

February 5 and 6, 2009 meeting, Board of Trustees

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND FOUR HUNDRED AND FORTY-FIFTH
MEETING OF THE BOARD OF TRUSTEES

Columbus, Ohio, February 5 and 6, 2009

The Board of Trustees met Thursday, February 5, at the Fawcett Center and on Friday, February 6, 2009, at the Faculty Club, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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The Chairman, Dr. Cloyd, called the meeting of the Board of Trustees to order on Thursday, February 5, 2009, at 8:40 am. He requested the Secretary to call the roll.

Present: G. Gilbert Cloyd, Chairman, Jo Ann Davidson, John D. Ong, Douglas G. Borrer, Leslie H. Wexner, Walden W. O'Dell, Alex Shumate, Brian K. Hicks, John C. Fisher, Robert H. Schottenstein, Alan W. Brass, Ronald A. Ratner, Algenon L. Marbley, Linda S. Kass, and Debra J. Van Camp.

Dr. Cloyd:

Good morning. I would like to convene the meeting of the Board of Trustees.

Before we take the roll call vote to go into Executive Session, I want to announce that the full Board will reconvene tomorrow afternoon at 1:30 p.m. at the Faculty Club.

Upon motion of Dr. Cloyd, seconded by Jo Ann Davidson, the Board of Trustees adopted the foregoing motion by unanimous roll call vote, cast by Trustees Cloyd, Davidson, Ong, Borrer, Wexner, O'Dell, Shumate, Hicks, Fisher, Schottenstein, Brass, Ratner, Marbley and Kass.

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The Chairman, Dr. Cloyd, reconvened the meeting of the Board of Trustees to order on Friday, February 6, 2009, at 1:30 pm. He requested the Secretary to call the roll.

Present: G. Gilbert Cloyd, Chairman, Dimon R. McFerson, Jo Ann Davidson, John D. Ong, Douglas G. Borrer, Leslie H. Wexner, Alex Shumate, Brian K. Hicks, John C. Fisher, Robert H. Schottenstein, Alan W. Brass, Ronald A. Ratner, Algenon L. Marbley, Linda S. Kass, Debra J. Van Camp, and Jason W. Marion.

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Dr. Cloyd:

In order to conduct the business of this meeting in an orderly fashion, I would ask that everyone make sure that the ringers on their cell phones are turned off, as well as other communication devices, so we can conduct the meeting in an orderly fashion, and also ask you to observe rules of decorum proper to conduct the business at hand.

Onto the next item on the agenda, before I introduce President Gee to provide his report I would like to wish him a belated happy birthday. I think it is very fitting that your birthday is on Groundhog's Day. Happy birthday, President Gee.

All of you have had an opportunity to see the wonderful gift that President Gee provided in his scholarship for students. This is really a very significant step on your part President Gee, and very consistent with the mission and vision of this great University to

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provide high quality education to everyone in the state, and beyond, and that is very much appreciated. Thank you very much.

President Gee, there is also one other gift I would like to acknowledge at this time, which I think is really rather extraordinary. President Gee often talks about the positive passion that people have for Ohio State University, and we have a real example of that in our Development Fund report today. Dean Gifford Weary, who is dean of Social and Behavioral Sciences, has created a \$2 million chair in the department of Psychology. I do not believe she is here today, but we will recognize her even without being here. It is an extraordinary gift in its own regard, but it also fits with what President Gee says is the type of loyalty and dedication we see across our University, and in this case by one of our deans and faculty members. Even though Dean Weary is not here, I would ask all of you to join me in giving her a round of applause for this gift.

Thank you very much, and now I will turn to President Gee for his report.

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PRESIDENT'S REPORT

Dr. E. Gordon Gee:

Thank you very much. I appreciate your kind words. You will not see as much of me in the future because I will be taking to Washington on Saturdays and Sundays to pay for the damn thing. I am as optimistic as ever, and how could I not be?

Last week Governor Strickland unveiled a budget proposal that builds upon two years of unprecedented support for higher education in Ohio. Other state supported universities around the country are laying off and furloughing staff. Thanks to our Governor and our bipartisan legislature and their firm commitment to higher education, we are better positioned than Ted Koppel's toupee.

Truly I am deeply grateful to the Governor, our elected leaders and Chancellor Fingerhut. Higher education will pull us out of this economic tailspin. I truly believe that, so the ball is now in our court.

For my part, I discussed with the Board today my goals for the next five years. The document is still a work in progress, but I like it because it goes well beyond the usual high-level, purely inspirational amorphous kinds of statements. The goals have specific strategies and milestones to be met along the way. It is a buck-stops-here approach, and I firmly believe that raising the stakes will help us move very quickly to realize our full potential.

Not surprisingly, perhaps, students have kept me busy. We have been to basketball games, parties, food festivals, and hockey games. They even sang happy birthday to me on Monday - by the way I did go out early in the morning, saw my shadow and went back to bed - and they sang happy birthday until I told them to get back to class.

In the past two months I have met with our future dentists, our future journalists, our future educational leaders, and I hope with all of

them future Buckeyes. No, when I say that I am not talking about Marcus Hall or Jamaal Berry. This is recruiting season for honors, scholars, and Maximus students, and we have brought thousands of them to campus to convince them to commit to Ohio State next fall. These are the best and the brightest high school students from around the country, and like Angelina Jolie, Ohio State wants to adopt them all.

A couple of weeks ago I spent an evening with doctoral candidates in our educational policy program. The conversation was lively and engaging. They asked me anything and everything. In fact, one student from South Korea asked me, and this is absolutely true, a very reasonable and perceptive question. He said with all the available talent out there, why on Earth did Ohio State hire me twice. He is on his way back to South Korea.

Our students' Solar Decathlon project belongs in a science-fiction novel. It brings together students from architecture, engineering, political science, some 20 majors in all. They are designing and creating a 700 square foot solar powered house of the future that will be part of a national competition in Washington, D.C. next fall. Other students are taking technologies developed in Ohio State laboratories and creating new companies out of them. NanoMed is one of them.

Born in an Ohio State classroom, NanoMed produces genetically modified cells for gene therapy, and it is irrefutable scarlet and gray proof of the entrepreneurial spirit of our students.

Clearly these students are learning much from our faculty. The American Association for the Advancement of Science (AAAS) is the gold-standard of academic achievement. This winter 18 Ohio State faculty members were inducted into the AAAS, assuring that our faculty remains the largest contingent of AAAS fellows in the country. They are making the news in other ways, and more often than even the Governor of Illinois.

Since we last spoke Ohio State experts have been featured in *The New York Times*, *Newsweek*, *CNN* and even Jay Leno's monologue. His show is post-prime time, so the theme was not exactly G-rated. Let us just say it involved the world's oldest profession and new evidence that when you touch something you are more likely to buy it. But easy double-entendres aside, our faculty have made news with ingenious ideas to protect soybean crops, calculate the cost of food borne illness, solve the mysteries of the solar system, and develop a vaccine for Alzheimer's. Their leadership in reaching out to our communities also was recognized recently. In December, the Carnegie Foundation for the Advancement of Teaching recognized the University for its extensive outreach and engagement efforts – everything from watershed management to the arts.

I am delighted to report that we have recently appointed Christine Poon, dean of the Fisher College of Business. She is a veteran of the pharmaceutical industry and a global-force in business and commercialization of research. Dean Poon, whom many of you met in January, will take the helm this spring, joining the ranks of Joyce Beatty and Javaune Adams-Gaston, who hit the ground running last

month. Together these leaders will enrich our University whose stock is on the rise.

In December, *The Wall Street Journal* named the Fisher Executive MBA program the third best investment in business education. Several weeks ago, we announced the new Students First program. Through the program, we seek to ensure that students caught in the economic tsunami are able to complete their degrees. Students First doubles the amount of money available for short-term loans, while reducing interest rates and guaranteeing that if tuition rises, financial aid will rise with it.

A complementary new fundraising initiative will help in even more substantial ways. All of us at this University are redoubling our efforts to fuel Ohio's economic resurgence, and we are more aggressively telling our success stories. Last week, I sent to elected leaders and others the first of a series of one-page stories about University programs that are creating jobs and kick-starting our economy. They include business incubators in Piketon, telescope construction in Columbus, and senior-technology development in Dayton.

What all of these programs underscore is that now is not the time to hunker down. Ohio's future, I believe, lies in the development of new ideas, innovative thinking, and the application of our best and brightest minds to our toughest global problems. Realizing the enormous promise of our great state requires partnerships of all kinds. And partnerships with alumni and friends have never been more important. It is my honor to announce one of the largest single gifts ever given to the University, and the largest from an individual donor to the Engineering College.

Bill and Ernie Lowrie have committed \$17 million to chemical and biomedical engineering at Ohio State. Their gift is remarkable for its extraordinary size, but more so for the ways in which it will advance the works of students and faculty for generations to come. The Lowries are supporting faculty members at various stages in their careers through the creation of targeted endowed funds. They are devoting substantial sums to the construction and support of a new laboratory building.

For many decades, Ernie and Bill have been two of the University's firmest friends. They have given their time and talents to so many areas of Ohio State, and Bill's strategic guidance on our Foundation Board is absolutely invaluable. In recognition of Bill and Ernie's uncommon generosity and steadfast friendship, the Board today will approve the naming of the William G. Lowrie Department of Chemical and Biomolecular Engineering. The Lowries have to leave soon, but would they please join me at the podium so that we might properly express and show our gratitude?

Ladies and gentlemen, Bill and Ernie Lowrie.

Mr. William G. Lowrie:

I did not know I was going to be invited to speak, but I will be brief. We are extremely pleased to be able to do what we are doing. It is something we have given a lot of thought to. I know it is referred to as a gift, but as I have told other people, in my opinion, what is

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taking place in the Department of Chemical and Biomolecular Engineering over the last couple of decades is growing it and making it stronger, and making it much more powerful; they have earned this and they have earned the right to step forward and continue to grow, and the gift we are making, I am confident will give them the facilities to recruit and bring in high talented students and faculty. I will promise you, although I am not going to be able to control it, that they will continue to create and will create a department that is going to be world class in this area of study. It is our pleasure to do this, and thank you very much for the recognition you have afforded us. We appreciate it.

Dr. Cloyd:

Thank you President Gee. I would now like to call on Jason Marion to present the Student Recognition Awards.

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STUDENT RECOGNITION AWARDS

Mr. Marion:

Thank you Mr. Chairman.

Today we have the opportunity to recognize two of Ohio State's most outstanding students, and being outstanding in an institution such as Ohio State is quite a feat. This is my favorite part of the actual meeting, because we have two examples of what we as a University are all about.

Our first student, Laura E. Kenkel, a Cincinnati native, comes to The Ohio State University from Ball State University in Muncie, Indiana, where she was a National Merit Scholar earning top honors while working on two bachelors degrees, one in chemistry and one in psychology. She later earned a masters degree in physiology at Ball State, and she is currently a fourth year medical student in our College of Medicine.

Dean Souba identifies Laura as "the embodiment of intellectual experience, compassion, and altruism." Her record demonstrates this. At OSU she has earned four merit and service scholarships, demonstrating her academic excellence and outstanding service to others. Her service is commendable, both to the University and to the community. She has worked well over a hundred hours for the Columbus Suicide Hotline, she has created and facilitated a support group for medical students with depression and anxiety, she served as an executive member for the Psychiatry Interest Group and currently she is co-chair of the Gold Humanism Honor Society. It is absolutely no surprise she was awarded the Mallory Scholarship in the Medical Humanities for commitment to the medical humanities and community service. In addition, while in medical school she has received honors on four occasions and received five letters of commendation. Laura has also been an outstanding instructor with Professional Pathways in Health and she continues to be an exceptional mentor and educator to OSU's premedical undergraduate students. Laura's selfless nature and her ability to help others will surely make her an outstanding doctor and she is highly worthy of recognition by the Board.

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Laura will you please stand to be recognized.

In a moment Laura will address the Board and we will also have an opportunity for some photos.

Our second student, Tada A. Yamamoto is a senior pursuing a bachelor of science degree in Business Administration and majoring in Business Logistics and Operations Management at our Fisher College of Business. Tada comes to us from West Chester, Ohio, another Cincinnati student. I had nothing to do with the selection of these awards.

A two-time intern for General Mills and a one-time intern for Energizer Holdings, Tada has done everything from assembling the viability of Yoplait's flex capacity to maintain 99% customer service, to training full time Energizer employees at corporate and Asheboro plant offices to use the Red Prairie Transportation Management System.

At OSU, Tada has been setting a strong example for his peers, from earning 1st place in the 2008 TLA-Dow Chemical Case Competition to being in the top 2% of the Fisher class. Tada continues to grow from his firm freshman foundation at OSU, where he literally came into this institution sprinting, earning the Outstanding First Year Student Award. Just last year, he was named the 2008 Most Outstanding Junior Award recipient in the Fisher College of Business.

Despite being very academically minded as evidenced by being in the Honors Cohort Program, all the previously mentioned awards and many other accomplishments I have not mentioned, Tada stays socially and civically active serving as president of The Logistics Association and chancellor of The International Fraternity of Delta Sigma Pi. These are two very important and time-consuming roles, especially the fraternity responsibility. Amazingly, Tada still finds time to serve as a Fisher peer tutor, and this spring we will see Tada walk across stage at commencement, where he will become another strong addition to OSU's world-renowned Alumni Association.

Tada, will you please stand and be recognized?

Laura and Tada please join us at the podium to make a few remarks to the Board. They are interested in hearing about your experience.

Ms. Laura E. Kenkel:

First I would like to say, thank you President Gee, Chairman Cloyd, and the Board of Trustees for this award. I would also like to thank Dean Souba, and the rest of The Ohio State University's College of Medicine's administration for their continued support for both my academic and service endeavors. Last but certainly not least, I would like to thank my parents, and the rest of my family, who are sitting over there: my mom, dad, grandma and sister. They have always been very supportive in my academic and professional pursuits. I do not know if I would have been able to accomplish even half of what I have been able to do without their love and support.

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I came to OSU roughly four years ago, and began medical school, one of the last steps in fulfilling my life long dream to become a physician. As these four years have gone by, I have grown my medical knowledge and skills and become invested in the areas of humanism and professionalism of medicine. I have developed those attributes one truly needs to succeed in medicine, love of learning, compassion and a conscientiousness that helps me to see each of my patients as individual and unique. At any other school in the country, I probably could have garnered the knowledge and skills necessary to be a competent physician; however, I do not know if I would have developed such compassion and professionalism at any other school.

I have been continually amazed by the wonderful administration, faculty and staff at OSU's College of Medicine. The people at the College of Medicine make it the great college that it is, a place that both challenges and nurtures its students. This also reflects a positive light on the University at large, as such a place as our College of Medicine can hardly exist without the support of the University's leadership, and their support of our college and it's students. Those leading OSU identify themselves in fellow believers in the importance of professionalism, humanism and compassion.

Sadly, my time at OSU is likely at an end, at least for now. I have just completed interviewing at some of the best psychiatry programs in the nation, including ours here at OSU, and come match day in March, I will start preparing for the next leg in my journey as a physician. Without OSU and the College of Medicine I do not believe I would have been such a successful candidate for residency; needless to say such a well-rounded and professional physician. I am grateful for the time I have had at OSU, it will always have a special place in my heart. For me it will always stand out as an example of a progressive, humanistic and compassionate institution which passes those values on to its students. In my case as well as many others, produces a physician of which I hope and believe the University can be proud. Thank you.

Mr. Tada A. Yamamoto:

I would first like to thank the Board for this award. I really appreciate this award coming from a group that drives the University to become something that is second to none in my heart. My father always used to tell me that no award of value is really worth anything to represent the individual and his or her accomplishments, but rather a community that has fostered this individual. Each award is actually recognition of the community's ability to bring out the best in each individual, and I really feel as if Ohio State has brought that out in me. From sponsoring me in various things I have been able to do and travel to case competitions, travel internationally, and seeing the interaction with this highly diverse group, Ohio State really has collected everything I could possibly need to become the person I am today.

I keep one quotation next to my bed every night that reads similar to a John D. Rockefeller quotation, saying that "every scholarship implies a promise, every award a commitment and every honor an oath." So while I thank you again for this award, I take with me today an oath that I must pass forward everything and all the opportunities that have been presented to me through the past four

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years, so I can contribute both financially to the University, but also in my daily activity and coming back to the University in my professional life to provide mentorship to the same students that I had the opportunity to be mentored by professionals. So with that again, I would just like to say, thank you very much, and thanks to this Board who has made this community, which has made me the person I am today.

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COMMITTEE REPORTS

Dr. Cloyd:

At this time we will move to our Committee Reports. I would like to begin with Audit and Compliance Committee.

Mr. Schottenstein:

Thank you Mr. Chairman. The Audit and Compliance Committee met this morning. First we received a status report on internal controls, which was really a follow up to a lot of the streamlining measures that were recommended by PriceWaterhouseCoopers, pursuant to their operational audit which they undertook nearly two years ago. A lot of progress has been made in pursuing a number of those initiatives, and Mr. Shkurti provided us with an update.

We then received a presentation on the manner in which we account for gift receipts so that we could understand how those appear on our statement from an accounting standpoint. The compliance presentation at this meeting was entitled "Research Compliance Program Overview" presented by Dr. Todd Guttman. It was a very good report. Just for this Board's information, there are roughly 5,400 current research projects being undertaken at the University today. The oversight required to assure compliance with the enormous maze of federal and state regulations is quite impressive. I started to count the number of federal and state regulatory bodies that deal with our research and I stopped at 20. There were still quite a few more. Based on the report and the information that we were provided, that dealt with extent to which we comply, it appears that we deserve an A grade for our compliance. There are no problems that I need share with this Board, and that is a good thing.

We then had a brief review by Mr. Shkurti of our planned calendar of audit reviews for this year, and also a brief review of the mid-year financial report that I believe was also given in more detail at the Fiscal Affairs Committee. Finally we voted on an amendment to the Code of Regulations for one of the Affiliated Entities, Managed Health Care Systems, Inc., which will be on the consent agenda.

Dr. Cloyd:

Thank you Mr. Schottenstein, any questions or comments on the committee report?

If not we will now move to the Committee on Trusteeship report.

Mr. McFerson:

Thank you Mr. Chairman. On behalf of the Committee on Trusteeship, I would like to state some of the following.

First we considered, yet again and for the final time, a resolution for Charter Trustee(s) as item three on the consent agenda. This will allow the Board to elect up to three non-voting members of the Board. They will be able to serve for two-three year appointments if they so choose. It was the recommendation of the Committee that these appointments not be made until after the governor makes the two regular appointments this spring.

Secondly, as you recall at the last meeting of this Board, the Board voted a change in the bylaws to allow the election of a chair-elect, at this February meeting. Our thinking was that this would allow for a smoother transition of leadership which takes place at the end of the April Board meeting. The Committee on Trusteeship is charged with overseeing this chair election process, and to that a working group was established, comprised of our Chairman Gil Cloyd, Jo Ann Davidson, John Ong and myself. We met a few times, talked on the phone, worked back and forth, interacted with every member of this Board, just talking about a variety of things, and came up with three documents that we discussed in our meeting today. First is a description of the position of the chair of the Board of Trustees, second is a listing of the key qualities that we believe to be desirable in a Board Chair, and third a listing of the key challenges facing the University in the next few years, and we have talked about that.

We believe a process is now in place that can be used in subsequent years and will not have to be developed again. I hope that you all agree with that. In light of that conversation, and our discussion, the Committee on Trusteeship reviewed and discussed these documents, and agreed as to their pertinence for the task we have at hand. The responsibilities, the attributes and challenges of the individual that we would like to recommend to be our next Board Chair.

The Committee on Trusteeship unanimously recommends Leslie Wexner to be our next chair, to take effect after the end of our next meeting in April. This will provide for a two-month, approximately 60-day transition with Dr. Cloyd. It is the collective opinion of the Committee that Mr. Wexner possesses the attributes desirable for the next chair and has demonstrated them throughout his service to the Board and the University over several decades. He certainly meets the attributes that we have discussed and is extremely well qualified to meet the challenges that we face as a University in the next two to three years. This is a critical time in the history of the University, we all know that, in higher education and the financial pressures that we face, and the list goes on and on. We clearly are in some interesting and uncertain economic times in our nation's history. Mr. Wexner's business experience, his insistence on the kind of strategic thinking necessary to focus the efforts of the Board, and his unique ability to combine vision and reality, all speak to his exemplary qualifications for this position. Thanks to the extraordinary leadership this Board has enjoyed from the chairmanship of Judge Duncan when the make-up of the Board was expanded, and through the carefully reshaping of the Board Committees and operations most skillfully orchestrated by Dr. Cloyd,

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who has been a fabulous chair, this Board is now fully prepared to support the institution and the inspirational leadership of Mr. Wexner as well, working very closely with President Gee.

Mr. Wexner's staunch support of the implementation of the new governance practices this Board has enacted over the past three years, that we have come to call the Statement of Expectations, that has been approved by this Board well over a year ago, as well as, I have said, his leadership and experience makes him uniquely qualified to lead the Board at this time. On behalf of the Committee on Trusteeship, Mr. Chairman, I make a motion that Mr. Wexner be elected our next Chair, and we will turn it over to you for a second and for discussion.

Dr. Cloyd:

Thank you, Mr. McFerson. Is there a second?

Mr. Brass:

Mr. Chair, I would like to second Mr. McFerson's motion, and I would move that we by acclamation vote Mr. Wexner in as our next chair.

Dr. Cloyd:

Thank you very much. Let me just note and comment that I want to thank the Committee on Trusteeship for some excellent work here and I think you have presented a very thoughtful candidate, a wonderful candidate, in that regard. I move that we have a voice vote. All in favor of accepting the recommendation from the Committee on Trusteeship that Mr. Leslie Wexner will serve as our next chair, seconded by Mr. Brass, all in favor please say aye. Opposed? It is unanimous, Mr. Wexner, congratulations.

Mr. Wexner, I can't tell you how happy I am to have a man of your vision, your skill, your experience and also very, very importantly passion for this University, chair this Board. I know you will be a key leader as we move from the excellence to eminence goal that President Gee has identified, so congratulations.

That concludes the work on the Committee on Trusteeship. I would now like to move to the Medical Affairs Committee.

Mr. Brass:

Thank you, Mr. Chairman. This morning we had a very full agenda as it relates to the Medical Affairs Committee. Dr. Steve Gabbe brought us up to speed with the number of awards that are continuing to be given out to our faculty. It is really neat what is happening within our Medical Center. I want you to be aware of a couple of major thrusts that we are embarking on. One is a comprehensive ambulatory care study for our entire Medical Center throughout our community. We currently operate 40 ambulatory care sites. We have engaged McKenzie as it relates to helping us develop this ambulatory care site. You will be hearing more about that as we proceed with that program.

The second major thrust that we are working on and we will continue to work on over the next few months is taking a look at The Ohio

State University's Physician Practice Plan, as to how we make sure that it is fully integrated into the Medical Center. There are a number of subcommittees that are working under Dr. Gabbe and Dr. Souba to help us with this.

We concentrated on quality, safety and patient satisfaction indexing. Under the direction of Steve Gabber, the Medical Center has reorganized a new cabinet for decision making, made up of 16 individuals, to streamline the decision making process, and I think that is very important.

Just recently we opened up a 20-bed unit in our emergency room. Normally I would not highlight something that small, but this is very critical. It basically gives the physicians and staff the opportunity to hold the patient and evaluate whether or not they really need to be admitted or not. It will decompress the tremendous load we have on the inpatient house as it relates to making sure we have a process that is reasonable for the patient.

Dr. Chip Souba highlighted five major recruitments that are underway right now, and we as a Board should be made aware of those; Radiation Oncology, the Nisonger Center, Anesthesiology, Pharmacology and the Neurosurgery area. I am happy to introduce today our latest recruit to The Ohio State University Medical System, Dr. Jason Calhoun, our new Chair of Orthopaedic Surgery, who joined us as of January. Welcome aboard. We have a double-win since his wife Karen, has joined us in the Department of Otolaryngology as an ENT surgeon as well. So welcome both Jason and Karen to our family.

We then discussed the scorecard. The scorecard as you know is tracking ProjectONE, one of the largest, if not the largest project the University has undertaken. I am happy to tell you the scorecard is looking good, even with these difficult economic times. I do not want us to get too optimistic because we are headed into some rough water yet, but the scorecard looks good.

The three things that we are really tracking is our days cash on hand, EBIDA, and our debt service coverage which are all green lights. That is good, and I tell the Board that Dr. Gabbe and others on the team are watching this very carefully and doing a very diligent job for us. I am also happy to tell you that in light of what is happening across the country in healthcare, our University is holding well on numbers. Now what do I mean by that? When we take a look at admissions, surgeries, ambulatory visits, and inpatient admissions, all of our numbers are up. That is important. We are now almost at the point of one million ambulatory visits a year; emergency running an excess 100,000, and admissions an excess of 55,000. Tremendous pressure is coming on our Medical Center, and that is why our new project is so important. We have to stay focused on that.

We also have to be very focused. The down side to that is in tough economic times, the indigent case load might go up, and we have a responsibility to take care of patients. We will continue to meet that responsibility, but we have got to watch our finances.

There are three items that are being brought forward. Three very exciting items that were approved today by the Medical Affairs

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Committee that were moved through the Fiscal Affairs Committee and are on our consent agenda today, and I would like to briefly discuss those.

The first one, is the true beginning of ProjectONE taking off. It is the beginning of the beginning. In July, Means Hall will be demolished. It will start in July by removing asbestos and other things such as gasses that need to be removed from the building, and by the fall that building should be down. That is an important first step.

The second one is the very large MRI that is right next to Means Hall. It will be removed and is going to be preserved to be reused for research. In and of itself that does not sound like too much except when you hear the statistic - 32,000 tons of steel have to come out of the ground and be replaced somewhere else. It is a big project. The last is that South Cannon Garage will be coming down. That is the first of two projects that are on the consent agenda today, all of which are in the budget, all of which are in the scope.

The second project is one of magnitude, and that is the electrical service relocation project. A tremendous amount of utilities have to be moved and relocated. That project is also in the budget and is scheduled to start in July as well.

The third item on the consent agenda, which I believe is the one that will help us to continue to move upwards as it relates to the national prestige that our Medical Center is bringing to this University as a whole, is to complete the last three floors of our Biomedical Research Tower. Right now we have 450,000 square feet of research space for our Medical Center. This will add approximately 100,000 square feet of research space and will complete that building itself. We still will be short 300,000 – 400,000 square feet of space which we will have to continue to work toward as we continue to build the system. Today's consent agenda has \$3 million in it to begin the design of those three floors, so that we can finish that project. Then the Medical Center will be bringing back the final recommendation for construction after the design and estimation is finished. Three very important projects coming forward today, and Mr. Chairman, that completes my report.

Dr. Cloyd:

Thank you very much Mr. Brass. Any questions or comments on the report?

Next we will have the report from the Development and Investment Committee.

Mr. Peter B. Weiler:

I reported to the Committee our progress against our FY09 goal of \$350 million. As of December 31, we were at \$167 million in total activity or 48% of our goal. Our progress compared to last year at this same time, in overall activity, is 2% ahead of last year. Of note, we are 18% behind in cash receipts, mostly as a result of fewer gifts from foundations, which makes sense given the market conditions and what is occurring in our own endowment. Conversely, however, I reported that we are ahead in pledges or new commitments by 45%, mostly as a result of some significant new gifts, some of which

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were reported here today, including the wonderful gift by the Lowries. I did also report on a new fundraising initiative to support the President's commitment to our students and the University's Students First program for the next 18-24 months. We will have very targeted solicitation, both annual gifts and major gifts, to support the Students First program.

Finally the Committee approved three program funds, three research funds, nine scholarships, three named funds and five revisions to funds totaling \$2.69 million. That concludes my report.

Mr. Jonathan Hook:

Thank you Mr. Chairman and the Board. We had a spirited and lively discussion about the long-term investment pool during our meeting, in which we discussed the performance for the fiscal year, we are down 26.7% year to date. This places us right about at the average of the university and foundation world. It is unfortunately not an environment in which any one is doing well but we are working through it, and I think we are making changes as we go which are going to prove as very good and positive changes for the endowment as we move forward. We have continued to work toward adding additional diversification and to de-risk the portfolio by making changes. We are working on different strategies and themes in the portfolio that will benefit us as we go through this bottoming process and even possibly further deterioration in the market as opposed to hoping for the markets just to get better on their own. Many of our peer institutions are faced with severe liquidity problems at this point, and they have the inability to fund their contractual obligations, and those who have budgets that are dependent upon the endowment for 30% and 40% of the total budget are in much worse shape than we are. Not to take any celebration from that, but I think to say that we are in relatively much better shape than many of our peers, and we will continue to work toward that to make sure we continue to be in that position. Our liquidity management is taking a great deal of time and effort to make sure that we do not get caught without enough cash, without enough wherewithal to fund the things that we need to on the schedules we need to. We are very mindful of that.

We then went to a first reading of the new Asset Allocation Model, which we will be discussing with the Development and Investment Committee at the next meeting. It is a model that is designed to provide us more risk management, more liquidity management, and also the ability to be opportunistic, to find the good new opportunities as they come, and there are some even in this environment.

Lastly we also discussed a plan to combine the two endowment pools. Right now we have two separate pools, one that pays out at 4.5%, and one at 4%, and the concept of combining the pools and merging to one unified payout rate of 4.25%. Doing that at the same time that we do not inertly harm the recipients of the funds, and that we can make sure we continue to focus on taking care of those who need the money now as well as those who will be recipients in the future. That will end my report.

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Dr. Cloyd:

Thank you very much. Any questions or comments from Board members on either the Development or Investment Committee Reports?

Next I would like to have a report from the Academic Affairs and Student Life Committee.

Ambassador Ong:

Thank you Mr. Chairman. At the Committee's meeting in December 2007, Provost Alutto reviewed with us his plans for a comprehensive, bottoms-up strategic planning effort starting at the departmental and program level and going on to the college level and then to the level of the University as a whole. Today, a little bit more than a year later, the provost returned to give us a status report on that process. He began by reiterating that this is a completely new, different planning process that features more coordination and more analytical input than any planning process that has ever been part of the University in the past. He specifically reiterated that this process explicitly requires the aligning of the University Board of Trustees, college and departmental goals, so that our whole strategic planning process, top-down and bottom-up is consistent. All of the college strategic plans will have five components: facilities; fiscal resources; technology resources; development resources, which is to say funding; and talent components, having to do with the retainer and attraction of talented faculty. These components are to be driven by strategic initiatives that are clearly articulated from the plans, and those initiatives in turn are to be shaped in light of President Gee's well known six overarching goals for the University. The provost explained the thorough review and endorsement process that is required for each college plan. That process at the end of the day requires the sign-off not only of the college dean but also of the college fiscal officer, something that was not required in past planning processes, in order to assure the alignment of strategies with resources. All the plans should be ready for endorsement by the end of winter quarter which is not very far away. The provost provided updates on the progress that each college has made toward putting its strategic plan in final shape. The health sciences college's plans are almost complete at this date with work in some of the professional and arts and sciences colleges but the provost indicated that he was highly confident, that while the whole process is a little behind schedule, that it is being done well. In accordance with the rules of the game established at the outset, a number of University level initiatives including the academic facilities planning process, the chief information officer's strategic plan, and the Capital Campaign will influence the final version of these college plans. There are a number of other University-wide initiatives that support the planning processes, among these are the doctoral and undergraduate program quality assurance process, which our committee reviewed last year; the development of the new centers for innovation and innovation groups; and the evolution of the Federation of the Arts and Sciences Colleges.

