many new curricular offerings that are revised programs in art education and theatre, comparative ethnic studies, a new track in international studies related to national security, new programs in health sciences, and new programs in natural resources. Every year our faculty become more creative in providing environments for students in academic programs, and often interdisciplinary programs, where two different programs come together.

Another Leadership Agenda goal is that as a land-grant university part of our responsibility is to do outreach and engagement. That started for our freshmen yesterday as they participated in their Community Commitment Day and it will go on throughout their careers. By the time our students graduate, 75 percent of them will have done some kind of activity within the community and contributed in some very meaningful way.

I was delighted to learn from Bobby Moser, who heads the Office of Outreach and Engagement, something I had not known in terms of numbers. We have 850 different programs of outreach and engagement, which are registered in a database and involves all our colleges. When people think of outreach and engagement, they often come up with Extension -- which is a very important program -- but 850 programs tell you that The Ohio State University is very much connected with the communities all across the state, doing very relevant things, and providing wonderful opportunities for our students.

I think we have a program we can be very proud of in every single aspect. We have students, deans, and faculty we are proud of, and we have a campus that is one of the best in the nation. It is the biggest, but it is much more important that it is the best and we are working in that direction every day. So we welcome back everybody to campus. I look forward to an absolutely wonderful year ahead of us.

Thank you very much.

Ms. Longaberger:

Thank you, Dr. Holbrook. We appreciate your report.

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STUDENT RECOGNITION AWARD

Ms. Longaberger:

One of the highlights of each of our Board meetings is the opportunity to acknowledge and celebrate an outstanding student on campus. With that, I would like to call on Chad Endsley for our Student Recognition Award. Chad --

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Mr. Endsley:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of that student's achievement in his or her area of study, service to the University and/or the community, and/or research achievements that have been a credit to the College and the University. This month's recipient is Adrienne Smith, who was nominated by Dean Richard Freeman and the College of Mathematical and Physical Sciences.

Adrienne is entering her final year at Ohio State and is expected to graduate in December with a Bachelor of Science degree in geological sciences. She is an exceptional student in her major, as well as her other Ohio State courses and has achieved an overall grade point average of above 3.9. Adrienne is intelligent, well prepared, diligent and thoroughly professional in her schoolwork and research work. Adrienne's talents are many, from music performance to examining rocks and minerals. Her outstanding scholarship has been recognized by a host of awards from the School of Music, the Office of Minority Affairs, the Department of Geological Sciences, and The Ohio State University.

Adrienne's excitement in learning and research discovery is a source of great satisfaction for all of her instructors. She was invited to participate in a research expedition to Antarctica, to study the geology of a region known as the "Terror Rift." Adrienne's research work was recognized in the Denman Undergraduate Research Forum -- where she received the highest award for the College of Mathematical and Physical Sciences -- for her poster presentation entitled *Mapping Sea Floor Geology, Ross Sea, Antarctica*. Her results will contribute to understanding the history of the forces that caused distortion within the Antarctic continent, helping to answer fundamental questions about the interactions of the Earth's tectonic plates.

Adrienne is a young scientist who is especially deserving of the Student Recognition Award of The Ohio State University Board of Trustees. She is accompanied today by her parents and University deans, professors, and mentors. Adrienne, I am very pleased to present this award to you today on behalf of the Board of Trustees. Congratulations.

Ms. Adrienne E. Smith:

I would like to begin by thanking the Board of Trustees, the deans of my college, my chairman, and my distinguished president for your support and recognition of my academic efforts.

I always tell this story of the first time someone asked me what I wanted to be when I grew up and I said that I wanted to be outside. Over the years, I flightfully considered a number of different careers: a dancer, lawyer, novelist, in advertising, a mad scientist, and I humbly thought I would be the poet laureate. Never in all that time did I think or say that I would be a geologist.

Oddly enough, after I declared my geosciences major I was cleaning my room at my parents' house and I started searching through all the treasures of my youth, all the things that I hid from my sisters to keep sacred. I found mementos from the day I led my sixth grade class on an expedition in the woods -- a map reading exercise where we had to pace out everything. We ended up at a creek bed that was covered in fossils, and the prize for our persistence was that we could take home any two of those relics that would fit in the palm of our hands. I also found a blue rock that my best childhood

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friend gave me, knowing that this odd color, this odd façade would fascinate me. I later found out that she had colored it herself. The point is that many of my treasures were evidence of my own independent study of the Earth. In the end, I started thinking that maybe I had known myself better in first grade than I had for the last 13 years.

In hindsight, it is clear to me that many of the lessons of my life are about developing a knowledge of myself. As I have been thinking about this recently, I realize that as important as it is for me to know myself, it is equally important for me to know why I am who I am.

For that reason, I have invited this gaggle of people here today to each be thanked in turn. I would like to start with Professor Christopher Weait, from the School of Music, and his inspiring companion and wife, Padge. They have been the source of many comforting hugs and conversations, and some much-needed emergency oatmeal cookies over the past six years.

Professor Weait's kindness and wisdom is one of the major things that attracted me to Ohio State. During my first year in my bassoon lessons, we would sit and talk about what I was really going to do with my life, which was not bassoon. Even after I left the music program, the bassoon studio and the Weait's household have remained places that I can go for comfort, solace, and camaraderie. So I really appreciate that. Thank you very much.

Dr. David Elliot taught the second course I took as a true geology major and I remember thinking a few days into that class that I would never have the wealth of knowledge that he has and I could never know even half of what he knows. To this day, I am determined to give it a try.

My thesis advisor, Dr. Terry Wilson, truly has enriched my life, starting with the first day of her class and then again on the day that she held me after class and asked me if I wanted to go to Antarctica to do research. Most of my drive in the time since then has just been an effort to keep up with her rigorous standards and amidst all of the learning, laboring, and long hours, I have just had a lot of fun. So I would like to thank her.

My sisters I will thank in their absence because they have always been there for me to keep my ego in check. They always remind me of the silly faces I always made in pictures as a kid, the many, many phases where I refused to take a bath, and the time that they wanted me to be quiet and they shoved a sock in my mouth. At the same time, they are always there to love me and lift me up when I need it the most.

I would like to thank my parents, Rose and Jim Smith. People always ask me what my parents do for a living. As if to say, "you are so smart, so your parents must be neurosurgeons or guiding massive spaceships." My parents are just the ordinary kind of extraordinary people. The most valuable lesson I learned from them is the reward of working hard and, even when it means putting in long hours and then just trying to live life to the fullest in between, that it is worth it. Every time I hear my mom singing or my dad chuckling, I look at them and I think if God had given me nothing but you, it would have been enough. I am really blessed. Mom, Dad – I love you. Do not cry.

Finally, I would like to thank The Ohio State University for bringing all these people together, being the community that raised the child that I was, and for providing me with my choice of opportunities to really and truly do something great. Thank you.

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Ms. Longaberger:

Thank you, Adrienne. I think you gave something very special to the Board of Trustees as well and we appreciate it very much. You are truly a wonderful example of the extraordinary students and people that make up this community of Ohio State. Thank you very much.

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COMMITTEE REPORTS/HOSPITALS BOARD REPORT

Ms. Longaberger:

I would now like to call on the committee chairs individually for their reports, and we will start with the Investments Committee. Mr. McFerson --

Mr. McFerson:

First, I would like to make an observation on Adrienne's behalf. You should have seen the huge grins on your mom and dad while you were talking. It was great. Secondly, when you go to graduate school, do not leave Ohio State and go somewhere else.

The Investments Committee met this morning. We had a good meeting and had a number of reports. The first report we heard was from Dr. Schroeder on University Development. He reported on the fiscal year ending June 30, and it was exciting to hear that we finished the year with \$203 million of giving of all kinds -- from individuals, corporations, private foundations, and associations. That is a four percent increase over last year. That is a profound number when you consider just a few short years ago we were not even close to those kinds of numbers. Jim, thank you very much. Individual giving was up 26 percent, which is another very strong number. The resolution passed by the Investments Committee will be on the consent agenda today for the Board's consideration.

We then had a series of reports from Treasurer Jim Nichols. He reported that we finished August 31, at \$1.518 billion in our several endowment accounts. That is just slightly down from the end of the year. As we all know, the markets were down also during the summer doldrums that we seem to go through each year.

He also reported that for the fiscal year ending June 30, we are up 18.3 percent in our total endowment. Equities alone was up over 25 percent, which surpassed the S&P 500 by about six points -- that was a strong report there. He also reported that the cost of our treasury operations continues to be outstanding. We dropped from 24 basis points to 21 basis points to manage this huge endowment, which is literally a world-class performance.

We had a presentation as well on some trends and balances reports. We distributed \$63.5 million to the various colleges from the endowment in FY 2004, which is a profound number that the colleges certainly appreciate. He also showed the projections coming forth with the reduced payouts of 5.5 percent in FY 2005 and 5 percent in FY 2006.

Madame Chair, we are going to have to spend more time at our next meeting talking about where we go from here with our investments. Clearly as we compare Ohio State to other indices, we are generally 10-12 points more allocated to equities than other institutions like ourselves and to the comparative groups that we look at. We are also about 10 points less on

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alternative investments, which would be considered hedge and venture funds and real estate.

The recommendation that likely will come forward will be to move more toward where the benchmark institutions find themselves. It will allow us to have a little less risk in our portfolio and also better returns. We are going to have a healthy discussion on that at our next meeting. By that, I mean that we are currently sitting at 73 percent in equities and we probably need to be more around the 60 percent level. We are sitting at about 20 percent in fixed incomes and that is about where we think we need to be. However, we may need to allocate differently within the fixed incomes and have 20 percent of the portfolio in these alternative investments. If you look at the really large endowment funds -- because these are invested for the long term -- we need to find ourselves in some of these other classes of investments, which we have been perhaps a little slow in moving toward over the past decade.

It was a good meeting. We have a great operation in our treasury folks and we will have another healthy meeting next month to talk about this further.

Ms. Longaberger:

Thank you very much. Questions or comments? Thank you, Mr. McFerson. Mr. Borrer will you now report on the Academic and Student Affairs Committee?

Mr. Borrer:

Thank you, Madame Chairperson. We began the Academic and Student Affairs Committee with a presentation by Vice President Hall regarding the beginning of the year. We are off to an excellent start. Many of you probably saw *The Columbus Dispatch* today. There was a front-page article on the new climbing wall at the Rec Center and both Dr. Holbrook and Provost Snyder were on live television this morning and had great coverage of the start of the year.

We also had presentations from the leaders of all the student governing bodies. They have a number of great plans for the year and their objectives are very far-reaching and comprehensive. They do have a clear concern and an area that they are giving a lot of attention to and that is the security and safety of our students on campus and in the surrounding areas. They are working very diligently with Vice President Hall, as well as with the City of Columbus and police, to make these areas a priority along with street lighting and trash removal.

We then moved to a presentation by Vice Provost Randy Smith and Dean Donna Evans on teacher preparation and coordinating educational requirements for teachers. This was a very interesting and well-thought-out presentation.

Finally, Provost Snyder presented resolutions that will be on the consent agenda today and they include: reorganizing sections in the College of Engineering, naming of the Wetland Complex, and routine personnel actions.

That concludes my report.

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Ms. Longaberger:

Thank you very much. Any questions or comments? Fiscal Affairs Committee – Jo Ann Davidson?

Mrs. Davidson:

Thank you, Madame Chairperson. Our first item on our agenda this morning in Fiscal Affairs was a continuing look at how we can become more efficient and cost effective on the campus in a review of the core processes, and this morning's report dealt with purchasing. There is a very good coordinated effort going through the whole campus, on how we can come together for some of our procurement and IT materials, and we were very pleased with that. The Committee has really encouraged Mr. Shkurti to move ahead quickly on these reviews. They expect that if we can put these things in place, then we could have between a \$26 and \$32 million savings over a two-year period of time, just by the coordination across the campus in some of our purchasing processes.

Also Larry Lewellen gave us a briefing on the Fair Labor Standards Act and the impact that we might have at the University on the change in overtime rules, where some of our now exempt employees might have to be put into the non-exempt category. Although they are not far enough along with that review yet to give us an estimate of what the cost might be, they wanted us to be aware of that. They are also in the process of reviewing and updating our drug-free workplace rules. Again, those will come back through the Committee and to us for approval.

Mr. Shkurti reported on some proposed property lease/purchase agreements. Generally these lease agreements have not necessarily come before the Committee, but since these are extensive and could have some long-term impact upon the debt of the University, the decision has been made to bring these now to the Fiscal Affairs Committee. So there were five different actions brought to us today, four of those which will be delayed until next month's meeting and we will ask for your approval at that time.

I'd like to ask the Board members not on the Committee to take a look at some of those lease/purchase agreements. Some of them are very good opportunities of properties that are adjacent to current OSU facilities that we may be in a situation where we can now acquire them. So if you do not have that information or need that information, let us know and we will get it to you. However, one of the leases will come to us today for approval. That was for entering into a lease/purchase agreement for a Borden property at Polaris, which would be for the purpose of new research facilities to accommodate some of Dr. Croce's needs.

There is an urgent need to move ahead on this. What we will be asking today of the Board is not to authorize the lease/purchase, but to authorize a lease/purchase once Mr. Shkurti and our legal counsel believe that we have had the due process and review of that lease, and that we have a business plan with which Mr. Shkurti is comfortable. So the resolution today would authorize the senior vice president for Business and Finance, in consultation with the chair of the Fiscal Affairs Committee, to move ahead on that lease/purchase once those two factors are determined.

We had a very good quarterly report on our capital projects. We have been doing this on a regular basis where Mr. Shkurti brings us up-to-date on where we are on some of our major construction projects. Today we reviewed the new garage at University Hospitals, the Heart Hospital, Larkins

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Hall, Neil Avenue garage, the Knowlton School of Architecture, and the philosophy building. It is nice to hear that we are on time and on budget.

So I commend everybody who worked to get these reports for us. It is good to know that we have additional parking available in the Hospitals facility and the Ross Heart Hospital -- under Dimon's leadership as chairman of that board -- is about ready to be receiving new patients. So it was a very good report.

We have three items that will be on the agenda and one that will be added today, which is the Polaris property. One would be for the approval of design and construction projects on ongoing projects that we have already authorized. The second would be the easement that is requested by Columbus Southern Power for the extension of electric lines that involve University Hospitals East, to enlarge the emergency facility there. And the third resolution would be the final approval of the FY 2005 complete, entire OSU budget. As you know, we have authorized part of that before, but this includes all of our regional campuses so it would be a complete budget. You have received the budget book and again, we want to thank all of Bill Shkurti's staff for the great job they did over the summer months in pulling a very detailed budget book together for us. The book is a great resource throughout the year.

Madame Chair, those three items, plus the authorization on moving ahead on the lease/purchase agreement on the Borden property if everything is satisfied, would be the items we would be asking the Board to act on today.

Ms. Longaberger:

Thank you very much for your good work and the Committee's as well.

Mrs. Davidson:

Madame Chair, one other factor, and that is that Judge Duncan met with us to report on the meeting that was held earlier this month relating to the Airport expansion.

We had a number of members of the Worthington community, the leadership in that community, and other interested citizens who attended the meeting. They waited very patiently through our entire agenda until we got to the end. Judge Duncan made a very good report that related to what happened at that meeting and indicated that each of us will be getting an itemized review and a transcript of that meeting, so you will have a chance to review it as well.

Ms. Longaberger:

Thank you very much. We will now move on to the Hospitals Board report. Judge Duncan --

Judge Duncan:

Madame Chair, Dr. Holbrook, and members of the Board, my first thought, Madame Chair, is, after listening to that outstanding young woman, maybe we ought to declare victory and all go home! But I do not suppose you want to do that, so I will go ahead with the report.

First, I would like to report on the July 21 meeting of the Coordinating Council for The Ohio State University Health System. This is a new organization and the July 21 meeting was the first meeting.

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Let me tell you a bit about that organization. The regular membership is the vice president and CEO of the Health System, chief medical officer of the Health System, the chief financial officer of the Medical Center, and chairs of each of the Health System constituent hospital boards. Ex officio members include: the executive directors of each of the Health System hospitals; the president of The Ohio State University Physicians (OSUP); the vice dean for clinical affairs in the College of Medicine and Public Health; senior vice president for Business and Finance; and we are also fortunate to have President Holbrook as a member of this group.

The purpose of this group is to better align and coordinate activities and practice at the hospitals, and practice and programs of the Health System, review the financial data on a consolidated basis, coordinate shared vision and goals, review need assessments, review resource and cost allocation matters, review property and land use, and, very importantly, to ensure ethical and quality standards of care. In a word, rather simply stated, this organization will serve a very important purpose in trying to ensure that large and extremely important constituent institutions and programs all go in the same direction.

For the fiscal year ending June 30, 2004, the Hospitals had a very good and positive performance. Business was good and we were ahead of budget. As the fiscal year ended, we looked forward to the opening of a new patient/visitor garage with 975 spaces on Westpark Street, the August opening of the St. Rt. 315 ramps, and the Medical Center Plaza was completed. I hope you have all had an opportunity to see that – what a gorgeous new face that we have for patients and their families and visitors welcoming them when they come to our Medical Center. When I first saw it I thought I was in the wrong place!

Madame Chair, I would like to share my time with Dimon McFerson, who will make brief comments about the Ross Heart Hospital, which is another extremely important consideration.

Mr. McFerson:

Thank you, Judge Duncan. As the chair of the Ross Heart Hospital Board, we had our official opening over the weekend of August 13, 14, and 15. The weather then was as good as it is today – perfect. Maybe it was a little early for the opening, but you set those dates well in advance. We went ahead and had a wonderful opening, even though there was construction still occurring around us. I am happy to report that Libby Ross and her family were present, and the generosity of this woman has no limits. She picked up the entire cost of the event, so there would be no cost to the Medical Center for the opening, which was outstanding. We had all the major donors there and it was a wonderful turnout. They got to see some of the things the Judge talked about – the St. Rt. 315 ramps, the Medical Center entryway, the parking garage, and so forth. They were all very pleased with that.

Our patients will be moving in over the next few weeks. Originally the plan was to phase them in a floor at a time, but now they are going to move essentially all floors at the same time -- floors one and two, and four and five. There is no floor three, and that is by design so it connects with Rhodes Hall.

They have been checking out all the equipment. I am told the first heart catheterization has already taken place. Dr. Charlie Bush, chief medical director, performed it and no one better qualified than him to do it. I'm glad

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it was not on me, although he did one on me two months ago but not in the new hospital.

So things are going well. It is a marvelous, marvelous facility and I would encourage the Board to go over and walk around the facility when it is officially open. We actually held a board meeting there on August 30 -- even though we had to walk around some of the construction -- in the beautiful Huntington Room that was donated by those good people. It is a marvelous addition to the Medical Center.

Ms. Longaberger:

Thank you.

Judge Duncan:

Madame Chair, we are all very proud of the fact that in the *U.S. News and World Report* rankings we have nine specialty areas that are nationally ranked and, of course, 145 physicians have been identified as among the "Best Doctors in America."

President Holbrook remarked about Dr. Croce. I have had the opportunity of meeting him and I hope the rest of you will also have that opportunity, if you haven't already. In addition to being an internationally, highly-regarded scientist and educator, he is actually a real nice guy. He is a great addition to the campus community.

I also should indicate to you that Dr. Sanfilippo and those working with him are continuing the recruiting effort as it moves ahead of pace and there are some irons in the fire, and I hope that we will be able to get them out. That is going extremely well.

As was brought forth this morning in the Fiscal Affairs Committee meeting, there is another effort to reduce non-labor costs and the early numbers regarding the effort are extremely promising. We are hopeful that the non-labor reductions will amount to an incredibly good savings for the whole system. So we look forward to looking at the results on those.

I know you were interested in the financials; the July financials from the Hospitals indicate that we are hovering just right at budget, and it is fair to say the budget for this fiscal year is extremely ambitious. We are going to watch that very closely and it is going to take a really concerted effort to make those numbers, but right now we seem to be holding our own. The Hospitals Board meets tomorrow and I will get the August numbers and report on those to this Board at my next opportunity.

Last year's performance was indicative of a lot of hard work, intelligence, innovation, and, I note particularly, a cultural change and that is extremely important. I would like to congratulate Dr. Sanfilippo and all the folks working with him in the Health System for a very good year.

Again, this year's budget is very ambitious. It will be a huge challenge to meet forecast; there are a lot of ducks on the pond and we have to ensure that we get the ducks to shore or wherever it is that ducks want to go. This is a great time of opportunity for our Health System -- which is now very good -- to become even better, to serve more people, and to serve them better. Thank you.

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Ms. Longaberger:

Thank you very much, Judge Duncan. Any questions or comments?

I just want to make one comment to the rest of the Board. Thanks to all the committee chairs for your reports. It demonstrates the amount of time, energy, and effort that, not only committee chairs, but also all Board members put into our work here at Ohio State. I know there are a lot of good staff people here at Ohio State who are compensated for their work. The Trustees are all volunteers.

As I listened to you talk about your committee work and just the energy and effort that went into your care and concern for the University, I was thinking to myself that I was glad that we are not charged some of your hourly rates for your work. It helps keep the budget at least in check. On a serious note, thank you, publicly, to all the Board members for your time and effort that you put into making this University as great as it is. Thank you.

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USAC PRESENTATION

Ms. Longaberger:

I would now like to call on Connie Goodman, chair of the University Staff Advisory Committee, for her report on their activities.

Ms. Constance M. Goodman:

Let me introduce my colleague today, Lori Martensen, co-chair of our Staff Compensation and Benefits Committee, who will be helping me this afternoon with this presentation.

Madame Chair, President Holbrook, members of the Board, and guests, it is our pleasure and honor to speak with you about the University Staff Advisory Committee, commonly known as USAC. This group is somewhat unique to Ohio State and thus many of you may not be familiar with the types of issues that we address, the functions that we coordinate and participate in, and the overall exposure that we provide for staff work/life issues.

Our committee has been very successful in bringing important and timely staff issues to the attention of the administration. As a result of our successes, we have been asked by other staff groups across the nation to provide guidance to them as they establish their own advisory groups. Most recently, a request was received from the Oregon State University where our former provost, Ed Ray, is and he recommended that they establish a group similar to ours and contact us during the early stages of formation.

USAC is made up of 30 members of classified civil service, unclassified administrative and professional staff, and senior administrative and professional staff. We strive to have a broad-based membership from various departments across campus, including academic and service units, the Medical Center, and regional campuses. We are an advisory body to the president and our mission is to provide an active and participatory line of communication with the University community and to provide a forum through which we can raise staff issues, discuss, and make recommendations on non-academic issues and activities. In addition to our current members, we have a very strong alumni base who continue to be

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active participants in campus initiatives and committees – we call them our ambassadors.

USAC is actively involved in 20 University committees, either as voting members or as a guest. Representatives are selected based on background and credentials. Those people bring a breadth of experience and professionalism to their work with these groups. Currently, we have voting members on the Fiscal Committee, the Health Care Investment Committee, and the Staff Compensation Initiative Advisory Committee, to name just a few.

We are also active in state and national groups. Statewide USAC is a member of the Ohio Staff Council on Higher Education and, in October of this year, we will be sending a representative to the national CIC Academic Professional Meeting, where we will join other staff councils in discussing topical issues related to staff.

One of our largest and most influential endeavors each year is the Staff Compensation and Benefits Report. Lori is going to take a few minutes to address some of the activities that her committee has been working with and the impact that they have had and will continue to have on staff at Ohio State.

Ms. Lori A. Martensen:

Thank you, Connie. Good afternoon. There are three things I would like to highlight today: 1) our recent successes; 2) what is going on at our meetings right now; and 3) our primary initiatives for this year. When I say our successes, we share these with the faculty, the administration, the Board, and the student governments here at Ohio State because we have all played a role in them.

First and foremost is the approval of domestic partner benefits. We cannot overstate the importance of this benefit in recruiting and retaining top staff to the University, people who may not have otherwise considered Ohio State as an employer, and we greatly appreciate your support in having those benefits approved.

The additional child care facility, the new parental leave benefit, and the option of donating vacation time to staff in need are all new benefits that we have asked for, some of them since our earliest reports in the late 1980s. We appreciate that all of those have gone through lately and we celebrate their success.

Communication is a constant challenge at a university this size, but we feel we have made some really strong advances in the past year. We are having regular town meetings with President Holbrook and she brings some of her cabinet to open forums where anyone can attend and ask her questions. Those have seen larger and larger amounts of attendees at each one.

Something new we are doing are quarterly meetings with Provost Snyder. These are in a more intimate setting. We will have a couple of USAC members as well as some non-USAC staff in attendance where they can ask Provost Snyder questions and voice their concerns about issues here as they pertain to staff.

Compensation – we view it as a success in that in the last couple of years we have been able to offer above-market raises, although it is also a

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challenge. Our salaries are still below market levels and we will continue to push for consideration in that area.

What we are doing right now in our meetings is we are preparing for our next annual report, which will be delivered on February 10, 2005, at 2 p.m. If you are interested in attending, we would love to have you there. We will present this to President Holbrook and her cabinet members at that time.

We just completed our annual survey of staff, so we do not sit in a room and decide what is important to staff; we actually send out a survey to a sample group and ask them once a year what their concerns are with our benefits. We just started receiving our first feedback yesterday from that.

We also are having a lot of guest speakers come in and get us up-to-date on issues. Larry Lewellen and his staff, among others, make themselves very accessible to us and we really appreciate that.

You will see in a booklet that was passed out to you our last report. We have a number of initiatives we are always working on, but I want to highlight three of our top ones. You will not be surprised to hear that health care benefits are a continual concern with staff. I mentioned that we started receiving feedback from our survey yesterday and it was in response to our last question, "What comments do you have?" We received a lot of thank yous – thank you for getting domestic partners passed, thank you for getting us parental leave, or helping support those initiatives, but they were not all thank yous. There was also a lot of, "I am very concerned with health care costs, premium costs, prescription costs..." This is a national problem, we realize that, but we are also always looking at ways we can work with Human Resources to come up with new initiatives.

We strongly support the role of wellness in health care and how it can be used to lower health care costs. We appreciate maintaining wellness opportunities that are currently available and looking at new opportunities. One that we are concerned about is the new Recreation and Physical Activity Center (RPAC) – the renovation of Larkins. While we realize that was definitely a necessity, when it is up and running it will take away the only remaining free workout facilities for staff. So that is something we are looking at and exploring opportunities there. We appreciate that Human Resources is also looking at that and looking at options for lower income employees.

Balancing work/life is another initiative that we are always exploring. You might have noticed that AEP was awarded...as one of the top 100 employers for working mothers in their magazine – I think that was announced yesterday. I looked up their ranking and I saw the top ten. The three things that are repeated over and over are: they provide child care facilities, they provide parental leave, and they provide flexible work schedules. Flex schedules are something we hear over and over from staff. We are having more and more events occur on the weekends – Sunday graduation and recruitment activities on the weekends – these are all good things, but they highlight the need for us to be flexible for staff that work on the weekends.

Telecommuting is something else we would also like to see encouraged for the staff. And staff workloads – we need to be working smarter and harder in checking outdated processes and how we can become more efficient. Because when you are stressed out with your workload, that trickles into your home life.

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Lastly, we are looking at performance management issues. We strongly feel every staff person should sit down with their supervisor once a year, talk about their performance for the year – what went well, what could have gone better and how things can go better in the next year, both from the employer’s and the employee’s standpoint. There are a number of staff who have never had this opportunity and we will continue to explore how we can make that happen for all employees.

At this point, Connie and I would like to thank you for your time today. If you have any questions, we would be happy to address those.

Ms. Longaberger:

Karen –

Ms. Hendricks:

How many people are on staff that this organization represents?

Ms. Martensen:

I believe it is about 17,000 staff.

Ms. Hendricks:

Thanks.

Ms. Longaberger:

Let me just say “thank you” to you. The Trustees certainly value the work of your organization and know you put a lot of time, effort, and energy into doing your very best to represent all 17,000 staff. We want to take this time to thank you for your work, and know that this Board of Trustees cares very much about your work and certainly the staff you represent. Thank you very much.

Ms. Goodman:

Thank you.

Ms. Martensen:

Thank you.

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CONSENT AGENDA

President Karen A. Holbrook:

We currently have fourteen resolutions on the Consent Agenda today and we are adding one resolution: Authorization to Enter into the Lease of Real Property with the Option to Purchase, which was discussed at this morning’s Fiscal Affairs Committee meeting. We are seeking your approval for:

AMENDED COMMITTEE APPOINTMENTS 2004-2005

Resolution No. 2005-21

BE IT RESOLVED, That the appointments to Committees and representatives to various Boards for 2004-2005 be amended as follows:

Academic & Student

Affairs Committee:*

Douglas G. Borrer, Chair
Daniel M. Slane, Vice Chair
Robert M. Duncan
Emily M. Quick
Chad A. Endsley

Douglas G. Borrer
Brian K. Hicks
Emily M. Quick
David L. Brennan
Thomas Hoaglin
RICH HILLIS
STEPHEN C. LANDERMAN

Fiscal Affairs Committee:*

Jo Ann Davidson, Chair
Karen L. Hendricks, Vice Chair
Dimon R. McFerson
Walden W. O'Dell
Brian K. Hicks

**The Arthur G. James
Cancer Hospital & Richard J.
Solove Research Inst. Bd:**
Robert M. Duncan, Chair
Jo Ann Davidson

Facilities Planning (AdHoc)*

(Subcommittee of Fiscal
Affairs)

Robert M. Duncan, Chair
Douglas G. Borrer
Walden W. O'Dell
Chad A. Endsley

University Hospitals Board:

Robert M. Duncan
Jo Ann Davidson

Campus Partners Board:

Douglas G. Borrer

Investments Committee:*

Dimon R. McFerson, Chair
Jo Ann Davidson, Vice Chair
Daniel M. Slane
Walden W. O'Dell
Ex Officio:
John Gerlach, Jr. (Foundation Bd)

Research Foundation

Board of Directors:

Karen L. Hendricks

University Foundation Board Ex

Officio: Class of Directors:

Dimon R. McFerson (2005)
Douglas G. Borrer (2006)
Karen L. Hendricks (2007)

Audit Committee:*

Karen L. Hendricks, Chair
Dimon R. McFerson, Vice Chair
Daniel M. Slane
Brian K. Hicks

**Wexner Center Foundation
Board:**

Leslie H. Wexner (6/30/2005)
Brian K. Hicks+

Agricultural Affairs Committee:*

Daniel M. Slane, Chair
Fred L. Dailey, Vice Chair, Ex Officio
Walden W. O'Dell
Brian K. Hicks
Emily M. Quick
Chad A. Endsley

Ohio State University

Affiliates, Inc.:

Affiliated Entities

Tami Longaberger
Daniel M. Slane
Robert M. Duncan

Governance Committee:

Tami Longaberger, Chair
Daniel M. Slane, Vice Chair
Robert M. Duncan

Science and Technology

Campus Board:

Walden W. O'Dell

Government Relations

Advisory Council:*

Daniel M. Slane, Chair
Jo Ann Davidson, Vice Chair

Regional Campus Trustee

Liaison:

Jo Ann Davidson

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University Managed Health Care System, Board of Directors
Karen I. Hendricks

Richard M. Ross Heart Hospital:
Dimon R. McFerson
Daniel M. Slane

Self-Insurance Trust Board:
Dimon R. McFerson

***Board Chair, Ex Officio member of all committees**
+President's appointee

AMENDMENTS TO THE BYLAWS OF THE BOARD OF TRUSTEES AND RULES OF THE UNIVERSITY FACULTY

Resolution No. 2005-22

Synopsis: Amendments to the *Bylaws of the Board of Trustees* and *Rules of the University Faculty* to reflect a title change and the delineation of responsibilities in the central University administration is recommended for approval.

WHEREAS the President has announced an administrative reorganization designed to enhance the effective development of broad policies and operating procedures for communications, public relations, and marketing activities, along with developing strategies to effectively advance the University's academic agenda with policymakers and funding agencies, particularly at the federal level; and

WHEREAS the position of vice president for University Relations is being elevated to the position of senior vice president for External Relations with expanded responsibilities over the Offices of University Relations and Government Relations; and

WHEREAS as a part of the restructuring of the University administration, amendments to the *Bylaws of the Board of Trustees* and *Rules of the University Faculty* are necessary to effectuate the changes proposed:

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of the President, the proposed administrative reorganization be hereby adopted, effective immediately; and

BE IT FURTHER RESOLVED, That the Secretary of the Board of Trustees is hereby authorized and directed to incorporate the recommended changes -- title change, unit designation, and unit realignments in the applicable sections in the *Bylaws of the Board of Trustees* and the *Rules of the University Faculty*.

Amended Bylaw

3335-1-03 Administration of the university.

(N) SENIOR vice president for ~~university external~~ relations. The major area of responsibility and authority of the ~~senior vice president for university external~~ relations shall be the communications, public relations, and marketing of the university, and the administration of all aspects of the university's relationships and dealings with federal, state and local government officials, representatives and agencies to advance the university's mission and substantive agenda. Without limiting the generality of the foregoing, the ~~senior~~ vice president for ~~university external~~ relations

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shall, under the direction of the president and with the approval of the board of trustees, be responsible and have the requisite authority for: developing broad policies and operating procedures for communications, public relations, and marketing activities in support of the university's institutional goals; developing and implementing the university's marketing, communications, and public relations strategies; coordinating all internal and external institutional marketing and communications activities; instituting and coordinating collaborative strategies and activities with academic and academic-support units; presenting the university properly to its key audiences nationally and statewide; and informing the university's constituencies about the many benefits it offers and services it provides; advising the president and senior university leadership on all legislative and regulatory matters impacting the university's interests; overseeing the development of strategies to effectively advance the university's academic agenda with government policymakers and funding agencies at all levels; maintaining top-level communications between the university and government officials; and overseeing development of support for the university's agenda among advocacy groups, institutional colleagues, policymakers, and Ohio citizens. The senior vice president for university external relations shall report to the president, and, as appropriate, shall consult with the executive vice president and provost.

REGIONAL CAMPUS BOARD APPOINTMENT

Resolution No. 2005-23

Synopsis: Approval of appointment to The Ohio State University Regional Campus Board is proposed.

WHEREAS the Board of Trustees in 1994 approved the establishment of The Ohio State University Regional Campus Boards; and

WHEREAS it has been previously stipulated that "the board shall be composed of ten members appointed by The Ohio State University Board of Trustees in consultation with the president of the university" (nine members shall be private citizens and one member shall be a student); and

WHEREAS the following named person has been nominated and selected for appointment to the following Regional Campus Board for the term as specified:

Lima Board

Keith H. Deters, July 1, 2004 – June 30, 2006

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominee be approved as a member of The Ohio State University-Lima Board.

**AMENDMENTS TO THE *MEDICAL STAFF BYLAWS*
OF THE OHIO STATE UNIVERSITY HOSPITALS EAST**

Resolution No. 2005-24

Synopsis: The amendments to the *Bylaws of the Medical Staff* of The Ohio State University Hospitals East are recommended for approval.

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WHEREAS The Ohio State University Hospitals Board pursuant to bylaw 3335-101-04 of the Hospitals Board Bylaws is authorized to recommend to the Board of Trustees the adoption of amendments to the *Medical Staff Bylaws* of The Ohio State University Hospitals East; and

WHEREAS the proposed amendments to the *Medical Staff Bylaws* of The Ohio State University Hospitals East were approved by the University Hospitals Board on August 26, 2004, as follows:

Amended Medical Staff Bylaws

3335-45-09 Hearing and appellate review.

(A) Right to hearing and to an appeal.

(1) When a member of the medical staff who has exhausted all remedies under paragraphs (E) and (F) of rule 3335-45-05 of the Administrative Code on appointment or reappointments; or under rule 3335-45-08 of the Administrative Code for corrective action; or who has been summarily ~~or automatically~~ suspended under paragraph (E) ~~or (F)~~ of rule 3335-45-08 of the Administrative Code; or who receives notice of a proposed action that will adversely affect membership on the medical staff or the exercise of clinical privileges, ~~or whose membership has been immediately terminated under paragraph (C)(2) or (D)(4) of rule 3335-45-04 of the Administrative Code,~~ the staff member shall be entitled to an adjudicatory hearing.

(2) When a member of the medical staff has been automatically suspended under paragraph (F) of rule 3335-45-08 of the Administrative Code; or whose membership has been immediately terminated under paragraph (C)(2) or (D)(4) of rule 3335-45-04 of the Administrative Code, the staff member shall not be entitled to an adjudicatory hearing.

~~(2)~~

(3) All hearings and appeals shall be in accordance with the procedural safeguards set forth in this rule to assure that the affected medical staff member is accorded all rights to which the member is entitled.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Bylaws of the Medical Staff* of The Ohio State University Hospitals East be adopted.

RETURN THE AEROSPACE ENGINEERING SECTION AND THE AVIATION SECTION OF THE DEPARTMENT OF AEROSPACE ENGINEERING AND AVIATION TO SEPARATE AND INDEPENDENT DEPARTMENT STATUS

Resolution No. 2005-25

Synopsis: Return the Aerospace Engineering Section and the Aviation Section of the Department of Aerospace Engineering and Aviation to Separate and Independent Department Status – the Department of Aerospace Engineering and the Department of Aviation – is proposed.

WHEREAS the Department of Aeronautical and Astronautical Engineering, Engineering Mechanics, and Aviation was formed in 1994; and

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WHEREAS the Department of Aerospace Engineering and Aviation was formed in 1998 with the loss of faculty of engineering mechanics to the Department of Mechanical Engineering; and

WHEREAS two task forces named by the dean of the College of Engineering concluded that the academic performance of both the aerospace engineering program and the aviation program is being impeded by the present structure; and

WHEREAS the academic programs of aerospace engineering and aviation are distinct and separate; and

WHEREAS the proposal is supported strongly by the students and faculty of both units and the College of Engineering; and

WHEREAS the proposal was approved by a reviewing subcommittee, the Council on Academic Affairs, and the University Senate at its June 10, 2004 meeting;

NOW THEREFORE

BE IT RESOLVED, That the return of the Aerospace Engineering Section and the Aviation Section of the Department of Aerospace Engineering and Aviation to Separate and Independent Department Status -- the Department of Aerospace Engineering and the Department of Aviation -- is hereby approved, effective immediately.

NAMING OF THE WETLAND COMPLEX

Resolution No. 2005-26

Synopsis: The naming of the Wetland Complex located at 352 West Dodridge Street on the Columbus Campus for Wilma H. Schiermeier is proposed.

WHEREAS Wilma H. Schiermeier, a 1955 graduate of The Ohio State University, was keenly interested in environmental research and the importance of clean water and air; and

WHEREAS she was an Ohio farmer of beef cattle, vegetables, and flowers, and dedicated member of the board of the Ohio Farm Bureau and sought to preserve the land for natural and agribusiness uses; and

WHEREAS the Olentangy River Wetland Research Park exists to investigate the role of wetlands in the landscape and provide a laboratory for research and teaching to students, the general public, and faculty; and

WHEREAS the wetland complex encompasses the Olentangy River Wetland Research Park, the Heffner Wetland Research and Education Building, the Sandefur Wetland Pavilion, and other research areas and this gift from Wilma Schiermeier completes a campaign to raise money for the Olentangy River Wetland Research Park:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code the aforementioned area shall be named the "Schiermeier Wetland Complex," effective immediately.

PERSONNEL ACTIONS

Resolution No. 2005-27

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the July 9, 2004 meeting of the Board, including the following Appointments/Reappointment, Appointments/Reappointments of Chairpersons/Directors, Leaves of Absence Without Salary, Professional Improvement Leaves, Emeritus Titles, and Promotions and Tenure, as detailed in the University Budget, be approved.

Appointments

Name: EILEEN A. BERTOLINI
Title: Associate Vice President for Administration
Office: University Development
Effective: October 1, 2004
Present Position: Director, Development Information Services

Name: IMRICH CHLAMTAC
Title: Professor (The Ohio Eminent Scholar in Computer Networking and Communication Research)
Departments: Computer Science and Engineering/Electrical and Computer Engineering
Effective: October 1, 2005
Present Positions: President, CreateNet Research Consortium and Bruno Kessler Honorary Professor, University of Trento, Trento, Italy, and Distinguished Chair in Telecommunications Professor, Department of Electrical Engineering, and Professor, Department of Computer Science, The University of Texas at Dallas

Name: CHRISTOPHER M. CULLEY
Title: Interim General Counsel
Office: Legal Affairs
Effective: October 1, 2004
Present Position: Deputy General Counsel, Office of Legal Affairs

Name: EMILY E. FOSTER
Title: Associate Vice President
Office: University Relations
Effective: September 13, 2004
Present Position: Vice President, Steiner/Lesic Communications

Name: MICHAEL E. PAULAITIS
Title: Professor (The Ohio Eminent Scholar in Nanotechnology: Molecular Self-Assembly)
Department: Chemical and Biomolecular Engineering
Effective: January 1, 2005
Present Position: Professor, Department of Chemical Engineering, Johns Hopkins University, Baltimore, MD

Reappointment

Name: GREG M. ALLENBY
Title: Professor (The Helen C. Kurtz Chair in Marketing)
College: The Max M. Fisher College of Business
Term: October 1, 2004, through September 30, 2009

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Appointment of Chairpersons and Directors

JERRY M. BIGHAM*, School of Natural Resources, effective August 1, 2004, through September 30, 2005

RICHARD K. HERRMANN, Mershon Center, effective July 1, 2002, through June 30, 2005

THOMAS F. MAUGER, Ophthalmology, effective October 1, 2004, through September 30, 2008

FUSUN OZGUNER*, Electrical and Computer Engineering, effective October 1, 2004, through June 30, 2005

Reappointment of Chairperson and Directors

RICHARD M. BEDNARSKI, Veterinary Hospital, effective July 1, 2004, through June 30, 2005

MARK L. FAILLA, Human Nutrition, effective July 1, 2004, through June 30, 2008

CAROLYN J. MERRY*, Center for Mapping, effective July 1, 2004, through June 30, 2005

DAVID E. SCHULLER, James Cancer Hospital and Solove Research Institute, effective July 1, 2003, through June 30, 2007

*Interim

Leaves of Absence Without Salary

JOHN C. HAM, Professor, Department of Economics, effective Autumn Quarter 2004 and Winter Quarter 2005, to accept a visiting appointment from the Federal Reserve Bank of New York.

WILLIAM E. BURAK, Associate Professor, Department of Surgery, effective September 1, 2004, through August 31, 2005, for personal reasons.

ERIC O. FISHER, Associate Professor, Department of Economics, effective Autumn Quarter 2004, to accept a visiting professor appointment at the Virginia Polytechnic Institute and State University.

LINDSAY SCHOENBOHM, Assistant Professor, Department of Geological Sciences, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to finish her postdoctoral work at the University of Potsdam, Postfach, Germany.

Leaves of Absence Without Salary--Continuation

MOHAMED F. YOUSIF, Professor, Department of Mathematics (Lima Campus), effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to continue his research collaborations at the Al-Azhar University, the Cairo University, and The American University in Cairo, Cairo, Egypt.

HASSAN Y. ALY, Associate Professor, Department of Economics (Marion Campus), effective Winter Quarter and Spring Quarter 2005, to continue on the faculty at Zayed University in the United Arab Emirates.

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Professional Improvement Leaves

G. NEIL ROBERTSON, Professor, Department of Mathematics, effective Autumn Quarter 2004.

JOHN R. WILKINS III, Professor, School of Public Health, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

Professional Improvement Leave—Change in Dates

MOHAMED F. YOUSIF, Professor, Department of Mathematics (Lima Campus), change dates from Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to Autumn Quarter 2005, Winter Quarter and Spring Quarter 2006.

Emeritus Titles

NORMAN K. BOOTH, Austin E. Knowlton School of Architecture, with the title Professor Emeritus, effective September 1, 2004.

DONALD F. BOWERS, College of Dentistry, with the title Professor Emeritus, effective September 1, 2004.

SAMUEL CATALAND, Department of Internal Medicine, with the title Professor Emeritus, effective January 1, 2005.

FREDERICK H. DAVIDORF, Department of Ophthalmology, with the title Professor Emeritus, effective September 1, 2004.

DAVID E. HAHN, Department of Agricultural, Environmental, and Development Economics, with the title Professor Emeritus, effective October 1, 2004.

DON W. MILLER, Department of Mechanical Engineering, with the title Professor Emeritus, effective September 1, 2004.

GERALD H. NEWSOM, Department of Astronomy, with the title Professor Emeritus, effective October 1, 2004.

RICHARD M. RIEDEL, Department of Plant Pathology, with the title Professor Emeritus, effective October 1, 2004.

JOHN G. STREETER, Department of Horticulture and Crop Science, with the title Professor Emeritus, effective October 1, 2004.

LAWRENCE W. WALQUIST, JR., Austin E. Knowlton School of Architecture, with the title Professor Emeritus, effective October 1, 2004.

BRUCE S. ZWILLING, Department of Microbiology, with the title Professor Emeritus, effective October 1, 2004.

ROGER L. AMOS, Ohio State University Extension, with the title Associate Professor Emeritus, effective October 1, 2004.

STEPHEN R. BAERTSCHE, Ohio State University Extension, with the title Associate Professor Emeritus, effective October 1, 2004.

ROBERT E. SAMUELSON, Austin E. Knowlton School of Architecture, with the title Associate Professor Emeritus, effective September 1, 2004.

SUSAN K. SEARS, School of Physical Activity and Educational Services, with the title Associate Professor Emeritus, effective October 1, 2004.

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ANN W. CLUTTER, Ohio State University Extension, with the title Assistant Professor Emeritus, effective October 1, 2004.

JOHN A. ULMER, Ohio State University Extension, with the title Assistant Professor Emeritus, effective October 1, 2004.

RAY A. WELLS, Ohio State University Extension, with the title Assistant Professor Emeritus, effective October 1, 2004.

Promotions and Tenure

COLLEGE OF ENGINEERING

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Allen, Theodore T., Industrial, Welding & Systems Engineering - effective 10/1/03 [correction of date]

COLLEGE OF MEDICINE AND PUBLIC HEALTH

PROMOTION TO PROFESSOR

Sen, Chandan, Surgery - effective 8/1/04

RESOLUTIONS IN MEMORIAM

Resolution No. 2005-28

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey copies to the families of the deceased.

Jack M. Balcer

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 11, 2004, of Jack M. Balcer, Professor Emeritus in the Department of History.

Professor Balcer graduated from Montclair College in 1957. He received his M.A. degree from the University of Michigan in 1958, and the Ph.D. degree in history from the University of Michigan in 1964. He taught at Denison and Indiana Universities for several years before coming to Ohio State in 1971, where he taught until he retired in December 2003.

Professor Balcer was a spell-binding lecturer and generations of students remember "Dr. B's" courses as among the highlights of their time at OSU. He brought a quick and lively mind, a keen wit, and genuine enthusiasm for teaching to all of his classes. He was also one of the first at Ohio State to employ visual aids in virtually all of his classes. An accomplished photographer, he employed his impressive collection of slides of coins, artifacts, and archaeological sites as "texts" to instruct students on how to interpret the past. The University twice recognized the outstanding quality of his contributions by the awarding of its highest accolades, the Arts and Sciences Student Council Outstanding Teaching Award (1983) and the Alumni Distinguished Teaching Award (1984).

Dr. Balcer's research focused principally on the Athenian Empire, Greek numismatics, the cultural interactions between Greeks and non-Greeks in Western Asia Minor, and on the Persian Empire. His studies led to numerous articles and several monographs, among the most important of which are *The*

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Athenian Regulations for Chalkis (1978), *Sparda by the Bitter Sea* (1984), and *Herodotus and Bisitun* (1987). His scholarly accomplishments have been recognized by grants awarded by, among others, the American Numismatic Society, the Ford Foundation, the National Endowment for the Humanities, the American Council of Learned Societies, and Harvard's Center for Hellenic Studies.

Professor Balcer served OSU in a variety of capacities during his career here, but his deepest, most abiding passion was for the Library. He served for many years on the Library Council and sought in every way he could to make it the crown jewel of the University.

Professor Balcer was among the earliest members of the Association of Ancient Historians and an active participant for many years thereafter.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Jack M. Balcer its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Bryan E. Beggin

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 2, 2004, of Bryan E. Beggin, D.O., Clinical Assistant Professor in the Department of Family Medicine.

Dr. Beggin received his Doctor of Osteopathic Medicine degree from the University of Health and Sciences, College of Medicine, Kansas City, and completed his residency at The Ohio State University Department of Family Medicine, serving his last year as chief resident. Upon graduation, he remained with the Department of Family Medicine as a clinical assistant professor of family medicine and taught medical students and residents for over 12 years. Dr. Beggin started one of the first outreach sites for the department, which became the OSU Gahanna Family Practice. Over the years Professor Beggin was instrumental in building this practice into the success that it became. Dr. Beggin was a dedicated teacher and physician who was extremely devoted to his students and patients.

In 1998, Bryan Beggin assumed the role of vice chair for Clinical Services. During the time he served in this role, Dr. Beggin was instrumental in increasing the number of outreach practice sites to nine. He was very successful in this role, one in which he served until 2002.

Professor Beggin was a teacher of medical students and residents in addition to his busy clinical activities. His evaluations were always excellent and his contributions to the curriculum were of a very practical nature. Dr. Beggin was especially dedicated to the procedural part of the curriculum.

Dr. Beggin was an active member of the University community and served on numerous department, hospital, and college committees, including the Primary Care Network Quality Committee, Cap Steering Committee, FMAF Board, and Practice Management Committee.

On behalf of the University community, the Board of Trustees expresses to the family of Bryan E. Beggin its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

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Carl V. Clausen

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 8, 2004, of Carl V. Clausen, Associate Professor Emeritus in the former Department of Photography and Cinema in the College of the Arts.

Carl Clausen was a long-time member of the faculty of the Department of Photography and Cinema and also a member of the professional filmmaking team in that department. His credits for writing and directing sponsored films that were produced by the Photography and Cinema department over the years were very extensive.

As a teacher, Professor Clausen taught screenwriting and film production courses to several generations of film production majors, and he was universally respected and appreciated for his knowledge, experience, wisdom, and tenacious concern for the interests of his students. He made sure that all of the students in his production classes understood the practical realities of filmmaking, including the daunting economic challenges, the steep learning curve, the tedious aspects of detailed crafting, the complexity of methods, and the need for cooperation and compromise. At the same time, he encouraged those students who had a sense of personal, social, artistic, or political mission to be true to their ambitions and beliefs and to find ways to effect them within the realities of the world as it is.

Professor Clausen had a towering personal integrity in his own right. In his filmmaking, he was drawn toward projects that contributed to the betterment of life; he taught students to assess their own filmmaking careers in that light, whether as independents or as commissioned professionals on sponsored projects. In the face of any perceived personal, social, or academic injustice, Carl Clausen took a considered and principled position and could not be moved by any considerations except those founded on ethical principle as he saw it. This quality was manifest in acts of unusual bravery in the context of the workings of academia, acts for which many of his colleagues and students will never forget him.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Carl V. Clausen its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Desmond L. Cook

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 23, 2004, of Desmond L. Cook, Professor Emeritus in the College of Education.

Professor Cook received a Bachelor's degree in psychology from Ohio University in 1947 and an M.A. degree from the same university in 1948. He earned his doctorate degree at the University of Iowa in 1955. Prior to joining the Ohio State faculty, Dr. Cook taught at Arkansas State and Purdue Universities. Dr. Cook began his work as an associate professor in the College of Education and the Department of Psychology in 1962 and was promoted to full professor in 1964. Upon his retirement in 1984, he was named professor emeritus.

During his tenure at OSU, he was a member of the prestigious Bureau of Educational Research and Service and was named head of the Division of Study of Education in the College. He also served as head of Educational Development and Research.

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Desmond Cook was nationally known for his development of the Program Evaluation for Research Techniques (PERT). He was also an expert on project management and provided consultative advice to members of the Federal Department of Education (formerly HEW) and many educational development and research project managers around the country. He authored numerous articles and books on how various evaluation and project management techniques could be applied in educational settings. Dr. Cook was an active scholar who was interested in measurement and assessment issues as they relate to the professional preparation of educational personnel.

Professor Cook served his profession and country well. He was actively involved in the development of the American Educational Research Association (AERA) and often spoke with fascination of its growth from an organization of a handful of educational researchers in the mid- 1950s to an organization of approximately 10,000 at the time of his retirement. Dr. Cook received many honors in his area of educational psychology and measurement. He was also a veteran of WW II and retired from the armed services with the rank of captain in the Reserves.

Desmond Cook was an active member of the University community and served on numerous department, school, college, and University committees. He was a forerunner of using data to inform educational decisions. He is probably best remembered as having a strong intellect, wise counsel, good sense of humor, and a passion for learning. He is remembered fondly by his former graduate students for being demanding, but fair and highly supportive of their work.

Professor Cook had a passion for life and expressed that in his work, hobbies, and personal life. Former colleagues and graduate students were often in awe of his model airplane building and flying, as well as his design and construction of intricate working doll houses for his grandchildren and great grandchild. His basement workshop was a frequent location for him to enthrall visitors with stories about his professional and personal experiences.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Desmond L. Cook its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Clifford V. Heer

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 8, 2004, of Clifford V. Heer, Professor Emeritus in the Department of Physics.

Professor Heer graduated from The Ohio State University with a B.S. degree in physics in 1942. After serving with the U.S. Signal Corps in the South Pacific from 1942-1946, he returned to OSU and completed his Ph.D. degree in physics in 1949. He was made an assistant professor in the Department of Physics and Astronomy in 1949, and became a full professor in 1961. Upon his retirement in 1990, he was named professor emeritus.

Professor Heer's research was imaginative and creative. Initially, his interests were in low temperature physics. He was co-inventor of the cyclic magnetic refrigerator based on heat switches; such refrigerators are used for maintaining temperatures below one degree Kelvin. He was a pioneer in the study of liquid mixtures of the isotopes ^3He and ^4He and of magnetism at low temperatures. In 1958 he turned his attention to electromagnetism and optics where, among other discoveries, he was the first to measure angular rotation using a microwave interferometer. With the advent of lasers, similar devices became known as "laser gyros." Such instruments are now commonly used for navigation in

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commercial and other aircraft. He was also a pioneer in the theory of magnetic traps which are important in the isolation and containment of otherwise unstable atoms and particles such as atomic hydrogen, neutrons, etc. These devices are currently in use in basic research around the world. Much of his later experimental and theoretical work was devoted to fundamental aspects of laser physics.

Dr. Heer's published works include the innovative textbook: *Statistical Mechanics, Kinetic Theory and Stochastic Processes*; several laboratory manuals; and more than 80 research publications in low temperature physics, atomic and laser physics, general relativity, and statistical physics. He was a consultant for TRW, Space Technology Laboratories, and Honeywell, and a technical consultant for the U.S. Justice Department. He was elected a fellow of the American Physical Society in 1958 and, in 1990, the Ohio Section of the American Physical Society honored him with the William A. Fowler Award for Outstanding Work in Physics. He is listed in *American Men and Women of Science*, *Who's Who in Frontier Science and Technology*, and other publications.

Clifford Heer was a dedicated teacher and researcher who expected and received excellent work from his students. Fifteen or more students earned Ph.D. degrees under his supervision. He was a member of the OSU Presidents Club, and an avid canoeist, hiker, and gardener.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Clifford V. Heer its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

John D. Kraus

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 18, 2004, of John D. Kraus, Professor Emeritus in the Department of Electrical and Computer Engineering.

Born in 1910, Professor Kraus received his B.S. degree (1930), M.S. degree (1931), and Ph.D. degree (1934) from the University of Michigan, Ann Arbor. While in college, Dr. Kraus became fascinated with the then recent discoveries of radio noise from space and the potential to use radio waves to "see" the universe. While in school he also developed innovative antennas like the "W8JK flat topbeam" and the "corner reflector."

After serving during World War II as a civilian scientist with the Navy as well as working on radar countermeasures at Harvard's Radio Research Laboratory, he came to The Ohio State University in 1946. During his career he combined the ability to make fruitful discoveries with exceptional skill and generosity in sharing them. He wrote many articles and widely used textbooks, as well as popular books like *Cosmic Search* and *Big Ear*, which have been translated into Chinese, Japanese, Korean, Spanish, Russian, and Portuguese. He made presentations at many scholarly conferences and, perhaps most importantly, he taught and mentored students, many of whom indicate that Dr. Kraus was the "best teacher" they ever had.

Professor Kraus spent his entire career at Ohio State where he was the Taine G. McDougal Professor in Engineering. He was a member of the National Academy of Engineering and a fellow of the Institute of Electrical and Electronic Engineers (IEEE). He received the Centennial Medal, the Edison Medal, and the Heinrich Hertz Medal from IEEE. The Antenna and Propagation Society of IEEE twice awarded him their Distinguished Achievement Award, the last in 2003, "for a

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career of outstanding innovation and invention in the field of antennas, and for the many students he has taught and inspired to excel in electromagnetics.”

One of Dr. Kraus’ many successes was the development of the “Big Ear Radio Observatory,” and its involvement with the Search for Extraterrestrial Intelligence (SETI). He designed and directed construction of the “Big Ear” radio telescope which discovered some of the most distant known objects at the edge of the universe and conducted sky surveys mapping the radio stars. He is also well-known for inventing the helical antenna, a corkscrew-shaped antenna used in global positioning satellites.

On behalf of the University community, the Board of Trustees expresses to the family of Professor John D. Kraus its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board’s heartfelt sympathy.

Franklin J. Pegues

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 3, 2004, of Franklin J. Pegues, Professor Emeritus in the Department of History.

Professor Pegues was born on April 29, 1924, in Cheraw, South Carolina. His undergraduate studies at Wofford College were interrupted by service as a pilot in the Naval Air Corps during World War II from 1943-1946. After the war, he completed his bachelor’s degree at Duke University and did his graduate work in medieval history at Cornell University, where he received the Ph.D. degree in 1951. In 1951-52, he did post-doctoral research as a Fulbright Scholar at the University of Paris. He taught history at the University of Colorado from 1952-1954. In 1954, he joined The Ohio State University’s Department of History, where he taught the history of the middle ages for 43 years. Upon his retirement in 1997, he was named professor emeritus.

Professor Pegues was the author of more than 70 articles and book reviews. His major work was Lawyers of the Last Capetians (Princeton: Princeton University Press, 1962), which is a model of careful scholarship that remains a standard monograph in the field. Among his academic honors, Franklin Pegues was a Fulbright Scholar, the recipient of a Fulbright-Hays travel grant. He held the prestigious John Simon Guggenheim Fellowship in 1961-62. He was a long-time member of the American Historical Association, the Medieval Academy of America, the North American Conference of British Studies, and the Midwest Medieval History Conference.

During his years at The Ohio State University, Professor Pegues was a devoted and lively teacher at all levels of the curriculum. He directed nine students to the Ph.D. degree and many to the master’s degree. He was an energetic and engaging teacher of undergraduates. He had a courtly manner, a rich wit, and a remarkable range of knowledge. His lectures were energetic and thoughtful. He especially enjoyed advising history majors who planned to go to law school.

Franklin Pegues was a steady, sensible, and reliable person who was asked often to serve on committees. He was extraordinarily generous in his service to the Department of History, the College of Humanities, and the University. In 1996, he was awarded the College of Humanities’ Exemplary Faculty Award, which is given to faculty whose careers show a balance of excellent scholarship, teaching, and service. At the Board of Trustees meeting of July 11, 1997, he was given the Faculty Recognition Award for being “an outstanding teacher, researcher, and valued advisor to thousands of students.”

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On behalf of the University community, the Board of Trustees expresses to the family of Professor Franklin J. Pegues its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Martha O. Schoenlaub

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 27, 2003, of Martha O. Schoenlaub, Assistant Professor Emeritus in the School of Nursing (now the College of Nursing).

Professor Schoenlaub held a Bachelor of Science in Education degree from The Ohio State University and a Master of Science degree in administration in nursing and counseling from Western Reserve University. She retired from The Ohio State University School of Nursing in 1978 after 20 years of devoted service and was named assistant professor emeritus.

Professor Schoenlaub was an active member of the University community and served on numerous college committees including the Administrative Committee, Executive Committee, Admissions Committee, Undergraduate Education Committee, Affirmative Action Committee, Financial Aid Committee, Student Services Committee, and Recruitment Committee. She exhibited exceptional capabilities as an administrator and was noted for her patience and concern for students and their families. She was best known for her dedication to students and for her inspiring wisdom.

Martha Schoenlaub served her profession at the highest level. She was a member of the American Nurses Association, the National League for Nursing, Sigma Theta Tau, Pi Lambda Theta, and the Torch Club.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Martha O. Schoenlaub its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

Wendell P. Scott

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on August 7, 2004, of Wendell P. Scott, M.D., Clinical Associate Professor Emeritus in the Department of Obstetrics and Gynecology.

Dr. Scott received both his Bachelor and Doctor of Medicine degrees from the University of Illinois, after which he interned at Grant Hospital in Chicago and then completed his residency at Mt. Carmel Hospital in Columbus, Ohio. Following service as a captain in the Medical Corps of the United States Army, he maintained a private practice of obstetrics and gynecology in Columbus from 1947-1976. He then served as chief of the Gynecology Clinic at The Ohio State University Student Health Center from 1976-1982.

Dr. Scott was a diplomate of the American Board of Obstetrics and Gynecology, a fellow of the American College of Obstetricians and Gynecologists, member and past president of the Academy of Medicine of Columbus and Franklin County, life member and past president of the Columbus Obstetric and Gynecologic Society, and member of the American Medical Association.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Wendell P. Scott its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of

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the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Robert G. Turnbull

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 1, 2004, of Robert G. Turnbull, Professor Emeritus in the Department of Philosophy.

Professor Turnbull received a Bachelor of Arts degree in classics from the University of Minnesota in 1939, and a Ph.D. degree in philosophy from the same institution in 1952. He also received a Bachelor of Divinity degree from Oberlin College in 1943. Professor Turnbull taught at the University of Iowa, Northwestern University, and Oberlin College before coming to Ohio State University in 1965 as a professor of philosophy. He became chair of the Department of Philosophy in 1968 and held that position until 1980. Upon his retirement in 1984, he was named professor emeritus.

Dr. Turnbull's teaching and scholarship focused on ancient Greek philosophy. He was an extremely popular graduate-level teacher who mentored many students through their respective doctoral programs, both at Iowa and at Ohio State.

Professor Turnbull was the author or editor of more than 50 publications, including a major book on Plato published in 1998. He was the principal investigator on two major grants from the National Endowment for the Humanities that supported workshops and major conferences in the history and philosophy of science at Ohio State in 1973 and 1974, and that led to two highly acclaimed co-edited volumes published by The Ohio State University Press. He directed three summer institutes in ancient philosophy sponsored by the National Endowment for the Humanities, in 1970, 1971, and 1975, respectively, and served for many years as the chief editor of the journal *Philosophy Research Archives*.

Robert Turnbull served his profession at the highest level. He was president of the American Philosophical Association in 1977-78, and he earlier served that association as the executive secretary from 1966-69. Later he served as chair of the Board of Officers of the American Philosophical Association from 1989-92. At Ohio State he received an Arts and Sciences Teaching Award in 1970, and received a Doctor of Humane Letters (*honoris causa*) degree from Denison University in 1990. While teaching at Iowa, Professor Turnbull served on the Governor's Commission on Human Relations, during which time he was the co-author of the Iowa Civil Rights Law in 1960.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Robert G. Turnbull its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2005-29

Synopsis: The reports on research and other sponsored program contracts and grants and the summaries for June and July 2004 are presented for Board acceptance.

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WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the months of June and July 2004 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2005-30

Synopsis: The report on the receipt of gifts and the summary for June 2004 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund, The Ohio State University Foundation, and internally designated funds; and

WHEREAS this report includes the establishment of thirty-four (34) new named endowed funds, the amendment of two (2) endowed chairs, and the amendment of five (5) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund, The Ohio State University Foundation, and internally designated funds during the month of June 2004 be approved.

September 22, 2004 meeting, Board of Trustees

TOTAL UNIVERSITY PRIVATE SUPPORT

July through June
2003-04 Compared to 2002-03

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through June		
	<u>2003-04</u>	<u>2002-03</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$28,662,300	\$36,334,075	(21)
Alumni (From Bequests)	<u>22,074,540</u>	<u>7,374,703</u>	199
Alumni Total	\$50,736,840	\$43,708,778	16
Non-Alumni (Current Giving)	\$24,424,512	\$19,114,479	28
Non-Alumni (From Bequests)	<u>10,892,922</u>	<u>5,614,625</u>	94
Non-Alumni Total	\$35,317,434	\$24,729,104	43
Individual Total	\$86,054,274	\$68,437,882	26 ^A
Corporations/Corp/Foundation	\$72,839,062	\$68,128,282	7
Private Foundations	\$22,129,085	\$24,977,996	(11) ^B
Associations and Other Organizations	<u>\$22,251,094</u>	<u>\$34,215,254*</u>	(35) ^C
Total	\$203,273,515**	\$195,759,414	4

* Includes a charitable distribution of \$14,289,734 as a result of a legal settlement.

** New national reporting standards require that irrevocable trusts be counted at present value. If counted at face value as in FY2003, the total would be \$206,078,029 (5% increase).

NOTES

- A Individual giving is up 26% largely due to the fact that gifts from estates are up 154% over last year (\$20.0 million more than one year ago).
- B Giving from foundations at the \$10,000 or more level is down about \$3 million for FY2004.
- C Giving from associations and other organizations in FY2003 included a \$14.3 million charitable distribution from a legal settlement.

September 22, 2004 meeting, Board of Trustees

GIFT RECEIPTS BY PURPOSE

	Dollars July through June		
	<u>2003-04</u>	<u>2002-03</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$39,327,922	\$23,976,110	64
Faculty Support	\$20,721,264	\$10,548,069	96
Program Support	\$117,449,531	\$132,618,195	(11)
Student Financial Aid	\$16,206,904	\$20,982,395	(23)
Annual Funds-Colleges/ Departments	\$6,054,695	\$6,528,856	(7)
Annual Funds-University	<u>\$3,513,199</u>	<u>\$1,105,789</u>	<u>218</u>
Total	\$203,273,515	\$195,759,414	4

GIFT ADDITIONS TO ENDOWMENT

	Dollars July through June		
	<u>2003-04</u>	<u>2002-03</u>	<u>% Change</u>
	\$51,109,199	\$40,653,204	26

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Change from Named Endowed Chair to Named Endowed Fund</u>			
From: Willard M. Kiplinger Chair in Public Affairs Reporting			
To: W. M. Kiplinger '12 Program in Public Affairs Journalism Fund			
<u>Establishment of Named Endowed Funds</u>			
The Ohio Eminent Scholar in Computer Networking and Communication Research (Used to provide salary and program support for an outstanding scholar and leader in computer networking and communication research; provided by support from the General Assembly of the State of Ohio and private gifts)		\$1,500,000.00	\$1,500,000.00
The Ohio Eminent Scholar In Nanotechnology: Molecular Self-Assembly (Used to provide salary and program support for an outstanding scholar and leader in nanotechnology; molecular self-assembly; provided by support from the General Assembly of the State of Ohio and private gifts)		\$1,500,000.00	\$1,500,000.00

September 22, 2004 meeting, Board of Trustees

Ellen E. and Victor J. Cohn Study Abroad Scholarship Fund (Used to support students to study abroad at Hebrew University in Israel; provided by gifts from Victor and Ellen Cohn)	\$33,450.00	\$33,450.00
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Mary A. Daniels Scholarship Fund (Used to provide scholarships to graduate, professional, or undergraduate students based on academic accomplishment and a commitment to campus leadership; provided by gifts from Mary A. Daniels and others)	\$26,329.95	\$26,329.95
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Gary M. Rectenwald Scholarship Fund of San Diego, California (Used to provide scholarships for students from San Diego County, California; provided by gifts from the OSU Alumni Club of San Diego, California)	\$25,000.00	\$25,000.00
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Change in Description of Named Endowed Fund

The Henry and Blanche Abell Endowment Fund

THE OHIO STATE UNIVERSITY FOUNDATION

Previous <u>Gifts</u>	Current <u>Gifts</u>	Total <u>Gifts</u>
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Change in Description of Named Endowed Chair

The George R. and Genevieve B. Gist Endowed Chair in Ohio State University Extension

Establishment of Named Endowed Funds

The Hite Family Endowment Fund (Used to support The Hite Family Scholarship; provided by a gift from the charitable remainder trust of Harold and Betty Hite and in cooperation with the Hites' daughters, Kimberly H. Mayhew and Teresa Hite-Bryan)	\$351,340.65	\$351,340.65
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The Teresa Hite-Bryan and the Hite Family Scholarship Fund (Used to provide funds for tuition and fees for students enrolled within the Division of Occupational Therapy in the School of Allied Medical Professions; provided by a gift from the charitable remainder trust of Harold and Betty Hite and in cooperation with the Hites' daughters, Kimberly H. Mayhew and Teresa Hite-Bryan)	\$251,340.66	\$251,340.66
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The Betty W. and Harold H. Hite Endowed Athletic Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of student-athletes; provided by a gift from the charitable remainder trust of Betty and Harold Hite)	\$250,000.00	\$250,000.00
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September 22, 2004 meeting, Board of Trustees

Robert S. Friedman Scholarship Fund (Used to provide scholarships for students majoring in engineering and are participating in the Naval Reserve Officers Training Corps; provided by an estate gift from Robert S. Friedman)	\$100,000.00	\$100,000.00
The Genevieve Brown Gist Scholarship Fund (Used to provide scholarships for students currently pursuing a Master's or Doctoral degree in history; provided by a gift from the estate of Genevieve Brown Gist)	\$100,000.00	\$100,000.00
The Hite Family Symposium Endowment Fund (Used for the costs of the annual Hite Family Symposium in Occupational Therapy within the School of Allied Medicine; provided by a gift from the charitable remainder trust of Harold and Betty Hite and in cooperation with the Hites' daughters, Kimberly H. Mayhew and Teresa Hite-Bryan)	\$100,000.00	\$100,000.00
The Frank A. and Carol O. Ray Endowment Fund (Used to provide a scholarship in the Moritz College of Law; provided by a gift from Frank and Carol Ray)	\$100,000.00	\$100,000.00
Dr. Virginia M. Vivian Post Doc Enrichment Endowed Fund for the Department of Human Nutrition (Used to supplement the salary and/or support the research, travel expenses, or other needs of human nutrition post doctoral positions in the Department of Human Nutrition; provided by a gift from The Virginia M. Vivian Trust UAD 2/21/2003)	\$100,000.00	\$100,000.00
Martha O. Schoenlaub Scholarship Fund (Used to support nursing scholarships for undergraduate and graduate students with preference given to students planning to practice, specialize, or conduct research in the area of oncology nursing; provided by gifts from the estate of Martha O. Schoenlaub)	\$50,000.00	\$50,000.00
The James K. L. Lawrence Fund in Alternative Dispute Resolution (Used for the advancement of the dispute resolution teaching and program of the Moritz College of Law; provided by gifts from James K. L. Lawrence)	\$35,869.00	\$35,869.00
Dr. Jessie Craig Obert Dean's Excellence Fund (Used for the enrichment of the College of Human Ecology; provided by a gift from the estate of Dr. Jessie Craig Obert)	\$35,691.76	\$35,691.76

September 22, 2004 meeting, Board of Trustees

The Thomas Michael Fernow Endowment Fund for Cancer Research (Used to support neuro-oncology research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by gifts from family and friends of the late Thomas Michael Fernow)	\$34,986.00	\$34,986.00
David J. Neustadt Scholarship Fund in Greek and Latin (Used to provide scholarship support to an undergraduate student majoring in Greek and Latin; provided by a gift from Tim Neustadt)	\$31,725.00	\$31,725.00
The Kenneth E. Dountz Agricultural Vice President's Fund (Used to support programs identified by the vice president for Agricultural Administration as current and critical priorities in the College of Food, Agricultural, and Environmental Sciences; provided by gifts from Kenneth E. Dountz)	\$29,244.00	\$29,244.00
The Norbert and Gretel B. Bloch Endowed Scholarship Fund in Jewish Studies (Used to provide scholarships for students of Jewish studies; provided by gifts from the Gretel B. Bloch Charitable Trust)	\$28,800.00	\$28,800.00
The Barbara Van Brimmer Endowment Fund (Used to support the Prior Health Sciences Library; provided by gifts from family, friends, and colleagues of the late Barbara Van Brimmer)	\$28,730.00	\$28,730.00
Hutchins Endowment Fund in Social Work Benefiting Veterinary Medicine (Used to support a student during her or his professional fieldwork while enrolled in a degree program in the College of Social Work whose field placement is in the Human Animal Bond-Centered Program of the Veterinary Hospital; provided by gifts from Mary Jane Hutchins)	\$28,500.00	\$28,500.00
Wesley E. Budke Student Teaching Endowment Fund in Human and Community Resource Development (Used to support undergraduate students in the Department of Human and Community Resource Development during their student teaching; provided by a gift from Dr. Wesley E. Budke)	\$25,950.00	\$25,950.00
James Thomas Rector '66 Scholarship Fund (Used to provide a scholarship for an architecture student with financial need; provided by gifts from John F. Corkill)	\$25,645.00	\$25,645.00
Richard and Karol Wells Study Abroad Scholarship Fund (Used to provide study abroad scholarship support for College of Humanities students; provided by gifts from Richard and Karol Wells)	\$25,480.00	\$25,480.00

September 22, 2004 meeting, Board of Trustees

The Donald G. Wing Endowed Fund for the Rare Books and Manuscripts Library (Used for the acquisition, preservation or display of rare books and materials, especially those dating from the 17 th century; provided by a gift from Robert F. Wing)	\$25,122.06	\$25,122.06
Su Au Arnold Preservation and Maintenance Fund for the Wexner Center and Mershon Auditorium (Used exclusively for the preservation and maintenance for the Wexner Center for the Arts and/or Mershon Auditorium; provided by gifts from Su Au Arnold)	\$25,000.00	\$25,000.00
The Kevin and Stacey Blair Family Athletic Scholarship Fund (Used to supplement the student grant-in-aid scholarship costs of an undergraduate student-athlete who is a member of a varsity team; provided by a gift from Kevin Blair)	\$25,000.00	\$25,000.00
The Kathryn R. Kourie Scholarship Fund (Used to support a student enrolled in the College of Pharmacy who plans on pursuing a career in the Community Pharmacy Practice; provided by gifts from Kathryn and Michael Kourie)	\$25,000.00	\$25,000.00
Lucius N. Littauer Judaica Book Fund (Used for acquisitions to the Jewish Studies Library; provided by a gift from the Lucius N. Littauer Foundation)	\$25,000.00	\$25,000.00
The Robert F. Schroeder and Margaret Ann Schroeder Medical Scholarship Fund (Used to provide scholarships to medical students enrolled in the College of Medicine and Public Health; provided by gifts from James C. Schroeder and David P. Schroeder)	\$25,000.00	\$25,000.00

Change in Name and Description of Named Endowed Funds

From:	The Daniel G. Amstutz International Trade Scholarship Fund
To:	The Daniel G Amstutz International Studies Scholarship Fund
From:	The Dr. Herbert L. Fenburr Fund for Human Cancer Genetics Research
To:	Herbert Fenburr, Dorothy Fenburr, and Margaret Davenport Endowment Fund for Human Cancer Genetics Research
From:	James R. and Vylee Calvert Garstick Permanent Endowed Scholarship Fund in the College of Optometry
To:	Garstick Scholarship Fund

September 22, 2004 meeting, Board of Trustees

From: The Health Services Management and
Policy Professorship Fund
To: The Stephen F. Loeb Professorship Fund
in Health Services Management and Policy

INTERNALLY DESIGNATED

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
Long Term Component Endowment Fund (Transfer from University operating funds)		\$25,000.00	\$25,000.00
Maintenance and Renewal Endowment Fund (Internal Funds)		\$25,000.00	\$25,000.00
President's Strategic Investment Endowment Fund (Distribution and realized/unrealized appreciation from the Long Term Component Endowment Fund)		\$25,000.00	\$25,000.00
		_____	_____
Total		\$5,043,204.08	\$5,043,204.08

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Change from Named Endowed Chair to Named Endowed Fund

W. M. Kiplinger '12 Program in Public Affairs Journalism Fund

The Willard M. Kiplinger Professorship in Public Affairs in the School of Journalism was established on February 3, 1971, by the Board of Trustees of The Ohio State University with gifts from the Kiplinger Foundation Incorporated. The fund was changed to the Willard M. Kiplinger Chair in Public Affairs Reporting on June 2, 1972. The name and description were revised again on September 22, 2004.

The annual distribution from this fund shall be used to enable six to eight mid-career journalists, each with at least five years of professional experience in journalism, to participate annually in an on-campus program designed to enhance the journalists' skills in public affairs reporting. The program, housed in The John Glenn Institute for Public Service and Public Policy, will draw upon the University's public affairs expertise in all fields. Program participants shall be known as Kiplinger Fellows. The fund will cover program costs and administration, including stipends for the participating Fellows.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

September 22, 2004 meeting, Board of Trustees

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of the Glenn Institute

in consultation with the Kiplinger Foundation. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Establishment of Named Endowed Funds

The Ohio Eminent Scholar in Computer Networking and Communication Research

The Ohio Eminent Scholar in Computer Networking and Communication Research was established September 22, 2004, by the Board of Trustees of The Ohio State University with support from the General Assembly of the State of Ohio and private gifts.

The annual distribution from this fund shall be used to provide salary and program support for the work of an outstanding scholar and leader in computer networking and communication research. Appointment as The Ohio Eminent Scholar in Computer Networking and Communication Research will be recommended to the executive vice president and provost and president by the dean of the College of Engineering in consultation with the chairperson of the Department of Computer Science and Engineering and the chairperson of the Department of Electrical and Computer Engineering.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Engineering. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$1,500,000.00

The Ohio Eminent Scholar in Nanotechnology: Molecular Self-Assembly

The Ohio Eminent Scholar in Nanotechnology: Molecular Self-Assembly was established September 22, 2004, by the Board of Trustees of The Ohio State University with support from the General Assembly of the State of Ohio and private gifts.

The annual distribution from this fund shall be used to provide salary and program support for the work of an outstanding scholar and leader in nanotechnology: molecular self-assembly. Appointment as The Ohio Eminent Scholar in Nanotechnology: Molecular Self-Assembly will be recommended to the executive vice president and provost and president by the dean of the College of Engineering in consultation with the chairperson of the Department of Chemical and Biomolecular Engineering.

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The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Engineering. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$1,500,000.00

Ellen E. and Victor J. Cohn Study Abroad Scholarship Fund

The Ellen E. and Victor J. Cohn Study Abroad Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University with gifts from Victor and Ellen Cohn of Moreland Hills, Ohio.

The annual distribution shall be reinvested into the principal and combined with additional gifts until the principal reaches \$50,000. The income distribution shall then be used to support one to two students to study abroad at Hebrew University in Israel. Yearly awards shall be made on the recommendation of an ad hoc committee within the Melton Center for Jewish Studies, in consultation with the director of the Center. The awards will be administered through the Melton Center. Unused income shall be returned to principal in years that no students are traveling to Israel.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of the Melton Center for Jewish Studies, in consultation with the dean of the College of Humanities. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$33,450.00

Mary A. Daniels Scholarship Fund

The Mary A. Daniels Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University with gifts from Mary A. Daniels (M.A., 1971; Ph.D., 1977) and others.

The annual distribution from this fund shall provide scholarships to graduate, professional or undergraduate students based on academic accomplishment and a commitment to campus leadership including, but not limited to, leadership roles in student organizations, student government, and University committees. Scholarship recipients will be selected by the Daniels Scholarship Committee in consultation with the Office of Student Financial Aid. The Daniels Scholarship

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Committee will consist of a current student, a representative from the Office of Recreational Sports, and a representative from the College of Education's Sport and Exercise Management Program or the Student Personnel Assistantship Program.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of Recreational Sports. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$26,329.95

Gary M. Rectenwald Scholarship Fund of San Diego, California

The Gary M. Rectenwald Scholarship Fund of San Diego, California, was established September 22, 2004, by the Board of Trustees of The Ohio State University with gifts from the OSU Alumni Club of San Diego, California.

Gary M. Rectenwald (B.S., 1971; M.S., 1972; M.B.A., 1978) was born in Toledo, Ohio, on December 31, 1949, and currently resides in San Diego, California. Mr. Rectenwald was an employee of IBM for over 30 years. He was active in community service including serving as president of the OSU Alumni Club of San Diego for 13 years, hosting the Jon Kosovo Refugee family, and serving on the Board of Directors for the Holiday Bowl.

The annual distribution from this fund shall provide one (1) scholarship for first-year students from San Diego County, California, pursuing any major at The Ohio State University. Scholarships will be awarded to deserving students who have financial need and whose SAT score was in the top 10 percent for their high school. This award can be renewed for up to three years, provided the student maintains a minimum 3.0 grade point average. The scholarship will be awarded in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of Student Financial Aid. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

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Change in Description of Named Endowed Fund

The Henry and Blanche Abell Endowment Fund

The Henry and Blanche Abell Endowment Fund was established April 3, 1987, by the Board of Trustees of The Ohio State University with gifts from Mr. Carl T. Abell (B.S., 1949) of Medina, Ohio, in memory of his parents, Henry and Blanche Abell; in recognition of his first wife, Ruth D. Abell; and in honor of his wife, Sherry S. Abell. The description of the fund was revised on June 5, 1987, and was further revised on September 22, 2004.

The annual distribution from this fund shall be divided equally between the Department of Food Science and Technology in the College of Food, Agricultural, and Environmental Sciences and the wrestling program in the Department of Athletics. The use of said funds shall be at the discretion of the chairperson of the Department of Food Science and Technology and the coach and/or director of the wrestling program.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences and the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

THE OHIO STATE UNIVERSITY FOUNDATION

Change in Description of Named Endowed Chair

The George R. and Genevieve B. Gist Endowed Chair in Ohio State University Extension

The George R. and Genevieve B. Gist Endowed Chair in Ohio State University Extension was established June 4, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, through the estates of George R. Gist, director emeritus of the Ohio State University Extension, and his wife, Genevieve B. Gist. The description of the chair was revised on September 22, 2004.

The annual distribution from this fund shall be used to support a distinguished Extension professor in the Departments of Horticulture and Crop Science and Human and Community Resource Development, with the appointment rotating between the two departments at least every 10 years. The Extension chair in the Department of Horticulture and Crop Science shall be in that area of crop science which was formerly in the Department of Agronomy. The chair in the Department of Human and Community Resource Development shall be in Extension education with a focus on administration and leadership.

Selection of the distinguished professor shall be made by the vice president for Agricultural Administration and University Outreach, and executive dean for

September 22, 2004 meeting, Board of Trustees

Food, Agricultural, and Environmental Sciences; director of Ohio State University Extension or their designee; the Extension administrative cabinet in consultation with the department chairs in the respective departments; and the executive vice president and provost. The work and progress of the chair holder will be reviewed every five years.

When the chair holder leaves the position for any reason, the endowed chair will move to the other department designated in the Gist's will for the next period. The decision shall be approved by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences.

Any unused annual distributions from the fund shall be returned to principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Ohio State University Extension and the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, and the income from the fund shall be used to provide scholarships to deserving students enrolled in the College of Food, Agricultural, and Environmental Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Establishment of Named Endowed Funds

The Hite Family Endowment Fund

The Hite Family Endowment Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the charitable remainder trust of Harold and Betty Hite and in cooperation with the Hites' daughters, Kimberly H. Mayhew (B.A., 1981; J.D., 1991) and Teresa Hite-Bryan (B.S., 1978; M.S., 1983).

The annual distribution from this fund shall support The Hite Family Scholarship. The Hite Scholar will receive a one-year, full in-state tuition scholarship, subject to renewal for a second and third year if the recipient meets the academic requirements set by The Michael E. Moritz College of Law. A new scholar will be selected as frequently as the fund balance allows for a three-year, full in-state tuition scholarship. The goal will be to select, among academically talented admitted applicants, a student who has promise to make important contributions to law. The scholarship will be awarded by the Moritz College of Law in consultation with the Office of Student Financial Aid. A member of the Hite family will have the option to review student finalists.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's cost of gift acquisition and fund management.

September 22, 2004 meeting, Board of Trustees

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the Moritz College of Law. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$351,340.65

The Teresa Hite-Bryan and the Hite Family Scholarship Fund

The Teresa Hite-Bryan and the Hite Family Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the charitable remainder trust of Harold and Betty Hite and in cooperation with the Hites' daughters, Kimberly H. Mayhew (B.A., 1981; J.D. 1991) and Teresa Hite-Bryan (B.S., 1978; M.S. 1983).

The annual distribution from this fund shall be used to provide funds for tuition and fees for students enrolled within the Division of Occupational Therapy in the School of Allied Medical Professions. Scholarships will be awarded with preference given to students enrolled in the second year of their occupational therapy degree studies. Consideration shall be given to academic standing and financial need. Scholarship recipients will be selected by the director of the Division of Occupational Therapy in consultation with the Office of Student Financial Aid. A member of the Hite family will have the option to review student finalists.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health, the director of the School of Allied Medical Professions, and the director of the Division of Occupational Therapy. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$251,340.66

The Betty W. and Harold H. Hite Endowed Athletic Scholarship Fund

The Betty W. and Harold H. Hite Endowed Athletic Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the charitable remainder trust of Betty and Harold Hite of Sabina, Ohio.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of two student-athletes who are pursuing undergraduate degrees at The Ohio State University and are: (1) a member of the varsity football team, and (2) a member of the varsity women's soccer team. The recipients will be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

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The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board of Directors or Board of Trustees as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$250,000.00

Robert S. Friedman Scholarship Fund

The Robert S. Friedman Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Robert S. Friedman (B.I.E., 1957) of Northbrook, Illinois.

The annual distribution from this fund shall be used to provide scholarships for one or more students majoring in engineering who are participants in the Naval Reserve Officers Training Corps (NROTC). Preference will be given to students majoring in industrial and systems engineering. Selection will be made by the chairperson of the Department of Industrial, Welding, and Systems Engineering, the dean of the College of Engineering, and the chairperson of the Department of Naval Science. Scholarships will be awarded in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Engineering and the chairperson of the Department of Naval Science. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$100,000.00

The Genevieve Brown Gist Scholarship Fund

The Genevieve Brown Gist Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Genevieve Brown Gist (Ph.D., 1944) of Dublin, Ohio, former faculty member in the Department of History.

The annual distribution from this fund shall be used to award one or more scholarships for students currently pursuing a Master's or Doctoral degree in history. Scholarships will be awarded based on a combination of demonstrated

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scholastic ability and financial need. Unless prohibited by federal or state law, a preference will be granted to female applicants. The scholarship term is for one year and may be renewed. Recipients will be selected by the dean of the College of Humanities upon recommendations from the chairperson of the Department of History and in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the appropriate administrative official of The Ohio State University then responsible for the teaching and research of history. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$100,000.00

The Hite Family Symposium Endowment Fund

The Hite Family Symposium Endowment Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the charitable remainder trust of Harold and Betty Hite and in cooperation with the Hites' daughters, Kimberly H. Mayhew (B.A., 1981; J.D. 1991) and Teresa Hite-Bryan (B.S., 1978; M.S. 1983).

The annual distribution from this fund shall be used for the organizational and operational costs of the annual Hite Family Symposium in Occupational Therapy within the School of Allied Medical Professions. Funds shall be allocated by the director of the Division of Occupational Therapy.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health, the director of the School of Allied Medical Professions, and the director of the Division of Occupational Therapy. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$100,000.00

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The Frank A. and Carol O. Ray Endowment Fund

The Frank A. and Carol O. Ray Endowment Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Frank (J.D., 1973) and Carol Ray.

The annual distribution from this fund shall support The Frank and Carol Ray Scholarship. The Ray Scholar will receive a one-year, full in-state scholarship, subject to renewal for a second and third year if the recipient meets the academic requirements set by The Michael E. Moritz College of Law. The goal will be to select, among academically talented admitted applicants, a student who has promise to make important contributions to the field of law and who offers a demonstrated history of leadership skills. The scholarship will be awarded in consultation with the Office of Student Financial Aid. Each recipient will be asked to sign a statement of a moral obligation to repay the proceeds of the scholarship unless he or she devotes a significant number of years employed in a public service position with the result that it is impractical to repay.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this endowment fund is to be created as an endowment but with an invadable principal, though the principal could be invaded only to bring the scholarship award up to the amount of one full in-state tuition each year. Should the principal of the endowment fall below the minimum required by the Board of Trustees, the endowment will be dissolved and the balance of the fund will revert to current use funds.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the Moritz College of Law in order to carry out the desire of the donors. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$100,000.00

Dr. Virginia M. Vivian Post Doc Enrichment Endowed Fund for the Department of Human Nutrition

The Dr. Virginia M. Vivian Post Doc Enrichment Endowed Fund for the Department of Human Nutrition was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from The Virginia M. Vivian Trust UAD 2/21/2003 (Dr. Virginia "Ginny" M. Vivian of Naples, Florida).

Dr. Vivian taught for 29 years at The Ohio State University in the Department of Human Nutrition in the College of Human Ecology. Dr. Vivian received her undergraduate and doctoral degrees from the University of Wisconsin; she earned a Master's degree from Columbia University.

The annual distribution from this fund shall be used to supplement the salary and/or support the research, travel expenses, or other needs of a human nutrition

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postdoctoral position(s) in the Department of Human Nutrition in the College of Human Ecology.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the chairperson of the Department of Human Nutrition and the dean of the College of Human Ecology. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$100,000.00

Martha O. Schoenlaub Scholarship Fund

The Martha O. Schoenlaub Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Martha O. Schoenlaub (B.S., 1937).

The annual distribution from this fund shall be used to support nursing scholarships for undergraduate and graduate students, with preference given to students planning to practice, specialize, or conduct research in the area of oncology nursing. All scholarships will be awarded based strictly on merit with no regard to financial need. The recipients shall be selected by the dean, assistant dean, and associate deans of the College of Nursing.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean, assistant dean, and associate deans of the College of Nursing. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$50,000.00

The James K. L. Lawrence Fund in Alternative Dispute Resolution

The James K. L. Lawrence Fund in Alternative Dispute Resolution was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from James K. L. Lawrence (B.A., 1962; J.D., 1965) of Cincinnati, Ohio.

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The annual distribution from this fund shall be used for the advancement of the dispute resolution teaching and program of The Michael E. Moritz College of Law.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the Moritz College of Law. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$35,869.00

Dr. Jessie Craig Obert Dean's Excellence Fund

The Dr. Jessie Craig Obert Dean's Excellence Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Dr. Jessie Craig Obert (Ph.D., 1951).

Dr. Jessie Craig Obert was a home economics instructor at The Ohio State University School of Home Economics, specializing in nutrition and food technology, from 1947 to 1951. She returned to her true passion of dietetics with the Los Angeles County Health Department.

The annual distribution from this fund shall be used for the enrichment of the College of Human Ecology. Funds will be allocated at the discretion of the dean of the College of Human Ecology.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Human Ecology. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$35,691.76

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The Thomas Michael Fernow Endowment Fund for Cancer Research

The Thomas Michael Fernow Endowment Fund for Cancer Research was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the family and friends of the late Thomas Michael Fernow of Lancaster, Ohio.

The annual distribution from this fund shall be used to support neuro-oncology research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$34,986.00

David J. Neustadt Scholarship Fund in Greek and Latin

The David J. Neustadt Scholarship Fund in Greek and Latin was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Tim Neustadt (B.A., 1967; M.A., 1969) of Palos Verdes Estates, California.

The annual distribution from this fund shall be used to provide scholarship support to an undergraduate student majoring in Greek and Latin. Scholarship recipients shall be selected by the chairperson of the Department of Greek and Latin, in consultation with the dean of the College of Humanities and the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion shall be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so

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diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the chairperson of the Department of Greek and Latin, in consultation with the dean of the College of Humanities. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$31,725.00

The Kenneth E. Dountz Agricultural Vice President's Fund

The Kenneth E. Dountz Agricultural Vice President's Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Kenneth E. Dountz (B.S., 1964) of Copley, Ohio.

The annual distribution from this fund shall be used to support programs identified by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences as current and critical priorities in the College of Food, Agricultural, and Environmental Sciences. Uses of the fund shall include but not be limited to support of positive, creative work environments for students, faculty, and staff; outreach to external audiences; encouragement and promotion of student organizations; scholarships; professional development; college promotion and advancement; and other emerging college priorities. Scholarships will be awarded in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, or their successor. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$29,244.00

The Norbert and Gretel B. Bloch Endowed Scholarship Fund in Jewish Studies

The Norbert and Gretel B. Bloch Endowed Scholarship Fund in Jewish Studies was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Gretel B. Bloch Charitable Trust, Sanford M. Shore (B.S.Bus.Adm., 1953), trustee.

The annual distribution from this fund shall provide scholarships for students of Jewish studies. The recipient will assist in coordinating an annual program highlighting topical issues of current Jewish life, culture, and history. The recipient will be selected by the director of the Melton Center for Jewish Studies in consultation with the Office of Student Financial Aid.

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In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Melton Center for Jewish Studies in consultation with the dean of the College of Humanities. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$28,800.00

The Barbara Van Brimmer Endowment Fund

The Barbara Van Brimmer Endowment Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the family, friends, and colleagues of the late Barbara Van Brimmer of Columbus, Ohio. This fund has been established in her memory and in recognition of her interest in preservation and medical history since 1992; and of her career since 1985 at the Prior Health Sciences Library including serving as curator of the Medical Heritage Center from 1997 to 2003.

The annual distribution from this fund shall support the Prior Health Sciences Library, specifically benefiting the librarians and/or students in library or information science interested in preservation training and education, and may include but is not limited to: education opportunities for staff and students in library and medical heritage sciences, an expanded lecture series that would broaden the awareness of the Medical Heritage Center and inspire others to pursue careers in the field, and other important initiatives central to sustaining the excellence of the medical history preservation at The Ohio State University.

The allocation of the distribution shall be made as recommended by the director of the Prior Health Sciences Library in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

The investment and management of, and expenditures from, all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health and by the director of the Prior Health Sciences Library. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

\$28,730.00

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Hutchins Endowment Fund in Social Work Benefiting Veterinary Medicine

The Hutchins Endowment Fund in Social Work Benefiting Veterinary Medicine was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mary Jane Hutchins (B.S.Soc.Work, 1961) of Columbus, Ohio.

The annual distribution from this fund shall be used as a stipend award to support a student during her or his professional fieldwork while enrolled in a degree program in the College of Social Work at The Ohio State University whose field placement is in the Human Animal Bond-Centered Program of the Veterinary Hospital of The Ohio State University. Preference will be given to an M.S.W. candidate interested in grief education and counseling in a multi-disciplinary environment. An interest in promoting positive client-staff communications and enhancing client satisfaction are also preferred.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Social Work. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$28,500.00

Wesley E. Budke Student Teaching Endowment Fund in Human and Community Resource Development

The Wesley E. Budke Student Teaching Endowment Fund in Human and Community Resource Development was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Dr. Wesley E. Budke (Ph.D., 1970), associate professor emeritus in the Department of Human and Community Resource Development.

The annual distribution from this fund shall be used to help support undergraduate students during their student teaching in the Department of Human and Community Resource Development in the College of Food, Agricultural, and Environmental Sciences. Awards will be made by the chairperson of the Department of Human and Community Resource Development in consultation with the Office of Student Financial Aid. The distribution may also be used to support activities related to student teacher orientation and training of cooperating teachers, as determined by the chairperson of the Department of Human and Community Resource Development.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be

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assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the chairperson of the Department of Human and Community Resource Development. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,950.00

James Thomas Rector '66 Scholarship Fund

The James Thomas Rector '66 Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from John F. Corkill (B.Arch., 1967).

This scholarship is in memory of James Thomas Rector (B.Arch., 1966). Rector was part of a group of classmates who were first generation college students working their way through the School of Architecture. He worked as a residential architect focusing on new housing developments and became vice president of Kinetics Noise Control.

The annual distribution from this fund shall be used to fund a scholarship for an architecture student with financial need. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid. In any given year that the endowment distribution is not fully expended, the unused portion shall be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Austin E. Knowlton School of Architecture. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,645.00

Richard and Karol Wells Study Abroad Scholarship Fund

The Richard and Karol Wells Study Abroad Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Richard (B.S.Pharm., 1956) and Karol (B.S.Ed., 1958) Wells of Los Angeles, California.