Following that report Mr. Kaplan introduced Dr. Javaune Adams-Gaston - who has been, for the lengthy term of one month, Ohio State's new vice president for Student Life. She already has a

vision of Student Life, and this initial presentation on her part indicated to the committee how she saw her job and what she intended her priorities to be, so that we could make input. She made a very impressive presentation to us, emphasizing that she is going to concentrate initially in four areas. One, providing a seamless quality experience for students, something that will require her to carry out extensive dialogues with students across every college in the University. Secondly, she is going to work on preparing students for civic leadership, including state, national and world-wide as their careers develop, including intensive effort on her part to establish internship programs both here in the United States and, she hopes, eventually abroad. She feels that there needs to be a careful look at the metrics for student achievement in leadership capacities, and she is going to try and develop a metric framework for that purpose. Thirdly, she wants to create experiences for the students that embrace diversity and, as she said, include not simply emphasizing tolerance, but appreciation for diversity. Finally she wants to spend a lot of time on expanding career development experiences for students, striving to find the right niche for them and to encourage them to identify their interests and to assess their potential for careers. These areas of concentration are going to allow for additional focus on second-year students, we all know about sophomores, greater integration of international students, emphasis on living, learning kinds of environments throughout the University, and broader opportunities for students to consider career, graduate and professional school opportunities.

The balance of our agenda had to do with the various items under the auspices of our committee that are in the consent agenda for this meeting. I did ask the Provost to talk specifically about one of those items, which is the approval by this Board of two reports that The Ohio State University needs to submit at the request of the University System of Ohio, or the Board of Regents, later this year. Both reports require Board of Trustees approval, but because the deadlines fell between our meetings in the fall and this meeting of the Board, action is being requested today.

The two reports are among your papers. They are responsive and accurately responsive to the questions and requirements of the Board of Regents. However, as the provost reviewed with us we have certain concerns about the merit of the metrics that are being chosen about the relevance of some of the information, and we assured the Committee that as our dialogue with the chancellor continues, we will be airing these problems on our part in the hopes that we can persuade him that some alteration in the requirements for these reports will be allowed in the future.

Dr. Cloyd:

Thank you very much Ambassador. Any questions or comments for the Ambassador on the Committee report?

Thank you very much. We will now have a report from the Fiscal Affairs Committee.

Ms. Davidson:

Thank you Mr. Chairman. The Fiscal Affairs Committee has a series of reports that come to us on a regular basis, so we try to track

capital projects and expenditures and where we are on line financially. That was the case at this particular meeting. You all have copies of those reports in your Trustee book, but I am just going to briefly run through some highlights of a couple of those reports.

The first was the Mid-Year Financial Report. Frankly it was a pretty good report considering where things are in the world today. Our enrollments were up 1.5%. There is a little bit of concern on the Newark Campus, and obviously we have touched base with them. Their enrollment is down somewhat. It appears to be impacted by students transferring much more quickly from the Newark Campus to our campus here which would affect obviously their enrollment numbers.

On to the Medical Center report... you already heard the good news from Alan Brass and his report. In regards to State support and looking at the current fiscal year that we are in, FY 09, obviously we have had the tuition freeze and that commitment has been made by the state, there are some of our line items that were impacted by two cuts, and we have been working to try to resolve those problems. Other than that we will just be cautious as we finish out this fiscal year from now to June 30.

Dr. Gee already reported the good news about the Governor's proposal as far as funding for the next biennium budget in the state of Ohio which would be a freeze for the 2009 year in a voluntary tuition limit on our part at 3.5% for the following year. There will still be line items that will be impacted in the budget, there will be some decreases in, and so we will be following that. Two cautions on the budget as always is that it must be adopted by the legislature, and secondly that it is based upon about \$3.4 billion of the Federal Stimulus Package, that is not yet finalized at the congressional level.

Investments, obviously along with everyone else, we took a loss of about 27% in our long-term investments. I know the Development and Investment Committee was discussing that today. We keep an eye on where our auxiliaries are. Obviously Athletics and the Blackwell are both down, somewhat, and are both taking steps to address that particular issue. Wonderful news regarding the bond sale: a 20-year long-term bond sale at 3.72% interest rate. Congratulations to our people in the Treasurer's Office who helped to negotiate that. That is really good news. Overall, looking at this report, you would have to say that at the mid-year our financial report is stable for the University.

Bill Shkurti mentioned some things that we need to keep an eye on out there, and where we want to focus. First, obviously number one, are on our students, to be sure they are not negatively impacted and that they are still able to keep up with their studies here; continue to monitor the Health System, which we are doing obviously under Alan's leadership; preserve our liquidity, which is very important for the University right now; restructure our investment portfolio which you heard Mr. Hook speak about. These are our remaining 2009 concerns until we finish out this fiscal year and then obviously focusing on the next fiscal year. We will give a report back to you in April on the timeline on each of those particular issues.

I think that the bottom line on that is something that I underlined because I think it is something we always need to think of, and that was the report, as sound financial management is more important than ever.

We also had an annual financial benchmark report where we benchmarked the University against those universities that we normally benchmark against, but this year it was also benchmarked against the Board of Regents who put together a group of Universities that they benchmark. They are significantly different because there are different factors that go into developing those lists of benchmark institutions, but our revenues per student at Ohio State on the benchmarks that we normally use, is 14.8% below those benchmark universities for the Board of Regents - it is 4.8% below. I think one of the things that we want to stress here, is what a great value Ohio State is. We are ranked 41st in the nation. We are ranked first in the state of Ohio. Obviously our tuition is extremely low and the only thing that you can conclude on that is attending The Ohio State University is a great value right now and has always been a great value.

We had a capital projects report, where we go through and look at all the projects to see if they are on time and on budget. We made some changes a year ago in this process of what we bring to the Board, what comes to us for approval, so many of our projects are below \$1 million, and they do not have to come to the Board now. Those that are over \$10 million come to us, but what we have been able to do is to get those projects moving much more quickly because we do not need to plan time around a Board agenda when we can get each thing on the agenda. We have been able to complete 25% more projects in calendar year 2008; 1253 projects were completed on this campus in FY08. On the four major projects that we are looking at right now, the Library, the Student Union, the Student Academic Services Building and the Lane Avenue Parking Garage are all on time and on budget. I think it is good to report that for the Library the \$30 million commitment that was made to be raised in outside funds has been realized and a great thank you to our Athletic Department for helping that take place. Overall, on all of the budget items, all of the capital projects that were over \$10 million, 100% of them were on budget, and 83% of them were on time. I think that is a pretty good record. Bill Shkurti briefly spoke about how, just for the sake of transparency here, we will be changing over to a new student information services system. It is going to make a little bit of difference in the calculation of per credit hour fees. They will fluctuate a little bit as we move into that for fall quarter. It is not going to make more than a dollar or a dollar and a half difference to the fee structure, but since we made a commitment on fees at the beginning of the year, he wanted to be sure the Board was aware of that.

Mr. Chairman, we have three items on the consent agenda, the first item really is mostly composed of what Alan Brass reported, and that is moving ahead with the demolition of Means Hall and the South Cannon parking garage, and MRI removal, and some of the other beginning site preparation things that are necessary for Phase One of the Medical Center's ProjectONE.

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The second one is the purchase of three pieces of property at Neil Avenue and West 10th. They are in our South Campus acquisition area.

The third was the increase in football, basketball and golf course fees, which Gene Smith presented to us. There would be \$1.00 fee increase on football tickets, \$1.00 increase on basketball tickets and very minimal increases for the golf course. I know you like to have comparison numbers, but they are very difficult to give you now because of the way some universities are pricing their tickets differently on premium games, but basically it would move us up somewhat to the top of the list in our own conference, in the Big 10 Conference, but in particular in football, and in basketball it would bring us up to be tied with where Illinois is, and in the golf course fees, we are at the bottom rung of the ladder, so really that is a good comparison. If you have questions, we can get you a more detailed comparison of where we are. The Committee is recommending the adoption of those three items.

Thank you Mr. Chairman.

Dr. Cloyd:

Thank you Ms. Davidson. One question I have just for clarification on the last item. The raise in the ticket prices are still just for non-student tickets, is that correct?

Ms. Davidson:

I should have mentioned that, no increase in the student fees. It is just for the non-student.

Dr. Cloyd:

Other questions on the Committee's report.

I want to make one comment before we move to the consent agenda. Ms. Davidson, I really do appreciate what your Committee has been doing and the overall administrative management of the University here in this very difficult time. No one can avoid any external difficulties, but to understand that the largest campus in this country continues to move ahead on all of its strategic priorities and has a good financial outlook, as good as what can happen in this case, does not happen without a lot of dedicated quality work by people, so thank you very much, and congratulations to all of you. Let's give them a round of applause.

I will now call on President Gee to present the Consent Agenda to the Board.

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CONSENT AGENDA

Dr. Gee:

Thank you Mr. Chairman. I would like to second what you said, and that is the fact that we have had, and the Board would agree, and certainly from my perspective, have had an extraordinary several

days together in which we have talked about the future of this University, in all of its aspects, in the most constructive, positive, and most strategic way. The folks that sit out here make all of this happen, and I am grateful to them, and I am grateful to you. The partnership that we have forged with this Board is an extraordinary one, and I feel very privileged to serve this University and to serve you. With saying that, we have 15 resolutions on the revised consent agenda. Item number 15 has been removed for further review, so we are seeking the approval for the following.

**THE OHIO STATE UNIVERSITY BOARD OF TRUSTEES
AMENDED COMMITTEE APPOINTMENTS 2008- 2009**

Resolution No. 2009-50

BE IT RESOLVED, That the appointments to Committees for 2008-09 be amended as follows

Academic Affairs and Student Life Committee:

John D. Ong, Chair
Douglas G. Borrer, Vice Chair
G. Gilbert Cloyd, ex officio
John C. Fisher
Linda S. Kass
Algenon L. Marbley
Jason W. Marion
Ronald A. Ratner
Alex Shumate

Fiscal Affairs Committee:

Jo Ann Davidson, Chair
Brian K. Hicks, Vice Chair
Alan W. Brass
G. Gilbert Cloyd, ex officio
Dimon R. McFerson
Walden W. O'Dell
Robert H. Schottenstein
Debra J. Van Camp
Leslie H. Wexner

Audit and Compliance Committee:

Robert H. Schottenstein, Chair
John C. Fisher, Vice Chair
G. Gilbert Cloyd, ex officio
Linda S. Kass
Walden W. O'Dell
Ronald A. Ratner
James Bachmann
James Gilmour

Medical Affairs Committee:

Alan W. Brass, Chair
Algenon L. Marbley, Vice Chair
G. Gilbert Cloyd, ex officio
Douglas G. Borrer
Jo Ann Davidson
Brian K. Hicks
Dimon R. McFerson

Development and Investment Committee:

Leslie H. Wexner, Chair
Walden W. O'Dell, Vice Chair
G. Gilbert Cloyd, ex officio
John C. Fisher
Linda S. Kass
Ronald A. Ratner
Robert H. Schottenstein
Debra J. Van Camp
John B. Gerlach, Jr.
David A. Rismiller

Committee on Trusteeship:

Dimon R. McFerson, Chair
Alex Shumate, Vice Chair
G. Gilbert Cloyd, ex officio
Jo Ann Davidson
Brian K. Hicks
Jason W. Marion
John D. Ong

Agricultural Affairs Committee:

John C. Fisher, Chair
Robert Boggs, Vice Chair, ex officio
Douglas G. Borrer
G. Gilbert Cloyd, ex officio
Jason W. Marion
John D. Ong
Debra J. Van Camp

COMPENSATION AND TALENT DEVELOPMENT COMMITTEE

G. GILBERT CLOYD, CHAIR
ALAN W. BRASS
ROBERT H. SCHOTTENSTEIN
ALEX SHUMATE

**AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF AND
RULES AND REGULATIONS OF THE MEDICAL STAFF OF THE
ARTHUR G. JAMES CANCER HOSPITAL AND RICHARD J.
SOLOVE RESEARCH INSTITUTE**

Resolution No. 2009-51

Synopsis: The amendments to the *Bylaws of the Medical Staff and Rules and Regulations of the Medical Staff* of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute are recommended for approval.

WHEREAS The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board, pursuant to Bylaw 3335-109-02 of the *James Cancer Hospital Board Bylaws*, is authorized to recommend to the Board of Trustees the adoption of amendments to the *Bylaws of the Medical Staff and Rules and Regulations of the Medical Staff* of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; and

WHEREAS the proposed amendments to the *Bylaws and Rules and Regulations of the Medical Staff* of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute were previously endorsed and ratified by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board on January 27, 2009:

NOW THEREFORE

BE IT RESOLVED, That the attached amendments to the *Bylaws of the Medical Staff and Rules and Regulations of the Medical Staff* of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute are hereby adopted effective immediately.

(See Appendix XXIII for background information, page 609.)

ESTABLISHMENT OF THE POSITION OF CHARTER TRUSTEE

Resolution No. 2009-52

Synopsis: Establishment of the position of Charter Trustee on the Board of Trustees is proposed.

WHEREAS The Ohio State University is one of the premier public land-grant institutions in the country and, in execution of its mission, embraces education on a state, national, and global scale; and

WHEREAS the complex and multi-faceted nature of the University – in its mission, its character, its constituencies, and its financing – calls for extraordinary leadership at the highest levels; and

WHEREAS the governance of the University would be well served by Charter Trustees whose attributes include but are not limited to diverse cultural, geographic, business, professional, public service and civic backgrounds:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees of The Ohio State University hereby establishes the position of Charter Trustee in accordance with the following guidelines:

1. Charter Trustees shall be non-Ohio residents and shall be chosen on the basis of the following attributes: Ohio State alumna/alumnus or friend of the University; success in his or her chosen field or profession; state, national or international prominence; ability to advocate for higher education; and willingness and ability to offer counsel.
2. There shall be no more than three positions of Charter Trustee.
3. Each Charter Trustee shall be appointed for a three-year term (commencing on May 14 and expiring on May 13), except that the initial Charter Trustees shall be appointed for staggered terms of no longer than three years, and shall be eligible to serve a second consecutive three-year term.
4. Charter Trustees shall not be compensated for their service but shall be paid their reasonable necessary expenses, while engaged in the discharge of their duties as Charter Trustees, in accordance with the reimbursement policy governing voting Trustees.
5. Charter Trustees shall be governed by any applicable laws and regulations of the State of Ohio, the *Bylaws and Rules of the Board of Trustees*, and any applicable University policy.
6. Charter Trustees shall have no voting privileges on the Board, shall not be considered in determining whether a quorum is present, and shall not be eligible to be officers of the Board, but will otherwise participate in all activities of the Board, including membership on committees. Charter Trustees who are members of Board committees shall have voting privileges on those committees and shall be eligible to serve as committee chair or vice chair.

BE IT FURTHER RESOLVED, That Charter Trustees shall be nominated by the Committee on Trusteeship and shall be appointed, and may be removed, by vote of the Board of Trustees. Upon such appointment, the Chair of the Board shall cause a letter of appointment to be delivered to the Charter Trustee, and a copy of the letter shall be delivered to the Governor of Ohio.

APPROVAL OF UNIVERSITY SYSTEM OF OHIO REPORTS

Resolution No. 2009-53

Synopsis: Approval of the Institutional Accountability Report and Facilities and Technology Infrastructure Report requested by the Board of Regents is proposed.

WHEREAS The University System of Ohio Strategic Plan for Higher Education, released on March 31, 2008, called for the Institutional Accountability Report, and required the presidents of Ohio institutions

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to set forth the contribution that each institution would make to the achievement of the state's overall accountability measures; and

WHEREAS the Chair, Vice Chair, and Secretary of the Board of Regents requested the Facilities and Technology Infrastructure Report on November 7, 2008, to gather information on the condition of facilities and technology infrastructure in Ohio educational institutions; and

WHEREAS both reports require approval of each institution's Board of Trustees:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees of The Ohio State University hereby approves the attached Institutional Accountability Report and Facilities and Technology Infrastructure Report submitted to the Ohio Board of Regents.

(See Appendix XXIV for background information, page 623.)

NAMING IN DODD HALL, UNIVERSITY MEDICAL CENTER

Resolution No. 2009-54

Synopsis: The naming of the main lobby in Dodd Hall, located at 480 Medical Center Drive on the Medical Center campus, that provides a welcoming space for patients and their families when they arrive for treatment and care in physical medicine and rehabilitation is proposed.

WHEREAS Dodd Hall is a world-renowned program for rehabilitation; and

WHEREAS Stan and Jodi Ross's son Malcolm was a patient at Dodd Hall after suffering from severe injuries in an automobile accident in 1993 and has made a complete recovery; and

WHEREAS Stanley and Jodi Ross established the Stanley D. and Joan H. Ross Dodd Hall Endowment Fund in 2004 in Malcolm's honor, to support programs, activities, and equipment and supply purchases for patients, their families, and Dodd Hall staff; and

WHEREAS Stan and Jodi Ross's most recent contributions to Dodd Hall will be utilized to upgrade and renovate the main lobby, transforming it into a warm, welcoming environment to enhance patient and family morale, and make the lobby and interior corridors more comfortable for those passing through:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the Board of Trustees approves that the aforementioned main lobby in Dodd Hall be named the Stanley D. and Joan H. Ross Dodd Hall Lobby effective immediately.

NAMING IN THE BLACKWELL INN AND CONFERENCE CENTER

Resolution No. 2009-55

Synopsis: The naming of the ballroom in The Blackwell Inn and Conference Center, located at 2110 Tuttle Park Place on main campus, that sets the local standard for corporate meetings, weddings and social events, is proposed.

WHEREAS The Blackwell Inn and Conference Center is the only on-campus hotel and conference center at The Ohio State University offering personalized service, stylish guestrooms and state-of-the-art technology; and

WHEREAS Ed and Mary Jane Overmyer have provided contributions to The Max M. Fisher College of Business to establish an endowed fund for student support, the Edwin L. and Mary Jane Overmyer Leadership Fund; and

WHEREAS Ed and Mary Jane Overmyer have provided significant contributions to The Max M. Fisher College of Business to create an endowed fund to support the faculty, students, and staff; the Edwin L. and Mary Jane Overmyer Innovation Fund; and

WHEREAS Ed and Mary Jane Overmyer have made a significant commitment binding to their estate executors, administrators, heirs, other successors and assigns; designated to The Max M. Fisher College of Business to increase Edwin L. and Mary Jane Overmyer Innovation Fund:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the Board of Trustees approves that the aforementioned ballroom in the Blackwell Inn and Conference Center be named the Overmyer Ballroom effective immediately.

NAMING OF THE DEPARTMENT OF CHEMICAL AND BIOMOLECULAR ENGINEERING IN THE COLLEGE OF ENGINEERING: WILLIAM G. LOWRIE DEPARTMENT OF CHEMICAL AND BIOMOLECULAR ENGINEERING

Resolution No. 2009-56

Synopsis: The naming of the Department of Chemical and Biomolecular Engineering in the College of Engineering, located at 2070 Neil Avenue on the main campus; offers students the facilities and opportunities of a large environment with the individual attention of a smaller department.

WHEREAS William G. Lowrie and Ernestine R. Lowrie have provided significant contributions to the Department of Chemical and Biomolecular Engineering in the College of Engineering for student and faculty support and to create two endowed funds - The William G. and

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Ernestine R. Lowrie Endowment Fund for Chemical Engineering Excellence and The H.C. "Slip" Slider Professorship in Chemical and Biomolecular Engineering; and

WHEREAS William G. Lowrie and Ernestine R. Lowrie have made a significant commitment binding to their estates executors, administrators, heirs, other successors and assigns; designated to the College of Engineering to support the Department of Chemical and Biomolecular Engineering's portion of a new joint building for the College of Engineering and establish the Ernestine R. Lowrie Chair in Chemical and Biomolecular Engineering;

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the Board of Trustees approves that the aforementioned department in the College of Engineering be named the William G. Lowrie Department of Chemical and Biomolecular Engineering.

**DEGREES AND CERTIFICATES – WINTER QUARTER
COMMENCEMENT**

Resolution No. 2009-57

Synopsis: Approval of Degrees and Certificates for Winter Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees, the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on March 22, 2009, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 2009-58

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the November 7, 2008, meeting of the Board, including the following Appointments, Reappointments, Appointments of Chairpersons/Directors, Leaves of

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Absence without Salary, Leave of Absence without Salary – Cancellation, Professional Improvement Leaves, Professional Improvement Leaves – Change in Dates, Professional Improvement Leave – Cancellation, Emeritus Titles, and salary rolls with promotion, as detailed in the University Budget, be approved.

Appointments

Name: CAROLE A. ANDERSON
Title: Dean
College: Dentistry
Term: February 1, 2009, through January 31, 2014

Name: WILLIAM BRUSTEIN
Title: Vice Provost for Global Strategies and International Affairs
Office: Academic Affairs
Term: July 1, 2009

Name: ANDREW P. GOULD
Title: Professor (The Thomas Jefferson Chair for Discovery and Space Exploration)
College: Mathematical and Physical Sciences
Term: February 1, 2009, through January 31, 2013

Name: LARRY M. LEWELLEN
Title: Vice President for Human Resources
Office: Office of Academic Affairs
Term: October 1, 2008

Name: CHRISTINE POON
Title: Dean
College: The Max M. Fisher College of Business
Term: April 1, 2009, through March 31, 2014

Name: THALIYIL V. RAJANBABU
Title: Professor (Charles H. Kimberly Professorship in Chemistry)
College: Mathematical and Physical Sciences
Term: January 1, 2009, through December 31, 2016

Reappointments

Name: JAY B. BARNEY
Title: Professor (Chase Chair for Excellence in Corporate Strategy)
College: The Max M. Fisher College of Business
Term: October 1, 2008, through September 30, 2013

Name: JOHN C. FELLINGHAM
Title: Professor (The H.P. Wolfe Chair in Accounting)
College: The Max M. Fisher College of Business
Term: October 1, 2008, through September 30, 2013

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Name: CHRISTIAN K. ZACHER
Title: Secretary of the University Senate
Office: Academic Affairs
Term: October 1, 2008, through September 30, 2011

Appointment of Chairpersons/Directors

JAMES E. KINDER, Interim Chair, Department of Human Nutrition, effective February 1, 2009, through December 31, 2010.

CAROLYN J. MERRY, Chair, Department of Civil and Environmental Engineering and Geodetic Science, effective July 1, 2009, through June 30, 2010.

STEPHEN G.P. NAMETH, Director, Agricultural Technical Institute, effective January 1, 2009, through July 31, 2012.

KEITH L. SMITH, Director, Ohio State University Extension, effective January 1, 2009 through, July 31, 2012.

Leaves of Absence Without Salary

ERIC A. BRAATEN, Professor, Department of Physics, effective Winter Quarter 2009, for work associated with the Humboldt Research Award.

ANTOINETTE C. MIRANDA, Associate Professor, School of Physical Activity and Educational Services, effective Winter Quarter 2009, to work with Worthington School District to develop Response to Intervention programs.

WILLIAM W. TASCHEK, Associate Professor, Department of Philosophy, effective Autumn Quarter 2009, Winter Quarter and Spring Quarter 2010, to serve as a visiting professor at Reed College, Portland, Oregon.

Leave of Absence Without Salary – Cancellation

KAREN H. WRUCK, Professor, Department of Finance, Winter Quarter, Spring Quarter and Autumn Quarter 2009.

Professional Improvement Leaves

DOUGLAS B. DOWNEY, Professor, Department of Sociology, effective Winter Quarter and Spring Quarter 2010.

JOSEPH P. GREEN, Professor, Department of Psychology (Lima), effective Autumn Quarter 2009 and Winter Quarter 2010.

TINA M. HENKIN, Professor, Department of Microbiology, effective Winter Quarter 2009 and Spring Quarter 2009.

RANDY D. HODSON, Professor, Department of Sociology, effective Winter Quarter and Spring Quarter 2010.

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KLAUS HONSCHIED, Professor, Department of Physics, effective Spring Quarter and Autumn Quarter 2009.

RICHARD J. JAGACINSKI, Professor, Department of Psychology, effective Autumn Quarter 2009, Winter Quarter and Spring Quarter 2010.

RANDY J. NELSON, Professor, Department of Psychology, effective Autumn Quarter 2009 and Winter Quarter 2010.

STANLEY R. THOMPSON, Professor, Department of Agricultural, Environmental, and Development Economics, effective Spring Quarter and Summer Quarter 2009.

A. COURTNEY DEVRIES, Associate Professor, Department of Psychology, effective Autumn Quarter 2009 and Winter Quarter 2010.

JOHN W. DIMMICK, Associate Professor, School of Communication, effective Winter Quarter and Spring Quarter 2010.

CHRISTOPHER W. KNOESTER, Associate Professor, Department of Sociology, effective Autumn Quarter 2009 and Winter Quarter 2010.

STEVEN H. LOPEZ, Associate Professor, Department of Sociology, effective Winter Quarter and Spring Quarter 2010.

PATRICIA VAN ZANDT, Associate Professor, Department of Psychology, effective Winter Quarter and Spring Quarter 2010.

KRISTI L. WILLIAMS, Associate Professor, Department of Sociology, effective Autumn Quarter 2009, Winter Quarter and Spring Quarter 2010.

Professional Improvement Leave – Change in Dates

TERRY J. WILSON, Associate Professor, School of Earth Sciences, effective Autumn Quarter 2008, Winter Quarter and Spring Quarter 2009 to Winter Quarter and Spring Quarter 2009 and Winter Quarter 2010.

Professional Improvement Leave - Cancellation

WOLFGANG E. WINDL, Associate Professor, Department of Materials Science and Engineering, Autumn Quarter 2008 and Winter Quarter 2009.

Emeritus Titles

KEITH M. IRVIN, Department of Animal Sciences with the title Professor Emeritus, effective February 1, 2009.

HAROLD M. KEENER, Department of Food, Agricultural and Biological Engineering with the title Professor Emeritus, effective February 1, 2009.

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MILLER B. MCDONALD, Department of Horticulture and Crop Science with the title Professor Emeritus, effective February 1, 2009.

RICHARD T. SAYRE, Department of Plant Cellular and Molecular Biology with the title Professor Emeritus, effective February 1, 2009.

STEVEN K. ST. MARTIN, Department of Horticulture and Crop Science with the title Professor Emeritus, effective February 1, 2009.

RAUL HERRERA, Department of Civil and Environmental Engineering and Geodetic Science with the title Associate Professor Emeritus, effective February 1, 2009.

TIMOTHY E. KIRBY, School of Physical Activity and Educational Services with the title Associate Professor Emeritus, effective February 1, 2009.

CAREY B. RADER, Department of Mathematics (Newark) with the title Associate Professor Emeritus, effective February 1, 2009.

ANNMARIE A. ZAHARLICK, Department of Anthropology with the title Associate Professor Emeritus, effective July 1, 2009.

ELIZABETH A. MUMAW, Ohio State University Extension with the title Instructor Emeritus, effective March 1, 2009.

Promotion

COLLEGE OF HUMANITIES

PROMOTION TO PROFESSOR

Tyler, William, East Asian Languages and Literatures, effective January 1, 2009

RESOLUTIONS IN MEMORIAM

Resolution No. 2009-59

Jean S. Bowers

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 29, 2008, of Jean Spooner Bowers, Professor Emeritus in the Department of Consumer Sciences.

Professor Bowers held a Bachelor of Science degree from the University of Idaho, a Master of Science degree from the University of Iowa, and a Doctor of Philosophy degree from the University of California-Davis. Professor Bowers was a professor of consumer economics in the Department of Family Resource Management (now the Department of Consumer Sciences) for 28 years. She also served the College of Home Economics as associate dean for research.

Dr. Bowers is most remembered for her leadership in developing a major in consumer services to prepare students for effective advocacy for consumers in the private and public sectors. Students from the

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consumer services major were sought after as interns in Ohio congressional offices. The Ohio House of Representatives marked her retirement from The Ohio State University with a special commendation of her leadership in preparing students and in consumer advocacy. She was an enthusiastic and effective teacher who expected much from her students and who provided strong support long after they completed their study at Ohio State. Her students continue her legacy of leadership in roles such as dean of a top-ranked college, director of a university research institute, faculty at leading institutions, and executive leadership in the private sector. Professor Bowers was also a revered mentor of young faculty in her department.

Professor Bowers was well-known as a leader in the field of consumer economics. She served her profession at the highest level. She was elected to two terms as president of the American Council on Consumer Interest; she provided leadership through a period of transition and growth and was recognized as a distinguished fellow of the organization. Professor Bowers' knowledge and experience in consumer advocacy and market interactions led to her election to the Board of Directors of Consumers Union.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Jean S. Bowers its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

William W. Davis

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 1, 2008, of William Wiant Davis, Clinical Assistant Professor Emeritus in the College of Public Health.

Professor Davis pursued his undergraduate education at Marietta College and the University of Michigan and his medical degree from Vanderbilt Medical School. He worked as a pediatrician in Beckley, West Virginia, before entering the U.S. Army in December 1940. Upon termination of active duty in 1946, Dr. Davis practiced in Oak Hill and Parkersburg, West Virginia, until 1952. At that time he entered a residency at OSU in occupational health. He practiced in occupational health in the private sector until his retirement.

He also served as clinical assistant professor of preventive medicine at Ohio State, retiring with emeritus status in December 1981. Former students still remember Dr. Davis as a marvelous clinician and teacher.

Dr. Davis was active in the Army Reserve from 1952-69 as commanding officer and brigadier general of the 2291st General Hospital, and was awarded the Legion of Merit on retirement.

Dr. Davis was very involved in medical professional associations. He was past president of the Franklin County Heart Association and a member of the Columbus Academy of Medicine, Ohio State Medical

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Association, American Medical Association, and the Candby Robinson Society. He was also a fellow of the American Occupational Medical Association.

On behalf of the University community, the Board of Trustees expresses to the family of Professor William W. Davis its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Arline M. Rollins

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 11, 2008, of Arline M. Rollins, Assistant Professor Emeritus in the University Libraries.

Professor Rollins joined The Ohio State University as an instructor in 1971. Born in Louisiana in 1924, she earned two degrees at the University of Minnesota, first in English in 1950 and then in library science in 1951. Her professional career included positions as a librarian at Michigan State University, at Fisk University, and at Miami University before she came to The Ohio State University.

While at OSU, Ms. Rollins served in the position of head of the West Campus Learning Resources Center in Pressey Hall. She took special interest and satisfaction in working with undergraduates and had a position of leadership there until the Center was consolidated with the Undergraduate Library in Sullivant Hall in 1986. Until her retirement in 1990, Professor Rollins contributed much to the planning and the teaching of classes in OSU's Library Instruction Program, one of the leading programs in the country in educating students in using library resources for academic success.

As a professional librarian, Ms. Rollins served on committees of the OSU Libraries and was active also in the American Library Association and the Association of College and Research Libraries (ACRL). There, she was a member of a committee that developed "Guidelines for Audio Visual Services in Academic Libraries." Her contributions to scholarship in librarianship included co-authorships of [Index to Black Newspapers](#); an article concerning OSU's Library Instruction Program in the *Journal of Academic Librarianship*; and a book-length bibliography, [Single-Parent Children, the Growing Minority: A Research Guide](#).

On behalf of the University community, the Board of Trustees expresses to the family of Professor Arline M. Rollins its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

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Morgan E. Shipman

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on December 4, 2008, of Morgan E. Shipman, Professor Emeritus in The Michael E. Moritz College of Law.

Professor Shipman earned his A.B. and J.D. degrees at the University of Texas. Graduating first in his law school class, Professor Shipman practiced as an associate at Covington and Burling in Washington, D.C. from 1958-63 and again from 1968-69. He served in the Office of Program Planning of the United States Securities and Exchange Commission from 1963-65 and as an assistant professor of Law at Harvard Law School from 1965-68. Professor Shipman joined the faculty at The Ohio State University College of Law in 1969, where he served as the John W. Bricker Professor in Law, a title that he held until his retirement in 2004. He continued to teach at the College as an Emeritus Professor until his death.

An extraordinary teacher, Professor Shipman was selected on five different occasions as the "Outstanding Professor of the Year" by that year's graduating class. Upon his retirement in 2004, the Student Bar Association renamed the award the Morgan E. Shipman Outstanding Professor of the Year award. The Ohio State University honored Professor Shipman, in 1971, by naming him a recipient of the University's Distinguished Teaching Award.

Professor Shipman's scholarship and teaching focused on business law. During his career, he taught courses in Business Association, Torts, Corporate Finance, Securities Regulation, Accounting for Lawyers, and Tax. He also played an important role in legislative reform of business law, particularly in Ohio.

Professor Shipman was a vibrant member of the College community, serving on numerous committees, and served from 1980-97 part-time counsel to the Columbus law firm of Vorys, Sater, Seymour and Pease.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Morgan E. Shipman its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Eugene J. Watts

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 11, 2008, of Eugene J. Watts, Associate Professor Emeritus in the Department of History.

Professor Watts was born in October 1942 in St. Louis, Missouri. He graduated from Knox College in 1964. He received his M.A. degree from Emory University in 1965 and his Ph.D. degree in history from Emory University in 1969. He taught at Indiana University for a year before coming to Ohio State in 1972, where he taught until he retired in December 2000.

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Professor Watts introduced to Ohio State courses on “Quantitative Methods in Historical Research and Analysis” and “The History of American Police and Criminal Justice.” He also regularly taught a course on recent U.S. history. These were also the areas of his research, which bore fruit in the publication of numerous articles in prestigious journals and a monograph, The Social Bases of City Politics: Atlanta, 1865-1903 (1978). At the time of his death he was researching a book tentatively entitled “Damn Good Copper: The St. Louis Police in the Twentieth Century.” In recognition of his scholarship, Professor Watts was the recipient of fellowships from the National Endowment for the Humanities and the American Council of Learned Societies.

In 1984, Professor Watts successfully ran as a Republican for the Ohio State Senate from the 16th District. He was continuously reelected to the office until 2000, when he was no longer eligible to run because of term limitations. During these years, Professor Watts adjusted his position with the University to part-time to accommodate his Senate duties. Many a day when the legislature was in session, he could be seen darting out of the classroom at the sound of the bell to rush downtown for a debate or vote. As a state senator, Professor Watts did much to promote Ohio State, and highlighted his ties to the University with his yearly challenge to his state senatorial counterpart in Ann Arbor. Whichever senator represented the losing side in the Ohio State vs. Michigan game had to publicly sing the opponent’s fight song. Professor Watts timed his retirement from the University to coincide with his departure from the Ohio Senate.

Professor Watts served in the U.S. Army in Vietnam and rose to the rank of captain. He was subsequently active and held leadership position in several veteran organizations.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Eugene J. Watts its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board’s heartfelt sympathy.

Sidney E. White

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 6, 2008, of Sidney E. White, professor emeritus in the School of Earth Sciences.

Professor White earned his Bachelor of Science degree in geology from Tufts University in 1939, and his Masters degree from Harvard University in 1942, before joining the U.S. Navy. During WWII, he served as a photographic intelligence officer on numerous aircraft carriers in the Pacific Ocean. Following WWII he worked with the U.S. Geological Survey and later taught at Tufts University before receiving his Ph.D. degree from Syracuse University. He joined The Ohio State University faculty as an assistant professor in 1951 and retired as professor emeritus in 1985.

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Professor White was known for his well-prepared and interesting classroom presentations and his considerate rapport with students. He was "...so inspiring a source of encouragement and intellectual stimulation that many undergraduates made a special effort to enroll in his section of Introduction to Geology." He received the OSU Alumni Award for Distinguished Teaching in 1966 and in the same year he was recognized as an outstanding teacher at the University of Colorado where he had been a visiting associate professor. It was not uncommon for OSU alumni in central Ohio who had taken Dr. White's introductory course to praise his teaching many years after he had retired.

Dr. White was raised on the glaciated landscapes of New England where he began his quest to understand the nature and development of landforms, first at Tufts University under Robert Nichols and later at Harvard with Kirk Bryan. His knowledge of geomorphic features was enhanced during service in the U.S. Navy and, although his research began in glacial geology and geomorphology, he expanded his interests to glaciers and periglacial landforms and processes after participating in OSU expeditions to the margin of the Greenland Ice Sheet in 1953 and 1955. Dr. White's research on glacial geology, glaciers, and rock glaciers took him to volcanic terranes in Mexico and Colombia, to Swedish Lapland, the Swiss Alps and the Colorado Rockies between 1949 and the 1980s. Professor White truly loved the mountains, which were his primary laboratory. In 1957 he began a long association with the University of Colorado and its mountain research station, where he spent many summers.

He was a member of both the Institute of Arctic and Alpine Research at the University of Colorado and the Institute of Polar Studies (now Byrd Polar Research Center) at OSU. He held several offices in the Geomorphology Division (Quaternary Geology and Geomorphology) of the Geological Society of America and was a co-founder and officer of the Eastern Section, Association of Geology Teachers in 1950 which later became part of the National Association of Geology Teachers. In addition to his research papers and reviews, his contributions to USGS publications, and his editorial role with the *Journal of Arctic and Alpine Research*, he contributed to *The McGraw-Hill Encyclopedia of Science and Technology* and was a consultant to publishers of pre-college textbooks. He continued to contribute to educational and scientific publications many years after retirement.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Sidney E. White its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

UNIVERSITY DEVELOPMENT REPORT

Resolution No. 2009-60

Synopsis: The University Development Report for the Second Quarter of Fiscal Year 2009 is presented for Board acceptance.

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WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the revision of one (1) named chair, the closure of one (1) professorship, the establishment of thirty-seven (37) new named endowed funds and the revision of twelve (12) endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation for the Second Quarter of Fiscal Year 2009 be approved.

(See Appendix XXV for background information, page 645.)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>	
Ohio State ATI Study Abroad Scholarship Fund (Established with funds transferred from the ATI Scholarship Account; used to provide scholarships for students enrolled in a degree granting program at ATI)	\$65,000.00
Robert H. Wagoner Fund (Established with gifts from Robert H. Wagoner and the Department of Materials Science and Engineering; used to provide research, teaching, and service programs/facilities in the Department)	\$53,122.09
OSU Extension Agriculture and Natural Resources Educators Professional Improvement Fund (Established with residual funds from the 2006 Annual Meeting and Professional Improvement Conference of the National Association of County Agricultural Agents; used to assist OSU Extension Agriculture and Natural Resources Educators) (grandfathered)	\$31,000.00
Joseph H. Lynch Memorial Fund (Established with gifts from Marvin Zahniser, friends, and colleagues; used to provide student support for the study of the history of Christianity and/or medieval history in the Department of History) (grandfathered)	\$5,005.00

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Change in Description of Named Endowed Funds

The Association of American Editorial Cartoonists (AAEC)/
John Locher Memorial Award Fund

The Bea Cleveland 4-H Scholarship Fund

The Adam and Clara Maurer Scholarship Fund

The John L. Ryant Memorial 4-H Agriculture Scholarship Fund

The Jane Shanely Phi Upsilon Omicron Graduate Scholarship Fund

The Jack D. Sparks Endowed Scholarship Fund

The William D. Stewart Memorial Broadcast Journalism Lectureship
Fund

Change in Name and Description of Named Endowed Fund

From: The Ohio Eminent Scholar in Nanotechnology:
Molecular Self-Assembly
To: The Fenburr Ohio Eminent Scholar in Nanotechnology:
Molecular Self-Assembly

THE OHIO STATE UNIVERSITY FOUNDATION

Total
Gifts

Change in Name and Description of Named Endowed Chair

From: The Jeg Coughlin Chair in Childhood Cancer
Developmental Therapeutics
To: The Jeg Coughlin Chair in Cancer Research

Establishment of Named Endowed Funds

Charles and Margaret Plum Endowed Fund \$1,761,020.11
(Established with an estate gift from Charles
Walden Plum; used to provide scholarships for
deserving students, with at least 50% awarded to
students in the College of Nursing)

Robert W. and Estelle S. Bingham Fund in the College \$1,000,000.00
of Biological Sciences
(Established with gifts made in the names of
Estelle and Robert Bingham from Estelle's estate;
used to benefit the College of Biological Sciences
at the discretion of the College's dean)

Robert W. and Estelle S. Bingham Fund in the College \$1,000,000.00
of Education and Human Ecology
(Established with gifts made in the names of
Estelle and Robert Bingham from Estelle's estate;

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used to benefit the College of Education and Human Ecology at the discretion of the College's dean)

The Cloyd Family Scholarship Fund \$503,519.50
(Established with gifts from Susan and G. Gilbert Cloyd; used to provide renewable, need-based scholarships for first-year undergraduate students attending the Columbus campus who are graduates of Ohio high schools)

Robert K. and Dale J. Weary Chair Fund in Social Psychology \$250,000.00
(Established with gifts from The Weary Family Foundation and Gifford Weary; used to support salary, benefits, and research expenses for the Robert K. and Dale J. Weary Chair in Social Psychology)

The Keith and Linda Monda Scholarship Fund \$150,000.00
(Established with a gift from Keith and Linda Monda; used to provide scholarships for first-year undergraduate honors students who are Ohio residents)

The Kathy L. Seeds Memorial Football Scholarship Fund \$150,000.00
(Established with a gift from Howard and Sally Seeds; used to supplement the grant-in-aid scholarship costs of an intercollegiate student-athlete who is a member of the varsity football team)

The Craig E. Larrimer Memorial Endowment Fund in Critical Care \$100,000.00
(Established with gifts from Alice Hann Larrmier and Gavin R. Larrimer in memory of their son; used to support programs and activities in the fields of pulmonary and critical care medicine)

The Shirlee Ann Price Memorial Endowment Fund for Patient Education and Research \$74,996.67
(Established with gifts from David Graves Price and Sheryl Colleen Price in memory of David's sister; used to support patient/family/ guardian cancer education/information deemed appropriate to the provision of medical and health maintenance information to cancer patients and families/guardians of cancer patients)

The Linda M. Cummins Simpson Research Endowment Fund in Allied Health Therapies for Mobility and ADLs \$66,628.12
(Established with gifts in memory of Linda M. Cummins from Phyllis Cummins, Donald Dyche, friends, and family; used to support research in the

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School of Allied Medical Professions focusing on allied health therapies for mobility and activities of daily living)

The Fred Taylor Basketball Manager Scholarship Fund \$65,902.20
(Established with a gift from Robert Grimm; used to supplement the grant-in-aid scholarship costs of the men's basketball managers who are pursuing an undergraduate degree at OSU)

The James R. Thomas Graduate Support Endowment Fund \$64,520.50
(Established with gifts from James R. Thomas; used to provide scholarship support for graduate students enrolled at the Fisher College of Business)

Mark S. Maselli Medical Research Fund \$64,216.76
(Established with gifts from the estate of Steven A. Maselli and from Rowena G. Maselli in honor of their son; used to support basic/clinical research with special emphasis on colon cancer or ethical issues surrounding cancer patient care)

The John F. Auer Athletic Scholarship Fund \$60,000.00
(Established with gifts from John F. Auer; used to supplement the grant-in-aid scholarship costs of an intercollegiate student-athlete who is a member of the varsity men's football team, varsity men's basketball team or varsity men's swimming team)

Ann Slusher Tuttle Endowment Fund \$54,886.45
(Established with a gift from Ann Slusher Tuttle; used to support graduate students and graduate education in the Department of Astronomy)

Opera and Musical Theatre Endowed Fund \$51,135.00
(Established with gifts from Mr. Walter Edwin Dennis Jr. and Dr. Jacqueline Conner Bresnahan; used to support activities related to opera or musical theatre in the School of Music)

Dr. and Mrs. Vernon Glick Bolender Jr. 4-H Scholarship Fund \$50,150.00
(Established with gifts from Dr. Vernon Glick Bolender and Mrs. Rita Bolender; used to provide undergraduate need-based scholarships to students who are members of Ohio 4-H with preference given to those from Pickaway County, Ohio)

The Michael Allen Corea Scholarship Fund \$50,100.00
(Established with gifts from Charles Corea, Lynda Corea, and Jessica Corea; used to provide a scholarship for undergraduate, graduate, or professional students with special consideration)

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for candidates who are organ or eye donors or recipients or have an immediate family member who is a donor or recipient)

The Hart Sisters/Paula Riesenbergs Wetherill Nursing Scholarship Fund \$50,000.00

(Established with a gift from the estate of Jewel Hart Coombe directed by her trustee and niece Paula Wetherill in memory of two family military nurses: Jewel Hart Coombe and Margaret Hart Riesenbergs; used to provide scholarship support to students in the College of Nursing)

The Heinle Family Endowment Fund \$50,000.00

(Established with a gift from Matthew A. Heinle; used to supplement the grant-in-aid scholarship costs of an intercollegiate student-athlete who is a member of the football team)

The Robert A. and Patricia M. Long Endowed Scholarship Fund \$50,000.00

(Established with a gift from Robert A. and Patricia M. Long; used to provide scholarship support for undergraduate students from the state of Ohio enrolled in the College of Engineering majoring in Civil Engineering)

The Sims Family Athletic Scholarship Fund \$50,000.00

(Established with a gift from Gary K. and Diana L. Sims; used to supplement the grant-in-aid scholarship costs of an intercollegiate student-athlete who is a member of the mens' basketball team)

The James (Jim) F. Kelleher and Jamie Kelleher Endowed Scholarships for Health Sciences Fund \$41,820.00

(Established with gifts from Dr. Kelly Kelleher and Ms. Andrea Waller, Mr. Mark and Ms. Erin Dougherty, Dr. Sean and Ms. Laura Kelleher, Dr. Mark and Ms. Mary Beth Fisher, Mr. Brad and Ms. Colleen Spees, Dr. Michael and Ms. Cindy Kelleher, and Mrs. Barbara Kelleher; used to provide scholarships to second-year students) (grandfathered)

The Medical Class of 1968 Memorial Scholarship Fund \$30,553.20

(Established with gifts from the alumni of the College of Medicine Class of 1968; used to provide a scholarship for the recruitment of an outstanding student based on academic merit and financial need who has also overcome financial hardship) (grandfathered)

Carol Z. and Lawrence C. Mitchell Engineering Scholarship Fund \$30,050.00

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(Established with gifts from Lawrence C. Mitchell in honor of his wife Carol Z. Mitchell; used to provide need-based scholarships for students majoring in engineering from Medina or Lorain Counties of Ohio) (grandfathered)

The Foglietti Family Athletics Scholarship Fund \$27,500.00
(Established with gifts from Dr. Mark Foglietti; used to supplement the grant-in-aid scholarship costs of an intercollegiate student-athlete who is a member of a varsity team) (grandfathered)

The Professor Paul G. Craig Graduate Student Assistance (Support) Fund in Economics \$27,100.73
(Established with gifts from Dr. Benson H. Hart and friends; used to relieve graduate students who have completed at least one year of undergraduate teaching in the Department of Economics from one term's teaching commitment in order to accelerate the students' progress towards earning a Ph.D. in economics) (grandfathered)

Jeremy Vest Age Group and Camp Endowment Fund \$25,888.38
(Established with a gift from Jeremy Vest; used to provide awards to employees or dependents of OSU students who demonstrate financial need to access an Age Group and Camp program) (grandfathered)

The Foltz Family Fund \$25,665.93
(Established with gifts from John Charles Foltz and Anne Clark Foltz; used to support the following three areas in a three-year rotation: an undergraduate scholarship to a student who is a member of the Alpha Tau Zeta chapter of the FarmHouse Fraternity; the restoration, refurbishment and/or maintenance of Cooke Castle on Gibraltar Island in Lake Erie; a scholarship to a freshman student with declared intention to study agriculture or natural resources who has demonstrated accomplishments in 4-H and/or FFA activities with a preference for candidates from Gallia and Fairfield Counties of Ohio) (grandfathered)

The Cox Family Memorial Scholarship Fund \$25,000.00
(Established with gifts from Mr. James and Vicki Cox and Ms. Lou Ann Cox Shy; used to support undergraduate scholarships with preference given to sons or daughters of members of Local 683 of the International Brotherhood of Electrical Workers or graduates of Lancaster High School in Lancaster, Ohio) (grandfathered)

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The David A. Rismiller Dean's Innovation Fund (Established with gifts from David A. Rismiller; used to provide a dean's discretionary fund in the Fisher College of Business) (unrestricted)	\$25,000.00
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The Patricia and Michael Schiff "FIND THE CURE" Endowment Fund (Established with gifts from Patricia Schiff and Michael Schiff in honor of their children Sophie, Juliette, Stefan, and Adrian; used to support innovative research toward a cure for cancer) (grandfathered)	\$25,000.00
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Dr. Stephen Hepler Memorial Scholarship Fund (Established with an estate gift from Dr. Hepler's mother Catherine Hepler; used to provide scholarship support to incoming freshmen, sophomores, juniors, or graduate students in the College of Engineering from the state of Ohio who have demonstrated academic accomplishment) (grandfathered)	<u>\$20,000.00</u>
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Change in Description of Named Endowed Funds

The Scott and Jessica Lawrence Scholarship Fund

The Sungkyu Christopher Lee, M.D. Family Scholarship Fund

The Evelyn Michael MBA Fellowship Fund

The Barbara Van Brimmer Endowment Fund

Closure of Named Endowed Professorship

Dorothy J. and Herbert L. Fenburr Professorship

Total	\$6,174,780.64
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THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Funds

Ohio State ATI Study Abroad Scholarship Fund

The Ohio State ATI Study Abroad Scholarship Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University with funds transferred from the ATI Scholarship Account.

The annual distribution from this fund shall provide a scholarship(s) for students to participate in a study abroad program supported and approved by Ohio State ATI (Agricultural Technical Institute) or The Ohio State University. Qualified candidates must be enrolled in a degree-granting program at ATI and have a minimum 2.0 cumulative grade point average at the time of application and/or disbursement of the funds. Candidates may be required to submit a scholarship

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application. If scholarship funds are not depleted for study abroad, then unused funds may be redirected to provide general scholarships to Ohio State ATI students not participating in study abroad. Recipients shall be selected by the financial aid coordinator at Ohio State ATI in consultation with appropriate faculty members in accordance with guidelines established by the vice president for agricultural administration and executive dean for Food, Agricultural, and Environmental Sciences and in consultation with the University's Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

The endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from the vice president for agricultural administration and executive dean for Food, Agricultural, and Environmental Sciences, and the director of Ohio State ATI.

Amount Establishing Endowment: \$65,000.00

Robert H. Wagoner Fund

The Robert H. Wagoner Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University with gifts from Robert H. Wagoner (B.Met.E. 1974, M.S. 1975, Ph.D. 1976), holder of The George R. Smith Chair in Engineering and professor in the Department of Materials Science and Engineering, and with support from the Department.

The annual distribution from this fund shall be used to aid the research, teaching, and service programs/facilities within the Department of Materials Science and Engineering as approved by the chairperson of the Department.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Engineering in

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consultation with the chairperson of the Department of Materials Science and Engineering.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from the donor, should he be alive, and from the dean of the College of Engineering in consultation with the chairperson of the Department of Materials Science and Engineering.

Amount Establishing Endowment: \$53,122.09

OSU Extension Agriculture and Natural Resources
Educators Professional Improvement Fund

The OSU Extension Agriculture and Natural Resources Educators Professional Improvement Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University with residual funds from the 2006 Annual Meeting and Professional Improvement Conference of the National Association of County Agricultural Agents held in Cincinnati, Ohio.

The annual distribution from this fund shall be used to assist OSU Extension Agriculture and Natural Resources Educators with their professional improvement, recognition, and other needs deemed necessary to support their profession. Expenditures shall be approved by the vice president for agricultural administration and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the director of OSU Extension.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's cost of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be

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designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from the vice president for agricultural administration and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the director of OSU Extension.

Amount Establishing Endowment: \$31,000.00 (grandfathered)

Joseph H. Lynch Memorial Fund

The Joseph H. Lynch Memorial Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University with gifts from Marvin Zahniser, friends, and colleagues.

The annual distribution from this fund shall provide student support for the study of the history of Christianity and/or medieval history in the Department of History, College of the Humanities, as recommended by the Department's chairperson and approved by the College's dean.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from the chairperson of the Department of History and the dean of the College of the Humanities.

Amount Establishing Endowment: \$5,005.00 (grandfathered)

Change in Description of Named Endowed Funds

The Association of American Editorial Cartoonists
(AAEC)/John Locher Memorial Award Fund

The Association of American Editorial Cartoonists (AAEC)/John Locher Memorial Award Fund was established June 2, 1995, by the Board of Trustees of The Ohio State University with gifts from family and friends of John Locher and members of the AAEC. The description was revised February 6, 2009.

The annual distribution from this fund shall provide an annual award to encourage student editorial cartoonists. Award recipients shall be selected by the AAEC board through a judging committee.

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In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the director of the University Libraries in consultation with the curator of the Cartoon Library and Museum.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from the director of the University Libraries in consultation with the curator of the Cartoon Library and Museum.

The Bea Cleveland 4-H Scholarship Fund

The Bea Cleveland 4-H Scholarship Fund was established February 4, 1993, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Beatrice J. Cleveland (B.S.H.E. 1942), Columbus, Ohio. The description was revised February 6, 2009.

The annual distribution from this fund shall be used to support at least one undergraduate scholarship of at least \$1,000 for a current or former 4-H member who is a high school senior or college freshman during the year of application who will be enrolled in a human ecology-related major or program in the College of Education and Human Ecology at The Ohio State University.

Recipients shall be selected by the OSU Extension-4-H Youth Development scholarship selection committee in accordance with guidelines established by the vice president for agricultural administration and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purposes, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and

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procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the total endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from the donor, should she be alive, and from the vice president for agricultural administration and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the assistant director for OSU Extension- 4-H Youth Development.

The Adam and Clara Maurer Scholarship Fund

The Adam and Clara Maurer Scholarship Fund was established September 2, 1983, by the Board of Trustees of The Ohio State University with a gift from Alan C. Trotman (B.S.Ed. 1953, M.A. 1959). The description was revised February 6, 2009.

The annual distribution from this fund shall provide a scholarship(s) for a full-time undergraduate student(s) enrolled in the College of Education and Human Ecology who plans to be a teacher for grades 4-8. Scholarship recipients shall be selected by the dean of the College of Education and Human Ecology in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Education and Human Ecology.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from the donor,

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should he be alive, and from the dean of the College of Education and Human Ecology.

The John L. Ryant Memorial 4-H Agriculture Scholarship Fund

The John L. Ryant Memorial 4-H Agriculture Scholarship Fund was established February 4, 1993, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from his niece, Beatrice J. Cleveland (B.S.H.E. 1942), Columbus, Ohio. The description was revised February 6, 2009.

The annual distribution from this fund shall be used to support at least one undergraduate scholarship of at least \$1,000 for a current or former 4-H member from Delaware County who is a high school senior or college freshman during the year of application and who will be enrolling in an animal sciences-related major or program at The Ohio State University which includes the College of Food, Agricultural, and Environmental Sciences, ATI, and its regional campuses. Consideration for scholarship will be based on demonstrated proficiency in the areas of leadership and academic achievement. If a qualified Delaware County applicant cannot be found, applicants from other Ohio counties may be considered.

Recipients shall be selected by the OSU Extension-4-H Youth Development scholarship selection committee in accordance with guidelines established by the vice president for agricultural administration and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purposes, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the total endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from the donor, should she be alive, and from the vice president for agricultural administration and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the assistant director for OSU Extension-4-H Youth Development.

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The Jane Shanely Phi Upsilon Omicron Graduate Scholarship Fund

The Jane Shanely Phi Upsilon Omicron Fellowship Fund was established May 5, 1983, by the Board of Trustees of The Ohio State University with gifts from the Gamma Alumni Chapter of Phi Upsilon Omicron, an honor society of home economics, in memory of Jane Shanely (B.S. Home Economics 1927) of Columbus, Ohio. The name and description were revised May 4, 2007. The description was revised further February 6, 2009.

The annual distribution from this fund shall provide renewable scholarship support, not to exceed \$5,000 per year per recipient, for a student(s) enrolled in graduate programs of the Department of Human Development and Family Science, the Department of Consumer Sciences, the Department of Human Nutrition, or the Family and Consumer Sciences Program in the College of Education and Human Ecology. The scholarship may be used for the cost of tuition, room and board, books and supplies, research and lab fees, travel expenses related to professional meetings, and other miscellaneous educational expenses. Qualified candidates must have a minimum 3.5 grade point average and be a current member or alumnus of Phi Upsilon Omicron. Scholarship recipients will be selected by the dean of the College of Education and Human Ecology in cooperation with the Phi Upsilon Omicron Alumni Scholarship chairperson and the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Education and Human Ecology.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from the leadership of Phi Upsilon Omicron, and from the dean of the College of Education and Human Ecology.

The Jack D. Sparks Endowed Scholarship Fund

The Jack D. Sparks Endowed Scholarship Fund was established May 30, 2003, by the Board of Trustees of The Ohio State University with a gift from The Jack D. and Fredda S. Sparks Foundation. The

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description was revised July 7, 2006, and July 13, 2007. The description was revised further February 6, 2009.

The annual distribution from this fund shall be used to provide scholarship support for the "John Glenn Fellows" participating in the Washington Academic Internship Program of the John Glenn School of Public Affairs. It is the desire of the donor that the scholarship shall be awarded with preference to, but not limited to, students interested in public service. The scholarships shall be awarded by the director of the Washington Academic Program in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the director of the John Glenn School of Public Affairs.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from the donor, and from the director of the John Glenn School of Public Affairs.

The William D. Stewart Memorial Broadcast
Journalism Lectureship Fund

The William D. Stewart Memorial Broadcast Journalism Lectureship Fund was established February 1, 1980, by the Board of Trustees of The Ohio State University with gifts from American Broadcasting Companies, Inc., friends, associates, and family in memory of William D. Stewart (B.A. 1963) of Huntington, West Virginia. The description was revised February 6, 2009.

The annual distribution from this fund shall be used to support visiting lecturers with preference given to those whose topics are related to broadcast journalism. Lecturers shall be recommended by the director of the School of Communication and approved by the dean of the College of Social and Behavioral Sciences.

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In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Social and Behavioral Sciences in consultation with the director of the School of Communication.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from the dean of the College of Social and Behavioral Sciences.

Change in Name and Description of Named Endowed Fund

The Fenburr Ohio Eminent Scholar in Nanotechnology: Molecular Self-Assembly

The Ohio Eminent Scholar in Nanotechnology: Molecular Self-Assembly was established September 22, 2004, by the Board of Trustees of The Ohio State University with support from the General Assembly of the state of Ohio and private gifts. The Dorothy J. and Herbert L. Fenburr Professorship was established December 7, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with estate gifts from Herbert L. Fenburr (B.Ch.E. 1934, M.S. 1935, Ph.D. 1937). The Dorothy J. and Herbert L. Fenburr Professorship was closed, the principal transferred to The Ohio Eminent Scholar in Nanotechnology: Molecular Self-Assembly, and the name was changed to The Fenburr Ohio Eminent Scholar in Nanotechnology: Molecular Self-Assembly February 6, 2009.

The annual distribution from this fund shall be used to provide salary and program support for the work of an outstanding scholar and leader in nanotechnology: molecular self-assembly in the Department of Chemical and Biomolecular Engineering in the College of Engineering. Appointment as The Fenburr Ohio Eminent Scholar in Nanotechnology: Molecular Self-Assembly will be recommended to the executive vice president and provost and president by the dean of the College of Engineering in consultation with the chairperson of the Department of Chemical and Biomolecular Engineering.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the

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purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Engineering.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the dean of the College of Engineering.

THE OHIO STATE UNIVERSITY FOUNDATION

Change in Name and Description of Named Endowed Chair

The Jeg Coughlin Chair in Cancer Research

The Jeg Coughlin Chair in Childhood Cancer Developmental Therapeutics was established July 8, 2005, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the JEG'S Foundation, Delaware, Ohio. The name and description were revised February 6, 2009.

The annual distribution from this fund shall provide a chair position within the Comprehensive Cancer Center (CCC) - The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute (The James) at The Ohio State University in order to advance the medical science related to childhood cancers. The position shall be held by a nationally eminent scholar whose research has application to childhood cancer as approved by the chief executive officer of The James and the director of the CCC in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine. To continue strengthening the positive working relationship between the physicians and researchers at The James and Nationwide Children's Hospital, the chair holder will distribute annual progress reports which highlight new developments in research and patient care to the appropriate staff at Nationwide Children's Hospital.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the chief executive officer of The James and the director of the CCC in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine.

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The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from a representative of the donor and as recommended by the chief executive officer of The James and the director of the CCC in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine.

Establishment of Named Endowed Funds

Charles and Margaret Plum Endowed Fund

The Charles and Margaret Plum Endowed Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with an estate gift from Charles Walden Plum (B.S.Bus.Adm. 1936).