The annual distribution from this fund shall be used to provide study abroad scholarship support for College of Humanities students. Scholarship recipients

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shall be selected by the dean of the College of Humanities in consultation with the University Committee on Student Financial Aid. In any given year that the endowment distribution is not fully expended, the unused portion shall be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Humanities. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,480.00

The Donald G. Wing Endowed Fund for the Rare Books and Manuscripts Library

The Donald G. Wing Endowed Fund for the Rare Books and Manuscripts Library was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Robert F. Wing, professor emeritus in the Department of Astronomy.

This endowment was established in honor of the 100th birthday of Donald G. Wing, born August 18, 1904. Dr. Wing was a 17th century bibliographer and a Yale University librarian.

The annual distribution from this fund shall be used for the acquisition, preservation or display of rare books and materials, especially those dating from the 17th century. Funds shall be allocated by the director of Libraries and the curator of the Rare Book and Manuscripts Library.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Libraries and the curator of the Rare Book and Manuscripts Library. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,122.06

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Su Au Arnold Preservation and Maintenance Fund for the Wexner Center and Mershon Auditorium

The Su Au Arnold Preservation and Maintenance Fund for the Wexner Center and Mershon Auditorium was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Su Au Arnold (B.A., 1969).

The annual distribution from this fund shall be used exclusively for the preservation and maintenance of the Wexner Center for the Arts and/or Mershon Auditorium at the discretion of the director of the Wexner Center and the director of administration of the Wexner Center.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Wexner Center in consultation with the Center's director of administration or their designee. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

The Kevin and Stacey Blair Family Athletic Scholarship Fund

The Kevin and Stacey Blair Family Athletic Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Kevin Blair of Chagrin Falls, Ohio.

The annual distribution from this fund shall be used to supplement the student grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of a varsity team. First preference will be given to a member of the men's ice hockey team from the greater Cleveland, Ohio, area. Second preference will be given to a member of the men's ice hockey team from the State of Ohio, and a third preference will be given to any student-athlete on the men's hockey team. The recipient will be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director

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of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

The Kathryn R. Kourie Scholarship Fund

The Kathryn R. Kourie Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Kathryn R. (B.S., 1977) and Michael (B.A., 1974) Kourie.

The annual distribution from this fund shall be designated to a student currently enrolled in the College of Pharmacy who plans on pursuing a career in the Community Pharmacy Practice. The scholarship will be awarded by the College of Pharmacy in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's cost of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distribution, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the donors or their heirs and the dean of the College of Pharmacy. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

Lucius N. Littauer Judaica Book Fund

The Lucius N. Littauer Judaica Book Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the Lucius N. Littauer Foundation.

The annual distribution from this fund shall be used for acquisitions to the Jewish Studies Library. In any given year that the endowment distribution is not fully expended, the unused portion shall be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of University Libraries. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

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The Robert F. Schroeder and Margaret Ann Schroeder Medical Scholarship Fund

The Robert F. Schroeder and Margaret Ann Schroeder Medical Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from James C. Schroeder, Ph.D. of Worthington, Ohio, and David P. Schroeder (M.D., 1973) of Ashville, North Carolina; given in memory of their parents, Robert F. Schroeder and Margaret Ann Schroeder of Mansfield, Ohio.

The annual distribution from this fund shall provide one or more scholarships to medical students enrolled in the College of Medicine and Public Health. Scholarships shall be awarded based on financial need or academic merit. Scholarship recipients shall be selected at the recommendation of the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in consultation with the College of Medicine and Public Health Committee for Scholarship Awards and the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

Change in Name and Description of Named Endowed Funds

The Daniel G. Amstutz International Studies Scholarship Fund

The Daniel G. Amstutz International Trade Scholarship Fund was established February 2, 2001, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Daniel G. Amstutz (B.S.Bus.Adm., 1954) of Arlington, Virginia. The name and description of the fund were revised on September 22, 2004.

The annual distribution from this fund shall be used to support study abroad for students enrolled in the College of Food, Agricultural, and Environmental Sciences, The Max M. Fisher College of Business, or the College of Humanities who are engaged in the study and/or research of international trade or other international topics.

The recipients shall be either undergraduate or graduate students. Undergraduate recipients in the Department of Agricultural, Environmental, and Development Economics and the Fisher College of Business shall be enrolled as economics or finance majors and have expressed an interest in international trade by enrolling in courses or conducting undergraduate research in that field, or by undertaking an internship with an international trade business. Undergraduate recipients majoring in the humanities and graduate recipients in

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all three colleges shall have exhibited an interest in international studies through their choice of elective courses and/or research topics.

The annual distribution shall provide at least one award per year, equally benefiting students from each of these colleges over time. The use of the annual distribution shall be directed by the director of International Education or their designee in consultation with the Office of Student Financial Aid.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of International Education in consultation with the vice president for Agricultural Administration, University Outreach, and executive dean for Food, Agricultural and Environmental Sciences, the dean of the Fisher College of Business, and the dean of the College of Humanities. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Herbert Fenburr, Dorothy Fenburr, and Margaret Davenport Endowment Fund for Human Cancer Genetics Research

The Dr. Herbert L. Fenburr Fund for Human Cancer Genetics Research for the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established June 7, 2002, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Dorothy J. Fenburr (B.A., 1935) and Margaret F. Davenport in memory of Dr. Herbert Fenburr (B.Ch.E., 1934; M.S., 1935; Ph.D., 1937), beloved husband and father; Dr. John Minton (B.S., 1956; M.D., 1960; M.M.S., 1966; Ph.D., 1969); and in honor of Dr. James Niedhart. The name and description of the fund were revised on September 22, 2004.

The annual distribution from this fund shall be used to support an endowed fund in human cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any unused income shall be returned to the principal of this fund.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Garstick Scholarship Fund

The James R. and Vylee Calvert Garstick Permanent Endowed Scholarship Fund in the College of Optometry was established August 30, 1995, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. James R. Garstick (B.S. in Optometry, 1951). The name and description of the fund were revised on September 22, 2004.

Dr. Garstick, a private practitioner in Columbiana, Ohio, for 42 years and a 1951 graduate of The Ohio State University School of Optometry, has enjoyed a very successful and productive career as an optometrist. His work ethic and very strong commitment to continuing education in the field of optometry has set an example for future students to emulate.

Ninety percent (90%) of the annual distribution from this fund shall be used to award scholarships to third- and fourth-year optometry students with financial need. The award recipients shall be selected by the dean of the College of Optometry or designee in consultation with the University Committee on Student Financial Aid. The remaining distribution shall be reinvested to the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Optometry. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

The Stephen F. Loebs Professorship Fund in Health Services Management and Policy

The Health Services Management and Policy Professorship Fund was established December 6, 2001, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from alumni and friends of the HSMP Program. The name and description of the fund were revised on September 22, 2004.

The annual distribution shall be added to the principal until the minimum amount for funding an endowed professorship (\$750,000) has been reached. At that time, the annual distribution shall be used to provide salary and program support

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for the work of a distinguished teacher and scholar in the Health Services Management and Policy Program. Distribution will be made at the recommendation of the director of the Health Services Management and Policy Program in consultation with the dean for Public Health in the School of Public Health, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Health Services Management and Policy Program, the dean for Public Health in the School of Public Health, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

INTERNALLY DESIGNATED

Establishment of Named Endowed Funds

Long Term Component Endowment Fund

The Long Term Component Endowment Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University with a transfer from University operating funds.

In order to increase the support derived from our Endowment, the annual distribution, realized or unrealized appreciation, and principal if necessary, shall be used to first fund the University's investment income account. This amount would be the equivalent of the income earned if this fund had remained invested as part of the operating fund. Any excess distribution and realized or unrealized appreciation above this amount will be reallocated to the President's Strategic Investment Endowment Fund. The market value of this fund shall be maintained at the level of original contributions made to this fund.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the University that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees in consultation with the Senior Vice President for Business and Finance and the Treasurer.

\$25,000.00

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Maintenance and Renewal Endowment Fund

The Maintenance and Renewal Endowment Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University with internal funds.

The annual distribution, and principal if necessary, shall be used for maintenance and renewal of the University physical plant in accordance with plans approved by the Executive Vice President and Provost and the Senior Vice President for Business and Finance. Any unused distributions will be reinvested to principal annually. There shall be an annual report to the University Board of Trustees on the revenue and expenses of this fund.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the University that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees in consultation with the Executive Vice President and Provost and the Senior Vice President for Business and Finance.

\$25,000.00

President's Strategic Investment Endowment Fund

The President's Strategic Investment Endowment Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University with distribution and realized/unrealized appreciation from the Long Term Component Endowment Fund.

The annual distribution shall be used by the President to fund strategic investments. Distributions are to be used at the President's discretion, as one-time seed funds only. At no time are commitments to exceed the distributed balance. Any unused distributions will be reinvested to principal annually. The Senior Vice President for Business and Finance shall report annually to the Board of Trustees on the revenue and expenditures of this fund.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

A contingency of eight percent of the Long Term Component Endowment Fund will be established and maintained within this fund. The operating principles for the investment of this fund are approved by the Senior Vice President for Business and Finance and the Treasurer.

It is the desire of the University that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees.

\$25,000.00

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 2005-31

April – June 2004

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, November 7, 1997, and March 1, 2002 provides that the President and/or the Senior Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, the Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Senior Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of April - June 2004; and

WHEREAS during the period covered, the Senior Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 96 waivers of competitive bidding requirements for annual purchases totaling approximately \$31,780,600 as shown on the enclosed exhibit; and

WHEREAS during the period covered, the Interim Vice President for Health Services, at the requests of the hospitals of the University and their related facilities making purchases, granted 39 waivers of competitive bidding requirements for annual purchases totaling approximately \$19,252,000 as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of April - June 2004, is hereby accepted.

(See Appendix VII for background information, page 215.)

APPROVAL TO ENTER INTO DESIGN AND CONSTRUCTION CONTRACTS

Resolution No. 2005-32

DESIGN CONTRACTS

DODD HALL – ELEVATOR UPGRADES

MANSFIELD CAMPUS – OVALWOOD HALL RENOVATION

NEWARK CAMPUS – WARNER STUDENT CENTER AND LIBRARY

NORTH DOAN HALL – DIGESTIVE DISEASE CENTER 2ND FLOOR INFILL
AND ENDOSCOPY

NORTH DOAN HALL – NON-CLINICAL BUILDING

ST. JOHN ARENA AND CONVERSE HALL PARKING LOT RENOVATION

CONSTRUCTION CONTRACTS

**BAKER SYSTEMS – ELEVATOR MODERNIZATION
DOAN HALL – RENOVATE SUITE 236 PET/CT UNIT
HOPKINS HALL – ELEVATOR REPLACEMENT
PARKS HALL – CHILLER REPLACEMENT
POSTLE HALL FIRE ALARM REPLACEMENT
WEST CAMPUS CHILLED WATER UPGRADE AND
SCOTT HALL CHILLER REPLACEMENT**

Synopsis: Authorization to enter into design contracts for the Dodd Hall – Elevator Upgrades, Mansfield Campus – Ovalwood Hall Renovation, Newark Campus – Warner Student Center and Library, North Doan Hall -- Digestive Disease Center 2nd Floor Infill and Endoscopy, North Doan Hall – Non-Clinical Building, and the St. John Arena and Converse Hall Parking Lot Renovation projects, and construction contracts for the Baker Systems – Elevator Modernization, Doan Hall – Renovate Suite 236 PET/CT Unit, Hopkins Hall – Elevator Replacement, Parks Hall – Chiller Replacement, Postle Hall Fire Alarm Replacement, and West Campus Chilled Water Upgrade and Scott Hall Chiller Replacement projects is requested.

WHEREAS the University desires to upgrade elevator equipment to meet current codes and extend one elevator car to the tunnel level in Dodd Hall; and

WHEREAS the preliminary project cost estimate is approximately \$1,160,000, with funding to be provided by University Hospitals; and

WHEREAS the Mansfield Campus desires to enter into design work for renovation of Ovalwood Hall to provide additional classroom and faculty office space; and

WHEREAS the preliminary project cost estimate is approximately \$7,000,000, with design costs to be paid with Mansfield Campus funds, and the project will not proceed to construction until the 2007 Capital Process is complete and University bonds have been approved for financing and the Board of Trustees has authorized construction; and

WHEREAS the Newark Campus desires to enter into design work for construction of a new Student Center and Library which will provide a state-of-the-art library, student government meeting rooms, study spaces, food service and dining areas for students, and a student health center; and

WHEREAS the preliminary project cost estimate is approximately \$17,500,000, with design costs to be paid with the Newark Campus funds, with proposed funding for construction provided by Newark general funds (\$2,550,000), Newark Development funds (\$6,750,000), future state capital appropriation (\$1,070,000), Central Ohio Technical College state appropriation (\$720,000), and University bond proceeds (\$6,444,000), with debt service to be paid by Newark Campus, and the project will not proceed to construction until the 2007 the Capital Process is complete and University bonds have been approved for financing and the Board of Trustees has authorized construction; and

WHEREAS University Hospitals desires to renovate the existing 2nd floor of North Doan Hall and 2nd floor “infill” of Doan Hall for the construction of procedure rooms and additional beds and patient care areas for the Digestive Disease Center; and

WHEREAS the preliminary project cost estimate is approximately \$8,200,000, with design costs to be paid with University Hospitals funds, and the project will not proceed to construction until the business plan has been finalized and approved and the Board of Trustees has authorized construction; and

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WHEREAS University Hospitals desires to replace the existing two-story North Doan Hall structure with a five-story non-clinical building to accommodate Digestive Disease faculty offices and other non-clinical programs; and

WHEREAS the preliminary project cost estimate is approximately \$11,300,000, with design costs to be paid with University Hospitals funds, and the project will not proceed to construction until the business plan has been finalized and approved and the Board of Trustees has authorized construction; and

WHEREAS the University desires to enter into design for improvements to the parking lots north of St. John Arena and on the east and west sides of Converse Hall; and

WHEREAS the preliminary project cost estimate is approximately \$1,600,000 - \$2,000,000, with funding provided by Transportation and Parking, Student Affairs, Athletics, Campus Beautification funds and Central funds, with the allocation of specific amounts to be determined as part of the business plan, and the project will not proceed to construction until the business plan has been approved and the Board of Trustees has authorized construction; and

WHEREAS the University desires to modernize and upgrade three elevators in the Baker Systems Engineering Building in order to meet current codes and standards; and

WHEREAS the construction document project cost is approximately \$410,000, with funding to be provided by HB 675; and

WHEREAS the University desires to renovate a section of the Radiology Files Room 236 in Doan Hall to install a PET/CT imaging unit; and

WHEREAS the construction document project cost has increased due to additional infrastructure improvements that are required to meet current codes and standards; and

WHEREAS the revised project cost is approximately \$800,000, with funding to be provided by the James Cancer Hospital and Solove Research Institute; and

WHEREAS the University desires to replace the elevator and modify the machine room including electrical service and air conditioning system in Hopkins Hall; and

WHEREAS the construction document project cost is approximately \$200,000, with funding to be provided by HB 675; and

WHEREAS the University desires to replace the 26-year old chiller in Parks Hall to improve cooling and air circulation; and

WHEREAS the construction document project cost is approximately \$700,000, with funding to be provided by HB 675; and

WHEREAS the University desires to replace the main fire alarm panel and devices in Postle Hall to meet current codes and provide total building fire and smoke coverage; and

WHEREAS the construction document project cost is approximately \$530,000, with funding to be provided by HB 675; and

WHEREAS the University desires to upgrade the West Campus chilled water system and replace the chilled water system at Scott Hall; and

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WHEREAS the construction document project cost is approximately \$1,000,000, with funding to be provided by HB 675:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into design contracts for Dodd Hall Elevator Upgrade, Mansfield Campus – Ovalwood Hall Renovation, Newark Campus – Warner Student Center and Library, North Doan Hall -- Digestive Disease Center 2nd Floor Infill and Endoscopy, North Doan Hall – Non-Clinical Building, and the St. John Arena and Converse Hall Parking Lot Renovation projects in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into construction contracts, if satisfactory bids are received, for the Baker Systems – Elevator Modernization, Doan Hall – Renovate Suite 236 PET/CT Unit, Hopkins Hall – Elevator Replacement, Parks Hall – Chiller Replacement, Postle Hall – Fire Alarm Replacement, and West Campus Chilled Water Upgrade and Scott Hall Chiller Replacement projects in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time.

(See Appendix VIII for background information and maps, page 217.)

EASEMENT

Resolution No. 2005-33

COLUMBUS SOUTHERN POWER
ELECTRIC SERVICE RELOCATION
UNIVERSITY HOSPITALS EAST
1492 EAST BROAD STREET
COLUMBUS, OHIO

Synopsis: Authorization to grant Columbus Southern Power Company an easement to extend an electric power line and provide electric utility service to University Hospitals East is proposed.

WHEREAS construction at University Hospitals East has necessitated the relocation of the electric service to that facility; and

WHEREAS to accommodate this relocation, Columbus Southern Power Company has requested an easement ten feet wide by 165 feet long for a term of 25 years to extend an electric power line and provide electric utility service to University Hospitals East; and

WHEREAS this easement is for the relocation of existing electrical service, and Columbus Southern Power will release its interest in the existing easement; and

WHEREAS the appropriate University offices have determined that the grant of this easement is in the best interest of the University:

NOW THEREFORE

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BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to approve and the Ohio Department of Administrative Services be authorized to prepare appropriate documents and grant this easement to Columbus Southern Power Company upon such terms and conditions as are in the best interest of the University.

(See Appendix IX for map, page 249.)

APPROVAL OF FY 2005 TOTAL UNIVERSITY CURRENT FUNDS BUDGET

Resolution No. 2005-34

Synopsis: Approval of the total University Current Funds Budget for FY 2005 is proposed.

WHEREAS the State budget for FY 2004 and 2005, including funding levels for State institutions of higher education, has been enacted; and

WHEREAS fee increases for the Columbus and Regional Campuses for FY 2005 have been approved at previous meetings of the Board of Trustees; and

WHEREAS other levels of resources and expenditures, including compensation, have been identified for FY 2005; and

WHEREAS the Columbus Campus budget was approved at the July 9, 2004 meeting of the Board and appropriate planning and consultation with the regional campuses has been accomplished, and the President now recommends approval of the total University FY 2005 budget:

NOW THEREFORE

BE IT RESOLVED, That the University's Current Funds Budget for FY 2005, as described in the accompanying text and tables, be approved, with authorization for the President to make expenditures within the projected income levels.

(See Appendix X for background information, page 251.)

**AUTHORIZATION TO ENTER INTO LEASE
WITH OPTION TO PURCHASE**

Resolution No. 2005-35

**REAL PROPERTY AND IMPROVEMENTS
2001 POLARIS BOULEVARD, COLUMBUS, OHIO**

Synopsis: Authorization to enter into lease, with option to purchase, of real property and improvements located at 2001 Polaris Boulevard, Columbus, Ohio, is proposed.

WHEREAS, the University Medical Center has an immediate need to acquire additional research space to accommodate recruitments; and

WHEREAS, suitable property has been identified at 2001 Polaris Boulevard, Columbus, Ohio, comprised of 9.4 acres and a laboratory/office building in excess of 45,000 asf, with expansion potential (the "leased premises"); and

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WHEREAS, the leased premises are to be acquired from the current owner by Polaris 2004 L.L.C. and leased to the Medical Center for a term of 10 years with an option to purchase exercisable in year five or thereafter; and

WHEREAS, significant improvements to the leased premises are contemplated to make them suitable for the intended research purposes; and

WHEREAS, the acquisition and lease by Polaris 2004 L.L.C. may need to be consummated on or about October 11, 2004; and

WHEREAS, certain due diligence including the completion of a business plan and various certifications and approvals have yet to be completed or obtained:

NOW THEREFORE

BE IT RESOLVED, That the Senior Vice President for Business and Finance, in consultation with the Chair of the Fiscal Affairs Committee of the Board of Trustees, be authorized to enter into a lease, with option to purchase, of the leased premises known as 2001 Polaris Boulevard, Columbus, Ohio, upon satisfactory completion of due diligence by the University Medical Center, and under terms deemed to be in the best interests of the University; and

BE IT FURTHER RESOLVED, That the Senior Vice President for Business and Finance shall report to the Board all actions taken pursuant to this resolution at the appropriate time.

Upon motion of Mr. Slane, seconded by Mr. Borrer, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Slane, McFerson, Borrer, O'Dell, Hicks, and Judge Duncan, Mses. Longaberger, Hendricks, and Davidson.

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SELECTIVE INVESTMENT AND ACADEMIC ENRICHMENT PRESENTATION

Ms. Longaberger:

I would like to call on Provost Barbara Snyder for her report on Selective Investment and Academic Enrichment programs.

Provost Barbara R. Snyder: [PowerPoint presentation]

Thank you, Madame Chair. I spoke this morning to you about current major academic initiatives. If we do our jobs well, the investments that we make now will continue to pay dividends for the University for years to come. Therefore, I think it is important to update you periodically on the continuing impact of prior investments in academic programs, and that is what I am going to do right now.

This is a report on the Selective Investment and Academic Enrichment Funding programs, which were designed to encourage, identify, and strengthen areas of excellence within the University. While the effects of these programs will continue building for years to come, we have already many examples of ways in which the University has already benefited from these investments.

I want to acknowledge first that these predated my time in Academic Affairs and acknowledge the leadership of former Provost Ray, Presidents Gee and Kirwan, who supported these initiatives and, in my own office, Senior Vice Provost Alayne Parson, who coordinated this entire process.

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The Selective Investment Program began in 1997 and was designed to identify some of our strongest departments and provide them with the resources that would allow them to move into the very top ranks in their fields. Units selected through this process received \$500,000 in continuing central funds and were expected to match that with \$500,000 in continuing college funds. Proposals were evaluated by a review committee of faculty members from throughout the University.

The criteria are listed here. Units were selected essentially based on their high level of excellence, their importance to our academic mission, and their ability to advance the University's goals of national and international recognition, interdisciplinarity, and outreach.

The units chosen for Selective Investment were done in three waves. We have a total of 13 units over the course of the 1998-2001 years and you see the units listed here. I will say something about each one in a minute.

We tried to take a snapshot of the overall impact of the Selective Investment fund and one of the ways we did that was by looking at the quality of graduate students. What you see here is the weighted average GRE scores for domestic graduate students in Selective Investment departments. They improve by 100 points overall from 1998 to 2003. We have data here for 11 of our 13 units. We used domestic graduate students only in order to eliminate the possible influence of recent difficulties experienced by international students in obtaining U.S. visas.

The averages are weighted to reflect the number of scores reported by each department. Each section of the GRE – the verbal, quantitative, and analytical, also showed improvement in the average weighted score. While the indicators of graduate student quality increase as the department's national stature increases, these shifts take time because of the lag time between changes such as new faculty hires and getting that knowledge out to prospective students and to their undergraduate faculty mentors and advisors. For example, the rankings of doctoral programs by the National Research Council were last published in 1995. Due to numerous delays, the next set of rankings will not be published before 2007, which is a 12-year gap in those rankings.

As you know, the Moritz College of Law was a recipient of Selective Investment and this slide shows you the numbers for our law student. The 25th percentile of the current entering class is equal to the median of the entering class of 1998. That is how significant the improvement has been. The median score of the current entering class is equal to the 75th percentile to the class that came in in 1998.

We also wanted to show you something about the impact of Selective Investment on research and faculty publications in particular, and you can see that the average number of publications per year -- and these are in three-year averages -- increased 13 percent in our Selective Investment units between 1997-99 and 2000-02. During that same time period, the increase for all of Ohio State was 4.7 percent, which means that the improvement of our Selective Investment units was nearly three times that of the University's overall average. This data is based on only those disciplines that are included in the Institute for Scientific Information, or what we call the ISI database, because that is really the only thing we can measure very well. Those disciplines are chemistry, mathematics, physics, economics, psychology, electrical engineering, materials science, history, and law.

September 22, 2004 meeting, Board of Trustees

Another measure of the impact of Selective Investment is the average percentage of articles for the SI units, the publications' percentages out of all in the fields associated with those units. In other words, this is an attempt to give you an idea of each department's market share of publications in the field and, of course, for all of OSU, the percentages of total publications.

Another measure of research success is the average percentage of citations. It is a way of trying to capture the impact of the research that our faculty members and graduate students are doing. Again, in three-year averages, it increased 0.1 percent among Selective Investment units and again, from 1997-99 and 2000-02. It was a little more than 1.25 times that of Ohio State as a whole. This represents the market share of citations of Selective Investment units in their respective disciplines.

I wanted to say a little something about each one of the 13 units that received Selective Investment. You can see the success of the faculty members hired by Electrical and Computer Engineering with Selective Investment funds. Eleven faculty members were elected fellows of the Institute for Electrical and Electronics Engineers. Four faculty members, including three of their Selective Investment hires, received NSF career awards. And their research expenditures were up 80 percent from 1997-2002, and to an all-time high in 2002-03, and ranked fourth among departments of public universities.

The Department of Materials Science and Engineering used its Selective Investment funds to hire two members of the National Academy of Engineering. You can see that their sponsored research funding has nearly doubled between 2000 and 2004.

The Department of Physics has used its Selective Investment money to hire some wonderful researchers; two of them are mentioned on this screen. Professor Mathur, who won the Alumni Distinguished Teaching Award in 2003, also was the subject of a story in the *Dispatch* this summer. Renowned physicist Stephen Hawking, from Cambridge University, has been a long-time proponent of the theory that matter sucked into black holes is destroyed. At an international conference in July, he said that he now agrees with those who have argued that matter in black holes is not destroyed, and Professor Mathur is actually one of those. He has written a number of articles, most recently one in March published in *Nuclear Physics B*, arguing that black holes contain "...minute vibrating strings of matter..." I hope you will not ask me more about that. The national awards won by physics undergraduates in this time period included six NSF fellowships and five Goldwater scholarships.

Our Department of Psychology has hired new faculty with its Selective Investment award and, as a result, grant awards have increased more than 2-1/2 times between FY 2000 and FY 2004, and the dollar amount of the increase is very impressive. Citation counts have gone up 40 percent between 1998 and 2002. They have also found much greater success in recruiting the very top graduate students, particularly in their programs in behavioral, and neuroscience and cognitive psychology.

The Department of Chemistry is one of seven chemistry departments nationwide selected for participation in the Carnegie Initiative on the Doctorate, and we will mention that that is true for a couple of our other Selective Investment units as well. One of the hires made with Selective Investment money was Dr. Pat Hatcher, who helped us procure the \$5.8 million NSF award to establish the Environmental Molecular Science Institute in 2000. Professor Malcolm Chisholm, another Selective Investment hire, was elected this past year to the American Academy of

September 22, 2004 meeting, Board of Trustees

Arts and Sciences. Their national rankings for NSF awards improved from 25 in 1998 to 17 in 1999 and has been in the top ten ever since.

The Department of History has seen a significant increase in graduate applications in the program areas where they made their Selective Investment hires. They have also found an increasing national reputation as a result of presentations and publications of their Selective Investment hires, two of whom have received Guggenheim awards in 2002.

The Department of Neuroscience has faculty funded by all the major national funding organizations. Their extramural funding is on an upward trend. They made an extraordinary joint hire with Radiology – Dr. Michael Knopp who, as you know, led our successful effort to get the \$9.1 million from the Third Frontier fund and the \$8 million BRTT award to create a Wright Center of Innovation. This was also one of our units selected to participate in the Carnegie Initiative on the Doctorate.

Our highly-ranked Department of Political Science is up again in the *U.S. News* rankings, and was ranked 4th in the world in a study published in January 2004 by the London School of Economics. They used their money also to hire a member of the American Academy of Arts and Sciences and their majors won the 1st and 3rd prizes in the 2003 Denman Forum.

Cardiovascular Bioengineering, which is connected to the Davis Heart and Lung Institute, is achieving phenomenal success in research. They achieved a top ten national ranking for research funding in 2004, with over \$60 million in annual grant awards. In the past three years, faculty recruited as a result directly or indirectly of our Selective Investment initiative have generated over \$30 million in research grants.

The Department of Economics has seen a 2/3 increase from 1998-2003 in their applications from domestic graduate students and an increase of 194 points in the average score of their enrolled graduate students. It recently ranked 11th among public universities in publications in the top eight journals in the field. You can see the record of their research funding, which has increased very dramatically since 1998.

The Department of English used its Selective Investment funds to also make some wonderful faculty hires including Professor Hudgins, who received a Guggenheim Fellowship this past year. This department was another one of ours that was selected to participate in the Carnegie Initiative on the Doctorate. You can see the increases in graduate applications – 19 percent overall and a 50 percent increase for this coming year in master's applications.

The Moritz College of Law – you have seen a little bit of their success with students. They also used much of their Selective Investment funding to hire new faculty members. Citations per year for faculty publications have doubled between 2000 and 2003, and the average number of faculty articles has increased significantly. They also started two new scholarly journals.

Mathematics is another one of our departments selected to participate in the Carnegie Initiative on the Doctorate and they used their funds to hire Dr. Avner Friedman, a member of the National Academy of Sciences and a NAAAS fellow. They also received a National Science Foundation grant for Vertical Integration of Research and Education. This grant is initially for three years and it started on July 1, 2002, with a possible renewal for an additional two years. The aim of that grant is to introduce both our undergraduate and graduate students to the research environment at a much earlier stage in their careers.

September 22, 2004 meeting, Board of Trustees

Because we are running late, I am going to skip through Academic Enrichment quickly and tell you very briefly that this funded some wonderful programs, mostly interdisciplinary programs, created centers and new programs all across the University. At your places is a report that gives a lot more detail, both on Selective Investment and Academic Enrichment.

You can see from the slides that the kinds of programs we funded were across the University and working in all sorts of disciplines. These grants were intended to enhance our academic experience for our students all across the University. It is just such a wide variety of programs that were funded. I will let you take a look at that in the materials that you have, and cut this short so you can keep on your schedule.

Thank you very much for your time and attention. I would be happy to answer any questions.

Ms. Longaberger:

Questions? Thank you very much, Provost Snyder. I think many of us were here on the Board when we started this program. It was very unique and innovative and there were high hopes at the time that we would be able to see some of these results by focusing on specific investments and it certainly looks like that was accomplished. Thank you very much.

(See Appendix XI for background information, page 329.)

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Ms. Longaberger:

In a moment we will be taking a roll call vote to go into Executive Session for the purpose of dealing with personnel and legal matters. That vote will conclude all formal Board action for the day beyond adjourning the Board meeting after the Executive Session.

After the vote to go into Executive Session, we will take a five-minute recess before beginning that session. For those of you who may not wish to stay until after the Board completes its Executive Session, I will remind you that our next meeting of the Board will take place on Friday, November 5, 2004.

I hereby move that the Board recess into Executive Session for the purpose of dealing with personnel and legal matters. May I have a second?

Upon motion of Ms. Longaberger, seconded by Mrs. Davidson, the Board of Trustees adopted the foregoing motion by unanimous roll call vote, cast by Messrs. Slane, McFerson, Borrer, O'Dell, Hicks, and Judge Duncan, Meses. Longaberger, Hendricks, and Davidson.

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Thereupon the Board adjourned to meet Friday, November 5, 2004, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

Tami Longaberger
Chairperson

David O. Frantz
Secretary

(APPENDIX VII)

**Waivers of Competitive Bidding Requirements
April-June 2004**

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>
Hospitals – Prof Hlth Care Serv	\$10,073,129 10	\$8,456,649 8	\$0 0	\$1,616,480 2
Hospitals – Equip & Serv	\$9,178,869 29	\$1,295,698 16	\$396,000 2	\$7,487,171 11
Merchand/ Serv for Resale	\$11,779,680 2	\$11,779,680 2	\$0 0	\$0 0
Utilities for all Campuses	\$10,000,000 1	\$10,000,000 1	\$0 0	\$0 0
Instruc and Research Equip and Services	\$4,538,531 44	\$2,073,365 17	\$63,346 2	\$2,401,820 25
Admin Support Equip and Services	\$5,462,348 49	\$3,526,656 30	\$616,700 10	\$1,318,992 9
TOTAL	\$51,032,557 135	\$37,132,048 74	\$1,076,046 14	\$12,824,463 47

AUTHORIZATION FOR CAPITAL PROJECTS

<u>Project</u>	<u>Approximate Amount (in millions)</u>	<u>Requested Action</u>
Dodd Hall -- Elevator Upgrades	Dept: \$1.16	Enter into design contracts
Mansfield Campus -- Ovalwood Hall Renovation	Dept: \$7.00	Enter into design contracts
Newark Campus -- Warner Student Center & Library	Dept: \$9.00 State: \$1.79 Other: \$6.75	Enter into design contracts
North Doan Hall 2 nd Floor Infill and Endoscopy	Dept: \$8.20	Enter into design contracts
Doan Hall -- Non-Clinical Building	Dept: \$11.30	Enter into design contracts
St. John Arena and Converse Hall Parking Lot Renovation	Dept: \$1.6 - \$2.0	Enter into design contracts
Subtotal for Design Contracts	Dept: \$38.26-\$38.66 State: \$1.79 Other: \$6.75	
<hr/>		
Baker Systems -- Elevator Modernization	State: \$0.41	Enter into construction contracts
Doan Hall -- Renovate Suite 236 PET/CT Unit	Other: \$0.80	Approve increase in project costs
Hopkins Hall -- Elevator Replacement	State: \$0.20	Enter into construction contracts
Parks Hall -- Chiller Replacement	State: \$0.70	Enter into construction contracts
Postle Hall -- Fire Alarm Replacement	State: \$0.53	Enter into construction contracts
West Campus Chilled Water Upgrade and Scott Hall Chiller Replacement	State: \$1.00	Enter into construction contracts
Subtotal for Construction Contracts	State: \$2.84 Other: \$0.80	
<hr/>		
Total for all Contracts	Dept: \$38.26-\$38.66 State: \$4.63 Other: \$7.55	
Grand Total	\$50.44-\$50.84	

DODD HALL – ELEVATOR UPGRADES
Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Ohio State University Medical Center/ College of Medicine and Public Health

How does this project advance the Academic Plan?

Upgrade elevator equipment to meet current codes and be more efficient. Adding a second car to the tunnel level increases access to the rest of the Medical Center through the tunnel system and will eliminate patient movement problems that are currently experienced when the only car serving the tunnel breaks down.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Upgrade of elevator equipment, cars and extending a second car to the tunnel level.

Preliminary Cost Estimate:

\$ 1,160,000

Proposed Funding Source:

University Hospitals.

Outstanding Funding Issues:

none

Timing Issues:

*Design Services Completion – January, 2005
Construction Completion – January, 2006*

“Ripple effects” of the project:

none

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Dodd Hall - Elevator Upgrades
315-2004-929

Requesting Agency(s): HEALTH SCIENCES ADMINISTRATION

Location(s): DODD HALL, VERNE A.

Gross Sq. Ft. 84,673 **Age:** 1961

Description: Upgrade elevator equipment to meet current codes. Extend a second elevator car to the tunnel level.

Project Team:

Facility Planner: Is Unassigned
Project Manager: Pat Cuthbert
(cuthbert.8@osu.edu)
Field Coordinator: Is Unassigned
Project Coordinator: Curt Handschug
(handschug.1@osu.edu)
Project Assistant: Patricia Berger
(berger.58@osu.edu)

Project information:

Formerly 50700-R044373

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University	\$1,160,315.00	\$1,160,315.00				
Hospitals						
Total:	\$1,160,315.00	\$1,160,315.00				

Schedule:

Planning
Arch/Engr Approved by B/T (\$1,160,315 Project)

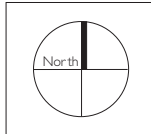
Projected

09/22/2004

Revised

Actual

Dodd Hall - Elevator Upgrades



Office of Business and Finance
Facilities Planning and Development

August 28, 2004

MANSFIELD CAMPUS – OVALWOOD HALL RENOVATION
Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Project Name:

Mansfield Campus – Ovalwood Hall Renovation

Project Location:

The Ohio State University – Mansfield Campus

Sponsoring/Requesting Units(s):

Ohio State University Mansfield

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Major interior reconfiguration and restoration of all 87,650 square feet of the 40- year old (first building on campus) Ovalwood Hall. Relocation of administrative and support functions to the new Administration and Classroom Building will permit renovation and conversion of Ovalwood Hall to a dedicated academic building per the September 2002 Feasibility Program of Requirements Study. This project includes a major mid-life renovation and interior reconfiguration of Ovalwood Hall into a dedicated classroom and faculty office building. Work includes complete interior reconfiguration; heating, ventilation, plumbing and air conditioning systems replacement; and upgrade to current codes and standards. The current structure is sound, flexible and adaptable to the planned need as identified in the September 2001 Facility Audit and Fit Analysis.

How does this project advance the Academic Plan?

This project provides additional state-of-the-art classroom and faculty office space consistent with regional campus growth expected in the University Academic Plan and Presidential Commission on Regional Campuses. It renovates existing space to University Standards to implement the Mansfield Campus Strategic Plan and approved Master Plan. Project will permit renovation and conversion of Ovalwood Hall to a dedicated academic building per the September 2002 Feasibility Program of Requirements Study.

Preliminary Cost Estimate: Total Project Budget **\$7,000,000**

Proposed Funding Source:

*Mansfield Campus Local Reserves (for design fees)
Future University Bonding (for construction)*

Outstanding Funding Issues:

This authorization is for design only. Design will be funded with Mansfield campus reserves, and the Campus will seek University bonding on the next cycle to fund the construction costs. Construction will need to be authorized by the Board of Trustees.

Timing Issues:

*Board of Trustees Design Approval
Board of Trustees Construction Approval
Construction Completion*

*September 2004
July 2006
October 2008*

“Ripple effects” of the project:

Functions in the basement and first floor of Ovalwood Hall will relocate to the new Administration and Classroom Building scheduled to be complete in August 2006.

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Mansfield Campus - Ovalwood Hall Renovation

315-2004-922

Requesting Agency(s): MANSFIELD CAMPUS

Location(s): OVALWOOD HALL

Gross Sq. Ft. 96,592 Age: 1966

Description: Major interior reconfiguration and restoration of Ovalwood Hall. Work includes heating, ventilation, plumbing and air conditioning systems replacement and upgrade to current codes and standards.

Project Team:

Facility Planner: Cheryl Christie
(christie.2@osu.edu)
Project Manager: Ruth Miller
(miller.2495@osu.edu)
Field Coordinator: Is Unassigned
Project Coordinator: Faye Bodyke
(bodyke.3@osu.edu)
Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)

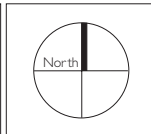
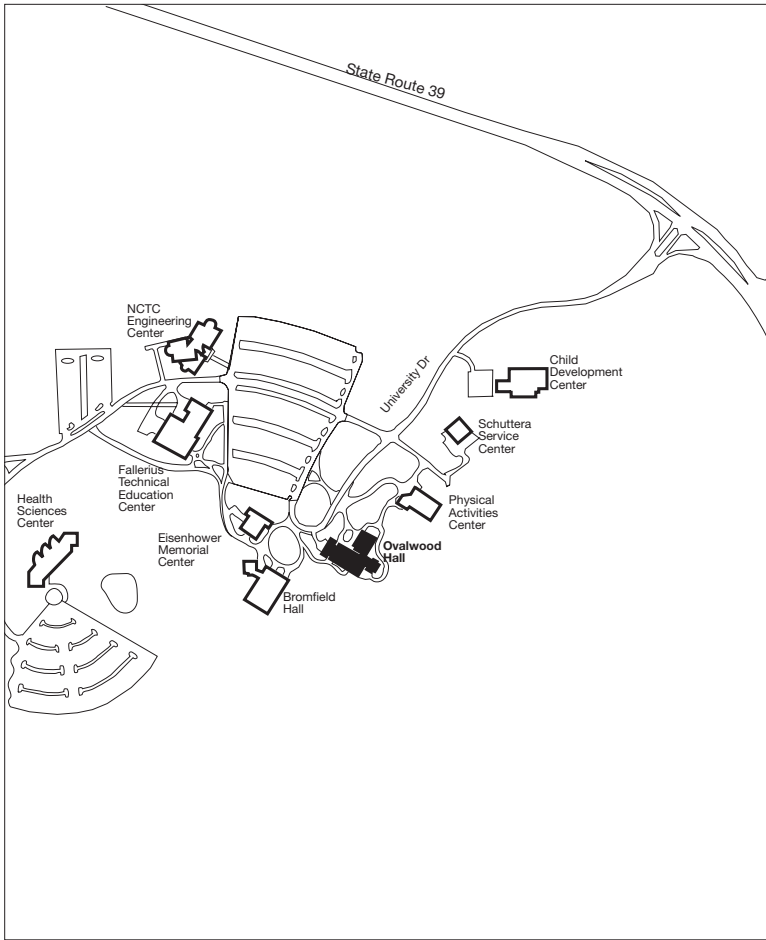
Project Information:

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Mansfield	\$533,536.00	\$533,536.00				
Future Univ. Bond	\$6,466,464.00	\$6,466,464.00				
Proceeds						
Total:	\$7,000,000.00	\$7,000,000.00				

Schedule:

	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$7,000,000 Project)	09/22/2004		
Construction			
Completion	10/01/2008		

Mansfield Campus - Ovalwood Hall Renovation



Office of Business and Finance
Office of Facilities Planning and Development

May 13, 2004

JOHN L. AND CHRISTINE WARNER STUDENT CENTER and LIBRARY
Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Project Name:

The John L. and Christine Warner Student Center and Library

Project Location:

The Ohio State University – Newark Campus / Central Ohio Technical College

The building will be located on the east side of the existing campus loop, situated between The John Gilbert Reese Center and Adena Hall.

Sponsoring/Requesting Units(s):

The Ohio State University – Newark Campus and Central Ohio Technical College

Project Description:

The Ohio State University-Newark and Central Ohio Technical College propose to construct a new library that will serve as the gateway to information services for the Campus. The building will also house a student center that will serve as the center of student life on the Campus and meet the emerging need for student life resources. The facility will offer a variety of programs, activities and services that will enrich and extend the community life of the Campus. It is expected that the project will contain approximately 81,200 gross square feet of new construction space.

How does this project advance the Academic Plan?

As the center of the campus community life, the student center/library will complement the academic program through an extensive variety of cultural, educational, social and recreational programs. The student center will provide food service and dining areas, student government meeting rooms, a suite for clubs and organizations, gathering, lounge and study spaces, a student health center, student activities, small scale recreation areas, possible retail space and administrative offices for student services, service learning and multi-cultural affairs and personal counselors. Currently, the existing 1978 dining hall is utilized for all student programming. and is inadequate to meet student needs.

Preliminary Project Cost Estimate: \$17,500,000

Proposed Funding Sources:

- Lead Gift of John L. and Christine Warner
\$4,250,000.00
- University Bonds/Financing
\$6,444,000.00
- Newark Campus Development Fund
\$2,500,000.00
- State Appropriations - Central Ohio Technical College
\$ 720,000.00

- State Appropriations- The Ohio State University Newark
\$1,070,000.00
- The Ohio State University – Newark Local Funds
\$2,550,000.00

Outstanding Funding Issues:

One of the major gifts making the Student Center and Library possible is a charitable trusts funded with interests in the Christine Warner Family Limited Partnership providing future annual income streams that can be utilized to pay debt service for construction. The project will need approximately \$5 million of long term financing to complete the construction, the need varying based on the timeline of the building. Several alternatives for this financing are currently being explored. Construction will need to be authorized by the Board of Trustees.

Timing Issues:

*Arch/Engr Approval B/T
July 2004
Bidding Approval B/T
February 2006
Complete Construction
December 2007*

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Newark Campus - Warner Student Center and Library

315-2004-930

Requesting Agency(s): NEWARK CAMPUS

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: Construct a new library that will serve as the gateway to information services for the campus. The building will also house a student center that will serve as the center of student life on the campus and meet the emerging need for student life resources.

Project Team:

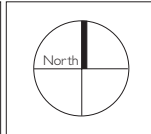
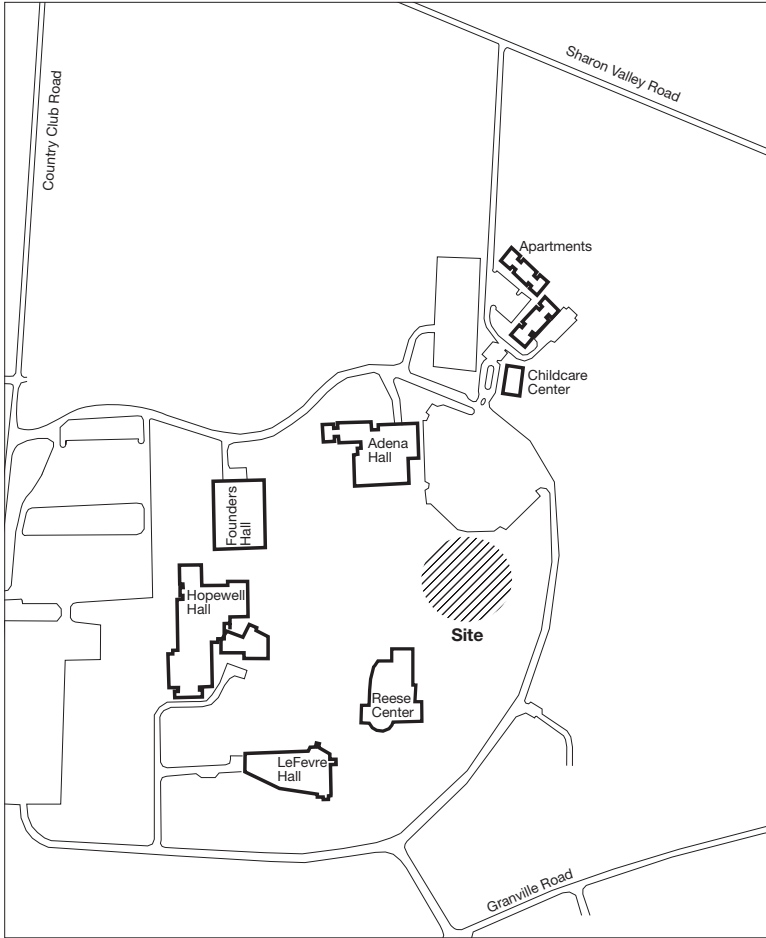
Facility Planner: Teresa Yu
(yu.195@osu.edu)
Project Manager: Craig Henry
(henry.194@osu.edu)
Field Coordinator: Is Unassigned
Project Coordinator: Curtiss Ashley
(ashley.6@osu.edu)
Project Assistant: Andrea Thimmes
(thimmes.5@osu.edu)

Project Information:

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Development-Newark	\$6,750,000.00	\$6,750,000.00				
General Funds-Newark	\$2,550,000.00	\$2,550,000.00				
Future Univ. Bond	\$6,444,000.00	\$6,444,000.00				
Proceeds						
Future Capital	\$1,070,000.00	\$1,070,000.00				
Appropriations						
Central Ohio Technical College - State	\$720,000.00	\$720,000.00				
Total:	\$17,534,000.00	\$17,534,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$17,534,000 Project)	09/22/2004		
Construction			
Completion	12/01/2007		

Newark Campus - Warner Student Center and Library



Office of Business and Finance
Office of Facilities Planning and Development

August 31, 2004

NORTH DOAN HALL – DIGESTIVE DISEASE CENTER
2ND FLOOR INFILL AND ENDOSCOPY
Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

University Hospital

How does this project advance the Academic Plan?

As a teaching Hospital, the medical center serves both the academic interests of the University as well as the community. It is vital that the facilities remain current in the latest medical and technological advances. The additional space will expand our ability to serve the academic component and provide state-of-the-art facilities for patient care offered to the surrounding community.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

In support of the recruitment of a Division Chief for Digestive Diseases, University Hospital will renovate the existing 2nd floor of North Doan Hall and 2nd floor "infill" of Doan Hall to accommodate approximately 25,700 gross square feet for the construction of 17 procedure rooms and a 46 bed PPRC.

Preliminary Cost Estimate:

\$8,200,000

Proposed Funding Source:

University Hospital

Outstanding Funding Issues:

Business plan must be finalized prior to construction approval.

Timing Issues:

Tied to recruitment package.

"Ripple effects" of the project:

none

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



**North Doan Hall - Digestive Disease Center
2nd Floor Infill and Endoscopy
315-2001-911-9**

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): DOAN HALL, CHARLES AUSTIN

Gross Sq. Ft. 669,869 **Age:** 1951

Description: Renovation of the 2nd floor infill and north wing of Doan hall for the endoscopy procedure rooms, prep and recovery, support space and offices.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Manager: Richard Van Deusen
(van-deusen.2@osu.edu)

Field Coordinator: Is Unassigned

Project Coordinator: Curt Handschug
(handschug.1@osu.edu)

Project Assistant: Patricia Berger
(berger.58@osu.edu)

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxillaries-University Hospitals	\$8,200,000.00	\$8,200,000.00				
Total:	\$8,200,000.00	\$8,200,000.00				

NORTH DOAN HALL - NON CLINICAL BUILDING
Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

University Hospital

How does this project advance the Academic Plan?

As a teaching Hospital, the medical center serves both the academic interests of the University as well as the community. It is vital that the facilities remain current in the latest medical and technological advances. The additional space will expand our ability to serve the academic component and provide state-of-the-art facilities for patient care offered to the surrounding community.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

In support of the recruitment of a Division Chief for Digestive Diseases, University Hospital will demolish the two story portion of North Doan Hall and replace it with a five story non-clinical building (36,000 gross square feet) to accommodate Digestive Diseases faculty offices on the second floor. The remainder of the building would provide space for University Hospital to reorganize and centralize other non-clinical programs.

Preliminary Cost Estimate:

\$11,300,000

Proposed Funding Source:

University Hospitals

Outstanding Funding Issues:

Business plan must be finalized prior to construction approval.

Timing Issues:

Tied to recruitment package.

“Ripple effects” of the project:

none

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



North Doan Hall - Non-Clinical Building

315-2004-914

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): DOAN HALL, CHARLES AUSTIN

Gross Sq. Ft. 669,869 **Age:** 1951

Description: This project includes the demolition of the two story North Wing of Doan and replace it with a five story office structure. These additional spaces will provide state-of-the-art facilities for patient care.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Manager: Richard Van Deusen
(van-deusen.2@osu.edu)

Field Coordinator: Is Unassigned

Project Coordinator: Curtiss Ashley
(ashley.6@osu.edu)

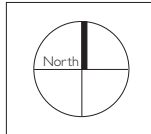
Project Assistant: Andrea Thimmes
(thimmes.5@osu.edu)

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University Hospitals	\$11,300,000.00	\$11,300,000.00				
Total:	\$11,300,000.00	\$11,300,000.00				

Schedule:

	Projected	Revised	Actual
Planning Arch/Engr Approved by B/T	05/07/2004	09/15/2004	

North Doan Hall - Non-Clinical Building



Office of Business and Finance
Office of Facilities Planning and Development

September 13, 2004

ST. JOHN ARENA AND CONVERSE HALL PARKING LOT RENOVATION
Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Transportation and Parking

How does this project advance the Academic Plan?

The Lane Avenue Bridge replacement and road-widening project created a temporary loss of some of the available parking spaces in the north St John lot. As these projects are completed, it is apparent that these lots are in need of repair. The current condition of these parking lots as well as opportunity to increase available parking spaces, improve traffic flow and increase security dictated the proposed improvements to these lots to be a priority.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Improve parking lots north of St John Arena and on the east and west sides of Converse Hall with new curbs, new asphalt, regrading, new storm drainage and new lighting. Restripe and reorient lanes to improve pedestrian movement and increase available spaces.

Preliminary Cost Estimate:

\$ 1,600,000 - \$2,000,000

Proposed Funding Source:

Various

Outstanding Funding Issues:

Business plan needs to be finalized

Timing Issues:

*Design Services Completion – January, 2005
Construction Completion – Summer, 2005*

“Ripple effects” of the project:

none

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



St John Arena & Converse Hall Parking Lot Renovation

315-2004-931

Requesting Agency(s): TRANSPORTATION & PARKING SERVICES

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: Improve parking lots north of St John Arena and on the east and west sides of Converse Hall with new curbs, new asphalt, regrading, new storm drainage and and new lighting. Restripe and reorient lanes to improve pedestrian movement and increase available spaces.

Project Team:

Facility Planner: Is Unassigned
 Project Manager: Is Unassigned
 Field Coordinator: Is Unassigned
 Project Coordinator: Is Unassigned
 Project Assistant: Is Unassigned

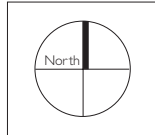
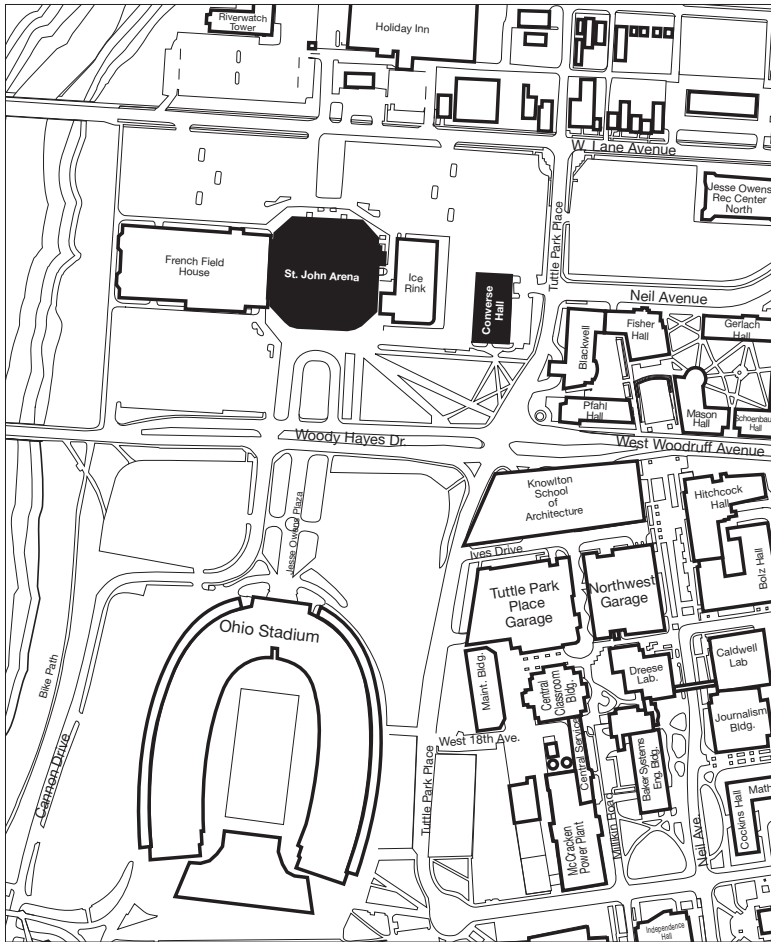
Project Information:

Preliminary project budget \$1,600,000 - \$2,000,000

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-Student Affairs	\$300,000.00	\$300,000.00				
Auxiliaries-Athletics	\$300,000.00	\$300,000.00				
Auxiliaries-Trans. & Parking	\$500,000.00	\$500,000.00				
Central Funding	\$300,000.00	\$300,000.00				
Repair & Renovation	\$200,000.00	\$200,000.00				
Fiscal Yr 2005						
Total:	\$1,600,000.00	\$1,600,000.00				

Schedule:	Projected	Revised	Actual
Planning Arch/Engr Approved by B/T (\$1.6M - \$2.0M Project)	09/22/2004		

St. John Arena & Converse Hall Parking Lot Renovation



Office of Business and Finance
Office of Facilities Planning and Development

September 14, 2004



Baker Systems - Elevator Modernization

315-2003-937

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): BAKER SYSTEMS ENGINEERING, DAVID F

Gross Sq. Ft. 115,361 Age: 1968

Description: Upgrade the three elevators in Baker Systems, which were installed in 1968 to today's codes and standards. The modernization would eliminate the motor generator and relay logic and replace it with variable voltage, variable frequency AC machine and drive. The elevator cab would be upgraded with new plastic laminated wall panels, egg crate ceiling, vinyl tile floor and new car operating panel at handicapped height. The present door operator tracks, hangers and rollers would be replaced and all hoistway doors would be fitted with unlocking devices. The elevator machine room electrical service would need to be upgraded and air conditioned.

Project Team:

Project information:

Facility Planner: Is Unassigned

Project Manager: Sam Alabi
(alabi.1@osu.edu)

Field Coordinator: Colin McBride
(mcbride.62@osu.edu)

Project Coordinator: Karen Cogley
(cogley.1@osu.edu)

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$375,000.00	\$410,000.00				
Total:	\$375,000.00	\$410,000.00				

Schedule:

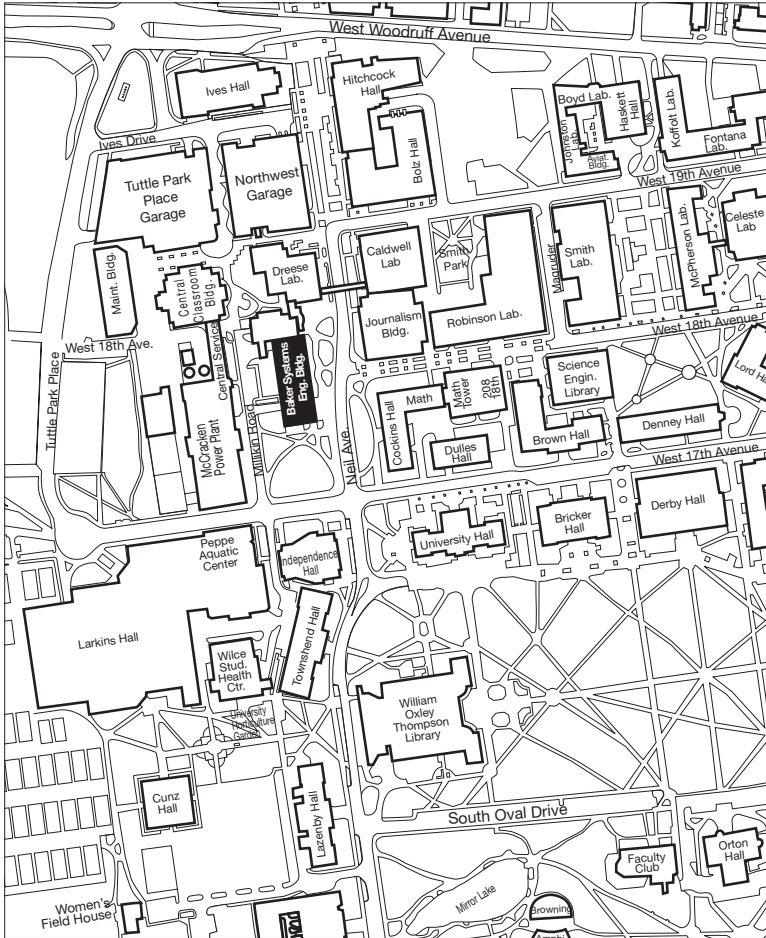
Projected

Revised

Actual

Planning			
Arch/Engr Advertisement (ohio register #116)			04/01/2003
Arch/Engr Approved by B/T (\$375,000 Project)	04/04/2003		04/04/2003
Bidding Approved B/T (\$410,000 Project)	09/22/2004		
Design			
Arch/Engr Contract	11/15/2003	11/03/2003	11/03/2003
Schematic Design Approval	12/01/2003		02/15/2004
Construction Document Approval	02/01/2004	08/30/2004	
Bidding			
Bid Opening	04/01/2004	10/21/2004	
Construction			
Award of Contracts	01/09/2005		
Construction Start	06/01/2004	01/10/2005	
Completion	09/01/2004	03/15/2005	

Baker Systems - Elevator Modernization



Office of Business and Finance Office of Facilities Planning and Development	March 13, 2003
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Doan Hall - Renovation Suite 236 PET/CT Unit

315-2003-959

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): DOAN HALL, CHARLES AUSTIN

Gross Sq. Ft. 669,869 **Age:** 1951

Description: Renovate a section of the Radiology files room 236, in Doan Hall to install a PET/CT imaging unit.

Project Team:

Facility Planner: Is Unassigned

Project Manager: Richard Morse
(morse.68@osu.edu)

Field Coordinator: Is Unassigned

Project Coordinator: Curtiss Ashley
(ashley.6@osu.edu)

Project Assistant: Andrea Thimmes
(thimmes.5@osu.edu)

Project information:

Formerly 50700-R034555

Preliminary project budget: \$372,874

Project increased from 1,000 square feet to approximately 2,000 square feet during the design phase.

Project increased due to additional infrastructure improvements that were needed to meet current codes and standards.

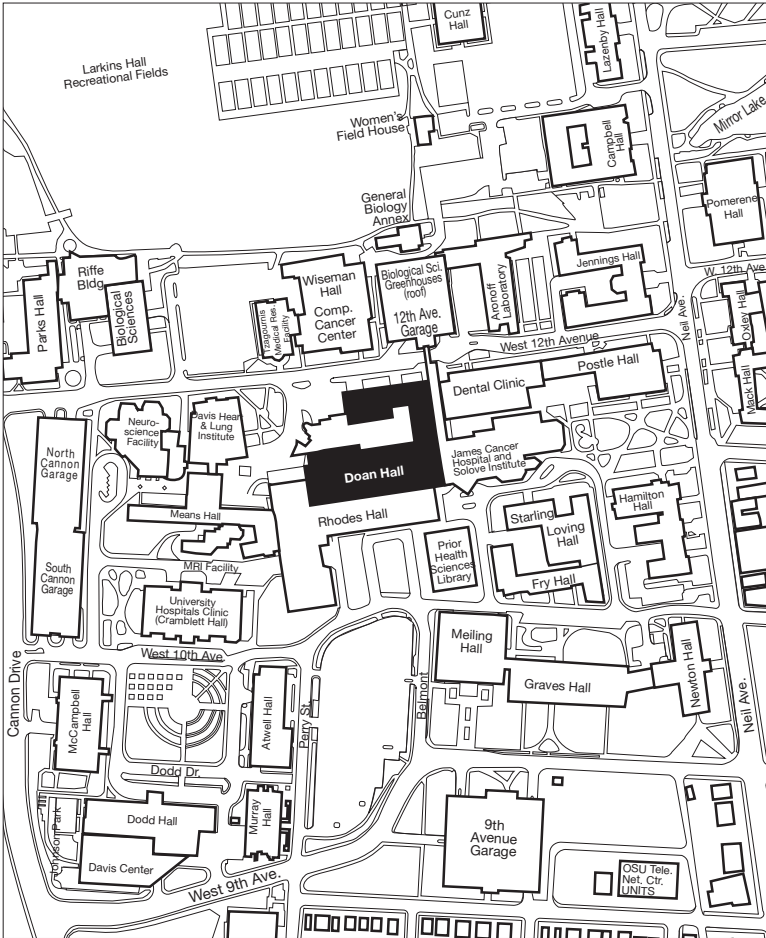
Source of Funds:

	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-James Cancer	\$372,874.00	\$797,829.00				
Hospital						
Total:	\$372,874.00	\$797,829.00				

Schedule:

	Projected	Revised	Actual
Planning			
Arch/Engr Advertisement			05/12/2003
Bidding Approved B/T (\$534,300 project)	07/09/2004		07/09/2004
Bidding Approved B/T (\$797,829 project)	09/22/2004		
Design			
Arch/Engr Contract (\$372,874 project)	05/30/2003	07/15/2003	05/30/2003
Schematic Design Approval	07/21/2003	11/03/2003	11/03/2003
Design Dev Document Approval	08/11/2003	02/12/2004	02/12/2004
Construction Document Approval	09/08/2003	05/24/2004	05/24/2004
Construction			
Construction Start	10/15/2003	09/22/2004	
Completion	03/15/2004	12/31/2004	

Doan Hall - Renovation Suite 236 PET/CT Unit



Office of Business and Finance
Office of Facilities Planning and Development

May 20, 2003



Hopkins Hall - Elevator Replacement
315-2003-911

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): HOPKINS HALL, JAMES R.

Gross Sq. Ft. 108,554 **Age:** 1959

Description: The elevator in Hopkins Hall was installed in the late 1950s and is unreliable. The present elevator shaft is large enough to accommodate a modern elevator with fire service, ADA features and at least 250 feet per minute or greater speed. The elevator machine room will all require modification to upgrade the electrical service and install air conditioning.

Project Team:

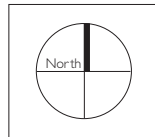
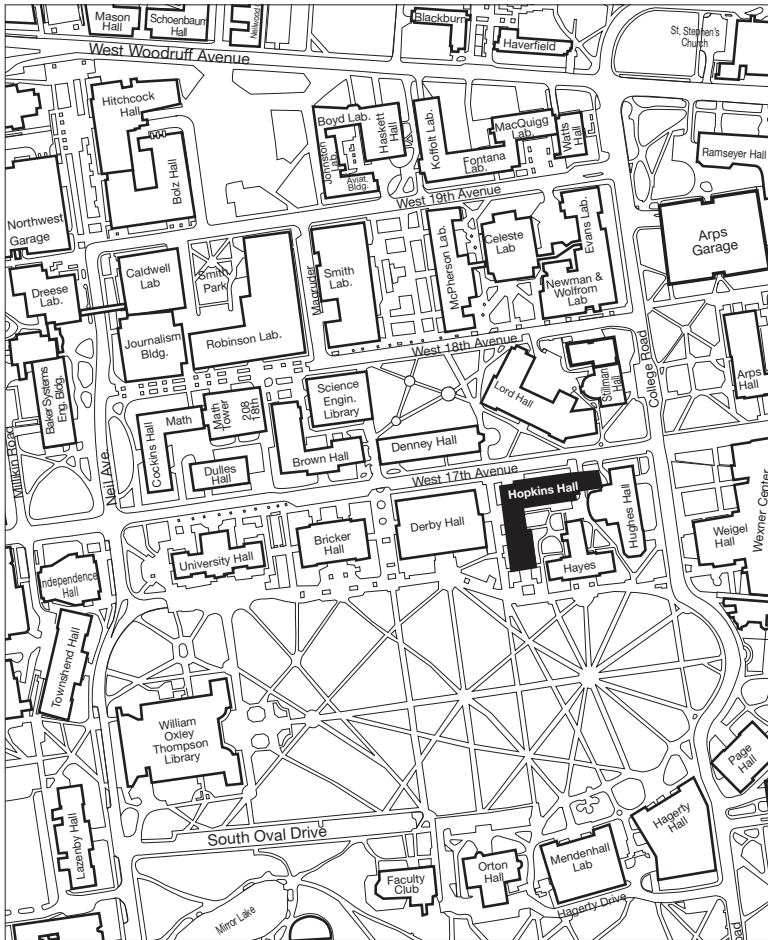
Project Information:

Facility Planner: Is Unassigned
Project Manager: Sam Alabi (alabi.1@osu.edu)
Field Coordinator: Mark Scott
Project Coordinator: Karen Cogley (cogley.1@osu.edu)
Project Assistant: Lisa Baldwin (baldwin.10@osu.edu)

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$200,000.00	\$200,000.00				
Total:	\$200,000.00	\$200,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$200,000 Project)	04/04/2003		04/04/2003
Bidding Approved B/T (\$200,000 Project)	09/22/2004		
Design			
Arch/Engr Contract	10/20/2003		11/17/2003
Design Dev Document Approval	12/01/2003	02/27/2004	02/27/2004
Construction Document Approval	02/01/2004	07/29/2004	07/30/2004
Bidding			
Bid Opening	04/01/2004	10/28/2004	
Construction			
Construction Start	06/01/2004	12/08/2004	
Completion	10/30/2004	05/30/2005	

Hopkins Hall - Elevator Replacement



Office of Business and Finance
Office of Facilities Planning and Development

March 13, 2003



Parks Hall - Chiller Replacement
315-2003-922

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): PARKS HALL, LLOYD M.

Gross Sq. Ft. 119,366 **Age:** 1967

Description: Replace the existing chiller in Parks Hall. This project will include proper sizing of cooling loads, configuration modifications, circulating equipment and associated piping.

Project Team:

Facility Planner: Is Unassigned

Project Manager: Barry Mazik

Field Coordinator: Colin McBride
(mcbride.62@osu.edu)

Project Coordinator: Karen Cogley
(cogley.1@osu.edu)

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

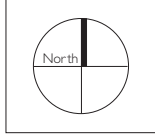
Project Information:

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$800,000.00	\$700,000.00				
Total:	\$800,000.00	\$700,000.00				

Schedule:

	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$800,000 project)	06/06/2003		05/30/2003
Bidding Approved B/T (\$700,000 project)	05/07/2004	09/22/2004	
Design			
Schematic Design Approval	04/30/2004	04/01/2004	04/01/2004
Design Dev Document Approval	11/01/2003	04/01/2004	04/01/2004
Arch/Engr Contract	07/30/2003	04/30/2004	05/03/2004
Construction Document Approval	03/01/2004	06/01/2004	06/09/2004
Bidding			
Bid Opening	06/01/2004	10/21/2004	
Construction			
Award of Contracts	12/06/2004		
Construction Start	11/15/2004	01/10/2005	
Completion	03/30/2005	04/15/2005	

Parks Hall - Chiller Replacement



Office of Business and Finance
Office of Facilities Planning and Development

May 10, 2003



Postle Hall - Fire Alarm Replacement
315-2003-915

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): POSTLE HALL, WENDELL D.

Gross Sq. Ft. 278,169 **Age:** 1950

Description: Replacement of main panel and devices as necessary to meet code and provide coverage.

Project Team:

Project information:

Facility Planner: Is Unassigned

Project Manager: Bob WaJnrby
(wajnrby.1@osu.edu)

Field Coordinator: Colin McBride
(mcbride.62@osu.edu)

Project Coordinator: Karen Cogley
(cogley.1@osu.edu)

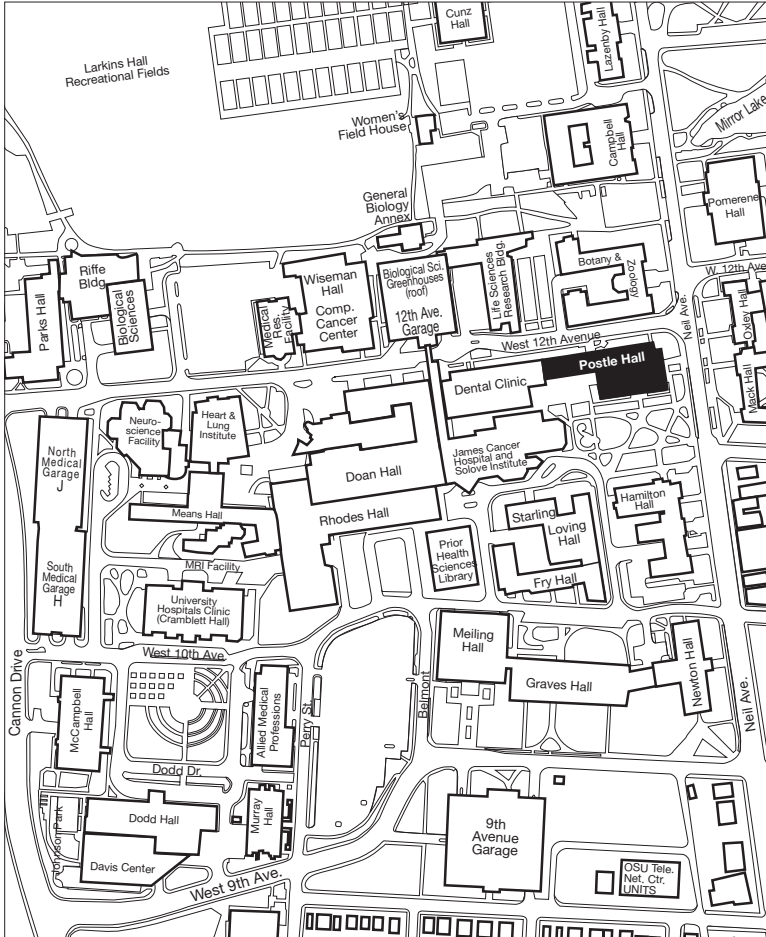
Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

Project increased to meet current codes

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB640 Basic Renovations	\$13,000.00	\$130,000.00				
HB675 Columbus Basic Renovation	\$300,000.00	\$400,000.00				
Total:	\$313,000.00	\$530,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Advertisement	04/04/2003		04/01/2003
Arch/Engr Approved by B/T (\$300,000 Project)	04/04/2003		04/04/2003
Bidding Approved B/T (\$530,000 Project)	09/22/2004		
Design			
Schematic Design Approval	05/03/2003	05/04/2004	05/04/2004
Design Dev Document Approval	06/16/2003	04/27/2004	05/04/2004
Construction Document Approval	08/16/2003	08/17/2004	08/05/2004
Bidding			
Bid Opening	11/14/2003	11/16/2004	
Construction			
Construction Start	01/13/2004	01/12/2005	
Completion	05/12/2004	05/13/2005	
Report of Award to B/T	12/03/2004	12/06/2005	

Postle Hall - Fire Alarm Replacement



Office of Business and Finance
Office of Facilities Planning and Development

March 13, 2003



West Campus Chilled Water Upgrade & Scott Hall Chiller Repl.

315-2003-924

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): RIGHTMIRE HALL, GEORGE W.

Gross Sq. Ft. 97,671 **Age:** 1969

Location(s): PRESSEY HALL, SIDNEY L.

Gross Sq. Ft. 77,825 **Age:** 1971

Location(s): SCOTT HALL, WILLIAM H

Gross Sq. Ft. 58,109 **Age:** 1972

Description: This project will improve the air-conditioning and provide redundancy to buildings connected to the West Campus chilled water loop. It will also replace the chiller in Scott Hall.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Manager: Larry Hunt
(hunt.183@osu.edu)

Field Coordinator: Mark Hartmann
(hartmann.16@osu.edu)

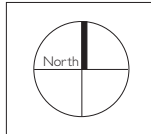
Project Coordinator: Karen Cogley
(cogley.1@osu.edu)

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$1,000,000.00	\$1,000,000.00				
Total:	\$1,000,000.00	\$1,000,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Advertisement			04/01/2003
Arch/Engr Approved by B/T (\$1,000,000 Project)	04/04/2003		04/04/2003
Bidding Approved B/T (\$1,000,000 Project)	09/22/2004		
Design			
Arch/Engr Contract (approved copy to A/E)			01/16/2004
Design Dev Document Approval	07/15/2003		04/14/2004
Construction Document Approval	10/01/2003		06/18/2004
Bidding			
Bid Opening	12/01/2003	10/21/2004	
Construction			
Construction Start	03/01/2004	01/11/2005	
Completion	07/01/2004	04/15/2005	

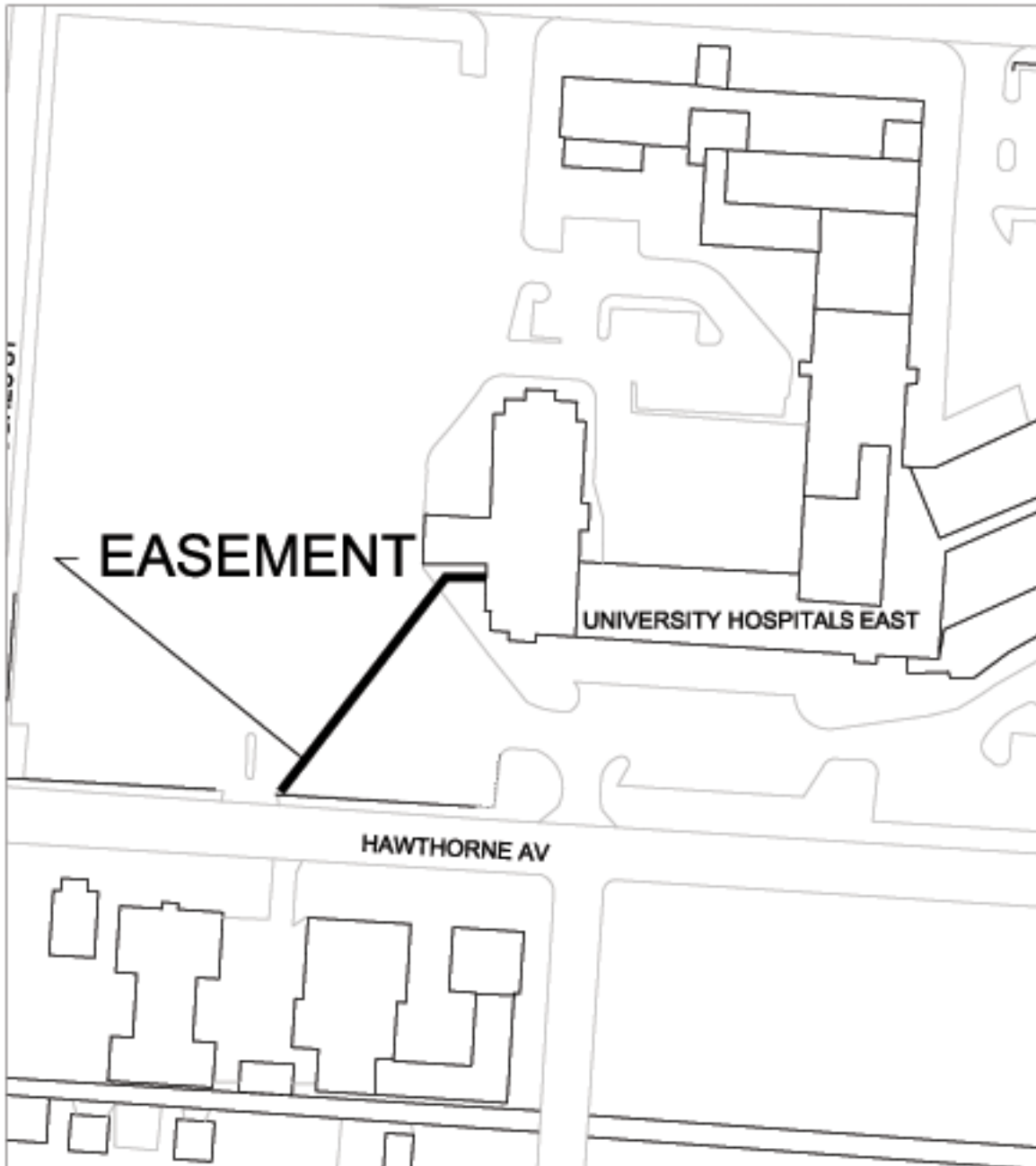
West Campus Chilled Water Upgrade & Scott Hall Chilled Water Replacement



Office of Business and Finance
Office of Facilities Planning and Development

March 13, 2003

**Electrical Easement
Columbus Southern Power Company
for
The Ohio State University Hospitals East**



No True Scale

Office of Business and Finance

September 22, 2004



Map Provided by University Engineer's Office

**Fiscal Affairs Committee
OSU Board of Trustees
September 22, 2004**

TOPIC:

Final approval of the FY 2005 budget

CONTEXT:

At the July 7 Board of Trustees meeting the FY 2005 operating budget was approved for the Columbus Campus. However, due to timing issues, four items were carried over for final action at the September Board meeting: total budget for all campuses, regional campus budgets, funding source for sponsored dependent benefits and acceptance of budget books.

RECOMMENDATIONS:

- Budget for All Funds, All Campuses (Attachment A)
- Recommended operating budgets for regional campuses (Attachment B)
- Funding sources for sponsored benefits (Attachment C)
- Current fund budget books (Attachment D)
- Summary (Attachment E)

CONSIDERATONS:

- Is there any other information needed?
- What happens next?

REQUESTED OF FISCAL AFFAIRS:

Recommendation to the Board of Trustees for final approval of the FY 2005 budget.

Office of Academic Affairs
Office of Business and Finance
September 2, 2004

**FY 2005 Operating Budget Summary
All Funds, All Campuses
(in millions)**

Fund Group	Projected Resources	Projected Expenditures
General Funds	\$1,013	\$1,013
Earnings	1,374	1,352
Restricted	676	676
Grand Total	3,063	3,041

General Funds – includes student fees and State Share of Instruction

Earnings – includes Health System and other self-funded business units such as Athletics and Food, Housing and Event Centers.

Restricted – includes both federal and privately sponsored research and donor restricted gifts.

All Campuses – includes Columbus, all four regional campuses, ATI and OARDC.

ATTACHMENT A-1

**FY 2005 Operating Budget
All Campuses, All Funds
(in millions)**

Summary of Resources by Categories

- Largest component of the budget is the Health System (34.5% of projected resources).
- Second largest component is student tuition (19.1%)
- State support accounts for 15.4% of total resources. (State support accounted for 24.8% of total resources in 1995).

NOTE: State support accounts for 34% of Columbus Campus instructional (General Fund) income in FY 2005, down from 50% in FY 1995.

Resource Category	Amount (millions)	Percent of Total
Health System	\$1,058	34.5%
Student Tuition and Fees	584	19.1%
State Support	473	15.4%
Federal Grants & Contracts	311	10.2%
Sales and Services ¹	303	9.9%
Private Grants & Contracts	256	8.4%
All Other ²	78	2.5%
Total	\$3,063	100%

NOTE: For detail see p. II.7 of the Current Funds Budget Book.

¹ Includes both auxiliary sales and services (Housing, Athletics) and departmental services (e.g., Executive Education and Continuing Education).

² Includes interest earnings, endowment income.

**FY 2005 Operating Budget
All Campuses, All Funds
(in millions)**

Summary of Growth by Category

- The Health System accounts for 51.5% of revenue growth from FY 2004 - FY 2005.
- Student tuition accounts for 19.1%.
- State support accounts for less than 0.1%.

Resource Category	Amount (millions)	Percent of Total
Health System	\$167	51.5%
Student Tuition and Fees	62	19.1%
State Support	.02	0.1%
Federal Grants & Contracts	42	13.0%
Sales and Services ³	18	5.6%
Private Grants & Contracts	23	7.1%
All Other ⁴	12	3.6%
Total	\$324	100.0%

ATTACHMENT B

FY 2005 Operating Budgets for Regional Campuses, ATI and OARDC

Recommended FY 2005 operating budgets for regional campuses are as follows:

Campus	Total Resources (millions)	Total Expenses (millions)	FY 2004 Current Equity Balance (millions)
Lima	\$14.0	\$14.0	\$4.0
Mansfield	13.9	14.1	9.0
Marion	14.9	14.9	7.4
Newark	18.7	18.7	8.1
ATI	12.3	12.5	2.0
OARDC	47.0	47.0	26.4

COMMENTARY:

Marion and Newark have experienced steady enrollment growth. Lima and Mansfield have experienced slight enrollment declines and are aligning budgets accordingly. An enrollment management study is currently underway for all four regional campuses and is scheduled for completion in December 2004.

Agricultural Technical Institute has had an 18% enrollment decline since Fall 2000. Fund equity is below desired level. A financial stabilization plan currently is being developed.

Note: Additional information on regional campus budgets is included in Section II pages 4 to 9 of Current Funds Budget Book.

ATTACHMENT C

³ Includes auxiliaries (e.g., Housing, Athletics) and departmental services (e.g., Executive Education).

⁴ Includes investment earnings, endowment income and misc. income.

Funding of Sponsored Dependent Benefits

At the July 7 Board meeting, the Board of Trustees approved the administration's recommendation that the University fund sponsored dependent benefits for faculty and staff on the same basis as all other benefits. The Board resolution also required that non-appropriated funds be used to fund these benefits.

Estimated cost in FY 05 = \$890,000. Recommended funding source for FY 2005 is as follows:

For Non-General Fund Units:

- Funded through self-generated revenues, excluding state appropriations.

Health Systems	\$290,000
All Other ¹	<u>\$260,000</u>
Sub Total	\$550,000

For General Fund Units:

- Interest from existing unrestricted gift balances earmarked for use at President's discretion: \$340,000

¹ All Other includes self-funded earnings units such as Athletics, Transportation and Parking and Food, Housing and Event Centers.

ATTACHMENT D

Acceptance of FY 2005 Current Use Budget

Current Fund Budget Books are attached and include the following information:

- Board of Trustees Resolutions
- Budget in Brief
 - Priorities
 - Revenue Summary
 - Expense Summary
 - Multi-year commitments
 - Selected Definitions
- Budget highlights and allocations by fund and function for all campuses
- Government Support by source and campus
- Student Fee Information by source and campus
- Appendices

SUMMARY**A. FY 2005 Operating Budget is now complete**

1. February – FY 2004 budget vs. actual
2. March – Financial Benchmarks
3. April – Compensation Benchmarks
4. May – Tuition Recommendations
5. June – Other Fee Recommendations
6. July – Budget Detail, Columbus Campus
7. September – Budget Detail, All Campuses

B. Summary of Strategic Objectives

1. This budget supports the priorities of the Academic Plan/Leadership Agenda
2. Revenue assumptions are reasonable and in compliance with state law.
3. Expenses are fully funded and within available revenues.
4. Financial stewardship is strengthened:
 - a. New state and federal mandates are funded.
 - b. Cost reduction initiatives are advanced.
 - c. Financial goals are met.
5. Next steps and strategic issues for the next budget cycle have been identified.

**AMENDED
FY 2004-05 COLUMBUS CAMPUS UNDERGRADUATE TUITION**

Synopsis: Instructional, general, and nonresident fees at the Columbus Campus for Fiscal Year 2004-05, effective Autumn Quarter 2004, are proposed.

WHEREAS the Board of Trustees of The Ohio State University supports the University's continued implementation of the Academic Plan and its initiatives to meet the needs of Ohio State students; and

WHEREAS undergraduate instructional and general fees are subject to a 12.9% fee cap and no more than a 9.0% increase can be approved in a single vote of the Board of Trustees; and

WHEREAS consultations have taken place within the University to determine the appropriate instructional fee increases for undergraduate, graduate and professional programs; and

WHEREAS phase one of the Larkins Student Recreation Center project is scheduled to open before Autumn Quarter and is to be supported by a separate student fee on all students that falls outside the undergraduate fee cap; and

WHEREAS consultations continue to take place with regard to the regional campuses and ATI as well as specific undergraduate program fees on the Columbus Campus and those fees will be presented at the June 4, 2004 Board of Trustees meeting:

NOW THEREFORE

BE IT RESOLVED, That instructional and general fees for all tiers of undergraduates enrolled at the Columbus Campus be increased 9.0% with the intention of a further 3.9% increase in a second vote at the June 4, 2004 Board meeting; and

BE IT FURTHER RESOLVED, That instructional and general fees for most graduate students will increase 12.9% and that the instructional fees for the professional colleges and selected graduate programs will increase between 6.0% and 14.5% in accordance with the attached schedule; and

BE IT FURTHER RESOLVED, That the nonresident surcharge for all programs be increased by 6.0%; and

BE IT FURTHER RESOLVED, That a flat \$12 per quarter recreation fee be implemented for all students taking more than three credit hours to support phase one of the new Larkins Recreation Center; and

BE IT FURTHER RESOLVED, That all of these increases shall be effective Autumn Quarter 2004.

FY 2004-2005 COLUMBUS CAMPUS UNDERGRADUATE TUITION

Synopsis: Undergraduate instructional and general fees at the Columbus Campus for Fiscal Year 2004-05 are proposed, effective Autumn Quarter 2004.

WHEREAS consultations have taken place within the University to determine the appropriate instructional and general fee increases for undergraduates; and

WHEREAS undergraduate and instructional fees at the Columbus Campus are subject to a 12.9% fee cap and no more than a 9.0% increase can be approved in a single vote of the Board of Trustees; and

WHEREAS the Board of Trustees approved a 9.0% increase in undergraduate instructional and general fees at the May 7 meeting, with the intention of a subsequent vote to increase tuition to the full 12.9%; and

WHEREAS increases in undergraduate instructional and general fees above 9.0% are to be earmarked for student financial aid and student technology; and

WHEREAS certain undergraduate curriculums are proposing additional fees that fall outside the fee caps:

NOW THEREFORE

BE IT RESOLVED, That instructional and general fees for all tiers of undergraduates enrolled at the Columbus Campus be increased an additional 3.9% (total increase of 12.9%) in accordance with the attached schedule; and

BE IT FURTHER RESOLVED, That the additional 3.9% increase will be earmarked for undergraduate student financial aid and student technology; and

BE IT FURTHER RESOLVED, That additional undergraduate program/technology fees be approved for declared majors in the School of Music (increase from \$50 to \$100), the Fisher College of Business (increase from \$97 to \$162), the College of Nursing (increase from \$50 to \$100) and students in excess of 90 credit hours in the College of Biological Sciences (new fee of \$50); and

BE IT FURTHER RESOLVED, That all of these increases shall be effective Autumn Quarter 2004.

INTERIM BUDGET FOR FY 2004-2005

Synopsis: Authorization to make expenditures in FY 2004-2005 is proposed.

WHEREAS having approved student fee increases for FY 2004-2005, the University has not yet finalized its operating budget for FY 2004-2005; and

WHEREAS it is necessary to continue University expenditures, including payment of faculty and staff, prior to the time that the Current Funds Budget for 2004-2005 is developed and adopted:

NOW THEREFORE

BE IT RESOLVED, That the University be authorized to make expenditures consistent with the level of resources approved for FY 2003-2004, pending the adoption of the Current Funds Budget for FY 2004-2005 at the July Board of Trustees meeting.

FY 2004-2005 ATI AND REGIONAL CAMPUS TUITION

Synopsis: Instructional and general fees for all students enrolled at ATI and the Regional Campuses for Fiscal Year 2004-05 are proposed, effective Autumn Quarter 2004.

WHEREAS undergraduate instructional and general fees are subject to a 9.9% fee cap before adjustments for the Access Challenge offset and no more than a 6.0% increase can be approved in a single vote of the Board of Trustees; and

WHEREAS consultations have taken place among ATI and the Regional Campuses to determine the appropriate instructional and general fee increases for all students:

NOW THEREFORE

BE IT RESOLVED, That instructional and general fees for both upper and lower division undergraduates enrolled at the Agricultural Technical Institute and the Regional Campuses be increased 6.0%, before adjustments for Access Challenge offsets, with the intention of a further 3.9% increase in a second vote at the July 9 meeting; and

BE IT FURTHER RESOLVED, That instructional and general fees for graduate students at ATI and the Regional Campuses be increased 12.9%; and

BE IT FURTHER RESOLVED, That the nonresident surcharge for all ATI and Regional Campus students be increased by 6.0%; and

BE IT FURTHER RESOLVED, That all of these increases shall be effective Autumn Quarter 2004.

**FY 2005 ATI AND REGIONAL CAMPUS TUITION
SECOND VOTE**

Synopsis: Instructional and general fees for undergraduate students enrolled at ATI and the Regional Campuses for Fiscal Year 2005 are proposed, effective Autumn Quarter 2004.

WHEREAS consultations have taken place among ATI and the Regional Campuses to determine the appropriate instructional and general fee increases for all students; and

WHEREAS undergraduate instructional and general fees are subject to a 9.9% fee cap before adjustments for the Access Challenge offset and no more than a 6.0% increases can be approved in a single vote of the Board of Trustees; and

WHEREAS the Board of Trustees approved a 6.0% increase in undergraduate instructional and general fees, before adjustments for Access Challenge offsets, at ATI and the Regional Campuses at the June 4th meeting, with the intention of a subsequent vote to increase tuition to the full 9.9%; and

WHEREAS increases in undergraduate instructional and general fees at the Agricultural Technical Institute and the Regional Campuses above 6.0% are to be earmarked for student financial aid and student technology:

NOW THEREFORE

BE IT RESOLVED, That instructional and general fees for both upper and lower division undergraduates enrolled at ATI and the Regional Campuses be increased an additional 3.9%, for a total of 9.9% before adjustments for Access Challenge; and

BE IT FURTHER RESOLVED, That the additional 3.9% increase will be earmarked for undergraduate student financial aid and student technology; and

BE IT FURTHER RESOLVED, That all of these increases shall be effective Autumn Quarter 2004.

APPROVAL OF COLUMBUS CAMPUS FISCAL YEAR 2005 CURRENT FUNDS BUDGET

Synopsis: Approval of the Current Funds Budget for Fiscal Year 2005 for the Columbus Campus is proposed.

WHEREAS the State budget for Fiscal Year 2005, including funding levels for State institutions of higher education, has been enacted; and

WHEREAS fee increases for the Columbus and Regional Campuses for FY 2005 have been previously approved by the Board of Trustees; and

WHEREAS other levels of resources and expenditures, including compensation, have been identified for the 2005 fiscal year; and

WHEREAS planning and consultation is underway at the Regional Campuses and ATI and their Fiscal Year 2005 budgets will be presented for approval at the September Board meeting; and

WHEREAS appropriate planning and consultation within the University has been accomplished with regard to the Columbus Campus budget, and the President now recommends approval of the Columbus Campus Fiscal Year 2005 budget:

NOW THEREFORE

BE IT RESOLVED, That the Columbus Campus Current Funds Budget for Fiscal Year 2005, as described in the accompanying text and tables, be approved, with authorization for the President to make expenditures within the projected income levels; and

BE IT FURTHER RESOLVED, That the Regional Campuses and ATI be authorized to make expenditures consistent with the level of resources approved for the Fiscal Year 2004, pending adoption of their Current Funds Budgets for Fiscal Year 2005.

APPROVAL OF FISCAL YEAR 2005 TOTAL UNIVERSITY CURRENT FUNDS BUDGET

Synopsis: Approval of the total University Current Funds Budget for Fiscal Year 2005 is proposed.

WHEREAS the State budget for Fiscal Years 2004 and 2005, including funding levels for State institutions of higher education, has been enacted; and

WHEREAS fee increases for the Columbus and Regional Campuses for FY 2005 have been approved at previous meetings of the Board of Trustees; and

WHEREAS other levels of resources and expenditures, including compensation, have been identified for FY 2005; and

WHEREAS the Columbus Campus budget was approved at the July 2004 meeting of the Board and appropriate planning and consultation with the regional campuses has been accomplished, and the President now recommends approval of the total University Fiscal Year 2005 budget:

NOW THEREFORE

BE IT RESOLVED, That the University's Current Funds Budget for Fiscal Year 2005, as described in the accompanying text and tables, be approved, with authorization for the President to make expenditures within the projected income levels.

I. Setting Budget Priorities

Academic Plan

Three and a half years ago the Board of Trustees approved the Academic Plan. This document defines six strategies and related initiatives that are necessary for The Ohio State University to become a truly great teaching and research university. Pursuing such an ambitious goal is important because top-tier research universities are essential to today's knowledge economy to ensure economic growth and social progress for the people and the regions they serve. Ohio lacks such a top-tier institution, but The Ohio State University is poised to fill that role.

The Six Core Strategies

- Build a World-Class Faculty.
- Develop Academic Programs that Define Ohio State as the Nation's Leading Public Land-Grant University.
- Enhance the Quality of the Teaching and Learning Environment.
- Enhance and Better Serve the Student Body.
- Create a Diverse University Community.
- Help Build Ohio's Future.

These strategies and their related initiatives serve as a roadmap for the university. However, almost since its inception, the Academic Plan had to be adapted to fiscal constraints brought about by a series of reductions in state funding.

In late spring 2001, the governor of Ohio reduced FY 2001 operating appropriations for higher education by 1%, for a total cut to OSU of \$4.5 million.

In FY 2002, the continued decline in the growth of state revenues resulted in the smallest increase in the university's state support in nine years. Before the close of FY 2002, the university's state support was reduced by an additional \$28 million; \$20 million from the Columbus campus State Share of Instruction (SSI), and over \$8 million from specific line-item appropriations and the regional campuses – reducing State Share of Instruction to 1999 levels.

State budget reductions in FY 2003 reduced the State Share of Instruction as well as most state-funded university line items by an additional 2.5% or \$11.1 million, which was absorbed by cash balances in FY 2003 and allocated as permanent reductions in the college and academic support unit budgets in FY 2004.

In March 2004, the governor issued an Executive Order both mandating state budget cuts for the remainder of FY 2004 and anticipating additional reductions in FY 2005 to

address a budget deficit caused by a shortfall in anticipated revenues. The State Share of Instruction was exempted from these cuts, but most other categories of state support for Ohio State were reduced by 4.0% in FY 2004 or \$3.5 million and were expected to be reduced by 6.3% or \$6.4 million below the FY 2005 appropriated amounts.

The ongoing cuts continue to constrain progress on the Academic Plan and have led the university to focus on the most critical elements. Four strategic principles were developed to guide the allocation of university resources for FY 2005:

- Resource allocations should support the Academic Plan/Leadership Agenda;
- Resource allocations should support the flow of discretionary resources to the colleges to carry out their missions;
- Resource allocations should enhance core support services;
- Resource allocations should advance financial stewardship.