The annual distribution from this fund shall be used to provide scholarships for deserving students of The Ohio State University. At least fifty percent (50%) of the amount of such scholarships each academic year shall be awarded to students in the College of Nursing; the dean of the College and the College's Office of Student Financial Aid shall be responsible for selecting the scholarship recipients and coordinating these scholarships with the University's Office of Student Financial Aid. The balance of such scholarships may be awarded to students in any other area of the University as determined by the senior vice president for Development or his designee; the University's Office of Student Financial Aid shall be responsible for selecting the scholarship recipients.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment

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ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board

of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the dean of the College of Nursing and from the director of the Office of Student Financial Aid.

Amount Establishing Endowment: \$1,761,020.11

Robert W. and Estelle S. Bingham Fund in the
College of Biological Sciences

The Robert W. and Estelle S. Bingham Fund in the College of Biological Sciences was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts made in the names of Estelle S. (B.S.Ed. 1938) and Robert W. Bingham (B.A. 1936) from Estelle's estate.

The annual distribution from this fund shall benefit the College of Biological Sciences at the discretion of the College's dean.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Biological Sciences.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the dean of the College of Biological Sciences.

Amount Establishing Endowment: \$1,000,000.00

Robert W. and Estelle S. Bingham Fund in the
College of Education and Human Ecology

The Robert W. and Estelle S. Bingham Fund in the College of Education and Human Ecology was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State

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University Foundation, with gifts made in the names of Estelle S. (B.S.Ed. 1938) and Robert W. Bingham (B.A. 1936) from Estelle's estate.

The annual distribution from this fund shall be directed to the College of Education and Human Ecology to benefit departments related to the field of education at the discretion of the College's dean.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Education and Human Ecology.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the dean of the College of Education and Human Ecology.

Amount Establishing Endowment: \$1,000,000.00

The Cloyd Family Scholarship Fund

The Cloyd Family Scholarship Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Susan and G. Gilbert (D.V.M. 1969) Cloyd.

It is the intent of the donors that recipients of the scholarship reflect the diverse population of the state of Ohio and the University as a whole.

The annual distribution from this endowed fund shall be used to provide scholarships towards the cost of tuition, room and board, and books and supplies for first-year Columbus campus undergraduate students with financial need who are graduates of Ohio high schools. The scholarships are renewable for up to 12 quarters or upon completion of a baccalaureate degree, whichever comes first, as long as recipients continue to meet satisfactory academic progress and full-time enrollment status. It is the donors' intent to support the selection of new recipients at the start of each academic year and continue to support the past recipients if they maintain their eligibility status. The Office of Enrollment Services and Undergraduate Education will

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administer this scholarship fund through the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion shall be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and the vice provost for Enrollment Services and dean for Undergraduate Education.

Amount Establishing Endowment: \$503,519.50
Total Commitment: \$1,000,000.00

Robert K. and Dale J. Weary Chair Fund in Social Psychology

The Robert K. and Dale J. Weary Chair Fund in Social Psychology was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from The Weary Family Foundation and Gifford Weary.

Until the principal of the fund reaches \$2,000,000, the annual distribution from this fund shall be reinvested in the endowment principal. After the principal of the fund reaches \$2,000,000, the annual distribution shall support the salary, benefits, and research expenses for the Robert K. and Dale J. Weary Chair in Social Psychology. The person holding this chair will be highly regarded as a scholar and teacher in the field of social psychology and will be expected to conduct research and teach courses in the area of social psychology.

The chair holder shall be appointed by the University's Board of Trustees as recommended by the faculty of the Department of Psychology and the dean of the College of Social and Behavioral Sciences. Notwithstanding the foregoing, if the dean of the College of Social and Behavioral Sciences is a disqualified person (as that term is defined in the Internal Revenue Code and the regulations there under)

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with respect to the donor, the holder of this position will be recommended by the chairperson of the Department of Psychology in consultation with the social psychology program faculty (excepting any disqualified person who may be a part of the program faculty).

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the chairperson of the Department of Psychology and the dean of the College of Social and Behavioral Sciences.

Amount Establishing Endowment: \$250,000.00

Amount Needed to Establish Chair: \$2,000,000.00

The Keith and Linda Monda Scholarship Fund

The Keith and Linda Monda Scholarship Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Keith (B.S.Bus.Adm. 1968, M.A. 1971) and Linda Monda who reside in New York City, New York.

The annual distribution from this fund shall be used to provide one renewable merit- and need-based recruitment scholarship for an honors first-year undergraduate student who is an Ohio resident. It is the donors' desire that the recipient be enrolled in the College of Social and Behavioral Sciences Honors Program with preference given to economic majors. To qualify, students must complete a nationally approved needs analysis document annually, such as the Free Application for Federal Student Aid (FAFSA).

The scholarship is renewable for up to 15 quarters or upon completion of a degree, whichever comes first, as long as the recipient maintains a 3.2 cumulative grade point average, financial need, enrollment in the College of Social and Behavioral Sciences, and enrollment in the University Honors Program. The scholarship shall be distributed equally over three quarters of the academic year for the cost of tuition.

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The Office of Student Financial Aid will administer this scholarship fund in consultation with the College of Social and Behavioral Sciences and the University Honors and Scholars Center.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the vice provost for Enrollment Services and dean for Undergraduate Education and the dean of the College of Social and Behavioral Sciences.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate, shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the vice provost for Enrollment Services and dean for Undergraduate Education and the dean of the College of Social and Behavioral Sciences.

Amount Establishing Endowment: \$150,000.00

The Kathy L. Seeds Memorial Football Scholarship Fund

The Kathy L. Seeds Memorial Football Scholarship Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from her parents, Howard and Sally Seeds, of North Lima, Ohio.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of an intercollegiate student-athlete who is a member of the varsity football team. The recipient shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

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The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the director of Athletics.

Amount Establishing Endowment: \$150,000.00
Total Commitment: \$150,000.00

The Craig E. Larrimer Memorial Endowment Fund in Critical Care

The Craig E. Larrimer Memorial Endowment Fund in Critical Care was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from Alice Hann Larrimer and Gavin R. Larrimer (B.S.Bus.Adm. 1959; J.D. 1961) of Columbus, Ohio, made in memory of their son, Craig E. Larrimer (J.D. 1997).

The annual distribution from this endowed fund shall be used to support programs and activities in the fields of pulmonary and critical care medicine, such as but not limited to, research, patient care, medical education, and training. Upon completion of the critical care facilities expansion, preference shall be given to enhance the programs and activities within this expansion. Allocation of the annual distribution shall be recommended by the director of the Division of Pulmonary, Allergy, Critical Care and Sleep Medicine and approved by the chairperson of the Department of Internal Medicine in consultation with the dean of the College of Medicine and the senior vice president for Health Sciences.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the chairperson of the Department of Internal Medicine in consultation with the dean of the College of Medicine and the senior vice president for Health Sciences.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and as recommended by the director of the Division in consultation with the chairperson of the Department in consultation with the dean of the College of Medicine and the senior vice president for Health Sciences.

Amount Establishing Endowment: \$100,000.00

The Shirlee Ann Price Memorial Endowment Fund for
Patient Education and Research

The Shirlee Ann Price Memorial Endowment Fund for Patient Education and Research was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from David Graves Price (M.S. 1967, Ph.D. 1975) and Sheryl Coleen Price (B.A. 1972, J.D. 1975) in memory of David's sister, Shirlee Ann Price.

Shirlee died on May 24, 2002, at the age of 62 in New York City after a prolonged battle with chronic lymphocytic leukemia (CLL). She participated aggressively in her CLL treatment by keeping herself well-informed about the disease and the treatment alternatives, discussing them knowledgeably with her physicians, and participating in decision-making about her treatments. She believed that every cancer patient should do likewise and expressed a desire to help provide patients and their families access to the latest and best comprehensive information about cancers and their treatments.

The annual distribution from this fund shall be used to support patient/family/guardian cancer education/information at The Ohio State University Medical Center through the purchase, rent, subscription, repair, or maintenance of specific items including, but not limited to the following: appropriate physical facilities and furnishings; libraries; books, magazines, pamphlets, and other documents; computers and necessary peripherals; internet and other network and database accesses; operational and applications software; necessary information and data resources; links to other information resources in the United States; memberships in relevant patient information associations; maintenance and repair services; and other relevant items deemed appropriate to the provision of medical and health maintenance information to cancer patients and families/guardians of cancer patients.

Should the need for the patient/family/guardian cancer education/information program or center cease to exist, the annual distribution from the endowment may be used for CLL research. Should the need for CLL research cease to exist, because of the discovery and implementation of a cure for CLL, then the annual distribution from the endowment may be used for other cancer

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research. Should the need for all cancer research cease to exist, then the annual distribution from the endowment may be used for other disease research.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for all disease research cease to exist, another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Nursing Staff Development and Patient Education in consultation with the Library for Health Information Advisory Committee. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$74,996.67

Total Commitment: \$300,000.00

The Linda M. Cummins Simpson Research Endowment Fund in
Allied Health Therapies for Mobility and ADLs

The Linda M. Cummins Simpson Research Endowment Fund in Allied Health Therapies for Mobility and ADLs was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts made in memory of Linda M. Cummins Simpson (B.S. 1969) from Phyllis Cummins (B.S.Bus.Adm. 1973), Donald Dyche, friends, and family.

The annual distribution from this fund shall be used to support research in the School of Allied Medical Professions focusing on allied health therapies for mobility and activities of daily living (ADLs). Funds may be used for supplies, equipment, publications, conferences, and other activities required for quality research. Allocation of funds shall be approved by the director of the School of Allied Medical Professions in consultation with the dean of the College of Medicine and the senior vice president for Health Sciences.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the director of the School of Allied Medical Professions in consultation with the dean of the College of Medicine and the senior vice president for Health Sciences.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment

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portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from Phyllis Cummins and/or Donald Dyché, should they be alive, and as recommended by the director of the School of Allied Medical Professions in consultation with the dean of the College of Medicine and the senior vice president for Health Sciences.

Amount Establishing Endowment: \$66,628.12

The Fred Taylor Basketball Manager Scholarship Fund

The Fred Taylor Basketball Manager Scholarship Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Robert Grimm (B.S. 1974) of Zionsville, Indiana.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of the men's basketball managers who are pursuing an undergraduate degree at The Ohio State University. The recipients shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the director of Athletics..

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards

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shall seek advice from the donor, should he be alive, and from the director of Athletics.

Amount Establishing Endowment: \$65,902.20

The James R. Thomas Graduate Support Endowment Fund

The James R. Thomas Graduate Support Endowment Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from James R. Thomas (B.S.Bus.Adm. 1950).

The annual distribution from this fund shall be used to provide scholarship support for a graduate student(s) enrolled at The Max M. Fisher College of Business. Selection of the recipient(s) shall be made by the director of the Graduate Programs Office at the Fisher College of Business in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donor, should he be alive, and from the dean of the Fisher College of Business.

Amount Establishing Endowment: \$ 64,520.50

Total Commitment: \$ 150,000.00

Mark S. Maselli Medical Research Fund

The Mark S. Maselli Medical Research Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with estate gifts from Steven A. Maselli, given in his name and that of Rowena G. Maselli of Columbus, Ohio, and made in honor of their son, Mark S. Maselli.

The annual distribution from this fund supports basic/clinical research with special emphasis on colon cancer or ethical issues surrounding cancer patient care as recommend by the chairperson of the Department of Surgery and director of the Division of General and Gastrointestinal Surgery in consultation with the dean of the College of Medicine.

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In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the chairperson of the Department of Surgery and director of Division of General and Gastrointestinal Surgery in consultation with the dean of the College of Medicine.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the chairperson of the Department of Surgery and director of the Division of General and Gastrointestinal Surgery in consultation with the dean of the College of Medicine.

Amount Establishing Endowment: \$64,216.76

The John F. Auer Athletic Scholarship Fund

The John F. Auer Athletic Scholarship Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from John F. Auer (B.S.Bus.Adm. 1976).

The annual distribution from this fund shall be used to supplement the grant-in-aid costs of an intercollegiate student-athlete who is a member of the varsity men's football team, varsity men's basketball team, or varsity men's swimming team and pursuing an undergraduate degree at The Ohio State University. The recipient shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donor, should he be alive, and from the director of Athletics.

Amount Establishing Endowment: \$60,000.00
Total Commitment: \$150,000.00

Ann Slusher Tuttle Endowment Fund

The Ann Slusher Tuttle Endowment Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Ann Slusher Tuttle (B.S.H.E. 1955).

The annual distribution from this fund shall be used to support graduate students and graduate education in the Department of Astronomy as recommended by the chairperson of the Department with approval from the dean of the College of Mathematical and Physical Sciences. Scholarships will be awarded in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Mathematical and Physical Sciences as recommended by the chairperson of the Department of Astronomy.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donor, should she be alive, and from the dean of the College of Mathematical and Physical Sciences.

Amount Establishing Endowment: \$54,886.45

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Opera and Musical Theatre Endowed Fund

The Opera and Musical Theatre Endowed Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mr. Walter Edwin Dennis Jr. and Dr. Jacqueline Conner Bresnahan (M.A. 1970, Ph.D. 1973).

The annual distribution from this fund shall be used to support activities related to opera or musical theatre in the School of Music as recommended by the School's director and approved by the dean of the College of the Arts.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the director of the School of Music and approved by the dean of the College of the Arts.

Amount Establishing Endowment: \$51,135.00

Dr. and Mrs. Vernon Glick Bolender Jr. 4-H Scholarship Fund

The Dr. and Mrs. Vernon Glick Bolender Jr. 4-H Scholarship Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Vernon Glick Bolender (B.S.Ch.E. 1973) and Mrs. Rita Bolender from Circleville, Ohio.

The annual distribution from this fund shall be used to provide at least one annual undergraduate scholarship to a student attending The Ohio State University without limitation to area of study. Qualified candidates must be members of Ohio 4-H and have demonstrated financial need. Preference shall be given to those from Pickaway County, Ohio. Recipients shall be selected by the OSU Extension 4-H Youth Development scholarship selection committee in accordance with guidelines established by the vice president for agricultural

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administration and executive dean for Food, Agricultural, and Environmental Sciences, and in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the vice president for agricultural administration and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the assistant director of OSU Extension-4-H Youth Development.

Amount Establishing Endowment: \$50,150.00

The Michael Allen Corea Scholarship Fund

The Michael Allen Corea Scholarship Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Charles Corea (M.A. Education 1972) of Parma, Ohio, and Lynda and Jessica Ann Corea of South Euclid, Ohio.

The Michael Allen Corea Scholarship Fund is being established in loving memory of their son and brother, Michael (B.S.Bus.Adm. Finance 2006), who tragically passed away in a motorcycle accident on June 6, 2006. Michael was born with biliary atresia, a rare degenerative liver disease that caused him to have a liver transplant. He lived and exemplified the idea that when life gives you hardships you have to believe that tomorrow will be a better day. He often expressed and in the end fulfilled the desire to donate his organs so someone else may continue to live. Through this scholarship, Michael's family hopes he will continue to inspire all who knew him and come to know of him through this scholarship.

The annual distribution from this fund shall support one scholarship for a part- or full-time undergraduate, graduate or professional student

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with preference to a student enrolled at the main campus in Columbus, Ohio. It is the donors' desire that the eligible candidate be an organ or eye donor or recipient. If no applicant meets this desire, applicants demonstrating that an immediate family member is an organ or eye donor or recipient shall be given the scholarship.

The scholarship may be used for the cost of tuition, room and board, books and supplies, and miscellaneous educational expenses. Scholarships are renewable up to 12 quarters or until completion of a degree, whichever comes first. The fund will be administered by the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the vice provost for Enrollment Services and dean for Undergraduate Education.

Amount Establishing Endowment: \$50,100.00

The Hart Sisters/Paula Riesenber Wetherill
Nursing Scholarship Fund

The Hart Sisters/Paula Riesenber Wetherill Nursing Scholarship Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Jewel Hart Coombe directed by her trustee and niece Paula Wetherill (B.S.Nurs. 1972) in memory of two family military nurses: Jewel Hart Coombe (Vietnam) and Margaret Hart Riesenber (World War II).

The annual distribution shall provide scholarship support to students in the College of Nursing. Recipients shall be selected by the dean of the College of Nursing in consultation with the Office of Student Financial Aid.

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The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Nursing.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the dean of the College of Nursing.

Amount Establishing Endowment: \$50,000.00

Total Commitment: \$50,000.00

The Heinle Family Endowment Fund

The Heinle Family Endowment Fund, was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Matthew A. Heinle (J.D. 1995) of Akron, Ohio.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of an intercollegiate student-athlete who is a member of the football team and pursuing an undergraduate degree at The Ohio State University. The recipient shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowed funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's cost of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen

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circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donor, should he be alive, and from the director of Athletics.

Amount Establishing Endowment: \$50,000.00

Total Commitment: \$150,000.00

The Robert A. and Patricia M. Long Endowed Scholarship Fund

The Robert A. and Patricia M. Long Endowed Scholarship Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Robert A. (B.S. Civil Engineering 1973) and Patricia M. Long.

Robert credits the successes of his life to his education from The Ohio State University, which would not have been possible without the hard work and sacrifice of his parents, John and Wahnita Long of Lima, Ohio. To express his gratitude for their commitment to providing him the best education possible, Robert dedicates this scholarship to help future students achieve the "American dream."

The annual distribution from this fund shall provide scholarship support for undergraduate students from the state of Ohio enrolled in the College of Engineering, majoring in civil engineering with an interest in structural engineering or construction management. Preference shall be given to students who display a commitment to teamwork, a positive attitude, and a true appreciation of his or her education at Ohio State; special consideration shall be given to candidates who are first-generation college students with financial need. Scholarship recipients shall be selected by the scholarship committee of the Department of Civil and Environmental Engineering and Geodetic Science in consultation with the Department's chairperson and the University's Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Engineering.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the dean of the College of Engineering.

Amount Establishing Endowment: \$50,000.00

The Sims Family Athletic Scholarship Fund

The Sims Family Athletic Scholarship Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Gary K. (B.S.Bus.Adm. 1979) and Diana L. Sims from Marion, Ohio.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing a degree at The Ohio State University and is a member of the men's basketball team. Scholarship recipients must be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to prove unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$50,000.00

Total Commitment: \$150,000.00

The James (Jim) F. Kelleher and Jamie Kelleher Endowed Scholarships for Health Sciences Fund

The James (Jim) F. Kelleher and Jamie Kelleher Endowed Scholarships for Health Sciences Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Kelly Kelleher

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(B.S. 1980, M.D. 1984) and Ms. Andrea Waller, Mr. Mark and Ms. Erin (B.S.Nurs. 1981) Dougherty, Dr. Sean (B.S.Agr. 1982, D.V.M. 1986) and Ms. Laura Kelleher (B.S. 1983), Dr. Mark and Ms. Mary Beth (B.S.Ed. 1986) Fisher, Mr. Brad (B.S.Bus.Adm. 1988) and Ms. Colleen (B.S.Al.Hth.Prof. 1987) Spees, Dr. Michael (B.S.Agr. 1991, D.V.M. 1996) and Ms. Cindy Kelleher (B.S. 1991), and Mrs. Barbara Kelleher (B.S. 1957, M.S. 1959).

The scholarship fund memorializes and commemorates their father and husband, James (Jim) F. Kelleher (B.A. 1961, D.D.S. 1961), and their brother and son, Jamie Kelleher, whose love of family, athletics, and the joy of learning truly embodied the spirit of The Ohio State University.

The annual distribution from this fund shall be used to provide two scholarships to second-year undergraduate students enrolled in the Mount Leadership Society who are majoring in a health science. In the event that a Mount Scholar does not meet the academic requirement or there is not a Mount Scholar majoring in a health science, then the scholarship shall be awarded to second-year students enrolled in the University Scholars Program who meet both the academic and major requirements. It is the donors' desire that scholarships be given to students who do not qualify for Pell Grants, with preference given to students who have applied for a student loan. Furthermore, it is the donors' preference that the scholarships be awarded equally. The scholarship may be used towards the cost of tuition, room and board, books and supplies, and miscellaneous educational expenses.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy. Scholarship recipients shall be selected by the University Scholars Program Coordinator in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards

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shall seek advice from the donors, should they be alive, and the associate provost for Honors and Scholars in the Office of Academic Affairs.

Amount Establishing Endowment: \$41,820.00 (grandfathered)

The Medical Class of 1968 Memorial Scholarship Fund

The Medical Class of 1968 Memorial Scholarship Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the alumni of the College of Medicine Class of 1968.

The annual distribution from this fund shall be used to provide a scholarship for the recruitment of an outstanding student, based on academic merit and financial need, who has also overcome financial hardship. The selection shall be made at the recommendation of the College of Medicine Scholarship Committee, including the associate dean of Student Affairs and the vice dean of Education, in consultation with the University's Office of Student Financial Aid; and as approved by the dean of the College of Medicine in consultation with the senior vice president for Health Sciences. When possible, the College shall notify a representative of the donors when a recipient has been selected.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the dean of the College of Medicine in consultation with the senior vice president for Health Sciences.

Amount Establishing Endowment: \$30,553.20 (grandfathered)

Carol Z. and Lawrence C. Mitchell Engineering Scholarship Fund

The Carol Z. and Lawrence C. Mitchell Engineering Scholarship Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with

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gifts from Lawrence C. Mitchell (M.S. Industrial Engineering 1964) of Valley City, Ohio, in honor of his wife, Carol Z. Mitchell.

The annual distribution from this fund shall provide need-based scholarships for students majoring in engineering from Medina or Lorain Counties of Ohio who are taking or have taken the freshmen honors engineering courses or the equivalent. Recipients shall be selected by the dean of the College of Engineering in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donor, should he be alive, and from the dean of the College of Engineering.

Amount Establishing Endowment: \$30,050.00 (grandfathered)

The Foglietti Family Athletics Scholarship Fund

The Foglietti Family Athletics Scholarship Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Mark Foglietti (B.S. 1977) of Chagrin Falls, Ohio.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of a varsity team. Scholarship recipients shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by

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the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$27,500.00 (grandfathered)

The Professor Paul G. Craig Graduate Student Assistance (Support)
Fund in Economics

The Professor Paul G. Craig Graduate Student Assistance (Support) Fund in Economics was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Benson H. Hart (M.A. 1961, Ph.D. 1967) and friends.

The annual distribution from this fund shall be used to relieve one or more graduate students from one term's teaching commitment in order to accelerate the students' progress towards earning a Ph.D. in economics. Eligible candidates should have completed at least one year of undergraduate teaching in the Department of Economics. Preference shall be given to students who have demonstrated commitment to the fields of Professor Craig's interest, such as money and banking and other public policy relevant areas. Recipients will be selected by the chairperson of the Department of Economics in consultation with appropriate faculty committees.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Social and Behavioral Sciences in consultation with the chair of the Department of Economics.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen

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circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from Dr. Hart, should he be alive, and from the dean of the College of Social and Behavioral Sciences in consultation with the chairperson of the Department of Economics.

Amount Establishing Endowment: \$27,100.73 (grandfathered)

Jeremy Vest Age Group and Camp Endowment Fund

The Jeremy Vest Age Group and Camp Endowment Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Jeremy Vest (B.S.Agr. 1994) of Bristol, Illinois.

The annual distribution from this fund shall be awarded to dependents of Ohio State University (OSU) employees or dependents of OSU students who demonstrate a financial need to access an Age Group and Camp program. The recipients shall be selected by the director of the Age Group and Camp Program.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donor, should he be alive, and from the director of Recreational Sports.

Amount Establishing Endowment: \$25,888.38 (grandfathered)

Total Commitment: \$50,000.00

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The Foltz Family Fund

The Foltz Family Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from John Charles Foltz (B.S. 1955, M.S. 1971) and Anne Clark Foltz (B.S.H.E. 1955, M.S. 1979) of Columbus, Ohio.

The annual distribution (minimum \$1,000) from this endowed fund shall be used to support the following areas in a three-year rotation:

1. A scholarship to be awarded to an undergraduate student who is a member of the Alpha Tau Zeta chapter of FarmHouse Fraternity. Qualified applicants will have demonstrated academic achievement and leadership in student activities at the College of Food, Agricultural, and Environmental Sciences and/or the University.
2. Support for the restoration, refurbishment, and/or maintenance of Cooke Castle on Gibraltar Island in Lake Erie. Such use shall be at the discretion of the director of Stone Laboratory.
3. A scholarship to be awarded to a freshman with declared intention to study agriculture or natural resources. Qualified applicants will have demonstrated accomplishments in 4-H and/or FFA activities with emphasis on leadership and community service. Preference shall be given to youths from Gallia and Fairfield counties in Ohio.

In any given year that the endowment distribution is less than \$1,000, the funds are to be reinvested in the endowment principal.

Scholarship recipients shall be selected by the vice president for agricultural administration and executive dean for Food, Agricultural, and Environmental Sciences or his designee in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully used for its intended purposes, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the vice president for agricultural administration and executive dean for Food, Agricultural, and Environmental Sciences.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the total University endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's

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Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the vice president for agricultural administration and executive dean for Food, Agricultural, and Environmental Sciences.

Amount Establishing Endowment: \$25,665.93 (grandfathered)

The Cox Family Memorial Scholarship Fund

The Cox Family Memorial Scholarship Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from James and Vicki Cox of Millersport, Ohio, and Lou Ann Cox Shy of Lewis Center, Ohio.

The annual distribution from this fund shall be used to support one or more merit- and need-based scholarships for first-year undergraduate students. It is the donors' preference that the scholarship is given in minimum increments of \$1,000. It is the donors' desire that this fund shall support sons or daughters of a member of Local 683 of the International Brotherhood of Electrical Workers. If no applicant meets this desire, undergraduate students who are graduates of Lancaster High School, in Lancaster, Ohio, may be given the scholarship. Furthermore, it is the preference of the donors that the scholarship award is not to be used in conjunction with a recipient of the A. Z. Larison/Local 683 IBEW Scholarship Fund.

The annual distribution may be used towards the cost of tuition, room and board, books, an educational stipend, and miscellaneous educational expenses. The scholarship is renewable up to 12 quarters as long as the recipient maintains financial need and a 2.5 Grade Point Average. The fund will be administered by the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards

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shall seek advice from the donors, should they be alive, and the from the vice provost for Enrollment Services and dean for Undergraduate Education.

Amount Establishing Endowment: \$25,000.00 (grandfathered)

The David A. Rismiller Dean's Innovation Fund

The David A. Rismiller Dean's Innovation Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from David A. Rismiller (B.S.Bus.Adm. 1958).

The annual distribution from this fund shall be used at the discretion of the dean of The Max M. Fisher College of Business in accordance with the College's strategic plan.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donor, should he be alive, and from the dean of the Fisher College of Business.

Amount Establishing Endowment: \$25,000.00 (unrestricted)

The Patricia and Michael Schiff "FIND THE CURE" Endowment Fund

The Patricia and Michael Schiff "FIND THE CURE" Endowment Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from Patricia Schiff (B.A. Social and Behavioral Sciences, Communication 1998) and Michael Schiff of Columbus, Ohio, made in honor of their children, Sophie, Juliette, Stefan and Adrian Schiff.

The annual distribution from this fund shall be used to support innovative research toward a cure for cancer at the OSU Comprehensive Cancer Center (CCC) – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute (The James). Allocation of funds shall be approved by the director of the CCC and the CEO of The James in consultation with the senior vice president for Health Sciences.

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In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and as recommended by the director of the CCC and the CEO of The James in consultation with the senior vice president for Health Sciences.

Amount Establishing Endowment: \$25,000.00 (grandfathered)

Dr. Stephen Hepler Memorial Scholarship Fund

The Dr. Stephen Hepler Memorial Scholarship Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with an estate gift from Dr. Hepler's mother, Catherine Hepler.

The annual distribution from this fund shall provide scholarship support to incoming freshmen, sophomores, juniors, or graduate students from the state of Ohio who have demonstrated academic accomplishment. Scholarship recipients shall be recommended by the chairperson of the Department of Computer Science and Engineering and selected by the dean of the College of Engineering in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Engineering.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the dean of the College of Engineering.

Amount Establishing Endowment: \$20,000.00 (grandfathered)

Change in Description of Named Endowed Funds

The Scott and Jessica Lawrence Scholarship Fund

The Scott and Jessica Lawrence Scholarship Fund was established July 11, 2008, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Scott (D.D.S. 1985) and Jessica Lawrence. The description was corrected February 6, 2009.

The annual distribution from this fund shall be used to provide a need-based scholarship for a student enrolled in the College of Dentistry who is a first generation dental student from an Appalachian county in the state of Ohio. Scholarship recipients shall be selected by the dean of the College of Dentistry in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Dentistry.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the dean of the College of Dentistry.

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The Sungkyu Christopher Lee, M.D. Family Scholarship Fund

The Sungkyu Christopher Lee, M.D. Family Scholarship Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Sungkyu Christopher Lee, Mrs. Geraldine Lee, and Mr. Bryan Christopher Lee of Columbus, Ohio. The description was revised February 6, 2009.

It is the donors' intent to support educational diversity at the University, consistent with the University's mission and admissions policy. The annual distribution from this fund shall be used to provide a scholarship(s) to cover educational expenses for students enrolled in the College of Medicine who have achieved scholastic excellence. To qualify, candidates must be full-time medical school students, and when possible, in their second year of the program. It is the donors' desire that the scholarship be awarded in furtherance of the diversity mission with particular attention to, but not limited to, students of Asian descent.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The scholarship will be administered by the College of Medicine in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the dean of the College of Medicine in consultation with the director of the Office of Student Financial Aid.

The Evelyn Michael MBA Fellowship Fund

The Evelyn Michael MBA Fellowship Fund was established November 1, 2002, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Timothy A.

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Michael (B.A. 1970, M.B.A. 1976) and Melinda Vogel Michael (B.S.Ed. cum laude 1970, M.A. 1975). The description was revised February 7, 2003, and it was revised further February 6, 2009.

The annual distribution from this fund shall be used to provide support to a graduate student(s) in The Max M. Fisher College of Business, with preference given to candidates who have served in the United States military. Scholarship recipients shall be selected by the College's director of the Graduate Programs Office in consultation with the University's Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the Fisher College of Business.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use, as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from both the donors, should they be alive, and the dean of the Fisher College of Business.

The Barbara Van Brimmer Endowment Fund

The Barbara Van Brimmer Endowment Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from family, friends, and colleagues of the late Barbara Van Brimmer of Columbus, Ohio. The description was revised February 6, 2009.

Barbara Van Brimmer of Columbus, Ohio, began her career in the Prior Health Sciences Library in 1985, became passionate about preservation and medical history in 1992, and served as curator of the Medical Heritage Center from 1997 to 2003.

The annual distribution from this fund shall provide educational and travel support for professional staff and librarians of the Medical Heritage Center and the Prior Health Sciences Library. The fund may also provide educational opportunities in library and medical heritage

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sciences, an expanded lecture series that would broaden the awareness of the Medical Heritage Center and inspire others to pursue careers in the field, and other important initiatives central to sustaining the excellence of medical history preservation.