Based on the state tuition caps and state appropriation levels, and taking into account subsequent planned FY 2005 reductions in state support, tuition was set at the May and June meetings of the Board of Trustees. Undergraduate student tuition increases effective Autumn Quarter were set at the university's cap of 12.9% plus a previously approved mandatory student recreation fee of \$12 per quarter. However, for the over 54% of the undergraduate resident students who receive some form of university financial aid the effective increase is less, and in some cases zero.

The university implemented tiered tuition increases in FY 2004, which meant that new undergraduate students starting in Summer Quarter 2003 or later paid higher tuition than students who started in spring 2003, who in turn paid higher tuition than students who were enrolled prior to summer 2002. The university will not be repeating the strategy of tiered increases (i.e., creating additional tiers) for FY 2005. However, the existing tiered tuition levels continue to result in various differential tuition levels depending on the undergraduate's date of enrollment.

Budget Context

At the beginning of the FY 2005 budget planning process, the university presented a financial benchmark report to its Board of Trustees. The report compares OSU's financial trends with eight benchmark institutions in a number of key areas.

The eight benchmark institutions include, in order of their relative rank: Michigan, UCLA, Wisconsin-Madison, Illinois-Urbana/Champaign, Washington, Texas-Austin, Minnesota-Twin Cities, and Arizona.¹

¹ In previous years, Penn State was also included among the benchmark institutions, but it was excluded from the analysis for FY 2002 due to vast differences in reporting on the Integrated Post Secondary Education Data System (IPEDS) of the U.S. Department of Education.

These eight institutions represent public higher-education institutions of the highest quality that most closely resemble Ohio State in organization and missions. The institutions represent Ohio State's peers and aspirational peers. Using the most recent audited financial data available, FY 2002, the financial comparisons follow:

Current funds revenues per FTE student at Ohio State are significantly (17%) below the average of our benchmark institutions. However, this represents a 9-percentage point improvement over FY 1996.

State support per student FTE at Ohio State (\$9,485) in FY 2002 is also less (13.7%) than the average of the benchmark institutions. State appropriations are the slowest growing revenue source: the average annual growth rate from 1992 to 2002 is 0.5% in real terms (2002 constant dollars).

While instructional expenditures per student FTE at Ohio State are 1% higher than the benchmark average, all non-instructional expenditures are 28.9% below the benchmark average.

The comparison of fees and tuition based on FY 2004 data shows:

Because of reductions in state support and an increased reliance on tuition and fees, average resident undergraduate tuition and fees at Ohio State are 11% above the benchmark in FY 2004.

Ohio State is ranked higher than any other Ohio public university in academic reputation. Yet, Ohio State's resident continuing undergraduate tuition and fees are 4.5% below the state average, while the newest student fees are just 5.6% above. This makes Ohio State an excellent value for students and taxpayers, but at the same time it also means Ohio State does not have the resources to match its competition in key academic and support areas.

The university will continue to develop benchmarking of key academic, demographic and administrative issues to inform decisions on budget prioritization.

FY 2005 Priorities

The FY 2005 budget process continues to be guided by the Academic Plan. The combination of a slowing state economy and increasing demand for other state services means state support to higher education will grow by less than .8%. The state is providing the Columbus campus with \$320 million in state support in FY 2005. (This figure includes State Share of Instruction and Success Challenge.) Total state support remains lower than FY 2000 levels.

Recognizing the difficult financial challenges facing Ohio State, the governor and legislature allowed the university to raise tuition above the 9.9% tuition cap placed on most state institutions – up to a maximum of 12.9% above the prior academic year –

under the condition that 3.9% of the increase would be earmarked for financial aid and student technology.

Increased revenue generated from the tuition increase, as well as a 1.2% increase in enrollments, will allow the university to focus resources on the following parts of the Academic Plan:

- Produce cutting edge interdisciplinary research that will provide short- and long-term benefits for society. To achieve this, the university will create a research faculty track, support multidisciplinary research with competitive awards of cash and release time, and work to identify and propose solutions to procedural and/or financial barriers to progress in cross-disciplinary research and teaching;
- Create a distinctive undergraduate education experience by introducing new undergraduate research experiences in every discipline, recruiting an entering class with a median ACT score of 26 by 2006, increasing diversity by expanding recruitment and financial aid and improving campus climate, investing in technology to support teaching and learning, and making student housing an institutional priority to enhance academic achievement;
- Connect areas of academic excellence with societal needs through development of a plan for a “live, learn, create, work” community.

Other strategic financial issues for FY 2005 include the changing capital funding environment, current deferred maintenance needs, and the funding of graduate education. The FY 2005 budget continues to support the review of core academic support processes for capital project approval, design, and construction; purchasing goods and services; energy cost management; health care benefits management; minimizing university borrowing costs; and maximizing the university’s return on its investment in major systems projects. The university will continue to diversify its revenue sources through increased private fund raising and sponsored research. Although the university will make progress on its strategic goals during the coming year, implementation of the Academic Plan will continue to be accomplished at a slower pace than originally anticipated.

The university will continue to examine everything it does to determine what it needs to do better and what it no longer needs to do at all.

Revised Budget Process

Over the past seven years the university has moved toward a budget methodology that more directly aligns financial incentives for the colleges with the academic goals of the university. The revised budget process aligns the allocation of new revenues with the Academic Plan and reconciles college base budgets with academic goals. Beginning in FY 2003, annual increases in revenues and expenditures were shared with the colleges based on the following principles of the new budget system:

- The allocation of resources should be mission driven.
- In a large, complex organization, decentralized decision-making works best.
- Any budget system, but particularly one that is decentralized, depends on the creation and maintenance of a timely and user-friendly information system.
- A significant portion of revenues should be explicitly linked to the generating units, specifically the colleges.
- A portion of all revenues should be dedicated to the support of university-wide goals.
- Costs should also be explicitly linked to the generating college or vice presidential area.
- Although the system should be mission driven, predictability and stability are also important characteristics.
- Appropriate oversight and accountability should be provided by the university's governance and administrative structure.
- A carefully thought out transition is essential to the ultimate success of any changes in the budget system.

While the revised budget process is not a panacea for the university's resource issues, it does provide greater incentives for units to generate resources consistent with the goals of the Academic Plan.

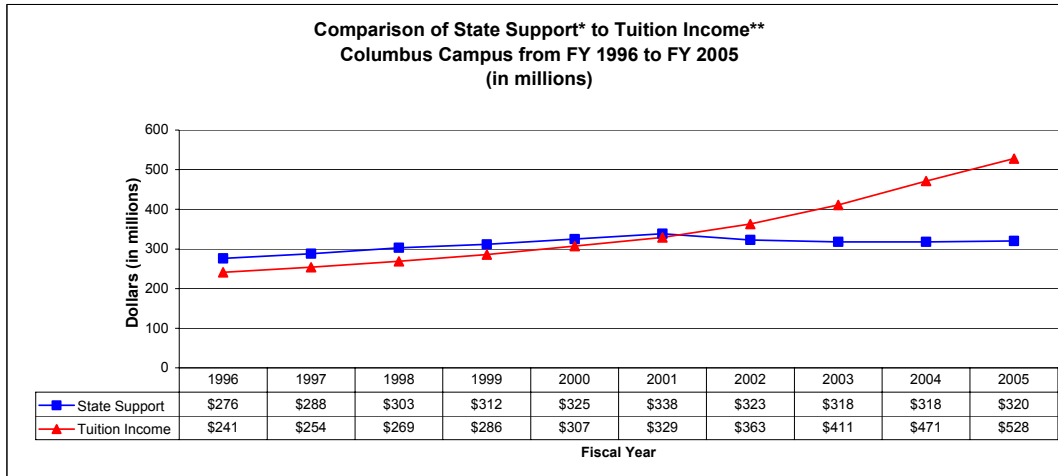
II. FY 2005 Revenue Summary

Overview

The university's total current funds revenues (including general funds, earnings and restricted revenue) are projected to increase by 11.8% from \$2.74 billion to \$3.06 billion between FY 2004 and FY 2005.

General fund revenues for the Columbus campus consist primarily of state support (the state share of instruction, challenge funding and state line-item appropriations), and student tuition and fees. General fund revenues are projected to increase by \$65.4 million. Of that amount, \$15.3 million is earmarked for increased graduate and undergraduate financial aid. That equates to a 24% increase above FY 2004 in funds available for undergraduate financial aid and a 7% increase in graduate financial aid.

The FY 2002 current funds budget marked the first time revenue from student tuition exceeded state instructional support at the Columbus campus. Ohio has gone from a position seventeen years ago, when Ohio State's share of instructional support was nearly double its student fee income, to a position today where the state provides a minority share of the instructional funding for Ohio State. The forecast for the foreseeable future is that state support will continue to fall further behind student fee income, putting an even greater financial burden on students and the university.



* As used here, "State Support" includes State Share of Instruction and Success and Research Challenge funding.

** "Tuition Income" includes instructional, general, student activity, and recreation fees, and non-resident surcharge.

State Support

Core funding for the university includes State Share of Instruction and five other line-item appropriations, which are known as challenge funding. The amount of challenge

funding received is dependent upon the university's performance in meeting the following statewide goals:

Success Challenge – rewards successful completion of baccalaureate programs by at-risk students (OIG-eligible undergraduates) and successful, timely completion of baccalaureate degrees by state residents.

Research Challenge - rewards success in securing sponsored research from external sources.

Access Challenge - reduces financial barriers to entry-level higher education (two-year campuses only). Reductions in Access Challenge make it more difficult for the regional campuses to keep their tuition low.

Jobs Challenge - rewards successful efforts at meeting community needs for non-credit job training (two-year campuses only).

Priorities in Graduate Education – supports improvements in graduate programs in computer science.

Student Fees

Student Enrollments

Undergraduate student retention rates and an increase in the average number of credit hours undergraduates are taking per person, along with a slightly larger freshmen class have increased undergraduate enrollment levels 1.2% above original FY 2004 projections.

Graduate enrollments continued to stabilize in FY 2004 after several years of decline and are projected to grow slightly in FY 2005. Professional student enrollments are projected to continue growing as well. Total undergraduate, graduate and professional Columbus campus enrollments for FY 2005 are projected to be 50,844, the highest projected enrollment level since autumn of 1994.

Undergraduate Instructional, General, and Recreation Fees

In recognition of Ohio State's historically low tuition, the governor recommended and the legislature concurred with an exception to the tuition caps established in the FY 2004-2005 biennial state budget. The exception allows Ohio State to increase tuition to 12.9% above the prior academic year's average tuition costs. The 12.9% tuition cap requires that 3.9% of the increase be earmarked for financial aid and/or student related technology. This cap is 3% higher than the tuition cap that applies to other state-supported institutions.

For all students enrolled for four or more credit hours, a mandatory student recreation fee of \$12 per quarter (prorated by credit hours) is to be implemented during Autumn Quarter with the opening of the new satellite recreation center. This fee will be increased by \$30 in spring or summer 2005 and again by a similar amount in spring or summer 2006 as the construction of the new main recreation building is completed.

Each of the three undergraduate tuition tiers effective Autumn Quarter reflects a 12.9% increase in instructional and general fees plus the recreation fee and a \$9-per-quarter Central Ohio Transportation Authority (COTA) fee:

- Tier 1 - \$6,828 annually (\$2,276 per quarter) for full-time continuing undergraduates who were first enrolled at Ohio State prior to Summer Quarter 2002.
- Tier 2 - \$7,446 annually (\$2,482 per quarter) for full-time continuing undergraduates who were first enrolled at Ohio State between Summer Quarter 2002 and Spring Quarter 2003.
- Tier 3 - \$7,542 annually (\$2,514 per quarter) for full-time students who first enrolled at Ohio State for Summer Quarter 2003 or later.

These tuition increases will provide the university with resources to continue to make progress toward the goals of the Academic Plan, meet the needs of its students and continue to be an investment of high value to the citizens of Ohio. In 2005 new students at the OSU campus will pay less tuition than new students at four other Ohio public universities.

Ohio Public 4-Year Universities Undergraduate Resident Tuition and Fees Rates (new students)

Universities	Credit Hours (Range)	Academic Year 2004-05 Fees²
Miami ³	12+	\$8,442 - \$9,642
Cincinnati	12-19	\$8,379
Bowling Green	11-18	\$8,072
Ohio University	11-20	\$7,770
Ohio State-Columbus Campus ⁴	12+	\$7,515
Akron	12-15	\$7,510
Kent State	11+	\$7,504
Toledo	12-16	\$7,054
Cleveland State	12-16	\$6,792
Wright State	11-18	\$6,477
Youngstown State	12-16	\$5,884
Shawnee State	12-18	\$5,202
Central State	12-18	\$4,710
Ohio Average		\$7,024 - \$7,116

Data Source: Institutional research campus representatives and web sites.

Student Activity Fees

The basic general fee for all undergraduate students and all graduate and professional students effective Autumn Quarter 2004 will be \$119 per quarter. However, for all undergraduate students who were first enrolled Autumn Quarter 2003 or later, the

² Fees for full-time resident students entering in Fall 2004. Data Source: Institutional campus representative or web site.

³ Miami's annualized fall tuition and fees rate is \$19,642. However, Ohio residents receive scholarships of \$10,000 - \$11,200 resulting in a net tuition of \$8,442 - \$9,642.

⁴ OSU's number excludes COTA fee.

general fees also include an additional \$15 per quarter which is earmarked for student activities. There is also a separate student activity fee of \$15 per quarter for all graduate and professional students who were first enrolled Autumn Quarter 2003 or later. (Part-time students – undergraduate, graduate, and professional - pay a prorated portion.) Starting in FY 2006, all students will pay the student activity fee, generating approximately \$2.2 million for student activities outside the classroom.

Graduate and Professional Fees

Masters and PhD instructional, general, and student activity fees will increase \$936 per year (12.9%), or \$312 per quarter.

Some graduate and professional students pay a higher, or differential, fee. Revenue generated from FY 2005 differential fee increases is earmarked to support the graduate and professional programs that generate the fee income.

Sixteen graduate and professional programs will have differential fees in FY 2005. The Part-time MBA is a new differential fee in FY 2005. (In the past, this program was included in the MBA differential fee.) Fourteen of these programs will have differential fee increases in excess of a base fee increase of 6.0% in FY 2005. The following table specifies the various graduate and professional programs’ differential fee increases for full-time graduate and professional students effective in Autumn 2004.

FY05 Quarterly Increase for Graduate and Professional Programs in Differential Fee Categories

College/Program	Resident Instructional Fee	
	% Chg	\$ Chg
College of Business MAcct	6.0%	\$344
College of Business MBA	10.0%	\$443
College of Business Part-time MBA	9.8%	\$434
College of Business EMBA	10.1%	\$1,057
College of Business MLHR	12.0%	\$289
Dentistry	14.5%	\$757
Law (semester)	10.0%	\$634
Medicine	13.8%	\$871
Occupational Therapy	13.2%	\$303
Physical Therapy	13.5%	\$338
Optometry	6.0%	\$240
Pharmacy	12.0%	\$370
School of Public Health MPH	13.5%	\$327
School of Public Health PEP	13.5%	\$327
School of Public Health MHA	13.5%	\$369
Vet Medicine	12.0%	\$570

Non-Resident Surcharges

Effective Autumn Quarter 2004, the undergraduate non-resident surcharge at all campuses is to increase 6% to \$3,529 per quarter for a full-time student. The non-resident surcharge is also to increase by 6% for all graduate and professional programs at each campus.

Regional Campuses and ATI

The 2005 instructional and general fees for undergraduates attending the regional campuses and ATI, before applying Access Challenge credits, are increasing 9.9% above the 2004 academic year fees.

Technology Fees

Several colleges and programs have established learning technology fees in the past to fund the additional technology needed to remain competitive within their fields. For FY 2005, these fees will be as follows:

College/Program	FY 2005	
	Undergrad	Grad
College of Arts	\$50.00	\$50.00
College of Business	--	159.00
College of Engineering	110.00	120.00
College of Nursing	--	50.00
MAPS students in CSE	73.00	120.00
Engineering Physics	73.00	--
School of Public Policy & Mgt	--	120.00

New Undergraduate Program and Program/Technology Fees

The following four programs will implement new undergraduate program fees or combined technology/program fees:

College/Program	FY 2005 fee per quarter	
School of Music	\$100	Combined technology/program fee, prorated by credit hr
College of Business	162	\$112 technology fee + \$50 program fee, prorated by credit hr
College of Nursing	100	Combined technology/program fee, prorated by credit hr
College of Biological Sciences	50	Program fee for ranks 3 and 4 only, prorated by credit hr

For the Colleges of Business and Nursing, these fees replace undergraduate technology fees that were set at \$97 and \$50 respectively in FY 2004.

Residence Halls and Other Charges

Housing fees (the second highest expense after tuition) for a resident undergraduate at Ohio State's Columbus campus are set to increase by 5.6%, or \$363, for FY 2005.

The total cost for a resident undergraduate to attend Ohio State's Columbus campus and live in university housing in FY 2005 will increase approximately \$1,385 (9.3%) for a three quarter academic year for a Tier 1 continuing student and \$1,466 (9.5%) for a three quarter academic year for a new student.

However, for undergraduate resident students who receive some form of university financial aid the increase will be less and in some cases zero.

Fees and Charges (Annual)	Percent Increase	Dollar Increase
Tuition ⁵	12.9%	\$855
Room & Board ⁶	5.6%	363
Books & Supplies	3.0%	30
Health Insurance ⁷	13.8%	147
Parking ⁸	5.8%	10
COTA Bus Pass	0.0%	0
Football Tickets (five games)	22.7%	25
Basketball Tickets	0.0%	0
Recreation Fee	--	36
Total	9.5%	\$1,466

Cost Recoveries

Over the last three years, indirect cost recovery from sponsored research has been one of the fastest-growing components of the university's general funds budget. Indirect cost recoveries grow as a function of the level of external research grants awarded to the university. The negotiated indirect cost recovery reimbursement rate is a function of the university's actual expenditures (subject to certain established ceilings) for general administrative and library support services, and research facility and equipment costs. In FY 2005, the university is currently projecting the growth in indirect cost recoveries will level off, growing by 5.1% over FY 2004 recoveries. The regional campus service charge is growing at 3%, providing additional revenues in the amount of \$139,000 in FY 2005. University overhead paid by earnings units and auxiliaries is increasing by more than 15%, providing almost \$5 million in FY 2005. \$3 million of the increase will be generated primarily as a result of the growth in hospital revenues and will be used to fund university commitments to the Health Center.

Other Income

Additional sources of general funds income include interest income, unrestricted endowment and designated income, and miscellaneous administrative fees and charges income. As a category, other income is projected to increase 2.2% over FY 2004 levels.

⁵ Instructional and general (includes student activity) fee for students first enrolled after Spring Quarter 2003.

⁶ Represents the average undergraduate room rates plus the base meal plan.

⁷ Based on the single comprehensive rate.

⁸ Rates are for the Columbus main campus. West campus rates are increasing \$3.60 annually (5.9%).

III. FY 2005 Expenditure Summary

Resource Allocation

FY 2005 is the third year of Ohio State's new budget process. The new budget process aligns the allocation of resources with the Academic Plan and decentralizes much of the decision making about expenditure priorities to the college and vice-presidential level.

In FY 2005, Ohio State's current funds budgeted expenditures for all campuses total \$3.042 billion including \$1.013 billion in general funds, \$1.374 billion in funds generated from earnings and auxiliary operations and \$676 million in estimated restricted expenditures.

At the Columbus campus, support of a continuing level of services for FY 2005 requires \$950 million, including \$46.9 million to support FY 2004 levels of undergraduate student financial aid, \$564 million to support existing faculty and staff levels and \$62.5 million to support existing facilities operations costs. An additional \$11.3 million in new revenues has been earmarked for undergraduate financial aid to ensure that otherwise qualified needy students are not denied access as a result of tuition increases. The total FY 2005 financial aid budget including graduate fee authorizations is \$164.6 million or 16.9% of the university's general funds budget.

The new budget allocation methodology for subsidy, tuition and indirect cost recoveries has, as anticipated, resulted in differential net marginal revenue growth among the colleges. The colleges teaching a growing number of credit hours or expanding their research are realizing more growth in general funds revenues than the colleges with flat or declining credit hours or research initiatives. In addition, some professional colleges and programs charge differential fees. Differential tuition revenues are earmarked for the support of the programs in which the students paying the tuition are enrolled.

It is important to recognize that some colleges rely more heavily on general fund revenue than others and therefore are more directly affected by the new allocation methodologies.

Sources of Funds by College - FY 2003
(In Order of Percent of General Funds)

College	Total Funds (in millions)	General Funds % of Total ⁹	Earnings % of Total ¹⁰	Restricted % of Total ¹¹
Social Work	\$4.3	95.2%	1.2%	3.6%
Humanities	\$51.9	90.4%	0.3%	9.3%
Arts	\$25.3	87.2%	3.6%	9.1%
Law	\$17.4	74.1%	1.6%	24.3%
Human Ecology	\$8.4	73.3%	3.0%	23.6%
Nursing	\$8.0	69.3%	0.3%	30.4%
Math & Physical Sci	\$85.1	66.1%	0.3%	33.6%
Fisher College of Business	\$39.9	64.5%	6.4%	29.1%
Social & Behavioral Sci	\$70.8	62.9%	6.4%	30.6%
Biological Sciences	\$35.8	56.6%	1.9%	41.4%
Dentistry	\$34.7	53.7%	24.0%	22.3%
Education	\$57.7	49.4%	12.0%	38.6%
Public Health	\$6.1	44.6%	0.2%	55.3%
Engineering	\$137.0	42.5%	9.3%	48.2%
Veterinary Medicine	\$44.4	40.2%	29.2%	30.7%
Pharmacy	\$23.1	39.2%	23.0%	37.8%
Optometry	\$14.3	29.8%	13.8%	56.5%
Medicine	\$178.2	25.4%	1.4%	73.2%
Food, Agric & Env Sci ¹²	\$175.8	15.2%	5.3%	79.4%
Total	\$1,018.3	44.8%	6.9%	48.4%

Note: Due to interfund transfers, sources may be slightly overstated in certain situations.

Over 45%, or \$29.8 million, of the FY 2005 planned increases in continuing funds will be directly allocated to the college budgets, \$21.4 million or 33% will be applied to financial aid, \$5.3 million or 8% invested selectively in university-wide initiatives identified in the Academic Plan; and \$8.7 million, or 13%, will be allocated to the academic support unit budgets (as shown on the following tables).

⁹ **General Funds** are unrestricted resources available for allocation in support of core instruction; instructional support and related general administrative and physical plant expenditures. Also includes fee authorizations.

¹⁰ **Earnings Operations** are also unrestricted with resources generated from the sales and services of the earnings units. While not a requirement, these resources are generally designated to the unit generating the revenue. Included are Veterinary Hospital, University Airport, Reading Recovery, Engineering Experiment Station, Dental Clinic, Optometry Clinic, University Press, The Lantern, etc.

¹¹ **Restricted Funds** are funds whose use has been designated by an external agency or individual and limited to support a specific purpose and/or unit. Included are Sponsored Programs.

¹² Includes the College of Food, Agricultural & Environmental Sci. as well as ATI, OSUE and OARDC.

**Summary of Annual Funds Budget Changes - Colleges
(Columbus Campus General Funds Budget – In Millions)**

	Final 2004 PBA	Increase from FY 2004 to FY 2005 ¹³	Budget Rebasing	Total Increase from FY 2004 to FY 2005	Percent Increase
02-COLLEGE OF THE ARTS	\$21.7	\$0.8		\$0.8	3.7%
03-BIOLOGICAL SCIENCES	20.9	0.9	0.15	1.1	5.3%
05-COLLEGE OF HUMANITIES	50.7	1.8	0.75	2.6	5.1%
06-MATHEMATICAL & PHYSICAL SCI (MAPS)	59.4	2.0		2.0	3.4%
07-SOCIAL & BEHAVIORAL SCIEN (SBS)	49.3	3.8	0.33	4.1	8.3%
EXECUTIVE DEAN OF ARTS AND SCIENCES	6.1	0.2		0.2	3.3%
ARTS AND SCIENCES	\$208.1	\$9.5	\$1.23	\$10.7	5.1%
10-COLLEGE OF BUSINESS	29.7	0.5		0.5	1.6%
11-FOOD, AGRICULTURAL & ENV SCI (FAES)	17.3	0.7		0.7	4.1%
12-COLLEGE OF EDUCATION	29.5	1.7		1.7	5.8%
14-COLLEGE OF ENGINEERING	59.3	2.5		2.5	4.2%
15-COLLEGE OF HUMAN ECOLOGY	7.6	1.0		1.0	13.2%
17-COLLEGE OF NURSING	5.7	0.6		0.6	10.5%
18-COLLEGE OF PHARMACY	10.3	1.7		1.7	16.5%
19-COLLEGE OF SOCIAL WORK	3.9	0.3	0.08	0.4	10.3%
21-COLLEGE OF DENTISTRY ¹⁴	17.8	1.5		1.5	8.4%
23-COLLEGE OF LAW	11.4	0.3		0.3	2.3%
25-COLLEGE of MEDICINE ¹⁵	49.2	1.1	1.40	2.5	5.1%
26-SCHOOL of PUBLIC HLTH	3.7	(0.1)	0.20	0.1	2.6%
27-COLLEGE OF OPTOMETRY ¹⁴	4.3	0.3	0.12	0.4	9.3%
29-COLLEGE OF VETERINARY MED ¹⁴	19.2	1.7		1.7	9.0%
AA-INTERDISCIPLINARY GRADUATE PGMS	N/A	0.2		0.2	N/A
ALL OTHER COLLEGES	\$268.9	\$14.0	\$1.80	\$15.8	5.9%
Add'l Funds to be distributed to Colleges ¹⁶		3.3		3.3	N/A
Total Increase	\$477.0	\$26.8	\$3.03	\$29.8	6.2%

¹³ Includes the total marginal resources allocation minus marginal assessments for space, research administration, student services and the central tax. Figures also include faculty promotions and differential fees.

¹⁴ Includes Med II SSI allocation based on preliminary data. Will be updated once end of year data are available.

¹⁵ College of Medicine includes \$.5M in continuing funds for Hospital rent and \$1.8M in one-time funds.

¹⁶ Includes \$310,000 of undistributed subsidy, \$312,000 in FY 2004 fee revenue, \$2.5 M in FY 2005 fees earmarked for technology and \$167,000 in Success Challenge funding.

**Summary of Annual General Funds Budget Changes – Academic Support Units
(Columbus Campus General Funds Budget - In Millions)**

ACADEMIC SUPPORT UNIT	FY 2004 PBA	Base Increase from FY2004 to FY 2005¹⁷	Service Imp. & Mandates	Total Incr.	Percent Incr.	One Time Funds
Academic Affairs						
OAA ¹⁸	\$45.0	\$1.7	\$1.8	\$3.5	7.7%	2.9
Graduate School	14.6	1.2		1.2	8.2%	0.3
University Libraries ¹⁹	23.9	0.5	0.2	0.7	2.9%	
Undergraduate Studies	25.5	0.6	1.2	1.8	3.5%	0.5
Academic Affairs Total	\$109.0	\$4.0	\$3.2	\$7.2	6.3%	3.7
Ag Admin (Continuing Education)	\$1.0	NA		NA	NA	
Ag Admin. (OARDC)	1.8	NA		NA	NA	
Board of Trustees	0.6	NA		NA	NA	
Business and Finance	67.7	2.2	0.3	2.5	3.7%	2.3
Health Sciences	8.0	0.8	1.8	2.6	32.5%	7.5
Legal Affairs	1.4	0.1		0.1	7.1%	
President	2.1	0.1		0.1	4.8%	
Research ²⁰	17.8	0.3	0.5	0.8	10.8%	5.29
Student Affairs ²¹	16.5	0.8	0.1	0.9	5.7%	1.4
Government Relations	1.1	NA	0.1	0.1	11.4%	0.1
University Relations	4.3	0.2	0.1	0.3	6.0%	0.7
External Relations						0.5
Development ²²		0.3		0.3		0.5
Total	\$231.3	\$8.8²³	\$6.1	\$14.9	6.4%	\$20.7

¹⁷ Increase/decrease of less than \$50,000 shows as NA.

¹⁸ Includes OIT, Human Resources and Provost Office Administration

¹⁹ Library increase does not include \$100,000 previously committed to the libraries and to be distributed according to library directors plan, library portion of institution-wide increase in Indirect Cost recoveries, or library share of trademark and licensing revenue.

²⁰ Research Grant Administration in OIT funded from \$1M reallocation. Does not include \$288,000 increase for OSURF. OSURF will be included in GL in FY05.

²¹ Does not include revenue collected from new student recreation fee that is earmarked for recreation center debt service and operations.

²² University Development's budget is primarily funded by earnings on Development funds. The FY05 budget and was increased in total by \$1.3 million to support increased costs of providing existing services and to expand support for the Arts and Sciences, the Medical Center and WOSU, and enhance current technology. This year Development received general funds to supplement lower than historical levels of earnings on development funds.

²³ Does not match Academic Support Unit total on page 19. Numbers on this table include: Grad Fellows in Graduate School budget \$900,000, Support Unit's Teaching Credit Hours Fee allocations of \$440,000 (mostly OAA), and Indirect Cost Recoveries of \$590,000 (mostly Research and Health Sciences).

Marginal increases in revenues are budgeted according to the priorities of the Academic Plan and include:

- Increased student financial aid
- Competitive faculty and staff salaries
- Student activity fee and new recreation fee to support out-of-classroom student experiences
- Enhanced student services
- Research support, with a special emphasis on the removal of barriers to multidisciplinary research
- Technological support
- Outreach and engagement

Increased Student Financial Aid – A significant portion of the FY 2005 growth in revenues, 33%, was allocated to student financial aid. Financial aid funds are increased at a rate that ensures students who are otherwise qualified will not be denied admission for financial reasons. As required by state law and mandated by the Ohio State Board of Trustees in the resolution approving the 12.9% increase in undergraduate tuition, the revenue generated by 3.9% of the undergraduate tuition increase is set aside for financial aid for low-income students and enhancements in student technology. \$5.35 million of the revenues generated by the 3.9% portion of the increase are set aside for undergraduate student financial aid specifically for low-income students. This raises the amount budgeted for undergraduate student financial aid in FY 2005 by \$11.3 million above FY 2004 levels. An additional \$10.1 million is budgeted to support the growth in graduate fee authorizations.

Competitive faculty and staff salaries – Pay increases for faculty in FY 2005 averaged 3.3%. Some colleges reallocated current budget to reach the desired pay raise level; others were able to fund pay increases from revenue growth. The variance in the average pay increases is a reflection of the competitiveness of the faculty salaries with each department's benchmark institutions.

University staff pay increases in FY 2005 averaged 3.2% for unclassified staff and 3.1% for classified staff across the university. Staff pay increases are partially funded by a reallocation of existing budget. In some instances, this was accomplished by not filling vacant positions, in other cases by using internal funding sources or by reducing operating costs.

Beginning in FY 2006, the effective date of annual salary increases for 12-month faculty and non-bargaining unit staff will move from July 1 (or the first pay period for employees paid biweekly) of each fiscal year to October 1 (or the corresponding pay period for biweekly). To help offset the effects on future income and on pension calculations, affected faculty and staff will be given a one-time base salary adjustment effective October 1, 2004. This adjustment will be calculated as $\frac{1}{4}$ of the faculty or staff member's FY 2005 regularly scheduled annual increase.

Student Activity and Recreation Fees – The \$15-per-quarter student activity fee for all students who first enrolled Autumn Quarter 2003 or later is being phased in over three years, and is anticipated to generate approximately \$2.2 million annually by FY 2006, when all students will pay the fee. This funding will support undergraduate, graduate and professional out-of-the-classroom student experiences including student government, clubs and student-sponsored activities. The student activity fee revenue is to be supplemented with one-time funds during FY 2004 and 2005, thus permitting these programs to operate before the fee goes into full effect.

The flat \$12-per-quarter recreation fee that goes into effect Autumn Quarter 2004 will be used for the support of the new satellite recreation center. This fee will increase to \$42 when the first phase of the new main recreation building is complete in spring or summer 2005, and will increase again, to \$72, in spring or summer 2006 as the final phase of the project is completed. Using this fee revenue, the recreation center will be self-supporting.

Research Support – \$1.3 million is budgeted to increase research capacity at the Comprehensive Cancer Center. Funds are also budgeted for an Associate Vice-President for Research and the operation of the Office of Technology Partnerships/Licensing. \$1.5 million is set aside as the first of four installments to cover the cost of the new research grants management system.

Technology – \$3.4 million generated by 3.9% of the undergraduate tuition increase is set aside for enhancements of student technology. In addition, continued support is provided for the on-going operations of the Office of Information Technology.

Other significant budget allocations include:

President's Strategic Investment – The President's Strategic Investment funds provide discretionary funds to the president to invest in academic priorities. For FY 2005, almost \$9 million is budgeted for the continuation of such programs as Arts and Humanities Seed Grants and Freshman Seminars, as well as Medical Center support and the expansion of laboratory animal facilities. Also included is funding for the promotion of interdisciplinary programs and the continuation of interdisciplinary grants. Funding for programs that extend outreach and engagement into the community includes \$1 million for the Weinland Park Child Development Center, \$75,000 for a study of the feasibility of a university living-learning community for senior citizens, and \$500,000 to support WOSU's partnership with the Center of Science and Industry.

Success Challenge – \$220,000 in state Success Challenge funds awarded to Ohio State based on timely graduation by Ohio resident students and graduation of at-risk students has not yet been allocated. Success funds will be distributed to strengthen initiatives promoting timely student graduation and degree completion by at-risk students.

Other Service Improvements and Mandates – An additional \$465,000 continuing and \$573,000 one-time funding is budgeted in response to legal mandates, primarily related to health and safety, including the Americans with Disabilities Act (ADA), the federal Student and Exchange Visitor Information System (SEVIS) requirements, and Environmental Protection Agency (EPA) requirements in regard to street sweeping and storm water. An additional \$.5 million in continuing funds is budgeted to cover service improvements, including library improvements, the establishment of a government relations office in Washington, D.C., additional reviewers for undergraduate applications, and improvements to the university's human resources and financials reporting systems.

Support of Facilities

In addition to funding salary and benefit increases, the colleges and support units funded from their net marginal resources an estimated \$5.9 million inflationary increase in budgeted facility costs including utilities, rent, repair and renovations.

In response to a resolution adopted at the April 2004 meeting of the Board of Trustees, an endowment was established to address long-term maintenance and renovation needs of all general fund space added since FY 2000. The source of this funding is a deferred maintenance fee assessed to all general funds units, to be prorated by assignable square feet. This surcharge, which is to be added to the annual plant, operations, and maintenance charges of these units, is to be phased in over a three-year period, starting at the rate of \$0.06 per assignable square foot in FY 2005. The first projects to use these funds are not expected to occur until 2015. Funding of these expenses for general funds space assigned as of FY 2000 continues to come from central funds.

The major auxiliary operations, regional campuses, the Ohio Agricultural Research and Development Center, and the Agricultural Technical Institute are each responsible for funding maintenance and renewal of their own facilities, and were required to have plans and funding mechanisms for these expenses approved by June 30, 2004.

FY 2005 Allocation of Increased General Fund Support (in Millions)		
Focused Initiatives	Continuing Funds	Additional One-Time Funds
Cutting Edge Research	\$2.2	\$12.8
Distinctive Undergrad Education ²⁴	11.5	2.0
Outreach and Engagement	0.2	2.5
Teaching/Learning Environment	7.1	2.1
Funds to Colleges	26.3	0
Sub Total	\$47.3	\$19.4
Other Financial Aid	\$10.1	0
Support Unit Operations ²⁵	6.1	0
All Other	1.7	3.5
Sub total	\$17.9	\$3.5
Grand Total	\$65.2	\$22.9

²⁴ Includes undergraduate student financial aid.

²⁵ Does not include Graduate School Fee Authorizations which are included in Financial Aid, OSUE, Continuing Education & OARDC which are included in Research.

IV. Multi-Year Commitments

Multi-Year Commitments

(All figures in millions of \$)

The documentation of multi-year commitments has been part of the annual budget report every year since FY 1996. The purpose of this review is to share with the campus community a sense of what these commitments are and how they change from year to year. In order to plan effectively, the university needs to be able to make commitments across fiscal years, but do so in a way that does not jeopardize future financial flexibility.

Continuing General Funds

The following table lists the explicit multi-year commitments against continuing general funds. The Provost's Strategic Investment Fund is a continuation of existing commitments. Campus Partners continuing general fund commitments include projected rental costs for the move of Human Resources and other units into Gateway in FY 2006. GA benefits are contributions made by the university toward the costs of graduate assistant health care benefits in order to keep the student's cost at \$150 per quarter. Cancer Center Match is to fund ten percent of the marginal increase in indirect cost recoveries on cancer research over a five year period. OIT PeopleSoft Conversion is the second year of a three year plan to support the conversion to PeopleSoft. Enrollment Plan is to enhance the quality of undergraduate recruitment. Research Compliance and Physical Facilities Compliance are to fund government mandates. Government Relations is for the support of the Washington, D.C. office. All amounts are additional funds required in that year.

Commitment	FY05 New	FY 06 Est.	FY 07 Est.
Provost Strategic Investment Fund	\$2.0	\$2.0	\$2.0
Campus Partners	0.5	0.5	0.0
Budget Rebasng	2.8	1.4	1.4
GA Benefits	1.1	0.5	0.9
Cancer Center Match ²⁶	0.3	TBD	TBD
OIT PeopleSoft Conversion	0.5	0.5	
Enrollment Plan	0.5	1.0	TBD
Research Compliance	0.1	0.1	
Physical Facilities Compliance	0.1	0.1	
Government Relations	0.1	0.1	
Total	\$8.0	\$6.2	\$4.3

Budget Rebasing

In accordance with the Academic Plan and the principles of the revised budget process, it was determined that some colleges' base budgets were not in alignment with the goals of the Academic Plan. The table below documents the rebasing transfers to and from these colleges over a five-year period beginning in FY 2002. As of FY 2005, six of the seven colleges entitled to rebasing transfers have access to funds equal to the minimum five-year rebasing goal.

College	Total Thru FY04	FY05	Total Thru FY05	5 Year Goal
Humanities	\$1.75	\$0.75	\$2.50	\$2.50-\$4.40
Social & Behavioral Sciences	0.77	0.33	1.10	1.10
Biological Sciences	0.45	0.15	0.60	0.60
Arts & Sciences Subtotal	\$2.97	\$1.23	\$4.20	\$4.2 – 6.1
Medicine	4.90	1.40	5.70 ²⁷	6.70
Optometry	0.38	0.12	0.50	0.50
Social Work	0.22	0.08	0.30	0.30
Human Ecology	0.20		0.20	0.20
Subtotal	\$8.67	\$2.83	\$10.90	\$11.90-13.80
Nursing	(0.04)		(0.04)	(0.10-0.30)
Pharmacy	(0.04)		(0.04)	(0.10-0.60)
Dentistry	(0.49)		(0.49)	(1.20-2.10)
Subtotal	(0.57)		(0.57)	(1.40-3.00)
Net Transfers	\$8.10	\$2.83	\$10.33	\$8.90-12.40

The desired ceiling for multi-year commitments is that commitments in the succeeding three years not exceed 1% of the current year's budget. This guideline was established in the mid 1990's in order to preserve future financial flexibility. One percent of the FY 2005 Columbus campus general funds budget is \$9.8 million. The total of new multi-year commitments in FY 2006 and FY 2007 equals \$7.7 million in excess of budget rebasing commitments. Therefore, caution needs to be exercised in making additional future multi-year commitments until the university's financial picture improves.

In addition to the specific multi-year commitments listed above, the university will continue to need to fund increases in a number of areas of the general funds budget. These include:

- Competitive annual compensation increases for faculty, staff and student employees
- Support of research
- Deferred maintenance and other capital needs
- Academic and administrative computing needs

²⁶Comprehensive Cancer Center Match figure of \$300,000 is an estimate, and will be based on a formula yet to be finalized. FY 2005 figure is one time only. Founding source for continuing commitment will be identified in FY 2006.

²⁷Rebasing total through FY 2005 includes \$3.9M new annual rate and \$1.8M of the PSR cash. A total of \$2.8M in continuing rebasing funds is to be transferred in FY 2006 and 2007.

- Student financial aid
- Unfunded legal mandates
- Diversity and outreach
- Other Academic Plan initiatives

One-Time General Funds

In FY 2005, \$2.0 million of the commitment to the Medical Center support of \$5.0 million per year for five years will be transferred from the President’s Strategic Investment Fund and \$3.0 million from one-time general funds. The sources for the remaining commitment in future years still need to be identified. The WOSU Digital TV conversion is a two year commitment that ends in FY 2006.

Commitment	Initial FY	FY 05 Inc	FY 06 Est.	FY 07 Est.	FY 08 Est.	FY 09 Est.
Medical Center Support	2005	\$5.00	\$5.00	\$5.00	\$5.00	\$5.00
WOSU Digital TV Conversion	2005	0.17	0.17	0	0	0

President’s Strategic Investment Fund

In October 2000, the University Board of Trustees approved the creation of the President’s Strategic Investment Fund. The purpose of this fund is to allow the President to direct one-time resources into areas of strategic investment supportive of the Academic Plan.

Resources for the President’s Strategic Investment Fund come from both general funds freed up by moving Development off the General Fund and from a portion of the Research Challenge Appropriation. These are one-time funds only. The Board resolution prohibits use of these resources for continuing commitments. Use of these funds is at the President’s discretion and must be reported annually to the Board of Trustees. Commitments to date total \$41.43 million and are distributed as identified in the following table.

**The Strategic Investment Fund
Planned Resources and Commitments**

Resources:		FY04	FY05	FY06	FY07	Total
Research Challenge A/R ²⁸		\$2.58	\$2.54			
Development A/R		5.45	5.45			
Cash Carried Forward		13.55	11.95	5.16	1.59	
Total Available		\$21.57	\$19.95	\$5.16	\$1.59	
Commitments:	Previous Expenditures					
Bio Mems Facility (Micro MD)	\$5.70					\$5.70
Medical Informatics	2.75	1.25				4.00
P-12 Initiative	.37	.17	.20			.74
World Class Faculty		.38				.38
Library Acquisitions	.71					.71
Grad Student Diversity Recruit	.36					.36
Math, Biosciences Institute	.20					.20
COMPH Pharmacology			.93	.93		1.85
Main Library Renovation			.30			.30
Biomedical Research Tower		2.40	1.80	.80		5.00
Outreach & Engagement	.34	.15				.49
Technology Transfer	.80	.80	.80			2.40
Undergrad Experience	.60	.60	.60	.60	.60	3.00
Comp Cancer Center Base Supp		1.00				1.00
Comp Cancer Center Match			.30			.30
Interdisciplinary Research		.50				.50
Humanities & Arts Seed Grants		.50	.30	TBD		.80
Freshman Seminars		.25				.25
Campus Beautification		.25				.25
Technology Transfer Innovations		.50				.50
Regional Campus Incentive Prog		.25				.25
Research Support		.33				.33
Student Activity Fee Phase-in		.30	1.20			1.50
Weinland Park Child Care			1.00			1.00
Grad School Interdisciplinary Maj			.25			.25
WOSU/COSI Partnership			.50			.50
Senior Living Project			.11			.11
ULAR Lab Animal Match			1.50			1.50
Interdisciplinary Research			1.00			1.00
School of Public Health			2.00	.50	.50	3.00
Additional Medical Support			2.00			2.00
Math & Bioscience Institute				.75	.50	1.25
Total Commitments	\$11.83	\$9.63	\$14.79	\$3.58	\$1.60	\$41.43
Uncommitted Funds		\$11.95	\$5.16	\$1.59	(\$.01)	

²⁸ Represents 35.5% of Research Challenge budget.

These figures do not include capital commitments which will be addressed as part of the biennial capital planning process.

Conclusions

Financial projections indicate that if present trends continue, the university will have sufficient funds to cover its commitments, but will not have discretionary funds available to embark on other significant new initiatives. Thus, if any new initiatives are desired or existing initiatives expanded, the university needs to reduce other commitments or secure additional funds.

SELECTED DEFINITIONS

Current Funds are those funds that are earned and expended in the current fiscal year. They include the General Fund, Earnings Operations and Restricted Funds. Excluded entirely from this report are Non-Current Funds such as Plant Funds, Loan Funds and Endowment Principal.

General Funds are unrestricted resources available for allocation in support of core instruction; instructional support and related general administrative and physical plant expenditures.

Earnings Operations are also unrestricted with resources generated from the sales and services of the earnings units. While not a requirement, these resources are generally designated to the unit generating the revenue. Included are the Hospitals & CHRI, Auxiliaries and departmental earnings units.

Auxiliaries are specifically identified by the State as the following earnings operations: Residence & Dining Halls, Intercollegiate Athletics, Student Unions, Bookstores, Transportation & Parking, Fawcett Center, University Airport and Property Management.

Unrestricted refers to the sum of general funds plus earnings operations.

Restricted Funds are funds whose use has been designated by an external agency or individual and limited to support a specific purpose and/or unit. Included is Sponsored Programs.

Instruction and Departmental Research includes all direct and applicable allocated expenditures for all activities that are part of the University's instructional program. It includes expenditures for departmental research and public service that are not separately budgeted.

Academic Support includes all funds expended for activities carried out primarily to provide support services that are an integral part of the operations of one of the three primary missions - instruction, research and public service. Included in this category are Academic Affairs Administration, Libraries, Museums & Galleries and the Deans' offices.

Student Services includes funds expended for those activities whose primary purpose is to contribute to students' emotional and physical well being, as well as their cultural and social development outside the context of the formal instructional program. Included in this category are Admissions and Registration, Counseling, Student Health Service, Recreation & Intramural Sports, Student Financial Aid and the Student unions.

Institutional Support contains expenditures for operations that provide support services to the total University. Included in this category are Executive Management, Business and Finance, Human Resources, University Relations and Development.

Plant, Operations and Maintenance includes all expenditures of current funds for the operation and maintenance of the physical plant, net of amounts charged to auxiliary operations and hospitals. Included in this category are utilities, repair and renovations, custodial services, grounds maintenance, space rental and property insurance.

Separately Budgeted Research includes all expenditures for activities specifically organized to produce research outcomes, whether commissioned by an external agency to the University (restricted) or the University (unrestricted) and includes matching funds applicable to the conditions set forth by the grant or contract. It does not include training grants or equipment grants.

Public Service includes all funds expended for activities that are established primarily to provide non-credit designated course offerings and services beneficial to individuals and groups external to the University. Included in this category are Continuing Education and Cooperative Extension Services.

Scholarships and Fellowships include expenditures in the form of outright grants and trainee stipends to individuals enrolled in formal coursework, either for credit or non-credit.

One-Time Funds are cash payments made to colleges and departments on a discretionary basis. The source of the funds is the cash balance carried forward from the previous year.

**SUMMARY OF BUDGETED
RESOURCES AND EXPENDITURES
TOTAL UNIVERSITY
(IN THOUSANDS)**

2003-04 Revised Budget	2004-05 Revised Budget	Dollar Change	Percent Change
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RESOURCES

Government Support

State	472,207	472,410	203	0.0%
Federal	268,520	310,938	42,418	15.8%
Local	27,206	31,224	4,018	14.8%
Subtotal Government Support	767,933	814,572	46,639	6.1%

Student Fees

Instructional, General & Tuition	500,902	560,421	59,519	11.9%
Other	20,965	23,655	2,690	12.8%
Subtotal Student Fees	521,867	584,076	62,209	11.9%

Other Resources

Health System	891,381	1,058,132	166,751	18.7%
Auxiliaries	204,305	211,296	6,991	3.4%
Departmental Sales & Services	80,615	91,740	11,125	13.8%
Private Grants & Contracts	233,488	256,025	22,537	9.7%
Other	39,518	47,226	7,708	19.5%
Subtotal Other Resources	1,449,307	1,664,419	215,112	14.8%

Total Resources

2,739,107	3,063,067	323,960	11.8%
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EXPENDITURES

Instructional & General	1,041,989	1,117,416	75,427	7.2%
Separately Budgeted Research	333,098	366,915	33,817	10.2%
Public Service	119,260	121,420	2,160	1.8%
Scholarships & Fellowships	128,682	161,425	32,743	25.4%
Auxiliaries	212,646	217,282	4,636	2.2%
Health System	888,346	1,057,037	168,691	19.0%

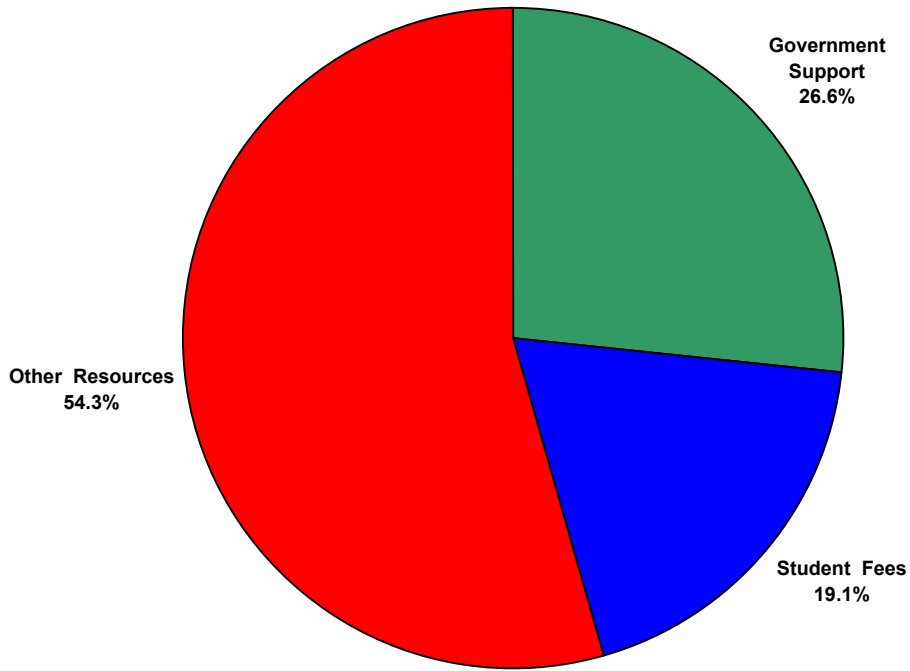
Total Expenditures

2,724,021	3,041,495	317,474	11.7%
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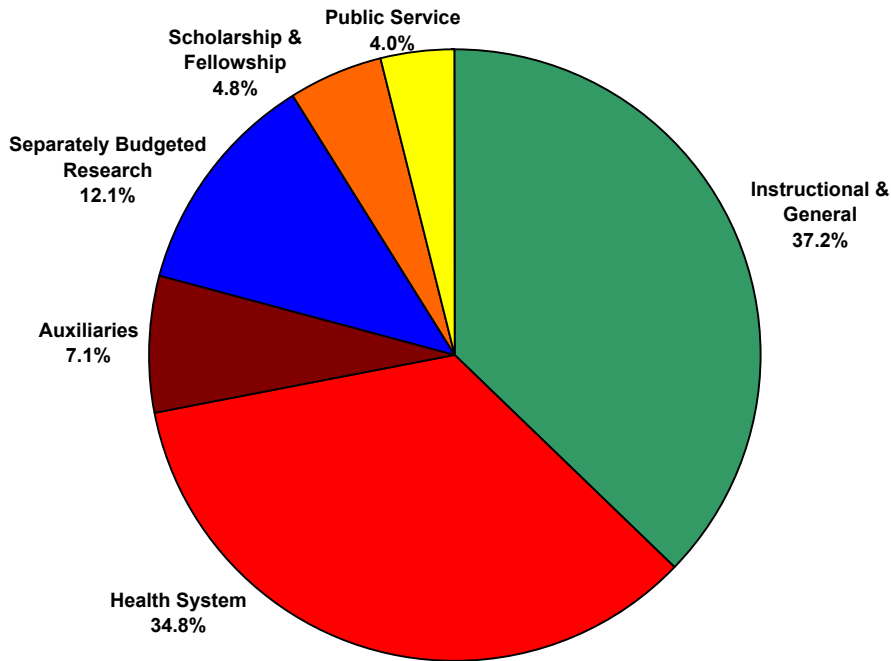
(1) Health Systems budget includes University Hospitals, James Cancer Hospital & Solove Research Institute, University Hospital East, Ross Heart Hospital, Harding Hospital, and Hospital Physician Network.

SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES TOTAL UNIVERSITY - FY 2005

RESOURCES BY SOURCE



EXPENDITURES BY FUNCTION



SUMMARY OF ANNUAL STUDENT FEES COLUMBUS CAMPUS

INSTRUCTIONAL & GENERAL FEES				NONRESIDENT SURCHARGE				
FY 2004 Fees	FY 2005 Fees	Dollar Change	Percent Change	FY 2004 Fees	FY 2005 Fees	Dollar Change	Percent Change	
Undergraduate:								
(1)								
Tier 1	5,991	6,765	774	12.9%	9,987	10,587	600	6.0%
Tier 2	6,540	7,383	843	12.9%	9,987	10,587	600	6.0%
Tier 3	6,624	7,479	855	12.9%	9,987	10,587	600	6.0%
Graduate Programs:								
Graduate	7,206	8,142	936	13.0%	11,211	11,883	672	6.0%
MLHR	7,575	8,448	873	11.5%	11,211	11,883	672	6.0%
MBA	13,635	14,970	1,335	9.8%	11,211	11,883	672	6.0%
Part-time MBA	13,635	14,943	1,308	9.6%	11,211	11,883	672	6.0%
EMBA	31,749	34,926	3,177	10.0%	11,211	11,883	672	6.0%
Master of Accounting	17,556	18,594	1,038	5.9%	11,211	11,883	672	6.0%
Health Administration	8,556	9,669	1,113	13.0%	11,211	11,883	672	6.0%
Public Health MPH	7,620	8,607	987	13.0%	11,211	11,883	672	6.0%
Public Health PEP	7,620	8,607	987	13.0%	11,211	11,883	672	6.0%
MPT	7,866	8,886	1,020	13.0%	11,211	11,883	672	6.0%
MOT	7,227	8,142	915	12.7%	11,211	11,883	672	6.0%
Professional:								
Pharmacy	9,591	10,707	1,116	11.6%	11,925	12,642	717	6.0%
Dentistry	16,020	18,297	2,277	14.2%	23,022	24,402	1,380	6.0%
Optometry	12,369	13,095	726	5.9%	23,022	24,402	1,380	6.0%
Veterinary Medicine	14,589	16,305	1,716	11.8%	23,796	25,224	1,428	6.0%
Law	13,024	14,298	1,274	9.8%	12,106	12,832	726	6.0%
Medicine	19,278	21,897	2,619	13.6%	24,558	26,031	1,473	6.0%
(3)								

Notes:

Full time fees for Undergraduate Students are for 12+ credit hours.
Full time fees for Graduate and Professional Students are for 10+ credit hours.

- (1) Tier 1 are students who were first enrolled prior to Summer 2002.
Tier 2 are students who were first enrolled between Summer 2002 and Spring 2003.
Tier 3 are students who were first enrolled after Spring 2003.
- (2) This program will have its own differential fee for the first time. Previously, it was included in the regular MBA differential fee.
- (3) Medicine Level 1 fees. Medicine will offer tuition credits to students in Levels 2, 3 and 4 bringing their effective instructional fee lower than Level 1 by 1.7% for Level 2, 5.9% for Level 3, and 8.4% for Level 4.

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES

TOTAL UNIVERSITY BY FUND

(IN THOUSANDS)

2003-04 Revised Budget	2004-2005			Total Budget	Percent Change
	General	Earnings	Restricted		

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

	320,945	322,237	0	0	322,237
	113,665	22,311	0	93,292	115,603
	37,597	1,530	0	33,040	34,570
	472,207	346,078	0	126,332	472,410
	268,520	49,812	0	261,126	310,938
	27,206	5,223	0	26,001	31,224
	767,933	401,113	0	413,459	814,572
	500,902	560,421	0	0	560,421
	20,965	17,641	6,014	0	23,655
	521,867	578,062	6,014	0	584,076
	891,381	0	1,058,132	0	1,058,132
	204,305	0	211,296	0	211,296
	80,615	0	91,740	0	91,740
	233,488	10,675	0	245,350	256,025
	21,050	5,250	0	17,600	22,850
	11,247	11,075	1,300	0	12,375
	7,221	6,607	5,394	0	12,001
	1,449,307	33,607	1,367,862	262,950	1,664,419
	2,739,107	1,012,782	1,373,876	676,409	3,063,067

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

Total Expenditures

	665,592	548,393	59,873	94,247	702,513
	114,285	113,485	1,690	16,145	131,320
	75,649	69,983	6,830	1,629	78,442
	100,827	69,199	5,324	45,925	120,448
	85,636	77,266	613	6,815	84,694
	1,041,989	878,326	74,329	164,761	1,117,416
	333,098	33,579	8,618	324,718	366,915
	119,260	9,264	15,531	96,625	121,420
	128,682	89,534	36	71,855	161,425
	212,646	1,965	206,867	8,450	217,282
	888,346	0	1,047,037	10,000	1,057,037
	2,724,021	1,012,668	1,352,418	676,409	3,041,495

(1) Health System budget includes University Hospitals, James Cancer Hospital & Solove Research Institute, University Hospital East, Ross Heart Hospital, Harding Hospital, and Hospital Physician Network.

(2) Restricted Endowment reflects reclassification of some revenue to Private Grants & Contracts.

(3) General funds expenditures reflects a new budgeting methodology whereby the budget more closely tracks expenditures in accordance with the financial statements.

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES COLUMBUS CAMPUS BY FUND (IN THOUSANDS)

2003-04 Revised Budget	2004-2005			Total Budget	Percent Change
	General	Earnings	Restricted		

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

	299,998	301,457		301,457	0.5%
	74,906	18,932	56,688	75,620	1.0%
	36,389	1,530	32,000	33,530	-7.9%
	411,293	321,919	0	88,688	410,607
	254,560	49,812	247,015	296,827	16.6%
	27,185	5,196	26,000	31,196	14.8%
	693,038	376,927	0	361,703	738,630
	470,334	526,039		526,039	11.8%
	20,032	16,793	6,000	22,793	13.8%
	490,366	542,832	6,000	0	548,832
	891,381	1,058,132		1,058,132	18.7%
	204,220	211,187		211,187	3.4%
	77,000	88,000		88,000	14.3%
	230,758	10,675	242,500	253,175	9.7%
	20,750	5,250	17,000	22,250	7.2%
	10,350	10,350	1,300	11,650	12.6%
	5,964	5,260	5,000	10,260	72.0%
	1,440,423	31,535	1,363,619	259,500	1,654,654
	2,623,827	951,294	1,369,619	621,203	2,942,116

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

Total Expenditures

	633,233	516,767	58,826	93,000	668,593
	103,621	105,959	60	15,000	121,019
	68,722	61,566	6,808	1,550	69,924
	93,536	61,795	5,315	45,000	112,110
	75,766	72,016	607	2,500	75,123
	974,878	818,103	71,615	157,050	1,046,768
	295,615	33,529	8,618	285,703	327,850
	116,582	9,264	13,731	95,000	117,995
	121,294	88,610	36	65,000	153,646
	212,562	1,965	206,629	8,450	217,044
	888,346	1,047,037	10,000	0	1,057,037
	2,609,277	951,471	1,347,666	621,203	2,920,340

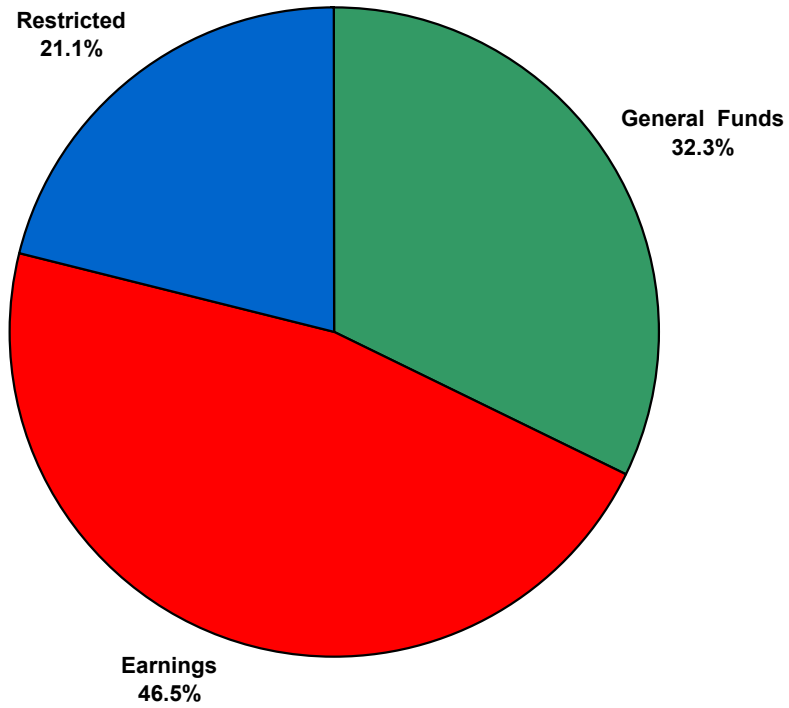
(1) Health Systems budget includes University Hospitals, James Cancer Hospital & Solove Research Institute, University Hospital East, Ross Heart Hospital, Harding Hospital, and Hospital Physician Network.

(2) Restricted Endowment reflects reclassification of some revenue to Private Grants & Contracts.

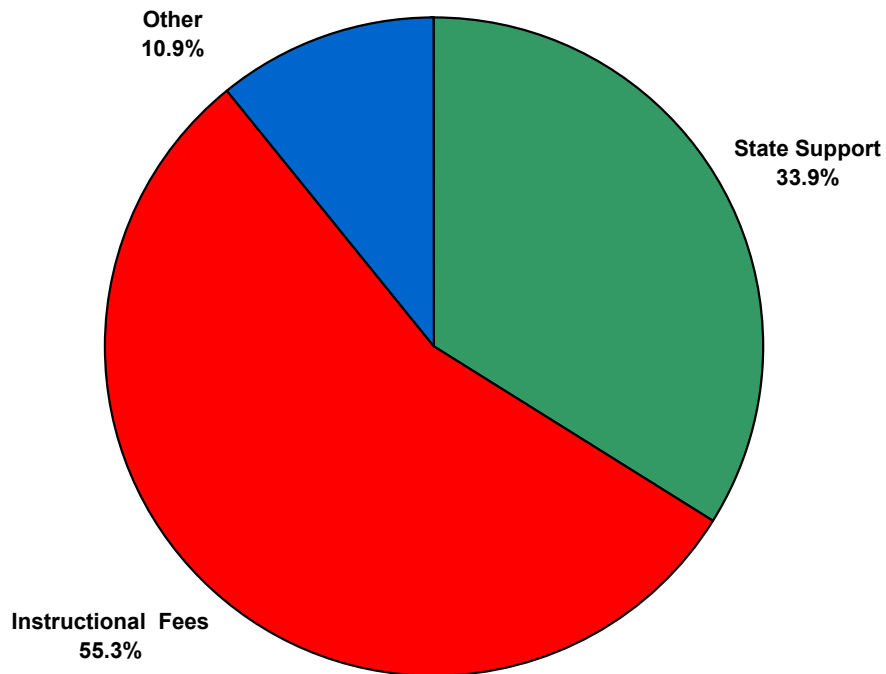
(3) General funds expenditures reflects a new budgeting methodology whereby the budget more closely tracks expenditures in accordance with the financial statements.

BUDGETED RESOURCES Columbus Campus - FY 2005

RESOURCES BY FUND



GENERAL FUNDS BY SOURCE



DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
LIMA CAMPUS BY FUND
(IN THOUSANDS)

2003-04 Revised Budget	2004-2005			Percent Change
	General	Earnings Restricted	Total Budget	

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

3,978	3,875			3,875	-2.6%
673	648			648	-3.7%
140		140		140	0.0%
4,791	4,523	0	140	4,663	-2.7%
1,220			1,410	1,410	15.6%
	27			27	
6,011	4,550	0	1,550	6,100	1.5%
5,490	6,335			6,335	15.4%
513	325	14		339	-33.9%
6,003	6,660	14	0	6,674	11.2%
				0	
				0	
				0	
				0	
110	110			110	0.0%
473	762	394		1,156	144.4%
583	872	394	0	1,266	117.2%
12,597	12,082	408	1,550	14,040	11.5%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

Total Expenditures

6,536	7,235	100		7,335	12.2%
1,271	1,518	50		1,568	23.4%
1,013	1,112	25		1,137	12.2%
1,197	1,193	50		1,243	3.8%
867	982	5		987	13.8%
10,884	12,040	0	230	12,270	12.7%
35		45		45	28.6%
619		408	25	433	-30.0%
1,050			1,250	1,250	19.0%
				0	
				0	
				0	
12,588	12,040	408	1,550	13,998	11.2%

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
MANSFIELD CAMPUS BY FUND
(IN THOUSANDS)**

2003-04 Revised Budget	2004-2005			Total Budget	Percent Change
	General	Earnings	Restricted		

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

4,025	3,920			3,920	-2.6%
1,158	677	391		1,068	-7.8%
265		250		250	-5.7%
5,448	4,597	0	641	5,238	-3.9%
1,340			1,024	1,024	-23.6%
				0	
6,788	4,597	0	1,665	6,262	-7.7%
6,422	6,594			6,594	2.7%
118	200			200	69.5%
6,540	6,794	0	0	6,794	3.9%
				0	
32		46		46	43.8%
396		416		416	5.1%
30			30	30	0.0%
				0	
291	240			240	-17.5%
166	120			120	-27.7%
915	360	462	30	852	-6.9%
14,243	11,751	462	1,695	13,908	-2.4%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

Total Expenditures

5,639	5,658	120		5,778	2.5%
1,500	1,163	14	40	1,217	-18.9%
1,458	1,564		20	1,584	8.6%
1,725	1,574	3	30	1,607	-6.8%
1,073	999		75	1,074	0.1%
11,395	10,958	17	285	11,260	-1.2%
111	50		90	140	26.1%
414		418		418	1.0%
2,276	740		1,320	2,060	-9.5%
32		175		175	446.9%
				0	
				0	
14,228	11,748	610	1,695	14,053	-1.2%

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
MARION CAMPUS BY FUND
(IN THOUSANDS)**

2003-04 Revised Budget	2004-2005			Total Budget	Percent Change
	General	Earnings	Restricted		

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

3,496	3,519			3,519	0.7%
673	688	6		694	3.1%
200		200		200	0.0%
4,369	4,207	0	206	4,413	1.0%
1,500			2,200	2,200	46.7%
				0	
5,869	4,207	0	2,406	6,613	12.7%
6,596	7,644			7,644	15.9%
100	105			105	5.0%
6,696	7,749	0	0	7,749	15.7%
				0	
				0	
423		96		96	-77.3%
200			300	300	50.0%
				0	
216	125			125	-42.1%
233	64			64	-72.5%
1,072	189	96	300	585	-45.4%
13,637	12,145	96	2,706	14,947	9.6%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

Total Expenditures

5,713	5,931	68	70	6,069	6.2%
2,088	2,124	2	35	2,161	3.5%
1,592	1,731	22	29	1,782	11.9%
1,360	1,246	1	750	1,997	46.8%
905	832	6	2	840	-7.2%
11,658	11,864	99	886	12,849	10.2%
				0	
225			300	300	33.3%
1,612	184		1,520	1,704	5.7%
1				0	-100.0%
				0	
				0	
13,496	12,048	99	2,706	14,853	10.1%

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
NEWARK CAMPUS BY FUND
(IN THOUSANDS)**

2003-04 Revised Budget	2004-2005			Percent Change
	General	Earnings Restricted	Total Budget	

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

5,156	5,022			5,022	-2.6%
1,153	963	123		1,086	-5.8%
53		150		150	183.0%
6,362	5,985	0	273	6,258	-1.6%
1,500			1,677	1,677	11.8%
				0	
7,862	5,985	0	1,950	7,935	0.9%
8,399	10,020			10,020	19.3%
202	218			218	7.9%
8,601	10,238	0	0	10,238	19.0%
				0	
53		63		63	18.9%
				0	
				0	
				0	
255	225			225	-11.8%
244	260			260	6.6%
552	485	63	0	548	-0.7%
17,015	16,708	63	1,950	18,721	10.0%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

Total Expenditures

8,454	8,146	50		8,196	-3.1%
1,692	1,897			1,897	12.1%
2,016	3,123			3,123	54.9%
1,808	2,185	75		2,260	25.0%
1,410	1,340			1,340	-5.0%
15,380	16,691	0	125	16,816	9.3%
20		60		60	200.0%
				0	
1,400			1,765	1,765	26.1%
51		63		63	23.5%
				0	
				0	
16,851	16,691	63	1,950	18,704	11.0%

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
AGRICULTURAL TECHNICAL INSTITUTE BY FUND
(IN THOUSANDS)

2003-04 Revised Budget	2004-2005			Percent Change
	General	Earnings Restricted	Total Budget	

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

4,292	4,444			4,444	3.5%
705	403	254		657	-6.8%
200		300		300	50.0%
5,197	4,847	0	554	5,401	3.9%
1,400			1,300	1,300	-7.1%
1			1	1	0.0%
6,598	4,847	0	1,855	6,702	1.6%
3,661	3,789			3,789	3.5%
				0	
3,661	3,789	0	0	3,789	3.5%
				0	
				0	
1,556		1,638		1,638	5.3%
			20	20	
				0	
25	25			25	0.0%
141	141			141	0.0%
1,722	166	1,638	20	1,824	5.9%
11,981	8,802	1,638	1,875	12,315	2.8%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

Total Expenditures

5,617	4,656	979	407	6,042	7.6%
873	824	24	20	868	-0.6%
848	887		5	892	5.2%
1,201	1,206	5	20	1,231	2.5%
1,065	1,097		3	1,100	3.3%
9,604	8,670	1,008	455	10,133	5.5%
250			320	320	28.0%
1,120		974	300	1,274	13.8%
800			800	800	0.0%
				0	
				0	
				0	
11,774	8,670	1,982	1,875	12,527	6.4%

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
OHIO AGRICULTURAL AND RESEARCH DEVELOPMENT CENTER BY FUND
(IN THOUSANDS)

2003-04 Revised Budget	2004-2005			Total Budget	Percent Change
	General	Earnings	Restricted		

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

				0	
34,397			35,830	35,830	4.2%
350			0	0	-100.0%
34,747	0	0	35,830	35,830	3.1%
7,000			6,500	6,500	-7.1%
20				0	-100.0%
41,767	0	0	42,330	42,330	1.3%
				0	
				0	
0	0	0	0	0	
				0	
				0	
1,240		1,590		1,590	28.2%
2,500			2,500	2,500	0.0%
300			600	600	100.0%
				0	
				0	
4,040	0	1,590	3,100	4,690	16.1%
45,807	0	1,590	45,430	47,020	2.6%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

Total Expenditures

400			500	500	25.0%
3,240		1,590	1,000	2,590	-20.1%
				0	
				0	
4,550			4,230	4,230	-7.0%
8,190	0	1,590	5,730	7,320	-10.6%
37,067			38,500	38,500	3.9%
300			1,000	1,000	233.3%
250			200	200	-20.0%
				0	
				0	
				0	
45,807	0	1,590	45,430	47,020	2.6%

**SUMMARY OF STATE SUPPORT
COLUMBUS CAMPUS
(IN THOUSANDS)**

2003-04 Revised Budget	2004-2005		Total Budget	Dollar Change	Percent Change
	General Funds	Restrct'd			

CORE FUNDING

Instructional Funding
Mission-Based Core Funding:
 Success Challenge (1)
 Research Challenge
 Priorities in Graduate Education
Subtotal Core Funding

299,998	301,457		301,457	1,459	0.5%
10,714	10,934		10,934	220	2.1%
7,266	7,164		7,164	(102)	-1.4%
601		572	572	(29)	-4.8%
318,579	319,555	572	320,127	1,548	0.5%

APPROPRIATIONS

OSU-Specific Line Items:
Cooperative Extension
Clinical Teaching
Sea Grants
Dental/Veterinary Medicine
Supercomputer
OARNET
Ohio Learning Network
OSU Glenn Institute
BioMEMS Program
 Subtotal OSU-Specific Line Items

24,619		25,645	25,645	1,026	4.2%
13,566		13,566	13,566	0	0.0%
264		258	258	(6)	-2.3%
1,344		1,277	1,277	(67)	-5.0%
4,124		4,021	4,021	(103)	-2.5%
3,584		3,727	3,727	143	4.0%
3,277		3,119	3,119	(158)	-4.8%
299	286		286	(13)	-4.3%
174		166	166	(8)	-4.6%
51,251	286	51,779	52,065	814	1.6%

General Line Items
Math/Science Teaching Improvement
Resource Ctr-Math/Science/Reading
Urban Universities
College Readiness Initiatives
Library Book Depository
Student Support Services
Capital Component
Medical Items:
 Family Practice
 Primary Care
 Geriatric Medicine
 Area Health Education Center
Subtotal General Line Items

339		331	331	(8)	-2.4%
853		800	800	(53)	
347		330	330	(17)	-4.9%
233		249	249	16	6.9%
413	402		402	(11)	-2.7%
200	146		146	(54)	-27.0%
1,237		1,237	1,237	0	0.0%
735		708	708	(27)	-3.7%
414		394	394	(20)	-4.8%
113		107	107	(6)	-5.3%
190		181	181	(9)	-4.7%
5,074	548	4,337	4,885	(189)	-3.7%

Total Appropriations

56,325	834	56,116	56,950	625	1.1%
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OHIO GRANTS & CONTRACTS

36,389	1,530	32,000	33,530	(2,859)	-7.9%
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TOTAL STATE SUPPORT

411,293	321,919	88,688	410,607	(686)	-0.2%
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(1) Budget was not adjusted for FY 2004 Success Challenge cut.

**SUMMARY OF STATE SUPPORT
EXTENDED CAMPUSES
(IN THOUSANDS)**

2003-04 Total Budget	2004-05		Total Budget	Dollar Change	Percent Change
	General Funds	Restricted			

LIMA CAMPUS

State Share of Instruction
 State Appropriations
 Access Challenge
 Jobs Challenge
 Capital Component
 Subtotal Appropriations

 State Grants & Contracts

 Total Lima Campus

3,978	3,875		3,875	(103)	-2.6%
594	569		569	(25)	-4.2%
79	79		79	0	0.0%
			0	0	
673	648	0	648	(25)	-3.7%
140	0	140	140	0	0.0%
4,791	4,523	140	4,663	(128)	-2.7%

MANSFIELD CAMPUS

State Share of Instruction
 State Appropriations
 Access Challenge
 Jobs Challenge
 Capital Component
 Subtotal Appropriations

 State Grants & Contracts

 Total Mansfield Campus

4,025	3,920		3,920	(105)	-2.6%
691	601		601	(90)	-13.0%
76	76		76	0	0.0%
391		391	391	0	0.0%
1,158	677	391	1,068	(90)	-7.8%
265		250	250	(15)	-5.7%
5,448	4,597	641	5,238	(210)	-3.9%

MARION CAMPUS

State Share of Instruction
 State Appropriations
 Access Challenge
 Jobs Challenge
 Capital Component
 Subtotal Appropriations

 State Grants & Contracts

 Total Marion Campus

3,496	3,519		3,519	23	0.7%
560	581		581	21	3.8%
107	107		107	0	0.0%
6		6	6	0	0.0%
673	688	6	694	21	3.1%
200		200	200	0	0.0%
4,369	4,207	206	4,413	44	1.0%

**SUMMARY OF STATE SUPPORT
EXTENDED CAMPUSES
(IN THOUSANDS)**

2003-04 Total Budget	2004-05		Total Budget	Dollar Change	Percent Change
	General Funds	Restricted			

NEWARK CAMPUS

State Share of Instruction
State Appropriations
 Access Challenge
 Jobs Challenge
 Capital Component
 Subtotal Appropriations

State Grants & Contracts

Total Newark Campus

5,156	5,022		5,022	(134)	-2.6%
961	894		894	(67)	-7.0%
69	69		69	0	0.0%
123		123	123	0	0.0%
1,153	963	123	1,086	(67)	-5.8%
53		150	150	97	183.0%
6,362	5,985	273	6,258	(104)	-1.6%

AGRICULTURAL TECH INSTITUTE

State Share of Instruction
State Appropriations
 Access Challenge
 Jobs Challenge
 Capital Component
 Subtotal Appropriations

State Grants & Contracts

Total ATI

4,292	4,444		4,444	152	3.5%
349	301		301	(48)	-13.8%
102	102		102	0	0.0%
254		254	254	0	0.0%
705	403	254	657	(48)	-6.8%
200		300	300	100	50.0%
5,197	4,847	554	5,401	204	3.9%

OARDC

Appropriations
State Grants & Contracts

Total OARDC

34,397		35,830	35,830	1,433	4.2%
350			0	(350)	-100.0%
34,747	0	35,830	35,830	1,083	3.1%

TOTAL EXTENDED CAMPUSES

State Share of Instruction
Appropriations
State Grants & Contracts

Total Extended Campuses

20,947	20,780	0	20,780	(167)	-0.8%
38,759	3,379	36,604	39,983	1,224	3.2%
1,208	0	1,040	1,040	(168)	-13.9%
60,914	24,159	37,644	61,803	889	1.5%

SUMMARY OF STUDENT FEE INCOME COLUMBUS CAMPUS

(IN THOUSANDS)

	FY 2004 Budget	FY 2005 Budget	Dollar Change	Percent Change
<u>INSTRUCTIONAL FEES</u>				
Instructional Fees	344,653	393,722	49,069	14.2%
General Fees	17,962	18,268	306	1.7%
Non-Resident Surcharge	107,719	114,049	6,330	5.9%
Subtotal Instructional Fees	470,334	526,039	55,705	11.8%
<u>OTHER FEES</u>				
Student Activity Fee	507	1,030	523	103.2%
Application Fees	2,040	1,840	(200)	-9.8%
Acceptance Fees	1,050	1,075	25	2.4%
Recreation Fee	NA	1,253	1,253	
Technology/Program Fees	2,955	3,755	800	27.1%
Study Abroad	3,000	3,500	500	16.7%
Continuing Education	350	400	50	14.3%
Flight Instruction	865	900	35	4.0%
Medical Instrument Fees	1,625	1,625	0	0.0%
COTA Fees	1,400	0	(1,400)	-100.0%
Other	1,240	1,415	175	14.1%
Subtotal Other Fees	15,032	16,793	1,761	11.7%
<u>TOTAL STUDENT FEE INCOME</u>				
	485,366	542,832	57,466	11.8%

- 1.) Starting in FY 2005, this pass-through to the Central Ohio Transit Authority is no longer recorded as part of the university's income.

2004-2005 STUDENT FEE SCHEDULE
FEE PER QUARTER
COLUMBUS CAMPUS

Undergraduate Tier 1*								
Undergraduate Tier 1: Students first enrolled before Summer Quarter 2002								
Credit Hours	Instrn'l Fees	General Fees		Rec Fees		Resident Total	Non-Resident Tuition	Non-Resident Total
		Basic	Student Activity	Fees	COTA			
		(1)	(2)	(3)				
0	178	10		9		197	147	344
1	356	20		9		385	294	679
2	445	25		9		479	588	1,067
3	534	30		9		573	882	1,455
4	712	40		12	9	773	1,176	1,949
5	890	50		12	9	961	1,470	2,431
6	1,068	60		12	9	1,149	1,765	2,914
7	1,246	69		12	9	1,336	2,059	3,395
8	1,424	79		12	9	1,524	2,353	3,877
9	1,602	89		12	9	1,712	2,647	4,359
10	1,780	99		12	9	1,900	2,941	4,841
11	1,958	109		12	9	2,088	3,235	5,323
12+	2,136	119		12	9	2,276	3,529	5,805

Undergraduate Tier 2*								
Students first enrolled between Summer Quarter 2002 and Spring Quarter 2003								
Credit Hours	Instrn'l Fees	General Fees		Rec Fees		Resident Total	Non-Resident Tuition	Non-Resident Total
		Basic	Student Activity	Fees	COTA			
		(1)	(2)	(3)				
0	195	10		9		214	147	361
1	390	20		9		419	294	713
2	488	25		9		522	588	1,110
3	585	30		9		624	882	1,506
4	780	40		12	9	841	1,176	2,017
5	975	50		12	9	1,046	1,470	2,516
6	1,171	60		12	9	1,252	1,765	3,017
7	1,367	69		12	9	1,457	2,059	3,516
8	1,562	79		12	9	1,662	2,353	4,015
9	1,757	89		12	9	1,867	2,647	4,514
10	1,952	99		12	9	2,072	2,941	5,013
11	2,147	109		12	9	2,277	3,235	5,512
12+	2,342	119		12	9	2,482	3,529	6,011

* See Detail of Selected Rates for clinic and technology/program fees charged by certain programs.

(1) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities.

(2) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to \$42 when the first phase of the new main recreation building is completed in Spring or Summer 2005.

(3) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

2004-2005 STUDENT FEE SCHEDULE
FEE PER QUARTER
COLUMBUS CAMPUS

Undergraduate Tier 3*								
Students first enrolled after Spring Quarter 2003								
Credit Hours	Instrn'l Fees	General Fees		Rec Fees	COTA	Resident Total	Non-Resident Tuition	Non-Resident Total
		Basic	Student Activity					
		(1)	(2)	(3)				
0	196	10	2	9		217	147	364
1	393	20	3	9		425	294	719
2	491	25	3	9		528	588	1,116
3	589	30	4	9		632	882	1,514
4	786	40	5	12	9	852	1,176	2,028
5	983	50	6	12	9	1,060	1,470	2,530
6	1,179	60	8	12	9	1,268	1,765	3,033
7	1,376	69	9	12	9	1,475	2,059	3,534
8	1,573	79	10	12	9	1,683	2,353	4,036
9	1,770	89	11	12	9	1,891	2,647	4,538
10	1,966	99	13	12	9	2,099	2,941	5,040
11	2,162	109	14	12	9	2,306	3,235	5,541
12+	2,359	119	15	12	9	2,514	3,529	6,043

* See Detail of Selected Rates for clinic and technology/program fees charged by certain programs.

(1) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities.

(2) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to '\$42 when the first phase of the new main recreation building is completed in Spring or Summer 2005.

(3) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

2004-2005 STUDENT FEE SCHEDULE
FEE PER QUARTER
COLUMBUS CAMPUS

Masters & PhD (1)										
Credit Hours	Instrn'l Fees	Gen Fees	Student			Resident Total		Non-Resident Tuition	Non-Resident Total	
			Activity Fees	Rec Fees	COTA	Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(2)	(3)	(4)					
0	162	8	1		9	179	180	198	377	378
1	324	15	2		9	348	350	396	744	746
2	519	24	3		9	552	555	792	1,344	1,347
3	779	36	5		9	824	829	1,188	2,012	2,017
4	1,038	48	6	12	9	1,107	1,113	1,584	2,691	2,697
5	1,298	60	8	12	9	1,379	1,387	1,981	3,360	3,368
6	1,557	71	9	12	9	1,649	1,658	2,377	4,026	4,035
7	1,817	83	11	12	9	1,921	1,932	2,773	4,694	4,705
8	2,076	95	12	12	9	2,192	2,204	3,169	5,361	5,373
9	2,336	107	14	12	9	2,464	2,478	3,565	6,029	6,043
10+	2,595	119	15	12	9	2,735	2,750	3,961	6,696	6,711

MLHR (5)										
Credit Hours	Instrn'l Fees	General Fees	Student			Resident Total		Non-Resident Tuition	Non-Resident Total	
			Activity Fees	Rec Fees	COTA	Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(2)	(3)	(4)					
0	169	8	1		9	186	187	198	384	385
1	337	15	2		9	361	363	396	757	759
2	539	24	3		9	572	575	792	1,364	1,367
3	809	36	5		9	854	859	1,188	2,042	2,047
4	1,079	48	6	12	9	1,148	1,154	1,584	2,732	2,738
5	1,349	60	8	12	9	1,430	1,438	1,981	3,411	3,419
6	1,618	71	9	12	9	1,710	1,719	2,377	4,087	4,096
7	1,888	83	11	12	9	1,992	2,003	2,773	4,765	4,776
8	2,158	95	12	12	9	2,274	2,286	3,169	5,443	5,455
9	2,427	107	14	12	9	2,555	2,569	3,565	6,120	6,134
10+	2,697	119	15	12	9	2,837	2,852	3,961	6,798	6,813

(1) See Detail of Selected Rates for clinic and technology/program fees charged by certain programs.

(2) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities

(3) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to \$42 when the first phase of the new main recreation building is completed in Spring or Summer 2005.

(4) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

(5) Students in this program also pay a \$159 learning technology fee prorated by credit hour.

**2004-2005 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS**

MBA (1)										
Credit Hours	Instrn'l Fees	General Fees	Student			Resident Total		Non-Resident Tuition	Non-Resident Total	
			Activity Fees	Rec Fees	COTA	Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(2)	(3)	(4)					
0	305	8	1		9	322	323	198	520	521
1	609	15	2		9	633	635	396	1,029	1,031
2	974	24	3		9	1,007	1,010	792	1,799	1,802
3	1,461	36	5		9	1,506	1,511	1,188	2,694	2,699
4	1,948	48	6	12	9	2,017	2,023	1,584	3,601	3,607
5	2,436	60	8	12	9	2,517	2,525	1,981	4,498	4,506
6	2,923	71	9	12	9	3,015	3,024	2,377	5,392	5,401
7	3,410	83	11	12	9	3,514	3,525	2,773	6,287	6,298
8	3,897	95	12	12	9	4,013	4,025	3,169	7,182	7,194
9	4,384	107	14	12	9	4,512	4,526	3,565	8,077	8,091
10+	4,871	119	15	12	9	5,011	5,026	3,961	8,972	8,987

Part-Time MBA (1)										
Credit Hours	Instrn'l Fees	General Fees	Student			Resident Total		Non-Resident Tuition	Non-Resident Total	
			Activity Fees	Rec Fees	COTA	Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(2)	(3)	(4)					
0	304	8	1		9	321	322	198	519	520
1	608	15	2		9	632	634	396	1,028	1,030
2	972	24	3		9	1,005	1,008	792	1,797	1,800
3	1,459	36	5		9	1,504	1,509	1,188	2,692	2,697
4	1,945	48	6	12	9	2,014	2,020	1,584	3,598	3,604
5	2,431	60	8	12	9	2,512	2,520	1,981	4,493	4,501
6	2,917	71	9	12	9	3,009	3,018	2,377	5,386	5,395
7	3,403	83	11	12	9	3,507	3,518	2,773	6,280	6,291
8	3,890	95	12	12	9	4,006	4,018	3,169	7,175	7,187
9	4,376	107	14	12	9	4,504	4,518	3,565	8,069	8,083
10+	4,862	119	15	12	9	5,002	5,017	3,961	8,963	8,978

(1) Students in this program also pay a \$159 learning technology fee prorated by credit hour.

(2) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities

(3) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to \$42 when the first phase of the new main recreation building is completed in Spring or Summer 2005.

(4) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

**2004-2005 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS**

EMBA (1)										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(2)	(3)	(4)					
0	720	8	1		9	737	738	198	935	936
1	1,440	15	2		9	1,464	1,466	396	1,860	1,862
2	2,305	24	3		9	2,338	2,341	792	3,130	3,133
3	3,457	36	5		9	3,502	3,507	1,188	4,690	4,695
4	4,609	48	6	12	9	4,678	4,684	1,584	6,262	6,268
5	5,762	60	8	12	9	5,843	5,851	1,981	7,824	7,832
6	6,914	71	9	12	9	7,006	7,015	2,377	9,383	9,392
7	8,066	83	11	12	9	8,170	8,181	2,773	10,943	10,954
8	9,218	95	12	12	9	9,334	9,346	3,169	12,503	12,515
9	10,371	107	14	12	9	10,499	10,513	3,565	14,064	14,078
10+	11,523	119	15	12	9	11,663	11,678	3,961	15,624	15,639

Master of Accounting (1)										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(2)	(3)	(4)					
0	380	8	1		9	397	398	198	595	596
1	760	15	2		9	784	786	396	1,180	1,182
2	1,216	24	3		9	1,249	1,252	792	2,041	2,044
3	1,824	36	5		9	1,869	1,874	1,188	3,057	3,062
4	2,432	48	6	12	9	2,501	2,507	1,584	4,085	4,091
5	3,040	60	8	12	9	3,121	3,129	1,981	5,102	5,110
6	3,647	71	9	12	9	3,739	3,748	2,377	6,116	6,125
7	4,255	83	11	12	9	4,359	4,370	2,773	7,132	7,143
8	4,863	95	12	12	9	4,979	4,991	3,169	8,148	8,160
9	5,471	107	14	12	9	5,599	5,613	3,565	9,164	9,178
10+	6,079	119	15	12	9	6,219	6,234	3,961	10,180	10,195

- (1) Students in this program also pay a \$159 learning technology fee prorated by credit hour.
- (2) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities
- (3) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to \$42 when the first phase of the new main recreation building is completed in Spring or Summer 2005.
- (4) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

**2004-2005 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS**

Health Administration										
Credit Hours	Instrn'l Fees	General Fees	Student			Resident Total		Non-Resident Tuition	Non-Resident Total	
			Activity Fees	Rec Fees	COTA	Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(1)	(2)	(3)					
0	194	8	1		9	211	212	198	409	410
1	388	15	2		9	412	414	396	808	810
2	621	24	3		9	654	657	792	1,446	1,449
3	931	36	5		9	976	981	1,188	2,164	2,169
4	1,242	48	6	12	9	1,311	1,317	1,584	2,895	2,901
5	1,552	60	8	12	9	1,633	1,641	1,981	3,614	3,622
6	1,862	71	9	12	9	1,954	1,963	2,377	4,331	4,340
7	2,173	83	11	12	9	2,277	2,288	2,773	5,050	5,061
8	2,483	95	12	12	9	2,599	2,611	3,169	5,768	5,780
9	2,794	107	14	12	9	2,922	2,936	3,565	6,487	6,501
10+	3,104	119	15	12	9	3,244	3,259	3,961	7,205	7,220

Public Health MPH										
Credit Hours	Instrn'l Fees	General Fees	Student			Resident Total		Non-Resident Tuition	Non-Resident Total	
			Activity Fees	Rec Fees	COTA	Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(1)	(2)	(3)					
0	172	8	1		9	189	190	198	387	388
1	344	15	2		9	368	370	396	764	766
2	550	24	3		9	583	586	792	1,375	1,378
3	825	36	5		9	870	875	1,188	2,058	2,063
4	1,100	48	6	12	9	1,169	1,175	1,584	2,753	2,759
5	1,375	60	8	12	9	1,456	1,464	1,981	3,437	3,445
6	1,650	71	9	12	9	1,742	1,751	2,377	4,119	4,128
7	1,925	83	11	12	9	2,029	2,040	2,773	4,802	4,813
8	2,200	95	12	12	9	2,316	2,328	3,169	5,485	5,497
9	2,475	107	14	12	9	2,603	2,617	3,565	6,168	6,182
10+	2,750	119	15	12	9	2,890	2,905	3,961	6,851	6,866

(1) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities

(2) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to \$42 when the first phase of the new main recreation building is completed in Spring or Summer 2005.

(3) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

2004-2005 STUDENT FEE SCHEDULE
FEE PER QUARTER
COLUMBUS CAMPUS

Public Health PEP										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(1)	(2)	(3)					
0	172	8	1		9	189	190	198	387	388
1	344	15	2		9	368	370	396	764	766
2	550	24	3		9	583	586	792	1,375	1,378
3	825	36	5		9	870	875	1,188	2,058	2,063
4	1,100	48	6	12	9	1,169	1,175	1,584	2,753	2,759
5	1,375	60	8	12	9	1,456	1,464	1,981	3,437	3,445
6	1,650	71	9	12	9	1,742	1,751	2,377	4,119	4,128
7	1,925	83	11	12	9	2,029	2,040	2,773	4,802	4,813
8	2,200	95	12	12	9	2,316	2,328	3,169	5,485	5,497
9	2,475	107	14	12	9	2,603	2,617	3,565	6,168	6,182
10+	2,750	119	15	12	9	2,890	2,905	3,961	6,851	6,866

Master of Physical Therapy										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(1)	(2)	(3)					
0	178	8	1		9	195	196	198	393	394
1	355	15	2		9	379	381	396	775	777
2	569	24	3		9	602	605	792	1,394	1,397
3	853	36	5		9	898	903	1,188	2,086	2,091
4	1,137	48	6	12	9	1,206	1,212	1,584	2,790	2,796
5	1,422	60	8	12	9	1,503	1,511	1,981	3,484	3,492
6	1,706	71	9	12	9	1,798	1,807	2,377	4,175	4,184
7	1,990	83	11	12	9	2,094	2,105	2,773	4,867	4,878
8	2,274	95	12	12	9	2,390	2,402	3,169	5,559	5,571
9	2,559	107	14	12	9	2,687	2,701	3,565	6,252	6,266
10+	2,843	119	15	12	9	2,983	2,998	3,961	6,944	6,959

(1) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities

(2) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to \$42 when the first phase of the new main recreation building is completed in Spring or Summer 2005.

(3) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

**2004-2005 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS**

Master of Occupational Therapy										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(1)	(2)	(3)					
0	162	8	1		9	179	180	198	377	378
1	324	15	2		9	348	350	396	744	746
2	519	24	3		9	552	555	792	1,344	1,347
3	779	36	5		9	824	829	1,188	2,012	2,017
4	1,038	48	6	12	9	1,107	1,113	1,584	2,691	2,697
5	1,298	60	8	12	9	1,379	1,387	1,981	3,360	3,368
6	1,557	71	9	12	9	1,649	1,658	2,377	4,026	4,035
7	1,817	83	11	12	9	1,921	1,932	2,773	4,694	4,705
8	2,076	95	12	12	9	2,192	2,204	3,169	5,361	5,373
9	2,336	107	14	12	9	2,464	2,478	3,565	6,029	6,043
10+	2,595	119	15	12	9	2,735	2,750	3,961	6,696	6,711

Pharmacy										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(1)	(2)	(3)					
0	216	8	1		9	233	234	264	497	498
1	431	15	2		9	455	457	527	982	984
2	690	24	3		9	723	726	843	1,566	1,569
3	1,035	36	5		9	1,080	1,085	1,264	2,344	2,349
4	1,380	48	6	12	9	1,449	1,455	1,686	3,135	3,141
5	1,725	60	8	12	9	1,806	1,814	2,107	3,913	3,921
6	2,070	71	9	12	9	2,162	2,171	2,528	4,690	4,699
7	2,415	83	11	12	9	2,519	2,530	2,950	5,469	5,480
8	2,760	95	12	12	9	2,876	2,888	3,371	6,247	6,259
9	3,105	107	14	12	9	3,233	3,247	3,793	7,026	7,040
10+	3,450	119	15	12	9	3,590	3,605	4,214	7,804	7,819

(1) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities

(2) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to \$42 when the first phase of the new main recreation building is completed in Spring or Summer 2005.

(3) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

2004-2005 STUDENT FEE SCHEDULE
FEE PER QUARTER
COLUMBUS CAMPUS

Medicine (1)										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(2)	(3)	(4)					
0	449	8	1		9	466	467	543	1,009	1,010
1	898	15	2		9	922	924	1,085	2,007	2,009
2	1,436	24	3		9	1,469	1,472	1,735	3,204	3,207
3	2,154	36	5		9	2,199	2,204	2,603	4,802	4,807
4	2,872	48	6	12	9	2,941	2,947	3,471	6,412	6,418
5	3,590	60	8	12	9	3,671	3,679	4,339	8,010	8,018
6	4,308	71	9	12	9	4,400	4,409	5,206	9,606	9,615
7	5,026	83	11	12	9	5,130	5,141	6,074	11,204	11,215
8	5,744	95	12	12	9	5,860	5,872	6,942	12,802	12,814
9	6,462	107	14	12	9	6,590	6,604	7,809	14,399	14,413
10+	7,180	119	15	12	9	7,320	7,335	8,677	15,997	16,012

Optometry (5)										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(2)	(3)	(4)					
0	266	8	1		9	283	284	509	792	793
1	531	15	2		9	555	557	1,017	1,572	1,574
2	849	24	3		9	882	885	1,627	2,509	2,512
3	1,274	36	5		9	1,319	1,324	2,440	3,759	3,764
4	1,698	48	6	12	9	1,767	1,773	3,254	5,021	5,027
5	2,123	60	8	12	9	2,204	2,212	4,067	6,271	6,279
6	2,548	71	9	12	9	2,640	2,649	4,880	7,520	7,529
7	2,972	83	11	12	9	3,076	3,087	5,694	8,770	8,781
8	3,397	95	12	12	9	3,513	3,525	6,507	10,020	10,032
9	3,821	107	14	12	9	3,949	3,963	7,321	11,270	11,284
10+	4,246	119	15	12	9	4,386	4,401	8,134	12,520	12,535

(1) Medicine Level 1 fees. Medicine will offer tuition credits to students in Levels 2, 3 and 4 bringing their effective instructional fee lower than Level 1 by 1.7% for Level 2, 5.9% for Level 3, and 8.4% for Level 4.

(2) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities

(3) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to \$42 when the first phase of the new main recreation building is completed in Spring or Summer 2005.

(4) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

(5) Optometry students also pay a flat \$340 per quarter equipment fee.

**2004-2005 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS**

Dentistry (1)										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(2)	(3)	(4)					
0	374	8	1		9	391	392	509	900	901
1	748	15	2		9	772	774	1,017	1,789	1,791
2	1,196	24	3		9	1,229	1,232	1,627	2,856	2,859
3	1,794	36	5		9	1,839	1,844	2,440	4,279	4,284
4	2,392	48	6	12	9	2,461	2,467	3,254	5,715	5,721
5	2,990	60	8	12	9	3,071	3,079	4,067	7,138	7,146
6	3,588	71	9	12	9	3,680	3,689	4,880	8,560	8,569
7	4,186	83	11	12	9	4,290	4,301	5,694	9,984	9,995
8	4,784	95	12	12	9	4,900	4,912	6,507	11,407	11,419
9	5,382	107	14	12	9	5,510	5,524	7,321	12,831	12,845
10+	5,980	119	15	12	9	6,120	6,135	8,134	14,254	14,269

Vet Medicine										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(2)	(3)	(4)					
0	333	8	1		9	350	351	526	876	877
1	665	15	2		9	689	691	1,051	1,740	1,742
2	1,063	24	3		9	1,096	1,099	1,682	2,778	2,781
3	1,595	36	5		9	1,640	1,645	2,522	4,162	4,167
4	2,126	48	6	12	9	2,195	2,201	3,363	5,558	5,564
5	2,658	60	8	12	9	2,739	2,747	4,204	6,943	6,951
6	3,190	71	9	12	9	3,282	3,291	5,045	8,327	8,336
7	3,721	83	11	12	9	3,825	3,836	5,886	9,711	9,722
8	4,253	95	12	12	9	4,369	4,381	6,726	11,095	11,107
9	4,784	107	14	12	9	4,912	4,926	7,567	12,479	12,493
10+	5,316	119	15	12	9	5,456	5,471	8,408	13,864	13,879

(1) Dentistry students also pay a flat \$984 per quarter equipment fee.

(2) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities

(3) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to \$42 when the first phase of the new main recreation building is completed in Spring or Summer 2005.

(4) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

2004-2005 STUDENT FEE SCHEDULE
FEES PER SEMESTER
COLUMBUS CAMPUS

Law (Semester)										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(1)	(2)	(3)					
0	436	11	2		13.50	460.50	462.50	401	861.50	863.50
1	871	22	3		13.50	906.50	909.50	802	1,708.50	1,711.50
2	1,394	36	4		13.50	1,443.50	1,447.50	1,283	2,726.50	2,730.50
3	2,091	54	7		13.50	2,158.50	2,165.50	1,925	4,083.50	4,090.50
4	2,788	72	9	18	13.50	2,891.50	2,900.50	2,566	5,457.50	5,466.50
5	3,485	90	11	18	13.50	3,606.50	3,617.50	3,208	6,814.50	6,825.50
6	4,182	107	13	18	13.50	4,320.50	4,333.50	3,850	8,170.50	8,183.50
7	4,879	125	15	18	13.50	5,035.50	5,050.50	4,491	9,526.50	9,541.50
8	5,576	143	18	18	13.50	5,750.50	5,768.50	5,133	10,883.50	10,901.50
9	6,273	161	20	18	13.50	6,465.50	6,485.50	5,774	12,239.50	12,259.50
10+	6,970	179	22	18	13.50	7,180.50	7,202.50	6,416	13,596.50	13,618.50

(1) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities

(2) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to \$63 per semester when the first phase of the new main recreation building is completed in Spring or Summer 2005.

(3) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

**2004-2005 STUDENT FEE SCHEDULE
FEES PER QUARTER**

LIMA, MANSFIELD, MARION AND NEWARK CAMPUSES

<u>Undergraduate - Lower Division</u>							
Credit Hours	Instrn'l Fees	General Fees	Resident Total	BOR - AC Credit	Total After Access	Non-Resident Tuition	Non-Resident Total
0	148	6	154	(16)	138	147	285
1	296	12	308	(32)	276	294	570
2	370	15	385	(40)	345	588	933
3	445	18	463	(48)	415	882	1,297
4	593	24	617	(64)	553	1,176	1,729
5	741	30	771	(80)	691	1,470	2,161
6	889	37	926	(96)	830	1,765	2,595
7	1,037	43	1,080	(112)	968	2,059	3,027
8	1,185	49	1,234	(128)	1,106	2,353	3,459
9	1,334	55	1,389	(144)	1,245	2,647	3,892
10	1,482	61	1,543	(160)	1,383	2,941	4,324
11	1,630	67	1,697	(176)	1,521	3,235	4,756
12+	1,778	73	1,851	(192)	1,659	3,529	5,188

<u>Undergraduate - Upper Division</u>							
Credit Hours	Instrn'l Fees	General Fees	Resident Total	BOR - AC Credit	Total After Access	Non-Resident Tuition	Non-Resident Total
0	148	6	154	0	154	147	301
1	296	12	308	0	308	294	602
2	370	15	385	0	385	588	973
3	445	18	463	0	463	882	1,345
4	593	24	617	0	617	1,176	1,793
5	741	30	771	0	771	1,470	2,241
6	889	37	926	0	926	1,765	2,691
7	1,037	43	1,080	0	1,080	2,059	3,139
8	1,185	49	1,234	0	1,234	2,353	3,587
9	1,334	55	1,389	0	1,389	2,647	4,036
10	1,482	61	1,543	0	1,543	2,941	4,484
11	1,630	67	1,697	0	1,697	3,235	4,932
12+	1,778	73	1,851	0	1,851	3,529	5,380

2004-2005 STUDENT FEE SCHEDULE
FEE PER QUARTER
LIMA, MANSFIELD, MARION AND NEWARK CAMPUSES

<u>Graduate</u>							
Credit Hours	Instrn'l Fees	General Fees	Resident Total	BOR - AC Credit	Total After Access	Non-Resident Tuition	Non-Resident Total
0	161	5	166	0	166	198	364
1	322	9	331	0	331	396	727
2	515	15	530	0	530	792	1,322
3	772	22	794	0	794	1,188	1,982
4	1,029	29	1,058	0	1,058	1,584	2,642
5	1,287	37	1,324	0	1,324	1,981	3,305
6	1,544	44	1,588	0	1,588	2,377	3,965
7	1,801	51	1,852	0	1,852	2,773	4,625
8	2,058	58	2,116	0	2,116	3,169	5,285
9	2,316	66	2,382	0	2,382	3,565	5,947
10+	2,573	73	2,646	0	2,646	3,961	6,607

AGRICULTURAL TECHNICAL INSTITUTE

<u>Undergraduate</u>							
Credit Hours	Instrn'l Fees	General Fees	Resident Total	BOR - AC Credit	Total After Access	Non-Resident Tuition	Non-Resident Total
0	148	6	154	(12)	142	147	289
1	295	12	307	(23)	284	294	578
2	369	15	384	(29)	355	588	943
3	443	18	461	(35)	426	882	1,308
4	590	24	614	(46)	568	1,176	1,744
5	738	30	768	(58)	710	1,470	2,180
6	886	37	923	(70)	853	1,765	2,618
7	1,033	43	1,076	(81)	995	2,059	3,054
8	1,181	49	1,230	(93)	1,137	2,353	3,490
9	1,328	55	1,383	(104)	1,279	2,647	3,926
10	1,476	61	1,537	(116)	1,421	2,941	4,362
11	1,623	67	1,690	(127)	1,563	3,235	4,798
12+	1,771	73	1,844	(139)	1,705	3,529	5,234

ANNUAL FEE HISTORY COLUMBUS CAMPUS RESIDENT FEES

		FY 1995	FY 2001	FY 2002	FY 2003	FY 2004	FY 2005	10 Year Average Increase
Undergraduate:	(1)							
Tier 1	(2)	3,087	4,356	4,761	5,190	5,991	6,765	8.2%
Tier 2	(2)	3,087	4,356	4,761	5,664	6,540	7,383	9.1%
Tier 3	(2)	3,087	4,356	4,761	5,664	6,624	7,779	9.7%
Graduate Programs:	(1)							
Masters & PhD	(1)	4,482	6,021	6,306	6,612	7,206	8,142	6.2%
MLHR	(4)	4,482	6,021	6,843	7,176	7,575	8,448	6.5%
MBA	(4)	4,482	9,024	11,334	12,891	13,635	14,970	12.8%
Part-time MBA	(4)	4,482	9,024	11,334	12,891	13,635	14,943	12.8%
EMBA	(3)	NA	26,076	27,402	29,331	31,749	34,926	NA
Master of Accounting	(3)	NA	15,036	15,810	16,590	17,556	18,504	NA
Health Administration	(4)	4,482	6,021	6,306	8,100	8,556	9,669	8.0%
Public Health MPH	(4)	4,482	6,021	6,306	6,909	7,620	8,607	6.7%
Public Health PEP	(4)	4,482	6,021	6,306	7,029	7,620	8,607	6.7%
Master of Physical Therapy	(3)	NA	NA	6,561	7,191	7,866	8,886	NA
Master of Occupational Therapy	(3)	NA	NA	NA	NA	7,227	8,142	NA
Professional:	(1)							
Pharmacy	(1)	5,181	7,107	7,716	8,610	9,591	10,707	6.4%
Dentistry	(1)	7,431	11,757	12,840	14,349	16,020	18,297	9.4%
Optometry	(1)	7,152	9,897	10,374	11,286	12,369	13,095	6.2%
Veterinary Medicine	(1)	7,371	11,049	12,012	13,188	14,589	16,305	8.3%
Law	(1)	5,376	9,984	10,826	11,880	13,024	14,298	10.3%
Medicine	(5)	8,958	13,821	15,168	17,412	19,278	21,897	9.3%

- (1) Full time fees for undergraduates are 12+ hours & for Graduates and Professional programs are 10+ hours. Fee numbers include only the instructional and general fees.
- (2) Tier 1 students were first enrolled before Summer 2002.
Tier 2 students were first enrolled between Summer 2002 and Spring 2003.
Tier 3 students were first enrolled after Spring 2003.
- (3) These degree granting programs have been created since 1995.
- (4) These are graduate programs that once charged the graduate fee but now have differential fees.
- (5) Medicine Level 1 fees. Medicine will offer tuition credits to students in Levels 2, 3 and 4 bringing their effective instructional fee lower than Level 1 by 1.7% for Level 2, 5.9% for Level 3, and 8.4% for Level 4.

SUMMARY OF TYPICAL STUDENT FEES COLUMBUS CAMPUS UNDERGRADUATES

TIER 1 STUDENTS First Enrolled Prior to Summer 2002				TIER 3 STUDENTS First Enrolled After Spring 2003			
2003-04 Fees	2004-05 Fees	Dollar Change	Percent Change	2003-04 Fees	2004-05 Fees	Dollar Change	Percent Change

RESIDENT FEES

Instructional & General		5,991	6,765	774	12.9%	6,579	7,434	855	13.0%
Student Activity Fee	(1)	0	0	0		45	45	0	0.0%
Recreation Fee	(2)	0	36	36		0	36	36	
Room & Board	(3)	6,429	6,792	363	5.6%	6,429	6,792	363	5.6%
Text Books/Supplies	(4)	1,008	1,038	30	3.0%	1,008	1,038	30	3.0%
Subtotal		13,428	14,631	1,203	9.0%	14,061	15,345	1,284	9.1%
Health Insurance	(5)	1,065	1,212	147	13.8%	1,065	1,212	147	13.8%
Parking & Bus Pass	(6)	172	182	10	5.8%	172	182	10	5.8%
COTA Pass		27	27	0	0.0%	27	27	0	0.0%
Football Tickets	(7)	110	135	25	22.7%	110	135	25	22.7%
Basketball Tickets	(7)	64	64	0	0.0%	64	64	0	0.0%
Total		14,866	16,251	1,385	9.3%	15,499	16,965	1,466	9.5%

NON-RESIDENT FEES

Instructional & General		5,991	6,765	774	12.9%	6,579	7,434	855	13.0%
Non-Resident Tuition		9,987	10,587	600	6.0%	9,987	10,587	600	6.0%
Student Activity Fee	(1)	0	0	0		45	45	0	0.0%
Recreation Fee	(2)	0	12	12		0	12	12	
Room & Board	(3)	6,429	6,792	363	5.6%	6,429	6,792	363	5.6%
Text Books/Supplies	(4)	1,008	1,038	30	3.0%	1,008	1,038	30	3.0%
Subtotal		23,415	25,194	1,779	7.6%	24,048	25,908	1,860	7.7%
Health Insurance	(5)	1,065	1,212	147	13.8%	1,065	1,212	147	13.8%
Parking & Bus Pass	(6)	172	182	10	5.8%	172	182	10	5.8%
COTA Pass		27	27	0	0.0%	27	27	0	0.0%
Football Tickets	(7)	110	135	25	22.7%	110	135	25	22.7%
Basketball Tickets	(7)	64	64	0	0.0%	64	64	0	0.0%
Total		24,853	26,814	1,961	7.9%	25,486	27,528	2,042	8.0%

- (1) The new Student Activity Fee applies only to students first enrolled after Spring 2003 and is within the fee cap.
- (2) The recreation fee applies only to students first enrolled after Summer 2003.
- (3) Undergraduate - Weighted average room rate +10 meal plan.
- (4) Estimated costs and inflationary increase per OSU Bookstore.
- (5) Student insurance is based on the single student comprehensive rate.
- (6) Using main campus rate.
- (7) Five football and eight basketball games.

DETAIL OF SELECTED RATES
OTHER STUDENT FEES
FY 2005

Description	Timing	Rate	Percent Change
Housing and Food Service			
Undergraduate (Average room rates, & basic meal plan)	Quarter	2,264.00	5.7%
Graduate (Single + Phone)	Month	389.00	1.8%
Family Student Housing (2 bedroom apartment)	Month	660.00	4.8%
Board Only (100 meals plus \$100 Buck ID)	Quarter	900.00	5.6%
Parking & Transportation			
Parking, 4-Wheel Vehicle (Main Campus)	Annual	181.80	5.7%
Parking, 4-Wheel Vehicle (West Campus)	Annual	64.80	5.9%
COTA Bus Pass	Quarter	9.00	0.0%
Recreational Fees			
Football Tickets	Per Game	27.00	22.7%
Basketball Tickets	Per Game	8.00 - 12.00	0.0%
Golf Course Membership	Annual	550.00	0.0%
Golf Course Greens Fees	Per Round	14.00 - 18.00	0.0%
Recreation & Physical Activity Center Fee	Quarter	12.00	(1)
Student Health Insurance			
Student	Quarter	404.00	14%
Student & Spouse	Quarter	1,080.00	19%
Student & Children	Quarter	1,087.00	19%
Student, Spouse & Children	Quarter	1,458.00	19%
Law Students	Semester	605 - 2187	15%
Early Arriving Students	Month	123 - 444	6%
Equipment Fees			
Dental Clinical Education Support Fee	Quarter	984.00	0.0%
Dental Hygiene Clinical Education Support Fee	Quarter	379.00	0.0%
Clinic Fees			
Optometry Clinic Usage Fee	Quarter	340.00	0.0%
Dental Hygiene EFDA Fee (Continuing Students)	Quarter	128.00	0.0%
Nursing Clinical Fee	Quarter	150.00	0.0%
Nursing Insurance Fee	Annual	12.00	0.0%
Technology/Program Fees			
College of Arts (Undergraduate & Graduate)	Quarter	50.00	0.0%
College of Biological Sciences	Quarter	50.00	New (2)
College of Business (Undergraduate)	Quarter	162.00	67.0% (2)
College of Business (Graduate)	Quarter	159.00	15.2%
College of Engineering (Undergraduate)	Quarter	110.00	0.0%
College of Engineering (Graduate)	Quarter	120.00	0.0%
College of Nursing (Undergraduate)	Quarter	100.00	100.0% (2)
College of Nursing (Graduate)	Quarter	50.00	0.0%
MAPS students in CSE (Undergraduate)	Quarter	73.00	0.0%
Department of Engineering Physics (Undergraduate)	Quarter	73.00	0.0%
School of Music (Undergraduate)	Quarter	100.00	100.0% (2)
School of Public Policy & Management (Graduate)	Quarter	120.00	0.0%

(1) Student Recreation fee will increase to \$42 per quarter when the new main recreation center opens.

(2) \$50 undergraduate program fee new in FY05; combined with existing technology fee when applicable.

DETAIL OF SELECTED RATES OTHER STUDENT FEES

FY 2005

Description	Timing	Rate	Percent Change
Other Student Fees			
Application Fees-International (All but Medicine and Law)	One Time	50.00	0.0%
Application Fees-Domestic (All but Medicine and Law)	One Time	40.00	0.0%
Application Fees-Medicine International	One Time	70.00	0.0%
Application Fees-Medicine Domestic	One Time	60.00	0.0%
Application Fees-Law International	One Time	70.00	40.0%
Application Fees-Law Domestic	One Time	60.00	50.0%
Acceptance Fees-Undergraduate	One Time	100.00	0.0%
Acceptance Fees-Graduate	N/A	N/A	N/A
Acceptance Fees-Professional	One Time	25.00	0.0%
Transcript Fees	Each Request	7.00	0.0%
Dissertation Processing Fee	Each Request	70.00	4.5%
Orientation-Attend Summer Quarter	One Time	50.00	0.0%
Orientation-Attend Other Quarters	One Time	25.00	0.0%

FACULTY, STAFF & DEPARTMENTAL FEES

Health Insurance			
Prime Care Plan			
Individual	Month	53.47	9.4%
Family	Month	167.09	9.4%
OSUHP Plan			
Individual	Month	177.88	3.3%
Family	Month	555.87	3.3%
Traditional Plan			
Individual	Month	177.88	3.3%
Family	Month	555.87	3.3%
Buckeye Plan			
Individual	Month	29.10	25.0%
Family	Month	90.93	25.0%
Parking & Transportation			
Parking, 4-Wheel Vehicle A Decal (Main Campus)	Annual	523.20	5.1%
Parking, 4-Wheel Vehicle B Decal (Main Campus)	Annual	272.00	4.9%
Recreational Fees			
Football Tickets	Per Game	46.00	21.1%
Basketball Tickets	Per Game	15.00 - 20.50	0.0%
Golf Course Membership	Annual	1,625.00	0.0%
Golf Course Greens Fees	Per Round	23.00 - 30.00	0.0%
Recreation & Physical Activity Center Fee	Quarter	18.00	(3)
Departmental Rates			
Basic Telephone Service	Month	18.65	0.0%
University Health System	Various	Various	10.5% (4)

(3) Faculty & Staff Recreation fee will increase to \$100 when the new main recreation center opens.

(4) Weighted Effective Increase.

SUMMARY OF RESIDENT STUDENT FEES BIG TEN PUBLIC INSTITUTIONS

FOR FY 2004 AND FY 2005

UNDERGRADUATE FEES NEW STUDENTS			
	FY 2004 Fees	FY 2005 Fees	1 Year % Change
1 Penn State	9,296	10,856	16.8%
2 Michigan	7,975	8,201	2.8%
3 Minnesota	7,116	8,029	12.8%
4 Michigan State	7,044	7,352	4.4%
5 Illinois	7,010	7,922	13.0%
6 Ohio State	6,624	7,515	13.5%
7 Indiana	6,517	6,777	4.0%
8 Purdue	5,860	6,092	4.0%
9 Wisconsin*	5,139	5,862	14.1%
10 Iowa	4,993	5,396	8.1%
Average	6,757	7,400	9.3%

- Notes: 1) Tuition is for new students entering Autumn Quarter 2004.
 2) FY 2004 data is from AAUDE Data Exchange except for Michigan which was provided by the campus representative.
 3) FY 2005 data is from phone/email survey of campuses.
 4) OSU tuition excludes \$27 COTA pass through fee.

SUMMARY OF AUXILIARY OPERATIONS
FISCAL YEAR 2005
 IN THOUSANDS

Resources				Expenditures & Transfers				Net Income
Auxiliary	General Funds	Restricted	Total Budget	Expends	Debt Service	Other Transfers	Total Budget	

COLUMBUS CAMPUS

Housing, Food Services

and Event Centers (1)

Intercollegiate Athletics

Bookstores (2)

Transportation & (3)

Parking Services

University Airport

Real Estate & Prop Mgt

Subtotal Columbus

	103,090	1,965	105,055	87,143	14,205	445	101,793	3,262
	77,525		85,975	70,522	15,453		85,975	0
	1,659		1,659	1,620			1,620	39
	21,628		21,628	15,700	4,726	318	20,744	884
	6,350		6,350	5,803	234		6,037	313
	935		935	875			875	60
	211,187	1,965	221,602	181,663	34,618	763	217,044	4,558

MANSFIELD CAMPUS

Bookstore

	32		32	32			32	0

NEWARK CAMPUS

Parking Facility

	63		63	63			63	0

GRAND TOTAL

	211,282	1,965	221,697	181,758	34,618	763	217,139	4,558

(1) Includes Student Housing & Food Service, The Fawcett Center, Student Unions, ATI Dorm, Newark Housing, the Schottenstein and the Blackwell.

(2) Consists primarily of the Medical Bookstore. The Main Bookstore and Regional Bookstores have been privatized.

(3) Resources reflect parking surcharge revenue allocated to debt-service

HOUSING, FOOD SERVICES AND EVENT CENTERS

(IN THOUSANDS)

		FY 2004 Budget	FY 2005 Budget	Dollar Change	Percent Change
<u>RESOURCES</u>					
Food Service		26,652	25,144	(1,508)	-5.7%
Housing		46,711	47,311	600	1.3%
Fawcett Center		3,357	3,476	119	3.5%
ATI Residence Hall	(1)	1,634	2,303	669	40.9%
Newark Housing		809	849	40	4.9%
Mansfield Housing	(2)	0	659	659	
Ohio Union		1,188	1,315	127	10.7%
Blackwell	(3)	8,971	10,048	1,077	12.0%
Schottenstein Center	(4)	7,437	7,721	284	3.8%
Other	(5)	4,595	4,264	(331)	-7.2%
General Funds Support		1,929	1,965	36	1.9%
Subtotal Resources		103,283	105,055	1,772	1.7%
<u>EXPENDITURES & TRANSFERS</u>					
Personnel		32,689	32,791	102	0.3%
Benefits		8,861	8,821	(40)	-0.5%
Supplies & Services	(6)	35,891	35,644	(247)	-0.7%
Equipment	(7)	3,097	4,477	1,380	44.6%
University Overhead		4,904	5,410	506	10.3%
Debt Service		14,025	14,205	180	1.3%
Other Transfers	(8)	2,881	445	(2,436)	-84.6%
Subtotal Expenditures & Transfers		102,348	101,793	(555)	-0.5%
<u>NET INCOME</u>		935	3,262	2,327	248.9%

- (1) In FY 2005, ATI housing operations are working more closely with the campus to improve housing occupancy rates.
- (2) New operations in FY 2005.
- (3) Operations are becoming more mature and increasing customer base.
- (4) Schottenstein Center budget is net of artist fees of \$7.8M in FY 2004 and \$7.9M in FY 2005.
- (5) Includes Success Center, Buck ID, Summer Conferences, Oxley's and Drake.
- (6) Excludes Schottenstein Center artists fees.
- (7) Aging food service facilities and reconfigurations of student rooms.
- (8) FY 2005 reduction in planned transfers due to cost reductions and reduced transfers for Blackwell.

INTERCOLLEGIATE ATHLETICS

(IN THOUSANDS)

	FY 2004 Budget	FY 2005 Budget	Dollar Change	Percent Change
<u>RESOURCES</u>				
Auxiliary				
Football	27,155	28,411	1,256	4.6%
Stadium Revenue	15,515	15,010	(505)	-3.3%
Men's Basketball	12,196	11,611	(585)	-4.8%
Other Sports	1,265	1,110	(155)	-12.3%
Golf Course	3,055	3,677	622	20.4%
Other	16,249	17,706	1,457	9.0%
Subtotal Auxiliary	75,435	77,525	2,090	2.8%
Restricted				
Fund Raising	8,050	8,450	400	5.0%
Total Resources	83,485	85,975	2,490	3.0%
<u>EXPENDITURES & TRANSFERS</u>				
Auxiliary				
Personnel	21,416	22,681	1,265	5.9%
Benefits	5,194	5,675	481	9.3%
Supplies & Services	22,833	24,952	2,119	9.3%
Equipment	806	1,072	266	33.0%
Schottenstein Rent	1,643	1,683	40	2.4%
University Overhead	3,801	3,490	(311)	-8.2%
Athletics Grants-In-Aid	1,414	2,965	1,551	109.7%
Debt Service	16,126	15,453	(673)	-4.2%
Other Transfers	2,141	(446)	(2,587)	-120.8%
Subtotal Auxiliary	75,374	77,525	2,151	2.9%
Restricted				
Athletics Grants-In-Aid	7,850	8,450	600	7.6%
Debt Service	0	0	0	
Subtotal Restricted	7,850	8,450	600	7.6%
Total Expenditures & Transfers	83,224	85,975	2,751	3.3%
<u>NET INCOME</u>	261	0	(261)	

(1) Consists of ticket surcharge, club seats, suite rental and scoreboard revenue.

(2) Athletics Grants-In-Aid must be supplemented by Auxiliary funds.

THE OHIO STATE UNIVERSITY HEALTH SYSTEM *
(IN THOUSANDS)

	FY 2004 Budget	FY 2005 Budget	Dollar Change	Percent Change
<u>RESOURCES</u>				
Auxiliary				
Inpatient Revenue (Net)	582,596	642,755	60,159	10.3%
Outpatient Revenue (Net)	270,775	376,904	106,129	39.2%
Other	38,010	38,473	463	1.2%
Subtotal Auxiliary	891,381	1,058,132	166,751	18.7%
Restricted				
State Appropriation	2,635	1,400	(1,235)	-46.9%
Other	3,400	8,600	5,200	152.9%
Subtotal Restricted	6,035	10,000	3,965	65.7%
Total Resources	897,416	1,068,132	170,716	19.0%
<u>EXPENDITURES & TRANSFERS</u>				
Auxiliary				
Patient Services	574,063	681,460	107,397	18.7%
Student Education	22,811	25,092	2,281	10.0%
Research	2,510	2,824	314	12.5%
Administration	206,614	250,832	44,218	21.4%
Plant, Operation & Maintenance	35,823	42,612	6,789	19.0%
Transfers To Plant (Net)	40,490	44,217	3,727	9.2%
Subtotal Auxiliary	882,311	1,047,037	164,726	18.7%
Restricted	6,035	10,000	3,965	65.7%
Total Expenditures & Transfers	888,346	1,057,037	168,691	19.0%
<u>NET INCOME</u>	9,070	11,095	2,025	22.3%

* Includes University Hospitals, James Cancer Hospital & Solove Research Institute, University Hospital East, Ross Heart Hospital, Harding Hospital, and Hospital Physician Network.

STATE SUPPORT AND STUDENT FEES
TEN YEAR TREND
COLUMBUS CAMPUS
(IN THOUSANDS)

Fiscal Year	State Support (1)			Student Fees (2)		
	Amount	Dollar Change	Percent Change	Amount	Dollar Change	Percent Change
1995	267,000	12,645	5.0%	228,170	11,968	5.5%
1996	275,834	8,834	3.3%	241,051	12,881	5.6%
1997	287,565	11,731	4.3%	253,512	12,461	5.2%
1998	303,057	15,492	5.4%	269,094	15,582	6.1%
1999	311,787	8,730	2.9%	286,218	17,124	6.4%
2000	324,623	12,836	4.1%	306,757	20,539	7.2%
2001	337,754	13,131	4.0%	329,059	22,302	7.3%
2002	322,683	(15,071)	-4.5%	363,150	34,091	10.4%
2003	316,848	(5,835)	-1.8%	410,465	47,315	13.0%
2004	317,978	1,130	0.4%	463,855	53,390	13.0%
2005	319,555	2,707	0.9%	526,321	115,856	28.2%
Ten Year Average Increase			1.8%	7.0%		

- (1) State Support includes State Share of Instruction, Research Challenge and Success Challenge funds.
- (2) Student Fees include Instructional, General and Non-Resident Fees.

HEADCOUNT ENROLLMENTS

AUTUMN QUARTER, 1994-2004

Year	<u>Columbus Campus</u>							Veterinary
	Undergrad	Graduate	Law	Dentistry	Medicine	Optometry	Pharmacy	Medicine
1994	36,165	10,735	654	354	850	242	24	518
1995	35,475	10,495	678	360	863	249	36	521
1996	35,485	10,149	683	371	848	249	44	523
1997	35,647	9,907	666	380	855	247	41	535
1998	36,252	9,538	634	375	842	247	94	529
1999	36,092	9,153	628	372	832	248	147	531
2000	35,749	9,382	643	379	832	245	195	527
2001	36,049	9,452	655	395	844	245	300	537
2002	36,855	9,761	673	400	826	244	380	537
2003	37,605	9,931	703	412	843	244	453	540
E 2004	37,622	9,946	680	423	824	248	556	545

Graduate includes: Masters, PhD, MBA, EMBA, MAcc, MLHR, MPT, MHA, MPH, MOT, and PEP

Year	<u>Summary By Campus</u>						Grand
	Columbus	Lima	Mansfield	Marion	Newark	ATI	Total
1994	49,542	1,232	1,504	1,209	1,560	740	55,787
1995	48,677	1,244	1,359	1,171	1,548	783	54,782
1996	48,352	1,281	1,343	1,312	1,611	827	54,726
1997	48,278	1,374	1,460	1,105	1,676	925	54,818
1998	48,511	1,321	1,517	1,141	1,778	965	55,233
1999	48,003	1,323	1,573	1,176	1,883	1,031	54,989
2000	47,952	1,238	1,583	1,276	2,025	969	55,043
2001	48,477	1,356	1,495	1,390	2,079	940	55,737
2002	49,676	1,412	1,513	1,534	2,229	902	57,266
2003	50,731	1,338	1,640	1,567	2,148	830	58,254
E 2004	50,844	1,319	1,472	1,739	2,300	830	58,504

(APPENDIX XI)

Selective Investment and Academic Enrichment

Presentation to
The Ohio State University
Board of Trustees

September 22, 2004

Process for Selection of Selective Investment Units:

- Evaluation criteria:
 - Promotes academic excellence
 - Builds on existing strengths
 - Promotes interdisciplinary activities
 - Has a realistic strategic plan and appropriate benchmarks for monitoring progress and evaluating achievement
 - Contributes to outreach efforts with business, industry, and the community

Units Chosen for Selective Investment Awards:

1998:

- Electrical Engineering
- Materials Science and Engineering
- Physics
- Psychology

1999:

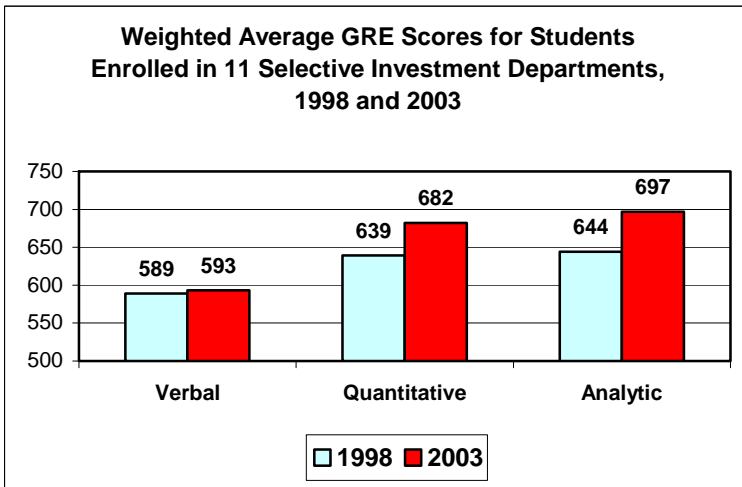
- Chemistry
- History
- Neuroscience
- Political Science

2000:

- Cardiovascular Bioengineering
- Economics
- English
- Law
- Mathematics

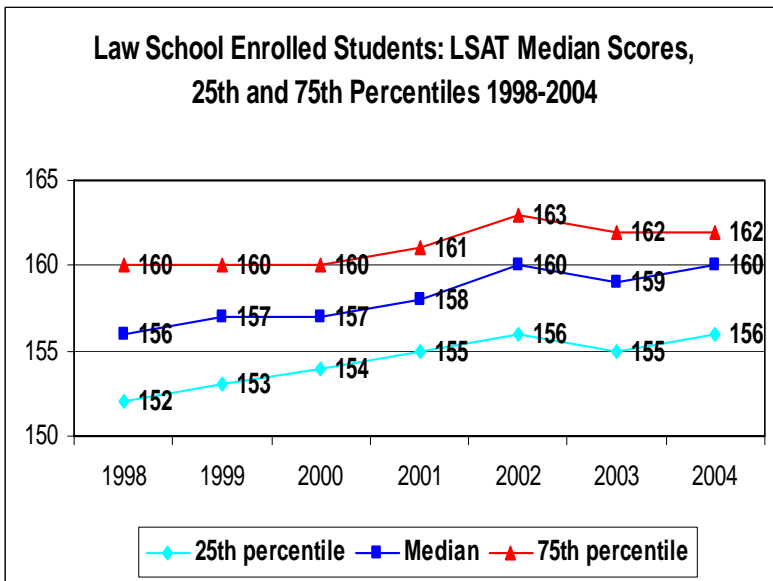
Measuring the Impact of Selective Investment Funds:
Graduate Student Indicators

Weighted average GRE scores for domestic students enrolled in SI departments improved by 100 points overall from 1998 to 2003.



Measuring the Impact of Selective Investment Funds:
Graduate Student Indicators

For entering Law students, LSAT scores have shown an improvement trend from 1998-2004.

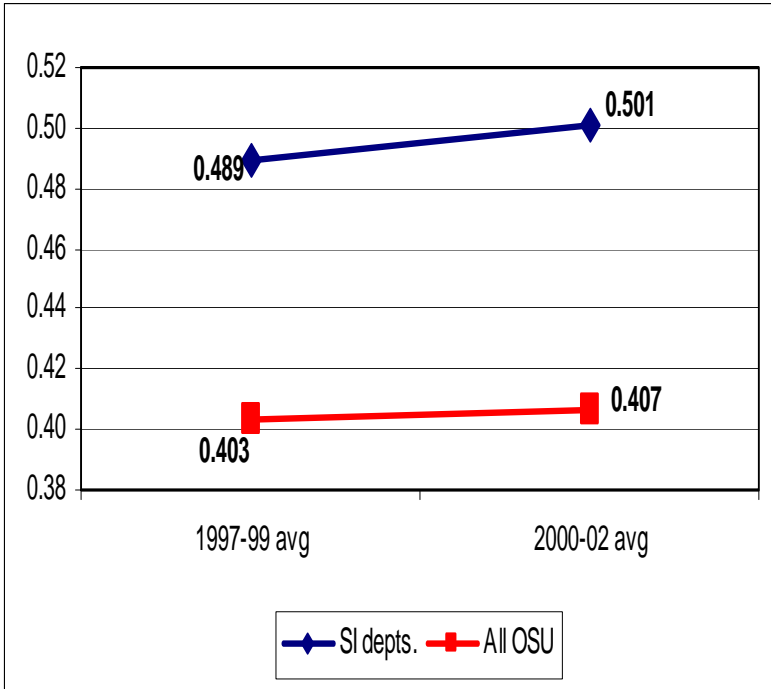


Measuring the Impact of Selective Investment Funds:
Research Indicators

- Average number of article publications per year (in 3-year averages) increased 13% among SI units from 1997-99 to 2000-02.
 - In the same time period, increase for all OSU was 4.7%, making the improvement of SI units 2.75 times that of the university overall.

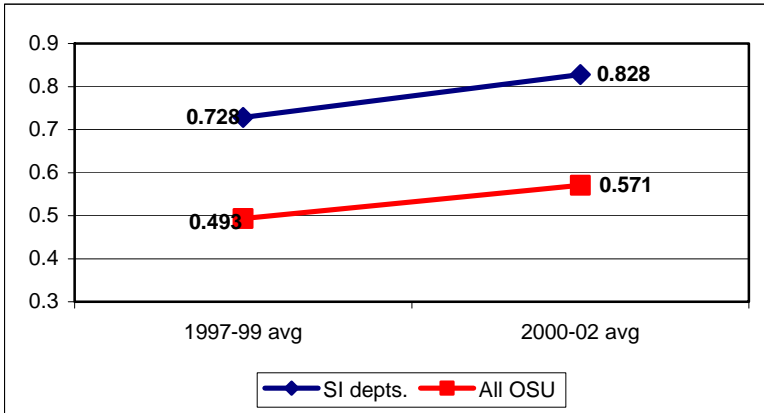
Measuring the Impact of Selective Investment Funds:
Research Indicators

- Average percentage of article publications per year (in 3-year averages) increased .012% among SI units from 1997-99 to 2000-02, 3 times that of university overall (.004%).



Measuring the Impact of Selective Investment Funds:
Research Indicators

- Average percentage of citations per year (in 3-year averages) increased .10% among SI units from 1997-99 to 2000-02, 1.28 times that of OSU as a whole (.078%).



Department of Electrical and
Computer Engineering

- Eleven faculty members elected IEEE fellows from 1998-2004.
- Four faculty members received NSF CAREER awards from 2002-2004, including 3 SI hires.
- Research expenditures grew 80% from 1997-2002, were at all-time high in 2002-03 (\$16.9M) and ranked 4th among public institutions.
- Dr. John Volakis (SI hire) received the Multiple University Research Initiatives (MURI) Award (\$1M per year for five years) in 2004 for the ElectroScience Laboratory.

Department of Materials Science
and Engineering

- Professors James Williams and Winston Ho (SI hires) are members of the National Academy of Engineering.
- Sponsored research funding is increasing:
 - FY00: \$5,639,824
 - FY01: \$10,082,300
 - FY02: \$8,837,669
 - FY03: \$11,111,306
 - FY04: \$10,415,959

Department of Physics

- Prof. Arkady Tseytlin (SI hire) is one of the most highly cited researchers in his field.
- Prof. Samir Mathur (SI hire) won a 2003 OSU Alumni Distinguished Teaching Award.
- Physics majors averaged 2.4 major national awards per year for the past 5 years.
- Extramural funding is increasing:
 - FY00: \$7.4M
 - FY01: \$7.5M
 - FY02: \$8.1M
 - FY03: \$9.9M
 - FY04: \$9.9M

Department of Psychology

- Grant awards increased more than 2 ½ times between FY 2000 and FY 2004 (increasing from \$2.9 million to \$8.1 million).
- Citation counts have increased 40% between 1998 and 2002.
- SI hires have had major impact on recruitment of graduate students in behavioral neuroscience and cognitive psychology.
- Many undergraduate students involved in research with SI hires.

Department of Chemistry

- One of seven Chemistry depts. nationwide selected for participation in Carnegie Initiative on the Doctorate program.
- Prof. Pat Hatcher (SI hire) helped procure the \$5.8M NSF Environmental Molecular Science Institute (EMSI) in 2000.
- Prof. Malcolm Chisholm (SI hire) elected to American Academy of Arts & Sciences in 2004.
- National ranking for NSF awards improved from 25 in 1998 to 17 in 1999, and has been in the top 10 since 2000.

Department of History

- Graduate applications have risen in SI hire areas.
- Publications and conference presentations of SI hires have increased the department's scholarly reputation.
- SI hires received two Guggenheim awards in 2002.

Department of Neuroscience

- Department faculty funded by National Institutes of Health, National Science Foundation, and private foundations.
- Extramural funding gradually increasing, from \$3.9M in 2000 to \$4.36M in 2003.
- In 2004, Michael Knopp (SI joint hire with Radiology) led successful effort to get \$9.1M Third Frontier Grant and \$8M BRTT award to create a Wright Center of Innovation
- Department selected to participate in Carnegie Institute on the Doctorate program.

Department of Political Science

- In U.S. News & World Report, the department ranked 15th in 2001, up from 18th in 1998.
- Department ranked 4th in the world in a study published in January 2004 by the London School of Economics.
- John Mueller (SI hire) member of American Academy of Arts and Sciences
- Political Science majors won 1st and 3rd place honors in the 2003 Denman Undergraduate Research Forum, out of 240 participants.

Cardiovascular Bioengineering

- Davis Heart & Lung Research Institute (DHLRI) achieved top 10 national ranking for research funding in FY2004, with over \$60M in total annual grant awards.
- In past 3 years, faculty recruited directly or indirectly as result of SI initiatives have generated over \$30M in research grants.
- More students and fellows in the DHLRI working in the area of cardiovascular bioengineering, with over 20 currently working in the DHLRI and Biomedical Engineering (BME) Center.

Department of Economics

- Domestic graduate applicants increased 67% from 1998 to 2003; average GRE score of enrolled students increased by 194 points.
- Department recently ranked 11th among U.S. public universities in publications in eight top journals in the field.
- Significant increase in external grants:
 - 1998: \$41,712
 - 1999: \$214,064
 - 2000: \$373,129
 - 2001: \$563,348
 - 2002: \$1,214,192

Department of English

- Andrew Hudgins (SI hire) received a Guggenheim Fellowship in 2004.
- Department selected as one of 7 English departments nationwide to participate in Carnegie Initiative on the Doctorate.
- 19% increase in graduate applications in FY04 over FY03. For FY05, there was a 50% increase in MA applications and a 3% increase in Ph.D. applications over FY04.

College of Law

- Citations per year to faculty publications doubled between 2000 to 2003.
- The average number of faculty articles per year increased from 43 to 82 between 2000 and 2003.
- SI hires started two new scholarly journals since arriving at Ohio State.
- Qualifications of incoming students, including undergraduate GPA and LSAT scores, have shown steady improvement over the past few years.

Department of Mathematics

- Department selected to participate in Carnegie Initiative on the Doctorate.
- Prof. Avner Friedman (SI hire) is a member of the National Academy of Sciences and AAAS Fellow.
- Received 5-year, \$3.9M grant for NSF VIGRE program and 5-year, \$10M NSF grant for Mathematical Biosciences Institute (MBI).
- Data on NSF awards show significant increase from 1998-2002, from \$467,673 (ranked #48) to \$4,643,571 (ranked #1).

Academic Enrichment

- From 1995 to 2002, program provided \$8.7M in matching continuing central funds and \$5.8M in cash to create or enhance centers and programs across the university

Process of Selection of Academic Enrichment Proposals:

Evaluation criteria:

- Central to our academic mission
- Builds on existing strengths
- Potential for interdisciplinary collaboration
- Includes realistic strategic plan, investment by sponsoring unit, and appropriate set of benchmarks
- Will enhance student experience

Microscopic and Chemical Analysis Research Center (MARC) funded in 1995

- Researchers from more than 25 departments in 10 colleges use MARC facilities and expertise.
- MARC facilities have contributed to an estimated 70 publications by Ohio State faculty. At least 50 faculty members have research projects that require the MARC facilities, and over 100 graduate students have used expertise and equipment available in the MARC.

Wireless Systems: ElectroScience Lab funded in 1996

- The ElectroScience Lab has annual research expenditures of \$4.5M.
- 12 IEEE fellows and 2 NAE members among the faculty and research scientists.
- More than 65 graduate and undergraduate students conduct research at ESL.

Institute for Ergonomics funded in 1997

- During the year 2003, active grants and contracts for Institute members totaled nearly \$14 million.
- Institute members and interdisciplinary teams have won many research awards.
- Students have been involved in world-class research efforts, contributing to their placement in faculty positions at a number of the best universities in the nation, as well as research and development positions at prestigious companies.

Design Studio 2000
funded in 1998

- Faculty member hired to direct this project (Maria Palazzi) procured funding and equipment from design corporations to provide students access to state-of-the-art software and computing technologies.

Center for the Study and
Teaching of Writing
funded in 1998

- The Center outreach efforts include literacy programs at local schools and workshops for Ohio P-12 teachers.
- The Center's program "Writing Across the Curriculum" offers free services to help OSU faculty and TAs integrate writing into coursework.
- The Writing Center provides one-on-one assistance with writing for any member of the university community, and serves about 2,500 clients each year.
- The Minor in Professional Writing is administered through the Center. The minor was launched in Fall 2003, and six students completed writing internships in local organizations in Winter Quarter 2004.

Mathematics and Statistics
Learning Center
funded in 1999

- Provides free tutoring for students enrolled in 29 courses in Mathematics and Statistics. In 2000-2001, the center provided approximately 75,000 hours of tutoring.

Moritz College of Law
Legislation Clinic
funded in 2000

- In its first two years of operation, 36 students completed the Legislation Clinic, receiving experience in various settings in the Ohio Statehouse.
- Sponsors one-day conferences devoted to public policy issues of relevance at the state legislative level.
- Provides a valuable public-policy service to the Ohio legislative community.

Research, Training and Service
Through a Legislation Clinic
funded in 2000

New Works Laboratory
funded in 2001

- Since opening in 2001, New Works Laboratory, which seats up to 50, has hosted 18 performance events including 3 undergraduate Honors project performances, staged readings of new works, master classes held by guest artists, and performances of historical readings.
- New Works Lab is also used for classes.

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND FOUR HUNDRED AND SEVENTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, November 5, 2004

The Board of Trustees met at its regular monthly meeting on Friday, November 5, 2004, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

** ** **

Minutes of the last meeting were approved.

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November 5, 2004 meeting, Board of Trustees

The Chairperson, Ms. Longaberger, called the meeting of the Board of Trustees to order on November 5, 2004, at 1:05 p.m. She requested the Secretary to call the roll.

Present: Tami Longaberger, Chairperson, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Douglas G. Borrer, Walden W. O'Dell, Brian K. Hicks, Emily M. Quick, and Chad A. Endsley.

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Ms. Longaberger:

Good afternoon and, again, what a wonderful way to start the 1,407th meeting of the Board of Trustees. I would like to call this meeting to order. We do have a full agenda today, and my congratulations to Karen and Dr. McGrath on the work to get us started off in a great way today.

In addition to the committee reports, we will be hearing a report on the profile on the Class of 2004 and a presentation on the Large Binocular Telescope project that was recently celebrated in Arizona.

Before Karen gives her report, I would like to take this opportunity to mention President Holbrook's recent awards and recognition of her leadership in minimizing alcohol abuse surrounding game day. She recently received the Academy for Leadership Award from the Harding-Evans Foundation Academy. A fundraising event was also held then, co-sponsored by the Harding-Evans Foundation and the OSU Harding Hospital that will benefit the OSU Student Choice Program, which emphasizes positive student behavior and activities.

In Washington, DC, Dr. Holbrook was honored with the President's Leadership Group Award at the United States Department of Education's 18th Annual Meeting on Alcohol, Drug Abuse, and Violence Prevention in Higher Education. On October 14, Governor Taft presented Dr. Holbrook with a resolution citing her "courage" and "leadership" in minimizing alcohol abuse and violent behavior, and her advocacy on positive behavior and smart choices that are making a big difference at the University.

Thank you, Karen, for your leadership in this area. We are very pleased that others beyond us are recognizing you for your good work.

We would also like to wish you, a day early, a very happy birthday. The only musically inclined Board of Trustee member we have is Emily, and so I would ask that Emily please help lead the Board of Trustees in singing a very happy birthday to you.

[Singing of "Happy Birthday" to Dr. Holbrook.]

President Karen A. Holbrook:

Thank you. Every year I hope more and more to forget my birthday, but as it was mentioned today, it is better to recognize it than not to have it at all. I guess I have to agree with that one, so thanks, Tami, very much.

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PRESIDENT'S REPORT

President Karen A. Holbrook:

Thank you, Madame Chairperson. I am going to say a little bit about the Leadership Agenda, which I will talk about in more detail at the University Senate in about two weeks.

All of you know that the overarching goal in the Academic Plan that was put in place in the Year 2000 is to be certain that Ohio State is one of the world's leading research-teaching universities. The goal will be reached not if we do everything that is in the Plan simultaneously, but if we prioritize our actions and focus those to enhance our quality, improve our reputation, and always to be guided by fiscal responsibility. I think those of you who attended the Fiscal Affairs Committee meeting this morning understand and learned a great deal about how we feel and how committed we are to fiscal responsibility.

At a retreat in the summer 2003, with cabinet members, deans, faculty, and students, we created what was called a Leadership Agenda with a goal of identifying our short-term priorities and specific action steps that would guide us in the next two to three years. During that retreat -- and it was a retreat that was followed up this summer, and once again in a short-term retreat on campus about two weeks ago -- we came up with three themes. Those three themes are ones not new, not different from the Academic Plan, but they are themes that are embedded within the Academic Plan, all of them to address the overarching principle of making Ohio State distinctive as a research university. Again, we are always focusing on how we do that in the context of quality, excellence, and fiscal responsibility.

Let me tell you what the three themes are: 1) to provide a distinctive educational experience and opportunity for all of our students; 2) to do cutting-edge interdisciplinary research for short-term and long-term societal benefits; and 3) to develop outreach and engagement initiatives that connect our areas of academic and research excellence with societal needs.

This year, for the first time, we added something a little bit different to the agenda other than just simply the things that we wanted to do within that framework. Our statement was that to facilitate our achievement of those aspirations, we would need to assure that there was an environment at Ohio State in which creativity is encouraged, high performance is expected, and all are fully engaged in a more dynamic and constructive culture. As a group we agreed that creativity flourished best in an environment of risk-taking, one where there are values and ideas that are sought by all, and one that is marked by visionary and inspirational leadership at all levels. We stated that leaders are responsible for creating an environment that nurtures creativity.

We also agreed that performance thrives in an environment of accountability and transparency, in which priorities are set and then acted on through strategic planning informed by data, knowledge, and experience.

Finally, we all agree that in order to recruit and retain the best faculty, staff, and students, we must have continued fiscal support for student financial aid and for competitive faculty and staff salaries.

The first priority was to provide a distinctive educational experience and opportunity for all students. In our core values in the Academic Plan we state that we would like to ignite a lifelong love of learning, and we would be

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open and we wanted to open the world to our students. Fortunately, the breadth and the depth of this University allow us to attract the very top students and offer them tremendous educational experiences and opportunities. We want every single student – undergraduate, graduate, and professional – to leave Ohio State with a degree and a sense of intellectual accomplishment and cultural curiosity, a lifelong engagement with learning, and having had direct and significant interactions with faculty members.

The second priority is to perform cutting-edge, interdisciplinary research for short- and long-term societal benefits. Creating and disseminating knowledge that will make the world better will define Ohio State as the nation's leading land-grant university and that is research in all fields – from science to mathematics to engineering and technology disciplines to the arts and humanities – across every single discipline within this campus.

And the third priority is to foster outreach and engagement initiatives that connect areas of academic excellence with societal needs, and to serve our community, state, nation, and the world. We must have a purposeful program of outreach and engagement that operates at every level of the University. Such programs capitalize on the best of our academic programs, they engage students, and they transfer the fruits of research directly to society. The leadership team believes that these transformational changes require the full and passionate participation of all members of the University community. Thus I will be sharing the Leadership Agenda in greater detail with some of the more specific plans later this month when I address the University Senate.

We will continue to discuss these concepts with faculty, staff, and students across the University throughout the year, and to be informed by their ideas and thoughts as well. We will measure our progress annually, as we always do with the Academic Plan, and we will provide you with periodic updates. I am very grateful for the support of the Trustees as we move forward with the actions that are identified in the Leadership Agenda.

I thank you very much.

Ms. Longaberger:

Thank you very much, Dr. Holbrook.

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STUDENT RECOGNITION AWARD

Mr. Endsley:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of that student's achievement in his or her area of study, service to the University and/or community, and/or research achievements that have been a credit to the college and the University. This month's recipient is Ryan Searfoorce, who was nominated by Dean Paul Beck, from the College of Social and Behavioral Sciences.

Ryan is a senior bachelor of science major in economics, with a second major in mathematics and an honors student. His GPA to date is an almost-perfect 3.91, and he is a member of the Phi Eta Sigma Honors Society. He was a John Glenn Fellowship intern in Spring 2004 with the Antitrust Division of the U.S. Department of Justice in Washington, DC.

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Throughout his undergraduate years, he has compiled a superb academic record while taking some of the University's most demanding courses. He is an Ohio State nominee for both the Rhodes and Marshall Scholarships, based on both his exemplary record and his impressive performance in interviews with the University's selection committees.

Not only is Ryan an outstanding student, but he also has been deeply involved in extracurricular and service activities. On campus, he has served as an officer of the Undergraduate Economics Society and has been a standout tutor for Principles of Economics students in the Economics Learning Center. He also has played trombone with the OSU Jazz Lab Ensemble and participated in intramural ice hockey. Off campus, Ryan tutors autistic children.

The dean of his College, Dr. Paul Beck, remarked that, "Ryan Searfoorce exemplifies the qualities of the best Social and Behavioral Sciences students, and I am delighted to nominate him for the Board of Trustees Student Recognition Award."

Ryan is accompanied today by Dr. Paul Beck, dean of the College of Social and Behavioral Sciences; Dr. Nori Hashimoto, chair of the Department of Economics; Dr. Gene Mumy and Dr. Dan Levin, professors in the Department of Economics; and Professor Howard Marvel, his advisor.

Ryan, I am very pleased to present you this award today on behalf of the Board of Trustees. Congratulations.

Mr. Ryan D. Searfoorce:

I am deeply honored and proud to receive this award today and I thank you very much for this wonderful recognition. I also want to thank you very much for the four years that I have had at Ohio State. You have provided me with a fascinating and invaluable education, very largely by succeeding entirely in what President Holbrook has just outlined as the first priority in her plan. I want to say that I have benefited massively from both my participation in the Honors Program and also because you have provided me with excellent faculty in all of the departments that I have interacted with at Ohio State.

I came to Ohio State interested in the social sciences and found economics to be an excellent opportunity to explore that field. Particularly in the Economics Department, I have enjoyed numerous excellent faculty in a number of classes. Also outside of the classroom, I would like to thank Drs. Howard Marvel, Dan Levin, and Gene Mumy for all of their help in building a curriculum for me and helping me to find the breadth of courses that have made my college experience so valuable.

Outside of the Economics Department, I have found many other opportunities at Ohio State. Studying in the Political Science Department and also in working towards my mathematics degree, I have continued to interact with wonderful faculty in all different parts of the University.

The University has created a number of opportunities like the Honors Program that have additionally allowed me to better enjoy and put me in touch with all these wonderful faculty. In particular, one program that I enjoyed -- and just found fascinating -- was last spring, as Mr. Endsley mentioned, I was a fellow of the John Glenn program. I interned in Washington, DC, and that was a tremendous experience for me to be able to apply my studies in microeconomics and to study and live in Washington for a quarter.

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I am very excited to see that the University is continuing to develop new opportunities to improve the education for undergraduates here that I think will be as wonderful for other coming students as the John Glenn Fellowship and my studies in economics and mathematics and all my other work here has been for me. In particular, I am excited that the John Glenn Institute is merging with the School of Public Policy, or at least that is planned. I think that is a wonderful opportunity for that Institute to enlarge its program and affect more students, and grow as a program and what it can offer to students.

Last Winter Quarter I was very proud to be involved in founding the Economics Learning Center at Ohio State. In that Center, working largely with Dr. Mummy, we have developed a tutoring center where undergraduates can come and study economics in an environment that we think is very accessible and comfortable for them. I think that has been wonderful for students so far and I hope that that continues to be a successful program.

I am very happy to have enjoyed all these wonderful opportunities at Ohio State, and excited to see that these opportunities will continue and that new opportunities are being produced for future students. I thank you very much for these four years.

Ms. Longaberger:

Ryan, you are an outstanding person and we will be very proud to include you in the list of our alumni. Thank you, again, and congratulations.

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COMMITTEE REPORTS

Ms. Longaberger:

At this time, I would like to call on the committee chairs for their reports and I would like to start with the Investments Committee. Trustee McFerson --

Mr. McFerson:

Thank you very much. May I ask this young man one question first? What are your plans now for the next five years?

Mr. Searfoorce:

I am applying right now to a lot of graduate schools in economics and to a number of law schools across the country. I am looking forward to making a decision on that later in the year. I am just excited to have so many options available to me.

Mr. McFerson:

I hope one of those schools is Ohio State again.

Mr. Searfoorce:

Of course.

Mr. McFerson:

The Investments Committee met yesterday afternoon and we had a full agenda. Our first business was to hear the University Development Report

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from Eileen Bertolini, that through three months of this fiscal year our giving is at \$33.3 million, up 12%. It continues to come in at a nice rate, which everyone is pleased with. We have 12% also in additional individuals donating and this giving continues to be the major category.

We then had a number of reports from Treasurer Jim Nichols. I would like to say that some significant work has been taking place in that office over the past few months. The first document we looked at was a Nine Box Report. What that means is you take a look at large, medium, and small cap stocks and then value, blend, and growth, and Jim had plotted in those boxes where our current investments fall. It is rather revealing; we were a little distorted in one area more than others – and we knew that would be the case -- and it led to some recommendations that I will talk about in just a moment.

We then looked at a report that compared our performance against various benchmark asset classes, not only in the Big Ten, but also our peer universities and some national organizations that have anywhere from one to 300 different universities in their mix. Again, looking at the various asset classes of large, mid, and small cap stocks, international equities, hedge funds, venture funds, real estate, and so forth, it demonstrated that we are not as consistent in some of these categories as our competition.

Jim also shared a report with us that compared our actual returns against the returns of these benchmark institutions and these various asset classes. For the most part, we did pretty well with our overall return at 18.3%, compared to a benchmark of 19.3%. But when you back out the real estate, which is mainly the Airport land and the Campus Partners land where there is no return, then our numbers would be 19.5%. So we did match up rather strongly with the benchmarks. I think we can take some comfort in that.

We then had the monthly and quarterly endowment reports, which indicated that our portfolio stands at \$1.541 billion as of June 30, and at \$1.559 billion as of October 31. We all know that equity markets have been rather flat for the past number of months and we held our own in that regard. It is interesting to note also that this year, Fiscal Year 2005, the distributions to the various colleges were running in excess of \$70 million, which is pretty strong.

The concluding conversation had to do with making recommendations to adjust our percentages as to holdings in domestic large cap, domestic mid cap, domestic small cap, and international stocks, and to reduce our current, almost 69% of our endowments, to 60%. We also recommended adjusting our small allocations of about 8% in the categories of hedge funds, venture private equity, real estate, and natural resources and so forth, moving that up over time to 20%, which is where all the benchmark institutions and indices are. We talked about adjusting our fixed income, which is about 24%, down to about 20%. We would like to adjust it inside that fixed income category to higher yield and more international from just plain domestic.

We had some healthy discussion on that, which led to a recommendation that we would put before the Board today, making those adjustments. In many cases this will take a few years to implement and interview a number of investment managers in the various asset classes, and to make the decisions going forward. We would be: dropping one international manager; reducing allocations to six other management firms; increasing allocations to eight management firms; and adding anywhere from 15-20 new managers in the categories of small cap, international, venture, private

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equity, hedge funds, REITs, domestic fixed and international fixed, and high yield.

That is a lot of work to be done, but what we will be doing when we are finished with this exercise is we will have a portfolio that is more diversified, less volatile, and one that will compare very favorably with the leading institutions around the country. We are at the point now where we have \$1.5 billion, and that will continue to grow. It is important for us to be sure that we are managing this portfolio on a basis that is consistent with long-term objectives.

A lot of compliments are due the Treasurer's Office to bring about these changes. It is rather a significant series of changes, but your committee is comfortable making the recommendation to the Board.

So there will be two resolutions on the consent agenda. One is the one I just described and the other is to receive the University Development Report on activities for the first three months of the year.

Ms. Longaberger:

Thank you very much. Any questions from other Board members? Karen --

Ms. Hendricks:

Can you remind me – are we moving the venture private equity funds to 20% of the portfolio? Is that what I understood?

Mr. McFerson:

Yes.

Ms. Hendricks:

Where is it today?

Mr. McFerson:

Today it is at 8.2%.

Ms. Longaberger:

Other questions? Thank you very much, Dimon. Now I would ask Trustee Hendricks to give us a report on the Audit Committee.

Ms. Hendricks:

Thank you, Madame Chairperson. The Audit Committee met yesterday for almost three hours and it was a long, but fruitful meeting.

The first order of business was that Greta Russell, University Controller, presented the financial highlights for Fiscal Year 2004. The key conclusion, which is important, was that the University's financial position remained sound. Total unrestricted and restricted expendable net assets increased, as did total cash and current investment balances, and total plant debt.

The University's income statement continued to improve in 2004. Growth and operating revenues more than offset growth in operating expenses, and net investment income grew. Ms. Russell noted that the key sources in

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increased operating revenues included tuition and fees, research grants and contracts, auxiliary enterprises, the OSU Health System, and OSUP.

She also talked about Senate Bill 6, which requires reporting of three key financial ratios as a measure of the financial health of state universities. Ohio State's composite score remains stable at 4.2, this is on a scale of 0-5, with 5 being the best score. A 2.7 is considered to be on a financial watch situation, so we are in a comfortable place.

Ms. Russell also talked about the external forces that are reshaping the control and compliance landscape in higher education, impacted by three things: stricter audit standards, Sarbanes-Oxley, and the Auditor of State Compliance Initiative that has been in place. Ms. Russell reviewed extensive work from the controller's operation to ensure Ohio State is in compliance with financial controls and to address these increasing compliance requirements.

One of the noteworthy areas being implemented in compliance is the sub-certification for all colleges and academic support units. During Fiscal Year 2005, deans and their senior fiscal officers will perform self-assessments to certify they are in compliance with the new fiscal controls that are being required.

Then we talked to the University's external auditors, Deloitte and Touche, who reviewed their audit report. As you know, the University had a clean audit and it was unqualified. They reviewed the audit scope and organization and all the required communications, as are required by the SAS 61, 89, and 90. They summarized the constructive service comments and reported on emerging issues that they saw in terms of possible new auditing standards.

The committee met separately with the external auditors without management and also met separately with the internal auditor without management to ensure that there were no issues that they might have. There were no substantive issues raised by either one of those groups.

Madame Chairperson, that concludes my report.

Ms. Longaberger:

Thank you very much. Thanks for your commitment to an extensive and detailed committee meeting as well. Questions? Comments? Thank you very much. Next we will move on to Trustee Borrer and the Academic and Student Affairs Committee report.

Mr. Borrer:

Thank you, Madame Chairperson. We started today with a report on the operations of the Blackwell Inn from Eric Adelman, the new director, and he had very good news about the operations there.

We have had a large rise in our occupancy rate and our market share is now above average. We also have had a large increase in the per room revenue rate, which is also above the industry average benchmark. We have superior customer service. We are ranked well above the average upscale hotel marketing average in customer service, which is almost 95% and we are very happy about that. He did report that 37% of the employees at the Blackwell are students and on top of that a number of students are interns in the hospitality program.

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As you may know, the Blackwell Inn opened in 2002, which was not a good year for the hotel industry, and they did have a deficit of nearly \$1.4 million that year. In operating year 2004, we almost broke even, so they had a large turnaround. I would like to report they were profitable in the first quarter of 2005 and if they meet up with their expectations, we would expect them to perhaps make all of their first year operating loss back this year. So it was very good news and we think it is headed in the right direction.

We then moved to a presentation from Dr. Linda Harlow, director of the Honors and Scholars Program. She reported to us that 20% -- 1,277 students -- of the freshman class was admitted to the Honors Program. The average ACT score was almost 30, so we had a very outstanding class of freshmen. Presently, there are 5,500 students in the undergraduate honors program or 16% of our undergraduates. They also all were in the top 10% of their class.

She also reported on the Scholars Program, which is the group of students that is the next level down in the honors ratio; they comprise of about 12% of our undergraduate population. We had 775 new scholars and they averaged over 26 on the ACT, so that is going very well. Those students tend to be people who ultimately then progress and can go into the Honors Program.

We also have 42 Honors Collegium students, who are the superior honors undergraduate students. This year we had one of those students who is now a finalist for a Rhodes Scholarship and we are hoping that that continues on.

She also reported that the Honors Program had gone through both internal and external reviews. They had some very good results from that and had positive recommendations. They also had some very specific recommendations for improvement and, after discussion of those recommendations, it was very clear that Dr. Harlow and her team intend to move forward with those recommendations. I can assure you they are on course to having a very superior Honors Program and were very pleased with that.

Finally, Provost Snyder recommended naming opportunities that will appear on the consent agenda as well as routine personnel actions.

That concludes my report. Thank you very much.

Ms. Longaberger:

Thank you. Questions? With that, we will move on to the Fiscal Affairs Committee report. Trustee Davidson --

Mrs. Davidson:

Thank you, Madame Chairperson. We had a somewhat lengthy agenda this morning. We started off with a report from Larry Lewellen on our compliance with the changes in the Fair Labor Standards Act and what we are doing in determining that we will be in compliance by identifying what are exempt and non-exempt employees. He will report back to us, but we know that at least we are well on our way in compliance with those new standards.

We received a first quarter financial report from Mr. Shkurti that gave us a new chart to be able to assess our risk factors there, which I will share with the members of the Board who are not on the Fiscal Affairs Committee. It

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was very well done and an easy way of looking at that. We all know what those risk factors are and that is where we go with state support and our continued good reports from our Medical Center.

We did a capital project reconciliation where, at the request of the committee members, we went back and looked at where we were on our capital projects. Examining what we had authorized in September 2003 and some of the additions and changes that have been made to that report. Again, he gave that to us in a comprehensive way that I think helped the committee members to understand that we are pretty well online. Also, something that I think is going to be important that Bill is recommending we incorporate in the future and that is looking at our capital leases, real estate acquisition, and affiliated agencies and incorporating them into our planning process.

We heard from Jill Morelli on some design and construction contracts for which we are asking for Board approval. They are all in line and are all covered by what we have previously approved as a Board. Also, we want to move ahead with the authorization of the purchase of the property from the Bureau of Workers Compensation, the Camera Center. I know there is a naming resolution on the agenda today for that Center, too. As you know, we were managing that Center and have slowly taken it over for 100% OSU operations. We would now move ahead with the purchase of that, having reached an agreement with the Bureau of Workers Compensation on the price of that building.

We are asking for approval to move into the long-term lease for the West Lane Avenue property, so we can move our Development offices there to free up space in the Fawcett Center and to keep from having to make some fairly extensive renovations there. That lease will be on your agenda for approval this afternoon.

We are being asked to authorize some changes in our Supplemental Retirement 403(b) Annuity Plan. Mr. Lewellen explained to us that, while it is not intended and is no financial obligation at this time, we will rewrite to permit in the future employer contributions to that plan if it is chosen to do that as part of other incentive packages that we have.

We received the triennial, in-depth report from SciTech about the progress that they have made with some good indications and visuals on the buildings that are part of the SciTech campus. You will note that on the consent agenda there is a resolution, which we would ask that we not act on today, but treat as a first reading, and then move that to the December consent agenda. Since it does authorize a three-year extension of our agreement with SciTech on the leases and a financial commitment from the University, we thought other members of the Board would want to have an opportunity to review that before we ask for your approval.

Madame Chairperson, that concludes my report. Thank you.

Ms. Longaberger:

Questions or comments from anyone? Thank you very much.

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CONSENT AGENDA

President Karen A. Holbrook:

We currently have eighteen resolutions on the Consent Agenda today and I would like to ask that #10 -- the Appointment and Reappointment of Investment Managers -- be considered separately, and that #18 -- the Science and Technology Campus Corporation -- be tabled until our next meeting. We are seeking your approval for:

APPOINTMENT TO THE UNIVERSITY HOSPITALS EAST BOARD

Resolution No. 2005-36

Synopsis: Approval of an appointment to the University Hospitals East Board is proposed.

WHEREAS the Board of Trustees on December 6, 2001, approved the establishment of a University Hospitals East Board; and

WHEREAS all members of a specialized board shall be appointed by The Ohio State University Board of Trustees in consultation with the vice president for Health Services, the senior vice president for Health Sciences, and the president of the University:

NOW THEREFORE

BE IT RESOLVED, That Karen Angelou be appointed as a citizen member of the University Hospitals East Board for the term November 1, 2004, through October 31, 2007.

NAMING OF THE MEDICAL PLAZA

Resolution No. 2005-37

Synopsis: The naming of the Leonard J. Camera Center, located at 2050 Kenny Road, in honor of Martha Morehouse is proposed.

WHEREAS Martha Morehouse has been indelibly recognized among the most generous benefactors to the university through her lifetime and estate gifts; and

WHEREAS it was Ms. Morehouse's desire that her estate be used "to support the establishment of a free standing or separate, clearly identifiable health center" for the transitional and rehabilitative care of University Hospitals' patients; and

WHEREAS University Hospitals has purchased the former Leonard J. Camera Center, located on Kenny Road, Columbus, Ohio, and provides numerous services compatible with the wishes of Ms. Morehouse; and

WHEREAS the co-trustees of Ms. Morehouse's estate have been apprised of and support the utilization of her estate funds for purchase of said facility:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of bylaw 3335-1-08 of the Administrative Code the aforementioned facilities shall be named the "OSU Martha Morehouse Medical Plaza," effective immediately.

WAIVER AND NAMING OF A BUILDING

Resolution No. 2005-38

Synopsis: Approval of the Waiver of Paragraph (F) of Bylaw 3335-1-08 of the Administrative Code and naming of the Farm Science Review Headquarters Building at the Molly Caren Agricultural Center in London, Ohio, in honor of R. Craig Fendrick is proposed.

WHEREAS R. Craig Fendrick served in a distinguished manner as the manager of the Farm Science Review for twenty-three years; and

WHEREAS during this period of dedicated service, the Farm Science Review grew in recognition to the point of being one of the premier agricultural shows in the country; and

WHEREAS his dedication and service to The Ohio State University began as a student working at the Farm Science Review and lasted for 38 years; and

WHEREAS R. Craig Fendrick was inducted into the 2004 Class of the Farm Science Review Hall of Fame in recognition of his contribution to the College of Food, Agricultural, and Environmental Sciences and The Ohio State University:

NOW THEREFORE

BE IT RESOLVED, That the applicable requirements of paragraph (F) of bylaw 3335-1-08 of the Administrative Code be waived so that the aforementioned Farm Science Review Headquarters Building shall be named the "R. Craig Fendrick Building," effective immediately.

**RENAMING OF A BUILDING AND
NAMING OF INTERNAL SPACES**

Resolution No. 2005-39

Synopsis: The renaming of the "Austin E. Knowlton Architecture Building" to "Austin E. Knowlton Hall" and the naming of internal space within University facilities is proposed.

WHEREAS the Austin E. Knowlton Architecture Building was approved by The Ohio State University Board of Trustees on November 4, 1994, and opened as an academic building September 2004; and

WHEREAS the standard nomenclature of The Ohio State University in referring to academic buildings as "halls" has become openly accepted, and the donor, the late Austin E. Knowlton, often referred to the building as "Knowlton Hall"; and

WHEREAS the donor, the trustees of the donor's estate, and the director of the Austin E. Knowlton School of Architecture all agree that the building should be renamed "Austin E. Knowlton Hall"; and

WHEREAS gifts and gift commitments have been received by donors to support the construction, equipping or furnishing of the classrooms, offices, laboratories and other noted spaces; and

WHEREAS upon the recommendation of the President and the President's Cabinet, it has been proposed that the donors to these internal spaces be recognized for their generosity:

November 5, 2004 meeting, Board of Trustees

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the "Austin E. Knowlton Architecture Building" shall be renamed the "Austin E. Knowlton Hall" and the aforementioned internal spaces in campus facilities shall be named as follows:

Project: The Richard M. Ross Heart Hospital, W. 10th Avenue

- The Huntington National Bank Boardroom, Room H1225
- Abbott Laboratories Fund Administrative Suite, Room H1255
- William H. and Dorothea N. Hadler Consultation Rooms, Rooms H2257, H2259, H4257, H4259 and H5209
- Deborah K. Shannon Invasive Lab Nursing Office, 2nd floor internal space nursing office, Room H2234

Project: Adventure Recreation Center, 855 Woody Hayes Drive

Tom W. Davis Climbing Center, north side of the recreation center

HONORARY DEGREE

Resolution No. 2005-40

Synopsis: The awarding of an honorary degree to Eugenie C. Scott is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of an honorary degree as listed below:

Eugenie C. Scott

Doctor of Science

NOW THEREFORE

BE IT RESOLVED, That the above honorary degree be awarded in accordance with the recommendation at a time convenient to the University and the recipient.

PERSONNEL ACTIONS

Resolution No. 2005-41

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the September 22, 2004 meeting of the Board, including the following Appointments/Reappointment, Appointments/Reappointment of Chairpersons, Leaves of Absence Without Salary, Professional Improvement Leaves, and Emeritus Titles, as detailed in the University Budget, be approved.

Appointments

Name: RICHARD P. DICK
Title: Professor (The Ohio Eminent Scholar in Soil Ecology)
College: Food, Agricultural, and Environmental Sciences
Effective: August 1, 2004
Present Position: Professor, Department of Crop and Soil Science, Oregon State University, Corvallis, OR

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Name: DONNA B. EVANS
Title: John Glenn Scholar in Urban Educational Policy
Institute: The John Glenn Institute for Public Service and Public Policy
Term: September 1, 2005, through August 31, 2006
Present Position: Dean, College of Education

Name: SOMNATH GHOSH
Title: Professor (The John B. Nordholt Professorship in Mechanical Engineering or Metallurgical Engineering)
Department: Mechanical Engineering
Term: July 1, 2004, through June 30, 2009

Name: KENT C. HESS
Title: Associate Executive Director
Hospital: The Ohio State University Hospitals East
Effective: November 1, 2004
Present Position: President and CEO, Clearfield Hospital, Clearfield, PA

Name: STEVEN A. RINGEL
Title: Professor (The Neal A. Smith Chair in Electrical Engineering)
Department: Electrical and Computer Engineering
Term: July 1, 2004, through June 30, 2009

Name: YUAN F. ZHENG
Title: Professor (The Howard D. Winbigler Designated Chair in Engineering)
Department: Electrical and Computer Engineering
Term: July 1, 2004, through June 30, 2009

Reappointment

Name: JOHN M. PETRY
Title: Acting Chief of Police
Department/Division: Public Safety/Police Division
Term: November 27, 2004, through May 26, 2005

Appointment of Chairpersons

SANFORD H. BARSKY, Department of Pathology, effective February 1, 2005, through June 30 2009

THOMAS L. BEAN (Interim), Department of Food, Agricultural, and Biological Engineering, effective November 1, 2004, through September 30, 2005

JOHN C. LIPPOLD (Interim), Department of Industrial, Welding, and Systems Engineering, effective October 1, 2004, through June 30, 2005

Reappointment of Chairperson

STUART H. ZWEBEN, Computer Science and Engineering, effective October 1, 2004, through June 30, 2005

Leaves of Absence Without Salary

HITAY OZBAY, Professor, Department of Electrical and Computer Engineering, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, for personal reasons.

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WILLIAM W. TASCHEK, Associate Professor, Department of Philosophy, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to accept a visiting appointment at Reed College, Portland, Oregon.

PETER K. CHAN, Assistant Professor, Department of Industrial, Interior, and Visual Communication Design, effective Winter Quarter, Spring Quarter, and Autumn Quarter 2005, to accept a design professional development opportunity.

Professional Improvement Leaves—Change in Dates

ELIZABETH B. DAVIS, Associate Professor, Department of Spanish and Portuguese, change dates from Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to Winter Quarter and Spring Quarter 2005.

DANIEL T. REFF, Associate Professor, Department of Comparative Studies, change dates from Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to Autumn Quarter 2004.

Professional Improvement Leave—Cancellation

WALTER R. LEMPERT, Associate Professor, Department of Mechanical Engineering, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

Emeritus Titles

DAVID C. FERREE, Department of Horticulture and Crop Science, with the title Professor Emeritus, effective November 1, 2004.

CHARLES G. S. WILLIAMS, Department of French and Italian, with the title Professor Emeritus, effective January 1, 2005.

MICHAEL L. SMITH, Department of Finance, with the title Associate Professor Emeritus, effective November 1, 2004.

PHILIP J. VON RAABE, Department of Art, with the title Associate Professor Emeritus, effective November 1, 2004.

HELEN K. GRANT, School of Allied Medical Professions, with the title Assistant Professor Emeritus, effective November 1, 2004.

Emeritus Title--Rescinded

STEPHEN R. BAERTSCHE, Ohio State University Extension, with the title Associate Professor Emeritus, effective October 1, 2004, has decided not to retire and Emeritus status is rescinded.

RESOLUTIONS IN MEMORIAM

Resolution No. 2005-42

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey copies to the families of the deceased.

November 5, 2004 meeting, Board of Trustees

Morris L. Battles

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on August 30, 2004, of Morris L. Battles, M.D., Professor Emeritus in the Department of Ophthalmology.

Dr. Battles received both his Bachelor of Arts and Doctor of Medicine degrees from The Ohio State University in 1938 and 1941, respectively. As a student at Ohio State, he was a member of the Marching Band (TBDBITL) from 1934-36, during which he played in the first Script Ohio; Kappa Kappa Psi music fraternity; and Phi Chi medical fraternity. Dr. Battles was a lifetime member of the OSU Alumni Association and received its Citizenship Award in 1990.

He served his internship at Huron Road Hospital in Cleveland, Ohio, in 1941-42. From 1942-46 he served as a flight surgeon with the Army Medical Corps, having the rank of major. Dr. Battles did his ophthalmology residency at Columbia University Medical Center in New York City, from 1946-49. In 1950 he became a diplomate of the American Board of Ophthalmology.

Dr. Battles was in private practice in ophthalmology from 1949-83 and in 1959 became the first pediatric ophthalmologist in Ohio. He was chief of the ophthalmology service at Children's Hospital from 1953-81 and chairman of the general staff at Children's Hospital during 1961-62. In 1969 he served a three-month assignment aboard the hospital ship HOPE in Tunis, Tunisia. Dr. Battles was a member of the senior attending staff at Grant Hospital from 1948-83. He was a clinical professor in The Ohio State University College of Medicine and Public Health from 1949-83; and was named Professor Emeritus at OSU in 1983.

In addition to many local, state, and national associations, Dr. Battles was a longtime member of Downtown Lion's Sightsaving Committee, the first chairman of the Ohio Lions Eye Research Foundation, and an advisor to the Delta Gamma Alumnae Visual Screening Project and the Ohio Department of Special Education. In 1983, he was honored by The Ohio State University Department of Ophthalmology when naming its outstanding teaching award, the Makley-Battles Award. He was a member of the OSU Faculty Club, Columbus Maennerchor, SAR, and First Families of Ohio. He was a founding member of the Lederhosen Five, a little German band that entertained many for 32 years.

Dr. Battles was well-respected and admired by all who knew him, and through his dedication as a pediatric ophthalmologist he touched the lives of many children and their parents. His contributions as an educator to residents and medical students were greatly appreciated by the Department of Ophthalmology.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Morris L. Battles its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Timothy R. Donoghue

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 26, 2004, of Timothy R. Donoghue, Professor Emeritus in the Department of Physics.

Professor Donoghue received a Bachelor of Science degree from Boston University and a Ph.D. degree from the University of Notre Dame. He joined the Physics faculty in 1963, and rose to professor and co-director of the Van de Graaff Accelerator Laboratory prior to his retirement in 1988. Professor

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Donoghue was both an excellent teacher and an internationally recognized researcher in nuclear physics and nuclear astrophysics. He was recognized for his investigation of complex nuclear phenomena sensitive to the orientation of spinning protons and neutrons inside nuclei. In nuclear astrophysics, his research focused on investigating stellar production rates for the nucleosynthesis of elements in reactions that power our sun and the stars.

Professor Donoghue formed collaborations with distinguished scientists throughout the world, and held visiting faculty and senior scientist appointments at such institutions as the California Institute of Technology, Universitat Muenster (Germany), and Los Alamos Scientific Laboratory. He served as advisor to 24 doctoral and post-doctoral students, a role that he treasured as among his most significant professional accomplishments.

Dr. Donoghue also served for three years as the associate dean for research in the Graduate School at Ohio State, where he initiated the development of major interdisciplinary research programs and core research instrumentation facilities that enhanced research capabilities across the University.

After retiring from OSU, Professor Donoghue was appointed the first vice provost for research and dean of the Graduate School at Kansas State University (KSU), a position he held until his retirement in 1998. There, he created a comprehensive paradigm for research, establishing a new management outlook and structure, as well as a variety of interdisciplinary and other research programs. Under his leadership, KSU extramural research funding quadrupled in just a decade.

Professor Donoghue was elected to membership in numerous national and international organizations in physics and science, and was further recognized for excellence by induction into the Academies of Science in Ohio, New York, and Kansas.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Timothy R. Donoghue its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

William F. Ollila

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 3, 2004, of William F. Ollila, Instructor Emeritus in the School of Allied Medical Professions.

Mr. Ollila held a Bachelor of Fine Arts degree and a Certificate in Medical Illustration from The Ohio State University. He taught at The Ohio State University from 1962 until his retirement in 1980. He became a faculty member in the School of Allied Medical Professions during a time of growth and change and, clearly, he was one of the leaders who helped to build the school into a nationally recognized educational institution.

William Ollila was an enthusiastic and dedicated teacher who established a high standard of artistic achievement for both the students and faculty. He was well-respected as an artist by the physicians and surgeons in the Medical Center and his working relationship with them gained him national recognition. His scholarly illustrations appeared in major medical and surgical texts and journals in the United States and abroad. As a free lance artist, he provided medical illustrations for several publishing companies and drug companies, as well as the

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American Medical Association. Mr. Ollila was a talented artist, specializing in abstract and contemporary painting.

On behalf of the University community, the Board of Trustees expresses to the family of William F. Ollila its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Thor R. Rubin

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on August 18, 2004, of Thor R. Rubin, Professor Emeritus in the Department of Chemistry.

Professor Rubin held Master of Science and Bachelor of Science degrees from Northwestern University and a Ph.D. degree in physical chemistry from the University of California at Berkeley. Before coming to The Ohio State University he was the A. A. Noyes Fellow at the California Institute of Technology and he then taught at Cornell University for two years.

In 1944 Dr. Rubin was recruited to join The Ohio State University Cryogenic Laboratory by its then Director Professor Herrick Johnston to participate as research fellow in the activities of the laboratory. At that time the laboratory was the major center of research in the general area of the chemical thermodynamics of hydrocarbons and in the behavior of metals. These were Rubin's main areas of endeavor. He also collaborated extensively with colleagues in such diverse studies as organic chemical kinetics, the physics of smoke, and one article of the mechanism of taste.

Professor Rubin was invited to join the Department of Chemistry as assistant professor in 1946. He rose through the ranks, retiring as Professor Emeritus in 1980.

Professor Rubin was the last of what might be called the traditional professors, where sacrifice, independence, and the joy of learning were more important than fame. He held and lived by uncompromising principles in defense of fundamental science. However, while Dr. Rubin valued self-reliance in research, one of his major contributions to thermodynamics was the development of a precise temperature scale which he and his students established in collaboration with the Cryogenic Laboratory. This scale, based on a helium thermometer, became the standard which was adopted worldwide for over 40 years.

While Thor Rubin did not publish many articles, they are all of the highest quality and they inhabit the pages of classical texts on thermodynamics which have been widely used over the past 50 years.

Professor Rubin held interesting opinions on many subjects. In his later years he became expert in the geology, chemistry, and economics of South African mines.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Emeritus Thor R. Rubin its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

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Donald A. Senhauser

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 2, 2004, of Dr. Donald A. Senhauser, Professor Emeritus and former Chairperson of the Department of Pathology.

Dr. Senhauser received his undergraduate degree from the University of Chicago and received his medical degree in 1951 from the College of Physicians and Surgeons at Columbia University. Dr. Senhauser interned at Roosevelt Hospital in New York and served his residency at Columbia Presbyterian Hospital in New York and the Cleveland Clinic Foundation in Cleveland. He also was an immunopathology fellow at the Middlesex Hospital Medical School in London, England.

Professor Senhauser joined the United States Naval Reserve in 1945 and was the honor graduate in 1953 from the U.S. Navy School of Aviation Medicine. He served as a flight surgeon (1952-53) and then served in the Far East from 1953-55. He retired from the Navy in 1987 with the rank of captain.

Dr. Senhauser was professor and chairperson of the Department of Pathology at The Ohio State University College of Medicine and Public Health from 1975-92. He was also a professor in the School of Allied Medical Professions, a member of the Executive Committee for the College of Medicine and Public Health and the Medical Staff Administrative Committee, chairperson of the hospital's Transfusion and Isoimmunization Committee, and member of the Veterans Administration Dean's Committee. Dr. Senhauser served as director of Laboratory Medicine from 1979-92, and was awarded the title of Professor Emeritus in 1995. He was co-founder and chief executive officer of University Pathology Services, Inc. and University Reference Laboratories, Inc.

Donald Senhauser was also very active in the College of American Pathologists and served as its 22nd president from 1991-93. In addition, he was instrumental in making SNOMED the International Classification System for medical disease.

Dr. Senhauser was honored with the naming of The Donald A. Senhauser, M.D., Professorship in Pathology at The Ohio State University College of Medicine and Public Health in April 2003.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Donald A. Senhauser its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Robert L. Sutton

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 13, 2004, of Robert L. Sutton, Assistant Professor Emeritus in the Ohio State University Extension.

Mr. Sutton was born July 3, 1943 in Cincinnati, Ohio. He received a bachelor's degree in 1965 in animal science and a master's degree in 1977 in extension education from The Ohio State University.

Bob Sutton began his Extension career in Ohio in 1968 as the County Extension Agent, 4-H, in Clermont County. He resigned from this position in June 1973, but returned to Extension in July 1974 as the County Extension Agent, Agriculture, in Clermont County. He held this position until his retirement in 1992.

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During Mr. Sutton's career with Extension he significantly influenced the quality of educational programs. Areas of his programming expertise included lawn care, vegetable production, backyard fruit production, house plant care, and pest control. He was also skilled at working with small beef cattle producers and looking at alternatives for the tobacco farmers to move towards hay production and marketing. He was chosen as one of three agriculture agents to serve on the Farm Management Response Team to assist farmers facing critical financial problems. Bob chaired numerous sessions of the Ohio Roadside Marketing Conference each year as well as the Ohio Fruit and Vegetable Growers' meetings.

He was a member of the National Association of County Agricultural Agents, the Ohio Cooperative Extension Agents' Association, and Epsilon Sigma Phi. He served on many committees, both with the above organizations as well as with local organizations in Clermont County. He had several articles published during his Extension career. These contributions in providing excellent Extension educational programs earned him the respect and admiration of co-workers and associates throughout the state and nation.

On behalf of the University community, the Board of Trustees expresses to the family of Robert L. Sutton its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Gordon J. Wilson

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 28, 2004, of Gordon J. Wilson, Professor Emeritus in the School of Music.

Professor Wilson was born in Birmingham, Alabama, in 1931. Gordon Wilson and his identical twin, Grady, were recognized for their precocious musicianship at an early age. He earned a Bachelor of Music degree from Birmingham Southern College under the tutelage of Minnie McNeill Carr.

He studied under Ernst von Dohnanyi at Florida State University, where he earned a M.Mus. degree in piano performance. He was the first graduate of the University of Michigan to receive a Doctor of Musical Arts degree in organ performance, his teachers being Marilyn Mason (organ) and Louise Cuyler (musicology).

After serving on the faculty of Rollins College, Dr. Wilson was recruited to The Ohio State University by Lee Rigsby, first dean of the College of the Arts. In addition to serving as professor of organ and harpsichord for 22 years, Dr. Wilson taught courses in music theory and musicology.

During Professor Wilson's tenure on the faculty of the School of Music, he was an active organ, piano, and harpsichord recitalist. He toured widely in the United

States and Europe as a soloist and in collaboration with his brother, Dr. Grady Wilson. Together they gained international renown as performers of organ duets, two performers at one console.

Dr. Wilson was a charter member of ProMusica Chamber Orchestra and served as principal harpsichordist for 25 years. He was also a principal harpsichordist for the Naples, Florida Orchestra. A champion of contemporary organ music, he performed on various occasions under the guidance of Leslie Basset, Marius Monnikendam, and Jean Langlais.

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Professor Wilson's special interest in church and choral music was manifested by his contributions to the Columbus community. For 12 years he was organist and choirmaster at Saint Paul's Episcopal Church, and also served Saint Stephen's Episcopal Church and Saint John's Episcopal Church.

Gordon Wilson is remembered and respected by his School of Music colleagues and students for his love of music and his passion for achieving high standards of scholarship and artistry.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Gordon J. Wilson its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Edwin R. Zartman

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 26, 2004, of Edwin R. Zartman, M.D., Assistant Professor Emeritus in the Department of Obstetrics and Gynecology.

Dr. Zartman received his B.A. degree from Ohio Wesleyan University in 1943, his M.D. degree from The Ohio State University in 1946, and completed his residency training in obstetrics and gynecology at Ohio State in 1953. Between medical school and residency training, Edwin Zartman served in the United States Navy in Coronado, California. Following the completion of his training he entered private practice in Columbus, Ohio. He also assumed teaching duties at OSU, retiring from the University with the title of Assistant Professor Emeritus in 1992.

Professor Zartman was a member of the following organizations: Columbus Medical Association, Ohio State Medical Association, Columbus OB/GYN Society, and American College of Obstetricians and Gynecologists. Dr. Zartman served as the medical director of Planned Parenthood for 10 years, until 1973, and was a long-term donor to that organization.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Edwin R. Zartman its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2005-43

Synopsis: The reports on research and other sponsored program contracts and grants and the summaries for August and September 2004 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

November 5, 2004 meeting, Board of Trustees

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the months of August and September 2004 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2005-44

Synopsis: The report on the receipt of gifts and the summary for September 2004 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund, The Ohio State University Foundation, and internally designated funds; and

WHEREAS this report includes the establishment of The Robert A. and Martha O. Schoenlaub Cancer Research Chair and the Ralph D. Mershon Professorship in National Security Studies; and

WHEREAS this report includes the establishment of ten (10) new named endowed funds, the amendment of one (1) endowed professorship, and the amendment of two (2) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund, The Ohio State University Foundation, and internally designated funds during the month of September 2004 be approved.

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TOTAL UNIVERSITY PRIVATE SUPPORT

July through September
2003-04 Compared to 2002-03

GIFT RECEIPTS BY DONOR TYPE

<u>Donors</u>	<u>Dollars</u>			<u>2004</u>	<u>2003</u>	<u>%</u>
	<u>2004</u>	<u>2003</u>	<u>% Change</u>			
<u>Change</u>						
Individuals:						
Alumni (Current Giving)	10,699	13,447	(20)	\$5,501,724	\$5,049,041	9
Alumni (From Bequests)	<u>96</u>	<u>17</u>	465	<u>10,565,585</u>	<u>3,500,239</u>	202
Alumni Total	10,795	13,464	(20)	\$16,067,309	\$8,549,280	88
Non-Alumni (Current Giving)	10,590	9,546	11	\$3,245,675	\$9,404,032	(65)
Non-Alumni (From Bequests)	<u>80</u>	<u>18</u>	344	<u>3,865,358</u>	<u>409,263</u>	844
Non-Alumni Total	10,670	9,564	12	\$7,111,033	\$9,813,295	(28)
Individual Total	21,465	23,028	(7)	\$23,178,342	\$18,362,575	26 ^A
Corporations/Corp/Foundation	1,006	999	1	\$8,002,717	\$7,386,527	8
Private Foundations	130	125	4	\$1,677,732	\$1,995,009	(16) ^B
Associations and Other Organizations	<u>366</u>	<u>444</u>	(18)	<u>\$468,731</u>	<u>\$1,927,519</u>	(76) ^C
Total	22,967	24,596	(7)	\$33,327,522**	\$29,671,630	12

**New national reporting standards require that irrevocable trusts be counted at present value. If counted at face value as in July-August 2003, the total would be \$33,616,249 (1% increase).

NOTES:

- A Individual giving is up 26% largely due to several bequest receipts including: \$7.7 M in July from Carl and Grace Baldwin for Ophthalmology Research, \$1.5 M in August from Martha O'Hara Schoenlaub for Cancer Hospital, and \$1.5 M in September from Wilma H. Schiermeier for Wetland Complex.
- B Private Foundation gifts over \$25K are down compared with the same period last year; 15 gifts over \$25 K this year totaling \$1 M compared with 24 gifts over \$25 K last year totaling \$1.5 M.
- C Associations and Other Organizations are down in gifts over \$25 K compared with the same period last year; 1 gift over \$25 K this year compared with 10 gifts over \$25 K last year totaling \$1.3 M.

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GIFT RECEIPTS BY PURPOSE

	Dollars July through September		
	<u>2003-04</u>	<u>2002-03</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$7,311,146	\$12,603,845	(42)
Faculty Support	\$2,815,054	\$899,473	213 ^A
Program Support	\$18,008,124	\$10,028,203	80
Student Financial Aid	\$4,270,908	\$2,315,222	84
Unrestricted-Colleges/Departments	\$801,532	\$1,242,881	(36)
Unrestricted-University	<u>\$120,756</u>	<u>\$2,582,006</u>	(95) ^B
Total	\$33,327,521	\$29,671,630	12

NOTES:

A Faculty Support up 213% largely due to one \$1.5 M bequest receipt.

B Unrestricted - University down 95% due to one bequest receipt for \$2.35 M in August 2003.

GIFT ADDITIONS TO ENDOWMENT

	Dollars July through September		
	<u>2003-04</u>	<u>2002-03</u>	<u>% Change</u>
	\$19,137,967	\$3,557,425	438*

* \$14 M in bequest receipts received July-September 2004 compared with \$1.2 M in bequest receipts during same period 2003.

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THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Professorship</u>			
Ralph D. Mershon Professorship in National Security Studies (Used to provide salary and program support to a faculty member in the area of national security studies; provided by funds from the Ralph D. Mershon Endowment)		\$750,000.00	\$750,000.00

Change in Name and Description of Named Endowed Professorship

From: The Robert M. Critchfield Fund in Engineering
To: Robert M. Critchfield Professorship in Engineering

Establishment of Named Endowed Fund

The Elmer and Ethel Timby Fund for Planning and Management of Public Works (Used for either the preliminary planning and development of a graduate program in planning and management of public works, or for scholarships or fellowships to civil engineering majors who intend to enter the public works planning and management field; provided by a gift from Ethel and Elmer Timby)		\$40,049.00	\$40,049.00
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Change in Description of Named Endowed Fund

The Lionel Topaz Memorial Library of
Visual Science Fund

Change in Name and Description of Named Endowed Funds

From: Ameritech Endowment Fund
To: Graduate School SBC Endowment Fund

From: Sheard Research in Vision Fund
To: The Sheard Foundation Fund for
Research in Vision

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Chair</u>			
The Robert A. and Martha O. Schoenlaub Cancer Research Chair (Used to support a distinguished scholar in cancer genetics research; provided by a gift from the estate of Martha O. Schoenlaub in honor of her husband Mr. Robert A. Schoenlaub)		\$1,500,000.00	\$1,500,000.00

November 5, 2004 meeting, Board of Trustees

Establishment of Named Endowed Funds

The Doug Baughman Athletic Scholarship Fund (Used to supplement the scholarship costs of an undergraduate member of the varsity football team; provided by a gift from Doug Baughman)	\$100,000.00	\$100,000.00
The Jim and Ellen Tressel Athletic Scholarship Fund (Used to supplement the scholarship costs of undergraduate members of the varsity men's football team; provided by gifts from Jim and Ellen Tressel)	\$90,000.00	\$90,000.00
Bill and Martha Buckingham Athletic Scholarship Fund (Used to supplement the scholarship costs of an undergraduate member of the varsity football team; provided by gifts from Bill and Martha Buckingham)	\$89,468.00	\$89,468.00
The Charles E. Brown Scholarship Fund (Used to provide a scholarship award in law; provided by gifts from family, friends and colleagues in memory of Charles E. Brown)	\$32,385.00	\$32,385.00
The Stadium Cake Scholarship Fund (Used to award scholarships to currently enrolled Columbus campus students with a 2.8 GPA or higher; provided by gifts from the Stadium Cake Crew, friends, family, colleagues, community sponsors, Buckeye football fans, and rival fans)	\$32,160.00	\$32,160.00
The Robert A. and Martha O'Hara Schoenlaub Memorial Fund (Used to commemorate Robert A. and Martha O'Hara Schoenlaub's desire to advance the quality of teaching and research within the College of Engineering; provided by a gift from the estate of Martha O'Hara Schoenlaub in honor of her late husband Robert A. Schoenlaub)	\$30,000.00	\$30,000.00
Nancy Reger Broughton Endowed Scholarship Fund (Used to provide scholarships for undergraduate students enrolling in or transferring into the Department of Human Nutrition and majoring in dietetics; provided by a gift from Nancy Reger Broughton)	\$25,500.00	\$25,500.00
The Janet F. and Otto Laster Endowed Fund for Family and Consumer Sciences Education Program (Used to support programs in family and consumer sciences education for the purpose of teacher preparation and licensure to teach grades 7-12 in Ohio schools; provided by gifts from Janet F. and Otto Laster)	\$25,000.00	\$25,000.00

November 5, 2004 meeting, Board of Trustees

A. Jackson and Sally Koepp Smith Scholarship Fund (Used to provide scholarships for students who have demonstrated academic excellence and/or need for financial assistance to study at the Franz Theodore Stone Laboratory; provided by a gift from A. Jackson and Sally Koepp Smith)	\$25,000.00	\$25,000.00
Total	\$2,739,562.00	\$2,739,562.00

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Professorship

Ralph D. Mershon Professorship in National Security Studies

The Ralph D. Mershon Professorship in National Security Studies was established November 5, 2004, by the Board of Trustees of The Ohio State University, with funds from the Ralph D. Mershon Endowment.