The allocation of the distribution shall be made as recommended by the director of the Prior Health Sciences Library in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the director of the Prior Health Sciences Library.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the director of the Prior Health Sciences Library in consultation with the dean of the College of Medicine and the senior vice president for Health Sciences.

**AMENDMENTS TO THE CODE OF REGULATIONS OF
THE OHIO STATE UNIVERSITY MANAGED HEALTH CARE
SYSTEMS, INC.**

Resolution No. 2009-61

Synopsis: Approval of amendments to the Code of Regulations of The Ohio State University Managed Health Care Systems, Inc. is requested.

WHEREAS The Ohio State University Managed Health Care Systems, Inc. (MHCS), an entity affiliated with The Ohio State University, is providing leadership on a new approach to health care plans for the University, referred to as *Your Plan for Health*, in partnership with the University Office of Human Resources and the University Medical Center; and

WHEREAS Managed Health Care Systems is being positioned to develop and offer innovative products and services to new customers in addition to University faculty, staff, and students; and

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WHEREAS MHCS is continuing a systematic review of its Code of Regulations, and the Board of Directors of MHCS has approved the attached amendments to the Regulations, subject to approval by the University's Board of Trustees, as provided for in the MHCS Regulations; and

WHEREAS the proposed substantive changes in the Code of Regulations are intended to expand the breadth and depth of expertise among the membership of the Board of Directors' Medical Advisory Board and to delete the additional requirement that changes to the MHCS governance documents must be approved by the Board of Trustees:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the proposed amendments to the Code of Regulations of The Ohio State University Managed Health Care Systems, Inc., as shown in the attached document; and

BE IT FURTHER RESOLVED, That these amendments shall take effect immediately except that amendment of Article IX of the MHCS Code of Regulations shall take effect upon execution of a memorandum of understanding between the University and MHCS in accordance with the Policy on Affiliated Entities adopted by this Board on June 6, 2008.

(See Appendix XXVI for background information, page 647.)

**AMENDED APPROVAL TO ENTER INTO DESIGN AND
CONSTRUCTION CONTRACTS**

Resolution No. 2009-62

APPROVAL TO ENTER INTO DESIGN CONTRACTS

17TH AVENUE REBUILD
18TH AND 19TH AVENUES REBUILDS
BRT – THREE FLOOR BUILD OUT
WOODRUFF AVENUE AND TUTTLE PARK PLACE REBUILDS

APPROVAL TO ENTER INTO CONSTRUCTION CONTRACTS
DECOMMISSIONING AND DEMOLITION OF MED CENTER
FACILITIES (MCFP)
HIGH VOLTAGE SWITCH AND CABLE REPLACEMENT
INFRASTRUCTURE AND ROADWAYS EOC PHASE 1 (MCFP)

Synopsis: Authorization to enter into design and construction contracts, as detailed in the attached materials, is requested.

WHEREAS in accordance with the attached materials, the University desires to undertake and enter into design contracts for the following projects:

17 th Avenue Rebuild (09-10 capital plan)	\$11.0M	University bond proceeds
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February 5 and 6, 2009 meeting, Board of Trustees

18 th and 19 th Avenues Rebuilds (09-10 capital plan)	\$7.8M	University bond proceeds
BRT – Three Floor Build Out (09-10 capital plan)	\$3.0M	University bond proceeds
Woodruff Avenue and Tuttle Park Place Rebuilds (09-10 capital plan)	\$11.5M	University bond proceeds

WHEREAS in accordance with the attached materials, the University desires to undertake and enter into construction contracts for the following projects:

Decommissioning and Demolition of Med Center Facilities (07-08 capital plan)	\$8.0M-\$10.0M	University bond proceeds
Infrastructure and Roadways EOC Phase 1 (MCFP) (07-09 capital plan)	\$19.8M	University bond proceeds

** Parentheses indicates the biennial capital request or other action by the Board of Trustees to authorize the capital project, renovation projects funded by internal office or department funds that are noted as "N/A" have not had separate capital project authorization because of their small size or because they arose unexpectedly between capital planning cycles.*

NOW THEREFORE

BE IT RESOLVED That the President and/or Senior Vice President for Business and Finance be authorized to enter into design and construction contracts, for the projects listed above in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time.

(See Appendix XXVII for background information and map, page 659.)

PURCHASE OF REAL PROPERTY

Resolution No. 2009-63

THREE (3) PARCELS NE CORNER NEIL AVENUE AND W. TENTH AVENUE COLUMBUS, OHIO 43201

Synopsis: The Fiscal Affairs Committee recommends authorization to purchase the properties located at 1618-1624 Neil Avenue, 1630 Neil Avenue, and 250-252 W. Tenth Avenue, Columbus, Ohio 43201. The property consists of three separate parcels one of which is improved with a leased commercial building containing approximately 13,000 SF. These strategic properties are located within the South Campus Acquisition Area.

WHEREAS these properties have an appraised value range of approximately \$3,116,000 and \$3,125,000 and the owners, Nurtur Property Holdings, LLC, Nurtur Property 1630 LLC, and Nurtur

February 5 and 6, 2009 meeting, Board of Trustees

Property 252 LLC, all related entities have entered into real estate purchase contracts to sell the property to the University for \$3,150,000; and

WHEREAS this property is located within the University's South Campus Acquisition Area and the appropriate University offices have determined that the purchase of this property would be in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to purchase three parcels located at 1618-1624 Neil Avenue, 1630 Neil Avenue, and 250-252 W. Tenth Avenue and to acquire title of this property in the name of the State of Ohio for the use and benefit of The Ohio State University, upon such terms and conditions deemed to be in the best interest of the University.

(See Appendix XXVIII for background information, page 667.)

**ATHLETIC TICKET PRICES AND FEES FOR
FOOTBALL AND MEN'S BASKETBALL FISCAL YEAR 2010
GOLF COURSE MEMBERSHIP CALENDAR YEAR 2009**

Resolution No. 2009-64

Synopsis: Approval of athletic ticket prices for Fiscal Year 2010 and golf course membership fees for Calendar Year 2009 at the recommended levels is requested.

WHEREAS each year the Athletic Council reviews projections for the coming year's budget and recommends ticket prices and golf course membership fees; and

WHEREAS the Athletic Council has approved increases for football and men's basketball tickets, and for golf course membership fees shown on the attached tables; and

WHEREAS the Athletic Council's recommendations have been reviewed and are recommended by the appropriate University administration:

NOW THEREFORE

BE IT RESOLVED, That the recommended increases for football and men's basketball tickets for Fiscal Year 2010, and for golf course membership fees for Calendar Year 2009 be approved.

(See Appendix XXIX for background information, page 669.)

Upon motion of Mr. Shumate, seconded by Mr. Schottenstein, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Trustees Cloyd, McFerson, Davidson, Ong, Borror,

February 5 and 6, 2009 meeting, Board of Trustees

Wexner, Shumate, Hicks, Schottenstein, Brass, Ratner, Marbley, and Kass.

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Thereupon the Board adjourned to meet Friday, April 3, 2009, at The Ohio State University, Longaberger Alumni House, Columbus, OH.

Attest:

G. Gilbert Cloyd
Chairman

David O. Frantz
Secretary

**AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF AND RULES
AND REGULATIONS OF THE MEDICAL STAFF OF THE
ARTHUR G. JAMES CANCER HOSPITAL AND RICHARD J. SOLOVE
RESEARCH INSTITUTE**

3335-111-04 Membership.

(A) Qualifications.

(1) and (2) no changes.

(3) All members of the medical staff will comply with medical staff and the CHRI policies regarding employee and medical staff health and safety, provision of uncompensated care, and will comply with appropriate administrative directives and policies which, if not followed, could adversely impact overall patient care or may adversely impact the ability of the CHRI employees or staff to effectively and efficiently fulfill their responsibilities. All members of the medical staff will comply with policies adopted by the medical staff administrative committee, including but not limited to policies on disruptive behavior, conflict of interest and access and communication guidelines. Medical staff members must also comply with the university integrity program requirements including but not limited to billing, self referral, ethical conduct and annual education.

(4) through (8) no changes.

(B) Application for membership.

Initial application for all categories of medical staff membership shall be made by the applicant to the ~~senior executive director~~ chief executive officer or designee on forms prescribed by the medical staff administrative committee, stating the qualifications and references of the applicant and giving an account of the applicant's current licensure, relevant professional training and experience, current competence and ability to perform the clinical privileges requested. All applications for appointment must specify the clinical privileges requested. Applications may be made only if the qualifications are fulfilled as outlined in paragraph (A) of this rule. See paragraph (E)(1) of rule 3335-111-07 of the Administrative Code for exceptions to signature requirements. The application shall include written statements by the applicant that commit the applicant to abide by the bylaws, rules and regulations of the medical staff, the CHRI hospital board, and the board of trustees of the Ohio state university. The applicant shall produce a government issued photo identification to verify his/her identity pursuant to hospital/medical staff policy. The applicant for medical staff membership shall agree that membership requires participation in and cooperation with the peer review processes of evaluating credentials, medical staff membership and clinical privileges, and that a condition for membership requires mutual covenants between all members of the medical staff to release one another from civil liability in these review processes as long as the peer review is not conducted in bad faith, with malice, or without reasonable effort to ascertain the accuracy of information being disclosed or relied upon. A separate record shall be maintained for each applicant requesting appointment to the medical staff.

(C) and (D) no changes.

(E) Procedure for appointment.

(1) The completed and signed application for membership of all categories of the medical staff as defined in rule 3335-111-07 of the Administrative Code, shall be presented to the ~~senior~~

~~executive director~~ chief executive officer or designee. The applicant shall include in the application a signed statement indicating the following:

(a) through (h) no changes.

(2) no change.

(3) An application for membership on the medical staff shall be considered complete when all the information requested on the application form is provided, the applicant signs the application and the information is verified. A completed application must contain:

(a) and (b) no changes.

(c) Evidence of current professional medical malpractice liability coverage required for the exercise of clinical privileges;

(d) through (o) no changes.

(4) through (14) no changes.

(F) Procedure for reappointment.

(1) and (2) no changes.

(3) An application for reappointment is complete when all the information requested has been submitted and/or verified. A completed reappointment application must contain:

(a) no change.

(b) Evidence of current professional medical malpractice liability insurance required for the exercise of clinical privileges;

(c) through (o) no changes.

(4) through (11) no changes.

(G) no change.

3335-111-05 Peer review and corrective action.

(A) through (C) no changes.

(D) Summary suspension.

(1) Notwithstanding the provisions of this rule, a member of the medical staff shall have all or any portion of clinical privileges immediately suspended or appointment terminated by the ~~senior executive director~~ chief executive officer or section chief, whenever such action must be taken in the best interest of patient care. Such summary suspension shall become effective immediately upon imposition and the ~~senior executive director~~ chief executive officer will subsequently notify the medical staff member in writing of the suspension. Such notice shall be by certified return receipt mail to the affected medical staff member's last known address as determined by university records.

(2) A medical staff member whose privileges have been summarily suspended or whose appointment has been terminated shall be entitled to appeal the suspension pursuant to rule 3335-111-06 of the Administrative Code. If the affected member of the medical staff does not make a written request for a hearing to the ~~senior~~

~~executive director~~ chief executive officer within thirty-one days after receipt of the adverse decision, it shall be deemed a waiver of the affected member's right to any review by the medical staff administrative committee of which the member might otherwise been entitled. If a timely, written request for a hearing is made, the procedures set forth in rule 3335-111-06 of the Administrative Code shall apply.

- (3) Immediately upon the imposition of a summary suspension, the ~~senior executive director~~ chief executive officer in consultation with the appropriate section chief, shall have the authority to provide for alternative medical coverage for the patients of the suspended medical staff member who remain in the hospital at the time of suspension. The wishes of the patient shall be considered in the selection of such alternative medical coverage. While a summary suspension is in effect, the member of the medical staff is ineligible for reappointment to the medical staff. Medical staff and hospital administrative duties and prerogatives are suspended during the summary suspension.

(E) Automatic suspension.

- (1) Notwithstanding the provisions of this rule, a temporary suspension in the form of withdrawal of a medical staff member's admitting privileges, effective until medical records are completed, may be imposed automatically by the ~~senior executive director~~ chief executive officer after a warning, in writing, of delinquency for failure to complete medical records as defined by the rules and regulations of the medical staff.

(2) through (8) unchanged.

3335-111-06 Hearing and appellate review procedure.

(A) Right to hearing before the medical staff administrative committee and to appellate review.

- (1) When a member of the medical staff has exhausted remedies under paragraph (F) of rule 3335-111-04 of the Administrative Code on reappointments; or under rule 3335-111-05 of the Administrative Code for corrective action; or who has been summarily suspended under paragraph (D) of rule 3335-111-05 of the Administrative Code receives notice of a proposed action by the ~~senior executive director~~ chief executive officer or the director of medical affairs that will adversely affect reappointment as a member of the medical staff or the exercise of clinical privileges, the staff member shall be entitled to an adjudicatory hearing.
- (2) All hearings and appellate reviews shall be in accordance with the procedural safeguards set forth in this rule to assure that the affected medical staff member is accorded all rights to which the member is entitled.

(B) Request for hearing.

- (1) The request for a hearing shall be submitted in writing by the affected medical staff member to the ~~senior executive director~~ chief executive officer within thirty-one days of notifications by the ~~senior executive director~~ chief executive officer of the intended action. The ~~senior executive director~~ chief executive officer shall forward the request to the medical staff administrative committee along with instructions to convene a hearing.
- (2) The failure of a medical staff member to request a hearing to which the member is entitled by these bylaws within the time and

in the manner herein provided, shall be deemed a waiver of the member's right to any review by the medical staff administrative committee to which the member might otherwise be entitled. The ~~senior executive director~~ chief executive officer shall then implement the decision and that action shall become and remain effective against the medical staff member in the same manner as a final decision of the CHRI board as provided for in paragraph (E) of this rule. The ~~senior executive director~~ chief executive officer shall promptly inform the affected medical staff member that the proposed decision, which had entitled the medical staff member to a hearing, has now become final.

(C) Notice of hearing.

(1) After receipt of a timely request for hearing by the senior executive director from a medical staff member entitled to such hearing, the medical staff administrative committee shall be notified of the request for hearing by the senior executive director, and shall at the next scheduled meeting take the following action:

(a) no change.

(b) Instruct the hearing committee to schedule and arrange for a hearing or hearings which initial hearing or meeting shall be conducted not less than thirty nor more than sixty days from the date of the receipt of the request for a hearing by the ~~senior executive director~~ chief executive officer. However, an initial hearing or meeting for a medical staff member who is under summary suspension, which is then in effect, shall be held as soon as arrangements may be reasonably made.

(2) no change.

(D) Conduct of hearing.

(1) through (13) no change.

(E) Appeal process.

(1) through (6) no change.

(7) Any final decision by the CHRI board shall be communicated by the ~~senior executive director~~ chief executive officer by certified return receipt mail to the affected medical staff member at the member's last known address as determined by university records. The ~~senior executive director~~ chief executive officer shall also notify in writing the senior vice president for health sciences, the dean of the college of medicine and public health, the chief medical officer of OSU health system, the vice president for health services, the director of medical affairs, chief of staff, the section chief, academic department chairperson and the person(s) who initiated the request for peer review. The ~~senior executive director~~ chief executive officer shall take immediate steps to implement the final decision.

3335-111-07 Categories of the medical staff.

The medical staff of the CHRI shall be divided into honorary, attending, associate attending, clinical, community oncologist attending, community associate, and limited designations. All medical staff members with admitting privileges may admit patients in accordance with state law and criteria for standards of care established by the medical staff. Medical staff members who do not wish to obtain any clinical privileges shall be exempt from the requirements of medical malpractice liability insurance, DEA registration and demonstration of recent

active clinical practice during the last two years, but are otherwise subject to the provisions of these bylaws.

(A) Honorary staff.

The honorary staff will be composed of those individuals who are recognized for outstanding reputation, notable scientific and professional contributions, and high professional stature in an oncology field of interest. The honorary staff designation is awarded by the CHRI board on the recommendation of the ~~senior executive director~~ chief executive officer of the CHRI, senior vice president for health sciences, section chief, or the credentials committee after approval by the medical staff administrative committee. This is a lifetime appointment. Honorary staff are not entitled to patient care privileges.

(B) Attending medical staff.

(1) Qualifications:

The attending staff shall consist of those regular faculty members of the colleges of medicine and dentistry who are licensed in the state of Ohio with a proven career commitment to oncology as demonstrated by:

Training,
Current board certification,
Publications,
Grant funding,
Other funding and experience (as deemed appropriate by the ~~senior executive director~~ chief executive officer and the section chief);

and who satisfy the requirements and qualifications for membership set forth in rule 3335-111-04 of the Administrative Code, and whose clinical and teaching responsibilities are assigned by their respective academic and/or clinical chairpersons to one hundred per cent time in the CHRI and who satisfy the requirements and qualifications set forth in rule 3335-111-04 of the Administrative Code.

(2) Prerogatives:

Attending staff members may:

(a) Admit patients consistent with the balanced teaching and patient care responsibilities of the CHRI. When, in the judgment of the director of medical affairs, a balanced teaching program is jeopardized, following consultation with the ~~senior executive director~~ chief executive officer and chairperson of the appropriate academic department and with the concurrence of a majority of the medical staff administrative committee, the director of medical affairs may restrict admissions. Imposition of such restrictions shall not entitle the attending staff member to a hearing or appeal pursuant to rule 3335-111-06 of the Administrative Code.

(b) through (d) unchanged.

(3) Responsibilities:

An attending staff member shall:

(a) through (f) unchanged.

(C) no change.

(D) Clinical attending staff.

(1) Qualifications:

The clinical attending staff shall consist of those clinical faculty members of the colleges of medicine and dentistry who have training, expertise, and experience in oncology, as determined by the ~~senior executive director~~ chief executive officer in consultation with the section chief and who satisfy the requirements and qualifications for membership set forth in rule 3335-111-04 of the Administrative Code.

(2) through (3) unchanged.

(E) Community oncologist attending staff.

(1) Qualifications:

(a) The community oncologist attending (COA) staff shall consist of medical staff members who do not have faculty appointments in any of the academic units of the Ohio state university, are licensed in the state of Ohio with a proven career commitment to oncology as demonstrated by:

Training,
Current board certification,
Practice profile,
Membership - professional oncology societies,
Publications,
Grant funding,
Other funding and experience (as approved by the
CHRI ~~senior executive director~~ chief executive officer);

and who satisfy the requirements and qualifications for membership set forth in rule 3335-111-04 of the Administrative Code.

(b) Individual COA staff utilization expectations shall be determined by the CHRI ~~senior executive director~~ chief executive officer with the advice of the CHRI director of medical affairs and shall be intended to provide optimal access for patients to the CHRI that does not interfere with the service, research, or educational activities and priorities of the university faculty who constitute the CHRI attending, associate attending, or clinical attending staff. The CHRI ~~senior executive director~~ chief executive officer and the staff member applying for COA privileges will mutually identify the applicant's CHRI clinical and/or non-clinical involvement, including the categories of diagnosis, extent of anticipated patient activity, and the service areas to be utilized each year. A statement of this participation will be made a part of the application for appointment.

(c) All applications for appointment and reappointment as a member of the COA staff shall be made to the CHRI ~~senior executive director~~ chief executive officer for initial evaluation. The CHRI ~~senior executive director~~ chief executive officer may, when appropriate, refer each application for completion of the appointment procedure in accordance with paragraph (E) or (F) of rule 3335-111-04 of the Administrative Code. However, the approval of an academic department chairperson and section chief shall not be required for the appointment or reappointment relative to any application for

COA staff privileges. The staff member's ability to cooperate and support the goals identified by the CHRI ~~senior executive director~~ chief executive officer will be considered in the decision for appointment and reappointment. Adequate levels of clinical activity may be required to permit proper evaluation under CHRI quality assurance and utilization guidelines.

(2) Prerogatives:

Community oncologist attending staff members may:

Admit patients consistent with the balanced teaching and patient care responsibilities of the institution. When, in the judgment of the director of medical affairs, a balanced teaching program is jeopardized, following consultation with the ~~senior executive director~~ and chairperson of the appropriate academic department and with the concurrence of a majority of the medical staff administrative committee, the director of medical affairs may restrict admissions. Imposition of such restrictions shall not entitle the COA staff member to the procedures of paragraph (F) of rule 3335-111-04 and rule 3335-111-05 of the Administrative Code.

(a)

(b) through (d) unchanged.

(3) no change.

(F) Community associate attending staff.

(1) Qualifications:

The community associate attending staff shall consist of those applicants who do not have faculty appointments in any of the academic units of the Ohio state university and who are licensed in the state of Ohio and who satisfy the requirements and qualifications for membership set forth in rule 3335-111-04 of the Administrative Code. All applications for appointment and reappointment to the community associate attending staff shall be made to the ~~senior executive director~~ chief executive officer for initial evaluation. The ~~senior executive director~~ chief executive officer shall consult with the chairperson of the appropriate academic department and when appropriate may refer each application for completion of the appointment procedure in accordance with pertinent requirements of paragraph (E) or (F) of rule 3335-111-04 of the Administrative Code. The approval of the academic department chairperson or section chief shall not be required.

(2) and (3) no unchanged.

Balance unchanged.

3335-111-08 Organization of the CHRI medical staff.

(A) The ~~senior executive director~~ chief executive officer.

(1) Method of appointment:

The ~~senior executive director~~ chief executive officer shall be appointed by the board of trustees of the Ohio state university upon recommendation of the president, senior vice president for health sciences, and the vice president for health services following consultation with the CHRI board in accordance with university bylaws, rules and regulations. The ~~senior executive~~

~~director~~ chief executive officer shall also be the chief executive and operating officer of the CHRI and shall be a member of the attending medical staff of the CHRI.

(2) Responsibilities:

The ~~senior executive director~~ chief executive officer shall be responsible for the conduct of teaching, research, and CHRI service activities of the facility, including continuing compliance with all appropriate quality assurance standards, ethical codes, or other monitoring or regulatory requirements. The ~~senior executive director~~ chief executive officer shall be the chairperson of the medical staff administrative committee and shall be a member of all committees of the CHRI.

(B) The director of medical affairs.

(1) Method of appointment:

The director of medical affairs shall be appointed by the senior vice president for health sciences upon recommendation by the ~~senior executive director~~ chief executive officer. The director of medical affairs shall be the chief medical officer of the CHRI and shall be a member of the attending medical staff of the CHRI.

(2) Responsibilities:

The director of medical affairs shall be responsible to the ~~senior executive director~~ chief executive officer, the medical director of the Ohio state university health system, and the CHRI board for the quality of patient care provided in the CHRI. The director of medical affairs shall assist the ~~senior executive director~~ chief executive officer in the administration of medical affairs including quality assurance and credentialing.

(C) The sections.

Each member of the attending, associate attending, clinical, limited, and honorary staff shall be assigned to a CHRI section by the ~~senior executive director~~ chief executive officer upon the recommendation of the appropriate academic department chairperson and the credentials committee.

There are four clinical sections: medical oncology, surgical oncology, radiation oncology and pathology. Appointment to a specific section is based on the clinical specialty of the applicant for medical staff membership. Each section is headed by a section chief who has the responsibility to oversee all research and clinical activities conducted by members of the section. Specifically, the section chief shall be responsible for the following: the development and implementation of policies and procedures that guide and support the provision of service; recommendations re: staffing needs and clinical privileges for all members appointed to the section; the orientation and continuing surveillance of the professional performance of all section members; recommendation for space and other resources needed. The section chief is appointed by the ~~senior executive director~~ chief executive officer.

Balance unchanged.

3335-111-09 Elected officers of the medical staff of the CHRI.

(A) through (C) unchanged.

(D) Qualifications of officers.

(1) no change.

(2) The ~~senior executive director~~ chief executive officer and director of medical affairs are not eligible to serve as chief of staff or chief of staff-elect unless they are replaced in their CHRI administrative role during the period of their term of office.

Balance unchanged.

3335-111-10 Administration of the medical staff of the CHRI.

Medical staff committees.

(A) Appointments: Appointments to all medical staff committees except the medical staff administrative committee (MSAC) and the nominating committee will be made jointly by the chief of staff, chief of staff-elect, and the director of medical affairs. Unless otherwise provided by the bylaws, all appointments to medical staff committees are for one year and may be renewed. Up to two additional member(s) may be appointed to the MSAC at the recommendation of the ~~senior executive director~~ chief executive officer of the CHRI, subject to the approval of the medical staff administrative committee and subject to review and renewal on a yearly basis. The chairperson shall control the committee agenda, attendance of staff and guests and conduct the proceedings. A simple majority of appointed voting members shall constitute a quorum. All committee members appointed or elected to serve on a medical staff committee are expected to participate fully in the activities of those committees.

(B) Medical staff administrative committee:

(1) Composition:

(a) Chief of staff, chief of staff-elect, past chief of staff, section chiefs of medical oncology, radiation medicine, surgical oncology and pathology; CHRI chair of clinical quality and resource management committee; CHRI ~~senior executive director~~ chief executive officer, CHRI director of medical affairs, two delegates at-large, ~~senior executive director~~ chief executive officer medical staff appointments (up to two), CCC director for clinical research, and CCC director for cancer control. CHRI executive director, the CHRI associate director for professional education, and the CHRI administrator, patient care services, shall serve as ex-officio non-voting members.

(b) Any member of the committee who anticipates absence from a meeting of the committee may appoint a temporary substitute as a representative at the meeting. The temporary substitute will have all the rights of the absent member. The ~~senior executive director~~ chief executive officer may invite any member of staff as the ~~senior executive director's~~ chief executive officer's representative at a meeting or to attend any meeting with the ~~senior executive director~~ chief executive officer.

(c) no change.

(2) Duties:

(a) through (c) unchanged.

(d) To recommend action to the ~~senior executive director~~ chief executive officer on matters of medico-administrative nature.

Balance unchanged.

MEDICAL STAFF RULES AND REGULATIONS
Arthur G. James Cancer Hospital and
Richard J. Solove Research Institute

01 through 03 unchanged.

04 CONSULTATIONS.

(A) Consultation requirements.

When a patient care problem is identified that requires intervention during the hospital stay that is outside the medical staff member's area of training and experience, it is the responsibility of the medical staff member or his or her designee to obtain consultation by the appropriate specialist. The consultation may be ordered by the responsible medical practitioner, a member of the limited staff, or another licensed healthcare professional with appropriate clinical privileges as designated in these rules and regulations. If a consultation is ordered prior to 10 a.m., the consult shall occur on the same business day. If a consultation is ordered after 10 a.m., the consult shall occur within twenty-four (24) hours.

(B) and (C) unchanged.

05 ORDER WRITING PRIVILEGES.

(A) through (F) unchanged.

(G) Change of nursing service.

Level of care is defined as the type and frequency of medical and nursing interventions required to appropriately manage the medical and nursing care requirements of the patient. "Change of level of care" means official and physical movement (transfer) of a patient from an inpatient or observation care unit providing one level of care to another providing a different level of care, with or without change in attending physician, dentist, psychologist or podiatrist or clinical service. Orders effective before transfer must be reviewed, renewed or rewritten upon transfer by signature of a responsible medical practitioner. The new or renewed orders may be written before or when the patient arrives on the receiving unit and may become effective immediately.

In each case of "change of nursing service," it is the responsibility of the receiving nurse to establish the availability of renewed or new written orders. Prior orders will remain in effect until new orders are available. This should be done within eight hours of transfer.

(H) through (L) unchanged.

06 unchanged.

07 EMERGENCY PREPAREDNESS.

(A) Emergency care.

Emergency care is considered to be treatment rendered to stabilize the patient prior to transport to the Ohio state university hospitals emergency department or other appropriate facility as the patient's condition dictates.

(B) Disaster preparedness.

In case of a civil, military, natural emergency or disaster, patients may be discharged from the CHRI, moved to other community hospitals, or

moved to other facilities made available for the care and treatment of patients, by the order of the ~~Director of Medical Affairs~~ director of medical affairs of the CHRI or the director of medical affairs designated agent, to preserve life and health, to make room for more critically ill or injured patients sent to the hospitals from a disaster area or for the purpose of saving lives and to provide adequate medical care and treatment.

08 and 09 unchanged.

10 COMMITTEES.

In addition to the medical staff committees, the medical staff shall participate in the following hospital and monitoring functions: infection control, clinical quality management, safety, and disaster planning and in other leadership council for clinical value enhancement advisory policy groups.

Medical Information Committee

(A) unchanged.

(B) Each member of the medical staff shall conform to the policies established by the medical records committee, including the following:

(1) Chart contents

(a) unchanged.

(b) History and physical examination.