The annual distribution from this fund shall be used to provide salary and program support for a stellar faculty member in the area of national security studies. Appointment to the professorship shall be made at the recommendation of the director of the Mershon Center.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

This fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of the Mershon Center. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$750,000.00

Change from Named Endowed Fund to Named Endowed Professorship

Robert M. Critchfield Professorship in Engineering

The Robert M. Critchfield Professorship in Engineering was established July 11, 1975, by the Board of Trustees of The Ohio State University with a bequest gift from the late Robert M. Critchfield, former vice president of the General Motors Corporation. The professorship was changed to a fund February 7, 1992, and was changed back to a professorship November 5, 2004.

The annual distribution from this fund shall be used to support a professorship in the Department of Electrical and Computer Engineering in the College of Engineering. The recipient will be selected by the dean of the College of Engineering.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Engineering. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Establishment of Named Endowed Fund

The Elmer and Ethel Timby Fund for Planning and Management of Public Works

The Elmer and Ethel Timby Fund for Planning and Management of Public Works was established November 5, 2004, by the Board of Trustees of The Ohio State University with a gift from Ethel and Elmer (B.C.E., 1928; C.E., 1933) Timby of Newtown, Pennsylvania.

The annual distribution from this fund shall be used at the discretion of the dean of the College of Engineering for either the preliminary planning and development of a graduate program in planning and management of public works, or for one or more scholarships or fellowships to civil engineering majors who intend to enter the public works planning and management field. Preference in scholarship or fellowship selection will go to undergraduates who are pursuing a minor in business or graduating seniors who are continuing into the University's Master of Business Administration or Master of Public Administration programs. Scholarships will be awarded in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Engineering. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$40,049.00

Change in Description of Named Endowed Fund

The Lionel Topaz Memorial Library of Visual Science Fund

The Lionel Topaz Memorial Library of Visual Science Fund was established March 8, 1943, by the Board of Trustees of The Ohio State University with a gift from Oscar Topaz, Martin Topaz, and Mae Topaz Addelson. The description was revised November 5, 2004.

The annual distribution from this fund shall be used to purchase reference materials, such as books and journals, for faculty and students recommended to the executive vice president and provost and president by the dean of the College of Engineering in consultation with the chairperson of the Department of Computer Science and Engineering and the chairperson of the Department of Electrical and Computer Engineering.

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The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Optometry. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Change in Name and Description of Named Endowed Funds

Graduate School SBC Endowment Fund

The Ameritech Endowment Fund was established July 10, 1987, by the Board of Trustees of The Ohio State University with gifts from the Ameritech Foundation of Chicago, Illinois. The name and description were revised November 5, 2004.

The annual distribution from this fund shall be used in support of faculty/research fellowships, graduate fellowships, visiting fellowships, and prize grants in the Graduate School, all of which shall be within the parameters outlined by SBC as the goals and objectives of the SBC Fellowship Program. The fund will be administered by the dean of the Graduate School who shall be responsible for annually reporting to the SBC Foundation on how its grant has been used by the University.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees in consultation with the dean of the Graduate School. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

The Sheard Foundation Fund for Research in Vision

The Sheard Research in Vision Fund was established June 5, 1944, by Charles Sheard, the N.P. Benson Optical Company, Inc., and various individuals. The name and description were revised November 5, 2004.

The annual distribution from this fund shall be used to support vision research in the E. F. Wildermuth Optometric Research Clinic, its structure and support.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Optometry. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Chair

The Robert A. and Martha O. Schoenlaub Cancer Research Chair

The Robert A. and Martha O. Schoenlaub Cancer Research Chair was established November 5, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Martha O. Schoenlaub (B.S.Ed., 1937; Cert.Grad.Nurse, 1937) of Columbus, Ohio, in honor of her husband, Mr. Robert A. Schoenlaub (B.C.E., 1930; Ph.D., 1933).

The annual distribution from this fund shall be used for a chair supporting a distinguished scholar in cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$1,500,000.00

Establishment of Named Endowed Funds

The Doug Baughman Athletic Scholarship Fund

The Doug Baughman Athletic Scholarship Fund was established November 5, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Doug Baughman, (B.S., 1982) of McKinney, Texas.

The annual distribution from this fund shall be used to supplement the student grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of the

November 5, 2004 meeting, Board of Trustees

varsity football team, according to the following preferences/criteria: (1) a student-athlete from the State of Texas; (2) a student-athlete from the Greater Cincinnati area; and (3) any other student-athlete. The recipient will be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$100,000.00

The Jim and Ellen Tressel Athletic Scholarship Fund

The Jim and Ellen Tressel Athletic Scholarship Fund was established November 5, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Jim and Ellen Tressel of Columbus, Ohio.

The annual distribution from this fund shall be used to supplement the student-athlete grant-in-aid scholarship costs of student athletes who are members of the varsity men's football team and pursuing undergraduate degrees at The Ohio State University. The director of Athletics shall select the recipients in consultation with the Student Athlete Support Services Office and the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$90,000.00

Bill and Martha Buckingham Athletic Scholarship Fund

The Bill and Martha Buckingham Athletic Scholarship Fund was established November 5, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Bill (B.S.Bus.Adm., 1959) and Martha Buckingham.

The annual distribution from this fund shall be used to supplement the student grant-in-aid scholarship costs of a student-athlete who is pursuing an under-

November 5, 2004 meeting, Board of Trustees

graduate degree at The Ohio State University and is a member of the varsity football team. The recipient will be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$89,468.00

The Charles E. Brown Scholarship Fund

The Charles E. Brown Scholarship Fund was established November 5, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from family, friends, and colleagues in memory of Charles E. Brown (B.A., 1949; J.D., 1952).

Charles E. Brown was a senior partner in the law firm of Crabbe, Brown and James and one of the premiere trial lawyers in Ohio. His cases of note included defending the governor and National Guardsmen in the Kent State University trial (1970-1975), as well as defending General Electric in the Beverly Hills Supper Club fire trial (1977-1985).

The annual distribution from this fund shall be used to provide a scholarship award in law to a student whose potential for excellence in the practice of law is not reflected by traditional quantitative measures, but by the relevant indicia of emotional and social intelligence. Scholarships will be awarded in consultation with the Office of Student Financial Aid.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of

The Michael E. Moritz College of Law. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$32,385.00

November 5, 2004 meeting, Board of Trustees

The Stadium Cake Scholarship Fund

The Stadium Cake Scholarship Fund was established November 5, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Stadium Cake Crew, friends, family, colleagues, community sponsors, Buckeye football fans, and rival fans.

A portion of the gifts were solicited in conjunction with the efforts of the Stadium Cake Crew's annual construction, display, and serving of the Ohio Stadium Cake. The Stadium Cake Crew consists of the following individuals: Christopher Conrad (B.S., 1996; M.Ed., 1998), JoEllen Conrad (B.S., 1996; M.S.W., 2000), MorraLee Holzapfel (B.S., 1981; M.A., 1985), Brian Papesh (B.S., 1988 University of Illinois; M.B.A., 1991 Oklahoma City University), Deborah Papesh (B.S., 1988 University of Illinois; M.Ed., 1992 Oklahoma City University), Beth Tucker (B.S., 1987), Kim Tucker (B.S., 1987), and Mark Tucker (B.A., 1983; J.D., 1986).

The Stadium Cake was devised in 1991 as a light-hearted means of celebrating the great tradition of Ohio State football. In the fall of 1991, a group of five dedicated Buckeye alumni constructed the first cake replica of the Horseshoe for display at their pre-game tailgate party in the north lot of St. John Arena.

Although they considered their creation a confectionary masterpiece, like all great artists, the bakers immediately began contemplating improvements. Their ideas and the drive for perfection gave rise to the new and improved Second Annual Ohio Stadium Cake. Since then, the 200-plus pound cake has been constructed for display at the creators' tailgate party before and during one home football game each year.

By its third year, the annual cake had become such a big hit with Ohio State fans that the cake crew decided to take advantage of the cake's popularity to raise funds for Ohio State's general need-based academic scholarship.

In 1999, the Stadium Cake Crew established the Stadium Cake Scholarship, and the money donated by the Stadium Cake Crew, friends, family, sponsors, and loyal Buckeye fans since that year has been deposited into the new fund. In the fall of 2003, with the Thirteenth Annual Ohio Stadium Cake, the Stadium Cake Crew raised enough funds to now fully endow the Stadium Cake Scholarship as a permanent means of financially assisting worthy Buckeye students.

The annual distribution from this fund shall be used to award one or more non-renewable need based scholarships of equal amounts to currently enrolled Columbus campus students with a 2.8 GPA or higher. The recipients of the scholarship should not be receiving a renewable OSU merit scholarship. Scholarships shall be awarded by the Office of Student Financial Aid in consultation with a member or members of the Stadium Cake Crew. The University will contact a member of the Stadium Cake Crew annually to notify them of the recipients.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by

the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated

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by the Board of Trustees and Foundation Board as recommended by the director of Student Financial Aid. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$32,160.00

The Robert A. and Martha O'Hara Schoenlaub Memorial Fund

The Robert A. and Martha O'Hara Schoenlaub Memorial Fund was established November 5, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Martha O'Hara Schoenlaub (B.S.Ed., 1937; Cert.Grad.Nurse, 1937) of Columbus, Ohio, in honor of her late husband Robert A. Schoenlaub (B.C.E., 1930; Ph.D., 1933).

The annual distribution from this fund shall be used to commemorate Robert A. and Martha O'Hara Schoenlaub and their desire to advance the quality of teaching and research within the University's College of Engineering. The dean of the College of Engineering will be responsible for allocating these discretionary earnings in ways to enhance the College.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Engineering. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$30,000.00

Nancy Reger Broughton Endowed Scholarship Fund

The Nancy Reger Broughton Endowed Scholarship Fund was established November 5, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Nancy Reger Broughton (B.S., 1980; M.S., 1981).

The annual distribution from this fund shall provide scholarships for undergraduate students enrolling or transferring into the Department of Human Nutrition and majoring in dietetics.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal. Scholarships will be awarded in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the chair of the Department of Human Nutrition. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,500.00

The Janet F. and Otto Laster Endowed Fund for Family and Consumer Sciences Education Program

The Janet F. and Otto Laster Endowed Fund for Family and Consumer Sciences Education Program was established November 5, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Janet F. (M.S., 1965; Ph.D., 1974) and Otto (B.C.E., 1954; M.S., 1973) Laster from Columbus, Ohio.

The annual distribution from this fund shall be used to support programs in family and consumer sciences education for the purpose of teacher preparation and licensure to teach grades seven through 12 in Ohio schools. If this need ceases to exist, the fund shall be used as a discretionary fund by the chairperson of the Department of Electrical and Computer Engineering.

In any given year that the endowment distribution is not fully expended, the unused portion shall be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Engineering, in consultation with the chairperson of the Department of Electrical and Computer Engineering. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

\$25,000.00

A. Jackson and Sally Koepp Smith Scholarship Fund

The A. Jackson and Sally Koepp Smith Scholarship Fund was established November 5, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from A. Jackson and Sally Koepp (B.S.Bus.Adm., 1951) Smith of Toledo, Ohio.

The annual distribution from this fund shall provide scholarships for undergraduate and/or graduate students who have demonstrated academic excellence and/or need for financial assistance to study at the Franz Theodore Stone Laboratory, in Put-In-Bay, Ohio. Recipients shall be selected by the director of Stone Lab or his/her designee upon the recommendation of the F.T. Stone Laboratory Scholarship Committee in consultation with the Office of Student Financial Aid.

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The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, the director of the School of Natural Resources, and the director of Stone Laboratory and Ohio Sea Grant. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 2005-45

July – September 2004

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, November 7, 1997, and March 1, 2002 provides that the President and/or the Senior Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, the Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Senior Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of July - September 2004; and

WHEREAS during the period covered, the Senior Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 80 waivers of competitive bidding requirements for annual purchases totaling approximately \$9,766,300 as shown on the enclosed exhibit; and

WHEREAS during the period covered, the Interim Vice President for Health Services, at the requests of the Hospitals of the University and their related facilities making purchases, granted 39 waivers of competitive bidding requirements for annual purchases totaling approximately \$20,320,300 as shown on the enclosed exhibit:

NOW THEREFORE

November 5, 2004 meeting, Board of Trustees

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of July - September 2004, is hereby accepted.

(See Appendix XII for background information, page 397.)

APPROVAL TO ENTER INTO DESIGN AND CONSTRUCTION CONTRACTS

Resolution No. 2005-46

APPROVAL TO ENTER INTO DESIGN CONTRACTS

9TH AND 11TH AVENUES PARKING GARAGES - ELEVATOR UPGRADES

BEVIS HALL – RM 068

BRADLEY AND PATERSON HALLS RESTROOM RENOVATIONS

JENNINGS HALL RENOVATION

SMITH LABORATORY RENOVATION FOR JENNINGS HALL SWING SPACE

STRADLEY HALL – ELECTRICAL UPGRADE

WOMEN'S FIELD HOUSE RENOVATION

APPROVAL TO ENTER INTO CONSTRUCTION CONTRACTS

BYRD POLAR RESEARCH CENTER – COLD ROOM UPGRADES

MENDENHALL LAB CHILLER REPLACEMENT

TOWNSHEND HALL – ROOF REPLACEMENT

VETERINARY HOSPITAL – ROOF REPLACEMENT PHASE II

WEXNER AND MERSHON UPGRADES

Synopsis: Authorization to enter into design contracts for the 9th and 11th Avenues Parking Garages - Elevator Upgrades, Bevis Hall – Rm 068, Bradley and Paterson Halls Restroom Renovations, Jennings Hall Renovation, Smith Laboratory Renovation for Jennings Hall Swing Space, Stradley Hall – Electrical Upgrade, and Women's Field House Renovation projects and enter into construction contracts for the Byrd Polar Research Center – Cold Room Upgrades, Mendenhall Lab Chiller Replacement, Townshend Hall – Roof Replacement, Veterinary Hospital – Roof Replacement Phase II, and Wexner and Mershon Upgrades projects is requested.

WHEREAS the University desires to upgrade elevator equipment to meet current codes in two elevators in the 9th Avenue Parking Garage and a single elevator in the 11th Avenue Parking Garage; and

WHEREAS the preliminary project cost estimate is approximately \$515,000, with funding to be provided by Transportation and Parking Services; and

WHEREAS the University desires to renovate Room 068 in Bevis Hall to expand the Transgenic Zebrafish Facility currently in Room 072; and

WHEREAS the preliminary project cost estimate is approximately \$236,319, with funding to be provided by a Board of Regents grant (\$72,485), College of Medicine and Public Health (\$90,000), Office of Research (\$58,834), and Center for Molecular Neurobiology (\$15,000); and

WHEREAS the University desires to renovate corridor restrooms in Bradley Hall and Paterson Hall; and

WHEREAS the preliminary project cost estimate is approximately \$1,200,000, with funding to be provided by Student Affairs; and

WHEREAS the University desires to renovate Jennings Hall for use by the College of Biological Sciences, Classroom Pool, and the Mathematical Biosciences Institute; and

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WHEREAS preliminary project cost estimate is approximately \$27,928,000, with proposed funding to be provided by State Capital Appropriations (\$23,493,244), College of Mathematical and Physical Sciences General Funds (\$752,000), College of Biological Sciences General Funds (\$210,689), Office of Research (\$1,250,000), Central Funds (\$2,133,815), and Physical Facilities (\$88,252); and

WHEREAS the University desires to renovate office and teaching lab space on the 4th and 5th floors of Smith Laboratory to accommodate the swing space for the Jennings Hall project; and

WHEREAS the preliminary project cost is approximately \$900,000, with funding to be provided by the College of Biological Sciences General Funds; and

WHEREAS the University desires to upgrade the electrical service to Stradley Hall; and

WHEREAS the proposed project cost is approximately \$1,000,000, with funding to be provided by University bond proceeds with debt service to be paid by Student Affairs; and

WHEREAS the University desires to renovate the first floor of the Women's Field House to include the creation of a kitchenette, an accessible restroom, and the addition of an A/V projection system; and

WHEREAS the proposed project cost is approximately \$250,000, with funding to be provided by University bond proceeds with debt service to be paid by Student Affairs; and

WHEREAS the University desires to renovate three cold rooms and the roof over the corridor of Scott Hall for the Byrd Polar Research Center; and

WHEREAS the construction document project cost is \$259,160, with funding to be provided by HB 748 and HB 675; and

WHEREAS the University desires to replace two chillers in Mendenhall Lab; and

WHEREAS the construction document project cost is \$225,000, with funding to be provided by HB 850:

WHEREAS the University desires to replace the roof on Townshend Hall; and

WHEREAS the construction document project cost is \$672,340, with funding to be provided by HB 675; and

WHEREAS the University desires to complete Phase II of the roof replacement project on the Veterinary Hospital; and

WHEREAS the construction document project cost is \$1,085,530, with funding to be provided by HB 675; and

WHEREAS the University desires to perform maintenance upgrades and replacements of the elevator and escalator, fire alarms and miscellaneous electrical upgrades to the Wexner Center and Mershon Auditorium; and

WHEREAS the construction document project cost is \$2,000,000, with funding to be provided by Central Funds:

NOW THEREFORE

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BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into design contracts for the 9th and 11th Avenues Parking Garages - Elevator Upgrades, Bevis Hall – Rm 068, Bradley and Paterson Halls Restroom Renovations, Jennings Hall Renovation, Smith Laboratory Renovation for Jennings Hall Swing Space, Stradley Hall – Electrical Upgrade, and Women's Field House Renovation projects in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into construction contracts, if satisfactory bids are received, for the Byrd Polar Research Center – Cold Room Upgrades, Mendenhall Lab Chiller Replacement, Townshend Hall – Roof Replacement, Veterinary Hospital – Roof Replacement Phase II, Wexner and Mershon Upgrades projects in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time.

(See Appendix XIII for background information and maps, page 399.)

PURCHASE OF REAL PROPERTY

Resolution No. 2005-47

2050 KENNY ROAD
COLUMBUS, OHIO

Synopsis: Authorization to purchase improved real property located at 2050 Kenny Road in Columbus, Ohio, is proposed.

WHEREAS the Board of Trustees of The Ohio State University is presented with an opportunity to purchase the improved real property located at 2050 Kenny Road in Columbus, Ohio, owned by the Administrator of the Bureau of Workers Compensation, at a price of \$18,825,000; and

WHEREAS the appropriate University offices have determined that the purchase of this property is in the best interest of the University; and

WHEREAS funding for the acquisition and use of this property will be provided by the Medical Center:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized, in the name of the State of Ohio for the use of The Ohio State University, to purchase from the Administrator of the Bureau of Workers Compensation, the improved real property located in Columbus, Ohio, at 2050 Kenny Road, at a purchase price not to exceed \$18,825,000, plus fees and closing costs, upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State Controlling Board.

(See Appendix XIV for background material and map, page 431.)

LONG-TERM LEASE OF REAL PROPERTY

Resolution No. 2005-48

THE OFFICE OF UNIVERSITY DEVELOPMENT
1480 WEST LANE AVENUE

Synopsis: Authorization for entering into a long-term lease at 1480 West Lane Avenue from Daimler Group is proposed.

WHEREAS the Board of Trustees of The Ohio State University is presented with the opportunity to enter into a long-term lease of real property at 1480 West Lane Avenue; and

WHEREAS the property will be developed with a new three-story Class A office building containing approximately 57,245 gross square feet on a two-acre site with parking; and

WHEREAS this property is located in close proximity of the Columbus Campus and the appropriate University offices have determined that the long-term lease of this property is in the best interest of the University; and

WHEREAS the funds for the lease will be provided from the Office of University Development:

NOW THEREFORE

BE IT RESOLVED, That the President and/or the Senior Vice President for Business and Finance be authorized to enter into a long- term lease of the improved real property at 1480 West Lane Avenue, upon such terms which are deemed to be in the best interest of the University.

(See Appendix XV for background information, page 433.)

**AUTHORIZATION FOR THE OHIO STATE UNIVERSITY TO
AMEND THE SUPPLEMENTAL RETIREMENT 403(B) ANNUITY PLAN**

Resolution No. 2005-49

Synopsis: Authorization for The Ohio State University to amend The Ohio State University Supplemental Retirement 403(b) Annuity Plan, ("the Plan") is proposed.

WHEREAS the Internal Revenue Code limits the amount of compensation that may be considered when determining the retirement plan benefits for each employee; and

WHEREAS the Internal Revenue Code limitation prevents some employees from receiving retirement plan contributions on their full salaries; and

WHEREAS the limitations on retirement plan benefits affect the recruitment and retention of faculty and administrators; and

WHEREAS the Internal Revenue Code limits the amount of compensation that an employee may defer into a deferred compensation plan; and

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WHEREAS the University has maintained the Plan for some time, permitting only employee contributions; and

WHEREAS the University desires to amend the Plan to provide additional retirement benefits to certain employees affected by the above limitations:

NOW THEREFORE

BE IT RESOLVED, That effective January 1, 2004, The Ohio State University adopts the amended and restated Plan. Information on the Plan is attached hereto as Exhibit A and incorporated herein by this reference. A copy of the Plan document is on file in the Board office; and

BE IT FURTHER RESOLVED, That the Senior Vice President for Business and Finance, in consultation with the Office of Human Resources and the Office of Legal Affairs, is hereby authorized to execute the above-mentioned Plan and any other instruments, documents, or conveyances necessary to effectuate the Plan, and that the University be authorized to submit the Plan to the Internal Revenue Service; and

BE IT FURTHER RESOLVED, That the Office of Human Resources and the Office of Legal Affairs, in consultation with the Senior Vice President for Business and Finance, in carrying out this resolution, are hereby authorized and empowered to make any necessary changes to said Plan as may be required to ensure compliance with the applicable and effective provisions of the Internal Revenue Code of 1986, as amended, and any related rules and regulations, and to take such further action as may be necessary or advisable to implement this resolution.

(See Appendix XVI for background information, page 435.)

ACCEPTANCE OF THE REPORT OF AUDIT FOR 2003-04

Resolution No. 2005-50

Synopsis: The report of the audit of the financial statements for The Ohio State University for 2003-04 conducted by Deloitte & Touche is recommended for acceptance.

WHEREAS with the approval of the Auditor of State, The Ohio State University entered into a four-year agreement with Deloitte & Touche in March 2002 for an annual audit of the University for fiscal years 2001-02 through 2004-05; and

WHEREAS the Deloitte & Touche audit of the University for 2003-04, meeting the requirements of the Auditor of State, has been received and the accounts, records, files, and reports of the University have been found to be in satisfactory condition, and certain constructive service comments have been discussed with the Audit Committee; and appropriate procedures and responses are being developed as a result of these comments:

NOW THEREFORE

BE IT RESOLVED, That the report of the Deloitte & Touche audit for The Ohio State University for 2003-04, including the report on the audit of the University's financial statements and the summary of constructive service comments to management, be accepted.

CHARTER FOR THE INTERNAL AUDIT DEPARTMENT

Resolution No. 2005-51

Synopsis: Approval of the Charter for the Internal Audit Department is proposed.

WHEREAS in May 2003 the Board of Trustees established a separate audit committee of the Board; and

WHEREAS with the increasing importance of the audit process to ensure oversight of the University's operations, it is important to provide a charter for the University's Internal Audit Department:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby adopts the attached charter for the University's Internal Audit Department.

(See Appendix XVII for background information, page 437.)

Upon motion of Mr. McFerson, seconded by Mrs. Davidson, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. McFerson, Borrer, O'Dell, Hicks, and Judge Duncan, Meses. Longaberger, Hendricks, and Davidson.

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APPOINTMENT AND REAPPOINTMENT OF INVESTMENT MANAGERS

Resolution No. 2005-52

Synopsis: Approval of the Appointment and Reappointment of Investment Managers is proposed.

WHEREAS it is the policy of The Ohio State University to utilize the service of external Investment Managers to assist in the management of the University's Endowment Fund; and

WHEREAS the Investments Committee of the Board of Trustees periodically reviews the results obtained by the external Investment Managers and the amount of funds assigned to each of them; and

WHEREAS it is prudent investment policy to adjust the mix and amounts of money assigned to external Investment Managers as economic conditions and performance change; and

WHEREAS the number of external Investment Managers and the amount of funds assigned to them shall be determined by the Board of Trustees:

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of the Investments Committee of the Board of Trustees the following named external Investment Managers and the Office of the Treasurer shall be approved to manage Endowment Funds as follows:

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	<u>Market Value</u> <u>As of 9/30/04</u>	<u>Changes</u>	<u>Revised</u> <u>Allocation</u>	
Domestic Large Cap Equity				
ABN AMRO	\$36,929,550	(20,000,000)	\$16,929,550	
Fifth Third Bank Investment				
Advisors	32,745,269	0	32,745,269	
Huntington Trust	31,301,983	0	31,301,983	
State Street S&P 500 Index	339,667,837	(125,000,000)	214,667,837	
University Students	15,290,108	0	15,290,108	
	<u>\$455,934,747</u>	<u>(145,000,000)</u>	<u>\$310,934,747</u>	20%

Domestic Mid Cap Equity

Meeder Financial	\$120,769,619	0	\$120,769,619	
Nicholas Applegate Mid Cap	81,970,838	(45,000,000)	36,970,838	
State Street Extended Index	<u>292,847,914</u>	<u>(217,000,000)</u>	<u>75,847,914</u>	
	<u>\$495,588,371</u>	<u>(262,000,000)</u>	<u>\$233,588,371</u>	15%

Domestic Small Cap Equity

Nicholas Applegate Small Cap	\$36,860,496	\$30,000,000	\$66,860,496	
State Street Value Index	29,953,774	12,000,000	41,953,774	
New Active Managers(3)	-	70,000,000	70,000,000	
State Street Small Cap Blend	-	55,000,000	55,000,000	
Index (new)				
	<u>\$66,814,270</u>	<u>\$167,000,000</u>	<u>\$233,814,270</u>	15%

International Equity

Invesco Global Asset	\$22,893,140	0	\$22,893,140	
Sit International	13,224,404	(13,224,404)	0	
State Street International Index	48,034,464	35,000,000	83,034,464	
New Active Managers(2)	-	50,000,000	50,000,000	
	<u>\$84,152,008</u>	<u>\$71,775,596</u>	<u>\$155,927,604</u>	10%

	<u>Commitment</u> <u>As of 9/30/04</u>	<u>Changes</u>	<u>Revised</u> <u>Allocation</u>	
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Venture/Private Equity				
CID Seed Fund	\$1,000,000	0	\$1,000,000	
Commonfund New Leaders	10,000,000	0	10,000,000	
Commonfund Capital Partners	7,067,000	0	7,067,000	
EDF Ventures Seed Fund	1,000,000	0	1,000,000	
Mesirov Partnership Fund I	10,000,000	0	10,000,000	
Oval Ventures	1,500,000	0	1,500,000	
Reservoir Venture Partners	2,625,000	0	2,625,000	
New Funds*	-	80,000,000	80,000,000	
	<u>\$33,192,000</u>	<u>\$80,000,000</u>	<u>\$113,192,000</u>	7%

* New fund investments over next three years.

	<u>Market Value</u> <u>As of 9/30/04</u>	<u>Changes</u>	<u>Revised</u> <u>Allocation</u>	
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Hedge Funds				
Commonfund Hedged Investors	\$17,354,303	\$15,000,000	\$32,354,303	
Ramius Fund	17,527,896	15,000,000	32,527,896	
New Hedge Funds(2)	-	22,500,000	22,500,000	
New Absolute Return Funds(2)	<u>-</u>	<u>22,500,000</u>	<u>22,500,000</u>	
	<u>\$34,882,199</u>	<u>\$75,000,000</u>	<u>\$109,882,199</u>	7%

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	<u>Market Value</u> <u>As of 9/30/04</u>	<u>Revised</u> <u>Changes</u>	<u>Allocation</u>	
Real Estate				
Campus Partners	\$24,970,908	0	\$24,970,908	
Don Scott Airport	23,389,000	0	23,389,000	
Miscellaneous	11,524,000	0	11,524,000	
New Funds(3)	-	40,000,000	40,000,000	
	\$59,883,908	\$40,000,000	\$99,883,908	6%
Domestic Fixed Income				
Banc One	\$16,596,864	\$21,596,864		
Cypress Asset Management	25,135,023	0	25,135,023	
Hughes Capital Management	15,902,436	5,000,000	20,902,436	
Huntington Trust	32,792,807	10,000,000	42,792,807	
MDL Capital Management	78,314,520	(37,500,000)	40,814,520	
State Street Govt/Credit Index	<u>127,464,081</u>	<u>(90,000,000)</u>	<u>37,464,081</u>	
	\$296,205,731	(107,500,000)	\$188,705,731	12%
High Yield Fixed Income				
Commonfund High Yield Bonds	\$15,862,267	\$15,000,000	\$30,862,267	
New Managers(3)	-	<u>47,000,000</u>	<u>47,000,000</u>	
International Fixed Income				
New Managers (3)	-	45,000,000	\$45,000,000	3%

Upon motion of Judge Duncan, seconded by Mr. McFerson, the Board of Trustees adopted the foregoing resolution with seven affirmative votes, cast by Messrs. McFerson, O'Dell, Hicks, and Judge Duncan, Meses. Longaberger, Hendricks, and Davidson, and one abstention cast by Mr. Borrer.

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**CLASS OF '04 NEW STUDENT PROFILE –
UNDERGRADUATE AND GRADUATE PRESENTATION**

Vice Provost Carole A. Anderson:

This is the time of year that we like to share with you what the incoming class looks like and to do that today are three people, Drs. Martha Garland and Mabel Freeman, who will talk to you about the undergraduate class, and Dean Susan Huntington, from the Graduate School, to talk about the graduate students. When they are finished, I will talk a little bit about our professional students.

Dr. Martha M. Garland:

Thank you, Dr. Anderson. As you were reminded in the President's remarks, our Academic Plan, our Diversity Action Plan, and now our later iterations through the Leadership Agenda continue to emphasize the importance of having as strong and diverse a student body as we can attract who are then very well served educationally. I think we could hardly have better testimony to the success of that than the young man who presented his experiences in economics and mathematics earlier.

We have continued to press forward on these emphases on getting as good and diverse a class as possible and helping them to have as good an experience as possible. As you all have been very aware of and very encouraging to us through the last several years, we are beginning now to see, not only results in terms of the incoming class, but in terms of the

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outcomes. We are having higher and higher student retention to the sophomore year, to the junior year, and now more students graduating within the six years that the federal government uses as sort of a standard. What we are really excited about is that we are beginning to see large numbers of our students graduating in four years. That is a combination of well-prepared students and real efforts on the part of the University to make their passage through the University smooth and well organized.

As you all are aware, last year the climate for recruiting students changed dramatically as a result of the Supreme Court's decision affecting the University of Michigan. So the recruitment process, which culminated in the class that we are going to report to you about this year, was somewhat challenging. I think you remember that we needed to change some of our processes and we had difficulties. In many ways it was a very successful year despite those challenges, but there were things that were challenging and difficult. Dr. Freeman, assistant vice president for Undergraduate Admissions and the First Year Experience, will tell you about the class and some of the plans that we have as we go forward to meet some of those challenges.

Dr. Mabel G. Freeman:

Thank you. Last year we did speak to you about the Michigan case, but that was only one part of our year's experience. In a typical year we look at about 20,000 applications for Fall Quarter enrollment for freshmen. This past year, we only had 17,000 applications for Fall Quarter enrollment. Nevertheless, we are enrolling a class of 5,980 and it is the brightest class ever in the history of the University. It is the tenth year in a row that we have been able to say that. So there was no question that the composition of those who applied was strong and it was strong across all categories and all ethnicities.

The class of 5,980 arrived and, in all honesty, it was a few more than we had targeted for. We were planning to bring in a class of about 5,800. One thing that holds pretty steady for most universities is what we call your "yield rate," the percentage of students you admit who then enroll. We had an unusual phenomenon that happened to us this year that made us fairly unique in the Big Ten and in Ohio. Our yield rate jumped 3.5 percent, which suggested to us the students who were applying were quite serious about being interested in coming to Ohio State which was great.

Of those 5,980 freshmen, 35% graduated in the top 10% of their high school class and that is more than one out of every three finishing in the top 10%. Seventy-one percent of our freshmen graduated in the top 25% of their high school class – almost three out of four. Those are important figures because we continue to put the greatest weight on the performance of the student in high school on the college prep curriculum.

The average ACT score went up 0.2 to 25.6, which means in some of the publications you will see it rounded up to a 26. As you know, that has certainly been a goal of the University's to continue. To give you a comparability, the average ACT score of all the students in Ohio in the Class of 2004 was a 21. So the fact that at Ohio State it is 25.6, you can see what we are working with when it is time to continue to recruit even higher-level students. The average ACT score 10 years ago was a 22.8, to give you a little bit of reference there.

The number of University Scholars – you heard the report about the Honors Program and how well it is doing. Ten years ago, the University Scholars population -- and those are our students who have a combination of the top

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3% of their high school class and typically a 29 ACT or 1300 SAT -- was 406 students in the freshman class. This year we have 834 University scholars. That is over 100% increase in just that category alone.

The most disappointment about this class is clearly the number of African-American students. We dropped significantly and that is a great disappointment. In last year's class we had 550 African-American freshmen; in this year's class we have 393. So last year that was 8.8% of the class; this year it is 6.6%. Of those 3,000 students who did not apply this year, 500 of those students were African-Americans. Our metrics look very similar to the University of Michigan's and that is not to offer an excuse that is just to give some context.

Clearly, our biggest issue last year was trying to convince the community that, regardless of the Supreme Court decision, the University was as committed as ever to diversity and that we could still use race as a factor. The Supreme Court found that indeed race can be a compelling educational factor. We had to do some convincing. The best thing about year two is that we have the data to show of the students who did apply what happened to them.

It is a very small statistic right now, but I want to share it with you. As we look at the Class of 2005 -- we are right at the beginning of the application season -- I can tell you right now that at this time last year, we had completed applications from approximately 44 African-Americans and this year we already have over 110. So we are working very hard to get the story out during the recruiting process and being able to show what the University's commitment continues to be.

Of the class that came in, approximately 15.5% were students of color and that is about two percentage points less than the previous year. That is indeed a reflection of African-American drops because our percentage of Hispanic students remained constant. The percentage of Asian-American students went up just a tad, as did the percentage of Native-American students. So we have clearly identified where our hard work needs to be this year.

Approximately 14% of the class were students from out-of-state. We admitted students from 48 states, but we clearly didn't get the job done in South Dakota and Rhode Island, but we are working on that.

So that is what this freshman class looks like. I would like to mention to you that this is a class of students who have settled in very well, have been attending programs in record numbers in our first year success series programs, who have been showing up for the cultural events, and political speakers' rallies in record numbers. There is no question beyond the academics that this is a highly-involved class.

The other thing we are very excited about is -- as we look at the retention that Dr. Garland referenced nationally -- about 73% of freshmen return for their second year at universities. At Ohio State this fall, 88.1% of last year's freshmen have come back for their second year. That is a signal to us that these students are well on their way to graduation, which is what it is really all about.

Thank you.

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Dean Susan L. Huntington:

For the Graduate School, I made a sheet of points but the important information is really contained on the tables that you will see.

Just to give you a frame of reference, in terms of the scale of Ohio State's graduate education operation, we have 100 doctoral programs and 125 masters programs. These do not include the six professional degrees that are offered outside of the Graduate School.

Our graduate students comprise of about 20% of our student population and yet they bring in approximately 38% of the state subsidy. Obviously, the state pays a greater amount for the very individualized and costly education that we provide our graduate students.

Compared with last year, our applications reveal some very interesting trends. If you can see in item number three, our domestic U.S. applications were almost the same, with less than 0.5% difference, which shows that we are holding very steady, and this is very important in light of national trends. We had an increase of about 3% of the applicants admitted, meaning that we admitted slightly more students in relationship to the actual applicant pool.

Our international applicants were way down. You can see that we are about 45% lower on this, but this should not be interpreted as anything bad. Our international applications had been increasing exponentially and yet the actual number of slots available for new students has remained constant. Therefore we have always been very selective, but our applications included many students who used Ohio State as a wild card. We know that many students from other countries apply to 40 or 50 institutions hoping that they will get into one. So this drop, in fact, is actually quite good, because what we saw was the lower end of applicants dropping. This decreased the amount of work that our Admissions Office had to do, which is marvelous because we were able to yield almost the same number of students; we were just down about 3%. The total number of applications received were down 29%, but we stayed about the same in terms of the numbers that we admitted and have actually enrolled.

I want to reinforce this idea that the drop in international applications does not mean a drop in quality. We find that the TOEFL score -- the Test of English as a Foreign Language -- in the paper-based and in the computer both went up slightly. So the drop in applications does not indicate a drop in quality. The mean GPA of all the students who applied also went up slightly. So we feel confident that we are still attracting the best students.

The fifteenth-day enrollment shows that we have a 2.8% increase over last year. We are down in the number of students who are new to Ohio State this year, but clearly we have a higher number of students who are returning.

Something we should be most proud of is the performance on the African-American and the Hispanic numbers. As you can see, despite many, many national circumstances, which are making it more difficult to recruit students that increase our diversity, we increased by 2.7%. Included in that holding steady of our domestic was an increase of 2.7% and 12% for our Hispanic. I know that the Office of Minority Affairs, the Graduate School, and other offices on campus have been working very hard to see these kinds of numbers, and this is also despite the Michigan case.

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In comparison with our peers, many universities around the country are seeing incredible percentages of drops in their enrollments. Illinois, among our peers, has had an increase, others are down, and Ohio State is holding steady. And you can see data that was compiled by the Council of Graduate Schools in Washington, DC, which shows the comparison between Ohio State and other averages across the country for other institutions. You can see the dramatic drops in terms of the national situation.

I think it is especially important to look at some of our science areas. I picked engineering and what would be the mathematical and physical sciences at Ohio State. You will notice that our admissions in engineering are up 56% and in the physical sciences they are up 11%, compared with the national trend. Now are we admitting too many students or the wrong students? I don't think so, because one of the things that we can do in these fields is to look at the numbers of graduate research associates who are appointed and that is an indicator of the robustness of our research enterprise.

What we see is in four different categories in which we have had an increase in the number of the GAs who have been appointed. While not all of these are related to external funds, 20 out of the 29 in mathematical and physical sciences were an increase in the GRA appointments and, in engineering, 33 out of the 48 were. This indicates that we are increasing our research dollars and that there is a corresponding increase in these GRAs.

Are students applying to us for the right reasons? Clearly, they are not coming here because of the climate, although today is a beautiful day. They are not coming here because they have friends or relatives here or because it is convenient. Clearly, they are coming here because of the reputation of the specific disciplines to which they are applying and the overall academic reputation. I must say that these data are a little bit out of date; they are a couple of years old. I would guess that if we did this survey again we would find that the numbers were even better.

I think the bottom line relating to graduate education is that we are holding our own in a fiercely competitive national environment. One of the things that often happens in an economic downturn is that you get huge increases in enrollment in graduate education. This has not happened around the country. In this case, we are bucking the trends and we are seeing the growth in the research-intensive areas that we are very much trying to foster. That is my report.

Vice Provost Anderson:

Let me say a few words about the professional students. In all the colleges, the entering class actually has the highest GPA of any students on campus. They all enjoy very high applicant pools, numbers of students applying relative to the number of places that they have.

The College of Dentistry's applicant pool was up 26% over last year. They had over 1,000 applicants for 104 places. The average GPA was 3.6, which is the highest that the College has had in a long time. The class is very diverse: a veteran who earned a Purple Heart in Iraq, five professional musicians -- one of whom played in the OSU Marching Band -- a volunteer firefighter, and a nurse.

The Moritz College of Law had a very large applicant pool. They had almost 2,300 applicants for 230 places in the first-year class. The average GPA

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was 3.6 and the LSAT score was up from last year. Impressively, they had the most diverse class ever, with 26% members of underrepresented minorities: 8% African-American, 12% Asian-American/Pacific Islander, and 6% Latino-American.

The College of Medicine and Public Health's M.D. class was the highest achieving class in the history of the College. They received over 3,800 applicants -- more than triple the national average -- for 210 places. They had 135 men and 75 women, with 20 students from underrepresented minority groups and 8 students have advanced degrees already. Their undergraduate GPA was 3.7 and their average score on the MCAT was higher than 10 of the medical schools in the top quartile of the *U.S. News and World Report* rankings. Their class includes: a former NASA rocket propulsion tester, a Big Ten discus champion, a symphony orchestra member, and a high school physics teacher.

The College of Pharmacy's PharmD class had 700 applicants for 118 places. The undergraduate GPA was 3.5 and the PCAT score was up. Their class is 29% male and 71% female, which is a trend that began several years ago and continues.

The College of Veterinary Medicine had over 900 applicants for their 140 places. Their GPA was 3.59 and their average GRE score was almost 1200. Twenty-two percent of the class is male and 78% female, which, again, is a trend that has been continuing for many years.

That is a snapshot of the incoming professional students, so that gives you a complete picture of all the new students on campus this fall.

Ms. Longaberger:

Thank you very much. Questions?

Ms. Hendricks:

Is there and what is the percentage of state subsidy for professional degrees?

Vice Provost Anderson:

The percentage of subsidy in the total budget?

Ms. Hendricks:

Do I understand this properly, that 38% of Ohio State's state subsidy is for graduate students? Does that include professional students?

Vice Provost Anderson:

No.

Ms. Hendricks:

Do we get any subsidy?

Vice Provost Anderson:

Yes. There is a different subsidy level for each of the professional colleges, but there is state subsidy. The professional students are classified separately from the graduate students.

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Dean Huntington:

It is at a very high rate, but the actual numbers of professional students is fairly small compared with the other populations.

Ms. Hendricks:

I see. Thanks.

Mr. McFerson:

This question is for Drs. Huntington and Freeman, and has to do with the financial side. With the applicants down as much as you have reported, is our fee for applications still the same? And, if it is, are you considering increasing the fee so that our revenue is neutral?

Dean Huntington:

That is a good question. You are right, that that would be a reduction in the amount of money that is actually being brought in. But the workload has gone down and I know that the Office of Enrollment Services has had a number of changes and Martha can speak to that because that office reports to her. But the workload definitely went down.

Dr. Freeman:

We have raised the application and acceptance fees. This is the second year and we do not plan to make any change in that for the coming year. We are going to hold steady. We have a \$40 application fee for undergraduate students, which still puts us at the bottom of most schools that have application fees as far as an amount, and we want to try to keep it there.

Mr. Endsley:

Dr. Freeman, I am sure you are aware that there has been quite a buzz recently among students about the recent essay requirement for undergraduate applications. In fact, there has been a lively debate in *The Lantern*, if anybody has been following that. I was hoping you might want to comment on that since it has been in place for two years now, and also talk about how it affects the composition of the applicant pool.

Dr. Freeman:

Actually in response to the Supreme Court decision -- when they said to Michigan that they could no longer use a mechanical system that in any way used points as part of the process regardless of how many students you were reviewing, that you needed to go to a holistic system -- we made the decision that we needed to get more information about the students on the applications so that we could do this holistic review.

We made a decision last year that four short answer questions -- when totaled together -- would equal the length of one essay question that other schools were asking for and it would give us a little more variety. What we had not anticipated was that it meant one had to think about four different things, which, apparently, became quite challenging to many students. For those who try to link that to the decline in African-American applications, I would note that there were 2,500 Caucasian students who did not apply either, compared to the previous year. As we did our analysis of students

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saying, "You know, it is not so much about the writing, it was how much, and four different topics, and you did not give us any choices."

So this year's application has two writing questions, one of which students have a choice of which part they would like to respond to. So we are not hearing the same feedback this year and we were very willing to make that change after one year's time.

However, I need to say -- and I am sitting on a number of national committees about writing -- we do not need to apologize for asking people to write on an application for college. So we were not about to say that we erred in asking for some writing samples, and thinking and reflecting. What we were willing to acknowledge is perhaps the extent to which we were expecting it was wrong and so we made that change this year and life seems to be going on better.

We are assuming the application numbers should go up and we are targeting next year again for 5,800 students. It is a very dangerous game that some institutions play to increase the number of students that they deny. At some point, you want to make your applicants students for whom it is a good fit for Ohio State and so we want to make sure we are explaining that well. I would not be happy if we had 25,000 applications and still only had 5,800 spaces, because it is that many more kids that we have had to disappoint. So what we are trying always to do is to make sure the message is getting out there. As we looked at our analysis, it is very possible that about 2,000 of those applicants probably would not have been admitted, as we have been having this increasingly changing profile. But there were clearly some who, had they applied, we would have. So it is getting to that group.

Ms. Longaberger:

Any other questions or comments? Thank you very much for your complete report.

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LARGE BINOCULAR TELESCOPE PRESENTATION

Vice Provost Anderson:

The second presentation is by Dean Richard Freeman and Professor Pat Osmer on the Large Binocular Telescope.

Dean Richard R. Freeman:

Thank you for the opportunity to present the Large Binocular Telescope. Several of you had the opportunity to go out and participate in a rather remarkable weekend -- from my point of view and I think from many of your points of view -- seeing that telescope and the position that Ohio State has put itself in by being involved in that process.

What we are going to do today is Professor Pat Osmer, distinguished professor of math and physical sciences and the chair of Astronomy, is going to give you a little bit of a background on what the Large Binocular Telescope is and what it means to Ohio State. Some of you will recognize yourself in some pictures that we have brought back from that event. At the end of all of this, I have a little memento for each of you that is special to what you will see in the slides.

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Professor Patrick S. Osmer: [PowerPoint Presentation]

Thank you very much, Rick. Thank you, President Holbrook and members of the Board for the opportunity to speak. As Rick said, some of you had the opportunity to see the telescope last month and I hope today we will be able to persuade others of you how valuable and interesting it will be to do so.

I would like to get out our most important message first and I think it fits in well with what the President led off with today on the agenda about being distinctive, about being cutting edge, and, indeed, about outreach and engagement. Our goal very simply with this project is that it is going to put us literally at the front rank of astronomy and astrophysics in the world. I must say that our benchmark, our peer institutions are the world and in our department we go for the very best. I want to explain to you a little bit today on how we are getting there and why this is so important. Admittedly, to get from here to the edge of the Universe in 10 minutes is going to be a bit of a challenge, so I will only be able to hit some highlights.

As has become clear, there are some very distinctive parts of this. Let me just mention three of the highlights here. This really will be the world's largest optical and infrared telescope period and some of you have seen that. That would give unique power that I want to explain a little bit as we go along. For example, it will have 24 times the light gathering power of the Hubble space telescope. It is going to have ultimately 10 times the image sharpness, and those are the qualities and factors that really matter in determining the power of the telescope and what we can do with it.

At the same time, the scale of the project is such that it is beyond the scope and capability of any individual institution and, indeed, we have our partners listed here. This project is not only national, it is actually half international and that also presents some great opportunities for our programs in general. The other partners are the Arizona University system, five institutes from Germany, the Italian National Institute of Astrophysics, the Research Corporation, which is a leading foundation for science in the United States, and Ohio State.

A unique feature of this telescope project comes from the binocular nature, as we will see in a moment. It has twin mirrors and is the first telescope ever designed with this, and it is really a path breaker for future telescope designs. What is so unique about this is applying the latest in image processing technology and being able to combine the light from these mirrors in a way that will simulate the performance of a 23-meter or 75-foot telescope and give us images of unprecedented resolution. For example, it will offer us the first chance to actually see planets around nearby stars as well as study the most distant objects in the Universe. Without getting carried away or anything, what is unique about this is the way that we are going to be able to combine the light from the two mirrors.

A good picture is worth about two megabytes. Another challenge we had for this project is to get people to appreciate the scope. While I attempt to do that, again, those of you who went there I think will agree you just have to be there to appreciate it, but we will do our best. With the magic of Photoshop, we will start here on campus with our own library, a well-known view. To give you some sense of the scale of this project, let me show how it compares with the enclosure I am about to show you. If we could magically transport it here, it turns out this building and system is exactly as high as our Main Library. I hope you will remember that as we go along, because this is a massive construction project as well as a very challenging technical project. The difference between our library and this building is that

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the upper two-thirds of this building rotates and so there have been very unique challenges in going ahead.

As good as the weather is here today, it is as you probably understand not the best place to do observational astronomy. Indeed, we are concentrating our work in Arizona and the telescope is located on Mount Graham, a 10,500-foot mountain. Many of you will remember those 500 curves in the road as we went up the mountain. Mount Graham was selected as the best remaining site for astronomy in the continental United States, factors being distance now from white pollution, number of clear nights per year, high elevation, and dry and steady air. This is really an outstanding site, which is why we are there.

Here is the real life building and, again, the upper two-thirds rotate. It is as high as our library and, in fact, these trees are on the order of 50-feet high. So this has been a major construction project.

I guess we did not get to see it open for those of you on the tour, but this is how it looked when it is open. The middle is somewhat like a taxi with all four doors open, but this is actually by design. An important requirement for a modern telescope enclosure is that it be as ventilated as much as possible so that the temperature inside the enclosure is as close to the outside so as not to produce heat and cold currents that will blur the image. In fact with this design, we will be able to get within a half a degree or better.

What goes inside is this unique telescope design. This slide shows it being assembled in the factory in Italy. Use your senses by looking at the people on the front of the scale of this. The twin mirrors are located, mounted here on the sides and in this case we will be looking upwards. The moving mass of this telescope, which has to move with the precision of a very fine Swiss watch, is greater than 600 tons. In fact, there are very few places in the world in which this could be built, so this is another area where the international partnership helped out. This is a factory that made parts for steam generating components for nuclear power plants and is the factory where the European very large telescope was built. Again, an example of how the partnership brought very important expertise.

I am often asked, "Why are we doing this when we have the Hubble"? I already alluded to one of the answers, but this is actually a very useful diagram. I have drawn here the size of the twin mirrors in the Large Binocular Telescope to scale – they are each 8.4 meters or 27.5 feet in diameter, separated by six. One measure of a telescope's power is simply the collecting area, which is the area of the mirrors and, as you can see, compared to Hubble's mirror, which is rather small by modern standards, this has the greater power. This is what will enable us to do the astrophysics of objects that Hubble can only image.

In addition, as we look from the outer edge of this mirror to the other edge, it is 10 times the diameter of Hubble and that is how we will get this extra image sharpness. A unique feature I want to be able to describe in detail is actually why it is going to be combined in a way that has an analogy to noise canceling headphones you use on the airplane and that is how we are going to be able to see the planets.

Of course, the mirror is the heart of any telescope project and these days they are far too big to make as lenses so we do it all with mirrors. An example of how cutting edge a project the mirror technology is, not only are these the largest mirrors, but they are made with a special process that those of you who went to the mirror lab saw. Pieces of glass about the size of your fist were placed carefully on a very well-designed, carefully

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engineered mold, put in a giant furnace, heated up to about over 2,000° F, spun, and eventually melted into this shape.

This slide shows the mirror and it is big. At the same time it has to be polished to an accuracy of about a millionth of an inch. It required both new technology to make the mirror and even more impressive technology -- shown by this device over there -- the specially constructed computer-polishing tool that achieves this figure. This mirror is so steeply curved that it is about a meter high -- waist high -- at the edge and it is only 20 inches high in the center. Again, those of you who saw it will agree it is a unique facility.

They are placed in this design, and what we see here is the light path -- the blue line is a parallel beam coming down from the sky. So the light is captured by the primary mirrors, then reflected up to secondary mirrors on the telescope, back down on a third, and finally combined in the red tube in the middle where this interferometry or the binocular nature will actually be carried out. Again, this is not your grandfather's telescope. This is a very forward-looking project and, indeed, will be the model for future large telescopes.

There are many adventures in this and I will show you a picture of one. Getting it from the factory in Italy, down through the city of Milan, and across the River Po, and on a ship over to Houston, and so on was quite an adventure, but it has happened. The telescope has now been reassembled in the enclosure there and you can see it now from a distance away in this image.

As I mentioned, along the way we have had some adventures. Something that messed up my Fourth of July weekend was not the least of it. Since the telescope is located on this mountain, it is subject to forest fires and there was one caused by lightning that was a huge problem for the project over that weekend. This gives you some sense of what a dramatic situation it was and the risk we went through. I am delighted to say, through the efforts of nearly 1,000 firefighters that the telescope survived okay. But this 20-year saga to build the project has been pretty amazing and probably worthy as a subject of a book.

Our participation is not only financial. We are making very significant technical and instrumental contributions to this and, in fact, I have helped us gain entry into the project by a very creative way to use our resources. You saw the mirrors, which are polished to a very fine accuracy. However, they are no good. Indeed you can look at the whole function of them as only to hold up about an ounce worth of aluminum, a thin coating that is only a 1,000 angstroms thick that will go on the front surface and will be deposited through this high vacuum process as developed by a laboratory here on campus -- our imaging sciences laboratory. We had brought in test fixtures, a dummy mirror cell, and this vacuum bell jar to Columbus to do this work.

We did not find right away buildings on campus that had 35-foot ceilings where we could set this up so another interesting story was finding a place to do this. We eventually located a hangar at Rickenbacker Airport, set this up, and we have actually built all the equipment in here, which consists of 28 electrically heated aluminum crucibles that will actually melt and vaporize aluminum, and produce this thin film that will go on the mirror. This equipment is now being shipped out to Arizona, and, again, some of you saw it out there.

Our second main contribution will be one of the key instruments for this. Again people do not look through telescopes any more, all of the

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observations are carried out with very sensitive digital detectors and especially spectrographs, which break the light up into the colors and allow us to view the astrophysics. We are building these units that will be mounted down here about 15-feet high each. One of them where we have gained about \$4 million in external support from the National Science Foundation and their regents, and we are putting that together as our second main contribution. This will allow us to carry out these forefront observations. As I say, jumping up literally to the edge of the Universe.

You may remember and have seen this picture of the so-called Hubble deep field, the longest and deepest exposures ever taken. What is remarkable here is that we have seen this collection of galaxies, fragments of galaxies, and some nearby stars. This is allowing us to see so far out that there are objects in this field that are more than 10 billion light years away. So we are not only probing to the edges of space, we are probing back near to the beginning of time because that light has taken 10 billion years to get here. In fact, with the power of these telescopes we can see so far back, so close to the Big Bang, that we are actually seeing the very first objects as they appeared in the Universe and that is among the things, in addition to searching for planets, this telescope will allow us to do.

It is a tremendous intellectual adventure, as well as a technological marvel and, I think, it is very important for our mission here. There are many other aspects it has taken to get it done.

I want to close now by mentioning some of the events that the representatives participated in last month. Again, emphasizing with the flags here – this is a three-nation partnership of Italy, the U.S., and Germany. We actually own an eighth of this project, as we will describe. It actually puts us in a very strong position relative to our size. At the same time, it gives us partnership with some of the strongest institutions in the whole world. It allows us then to look forward and strengthen our program by establishing these linkages in many ways.

The Research Corporation, the leading science foundation, made an important grant to us at the beginning of the project, as well as getting in now are the Universities of Minnesota, Notre Dame, and Virginia. Through their grant, we were able to expand the 1/8 share of the project that we own to a 1/6 share of the observing time. That is what will make it so powerful for our program here and so outstanding for Ohio State.

There was a wonderful ceremony on the mountain. We were able to show the unveiling of the plaque – shown here on the left is the Italian minister of science, education, and research, President Holbrook, John Schaffer, president of the Research Corporation, chair of the LBT Board, and former president of the University of Arizona -- in honor of the occasion. As the plaque reads here, "The dedication of the Large Binocular Telescope marks the successful partnership and friendship of the American, German, and Italian people."

I think that those of you who were there had a wonderful time, shown by the image here. Again, I hope that will be motivation for more of you to see it because there is really no substitute for doing so. I have tried in this brief time to give you a sense of the power of the telescope, of the technical events it represents, and the opportunity it gives Ohio State. I would like to say also I am just enormously grateful for the opportunities that we have had here and I think this is enabling us to build our program up and carry out the missions that you described at the beginning of the meeting.

Thank you very much.

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Dean Freeman:

Since Dr. Osmer has finished the technical presentation for you, I wanted to finish with this slide. I can never resist an audience, being a professor for the number of years that I have been, and I wanted to emphasize that this is one of the disciplines that are contained in this great University and the Colleges of the Arts and Sciences. The Colleges of the Arts and Sciences are banded together under Interim Executive Dean Jackie Royster. It is your disciplines that carry the reputational charge of what makes Ohio State great in the eyes of the international and national communities. What you saw today was but one example of what we think we are charged to do, and when we do it well and we do it right, we are very proud of ourselves. We hope that we are fulfilling the mission as you see it.

Before I end, I do have something special for each of you. Those of you who were out there will recognize this immediately, but I brought along a little memento for each of the Board members and something for the President to put in her official residence.

President Holbrook:

This is a chunk of the glass for the mirror. This is gorgeous. Is that not beautiful?

Dean Freeman:

These pieces of glass are the pieces that are left over from the glass that is actually in the telescope. This is what Professor Osmer was talking about these individual pieces and we melted them.

President Holbrook:

Thank you, Rick, this is gorgeous. It has to be in my office where everybody can see it and comment on it.

Dean Freeman:

Those of you who were there, I explained to you that these were very expensive pieces of glass which are very pure. The pieces they actually used were a little bigger than this, put them into that thing, and melted them down. These pieces are actually what were left over. To keep the little pieces, what we have only done here was to give you a flat bottom so that it will sit on something. We also rubbed the edges so you will not get cut.

President Holbrook:

Thank you, this is wonderful.

Ms. Longaberger:

It is a wonderful memento.

Dean Freeman:

This is our great *Astronomy Magazine* I want to brag about it. It has four or five features on the LBT, with a lot of background information. The last time we had four of the Trustees go and we would like to take any of you again whenever you would like to go. It is a great trip and please ask your fellow Board members who went what kind of time they had.

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President Holbrook:

Are you not coating in December?

Professor Osmer:

Yes, we are coating in December and the second mirror goes up next August, with the full operation being up by 2008.

Ms. Longaberger:

We will look forward to you coming back and giving a report soon after 2008, 2009, or 2010, to tell us the great things that have been discovered through this investment. Thank you very much. We will look forward to that.

Mr. O'Dell:

I would like to say that it was the opportunity of a lifetime to go out there. The science that we are going to be doing there, the increased understanding of the origin of the Universe, and where we fit into that is just something special, and to have Ohio State leading the way is spectacular.

Mr. Hicks:

It was a fabulous trip. For somebody who knew very little about your field to go out there and really be able to talk to some of the world's leading experts, including folks here at Ohio State, was really remarkable. We appreciate everything you did to show us the way and we look forward to seeing the broader light in the future.

Professor Osmer:

It was our pleasure.

Mrs. Davidson:

I think it was difficult to appreciate it. You did a very good job in your presentation today, but you really do need to see it. It is a remarkable feat and to know so much of what is happening has been made possible because of some of the good research, dedication, and involvement of Ohio State University. I would just say to both of you, you are excellent hosts, too. Thank you very much.

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Ms. Longaberger:

In a moment we will be taking a roll call vote to go into Executive Session for the purpose of dealing with a legal matter and a personnel matter regarding compensation.

Before we go into Executive Session, we will take a five-minute recess. For those of you who may not wish to stay until we complete our Executive Session, I just want to remind you that the next meeting of the Board will take place on Friday, December 3, 2004. We hope to see you all there then.

I hereby move that the Board recess and go into Executive Session for the purpose of dealing with a personnel matter regarding compensation and a legal matter. May I have a second?

November 5, 2004 meeting, Board of Trustees

Upon motion of Ms. Longaberger, seconded by Mr. O'Dell, the Board of Trustees adopted the foregoing motion by unanimous roll call vote, cast by Messrs. McFerson, Borrer, O'Dell, Hicks, and Judge Duncan, Mses. Longaberger, Hendricks, and Davidson.

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Ms. Longaberger:

The Board of Trustees will now reconvene the Board meeting.

The Governance Committee of the Board met with the President in Executive Session on November 1, 2004, to conduct her annual performance review. The Governance Committee shared the results of that meeting with the full Board this afternoon in Executive Session. As a result of that session, and in accordance with the terms outlined in President Holbrook's initial letter of offer, a bonus was paid to her in June 2004, and at this time a recommendation of a salary increase of 3.5%, retroactive to July 1, 2004, is hereby proposed.

Upon motion of Ms. Hendricks, seconded by Mr. Borrer, the Board of Trustees adopted the foregoing recommendation by unanimous roll call vote, cast by Messrs. Borrer, Hicks, and Mses. Longaberger, Hendricks, and Davidson.

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Thereupon the Board adjourned to meet Friday, December 3, 2004, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

Tami Longaberger
Chairperson

David O. Frantz
Secretary

(APPENDIX XII)

**Waivers of Competitive Bidding Requirements
July-September 2004**

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>
Hospitals – Merchandise For Resale	\$1,900,000 2	\$1,900,000 2	\$0 0	\$0 0
Hospitals – Prof Hlth Care Serv	\$12,545,000 8	\$962,500 2	\$82,500 1	\$11,500,000 0
Hospitals – Equip & Serv	\$5,875,277 29	\$3,370,579 20	\$90,000 1	\$2,414,698 8
Merchand For Resale	\$150,000 1	\$150,000 1	\$0 0	\$0 0
Instruc and Research Equip and Services	\$3,375,288 32	\$2,018,364 13	\$119,387 2	\$1,237,537 17
Admin Support Equip and Services	\$6,241,060 47	\$1,652,543 13	\$1,197,000 6	\$3,391,517 28
TOTAL	\$30,086,625 119	\$10,053,986 51	\$1,488,887 10	\$18,543,752 58

ATHORIZATION FOR CAPITAL PROJECTS

<u>Project</u>	<u>Approximate Amount (in millions)</u>	<u>Requested Action</u>
9 th & 11 th Avenue Parking Garages – Elevator Upgrades	Dept: \$0.52	Enter into design contracts
Bevis Hall – Rm 068	Dept: \$0.16 State: \$0.07	Enter into design contracts
Bradley & Paterson Hall Restroom Renovations	Dept: \$1.20	Enter into design contracts
Jennings Hall Renovation	Dept: \$4.43 State: \$23.50	Enter into design contracts
Smith Lab Renovation for Jennings Swing Space	Dept: \$0.90	Enter into design contracts
Stradley Hall – Electrical Upgrade	Dept: \$1.00	Enter into design contracts
Women’s Field House Renovation	Dept: \$0.25	Enter into design contracts
Subtotal for Design Contracts	Dept: \$8.46 State: \$23.57	
<hr/>		
Byrd Polar Research Center	State: \$0.26	Enter into construction contracts
Mendenhall Lab Chiller	State: \$0.23	Enter into construction contracts
Townshend Hall – Roof Replacement	State: \$0.67	Enter into construction contracts
Veterinary Hospital – Roof Replacement Phase II	State: \$1.09	Enter into construction contracts
Wexner & Mershon Upgrades	Dept: \$2.00	Enter into construction contracts
Subtotal for Construction Contracts	Dept: \$0.26 State: \$2.25	
<hr/>		
Total for all Contracts	Dept: \$8.72 State: \$25.82	
Grand Total	\$34.54	

9th and 11th Avenue Parking Garages-Elevator Upgrades

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Transportation and Parking Services

How does this project advance the Academic Plan?

This project will upgrade the two elevators serving the 9th Avenue Parking Garage and the single elevator serving the 11th Avenue Parking Garage. The project is an effort to enhance the quality of the teaching and learning environment and to better serve the student body as predicated by the Academic Plan.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

This project is for the renovation of the two elevators serving the 9th Avenue Parking Garage and the single elevator serving the 11th Avenue Parking Garage. The work will include upgrading the elevators and associated equipment to meet the current elevator code as well as cosmetic upgrades to the cabs.

Preliminary Cost Estimate:

\$515,000 total project cost.

Proposed Funding Source:

Transportation and Parking Services

Outstanding Funding Issues:

None.

Timing Issues:

Construction on the 11th Avenue Garage elevator must coincide with an Academic Calendar break (Winter 2005/6.)

"Ripple effects" of the project:

None.

Special limitations/risks:

None.

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



9th & 11th Avenue Parking Garages - Elevator Upgrades
315-2004-936

Requesting Agency(s): TRANSPORTATION & PARKING SERVICES

Location(s): PARKING GARAGE E (11TH AVE)

Gross Sq. Ft. 221,813 Age: 1977

Location(s): PARKING GARAGE F (9TH AVE)

Gross Sq. Ft. 369,421 Age: 1980

Description: The project is for the renovation of the two elevators in the 9th Avenue Parking Garage and the single elevator in the 11th Avenue Parking Garage. The work will include upgrading the elevators and associated equipment to meet the current elevator code as well as cosmetic upgrades to the cabs.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Manager: Christine Nocar
(lawson.132@osu.edu)

Field Coordinator: Is Unassigned

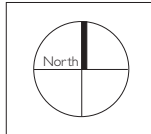
Project Coordinator: Leeanne Chandler
(chandler.63@osu.edu)

Project Assistant: Mikki Reese
(reese.153@osu.edu)

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-Trans. & Parking	\$515,000.00	\$515,000.00				
Total:	\$515,000.00	\$515,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$515,000 project)	11/05/2004		
Construction			
Construction Start	09/26/2005		
Completion	03/17/2006		

9th & 11th Avenue Parking Garages Elevator Upgrades



Office of Business and Finance
Office of Facilities Planning and Development

October 9, 2004

BEVIS HALL – ROOM 068

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Office of Research

How does this project advance the Academic Plan?

Expansion of this research facility will contribute to the improvement of the learning and teaching environment.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Renovate/remodel room 068 to accommodate the expansion of the Transgenic Zebrafish Facility, currently located in Suite 072 Bevis Hall. Scope of work includes wall and door removal, floor replacement, casework, painting, HVAC modifications, plumbing, electrical and miscellaneous equipment.

Preliminary Cost Estimate:

\$236,319

Proposed Funding Source:

Board of Regents Grant, College of Medicine and Public Health, Office of Research, Center for Molecular Neurobiology

Outstanding Funding Issues:

none

Timing Issues:

Design Services Completion – May 2005

Construction Completion – January 2006

“Ripple effects” of the project:

none

Special limitations/risks:

Zebrafish are temperature sensitive and they will be housed in the adjacent suite while construction (including HVAC modifications) are occurring.

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Bevis Hall - Rm 068
315-2004-934

Requesting Agency(s): HEALTH SCIENCES ADMINISTRATION

Location(s): BEVIS HALL, HOWARD L.

Gross Sq. Ft. 80,178 **Age:** 1969

Description: Renovate room 068 into Transgenic Zebrafish Facility expansion of 072 suite. Includes floor replacement, HVAC modifications, water treatment system, etc. OFP # 04-55

Project Team:

Facility Planner: Is Unassigned

Project Manager: Ruth Miller
(miller.2495@osu.edu)

Field Coordinator: Is Unassigned

Project Coordinator: Faye Bodyke
(bodyke.3@osu.edu)

Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)

Project Information:

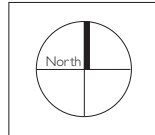
Also known as 50700-R045257

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Medicine	\$90,000.00	\$90,000.00				
Other	\$15,000.00	\$15,000.00				
Restricted-Medicine						
Other	\$58,834.00	\$58,834.00				
Restricted-Research						
BOR Action Fund	\$72,485.00	\$72,485.00				
Total:	\$236,319.00	\$236,319.00				

Schedule:

	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$236,319.00)	11/05/2004		

Bevis Hall - Room 068



Office of Business and Finance
Office of Facilities Planning and Development

October 7, 2004

Bradley and Paterson Hall's Restroom Renovations

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Office of Student Affairs

How does this project advance the Academic Plan?

This project will renovate the corridor restrooms in Bradley and Paterson Halls. This project is an effort to enhance the quality of the teaching and learning environment and to enhance and better serve students as predicated by the Academic Plan.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

The project is the renovation of the corridor restrooms in both Bradley and Paterson Halls. Work will include plumbing, electrical and HVAC renovations as well as cosmetic upgrades to the walls, floors and ceilings.

Preliminary Cost Estimate:

\$1.2 million total project cost.

Proposed Funding Source:

Student Affairs

Outstanding Funding Issues:

None.

Timing Issues:

*Construction must occur during summer 2005 and 2006.
Work must be completed by 2007.*

"Ripple effects" of the project:

None.

Special limitations/risks:

None.

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Bradley & Paterson Halls Restroom Renovations

315-2004-935

Requesting Agency(s): STUDENT AFFAIRS, OFFICE OF

Location(s): BRADLEY HALL, CAROLYN

Gross Sq. Ft. 68,507 Age: 1954

Location(s): PATERSON HALL, ALMA WACKER

Gross Sq. Ft. 61,276 Age: 1954

Description: The project is for the renovation of corridor restrooms in Bradley Hall and Paterson Halls. The work will include renovations to the plumbing, electrical, HVAC systems as well as cosmetic upgrades to the walls, floors and ceilings.

Project Team:

Facility Planner: Is Unassigned

Project Manager: Christine Nocar
(lawson.132@osu.edu)

Field Coordinator: Is Unassigned

Project Coordinator: Leeanne Chandler
(chandler.63@osu.edu)

Project Assistant: Mikki Reese
(reese.153@osu.edu)

Project Information:

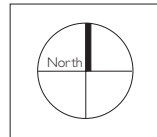
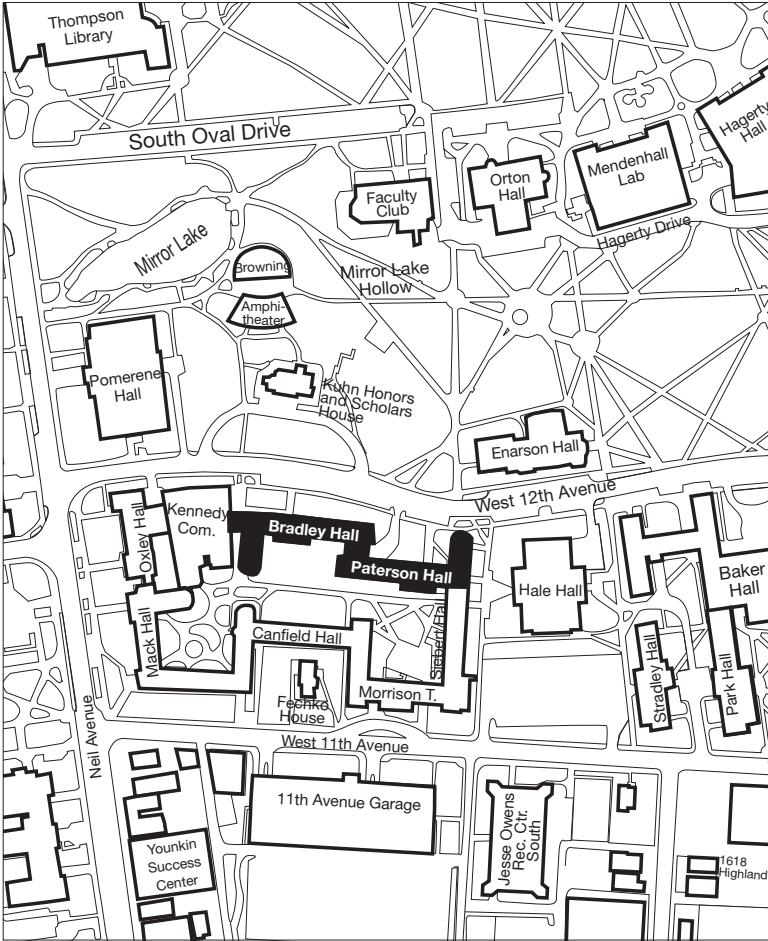
Also know as 50700-R040013

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-Student Affairs	\$1,200,000.00	\$1,200,000.00				
Total:	\$1,200,000.00	\$1,200,000.00				

Schedule:

	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$1,200,000 project)	11/05/2004		
Construction			
Construction Start	06/13/2005		
Completion	09/08/2006		

Bradley & Paterson Hall Restroom Renovations



Office of Business and Finance
Office of Facilities Planning and Development

October 9, 2004

JENNINGS HALL RENOVATION

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

This project is currently in design as authorized by the Board of Trustees to fund planning money of \$1,700,000.

Sponsoring/Requesting Units(s):

*Office of College of Biological Sciences, Mathematical Bioscience Institute,
University Classroom Pool and Office of Information Technology*

How does this project advance the Academic Plan?

Advances the academic plan by meeting the University's mission of teaching and research by providing flexible instructional, laboratory, office and meeting space as well as space for the newly created Mathematical Biosciences Institute (MBI).

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Project includes approximately 101,906 gsf of renovation of Jennings Hall and the demolition of 65,467 gsf (part of Jennings and part of the General Biology Annex).

Preliminary Cost Estimate:

\$27,928,000

Proposed Funding Source:

State capital appropriations, College of Biological Sciences, Office of the President, Business and Finance, Office of Research, Physical Facilities, College of Mathematical and Physical Sciences

Outstanding Funding Issues:

College of Biological Sciences is pursuing fundraising to build an auditorium of approximately 8,960 gsf adjacent to Jennings Hall. The conceptual cost estimate for the auditorium is \$4 million in addition to the current project costs. However, the final Jennings Hall design documents will include documents for the auditorium provided by planning money from the College of Biological Sciences, which is included in the \$27,928,000 noted above.

Timing Issues:

*Design Services Completion – June 2005
Construction Completion – December 2006*

“Ripple effects” of the project:

This project cannot start until Haggerty Hall and Physical Sciences are complete and the users moved in so that the overall active classroom pool count is not reduced. Further this project is anticipated to aid in the construction of a portion of the “River of Trees” which is to be determined

Special limitations/risks:

None known at this time

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Jennings Hall Renovation (aka Botany and Zoology Renov)

315-1999-190

Requesting Agency(s): BIOLOGICAL SCIENCES ADMINISTRATION

Location(s): BOTANY & ZOOLOGY BUILDING

Gross Sq. Ft. 156,833 **Age:** 1914

Description: Renovate Jennings Hall for use by the College of Biological Sciences, Classroom Pool and the Mathematical Biosciences Institute. The renovation will include demolishing approximately 53,000 gsf of the 156,833 gsf.

Project Team:

Facility Planner: Teresa Yu
(yu.195@osu.edu)

Project Manager: Steve Middleton
(middleton.52@osu.edu)

Field Coordinator: Is Unassigned

Project Coordinator: Faye Bodyke
(bodyke.3@osu.edu)

Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)

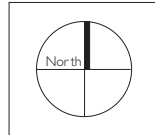
Project Information:

Local administration granted as per the letter dated 7/22/99. 2,000.00 dollars added to cover additional services to the A/E for renderings.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Math & Physical Sci	\$0.00	\$752,000.00				
General Funds-Biological Sciences	\$0.00	\$210,689.39				
Other Restricted-President	\$0.00	\$250,000.00				
Other Restricted-Research	\$0.00	\$1,250,000.00				
General Funds-Business & Admin Physical Facilities	\$0.00	\$181,687.12				
Univ. Bond Proceeds	\$0.00	\$0.00				
Future Capital Appropriations	\$24,000,000.00	\$0.00				
HB850 Line Item Appropriation	\$1,700,000.00	\$1,700,000.00				
Repair & Renovation Fiscal Yr 2001 Physical Facilities	\$0.00	\$88,252.00				
HB640 Line Item Appropriation	\$0.00	\$0,793,244.00				
HB640 Basic Renovations	\$0.00	\$1,000,000.00				
Central Funding	\$0.00	\$1,702,127.49				
Total:	\$25,700,000.00	27,928,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$1,700,000 Planning)	02/05/1999		02/05/1999
Program Approved by the University	07/15/2001	05/30/2003	08/05/2003
Arch/Engr Approved by B/T (27,928,000.00)	11/05/2004	11/05/2004	
Bidding Approved B/T	02/01/2001	12/01/2004	
Design			
Arch/Engr Contract	04/15/2001	07/15/2001	02/28/2002
Schematic Design Approval	10/15/2001	07/14/2004	07/14/2004
Design Dev Document Approval	01/15/2002	11/01/2004	
Construction Document Approval	07/15/2002	06/01/2005	
Bidding			
Bid Opening	09/15/2002	06/20/2005	
Construction			
Award of Contracts	11/01/2002	08/30/2005	
Construction Start	11/15/2002	11/30/2006	
Completion	05/15/2004	12/30/2006	
Close Out			
Occupancy		02/03/2007	

Jennings Hall Renovation (aka Botany & Zoology Renovation)



Office of Business and Finance
Office of Facilities Planning and Development

February 14, 2004

SMITH LABORATORY RENOVATION FOR JENNINGS HALL SWING SPACE

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

College of Biological Sciences

How does this project advance the Academic Plan?

This project provides the swing space which allows the renovation of Jennings Hall with its emphasis on improving the research and teaching laboratories and support space to further development in the College of Biological Sciences.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

The project involves the renovation of 21,500 SF of laboratory spaces on the 4th and 5th Floors of the Smith Laboratory to provide swing space for the College of Biological Sciences while Jennings Hall is being renovated

Preliminary Cost Estimate:

\$900,000

Proposed Funding Source:

Jennings Hall project funds

Outstanding Funding Issues:

Movement of identified swing space money from the Jennings Hall project to this project

Timing Issues:

*Design Services Completion – November 2004
Construction Completion – June 2005*

“Ripple effects” of the project:

This project cannot start construction until the spaces are vacated by the Physics Department now scheduled for December 2004. Further this project must be complete before the Jennings Hall project can begin construction.

Special limitations/risks:

The time frames allowed for construction are tight.

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Smith Laboratory Renovation for Jennings Swing Space
315-1999-190-1

Requesting Agency(s): BIOLOGICAL SCIENCES ADMINISTRATION

Location(s): SMITH LABORATORY, ALPHEUS

Gross Sq. Ft. 219,438 **Age:** 1950

Description: Renovation of approximately 21,500 square feet of office and teaching lab space on the 4th and 5th floor of Smith Laboratory to accommodate the swing space for the Jennings Hall (Botany & Zoology) project.

Project Team:

Facility Planner: Alex Cofield
(cofield.3@osu.edu)

Project Manager: Steve Middleton
(middleton.52@osu.edu)

Field Coordinator: Is Unassigned

Project Coordinator: Faye Bodyke
(bodyke.3@osu.edu)

Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)

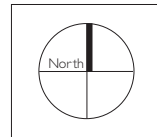
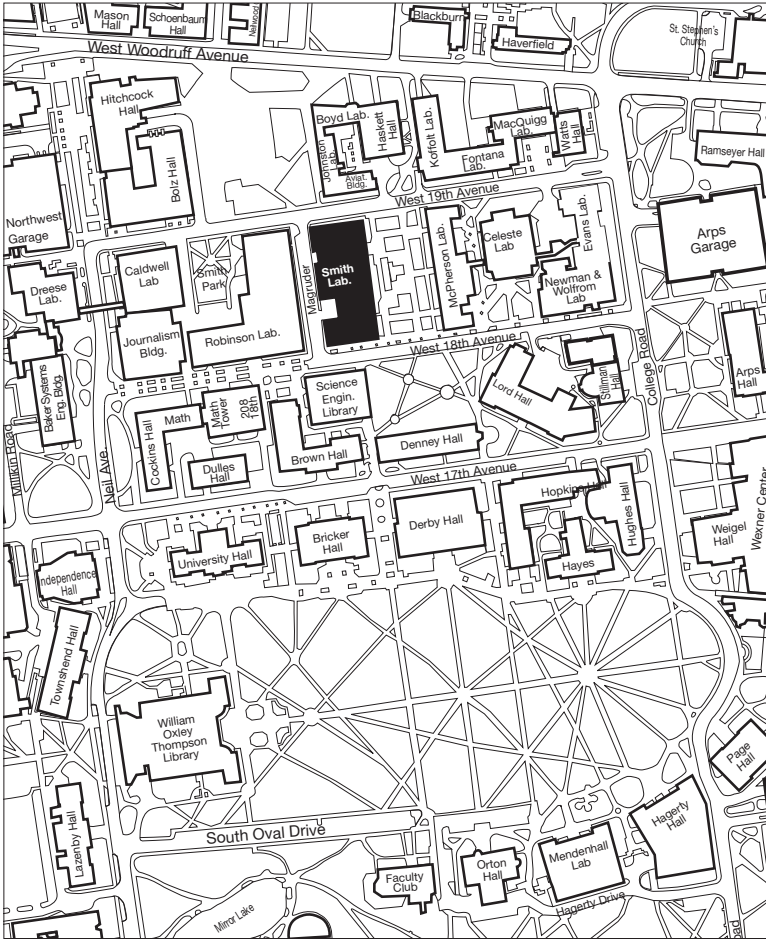
Project Information:

Space within Smith Lab will be vacated by Physics Department personnel when the new Physics Building is complete.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Biological Sciences	\$0.00	\$900,000.00				
Total:	\$0.00	\$900,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$00,000.00)	11/05/2004		
Bidding Approved B/T	12/03/2004		
Design			
Arch/Engr Contract	11/06/2004		
Construction Document Approval	11/24/2004		
Bidding			
Bid Opening	01/11/2005		
Construction			
Award of Contracts	03/08/2005		
Construction Start	03/09/2005		
Completion	06/17/2005		
Close Out			
Occupancy	06/21/2005		

Smith Laboratory Renovation for Jennings Swing Space



Office of Business and Finance
Office of Facilities Planning and Development

April 14, 2004

Stradley Hall – Electrical Upgrade

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):
Office of Student Affairs

How does this project advance the Academic Plan?

This project will upgrade the electrical service to Stradley Hall in order to meet today's student residence electrical need standards. This upgrade will reduce power being interrupted, maintenance and staff service calls and provide protection to the student's sensitive electronic equipment. As predicated by the Academic plan, this project enhance and better serve the student body.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

This project will upgrade the electrical service to Stradley Hall by providing new main switchboard breakers, new distribution panels, new lighting/power panels, new wiring with ground wire, new dedicated power circuits for each resident room, new dedicated lighting circuits by zone, new grounded receptacles, new dual compartment "Wiremold" surface raceway in each resident room and Transient Voltage Surge Suppressors at each electrical panel.

Preliminary Project Cost Estimate:
\$1,000,000.00 total project cost

Proposed Funding Sources:
2005 bond proceeds, with debt service paid by Student Affairs.

Outstanding Funding Issues:
None

Timing Issues:
It may be determined that the building's electrical upgrade will need to be performed over a two-summer period when the building is not occupied.

"Ripple effects" of the project:
None.

Special limitations/risks:
None.

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Stradley Hall - Electrical Upgrade

315-2004-933

Requesting Agency(s): STUDENT AFFAIRS, OFFICE OF

Location(s): STRADLEY HALL, BLAND L.

Gross Sq. Ft. 102,251 **Age:** 1959

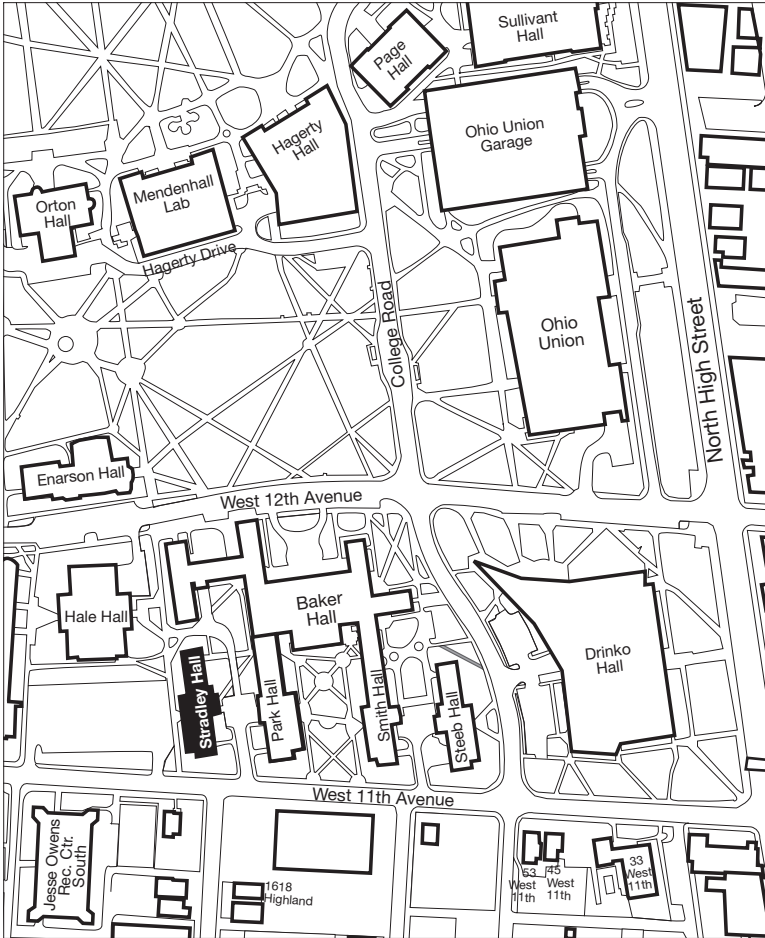
Description: Upgrade the electrical service by providing new main switchboard breakers, new distribution panels, new lighting/power panels, new wiring with ground wire, new dedicated power circuits for each resident room, new dedicated lighting circuits by zone, new grounded receptacles, new dual compartment "wire mold" surface raceway in each resident room and transient voltage surge suppressors at each electrical panel.

Project Team:		Project Information:	
Facility Planner:	Is Unassigned		
Project Manager:	Craig Henry (henry.194@osu.edu)		
Field Coordinator:	Is Unassigned		
Project Coordinator:	Leeanne Chandler (chandler.63@osu.edu)		
Project Assistant:	Mikki Reese (reese.153@osu.edu)		

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Univ. Bond Proceeds	\$1,000,000.00	\$1,000,000.00				
Total:	\$1,000,000.00	\$1,000,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$4,000,000 project)	11/05/2004		
Construction			
Completion	12/31/2009		

Stradley Hall - Electrical Upgrade



Office of Business and Finance
Office of Facilities Planning and Development

October 13, 2004

Women's Field House Renovation

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):
Office of Student Affairs

How does this project advance the Academic Plan?

This project will renovate the first floor of the Women's Field House to enhance the quality of the teaching and learning environment and to enhance and better serve students as predicated by the Academic Plan.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

The project is the renovation of the first floor of the Women's Field House. Work would include the creation of a catering kitchen and an ADA accessible unisex restroom, new air-conditioning, the conversion of the existing fireplace to gas, new interior finishes and light fixtures, new furnishings and the addition of an A/V projection system with a drop-down screen. Exterior site work would include site lighting, patio furniture and a fixed-in-place gas grill.

Preliminary Cost Estimate:
\$250,000 total project cost.

Proposed Funding Source:
2005 bond proceeds, with debt service paid by Student Affairs.

Outstanding Funding Issues:
None.

Timing Issues:
None.

"Ripple effects" of the project:
None.

Special limitations/risks:
None.

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Women's Field House Renovation
315-2004-938

Requesting Agency(s): STUDENT AFFAIRS, OFFICE OF

Location(s): WOMEN'S FIELD HOUSE

Gross Sq. Ft. 5,353 **Age:** 1927

Description: The project is the renovation of the first floor of the newly relocated Women's Field House. Work would include the creation of a kitchnette and an ADA accessible unisex restroom, the conversion of the existing fireplace to gas, new interior finishes and light fixtures, new furnishings and the addition of an A/V projection system with a drop-down screen. Exterior site work would include site lighting, patio furniture and a fixed-in-place gas grill.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Manager: Christine Nocar
(lawson.132@osu.edu)

Field Coordinator: Is Unassigned

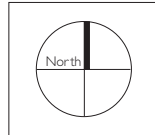
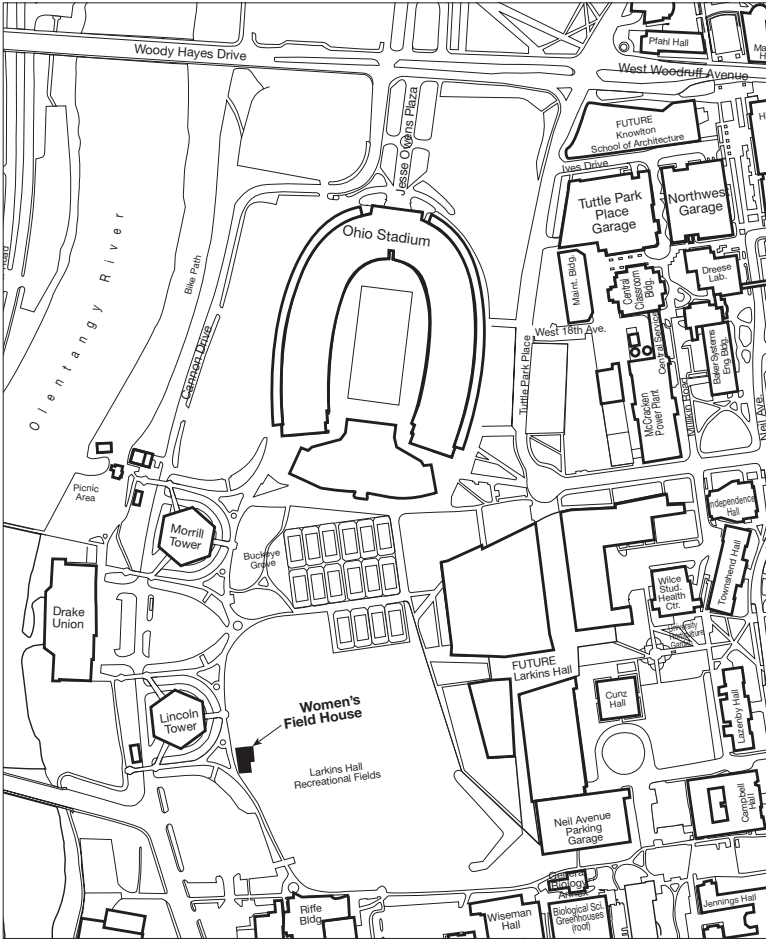
Project Coordinator: Leeanne Chandler
(chandler.63@osu.edu)

Project Assistant: Mikki Reese
(reese.153@osu.edu)

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Univ. Bond Proceeds	\$250,000.00	\$250,000.00				
Total:	\$250,000.00	\$250,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$375,000 project)	11/05/2004		
Construction			
Construction Start	10/03/2005		
Completion	03/03/2006		

Women's Field House Renovation



Office of Business and Finance
Office of Facilities Planning and Development

October 9, 2004



Byrd Polar Research Center - Cold Room Upgrades

315-2003-944

Requesting Agency(s): RESEARCH, OFFICE OF

Location(s): SCOTT HALL, WILLIAM H

Gross Sq. Ft.: 58,109 Age: 1972

Description: This project involves the renovation of the three cold rooms along with the roof over the corridor of Scott Hall and provide a 5-year maintenance agreement for servicing the new compressors. The ice core/paleoclimate research program at the Byrd Polar Research Center is one of the most internationally recognized research activities at Ohio State. Environmental archives are an integral component of this research program. These one-of-a-kind archives, including cold rooms which house ice cores from all over the world, must be maintained in a reliable, state-of-the-art facility. The cold rooms are a vital component of BPRC's research infrastructure. The work required to repair and maintain the cold rooms includes: replacing worn compressors; purchasing a moderate-level service contract for the fan units; repair and replace worn doors and leaking gaskets; seal the walkway above the cold rooms and seal the roof to prevent water seepage.

Project Team:

Facility Planner: Alex Cofield
(cofield.3@osu.edu)

Project Manager: Ruth Miller
(miller.2495@osu.edu)

Field Coordinator: Is Unassigned

Project Coordinator: Curt Handschug
(handschug.1@osu.edu)

Project Assistant: Patricia Berger
(berger.58@osu.edu)

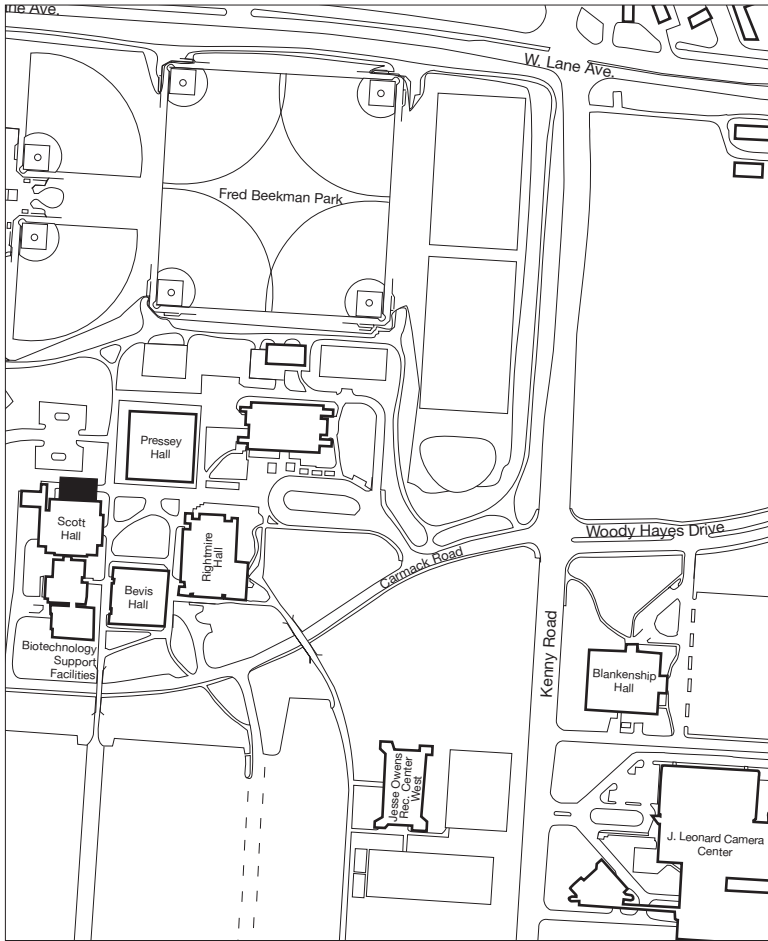
Project Information:

The budget has increased to include wiring, conduit and circuit breakers to support the new cooling equipment. Roof sore samples required the replacement of the insulation boards, flashing and gutters. Provisions were also included for controls or crane rental of the HVAC equipment.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB748 Columbus Basic Renovation	\$0.00	\$55,418.00				
HB675 Columbus Basic Renovation	\$203,742.00	\$203,742.00				
Total:	\$203,742.00	\$259,160.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$203,742 project)	07/11/2003		07/11/2003
Bidding Approved B/T (\$259,160 project)	03/05/2004	11/05/2004	
Design			
Design Dev Document Approval	12/30/2003	09/09/2004	09/09/2004
Schematic Design Approval	12/30/2003	10/26/2004	
Construction Document Approval	02/28/2004	11/26/2004	
Bidding			
Bid Opening	06/15/2004	12/27/2004	
Construction			
Award of Contracts	08/15/2004	02/25/2005	
Construction Start	07/30/2004	02/25/2005	
Completion	07/30/2004	05/27/2005	

Byrd Polar Research Center - Cold Room Upgrades



Office of Business and Finance
Office of Facilities Planning and Development

June 12, 2003



Mendenhall Lab Chiller Replacement
315-2004-917

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): MENDENHALL LABORATORY, THOMAS C.

Gross Sq. Ft. 123,615 Age: 1905

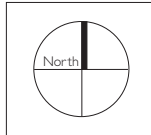
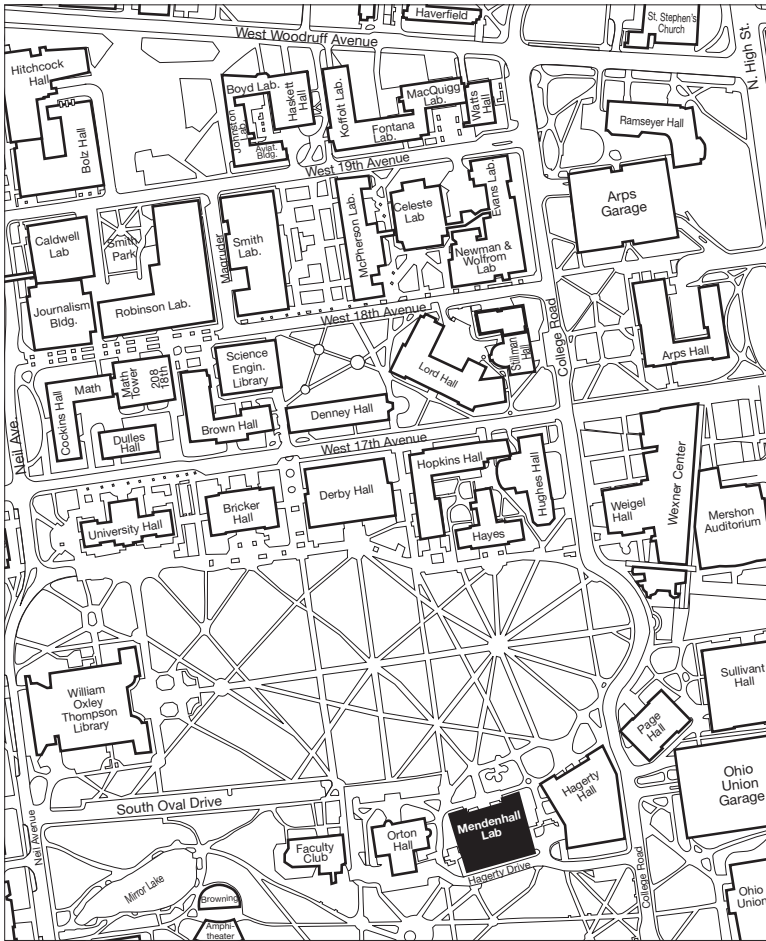
Description: Replace two 250-ton rooftop air cooled chillers in Mendenhall Lab.I

Project Team:		Project Information:
Facility Planner:	Is Unassigned	In-house Design
Project Manager:	Jerry Bender (bender.3@osu.edu)	
Field Coordinator:	Mark Scott	
Project Coordinator:	Karen Cogley (cogley.1@osu.edu)	
Project Assistant:	Lisa Baldwin (baldwin.10@osu.edu)	

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB850 Columbus Basic	\$225,000.00	\$225,000.00				
Renovation						
Total:	\$225,000.00	\$225,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$225,000 project)	05/07/2004		05/07/2004
Bidding Approved B/T (\$225,000 Project)	11/05/2004	11/05/2004	
Design			
Arch/Engr Contract	06/15/2004		06/15/2004
Design Dev Document Approval	07/30/2004		08/23/2004
Construction Document Approval	09/15/2004	10/15/2004	
Bidding			
Bid Opening	10/29/2004	12/17/2004	
Construction			
Award of Contracts	12/31/2004	01/14/2005	
Construction Start	01/15/2005	03/19/2005	
Completion	03/15/2005	04/18/2005	

Mendenhall Lab Chiller Replacement



Office of Business and Finance
Office of Facilities Planning and Development

April 12, 2004



Townshend Hall - Roof Replacement
315-2003-936

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): TOWNSHEND HALL, NORTON STRANGE

Gross Sq. Ft. 64,173 **Age:** 1898

Description: This project will repair the existing roof, replace approximately 30% of the wood decking and 50% of the wood supports to the roof's eaves.

Project Team:

Facility Planner: Is Unassigned
Project Manager: Brett Garrett
Field Coordinator: Colin McBride (mcbride.62@osu.edu)
Project Coordinator: Karen Cogley (cogley.1@osu.edu)
Project Assistant: Lisa Baldwin (baldwin.10@osu.edu)

Project Information:

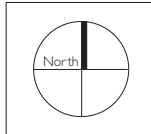
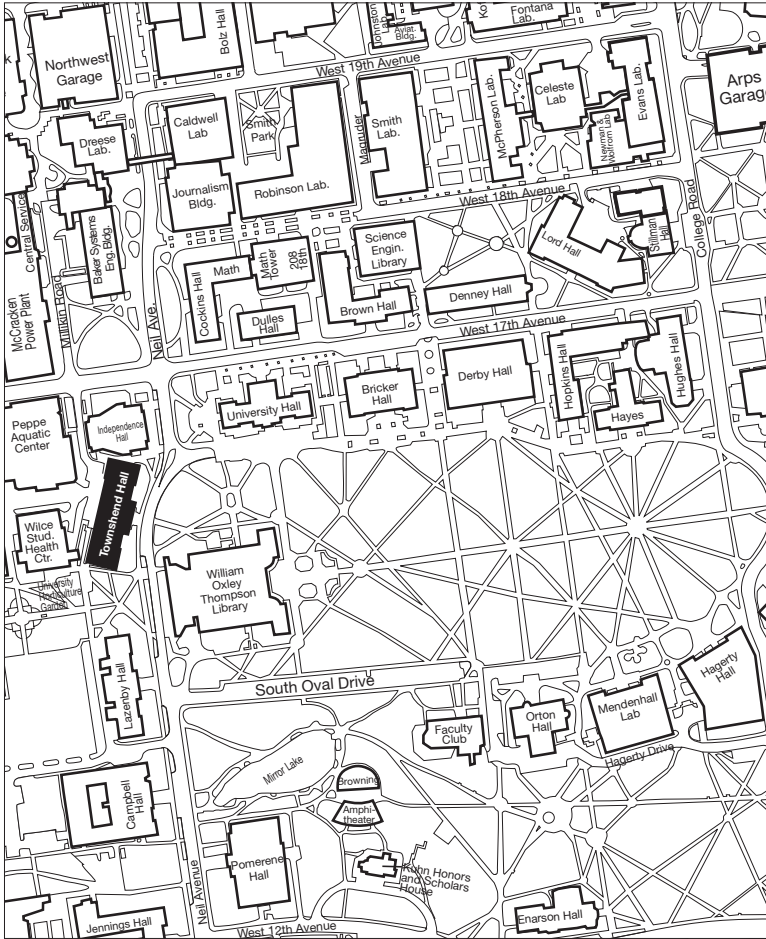
Original project was to remove the existing spanish tile and underlayment. During design it was decided the spanish tile was in very good condition. Instead of a total replacement of the roof it will now be a repair which is the reason for the budget decrease.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$1,000,000.00	\$672,340.00				
Total:	\$1,000,000.00	\$672,340.00				

Schedule:

	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$1,000,000 project)	06/06/2003		05/30/2003
Arch/Engr Advertisement (Ohio Register #118)	06/02/2003		06/02/2003
Bidding Approved B/T (\$672,340 project)	03/05/2004	11/05/2004	
Design			
Arch/Engr Contract	08/01/2003	07/07/2004	04/23/2004
Design Dev Document Approval	02/02/2004	07/19/2004	05/21/2004
Construction Document Approval	03/03/2004	07/20/2004	07/23/2004
Bidding			
Bid Opening	04/02/2004	12/17/2004	
Construction			
Construction Start	06/16/2004	03/19/2005	
Completion	11/13/2004	12/17/2005	

Townsend Hall - Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

May 10, 2003



Veterinary Hospital - Roof Replacement Phase II

315-2003-933

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): VETERINARY HOSPITAL

Gross Sq. Ft. 222,496 Age: 1973

Description: Removal / replacement of the remaining Vet Hospital roof areas. The existing built-up roof needs to be removed and replaced with a new coal tar 4-ply roof system. (Reuse existing metal flashings and counterflashings.)

Project Team:

Facility Planner: Is Unassigned

Project Manager: Brett Garrett

Field Coordinator: Mark Scott

Project Coordinator: Karen Cogley (cogley.1@osu.edu)

Project Assistant: Lisa Baldwin (baldwin.10@osu.edu)

Project Information:

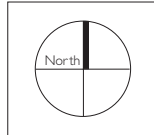
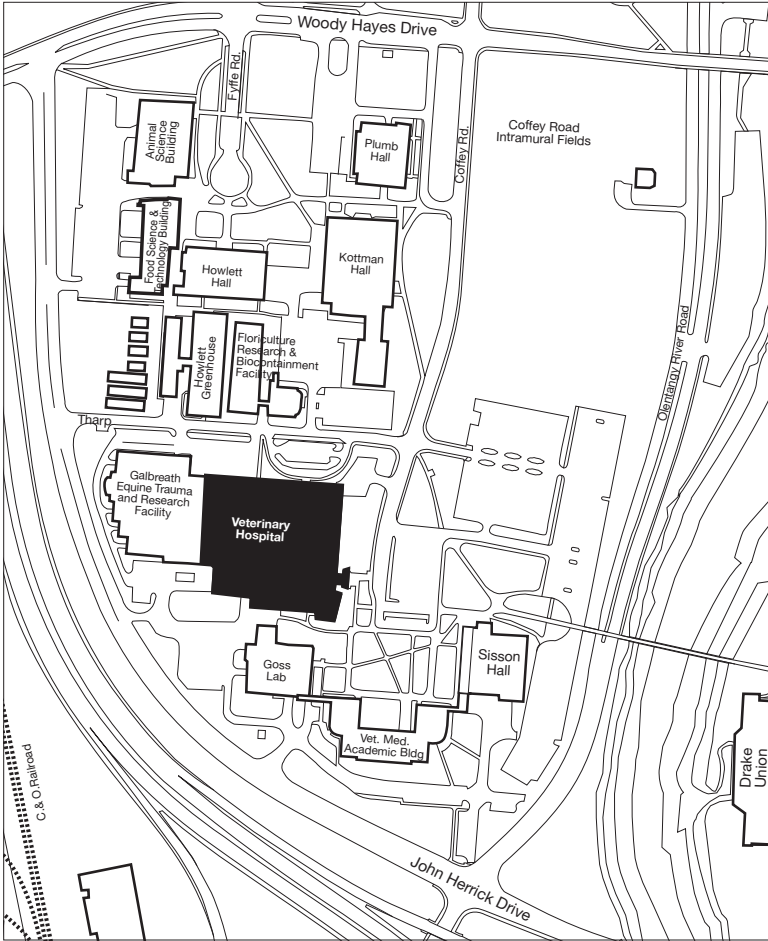
The project increased to include a full replacement of the remaining roof and include fall protection.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$650,000.00	\$1,085,530.00				
Total:	\$650,000.00	\$1,085,530.00				

Schedule:

	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$650,000 project)	05/02/2003		05/30/2003
Bidding Approved B/T (\$650,000 project)	02/06/2004		02/06/2004
Bidding Approved B/T (\$1,085,330 project)		11/05/2004	
Design			
Design Dev Document Approval	01/05/2004	03/08/2004	03/25/2004
Construction Document Approval	02/05/2004	03/08/2004	03/25/2004
Bidding			
Bid Opening	03/15/2004	12/17/2004	
Construction			
Construction Start	06/16/2004	05/05/2005	
Completion	10/16/2004	09/02/2005	

Veterinary Hospital - Roof Replacement Phase II



Office of Business and Finance
Office of Facilities Planning and Development

May 10, 2003



Wexner & Mershon Upgrades
315-2000-914-2

Requesting Agency(s): WEXNER CTR-ADMINISTRATION

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): MERSHON CENTER

Gross Sq. Ft. 36,760 Age: 1973

Location(s): WEXNER CENTER FOR THE ARTS

Gross Sq. Ft. 129,047 Age: 1989

Description: Maintenance upgrades and replacements of the elevator and escalator, fire alarms, and miscellaneous electrical work.

Project Team:

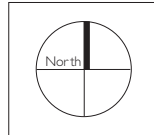
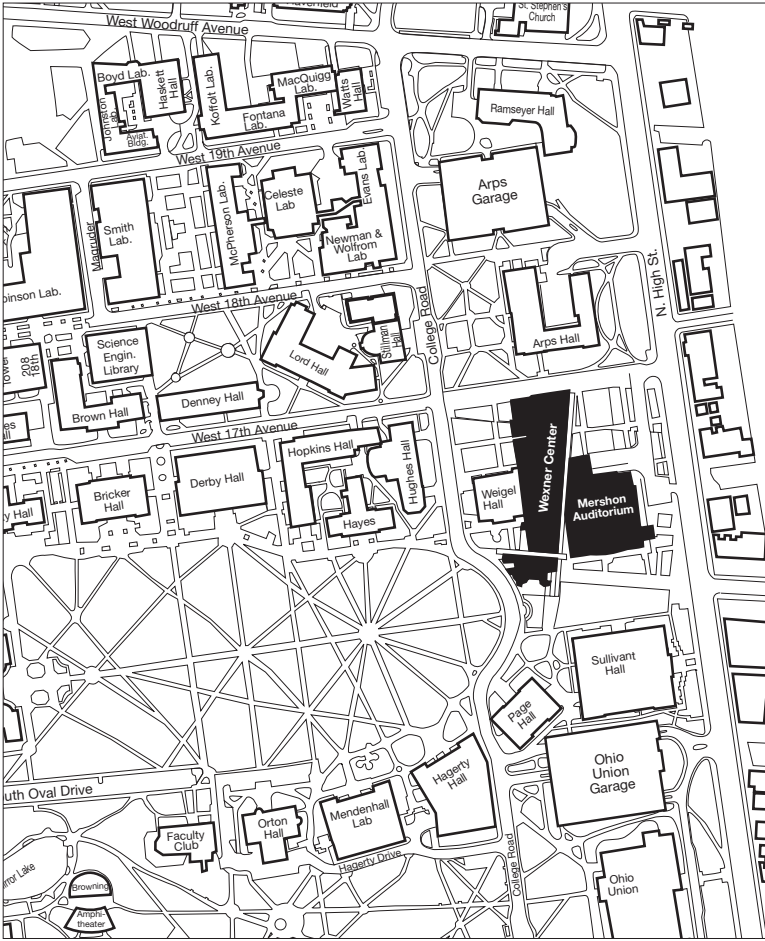
Project Information:

Facility Planner: Teresa Yu
(yu.195@osu.edu)
Project Manager: Scott Conlon
(conlon.1@osu.edu)
Field Coordinator: Is Unassigned
Project Coordinator: Leeanne Chandler
(chandler.63@osu.edu)
Project Assistant: Mikki Reese
(reese.153@osu.edu)

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Centrally Funded Capital Projects	\$2,000,000.00	\$2,000,000.00				
Total:	\$2,000,000.00	\$2,000,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Bidding Approved B/T (\$2,000,000 project)	11/05/2004		
Construction			
Completion	07/25/2005		

Wexner & Mershon Upgrades



Office of Business and Finance
Office of Facilities Planning and Development

October 9, 2004

PURCHASE OF REAL PROPERTY

2050 KENNY ROAD
COLUMBUS, OHIO

Background

Location and Description

The property consists of approximately 12.41 acres located at 2050 Kenny Road approximately 500 feet south of the intersection of Woody Hayes Drive. The property is adjacent to the University property on the north, south and west. The property contains a three-story medical office building, a 12-story office building, a warehouse building and a three-level parking garage. The facility consists of over 310,000 square feet. Title to the property is held in the name of the Administrator of the Bureau of Workers Compensation.

Appraisal and Purchase Price

Two MAI appraisals, conducted in August 2004 by Integra Realty Resources - Columbus and Property Advisors valued the property at \$18,000,000 and \$19,650,000, respectively. The purchase price is the average of the two appraisals, \$18,825,000.

Use of the Property

Title to the property will be taken in the name of the State of Ohio for the use of The Ohio State University. The University Medical Center will provide all funds necessary for acquisition, use, and any improvements to the property. The University Medical Center entered into a Lease with Option to Purchase 2050 Kenny Road in 2001. The commencement date of the Lease was January 1, 2002. The facility is currently used as clinical, research and office space.

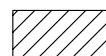
Purchase of Real Property

2050 Kenny Rd, Columbus, Ohio



No True Scale

Office of Business and Finance
5 November, 2004



Proposed Purchase

Map Provided by University Engineer's Office

LONG-TERM LEASE

THE OFFICE OF UNIVERSITY DEVELOPMENT
1480 WEST LANE AVENUE

Background

Location and Description

The property is located just west of North Star Road on the north side of Lane Avenue. The proposal calls for the new 1480 West Lane Avenue building to be a Class A, three-story office building with a lower level below grade floor, situated on approximately 2 acres with dominant visibility on Lane Avenue and 160 parking spaces. The building will total approximately 57,245 gross square feet. This structural steel frame building will have concrete floors and a brick, stone and glass exterior skin.

Terms of Lease

The initial lease term shall be for a period of 15 years with a termination option after the 10th lease year with tenant paying a termination fee equal to the unamortized portion of the costs associated with the lower level below grade floor.

Proposed tentative rent schedule provides for \$9.50/sf net of operating expenses the first year, \$15.50 years 2 thru 5, and then escalating to \$16.45/sf in years 11-15. A market analysis in that area revealed a similar property sized at 22,000 square feet to have a base rent of \$15.40/sf.

A 15-year lease is a long-term investment and will affect total debt capacity, but is within the limits established for FY 2005.

Use of Property

University Development is currently located in the Fawcett Center. In order to meet increased housing demands and make more appropriate use of existing facilities, the University desires to convert the Fawcett Center to student housing by Fall Quarter 2005. Development requires approximately 30,000 ASF for their current operations. Due to the lack of available contiguous space on campus, the University put out a Request for Proposal expressing interest in leasing Class A office space near the University. The RFP was issued in July 2003 and requested proposals be received by August 2003. This lease proposal is the only viable option submitted. This space does provide an opportunity for housing additional staff.

EXHIBIT A

**AMENDMENT OF THE
SUPPLEMENTAL RETIREMENT 403(B) ANNUITY PLAN**

Background

- The Supplemental Retirement 403(b) Annuity Plan is designed to assist faculty and staff in supplementing their retirement benefits and is voluntary.
- It allows employees to contribute a portion of their compensation to the Plan and to exclude the contribution from taxable income for federal and state tax purposes.
- The funds are invested in one of a number of investment alternatives, currently provided by eighteen (18) different vendors.
- Although the University has offered employees the opportunity to contribute to a Supplemental Retirement 403(b) Annuity Plan for many years, in the past there has not been a separate Plan document.
- Each employee participating in the Plan has entered into an annuity contract with one of the vendors offering investment alternatives under the Plan.
- 403(b) retirement plans do permit employer contributions; however, in the past the University has not made such contributions.
- Recent amendments to the law regarding 403(b) retirement plans also permit employers to make contributions on behalf of former employees.

Purpose

- The resolution will provide a written plan document setting forth the terms of the Plan.
- The Plan will contain provisions permitting the University to make employer contributions on behalf of employees.
- Employer contributions may be made at the discretion of the University and may be made to selected employees.
- The amendment gives the University more flexibility in providing employee benefits to its employees and allows benefits to be provided on a tax-favored basis.
- Total employer and employee contributions are limited to the lesser of \$41,000 (2004) or 100% of pay (*IRC 415 limit*).

AMENDED
The Ohio State University
Department of Internal Audit Charter

November 5, 2004

PURPOSE

The Department of Internal Audit (Internal Audit) conducts reviews of university records and operations, and reports the results of these reviews to management and the Board of Trustees. In fulfilling its responsibility to assist the University and the Board of Trustees in the effective discharge of their responsibilities in accordance with policies and procedures, Internal Audit is committed to providing independent, objective, and timely service of value to its customers and responding to their requests for consulting and other services. Internal Audit is also committed to adding value and improving the University's operations. It helps the University accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control, and governance processes. All internal audit projects are conducted consistent with the Standards for the Professional Practice of Internal Auditing issued by The Institute of Internal Auditors.

SCOPE OF WORK

The scope of Internal Audit shall be sufficiently comprehensive and risk-based to enable the effective and regular review of financial, operational, and related activities. Internal Audit's coverage extends to all areas of The Ohio State University and its controlled entities and include financial, accounting, administrative, computing, compliance, security, and other operational activities. The extent and frequency of internal audits will depend upon varying circumstances such as results of previous audits, relative risk associated with activities, materiality, the adequacy of the system of internal control, and resources available to Internal Audit.

The scope of work for Internal Audit is to determine whether the University's network of risk management, control, and governance processes, as designed and represented by management, is adequate and functioning in a manner to ensure:

- Risks are appropriately identified and managed.
- Significant financial, managerial, and operating information is accurate, reliable, and timely.
- Operations are in compliance with policies, standards, procedures, and applicable laws and regulations.
- Resources are acquired economically, used efficiently, and protected adequately.
- Programs, plans, and objectives are achieved.
- Quality and continuous improvement are fostered in the University's control process.
- Significant legislative or regulatory issues impacting the University are recognized and addressed appropriately.

ACCOUNTABILITY

The Internal Audit Director shall be accountable to University management and the Audit Committee to:

- Report significant issues related to the processes for controlling the activities of the University and its affiliates, including potential improvements to those processes, and provide information concerning such issues through resolution.
- Periodically provide information on the status and results of the annual audit plan and the sufficiency of department resources.

Internal Audit is an advisory function having independent status within the Office of Business and Finance in The Ohio State University. The Internal Audit Director shall:

- Be directly accountable to the Audit Committee, the President of the University and, administratively, to the Senior Vice President for Business and Finance and be independent of any other section, branch or officer. This reporting relationship promotes independence and assures adequate consideration of audit findings and recommendations.
- Have no executive or managerial powers, functions or duties except those relating to the management of the Internal Audit office.
- Not be involved in the day to day operations of the University.

INDEPENDENCE

To provide for the independence of Internal Audit, its personnel report to the Internal Audit Director, who reports functionally to the Audit Committee and the President of the University, and administratively to the Senior Vice President for Business and Finance in a manner outlined in the above section on Accountability. Concerning independence, the Internal Audit Director and staff should have an impartial, unbiased attitude and avoid conflicts of interest as well as be independent in fact and appearance.

RESPONSIBILITY

The Internal Audit Director and the Internal Audit Staff have a responsibility to:

- Develop a flexible annual audit plan using an appropriate risk-based methodology, including any risks or control concerns identified by management, and submit that plan to the Audit Committee for review and approval.
- Implement the annual audit plan, as approved, including as appropriate any special tasks or projects requested by University management and the Audit Committee.
- Maintain sufficient knowledge, skills, experience, and professional certifications to meet the requirements of this charter.
- Apply the care and skill expected of a reasonably prudent and competent internal auditor.
- Evaluate and assess new or changing services, processes, operations, and control processes coincident with their development, implementation, and/or expansion.

- Issue periodic reports to the Audit Committee and management summarizing results of audit activities.
- Keep the Audit Committee and University management informed of emerging trends and successful practices in internal auditing.
- Assist in the investigation of significant suspected fraudulent activities within the University and notify management and the Audit Committee of the results.
- Consider the scope of work of the external auditors and regulators, as appropriate, for the purpose of providing optimal audit coverage to the University at a reasonable overall cost.
- Consider the following using a risk-based approach on a project-by-project basis:
 - Review and appraise the adequacy and effectiveness of the system of internal control.
 - Appraise the relevance, reliability and integrity of management, financial and operating data and reports.
 - Review the systems established to ensure compliance with those policies, plans, procedures, statutory requirements and regulations which could have a significant impact on operations.
 - Review the means of safeguarding assets and, as appropriate, verify the existence of such assets.
 - Appraise the economy, efficiency and effectiveness with which resources are employed.
 - Assess the level of compliance with University policy and State and Federal law.

AUTHORITY

The Internal Audit Director and the Internal Audit Staff are authorized to:

- Have unrestricted access to all functions, records, property, and personnel. (As referenced in Operations Manual, section 28.E.1 and Board of Trustee Resolution 2005-51).
- Have full and free access to the Audit Committee.
- Allocate resources, set frequencies, select subjects, determine scopes of work, and apply the techniques required to accomplish audit objectives.
- Have the right at reasonable times to enter any premises of the University and to request any officer to furnish all information and such explanations deemed necessary for them to form an opinion on the probity of action, and adequacy of systems and or of controls. The officer concerned shall respond promptly to such inquiries.
- Be free from undue influence in selecting activities to be examined and the audit techniques and procedures to be used.

Internal Audit will make special efforts to protect the confidentiality of information covered in a review, as well as any recommendations pertaining thereto. To the extent possible, Internal Audit will accommodate the daily operations of the audit client in scheduling and conducting reviews.

The Internal Audit Director and the Internal Audit Staff are not authorized to:

- Perform any operational duties for the University or its affiliates.
- Initiate or approve accounting transactions external to Internal Audit.
- Direct the activities of any University employee not employed by Internal Audit, except to the extent such employees have been appropriately assigned to auditing teams or to otherwise assist the internal auditors.

LIMITATION OF AUTHORITY AND RESPONSIBILITY

In performing their functions, Internal Audit Director and Internal Audit Staff have no direct authority over, nor responsibility for, any of the activities reviewed. Internal auditors will not develop and install procedures, prepare records, make management decisions, or engage in any other activity which could be reasonably construed to compromise their independence. Therefore, internal audit review and appraisal do not in any way substitute for, nor relieve other persons in the University of, the responsibilities assigned to them.

AUDIT PLAN

A strategic audit plan providing for the review of significant operations of The Ohio State University based on an assessment of risk pertaining to the achievement of the University's objectives shall be prepared for the approval of the Audit Committee, if practicable, prior to the commencement of each fiscal year.

AUDIT PROGRAM

A written report will be prepared and issued by Internal Audit at the conclusion of each audit and will be distributed as considered appropriate. A summary of reports will be made available on a timely basis to the President, Senior Vice President for Business and Finance, and the Audit Committee.

Audit reports will explain the scope and objectives of the audit, present findings and conclusions in an objective manner relevant to the specific user's needs and make recommendations where appropriate

LIAISON WITH THE EXTERNAL AUDITOR

The Internal Audit Director shall communicate with the external auditor in order to:

- Foster a cooperative working relationship.
- Reduce the incidence of duplication of effort.
- Ensure appropriate sharing of information.
- Ensure coordination of the overall audit effort.

The Internal Audit Director shall make available to the external auditor all internal audit working papers, programs, flow charts, and reports.

QUALITY ASSURANCE

In order to ensure that the quality of the Internal Audit work is consistently at a high standard, the Internal Audit Director shall:

- Develop and maintain comprehensive work reporting systems.
- Maintain a regular review of audit plans, reports and working papers.
- Provide for staff training as appropriate.
- Evaluate current Internal Audit operations.
- Provide assurance that Internal Audit is in conformity with the Standards of the Institute of Internal Audit and its Code of Ethics.

The internal audit activity has adopted both an internal and external quality assurance program to monitor and assess the overall effectiveness of the department. Internal reviews, primarily through self-assessments, should be performed periodically to appraise the quality of work performed. External peer reviews of Internal Audit should be performed at least once every five years by qualified persons who are independent of the University.

AUDIT STANDARDS AND ETHICS

Audit work of Internal Audit shall be performed in accordance with Generally Accepted Auditing Standards as advocated by the Institute of Internal Auditors in Standards for the Professional Practice of Internal Auditing.

Members of Internal Audit have the responsibility to maintain high standards of conduct, professionalism, independence, and character to carry on proper and meaningful internal auditing within the University. In addition, Internal Audit's activities and conduct shall be consistent with the policies of the University.

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND FOUR HUNDRED AND EIGHTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, December 3, 2004

The Board of Trustees met at its regular monthly meeting on Friday, December 3, 2004, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

** ** **

Minutes of the last meeting were approved.

** ** **

December 3, 2004 meeting, Board of Trustees

The Chairperson, Ms. Longaberger, called the meeting of the Board of Trustees to order on December 3, 2004, at 12:50 p.m. She requested the Secretary to call the roll.

Present: Tami Longaberger, Chairperson, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Douglas G. Borrer, Walden W. O'Dell, Brian K. Hicks, and Emily M. Quick.

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Ms. Longaberger:

Good afternoon. I would like to call the 1,408th meeting of the Board of Trustees to order. On our agenda today we will have reports from committee chairpersons and we will be hearing a presentation from the University student government presidents.

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PRESIDENT'S REPORT

President Karen A. Holbrook:

I am going to talk a little bit today about the learning environment. Accountability is a word that we hear and we speak with frequency. It is always on the mind of our legislature and constituents, and it is usually correlated with a judicious use of funds. The most important accountability at any university must be to its students for the education they will receive in preparation for a lifetime of success and continued learning.

One of the most difficult measurements we make, however, is to assess student learning. I recently read the annual report from the National Survey of the Student Engagement Project -- the NSSE Project -- and the specific NSSE report for The Ohio State University. NSSE is a five-year, ongoing, national project involving 850 colleges and 160,000 first-year and senior-level students. Its goal is to link educational practices with high levels of student learning and personal development.

The survey seeks to measure how well students are learning, and what they invest in and take away from their undergraduate educations, with the overall goal of providing data that will help create and sustain a culture that supports student achievement, satisfaction, and persistence. Five benchmarks that were designed by faculty measure the extent to which students are involved in practices that are key to their learning. The benchmarks are: 1) level of academic challenge: how hard are our classes; 2) active and collaborative learning; 3) student-faculty interactions; 4) enriched educational experience; and 5) a supportive campus environment. By a supportive campus environment, they refer to exposure to diverse cultural experiences and the use of technology.

Students who are part of the survey, probe each one of these areas and answer these questions. All of these five benchmarks are very similar to our goals in the Academic Plan and the Leadership Agenda.

I thought of several events that have occurred since we met where Ohio State students responded to the NSSE survey with very strong ratings with two of the benchmarks. The two areas I want to mention are a supportive campus environment defined by NSSE as exposure to diverse cultural experiences and access to the use of technology, and enriching educational experiences particularly via faculty involvement.

December 3, 2004 meeting, Board of Trustees

So let me address some of the special activities that have taken place to build the learning environment in each of these two categories: physical and personnel. Let me start with personnel and mention briefly three environmental issues. First our Academic Plan and Leadership Agenda have made student housing an institutional priority to enhance student academic achievement. We very frequently discuss the student living-learning environments for our students who are entering Ohio State and we promote them as opportunities for students who have similar interests to connect with one another and to make a large university seem small.

Did you also know that there are also upper-class living-learning environments for students after their first year that want to take advantage of programs for leadership and diverse interests? These opportunities are available to 550 of our students who have chosen to live in this style. They have opportunities for students to make plans for their futures as related to money management, continuing education, and preparation to compete in the work force. You might also recall that we have shared before with you the statistics of students who live on campus and that they are much stronger in terms of their accomplishments than students who live elsewhere.

Secondly, I want to mention the technological environment. Again, the Academic Plan and Leadership Agenda recognize that Ohio State must invest in technology to support faculty teaching and student learning. When we effected a 3.9% additional increase in tuition last year, some of those funds were required to be put into the improvement of technology that would benefit students.

On November 30, the Board of Regents hosted a conference called "Ohio Lights the Way." It was attended by a large and significant group of leaders from business, academia, and government who gathered in the Blackwell ballroom to witness the lighting of Ohio's Third Frontier Network -- 1,600 miles of dark fiber. The TFN is the nation's foremost -- this is very important -- statewide, advanced, fiber optic network dedicated to education and economic development, connecting over 90 Ohio universities and colleges, classrooms to research labs, with business partners, Ohio's federal labs, hospitals, and thousands of K-12 schools.

The TFN will also connect nationally with Internet 2 and the national Lambda Rail. It will provide collaboration, allow for the sharing of large data sets, and enrich education, especially for students in science although it has been used extensively in the arts. The speed of data movement is a thousand times faster than the current broadband network. It allows for interactions across great distances and allows people to work side-by-side in real time to conduct experiments, communicate, run instrumentation, and consult with patients.

This is the fastest and the most advanced network in any state in this nation. It is the future of Ohio, the nation, and on a global level where the economy is built on the foundation of innovation. The TFN provides many more sophisticated resources for our faculty and undergraduate, graduate, and professional students. It is built and managed by OARnet, a division of the Ohio Supercomputer, which is located on the Ohio State campus.

Several of our faculty and administrators participated on panels at the conference that were held throughout the morning and lunch. I want to mention some of them: Stan Ahalt, director of the Ohio Supercomputer Center; Fred Sanfilippo; Hamish Fraser; Donna Kusewitt in Vet Med; Larry Kirschner in Medicine; Don Stredney from the Supercomputer and Medicine; and myself.

December 3, 2004 meeting, Board of Trustees

I also want to add on to what happened this morning in the Academic and Student Affairs Committee meeting. I would like to take this opportunity to recognize and thank the multitude of people, including our fans, who contributed to the positive environment at our spectacular finale in Ohio Stadium that made the victory against Michigan all of the richer.

First, we thank our many, many students and our many student organizations who did so much to support the campaign for good sportsmanship. The change in atmosphere was palpable and the celebration on the field was truly joyous. The Offices of Student Affairs, under Bill Hall's very able leadership and with the help of many people in that office, were absolutely exceptional in planning, promoting, and monitoring student activities and the numerous strategies that evolved from the task force that began two years ago led by Dean David Andrews.

I also thank Vern Baisden, assistant vice president for Public Safety, for leading the men and women in University Safety and in Transportation and Parking; along with outstanding assistance from the mayor; the Columbus Police Department; the county sheriff; the State Highway Patrol; the State Liquor Control Board; federal law enforcement and homeland security; and all of whom contributed countless hours of planning, among themselves, with Student Affairs, and with Athletics to assure that there was safety for all of us from every single possible aspect.

The Alumni Association and Athletics Department were strongly supportive and the media did an excellent job in providing good, non-sensational coverage of the day, without returning to the events of two years ago. Thank you to every one of you for a wonderful day of celebration of our team's glorious accomplishment.

Now let me turn to the environment of people. There are many other measures of our environment that are very positive – the diversity of the people who are part of it and the faculty who shape it. Allow me to give you some recent data about Ohio State, some news related to our planning for the incoming class next year, and some faculty activities and awards.

Lee Bollinger, president of Columbia University, recently stated in an opinion piece published in *The Wall Street Journal*, that the single characteristic that will define American universities and colleges in the years ahead will be internationalization -- more students from abroad, more research, and more teaching about global issues. Ohio State ranked 6th among public universities in the Open Doors report from the Institute of International Education. This fall we enrolled 4,163 international students and although this is a decrease overall of 2.6% from the previous year, the graduate enrollment remains the same since 2002, and it is only our undergraduate enrollment that has declined slightly.

The number of Ohio State students studying abroad increased to its highest level last year, although we still only ranked 18th in the country and 7th in the Big Ten. The number of visiting scholars also peaked with a 6.8% increase over the previous year. The top three fields of study for our scholars were in the health sciences, biological sciences, and engineering.

I met with international students just before Thanksgiving as I have each of the last two years. I always ask what are their reasons for coming to Ohio State, since they have many choices, and each time, the story has been the same. They come because of the size of the University, the quality of the faculty -- and many times they indicate specific faculty members and programs that they are interested in -- and because of their goal to be in a diverse environment, both on campus and in the community.

December 3, 2004 meeting, Board of Trustees

After being here, they have said that they especially appreciate their interaction with the faculty. Many of them come from countries where faculty do not interact with students and are unreachable. The library was also highly praised.

Early this week, the Offices of Minority Affairs and Admissions and First Year Experience, under the leadership of Drs. Mac Stewart and Mabel Freeman, hosted a large group of African-American and Hispanic students from Columbus high schools. These are students who are very well prepared to enter Ohio State and many of them have already applied to our University and are very anxious to join us.

The program included an open session of the opportunities available to them at Ohio State. Then they heard from Alexis Maddox, an OSU student, who told her story about her experience growing up and at Ohio State as an interracial student. A panel of students and parents, including our own Emily Quick and her mom and dad, and Agnes Igodan and her dad, Professor Chris Igodan, addressed the students and their parents about their reactions to Ohio State. The evening was both interesting and inspiring. We also had an event to recruit minority graduate students earlier this month.

Although our statistics for diversity in the freshman class are not what we would like to see at Ohio State -- and you all know that they have gone down since last year -- our overall population of African-American students decreased only 0.2%, while the number of Asian and Hispanic students have increased. The American Indian/Native American students are down by only 15 students as well. Our applications for next fall are starting to look very good.

Let me conclude by asserting that that quality of the environment for our students is really shaped by the quality of our faculty, and we have a quality faculty. I shared statistics before about the awards and prizes and other forms of recognition our faculty so richly earn and deserve, so I will focus today on a few special situations and individuals.

Earlier this week we honored 129 regular and clinical faculty members who were promoted and tenured at the Faculty Club. We asked each one of these newly-promoted faculty members to select a book of their choice and then a book plate is placed inside that book with the name and date of their promotion or tenure. The book is then added to the Thompson Library so that anyone picking it up in the future will see these faculty who have earned this well-deserved award.

Professors David Goldberg and Doug Cole -- Doug is on leave as Ohio Solicitor General -- both from the Moritz College of Law, will argue in front of the Supreme Court on opposing sides of a case that was brought by the faculty and students of the Moritz College of Law clinical programs. It involves the constitutionality of a federal statute that affords certain religious rights for prisoners. It is very exciting to see our law faculty on opposite sides of a case in front of the Supreme Court.

Fortune magazine *Small Business* edition highlights five students, from the Fisher College of Business executive MBA, who won a business plan competition with their plan for a startup company, Vertebration. Earlier this year, they also won the 4th annual Deloitte and Touche Business Plan Competition through Ohio State's Fisher College Center for Entrepreneurship. They are developing a titanium alloy spinal implant that can be inserted more easily during spinal surgery to relieve low back pain.

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The insertion method is novel and the goal is to have a product on the market in 2006.

Finally, and most spectacularly, Dr. Kevin Boyle, associate professor in the Department of History, has won the National Book Award in the non-fiction category for his work *Arc of Justice: A Saga of Race, Civil Rights, and Murder in the Jazz Age*, published by Henry Holt and Co. This book is about a 1920s trial of a black Detroit physician, Dr. Ossian Sweet, who is accused of killing a member of a white mob that gathered outside his home in his predominantly white neighborhood in Detroit. Dr. Boyle has stated that the book explores the roots of current segregation. *Time* magazine describes the book as one that includes "novelistic richness" and a story that "Boyle brings [it] back to life brilliantly."

This is familiar ground to Dr. Boyle, who was born and grew up in Detroit, attended the University of Detroit as an undergraduate and the University of Michigan for his master's and doctoral degrees. Dr. Boyle teaches 20th century American history, with an emphasis on class, race, and politics. He connects his ability to write with his teaching – both rely upon an ability to communicate history through stories to his students and in his writings.

Today we are absolutely delighted to welcome Professor Boyle, who will say a few remarks. Let me say to you how very proud we are that you are at The Ohio State University. All members of the Trustees will be given a personally autographed copy of Dr. Boyle's book.

So let me introduce Dr. Kevin Boyle.

Professor Kevin G. Boyle:

I just want to say how much I appreciate having the opportunity to come here today and say what I promise will be very, very little. I want to thank President Holbrook and Provost Snyder for inviting me to come in and have the opportunity to meet you. I also want to thank both Deans Royster and Roberts, and the chair of the History Department, Professor Ken Andrien, for all of the support that they have given me as I have worked through this project and as I have come to Ohio State. I had hoped that you were not going to mention the University of Michigan connection, but I guess that cat is out of the bag, too.

I was talking just a minute ago, saying that I felt very fortunate – fortunate underestimates it, actually. I feel very lucky, not just for winning this award -- though believe me, it took a lot of luck to win this award – but, more importantly, because what I have is the great fortune to be able to do two things that I love, which is teaching and writing and make a living at it. There are so many Americans who do not get to make a living at the things they truly love doing. What I wanted to do is simply to thank both the administration and the Board of Trustees for all that they do for the faculty to give us an atmosphere in which we can pursue the kind of projects that we love doing and that, if we are lucky, makes some sort of small contribution to society as well.

I was talking to a colleague of mine a couple of weeks ago and he said something that I suppose the faculty does not always want the Board of Trustees to hear – "We really live very privileged lives in the faculty," and we do. I just want to say thank you to all of you for all the work that you have done on behalf of OSU in order to give us the sort of lives that we lead. Thank you very much.

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Ms. Longaberger:

Thank you so very much. Thank you, on behalf of all the Board, for the gift of the book. We will all read it with much pride and interest. I just want to say, again, as I said to you privately, we are all so very, very proud of you and that you represent Ohio State and the State of Ohio so well. Thank you very much.

Mr. McFerson:

I would like to comment and congratulate Dr. Holbrook. Two years ago, we were all embarrassed after the Michigan game, and you put forward a plan that took a lot of courage, it took a lot of heat, and a lot of criticism, and I think we are all very proud of the way this last Michigan game turned out. So, personally, congratulations to you.

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STUDENT RECOGNITION AWARD

Ms. Quick:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of that student's achievement in his or her area of study, service to the University and/or the community, and/or research achievements that have been a credit to the College and the University. This month's recipient is Dr. Michael P. Horan, who was nominated by Dean Jan E. Kronmiller and the College of Dentistry.

Michael is dually enrolled in the rigorous pre-doctoral dental and Ph.D. programs, and is in his 6th year of the seven-year program. He has progressed extremely well through both programs, maintaining a GPA that ranks him 17th out of 104 students in his pre-doctoral dental class. He successfully defended his dissertation on "*Altered Tissue Responsiveness in a Murine Model of Stress-Impaired Wound Healing*" in 2003, ahead of schedule, which his dean noted was an exemplary accomplishment given the rigors of being engaged in both programs simultaneously. Furthermore, his research was recently honored at a national meeting in October where he was given one of three awards for the Most Outstanding Presentation in Clinical Research at the Hinman Student Research Symposium.

Michael has not only excelled in the classroom, but he has also extended his leadership to the school and community. He has served as vice president of The Ohio State University College of Dentistry Student Research Group, and has received the Collegiate All-American Scholar award, and is a member of the American Association for Dental Research, the Psychoneuroimmunology Research Society, and the American Association for the Advancement of Science.

Dr. Kronmiller remarked, "Mike is a dedicated student who continues to strive for excellence, and it is an honor to make this nomination for him."

Michael, on behalf of the Board of Trustees, I am very pleased to present this award to you. Congratulations.

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Dr. Michael P. Horan:

I would like to thank President Holbrook and The Ohio State University Board of Trustees for presenting me with this most prestigious award. It is truly an honor. I would also like to thank Dean Kronmiller for his nomination as well as his continued support of dental research within the College of Dentistry.

I would not be sitting here today if it were not for the efforts and the mentorship of my advisor, Phillip Marucha. It was roughly six years ago when I first called the College of Dentistry inquiring about doing an undergraduate research project and I was put in contact with Dr. Marucha. Dr. Marucha welcomed me into his lab and from there it is pretty much history. He encouraged me to get involved in a combined program within the College of Dentistry, which was very much a new thing at the time. Myself, along with another individual in the College of Dentistry, Raymond Tseng, were the first individuals to actually pursue a combined degree in dentistry and science.

From that point, our programs exploded. We have gone ahead and we now have one of the largest D.D.S./Ph.D. programs in the country. Most of that is based on the efforts of Dr. Phillip Marucha, as well as Dr. John Sheridan, current director of the Comprehensive Training in Oral and Craniofacial Sciences at The Ohio State University College of Dentistry.

Dr. Sheridan was also an influential co-mentor to me throughout my five-year stint in Dr. Marucha's lab. He helped me tremendously in my research efforts as well as my academic efforts within the College. I would like to thank Dr. Sheridan for all that he has done for me throughout the past five years, as well as numerous other faculty members.

A couple of the other faculty members I would like to mention were actually faculty members who played a very influential role in my research and were members of my graduate studies committee and those include Drs. Ning Quan, Susan Mallery, and David Padgett. Each of these individuals have played a very prominent role in shaping me as a scientist as well as a clinician, and I appreciate their efforts very much.

Last, but not least, I would like to thank my wife Julie for her love, support, and commitment over the past six years. It has not always been easy. I spend long nights at the College working on research and studying, and she has been great throughout this whole process. I would also like to thank my mother and father for supporting me through my education and always encouraging me to be the best I can be. Thank you very much.

Ms. Longaberger:

Any comments or questions? Dr. Horan, thank you very much and congratulations. You certainly do a fine job representing this University and College so well.

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COMMITTEE REPORTS

Ms. Longaberger:

At this time, I would like to call on the committee chairs for their reports and I would like to start with Mr. McFerson.

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Mr. McFerson:

The Investments Committee met and had the following items for reporting. First, Dr. Schroeder gave the Development Report for the four months of this fiscal year at \$45.6 million versus \$38.8 million. At a time when pressure continues to come forward on University fundraising, Ohio State leads with a 17% increase. That is an outstanding job.

We had the Monthly Endowment Report by Treasurer Jim Nichols and for the first 26 days of November it is interesting to note that the endowment is up \$72 million, 4.6% in just one month. To put that into context, in 1980 we did not even have that much money in the endowment.

Jim also presented some long-term charts to show the perspective of the endowments over the past; in some cases, over 100 years. Just a few highlights: we now have 3,481 endowed funds; in 1950 we had 153 endowment funds, so that is a significant increase; and in 1895, we had three endowment funds. The market value is over \$1.5 billion today, which is outstanding. Back in 1950, the endowment was \$3 million; in 1960 it was \$18 million; and in 1970 it was \$33 million. It has been a hockey stick since then.

What does that mean for the University? It means that the distribution of those funds to the various colleges on campus this year will be over \$76 million. Just 25 years ago, the various colleges received only \$5 million from the endowment. So you can see the power of a strong endowment fund.

The rates of return for the past 44 years have averaged exactly 10%, which is outstanding. This includes 2001 – 2003, which were not really very good years. So that was an interesting report, to see how that has come together.

We also passed as a committee, and are recommending to the full Board, the approval of two resolutions. One is the Modification of Endowment Investments Policy. There are two changes in there: first was the allocation between asset classes – we talked about that at our last meeting and presented that to you, and the second is the spending formula changes. As you know, we have an old money rate and we have a new money rate. The new money rate is now 4% and Dr. Schroeder indicates that is very well accepted out there among our potential donors.

The second resolution is with regard to the Authorization, Issuance and Sale of General Receipts Commercial Paper Notes Series F of The Ohio State University. I think, Jim, that was \$348 million – well within our limits. A lot of terrific work takes place in the Treasurer's Office. I think as Trustees we can all feel extremely proud of the great job our Treasurer's Office is doing. So it was a good meeting.

Ms. Longaberger:

Comments from other Board members? Thank you very much, Dimon. Next we will have a report from Doug Borrer.

Mr. Borrer:

Thank you, Madame Chair. Today in the Academic and Student Affairs Committee meeting, we had a report on the status of the College of Nursing by Dean Lenz. As many of you know, there is a nationwide shortage of

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nurses and the College of Nursing has been working on increasing the size of their nursing class.

This year's class of students will be almost 100 students more than were in the College of Nursing just three years ago. That said, they still have over 200 students who are qualified every year and who apply but are turned away. They are presently at what they believe is the top of the amount of students that they can take. This is primarily due to a lack of space, both in teaching and staff, and a difficulty in recruiting and retaining staff because of the highly competitive environment. They are working with the administration as well as the College of Medicine and Public Health to try to solve those problems. They have done a great job in increasing the size of the College, but are presently at their limit.

As President Holbrook talked about earlier, we did hear from Vice President Hall and his staff concerning the excellent results that we had in our management of our post-Michigan game activities. I can say from hearing the report in detail, his staff was incredibly well-prepared. They did a very good job communicating to the students about the message concerning that disruptive behavior was unacceptable and I think that was very important. We certainly echo the congratulations to that team on their great effort.

Finally, Provost Snyder presented routine personnel actions for the consent agenda. Thank you very much.

Ms. Longaberger:

Thank you, very much. Any questions? Next we will have Fiscal Affairs, Jo Ann Davidson --

Mrs. Davidson:

Thank you, Madame Chair. I want to report very briefly on a few discussion items that we will not take action on, but for the members of the Board to be aware that Larry Lewellen updated us on our policy of coming into compliance with the Fair Labor Standards Act. This requires us to reexamine our staff members to see whether they fall into an exempt or non-exempt category. He had made a preliminary report to the Committee and they are finalizing the review of our staff members. It is still unsure how many may have to be changed into a new classification – somewhere between 800 and 1,500 – but they are moving ahead to put that in place by April 1 of next year.

Mr. Shkurti gave us a report on our lines of credit, which are issued by various colleges and groups for projects that probably do not make sense for them to go into long-term financing. We now have a policy that those lines of credit are approved by Mr. Shkurti unless they exceed for a length of time in excess of 10 years or \$10 million. It was a good, healthy discussion in the Committee on the issue. We have asked Mr. Shkurti, and he has volunteered, to come back early next year with a second look at some of the guidelines that we are following right now in issuing those lines of credit.

He also went through the Quarterly Capital Project Reports to give us an update on what he knows is very important, which is the on-time on-budget issue of the various projects that we have. We review these on a quarterly basis, so we are kept up to speed on what might be happening to some of the major contracts. He also shared with us some of the things that he and Ms. Morelli have learned through some of the difficulties that there might have been on some projects that were begun many years ago or very

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significant contracts, and some of the procedures that will be changed so that we do not have those difficulties again.

We have four action items on the consent agenda, which were recommended by the Fiscal Affairs Committee. Items #9 – 12 are: the Approval to Enter into Design and Construction Contracts for numerous projects, which many of them are routine maintenance projects of some of our buildings on campus; an Easement to the Village of Apple Creek over OSU property for a water line; and the Science and Technology Campus Corporation renewal of the contract that we have with them. You may remember that we had a detailed report at our last Board meeting on SciTech. This is a triennial review of SciTech and also reauthorization to continue the agreement that we have with them for another three years.

The final item was the Audit Committee Guidelines, which Karen Hendricks had been working on. I would just point out that these guidelines are in very good shape, but you should be aware that it would require us to add two outside members of the Audit Committee that would be independent from our external auditor. It would bring the kind of expertise that we might not be able to bring just from the Board members on that committee. That is similar to what we already do in the Investments Committee and the Government Relations Advisory Council.

The Committee has recommended those four items for your approval on the consent agenda. Thank you, Madame Chair.

Ms. Longaberger:

Karen, as the chair of the Audit Committee, do you want to add anything to that?

Ms. Hendricks:

No she covered it.

Ms. Longaberger:

Okay, great. Any questions or comments on Fiscal Affairs? Thank you very much.

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CONSENT AGENDA

President Karen A. Holbrook:

We currently have twelve resolutions on the Consent Agenda today and I would like to ask that #8 -- the Authorization, Issuance and Sale of General Receipts Commercial Paper Notes Series F of The Ohio State University -- be considered in a separate vote. We are seeking your approval for:

HONORARY DEGREE

Resolution No. 2005-53

Synopsis: The awarding of an honorary degree to Steven Chu is recommended for approval.

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WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of an honorary degree as listed below:

Steven Chu

Doctor of Science

NOW THEREFORE

BE IT RESOLVED, That the above honorary degree be awarded in accordance with the recommendation at a time convenient to the University and the recipient.:

DEGREES AND CERTIFICATES - AUTUMN QUARTER COMMENCEMENT

Resolution No. 2005-54

Synopsis: Approval of Degrees and Certificates for Autumn Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on December 12, 2004, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 2005-55

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the November 5, 2004 meeting of the Board, including the following Appointments, Appointment/Reappointment of Chairperson and Director, Leave of Absence Without Salary, Professional Improvement Leaves, and Emeritus Titles, and Promotion and Tenure, as detailed in the University Budget, be approved.

BE IT FURTHER RESOLVED, That the Medical Staff Appointments/Reappointments approved July 27, 2004, September 28, 2004, and November 23, 2004, by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board, be ratified.

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Appointments

Name: SANFORD H. BARSKY
Title: Professor (The Donald A. Senhauser, M.D., Chair in Pathology)
Department: Pathology
Term: February 1, 2005, through June 30, 2009

Name: RANDALL C. ROWE
Title: Professor (The Professorship in Plant Protection)
College: Food, Agricultural, and Environmental Sciences
Term: December 1, 2004, through November 30, 2009

Name: DANIEL D. SEDMAK
Titles: Executive Vice Dean and Associate Vice President for Health Sciences, and Professor
College/Department: Medicine and Public Health/Pathology
Effective: January 1, 2005

Appointment of Director

STEPHEN P. NAMETH, Agricultural Technical Institute, effective December 1, 2004, through June 30, 2008.

Reappointment of Chairperson

CLARK S. LARSEN, Department of Anthropology, effective July 1, 2005, through June 30, 2009.

Leave of Absence Without Salary

CYNTHIA BURACK, Associate Professor, Department of Women's Studies, effective Spring Quarter 2005, for personal reasons.

Professional Improvement Leaves

ANIL K. PRADHAN, Professor, Department of Astronomy, effective Winter Quarter and Spring Quarter 2005.

ROBERT J. STANTON, Professor, Department of Mathematics, effective Winter Quarter, Spring Quarter, and Autumn Quarter 2005.

VENKAT GOPALAN, Associate Professor, Department of Biochemistry, effective Winter Quarter and Spring Quarter 2005.

Professional Improvement Leaves—Cancellation

STANLEY C. AHALT, Professor, Department of Electrical Engineering, effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004.

SYDNEY R. WALKER, Associate Professor, Department of Art Education, effective Winter Quarter and Spring Quarter 2005.

Professional Improvement Leaves—Change in Dates

IGNACIO CORONA, Associate Professor, Department of Spanish and Portuguese, change leave from Winter Quarter, Spring Quarter, and Autumn Quarter 2005, to Autumn Quarter 2005, Winter Quarter and Spring Quarter 2006.

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GREGORY N. WASHINGTON, Associate Professor, Department of Mechanical Engineering, change leave from Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to Autumn Quarter 2004 and Winter Quarter 2005.

Emeritus Titles

MICHAEL L. BENEDICT, Department of History, with the title Professor Emeritus, effective January 1, 2005.

GARY W. BAUER, Ohio State University Extension, with the title Associate Professor Emeritus, effective December 1, 2004.

KARL KORNACKER, College of Biological Sciences (Sensory Biophysics), with the title Associate Professor Emeritus, effective December 11, 2004.

TERRYL L. BECK, Ohio State University Extension, with the title Assistant Professor Emeritus, effective January 1, 2005.

Promotion and Tenure

COLLEGE OF HUMANITIES

PROMOTION TO PROFESSOR

Trigo, Abril J., Spanish and Portuguese - effective 10/1/05

Medical Staff—Initial Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Vivek V. Abhyankar, M.D., James Internal Medicine, General Medicine, James Associate Attending, 6/29/2004 - 6/30/2005

Doreen M. Agnese, M.D., James Internal Medicine, Clinical Cancer Genetics, James Associate Attending, 6/29/2004 - 6/30/2005

Doreen M. Agnese, M.D., James Surgery, Surgical Oncology, James Attending, 6/29/2004 - 6/30/2005

Robert A. Baiocchi, Ph.D., M.D., James Internal Medicine, Hematology/Oncology, James Attending, 6/11/2004 - 6/30/2005

Peter K. Bauer, M.D., James Pathology, James Associate Attending, 6/29/2004 - 6/30/2005

James R. Borchers, M.D., James Family Medicine, James Associate Attending, 6/11/2004 - 6/30/2005

Vincent E. Brinkman, M.D., James Family Medicine, James Associate Attending, 6/11/2004 - 6/30/2005

Jeffrey M. Caterino, M.D., James Emergency Medicine, James Associate Attending, 6/11/2004 - 6/30/2005

Srihari Chitturi, M.B.B.S., James Anesthesiology, James Associate Attending, 6/11/2004 - 6/30/2005

Ryan E. Dalton, M.D., James Anesthesiology, James Associate Attending, 7/27/2004 - 6/30/2005

Steven S. Davis, Jr., M.D., James Surgery, General Surgery, James Associate Attending, 6/29/2004 - 6/30/2005

Jason J. Diehl, M.D., James Family Medicine, James Associate Attending, 6/11/2004 - 6/30/2005

Galina T. Dimitrova, M.D., James Anesthesiology, James Associate Attending, 6/29/2004 - 6/30/2005

Amit A. Doshi, M.D., James Internal Medicine, General Medicine, James Associate Attending, 7/27/2004 - 6/30/2005

Celeste P. Durnwald, M.D., James OB/GYN, James Associate Attending, 6/11/2004 - 6/30/2005

Michael F. Evers, D.O., James Anesthesiology, James Associate Attending, 7/27/2004 - 6/30/2005

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Vamshi K. Garlapaty, M.D., James Internal Medicine, James Associate Attending, 6/11/2004 - 6/30/2005

Andrew S. Gerken, M.D., James Family Medicine, James Associate Attending, 6/11/2004 - 6/30/2005

Melissa M. Goist, M.D., James OB/GYN, James Associate Attending, 7/27/2004 - 6/30/2005

Matthew I. Goldblatt, M.D., James Surgery, General Surgery, James Associate Attending, 6/29/2004 - 6/30/2005

Gordon Y. Kim, D.O., James Internal Medicine, Digestive Disease, James Associate Attending, 6/11/2004 - 6/30/2005

Rebecca J. Klisovic, M.D., James Internal Medicine, Hematology/Oncology, James Attending, 6/29/2004 - 6/30/2005

Donald C. LeMay, D.O., James Family Medicine, James Associate Attending, 6/11/2004 - 6/30/2005

Zhenguo Liu, M.D., Ph.D., James Internal Medicine, Cardiovascular Medicine, James Associate Attending, 7/27/2004 - 6/30/2005

Maria R. Lucarelli, M.D., James Internal Medicine, Pulmonary, James Associate Attending, 6/29/2004 - 6/30/2005

Anne T. Mathews, C.N.P., James Internal Medicine, Hematology/Oncology, James Allied Health, 6/29/2004 - 6/30/2006

Madhu Mehta, M.D., James Internal Medicine, Rheumatology, James Associate Attending, 7/27/2004 - 6/30/2006

Joseph A. Mendiola, M.D.*, James Radiology, James Associate Attending, 7/27/2004 - 6/30/2006 (*Dr. Mendiola was given temporary privileges 7/1/2004.)

Marc Michalsky, M.D., James Surgery, Pediatric Surgery, James Associate Attending, 6/11/2004 - 6/30/2006

James M. O'Brien, Jr., M.D., James Internal Medicine, Pulmonary, James Associate Attending, 7/27/2004 - 6/30/2006

Nathan J. O'Dorisio, M.D., James Internal Medicine, General Medicine, James Associate Attending, 6/11/2004 - 6/30/2006

Stephen F. Pariser, M.D., James Psychiatry, James Associate Attending, 6/11/2004 - 6/30/2006

Stephen M. Pickstone, M.D., James Psychiatry, James Associate Attending, 6/11/2004 - 6/30/2006

Joseph W. Pool, M.D., James Internal Medicine, General Medicine, James Associate Attending, 7/27/2004 - 6/30/2006

Douglas A. Rund, M.D., James Emergency Medicine, James Associate Attending, 6/11/2004 - 6/30/2006

Steven A. Severyn, M.D., James Anesthesiology, James Associate Attending, 7/27/2004 - 6/30/2006

Yanfu Shao, M.D., James Anesthesiology, James Associate Attending, 6/11/2004 - 6/30/2006

Rosemarie L. Shim, M.D., James Internal Medicine, General Medicine, James Associate Attending, 6/11/2004 - 6/30/2006

John H. Sirak, M.D., James Surgery, Thoracic/Cardiovascular, James Associate Attending, 7/27/2004 - 6/30/2006

Richard Totten, C.R.N.A., James Anesthesiology, James Allied Health, 6/11/2004 - 6/30/2006

Mark P. Tzagournis, M.D., James Family Medicine, James Associate Attending, 7/27/2004 - 6/30/2006

Michael A. Tzagournis, M.D., James Internal Medicine, Digestive Disease, James Associate Attending, 6/29/2004 - 6/30/2006

Lisa M. Werner, D.O., James Psychiatry, James Associate Attending, 6/11/2004 - 6/30/2006

Sandra Jones Wu, M.D., James Internal Medicine, Dermatology, James Associate Attending, 6/11/2004 - 6/30/2006

Diana J. Angus, C.N.P., Internal Medicine, Hematology/Oncology, Allied Health, 8/13/2004 - 6/30/2005

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Carol L. Amore, C.N.P., Internal Medicine, Hematology/Oncology, Allied Health,
9/28/2004 - 6/30/2005

Mark G. Angelos, M.D., Emergency Medicine, Associate Attending, 9/28/2004 –
6/30/2005

Peter B. Baker, M.D., Pathology, Associate Attending, 9/28/2004 - 6/30/2005

Stephen J. Behnke, M.D., Internal Medicine, General Medicine, Associate
Attending, 9/28/2004 - 6/30/2005

Tammy Bennett, C.N.P., Internal Medicine, Hematology/Oncology, Allied Health,
8/13/2004 -6/30/2005

Alan D. Burnette, P.A.-C, Surgery, Thoracic/Cardiovascular, Allied Health,
9/28/2004 - 6/30/2005

Jennifer E. Carter, Ph.D., Family Medicine, Associate Attending, 9/28/2004 -
6/30/2005

Laurie M. Chevalier, M.D., Internal Medicine, General Medicine, Associate
Attending, 9/28/2004 - 6/30/2005

Juan A. Crestanello, M.D., Surgery, Thoracic/Cardiovascular, Associate
Attending, 8/13/2004 - 6/30/2005

James M. Dando, C.R.N.A., Anesthesiology, Allied Health, 9/28/2004 - 6/30/2005

Jason R. Davenport, M.D., Family Medicine, Associate Attending, 9/28/2004 -
6/30/2005

Michael R. Dick, M.D., Emergency Medicine, Associate Attending, 9/28/2004 -
6/30/2005

Michael E. Ezzie*, M.D., Internal Medicine, General Medicine, Associate
Attending, 9/28/2004 - 6/30/2005

Theodore D. Fraker, M.D., Internal Medicine, Cardiovascular Medicine, Associate
Attending, 9/28/2004 - 6/30/2005

Thomas J. Gavin, M.D., Emergency Medicine, Associate Attending, 9/28/2004 -
6/30/2005

Michael B. Green**, M.D., Internal Medicine, General Medicine, Associate
Attending, 8/13/2004 - 6/30/2005

Diane L. Gorgas, M.D., Emergency Medicine, Associate Attending, 9/28/2004 -
6/30/2005

Jay S. Grider, D.O., Ph.D., Anesthesiology, Associate Attending, 9/28/2004 -
6/30/2005

Christine E. Hall, C.N.S., Internal Medicine, Endocrinology, Allied Health,
9/28/2004 - 6/30/2005

Nathan C. Hall***, M.D., Ph.D., Radiology, Associate Attending, 9/28/2004 -
6/30/2005

Angela R. Jackson, M.D., Obstetrics and Gynecology, Community Associate -
Springfield, 9/28/2004 - 6/30/2005

Wanjiku Kabiru, M.D., Obstetrics and Gynecology, Associate Attending,
9/28/2004 - 6/30/2005

Colin G. Kaide, M.D., Emergency Medicine, Associate Attending, 9/28/2004 -
6/30/2005

Henry J. Kaufman, M.D., Surgery, Surgical Oncology, Attending, 8/13/2004 -
6/30/2005

Babak Khabiri, D.O., Anesthesiology, Associate Attending, 8/13/2004 - 6/30/2005

Elizabeth A. Kinkade, C.N.P, Anesthesiology, Allied Health, 8/13/2004 –
6/30/2005

Sungkyu Christopher Lee****, M.D., Radiology, Associate Attending, 9/28/2004 -
6/30/2005

Richard J. Limperos, M.D., Emergency Medicine, Associate Attending, 8/13/2004
- 6/30/2005

***Dr. Ezzie received temporary privileges 8/4/04.**

****Dr. Green received temporary privileges 8/1/04 (received for approval at
7/12/04 Credentials Committee)**

*****Dr. Lee received temporary privileges 8/16/04.**

******Dr. Hall received temporary privileges 8/26/04.**

December 3, 2004 meeting, Board of Trustees

Tracey M. Marks, C.R.N.A., Anesthesiology, Allied Health, 8/13/2004 - 6/30/2006
Charles E. Martin, C.R.N.A., Anesthesiology, Allied Health, 9/28/2004 - 6/30/2006
Daniel R. Martin, M.D., Emergency Medicine, Associate Attending, 9/28/2004 - 6/30/2006
Kendra L. McCamey, M.D., Family Medicine, Associate Attending, 9/28/2004 - 6/30/2006
John R. McConaghy, M.D., Family Medicine, Associate Attending, 9/28/2004 - 6/30/2006
Bradford S. McGwire, M.D., Ph.D., Internal Medicine, Infectious Disease, Associate Attending, 8/13/2004 - 6/30/2006
Mary D. Merrill, C.N.P., Internal Medicine, Hematology/Oncology, Allied Health, 8/13/2004 - 6/30/2006
Jeffrey W. Milks, M.D., Family Medicine, Associate Attending, 8/13/2004 - 6/30/2006
Barry E. Nuechterlein, M.D., Anesthesiology, Associate Attending, 9/28/2004 - 6/30/2006
Leslie E. Partridge, R.T., Radiology, Allied Health, 9/28/2004 - 6/30/2006
Philip A. Rinehart, C.R.N.A., Anesthesiology, Allied Health, 9/28/2004 - 6/30/2006
Akram Sadaka, M.D., Family Medicine, Associate Attending, 9/28/2004 - 6/30/2006
Linbee V. Sayat, M.D., Obstetrics and Gynecology, Associate Attending, 9/28/2004 - 6/30/2006
Michael R. Sayre, M.D., Emergency Medicine, Associate Attending, 9/28/2004 - 6/30/2006
Bushra Siddiqi, M.D., Family Medicine, Associate Attending, 9/28/2004 - 6/30/2006
Joan Simon, Ph.D., Family Medicine, Associate Attending, 8/13/2004 - 6/30/2006
Gwendolyn A. Sowa, M.D., Ph.D., Physical Med & Rehab, Associate Attending, 9/28/2004 - 6/30/2006
Michael D. Waite, M.D., Emergency Medicine, Associate Attending, 9/28/2004 - 6/30/2006
Theodore W. Williams, Ph.D., Family Medicine, Associate Attending, 9/28/2004 - 6/30/2006
Libby J. Wilson, M.D., Family Medicine, Community Associate - Springfield, 9/28/2004 - 6/30/2006
Mary A. Wynd, M.D., Family Medicine, Associate Attending, 9/28/2004 - 6/30/2006
Cregg D. Ashcraft*, M.D., Internal Medicine, General Medicine, Associate Attending, 10/8/2004 - 10/31/2006
Ashwin Balagopal, M.D., Internal Medicine, General Medicine, Associate Attending, 11/23/2004 - 10/31/2006
Joseph K. Culver, C.R.N.A., Anesthesiology, Allied Health, 10/8/2004 - 10/31/2006
Jennifer M. Daniels**, M.D., Surgery, General Surgery, Community Associate-Springfield, 10/8/2004 - 10/31/2006
Joseph P. Dusseau, M.D., Family Medicine, Associate Attending, 10/8/2004 - 10/31/2006
Jennifer W. Ellis, M.D., Family Medicine, Associate Attending, 11/23/2004 - 3/31/2005
Josue P. Gabriel, M.D., Orthopaedics, Associate Attending, 11/23/2004 - 3/31/2005
Evangeline A. Hager, C.N.P., Anesthesiology, Allied Health, 11/23/2004 - 3/31/2005

* Dr. Ashcraft received temporary privileges 9/24/04.

** Dr. Daniels received temporary privileges 9/24/04.

December 3, 2004 meeting, Board of Trustees

Shawn M. Hedderman, C.R.N.A., Anesthesiology, Allied Health, 10/8/2004 - 3/31/2005

Andrew J. Hicks, C.R.N.A., Anesthesiology, Allied Health, 11/23/2004 - 3/31/2005

James M. Highley, D.O., Anesthesiology, Associate Attending, 10/8/2004 - 3/31/2005

Jordan E. Hopkins, M.D., Internal Medicine, General Medicine, Associate Attending, 10/8/2004 - 3/31/2005

Shalva V. Kakabadze, M.D., Family Medicine, Associate Attending, 10/8/2004 - 3/31/2005

Sorabh Khandelwal, M.D., Emergency Medicine, Associate Attending, 10/8/2004 - 3/31/2005

Sandra K. Kostyk, M.D., Ph.D., Neurology, Associate Attending, 10/8/2004 - 3/31/2005

Richard R. Love, M.D., Internal Medicine, Hematology/Oncology, Attending, 10/8/2004 - 3/31/2005

Allison K. Macerollo, M.D., Family Medicine, Associate Attending, 11/23/2004 - 10/31/2005

Diane C. Manos, M.D., Family Medicine, Associate Attending, 10/8/2004 - 10/31/2005

Connie A. McCoy, D.O., Family Medicine, Associate Attending, 11/23/2004 - 10/31/2005

Hans B. Miller, M.D., Anesthesiology, Associate Attending, 10/8/2004 - 10/31/2005

Constance Moore, C.R.N.A., Anesthesiology, Allied Health, 11/23/2004 - 10/31/2005

Herbert G. Neff, C.R.N.A., Anesthesiology, Allied Health, 11/23/2004 - 10/31/2005

Kami L. Nemicik, C.R.N.A., Anesthesiology, Allied Health, 11/23/2004 - 10/31/2005

Thomas E. Olencki***, D.O., Internal Medicine, Hematology/Oncology, Attending, 10/8/2004 - 10/31/2005

Christine A. Pitts, C.R.N.A., Anesthesiology, Allied Health, 11/23/2004 - 10/31/2005

Milisa K. Rizer, M.D., Family Medicine, Associate Attending, 10/8/2004 - 10/31/2005

Christine M. Saas, C.R.N.A., Anesthesiology, Allied Health, 11/23/2004 - 3/31/2006

Daniel D. Sedmak, M.D., Pathology, Associate Attending, 11/23/2004 - 3/31/2006

Veena V. Sengupta, M.D., Neurology, Associate Attending, 10/8/2004 - 3/31/2006

Ann F. Siefert, C.R.N.A., Anesthesiology, Allied Health, 11/23/2004 - 3/31/2006

H. Craig Stevens, M.D., Internal Medicine, General Medicine, Community Associate-Columbus, 10/8/2004 - 3/31/2006

Olugbenga F. Tolani, M.B.B.S., Internal Medicine, General Medicine, Community Associate-Columbus, 10/8/2004 - 3/31/2006

Daniel L. Vodovotz, M.D., Surgery, Urology, Associate Attending, 11/23/2004 - 3/31/2006

Umar Waheed, M.D., Internal Medicine, General Medicine, Community Associate-Columbus, 10/8/2004 - 3/31/2006

Joel C. Wood, M.D., Family Medicine, Associate Attending, 10/8/2004 - 3/31/2006

***** Dr. Olencki received temporary privileges 9/16/04.**

December 3, 2004 meeting, Board of Trustees

Medical Staff—Provisional to Full Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Ellen Chen, M.D., James Internal Medicine, Cardiovascular Medicine, James Associate Attending, 7/27/2004 - 6/30/2005

Jennifer A. Farroni, C.N.P., James Internal Medicine, Cardiovascular Medicine, James Allied Health, 7/27/2004 - 6/30/2005

James Galbraith, M.D., James Internal Medicine, Infectious Disease, James Community Associate, 7/27/2004 - 6/30/2005

Norma Jackson, D.O., James Anesthesiology, James Associate Attending, 6/11/2004 - 6/30/2005

John Mastronarde, M.D., James Internal Medicine, Pulmonary, James Associate Attending, 7/27/2004 - 6/30/2006

Vipul Patel, M.D., James Internal Medicine, General Medicine, James Community Associate, 7/27/2004 - 6/30/2006

Chirag Shah, M.D., James Internal Medicine, General Medicine, James Community Associate, 7/27/2004 - 6/30/2006

John Ahern, M.D., Internal Medicine, General Medicine, James Community Associate, 9/28/2004 - 6/30/2005

Ennio A. Chiocca, M.D., Ph.D., Neurological Surgery, James Associate Attending, 8/13/2004 - 6/30/2005

David Hart, M.B.B.S., Internal Medicine, Cardiovascular Medicine, James Associate Attending, 9/28/2004 - 6/30/2005

Lisa Hendrickson, C.N.P., Surgery, Surgical Oncology, James Allied Health, 9/28/2004 - 6/30/2005

Lawrence Koegel, Jr., M.D., Otolaryngology, James Clinical Attending, 8/13/2004 - 6/30/2005

Ulysses Magalang, M.D., Internal Medicine, Pulmonary/Critical Care, James Associate Attending, 9/28/2004 - 6/30/2006

Valerie Mann-Jiles, C.N.P., Internal Medicine, Hematology/Oncology, James Allied Health, 9/28/2004 - 6/30/2006

Aruna Prattipati, M.B.B.S., Internal Medicine, General Medicine, James Community Associate, 8/13/2004 - 6/30/2006

Bhagwan Satiani, M.D., Surgery, Vascular Surgery, James Associate Attending, 9/28/2004 - 6/30/2006

Jean Starr, M.D., Surgery, Vascular Surgery, James Associate Attending, 9/28/2004 - 6/30/2006

Deanna J. Stevens, M.D., Ophthalmology, James Associate Attending, 8/13/2004 - 6/30/2006

Patrick Vaccaro, M.D., Surgery, Vascular Surgery, James Associate Attending, 9/28/2004 - 6/30/2006

Blair Vermilion, M.D., Surgery, Vascular Surgery, James Associate Attending, 9/28/2004 - 6/30/2006

Imtiaz Ahmed, M.D., Internal Medicine, General Medicine, James Community Associate, 10/8/2004 - 10/31/2006

Mohammad Ahsan, M.B.B.S., Internal Medicine, General Medicine, James Community Associate, 10/8/2004 - 10/31/2006

Donald E. Benson, M.D., Internal Medicine, General Medicine, James Community Associate, 10/8/2004 - 10/31/2006

Steven Burks, M.D., Internal Medicine, General Medicine, James Community Associate, 10/8/2004 - 10/31/2006

Michael Conrad, M.D., Surgery, Urology, James Community Associate, 11/23/2004 - 10/31/2006

Julio C. Cruz, M.D., Pathology, James Associate Attending, 10/8/2004 - 10/31/2006

Mohan Das, M.D., Surgery, Vascular Surgery, James Associate Attending, 10/8/2004 - 10/31/2006

John Fitzharris, Jr., D.O., Internal Medicine, General Medicine, James Community Associate, 10/8/2004 - 3/31/2005

December 3, 2004 meeting, Board of Trustees

Mohammad A. Kabir, M.D., Internal Medicine, General Medicine, James Community Associate, 10/8/2004 - 3/31/2005
Maria Katakai, M.D., Ph.D., Neurology, James Associate Attending, 11/23/2004 - 3/31/2005
Raheela Khawaja, M.D., Internal Medicine, Endocrinology, James Associate Attending, 10/8/2004 - 3/31/2005
Gregory Knudson, M.D., Surgery, Urology, James Community Associate, 11/23/2004 - 3/31/2005
Margaret S. Lucas, P.A., Internal Medicine, Hematology/Oncology, James Allied Health, 10/8/2004 - 3/31/2005
Mohammad Mirza, M.B.B.S., Internal Medicine, Cardiovascular Medicine, James Community Associate, 10/8/2004 - 10/31/2005
Merrill Shidler, M.D., Family Medicine, James Community Associate, 11/23/2004 - 3/31/2006
Ronald Smith, Jr., M.D., Otolaryngology, James Community Associate, 11/23/2004 - 3/31/2006
Neeraj Tayal, M.D., Internal Medicine, General Medicine, James Associate Attending, 10/8/2004 - 3/31/2006
M. Ahsan Ullah, M.D., Internal Medicine, General Medicine, James Community Associate, 10/8/2004 - 3/31/2006
Sandra Victor, M.D., Radiology*, Radiation Oncology, James Community Associate, 11/23/2004 - 3/31/2006

***Radiation Oncology is in the Medicine Department at Community Hospital.**

Medical Staff—Reappointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

July 1, 2004, through June 30, 2006

David P. Bahner, M.D., James Associate Attending, James Emergency Medicine
Susan N. Kasubick, P.A.-C, James Allied Health, James Surgery,
Thoracic/Cardio Surgery
Joel D. Macy, M.D., James Community Associate, James Family Medicine
Ulysses J. Magalang, M.D., James Associate Attending, James Internal
Medicine, Pulmonary, critical care and sleep medicine
Raymond D. Magorien, M.D., James Associate Attending, James Internal
Medicine, Cardiovascular Medicine
William E. Maher, M.D., James Associate Attending, James Internal Medicine,
Infectious Disease
William B. Malarkey, M.D., James Associate Attending, James Internal Medicine,
Endocrinology, Diabetes/Metabolism
Susan Mallery, D.D.S., Ph.D., James Associate Attending, James Dentistry
Gunwant S. Mallik, M.D., James Associate Attending, James Neurologic Surgery
Matharbootham Mani, M.D., James Associate Attending, James Anesthesiology
Andrei V. Manilchuk, M.D., James Associate Attending, James Surgery, General
Surgery
Valerie Mann-Jiles, C.N.P., James Allied Health, James Internal Medicine,
Hematology/ Oncology
Tasos Manokas, D.O., James Associate Attending, James Internal Medicine,
Digestive Disease
Vlada W. Mardovin, M.D., James Community Associate, James Surgery,
Urologic Surgery
Elizabeth M. Marsh, M.D., James Community Associate, James Internal
Medicine, General Medicine
William L. Marsh, Jr., M.D., James Associate Attending, James Pathology
Larry C. Martin, M.D., James Associate Attending, James Surgery, General
Surgery
Thomas F. Mauger, M.D., James Associate Attending, James Ophthalmology

December 3, 2004 meeting, Board of Trustees

Carolyn A. McClerking, C.N.P., James Allied Health, James Internal Medicine, Hematology/ Oncology

John M. McGregor, M.D., James Associate Attending, James Neurologic Surgery

Michael S. McKee, M.D., James Community Associate, James Family Medicine

Mitchell A. Medow, M.D., James Associate Attending, James Internal Medicine, General Medicine

Hagop S. Mekhjian, M.D., James Associate Attending, James Internal Medicine, Digestive Disease

W. Scott Melvin, M.D., James Associate Attending, James Surgery, General Surgery

Robert E. Michler, M.D., James Associate Attending, James Surgery, Thoracic/Cardio Surgery

Dean J. Mikami, M.D., James Associate Attending, James Surgery, General Surgery

Carole A. Miller, M.D., James Associate Attending, James Neurologic Surgery

Randy L. Mills, D.O., James Community Associate, James Internal Medicine, General Medicine

M. Raashid Mirza, M.B.B.S., James Community Associate, James Internal Medicine, Cardiovascular Medicine

William F. Miser, M.D., James Associate Attending, James Family Medicine

Carolyn P. Misick, M.D., James Community Associate, James Pathology

Lofton N. Misick, M.D., James Community Associate, James Surgery, Thoracic/Cardio Surgery

Yousef M. Mohammad, M.D., James Associate Attending, James Neurology

Akber Mohammed, M.D., James Community Associate, James Internal Medicine, Cardiovascular Medicine

David G. Monjot, M.D., James Community Associate, James Internal Medicine, Pulmonary, critical care and sleep medicine

J. Paul Monk III, M.D., James Attending, James Internal Medicine, Hematology/Oncology

Mollie E. Moran, C.N.P., James Allied Health, James Internal Medicine, Hematology/Oncology

Molly J. Moran, C.N.S., James Allied Health, James Surgery, Surgical Oncology

Joseph M. Morman, M.D., James Community Associate, James Family Medicine

Timothy A. Morton, M.D., James Community Associate, James Emergency Medicine

Peter J. Muir, M.D., James Community Associate, James Family Medicine, Kumar Mukerjee, M.D., James Community Associate, James Internal Medicine, Digestive Disease

Robert A. Murden, M.D., James Associate Attending, James Internal Medicine, General Medicine

Peter Muscarella II, M.D., James Associate Attending, James Surgery, General Surgery

Tibor Nadasdy, M.D., James Associate Attending, James Pathology

Subir Nag, M.D., James Attending, James Radiology, Radiation Oncology

Steven M. Nash, M.D., James Associate Attending, James Neurology

Annette R. Nathan, M.D., James Community Associate, James Emergency Medicine

Richard M. Nedelman, M.D., James Community Associate, James Surgery, General Surgery

Bradley J. Needleman, M.D., James Associate Attending, James Surgery, General Surgery

Steven D. Nelson, M.D., James Associate Attending, James Internal Medicine, Cardiovascular Medicine

Surender R. Neravetla, M.D., James Community Associate, James Surgery, Thoracic/Cardio Surgery

Gregory M. Ness, D.D.S., James Associate Attending, James Dentistry

Charles W. Noble, M.D., James Community Associate, James Internal Medicine, Cardio-vascular Medicine

December 3, 2004 meeting, Board of Trustees

Benedict C. Nwomeh, M.D., James Associate Attending, James Surgery,
Pediatric Surgery

Autumn O'Brien, M.D., James Associate Attending, James Internal Medicine,
General Medicine

Nathan J. O'Dorisio, M.D., James Associate Attending, James Internal Medicine,
General Medicine

Osita E. Okpalaoka, M.D., James Community Associate, James Internal
Medicine, General Medicine

Johannes O. Olsen, M.D., James Associate Attending, James Radiology

David A. Orsinelli, M.D., James Associate Attending, James Internal Medicine,
Cardiovascular Medicine

Kwame Osei, M.D., James Associate Attending, James Internal Medicine,
Endocrinology, Diabetes/Metabolism

Richard O'Shaughnessy, M.D., James Associate Attending, James OB/GYN

Michael F. Para, M.D., James Associate Attending, James Internal Medicine,
Infectious Disease

Charles D. Parsons, M.D., James Community Associate, James Surgery,
Urologic Surgery

Howard A. Paskett, P.A.-C, James Allied Health, James Orthopaedics Surgery

Rajendra C. Patel, M.D., James Community Associate, James Internal Medicine,
Cardiovascular Medicine

Vipul K. Patel, M.D., James Community Associate, James Internal Medicine,
General Medicine

Steven T. Patterson, D.O., James Community Associate, James OB/GYN

Ronald P. Pelletier, M.D., James Associate Attending, James Surgery,
Transplant

Sam L. Penza, M.D., James Attending, James Internal Medicine,
Hematology/Oncology

Sheryl A. Pfeil, M.D., James Associate Attending, James Internal Medicine,
Digestive Disease

Kamal S. Pohar, M.D., James Attending, James Surgery, Urologic Surgery

Amy L. Pope-Harman, M.D., James Associate Attending, James Internal
Medicine, Pulmonary, critical care and sleep medicine

Pierluigi Porcu, M.D., James Attending, James Internal Medicine,
Hematology/Oncology

Ganesh G. Potdar, M.D., James Community Oncologist, James Radiology,
Radiation Oncology

Jeffrey G. Poulos, M.D., James Community Associate, James Internal Medicine,
General Medicine

Stephen P. Povoski, M.D., James Attending, James Surgery, Surgical Oncology

Aruna K. Prattipati, M.B.B.S., James Community Associate, James Internal
Medicine, General Medicine

Daniela M. Proca, M.D., James Associate Attending, James Pathology

Amer Rajab, M.D., Ph.D., James Associate Attending, James Surgery,
Transplant

Thomas P. Rak, M.D., James Community Associate, James Surgery, Plastic
Surgery

Subha V. Raman, M.D., James Associate Attending, James Internal Medicine,
Cardiovascular Medicine

Nilsa D. Ramirez Milan, M.D., James Associate Attending, James Pathology

Kottil W. Rammohan, M.D., James Associate Attending, James Neurology

Moin A. Ranginwala, M.D., James Community Associate, James Internal
Medicine, Pulmonary, critical care and sleep medicine

Mohini S. Rao, M.D., James Associate Attending, James Anesthesiology

Abdur Rauf, M.D., James Community Associate, James Internal Medicine,
Digestive Disease

Gary C. Reid, M.D., James Community Oncology-Attending, James OB/GYN

Thomas E. Reilly, D.O., James Associate Attending, James Anesthesiology

Chris A. Rhoades, M.D., James Attending, James Internal Medicine,
Hematology/Oncology

December 3, 2004 meeting, Board of Trustees

Ali A. Rikabi, M.D., James Associate Attending, James Radiology
Janet M. Roberto, M.D., James Community Associate, James Family Medicine
Mark S. Roberto, M.D., James Community Associate, James Family Medicine
Tom E. Rodgers, P.A.-C, James Allied Health, James Surgery, Thoracic/Cardio
Surgery
Matthew W. Rosenberg, M.D., James Associate Attending, James Surgery,
Plastic Surgery
Patrick Ross, Jr., M.D., Ph.D., James Attending, James Surgery, Thoracic/Cardio
Surgery
Robert D. Rupert, Jr., M.D., James Clinical Attending, James Internal Medicine,
Hematology/Oncology
James M. Ryan, M.D., James Associate Attending, James Internal Medicine,
Cardiovascular Medicine
Laura E. Ryan, M.D., James Associate Attending, James Internal Medicine,
Endocrinology, Diabetes/Metabolism
Zarife Sahenk, M.D., James Associate Attending, James Neurology
Chittoor B. Sai-Sudhakar, M.D., James Associate Attending, James Surgery,
Thoracic/Cardio Surgery
Mervyn J. Samuel, M.D., James Clinical Attending, James OB/GYN
Milroy J. Samuel, M.D., James Clinical Attending, James OB/GYN
Philip Samuels, M.D., James Associate Attending, James OB/GYN
Ferdinand J. B. Santos, M.D., James Community Associate, James
Anesthesiology
Alper Sarihan, D.O., James Community Associate, James Family Medicine
Bhagwan Satiani, M.D., James Associate Attending, James Surgery, Vascular
George A. Sawaya, M.D., James Clinical Attending, James OB/GYN
Jason G. Sayat, M.D., James Associate Attending, James OB/GYN
Stephen F. Schaal, M.D., James Associate Attending, James Internal Medicine,
Cardio-vascular Medicine
Jonathan A. Schaffir, M.D., James Associate Attending, James OB/GYN
Douglas W. Scharre, M.D., James Associate Attending, James Neurology
Richard E. Schlanger, M.D., James Associate Attending, James Surgery,
General Surgery
Larry S. Schlesinger, M.D., James Associate Attending, James Internal Medicine,
Infectious Disease
G. Todd Schulte, M.D., James Associate Attending, James Anesthesiology
Robert J. Scott, M.D., James Community Associate, James Internal Medicine,
General Medicine
Ramalingam Selvarajah, M.D., James Community Associate, James Family
Medicine
Sumit K. Seth, M.D., James Associate Attending, James Radiology
Bivik R. Shah, M.D., James Clinical Attending, James Surgery, Plastic Surgery
Chirag A. Shah, M.D., James Community Associate, James Internal Medicine,
General Medicine
Manisha H. Shah, M.D., James Attending, James Internal Medicine,
Hematology/Oncology
Niranjan T. Shah, M.D., James Associate Attending, James Pathology
Arwa Y. Shanaah, M.D., James Associate Attending, James Pathology
Charles L. Shapiro, M.D., James Attending, James Internal Medicine,
Hematology/Oncology
Cynthia S. Shellhaas, M.D., James Associate Attending, James OB/GYN
Merrill J. Shidler, M.D., James Community Associate, James Family Medicine
Bassel F. Shneker, M.D., James Associate Attending, James Neurology
Phillip J. Shubert, M.D., James Associate Attending, James OB/GYN
R. Trent Sickles, M.D., James Associate Attending, James Family Medicine
Urmee Siraj, M.D., James Community Associate, James Internal Medicine,
General Medicine
Andrew P. Slivka, Jr., M.D., James Associate Attending, James Neurology
William L. Smead, M.D., James Associate Attending, James Surgery, Vascular
Ronald M. Smith, Jr., M.D., James Community Associate, James Otolaryngology

December 3, 2004 meeting, Board of Trustees

Samita Sood, M.D., James Associate Attending, James Internal Medicine, Pulmonary, critical care and sleep medicine
Carl E. Speicher, M.D., James Associate Attending, James Pathology
Risa L. Spielfoch, M.D., James Community Associate, James Family Medicine
Ronald B. Spier, M.D., James Community Associate, James Surgery, General Surgery
Michael J. Stanek, D.O., James Attending, James Internal Medicine, Hematology/Oncology
Jean E. Starr, M.D., James Associate Attending, James Surgery, Vascular
Nancy L. Stasik, P.A.-C, James Allied Health, James Surgery, Thoracic/Cardio Surgery
Steven M. Steinberg, M.D., James Associate Attending, James Surgery, General Surgery
Deanna J. Stevens, M.D., James Associate Attending, James Ophthalmology
Sherry Stewart Harmond, C.N.P., James Allied Health, James Internal Medicine, Hematology/ Oncology
Dennis P. Sullivan, M.D., James Community Associate, James Neurology
Benjamin C. Sun, M.D., James Associate Attending, James Surgery, Thoracic/Cardio Surgery
John R. Sutter, M.D., James Community Associate, James Family Medicine
Joseph F. Swan, M.D., James Associate Attending, James Anesthesiology
Alex A. Tambrini, Jr., M.D., James Community Associate, James Family Medicine, James Family Medicine
Neeraj H. Tayal, M.D., James Associate Attending, James Internal Medicine, General Medicine
Steven Teich, M.D., James Clinical Attending, James Surgery, Pediatric Surgery
Andrew M. Thomas, M.D., James Associate Attending, James Internal Medicine, General Medicine
Fred B. Thomas, M.D., James Associate Attending, James Internal Medicine, Digestive Disease
Karen M. Thomas, D.O., James Associate Attending, James Neurology
Salvador B. Trinidad, M.D., James Community Associate, James Radiology
Marlin F. Troiano, D.D.S., James Associate Attending, James Dentistry
Adam C. Tzagournis, M.D., James Associate Attending, James Internal Medicine, Digestive Disease
M. Ahsan Ullah, M.D., James Community Associate, James Internal Medicine, General Medicine
Ajaz Umerani, M.D., James Community Associate, James Internal Medicine, General Medicine
Patrick S. Vaccaro, M.D., James Associate Attending, James Surgery, Vascular
Lalit K. Vadlamani, M.D., James Associate Attending, James Internal Medicine, Cardiovascular Medicine
Geoffrey C. Vaughan, M.D., James Associate Attending, James Internal Medicine, General Medicine
William Vazquez-Choisne, M.D., James Community Associate, James Radiology
Siva R. Vemana, M.D., James Community Associate, James Internal Medicine, General Medicine
Latha Venkatesh, M.D., James Community Associate, James Internal Medicine, General Medicine
Blair D. Vermilion, M.D., James Associate Attending, James Surgery, Vascular
Sandra J. Victor, M.D., James Community Associate, James Radiology, Radiation Oncology
Rafael E. Villalobos, D.O., James Clinical Attending, James Surgery, Plastic Surgery
Kenneth M. Vitellas, M.D., James Associate Attending, James Radiology
Paul E. Wakely, Jr., M.D., James Associate Attending, James Pathology
Michael J. Walker, M.D., James Attending, James Surgery, Surgical Oncology
Eric S. Ward, M.D., James Clinical Attending, James Surgery, Urologic Surgery
Joel M. Weaver, D.D.S., Ph.D., James Associate Attending, James Dentistry

December 3, 2004 meeting, Board of Trustees

Joel M. Weaver, D.D.S., Ph.D., James Associate Attending, James Anesthesiology
Paul A. Weber, M.D., James Associate Attending, James Ophthalmology
Alvin G. Wee, D.D.S., James Associate Attending, James Dentistry
Harrison G. Weed, M.D., James Associate Attending, James Internal Medicine, General Medicine
Jeffrey W. Weinstein, M.D., James Community Associate, James Internal Medicine, Infectious Disease
Mary Jo P. Welker, M.D., James Associate Attending, James Family Medicine
Howard A. Werman, M.D., James Associate Attending, James Emergency Medicine
Ronald L. Whisler, M.D., James Associate Attending, James Internal Medicine, Rheumatology
Karen S. Williams, P.A.-C, James Allied Health, James Radiology, Radiation Oncology
David A. Winger, M.D., James Associate Attending, James Internal Medicine, Infectious Disease
John H. Winston III, M.D., James Associate Attending, James Surgery, General Surgery
Peter B. Wittstein, M.D., James Community Associate, James Ophthalmology
Bruce E. Woodworth, M.D., James Clinical Attending, James Surgery, Urologic Surgery
Howard F. Wunderlich III, M.D., James Community Associate, James Internal Medicine, Infectious Disease
Yun Xia, M.D., James Associate Attending, James Anesthesiology
Yiqing Xu, M.D., Ph.D., James Attending, James Internal Medicine, Hematology/Oncology
Allan J. Yates, M.D., Ph.D., James Associate Attending, James Pathology
Lisa D. Yee, M.D., James Attending, James Surgery, Surgical Oncology
Christopher L. Yerington, M.D., James Associate Attending, James Anesthesiology
Talal R. Zraik, M.D., James Community Associate, James Internal Medicine, Infectious Disease
Kelley J. Zyniewicz, M.D., James Clinical Attending, James Internal Medicine, Dermatology

November 1, 2004, through October 31, 2006

Alan D. Burnette, P.A., James Allied Health, Surgery, Thoracic/Cardio Surgery
Johannah Clarke, C.N.P., James Allied Health, Surgery, Surgical Oncology

Medical Staff—Requests for Additional Privileges (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Doreen M. Agnese, M.D., James Attending, Internal Medicine, Laparoscopy and Thoracoscopy, 7/1/2004 - 6/30/2005
Vincent Brinkman, M.D., James Associate Attending, Internal Medicine, Moderate Sedation, 6/11/2004 - 6/30/2005
Jeffrey Caterino, M.D., James Associate Attending, Emergency Medicine, Moderate and Deep Sedation, 6/11/2004 - 6/30/2005
Jennifer Glatz, C.N.P., James Allied Health, Internal Medicine, Skin biopsy/Suturing, 6/11/2004 - 6/30/2005
Matthew Goldblatt, M.D., James Associate Attending, Surgery, Laparoscopy and Thoraco-scopy, 7/1/2004 - 6/30/2005
Ulysses Magalang, M.D., James Associate Attending, Internal Medicine, Moderate and Deep Sedation, 7/1/2004 - 6/30/2006
Larry C. Martin, M.D., James Associate Attending, Surgery, Moderate and Deep Sedation, 7/1/2004 - 6/30/2006
Carolyn McClerking, C.N.P., James Allied Health, Internal Medicine, Chemotherapy Administration, 7/1/2004 - 6/30/2006

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Hagop Mekhjian, M.D., James Associate Attending, Internal Medicine, Moderate Sedation and Surgical Yag Laser, 7/1/2004 - 6/30/2006

W. Scott Melvin, M.D., James Associate Attending, Surgery, Moderate Sedation, Surgical Yag Laser, and Laparoscopy, 7/1/2004 - 6/30/2006

Robert Michler, M.D., James Associate Attending, Surgery, CO₂, Surgical, Argon and Excimer Laser, 7/1/2004 - 6/30/2006

Dean Mikami, M.D., James Associate Attending, Surgery, Moderate and Deep Sedation, 7/1/2004 - 6/30/2006

Mollie Moran, C.N.P., James Allied Health, Internal Medicine, Bone Marrow Aspiration and Biopsy, 7/1/2004 - 6/30/2006

Molly J. Moran, C.N.S., James Allied Health, Internal Medicine, PICC Line, 7/1/2004 - 6/30/2006

Peter Muscarella II, M.D., James Associate Attending, Surgery, Moderate Sedation and Holmium Laser, 7/1/2004 - 6/30/2006

Richard Nedelman, M.D., James Community Associate, Surgery, Laparoscopy, 7/1/2004 - 6/30/2006

Steve Nelson, M.D., James Associate, Attending, Internal Medicine, Moderate and Deep Sedation, 7/1/2004 - 6/30/2006

Charles W. Noble, M.D., James Community Associate, Internal Medicine, Moderate Sedation, 7/1/2004 - 6/30/2006

David Orsinelli, M.D., James Associate Attending, Internal Medicine, Moderate Sedation, 7/1/2004 - 6/30/2006

Richard O'Shaughnessy, M.D., James Associate Attending, Obstetrics/Gynecology, Surgical Yag Laser and Laparoscopy, 7/1/2004 - 6/30/2006

Rajendra Patel, M.D., James Community Associate, Internal Medicine, Moderate Sedation, 7/1/2004 - 6/30/2006

Vipul Patel, M.D., James Community Associate, Internal Medicine, Central arterial line placement, Lumbar puncture, Thoracentesis, Arthrocentesis and Paracentesis, 7/1/2004 - 6/30/2006

Sheryl Pfeil, M.D., James Associate Attending, Internal Medicine, Moderate Sedation, 7/1/2004 - 6/30/2006

Amy Pope-Harman, M.D., James Associate Attending, Internal Medicine, Moderate and Deep Sedation, 7/1/2004 - 6/30/2006

Moin Ranginwala, M.D., James Community Associate, Internal Medicine, Thoracentesis, Pulmonary function test performance, and interpret., 7/1/2004 - 6/30/2006

Mervyn Samuel, M.D., James Clinical Attending, Obstetrics/Gynecology, Laparoscopy, 7/1/2004 - 6/30/2006

Milroy Samuel, M.D., James Clinical Attending, Obstetrics/Gynecology, Laparoscopy, 7/1/2004 - 6/30/2006

George Sawaya, M.D., James Clinical Attending, Obstetrics/Gynecology, Laparoscopy, 7/1/2004 - 6/30/2006

Jason G. Sayat, M.D., James Associate Attending, Obstetrics/Gynecology, Laparoscopy, 7/1/2004 - 6/30/2006

Stephen Schaal, M.D., James Associate Attending, Internal Medicine, Moderate and Deep Sedation, 7/1/2004 - 6/30/2006

Jonathan A. Schaffir, M.D., James Associate Attending, Obstetrics/Gynecology, Laparoscopy and CO₂ Laser, 7/1/2004 - 6/30/2006

Rajul D. Shah, M.D., James Associate Attending, Radiology, Moderate Sedation, 7/1/2004 - 6/30/2006

Ronald Spier, M.D., James Community Associate, Surgery, Laparoscopy, 7/1/2004 - 6/30/2005

Steven Steinberg, M.D., James Associate Attending, Surgery, Moderate and Deep Sedation, 7/1/2004 - 6/30/2006

Sherry Stewart Harmon, C.N.P., James Allied Health, Internal Medicine, Prescriptive Authority, 7/1/2004 - 6/30/2006

Benjamin Sun, M.D., James Associate Attending, Surgery, Surgical Yag Laser, 7/1/2004 - 6/30/2006

December 3, 2004 meeting, Board of Trustees

- Fred B. Thomas, M.D., James Associate Attending, Internal Medicine, Moderate Sedation, 7/1/2004 - 6/30/2006
- Adam C. Tzagournis, James Associate Attending, Internal Medicine, Moderate Sedation, 7/1/2004 - 6/30/2006
- Patrick S. Vaccaro, M.D., James Associate Attending, Surgery, Moderate Sedation, 7/1/2004 - 6/30/2006
- Paul A. Weber, M.C., James Associate Attending, Ophthalmology, Ophthalmic Yag, Argon and Diode Lasers, 7/1/2004 - 6/30/2006
- Howard A. Werman, M.D., James Associate Attending, Emergency Medicine, Moderate and Deep Sedation, and Hyperbaric Medicine, 7/1/2004 - 6/30/2006
- John H. Winston III, M.D., James Associate Attending, Surgery, Moderate and Deep Sedation, 7/1/2004 - 6/30/2006
- Diana J. Angus, C.N.P., Allied Health, Internal Medicine, Prescriptive authority, 8/13/2004 - 6/30/2005
- Carol Amore, C.N.P., Allied Health, Internal Medicine, Prescriptive authority and Chemotherapy Administration, 9/28/2004 - 6/30/2005
- Mark Angelos, M.D., Associate Attending, Emergency Medicine, Hyperbaric Medicine, 9/28/2004 - 6/30/2005
- Peter Bauer, M.D., Associate Attending, Pathology, Anatomical Pathology (took over autopsy service), 9/28/2004 - 6/30/2005
- Michelle Brooker, C.N.P., Allied Health, Internal Medicine, Chemotherapy Administration, Bone Marrow Aspiration and Biopsy, Ommaya Reservoir, and Lumbar puncture (with or w/o intrathecal chemotherapy), 9/28/2004 - 6/30/2005
- Alan Burnette, P.A., Allied Health, Surgery, Surgical First Assist, 9/28/2004 - 6/30/2005
- Juan Crestanello, M.D., Associate Attending, Surgery, Laparoscopy, Thoracoscopy, and Moderate and Deep Sedation, 8/13/2004 - 6/30/2005
- Steven Davis, Jr., M.D., Associate Attending, Surgery, Laparoscopy, Thoracoscopy, and Moderate and Deep Sedation, 8/13/2004 - 6/30/2005
- Michael Dick, M.D., Associate Attending, Emergency Medicine, Hyperbaric Medicine, 9/28/2004 - 6/30/2005
- Jennifer Farroni, C.N.P., Allied Health, Internal Medicine, Prescriptive authority, 8/13/2004 - 6/30/2005
- Theodore Fraker, M.D., Associate Attending, Internal Medicine, Nuclear Cardiology, 9/28/2004 - 6/30/2005
- Thomas Gavin, M.D., Associate Attending, Emergency Medicine, Hyperbaric Medicine, 9/28/2004 - 6/30/2005
- Jennifer Glatz, C.N.P., Allied Health, Internal Medicine, Skin Punch Biopsy and Suturing, 9/28/2004 - 6/30/2005
- Diane Gorgas, M.D., Associate Attending, Emergency Medicine, Hyperbaric Medicine, 9/28/2004 - 6/30/2005
- Michael B. Green, M.D., Associate Attending, Internal Medicine, Venous line placement, Central line placement, Lumbar puncture, Thoracentesis, and Paracentesis, 8/13/2004 - 6/30/2005
- Jay S. Grider, D.O., Ph.D., Associate Attending, Anesthesiology, Adv Pain Procedures, 9/28/2004 - 6/30/2005
- Colin Kaide, M.D., Associate Attending, Emergency Medicine, Hyperbaric Medicine, 9/28/2004 - 6/30/2005
- Henry Kaufman, M.D., Attending, Surgery, Moderate sedation, Laparoscopy, and Thoracoscopy, 8/13/2004 - 6/30/2005
- Henry Kaufman, M.D., Attending, Surgery, Flexible Endoscopy, EGD, Flexible Sigmoidoscopy, Colonoscopy, Colonoscopic Polypectomy, PEG, sophageal Dilatation, and Endoscopic Electrosurgery (electrocautery, heater coagulation), 9/28/2004 - 6/30/2005
- Gordon Kim, D.O., Associate Attending, Internal Medicine, Moderate Sedation, 9/28/2004 - 6/30/2005
- Elizabeth Kinkade, C.N.P., Allied Health, Anesthesiology, Epidural analgesia and Opioid titration, 8/13/2004 - 6/30/2005

December 3, 2004 meeting, Board of Trustees

Richard Limperos, M.D., Associate Attending, Emergency Medicine, Hyperbaric Medicine, 9/28/2004 - 6/30/2005

Maria Lucarelli, M.D., Associate Attending, Internal Medicine, Moderate and Deep Sedation, 9/28/2004 - 6/30/2005

Daniel Martin, M.D., Associate Attending, Emergency Medicine, Hyperbaric Medicine, 9/28/2004 - 6/30/2006

Carolyn McClerking, C.N.P., Allied Health, Internal Medicine, Prescriptive authority, Skin Punch Biopsy and Suturing, Bone Marrow Aspiration and Biopsy, 9/28/2004 - 6/30/2006

James O'Brien, M.D., Associate Attending, Internal Medicine, Moderate Sedation, 9/28/2004 - 6/30/2006

Thomas E. Reilley, D.O., Associate Attending, Anesthesiology, Critical Care Medicine, 9/28/2004 - 6/30/2006

Gwendolyn Sowa, M.D., Ph.D., Associate Attending, Physical Medicine/Rehabilitation, Epidural Injection and Fluoroscopic Guided Selective Nerve Root Injection, 9/28/2004 - 6/30/2006

Michael Sayre, M.D., Associate Attending, Emergency Medicine, Hyperbaric Medicine, 9/28/2004 - 6/30/2006

Michael Waite, M.D., Associate Attending, Emergency Medicine, Hyperbaric Medicine and Moderate and Deep Sedation, 9/28/2004 - 6/30/2006

Ashwin Balagopal, M.D., Associate Attending, Internal Medicine, Moderate Sedation, 11/23/2004 - 10/31/2006

Jennifer Daniels, M.D., Community Associate, Surgery, Laparoscopy, 11/23/2004 - 10/31/2006

Charles Eisenbeis, M.D., Attending, Internal Medicine, Skin Punch Biopsy, 11/23/2004 - 3/31/2005

Sherif Farag, M.D., Attending, Internal Medicine, Skin Punch Biopsy, 11/23/2004 - 3/31/2005

Jennifer Glatz, C.N.P., Allied Health, Internal Medicine, Prescriptive Authority, 10/8/2004 - 3/31/2005

Matthew Goldblatt, M.D., Associate Attending, Surgery, Moderate and Deep Sedation, 11/23/2004 - 3/31/2005

Andrew Grainger, M.D., Attending, Internal Medicine, Skin Punch Biopsy, 11/23/2004 - 3/31/2005

Michael Grever, M.D., Attending, Internal Medicine, Skin Punch Biopsy, 11/23/2004 - 3/31/2005

William Hicks, M.D., Attending, Internal Medicine, Skin Punch Biopsy, 11/23/2004 - 3/31/2005

Kari Kendra, M.D., Attending, Internal Medicine, Skin Punch Biopsy, 11/23/2004 - 3/31/2005

Eric Kraut, M.D., Attending, Internal Medicine, Skin Punch Biopsy, 11/23/2004 - 3/31/2005

Thomas Lin, M.D., Attending, Internal Medicine, Skin Punch Biopsy, 11/23/2004 - 3/31/2005

Guido Marcucci, M.D., Attending, Internal Medicine, Skin Punch Biopsy, 11/23/2004 - 10/31/2005

Paul Monk, M.D., Attending, Internal Medicine, Skin Punch Biopsy, 11/23/2004 - 10/31/2005

Gregory Otterson, M.D., Attending, Internal Medicine, Skin Punch Biopsy, 11/23/2004 - 10/31/2005

Sam Penza, M.D., Attending, Internal Medicine, Skin Punch Biopsy, 11/23/2004 - 10/31/2005

Pierluigi Porcu, M.D., Attending, Internal Medicine, Skin Punch Biopsy, 11/23/2004 - 10/31/2005

Chris Rhoades, M.D., Attending, Internal Medicine, Skin Punch Biopsy, 11/23/2004 - 10/31/2005

Sumit Seth, M.D., Attending, Internal Medicine, Skin Punch Biopsy, 11/23/2004 - 3/31/2006

Manisha Shah, M.D., Attending, Internal Medicine, Skin Punch Biopsy, 11/23/2004 - 3/31/2006

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Charles Shapiro, M.D., Attending, Internal Medicine, Skin Punch Biopsy,
11/23/2004 - 3/31/2006

Michael Stanek, D.O., Attending, Internal Medicine, Skin Punch Biopsy,
11/23/2004 - 3/31/2006

Miguel Villalona-Calero, M.D., Attending, Internal Medicine, Skin Punch Biopsy,
11/23/2004 - 3/31/2006

Kenneth Vitellas, M.D., Associate Attending, Radiology, Moderate Sedation,
11/23/2004 - 3/31/2006

Yiqing Xu, M.D., Attending, Internal Medicine, Skin Punch Biopsy, 11/23/2004 -
3/31/2006

Medical Staff—Requests for Change in Category (The Arthur G. James Cancer
Hospital and Richard J. Solove Research Institute)

Jeffrey Bell, M.D., Allied Health Professional, OB/Gyn, Community Associate to
Community Oncologist Attending, 7/1/2004 - 6/30/2005

Gary Reid, M.D., OB/Gyn, Community Associate to Community Oncologist
Attending, 7/1/2004 - 6/30/2006

RESOLUTIONS IN MEMORIAM

Resolution No. 2005-56

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and
that the President be requested to convey copies to the families of the deceased.