(i) A complete history and physical examination shall be documented on all inpatient records. The history and physical shall include but not be limited to:

(a) Date of admission

(b) Chief complaint and/or indication for procedure

(c) History of present illness

(d) Past medical and surgical history

(e) Relevant past social and family history

(f) Medications and allergies

(g) Review of systems

(h) Physical examinations

(i) Test results

(j) Assesment or Impression impression

(k) Plan of care

(ii) A history and physical appropriate to the patient and/or the procedure and the patient to be completed shall be documented in the medical record of all ambulatory surgery patients, and patients undergoing outpatient procedures, who are one of the following:

(a) admitted to the hospital

(b) undergoing outpatient/ambulatory procedures

- (c) undergoing outpatient/ambulatory surgery
- (d) in a hospital-based ambulatory clinic

(iii) ~~A history and physical appropriate to the patient and the patient's chief complaint shall be documented in the medical record of all ambulatory patients.~~

(iv) ~~The history and physical examination for~~ For ambulatory patients and patients undergoing outpatient/ambulatory procedures or outpatient/ ambulatory surgery, the history and physical examination shall include at a minimum:

- (a) Indications for procedure/visit or surgery
- (b) Relevant medical or surgical history
- (c) Medications and allergies or reference to current listing in the chart or electronic medical record
- (d) Focused review of systems, as appropriate
- (e) Pre-procedure assessment/and physical examination
- (f) ~~Impression~~ Assessment/impression and treatment plan

(2) Deadlines and sanctions

- (a) no change.
- (b) The complete history and physical examination shall be dictated, written or updated no later than 24 hours after admission ~~of the patient for all inpatients.~~ A summary of pertinent findings must be recorded in the patient's chart at the time of dictation. In the event the history and physical examination is performed by a physician extender, it shall be countersigned by the responsible medical staff member.
- (c) The history and physical examination may be performed up to thirty (30) days prior to admission, surgery or the visit. If completed before admission, surgery or patient's initial visit, there must be a notation indicating the presence or absence of changes in the patient's condition since the history and physical was completed. This notation shall be made on the history and physical at the time of admission, surgery or visit. The update must be performed by a member of the medical staff or his/her designee, and be signed and dated. In the event the history and physical update is performed by the medical staff member's designee, it shall be countersigned and dated by the responsible medical staff member.
- (d) ~~When the history and physical examination signed by the responsible medical staff member, including the results of indicated laboratory studies and x rays, is not recorded in the chart before the time stated for a procedure, the procedure cannot proceed until the history and physical signed by the responsible medical staff member, and indicated test results are entered into the chart. In cases where such delay would likely cause harm to the patient, this condition shall be entered into the chart by the attending medical staff member or designee, and the procedure may begin. When there is a disagreement concerning the urgency of the procedure, it shall~~

~~be adjudicated by the director of medical affairs or the director of medical affairs designee.~~

- (e) A procedure note shall be entered in the record by the responsible attending medical staff member or the medical staff member's designee immediately upon completion of an invasive procedure. Procedure notes must be written for any surgical or medical procedures, irrespective of their repetitive nature, which involve material risk to the patient. Notes for procedures performed in the operating rooms must be finalized in ORWeb by the attending surgeon. For any formal operative procedures, a note shall include pre-operative and post-operative diagnoses, procedure(s) performed and description of each procedure, surgeon(s), resident(s), anesthesiologist(s), surgical service, type of anesthesia (general or local), complications, invasive lines either added or deleted, estimated blood loss, any pertinent information not included on the O.R./anesthesia record, preliminary surgical findings, and specimens removed and disposition of each specimen. Where a formal operative procedure report is appropriate, the report must be dictated immediately following the procedure. The transcribed operative/procedure report must be signed by the attending medical staff member. Any operative/procedure report not dictated or any procedure note for procedures completed in the operating rooms not completed in ORWeb by 10:00 a.m. the day following the procedure shall be deemed delinquent and the attending medical staff member responsible shall lose operating/procedure room and medical staff privileges the following day. The operating rooms and procedure rooms will not cancel cases scheduled before the suspension occurred. Effective with the suspension, the attending medical staff member will lose all privileges to schedule elective cases. Affected medical staff members shall receive telephone calls from the medical information management department indicating the delinquent operative/procedure reports.
- (fe) Progress notes must provide a pertinent chronological report of the patient's course in the hospital and reflect any change in condition or results of treatment. In the event that the patient's condition has not changed, and no diagnostic studies have been done, a progress note must be completed by the attending medical staff member at least every three days. Each medical student progress note in the medical records must be signed or counter-signed by a member of the attending, courtesy, or limited staff.
- (gf) Birth certificates must be signed by the medical staff member who delivers the baby within one week of completion of the certificate. Fetal death certificates and death certificates must be signed and the cause of death must be recorded by the medical staff member with a permanent Ohio license within 24 hours of death.
- (hg) Outpatient visit notes and letters to referring physicians, when appropriate, shall be dictated within three days of the patient's visit.
- (ih) Reports in the electronic signature system must be signed within ten (10) business days of availability.

Balance unchanged.



EXECUTIVE VICE PRESIDENT AND PROVOST

December 19, 2008

Dr. G. Gil Cloyd, Chair
The Honorable John D. Ong
The Ohio State University Board of Trustees
210 Bricker Hall
CAMPUS

Dear Gil and John:

Enclosed are two reports requested by the Board of Regents. Both require action by the Board of Trustees.

The deadline for the first document, the university's Institutional Accountability Report, was November 30, 2008. This report—and the concerns raised in it—require formal Board of Trustees approval. Because of the Regents' deadline, the report was submitted without Board action. In my November 26 cover letter to Chancellor Fingerhut, however, I make it clear that the report will be brought to the Board for appropriate disposition at its February 5-6, 2009, meetings.

The second enclosure is a report on the condition of Ohio State's facilities and technology infrastructure. As was the case for the Institutional Accountability Report, the Board of Regents has once again required a report with a deadline—here, December 31, 2008—that does not allow for Board reaction prior to submission. As you will see, I explain in the cover letter that the Board's next meetings will take place on February 5-6, 2009, and that amendments may well follow.

With your concurrence, I will forward this preliminary version of the facilities and technology infrastructure report to the Board of Regents by the December 31 deadline, along with that caveat.

I propose that we place both reports on the February 5 agenda of the Academic Affairs and Student Life Committee, with copies to go to the full Board for inclusion on the February 6 consent agenda. Please let me know if this process strikes you as appropriate.

Page Two

Thank you for your advice on how best to respond to our Board of Regents.

Sincerely,



Joseph A. Alutto
Executive Vice President and Provost

Enc. Institutional Accountability Report
Cover letter and technical notes
Preliminary Facilities and Technology Infrastructure Report
Draft cover letter

cc: E. Gordon Gee
David O. Frantz
Herb Asher
William J. Shkurti
Vice Provosts
Melinda Nelson

Institutional Accountability Report,
Including cover letter and technical notes



EXECUTIVE VICE PRESIDENT AND PROVOST

November 26, 2008

Mr. Eric D. Fingerhut, Chancellor
Ohio Board of Regents
30 East Broad Street, 36th floor
Columbus, OH 43215-3414

Dear Eric:

With this letter, I am submitting The Ohio State University's Institutional Accountability Report for the University System of Ohio Strategic Plan. As instructed, we have included our main and regional campuses in our projections.

The numbers we have provided represent good faith estimates based on past trends, our ongoing strategic planning efforts, and the current economic climate. Several sets of projections in particular require some additional explanation:

• *Metric 6: Improvement in actual graduation rate over expected graduation rate*

Student ability is the primary component that predicts the expected graduation rate, and institutional effort is a factor that accounts for much of the difference between the expected and the actual graduation rates. Ohio State has admitted increasingly better prepared students over the past decade, and we have provided support to help them graduate. Our success in this arena is documented by *U.S. News and World Report's* "America's Best Colleges," which reports Ohio State to have an actual graduation rate seven percentage points higher than our expected rate.

The USO calculates the expected graduation rate differently, taking into account the institution's current efforts to help their students graduate. Improvement in this metric thus measures an institution's additional efforts over the next six years. For institutions such as Ohio State that are currently making significant efforts and are recruiting better and better prepared students, thus raising the expected rate, maintaining the current difference between actual and expected rates will take considerable work. The projected improvement levels of zero percent additional difference between our expected and actual rates understate Ohio State's commitment, effort, and success to helping our students graduate.

- *Metric 8: Percent of facilities in satisfactory condition or needing only minor rehabilitation*

Based on existing data in the OBR Higher Ed Information System (HEI), Ohio State's Educational and General (E&G) space in satisfactory condition or needing only minor rehabilitation, as of fall 2006, is 70.7%. This existing baseline number, derived from data that the university has reported to OBR, is based on informal building evaluations and information on recent renovation projects in specific facilities.

The university is currently engaged in an Academic Facilities Plan (AFP) initiative, which will provide building assessment information allowing for a more accurate, data-driven baseline building condition value. Based on preliminary data from the AFP study, the university is anticipating that our baseline percentage for facilities in satisfactory condition or needing only minor rehabilitation will decrease. With this preliminary data in mind, we are making the assumption that Ohio State's existing facilities condition baseline is no better than the University System of Ohio average of 64%.

Since, in about a year from now, the final results of the AFP study may yield a different baseline number, we would like to reserve the option to update the university's baseline at that time.

- *Metric 9: Total size of endowments and foundations per FTE (Annual)*

While calculating size of endowment per FTE can be helpful in linking endowment-building success at the system level to success in the same arena at the institution level, looking at this metric over time at a single institution can be misleading. Current students, which make up the FTE of the denominator in this equation, rarely - if ever - have an impact on the size of a university's endowment.

Ohio State's endowments and foundations per FTE drop from the baseline level to our projection in 2008-09. This is not due to a drop in total endowments and foundations, but rather to our increased success in retention of our students, and thus a higher FTE. As we project for the six-year period and anticipate a major fundraising campaign, the endowments and foundations per FTE climbs and then passes the baseline.

- *Metrics 10 and 16: Federally and industrially financed research spending per capita-national rank*

With regard to these particular metrics, we are concerned with the USO per capita national rank targets, rather than with our own institutional goals. Based on the 2006 per capita funding for the states that are at the levels the USO has targeted for Ohio,

Page Three

and holding activity in all other states constant, federally financed research spending in Ohio would need to increase by 19% in five years and by 51% in ten years to achieve the USO targets. Industrially financed research spending would need to increase by 57% in the first five years and by 93% in ten. We suggest that the USO work with a task group of senior staff from research and institutional research offices to identify states where dramatic increases may have occurred, and to see if there are lessons to be learned from any such states.

I have discussed this report with the chair of our Board of Trustees, and it will be on the agenda for our next Board of Trustees meeting, February 5-6, 2009. I look forward to discussing our projections with you. Please let me know if I can provide any additional information.

Sincerely,



Joseph A. Alutto
Executive Vice President and Provost

Enc.

cc: G. Gil Cloyd
David O. Frantz
Vice Provosts
Julia Carpenter-Hubin

Institutional Accountability Report for University System of Ohio Strategic Plan

Note: Report includes all campuses totaled

Data provided for Metrics 6, 8, 9, 10, and 16 require additional explanation, which is provided separately in a document titled "Institutional Accountability Report: The Ohio State University's Clarifying Notes"

Due November 30, 2008

E-mail to Paolo DeMaría

School Name:

Campus Contact Information:

pdemaria@regents.ohio.oh.us

Name:	Julie Carpenter-Hubin
Phone:	614-292-5915
E-mail:	carpenter-hubin.16@osu.edu

	Baseline Time Period	University System of Ohio			The Ohio State University						
		Baseline Level	2013-14 Target	2017-18 Target	Baseline Level	2008-2009	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
Total Degrees Awarded (Annual)	2006-2007	72,657	89,063	100,000	14,291	14,939	15,079	15,209	15,379	15,549	15,699
Associate	2006-2007	18,156	24,062	28,000	554	554	554	554	554	554	554
Bachelor's	2006-2007	37,815	46,926	52,000	9,222	9,550	9,670	9,790	9,910	10,030	10,150
Graduate	2006-2007	16,685	18,074	20,000	4,515	4,835	4,855	4,865	4,915	4,955	4,995
1. Total post-secondary enrollment (Fall)	Fall 2006	472,694	610,694	702,694	59,770	61,593	62,193	62,818	63,418	64,018	64,618
2. Total STEM degrees Awarded (Annual)	2006-2007	22,005	35,208	44,010	4,703	5,053	5,153	5,263	5,378	5,453	5,528
3. Total enrollees age 25 and older (Fall)	2006-2007	174,294	279,326	351,347	15,134	15,134	15,134	15,134	15,134	15,134	15,134
4. Total degrees awarded to first generation college students (Annual)	2006-2007	19,418	27,767	33,333	2,402	2,402	2,402	2,402	2,402	2,402	2,402
5. Percent of total degrees awarded to Black and Hispanic students (Annual)	2006-2007	8.63%	11.84%	13.98%	8.22%	8.25%	8.25%	8.50%	8.50%	8.75%	9%
6. Improvement in actual graduation rate over expected graduation rate (Universities only, Community College metric under development)	Fall 2000 Cohort, through Summer 2006	same	6%	10%	0	0	0	0	0	0	0
7. Measuring the system's reputation: Number of first time enrollees in the top 20% SAT/ACT (at University Main Campuses) (Fall)	Fall 2007	13,866	18,025	20,799	4,797	4,827	5,200	5,275	5,350	5,425	5,500
8. Percent of facilities in satisfactory condition or needing only minor rehabilitation (Fall)	2006-2007	64.07%	67.63%	70.00%	64.0%	64%	65.20%	65.20%	66.40%	66.40%	67.60%
9. Total size of endowments and foundations per FTE (Annual)	2006-2007	10,573	\$16,917	\$21,146	23,450	\$ 20,717	\$ 20,917	\$ 22,054	\$ 23,318	\$ 24,685	\$ 26,071
10. Federally financed research spending (Annual)	2006-2007	\$640,168,000			\$815,914,000	\$835,000,000	\$348,400,000	\$562,836,000	\$376,829,000	\$391,903,000	\$407,579,000
Per capita- national rank			30 Top 20	Top 10							
11. Average out of pocket cost	See Affordability Report										
12. Tuition and fees weighted average of bachelor's degree offered on a community college or university regional campus- national rank (Annual)	2006-2007	TBD	TBD	Top 10	\$ 21,526	\$ 23,454	\$ 24,108	\$ 24,954	\$ 26,145	\$ 27,783	\$ 29,520
13. State funding per FTE- relationship to the national average (annual)	Statewide Measure	(\$420) Million	(\$168) Million	15% above natl avg							
14. Percentage of first time enrollees below age 21 with equivalent of one semester or more of college credit earned during high school (Fall)		5.50%	14.20%	20%	15.9%	18.4%	20.9%	23.4%	25.9%	28.4%	30.9%
15. (Universities) Percentage of bachelor's degree recipients with at least one year of credit from a community college (Annual)	2006-2007	8.80%	18.50%	25%	8.5%	8.5%	8.5%	8.5%	8.5%	8.5%	8.5%
15. (Community Colleges) Transfer Metric currently under development with guidance from OACC											
16. Industrially financed research spending (Annual)	2006-2007	\$125,859,000			\$106,252,000	\$127,000,000	\$130,810,000	\$134,734,000	\$138,776,000	142,940,000	\$147,228,000
Per capita- national rank			5 Top 3	1							
17. Globalization measure (Annual):											
Total international students	2006-2007	13,538	26,496	35,134	4,008	4088	4497	4947	4947	4947	4947
Ohio students studying abroad annually	2006-2007	6,328	12,379	16,413	1,858	1,858	1,951	2,146	2,146	2,146	2,146
18. Knowledge Transfer Measure- TBD		TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD
19. Business satisfaction- measured through survey		TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD
20. Number of students engaged in internships and co-ops (Fall)	Fall 2006 students with co-op/ internship sometime in 2006-2007	46,443	78,577	100,000	7,392	7,592	7,792	8,192	8,592	9,092	9,592

Technical Notes to the Accountability Report for The Ohio State University

Projections for several of the metrics included in the USO Institutional Accountability Report for The Ohio State University are best understood in light of the following information:

- *Metric 5: Percent of total degrees awarded to Black and Hispanic students*
Below are listed the projected numerator and denominators for this metric:

Baseline Level	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14
1175	1232	1244	1293	1307	1361	1413
14,291	14,939	15,079	15,209	15,379	15,549	15,699

- *Metric 6: Improvement in actual graduation rate over expected graduation rate*
Student ability is the primary component that predicts the expected graduation rate, and institutional effort is a factor that accounts for much of the difference between the expected and the actual graduation rates. Ohio State has admitted increasingly better prepared students over the past decade, and we have provided support to help them graduate. Our success in this arena is documented by US News and World Reports "America's Best Colleges," which reports Ohio State to have an actual graduation rate seven percentage points higher than our expected.

The USO calculates the expected graduation rate differently, taking into account the institution's current efforts to help their students graduate. Improvement in this metric thus measures an institution's additional efforts over the next six years. For institutions such as Ohio State that are currently making significant efforts and are recruiting better and better prepared students, thus raising the expected rate, maintaining the current difference between actual and expected rates will take considerable work. The projected improvement levels of zero percent additional difference between our expected and actual rates understates Ohio State's commitment, effort, and success to helping our students graduate.

- *Metric 8: Percent of facilities in satisfactory condition or needing only minor rehabilitation*
Based on existing data in the OBR Higher Ed Information System (HEI), OSU's Educational and General (E&G) space in satisfactory condition or needing only minor rehabilitation, as of Fall 2006, is 70.7%. This existing baseline number, derived from data that the University has reported to OBR, is based on informal building evaluations and information on recent renovation projects in specific facilities.

The University is currently engaged in an Academic Facilities Plan (AFP) initiative, which will provide building assessment information allowing for a more accurate, data-driven baseline building condition value. Based on preliminary data from the AFP study, the University is anticipating that our baseline percentage for facilities

in satisfactory condition or needing only minor rehabilitation will decrease. With this preliminary data in mind, we are making the assumption that OSU's existing facilities condition baseline is no better than the University System of Ohio average of 64%.

Since, in about a year from now, the final results of the AFP study may yield a different baseline number, we would like to reserve the option to update the University's baseline at that time.

- Metric 9: Total size of endowments and foundations per FTE (Annual)*
 While calculating size of endowment per FTE can be helpful in linking endowment-building success at the system level to success in the same arena at the institution level, looking at this metric over time at a single institution can be misleading. Current students, which make up the FTE of the denominator in this equation, rarely - if ever - have an impact on the size of a university's endowment.

Ohio State's endowments and foundations per FTE drop from the baseline level to our projection in 2008-09. This is not due to a drop in total endowments and foundations, but rather to our increased success in retention of our students, and thus a higher FTE. As we project for the six-year period and anticipate a major fundraising campaign, the endowments and foundations per FTE climbs and then passes the baseline.

- Metric 12: Tuition and fees weighted average of bachelor's degree offered on a community college or university regional campus*
 We have projected the tuition and fee expense of completing a four year degree at OSU-Lima, OSU-Mansfield, OSU-Marion, or OSU-Newark. These projections do not include the cost of completing a degree at ATI.

Graduating:	Baseline:	2006-07	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14
Fees Yr 1		4416	5310	5664	5664	5664	6039	6435
Fees Yr 2		4977	5664	5664	5664	6039	6435	6855
Fees Yr 3		5893	6240	6240	6615	7011	7431	7876
Fees Yr 4		6240	6240	6540	7011	7431	7876	8352
Total	\$	21,526	\$23,454	\$ 24,108	\$ 24,954	\$ 26,145	\$ 27,783	\$ 29,520.

The above shows the cost of a four-year baccalaureate degree earned on a regional campus. The numbers assume that the degree is granted in the year listed, beginning with 2008-09. It assumes that students receive the Access Credit of 576 per year for two of the four years, and that the Access Credit does not increase.

- *Metric 14: Percentage of first time enrollees below age 21 with equivalent of one semester or more of college credit earned during high school*

Below are listed the projected numerator and denominators for this metric:

Baseline Level	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14
1333	1649	1914	2143	2372	2601	2830
8383	8960	9160	9160	9160	9160	9160

- *Metric 15: Percentage of bachelor's degree recipients with at least one year of credit from a community college*

Below are listed the projected numerator and denominators for this metric:

Baseline Level	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14
784	812	823	832	842	853	863
9,222	9,550	9,670	9,790	9,910	10,030	10,150

Facilities and Technology Infrastructure Report,
Including cover letter



EXECUTIVE VICE PRESIDENT AND PROVOST

December 30, 2008

Ms. Donna Alvarado, Chair
Mr. Bruce R. Beeghly, Vice Chair
Mr. James M. Tuschman, Secretary
Ohio Board of Regents
30 East Broad Street
36th Floor
Columbus, OH 43215-3414

Dear Ms. Alvarado, Mr. Beeghly, and Mr. Tuschman:

Enclosed is The Ohio State University's preliminary response to your November 7, 2008, request for information on our institution's facilities and technology infrastructure. Our Board of Trustees will not meet until February 5-6, 2009, and will act on the report at that time. If amendments are requested, I will forward a revised copy of the report as soon as possible after the Board's action.

Meanwhile, I would like to draw your attention to four conclusions in this preliminary report that I believe are especially compelling.

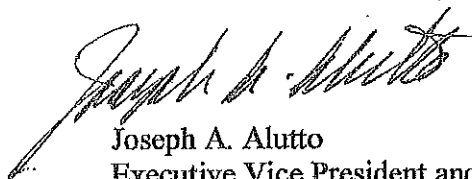
- As the state's national research university, Ohio State bears a special responsibility to educate for leadership in the STEM disciplines at all levels of the university curriculum. At the present time, however, our facilities do not optimally support this state objective, despite a considerable investment of university resources. Though we do not anticipate a need for additional facilities going forward, we do have demonstrated need for better facilities.
- Our capital planning efforts are challenged by the absence of facilities assessments and deferred maintenance metrics that are verifiable and consistent with general industry standards. Capital planning is further compromised by Ohio's requirement that a multi-prime model be applied in facilities construction.
- The need for new technologies—critical to Ohio's educational, workforce development, and research goals—has increased the cost of classroom space.

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- To fulfill the goals detailed in the Board of Regents' Strategic Plan for Higher Education, Ohio State may need the state's largest investment in facilities and technology because of our comprehensiveness and our land grant mission.

I hope the enclosed document responds to your needs as you prepare the *Second Report on the Condition of Higher Education in Ohio*. Please do not hesitate to contact me if you have questions or require additional detail. I will be back in touch with you following the February meeting of the Board of Trustees.

Sincerely,



Joseph A. Alutto
Executive Vice President and Provost

Enc.

cc: E. Gordon Gee
G. Gil Cloyd
John D. Ong
David O. Frantz
Herb Asher
Vice Presidents
Melinda Nelson

Introduction.

As Ohio's national research university, Ohio State serves the needs of our state in all matters of post-secondary education, including traditional higher education, continuing education, and workforce development. Accordingly, Ohio State is committed to leading the changes necessary to accomplishing the goals in the Board of Regents' *Strategic Plan for Higher Education*. As is the case for every college and university in Ohio, opportunities for and challenges to our contributions to the strategic plan are conditioned by a growing number of factors, including the need for facilities enhancement, the budgetary implications of facilities upgrades, and the expansion of our technology infrastructure. Our local conditions are a microcosm of State of Ohio conditions. Based upon our comprehensiveness and land grant mission, however, Ohio State may require the largest investment in these three areas to fulfill the Regents' objectives.

This report highlights these areas of opportunity and challenge at The Ohio State University.

1. Condition of Facilities.

The Columbus campus and four regional campuses collectively house 864 buildings. The replacement cost of these facilities' 33 million gross square feet is estimated to be \$6.7 billion. The average age of Education and General buildings (as defined by POM and the State of Ohio) is nearly 38 years. From experience, Ohio State expects its facilities to have a 67-year life cycle. (See Figures 1 and 2 for data on facilities usage.)

Based on data in the OBR Higher Ed Information system, as of fall 2006 Ohio State's Education and General space in satisfactory condition or needing only minor rehabilitation was 71 percent. This baseline number is based on informal building evaluations and information on recent renovation projects in specific facilities. Ohio State is currently engaged in an Academic Facilities Plan initiative that will provide data-driven building information that is more accurate than both our earlier assessments and the State of Ohio's estimates. This initiative provides for a condition assessment and a building functionality/utility assessment of every building. Based on preliminary data, Ohio State anticipates that its baseline percentage for facilities in satisfactory condition or needing only minor rehabilitation will decrease, thus indicating that more of our buildings need major repairs than suggested by the baseline. When this study is concluded in 2009, we will have far more precise knowledge of the condition of our facilities. For now, however, we are assuming that Ohio State's existing facilities condition baseline is no better than the University System of Ohio average of 64 percent.

These statistics reveal that, today, our facilities do not optimally support Ohio's higher education objectives. This is critical to an institution that produced more than 4,700 STEM graduates in 2006-07, a number that is projected to rise to 5,500 by 2013-14. Our unique role as the state's national research university calls on us to enhance STEM education for leadership in these crucial disciplines. Accordingly, Ohio State is focusing not only on undergraduate, but on Master's, PhD, and professional education. We are

training the faculty of the future, just as we are training Ohio's future scientists. Nearly 700 of our PhD graduates from the past ten years are faculty or postdoctoral researchers at an Ohio institution of higher education.

Indeed, Ohio State is not planning to increase its Columbus campus undergraduate student enrollments substantially. Demographic realities underlie that decision: while the number of high school graduates increased by 9 percent from 2006-2009, that number is projected to fall by 10 percent over the next decade. This calls on all higher education institutions in Ohio—including Ohio State—to optimize the graduation success of their students.

The increase in the total number of enrollees and the largest improvement in Ohio State's graduation rates will come from our regional campuses, especially in light of the regionals' opportunities for workforce development and their focus on degree completion. Approximately 55 percent of students who start at our regional campuses complete a degree in the state. Efforts are under way to boost that percentage substantially.

It is also important to note that Ohio State is already contributing to the Board of Regents objectives with improved graduation and retention rates.

- Over the past ten years, the percentage of Ohio State freshmen who were retained to their sophomore year increased from 83 percent to 93 percent.
- Over the past five years, the percentage of Ohio State students who graduated within six years increased from 62 percent to 73 percent, and we are focused on further improving this graduation rate to 95 percent.

We would also like to point out that more Ohio State faculty are recognized by the nation's most prestigious scientific associations than any other university in Ohio. Some 130 current faculty members are members of the Association for the Advancement of Science (AAAS), while 23 are members of the National Academy of Science, the National Academy of Engineering, or the Institute of Medicine.

Our increasingly well-retained undergraduates, our graduate and professional students, and our eminent faculty are often not well served by Ohio State facilities. To ensure that our teaching and research spaces are appropriate to all these users, such spaces must be maintained at a high level. We therefore do *not* anticipate a need for additional facilities, though there is demonstrated need for *better* facilities. The state's investment in capital facilities has eroded significantly over the past five biennia. The result is that our facilities are in less than optimal condition, despite a considerable investment of our own resources. Improving the quality of our teaching and research space will require still more significant capital investment.

2. Financing Facilities.

The State of Ohio capital decisions are biennia-based. Over the past two biennia we have begun planning in six-year cycles. The academic facilities studies that we have undertaken will enable us to develop scenarios for 15 to 20 years. While we will continue to project on a six-year cycle, such scenarios will provide sufficient long-term perspective to allow us to be responsive to changing conditions and priorities.

Due to years of decline in state funding, Ohio State now self-funds 80 percent of its capital construction, with some 20 percent of our capital funding provided by the state. To meet Ohio's goals of educating more students and increasing research and workforce development, Ohio State's rate of spending for new construction (building replacements and total building renovations) over the next 20 years is estimated to be at least \$130 million per year. The rate of spending for facility renovation (not including total building renovations) over the next 20 years is estimated to be \$92 million per year.

We are presently funded at a level of about \$43 million per year for custodial, maintenance, and renovation and repair of facilities. Funding for roads and grounds is not included. Our rate of spending is approximately 20 percent higher than FY09 funding. As a result, Ohio State's rate needs to increase to \$52 million per year and to be adjusted for inflation annually.

Utilities spending at present is approximately \$66 million per year for purchased utilities. This rate must be adjusted annually to cover fuel cost increases for inflation. In addition, the Medical Center expansion and our plans to house increasing numbers of students on campus will increase the amount of conditioned building space on campus. A corresponding increase in purchased utilities is to be expected. All new construction and renovation will meet campus and state goals for energy efficiency and sustainability and will exceed HB 251 requirements.

As noted above, undergraduate enrollments on the Columbus campus are not expected to increase substantially. Therefore, the existing educational and general component of the physical plant will remain relatively constant in size. As facilities efficiencies increase with building renovations or replacements, energy usage is anticipated to diminish.

To reach the goal of "greener" buildings, Ohio State has committed to spending an additional 5 percent for new building and building renovation projects to ensure that energy and sustainability enhancements are included. This additional 5 percent is built into the estimated annual rate of spending for new buildings and renovations. Moreover, all future construction projects exceeding \$4 million will be eligible for and will secure LEED Silver certification.

Primary challenges to Ohio State's capital planning efforts include:

- a lack of facilities assessments and deferred maintenance metrics that are verifiable and consistent with general industry standards; this challenge is particularly important because deferred maintenance liabilities may range upwards of \$3 billion—this as a result of inconsistencies in standardized state metrics; and
- the Ohio requirement for constructing facilities using a multi-prime model, which costs taxpayers an additional 10 to 20 percent premium in construction costs.

Ohio State's current facilities focus must be on the elimination of deferred maintenance and on renewal and/or reuse to improve the quality of the university's teaching/learning and working environments. A major step in that direction would be the elimination of the multiple primes inefficiency. Allowing the university to choose the most cost-effective project delivery model would accelerate our effort to reduce the university's deferred maintenance backlog and provide facilities appropriate to the teaching/learning and research needs of our students and faculty members.

Still another solution to providing facilities that meet the state's goals of educating more students and increasing research and workforce development would be the selective allocation of state capital dollars to only those universities with demonstrated and validated plans to optimize the use of their current facilities. Such plans would be based on academic facilities analysis and strategic planning principles, including:

- prioritizing adaptive reuse
- addressing renewal and deferred maintenance liabilities
- address energy and sustainability issues
- enhancing trans-disciplinary collaboration
- promoting innovative teaching supported by the creative use of facilities
- ensuring academic and social integration

3. Technology.

Technology is relevant to Ohio's educational, workforce development, and research goals in numerous ways. For example:

- Webinars, webconferencing, video and voice annotation, and media-rich, socially-networked messaging will increasingly provide alternatives to face-to-face communication while creating archivable records of events.
- eLearning can enable educational goals—whether it is just-in-time training for technicians in the field or a degree program, training course, or professional certification program for adult learners extending their credentials.

- Recent advances in remote lab experimentation and telemedicine expand the availability of expertise that was formerly location-bound.
- Broadband technologies leverage economies of scale and help address economic divides by providing access where none previously existed.
- Expert systems can help learners plan their educational paths by providing means to simplify degree audits, course credit transfers, and matriculation agreements across state institutions. Similar systems can support career planning, referral, and placement.
- Advances in data analytics technologies can improve workforce trend analysis and forecasting at the state level.
- Social networking enables individuals to look for work, enhances recruiting, expedites reference checks, and enables the sharing of information across organizations.

Virtual classrooms, in combination with public spaces such as libraries, could be a powerful tool for expanding the education and skills of Ohioans. For example, virtual classes on household financial and records management, infection control, or even such routine information as how to properly read a prescription bottle could help build a more educated Ohio. Course management systems, learning outcomes assessment-related portfolios systems, inter-state university/college broad-band connectivity, and the World Wide Web can all support educating more Ohioans and expanding workforce development and research.

The Ohio State University has aggressively pursued technology-enhanced education as a way of extending its expertise to learners throughout the state. To cite but one example, the Fisher College of Business offers a technology-supported general business degree to the four regional campuses.

New technologies, of course, add new dimensions to the issue of academic space. First, the cost of classroom space has increased because today's classrooms require connectivity and projectors, interactive whiteboards, configurable furniture, etc. Hybrid courses move a portion of course contact hours into an eLearning format, reducing the number of face-to-face class meetings per course. The institution may then reclaim classroom space for other course sections or purposes. However, implementing a hybrid course model may bring its own costs and floor space requirements, such as new media production and personnel space to support course creation. In addition, technology-based learning has enhanced the desire for collaboration among students. Much is done virtually, but students also want to work together in small groups. So, while basic needs for classroom space change little, demand for additional small group space increases. Moreover, each of these "breakout rooms" should be provisioned with technologies to foster file sharing and collaborative work.

Technology impacts space in still other ways:

- Library stack space may be reduced or consolidated as access and preference for digital resources increase;
- Office space may be recovered as records and resources move from paper to digital formats and high-fidelity communication platforms expedite telecommuting; and
- Space dedicated to housing servers may be reduced through virtualization, cloud computing, and centralizing server support into high performance data centers.