Hugo Bekker

The Board of Trustees of The Ohio State University expresses its sorrow upon
the death on October 11, 2004, of Hugo Bekker, Professor Emeritus in the
Department of Germanic Languages and Literatures.

Professor Bekker was born in the Netherlands and lived there until 1943, when
he was forced to flee during the German occupation. His family had hidden Jews
from the Nazis and, when two of his brothers were arrested by the Gestapo and
sent to camps, he went into hiding. After a year underground, he made his way
across France and the Pyrenees to Lisbon. He went to Great Britain and served
there in the Dutch Naval Air Force until the end of the war. In 1947, he crossed
the Atlantic, spent three years in South America and the Caribbean, and then
one year in Alaska.

In 1951 he arrived in Michigan, where he earned a B.A. degree from Calvin
College and an M.A. degree and his Ph.D. degree from the University of
Michigan. His graduate study included a year at the University of Vienna. After
three years of teaching at the University of Oregon, he came to Ohio State in
1961 and was named professor in 1965. In 1971, he was a visiting professor at
the University of British Columbia.

Professor Bekker's first scholarship dealt with the literature of the Dutch and
German Baroque, above all with poetry. This interest continued in the form of a
book on the Silesian poet and dramatist Andreas Gryphius, numerous articles,
translations of Dutch writers and theologians, and a long list of reviews. But he
soon turned as well to the poetry of the Middle Ages. Here he published four
books, including Nibelungenlied: A Literary Analysis (1971), which the Modern

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Language Association named the best book of literary criticism for the year. The list of articles and reviews continued, he supervised the doctoral dissertations of more than a dozen students, and he was active in the Center for Medieval and Renaissance Studies.

Much of Professor Bekker's later enthusiasm was for postwar poetry, and a book on the early poems of the German-speaking Romanian Holocaust survivor Paul Celan is to appear soon. Before his death, he was again at work on a monumental anthology of Crusader lyrics from all languages that he had collected, translated, and annotated over the years. And in his last months he himself wrote some 60 poems – he called them “vignettes” – about the invasion of and war in Iraq.

On his 65th birthday, Professor Bekker was honored by his students and colleagues with the Festschrift Fide et Amore.

Hugo Bekker was a wide-ranging and multifaceted creative scholar and a caring, curious, scrupulously honest man. He was an exemplary humanist and a great asset to the University.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Hugo Bekker its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Ellin E. Carter

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 5, 2004, of Ellin E. Carter, Assistant Professor Emeritus in the Department of English.

Professor Carter received her B.S. degree from the University of Transylvania and her M.A. degree from the University of Chicago. She began teaching for The Ohio State University Department of English in 1964 and was an instructor for 20 years. In 1986, she was promoted to the rank of Assistant Professor. She retired in 1991 with the title of Assistant Professor Emeritus.

A gifted poet, Professor Carter taught courses in poetry writing and was an important voice in various poetry writing groups throughout the Columbus community. For several years she wrote a poetry column for *The Columbus Dispatch*. In addition to writing poetry, Professor Carter edited several chapbooks. Her fiction caught the eye of Ursula Le Guin, her instructor for a summer workshop. Perhaps a line Professor Carter wrote herself best describes her broader interests: “I am interested in communality, in women as sources of support, and in feminism that merges with worldwide humanitarian and environmental concerns. The challenge is to suggest such topics while writing poetry that is still personal and intense, not didactic or vague.”

Professor Carter's awards and honors included the Ohioana Citation for Distinguished Service to Ohio in the field of literature from The Ohioana Library Association. Never one to shun service, she founded the Women's Poetry Workshop and coordinated the Community of Poets Award, a project that received a great deal of deserved media attention. Professor Carter was also active as a member and playwright in the Footsteps of the Elders drama group.

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On behalf of the University community, the Board of Trustees expresses to the family of Professor Ellin E. Carter its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

Carl L. Marshall

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 21, 2004, of Carl L. Marshall, Professor Emeritus in the Department of English.

Dr. Marshall was a 1935 graduate of Wilberforce University, where he was a member of the Alpha Phi Alpha Fraternity. He served as a first lieutenant in the European Theatre during World War II, where he was awarded the Purple Heart. Professor Marshall received his master's and doctoral degrees from The Ohio State University in 1947 and 1954, respectively.

After receiving his degrees, Professor Marshall taught at and was chairman of the Departments of English at Arkansas AM&N College in Pine Bluff, Arkansas (which has since merged with the University of Arkansas); and Southern University in Baton Rouge, Louisiana. From 1969 to 1971, Dr. Marshall taught on The Ohio State University Lima campus, where he coordinated and supervised the English program. From 1971 until his retirement in 1982, Dr. Marshall worked at OSU's main campus in Columbus, teaching such classes as Introduction to African-American Literature, Colonial and U.S. Literature to 1865, and U.S. Literature from 1865 -1914.

As a colleague, Professor Marshall will be remembered for his cooperation and gracious attitude; as a professor, he will be remembered as someone who was very respectful of students.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Carl L. Marshall its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Marion L. Smith

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 5, 2004, of Marion L. Smith, Associate Dean Emeritus in the College of Engineering.

Marion Smith was a registered professional engineer in the State of Ohio. He held a Bachelor of Science degree in mechanical engineering from Louisiana State University and a Master of Science degree in mechanical engineering from The Ohio State University. Marion Smith was Associate Dean of Academic Affairs for the College of Engineering for 26 years and was responsible for administering the academic programs and student services for undergraduate students in engineering.

Prior to becoming associate dean, he taught thermodynamics, fluid dynamics, combustion, internal combustion engines, gas turbines, and other thermal systems courses in the Department of Mechanical Engineering. He conducted research in the fields of combustion, internal combustion engines, and heat transfer. He co-authored the textbook Fuels and Combustion, along with 22 technical articles and reports.

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In 1977 Professor Smith was chosen "Technical Person of the Year" by the Columbus Technical Council. He was listed in Who's Who in Science and Engineering, 1st Edition, and Who's Who in America, 54th Edition. He received numerous awards and recognitions, including NCEE Distinguished Service Certificate, Outstanding Mechanical Engineer Alumni Award, OSU Distinguished Service Award, and the Engineering Meritorious Service Citation.

Marion Smith was an active participant in engineering affairs throughout Ohio and the United States during most of his career. In 1970, he was the only engineering representative on the Advisory Committee to Review the Master Plan for Technical Education in Ohio. He also served on the Advisory Committee on Engineering Technology Education and Commission on Proprietary Education for the Ohio Board of Regents. He was an American Society of Mechanical Engineers Fellow, and a member of many organizations, including the National Society of Professional Engineers, the Society of Automotive Engineers, the American Society of Engineering Education, the Ohio Academy of Science, Tau Beta Pi, and Phi Kappa Phi. His military and industrial experience included U.S. Army Ordnance where he received a Victory Medal and an Occupation Medal, Japan; Cooper Bessemer Corporation; and the DuPont Corporation.

Professor Smith retired from the College of Engineering in 1984 but continued to be an ambassador for the College by aiding in the recruitment of student athletes and creating "The Marion L. Smith Scholarship Fund" to benefit OSU engineering students. He was a role model to many and a true OSU Buckeye fan.

On behalf of the University community, the Board of Trustees expresses to the family of Marion L. Smith its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2005-57

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for October 2004 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of October 2004 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2005-58

Synopsis: The report on the receipt of gifts and the summary for October 2004 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund, The Ohio State University Foundation, and internally designated funds; and

WHEREAS this report includes the establishment of The Donald A. Senhauser, M.D., Chair in Pathology and The Lowber B. Strange Designated Professorship in Civil Engineering; and

WHEREAS this report includes the establishment of nineteen (19) new named endowed funds, the amendment of one (1) endowed professorship, and the amendment of three (3) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund, The Ohio State University Foundation, and internally designated funds during the month of October 2004 be approved.

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TOTAL UNIVERSITY PRIVATE SUPPORT

July through October
2003-04 Compared to 2002-03

GIFT RECEIPTS BY DONOR TYPE

<u>Change</u>	<u>Donors</u>			<u>Dollars</u>		
	<u>2004</u>	<u>2003</u>	<u>% Change</u>	<u>2004</u>	<u>2003</u>	<u>%</u>
Individuals:						
Alumni (Current Giving)	16,086	17,801	(10)	\$6,504,878	\$6,596,761	(1)
Alumni (From Bequests)	<u>110</u>	<u>20</u>	450	<u>11,435,076</u>	<u>4,207,600</u>	172
Alumni Total	16,196	17,821	(9)	\$17,939,954	\$10,804,361	66
Non-Alumni (Current Giving)	14,491	13,248	9	\$4,412,486	\$10,098,221	(56)
Non-Alumni (From Bequests)	<u>87</u>	<u>24</u>	263	<u>4,207,564</u>	<u>2,859,639</u>	47
Non-Alumni Total	14,578	13,272	10	\$8,620,050	\$12,957,860	(33)
Individual Total	30,774	31,093	(1)	\$26,560,005	\$23,762,221	12 ^A
Corporations/Corp/Foundations	1,261	1,264	0	\$9,511,411	\$8,842,183	8
Private Foundations	167	170	(2)	\$8,739,119	\$4,092,346	114 ^B
Associations and Other Organizations	<u>486</u>	<u>603</u>	(19)	<u>\$790,166</u>	<u>\$2,118,993</u>	(63) ^C
Total	32,688	33,130	(1)	\$45,600,701*	\$38,815,743	17

* New national reporting standards require that irrevocable trusts be counted at present value. If counted at face value as in July-October 2003, the total would be \$45,892,554 (18% increase).

NOTES:

- A Individual giving is up 12% due to several large bequest receipts including \$7.7 M in July from Carl and Grace Baldwin for Ophthalmology Research, \$1.5 M in August from Martha O'Hara Schoenlaub for Cancer Hospital, and \$1.5 M in September from Wilma H. Schiermeier for Wetlands Complex.
- B Private Foundation giving is up 114% due to \$6 M in October from Max Fisher Foundations for FCOB.
- C Associations and Other Organizations are down in gifts over \$25 K compared with the same period last year; 5 gifts over \$25 K this year compared with 11 gifts over \$25 K last year totaling \$1.3 M.

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GIFT RECEIPTS BY PURPOSE

	Dollars July through October		
	<u>2003-04</u>	<u>2002-03</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$14,081,356	\$13,425,346	5
Faculty Support	\$3,864,205	\$2,209,546	75 ^A
Program Support	\$20,949,179	\$13,761,126	52 ^B
Student Financial Aid	\$5,101,218	\$5,121,890	0
Unrestricted-Colleges/Departments	\$1,440,512	\$1,653,705	(13)
Unrestricted-University	<u>\$164,231</u>	<u>\$2,644,130</u>	(94) ^C
Total	\$45,600,701	\$38,815,743	17

NOTES:

- A Faculty Support is up 75% largely due to one \$1.5 M bequest receipt.
- B Program Support is up 52% due to a \$1.9 M bequest receipt and a \$1.2 M gift of securities during 1st quarter FY05.
- C Unrestricted – University is down 94% due to one bequest receipt for \$2.35 M in August 2003.

GIFT ADDITIONS TO ENDOWMENT

	Dollars July through October		
	<u>2003-04</u>	<u>2002-03</u>	<u>% Change</u>
	\$27,193,363	\$7,912,090	244*

- * \$14 M in bequest receipts received during 1st quarter FY05 compared with \$1.2 M in bequest receipts during same period 2003.

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THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Chair</u>			
The Donald A. Senhauser, M.D., Chair in Pathology (Used for a chair position in the Department of Pathology; provided by gifts from University Pathology Services and from other friends and colleagues of Dr. Senhauser)	\$1,507,626.00	\$1,507,626.00	
<u>Establishment of Named Designated Professorship</u>			
The Lowber B. Strange Designated Professorship in Civil Engineering (Used to provide teaching and research support for an outstanding member of the civil engineering faculty; provided by earnings generated by the Lowber B. Strange Fund in Civil Engineering)			
<u>Establishment of Named Endowed Funds</u>			
The Sharp Memorial Scholarship Fund (Used to provide scholarships for undergraduate students from Ohio in the College of Biological Sciences, the College of Mathematical and Physical Sciences or the College of Food, Agricultural, and Environmental Sciences; provided by gifts from the estates of Silas A. Sharp and Katheryn D. Sharp)	\$659,785.00	\$659,785.00	
The Dr. Robert H. Lawrence, Jr. Endowed Fund in Chemistry (Used to support the discretionary activities in the Department of Chemistry that honor the memory of Dr. Robert H. Lawrence, Jr.; provided by gifts from friends and family of the late Robert H. Lawrence, Jr. and funds from the College of Mathematical and Physical Sciences)	\$74,005.00	\$74,005.00	
E. Willard and Ruby S. Miller Endowment Fund (Used to provide awards to outstanding graduate students on the basis of success in writing, scholarship, and potential to become leaders as professional geographers; provided by gifts from the estates of E. Willard and Ruby S. Miller)	\$70,050.00	\$70,050.00	
Social Work Faculty and Staff Endowment Fund (Used to provide scholarship assistance to graduate and undergraduate students in the College of Social Work; provided by gifts from faculty, staff and friends of the College of Social Work) (grandfathered)	\$17,328.00	\$17,328.00	
<u>Change in Description of Named Endowed Fund</u>			
The Greater Cleveland Alumni Scholars Endowment Fund			

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THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Change in Name and Description of Named Endowed Professorship</u>			
From: David A. Rismiller Professorship in Management			
To: David A. Rismiller Professorship in Finance			
<u>Establishment of Named Endowed Funds</u>			
The Michael R. Breschi Memorial Lacrosse Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of an undergraduate student-athlete who is a member of the varsity men's lacrosse team; provided by gifts from friends and family of Joe and Julie Breschi)	\$104,084.00	\$104,084.00	
The Rausch-Spiers Family Scholarship Fund (Used to provide need-based scholarships for undergraduate or graduate students; provided by a gift from Jack and Rita Spiers)Broughton)	\$65,681.45	\$65,681.45	
The Battelle Endowment Fund for Children's Programming at The James (Used to support The Children's Program at The James Cancer Hospital and Solove Research Institute; provided by a gift from Battelle Memorial Institute of Columbus, Ohio)	\$52,500.00	\$52,500.00	
The Margaret McCollister Plum Endowed Scholarship Fund in Nursing (Used to support research projects and/or scholarships for students in the College of Nursing; provided by gifts from Charles Walden Plum)	\$51,000.00	\$51,000.00	
The Diane R. Sauer Undergraduate Scholarship Fund (Used to support undergraduate scholarships in The Max M. Fisher College of Business; provided by a gift from Diane R. Sauer)	\$36,635.00	\$36,635.00	
The Leo A. Paquette Endowment Fund (Used to support the annual Paquette Symposium in Organic Chemistry; provided by gifts from Professor Paquette's former students and postdoctorals)	\$33,942.35	\$33,942.35	
The Carole and David Schuller Endowment Fund for Head and Neck Cancer Research (Used to support research in the field of head and neck cancer at The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by an anonymous gift in honor of David E. Schuller, M.D.)	\$32,210.00	\$32,210.00	

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The Charles W. Hinson Endowment Fund (Used to support research in the prevention, care, and treatment of cancer at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by gifts from family, friends, and colleagues in memory of Charles W. Hinson)	\$27,633.00	\$27,633.00
The Dr. Arthur W. and Janice M. Fetter Endowed Scholarship Fund (Used to support a third-year student, based on academic merit and leadership, who is interested in specializing in equine medicine/surgery or veterinary pathology; provided by gifts from Dr. Arthur W. and Janice M. Fetter)	\$27,250.00	\$27,250.00
Mr. and Mrs. James M. Wiles Cancer Research Endowment Fund (Used to support cancer research in the Human Cancer Genetics Program at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by a gift from James M. and Paula D. Wiles)	\$25,100.00	\$25,100.00
The Bordelove Family Endowment Fund in Memory of Louise Bordelove (Used to support the Clinical Cancer Genetics Counseling Program at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by a gift from the Bordelove family in memory of their beloved wife and mother Louise Bordelove)	\$25,000.00	\$25,000.00
Marsha S. Bosley Memorial Scholarship Fund in Journalism (Used to provide a scholarship to a student majoring in journalism; provided by a gift from the estate of Marsha S. Bosley)	\$25,000.00	\$25,000.00
The Stanley D. and Joan H. Ross Dodd Hall Endowment Fund (Used to support programs and activities, as well as purchase equipment and supplies, for the patients, patient families, and staff of Dodd Hall; provided by a gift from Stanley D. Ross and Joan H. Ross)	\$25,000.00	\$25,000.00
The Tony Tripodi Endowed Research and Scholarship Award Fund (Used to provide a research or scholarship award to a faculty member in the College of Social Work; provided by gifts from Dr. Tony Tripodi)	\$25,000.00	\$25,000.00
The Donald E. Yingst Endowment Fund for Cancer Research (Used to support chemoprevention and bionutrition research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by a gift from Donald E. Yingst)	\$25,000.00	\$25,000.00

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Change in Name of Named Endowed Fund

From: Garstick Scholarship Fund
To: The James R. and Vylee Calvert Garstick
Permanent Endowed Scholarship Fund in
the College of Optometry

Change in Name and Description of Named Endowed Fund

From: The Ohio State University College of
Nursing Alumni Scholarship Fund
To: The College of Nursing Scholarship Fund

Total \$2,909,829.80 \$2,909,829.80

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Chair

The Donald A. Senhauser, M.D., Chair in Pathology

The University Pathology Services Professorship was established July 12, 2002, by the Board of Trustees of The Ohio State University with gifts from University Pathology Services and from other friends and colleagues of Donald A. Senhauser, M.D. (Professor Emeritus and former Chairperson of the Department of Pathology) of Columbus, Ohio. The name and description were revised April 4, 2003, to The Donald A. Senhauser, M.D., Professorship in Pathology. The funding level has been reached and this chair was established December 3, 2004, in honor of Dr. Senhauser in recognition of his dedication and leadership as a physician and educator in the Department of Pathology.

The annual distribution from this fund shall be used for a chair position in the Department of Pathology, supporting the advancement of medical knowledge in the field through research, education, and improved patient care outcomes. This chair shall also encourage participation and leadership in national and international organizations; and the promotion of the Department of Pathology and the discipline of pathology.

The appointment to the Donald A. Senhauser, M. D., Chair shall be made at the recommendation of the senior vice president for Health Sciences and dean of the College of Medicine and Public Health, with a preference for the chairperson of the Department of Pathology in the College of Medicine and Public Health. The activities of the holder of the chair shall be reviewed no less than every five years by the senior vice president and dean to determine compliance with the intent of the donors as well as the academic and research standards of the University.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health and by

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the chairperson of the Department of Pathology. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$1,507,626.00

Establishment of Named Designated Professorship

The Lowber B. Strange Designated Professorship in Civil Engineering

The Lowber B. Strange Designated Professorship in Civil Engineering was established December 3, 2004, by the Board of Trustees of The Ohio State University with a sufficient portion of the annual earnings generated by the Lowber B. Strange Fund in Civil Engineering.

Mr. Lowber B. Strange was a graduate of the College of Engineering (B.S., 1927) who had an accomplished professional career. He contributed much of his accumulated assets to The Ohio State University Development Fund for the advancement of civil engineering. His generosity is recognized and appreciated.

Annual transfers from the Strange Fund shall provide teaching and research support for an outstanding member of the civil engineering faculty in the Department of Civil and Environmental Engineering and Geodetic Science.

Appointment for the five-year term will be recommended by the Department chairperson in consultation with the dean of the College of Engineering. The recommendation requires the approval of the executive vice president and provost and the Board of Trustees. The professorship holder may be reappointed to another term following favorable assessment of the holder's academic and research performance and accomplishments during an appointment.

Establishment of Named Endowed Funds

The Sharp Memorial Scholarship Fund

The Sharp Memorial Scholarship Fund was established December 3, 2004, by the Board of Trustees of The Ohio State University with gifts from the estates of Silas A. Sharp (B.A., 1936) and Katheryn D. Sharp of San Antonio, Texas.

The annual distribution from this fund shall be used to provide scholarships for undergraduate students from Ohio who are enrolled in the College of Biological Sciences, the College of Mathematical and Physical Sciences, or the College of Food, Agricultural, and Environmental Sciences. Scholarship awards shall be based on financial need. Scholarships may be renewed for up to four years of a recipient's undergraduate program of study contingent upon maintenance of a 3.0 grade point average and annual application through The Ohio State University's Office of Student Financial Aid. Scholarships will be awarded in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion shall be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of Student Financial Aid. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$659,785.00

The Dr. Robert H. Lawrence, Jr. Endowed Fund in Chemistry

The Dr. Robert H. Lawrence, Jr. Endowed Fund in Chemistry was established December 3, 2004, by the Board of Trustees of The Ohio State University with gifts from friends and family of the late Robert H. Lawrence, Jr. (Ph.D., 1965) and funds from the College of Mathematical and Physical Sciences.

The annual distribution from this fund shall be used to support the discretionary activities in the Department of Chemistry that honor the memory of Dr. Robert H. Lawrence, Jr., a 1965 graduate of the Department and the first African-American astronaut. The fund shall be used for, but not limited to, attracting distinguished visitors and lecturers, covering travel expenses for faculty and students, and/or providing general scholarship support for students. Expenses will be initiated at the discretion of the chairperson of the Department of Chemistry with approval from the dean of the College of Mathematical and Physical Sciences. Scholarships will be awarded in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the chairperson of the Department of Chemistry and the dean of the College of Mathematical and Physical Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$74,005.00

E. Willard and Ruby S. Miller Endowment Fund

The E. Willard and Ruby S. Miller Endowment Fund was established December 3, 2004, by the Board of Trustees of The Ohio State University with gifts from the estates of E. Willard (Ph.D., 1942) and Ruby S. Miller.

The annual distribution from this fund shall be awarded to outstanding graduate students on the basis of demonstrated success in writing, scholarship, and potential to become leaders as professional geographers – a model set by Will and Ruby, partners in life and work, and continually active as teachers, scholars, and explorers.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the chairperson of the Department of Geography. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$70,050.00

Social Work Faculty and Staff Endowment Fund

The Social Work Faculty and Staff Endowment Fund was established December 3, 2004, by the Board of Trustees of The Ohio State University with gifts from faculty, staff, and friends of the College of Social Work.

The annual distribution from this fund shall be used to provide scholarship assistance to graduate and undergraduate students in the College of Social Work. Scholarship recipients will be selected by the dean and associate dean of the College of Social Work in consultation with the Office of Student Financial Aid. In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean and associate dean of the College of Social Work. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$17,328.00

Change in Description of Named Endowed Fund

The Greater Cleveland Alumni Scholars Endowment Fund

The Greater Cleveland Alumni Scholars Endowment Fund was established June 6, 1997, by the Board of Trustees of The Ohio State University with gifts from The Greater Cleveland Alumni Club, individual alumni, and friends. The description was revised December 3, 2004.

The annual distribution from this fund shall be used to provide scholarship support under the guidelines of the Alumni Scholars Program for one or more qualified graduates of Cuyahoga County High Schools. The Greater Cleveland Alumni Club will recommend recipients. The Office of Student Financial Aid will make the final decision.

Scholarships will not be awarded until the endowment principal reaches \$100,000.00. Until that time, the annual distribution shall be reinvested in the endowment principal. After the endowment principal reaches \$100,000.00, half of the annual distribution will be used for scholarships and half will be reinvested in the endowment principal.

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In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the president of the Greater Cleveland Alumni Club. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

THE OHIO STATE UNIVERSITY FOUNDATION

Change in Name and Description of Named Endowed Professorship

David A. Rismiller Professorship in Finance

The David A. Rismiller Faculty Fellowship Fund was established October 3, 1997, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of The Max M. Fisher College of Business from contributions from David A. Rismiller (B.S.Bus.Adm., 1958). The required funding level was reached, and the professorship was established on September 5, 2003, as the David A. Rismiller Professorship in Management. The name and description were revised December 3, 2004.

The annual distribution from this fund shall be used to support the David A. Rismiller Professorship in Finance. Awards from the fund shall be made by the dean of the Fisher College of Business.

The faculty member's expertise shall be in banking or a related financial service industry not including insurance. The recruited or retained candidate will be selected by the dean with appropriate faculty input. The term of the professorship will not exceed five years.

When the finalist for the professorship is determined, the Rismiller family (including David Jr. and Cynthia) will be notified. The family will be invited to campus annually to meet with the professor. The holder of the professorship will provide an annual report to the dean regarding his or her activities in teaching, research, and service, with a copy to David Sr., David Jr., and Cynthia.

When the professorship is not filled, the annual income will be reinvested into the principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated

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by the Board of Trustees and Foundation Board as recommended by the dean of the Fisher College of Business. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Establishment of Named Endowed Funds

The Michael R. Breschi Memorial Lacrosse Scholarship Fund

The Michael R. Breschi Memorial Lacrosse Scholarship Fund was established December 3, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends and family of Joe and Julie Breschi of Columbus, Ohio.

The annual distribution from this fund shall be used to supplement the student grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of the varsity men's lacrosse team, with a preference given to a student-athlete who embodies the qualities of leadership and integrity. The recipient will be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$104,084.00

The Rausch-Spiers Family Scholarship Fund

The Rausch-Spiers Family Scholarship Fund was established December 3, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Jack and Rita (B.S.Ed., 1967) Spiers.

The annual distribution from this fund shall be used for need-based scholarships for undergraduate or graduate students. First priority should be given to graduates of high schools from Monroe County, Ohio. Second priority should be given to graduates of Pickerington City High Schools. Scholarship recipients will be selected by the director of the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Office of Student Financial Aid. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$65,681.45

The Battelle Endowment Fund for Children's Programming at The James

The Battelle Endowment Fund for Children's Programming at The James was established December 3, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Battelle Memorial Institute of Columbus, Ohio.

The annual distribution from this fund shall be used to support The Children's Program at The James Cancer Hospital and Solove Research Institute as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. In any given year the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$52,500.00

The Margaret McCollister Plum Endowed Scholarship Fund in Nursing

The Margaret McCollister Plum Endowed Scholarship Fund in Nursing at the College of Nursing at The Ohio State University was established December 3, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Charles Walden Plum (B.S.Bus.Adm., 1936) of Dallas, Texas.

The annual distribution from this fund shall be used to support a research project and/or scholarships for students at The Ohio State University College of Nursing, with preference given to students who are planning to specialize in geriatrics, especially psychogeriatrics (including Alzheimer's). All scholarships are to be awarded on greatest financial need and can be used for recruiting purposes.

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The dean, assistant dean, and the associate deans of the College of Nursing and the faculty who teach the geriatric and psychology nursing courses shall select the recipients in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean, assistant dean, and the associate deans of the College of Nursing and the appropriate faculty who teach geriatric and psychology nursing courses. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$51,000.00

The Diane R. Sauer Undergraduate Scholarship Fund

The Diane R. Sauer Undergraduate Scholarship Fund was established December 3, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Diane R. Sauer (B.S.Bus.Adm., 1975).

The annual distribution from this fund shall be used to support undergraduate scholarships in The Max M. Fisher College of Business. Special preference shall be given to students from Trumbull and Mercer Counties, with added consideration to traditionally underrepresented groups that enhance diversity of the College. The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy. Selection shall be made by the College scholarship committee in consultation with the Office of Student Financial Aid.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of The Max M. Fisher College of Business and the director of Undergraduate Programs at The Max. M. Fisher College of Business. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$36,635.00

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The Leo A. Paquette Endowment Fund

The Leo A. Paquette Endowment Fund was established December 3, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Professor Paquette's former students and postdoctorals on the occasion of his 70th birthday.

The annual distribution from this fund shall be used to support the annual Paquette Symposium in Organic Chemistry to be held on a Saturday during the autumn or spring quarter. The symposium will feature a theme in the area of synthetic organic chemistry to be chosen by the members of the Division of Organic Chemistry in the Department of Chemistry, who will invite four leaders in that field of study to present a lecture on their research. Following a Friday evening reception with faculty, there will be two morning lectures and two afternoon lectures. Each year, the chairperson of the Department of Chemistry shall appoint an individual to organize the symposium beginning in 2005.

If the principal of this fund should reach the level required to establish a professorship (\$750,000), then the endowment should be revised to create the Leo A. and Estelle I. Paquette Professorship in the Department of Chemistry, to be awarded to an individual whose primary research focus is organic chemistry.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the chairperson of the Department of Chemistry. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$33,942.35

The Carole and David Schuller Endowment Fund for Head and Neck Cancer Research

The Carole and David Schuller Endowment Fund for Head and Neck Cancer Research at The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established December 3, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with an anonymous gift in honor of David E. Schuller, M.D. (M.D., 1970, *cum laude*).

The annual distribution from this fund shall be used to support research in the field of head and neck cancer at The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by

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the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$32,210.00

The Charles W. Hinson Endowment Fund

The Charles W. Hinson Endowment Fund was established December 3, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from family, friends, and colleagues in memory of Charles W. Hinson.

The annual distribution from this fund shall be used to support research in the prevention, care, and treatment of cancer at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$27,633.00

The Dr. Arthur W. and Janice M. Fetter Endowed Scholarship Fund

The Dr. Arthur W. and Janice M. Fetter Endowed Scholarship Fund was established December 3, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Arthur W. (D.V.M., 1961; M.S., 1968; Ph.D., 1970) and Janice M. Fetter of Chino Valley, Arizona.

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The annual distribution from this fund shall be used to support one (1) third-year student per year based on academic merit and leadership who is interested in specializing in equine medicine/surgery or veterinary pathology. First preference will be given to students in these counties in the following order: Holmes, Wayne, Knox, Richland, and Tuscarawas. If a student from one of the following counties is not eligible, then the most qualified student from any county in Ohio may be selected. Recipients will be selected by the dean of the College of Veterinary Medicine, in consultation with the associate dean for Student Affairs, and the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by dean of the College of Veterinary Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$27,250.00

Mr. and Mrs. James M. Wiles Cancer Research Endowment Fund

The Mr. and Mrs. James M. Wiles Cancer Research Endowment Fund for the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established December 3, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from James M. and Paula D. Wiles of Columbus, Ohio.

The annual distribution from this fund shall be used to support cancer research in the Human Cancer Genetics Program at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation

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with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,100.00

The Bordelove Family Endowment Fund in Memory of Louise Bordelove

The Bordelove Family Endowment Fund in Memory of Louise Bordelove for the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established December 3, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the Bordelove family in memory of their beloved wife and mother, Louise Bordelove.

The annual distribution from this fund shall be used to support the Clinical Cancer Genetics Counseling Program at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

Marsha S. Bosley Memorial Scholarship Fund in Journalism

The Marsha S. Bosley Memorial Fund in Journalism was established December 3, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Marsha S. Bosley (B.A.Jour., 1976).

The annual distribution from this fund shall provide a scholarship to a student majoring in journalism. The award is available to highly-committed students in their junior year who are working on *The Lantern* and have a minimum grade

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point average of 3.25. Scholarship recipients shall be selected by the dean of the College of Social and Behavioral Sciences in consultation with the director of the School of Communication. Scholarships will be awarded in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Social and Behavioral Sciences in consultation with the director of the School of Communication. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

The Stanley D. and Joan H. Ross Dodd Hall Endowment Fund

The Stanley D. and Joan H. Ross Dodd Hall Endowment Fund was established December 3, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Stanley D. Ross (B.A., 1962) and Joan H. Ross of Columbus, Ohio.

This fund is created in appreciation of the care given to their son, Malcolm, who was a patient in 1993 while recovering from an auto racing accident.

The annual distribution from this fund shall be used to support programs and activities, as well as purchase equipment and supplies, for the patients, patient families, and staff of Dodd Hall. Expenditures shall be approved by the Dodd Hall administrator and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the Dodd Hall administrator and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

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The Tony Tripodi Endowed Research and Scholarship Award Fund

The Tony Tripodi Endowed Research and Scholarship Award Fund was established December 3, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Tony Tripodi, dean of the College of Social Work (1995-2005), of Columbus, Ohio.

The annual distribution from this fund shall be used for an endowed research or scholarship award to a faculty member in The Ohio State University College of Social Work.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Social Work. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

The Donald E. Yingst Endowment Fund for Cancer Research

The Donald E. Yingst Endowment Fund for Cancer Research at the Comprehensive Cancer Center was established December 3, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Donald E. Yingst (B.S.Agr., 1962; M.S., 1964) of Dublin, Ohio.

The annual distribution from this fund shall be used to support chemoprevention and bionutrition research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$25,000.00

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Change in Name of Named Endowed Fund

The James R. and Vylee Calvert Garstick Permanent Endowed Scholarship Fund in the College of Optometry

The James R. and Vylee Calvert Garstick Permanent Endowed Scholarship Fund in the College of Optometry was established August 30, 1995, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. James R. Garstick (B.S. in Optometry, 1951).

The name and description of the fund were revised on September 22, 2004, to the Garstick Scholarship Fund. The name was further revised again on December 3, 2004.

Dr. Garstick, a private practitioner in Columbiana, Ohio, for 42 years and a 1951 graduate of The Ohio State University College of Optometry has enjoyed a very successful and productive career as an optometrist. His work ethic and very strong commitment to continuing education in the field of optometry has set an example for future students to emulate.

Ninety percent (90%) of the annual distribution from this fund shall be used to award scholarships to third- and fourth-year optometry students with financial need. The award recipients shall be selected by the dean of the College of Optometry or designee in consultation with the University Committee on Student Financial Aid. The remaining distribution shall be reinvested to the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Optometry. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Change in Name and Description of Named Endowed Fund

The College of Nursing Scholarship Fund

The Ohio State University College of Nursing Alumni Scholarship Fund was established March 2, 2001, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the College of Nursing Alumni Society and alumni and friends of the College of Nursing. The name and description were revised December 3, 2004.

The annual distribution from this fund shall be used for nursing scholarships for nursing students in either undergraduate or graduate programs in the College of Nursing who demonstrate academic excellence and/or who have financial need. Selection of the recipients will be made by the dean of the College of Nursing upon recommendation of the assistant dean and academic counselor in consultation with the Office of Student Financial Aid.

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In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean, fiscal officer, and associate deans of the College of Nursing. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

MODIFICATION OF ENDOWMENT INVESTMENTS POLICY

Resolution No. 2005-59

Synopsis: Modification of the University's Endowment Investments Policy is proposed.

WHEREAS the Board of Trustees, from time to time, has adopted specific policies governing various aspects of the management of the investment portfolios; and

WHEREAS there is a need for comprehensive policies to provide for the overall management of the investment portfolios; and

WHEREAS there is need to modify the comprehensive policies on occasion:

NOW THEREFORE

BE IT RESOLVED, That the following modified Endowment Investments Policy for The Ohio State University be adopted by the Board of Trustees; and

BE IT FURTHER RESOLVED, That the Treasurer be, and hereby is, directed and authorized to implement and administer this policy and to manage the Endowment investment portfolio in accordance with this Policy.

(See Appendix XVIII for background information, page 519.)

APPROVAL TO ENTER INTO DESIGN AND CONSTRUCTION CONTRACTS

Resolution No. 2005-60

APPROVAL TO ENTER INTO DESIGN CONTRACTS

930 Kinnear Road – Dyno Test Cell
960 Kinnear Road – IT and Service Center Relocation
Drinko Hall – 2nd and 3rd Floor Classroom Corridors
Multi-Disciplinary Research Building – Phase II Feasibility Study
Physical Facilities Renovation Projects

APPROVAL TO ENTER INTO CONSTRUCTION CONTRACTS

650 Ackerman Road – OSUMC Offices
Atwell Hall – Roof Replacement
Bricker Hall – Roof Replacement
Clinical Space Reorganization – 9th Floor Doan Renovations
Dreese Lab – Clean Room Renovation
Hopkins Hall Phase II
Mansfield Campus – Administration and Classroom Building
McCracken Power Plant – Metering Upgrade
McCracken Power Plant – Spill Control
Morrison Tower/Siebert Hall Fire Alarm System Upgrade
OARDC Food Animal Research Program Building Roof Replacement
Parker Food Science and Technology – Natural Resources/Microbio Lab
Smith Laboratory Renovation for Jennings Hall Swing Space

Synopsis: Authorization to enter into design contracts for the 930 Kinnear Road – Dyno Test Cell, 960 Kinnear Road – IT and Service Center Relocation, Drinko Hall – 2nd and 3rd Floor Classroom Corridors, Multi-Disciplinary Research Bldg – Phase II Feasibility Study, and Physical Facilities Renovation Projects and enter into construction contracts for the 650 Ackerman Road – OSUMC Offices, Atwell Hall – Roof Replacement, Bricker Hall – Roof Replacement, Clinical Space Reorganization – 9th Floor Doan, Dreese Lab – Clean Room Renovation, Hopkins Hall Phase II, Mansfield Campus – Administration and Classroom Building, McCracken Power Plant – Metering Upgrade, McCracken Power Plant – Spill Control, Morrison Tower/Siebert Hall Fire Alarm System Upgrade, OARDC Animal Roof Replacement, Parker Food Science and Technology – Natural Resources/Microbio Lab and Smith Laboratory Renovation for Jennings Hall Swing Space projects is requested.

WHEREAS the University desires to modify the existing engine test cell room and add a new test cell room to accommodate a new 300hp test cell at 930 Kinnear Road; and

WHEREAS the preliminary project cost estimate is approximately \$125,800, with funding to be provided by an Ohio Board of Regents Action Fund grant; and

WHEREAS the University desires to redesign existing office space within 960 Kinnear Road to accommodate the Student Affairs Office of Information Technology and 24-hour Service Center; and

WHEREAS the preliminary project cost estimate is approximately \$1,000,000, with funding to be provided by University bond proceeds with debt service to be paid by Student Affairs; and

WHEREAS the University desires to update the second and third floor main classroom corridors and provide seating and study spaces in Drinko Hall; and

WHEREAS the preliminary project cost estimate is approximately \$345,250, with funding to be provided by the Moritz College of Law; and

WHEREAS the University desires to conduct a feasibility study regarding the development of a multi-disciplinary research facility; and

WHEREAS the preliminary feasibility study cost estimate is approximately \$200,000, with funding to be provided by central funds; and

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WHEREAS the University desires to enter into the design phase only for the redesign of existing office space within the McCracken Power Plant, Central Services Building and Maintenance Building for the relocation of office and shop space; and

WHEREAS the preliminary design cost is estimated to be approximately \$300,000 to \$500,000, and the project cost estimate is estimated to be \$3,000,000 to \$5,000,000, with funding to be provided by University bond proceeds; and

WHEREAS the University desires to renovate office space at 650 Ackerman for the University Medical Center so they can relocate from leased office space at Battelle; and

WHEREAS the construction document project cost is \$5,527,057, with funding to be provided by University Hospitals funds; and

WHEREAS the University desires to replace the roof on Atwell Hall; and

WHEREAS the construction document project cost is \$515,430, with funding to be provided by HB 675; and

WHEREAS the University desires to complete a roof replacement on Bricker Hall; and

WHEREAS the construction document project cost is \$720,010, with funding to be provided by HB 675; and

WHEREAS the University desires to add additional code compliance measures and user requests as part of the Clinical Space Reorganization – 9th Floor Doan Renovation project; and

WHEREAS the construction document project cost have increased to \$986,040, and funding is to be provided by University Hospitals funds; and

WHEREAS the University desires to renovate the clean room in Drees Laboratory to increase utilization; and

WHEREAS the construction document project cost is \$235,045, with funding to be provided by an Ohio Board of Regents grant; and

WHEREAS the University desires to replace the existing plumbing and exhaust systems in Hopkins Hall as part of the second phase of the renovations project of the ceramics facilities; and

WHEREAS the construction document project cost is \$1,334,816, with funding to be provided by HB 675; and

WHEREAS the University desires to construct a new administration and classroom building on the Mansfield Campus to house all student services, administrative functions and classrooms for the Education and Music departments; and

WHEREAS the construction document project cost have increased to \$8,390,642, with funding to be provided by Mansfield development funds (\$1,890,642) and University bond proceeds (\$6,500,000), with debt service to be paid by Mansfield Campus; and

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WHEREAS the University desires to replace selective meters critical to operations and emissions compliance for the McCracken Power Plant; and

WHEREAS the construction document project cost is \$250,000, with funding to be provided by HB 675; and

WHEREAS the University desires to provide adequate containment and storm water removal to meet EPA requirements on the McCracken Power Plant; and

WHEREAS the construction document project cost is \$300,000, with funding to be provided by HB 675; and

WHEREAS the University desires to replace the current fire alarm system in Morrison Tower and Siebert Hall and replace light fixtures and suspended ceiling in residence rooms in Siebert Hall; and

WHEREAS the construction document project cost is \$894,949, with funding to be provided by University bond proceeds, with debt service to be paid by Student Affairs; and

WHEREAS the University desires to replace the existing roof covering on the Food Animal Health Research Program at OARDC; and

WHEREAS the construction document project cost is \$89,954, with funding to be provided by HB 675; and

WHEREAS the University desires to complete the unfinished portion of Parker Hall basement to meet the needs in Soil Microbial Ecology; and

WHEREAS the construction document project cost is \$1,250,000, with funding to be provided by the College of Food, Agriculture and Environmental Sciences; and

WHEREAS the University desires to renovate office and teaching lab space in Smith Laboratory to accommodate swing space for the Jennings Hall project; and

WHEREAS the construction document project cost is \$900,000, with funding to be provided by the College of Biological Sciences:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into design contracts for the 930 Kinnear Road – Dyno Test Cell, 960 Kinnear Road – IT and Service Center Relocation, Drinko Hall – 2nd and 3rd Floor Classroom Corridors, Multi-Disciplinary Research Building – Phase II Feasibility Study, and Physical Facilities Renovation Projects in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into construction contracts, if satisfactory bids are received, for the 650 Ackerman Road – OSUMC Offices, Atwell Hall – Roof Replacement, Bricker Hall – Roof Replacement, Clinical Space Reorganization – 9th Floor Doan, Dreese Lab – Clean Room Renovation, Hopkins Hall Phase II, Mansfield Campus – Administration and Classroom Building, McCracken Power Plant – Metering Upgrade, McCracken Power Plant – Spill Control, Morrison Tower/Siebert Hall Fire Alarm System Upgrade, OARDC Food Animal Research Program Building Roof Replacement, Parker Food Science and Technology – Natural Resources/Microbio Lab and Smith

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Laboratory Renovation for Jennings Hall Swing Space projects in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time.

(See Appendix XIX for background information and maps, page 529.)

EASEMENT

Resolution No. 2005-61

VILLAGE OF APPLE CREEK

WATER DISTRIBUTION LINES
OHIO AGRICULTURAL RESEARCH AND DEVELOPMENT CENTER
APPLE CREEK, OHIO

Synopsis: Authorization to grant the Village of Apple Creek an easement to continue two water distribution lines and provide water to OARDC facilities in Apple Creek is proposed.

WHEREAS the Village of Apple Creek has requested renewal of an easement over an area 20 feet wide by 1,043 feet long and an area 20 feet wide by 450 feet long for a term of 25 years to continue two water distribution lines and provide water to Ohio Agricultural Research and Development facilities in Apple Creek; and

WHEREAS this easement will serve OARDC property in Apple Creek and the consideration for granting the easement will be \$1,000; and

WHEREAS the appropriate University offices have determined that the grant of this easement is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to approve and the Ohio Department of Administrative Services be authorized to grant this easement to the Village of Apple Creek upon such terms and conditions as are in the best interest of the University.

(See Appendix XX for map, page 573.)

**CONTINUED SUPPORT FOR THE
SCIENCE AND TECHNOLOGY CAMPUS CORPORATION**

Resolution No. 2005-62

Synopsis: Approval of the revised comprehensive development plan submitted by the Science and Technology Campus Corporation and extension of the Development Agreement, the leases of University property, and continuation of operating support to the STC Corporation, is proposed.

December 3, 2004 meeting, Board of Trustees

WHEREAS to foster and encourage research linkages with business, governmental entities, and non-profit organizations, increase the range of educational experiences available to students, increase research support from industry, provide greater economic enrichment and development within Ohio, and enhance the University's preeminence as a major research institution, the Board of Trustees in December 1997 approved the development plan submitted by the Science and Technology Campus Corporation (then the Research Park Corporation) and authorized the University to enter into a Development Agreement with the STC Corporation (also known as SciTech); and

WHEREAS the STC Corporation has undertaken significant facility improvements, provided for the careful stewardship of University property, pursued programs and initiatives to support and enhance the success of SciTech, and secured cooperative support from the City of Columbus and the State of Ohio in these efforts; and

WHEREAS the Development Agreement between the University and the STC Corporation executed in May 1998 provides for triennial reporting to the Board of Trustees on the Corporation's activities:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the revised comprehensive development plan submitted by the Science and Technology Campus Corporation and authorizes the University to extend, for an additional three-year period, the term of the Development Agreement and the terms of the leases of real property to the STC Corporation; and

BE IT FURTHER RESOLVED, That the University is hereby authorized to provide to the STC Corporation an amount not to exceed \$300,000 per year, for the years 2004-05 through 2006-07, for operating support, premised on the understanding that the STC Corporation will continue to seek appropriate sustained support from the City of Columbus and the State of Ohio; and

BE IT FURTHER RESOLVED, That through June 30, 2007, the University will continue its existing loan commitments to STC Corporation on the terms set forth in Resolutions 2002-152 and 2001-36, and the Development Agreement of May 1998; and

BE IT FURTHER RESOLVED, That these actions and authorizations are subject to the provisions of the Development Agreement of May 1998 and such amendments of that Agreement as are necessary to protect the best interests of the University.

AUDIT COMMITTEE GUIDELINES

Resolution No. 2005-63

Synopsis: Approval of Guidelines for the Board's Audit Committee is proposed.

WHEREAS in May 2003 the Board of Trustees established a separate audit committee of the Board; and

WHEREAS with the increasing importance of the audit process to ensure oversight of the University's operations, the Board of Trustees established a Charter for the Department of Internal Audit in November 2004 and is now proposing a set of guidelines for the Board's Audit Committee:

NOW THEREFORE

December 3, 2004 meeting, Board of Trustees

BE IT RESOLVED, That the Board of Trustees hereby adopts the attached Guidelines for the Audit Committee.

(See Appendix XXI for background information, page 575.)

Upon motion of Mrs. Davidson, seconded by Mr. McFerson, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Slane, McFerson, Borror, O'Dell, Hicks, and Judge Duncan, Meses. Longaberger, Hendricks, and Davidson.

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**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS COMMERCIAL PAPER NOTES
SERIES F OF THE OHIO STATE UNIVERSITY**

Resolution No. 2005-64

Synopsis: Providing for the authorization, issuance and sale of General Receipts Commercial Paper Notes, Series F (the "Series F Notes"), in a principal amount determined as provided herein, for the purpose of (i) financing the Series F Project, as defined herein, (ii) currently refunding certain outstanding Obligations, and (iii) paying costs and expenses associated with the issuance of the Series F Notes, authorizing a Series F Supplement to Amended and Restated Trust Indenture to secure the payment of Debt Service Charges on the Series F Notes is requested.

WHEREAS pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code (the "Act"), as enacted under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, The Ohio State University (the "University"), a state university of the State of Ohio (the "State"), created and existing under Chapter 3335 of the Ohio Revised Code, is authorized and empowered, among other things, (a) to issue, as provided herein, Obligations [as defined in the Indenture (as hereinafter defined)] of the University to pay the costs of certain capital facilities defined as "facilities" in the Act (and herein called "University Facilities") and to refund, fund or retire bonds and other obligations previously issued for such purpose; (b) to pledge to the payment of the Obligations all or a specified part of the gross amount of the General Receipts of the University (as defined in the Original Indenture) in priority to all other expenses, claims or payments; (c) to covenant, as herein provided, that the University will make, fix, adjust and collect the fees, rates, rentals, charges and other items comprising General Receipts to produce General Receipts sufficient at all times to meet Debt Service Charges (as defined in the Amended and Restated Trust Indenture described below) on the Obligations, to establish and to maintain the required reserves and meet other requirements herein provided; and (d) to provide for a trust indenture and make further provisions for securing the payment of the Debt Service Charges; and

WHEREAS the University, by resolution adopted by its Board of Trustees (the "Board") on November 1, 1985 (the "Series 1985 A Bond Resolution") and by a Trust Indenture dated as of November 15, 1985 (the "Original Indenture") between the University and The Huntington National Bank, as Trustee (the "Trustee"), provided for the issuance from time to time of General Receipts Bonds of the University; and

December 3, 2004 meeting, Board of Trustees

WHEREAS the University, pursuant to the terms of a resolution adopted by the Board on October 1, 1999 (the "1999 General Bond Resolution") amended and restated the Original Indenture, as supplemented in accordance with the terms thereof by entering into the Amended and Restated Trust Indenture dated as of December 1, 1999 (the "Amended and Restated Trust Indenture") with the Trustee; and

WHEREAS the Amended and Restated Indenture provides that Obligations, as defined therein, may be issued pursuant to the terms thereof, with each such issue to be authorized by a Series Resolution, as defined therein, adopted by the Board and secured pursuant to the terms of a Supplemental Indenture, as defined in the Amended and Restated Indenture with respect to such issue (the Amended and Restated Indenture and all Supplemental Indentures thereto being collectively referred to herein as the "Indenture"); and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 A General Receipts Bond Resolution"), the Indenture and the First Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "First Supplement") between the University and the Trustee, \$83,585,000 The Ohio State University General Receipts Bonds, Series 1999 A (the "Series 1999 A Bonds") of which authorized amount \$75,190,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 B General Receipts Bond Resolution"), the Indenture and the Second Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "Second Supplement") between the University and the Trustee, \$108,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 1999 B (the "Series 1999 B Bonds") of which authorized amount \$84,900,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on November 2, 2001 (the "Series 2001 General Receipts Bond Resolution"), the Indenture and the Series 2001 Supplement to Amended and Restated Trust Indenture, dated as of November 1, 2001 (the "Series 2001 Supplement") between the University and the Trustee, \$79,950,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 2001 (the "Series 2001 Bonds") of which amount \$76,950,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on November 2, 2001 (the "Series D Commercial Paper Resolution") the Indenture and Series D Supplement to the Amended and Restated Trust Indenture, dated as of December 1, 2001 (the "Series D Supplement") between the University and the Trustee, \$175,000,000 The Ohio State University General Receipts Commercial Paper Notes, Series D (the "Series D Notes" of which amount \$60,700,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on November 2, 2001 (the "Series 2002 A General Receipts Bond Resolution"), the Indenture and the Series 2002 A Supplement to Amended and Restated Trust Indenture, dated as of January 1, 2002 (the "Series 2002 A Supplement") between the University and the Trustee, \$150,515,000 The Ohio State University General Receipts Bonds, Series 2002 A (the "Series 2002 A Bonds"), of which amount \$145,075,000 is issued and outstanding as of the date hereof; and

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WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on February 7, 2003 (the "Series 2003 A General Receipts Bond Resolution"), the Indenture and the Series 2003 A Supplement to Amended and Restated Trust Indenture, dated as of February 1, 2003 (the "Series 2003 A Supplement") between the University and the Trustee, \$53,000,000 The Ohio State University General Receipts Bonds, Series 2003 A (the "Series 2003 A Bonds"), of which amount \$50,965,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on May 30, 2003 (the "Series E Commercial Paper Resolution"), the Indenture and the Series E Supplement to Amended and Restated Trust Indenture, dated as of June 1, 2003 (the "Series E Supplement") between the University and the Trustee, of up to \$430,000,000 in principal amount of The Ohio State University General Receipts Bonds, Series 2002 A (the "Series E Notes"); and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on July 11, 2003 (the "Series 2003 B General Receipts Bond Resolution"), the Indenture and the Series 2003 B Supplement to Amended and Restated Trust Indenture (the "Series 2003 B Supplement") between the University and the Trustee, of not to exceed \$175,000,000 The Ohio State University General Receipts Bonds, Series 2003 B (the "Series 2003 B Bonds"); and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the Resolution adopted by the Board on July 11, 2003 (the "Series 2003 C Bonds") in the principal amount not to exceed \$130,000,000 for the purpose of (A) the acquisition, construction and installation of the Series 2003 Project, as defined herein; (B) currently refunding a portion of certain outstanding Obligations (the "Refunded Obligations"); and (C) paying costs and expenses associated with the issuance of the Series 2003 C Bonds; and

WHEREAS the Board has determined that it is in the best interests of the University to authorize the issuance of The Ohio State University General Receipts Commercial Paper Notes, Series F (the "Series F Notes") in the principal amount not to exceed \$348,500,000 for the purpose of paying or reimbursing a portion of the costs of the Series F Project, currently refunding certain outstanding Obligations and paying costs and expenses associated with the issuance of the Series F Notes; and

WHEREAS the University desires to make provisions for the issuance of the Series F Notes and for the payment of the Debt Service Charges thereon and the securing thereof by this Resolution and a Series F Supplement to Amended and Restated Trust Indenture (the "Series F Supplement") herein authorized.

NOW THEREFORE

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY as follows:

Section 1. Definitions and Interpretations. All words and terms defined in the Original Indenture and all interpretations therein provided shall have in this Resolution the same meanings, respectively, and be subject to the same interpretations as therein provided or used, unless the context or use clearly indicates another or different meaning or intent. Terms not appearing in the Original Indenture but used herein and not previously defined herein are defined as follows:

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"Book Entry System" means a system under which (i) a physical Series F Note certificate in fully registered form is issued for each maturity of Series F Notes only to a Depository or its nominee as registered owner, with the Series F Notes held by and immobilized in the custody of the Depository; and (ii) a book entry record, maintained by and the responsibility of the Depository and not maintained by or the responsibility of the University or the Trustee, is the record that identifies, and records the transfer of the interests of, the owners of book entry interests in such Series F Notes.

"Costs of Issuance Account" means the Costs of Issuance Account in the Note Program Fund created pursuant to Section 5 hereof.

"Dealer" or "Dealers" means J.P. Morgan Securities Inc. or any successor or assigns permitted under the Dealer Agreement, and any other dealer or dealers for the Series F Notes which is appointed by the University and has entered into a Dealer Agreement.

"Dealer Agreement" or "Dealer Agreements" means respectively, the Dealer Agreement, by and between the University and J.P. Morgan Securities Inc., and any and all modifications, alterations, amendments and supplements thereto, and such agreement and any other Dealer Agreement entered into by the University and a Dealer or Dealers with respect to the Series F Notes.

"Depository" means any securities depository that is a clearing agency under federal law operating and maintaining, with its participants or otherwise, a Book Entry System to record ownership of book entry interests in obligations, and includes and means initially as to the Series F Notes, The Depository Trust Company (a limited purpose trust company), New York, New York.

"Note Proceedings" means the 1999 General Bond Resolution, the Amended and Restated Indenture, any applicable Series Resolution, any applicable Supplemental Indenture and any other resolutions and agreements and amendments of and supplements to the foregoing, or any combination thereof, authorizing or providing for the terms and conditions applicable to, or providing for the security or sale of Notes, and the terms contained in such Notes.

"Note Program Fund" means the Note Program Fund held by the University and created in Section 5 hereof to be funded with the proceeds of the Series F Notes.

"Offering Memorandum" means, as to the Series F Notes, the Offering Memorandum relating to the original issuance of the Series F Notes, authorized pursuant to Section 3 hereof.

"Project Account" means the Project Account in the Note Program Fund created pursuant to Section 5 hereof.

"Series F Commercial Paper Resolution" or "this Resolution" as used herein means this Resolution, as the same may be amended from time to time.

"Series F Project" means the Series F Project as described on Attachment I to the Series D Supplement, which exhibit is incorporated by reference herein with the same force and effect as if fully set forth herein.

"Trustee" means The Huntington National Bank, and any successor Trustee as determined or designated under or pursuant to the Original Indenture.

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Any reference herein to the University, the Board, or to any members or officers thereof or to other public boards, commissions, departments, institutions, agencies, bodies, entities or officers, shall include those which succeed to their functions, duties or responsibilities pursuant to or by operation of law or who are lawfully performing their functions. Any reference to a section or provision of the Ohio Revised Code or to the laws of Ohio shall include such section or provision and such laws as from time to time amended, modified, revised, supplemented, or superseded, provided that no such amendment, modification, revision, supplementation, or supersession shall alter the obligation to pay the Debt Service Charges in the amount and manner, at the times, and from the sources provided in the 1999 General Bond Resolution, this Resolution, the Amended and Restated Trust Indenture and the Series F Supplement, except as otherwise herein permitted.

Unless the context shall otherwise indicate, words importing the singular number shall include the plural number, and vice versa, and the terms "hereof," "herein," "hereby," "hereto," "hereunder," and similar terms, mean this Resolution.

Section 2. Authorization; Terms; Pledge; and Covenant.

(a) Authorization. The Bonds, to be designated and known as The Ohio State University General Receipts Commercial Paper Notes, Series F, shall be issued pursuant to and as authorized by the Act, Section 2i of Article VIII of the Ohio Constitution, the 1999 General Bond Resolution, this Resolution, the Amended and Restated Trust Indenture and the Series F Supplement for the purposes of financing a portion of the costs of the University Facilities comprising the Series F Project, currently refunding certain outstanding Obligations and paying costs and expenses incidental to the issuance of the Series F Notes. The Series F Notes shall be issued from time to time as provided in the Series F Supplement to finance and refinance the cost of the Series F Project. Proceeds of the Series F Notes may also be used to pay maturing Series F Notes.

(b) Form and Numbering. The Series F Notes shall be issued only as fully registered Bonds. The Series F Notes shall be numbered as determined by the Trustee.

(c) Denominations and Dates. The Notes shall be dated the date of their respective authentication and issuance; shall be issued in registered form, registered to bearer (subject to Section 2.06 of the Series F Supplement) unless otherwise designated by a Dealer; and shall be issued in denominations of \$100,000 and in integral multiples of \$1,000 in excess thereof.

(d) Principal Amount. The Series F Notes shall be issued in the principal amount specified in the Series F Supplement, which amount shall not exceed \$348,500,000.

(e) Delivery and Execution. The Treasurer is hereby authorized to make the necessary arrangements with the Dealer to establish the date, location, procedures and conditions for the delivery of the Series F Notes to the Dealer and to take all steps as necessary to effect due execution, authentication and delivery of the Series F Notes to the Dealer or to the persons whom the Dealer directs under the terms of this Resolution. The Series F Notes shall be signed by the Treasurer in his official capacity (provided that such signature may be a facsimile) and may bear the corporate seal of the University or a facsimile thereof.

(f) Interest. The Series F Notes shall bear interest from their respective dates, payable at maturity, at a rate not to exceed 12% per annum (calculated on the basis of a year consisting of 365/366 days and actual number of days elapsed).

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(g) Maturities. The Series F Notes (i) shall mature not more than 270 days after their respective dates, but in no event later than June 15, 2006, and (ii) shall mature on a Business Day. The stated interest rate, maturity date and other terms of each Note, so long as not inconsistent with the terms of the Series F Supplement, shall be as set forth in the Instructions delivered to the Trustee pursuant to Section 2.07 of the Series F Supplement.

(h) Redemption. The Series F Notes shall not be subject to redemption prior to their stated maturities.

(i) Appointment of Dealer. The Board hereby appoints J.P. Morgan Securities Inc. as the initial Dealer for the Series F Notes.

(j) Security. As provided in the Indenture, there is hereby pledged to the security of the Series F Notes, (i) the gross amount of General Receipts of the University (subject to the provisions for the partial release of a pledge of General Receipts contained in Section 4.10 of the Amended and Restated Trust Indenture), and (ii) the moneys contained in the Special Funds. Anything else to the contrary in the Indenture, the 1999 General Bond Resolution, the Series F Supplement or this Resolution notwithstanding, the Series F Notes shall not have access to, any claim upon or be secured by, the Bond Reserve Fund or the Note Program Fund. This pledge of General Receipts shall be on parity with expenses, claims and payments relating to other Parity Obligations (as defined in the Original Indenture) and in priority to all other expenses, claims and payments of the University. In accordance with the Act, all the General Receipts or portions thereof are immediately subject to the lien of the pledge upon receipt thereof by the University; provided, however, the lien of such pledge shall not attach to any Series F Notes the proceeds of which are to be used to provide the Series F Project until the Board of Regents of the State shall have approved such pledge.

For the further security of the Series F Notes, and any Obligations, the University hereby covenants with the bondholders and the Trustee that so long as any Series F Notes or Obligations are outstanding, the University shall fix, make, adjust and collect fees, rates, rentals, charges, and other items of General Receipts, as will produce at all times General Receipts sufficient (i) to pay Debt Service Charges when due, (ii) together with other moneys lawfully available therefor, to pay all costs and expenses required to be paid under the Note Proceedings, and (iii) together with other moneys lawfully available therefor, to pay all other costs and expenses necessary for the proper maintenance and successful and continuous operation of the University.

(k) Payment, Places of Payment, and Paying Agents. The principal of and interest on the Series F Notes shall be paid in federal or other immediately available funds in such coin or currency of the United States of America as, at the respective times of payment, is legal tender for the payment of public and private debts. The principal of and interest on the Series F Notes shall be payable at the principal office of the Trustee on or before the close of business on any Business Day upon which such Series F Notes have become due and payable, provided that such Series F Notes are presented and surrendered on a timely basis. Upon presentation of such a Series F Note to the Trustee no later than 3:00 p.m. (Columbus, Ohio time) on a Business Day, payment for such Series F Note shall be made by the Trustee in immediately available funds on such Business Day. If a Series F Note is presented for payment after 3:00 p.m. (Columbus, Ohio time) on a Business Day, payment therefor shall be made by the Trustee on the next succeeding Business Day, without the accrual of additional interest thereon.

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(l) Book Entry. Subject to the provisions of the immediately following paragraph, the Series F Notes shall be issued only to a Depository for holding in a Book Entry System in accordance with the provisions of Section 2.06 of the Series F Supplement. Those Series F Notes shall be registered in the name of the Depository or its nominee, as registered owner, and immobilized in the custody of the Depository; and shall not be transferable or exchangeable, except for transfer to another Depository or to another nominee of a Depository, without further action by the University. Each maturity of the Series F Notes shall be evidenced by a single certificate in the aggregate principal amount of the Series F Notes maturing on such maturity date.

If any Depository determines not to continue to act as a Depository for the Series F Notes for holding in a Book Entry System, the University may attempt to have established a securities depository/Book Entry System relationship with another qualified Depository. If the University does not or is unable to do so, the University, after making provision for notification of the owners of book entry interests by appropriate notice to the then Depository and any other arrangements it deems necessary, shall permit the withdrawal of the Series F Notes from the Depository, and authenticate and deliver the Series F Note certificates, in fully registered form to the assigns of the Depository or its nominee (if such Bond was held by a nominee), all at the cost and expense (including costs of printing or otherwise preparing and delivering replacement Series F Notes) of the Bondholders.

Section 3. Offering Memorandum. It is determined hereby that the manner of sale and the terms of the Series F Notes, as provided in this Resolution and the Series F Supplement, are consistent with all legal requirements and will carry out the public purposes of the Act.

The use and distribution of the Offering Memorandum relating to the Series F Notes substantially in the form now on file with the Board by the Dealer is hereby approved and authorized. The Board has not confirmed, and assumes no responsibility for, the accuracy, sufficiency or fairness of any statements in the Offering Memorandum contained in Appendix B thereto.

Section 4. Allocation of Proceeds. The proceeds from the sale of the Series F Notes, including any accrued interest, shall be allocated, deposited and applied as follows:

(i) To the Bond Fund, accrued interest, if any, received on the sale of the Series F Notes; and

(ii) To the Note Program Fund, created pursuant to Section 5 of this Resolution, the entire remaining amount of the proceeds of the Series C Notes, to be applied to the purposes of that Fund, including transfers from that Fund authorized by the Act and to pay costs and expenses associated with the issuance of the Series F Notes.

Section 5. Note Program Fund. There is hereby created a fund to be maintained in the custody of the Treasurer and designated the "Note Program Fund." The Note Program Fund shall be funded from the proceeds of the sale of the Series F Notes. Such proceeds shall be used for the payment or reimbursement of a portion of the costs and expenses relating to the Series F Project and costs and expenses associated with the issuance of the Series F Notes. The Note Program Fund shall not constitute a Special Fund and shall not be pledged to the payment of Debt Service Charges.

Moneys held in the Note Program Fund, including all investment earnings thereon, pending disbursement from the Note Program Fund shall be invested in Authorized Investments specified in Section VIII of the Non-Endow-

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ment Investments Policy of the University, as the same may be amended from time to time. The University may establish such accounts in the Note Program Fund as are necessary or desirable to carry out the requirements of the Series F Supplement.

The Treasurer shall maintain such books and records with respect to disbursements from the Note Program Fund so as to enable the Treasurer to determine the name of any payee of any such disbursement, the date on which such disbursement occurred, the amount of such disbursement and the purpose for which such disbursement was made. Investment earnings on the funds on deposit in the Note Program Fund may, at the discretion of the University, be paid to the Trustee for deposit in the Bond Service Account in the Bond Fund to be used to pay Debt Service Charges on the Series F Notes.

There shall be established in the Note Program Fund the following accounts:

(i) The Costs of Issuance Account, from which shall be paid the costs of issuance of the Series F Notes;

(ii) The Redemption Account, from which shall be disbursed such portion of the proceeds as shall be necessary to retire such portion of the General Receipts Commercial Paper Notes, Series C; and

(iii) The Project Account, from which shall be disbursed such portion of the proceeds of the Series F Notes necessary to pay costs of the Series F Project, currently refunding certain outstanding Obligations and paying costs and expenses associated with the issuance of the Series F Notes. The Treasurer shall designate in writing, either in the Series F Supplement or otherwise, the allocation of the proceeds of the Series F Notes to each of the foregoing accounts.

Upon the determination by the Treasurer that the costs incurred in connection with any item listed in Section 1 hereof in the definition of Series F Project (each a "Component") to be paid from the Note Program Fund have been paid in full or provision for such payment has been made, the University may use any remaining moneys on deposit in the Note Program Fund with respect to such Component to fund the costs of other Components or to fund the costs of additional projects constituting University Facilities (each, an "Additional Project"). Moneys remaining on deposit in the Note Program Fund after the completion of the Series F Project and any Additional Projects shall be used by the University in any manner which, in the opinion of Bond Counsel, shall be permissible under the Act and the Code.

Section 6. Application of Bond Proceeds. The University covenants that the use of the proceeds of the Series F Notes will be restricted in such manner and to such extent, if any, as may be necessary, after taking into account reasonable expectations at the time of delivery of and payment for the Series F Notes, so that the Series F Notes will not constitute "arbitrage bonds" under Sections 103(c) and 148 of the Code. The Treasurer of the University, or any other officer having responsibility with respect to the issuance of the Series F Notes, alone or in conjunction with any other officer or employee of or consultant to the University, will give an appropriate certificate of the University for inclusion in the transcript of proceedings for the Series F Notes setting forth the reasonable expectations of the University regarding the amount and use of those proceeds and the facts and estimates on which they are based, all as of the date of original delivery of and payment for the Series F Notes.

The University further covenants that it will take all actions required to maintain the exclusion from gross income for purposes of federal income taxation

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of interest on the Series F Notes and will not take or permit to be taken, any actions which would adversely affect such exclusion under the provisions of the Code that apply to the Series F Notes; and the Chairman or the Treasurer and other appropriate officers are hereby authorized to take such actions and give such certifications as may be appropriate to assure such exclusion from gross income of interest on the Series F Notes.

Section 7. Series F Supplement and Other Documents. In order to better secure the payment of the Debt Service Charges as the same shall become due and payable, the Chairman, the President of the University, the Secretary of the Board and the Treasurer, or any one or more of them, are authorized and directed to execute, acknowledge and deliver to the Trustee and the Dealer, in the name and on behalf of the University and pursuant to the Indenture, the Series F Supplement and the Dealer Agreement, respectively, each in substantially the form submitted to this Board, or to such officers on behalf of this Board and the University, and such documents are hereby approved, with such changes therein as are not substantially adverse to the University and as may be permitted by the Act and approved by the officers executing the same on behalf of the University. The Series F Supplement shall also include the form of the Series F Notes (the "Form of Note"), attached thereto as Exhibit A. The execution of such documents by any of the officers shall conclusively evidence that the officers and the Board approve such changes and that such changes are not substantially adverse to the University.

Each of such officers is further authorized to execute and deliver on behalf of the University such other certificates, documents and instruments as are necessary in connection with the acts authorized by this Resolution.

Section 8. Open Meeting Determination. It is found and determined that all formal actions of the Board concerning and relating to the adoption of this Resolution were adopted in an open meeting of the Board, and that all deliberations of the Board and of any of its committees that resulted in such formal action were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section 9. Effective Date. This Resolution shall take effect and be in force immediately upon its adoption.

Upon motion of Ms. Hendricks, seconded by Mr. Hicks, the Board of Trustees adopted the foregoing resolution with eight affirmative votes, cast by Messrs. Slane, McFerson, O'Dell, Hicks, and Judge Duncan, Mses. Longaberger, Hendricks, and Davidson, and one abstention cast by Mr. Borrer.

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STUDENT LEADERSHIP PRESENTATION

Mr. William H. Hall:

Madame Chair and members of the Board, I am pleased to introduce our three student government presidents. They will each give a brief presentation on what their organizations have been doing and their future plans. I think you will be as impressed as I am with the variety and quality of their efforts. We are extremely fortunate to have active student government leaders and very dedicated leaders who truly represent their constituents. I know when I say we value their advice and support, I speak on behalf of the entire administration.

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First we will hear from Aftab Pureval, president of the Undergraduate Student Government, who represents our 37,500 undergraduate students. He will be followed by Barbara Pletz, president of the Council of Graduate Students, who represents 10,210 students in our graduate programs. And, last but not least, is Chris Ries, president of the Inter-Professional Council, who represents 3,276 students in our professional colleges. Aftab –

Mr. Aftab K. Pureval:

Thank you very much for affording us this time; we very much appreciate it. I trust you are all having a very nice finals weeks. Emily, at least, knows what I am talking about.

Coming into office and especially this quarter, Deb Mason and I recognized four major initiatives or issues that undergraduates saw as most important: safety, off-campus and with respect to riots; academics; voter engagement, due to the national election; and tuition.

With respect to academics, we could not be happier. The provost has taken the initiative to put together a committee to evaluate the undergraduate academic experience as it relates to the general education curriculum and the hours to graduate. We could not support her more in those efforts and we could not be more pleased in the University for taking an active look into how effective and how strong our academics really are.

We are also working with the provost on the undergraduate research office. We hope to make undergraduate research more accessible and easily attained by undergraduates who would like to do research. Research is very important to this institution and undergraduates would just love to be more a part of it.

Finally, with respect to academics, our student government senate is very interested in strengthening our first year survey classes as it relates to advising and getting students placed into the correct major and track their academic careers here at Ohio State.

Voter engagement was a big and timely issue this year. We sponsored with OUAB, which is the programming board for the undergraduates, to bring in Senators Dean and Dole to debate. It was a great event and I think a lot of students benefited from it. We also put together a campaign known as "OSU Votes," where we had a website online with voter education information and frequently asked questions about how to absentee ballot vote – "Where do I register?" "How do I register?" "How do I know if I am registered?" We also did a phone bank drive where we called off-campus and on-campus students and asked them if they knew where their polling place was located and if they needed a ride to the polls.

We also held many debate-watching parties, which was political to say the least. Our efforts, coupled with the efforts of many other student organizations, were extremely successful. If you saw the Ohio Union on election day, the line was enormous as it was across the country. So we were very pleased.

With respect to tuition, students have two main concerns: 1) rising tuition; and 2) the question of "I am paying more, am I getting more?" They want to know where their tuition dollars are going. In response, USG has been working on putting together a Buckeye Advocates Program, mirrored off of our Alumni Advocates Program, to better and more effectively and professionally lobby the Statehouse for higher education.

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We are also working with the Ohio Council of Student Governments because tuition is an issue to all State of Ohio students, so we want to more effectively work with them. We have also put together a Tuition Commission to evaluate specific University budgets. It will allow us to take a more active role in recommending cuts if they need be in areas of the University that undergraduates do not feel are a priority.

Finally, safety off-campus – again, we could not be more pleased with the president's leadership in working with this city and trying to put together a safety center, and also doubling the amount of community police officers in the off-campus area. We put together a "Safety Week" leading up to the Michigan game where we educated students on the importance of fire safety, rape prevention, and personal safety, and also relationships with police officers.

Lighting is also a large issue. We have been working with Steve Sterrett, from Campus Partners, to put together a proposal to take to the city in order to completely redo off-campus lighting. We feel it is inadequate and promotes the unsafe atmosphere in the off-campus area.

On a more personal note, the most important thing I have learned this first quarter as USG president is the difficulty of taking an unpopular but correct position. Again, I want to congratulate Dr. Holbrook on her leadership and the alcohol policy. In looking at the riots and the effectiveness of not having the riots, I think that the atmosphere at the game was much better due to that alcohol policy. Also the students feel less singled out, as the policy is now across-the-board in the off-campus area. I wanted to congratulate the University and Dr. Holbrook, specifically, on their efforts in preventing riots. Thank you very much.

Ms. Longaberger:

Thank you. I am sure the Trustees will have questions, so we will hold questions until we hear all three presentations.

Ms. Barbara A. Pletz:

Good afternoon. On behalf of the Council of Graduate Students I would like to thank the Board of Trustees for its invitation to speak to you this afternoon.

There are five points I will touch upon. The Graduate Compensation and Benefits Committee, or the GCBC, appreciates the assistance of Provost Snyder, Secretary Fisher, Dean Huntington, and our advisor Dr. Clark in supporting the Council's desire to move the GCBC from an ad hoc committee status to eventually what we hope is a permanent University Senate committee.

Nevertheless, we must recognize the Herculean efforts by President Holbrook, Provost Snyder, Associate Vice President Lewellen, and Dean Huntington to champion the graduate assistants' concerns at Ohio State. It has been because of you, the Board of Trustees, that graduate assistants are far better off than even a year ago. For that, we thank you.

However, CGS must remain a strong advocate for the majority of 6,000 graduate students who remain unfunded and struggle with higher tuition and add-on fees. Over the next six months, I remain committed to making all 11,000 graduate students my priority.

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The next point is the G-QUE2 or the Graduate Quality of University Experience second edition. Several years ago you may remember the G-QUE was a joint project by the Graduate School and the Council of Graduate Students. It was basically a road map for CGS, the University, and the Board of Trustees to improve the quality of graduate education and life at Ohio State. We are now in the process of gearing up for a new study and therefore a new edition. Jane Evans, a doctoral student in Ed Policy and Leadership, on behalf of CGS, is spearheading the effort. Committee members include: Graduate School Assistant Dean Lamar Murphy, HR Director of Benefits Susan Marsico, and CGS President Emeritus Jamie Depelteau.

The next point is the CGS appointments and outreach. Because of CGS Vice President Cathy Baack's tenacity, she has seated all the CGS reps to University-wide committees including the University Senate. All but the Arts and Sciences and MAPS seats of the Research Graduate Council have been filled. CGS is well ahead of last year's number of delegates seated. Thus far, our delegate meetings have been spirited because CGS delegates are concerned, they are passionate, they are vocal, but they are enthusiastic advocates for their constituents. We expect 2005 to be even more spirited.

Thanks to the event and outreach chairs, Patty Cunningham and Lillian Gray and their committees, CGS held a very successful Autumn Quarter picnic during Welcome Week, raised well over \$100 for UNICEF at Halloween, and are participating in the Arthritis Foundation Jingle Bell Run. I heard graduate students really enjoyed assisting and participating in the pillow fight during Michigan week. Unfortunately, the rain threw a wet blanket on trying to break the world record for having the most participants in a pillow fight.

The fourth point is the Senate hearings and state budget. CGS has taken the lead with the help of Vice President Perrone and her office to encourage the Ohio Senate to hold hearings on the importance of graduate education. With a consortium of other Ohio universities, we hope Senate hearings will highlight the achievements of graduate students throughout the state. Ohio's flagship university is The Ohio State University and because CGS is the largest graduate governance body in the state, and perhaps even the nation, we must take the lead in this effort to educate our legislators on the impact that their continued cuts to higher education and ultimately graduate education has on all Ohioans. Therefore, CGS will be the conductor to the choirs throughout the Buckeye State that sing out to our legislators funding graduate education is a good return on their investment.

We are also concerned about proposed legislation that impacts our out-of-state and international students in the area of funding in the monitoring of the catch-all of Homeland Security.

Finally, I would like to touch upon the Freeman Committee Report. We remain troubled about the so-called Freeman Committee charge by Provost Snyder to review the 100 Ph.D. programs under the premise of funding follows quality. We believe the committee's charge or focus should not be to eliminate, but rather to improve. We also feel elimination is a Band-Aid solution, albeit easier, whereas improvement is more systemic, certainly often more difficult. Although a draft has been issued that is under review by the CGS Executive Committee, we will continue to voice our concern when it is appropriate.

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Mark Twain -- I would like to call him an Ohioan wannabe -- once wrote, "Loyalty to petrified opinion never yet broke a chain or freed a human soul in this world -- and never will.." Despite public criticism, as Trustees you have at times made difficult but bold decisions. On behalf of the Council of Graduate Students, please accept our thanks for your efforts. We hope you will continue to be good stewards to and advocates for this great University. As president of CGS, I would like to extend my best wishes to you and your families for a very happy and healthy 2005. Thank you.

Mr. Christopher M. Ries:

Like Barbara and Aftab, I would like to thank the Board for giving us the opportunity to speak today. It is my honor to speak on behalf of all of the professional students -- especially this year. My number one goal this year was to get the professional students more involved, even though they have their busy schedules, with University governance. I must say that a lot of our professional students have embraced that idea wholeheartedly. We have had almost 100% attendance at all of our meetings, students are going to committee meetings now, and they are taking an interest in what is going on in the University. To that extent, I feel that they have done a lot of great things this semester that I would just like to point out.

First of all, in the area of overall University governance, our professional students have worked hard in the area of student affairs to develop a better understanding of the new student funding policies. This issue, which we passed a religious exemption in our student organization funding policies, was a particularly critical issue in our professional schools and amongst our law school community as a whole.

A few weeks ago, Vice President Hall was generous enough to leave the pillow fight early to come speak to our student leaders for about an hour. There was a lot of good discussion and our students brought that back to our students as a whole to understand why -- to second what Aftab said, somewhat of an unpopular policy -- this is still a correct decision for this University to make.

In the area of athletics, a lot of our professional students come to the University because of our athletic programs. It is one of the factors that makes them choose Ohio State when they are looking through the rankings over other programs. They wholeheartedly support the new ticketing policies in which students could exchange tickets in order to bring a family member or a friend to certain games.

Along with those policies there are some procedures that make it difficult for professional students to get their tickets changed over and there are some financial burdens associated with it. Our senate has urged a resolution for our members of the Athletic Council to bring it up with the entire Athletic Council to try to maintain a balance of both the benefits we get from this policy, but understanding that it does place some burdens on our students. They want to try to come up with a policy that is just better for everyone as a whole.

In the area of recreational sports, for the past several years there has been looming a rec sports fee on top of tuition fees for our students. As a whole, the professional students have been shy about the fee and have not supported it wholeheartedly. This semester, the ARC center opened and, while it is not fully operational, our students are taking advantage of the opportunities that are there. We have gotten out and educated them about the climbing wall, and about the things that are available. The mentality is

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starting to change. Students are starting to move back to the on-campus facilities to use what we have available.

Recently one of our members contacted Michael Dunn and Vice President Hall in efforts to get a professional student soccer league started. Typically our students would go to Sportsite, which is a facility off-campus in Columbus that charges a large sum. So our students are really starting to embrace that recreational sports fee. As you can see, things that are not necessarily popular with students when they are first passed may come to be one of the things students embrace at this University.

Our students also work internally to foster development throughout our professional schools. No one has been more active than Jeein Chung, chair of our Diversity Committee. He is currently working with over 100 diversity organizations in each of our six professional schools to try to unite them cohesively and develop activities where all the professional schools can develop and foster diversity amongst our student body.

He is also conducting a diversity dinner series which was started last year. This year I had the opportunity to attend my first dinner -- and the first dinner for this year -- where we went to an Indian restaurant and Indian students educated us on both their culture and traditions. I thought it was a great opportunity as a student just to learn about some of the cultures of the students that we attend school with.

Our Service Committee has always been highly involved and they ran a huge food drive earlier this year. They donated over 1,700 items to the MidOhio Food Bank in early October when actually their stores are at their most critical because most people donate at the holidays. Our Service Committee runs by itself and is a committee I rarely have to check with. They come in with their reports and do great things for the community as a whole. They are planning in January to develop an event with a local organization, Big Brothers/Big Sisters and the Ronald McDonald House. I am sure that will be a highly successful event, like all of our events are.

Our Outreach Committee, which is our newest committee, is actually the most active. They are currently developing a survey where we are going to assess professional students' needs and desires. The last survey for professional students was done in 1999 and the professional student outlook has changed greatly since then. Even though the survey has not been developed yet, one of the key areas that we noted is that rising tuition costs have placed more of a burden on our professional student community. Whereas our students often realize the need for higher tuitions to retain faculty and institute programs that make us more competitive with the tier one institutions, they would like us to do more research in the area of financial aid. Our tuition costs have gone up, but our financial aid packages and budgets have not changed very much. So over the next semester, we are going to be investigating, with the help of Financial Aid, what a more accurate outlook of the student financial aid package is so that students who are coming to this University can be more aware of either their scholarship needs or their loan burdens.

Our Social Committee, which is supposed to provide us with the most fun has actually done us a great service this year. We annually have a Halloween party where we rent out a local venue for our students. The party is a lot of fun, but it also serves as a fundraising purpose. This year our Social Committee was able to throw a party that was so successful, we actually will have to find a new venue next year if we have that much attendance again. Through the party we were able to raise \$3,000, which

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was then divided up amongst the six schools in terms of a \$600 stipend each for professional development activities.

Lastly, I want to comment on our professional development fund. We get that as a grant from the Provost's Office and December 10 is our first deadline for our professional development fund activities. Students in groups may apply for funding to attend symposium conventions that they would not be financially able to attend had they not received these funds. To date, we have had over 20 student groups and individuals apply for funds to go to symposiums all over the country, and present and work with leading professional scholars and practitioners in their field.

Overall, the professional students appreciate all that the Board and University have done for them. I thank you, again, for the opportunity to speak to you today.

Ms. Longaberger:

Thank you, very much. All three presentations were exceptional. Questions from the Board? Karen –

Ms. Hendricks:

You mentioned the first-year survey courses. I wanted to better understand about tracking or career counseling? Can you elaborate on that just briefly?

Mr. Pureval:

The survey class is the first time a first-year student has a lot of interaction with their advisor. So it is a great opportunity to incorporate not only getting to better understand their college and major, but also to expose them to career services within the University. A lot of the survey classes do that, but some of the problems that we have been encountering is that not all of them do. The strength of the survey class is dependent on what college you are in and we would like to remedy that situation.

Mr. Hicks:

Let me commend each of you for your time and effort. I know how much time it takes to be a student leader on this campus, so my hat is off to you.

As you are thinking about lobbying the legislature as the upcoming budget approaches, work together as much as you can and do not forget the regional campuses. Do not just think about what the students can do, but think about what your parents can do, what your extended family can do, and/or what your other colleagues can do outside the college campus. Quite frankly, I think the legislators and administration need to hear from all sources.

Aftab, you had mentioned what you learned in the first quarter that you have been USG president. The other bit of advice I would give is enjoy the year you have, because what you will do this year probably will be the best learning experience you are ever going to have, regardless of what you decide to do long-term. Thank you.

Ms. Longaberger:

For those of you who may not know, we have a former president of undergraduate students who now sits on the Board in Mr. Hicks. Emily --

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Ms. Quick:

I wanted to quickly commend my colleagues for the work that they have done over the past quarter and since they were elected last spring. They have made great strides for all the students at the University, so it has been wonderful working with them and continuing to work with them for the remainder of my year.

Ms. Longaberger:

Thank you very much. Again, all of our students have done an excellent job in selecting leaders in your respective areas.

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Ms. Longaberger:

In a moment, we will be taking a roll call vote to go into Executive Session for the purpose of dealing with personnel and legal matters. After the vote to go into Executive Session, we will take a five-minute break before beginning the session.

For those of you who may not wish to stay until the Board completes the Executive Session, I remind you that our next meeting of the Board will take place Friday, February 4, 2005, at the Fawcett Center for Tomorrow.

I hereby move that the Board recess into Executive Session for the purpose of considering personnel matters regarding compensation and appointment, and to consult with legal counsel on matters of pending or eminent litigation.

Upon motion of Ms. Longaberger, seconded by Mrs. Davidson, the Board of Trustees adopted the foregoing motion by unanimous roll call vote, cast by Messrs. Slane, McFerson, Borrer, O'Dell, Hicks, and Judge Duncan, Mses. Longaberger, Hendricks, and Davidson.

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Ms. Longaberger:

The Board of Trustees will now reconvene the Board meeting and consider a personnel action.

The president is recommending the appointment of Christopher M. Culley as general counsel, effective immediately, and requests that this action be recorded in the personnel budget records of the University.

Upon motion of Ms. Longaberger, seconded by Mr. O'Dell, the Board of Trustees adopted the foregoing motion by unanimous roll call vote, cast by Messrs. Slane, McFerson, O'Dell, Hicks, and Judge Duncan, Mses. Longaberger, Hendricks, and Davidson.

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December 3, 2004 meeting, Board of Trustees

Thereupon the Board adjourned to meet Friday, February 4, 2005, at The Ohio State University Fawcett Center for Tomorrow, Columbus, Ohio.

Attest:

Tami Longaberger
Chairperson

David O. Frantz
Secretary

ENDOWMENT FUND INVESTMENTS POLICY

I. Goal

It shall be the policy of The Ohio State University to manage the Endowment portfolio for the use and benefit of the University in a diversified portfolio that will produce a return which when compared to the current marketplace, would be described as acceptable by conservative prudent investment managers.

It shall also be the policy to manage the Endowment Fund in a manner that will preserve and maintain the real purchasing power of the principal while allowing for an annual distribution of income according to this policy.

II. Investment Philosophy

The Endowment fund shall be managed with the intention of obtaining the highest possible "total return" (i.e., current income plus net realized and unrealized appreciation) of the investment portfolio, balancing reasonable growth with maintenance of prudently acceptable risk. The portfolio shall be managed with the care, skill, prudence, and diligence under the circumstances then prevailing that a prudent person acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of a like character and with like aims.

III. Definitions

For the purposes of this policy, the Endowment shall include the total of all long-term financial assets of the University, including those held for the benefit of others. It also includes all other assets likely to be converted into financial assets that are intended for long-term support. Quasi-Endowments shall include investments in which the principal can be spent at the discretion of the University's Board of Trustees. Title to these quasi-endowment funds shall be held in trust by the University Board of Trustees and may include a portion of all registration fees, non resident tuition fees, academic fees for the support of off-campus instruction, laboratory and course fees when so assessed and collected, student health fees for the support of a student health service, all other fees, deposits, charges, receipts, and income from all or part of the students, all subsidy or other payments from state appropriations, and all other fees, deposits, charges, receipts, and income received by the University, The Ohio State University hospitals and their ancillary facilities, the Ohio Agricultural Research and Development Center, and the Ohio State University Extension.

IV. Management of Investments

The Investments Committee shall meet at least quarterly. The committee shall review and recommend revisions to this Policy and shall advise the Board of Trustees of the investments made under this policy in an effort to assist it in meeting its obligation as a fiduciary.

Except as provided herein, the Treasurer shall be authorized to implement and administer this Policy on behalf of the Board of Trustees and manage the Endowment Portfolio in accordance with this Policy.

The Investments Committee of the Board of Trustees may retain the services of an investment advisor(s) who meets both of the following qualifications:

1. The advisor(s) is either:
 - a. Licensed by the division of securities under section 1701.141 of the Ohio Revised Code.
 - b. Registered with the Securities and Exchange Commission.
2. The advisor(s) either:
 - a. Has experience in the management of investments of public funds, especially in the investment of state-government investment portfolios.
 - b. Is an eligible institution referenced in section 135.03 of the Revised Code.

V. Limitations

- A. The Endowment Fund will have an asset allocation ~~range and a target allocation~~ as follows:

	RANGE	TARGET ALLOCATION
U.S. LARGE STOCKS	30% TO 50%	40%
U.S. SMALL CAP STOCKS	15% TO 35%	25%
INTERNATIONAL STOCKS	5% TO 10%	7%
ALTERNATIVE INVESTMENTS	5% TO 20%	13%
TOTAL FIXED INCOME	10% TO 25%	15%
CASH	0% TO 10%	0%
TOTAL ENDOWMENT		100%

Target Allocation

Domestic Large Cap Equity	20%
Domestic Mid Cap Equity	15%
Domestic Small Cap Equity	15%
International Equity	10%
Venture/Private Equity	7%
Hedge Funds	7%
Real Estate	6%
Domestic Fixed Income	12%
High Yield Fixed Income	5%
International Fixed Income	3%

- B. For purposes of these limitations, the amounts recommended to be maintained in short-term investments by the investment advisors shall be considered invested in their asset class.

- C. New money received by the Endowment may be placed by the Treasurer in Index Funds in amounts to maintain the investment limitations aforementioned until such time as the Board of Trustees reallocates such funds.
- D. The Treasurer may specify terms and conditions that are more restrictive than those of this Policy but shall not permit a less restrictive policy without specific authorization from the Board of Trustees.
- E. The quality rating guidelines which are to be used shall be the ratings as of the date of the purchase of the security. If a rating change occurs which disqualifies a security that is already present in the portfolio, the security must be sold within ninety days from the discovery of the rating change.

VI. Gains/Losses

- A. It shall be permissible for the Treasurer to realize gains and losses in the portfolio if such an action would be consistent with the University's investment goals.
- B. In order to maintain the purchasing power of the endowment as well as maintain an orderly distribution of income under the Endowment Fund's spending policy, a stabilization reserve account shall be maintained. The stabilization reserve account shall be used to account for any excess (deficit) of income earned versus monies distributed to the Endowment Funds.
- C. Quasi-Endowment gains and losses shall be charged against current income.

VII. Income Distribution Policy

Income will be distributed to Endowment Funds at the beginning of each fiscal year according to a formula ~~based upon a distribution of 5.0% of the average market value of the portfolio over the most recent three years~~ approved by the Board of Trustees on December 5, 2003 which states:

1. The Endowment payout be calculated on a five-year moving average of the market value of the portfolio.
2. The payout rates are 4.75% for FY 2005 and 4.5% thereafter for Endowments existing as of June 30, 2004.
3. The payout rate for Endowments approved after June 30, 2004 is 4%.
4. A collar incorporating a maximum payout increase of 3% and a maximum payout decrease of 1% is effective beginning July 1, 2006.

New monies placed in the Endowment Fund will receive an income distribution prorated by the month of the fiscal year received.

VIII. Advisors

The University may utilize the services of external investment advisors to assist in the management of the Endowment. The responsibilities

and types of investment services provided by investment advisors shall be specified in written agreements. The investment advisors appointed by the Board of Trustees will invest the assets in accordance with this Policy, their written agreement and their judgments concerning relative investment values. The Board may appoint an advisor with specific authority to invest in assets not otherwise addressed in this policy. The investment advisors are accorded full discretion, within policy limits, to select individual securities, and diversify the assets. The number of advisors and the amount of funds under each advisors aegis shall be determined by the Board of Trustees.

Advisors performance will be measured against an appropriate benchmark. Passive managers are expected to equal the benchmark while active managers are expected to exceed the benchmark net of fees.

IX. Marketability

Securities purchased by the Endowment shall be listed on the New York, American or other exchange or be unlisted and traded over-the-counter provided that all securities purchased shall be readily marketable and meet the quality guidelines of this Policy. Real estate purchases will be based on investment merit. Real estate and private equities must undergo periodic appraisals by a qualified third party appraiser.

Investments that have no ready market that are gifts to the University shall only be accepted if, as determined by the Treasurer, acceptance is in the best interest of the University.

X. Proxies

The Treasurer has the authority to vote proxies in accordance with the proxy policy approved by the Investments Committee of the Board of Trustees. The Treasurer shall make a written report of proxy votes to the Investments Committee at each regular meeting.

XI. Authorized Investments

The following categories of investments shall be authorized for the Endowment:

A. Commercial Paper

1. Rated A-1 (Standard and Poor's) and P-1 (Moody's), not more than \$5,000,000 in any corporation or financial institution.
2. Commercial paper must have a term less than 270 days.

B. Banker's Acceptances

1. An amount not to exceed \$5,000,000 in any bank, or subsidiaries of that bank whose long-term debt is rated A or better.
2. Banker's acceptances must have a term less than 270 days.

C. Eurodollar CD and time deposits

1. An amount not to exceed \$5,000,000 in any bank, or subsidiaries of that bank with a long-term debt rating of BBB or better.
2. Eurodollars shall have a term less than one year.

D. Corporate Bonds and Notes

1. No bond or note shall be purchased in an amount greater than \$5,000,000 par value of any corporation.
2. Bonds and notes shall be rated BBB or better, except for investment managers in the high yield category.
3. Corporate bonds or notes shall have a term less than 40 years.
4. Foreign Bonds may be purchased provided they comply with items 1 thru 3 of this section and are denominated in U.S. dollars.

E. Common Stock and Preferred Stock

An amount not to exceed 3% of the outstanding shares of a company may be purchased. All stock shall be of investment grade. This provision does not apply to venture capital or private equity investments.

F. Mutual and Com-mingled Funds

Investment in any fund shall not exceed 10% of the total funds of the mutual or com-mingled fund. All mutual or com-mingled funds shall comply with the Authorized Investment provisions of this policy (see Section IX). Money market mutual funds shall not apply to this section (see Section M).

G. Municipal or State Bonds

1. An amount not to exceed \$5,000,000 in any one municipality or state except that there shall be no limit on Ohio State University or Ohio State University-related bonds).
2. Bonds shall be rated BBB or better (except that there shall be no rating requirement on Ohio State University or Ohio State University-related bonds).

3. No bonds shall be purchased with a term greater than 40 years.

H. Certificates of Deposit

1. Collateralized at market value

Not to exceed \$5,000,000 in any Ohio bank or savings and loan whose long-term debt is rated A or better provided the amount not federally insured is collateralized as provided in Section 135.18 of the Ohio Revised Code with securities eligible under Section 135.18 (B).

2. Certificate of deposit shall have a term not exceeding one year.

I. U.S. Treasury Bills, Notes and Bonds

No limitations on amount invested or term.

J. U.S. Government Agencies

No limitations on amount invested or term.

K. Repurchase Agreements

Not to exceed \$10,000,000 with any dealer or broker recognized by the Federal Reserve as a primary dealer or any bank whose long-term debt is rated A or better and only provided a written repurchase agreement is in place. The term of any agreement shall not exceed 15 days. Collateral must be U.S. government or agency securities at 102% or more of market value. Collateral must be segregated in an account bearing the University's name, except when there already exists a demand deposit account.

L. Reverse Repurchase Agreements

Not to exceed \$10,000,000 with any dealer or broker recognized by the federal reserve as a primary dealer or any bank whose long term debt is rated A or better or only provided a written agreement is in place. The term of any agreement shall not exceed 15 days.

M. Money Market Mutual Funds

1. Government collateralized

There shall be no limitations on the amount invested provided it is 100% collateralized at market value by U.S. government or agency securities.

2. Other collateral

There shall be no limitations on the amount invested provided it is 100% collateralized by marketable securities that comply with all other provisions set forth in this Policy.

N. Guaranteed Investment Contracts (GIC)

1. An amount not to exceed \$3,000,000 can be placed with any insurance company with assets in excess of \$5 billion and a long-term debt rating of A or better.
2. GIC's must be 100% collateralized with U.S. treasuries, agencies or corporate bonds rated A or better.
3. No GIC shall be purchased with an expected life greater than 5 years.

O. Collateralized Mortgage Obligations (CMO)

1. An amount not to exceed \$5,000,000 may be invested in any one issuer.
2. CMO's must be rated AAA, except for investment managers in the high yield category.
3. No CMO shall be purchased with an expected life greater than 30 years.

P. Asset Backed Securities (ABS)

1. An amount not to exceed \$3,000,000 may be invested in any one issuer.
2. ABS's must be rated AAA, except for investment managers in the high yield category.
3. No ABS shall be purchased with an expected life greater than 5 years.

Q. Securities Lending

Equity and Fixed Income securities not to exceed 30% of the Endowment may be loaned on condition the loan is secured. Collateral must be cash or U.S. Government Agency securities at 102% or more market value. Lending may be done with any dealer or broker recognized by the Federal Reserve of a primary dealer or any bank whose long-term debt rating is A or better and only provided a written agreement is in place.

R. Alternative

Alternative investments may be made only by a professional investment advisor (See Article VI) unless specifically authorized by the Board of Trustees.

S. Other Investments

Between meetings of the Board of Trustees, if deemed advisable, other investments not specifically authorized by this Policy may be made if approved by the Investments Committee of the Board of Trustees. Any such action shall be taken to the Board of Trustees for approval at its next meeting.

XII. Management of Investments

Except as provided herein, the Treasurer shall be authorized to implement and administer this Policy on behalf of the Board of Trustees and manage the endowment in accordance with this Policy.

XIII. Exceptions

Modifications and exceptions to this Policy shall be authorized only by resolution of the Board of Trustees or as provided herein. The terms and conditions of this Policy as to the Endowment Portfolio may be waived if specified by the terms of a gift and approved by the Board of Trustees.

XIV. Reporting and Accountability

The Treasurer shall be accountable to the Board of Trustees for implementing this Policy. The Treasurer shall make a written report to the Investments Committee at each regular meeting on the status of the Endowment Fund.

XV. Support Level Required to Establish an Endowment Fund

A. A *Named Endowed Chair* will be established in the Endowment with support of \$1,500,000 or more and the distributed income will be used to provide supplemental compensation and academic support funds for chair holder.

B. A *Named Endowed Professorship* will be established in the Endowment with support of \$750,000 or more and the distributed income will be used to provide supplemental compensation and academic support for faculty member.

C. A *Named Endowed Distinguished Visitor* will be established in the Endowment with support of \$500,000 or more and the distributed income will be used to provide funds to bring a distinguished visitor to the University for a limited time, generally from one week to one year. This category of endowed faculty funds may be used for distinguished scholars, teachers, lecturers, research investigators, artists, writers, executives-in-residence, or others in association with activities that advance the academic, interdisciplinary, diversity, and other educational goals of the University, its colleges and programs.

D. A *Named Endowed Faculty Award* will be established in the Endowment with Support of \$250,000 or more and the distributed income will be used to provide funds to recruit or retain outstanding faculty and provide them with academic support funds to enhance their work. Also designed to help meet the University's diversity goals.

E. A *Named Dean's Leadership Fund* will be established in the Endowment with support of \$100,000 or more and the distributed income will be used to provide funds to allow deans to support existing or new faculty endeavors, promote promising initiatives across or among colleges, support lecturer series, and generally promote the goals of the college.

- F. A *Named Scholarship* will be established in the Endowment with support of \$100,000 or more and the distributed income will be used to provide general University scholarships for a diverse group of students according to financial need as determined by federal guidelines, or provides scholarship assistance for students based on performance (i.e., musicians, artists, dancers, athletes, etc.), and for minority students.
- G. A *Named Graduate Fellowship* will be established in the Endowment with support of \$250,000 or more and the distributed income will be used to provide funds for a diverse group of outstanding graduate and professional students including minority students based on criteria established by colleges and departments.
- H. A *University Scholar Merit Scholarship* will be established in the Endowment with support of \$25,000 or more and the distributed income will be used to provide \$1,000 to incoming students who graduated at the top of their high school class and who have high test scores.
- I. A *Medalist Scholar Merit Scholarship* will be established in the Endowment with support of \$75,000 or more and the distributed income will be used to provide in-state tuition awards for 30 incoming students who score among the best in and on campus competition.
- J. A *Distinguished Scholar Merit Scholarship* will be established in the Endowment with support of \$150,000 or more and the distributed income will be used to provide awards of in-state tuition and a stipend toward room and board for qualifying National Merit and National Achievement Scholars.
- K. A *Presidential Scholar Merit Scholarship* will be established in the Endowment with support of \$250,000 or more and the distributed income will be used to provide an in-state full-ride award for ten incoming students who score at the very top in and on-campus competition.
- L. A *Named Endowed Fund* will be established in the Endowment with support of \$25,000 or more and the distributed income will be used to provide an enduring memorial to the donor and bear the donor's name or an appropriate name of the donor's designation. The fund will be invested and the income used to provide support for a program designated by the donor and approved by the University.

(APPENDIX XIX)

AUTHORIZATION FOR CAPITAL PROJECTS

<u>Project</u>		<u>Approximate Amount (in millions)</u>	<u>Capital Approp Biennium</u>	<u>Requested Action</u>
930 Kinnear Road - Dyno Test Cell	State:	\$0.13	2003-04	Enter into design cont
930 Kinnear Road - IT and Serv Ctr Reloc	Bonds:	\$1.00	2005	Enter into design cont
Drinko Hall	Dept:	\$0.35	Oper Funds	Enter into design cont
Multidisciplinary Research Bldg. – PH II Feasib Sty	Dept:	\$0.20	2005-06	Enter into design cont
Physical Facilities Renovation Projects	Dept:	\$3.0-5.0	Oper Funds	Enter into design cont
Subtotal for Design Contracts	Dept:	\$3.55-5.55		
	State:	\$0.13		
	Bonds:	\$1.00		
<hr/>				
650 Ackerman Road - OSUMC Offices	Dept:	\$5.53	2005-06	Enter into const cont
Atwell Hall – Roof Replac	State:	\$0.52	2003-04	Enter into const cont
Bricker Hall – Roof Replac	State:	\$0.72	2003-04	Enter into const cont
Clinical Space Reorganiz - 9 th Fl Doan	Dept:	\$0.99		Increase const cont
Dreese Lab - Clean Room Renovation	Dept: State:	\$0.01 \$0.22	Oper Funds 2003-04	Enter into const cont
Hopkins Hall Phase II	State:	\$1.33	2003-04	Enter into const cont
Mansfield Campus – Admin & Classroom Bldg.	Dept: Bonds:	\$1.90 \$6.50	2005-06 2005	Increase const cont
McCracken Power Plant – Metering Upgrade	State:	\$0.25	2005-06	Enter into const cont
McCracken Power Plant - Spill Control	State:	\$0.30	2005-06	Enter into const cont
Morrison Tower/Siebert Hall Fire Alarm Syst Upgrd	Bonds:	\$0.89	2005	Enter into const cont
OARDC Food Animal Research Prog Bldg Roof Replacement	State:	\$0.90	2003-04	Enter into const cont

<u>Project</u>		<u>Approximate Amount (in millions)</u>	<u>Capital Approp Biennium</u>	<u>Requested Action</u>
Parker Food Sci & Tech - Ntl Res/Microbio Lab	Dept.	\$1.25	Oper Funds	Enter into const cont
Smith Lab Renov for Jennings Swing Space	Dept.	\$0.90	2001-02	Enter into const cont
Subtotal for Construction Contracts	Dept:	\$11.47		
	State:	\$4.24		
	Bonds:	\$6.50		
Total for all Contracts	Dept:	\$15.11-17.11		
	State:	\$4.37		
	Bonds:	\$7.50		
Grand Total		\$26.99-28.99		

930 KINNEAR ROAD - DYNO TEST CELL

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Center for Automotive Research

How does this project advance the Academic Plan?

Provide engine testing facilities for diesel engines up to 300 Horsepower.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Install test cell enclosure, bed plate to support dynamometer, cooling plumbing system, electrical system, and fire protection system.

Preliminary Cost Estimate:

\$125,800

Proposed Funding Source:

Ohio Board of Regents Action Fund grant

Outstanding Funding Issues:

none

Timing Issues:

Design Services Completion – November 2004

Construction Completion – August 2005

"Ripple effects" of the project:

none

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



930 Kinnear Road - Dyno Test Cell
315-2004-941

Requesting Agency(s): ENGINEERING ADMINISTRATION

Location(s): KINNEAR ROAD, 930

Gross Sq. Ft. 38,172 **Age:** 1961

Description: Modify existing engine test cell room (180D) and add new test cell room to accomodate new 300hp cell. Upgrade plumbing, power, exhaust and lighting.

Project Team:

Facility Planner: Is Unassigned

Project Manager: Harold Cheyney
(cheyney.1@osu.edu)

Field Coordinator: Is Unassigned

Project Coordinator: Curt Handschug
(handschug.1@osu.edu)

Project Assistant: Patricia Berger
(berger.58@osu.edu)

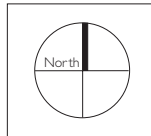
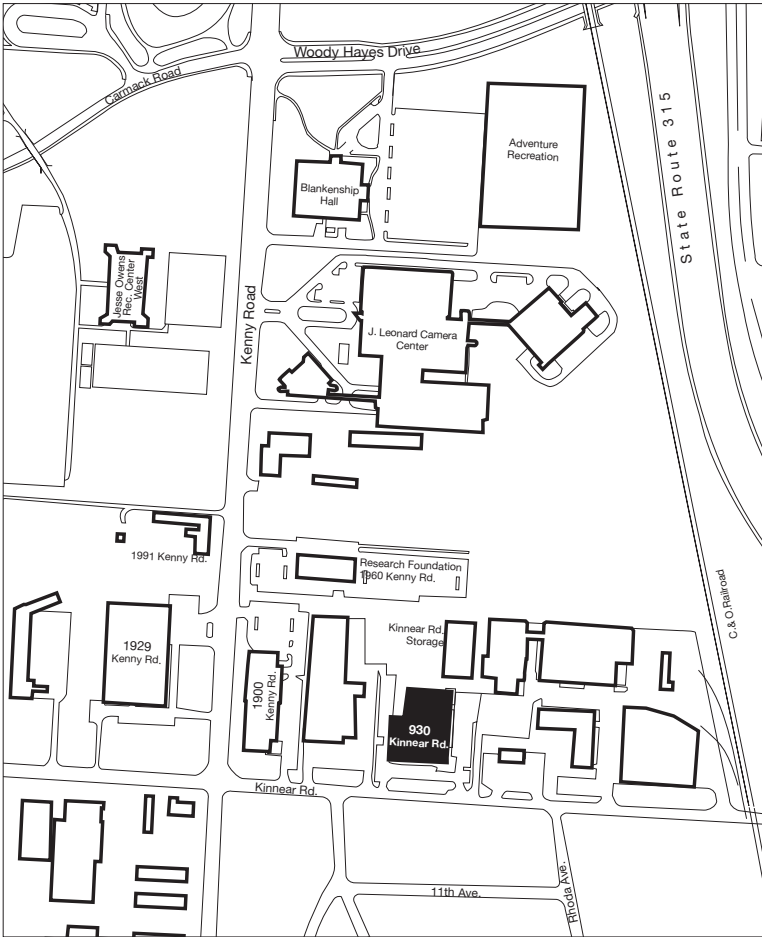
Project Information:

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
BOR Action Fund	\$125,800.00	\$125,800.00				
Total:	\$125,800.00	\$125,800.00				

Schedule:

	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$125,800 Project)	12/03/2004		
Construction			
Construction Start	05/02/2005		
Completion	09/30/2005		

930 Kinnear Road - Dyno Test Cell



Office of Business and Finance
Office of Facilities Planning and Development

November 18, 2004

**960 KINNEAR RD.
STUDENT AFFAIRS IT AND SERVICE CENTER RELOCATION**

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):
Office of Student Affairs.

How does this project advance the Academic Plan?
This project is part one of the Student Affairs Housing Plan to return Lincoln Tower floors 1-14 to housing. Returning these spaces into on-campus student housing makes additional living-learning opportunities available to students.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):
This project is to redesign existing office space within 960 Kinnear to accommodate the Student Affairs Office of Information Technology and 24 Hour Service Center. The department currently occupies space on the 1st, 6th and 11th floors of Lincoln Tower. The move to 960 Kinnear will be the first of a series of moves required to relocate offices out of the Lincoln Tower in preparation of returning the space to resident rooms.

The scope of works includes the design and installation of communication and networking systems; a new fire alarm system; electrical reconfiguration including a UPS and emergency generator; an evaluation and possible redesign of the existing HVAC system—addressing new cooling required in the server room; office space for 30 IT employees and 5 Service Center employees; and updated, ADA compliant restrooms.

Preliminary Project Cost Estimate:
\$1,000,000

Proposed Funding Sources:
2005 Bondwith Debt Service to be paid by Student Affairs

Outstanding Funding Issues:
None

Timing Issues:
The Student Affairs IT Office and the Service Center needs to move during summer quarter in order to minimize service disruption.

“Ripple effects” of the project:
The space vacated on the 1st floor by the move of Student Affairs IT and the Service Center will be renovated to accommodate other Student Affairs operations currently located on the 6th floor of Lincoln Tower.

Special limitations/risks:
None.

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



960 Kinnear Road-IT and Service Center Relocation
315-2004-940

Requesting Agency(s): STUDENT AFFAIRS, OFFICE OF

Location(s): KINNEAR ROAD, 960

Gross Sq. Ft. 42,415 **Age:** 1954

Description: The purpose of this project is to redesign existing office space within 960 Kinnear to accommodate the Student Affairs Office of Information Technology and 24 Hour Service Center. The department currently occupies space on the 1st, 6th and 11th floors of Lincoln Tower. The move to 960 Kinnear will be the first of a series of moves required to relocate offices out of the Lincoln Tower low rise in preparation of returning the space into resident rooms.

Project Team:

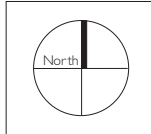
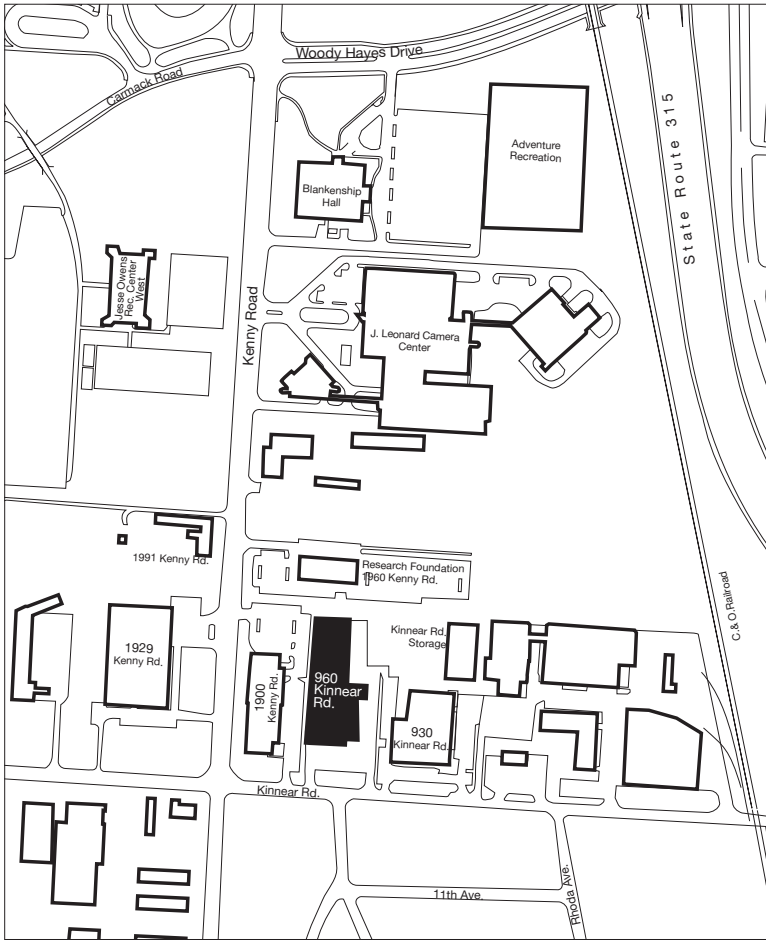
Project information:

Facility Planner: Is Unassigned
Project Manager: Scott Conlon
(conlon.1@osu.edu)
Field Coordinator: Is Unassigned
Project Coordinator: Leeanne Chandler
(chandler.63@osu.edu)
Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Univ. Bond Proceeds	\$1,000,000.00	\$1,000,000.00				
Total:	\$1,000,000.00	\$1,000,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$1,000,000 Project)	11/05/2004		
Construction			
Construction Start	08/08/2005		
Completion	12/09/2006		

960 Kinnear Road - IT and Service Center Relocation



Office of Business and Finance
Office of Facilities Planning and Development

November 6, 2004

**DRINKO HALL – 2ND AND 3RD FLOOR CLASSROOM
CORRIDORS**

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

*Sponsoring/Requesting Units(s):
Moritz College of Law*

How does this project advance the Academic Plan?

The College of Law is working on a comprehensive master plan for the building to improve the student study and learning spaces. Updating the main classroom corridors and providing seating/study spaces is just one of the steps taken to enhance the learning environment and to better serve the needs of the student body.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

The scope of work includes installation of new ceiling, lighting, flooring, wall finishes, furniture and possible restroom upgrades.

*Preliminary Cost Estimate:
\$345,250*

*Proposed Funding Source:
Moritz College of Law funds*

*Outstanding Funding Issues:
None*

*Timing Issues:
Design Services Completion – June, 2005
Construction Completion – August, 2006*

*“Ripple effects” of the project:
None.*

*Special limitations/risks:
College would like the renovation work to occur during the summer break only.*

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Drinko Hall - 2nd & 3rd Floor Classroom Corridors
315-2004-939

Requesting Agency(s): LAW, MICHAEL E MORITZ COLLEGE OF

Location(s): DRINKO HALL, JOHN DEAVER (LAW BLDG)

Gross Sq. Ft. 220,112 **Age:** 1956

Description: Install New Ceiling, new lighting, new information/announcement wall system, new wall paint, new wood base over existing glazed tile and vinyl wall base, new carpet, new seating along corridor, replace existing drinking fountains, install new signage, restroom upgrades as required.
OFF # 04-107

Project Team:

Facility Planner: Is Unassigned
Project Manager: Ruth Miller
(miller.2495@osu.edu)
Field Coordinator: Is Unassigned
Project Coordinator: Faye Bodyke
(bodyke.3@osu.edu)
Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)

Project Information:

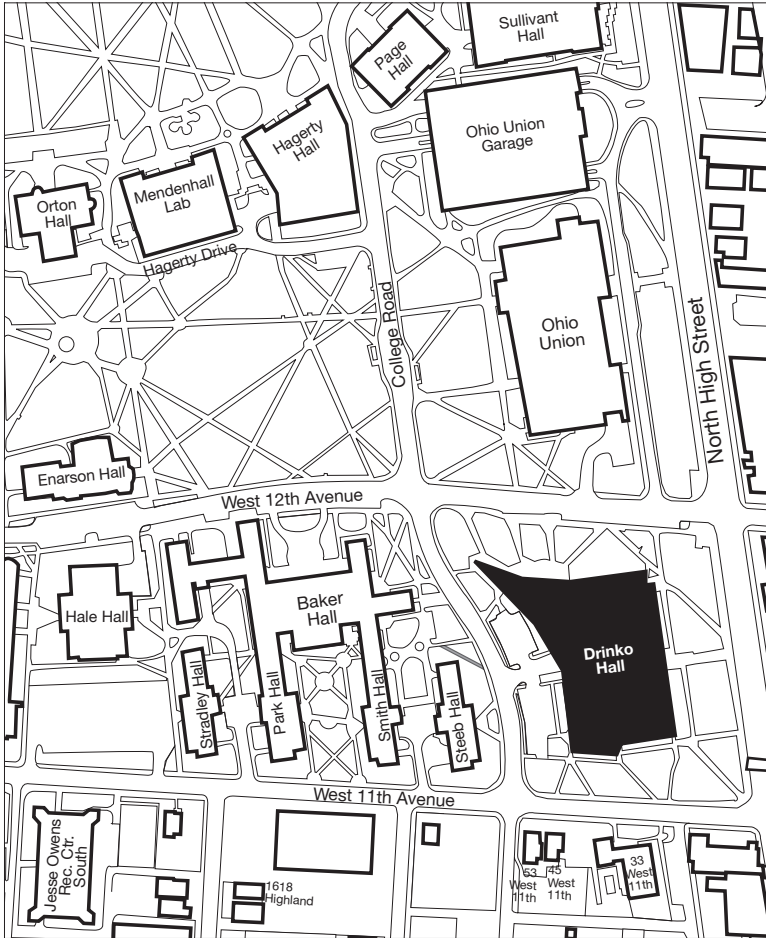
formerly known as 50700-R040034

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Law	\$345,250.00	\$345,250.00				
Total:	\$345,250.00	\$345,250.00				

Schedule:

	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$345,250 Project)	12/03/2004		
Arch/Engr Advertisement	01/05/2005		
Construction			
Construction Start	05/15/2006		
Completion	08/15/2006		

Drinko Hall - 2nd & 3rd Floor Classroom Corridors



Office of Business and Finance
Office of Facilities Planning and Development

November 6, 2004

**MULTI-DISCIPLINARY RESEARCH BUILDING / PHASE II
FEASIBILITY STUDY**

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):
Office of Research

How does this project advance the Academic Plan?

Within the framework of the Academic Plan, the Office of Research has outlined a Strategic Plan that includes the following strategic objectives: 1) build a world-class faculty; 2) develop academic programs that define Ohio State as the nation's leading public land-grant university; and 3) improve the quality of the teaching and learning environment. These goals would be advanced by the Multi-Disciplinary Research Facility through the creation of a unique physical and intellectual environment for various related academic programs that accelerates the growth of cross-disciplinary research.

Nature of the Project:

This study will include programming and planning services in order to aid in the conceptualization of a multi-disciplinary research facility for the Office of Research.

Preliminary Cost Estimate:
\$200,000

Proposed Funding Source:
University Funds: \$200,000

Outstanding Funding Issues:
None

Timing Issues:

This project is considering Smith Laboratory and Lord Hall as possible sites. Current and short term use of both sites must be considered in this study.

"Ripple effects" of the project:

This project involves space allocation considerations for current departments in Smith Laboratory and Lord Hall.

Special limitations/risks:
None



Multi-Disciplinary Research Building-Ph II Feasibility Study
315-2004-926

Requesting Agency(s): RESEARCH, OFFICE OF

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: This study will include programming and planning services in order to aid in the conceptualization of a multi-disciplinary research facility for the Office of Research.

Project Team:

Facility Planner: Nikolina Sevis
(sevis. @osu.edu)
Project Manager: Nikolina Sevis
(sevis. @osu.edu)
Field Coordinator: Is Unassigned
Project Coordinator: Curt Handschug
(handshug.1@osu.edu)
Project Assistant: Patricia Berger
(berger.58@osu.edu)

Project Information:

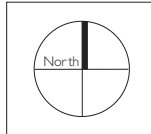
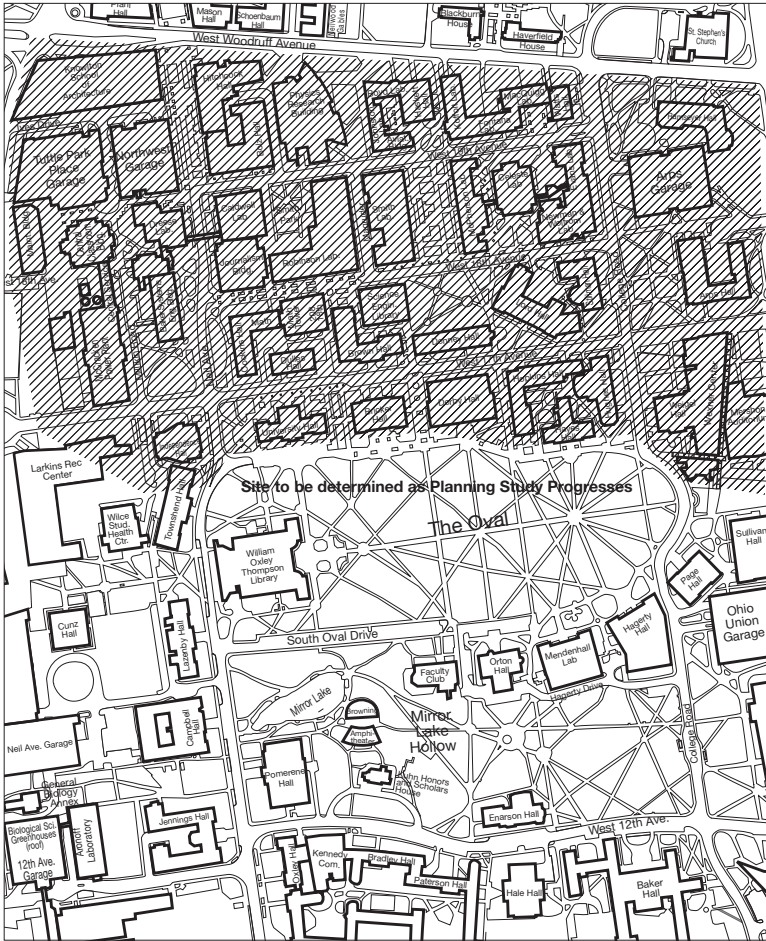
Site to be determined by this feasibility study.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Centrally Funded Capital Projects	\$200,000.00	\$200,000.00				
Development-Research	\$113,000.00	\$0.00				
Total:	\$313,000.00	\$200,000.00				

Schedule:

	Projected	Revised	Actual
Planning Arch/Engr Approved by B/T (200K Feasibility Study)	12/03/2004		
Design Arch/Engr Contract	12/31/2004		

Multi-Disciplinary Research Building - Phase II Feasibility Study



Office of Business and Finance
Office of Facilities Planning and Development

November 6, 2004

PHYSICAL FACILITIES RENOVATION PROJECTS

Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):

Office of Business and Finance

How does this project advance the Academic Plan?

Construction of new facilities, the Power Plant expansion, and installation of new chillers have necessitated the renovation and relocation of existing office and shop space for Physical Facilities.

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):

Design phase for various renovations of existing space in the McCracken Power Plant, Central Service Building and Maintenance Building for the relocation of office and shop space. This umbrella project will address the design phase for several construction/renovation projects over the next 3-5 years with a total estimated construction budget of \$3-5 million dollars.

Preliminary Cost Estimate:

Design: \$300,000 - \$500,000

Total Project Costs: \$3,000,000 – 5,000,000

Proposed Funding Source:

Physical Facilities General Funds

Outstanding Funding Issues:

none

Timing Issues:

none

"Ripple effects" of the project:

none

Special limitations/risks:

none

Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Physical Facilities Renovation Projects
5061-PF07270

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): MCCRACKEN POWER PLANT, WILLIAM C

Gross Sq. Ft. 107,910 **Age:** 1918

Location(s): CENTRAL SERVICE BUILDING

Gross Sq. Ft. 14,728 **Age:** 1949

Location(s): MAINTENANCE BUILDING

Gross Sq. Ft. 51,294 **Age:** 1960

Description: Renovation of existing space in the McCracken Power Plant, Central Service Building and Maintenance Building for the relocation of office and shop space. This is needed due to construction of new facilities, the Power Plant expansion and installation of new chillers.

This umbrella project will consist of several construction/renovation projects over the next 3-5 years with a total estimated construction budget of 3-5 million dollars.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Manager: Terri Stankiewicz
(stankiewicz.3@osu.edu)

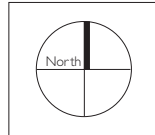
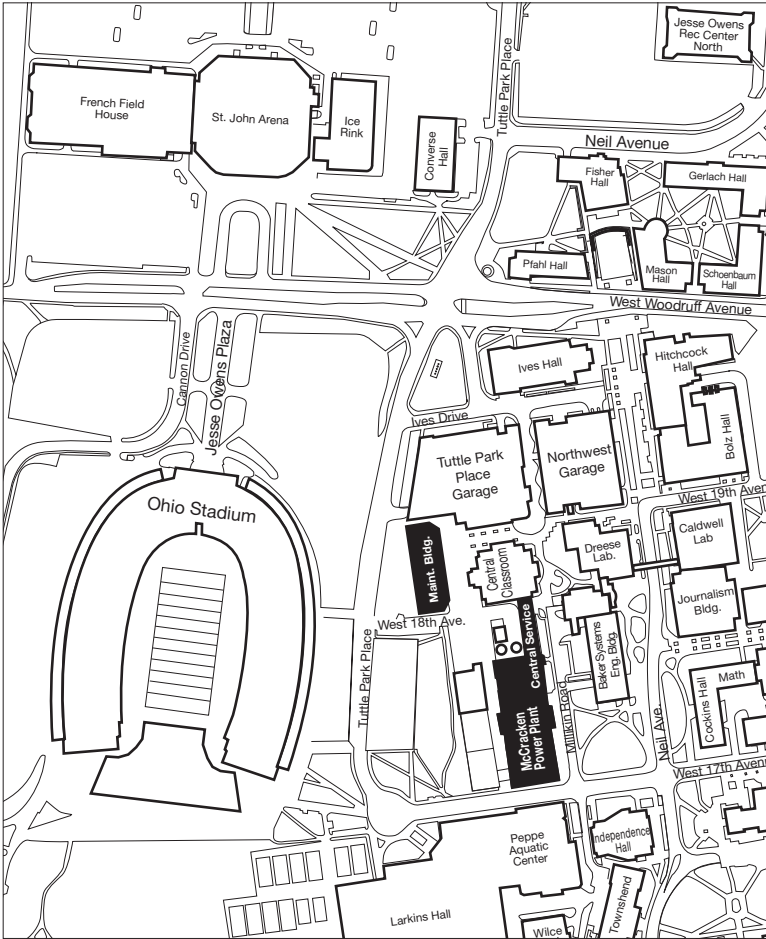
Field Coordinator: Is Unassigned

Project Coordinator: Is Unassigned

Project Assistant: Is Unassigned

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Business & Admin	\$3,000,000.00	\$3,000,000.00				
Total:	\$3,000,000.00	\$3,000,000.00				

Physical Facilities Renovation Projects



Office of Business and Finance
Office of Facilities Planning and Development

November 19, 2004



650 Ackerman Road - OSUMC Offices
315-2003-904-2

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: Relocation of OSUMC Offices from Battelle leased space into OSU space in Building #8 for floors 1 through 6 at 650 Ackerman Road.

Project Team:

Facility Planner: Teresa Yu
(yu.195@osu.edu)
Project Manager: Richard Van Deusen
(van-deusen.2@osu.edu)
Field Coordinator: Is Unassigned
Project Coordinator: Curtiss Ashley
(ashley.6@osu.edu)
Project Assistant: Andrea Thimmes
(thimmes.5@osu.edu)

Project Information:

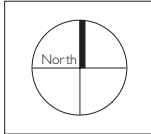
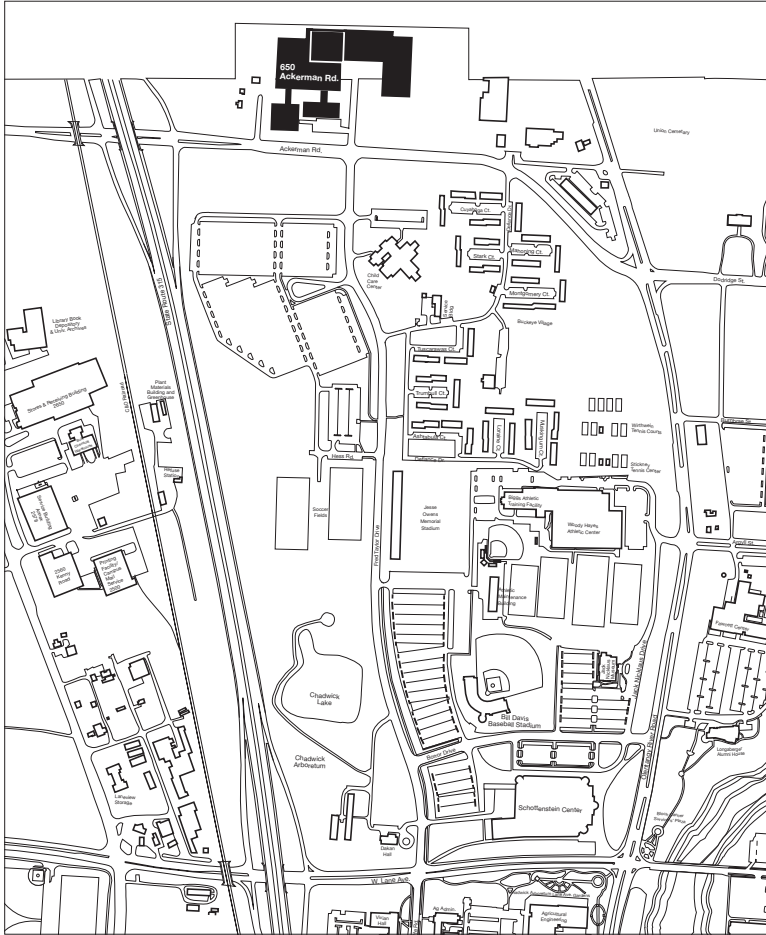
Building 8 - Levels 1-6. Original project budget increased due to additional scope of mechanical system upgrades, roof replacement and FF&E.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University Hospitals	\$2,686,041.00	\$5,527,057.00				
Total:	\$2,686,041.00	\$5,527,057.00				

Schedule:

	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T ((cost undetermined))			02/07/2003
Arch/Engr Advertisement	02/11/2003		02/11/2003
Bidding Approved B/T (\$5,527,057.00 Project)	12/03/2004		
Design			
Arch/Engr Contract	10/21/2003		10/21/2003
Schematic Design Approval	11/11/2003		04/23/2004
Design Dev Document Approval	12/16/2003	08/15/2004	09/08/2004
Construction Document Approval	01/20/2004	10/01/2004	11/12/2004
Bidding			
Bid Opening	02/01/2004	01/11/2005	
Construction			
Award of Contracts	02/15/2004	03/11/2005	
Construction Start	02/19/2004	04/22/2005	
Completion	05/28/2004	08/01/2005	

650 Ackerman Road - OSUMC Data Center



Office of Business and Finance
Office of Facilities Planning and Development

January 15, 2004



Atwell Hall Roof Replacement
315-2003-929

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): ATWELL HALL, ROBERT J (ALLIED MED)

Gross Sq. Ft. 97,641 **Age:** 1971

Description: Completely remove 24,300 sq ft of coal tar built-up roof system on Atwell Hall, which is 24 years old. Check lightweight concrete for damage and moisture. Remove and replace damaged fill. Install a vapor barrier over lightweight fill. Install a new modified bitumen roof system over 2" of insulation. Replace aluminum counterflashing and reuse aluminum cap at parapets.

Project Team:

Facility Planner: Is Unassigned

Project Manager: Brett Garrett

Field Coordinator: Mark Scott

Project Coordinator: Karen Cogley
(cogley.1@osu.edu)

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

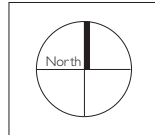
Project information:

Budget increase to include fall protection and to reflect current industry costs.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$375,000.00	\$515,430.00				
Total:	\$375,000.00	\$515,430.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$375,000 project)	05/02/2003		05/30/2003
Arch/Engr Advertisement (Ohio Register #118)	06/01/2003		06/02/2003
Bidding Approved B/T (\$515,430 Project)	04/02/2004	12/03/2004	
Design			
Design Dev Document Approval	01/18/2004	03/12/2004	03/12/2004
Construction Document Approval	02/17/2004	07/12/2004	07/12/2004
Bidding			
Bid Opening	04/17/2004	03/17/2005	
Construction			
Construction Start	06/16/2004	06/11/2005	
Completion	10/14/2004	09/09/2005	

Atwell Hall - Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 6, 2004



Bricker Hall - Roof Replacement
315-2003-931

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): BRICKER HALL, JOHN W

Gross Sq. Ft. 73,914 **Age:** 1924

Description: A standing seam copper roof was installed on Bricker Hall in 1949 in place of the skylights. The perimeter roofing has a nearly vertical roof surface facing the interior roof sections. These walls are covered with composition roofing that is in poor condition. The gutters surrounding the roof are composed of built-up roofing. Roof replacement project to include the perimeter roof, gutters and composition roofing.

Project Team:

Facility Planner: Is Unassigned

Project Manager: Brett Garrett

Field Coordinator: Colin McBride
(mcbride.62@osu.edu)

Project Coordinator: Karen Cogley
(cogley.1@osu.edu)

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

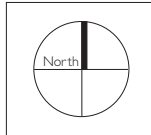
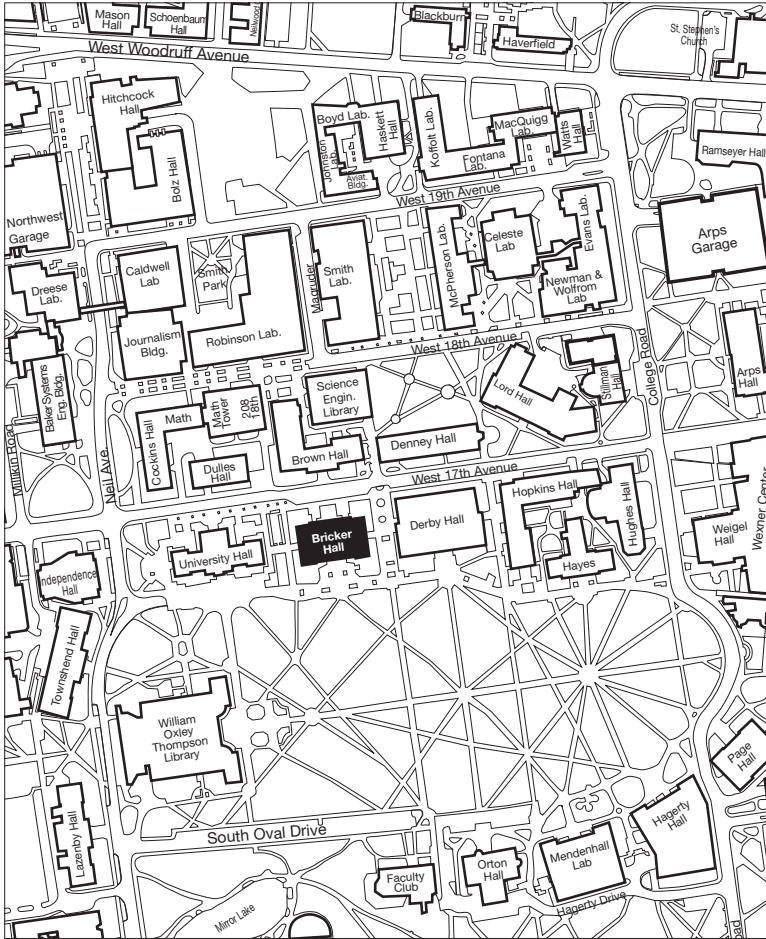
Project information:

Budget increase to include fall protection and to reflect current industry costs.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$500,000.00	\$720,010.00				
Total:	\$500,000.00	\$720,010.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$500,000 project)	05/02/2003		05/30/2003
Arch/Engr Advertisement (OH Reg #118 due June 30)			06/02/2003
Constr Mgr Approved by B/T	10/16/2004	12/03/2004	
Design			
Design Dev Document Approval	01/18/2004		05/25/2004
Arch/Engr Contract	06/30/2004		06/25/2004
Construction Document Approval	02/17/2004	07/28/2004	08/18/2004
Bidding			
Bid Opening	04/02/2004	01/14/2005	
Construction			
Construction Start	06/01/2004	04/16/2005	
Award of Contracts	01/19/2005	05/21/2005	
Completion	09/29/2004	08/14/2005	

Bricker Hall - Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

May 10, 2003



Clinical Space Reorganization - 9th Floor Doan East & Ctr Renov.

315-2001-911-5

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): DOAN HALL, CHARLES AUSTIN

Gross Sq. Ft. 669,869 **Age:** 1951

Description: Renovate current patient rooms and nursing station to accommodate 15 in-patient beds and 20 out-patient beds. Add a conference room and additional nursing station.

Project Team:

Facility Planner: Is Unassigned

Project Manager: Richard Morse
(morse.68@osu.edu)

Field Coordinator: Is Unassigned

Project Coordinator: Curt Handschug
(handschug.1@osu.edu)

Project Assistant: Patricia Berger
(berger.58@osu.edu)

Project Information:

This project is part of the original design approval for the Clinical Space Reorganization \$20-\$25 million renovation which was approved by the BoT in June, 2001. It is now returning for separate Board of Trustees approval to enter into construction under a JOC contract.

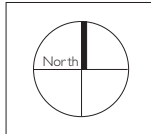
Project increased due to code compliance and owner requested change orders.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University	\$778,000.00	\$986,040.16	Construction	\$625,000.00		\$0.00
Hospitals			Contingency 1	\$70,700.00		\$0.00
			Design	\$72,900.00		\$0.00
Total:	\$778,000.00	\$986,040.16	Local Administration	\$9,400.00		\$0.00
			Total Project:	\$778,000.00		\$0.00

Schedule:

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (20-25 Million projects)	06/29/2001		06/29/2001
Bidding Approved B/T (\$778,000 project)	06/04/2004		06/04/2004
Bidding Approved B/T (\$986,040.16 Project)	12/03/2004		
Design			
Schematic Design Approval	02/11/2004		02/11/2004
Design Dev Document Approval	04/15/2004		04/15/2004
Construction Document Approval	05/05/2004		05/05/2004
Arch/Engr Contract			07/15/2004
Construction			
Construction Start	06/07/2004		06/07/2004
Completion	08/09/2004	12/03/2004	

Clinical Space Reorganization - 9th Floor Doan Hall East and Center



Office of Business and Finance
 Office of Facilities Planning and Development

May 13, 2004



Dreese Laboratory - Clean Room Renovation
315-2004-904

Requesting Agency(s): ENGINEERING ADMINISTRATION

Location(s): DREESE LABORATORIES, ERWIN E.

Gross Sq. Ft. 186,567 **Age:** 1969

Description: This renovation will enable the Electrical Engineering Department to increase the utilization of the existing Clean Room without adding additional square footage. The renovation will permit the Department to install an additional piece of equipment for education and/or research purposes.

Project Team:

Facility Planner: Teresa Yu
(yu.195@osu.edu)

Project Manager: Margaret Murphy
(murphy.641@osu.edu)

Field Coordinator: Is Unassigned

Project Coordinator: Faye Bodyke
(bodyke.3@osu.edu)

Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)

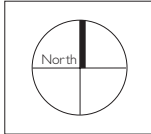
Project Information:

This project will be funded by a Hayes Grant for \$224,872. The project budget has increased during design to cover the additional rigging cost associated with moving the UPS from west campus over to the 2nd floor of Dreese. Furthermore the design increased to cover cost for the +/- controls. This will enable the project to bid an alternate or allow for future expansion.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General	\$0.00	\$10,173.00				
Funds-Engineering						
BOR Action Fund	\$255,000.00	\$224,872.00				
Total:	\$255,000.00	\$235,045.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$255,000 approved by BoT)	03/05/2004		03/05/2004
Bidding Approved B/T (\$235,045 Project)	12/03/2004		
Design			
Schematic Design Approval			07/30/2004
Design Dev Document Approval	10/22/2004		10/22/2004
Arch/Engr Contract	11/01/2004		10/25/2004
Construction Document Approval	11/19/2004	11/30/2004	
Bidding			
Bid Opening	12/20/2004		
Construction			
Award of Contracts	01/30/2005		
Construction Start	02/01/2005		
Completion	05/01/2005	06/01/2005	

Dreese Lab - Clean Room Renovation



Office of Business and Finance
Office of Facilities Planning and Development

February 12, 2004



Hopkins Hall Phase II
315-2003-946

Requesting Agency(s): ARTS ADMINISTRATION

Location(s): HOPKINS HALL, JAMES R.

Gross Sq. Ft. 108,554 **Age:** 1959

Description: The second phase of the renovation of the ceramics facilities in Hopkins Hall. This project will replace existing plumbing system throughout the entire facility and will replace the exhaust systems to minimize noise levels and electric upgrades

Project Team:

Facility Planner: Teresa Yu
(yu.195@osu.edu)

Project Manager: Steve Middleton
(middleton.52@osu.edu)

Field Coordinator: Is Unassigned

Project Coordinator: Faye Bodyke
(bodyke.3@osu.edu)

Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)

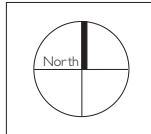
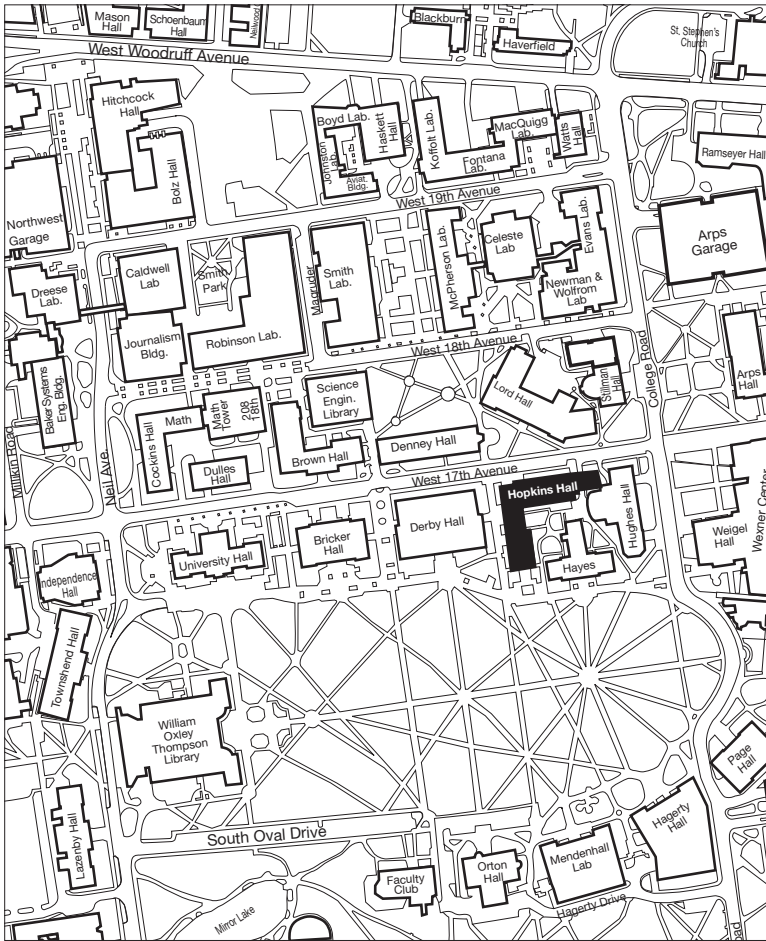
Project Information:

Preliminary project estimate is \$1,300,000 - \$1,400,000.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$1,334,816.00	\$1,334,816.00				
Total:	\$1,334,816.00	\$1,334,816.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (1,334,816 project)	10/03/2003		10/03/2003
Arch/Engr Advertisement	11/28/2003		11/28/2003
Bidding Approved B/T (51,334,816 Project)	12/03/2004		
Design			
Arch/Engr Contract	04/01/2004		04/09/2004
Schematic Design Approval	06/10/2004	08/31/2004	08/29/2004
Design Dev Document Approval	06/10/2004	08/31/2004	08/29/2004
Construction Document Approval	12/01/2004		
Bidding			
Bid Opening	01/15/2005		
Construction			
Award of Contracts	03/11/2005		
Construction Start	06/14/2005		

Hopkins Hall Phase II



Office of Business and Finance
Office of Facilities Planning and Development

October 4, 2003



Mansfield Campus - Administration and Classroom Building

315-2002-929

Requesting Agency(s): MANSFIELD CAMPUS

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

Description: Construct an approximately 30,000 gross square foot building to house all Student Services offices, Business Office, Dean/Directors Suite, University Reception and Switchboard area, Campus Security, Center of Corporate & Community Education, Education Department (classroom, labs and offices), and Music Department (classroom, labs and offices). Sited to create a focal building, increase visibility of Ohio State University Mansfield and improve accessibility to the functions and services provided within.

Project Team:

Facility Planner: Cheryl Christie
(christie.2@osu.edu)

Project Manager: Ruth Miller
(miller.2495@osu.edu)

Field Coordinator: Is Unassigned

Project Coordinator: Leeanne Chandler
(chandler.63@osu.edu)

Project Assistant: Mikki Reese
(reese.153@osu.edu)

Project information:

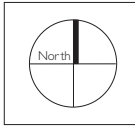
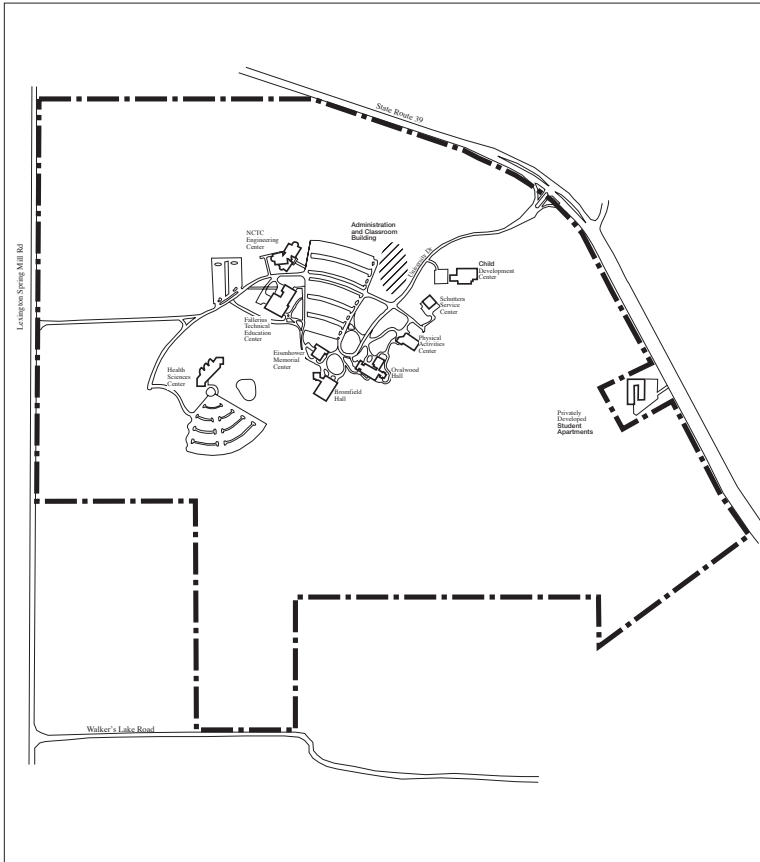
Preliminary budget was \$6,537,000.00. Budget increased to include an added lobby/atrium and auditorium space. Budget increased due to phasing of earthwork and to reflect current industry costs on steel and construction materials.

The site is located to the northwest of the existing service center, directly west of the child development center, between the entry drive and the main parking lot.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Development-Mansfield	\$6,537,000.00	\$1,890,642.00				
Univ. Bond Proceeds	\$0.00	\$6,500,000.00				
Total:	\$6,537,000.00	\$8,390,642.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$6,537,000 Project)	11/01/2002		11/01/2002
Arch/Engr Advertisement	02/11/2003		02/11/2003
Bidding Approved B/T (\$7,820,976 Project)	07/09/2004		07/09/2004
Bidding Approved B/T (\$8,390,642 Project)	12/03/2004		
Design			
Arch/Engr Contract		07/07/2003	07/09/2003
Schematic Design Approval (Building)	12/15/2003		12/15/2003
Schematic Design Approval (Sitework)	12/15/2003		12/15/2003
Design Dev Document Approval (Sitework)	04/24/2004		04/24/2004
Construction Document Approval (Sitework)	06/08/2004		06/08/2004
Design Dev Document Approval (Building)	06/28/2004		06/29/2004
Construction Document Approval (Building)	09/27/2004	11/05/2004	11/22/2004
Bidding			
Bid Opening (Sitework)	07/21/2004	07/29/2004	07/29/2004
Bid Opening (Building)	01/10/2005	02/18/2005	
Construction			
Award of Contracts (Sitework)	09/09/2004	09/27/2004	09/27/2004
Construction Start (Sitework)	09/10/2004	09/27/2004	10/04/2004
Completion (Sitework)	11/15/2004	12/24/2004	
Award of Contracts (Building)	03/07/2005	04/15/2005	
Construction Start (Building)	03/08/2005	04/15/2005	
Completion (Building)	06/19/2006	07/28/2006	
Close Out			
Moving (User Move In)	06/19/2006	07/28/2006	

Mansfield Campus - Administration and Classroom Building



Office of Business and Finance
Office of Facilities Planning and Development

October 29, 2002



McCracken Power Plant - Metering Upgrade
315-2003-926

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): MCCRACKEN POWER PLANT, WILLIAM C

Gross Sq. Ft. 107,910 **Age:** 1918

Description: Existing fuel, steam, water and environmental compliance metering has aged to the point of being unreliable and non-repeatable. This project would selectively replace those meters critical to operations and emissions compliance for the Plant. As approved, the McCracken Power Plant Emissions Compliance project does not cover these meters. This project would also address failure to comply with NFPA 8501 issues identified by the safety audit required by Ohio Administrative Code, 2002.

Project Team:

Project Information:

Facility Planner: Is Unassigned

Project Manager: Sam Alabi
(alabi.1@osu.edu)

Field Coordinator: Glenn Gerhart
(gerhart.1@osu.edu)

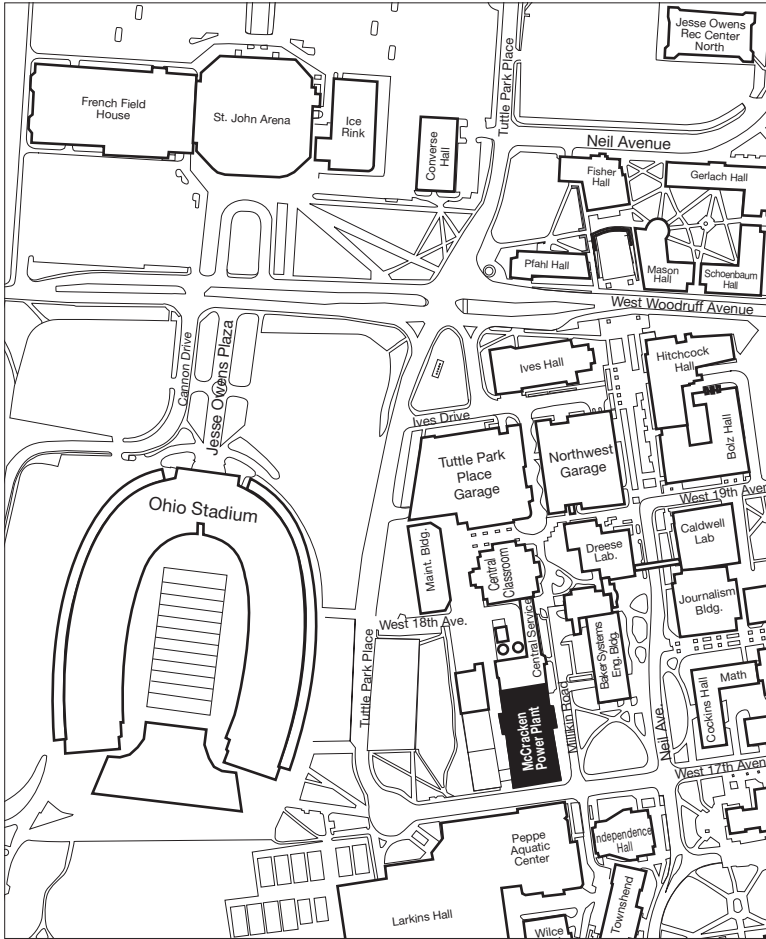
Project Coordinator: Karen Cogley
(cogley.1@osu.edu)

Project Assistant: Lisa Baldwin
(baldwin.10@osu.edu)

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic	\$250,000.00	\$250,000.00				
Renovation						
Total:	\$250,000.00	\$250,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$250,000 Project)	04/04/2003		04/04/2003
Bidding Approved B/T (\$250,000 Project)	08/01/2003	12/03/2004	
Design			
Arch/Engr Contract	01/30/2004	02/04/2004	02/04/2004
Construction Document Approval	08/01/2003	09/01/2004	10/05/2004
Design Dev Document Approval	06/01/2003	06/07/2004	10/31/2004
Bidding			
Bid Opening	09/15/2003	01/15/2005	
Construction			
Construction Start	02/01/2004	04/07/2005	
Completion	05/01/2004	06/29/2005	

McCracken Power Plant - Metering Upgrade



Office of Business and Finance
 Office of Facilities Planning and Development

March 13, 2003



McCracken Power Plant - Spill Control
315-2003-925

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): MCCRACKEN POWER PLANT, WILLIAM C

Gross Sq. Ft. 107,910 Age: 1918

Description: The existing fuel oil tank farm drainage is not protected from fugitive emissions should a tank or pipe fail. Spilled oil would drain into the Olentangy River. This project would provide adequate containment / storm water removal to meet EPA requirements.

Project Team:

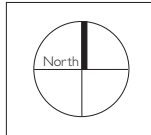
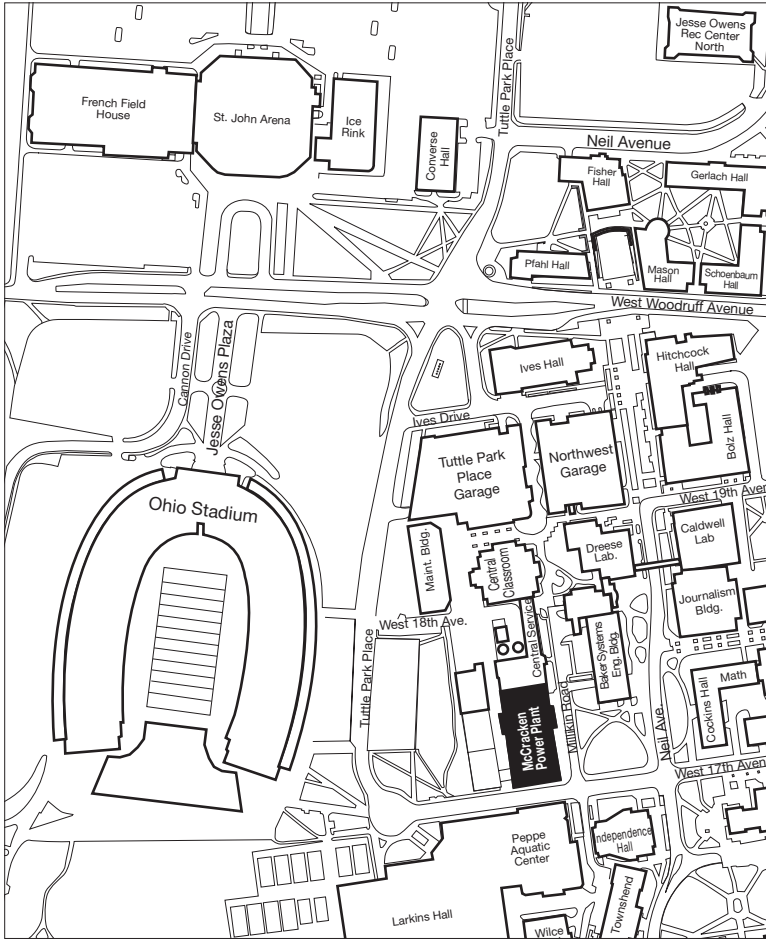
Facility Planner: Is Unassigned
Project Manager: Alexander Lentsner
Field Coordinator: Alexander Lentsner
Project Coordinator: Karen Cogley (cogley.1@osu.edu)
Project Assistant: Lisa Baldwin (baldwin.10@osu.edu)

Project Information:

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$300,000.00	\$300,000.00				
Total:	\$300,000.00	\$300,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Advertisement			04/01/2003
Arch/Engr Approved by B/T (\$300,000 Project)	04/04/2003		04/04/2003
Bidding Approved B/T	10/03/2003	12/10/2004	
Design			
Arch/Engr Contract	02/20/2004		02/18/2004
Construction Document Approval	06/30/2003	09/06/2004	10/10/2004
Bidding			
Bid Opening	10/15/2003	12/22/2004	
Construction			
Construction Start	03/15/2004	05/23/2005	
Completion	05/01/2004	07/08/2005	

McCracken Power Plant - Spill Control



Office of Business and Finance
Office of Facilities Planning and Development

March 13, 2003



Morrison Tower/Siebert Hall Fire Alarm Syst Upgrd
315-2002-907

Requesting Agency(s): STUDENT AFFAIRS, OFFICE OF

Location(s): SIEBERT HALL, ANNIE WARE SABINE

Gross Sq. Ft. 74,647 **Age:** 1957

Location(s): MORRISON TOWER, MARY FRANC

Gross Sq. Ft. 99,916 **Age:** 1962

Description: Replace the current fire alarm system, to reduce false alarms, with new intelligent photoelectric smoke detectors and audible bases that are fully addressable with fire-print based technology, and replace light fixtures and suspended ceiling in residence rooms of Siebert Hall.

Project Team:

Facility Planner: Is Unassigned

Project Manager: Craig Henry
(henry.194@osu.edu)

Field Coordinator: Is Unassigned

Project Coordinator: Leeanne Chandler
(chandler.63@osu.edu)

Project Assistant: Mikki Reese
(reese.153@osu.edu)

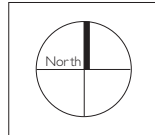
Project Information:

Site is Morrison Tower and Siebert Hall in the south campus housing area.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Univ. Bond Proceeds	\$694,949.00	\$894,949.00				
Total:	\$694,949.00	\$894,949.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$694,949 Project)	04/05/2002		04/05/2002
Bidding Approved B/T (need to reapprove at CD)	04/05/2002		04/05/2002
Design			
Arch/Engr Contract	06/14/2002	09/19/2003	09/05/2003
Design Dev Document Approval	09/27/2002	11/21/2003	11/18/2003
Construction Document Approval	12/31/2002	12/31/2004	
Bidding			
Bid Opening	01/30/2003	01/27/2005	
Construction			
Report of Award to B/T	12/05/2003	03/04/2005	
Award of Contracts	03/14/2003	03/17/2005	
Construction Start	03/24/2003	03/21/2005	
Completion	08/29/2003	08/26/2005	

Morrison Tower and Siebert Hall Fire Alarm System Upgrade



Office of Business and Finance
Office of Facilities Planning and Development

March 13, 2002



OARDC - Food Animal Health Research Program Roof Replacement

315-2003-970

Requesting Agency(s): OARDC-FOOD ANIMAL HEALTH

Location(s): FOOD ANIMAL HEALTH RESEARCH BLDG

Gross Sq. Ft.27,446 Age: 1987

Description: Replace the existing EPDM single membrane roof covering. This roof is now 20 years old and has exceeded its life and warranty of 15 years. The existing system is showing signs of deterioration. This roof system is on a single story laboratory and protects valuable research facilities and equipment.

Project Team:

Facility Planner: Is Unassigned

Project Manager: Marjory Spangler
(spangler.40@osu.edu)

Field Coordinator: Is Unassigned

Project Coordinator: Faye Bodyke
(bodyke.3@osu.edu)

Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)

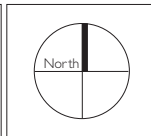
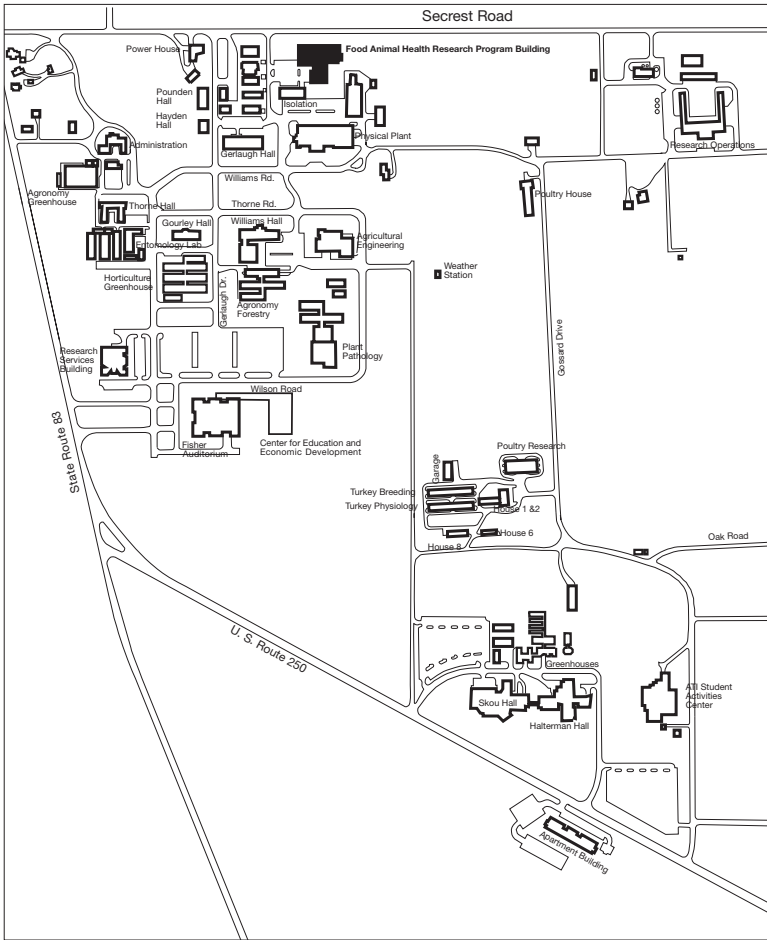
Project Information:

Project will be designed by in-house staff. The preliminary estimate was obtained for roofing experts and the revised budget reflects an industry increase for material and labor for this type of roof replacement.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 OARDC	\$75,000.00	\$89,954.00				
Supplemental Renov						
Total:	\$75,000.00	\$89,954.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$75,000 project)	03/05/2004		03/05/2004
Bidding Approved B/T (\$75,000 Project)	07/09/2004		07/09/2004
Design			
Construction Document Approval	03/12/2004	07/01/2004	10/19/2004
Bidding			
Bid Opening	04/23/2004	01/18/2005	
Construction			
Award of Contracts	05/20/2004	02/18/2005	
Construction Start	06/01/2004	03/01/2005	
Completion	07/16/2004	04/14/2005	

OARDC - Food Animal Health Research Program Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 6, 2004



Parker Food Science & Technology-Natl Resources/Microbio Lab

5061-PF07783

Requesting Agency(s): AGRICULTURAL ADMINISTRATION

Location(s): PARKER FOOD SCIENCE & TECHNOLOGY
BLD

Gross Sq. Ft.67,562 Age: 2000

Description: To complete the unfinished portion of Parker Hall basement to meet the needs of the new Eminent Scholar in Soil Microbial Ecology, Dr. Richard Dick, per request from The College of Food, Agricultural & Environmental Sciences/School of Natural Resources.

Project Team:

Facility Planner: Is Unassigned

Project Manager: Charlie Conner
(conner.26@osu.edu)

Field Coordinator: Is Unassigned

Project Coordinator: Karen Cogley
(cogley.1@osu.edu)

Project Assistant: Is Unassigned

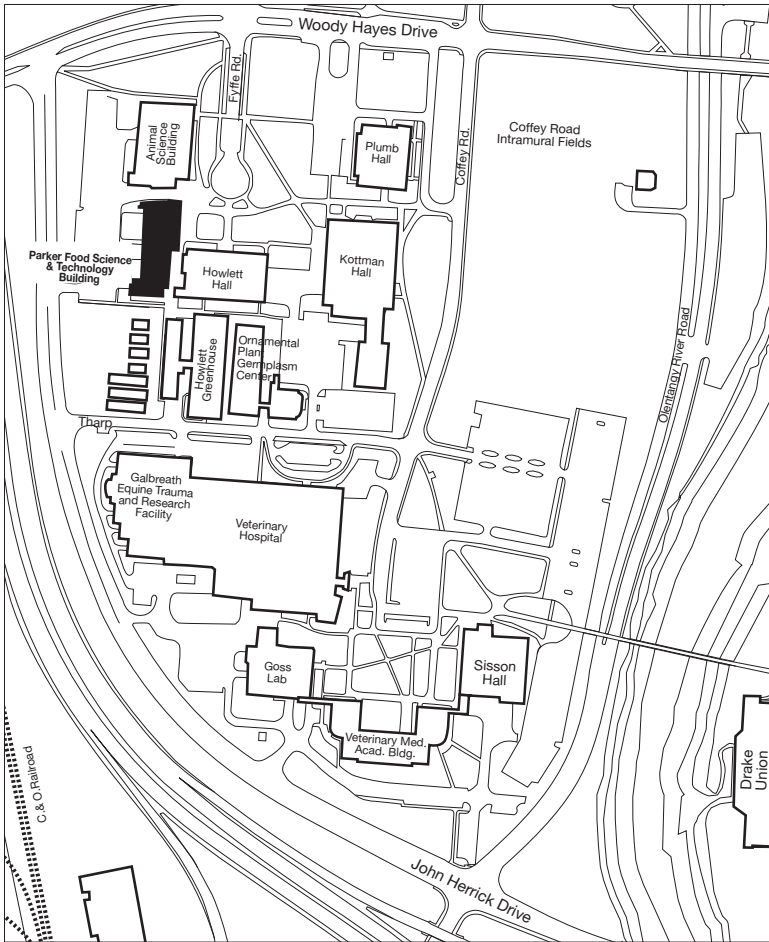
Project Information:

Formerly Parker Food Science & Technology-Construct New Lab. Budget increase to include laboratories with special environmental controls, electrical and ergonomic requirements.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Food, Agr & Env Sci	\$40,000.00	\$1,250,000.00				
Total:	\$40,000.00	\$1,250,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$450,000 project)	02/06/2004		02/06/2004
Arch/Engr Advertisement (Dispatch Ad)	02/11/2004		02/11/2004
Bidding Approved B/T (\$1,250,00 Project)	07/09/2004	12/03/2004	
Design			
Arch/Engr Contract	07/16/2004	07/27/2004	
Design Dev Document Approval	05/21/2004	09/30/2004	10/25/2004
Construction Document Approval	06/18/2004	12/17/2004	
Bidding			
Bid Opening	08/20/2004	02/25/2005	
Construction			
Award of Contracts	05/20/2005		
Construction Start	10/25/2004	05/25/2005	
Completion	01/28/2005	06/28/2005	

Parker Food Science & Technology - Ntl Resources / Microbio Lab



Office of Business and Finance
Office of Facilities Planning and Development

November 6, 2004



Smith Laboratory Renovation for Jennings Swing Space
315-1999-190-1

Requesting Agency(s): BIOLOGICAL SCIENCES ADMINISTRATION

Location(s): SMITH LABORATORY, ALPHEUS

Gross Sq. Ft. 219,438 **Age:** 1950

Description: Renovation of approximately 21,500 square feet of office and teaching lab space on the 4th and 5th floor of Smith Laboratory to accommodate the swing space for the Jennings Hall (Botany & Zoology) project.

Project Team:

Facility Planner: Alex Cofield
(cofield.3@osu.edu)

Project Manager: Steve Middleton
(middleton.52@osu.edu)

Field Coordinator: Is Unassigned

Project Coordinator: Faye Bodyke
(bodyke.3@osu.edu)

Project Assistant: Steve Deedrick
(deedrick.4@osu.edu)

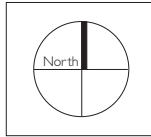
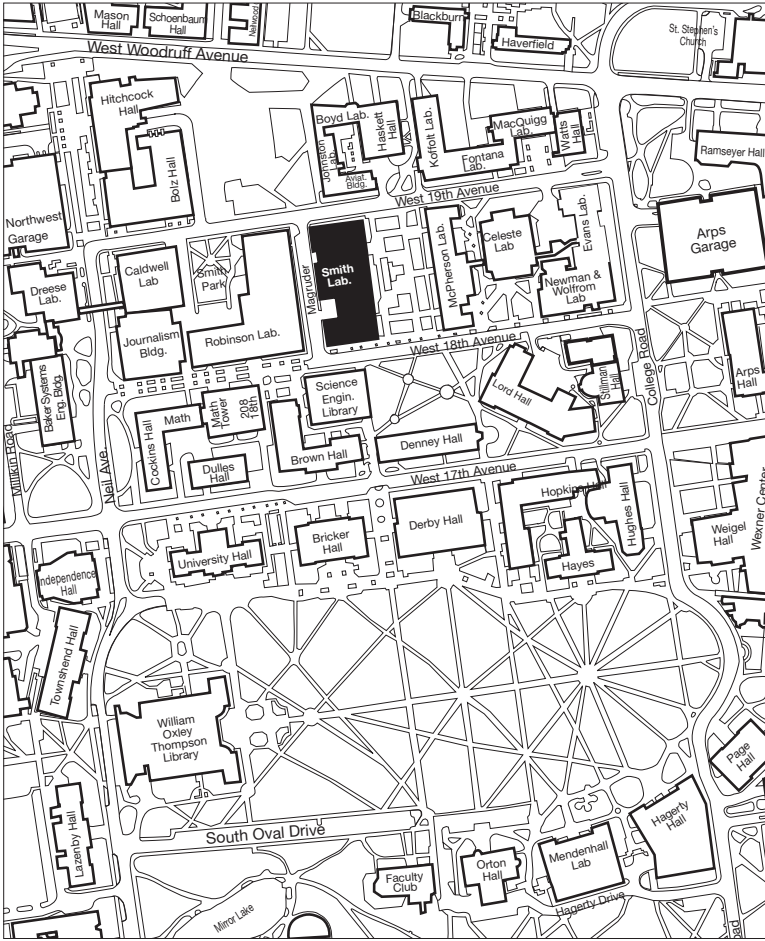
Project Information:

Space within Smith Lab will be vacated by Physics Department personnel when the new Physics Building is complete.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Biological Sciences	\$0.00	\$900,000.00				
Total:	\$0.00	\$900,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$90,000.00)	11/05/2004		11/05/2004
Bidding Approved B/T (\$900,000 Project)	12/03/2004		
Design			
Arch/Engr Contract	11/06/2004		
Construction Document Approval	11/24/2004		
Bidding			
Bid Opening	01/11/2005		
Construction			
Award of Contracts	03/08/2005		
Construction Start	03/09/2005		
Completion	06/17/2005		
Close Out			
Occupancy	06/21/2005		

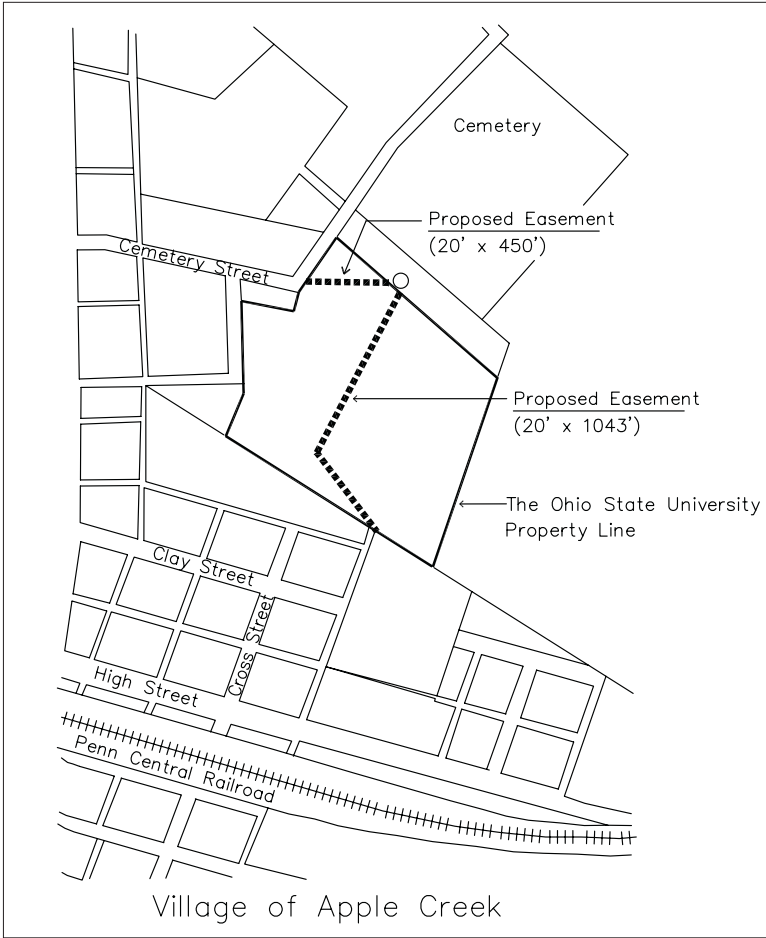
Smith Laboratory Renovation for Jennings Swing Space



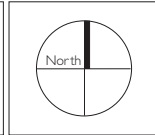
Office of Business and Finance
Office of Facilities Planning and Development

April 14, 2004

**Village of Apple Creek - The Ohio State University, OARDC - Wooster
Apple Creek, Ohio**



1



Office of Business and Finance
Office of Facilities Planning and Development

November 6, 2004

**The Ohio State University
Audit Committee Guidelines**

PURPOSE

The primary purpose of the audit committee is to assist the board of trustees of the Ohio state university in fulfilling its oversight responsibilities for the financial reporting process, the system of internal control, the audit process, and the university's process for monitoring compliance with laws and regulations and the code of conduct. The audit committee assists in assuring the integrity of the financial accounts of the university in a manner consistent with the mission and purpose of the university. The audit committee serves as the focal point of open communication between the board of trustees, the external auditors, the internal auditors, the state and federal agency auditors, and management. Also, the audit committee is responsible for evaluating the quality, independence and objectivity of the external and internal auditors.

AUTHORITY

The Audit Committee has the authority to conduct or authorize investigations into any matters within its scope of responsibility. It is empowered to:

- Recommend a contract with the external auditor for the annual audit of the University. The nomination of the independent public accountant shall be approved by the State of Ohio, Auditor of State
- Resolve any disagreements between management and the external auditor regarding financial reporting.
- Pre-approve all auditing and non-audit services to be provided by the external auditor.
- Seek access through the University to obtain counsel, accountants, or other expertise to advise the Committee or assist in the conduct of an investigation.
- Seek any information it requires from employees—all of whom are directed to cooperate with the Audit Committee's requests—or external parties.
- Meet with University officers, external auditors, internal auditors, or outside counsel, as necessary.
- Serve as an objective party, independent of management, to monitor the University's financial reporting process and internal control system.

COMPOSITION

The Ohio State University is governed by a board of 11 trustees who are responsible for oversight of academic programs, budgets and general administration, and employment of faculty and staff. The Governor annually appoints one voting member to a nine-year term and one non-voting student member to a two-year term.

The Audit Committee will consist of at least three members of the Board of Trustees, one of whom shall be the Chairperson for the Audit Committee, and two citizens from the general public (citizen members) who should be "financial experts" (see below). The chair for the Board of Trustees will appoint committee members and the committee chair. The members' terms shall be three years and staggered so that the Audit Committee annually includes a new member and continues to maintain members with one and two years of service.

All Audit Committee members should be independent of management and the external auditor. At least one member of the Audit Committee should be designated as the "financial expert," as defined below.

In order to be deemed a "financial expert," at least one member of the Audit Committee should have:

- a. An understanding of GAAP and financial statements;
- b. The ability to assess the general application of such principles in connection with the accounting for estimates, accruals and reserves;
- c. Experience in preparing, auditing, analyzing or evaluating financial statements that present a breadth and level of complexity of accounting issues that are generally comparable to the issues that can reasonably be expected to be raised by the University's financial statements (or experience actively supervising one or more persons engaged in such activities);
- d. An understanding of internal controls and procedures for financial reporting and
- e. An understanding of audit committee functions.

In order to be deemed independent, the Audit Committee member:

- a. Is not, and has not been for a period of at least three years, an employee or non-employee executive officer of the University, any of its affiliates, or an employee of the current external auditing firms.
- b. Does not directly have a business relationship with the University.
- c. Is not employed as an executive of another corporation/university where any of the corporation's/university's executive officers serve on that corporation's/university's compensation committee.
- d. Does not have an immediate family member who is an executive officer of the University.

MEETINGS

The Audit Committee should meet at least three times per year, or more frequently as circumstances dictate, at appropriate times with regard to the University's financial planning cycle. The Audit Committee has the authority to convene additional meetings, as circumstances require. As necessary or desirable, the chairperson of the Audit Committee may request that members of management, the director of internal audit, and the representatives of the external auditor be present at a meeting of the Audit Committee. Meeting agendas will be prepared and provided in advance to members, along with the appropriate briefing materials. In addition, meeting minutes will be prepared.

As part of its job to foster open communication, the Audit Committee should meet at least annually with management, the director of internal audit and the external auditors in separate executive sessions to discuss any matters that the Audit Committee or each of these groups believe should be discussed privately.

RESPONSIBILITIES

Financial Statements

- Review and approve the external auditor engagement letter.
- Review with management and the external auditors the results of the audit, including any difficulties encountered.
- Evaluate annually the external auditor's qualifications, performance and independence, including a review and evaluation of the lead partner, taking into account the opinions of the University's management and the Internal Audit Director, and report its conclusions to the Board of Trustees.
- Discuss significant adjustments proposed by the external auditor and complex or unusual transactions in highly judgmental areas.
- Discuss any related significant findings and recommendations of the external auditors.
- Review with management and the external auditors all matters required to be communicated to the committee under generally accepted auditing standards.
- Review any disagreements between the external auditor and management about matters that could be significant to the University's financial statement or the auditor's report.
- The Audit Committee is not responsible for guaranteeing the accuracy of the University's financial statements or the quality of the University's accounting practices. The Audit Committee does not prepare the University's financial statements nor is it responsible for determining GAAP. The fundamental responsibility for the University's financial statements and disclosures rests with management, with the responsibility for accurately auditing such statements and disclosures residing with the external auditor.

Internal Control

- Obtain the scope of the internal and external auditors' review of internal control over financial reporting.
- Obtain reports on significant internal control findings and recommendations, together with management's responses.

Internal Audit

- Review annually with director of internal audit the guidelines, plans, activities, staffing, and organizational structure of the internal audit function.
- On a regular basis, meet separately with the director of internal audit to discuss any matters (including fraud) that the Audit Committee or internal audit believes should be discussed privately.
- Ensure there are no restrictions or limitations on the internal audit function.

External Audit

- Discuss the external auditors' proposed audit scope and approach, including coordination of audit effort with internal audit.
- Review and confirm the independence of the external auditors by obtaining statements from the auditors on relationships between the auditors and the University, including non-audit services, and discussing the relationships with the auditors.

- At least annually, meet separately with the external auditors to discuss any matters that the Audit Committee or auditors believe should be discussed privately.

Compliance

- Obtain regular updates from management and University legal counsel regarding compliance matters.
- Review the process for communicating the code of conduct to University personnel, and for monitoring compliance therewith.
- Discuss University policies with respect to risk assessment and risk management and review any contingent liabilities and risks that may be material to the University.
- Review compliance with Related Party/Conflict of Interest Disclosure Statements for senior management annually.

Reporting Responsibilities

- Regularly report to the Board of Trustees about Audit Committee activities, issues, and related recommendations.
- Provide an open avenue of communication between internal audit, the external auditors, and the Board of Trustees.
- Review any other reports the University issues that relate to Audit Committee responsibilities.

Other Responsibilities

- Perform other activities related to these guidelines as requested by the Board of Trustees.
- Institute and oversee special investigations as needed.
- Establish procedures for the confidential and anonymous receipt and treatment of complaints regarding the University's accounting, internal controls and audit matters.
- Establish a Code of Ethical Conduct and ensure that management has established a system to enforce this Code.
- Perform any other activities consistent with these guidelines, the University's By-laws and governing law, as the Audit Committee or the Board of Trustees deems necessary or appropriate.
- Review and assess the adequacy of these Audit Committee guidelines annually, requesting the Board of Trustees approval for proposed changes, and ensure appropriate disclosure as may be required by law or regulation.
- Report to the Board of Trustees, at least annually, regarding the Committee's activities in discharge of its duties as described in these Audit Committee guidelines.