Consolidating common services, shared development, and joint purchasing can be a means of using funds effectively and efficiently. Current examples of combined IT systems at Ohio State, statewide, and in the CIC demonstrate the value of centralization. At Ohio State, negotiated purchasing agreements with key vendors, server and application hosting, virtualization, and an increasingly centralized application infrastructure have provided economies of scale to the institution. The Third Frontier Network, OhioLINK, the Ohio Supercomputer Center, and purchasing agreements brokered by IUC stand as examples of particularly effective state-wide I/T collaborations that have brought service and scale to all participating institutions. The shared digital repository currently under development in the CIC demonstrates the value of regional cooperation.

Conclusion.

The condition of our facilities, the financing of those facilities, and the need to expand our technology infrastructure are critical issues, especially in today's uncertain economic environment. The questions asked by the Regents for the *Second Report on the Condition of Higher Education in Ohio* are pertinent, and consistent with issues we are tackling at The Ohio State University.

Recognizing, for example, that our capital planning process was too narrowly focused and neither holistic nor visionary, we have made significant changes to that process. In the past, we traditionally renovated or constructed one building at a time in response to the needs of a particular college. We have now abandoned this college-centric approach because it leads to duplication, is inefficient, and reduces the impact we can have with today's limited funds.

Our capital resources, already diminished by a 40 percent decline in state capital dollars over the last ten years, are being further stressed by staggering inflation in construction costs and the end of life expectancy for infrastructure and building systems. Such a fiscal climate calls on us as never before to leverage our state and local funds as well as our development opportunities. To ensure that we use these leveraged funds most effectively, we have developed a comprehensive capital planning strategy that

simultaneously addresses our academic needs, deferred maintenance issues, and fiscal realities.

In this new planning process, our facilities and technologies needs must be aligned with our colleges' strategic plan goals for programs, recruitment and enrollment targets, and cooperative initiatives. Those needs must also be aligned with our president's objectives with those of the vice presidents. As a result, the new planning process will allow us to provide adjacencies that increase interdisciplinary opportunities and better utilize current space. Projects deemed to be critical will be those that have a high academic priority, address major facility conditions, and improve space functionality and use. It is important to note that Ohio State has implemented a performance enhancement strategy to assess and evaluate the success of university leadership in achieving their planning objectives.

Overall and in the long-term, these new approaches will redefine how Ohio State manages its resources and, so will promote sustainability. They will also lead to a more responsible stewardship of our resources, allowing us to provide our students, faculty, and staff with the most appropriate facilities in optimum condition, and with the technologies that will support the goals of educating more Ohioans and expanding workforce development and research.

Figure 1

Hours Usage of OSU Columbus Instructional Space - Autumn, 2007			
Space Type	Peak/Daytime Usage (8 AM-4 PM, Mon-Fri)	Non-Peak/Evening Usage (4 PM-12 AM, Mon-Fri)	Usage Goal (Peak)
Classroom	65.5%	19.0%	70.0%
Teaching Lab	45.9%	20.9%	50.0%

Figure 2

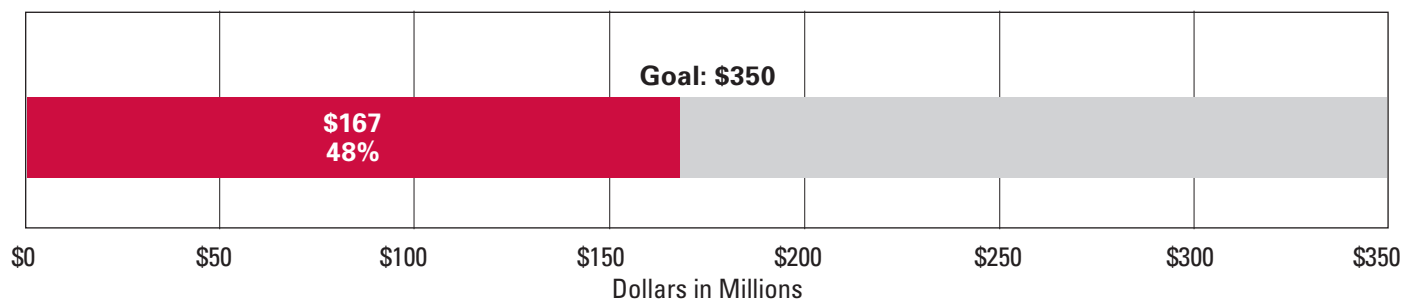
OSU Columbus E&G Space Usage Trend in Off-Campus Sites, 1998-2008 (Square feet of Franklin County leased space)			
Year	Square Feet	Pct Change	
1998	121,243		
2000	140,947	16.3%	
2002	187,161	32.8%	
2004	153,897	-17.8%	
2006	273,814	77.9%	See note below
2008	267,059	-2.5%	
	Average Annual Change	8.2%	
Note - The bulk of the 2006 increase was due to three locations:			
	Gateway Bldg C	(OSU Human Resources)	
	1480 W Lane Ave	(Univ Development Office)	
	2001 Polaris Pkwy	(medical research)	



Second Quarter Report Fiscal Year 2009 compared to Goal

Gifts, Grants and Commitments	FY09 through Q2	FY2009 Goals	% of Goal
Outright Gifts	\$ 73,031,189	\$ 170,299,000	43%
Private Grants (through OSURF)	\$ 41,986,097	\$ 68,251,000	62%
FY09 Outright Gifts and Grants	\$ 115,017,286	\$ 238,550,000	48%
Pledges	\$ 32,584,634	\$ 67,231,000	48%
Planned Gifts	\$ 19,482,610	\$ 45,065,000	43%
Total FY09 Goal	\$ 167,084,530	\$ 350,846,000	48%

FY09 Fundraising Progress



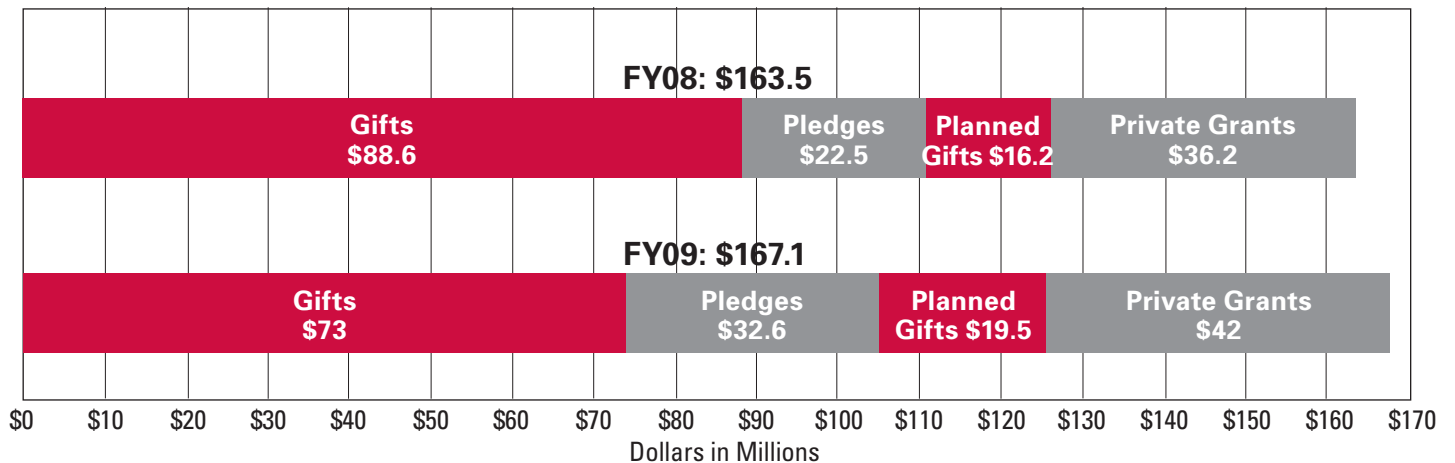
Elapsed Time in Fiscal Year



Second Quarter Report Fiscal Year 2009 compared to Fiscal Year 2008

Gifts, Grants and Commitments	FY09 through Q2	FY08 through Q2	% change
Outright Gifts	\$ 73,031,189	\$ 88,587,177	-18%
Private Grants (through OSURF)	\$ 41,986,097	\$ 36,184,218	16%
FY09 Outright Gifts and Grants	\$ 115,017,286	\$ 124,771,395	-8%
Pledges	\$ 32,584,634	\$ 22,514,101	45%
Planned Gifts	\$ 19,482,610	\$ 16,228,608	20%
Total FY09 Goal	\$ 167,084,530	\$ 163,514,104	2%

FY09 July-December compared to FY08 July-December



**AMENDMENTS
TO THE
CODE OF REGULATIONS
OF
THE OHIO STATE UNIVERSITY MANAGED HEALTH CARE SYSTEMS, INC.
(MHCS)**

SUMMARY

The MHCS Board of Directors has approved the proposed changes to the Code of Regulations, which is subject to the approval of the University's Board of Trustees. These changes are intended to expand the breadth and depth of expertise represented on the Medical Advisory Board.

In MHCS's effort to better serve its client base of faculty, staff, and students and potential new customers, these changes are intended to increase the representative knowledge of its membership which will help provide the best in the management of health care services by strengthening the opportunities for further collaborative partnerships.

The changes also would delete the additional requirement that changes to the MHCS Regulations and Articles of Incorporation must be approved by the Board of Trustees. This change is consistent with the plans to position MHCS to operate more effectively. In accordance with the Policy on Affiliated Entities adopted by this Board in 2008, there will be a memorandum of understanding between the University and MHCS. Therefore, it is appropriate that the change to eliminate the additional requirement for this Board to approve changes to the MHCS governance documents would take effect upon execution of that MoU detailing the relationship between the two entities.

This document contains amendments to the Code of Regulations as requested by the Office of Human Resources and the Board of Managed Health Care Systems, Inc. The amendments were formally approved by vote of the MHCS Board at the May 2008 meeting.

These amendments are respectfully submitted for approval by the Board of Trustees.

**CODE OF REGULATIONS
OF
THE OHIO STATE UNIVERSITY MANAGED HEALTH CARE SYSTEMS, INC.**

ARTICLE I

NAME AND PURPOSE OF THE CORPORATION

Section 1.01 Name. The name of the Corporation is The Ohio State University Managed Health Care Systems, Inc., herein called the "Corporation".

Section 1.02 Purpose: Tax-exempt Status. The Corporation, is organized exclusively to promote and carry out educational, charitable, and scientific purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code of 1986, as amended (or any corresponding provisions of any future United States Internal Revenue Code) (the "Code"), by conducting and supporting activities that are for the benefit, perform the functions, or carry out the purpose, of The Ohio State University (hereinafter, the "University"), principally its health plans, with the support of its health care facilities, and its College of Medicine; and may also support the educational and health care responsibilities reposed in the University by law, and the strategic goals of the University Medical Center.

The foregoing includes, but is not limited to the following:

- A. To market, promote, and provide, through management services, contracting for services, and/or the franchising of such services, the University's health plans and any expanded managed health care services and programs to employers and groups within Ohio and to contract with employers and groups, established managed care networks, service providers, and others and to undertake, conduct, or support other programs or activities reasonably related thereto;
- B. To conduct or support other programs or projects that augment and enhance the undertakings of the University's health plans, the University's health care facilities, the College of Medicine, and other health-related services in advancing their educational, research, patient care, health care, and public service responsibilities;
- C. To receive from the University, and to seek and obtain from any other resources, funds and other property, including donated funds and other property, to be used and administered to promote the purposes of the University's health plans, the University's health care facilities, the College of Medicine, and other health-related services; and
- D. To use and administer all funds and other property received by it for activities designed and implemented for the use and benefit of the University's health plans, the University's health care facilities, the College of Medicine, and other health-related services and to engage in any and all activities reasonably incidental thereto;
- E. To retain, employ, contract for, and discharge employees, consultants, advisors, and agents to carry out the purposes of the Corporation, as directed by the Board of Directors.

Solely for the above purposes, the Corporation is empowered to exercise all rights and powers conferred by the laws of the State of Ohio upon nonprofit corporations.

ARTICLE II

OFFICES: CORPORATE LOGO

Section 2.01 Office. The Corporation's principal office shall be located in the City of Columbus, Franklin County, Ohio, unless otherwise established by a vote of the Board of Directors.

Section 2.02 Corporate Logo. The Board of Directors may authorize a distinctive and unique identifying logo to be used as prescribed by the Board in the conduct of corporate business.

ARTICLE III

DIRECTORS

Section 3.01 Powers. Except as otherwise provided in the Articles of Incorporation, these Regulations, or applicable laws, the Board of Directors shall exercise all of the authority of the Corporation. The Directors serving hereunder shall have the power, authority, and responsibilities of, and perform the functions provided for, Directors under the Ohio Non-profit Corporation Law. The Board of Directors shall guide and approve the strategic direction and ventures of the corporation including the operating policies, the business plan and the annual budget for the Corporation. All major contracts, as determined by the Board, shall be subject to prior approval by the Board.

Section 3.02 Number. Appointment. Term of Office. There shall be twelve voting Directors of the Corporation, as follows:

- A. The Senior Vice President for Health Sciences of the University, or the equivalent successor officer, who shall serve as an Administration Director for so long as he or she occupies that position;
- B. The Vice President for Health Services shall serve as an Administration Director for so long as he or she occupies that position;
- C. The ~~Associate~~ Vice President for Human Resources of the University, or the equivalent successor officer, who shall serve as an Administration Director for so long as he or she occupies that position;
- D. A regular faculty member of the University's College of Medicine who is a senior physician on the Medical Staff of either the University Hospitals, or the University's Arthur G. James Cancer Hospital and Richard J. Solove Research Institute who shall be a Term Director and appointment by the President of the University for a three-year term or until his or her successor is appointed in consultation with the Senior Vice President for Health Sciences and the leadership of OSUP.
- E. Four individuals, independent of the University, who shall be Term Directors and appointed by the President of the University for a three-year term or until such time as a successor is appointed.
- F. One individual who is a university regular faculty member nominated and recommended by Faculty Council, who shall not be employed by nor serve this corporation, shall not be employed by nor serve the University Health Systems. The regular faculty member shall be appointed by the President of the University for a one year term or until his or her successor is appointed, and be eligible for up to two subsequent one year renewals at the pleasure of the President, but in no circumstance longer than three consecutive years. Recommendations shall be made to the President of the University on

or before April 1 of each calendar year. The regular faculty member position to be appointed pursuant to this paragraph shall remain vacant until such time as the appointment is received from the President of the University.

G. One individual who is a regular staff member nominated and recommended by the University Staff Advisory Committee (USAC), who shall not be employed by nor serve this corporation, shall not be employed by nor serve the University Health Systems. The regular staff member shall be appointed by the President of the University for a one year term or until his or her successor is appointed, and be eligible for up to two subsequent one year renewals at the pleasure of the President, but in no circumstance longer than three consecutive years. Recommendations shall be made to the President of the University on or before April 1 of each calendar year. The regular staff member position to be appointed pursuant to this paragraph shall remain vacant until such time as the appointment is received from the President of the University.

H. Two additional members of the OSU community who may be either regular staff members or faculty members and who are recommended by the incumbent Directors of the Corporation. Each such individual shall be appointed by the President of the University for a one year term or until his or her successor is appointed, and be eligible for up to two subsequent one year renewals at the pleasure of the President, but in no circumstance longer than three consecutive years. Recommendations shall be made to the President of the University on or before April 1 of each calendar year for appointment and for renewal of such appointment.

I. Each Director described in this Section shall have the same voting power and privileges as the other Directors. All references in this Code of Regulations to the Board of Directors, or members of the Board of Directors shall mean only the Directors described in this Section.

The election or appointment of each Term Director shall be made by the appropriate electing or appointing authority before the Annual Meeting of the Board of Directors. The term of each Term Director shall begin and end at the close of the appropriate Annual Meeting, except that each Term Director shall continue in office until his or her successor is appointed or elected. Except as provided in paragraphs F, G, and H of this section a Director may serve for unlimited consecutive terms.

Section 3.03 Resignation or Removal. Any Director may resign at any time, by giving notice in writing to the Board of Directors and the authority that appointed or elected the Director. Any Director may be removed from office by either authorized action of the authority that elected or appointed the Director or by a two-thirds vote of all the Directors then in office and eligible to vote.

Section 3.04 Vacancy. In the event of a vacancy in a Term Director position resulting from death, resignation, or removal, the Board of Directors shall immediately give notice of the vacancy to the appropriate electing or appointing authority, and that authority shall fill the vacancy within 60 days of receipt of such notice. In the event that the vacancy is not so filled within that time, the Board of Directors may, at their option, fill the vacancy by majority vote. In the event of a vacancy in an Administration Director, the vacancy shall remain until the replacement (whether acting or permanent) takes office at the University.

Section 3.05 Annual and other Meetings. The Board of Directors shall hold at least two meetings each year, one of which shall be the Annual Meeting, which shall be held in June or July of each year. Other meetings of the Board may be

called by the Chair or by two Directors upon delivery of a written request to the Secretary for such a meeting at least five business days prior to the minimum notice period provided in Section 3.07 of these Regulations. All meetings of the Board shall be at a place (within or without the State Of Ohio), date, and time as shall be determined by the Board or by the Chair as authorized by the Board. Directors may participate at, and Voting Directors be counted in determining a quorum for such meeting through any communications equipment if all persons participating can hear each other.

Section 3.06 Written Notice of Meetings. Written notice stating the place, date, and time of any meeting of the Board of Directors shall be given by the Secretary of the Board to each Director not less than seven days before the date of such meeting. Such notice may be delivered by first-class mail, postage prepaid, telegram, tele-facsimile, electronic mail, or any other reasonable means. The notice shall be deemed to be given if mailed or otherwise sent or delivered to the Director at his or her address as it appears on the records of the Corporation or the Corporation receives evidence of receipt. The notice need not specify the purposes of the meeting, except with respect to proposed action to remove a Director or Officer and except that, if an amendment to the Articles of Incorporation or these Regulations is proposed, a copy of the proposed amendment must accompany the notice.

Section 3.07 Waiver of Notice. The giving of notice shall be deemed to be waived by any Director who shall attend and participate in any meeting other than to object to the transaction of any business because the meeting is not lawfully called or convened. Any Director either before, at, or after such meeting may waive the giving of notice, in writing. All such waiver shall be filed with the corporate records.

Section 3.08 Quorum; Voting. A majority of the Voting Directors then in office shall constitute a quorum for the transaction business at any meeting of the Directors. The vote of a majority of the directors present and eligible to vote and voting at any meeting at which there is a quorum shall be the act of the Board of Directors, except as may be otherwise specifically provided by statute, the Articles of Incorporation, or these Regulations. In the event of a tie vote, the measure or action shall fail.

A majority of the Voting Directors present at a meeting, whether or not a quorum is present, may adjourn any Board meeting to another time and place. If a quorum is present at the meeting to be adjourned, notice of the time and place of resuming an adjourned meeting need not be given to absent Directors if the time and place is fixed at the meeting to be adjourned; provided, however, that if the meeting is adjourned for more than 24 hours, notice of any adjournment shall be given prior to the time of resuming the adjourned meeting to the Directors who were not present at the time of the adjournment. If a quorum is not present at the meeting to be adjourned, notice of any adjournment shall be given in accordance with Section 3.07 of these Regulations.

Section 3.09 Committees. The Board of Directors may, by resolution, designate one or more committees from among the Directors and other persons, which, to the extent provided in the resolution, shall have and may exercise the powers of the Board of Directors and the management of the business and affairs of the Corporation. However, no such committee shall exercise the authority of the Board of Directors with regard to: a) the election or removal of Directors or Officers; b) the establishment of, or appointment of members to, any committee; c) adoption or amendment of the budget of the corporation, or d) amendment of these Regulations or the Articles. The Officers of the Corporation shall be ex-officio voting members of each committee. Each committee shall serve at the pleasure of the Board and shall keep regular minutes of its meetings and report its activities to the Board as the Board shall determine.

Section 3.10 Unanimous Consent. Any act of the Board of Directors or of any committee the Board may be taken without a meeting if a consent in writing setting forth the act is signed by all Directors or all members of the committee, as applicable, eligible to vote. The consent shall have the same force and effect as a unanimous vote of the Board of Directors or the committee, as applicable.

Section 3.11 Rights of Inspection. Each Director and Officer shall have the absolute right at any reasonable time to inspect and copy all Corporate books, records, and documents of every kind and to inspect the physical properties of the Corporation, except as otherwise prohibited by law.

Section 3.12 Honorary Designations. The Board of Directors may establish such additional class or classes of persons entitled to attend meetings of the Board of Directors or other activities of the Corporation as determined by the Board. The Board shall prescribe the manner of selection and privileges for such class or classes, provided that such persons, whether or not called "Directors," shall not be entitled to vote, shall not be counted for quorum purposes or for purposes of determining the number of Directors, and the failure of such persons to receive notice of a meeting of the Board shall not affect the validity of such a meeting.

Section 3.13 Reimbursement of Expenses. Directors and Officers who are Directors shall receive no compensation from the Corporation for their services to the Corporation in these capacities. However, Directors, Officers, employees, and agents of the Corporation may receive reimbursement for necessary and reasonable out-of-pocket expenses, as determined by the Board of Directors.

ARTICLE IV

MEDICAL ADVISORY BOARD

Section 4.01 Purpose. There shall be a Medical Advisory Board to regularly advise the Executive Director, other staff, and the Board of Directors. As requested or on its own initiative, the Medical Advisory Board shall review and provide advice with respect to management of health care services, protocol arrangements, arrangements that regulate the conduct of physicians and other health care providers, medical practices, cost of health care, credentialing, quality assurance requirements and programs, and other issues related to health care services.

Section 4.02 Voting Members. The Medical Advisory Board shall be comprised of the following voting members:

~~A. Three physicians appointed by the Board of Directors, for a term of three years and who shall be eligible for reappointment. Each member shall join and maintain membership in OSUP, unless this provision shall be waived by separate resolution of this Board of Directors. Failure to join or maintain membership in OSUP shall result in automatic loss of membership on the Medical Advisory Board.~~

~~B. Such other members as the Board of Directors shall determine, who shall be appointed for staggered three-year terms and who shall be eligible for reappointment.~~

Seven physicians and one nurse appointed by the Board of Directors, upon the recommendation of the Chair of the Medical Advisory Board and taking into account the level of expertise required to fulfill the duties of the Medical Advisory Board. Each member shall be appointed for staggered one to three-year terms and shall be eligible for one, three-year reappointment. In addition, the board of directors may also appoint, for a one-year term, a physician member who is an accredited member of the MHCS provider network in good standing

("MHCS Physician Provider"); and who will not be compensated, but may be reimbursed for expenses. Except for the MHCS Physician Provider and the nurse all other members must be a member in good standing of the medical staff at any of osu medical center hospitals. Each member shall be required to attend a minimum of 75% of the meetings of the Medical Advisory Board during the preceding 12 month period. Failure to comply with this attendance requirement may result in removal from the Medical Advisory Board.

Section 4.03 Nonvoting Members. The following individuals shall be ex-officio nonvoting members of the Medical Advisory Board for so long as each occupies that position:

- A. The Director who is the University's Senior Vice President for Health Sciences, or his or her designee;
- B. The Director who is the Vice President for Health Services, or his or her designee;
- C. The Director who is the University's Associate Vice President for Human Resources and Relations, or his or her designee;
- D. The Director named in Section 3.02 (FD) of these Regulations, ~~who shall be the official liaison of the Board of Directors to the Medical Advisory Board, and~~ who shall be a nonvoting member of the Medical Advisory Board unless otherwise entitled to vote because he or she also holds a position identified in Section 4.02 of these Regulations; and
- E. Physicians who serve full or part time as Medical Directors for the Corporation.

Section 4.04 Chair. The Chair of the Medical Advisory Board shall be appointed by the Board of Directors from among the voting members of the Medical Advisory Board for a three-year term, subject to one three-year reappointment elected annually by and from the voting members of the Medical Advisory Board, except that the Director named in Section 3.02 (FD) of these Regulations shall not be eligible to serve as Chair. ~~Any eligible member of the Medical Advisory Board may serve unlimited terms as Chair.~~ The Chair of the Medical Advisory Board shall be an ex-officio non-voting member of the Board of Directors and shall make regular reports to the Board of Directors.

Section 4.05 Meetings: Procedures. The Medical Advisory Board shall meet at least quarterly, unless otherwise determined by the Medical Advisory Board or the Board of Directors. The Medical Advisory Board shall operate under such procedures as shall be determined from time to time by the Board of Directors. Notwithstanding Sections 4.02 and 4.03 above, no person shall have more than one vote on the Medical Advisory Board.

ARTICLE V

OFFICERS

Section 5.01 Officers. The Officers of the Corporation shall be a Chair, Vice Chair, a Secretary, and such other Officers as the Board of Directors shall determine from time to time. The same person except for the offices of Chair and Secretary may hold any two or more offices. The Chair and Vice Chair must be Directors in order to hold office.

Section 5.02 Election. Term of Office. Vacancies. The Board of Directors at the Annual Meeting shall elect officers of the Corporation, other than the Chair, or, if action is not then taken or if there is a vacancy, at any other meeting of the Board

for which notice is given as provided in Section 3.07 of these Regulations. Each Officer shall be elected to hold office for one year until his or her successor is elected and qualified. Officers may serve unlimited consecutive terms. Upon the death, resignation, or removal of any Officer other than the Chair, the Board may elect a successor to serve the unexpired term.

Section 5.03 Removal. Any Officer elected by the Board of Directors may be removed at any time by a two thirds vote of the Directors then in office and eligible to vote at a duly called and held meeting.

Section 5.04 Chair. The Chair, who shall be designated by the President of the University, shall preside at all meetings of the Board. He or she shall perform all duties incident to the office of Chair, and such other duties as from time to time may be assigned by the Board. The Board may prescribe procedures whereby, between meetings, but only when time is of the essence, the Chair may act for the Board. In the case of a vacancy in the office of Chair, the President of the University shall designate a successor to serve for the unexpired term.

Section 5.05 Vice Chair. The Vice Chair shall have such duties and functions as may be assigned from time to time by the Board or the Chair and shall perform the duties and functions of the Chair during the absence or disability of the Chair.

Section 5.06 Secretary. The Secretary shall record the votes of the Directors and keep the minutes of the proceedings of the Board of Directors; shall see that notices of meetings of the Board are given as required; shall be the custodian of all records and documents of the Corporation, except as the Board may otherwise direct; shall, if the Corporation has a seal, be the custodian of the seal of the Corporation and shall see that it is affixed, as required, to documents executed on behalf of the Corporation under its seal; shall perform all duties incidental to the office of Secretary; and shall have such other duties and functions as may be assigned from time to time by the Board or the Chair.

Section 5.07 Acting Officers. In the absence or disability of the Vice Chair, or the Secretary, the Chair may appoint an Acting Vice Chair who must be a Director, or an Acting Secretary, each of whom shall, for the period of his or her appointment, have the powers, duties, and responsibilities of that office.

Section 5.08 Bonds. As required by the Board, any Officer, employee, or agent of the Corporation shall execute to the Corporation a bond in such amount and with such surety as the Board may direct, conditioned upon the faithful performance of his or her duties to the Corporation and including responsibility for negligence, bad faith, and misconduct, and for the accounting for all property, funds, or securities of the Corporation which may come into his or her hands. The cost of such bonds shall be borne by the Corporation.

ARTICLE VI

FINANCE COMMITTEE

Section 6.01 Finance Committee. There shall be a Finance Committee to function in service of the Board of Directors. The Finance Committee shall provide oversight for the financial policies, procedures and practices of the Corporation, including any necessary actions to ensure adherence to applicable policies, regulations, laws, audit requirements, and generally accepted accounting principles. Except as otherwise provided by the Board, at the end of each fiscal year, the Finance Committee shall order an independent audit made of the accounts of the corporation and shall make such audit available to the board within 180 days after the end of the fiscal year. The Finance Committee shall approve regular reports to the Board setting forth the financial condition of the Corporation.

ARTICLE VII

STAFF

Section 7.01 Executive Director and Chief Executive Officer. The Executive Director of the Corporation, who shall be the chief executive officer of the Corporation, shall be appointed by the Board of Directors and shall serve at the pleasure of the Board. Under the general direction and supervision of the Board of Directors, the Executive Director shall have authority and responsibility to develop, recommend and implement the vision, strategic priorities, objectives, and directives as approved by the Board. The Executive Director is charged with all things necessarily attendant to the duties specified above.

Section 7.02 Chief Financial Officer. The Chief Financial Officer shall have responsibility for the care and custody of the funds of the Corporation, the safeguarding of assets of the Corporation, the keeping of an accurate account of all of the financial transactions of the Corporation, and the deposit of all funds of the Corporation, except such as may be required for current use. The Chief Financial Officer shall perform all duties incidental to the office and shall have such other duties and functions as may be assigned from time to time by the chief executive officer. Regular reports shall be provided to the Board, setting forth the financial condition of the Corporation, and such reports shall be approved by the Finance Committee.

Section 7.03 Other Staff. The Executive Director may retain, employ, contract for, and discharge such other employees, consultants, advisors, and agents as he or she deems necessary, subject to the prior approval of the Board as the Board may determine.

Section 7.04 Payment for Staff Services. For administrative purposes, Corporation staff, including the Executive Director, may but need not be employees of the University. For any Corporation staff that are University employees, the Corporation shall pay the University the appropriate salary, benefits, and other costs of such employees, as determined by the Board of Directors and the University.

ARTICLE VIII

INDEMNIFICATION AND INSURANCE

Section 8.01 Mandatory Indemnification. The Corporation shall indemnify any person who was or is a party or is threatened to be made a party to any threatened, pending, or completed action, suit, or proceeding, whether civil, criminal, administrative, or investigative (including, without limitation, any action, threatened or instituted by or in the right OF the Corporation), by reason of the fact that he or she is or was a Director, Officer, employee, or agent of the Corporation, or is or was serving at the request of the Corporation as a director or trustee, officer, employee, or agent of another corporation (domestic or foreign, nonprofit or for profit), partnership, joint venture, trust or other enterprise, against expenses (including, without limitation, attorneys' fees, filing fees, court reporters' fees, and transcript costs), judgments, fines, and amounts paid in settlement actually and reasonably incurred by his or her connection with such action, suit, or proceeding if he or she acted in good faith and in a manner he or she reasonably believed to be in, or not opposed to, the best interests of the Corporation, and, with respect to any criminal action or proceeding, he or she had no reasonable cause to believe his or her conduct was unlawful. A person claiming indemnification under this Section shall be presumed, in respect of any act or omission giving rise to such claim for indemnification, to have acted in good faith and in a manner he or she reasonably believed to be in, or not opposed to, the best interests of the Corporation, and, with respect to any criminal action or proceeding, to have had no reasonable cause to believe his or

her conduct was unlawful, and the termination of any action, suit, or proceeding by judgment, order, settlement, or conviction, or upon a plea of nolo contendere or its equivalent, shall not, of itself, rebut such presumption.

Section 8.02 Court Approved Indemnification. Notwithstanding anything contained in these Regulations or elsewhere to the contrary:

- A. The Corporation shall not indemnify any Director, Officer, employee, or agent of the Corporation who was a party to any completed action or suit instituted by or in the right of the Corporation to procure a judgment in its favor by reason of the fact that he or she is or was a Director, Officer, employee, or agent of the Corporation, or is or was serving at the request of the Corporation as a director, officer, employee, or agent of another corporation (do, joint venture, trust, or other enterprise, in respect of any claim, issue, or matter asserted in such action or suit as to which he or she shall have been adjudged to be liable for negligence or misconduct (other than negligence) in the performance of his or her duty to the Corporation unless and only to the extent that the Court of Common Pleas of Franklin County, Ohio, or the court in which such action or suit was brought shall determine upon application that, despite such adjudication of liability, and in view of all the circumstances of the case, he or she is fairly and reasonably entitled to such indemnity as the Court of Common Pleas or such other court shall deem proper.
- B. The Corporation shall not indemnify any Director, Officer, employee, or agent of the Corporation with respect to any claim or action in which a court finds against him or her pursuant to Section 1702.55 of the Ohio Revised Code determines liability.
- C. The Corporation shall promptly make any such unpaid indemnification as is determined by a court to be proper as contemplated by this Section.

Section 8.03 Indemnification for Expenses. Notwithstanding anything contained in these Regulations or elsewhere to the contrary, to the extent that a Director, Officer, employee, or agent of the Corporation has been successful on the merits or otherwise in defense of any action, suit, or proceeding referred to in Section 7.01, including any action, suit, or proceeding brought pursuant to Section 1702.55 of the Ohio Revised Code, or in defense of any claim, issue, or matter therein, he or she shall be promptly indemnified by the Corporation against expenses (including, without limitation, attorneys' fees, filing fees, court reporters' fees, and transcript costs) actually and reasonably incurred by him or her in connection therewith.

Section 8.04 Determination Required. Any indemnification under Section 7.01 and not precluded under Section 7.02 shall be made by the Corporation only upon a determination that indemnification of the Director, Officer, employee, or agent is proper in the circumstances because he or she has met the applicable standard of conduct set forth in Section 7.01. Such determination may be made only as follows:

- A. By a majority vote of a quorum consisting of Voting Directors of the Corporation who were not and are not parties to, or threatened with, any such action, suit, or proceeding; or
- B. If such quorum is not obtainable, or if a majority of a quorum of disinterested Directors so directs, in a written opinion by independent legal counsel, provided that "independent legal counsel" may not be an attorney, or a firm having associated with

it an attorney, who has been retained by or who has performed services for the Corporation, or any person to be indemnified, within the past five years; or

- C. By the Court of Common Pleas of Franklin County, Ohio, or (if the Corporation is a party thereto) the court in which such action, suit, or proceeding was brought, if any.

Any determination made by the disinterested Directors under Division A of this Section or by independent legal counsel under Division B of this Section to make indemnification in respect of any claim, issue, or matter asserted in an action or suit threatened or brought by or in the right of the Corporation shall be promptly communicated to the person who threatened or brought such action or suit, and within ten days after receipt of such notification such person shall have the right to petition the Court of Common Pleas of Franklin County, Ohio, or the court in which such action or suit was brought, if any, to review the reasonableness of such determination.

Section 8.05 Advance for Expenses. Expenses (including, without limitation, attorneys' fees, filing fees, court reporters' fees, and transcript costs) incurred in defending any action, suit, or proceeding referred to in Section 7.01, except when the only liability asserted is pursuant to Section 1702.55 of the Ohio revised Code, shall be paid by the Corporation in advance of the final disposition of such action, suit, or proceeding to or on behalf of the Director, Officer, employee, or agent promptly as such expenses are incurred by him or her, but only if he or she shall first agree, in writing, to repay all amounts so paid in respect of any claim, issue, or other matter asserted in such action, suit, or proceeding in defense of which he or she shall not have been successful on the merits or otherwise:

- A. Unless it shall ultimately be determined as provided in Section 7.04 that he or she is entitled to be indemnified by the Corporation as provided under Section 7.01 ; or
- B. If, in respect of any claim, issue, or matter asserted by or in the right of the Corporation in such action or suit, he or she shall have been adjudged to be liable for negligence or misconduct (other than negligence) in the performance of his or her duty to the Corporation, unless and only to the extent that the Court of Common Pleas of Franklin County, Ohio, or the court in which such action or suit was brought shall determine upon application that, despite such adjudication of liability, and in view of all the circumstances, he or she is fairly and reasonably entitled to all or part of such indemnification.

Section 8.06 Article VIII Not Exclusive. The indemnification authorized by this Article is not exclusive of, and shall be in addition to, any other rights granted to any person seeking indemnification pursuant to the Articles of Incorporation, these Regulations, any agreement, or a vote of disinterested Directors, both as to action in his or her official capacity and as to action in another capacity while holding such office, and shall continue as to a person who has ceased to be a Director, Officer, employee or agent of the Corporation, and shall inure to the benefit of the heirs, executors, and administrators of such a person.

Section 8.07 Insurance. The Corporation may purchase and maintain insurance on behalf of any person who is or was a Director, Officer, employee, or agent of the Corporation or who is or was serving at the request of the Corporation in such a capacity for another corporation (domestic or foreign, nonprofit or for profit), partnership, joint venture, trust, or other enterprise, against any liability asserted against him or her and incurred by him or her in any such capacity, or arising out of his or her status as such, whether or not the Corporation would have the obligation or the power to indemnify him or her against such liability under the provisions of this Article.

Section 8.08 Certain Definitions. For purposes of this Article, and as examples and not by way of limitation:

- A. A person claiming indemnification under this Article shall be deemed to have been successful on the merits or otherwise in the defense of any action, suit, or proceeding referred to in Section 7.01, or in defense of any claim, issue, or matter therein, if such action, suit, or proceeding shall be terminated as to such person, with or without prejudice, without the entry of a judgment or order against him or her, without a conviction of him or her, without the imposition of a fine upon him or her, and without his or her payment or agreement to pay any amount in settlement thereof (whether or not any such termination is based upon a judicial or other determination of the lack of merit of the claims made against him or her or otherwise results in a vindication of him or her); and
- B. References to an "other enterprise" shall include employee benefit plans; references to a "fine" shall include any excise taxes assessed on a person with respect to an employee benefit plan; references to "serving at the request of the Corporation" shall include any service as a director or trustee, officer, employee, or agent of the Corporation which imposes duties on, or involves services by, such director or trustee, officer, employee, or agent with respect to an employee benefit plan, its participants, or beneficiaries; and a person who acted in good faith and in a manner he or she reasonably believed to be in the best interest of the participants and beneficiaries of an employee benefit plan shall be deemed to have acted in a manner "not opposed to the best interest of the Corporation" within the meaning of that term as used in this Article.

Section 8.09 Venue. Any action, suit, or proceeding to determine a claim for indemnification under this Article may be maintained by the person claiming such indemnification, or by the Corporation, in the Court of Common Pleas of Franklin County, Ohio. The Corporation and (by claiming such indemnification) each such person consent to the exercise of jurisdiction over its or his or her person by the Court of Common Pleas of Franklin County, Ohio, in any such action, suit, or proceeding.

Section 8.10 Statutory Indemnification, Immunity, and Representation. This Article VII is not intended to, and shall not, limit or restrict the right of any person who is or was serving in any position entitling him or her to indemnification under Section 7.01 of these Regulations to representation by the Attorney General, indemnification, or immunity, as provided in the Ohio Revised Code, by virtue of his or her position as a Trustee, Officer, employee, or agent of the University. In addition, a person shall be entitled to indemnification and advanced expenses from the Corporation under this Article only to the extent such person is not entitled to indemnification, immunity, expenses, or representation from another source.

ARTICLE IX

AMENDMENTS

The Articles of Incorporation and this Code of Regulations may be amended by a two-thirds majority vote of all the Directors then in office, ~~subject to approval by the University's Board of Trustees.~~



17th Avenue Rebuild

OSU-081053

Requesting Agency(s): FACILITIES OPERATIONS AND DEVELOPMENT

Location(s): Unidentified Street-Col.

ASF/0 GSF Age:

Description/Scope:

This project will replace the deteriorated medium-duty roadway, curbs, sidewalks, pavers, and curb inlets along 17th Avenue from Tuttle Park Place to College Avenue. The project scope includes a full-depth pavement replacement of the existing medium-duty pavement with a heavy-duty pavement. A portion of 17th Avenue is currently brick pavers thinly overlaid with asphalt, which has failed due to construction traffic and buses. In addition, the project will upgrade the storm routing and the ADA curb ramps, and will provide for bike routing per the Bikeway Master Plan. The reconstruction of the roadway will be coordinated with campus-wide master planning efforts.

This project will also upgrade the the tunnel section along Neil Avenue, beginning immediately south of the main tunnel from the Power Plant and continuing through the intersection with 17th Avenue. Project scope includes replacing the top slab of the tunnel. All pipe supports will be replaced.

Additional scope of the project will also be replacement of ductile iron pipe along 17th Avenue and Neil Avenue and installation of part of a new tunnel and duct banks for the East Regional Chilled Water Plant.

How does this project advance the Academic Plan? This project advances the Academic Plan by improving campus transportation infrastructure.

Logistics: 17th Avenue will be rebuilt in sections. The design and project teams will work to formulate a plan to minimize disruption to local traffic. Every effort will be made to minimize the duration of complete road closures. Accessible routes for pedestrians will be maintained in several locations at all times during the construction period. Temporary accessible parking spaces will be available during construction.

Energy and Sustainability: This project will meet the requirements of HB 251.

Deferred Maintenance/Renewal: This project will address approximately \$3.8M in deferred maintenance.

Source of Funds:	Amount
2009 (2010) Bond Issue	\$5,500,000.00
2011 (2012) Bond Issue	\$5,500,000.00
Total:	\$11,000,000.00

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$11,000,000.00	02/06/2009		
CONSTRUCTION				
Construction Start		04/01/2010		
Completion		12/01/2011		

Project Team:

Project Manager: Tom Ekegren

Project Coordinator: Melissa Griffin



18th and 19th Avenues Rebuilds

OSU-081054

Requesting Agency(s): FACILITIES OPERATIONS AND DEVELOPMENT

Location(s): Unidentified Street-Col.

ASF/0 GSF Age:

Description/Scope:

This project will replace the deteriorated roadway, curbs, sidewalks, ADA ramps and storm structures along 18th and 19th Avenues. The existing pavement will be replaced with a heavy-duty pavement section. The existing storm system will be reevaluated and redesigned if necessary. The reconstruction of the roadway will be coordinated with campus-wide master planning efforts.

Additionally, this project will perform maintenance work; replace the top and some wall sections, of approximately 1,020 lineal feet of existing tunnel segments within 18th and 19th Ave. Furthermore, the project budget also contains ±416 lineal feet of new tunnel to be constructed as part of the East Campus Regional Chilled Water Plant project as well as the replacement of the 8" DIP domestic cold water pipe as well as the 6" DIP building service laterals to be constructed as part of the Domestic Cold Water System Improvements project.

Logistics: Careful coordination with other infrastructure and building projects must occur in this dense and high-pedestrian traffic area.

Deferred Maintenance/Renewal: This project will address approximately \$2.8M in deferred maintenance.

Source of Funds:	Amount
2011 (2012) Bond Issue	\$7,760,000.00
Total:	\$7,760,000.00

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$7,760,000.00	02/06/2009		
Arch/Engr Advertisement		07/01/2011		
DESIGN				
Arch/Engr Contract		12/30/2011		
Schematic Design Approval		03/01/2012		
Design Dev Document Approval		05/01/2012		
Construction Document Approval		06/29/2012		
CONSTRUCTION				
Construction Start		09/03/2012		
Completion		10/31/2013		

Project Team:

Project Manager: Tom Ekegren

Project Coordinator: Melissa Griffin



BRT - Three Floor Build Out

OSU-091001

Requesting Agency(s): HEALTH SCIENCES ADMINISTRATION

Location(s): Biomedical Research Tower

ASF/379,000 GSF Age: 2006

Description/Scope:

In support of the Medical Center Research mission, this project will build out floors four, five and six in the Biomedical Research Tower to create research labs. The floors will be completed similar to floors seven, eight and nine. The build-out will result in approximately 24,000 ASF per floor. Authorization is request to advertise for design services only.

Energy and Sustainability: This project will meet the requirements of the University's Green and Energy Policy, as applicable.

Funding Status and Source: University bond proceeds will be reallocated from other Medical Center Facility Plan projects. An approved business plan must be in place before the project can request authorization to advertise for construction bids.

Source of Funds:

Amount

Univ. Bond Proceeds \$33,000,000.00

Total:

\$33,000,000.00

Schedule:

BoT Approved Amt.

Projected

Revised

Actual

PLANNING

Arch/Engr Approved by BoT

\$3,000,000.00

02/06/2009

DESIGN

Arch/Engr Contract

7/01/2009

CONSTRUCTION

Construction Start

07/01/2010

Completion

07/01/2011

Project Team:

Project Manager: Corrie Feldmann

Project Coordinator: Megan Balonier



Woodruff Avenue and Tuttle Park Place Rebuilds

OSU-081051

Requesting Agency(s): FACILITIES OPERATIONS AND DEVELOPMENT

Location(s): Unidentified Street-Col.

ASF/0 GSF Age:

Description/Scope:

This project will replace the deteriorated roadways, curbs, sidewalks, ADA ramps and storm structures. The existing pavement will be replaced with a heavy-duty pavement section. Woodruff/Woody Hayes will be replaced from Cannon to High. Tuttle Park Place will be replaced from Lane Avenue to 17th (minus the portion of Tuttle between Neil and Woodruff). New roadway alignment will be coordinated with campus-wide master planning efforts.

Additionally, this project will include approximately 750 lineal feet of shallow trench box to be constructed as part of the East Campus Regional Chilled Water Plant project.

Deferred Maintenance/Renewal: This project will address approximately \$5.6M in deferred maintenance.

Source of Funds:

Amount

2007 (2008) Bond Issue	\$2,000,000.00
2009 (2010) Bond Issue 2009 (2010)	\$9,500,000.00

Total: \$11,500,000.00

Schedule:

	BoT Approved Amt.	Projected	Revised	Actual
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PLANNING

Arch/Engr Approved by BoT \$11,500,000.00 02/06/2009

DESIGN

Arch/Engr Contract 06/01/2009

CONSTRUCTION

Construction Start 05/04/2010

Completion 12/02/2011

Project Team:

Project Manager: Tom Ekegren

Project Coordinator: Melissa Griffin



Decommissioning and Demolition of Med Center Facilities (MCFP)

OSU-080387

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): Means Hall, William J.	61,990 ASF/115,277 GSF	Age: 1951
Location(s): Parking Garage H&J (S&N Medical)	418,833 ASF/445,943 GSF	Age: 1971
Location(s): Magnetic Resonance Imaging Facility	4,187 ASF/6,333 GSF	Age: 1984

Description/Scope:

This project will decommission and demolish Means Hall, the MRI Facility and the South Cannon Garage (H). Demolition will be accomplished in phases. A service tunnel will be constructed under the Means Hall site to provide necessary utility routing. A range of \$8.0M to \$10.0M is shown because of uncertainty about the tesla magnet. Any bid favorability will be returned.

How does this project advance the Academic Plan? This project is part of the Medical Center Facilities Master Plan, which will support continued clinical, teaching and research missions at The Ohio State University.

Logistics: This project cannot begin until the North Doan Hall - Non-clinical Addition and Digestive Health Center, MRI Relocation and Cramblett Hall Renovation projects are complete, allowing Means Hall and the existing MRI Facility to be vacated. A parking mitigation plan must be in place prior to the demolition of the parking garage.

Deferred Maintenance/Renewal: This project will address \$28.5M in deferred maintenance with the demolition of Means Hall and the MRI Facility.

Source of Funds:	Amount
Univ. Bond Proceeds	\$8,000,000.00

Total: \$8,000,000.00

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$5,000,000.00	06/06/2008		06/06/2008
DESIGN				
Arch/Engr Contract		07/31/2008		08/01/2008
Constr Mgr Contract		09/07/2008		09/07/2008
Schematic Design Approval		01/09/2009		
Design Dev Document Approval		01/09/2009		
Construction Document Approval		03/01/2009		
BIDDING				
Bidding Approved by BoT	\$8,000,000.00-	02/06/2009		
	\$10,000,000.00			
CONSTRUCTION				
Award of Contracts		05/29/2009		
Construction Start		06/01/2009		
Completion		02/15/2009		

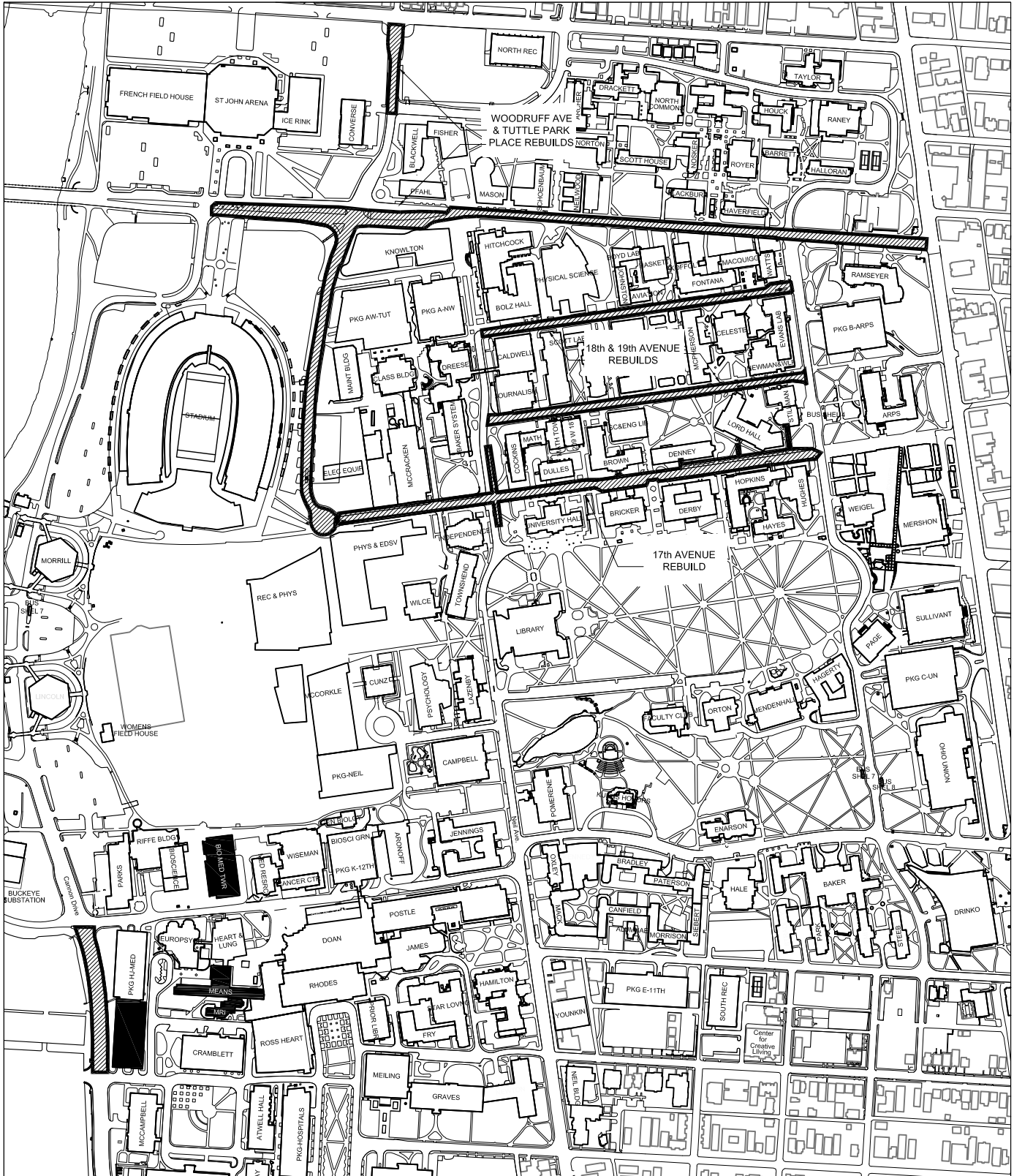
Project Team:

Project Manager: Paul Lenz
 PANICH, NOEL & ASSOCIATES - Design

Project Coordinator: Curt Handschug

Core and Medical Campus Projects

- 17th Avenue Rebuild
- 18th & 19th Avenue Rebuilds
- Woodruff Avenue and Tuttle Park Place Rebuilds
- BRT - Three Floor Build Out
- Decommissioning and Demolition of Med Center Facilities (MCFP)
- Infrastructure and Roadways EOC Phase 1 (MCFP)





Infrastructure and Roadways EOC Phase 1 (MCFP)

315-2005-993-7

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): See Project Information

ASF/0 GSF Age:

Description/Scope:

This project will provide major utilities, civil and landscape design required to support the Medical Center Facilities Plan/Master Space Plan. The work will upgrade electric power, water, sanitary sewer, storm sewer, natural gas, information technology, steam and chilled water, and several south campus roadways primarily east of Cannon Drive.

Project design is currently underway. The project is seeking approval to advertise for construction bids for specific critical path work. **This request is for approval to advertise for these projects only. The balance of the construction work for the Infrastructure and Roadways project will be brought for approval at a later date.**

Approval is requested for the following enabling projects:

Medical Center Gas Tank Farm	\$950,000
High Voltage Upgrades/Relocation	\$15,300,000
Doan Hall Loading Dock Utility Relocation	\$1,300,000
Mean Hall Site Demolition	\$2,250,000
Total - Enabling Projects	\$19,800,000

How does this project advance the Academic Plan? This project is part of the Medical Center Facilities Plan, which will support continued clinical, teaching and research missions at The Ohio State University.

Funding Status and Source: Funding has been confirmed and approved. The construction expenditures will must be coordinated with the available debt capacity.

Logistics: This project is on a critical path schedule in order to align sequentially the EOC Clinical Expansion and RDJC-MEP Upgrades.

Energy and Sustainability: The project must meet the requirements of the University's Green Build and Energy Policy, as applicable.

Source of Funds:	Amount
Univ. Bond Proceeds	\$45,863,181.00
Total:	\$45,863,181.00

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$44,636,062.00	04/04/2008		04/04/2008
Constr Mgr Approved by BoT	\$44,636,062.00	06/06/2008		06/06/2008
DESIGN				
Schematic Design Approval		10/08/2007		10/08/2007
Design Dev Document Approval		10/17/2008	01/19/2009	
Construction Document Approval		01/20/2009	04/22/2009	
BIDDING				
Bidding Approved BoT (Enabling Projects Only)	\$19,800,000.00	02/06/2009		
Bid Opening		05/26/2009		
CONSTRUCTION				
Construction Start (Enabling Projects)		05/01/2009		
Award of Contracts		07/27/2009		
Construction Start		07/31/2009		
Completion (Enabling Projects)		12/31/2009		
Completion		07/11/2011		

Project Team:

Project Manager: Al Stazzone
JACOBS FACILITIES INC - Construction Management
JONES-STUCKEY LTD INC - Design

Project Coordinator: Curt Handschug

PURCHASE OF REAL PROPERTY

**THREE PARCELS
NE CORNER NEIL AVENUE AND W. TENTH AVENUE
COLUMBUS, OHIO 43201**

Location and Description

The University is presented with the opportunity to purchase real estate located at 1618-1624 Neil Avenue, 1630 Neil Avenue, and 250-252 W. Tenth Avenue, Columbus, Ohio 43201. The properties are located on the east side of Neil Avenue north of W. Tenth Avenue, adjacent to other properties owned by the University. The parcels are contiguous to Student Life's Younkin Success Center. The properties are located in the South Campus Acquisition Area.

The property being purchased contains three parcels having 78' of frontage on Neil Avenue with an average depth of 150', containing 0.3 acres, improved with a two-story leased commercial building containing approximately 13,000 SF. The properties have been appraised by two MAI appraisers, Ralph Berger & Associates and Nash-Wilson Associates, with their appraisal reports indicating values between \$3,116,000 and \$3,125,000. The negotiated purchase price for the property is \$3,150,000. The properties are owned by Nurtur Property Holdings, LLC, Nurtur Property 1630 LLC, and Nurtur Property 252 LLC, all related entities.

Use of the Property

It is the intention of the University to acquire this strategic property and continue to lease the building to its current tenant, AVEDA Institute, for the five years remaining on their lease. Rental income from the property will be applied to principal and interest payments needed to amortize bonds until a thorough assessment of University needs can be completed. Eventually, the longer term plan would be to remove the structures to provide for new construction to meet the University needs.

**THE OHIO STATE UNIVERSITY BOARD OF TRUSTEES
FISCAL AFFAIRS COMMITTEE**

February 6, 2009

TOPICS: Football and Men's Basketball Ticket Prices
Golf Course Membership and Daily Green Fees

CONTEXT:

OSU's Department of Athletics is fully self-supporting and does not receive a University subsidy. The Department is facing increases in operating costs for next fiscal year, including utilities, financial aid and travel. A ticket price increase is necessary to meet these increased costs and remain financially stable. These proposed rates have been reviewed by the Athletic Council and the University.

Approval is requested today in order to meet printing deadlines for sending out donor ticket applications and golf course membership renewals at the beginning of March.

RECOMMENDATION:

- For Football Tickets – 2009 season – an increase of \$1 per game for all ticket groups, except student season tickets. The student price will not increase.
- The \$1 per game increase for all other ticket groups will be an increase of between 1.4% and 2.0%. (See Attachment 1)
- For Men's Basketball Tickets – 2009-2010 season – an increase of \$1 per game for all ticket groups except student tickets. This is an increase of between 3.7% and 6.7%. The student price will not increase for 2009-2010. (See Attachment 1)
- For Golf Course Memberships and Green Fees – Calendar Year 2009 – a 5.7% increase is proposed for faculty/staff and alumni/affiliates membership dues. Student memberships and dues for children of members under 13 will increase by 1.8%. Members' children, 13 and over, will remain at the same price as last season. Daily green fees for all groups remain unchanged. The food and beverage minimum increases from \$10 to \$20 per month for six months of the year. A new annual tournament fee of \$30 per member is proposed to fund membership tournament events during the year. (See Attachment 2)

CONSIDERATIONS:

Football Tickets:

- The student ticket price remains unchanged from the 2008 season and is at the target of approximately 50% of the public ticket cost.
- The proposed single game ticket price average is near the top of the Big Ten Conference, however, it remains below the premium game prices charged by other conference institutions.

Men's Basketball Tickets:

- The student ticket price remains unchanged from the 2008-2009 season and is at the target of approximately 50% of the public ticket price.
- Proposed single game ticket prices are in line with the top basketball programs at benchmark universities.

Golf Course Fees:

- Alumni/affiliate and faculty/staff membership fees are currently less than 60% and 55% respectively of the average 2008 membership for similar public courses in this area.

REQUESTED OF FISCAL AFFAIRS COMMITTEE:

Approval.

BACKGROUND

ATTACHMENT 1

**The Ohio State
University**

Department of Athletics

Ticket Pricing Information

**Proposed Ticket Prices
Needing Approval For
2009-2010**

	approved	approved	approved	approved	approved	proposed	Price %
	<u>04-05</u>	<u>05-06</u>	<u>06-07</u>	<u>07-08</u>	<u>08-09</u>	<u>09-10</u>	<u>Increase</u>
FOOTBALL							
Student	\$27.00	\$28.00	\$29.00	\$30.00	\$31.00	\$31.00	0.0%
Faculty/Staff	\$46.00	\$47.00	\$48.00	\$49.00	\$51.00	\$52.00	2.0%
B & BB Boxes	\$67.00	\$68.00	\$69.00	\$70.00	\$72.00	\$73.00	1.4%
Reserve	\$57.00	\$58.00	\$59.00	\$60.00	\$62.00	\$63.00	1.6%
Club	\$57.00	\$59.00	\$60.00	\$61.00	\$63.00	\$64.00	1.6%

**Proposed Ticket Prices
Needing Approval For
2009-2010**

	approved	approved	approved	approved	approved	proposed	Price %
	<u>04-05</u>	<u>05-06</u>	<u>06-07</u>	<u>07-08</u>	<u>08-09</u>	<u>09-10</u>	<u>Increase</u>
MEN'S							
BASKETBALL							
Personal Seat License	\$25.00	\$25.00	\$26.00	\$27.00	\$28.00	\$29.00	3.7%
Public 1	\$23.00	\$23.00	\$24.00	\$25.00	\$26.00	\$27.00	4.0%
Public 2	\$18.00	\$18.00	\$19.00	\$20.00	\$21.00	\$22.00	5.0%
Public 3	\$13.00	\$13.00	\$14.00	\$15.00	\$16.00	\$17.00	6.7%
Faculty/Staff	\$18.50	\$18.50	\$19.50	\$20.00	\$21.00	\$22.00	5.0%
Student	\$12.00	\$12.00	\$13.00	\$13.50	\$14.50	\$14.50	0.0%

**Proposed Calendar Year 2009
Golf Course Dues and Fees**

<u>Annual Membership Dues</u>	CY '07 Previous 2007	CY '08 Current 2008	Increase from Prior Yr.	CY'09 Proposed 2009	Increase from Prior Yr.
Students	\$550	\$550	0.0%	\$560	1.8%
Faculty/Staff Initiation Fee-New Members Only	\$1,640	\$1,760	7.3%	\$1,860	5.7%
Alumni/Affiliates Initiation Fee-New Members Only	\$800	\$800		\$800	
Children under 13 of Member Children 13 and older of Member	\$2,065	\$2,200	6.5%	\$2,325	5.7%
	\$1,000	\$1,000		\$1,000	
	\$800	\$275	-65.6%	\$280	1.8%
	\$800	\$800	0.0%	\$800	0.0%

<u>Daily Fees</u>	CY '07 Previous	CY '08 Current		CY '09 Proposed	
Students - Gray	\$18	\$18	0.0%	\$18	0.0%
Students - Scarlet	\$30	\$30	0.0%	\$30	0.0%
Faculty/Staff - Gray	\$32	\$32	0.0%	\$32	0.0%
Faculty/Staff - Scarlet	\$56	\$56	0.0%	\$56	0.0%
Alumni/Affiliates/Guests - Gray	\$40	\$40	0.0%	\$40	0.0%
Alumni/Affiliates/Guests - Scarlet	\$70	\$70	0.0%	\$70	0.0%
Others					
Food & Beverage Minimum*		\$60		\$120	
Tournaments Fees		\$0		\$30	

*Proposed \$20 per month April-September

These fees have been approved by the Athletic Council